

1 Professor MacKenzie
2 Editorial Office
3 Atmospheric Chemistry and Physics

4
5
6 4th December 2018
7

8 Dear Professor MacKenzie,
9

10 Attached please find our revised manuscript entitled "Characterization of VOCs and
11 their related atmospheric processes in a central China city during severe ozone pollution
12 periods" (Manuscript number: acp-2018-397), as well as a thorough, point-by-point
13 response to each point raised from the reviewers ("Response to Reviewers"). The
14 revisions to the manuscript are highlighted in blue words in the provided Microsoft
15 Word document.
16

17 We would like to express our special thanks to the anonymous reviewers and you
18 during the two rounds of in-depth reviews. The manuscript has been greatly improved
19 with the valuable suggestion and corrections.
20

21 Please do not hesitate to contact me at stevenho@hkpsrl.org or by phone at
22 +00-852-66833994 if you need additional information. Thank you for your time in
23 handling our manuscript.
24

25 Sincerely,
26

27 Steven S.H. Ho, Ph.D.
28 Principal Scientist
29 Division of Atmospheric Sciences
30 Desert Research Institute
31 Reno, NV89512,
32 United States
33 E-mail: stevenho@hkpsrl.org
34
35
36

37 **Comment and response**

38 **Comment 1:**

39 All the means in the manuscript are reported with false precision. Please report with
40 appropriate precision see [https://www.astm.org/SNEWS/SO_2008/datapoints_so_08.](https://www.astm.org/SNEWS/SO_2008/datapoints_so_08.html)
41 -html for guidance

42 **Response:**

43 Thanks for pointing out the errors. The precisions throughout the manuscript have been
44 corrected accordingly.

45 **Comment 2:**

46 You cannot support such a general statement with references from 2014 onwards! This
47 has been known for decades - please cite earlier European and North American work.
48 You may use these references to support a sentence about recent source apportionment
49 studies in emerging economies.

50 **Response:**

51 Thanks for the correction. The references have been replaced by:

52 *Borbon, A., Locoge, N., Veillerot, M., Galloo, J. C., and Guillermo, R.:
53 Characterisation of NMHCs in a French urban atmosphere: overview of the main
54 sources, Sci. Total Environ., 292 177–191, 2002.*

55 *US EPA: National air pollutant emission trends 1900–1998, Off. Air Qual. Plann.
56 Stand., Research Triangle Park, N. C, Rep. EPA 454/R-00-002, 2000.*

57 *Fujita, E. M., Watson, J. G., Chow, J. C., and Lu, Z.: Validation of the chemical
58 mass balance receptor model applied to hydrocarbon source apportionment in the
59 southern California air quality study, Environ. Sci. Technol., 28, 1633-1649, 1994.*

60 *Fujita, E. M.: Hydrocarbon source apportionment for the 1996 Paso del Norte
61 Ozone Study, Sci. Total Environ., 276, 171-184, 2001.*

62 **Comment 3:**

63 Support this part of the sentence with prior work - either a textbook or some of the
64 original work from the 1950s.

65 **Response:**

66 Thanks for the recommendation. The references have been replaced by:

67 *Haagen-Smit, A. T.: Chemistry and physiology of Los Angeles smog, J. Ind. Eng.*
68 *Chem., 44, 1342-1346, 1952.*

69 *Choek, D. P., and Heuss, J. M.: Urban ozone and its precursors, Environ. Sci.*
70 *Technol., 21, 1146-1153, 1987.*

71 **Comment 4:**

72 You need to refer back to the original USEPA definitions – to
73 <https://www3.epa.gov/ttnamti1/pamsmain.html> at least, but preferably to a paper.

74 **Response:**

75 This is a good point. The references have been replaced by:

76 *US EPA: Photochemical Assessment Monitoring Stations (PAMS), available at:*
77 *<https://www3.epa.gov/ttnamti1/pamsmain.html>, (last access: 03 December 2018),*
78 *1990.*

79 *Oliver, K. D., Adams, J. R., JR, E. H. D., McClenny, W. A., Yoong, M. J., and*
80 *Pardee, M. A.: Technique for monitoring ozone precursor hydrocarbons in air at*
81 *photochemical assessment monitoring stations: sorbent preconcentration,*
82 *closed-cycle cooler cryofocusing, and GC-FID analysis, Atmos. Environ., 30,*
83 *2751-2757, 1996.*

84 **Comment 5:**

85 You cannot cite an earlier paper as a consequence of a later paper. I have moved this
86 citation to a more appropriate place.

87 **Response:**

88 Thanks for the correction. An appropriate reference has been added on the statement:

89 *Wang, Q., Li, S., Dong, M., Li, W., Gao, X., Ye, R., and Zhang, D.: VOCs emission*
90 *characteristics and priority control analysis based on VOCs emission inventories*
91 *and ozone formation potentials in Zhoushan, Atmos. Environ., 182, 234-241, 2018.*

92 **Comment 6:**

93 This is not consistent with the citation, which reports (unsurprisingly) that road traffic
94 dominates. You can say that solvent has become a more important source.

95 **Response:**

96 The statement has been revised as:

97 *“In less developed cities of Heilongjiang and Anhui, biomass combustion had the*
98 *highest contribution (40% and 36%, respectively) to the O₃ formation potentials due*
99 *to high quantity of agricultural activities, while in the developed cities such as*
100 *Shanghai, Beijing and Zhejiang, solvent usage has become a more important*
101 *source.”*

102 **Comment 7:**

103 Please provide a reference for the guideline - a paper or a website.

104 **Response:**

105 Suggestion taken. A reference has been added :

106 *Chinese Ministry of Environmental Protection: Ambient Air Quality Index (AQI)*
107 *Technical Provisions (Trial), available at:*
108 *http://kjs.mee.gov.cn/hjbhbz/bzwb/jcffbz/201203/t20120302_224166.shtml, (last*
109 *access: 03 November, 2018), 2012.*

110 **Comment 8:**

111 Say which year.

112 **Response:**

113 It has been revised as:

114 *“Particularly O₃ was the major pollutant in summer and over 50% of the days in*
115 *2015,”*

116 **Comment 9:**

117 If these power plants no longer operate, please delete this sentence. If they operate,
118 please use the present tense: "there are..."

119 **Response:**

120 The statement has been revised as:

121 *“It is noteworthy that there are three coal-fired power plants in the urban area of*
122 *Zhengzhou city.”*

123 **Comment 10:**

124 This does not mean anything. Please provide a maximum value below which a day was
125 considered dry, or state that sample days had no recorded rain.

126 **Response:**

127 Thanks for the suggestion. the sentence was revised as:

128 *“Ten dry days with no rainfall records were chosen in every month during the period*
129 *of May - September, 2017 consequently.”*

130 **Comment 11:**

131 You must provide some details of the air quality monitors and meteorological equipment
132 used in the study.

133 **Response:**

134 The details of air quality monitors and meteorological equipment used in this study has
135 been given in newly added Table S1.

136 *Table S1 Detailed information of monitoring equipment for SO₂, CO, NO_x, O₃ and*
137 *meteorological factors*

<i>Targets</i>	<i>Equipment</i>	<i>Model</i>
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<i>SO₂</i>	<i>Pulsed Fluorescence SO₂ Analyzer</i>	<i>Model 43i, Thermo, Inc.</i>
<i>CO</i>	<i>Gas Filter Correlation CO Analyzer</i>	<i>Model 48i, Thermo, Inc.</i>
<i>NO-NO₂-NO_x</i>	<i>Chemiluminescence NO-NO₂-NO_x Analyzer</i>	<i>Model 42i, Thermo, Inc.</i>
<i>O₃</i>	<i>ultra-violet (UV) photometric O₃ analyzer</i>	<i>Model 49i, Thermo, Inc.</i>
<i>Meteorological data</i>	<i>multi-parameter automatic weather station</i>	<i>Milos 520, Vaisala, Inc.</i>

138 **Comment 12:**

139 Please provide citation

140 **Response:**

141 A relevant citation has been added:

142 *US EPA: Compendium Method TO-15: Determination of volatile organic*
143 *compounds in air collected in specially prepared canisters and analyzed by gas*
144 *chromatography/mass spectrometry, 1999.*

145 **Comment 13:**

146 *k* cannot be a dimensionless ratio as described here. I think it is probably set as the rate
147 for NO₂+OH multiplied by NO₂/NO_x

148 **Response:**

149 Thanks for the reminder. The relative description has been rewritten as:

150 *“In this study, *k* was set as the product of the rate constant for NO₂+•OH multiplied*
151 *by the observed average ratio of NO₂/NO_x during this campaign.”*

152 **Comment 14:**

153 I can think of no reason why topography should lead to an increase of concentrations
154 with height. Delete unless you provide a meteorological cause for the relationship to
155 height.

156 **Response:**

157 Suggestion taken. The term has been deleted and the sentence has been revised as:

158 *“Except for the discriminations between the pollution sources at every site, there*
159 *may be some other factors (e.g. horizontal and vertical air advection) contribute to*
160 *it”.*

161 **Comment 15:**

162 You can't use a 2018 paper to support a statement that isoprene is biogenic and its
163 emission varies exponentially with temperature. Use Guenther et al., 1995 and
164 references therein

165 **Response:**

166 This is absolutely a good point. The references have been replaced accordingly:

167 *Guenther, A., Hewitt, C. N., Erickson, D., Fall, R., Geron, C., Graedel, T., Harley, P.,*
168 *Klinger, L., Lerdau, M., McKay, W. A., Pierce, T., Scholes, B., Steinbrecher, R.,*
169 *Tallamraju, R., Taylor, J., and Zimmerman, P.: A global model of natural volatile*
170 *organic compound emissions, J. Geophys. Res., 100, 8873-8892, 1995.*

171 *Guenther, A. B., Zimmerman, P. R., and Harley, P. C.: Isoprene and monoterpene*
172 *emission rate variability: model evaluations and sensitivity analyses, J. Geophys.*
173 *Res., 98, 12,609-612,617, 1993.*

174 **Comment 16:**

175 This statement, while true, does not follow from what went before or lead onto what
176 follows. Delete or provide a reason for the statement.

177 **Response:**

178 The sentence has been removed.

179 **Comment 17:**

180 VOCs are hardly soluble in water. You need to explain whether this statement applies
181 only to soluble gases and particles, or whether you are using precipitation as a surrogate
182 for ventilation of the boundary layer.

183 **Response:**

184 Thanks for the recommendation. The statement has been revised as:

185 *“The occurrence of precipitation, which is usually accompanied with better air*
186 *dispersion conditions, is also frequent in most areas of China during summer,*
187 *resulting in decreasing background level of air pollutants.”*

188 **Comment 18:**

189 You cannot start a sentence with "Many researches show..." and then cite a single
190 reference. At the very least you must cite a review "and references therein".

191 **Response:**

192 Thanks for the reminder. An additional reference has been added to support the
193 statement:

194 *Sillman, S.: The relation between ozone, NO_x and hydrocarbons in urban and*
195 *polluted rural environments, Atmos. Environ., 33, 1821-1845, 1999.*

196 **Comment 19:**

197 You are looking for a correlation here between ozone, which takes hours-days to form in
198 an air parcel, and the instantaneous local VOC/NO_x. You should state here that this
199 analysis does not take account of the advection of air parcels.

200 **Response:**

201 The statement has been has been revised as:

202 *“Without considering the advection of air parcels, this can be attributed to the*
203 *increased O₃ production efficiency at high VOCs /NO_x. There were no discernible*
204 *trends at other sites, possibly due to the counteraction imposed by other uncertain*
205 *factors.”*

206 **Comment 20:**

207 What is the difference between fuel evaporation and gasoline?

208 **Response:**

209 Sorry for the error of omission. The statement has been revised as:

210 *“Higher values are often reported for automobiles: in a range of 2.2 - 3.8 for*
211 *vehicle emissions; and 1.8 - 4.6 for fuel evaporation ((McGaughey et al., 2004;*
212 *Jobson et al., 2004; Russo et al., 2010; Wang et al., 2013), whereas the ratios below*
213 *unity was found for coal combustion (0.56 - 0.80) (Yan et al., 2017).”*

214 **Comment 21:**

215 Why no standard error on this number?

216 **Response:**

217 The standard error has been added. The value is presented as “2.59±0.45”.

218 **Comment 22:**

219 This should be moved up to the site description section, and should be borne in mind
220 when considering whether instantaneous primary pollutant values at MEM tell you
221 anything about secondary pollution many hours-days upwind of the site

222 **Response:**

223 Thanks for reminder. The statement has been moved to Section 2.1.

224 **Comment 23:**

225 This sentence confuses sources, which will add to the alkenes and aromatics, with the
226 atmospheric sink, which will consume VOCs according to their reactivity. Please
227 re-write or delete.

228 **Response:**

229 The statement has been deleted as suggested.

230 **Comment 24:**

231 This explanation is insufficient. It should be possible for the reader to understand what
232 cluster analysis was carried out without having to go back to that section.

233 **Response:**

234 Suggestion taken. The sentence has been revised as:

235 *“It is further shown that the air pollution in Zhengzhou was usually impacted by*
236 *local emissions, with no more than 50% of 48-hour backward trajectories extended*
237 *out of Henan province in June, August and September, and southern air clusters*
238 *occasionally from Hubei Province was cleaner”.*

239 **Comment 25:**

240 You received so much help from the referees, I hope you will feel it appropriate to
241 acknowledge them gratefully.

242 **Response:**

243 Yes, it is definitely necessary to express our thanks for all valuable suggestions and
244 corrections from both referees and editor. The acknowledgement has been rewritten as:

245 *“The authors would like to thank for valuable suggestions, corrections, and*
246 *discussions from both anonymous referees and editor, Prof. Rob MacKenzie. Their*
247 *comments are particularly important and greatly contributed to improve this work.*
248 *This research was supported by the Key Program of National Natural Science*
249 *Foundation of China (Grant No. 91744209)”.*

250 **Comment 26:**

251 **Table 1**, Inadequate caption. What does "Std" mean? Please re-write this table with
252 appropriate precision in the means - i.e. retaining only significant figures based on a
253 rounding interval between 0.05 and 0.5 of the standard deviation.

254 **Response:**

255 The precision of all data have been corrected according to the suggested guideline, and
256 Table 1 has been revised as:

257 *Table1. Mean concentrations of ΣVOCs (ppbv) and correspondent standard deviations*
258 *(SD) at every site during the sampling period*

	<i>JK</i>		<i>MEM</i>		<i>GS</i>		<i>YH</i>	
	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>
<i>May.2017</i>	<i>37.6</i>	<i>22.6</i>	<i>29.3</i>	<i>15.3</i>	<i>31.7</i>	<i>18.7</i>	<i>30.1</i>	<i>16.4</i>
<i>June.2017</i>	<i>34.0</i>	<i>19.9</i>	<i>30.3</i>	<i>12.8</i>	<i>39.3</i>	<i>25.4</i>	<i>28.3</i>	<i>11.9</i>
<i>July.2017</i>	<i>16.0</i>	<i>6.1</i>	<i>20.7</i>	<i>12.7</i>	<i>19.6</i>	<i>13.9</i>	<i>15.9</i>	<i>7.5</i>
<i>Aug.2017</i>	<i>21.5</i>	<i>15.3</i>	<i>24.4</i>	<i>20.8</i>	<i>20.5</i>	<i>15.7</i>	<i>26.1</i>	<i>17.0</i>
<i>Sept.2017</i>	<i>26.2</i>	<i>16.2</i>	<i>34.2</i>	<i>23.8</i>	<i>30.4</i>	<i>19.8</i>	<i>32.6</i>	<i>19.8</i>

259 **Comment 27:**

260 **Table 2**, Inadequate caption. Please report the height of wind speed measurements and
 261 confirm that the measurements were made in sites conforming to WMO standards for
 262 fetch.

263 **Response:**

264 Thanks for the reminder. The anemometers have been placed at the same site with other
 265 air monitors, and the title of table 2 has been revised as:

266 *“Wind speed ($m\ s^{-1}$) measured about 10m above ground level at every site during*
 267 *the sampling period”.*

268 **Comment 28:**

269 **Table 3**, report precision correctly or state in caption that this is how results were
 270 reported in the literature.

271 **Response:**

272 All the data in table 3 have been corrected accordingly.

273 **Comment 29:**

274 **Table 5**, please remove unjustified precision in these numbers.

275 **Response:**

276 Unjustified precision have been removed.

277 **Comment 30:**

278 **Fig.1**, Inadequate caption. Please report what is shown as the base of the central map
279 (satellite imagery of land surface, presumably)

280 **Response:**

281 Thanks for the alert. The title of Fig.1 has been rewritten as:

282 *“Satellite imagery showing the four sampling sites and surrounding areas of*
283 *Zhengzhou, China, including major emission sources presented with different*
284 *marks”.*

285 **Comment 31:**

286 **Fig.3**, inadequate caption, the reader should be able to understand the figure based on
287 the caption alone. Please include what is being clustered (HYSPLIT trajectories
288 presumably) and state length of trajectory, forward/backward, starting altitude, starting
289 time, etc

290 **Response:**

291 The title of Fig.3 has been revised as:

292 *“Cluster analysis of 48-hour backward trajectories for Zhengzhou in each sampling*
293 *month, with the start height at 500m altitude and running interval set as 2- hour for*
294 *each day, percentage of each cluster and covered areas are presented as well”.*

295 **Comment 31:**

296 **Fig.4**, incomplete and inadequate caption. Please describe the siting of the anemometers.

297 **Response:**

298 The title of Fig.4 has been rewritten as:

299 *“Wind rose plot showing wind sector frequency (%) of occurrence and associated*
300 *wind speed ($m s^{-1}$) at each site in May and June (the wind distribution in other three*

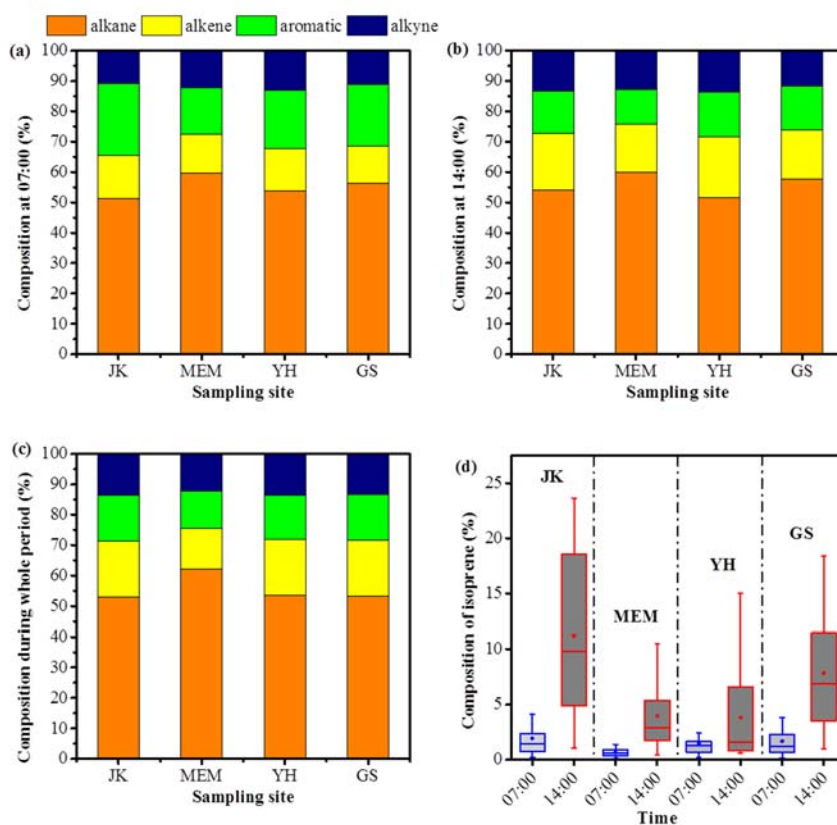
301 months were illustrated in Fig S2), which were recorded by the anemometers placed
302 at the same site with other air monitors.”

303 **Comment 32:**

304 **Fig.5,** Please provide standard deviations on bars in (d) or (better) replace this panel
305 with a box and whisker plot showing medians, means, and percentiles for isoprene at
306 each time and each site.

307 **Response:**

308 Figure 5d has been replaced with whisker plot as recommended:



309

310 *Fig. 5 Compositions of major organic classes at 07:00 LT (a), 14:00 LT (b) and during*
311 *the whole sampling period (c) at the four sites, and the box plot for the composition of*
312 *isoprene at 07:00 LT and 14:00 LT for each site, with the whiskers range in 5-95%iles,*
313 *and the box shows the 25-75%iles, the solid dots represents the arithmetic average, the*
314 *line in the box shows the median (d)*

315 **Comment 33:**

316 **Fig.6,** Be consistent - this should be VOC according to caption and main text.

317 Please add a sentence in the main text stating that the VOCs you measure are all
318 hydrocarbons, so that VOC and NMHC are synonymous in this paper (if, indeed, that is
319 the case).

320 **Response:**

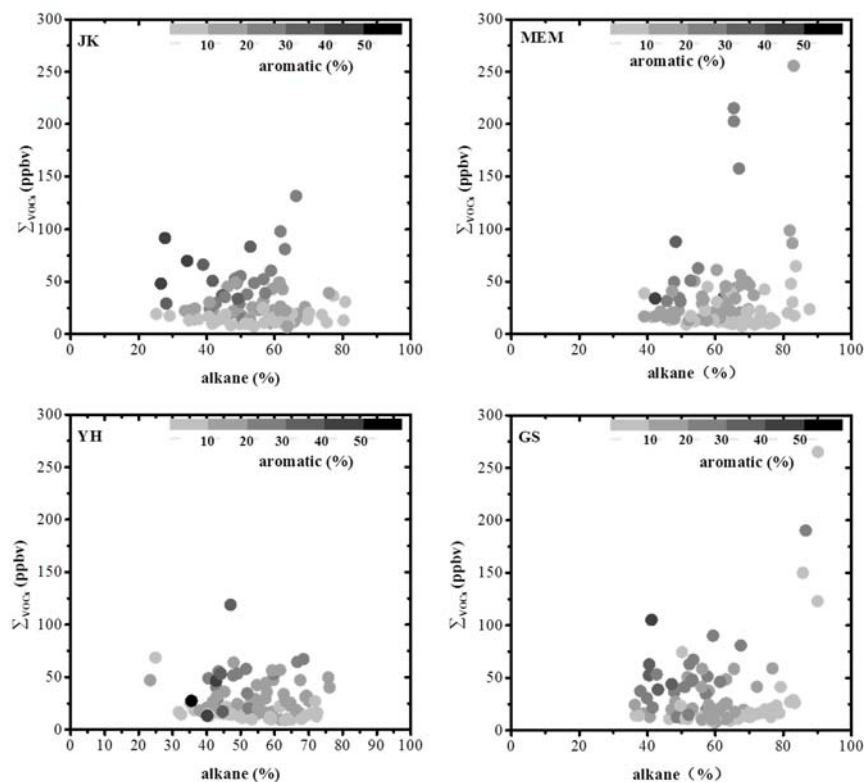
321 Thanks for reminder. The legend in Fig.6 has been corrected as “ $\Sigma_{\text{VOCs-07}}$ and
322 $\Sigma_{\text{VOCs-14}}$ ”.

323 **Comment 34:**

324 **Fig.7,** Please re-draw with a monotonic color scale such as grayscale. It is highly
325 unintuitive to have deep blue larger than deep red and at the other end of the scale to
326 light blue.

327 **Response:**

328 The figure has been re-drawn as suggested.



329

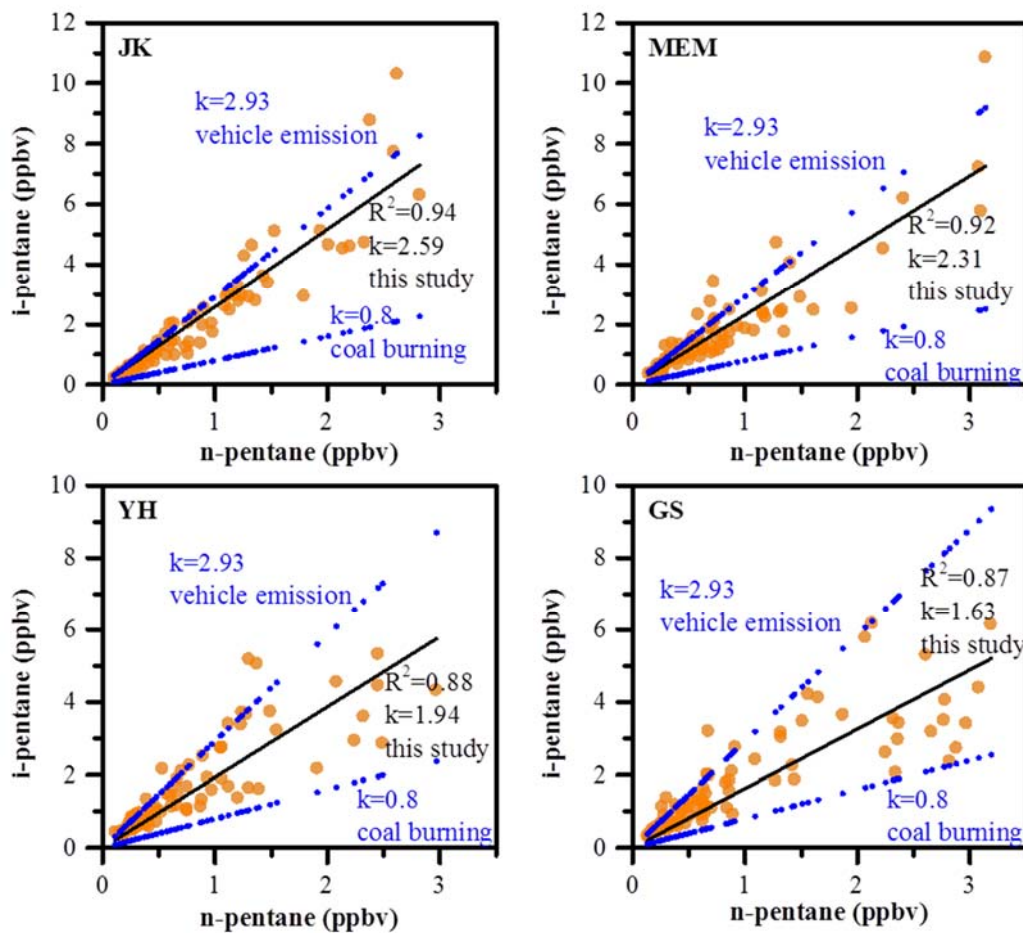
330 Fig. 7 The relationship between mixing ratio of Σ VOCs and the composition of alkane,
331 the data points are color coded with the composition of aromatic.

332 **Comment 35:**

333 **Fig.12**, K sometimes lowercase sometimes uppercase. Please regularize.

334 **Response:**

335 The errors have been corrected.



336

337 *Fig. 12 Ratios of isopentane to n-pentane at every site*

338 **Comment 36:**

339 **Fig.15**, Inadequate caption. Please re-write explaining how to read the panels and what
340 PMF stands for.

341 **Response:**

342 The title has been rewritten as:

343 *“Factor profiles of major emission sources, namely vehicle emission, coal+biomass*
344 *burning, solvent usage, oil gas evaporation, petrochemical and biogenic source*
345 *resolved by PMF model.”*

346 **Comment 37:**

347 **Fig.19**, How can composition be based on consumption? This caption is inadequate.

348 You need to help the reader by explaining which sites are inner city and which are outer
349 area. You need to explain how the VOC consumption metric was calculated.

350 **Response:**

351 As the relevant statement in the discussion has been deleted, the Fig.19 has been
352 deleted as well.

353

354

355 **Change list**

356 **Abstract**

357 **L18-19** “Canister samples were collected for measurement of fifty-seven VOCs, which,
358 along with reactive nitrogen oxides (NO_x), are the most important O₃ precursors.”

359 **L20-22** “The results indicated that the average mixing ratio of total quantified VOCs
360 ($\Sigma\text{VOCs} = 28.8 \pm 22.1$ ppbv) in Zhengzhou was lower than that in the other Chinese
361 megacities, while alkyne was a higher proportion of ΣVOCs .”

362 **L25-26** “The molar ratio of VOCs to NO_x indicated that , in general, O₃ formation was
363 more sensitive to VOCs than NO_x formation in Zhengzhou.”

364

365 **1. Introduction**

366 **L35-37** “Vehicle exhausts, fuel combustion and evaporation, and solvent usage are the
367 known major anthropogenic sources of VOCs (Fujita et al., 1994; US EPA, 2000; Fujita,
368 2001; Borbon et al., 2002).”

369 **L37-38** “VOCs play a crucial role in the ground-level ozone (O₃) pollution
370 (Haagen-Smit, 1952; Choek and Heuss, 1987).”

371 **L50** “Photochemical Assessment Monitoring Stations (PAMS) (US EPA, 1990; Oliver
372 et al., 1996)”

373 **L54-55** “Consequently, researchers have deduced that reductions of alkenes and
374 aromatics are suitable targets for O₃ control (Wang et al., 2018).”

375 **L78** “Air Quality Guideline (Chinese Ministry of Environmental Protection, 2012).”

376 **L79** “Particularly O₃ was the major pollutant in summer and over 50% of the days in
377 2015,”

378

379 **2. Observation and Methodology**

380 **2.1 Sampling site**

381 **L99-102** “One of the power plants with the highest production was 1.6 km northwest of
382 MEM, and MEM was surrounded by a main road with four traffic lanes, the distance
383 between the nearest traffic light and the sampling site was just 200 m.”

384 **L105-106** “Ten dry days with no rainfall record were chosen in every month during the
385 period of May - September, 2017 consequently,”

386 **L110-111** “A total of 400 samples were collected in this study.”

387 **L114-115** “the message of relevant equipment are listed in Table S1.”

388

389 **2.3 Positive matrix factorization (PMF)**

390 **L147** “setting the minimum correlation coefficient r^2 at 0.6,”

391

392 **2.5 Estimation of the initial NO_x and VOCs**

393 **L172-174** “In this study, k was set as the product of the rate constant for NO₂+•OH
394 multiplied by the observed average ratio of NO₂/NO_x during this campaign.”

395 **L175** “The photochemical age (Δt) can be estimated from the ratio between two
396 compounds,”

397 **L181-182** “The X_0/E_0 was estimated from the 5th percentile of the observed ratios at
398 07:00 in this paper.”

399

400 **3 Results and discussions**

401 **3.1 Meteorological variations and Mixing ratios**

402 **L191-192** “During the sampling period, the T varied from 15 to 38°C, RH varied from
403 38 to 100% (Fig.S1), and the dominant winds were northwestern and southeastern.”

404 **L219-220** “Except for the discriminations between the pollution sources at every site,
405 there may be some other factors (e.g. horizontal and vertical air advection) contribute to
406 it.”

407

408 **3.2 Temporal variations**

409 **L253-255** “These results were similar to those obtained for other urban areas worldwide
410 (Cheng et al., 1997; Na et al., 2001; Li and Wang, 2012).”

411 **L256-257** “The occurrence of precipitation, which is usually accompanied with better
412 air dispersion conditions, is also frequent in most areas of China during summer,”

413

414 **3.3 Spatial variations**

415 **L313-315** “which had the lowest VOCs and NO_x, indicating that there are multiple
416 factors, rather than the absolute concentrations, contributing to the formation of the
417 secondary pollutant, O₃ at YH.”

418 **L328-329** “Because photochemistry producing O₃ occurs over several hours to days, O₃
419 episodes are attributable not only to local sources but also to regional transports.”

420 **L336** “due, in part, to chemical loss of VOC as O₃ is formed.”

421

422 **3.4 VOCs/NO_x ratio**

423 **L343-345** “Generally, VOC-sensitive regimes occur when, with VOCs/NO_x ratios are
424 lower than 10 in the morning; NO_x-sensitive regimes occur when VOCs/NO_x ratios are
425 greater than 20 (Hanna et al., 1996; Sillman, 1999).”

426 **L366-368** “Without considering the advection of air parcels, this can be attributed to the
427 increased O₃ production efficiency at high VOCs /NO_x.”

428

429 **3.5 Ratios of specific compounds**

430 **L378-381** “Higher values are often reported for automobiles: in a range of 2.2 - 3.8 for
431 vehicle emissions; and 1.8 - 4.6 for fuel evaporation (McGaughey et al., 2004; Jobson et
432 al., 2004; Russo et al., 2010; Wang et al., 2013), whereas the ratios below unity was
433 found for coal combustion (0.56 - 0.80) (Yan et al., 2017).”

434 **L409-410** “indicating more rapid consumption of toluene from photochemical reactions
435 and thus resulting in lower T/B ratios at 14:00 LT, all else being equal.”

436 **L412-413** “Both chemistry and emissions offer an explanation of the lower T/B ratios
437 observed at 14:00 LT.”

438

439 **3.8 Consumption of VOCs and correlations with ozone level**

440 **L495-498** “The consumption of a VOC in the atmosphere could be presented as the
441 difference from its initial mixing ratio and the observed value following an air parcel. In
442 isolated stagnant air, the rate of change of VOC concentrations will be the sum of
443 emissions, deposition, and chemical production and loss processes.”

444

445 4. Conclusions

446 L521-522 “Median concentrations for the four sites are almost indistinguishable but,
447 based on monthly averages,”

448 L523-524 “which strongly skew the distribution of measured VOC concentrations.”

449 L528 “Photochemical processing appears to be more efficient at JK and GS,”

450 L530-531 “Our analysis of ozone formation does not take into account the important
451 effects of transport and mixing, and should be viewed in this light.”

452 L535-538 “It is further shown that the air pollution in Zhengzhou was usually impacted
453 by local emissions, with no more than 50% of 48-hour backward trajectories extended
454 out of Henan province in June, August and September, and southern air clusters
455 occasionally from Hubei Province was cleaner.”

456

457 Acknowledgements

458 L546-548 “The authors would like to thank for valuable suggestions, corrections, and
459 discussions from both anonymous referees and editor, Prof. Rob MacKenzie. Their
460 comments are particularly important and greatly contributed to improve this work.”

461

462 Table & Figure

463 Table1. Mean concentrations of ΣVOCs (ppbv) and correspondent standard deviations (SD) at every
464 site during the sampling period

	JK		MEM		GS		YH	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
May.2017	37.6	22.6	29.3	15.3	31.7	18.7	30.1	16.4
June.2017	34.0	19.9	30.3	12.8	39.3	25.4	28.3	11.9
July.2017	16.0	6.1	20.7	12.7	19.6	13.9	15.9	7.5
Aug.2017	21.5	15.3	24.4	20.8	20.5	15.7	26.1	17.0
Sept.2017	26.2	16.2	34.2	23.8	30.4	19.8	32.6	19.8

465

466 Table2. Wind speed (m s^{-1}) measured about 10m above ground level at every site during the sampling
467 period

	JK	MEM	YH	GS
May	1.34±0.65	1.86±1.19	1.27±0.66	0.97±0.49

June	1.07±0.48	1.86±0.94	0.97±0.36	0.74±0.33
July	1.48±0.59	2.62±1.19	1.15±0.45	0.90±0.32
August	1.06±0.48	1.86±0.94	0.95±0.39	0.76±0.35
September	0.80±0.38	1.24±0.80	0.82±0.43	0.62±0.38

468

469 Table3. Concentration levels of VOCs and compositions of major groups in Zhengzhou and other

470

cities in China

	Guangzhou	Nanjing	Beijing	Hangzhou	Wuhan	Zhengzhou
Items	March-December, 2005	2011-2012	August, 2006	July-August, 2013	2013- 2014	May-September, 2017
Sampling site	residents-commercial -transportation mixed area	transportation- industry mixed area	residents- commercial mixed area	residents- transportation mixed area	urban	urban
Quantified compounds	59 NMHC	56 NMHC	47 NMHC	56 NMHC	99 VOCs	56 NMHC
Total samples	145	–	24	–	–	400
TNMHC (ppbv)	47.3	43.5	65.6±17.4	55.9	23.3±0.5	29.2±23.1
Compositions of major groups (%)	<i>alkane</i>	49.0	45.0	52.3	33.2	56.7±12.4
	<i>alkene</i>	16	25.3	21.2	25.9	16.2±7.6
	<i>aromatic</i>	23	22.3	18.1	24.3	14.1±8.4
	<i>alkyne</i>	12	7.3	8.4	16.6	12.9±6.7
Reference	(Li and Wang, 2012)	(An et al., 2014)	(Guo et al., 2012)	(Li et al., 2017b)	(Lyu et al., 2016)	this study

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Table4. Specific information on VOCs, O₃ and NO at the four sites in June, 2017

Composition or conc.	JK	MEM	YH	GS
Aromatic (%)	9.06	11.6	4.72	15.8
Alkene (%)	6.36	4.13	5.52	5.47
Σ _{VOCs} (ppbv)	34.0	30.3	28.3	39.3
O ₃ (ppbv)	74.9	73.5	73.8	88.0
NO(ppbv)	7.10	7.72	2.34	4.47

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478

Table5. Top 10 VOCs ranked according to calculated ozone formation potential (OFP) and their

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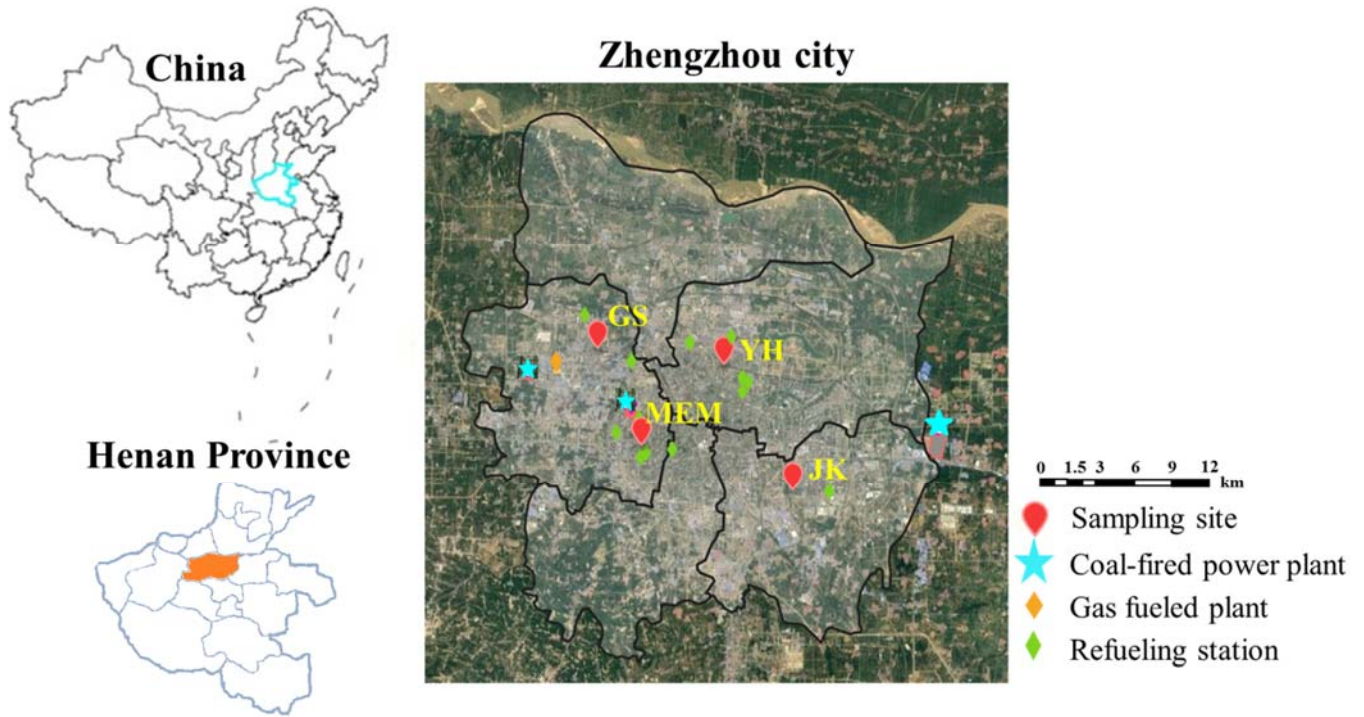
corresponding percentage weighted in mixing ratio

Site	Species	OFP (ppbv)	Weighted in OFP (%)	Weighted in mixing ratio (%)	Site	Species	OFP (ppbv)	Weighted in OFP (%)	Weighted in mixing ratio (%)
JK	Ethylene	19.0	25.5	8.22	MEM	Ethylene	18.4	30.9	7.92
	Isoprene	13.0	21.8	7.31		Isoprene	4.66	10.1	2.36
	m/p-Xylene	6.08	5.89	2.67		Toluene	3.73	6.67	3.99
	Toluene	5.53	5.83	4.22		Propylene	3.60	6.16	1.25
	Propylene	4.03	5.36	1.29		Acetylene	2.82	5.00	12.2
	Acetylene	2.97	4.44	13.5		m/p-Xylene	2.55	4.20	1.40
	n-Butane	2.15	3.05	7.28		n-Butane	1.81	3.20	5.97
	o-Xylene	1.83	2.00	0.88		Isopentane	1.76	3.16	7.39
	Isopentane	1.66	1.95	6.50		Ethane	1.58	2.96	23.4
	Propane	1.17	1.73	9.77		Propane	1.31	2.48	10.6
YH	Ethylene	19.8	28.1	8.88	GS	Ethylene	18.1	26.90	7.51
	Isoprene	7.44	11.3	3.67		Isoprene	8.01	16.8	4.64
	Toluene	6.63	7.75	5.72		Toluene	7.43	7.67	5.49
	m/p-Xylene	3.93	4.38	1.58		Propylene	4.39	5.85	1.26
	Acetylene	3.15	4.38	13.9		m/p-Xylene	4.31	4.57	1.75
	Propylene	3.01	3.60	0.91		Acetylene	2.76	4.24	12.1
	Trans-2-pentene	2.25	2.94	3.43		n-Butane	1.82	2.93	6.39
	n-Butane	1.84	2.80	6.31		Isopentane	1.71	2.68	6.94
	Isopentane	1.59	2.22	6.69		Propane	1.38	2.26	11.6
	Propane	1.18	1.98	10.2		Isobutane	1.13	1.98	4.59

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^a *m*-Xylene and *p*-Xylene are co-eluted in the chromatographic separation.

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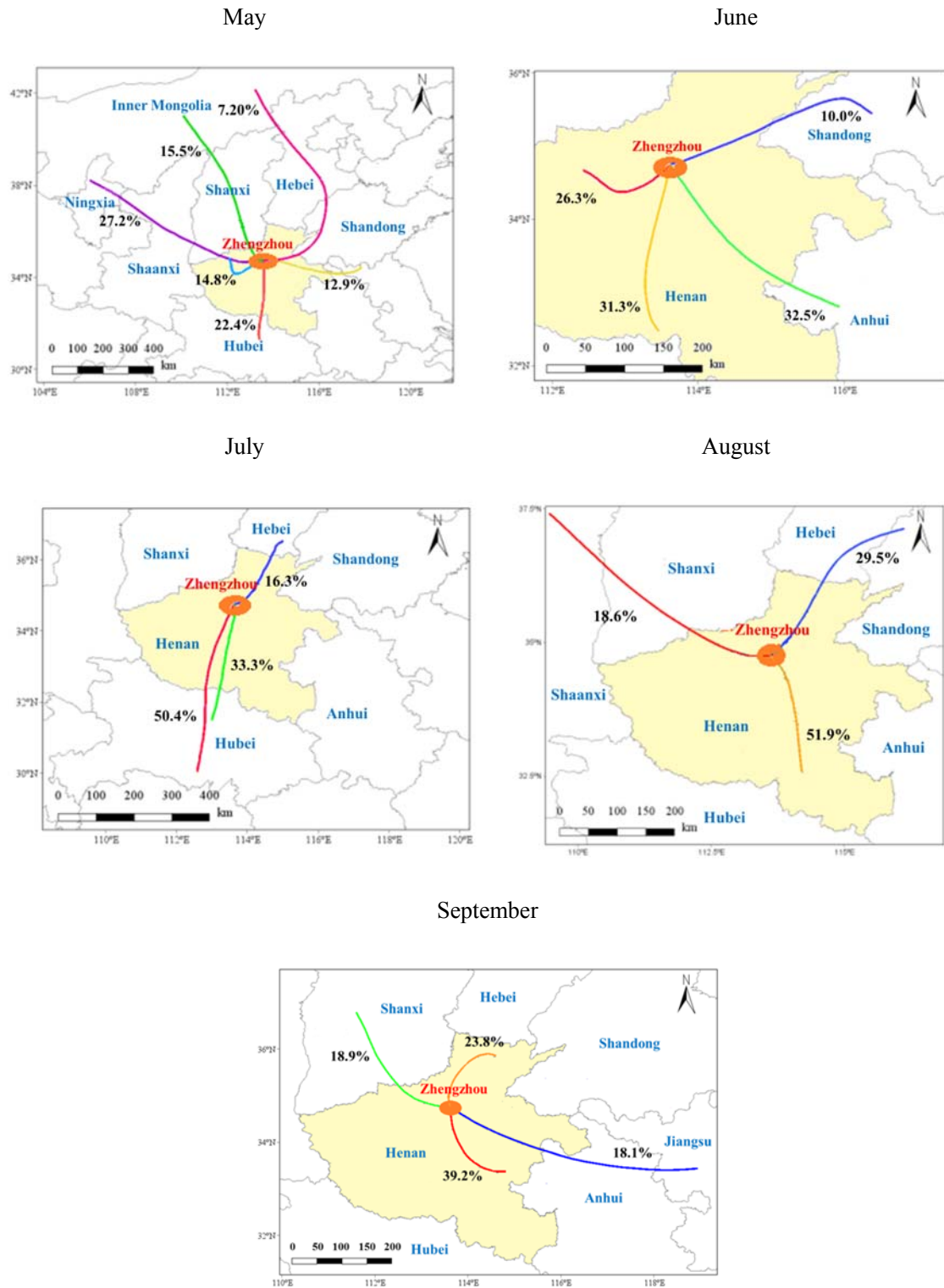


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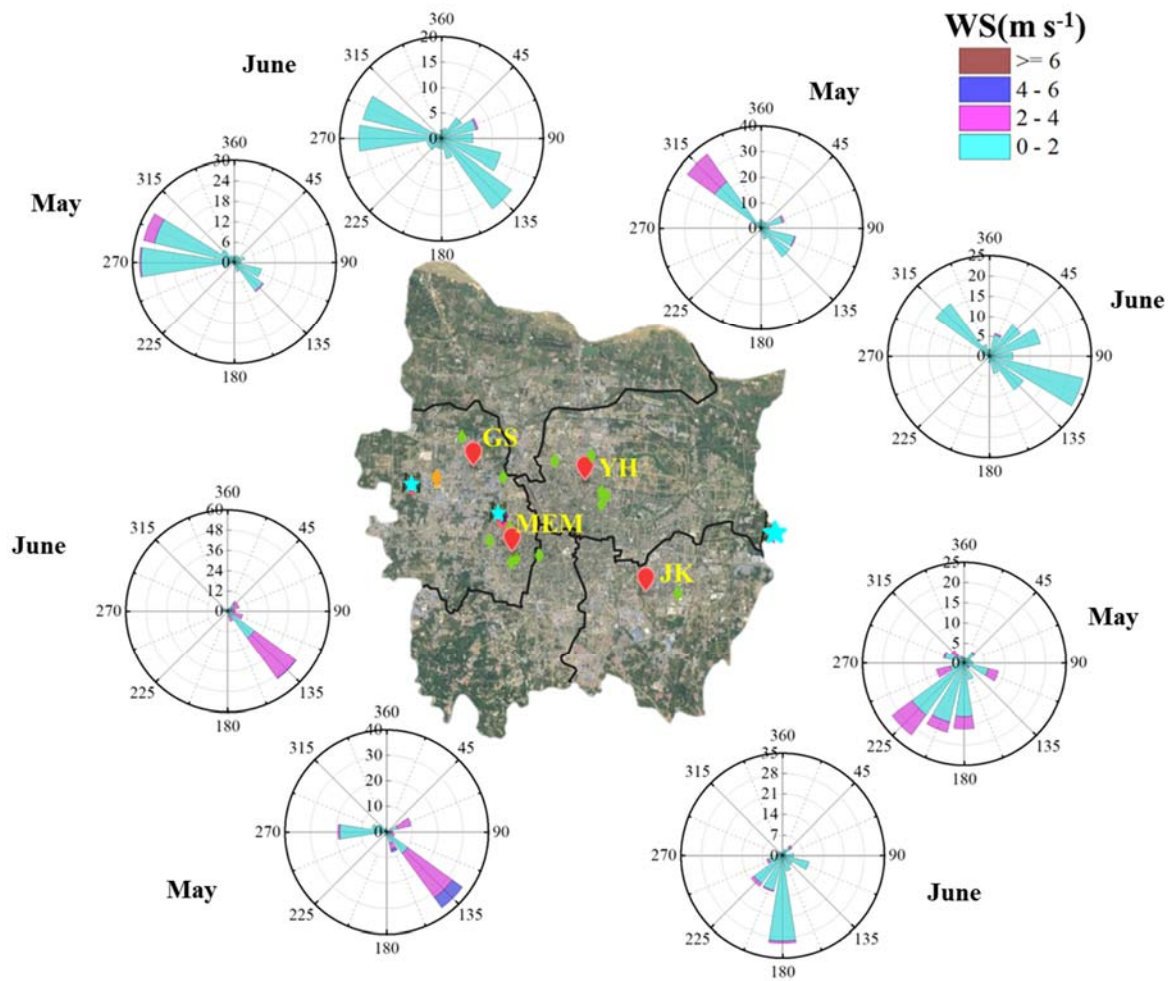
484 Fig 1. Satellite imagery showing the four sampling sites and surrounding areas of Zhengzhou, China,

485 including major emission sources presented with different marks

486



487 Fig. 3 Cluster analysis of 48-hour backward trajectories for Zhengzhou in each sampling month, with
 488 the start height at 500m altitude and running interval set as 2- hour for each day, percentage of each
 489 cluster and covered areas are presented as well.



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491

Fig.4 Wind rose plot showing wind sector frequency (%) of occurrence and associated wind speed

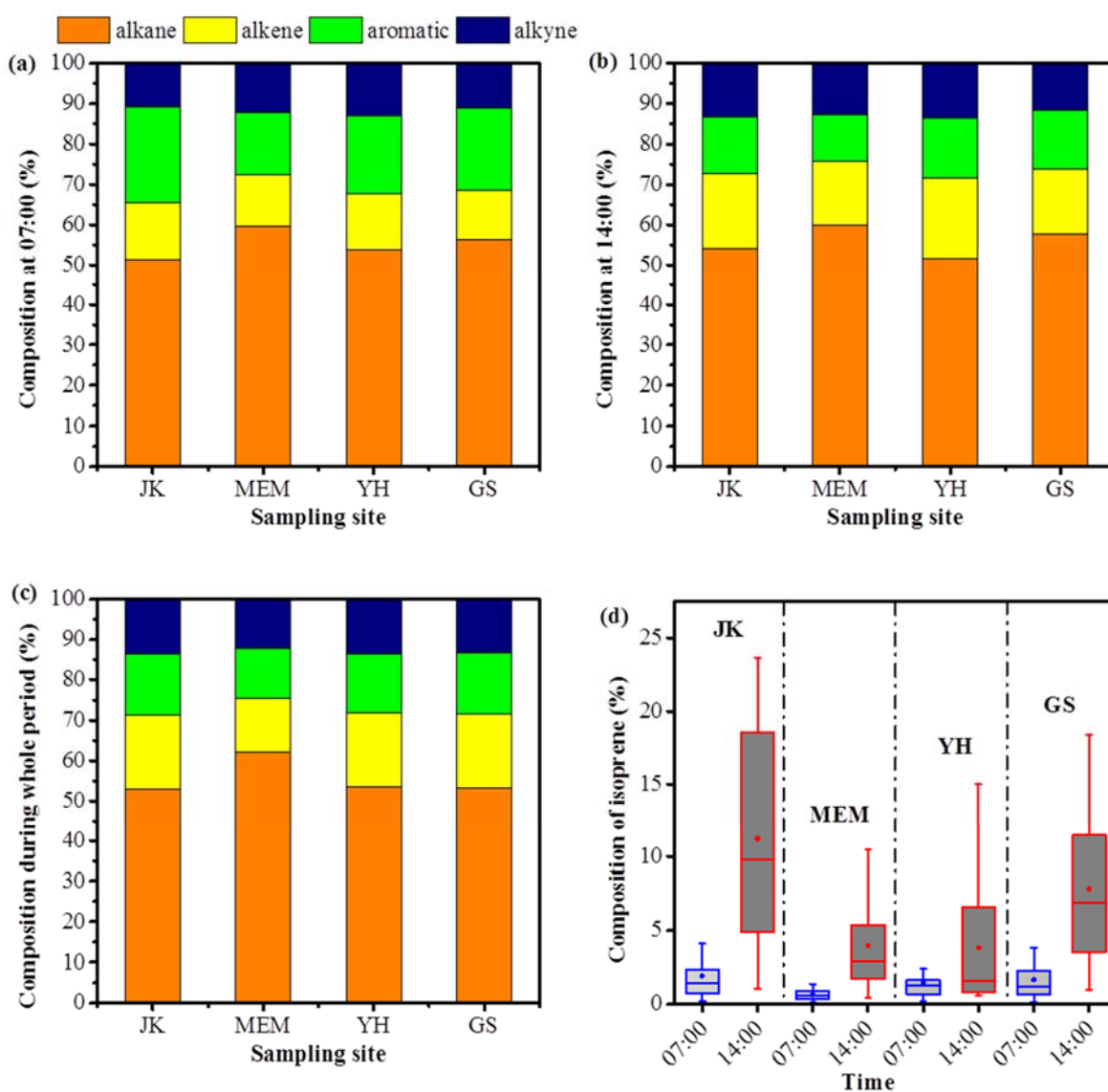
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($m s^{-1}$) at each site in May and June (the wind distribution in other three months were illustrated in

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Fig S2), which were recorded by the anemometers placed at the same site with other air monitors.

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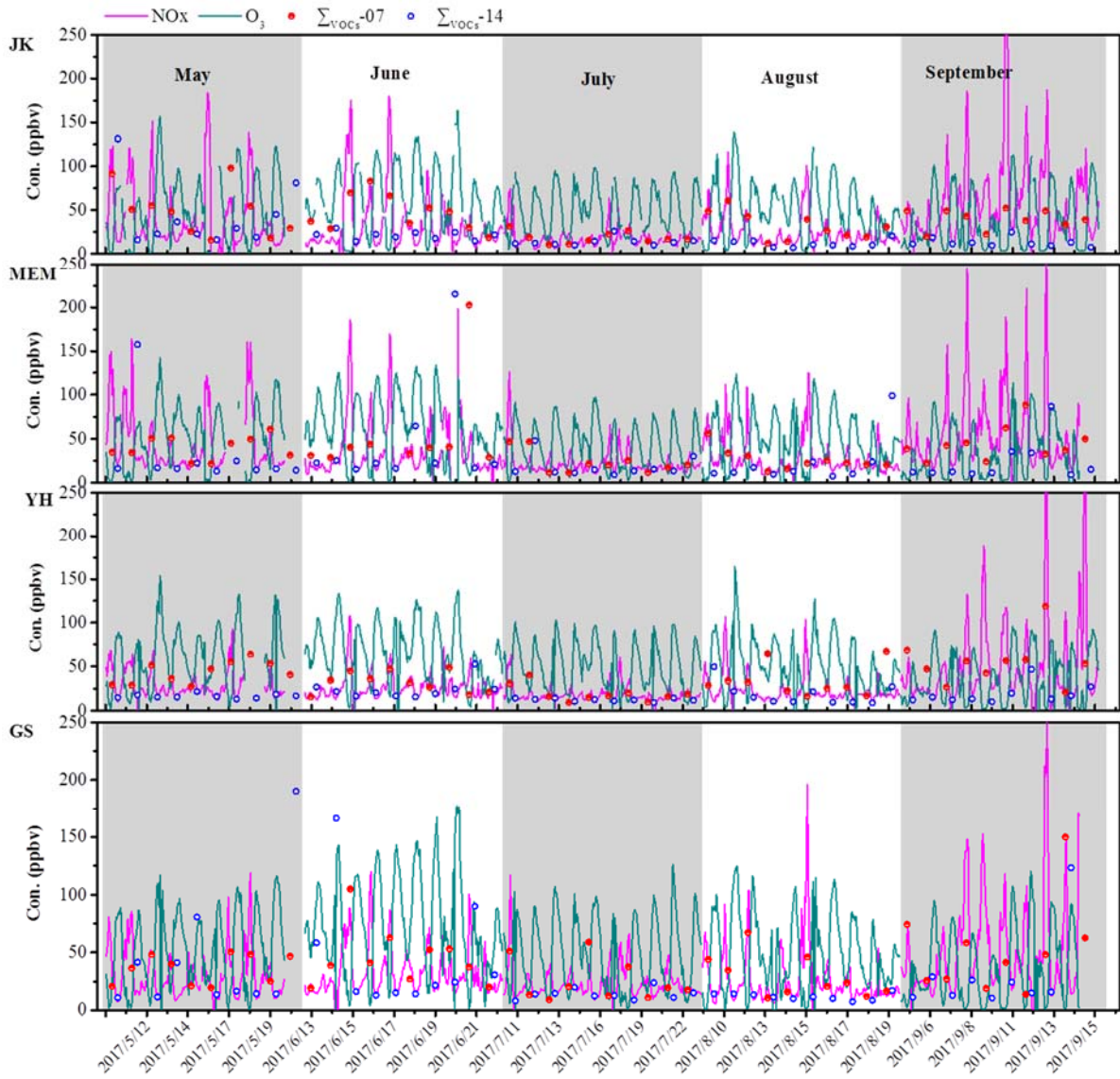
497 Fig. 5 Compositions of major organic classes at 07:00 LT (a), 14:00 LT (b) and during the whole

498 sampling period (c) at the four sites, and the box plot for the composition of isoprene at 07:00 LT and

499 14:00 LT for each site, with the whiskers range in 5-95%iles, and the box shows the 25-75%iles, the

500 solid dots represents the arithmetic average, the line in the box shows the median (d).

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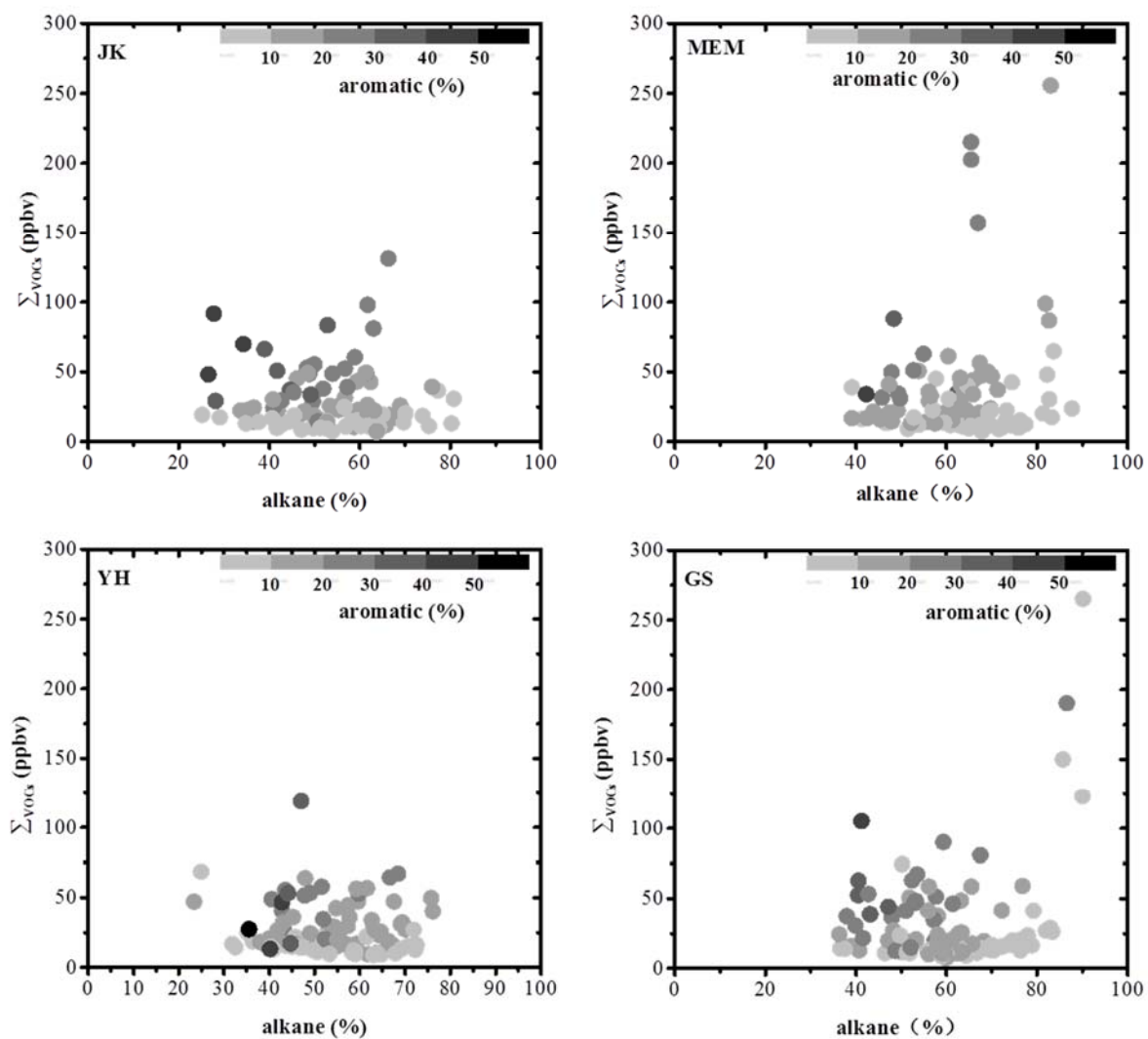
504 Fig.6 Temporal variations of mixing ratios of Σ VOCs, NO_x and O₃ at the four sites during the whole

505 sampling period, in which Σ VOCs-07 stands for the concentration level of Σ VOCs observed at

506 07:00 LT, and Σ VOCs-14 was that observed at 14:00 LT.

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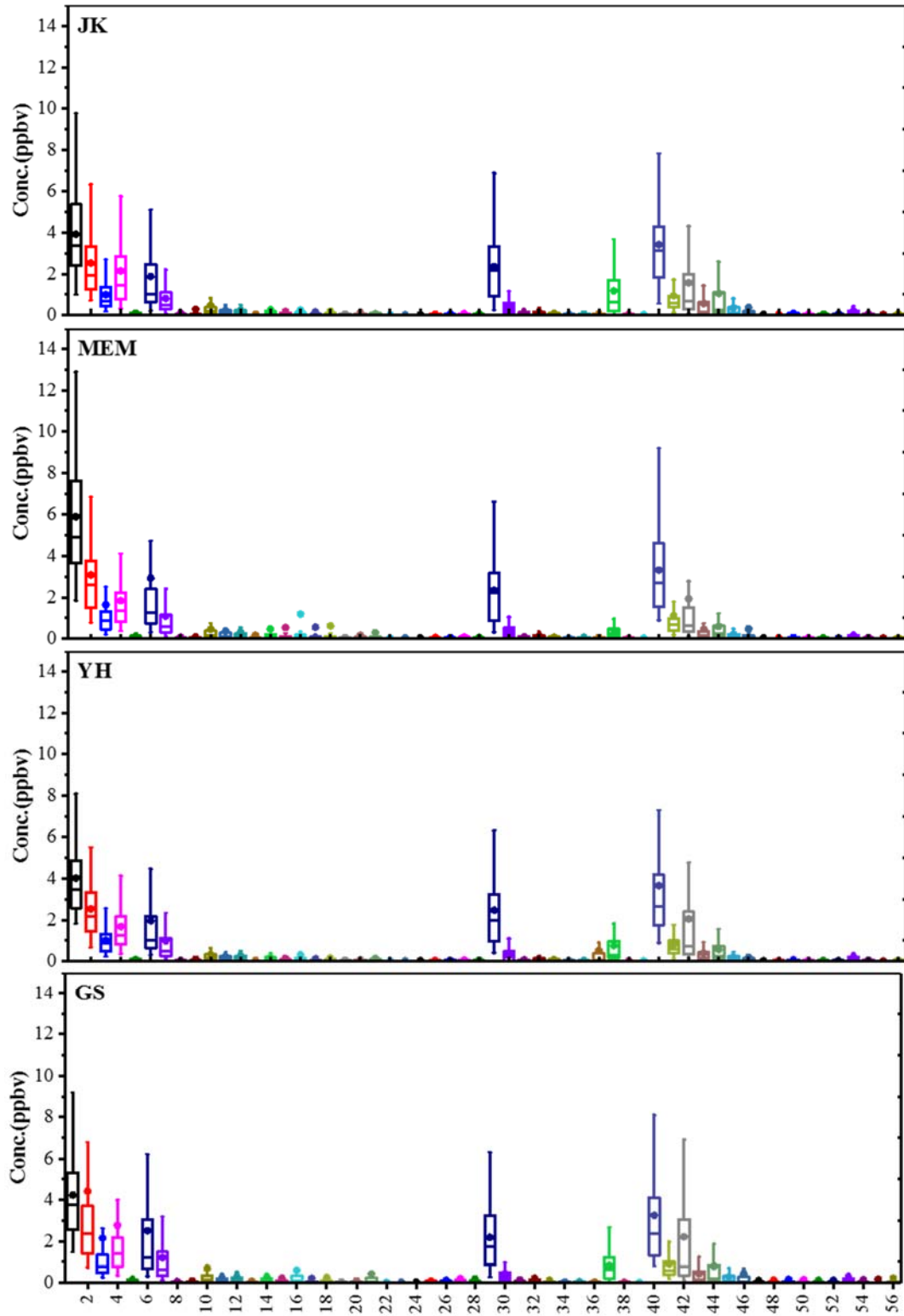


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511 Fig. 7 The relationship between mixing ratio of ΣVOCs and the composition of alkane, the data

512 points are color coded with the composition of aromatic.

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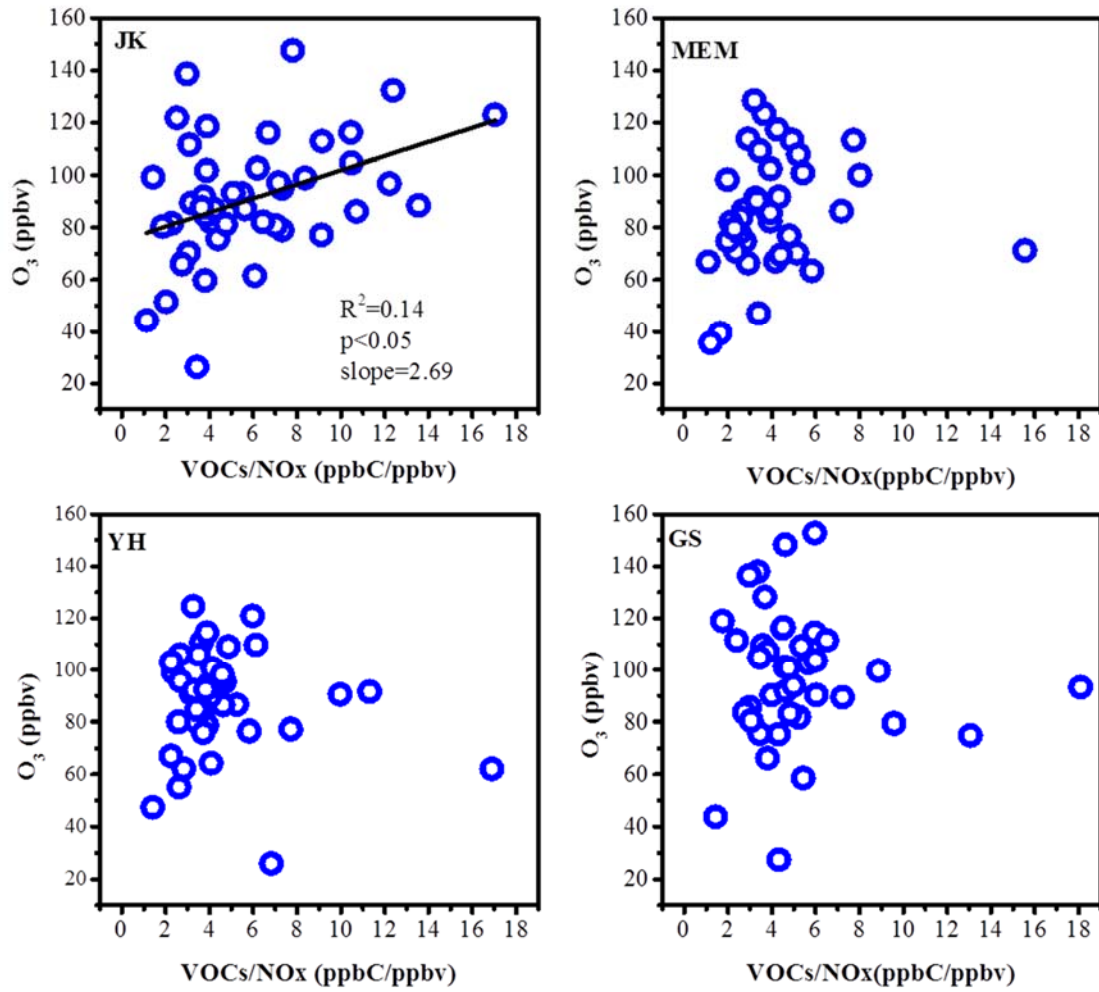
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515 Fig.8 Concentrations of 57 VOCs at each site for the whole sampling period, the whiskers show the

516 5-95%iles, and the box shows the 25-75%iles, the solid points shows the arithmetic average, the line

517 in the box shows the median. The chemicals are listed in Table S1.

518



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520 Fig.11 The relationship between O₃ and VOCs/NO_x at 14:00 LT for each of the four sampling sites.

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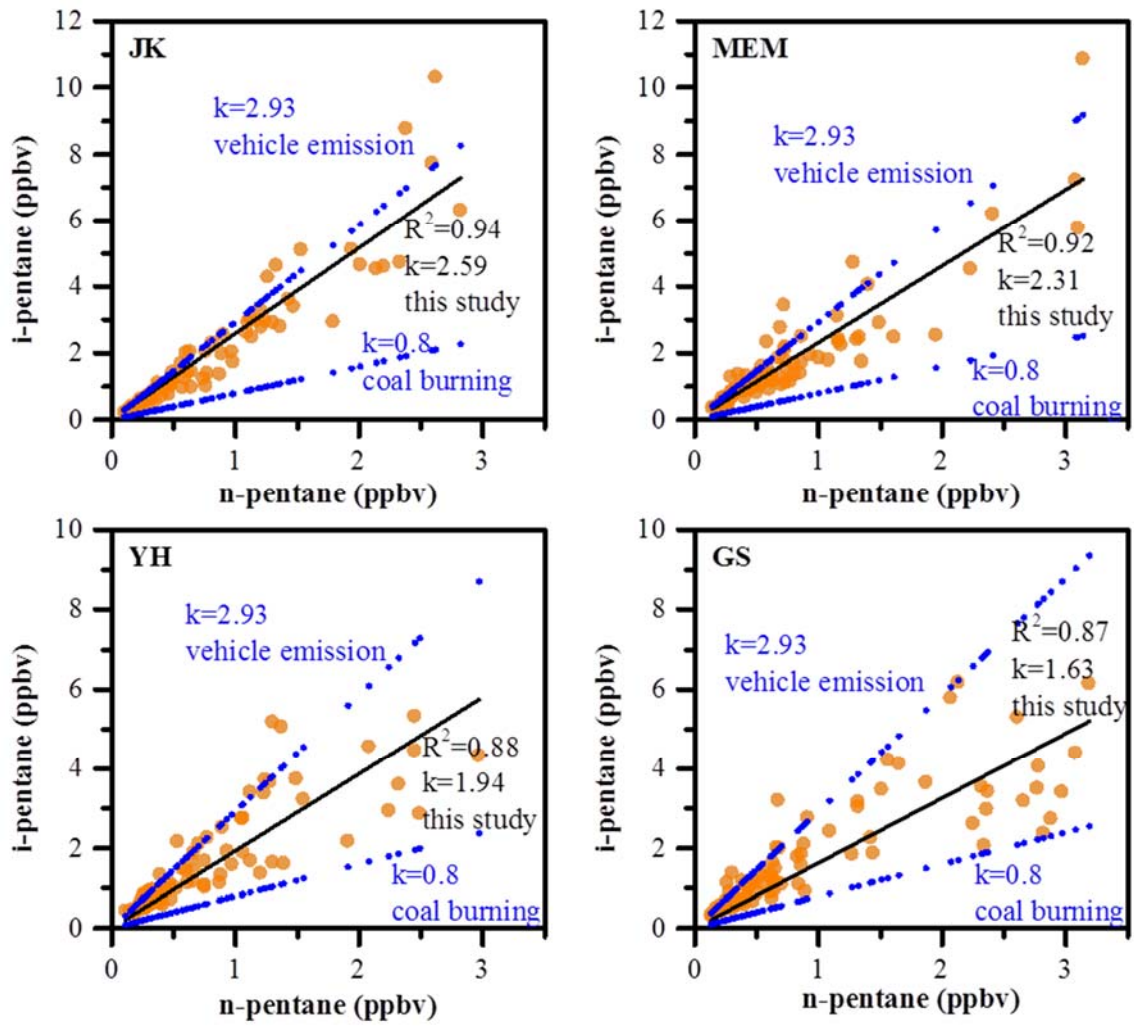
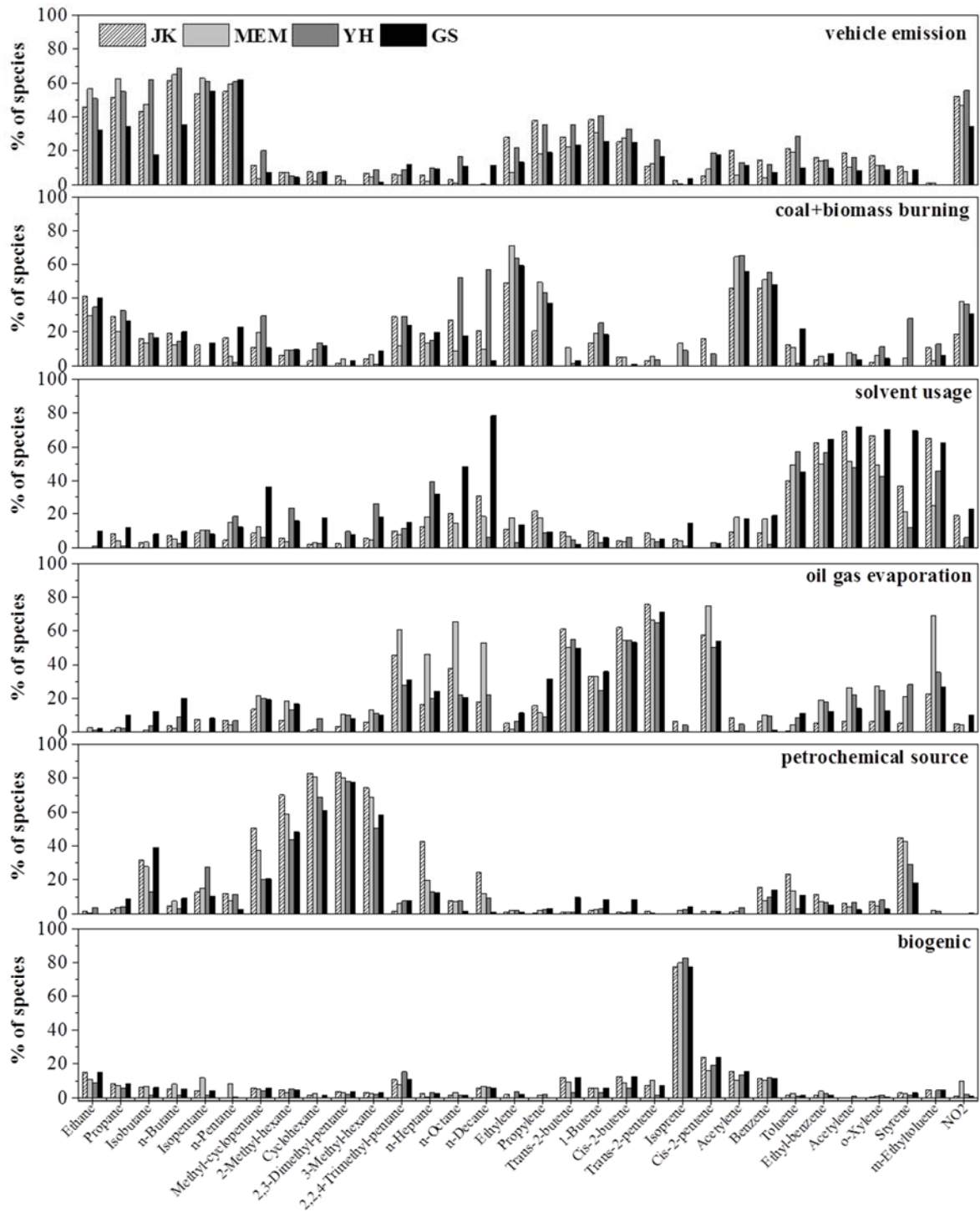


Fig. 12 Ratios of isopentane to n-pentane at every site

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 526
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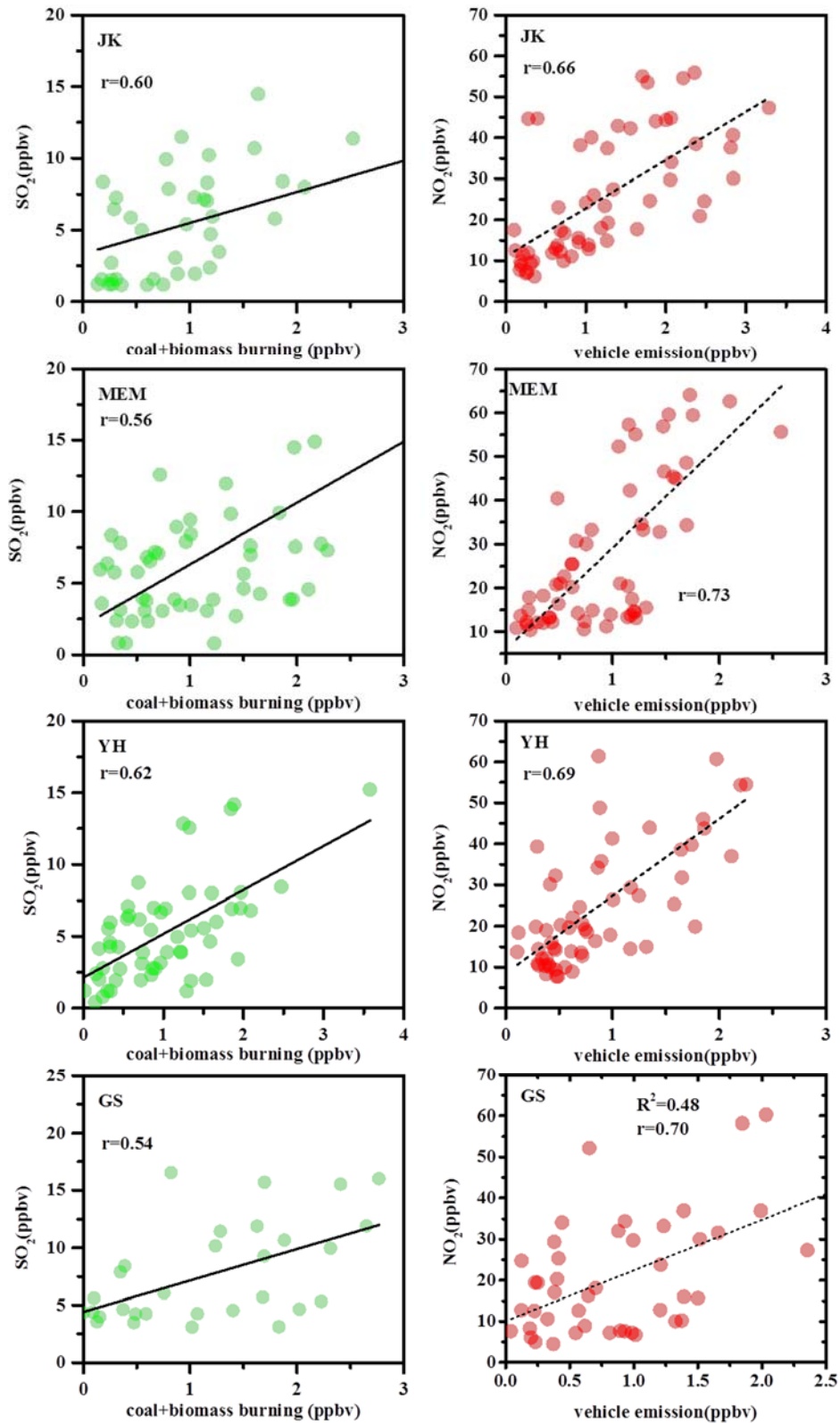


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529 Fig. 15 Factor profiles of major emission sources, namely vehicle emission, coal+biomass
 530 burning, solvent usage, oil gas evaporation, petrochemical and biogenic source resolved by PMF
 531 model.

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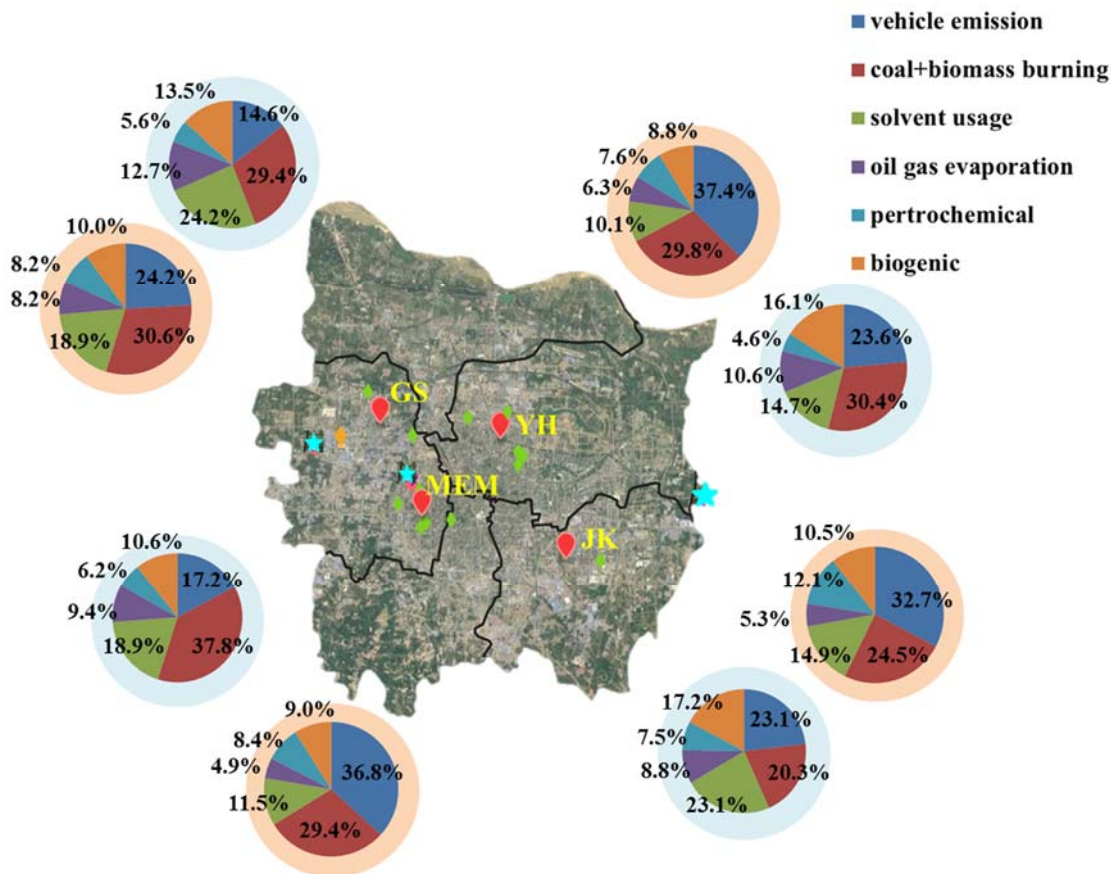
534

535 Fig. 16 Correlation analysis relating source-apportioned VOC contributions of coal+biomass burning

536 (left column) and vehicle emission (right column) with co-located measurements of SO₂ and NO₂ for

537 each site (rows).

538



539

540 Fig. 17 Source apportionment results during the whole sampling period. The results weighted in
 541 observed concentrations were shadowed with pink color, and the results estimated based on OFP
 542 were shadowed with light blue color.

543

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585

586 **Supporting information**

587 Table S1 Detailed information of monitoring equipment for SO₂, CO, NO_x, O₃ and meteorological

588 factors

<i>Targets</i>	<i>Equipment</i>	<i>Model</i>
<i>SO₂</i>	<i>Pulsed Fluorescence SO₂ Analyzer</i>	<i>Model 43i, Thermo, Inc.</i>
<i>CO</i>	<i>Gas Filter Correlation CO Analyzer</i>	<i>Model 48i, Thermo, Inc.</i>
<i>NO-NO₂-NO_x</i>	<i>Chemiluminescence NO-NO₂-NO_x Analyzer</i>	<i>Model 42i, Thermo, Inc.</i>
<i>O₃</i>	<i>ultra-violet (UV) photometric O₃ analyzer</i>	<i>Model 49i, Thermo, Inc.</i>
<i>Meteorological data</i>	<i>multi-parameter automatic weather station</i>	<i>Milos 520, Vaisala, Inc.</i>

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592 **Marked manuscript**

593 **Characterization of VOCs and their related atmospheric processes in a central**
594 **China city during severe ozone pollution periods**

595 Bowei Li¹, Steven Sai Hang Ho^{2,3*}, Sunling Gong^{1,4*}, Jingwei Ni¹, Huairui Li¹, Liyan

596 Han¹, Yi Yang¹, Yijin Qi¹, Dongxu Zhao¹

597 ¹ *Langfang Academy of Eco Industrialization for Wisdom Environment, Langfang*
598 *065000, China*

599 ² *Division of Atmospheric Sciences, Desert Research Institute, Reno, Nevada, USA*

600 ³ *Key Lab of Aerosol Chemistry & Physics, Institute of Earth Environment, Chinese*
601 *Academy of Sciences, Xi'an 710061, China*

602 ⁴ *Center for Atmosphere Watch and Services of CMA, Chinese Academy of*
603 *Meteorological Sciences, Beijing 100081, China*

604 *Correspondence to: Steven Sai Hang Ho (stevenho@hkpsrl.org) and Sunling Gong

605 (gongsl@cma.gov.cn)

606 Revision on: December 08, 2018

607 **Abstract**

608 A five-month campaign (from May to September 2017) was conducted to
609 characterize volatile organic compounds (VOCs) for the first time at four sites in
610 Zhengzhou City, Henan Province, China, where [ground level](#) ozone (O₃) concentration
611 shown an increasing trend in recent years. Canister samples were collected for
612 measurement of fifty-seven VOCs, which, [along with reactive nitrogen oxides \(NO_x\)](#),
613 are the most important O₃ precursors. During the same period, O₃ and its precursor gases
614 were monitored online simultaneously. The results indicated that the average mixing
615 ratio of total quantified VOCs ($\Sigma_{\text{VOCs}} = 28.8 \pm 22.1$ ppbv) in Zhengzhou was lower than
616 that in the other Chinese megacities, while alkyne was a higher proportion of Σ_{VOCs} . The
617 abundances, compositions and ratios of typical VOCs showed clear spatial and temporal
618 variations. [Cluster analysis indicates](#) that air masses from south of Zhengzhou were
619 cleaner than from other directions. The molar ratio of VOCs to NO_x indicated that , [in](#)
620 [general, O₃ formation was more sensitive to](#) VOCs than NO_x formation in Zhengzhou.
621 The source apportionment was conducted with Positive Matrix Factorization (PMF), and
622 it was found that vehicle exhaust, coal and biomass burning, and solvent usage were the
623 major sources for ambient VOCs at all four sites. From Potential Source Contribution
624 Function (PSCF) analysis, the strong emissions from coal+biomass burning and solvent
625 usage were concentrated in southwest of Shanxi and Henan province. The results of this
626 study gather scientific evidences on the pollution sources for Zhengzhou city, benefiting
627 the Government to establish efficient environmental control measures particularly for O₃
628 pollution.

629

630 **1. Introduction**

631 Volatile organic compounds (VOCs) are diverse and reactive chemicals. Vehicle
632 exhausts, fuel combustion and evaporation, and solvent usage are the known major
633 anthropogenic sources of VOCs ([Fujita et al., 1994](#); [US EPA, 2000](#); [Fujita, 2001](#); [Borbon](#)
634 [et al., 2002](#)) . VOCs play a crucial role in the ground-level ozone (O₃) pollution
635 ([Haagen-Smit, 1952](#); [Choek and Heuss, 1987](#)), which has troubled many rapid
636 economy-growth urban cities ([Wang et al., 2017b](#); [Nagashima et al., 2017](#)). Many

637 related studies are thus being conducted globally (Wei et al., 2014; Malley et al., 2015;
638 Ou et al., 2015). In China, the investigations on VOCs including source apportionment,
639 measurement of emission profiles and interpretation of seasonal variations were mainly
640 concentrated in Yangtze River Delta (YRD), Pearl River Delta (PRD) and
641 Beijing-Tianjin (BJT) regions (An et al., 2014; Wang et al., 2014; Chen et al., 2014; Liu
642 et al., 2016; Guo et al., 2017). Limited studies have been conducted in less developed or
643 developing regions (i.e., southwestern and northwestern China) where prominently
644 impacted by biomass burning and with high abundances of toxic and reactive
645 compounds (Li et al., 2014; Li et al., 2017a).

646 Fifty-seven VOCs, including C₂ - C₁₀ alkanes, alkenes, alkynes and aromatics,
647 which greatly contribute to ambient O₃ formation, have been identified and are regularly
648 monitored by Photochemical Assessment Monitoring Stations (PAMS) (US EPA, 1990;
649 Oliver et al., 1996). Due to characteristic structure and reactivity of these compounds,
650 their contributions in O₃ production varies (Carter, 1994); it has been reported that
651 aromatics and alkenes were responsible for most of the weighted reactivity of VOCs
652 (59.4% and 25.8%, respectively) in Pearl River Delta (PRD) region in China (Ou et al.,
653 2015). Consequently, researchers have deduced that reductions of alkenes and aromatics
654 are suitable targets for O₃ control (Wang et al., 2018). In addition, with the variations on
655 energy structure, industrial construction and meteorological conditions (Shao et al., 2011;
656 Wang et al., 2015), major emission sources of VOCs at each city are unique. In less
657 developed cities of Heilongjiang and Anhui, biomass combustion had the highest
658 contribution (40% and 36%, respectively) to the O₃ formation potentials due to high
659 quantity of agricultural activities, while in the developed cities such as Shanghai, Beijing
660 and Zhejiang, solvent usage has become a more important source (Wu and Xie, 2017).
661 Therefore, identification on district emission sources of VOCs is necessary to provide
662 scientific-based information for policy-makers who establish efficient strategies to
663 alleviate O₃ pollution.

664 In addition to the factors discussed above, non-linear relationships between
665 ambient VOCs, nitrogen oxide (NO_x) and O₃ production such that decreasing
666 tropospheric O₃ is more complex than expected (Lin et al., 1998; Hidy and Blanchard,

667 2015; Li et al., 2018). Many modeling and field studies showed that photochemical O₃
668 production in several cities in China such as Guangzhou, Shanghai and Beijing with
669 high levels of NO_x were highly sensitive to VOCs (Shao et al., 2009; Ou et al., 2016;
670 Gao et al., 2017). The sensitivity regime is always varied with time and geographical
671 locations (Luecken et al., 2018). The percentage of VOC-limited regime in North China
672 Plain (NCP) increased from 4% to 6% between 2005-2013, owing to the rapid increases
673 of NO_x emissions (Jin and Holloway, 2015).

674 Zhengzhou City is an important developing city in the mid-west of the
675 Huanghe-Huaihe river flood plain in China. As the capital city of Henan Province, it is
676 densely populated with more than seven million residents in 2010 (Geng et al., 2013).
677 With the rapid growth of industrial activities, as well as increased vehicle emissions and
678 fuel [combustion](#), air quality in Zhengzhou has deteriorated. The Air quality index (AQI)
679 for 65% of the days in 2013 exceeded the allowable limits of 100 established by the Air
680 Quality Guideline ([Chinese Ministry of Environmental Protection, 2012](#)). Particularly
681 O₃ was the major pollutant in summer and over 50% of the days in [2015](#), the mixing
682 ratio of O₃ exceeded the Grade I standard (100 μg m⁻³) of daily maximum average
683 8-hour (DMA8) in Henan ([Shen et al., 2017](#); [Gong et al., 2017](#); [Liu et al., 2018](#)). As one
684 of the major precursors of O₃, the study on VOCs is of significance for Zhengzhou,
685 since no related researches are published in peer-reviewed literature. In this work, a
686 comprehensive sampling campaign for VOCs measurement and characterization has
687 been conducted at four monitoring stations during the time period of May - September
688 2017. The spatial and temporal variations in VOCs in Zhengzhou were determined. The
689 contributions of major emission sources were quantified, and the relationship among
690 O₃-VOCs-NO_x was discussed in details. The results and implications from this study can
691 provide useful guidance for policy-makers to alleviate ozone pollution in Zhengzhou,
692 China.

693 **2. Observation and Methodology**

694 **2.1 Sampling site**

695 Based on the density of population distribution, locations of industrial facilities, and
696 the prevailing winds, four sites have been selected for sample collection: Jingkai

697 community (JK; 113.73°E, 34.72°N), municipal environmental monitoring station
698 (MEM; 113.61°E, 34.75°N), Yinhang school (YH; 113.68°E, 34.80°N) and Gongshui
699 company (GS; 113.57°E, 34.81°N), which are located at the southeastern, southwestern,
700 northeastern and northwestern of Zhengzhou, respectively (Fig. 1). There is a main
701 airport highway and heavy-traffic ring roads approximately 500 m west of JK.
702 Furthermore, the site is at a distance of 2 km from an industrial area, which involves
703 packaging and printing plants, and material distribution factories. It is noteworthy that
704 there are three coal-fired power plants in the urban area of Zhengzhou city. One of the
705 power plants with the highest production was 1.6 km northwest of MEM, and MEM was
706 surrounded by a main road with four traffic lanes, the distance between the nearest
707 traffic light and the sampling site was just 200 m. Both the MEM and YH include a mix
708 of commercial and condensed residential areas, whereas the apartments around YH are
709 more aged. The GS site is surrounded by several manufacturing plants, including
710 pharmaceuticals, materials, foods and machineries.

711 Ten dry days with no rainfall record were chosen in every month during the period
712 of May - September, 2017 consequently, to represent a typical air quality condition in a
713 month. Grab samples were collected minute using 3.2 L stainless-steel canisters (Entech
714 Instrument, Inc., Simi Valley, CA, USA), which were pre-cleaned with high purity
715 nitrogen and pressurized to 20 psi. Two samples, one collected at 07:00 with increasing
716 of human activities and another one collected at 14:00 with well-mixed of ambient air,
717 were obtained on each sampling day. A total of 400 samples were collected in this study.
718 The chemical analysis was accomplished within two weeks after the collection of
719 samples. Real-time data for trace gases, including SO₂, CO, NO₂/NO_x and O₃, and
720 synchronous meteorological data, such as temperature (T), relative humidity (RH), wind
721 direction (WD) and wind speed (WS), were recorded at each air monitoring station, the
722 message of relevant equipment are listed in Table S1.

723 2.2 Chemical Analysis

724 In this study, the measurement of VOCs was based on Compendium Method
725 TO-15, which was established by U.S. EPA (US EPA, 1999). Air in the canister was
726 concentrated using liquid-nitrogen at -160 °C in a cryogenic pre-concentrator (7100A,

727 Entech Instrument, Inc.). Both CO₂ and H₂O were removed from the transfer line. The
728 air was then thermally desorbed at 120 °C and transferred for analysis to a gas
729 chromatography (GC, 7890A, Agilent Technologies, Santa Clara, CA, USA) coupled
730 with dual detectors, i.e. a mass spectrometric detector (MSD) and a flame ionization
731 detector (FID) (5977E, Agilent Technology). Dual columns were applied for the
732 simultaneous analysis of C₂ - C₁₁ hydrocarbons. A PLOT column (15 m, internal
733 diameter of 0.32 mm and film thickness of 3.0 μm) was connected to the FID for
734 detection of C₂ - C₅ NMHCs, whereas C₅ - C₁₀ NMHCs, oxygenated VOCs (OVOCs)
735 and halocarbons were separated using a DB-624 column (30 m×0.25 mm inner diameter
736 × 3.0 μm film thickness), which was connected to the MSD. Target compounds were
737 identified with retention time and mass spectra, and quantified with multi-point
738 calibration curve in this study. The standard gas of PAMS (1 ppm; Spectra Gases Inc, NJ,
739 USA) was used to construct the calibration curves for the 57 target VOCs, including 28
740 alkanes, 11 alkenes, acetylene and 17 aromatics. Detailed information on the target
741 analyses involved in this study and their corresponding linearity of calibration (R²),
742 measurement relative standard deviation (RSD), method detection limit (MDL),
743 maximum increment reactivity (MIR, Carter, 2010) are presented in [Table S2](#).

744 **2.3 Positive matrix factorization (PMF)**

745 The U.S. EPA PMF 5.0 software was used for source apportionment (Lau et al.,
746 2010; Abeleira et al., 2017; Xue et al., 2017). Due to the complex chemical reactions,
747 the application of PMF in VOCs has to be based on a couple of principles: eliminating
748 species with mixing ratios below MDL and excluding species with high reactivity,
749 except for the source markers([Guo et al., 2011](#); [Shao et al., 2016](#)). Finally, 31 VOC
750 species and NO₂ were chosen for the source apportionment analysis.

751 In this study, PMF was performed with fifty base runs for each site, results with the
752 minimum Q value (a parameter used to express uncertainties of PMF results) were
753 considered as optimum solutions. In [Table S3](#) the r² between observed values and
754 predicted values of selected VOCs and NO₂ are presented for the four sites, the r² for
755 most species (>80%) were higher than 0.6, compounds with r²<0.6 were down weighted
756 when determine factor sources.

757 During PMF analysis, bootstrap method was used to evaluate stability and
758 uncertainty of the base run solution, setting the minimum correlation coefficient r^2 at 0.6,
759 100 bootstrap runs were performed, and the results were showing in Table S4, and
760 acceptable results (>80%) were gained for all the factors.

761 Three to nine factors were selected to initiate the running of PMF, the Q/Q(exp) for
762 every site at fixed factor size were presented in Table S5. With the increase of factor
763 number, the ratios Q/Q(exp) were declined due to additional factors. When the factor
764 size changing from 3 to 4, 4 to 5, and 5 to 6, the decrement of Q/Q(exp) were larger
765 (~18-25%), while the change was lower than 12% after factors increased to 7, combined
766 with the field conditions, six factors were defined at each site.

767 2.4 Potential source contribution function (PSCF)

768 In this trajectory-based study, the probability of air clusters with source
769 concentration higher than a certain value was estimated (Hopke et al., 1995). Briefly, the
770 PSCF value in ij^{th} grid was the ratio of the number of endpoints with higher source
771 concentration relative to the total number of endpoints in ij^{th} grid cell. The criterion
772 value, equal to 75th percentile of the targeted source concentration in this study, was used
773 to verdict whether the value was higher or not. The 48-hour back trajectories was
774 calculated with Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT)
775 model. Because there are many grid cells with small values, which could result in high
776 uncertainty, a weighting function (W_{ij}) was introduced results (WPSCF) (Polissar et al.,
777 1999). According to average values of end points in each cell, in this case, W_{ij} was
778 presented as below.

$$W_{ij} = \begin{cases} 1.0 & n_{ij} > 30 \\ 0.7 & 10 < n_{ij} \leq 30 \\ 0.42 & 5 < n_{ij} \leq 10 \\ 0.05 & n_{ij} \leq 5 \end{cases}$$

779

780 2.5 Estimation of the initial NO_x and VOCs

781 With the assumption that chemical loss of NO_x and VOCs were mainly due to their
782 reactions with hydroxyl radical ($\bullet\text{OH}$), the initial mixing ratio of NO_x can be calculated

783 with the equation as (Shiu et al., 2007; Shao et al., 2009):

$$784 \quad [\text{NO}_x] = [\text{NO}_x]_0 \exp(-k [\bullet\text{OH}] \Delta t) \quad (1)$$

785 where k stands for the reaction rate between NO_x and $\bullet\text{OH}$. In this study, k was set as the
786 product of the rate constant for $\text{NO}_2 + \bullet\text{OH}$ multiplied by the observed average ratio of
787 NO_2/NO_x during this campaign.

788 The photochemical age (Δt) can be estimated from the ratio between two
789 compounds, emitted from a common source, but having different reaction rate with $\bullet\text{OH}$.
790 For this case, the photochemical age clock was performed with ethylbenzene (E) and
791 m,p-xylene (X) (Sun et al., 2016).

$$792 \quad [\bullet\text{OH}] \Delta t = 1/(k_x - k_E) [\ln(X_0/E_0) - \ln(C_X/C_E)] \quad (2)$$

793 which k_x and k_E represent their rate constants with $\bullet\text{OH}$, C_X and C_E correspond to the
794 observed mixing ratios; X_0 and E_0 were their initial concentrations. The X_0/E_0 was
795 estimated from the 5th percentile of the observed ratios at 07:00 in this paper.

796 The initial mixing ratio of VOC was estimated with the same method as for NO_x
797 (Shiu et al., 2007):

$$798 \quad [\text{VOC}]_0 = [\text{VOC}]_t \exp(k_i [\bullet\text{OH}] \Delta t) \quad (3)$$

799 where $[\text{VOC}]_t$ was the observed mixing ratio of i^{th} species and k_i was the correspondent
800 rate constant with $\bullet\text{OH}$.

801 **3 Results and discussions**

802 **3.1 Meteorological variations and Mixing ratios**

803 Meteorological conditions are important factors that impact both the compositions
804 and levels of VOCs. During the sampling period, the T varied from 15 to 38°C, RH
805 varied from 38 to 100% (Fig.S1), and the dominant winds were northwestern and
806 southeastern (Fig. 2). The air clusters, analyzed by HYSPLIT model, showed moderate
807 differences in each month (Fig. 3). In May, clusters arriving at Zhengzhou demonstrated
808 longer paths, and included six clusters in total, while in June, the length of clusters were
809 shorter. However, the concentration levels and compositions of VOCs were similar in
810 the two months. In May, the largest cluster (27.2%) was passed over from Yinchuan, a
811 central city in northwest China, then crossing several non-capital cities (i.e., Yanan,
812 Yuncheng and Luoyang) in Shanxi and Sichuan provinces. Such a long-range transport

813 of pollutants has less impact on the air quality of Zhengzhou, as comparable level and
814 similar compositions of VOCs were obtained during the period of May - June. In June,
815 August and September, approximately half of the air trajectories originated from the
816 areas of Henan province, indicating the air pollutants in Zhengzhou were impacted by
817 local factors.

818 The total concentrations of VOCs (Σ_{VOCs}) are presented in Table 1. The Σ_{VOCs} varied
819 at the four sites, where the highest Σ_{VOCs} and their compositions were not identical
820 across the sampling months as well. In May 2017, the highest Σ_{VOCs} was reported at JK
821 (37.6 ± 22.6 ppbv), followed by GS (31.7 ± 18.7 ppbv), YH (30.1 ± 16.4 ppbv) and
822 MEM (29.1 ± 15.3 ppbv), while the Σ_{VOCs} values for the month of June, July, August and
823 September were found to be in the order of: GS>JK>MEM>YH, MEM>GS>JK>YH,
824 YH>MEM>JK>GS, and MEM> YH > GS >JK, respectively. This can be attributed to
825 numerous factors that will be explored later in the paper.

826 Besides the emission sources (to be discussed in Section 3.2), the impacts controlled
827 by meteorological conditions should not be ignored as well. For instance, the prevailing
828 wind in May was northwestern at GS and YH, while the southwestern wind was
829 dominant at JK (Fig 4). The transport of air pollutants from urban center and industrial
830 plants should be resulted in the highest level of Σ_{VOCs} at JK. In June 2017, the prevailing
831 wind was southeastern at MEM, YH and GS (Fig. 4). The average wind speed at GS
832 (0.74 ± 0.33 m s⁻¹) was lower than that at MEM (1.84 ± 0.94 m s⁻¹) and YH (0.97 ± 0.36 m
833 s⁻¹) (Table 2), indicating poor dispersion conditions at GS. The air pollutants emitted
834 from MEM and YH were more liable resulting in a higher level of Σ_{VOCs} at GS in June. It
835 should be noted that, when Σ_{VOCs} at JK was higher than that of GS, the level at YH was
836 higher than that of MEM, and vice versa. Except for the discriminations between the
837 pollution sources at every site, [there may be some other factors \(e.g. horizontal and](#)
838 [vertical air advection\) contribute to it.](#)

839 Due to the variations of the planet boundary layer (PBL) height, solar radiation and
840 emission sources, the concentrations of VOCs displayed obvious differences between
841 morning and afternoon time (07:00 LT and 14:00 LT in this study). Compared with
842 morning period, the aromatic compounds showed lower compositions at 14:00 LT (Fig.

843 5), because of the increased planet boundary layer and the active photochemical
844 reactions, while alkenes always peaked in the 14:00 LT. According to the dataset, the
845 increases in alkene compositions (~4.3% uplift) were mainly due to higher contributions
846 of isoprene (~1.4% at morning and 7.6% in the afternoon), which was mainly emitted
847 from biogenic sources and increased exponentially with ambient temperature (Guenther
848 et al., 1993; Guenther et al., 1995).

849 The average Σ_{VOCs} values in Zhengzhou (28.8 ± 22.1 ppbv) were significantly
850 lower than those in Beijing (65.6 ppbv), Hangzhou (55.9 ppbv), Guangzhou (47.3 ppbv)
851 and Nanjing (43.5 ppbv), and higher than that in Wuhan (23.3 ± 0.5 ppbv) (Table 3).
852 Factors, including population density, industrial activity, fuel composition, local
853 stringent regulations for environmental protection, terrain, and weather are the potential
854 reasons for the differences in VOCs concentrations in those cities. With regard to the
855 weight percentage of major groups (Table 3), the composition of alkanes was the largest
856 in all cities because of their longer lifetimes and widespread sources (Fig. 5), while the
857 composition of aromatics was lower than alkenes in these cities except for Guangzhou.
858 It is well known that aromatics mainly originate from solvent usage and vehicle exhaust
859 in summer. The large amount of shoemaking and shipbuilding industries involving large
860 amounts of solvent usage may be the main reason for the higher composition of
861 aromatics in Guangzhou. In comparison with other four cities, the composition of
862 aromatics in Zhengzhou was the lowest probably due to its less solvent-used
863 manufacturers than in Guangzhou, Hangzhou and Nanjing, and less numbers of vehicles
864 than in Beijing. Alkyne contributes least to VOCs in cities listed in Table 3, with higher
865 level observed in Zhengzhou, where ranked second after Hangzhou. Alkyne typically
866 originates from combustion sources. Zhu et al. (2016) observed that the composition of
867 alkyne in the biomass-burning period could be double of that in the non-biomass
868 burning period (Zhu et al., 2016). As Henan is the largest agricultural province in China
869 and the sampling duration covered the crop harvest season, the residents often used crop
870 residues as the biofuel for their subsistence and a higher alkyne composition in
871 Zhengzhou was thus resulted.

872 3.2 Temporal variations

873 The time series of mixing ratios of NO_x , O_3 and ΣVOCs at every site are shown in
874 Fig. 6. The results showed a distinctive temporal characteristic where lower levels of
875 SO_2 , CO , NO_x , O_3 and ΣVOCs were observed in July and August (mid-summer) (Table
876 S6). These results were similar to those obtained for other urban areas worldwide
877 (Cheng et al., 1997; Na et al., 2001; Li and Wang, 2012). Changes in PBL height, human
878 activities, and abundance of $\bullet\text{OH}$ were the potential causes for the phenomenon. The
879 occurrence of precipitation, which is usually accompanied with better air dispersion
880 conditions, is also frequent in most areas of China during summer, resulting in
881 decreasing background level of air pollutants. Additionally, a series of effective local
882 policies, such as prohibition of painting and coating in open air and limitations on fuel
883 supply between 10:00 -17:00 LT during hot summer days assisted in suppressing the
884 emissions of VOCs. Meanwhile, many organizations, such as schools, institutes and
885 scattered private workshops, were closed due to summer vacations. Some large-scale
886 industries also stopped manufacturing processes for two weeks during this period.
887 Consequently, the anthropogenic emissions were reduced, which in turn resulted in a
888 decrease in VOCs, SO_2 , and NO_x emissions. The reduction of precursor levels and
889 unfavorable photochemical conditions (such as, higher RH) resulted in the lower O_3
890 levels in July and August.

891 Beside local emissions, the long-range air mass also had some impacts on relatively
892 lower level of ΣVOCs in July. As illustrated in Fig.3, different from other months, the air
893 current was originated with the largest portion (ca. 88.7%) of clusters from Hubei
894 province, where the average ΣVOCs in its capital city (23.3 ± 0.6 ppbv) (Lyu et al., 2016)
895 was lower than that in Zhengzhou (29.2 ± 23.1 ppbv). In combination with the lower
896 weight percentage of photochemically-reactive aromatics ($10.3 \pm 4.2\%$), and the lowest
897 toluene to benzene (T/B) ratio of 1.15 ± 0.99 around this period, it is possible that the
898 cleaner air mass clusters originating from Hubei also contributed to the reduction of
899 ΣVOCs in July.

900 As demonstrated in Fig. 6, the observed ΣVOCs values at 07:00 LT were often higher
901 than those at 14:00 LT. The accumulation of pollutants during night-time and the
902 temperature inversion in the morning were the most reasonable explanations for this

903 phenomenon. Stronger photochemical reaction during noon-time led to the reduction in
904 atmospheric VOCs. It should be noted that pronounced Σ_{VOCs} were occasionally
905 observed at MEM and GS (Fig. 7), which were potentially ascribed to sharp changes in
906 local emissions and meteorological conditions. Specifically, at MEM, the distinctive
907 increment was always accompanied with obvious increases of alkanes or aromatics (Fig.
908 7). Since the T and RH were often consistent during the sampling period, the direct gas
909 evaporations should be constant as well. Therefore, the simultaneous increased
910 concentrations of SO_2 , CO and NO_x could illustrate the potential impacts from
911 combustion sources, such as emissions from nearby thermal power plant. At GS, the
912 increase of Σ_{VOCs} in June was usually with extremely high levels of aromatics, due to the
913 disturbance from solvent use for building renovation during this period, and the
914 abnormal high levels of Σ_{VOCs} in other months were related to the rising concentrations
915 of C₃-C₄ alkanes, which were mainly originated from consumptions of compressed
916 natural gas (CNG) or LPG (Huang et al., 2015). The results support the possible impact
917 from a gas-fueled power plant located about 1 km southwest of the site (~18% of
918 prevailing western wind at GS during May to September).

919 It is of interest to note that on the morning of 5th September, acetylene was found
920 in extremely high concentrations (14.7 - 39.4 ppbv). Its mixing ratio in most of the urban
921 areas was <10 ppbv (Duan et al., 2008; Guo et al., 2012; Louie et al., 2013). 5th
922 September is a festival day for the people who worship their ancestors. A large number
923 of incenses and offerings, made up of wood and paper, were burnt during the festival,
924 resulting in an elevation of acetylene all over the Zhengzhou city (Zhu et al., 2016).

925 **3.3 Spatial variations**

926 The C₂ - C₅ alkanes, acetylene, ethylene, toluene and benzene were the most
927 abundant VOCs detected at all sites (Fig.8), and the mixing ratios of toluene varied
928 within a wide range at each site, because of its universal emission sources (e.g., vehicle
929 exhaust emissions and solvent usage) (Barletta et al., 2005; Wang et al., 2014). These
930 chemicals contributed >60% for Σ_{VOCs} at each site, illustrating strong
931 combustion-related sources in Zhengzhou.

932 Among the four major organic classes, alkane was the most abundant group as a

933 result of its widespread sources and longevity (Fig.5), accounted for 52.9%, 62.5%,
934 53.4%, 53.4% of the total ΣVOCs at JK, MEM, GS, and YH, respectively. The highest
935 composition of alkane was observed at MEM due to the stronger contributions of ethane,
936 iso-pentane, and C₆-C₈ branched alkanes (Fig. S3), which are emitted from light-duty
937 gasoline vehicles (Wang et al., 2017a).

938 The average ΣVOCs were slightly higher at industrially impacted sites of GS
939 (31.7±28.7 ppbv) and JK (28.6±22.0 ppbv) than those at MEM and YH (Fig.9).
940 Additionally, the air pollutants related to the combustion processes, such as SO₂ and CO,
941 were marginally more abundant, in western areas of Zhengzhou (GS and MEM) (Fig.9).
942 Under high levels of VOCs and sufficient supply of NO_x, the highest average mixing
943 ratio of O₃ was observed at GS, followed by YH where even with, which had the lowest
944 VOCs and NO_x, indicating that there are multiple factors, rather than the absolute
945 concentrations, contributing to the formation of the secondary pollutant, O₃ at YH.

946 In June, the O₃ concentration often exceeded the national standard level of 80 ppbv,
947 i.e., there was severe air pollution during this period. The average mixing ratio of O₃
948 during daytime (07:00-18:00 LT) in June, 2017 at JK, MEM, YH, and GS were 74.9±
949 39.6 ppbv, 73.5±40.6 ppbv, 73.8±35.7 ppbv, and 88.0±46.1 ppbv, respectively (Table
950 4). The higher level of O₃ at GS was accompanied with the higher ΣVOCs (39.3±
951 25.4ppbv). The weight percentage of aromatics (15.6±12.1%) at GS was higher than
952 those at other sites as well, indicating that the painting and other renovation activities at
953 GS was potentially an important factor for its high O₃ level in June. Even though both
954 the ΣVOCs and specifically high O₃ formation potential compounds (such as alkenes and
955 aromatics) at MEM were slightly higher than those at YH (Table 4), the O₃ concentration
956 at MEM was not higher. This could be attributed to other critical precursors such as NO.
957 NO at MEM (7.72ppbv) was significantly higher than that at YH (2.57 ppbv) during
958 daytime, indicating that the titration reaction between O₃ and NO was more efficient at
959 MEM.

960 Because photochemistry producing O₃ occurs over several hours to days, O₃
961 episodes are attributable not only to local sources but also to regional transports. For
962 example, Streets et al. (2007) reported that with continuous southern winds, the O₃ level

963 in Beijing was 20-30% contributed from its neighboring cities in Hebei. During our
964 study, a typical regional ozone pollution was happened on August 10th at YH (Fig.6). On
965 that day, the ratios of $\Sigma_{\text{VOCs}}/\text{NO}_x$ at the four sites were all less than 6.5 (ppbC/ppbv) (Fig.
966 S4), indicating a regional VOC-control system, and that VOCs are the critical
967 contributors to the formation of O₃ in Zhengzhou. The reductions in Σ_{VOCs} in the
968 afternoons (around 14:00 LT) compared to mornings (around 07:00 LT) may have been
969 due, in part, to chemical loss of VOC as O₃ is formed. The reduction of Σ_{VOCs} and active
970 compounds (i.e., aromatic+alkene) at 14:00 relative to 07:00, 35% and 56% respectively,
971 was least at YH among the four sites (Fig. S4). Based on the wind direction, between
972 08:00 - 15:00 LT on August 10th, YH was downwind of the other three sites (Fig. S4).
973 All of this confirms that the abnormally high O₃ at YH was caused by the transport of air
974 pollutants from other sites on that day.

975 3.4 VOCs/NO_x ratio

976 The VOCs/NO_x ratio is often used to distinguish whether a region is VOCs or NO_x
977 limited in O₃ formation. Generally, VOC-sensitive regimes occur when, with VOCs/NO_x
978 ratios are lower than 10 in the morning; NO_x-sensitive regimes occur when VOCs/NO_x
979 ratios are greater than 20 (Hanna et al., 1996; Sillman, 1999). In this study, the mean
980 value of VOCs/NO_x (ppbC/ppbv) were below 5 at all four sites (Fig.10), and 75% of the
981 data points were < 6, indicating that the O₃ formation was sensitive to VOCs in
982 Zhengzhou, and the reductions on the emissions of VOCs will be a benefit for O₃
983 alleviation.

984 The VOCs /NO_x showed differences among the four sites (Fig. 10), with the lowest
985 value at MEM (~3.8) and the highest value at JK (~4.7). The production of O₃ at MEM
986 is more sensitive to VOCs than at JK due to presence of strong NO_x emissions from a
987 thermal-power plant. Approximately 14% of the measured VOCs /NO_x ratios of >8.0
988 were found in the NO_x-limited site of JK, resulting from higher VOCs or lower NO_x
989 emissions than at other sites. Both of the mixing ratios and the statistical data showed
990 higher levels of VOCs (with lower NO_x) at GS, where only ~4% of the ratios of > 8 was
991 observed, indicating that there must be other factors (unresolved in this study) impacted
992 the variation of O₃ formation regimes.

993 From the daily variations of VOCs /NO_x ratios (Fig. 10), higher values were
994 observed at 14:00 LT than at 07:00 LT at all four sites, well correlated with less vehicle
995 emissions or more consumption paths for NO_x with stronger light intensity. The
996 increment of VOCs /NO_x at 14:00 LT was more obvious at JK and GS, suggesting that
997 more emission sources of VOCs at daytime, and resulting the O₃ formation system
998 shifting to the transition area in the afternoon.

999 O₃ formation depends not only on the abundances of precursors (mainly VOCs and
1000 NO_x) but also VOCs to NO_x ratio (Sillman, 1999; Pollack et al., 2013). In this research,
1001 the mixing ratio of O₃ at 14:00 LT presented a slightly positive trend (p<0.05) with the
1002 uplift of VOCs /NO_x at JK (Fig. 11), consistent to the results observed at the megacity of
1003 Shanghai (Gao et al., 2017), where the O₃ formation was more sensitive to NO_x when
1004 high O₃ levels were observed. Without considering the advection of air parcels, this can
1005 be attributed to the increased O₃ production efficiency at high VOCs /NO_x. There were
1006 no discernible trends at other sites, possibly due to the counteraction imposed by other
1007 uncertain factors.

1008 3.5 Ratios of specific compounds

1009 Ratios of specific VOCs are useful to identify emission sources (Ho et al., 2009; Liu
1010 et al., 2015; Raysoni et al., 2017). In order to characterize the differences in the
1011 contribution of various sources at each site, ratios of i-pentane/n-pentane and
1012 toluene/benzene (T/B) ratios are discussed here.

1013 The ratio of i-pentane to n-pentane can be used to differentiate potential sources
1014 such as consumption of natural gas, vehicle emissions and fuel evaporations. In areas
1015 heavily impacted by natural gas drilling, the ratios lie in the range of 0.82 - 0.89 (Gilman
1016 et al., 2013; Abeleira et al., 2017). Higher values are often reported for automobiles: in a
1017 range of 2.2 - 3.8 for vehicle emissions; and 1.8 - 4.6 for fuel evaporation (McGaughey
1018 et al., 2004; Jobson et al., 2004; Russo et al., 2010; Wang et al., 2013), whereas the
1019 ratios below unity was found for coal combustion (0.56 - 0.80) (Yan et al., 2017).

1020 In this study, i-pentane and n-pentane were highly correlated ($R^2=0.87 - 0.94$)
1021 throughout the whole sampling campaign (Fig. 12), indicating constant pollution sources

1022 for these two compounds. The highest ratio of i/n-pentane was found at JK (2.59 ± 0.45),
1023 which was comparable to the value of 2.93 reported in a Pearl River Tunnel (Liu et al.,
1024 2008), thus indicating strong impacts from traffic-related sources. The average ratio at
1025 MEM was 2.31 ± 0.68 , higher than the character ratios of coal combustion, reasonably
1026 due to the observation site presented at upwind position of the thermal power plant. And
1027 frequent idling may cover up the contribution from coal combustion, reflecting the
1028 impact of traffic emissions. The average ratios at YH (1.94 ± 0.57) and GS (1.63 ± 0.51)
1029 were lower than those at the above two sites, suggesting the comparatively stronger
1030 contribution from coal burning.

1031 Tunnel and roadside researches indicates that T/B ratio varies within the range of 1 -
1032 2 when the atmosphere is heavily impacted by vehicle emissions (Wang et al., 2002;
1033 Tang et al., 2007; Gentner et al., 2013; Huang et al., 2015). The ratio of <0.6 was
1034 ascribed to other sources such as coal combustion and biomass burning (Tsai et al., 2003;
1035 Akagi et al., 2011). The industrial activity would be more dominant when the T/B ratio
1036 is greater than 3 (Zhang et al., 2015).

1037 In this study, the correlation between benzene and toluene was fairly well at all the
1038 sites ($R^2=0.70-0.74$), except for YH ($R^2=0.41$) (Fig.14), suggesting the similar sources
1039 for benzene and toluene at JK, MEM and GS, while more complex such as variable
1040 wind direction at YH. The average ratios of T/B were lied within the range of 1.64-2.29,
1041 which were scattered around the character ratio of 2 for vehicle exhaust, illustrating the
1042 significance of vehicle emissions at the four sites. Specifically, at JK, MEM and YH,
1043 most of T/B ratios were distributed between 0.6 and 3, which were corresponding to
1044 character ratios for coal or biomass burning and industrial activities respectively. These
1045 reflected the mixture impacts from mobile source and coal/biomass burning at these
1046 three sites. However, more values were greater than 3 at GS, suggesting more frequent
1047 disturbance from industrial activities at this site.

1048 The T/B ratios at 14:00 LT were lower than at 07:00 LT (Fig.15). The reaction rate
1049 constant of toluene ($5.63 \times 10^{-12} \text{cm}^3 \text{molecule}^{-1} \text{s}^{-1}$) with $\bullet\text{OH}$ is higher than that for
1050 benzene ($1.22 \times 10^{-12} \text{cm}^3 \text{molecule}^{-1} \text{s}^{-1}$), indicating more rapid consumption of toluene
1051 from photochemical reactions and thus resulting in lower T/B ratios at 14:00 LT, all else

1052 being equal. The emission strength of mobile source is often weaker at 14:00 LT, while
1053 the coal/biomass burning are increased due to more human activities. Both chemistry
1054 and emissions offer an explanation of the lower T/B ratios observed at 14:00 LT. In
1055 comparison with other months, higher T/B ratios were found more frequently in
1056 September, potentially showing increased industrial activities during this period.

1057 Overall, based on the iso-pentane/i-pentane and T/B ratios, the atmospheric VOCs
1058 at every site were impacted by a mix of coal/biomass burning and vehicle emissions,
1059 whereas GS was more liable impacted by industry-related sources.

1060 3.6 Relative reactivity of VOCs

1061 The reactivity of individual species is different, and in mixtures of VOCs there is
1062 competition for reaction partners, leading to variations in reaction pathways and O₃
1063 formation yields. Ozone formation potential (OFP) is a useful tool to estimate maximum
1064 O₃ productions of each compound under optimum conditions, from which the most
1065 important species for O₃ formation could be identified (Carter, 1994). The calculation of
1066 OFP is based on mixing ratios and maximum incremental reactivity (MIR) of each
1067 individual compound, Eq. (4).

$$1068 \text{OFP} = C_i \times \text{MIR} \quad (4)$$

1069 where C_i represents the concentration level of i^{th} species, while MIR is a constant taken
1070 from (Carter, 2010) (Table S2).

1071 In Zhengzhou city, alkenes contribute most ($55.9 \pm 14.2\%$) to the sum of OFP, of
1072 which ethylene had the largest portion. The results is different with the estimation based
1073 on emission inventories by Wu and Xie (2017), in which the largest contributor of total
1074 OFP in North China Plain (NCP), YRD and PRD was aromatics, reflecting that there
1075 was relatively less surface coating industries in Zhengzhou.

1076 For the individual species, the top 10 most contributors in OFP included ethylene,
1077 isoprene, m,p-xylene, toluene, propylene, acetylene, n-butane, i-pentane and propane.
1078 Their contributions to the sum of OFP was lied within the range of 69.4 - 77.6% (Table
1079 5), with 61.3-76.5% of total VOCs weighted in concentration, highlighting the
1080 importance of reduction on emissions of these VOCs no matter based on relative
1081 reactivity or mixing ratios. Additionally, it is worth noting that, the percentage of

1082 acetylene ($4.51 \pm 0.34\%$) weighted in OFP was higher than many other areas in China,
1083 including Guangzhou (2.20%) and YRD (2.37%) (Li and Wang, 2012; Jia et al., 2016),
1084 demonstrating that it is necessary to conduct emission controls on sources related to
1085 combustion (i.e., vehicle emissions and biofuel burning) in Zhengzhou city.

1086 Zhengzhou was suffered from the severest O₃ pollution in June, 2017. The
1087 relationships between OFP of each organic group, Σ VOCs, and the ambient concentrations
1088 of NO_x and O₃, as well as the corresponding meteorological conditions, are shown in Fig.
1089 S5-6. At 07:00 LT, generally lower WS was seen than that at 14:00 LT, offered a favorite
1090 condition for local O₃ propagation. Under low RHs and high T and OFP (88.1 ± 30.3
1091 μppbv), the O₃ level at YH was unexpectedly lower than that at MEM on sunny days.
1092 Since the OFP was estimated with the assumption of reactions that proceeded under
1093 optimum conditions, the above phenomenon reflected there were unsatisfied O₃
1094 formation conditions at YH. The highest total OFP was seen at JK in June, while the
1095 highest O₃ levels was observed at GS where located at a downwind position with lowest
1096 WS ($0.74 \pm 0.33 \text{ m s}^{-1}$). The concentration level of O₃ usually increased with wind speed
1097 (Fig.S7), particularly when the eastern wind was dominant, illustrating the disturbance
1098 from long-distance sources to urban center.

1099 **3.7 Source apportionment**

1100 The factor profiles given by PMF for each site were presented in Fig.15. The six
1101 factors were resolved as vehicle emissions, coal+biomass burning, solvent use, oil
1102 evaporation, petrochemical and biogenic source (detailed characterization can be
1103 referred to supporting information) on the base of the correspondent markers for each
1104 source categories, which were summarized in Table S7. Meanwhile, the correlation
1105 coefficients, expressed in Pearson's r , were varied from 0.54 to 0.62 and 0.66 to 0.73 for
1106 SO₂ with coal+biomass burning, and NO₂ with vehicle emission, respectively (Fig. 16),
1107 proved the precise results gained in this study.

1108 The weight percentage of each factor calculated with two criteria (absolute
1109 concentrations and OFPs) at the four sites were presented in Fig.17. At every site,
1110 vehicle emission, coal+biomass burning and solvent use were the top three contributors
1111 to VOCs abundance in ambient air. Compared to JK and YH, even though the distances

1112 between thermal power plant and the observation site was the shortest at MEM, vehicle
1113 emission (36.8%) showed the largest portion instead. Coal+biomass burning (30.6%)
1114 had the highest contribution at GS, attributed to its downwind position and nearby
1115 suburbs that biomass burning occurred more frequently. The contributions from vehicle
1116 emission at the two urban centers of MEM (36.8%) and YH (37.4%) were comparable,
1117 but higher than those at JK and YH. The consumptions of solvent at GS (18.9%) and JK
1118 (14.9%) were higher than those at YH (10.1%) and MEM (11.5%), due to restriction on
1119 development of new industrial enterprises in urban center in recent years. Emissions
1120 from oil evaporation, petrochemical and biogenic emission were scarce, and their
1121 contributions were below 10% at every site.

1122 On the base of O₃ formation impact, coal+biomass burning, solvent use, and vehicle
1123 emission were the three major contributors as well. In contrast to the concentration
1124 weighted method, the importance of solvent use estimated with OFP increased 28-65%
1125 for each site, and the significance of vehicle emissions decreased 29-53%. At YH and
1126 GS, small discrimination (<4%) in contributions of coal+biomass burning between the
1127 two methods were found. On the other hand, the variations on coal+biomass burning at
1128 JK (a decline of 17%) and MEM (an increase of 29%) were more obvious, due to low
1129 abundance of reactive species in this factor at JK and high level of alkenes at MEM.
1130 Considering that the aging index of xylene/ethylbenzene was high at MEM (2.97) and
1131 low at JK (0.01) remarkably, demonstrating that the emission sources related to
1132 coal+biomass burning was fresher at MEM than JK.

1133 Except for oil gas evaporation and biogenic sources, in which major emitted
1134 compounds with shorter life span, potential source regions for the other four identified
1135 sources (i.e., coal+biomass burning, vehicle emission, solvent usage and petrochemical)
1136 apportioned by PSCF method were presented in Fig. 18. Southwest of Shanxi province,
1137 western of Shandong province, and southwest of Henan province were identified as hot
1138 spots for the coal+biomass burning. The active emission areas for solvent use were
1139 concentrated in Henan province, and mainly located in southwest of Zhengzhou. The
1140 most contribution area for petrochemical was found in southwest of both Shanxi and
1141 Henan, northwest of Anhui, and southeast of Hubei provinces. For vehicle emissions, the

1142 strongest emission point was scattered in southwest of Henan, while Shandong, Anhui
1143 and Hubei provinces also distributed with strong emission points.

1144 **3.8 Consumption of VOCs and correlations with ozone level**

1145 The consumption of a VOC in the atmosphere could be presented as the difference
1146 from its initial mixing ratio and the observed value following an air parcel. In isolated
1147 stagnant air, the rate of change of VOC concentrations will be the sum of emissions,
1148 deposition, and chemical production and loss processes.

1149 The average value of VOC consumption at urban center (MEM and YH, 4-6ppbv)
1150 was lower than that in outer areas (JK and GS, 9-11ppbv), and the average increment of
1151 O₃ at 14:00 LT was higher than that at 07:00 LT in marginal area, suggesting more
1152 efficient photochemical reactions at JK and GS. Meanwhile, the average values of [•OH]
1153 Δt for each site, ranked in the same order with VOCs consumption, were varied in a
1154 range of 2.9×10^{10} to $4.7 \times 10^{10} \text{cm}^{-3} \text{s}$. The values were slightly lower than the results of
1155 $4.9 \times 10^{10} \text{cm}^{-3} \text{s}$ measured at Beijing in August-September, 2010 (Yuan et al., 2012),
1156 indicating that comparatively less aging process in Zhengzhou.

1157 Taken the decrement of VOCs and NO_x as independent variable and the increment
1158 of O₃ as dependent variable, the multiple regression analysis was performed. The results
1159 for JK and GS were presented as:

$$1160 \quad [\text{O}_3]_{\text{increment}} = 0.41[\text{VOC}]_{\text{decrement}} + 0.20[\text{NO}_x]_{\text{decrement}} + 53.4 \quad (\text{JK}, R^2 = 0.44)$$

$$1161 \quad [\text{O}_3]_{\text{increment}} = 0.34[\text{VOC}]_{\text{decrement}} + 0.39[\text{NO}_x]_{\text{decrement}} + 59.3 \quad (\text{GS}, R^2 = 0.38)$$

1162 The F values for JK and GS were 16.1 and 10.1 respectively, indicating the
1163 regression results at the two sites were acceptable. However, the relationships among O₃,
1164 NO_x and VOCs could not be expressed in this way at MEM and YH, where the low
1165 values for both R² (0.12, 0.09) and F values (2.7, 2.8). This potentially attributed to more
1166 constant disturbance from fresh emission sources at urban center.

1167 **4. Conclusions**

1168 In this study, VOC samples were collected at four sites in Zhengzhou, Henan (China)
1169 for the first time and analyzed for 57 species. It is found that the weighted percentage of
1170 aromatics was lower, while alkyne was higher in Zhengzhou city than in other Chinese
1171 cities. C₂ - C₅ alkanes, acetylene, ethylene, toluene and benzene were the most

1172 abundant VOCs in the region, suggesting widespread combustion-related sources in the
1173 city. Median concentrations for the four sites are almost indistinguishable but, based on
1174 monthly averages, the maximum Σ_{VOCs} was observed at GS site, because it is
1175 occasionally impacted by emissions from the nearby gas fueled plant, which strongly
1176 skew the distribution of measured VOC concentrations. Approximately 75% of
1177 VOCs/ NO_x ratios were below 6 at each site, indicating that the O_3 formation was driven
1178 by VOCs regionally. Different from other megacities, alkenes were the biggest
1179 contributors to OFP, and acetylene was particularly critical at each site. In addition, the
1180 impact of aging process was less in Zhengzhou than that Beijing. Photochemical
1181 processing appears to be more efficient at JK and GS, while the relationships among O_3 ,
1182 NO_x and VOCs at urban sites of MEM and YH were more complex.

1183 Our analysis of ozone formation does not take into account the important effects of
1184 transport and mixing, and should be viewed in this light. Both measured mixing ratios
1185 and calculated OFPs demonstrated that the most important contributors to VOCs were
1186 vehicle exhaust, coal+biomass burning and solvent use, illustrating the necessary to
1187 conduct emission controls on these pollution sources. Vehicle emission was more
1188 dominant at urban center (YH and MEM), while solvent use was more important at the
1189 sites (JK and GS) far away from urban center in Zhengzhou. It is further shown that the
1190 air pollution in Zhengzhou was usually impacted by local emissions, with no more than
1191 50% of 48-hour backward trajectories extended out of Henan province in June, August
1192 and September, and southern air clusters occasionally from Hubei Province was cleaner.
1193 In addition, strong emissions for coal+biomass burning were concentrated in southwest
1194 of Shanxi, western of Shandong and southwest of Henan provinces according to the
1195 PSCF analysis. Due to less anthropogenic emissions and more favorable dispersion
1196 conditions, most of the air pollutants had the lowest levels in the mid-summer month of
1197 July. This study provides the first-hand information on the characteristics of VOCs and
1198 assists in overcoming the O_3 pollution issue in Zhengzhou city, China.

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1204 China (Grant No. 91744209).

1205 **Table & Figure**

1206 Table1. Mean concentrations of Σ_{VOCs} (ppbv) and correspondent standard deviations (SD) at every
 1207 site during the sampling period

	JK		MEM		GS		YH	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
May.2017	37.6	22.6	29.3	15.3	31.7	18.7	30.1	16.4
June.2017	34.0	19.9	30.3	12.8	39.3	25.4	28.3	11.9
July.2017	16.0	6.1	20.7	12.7	19.6	13.9	15.9	7.5
Aug.2017	21.5	15.3	24.4	20.8	20.5	15.7	26.1	17.0
Sept.2017	26.2	16.2	34.2	23.8	30.4	19.8	32.6	19.8

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1209 Table2. Wind speed (m s^{-1}) measured about 10m above ground level at every site during the sampling
 1210 period

	JK	MEM	YH	GS
May	1.34±0.65	1.86±1.19	1.27±0.66	0.97±0.49
June	1.07±0.48	1.86±0.94	0.97±0.36	0.74±0.33
July	1.48±0.59	2.62±1.19	1.15±0.45	0.90±0.32
August	1.06±0.48	1.86±0.94	0.95±0.39	0.76±0.35
September	0.80±0.38	1.24±0.80	0.82±0.43	0.62±0.38

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1213 Table3. Concentration levels of VOCs and compositions of major groups in Zhengzhou and other
 1214 cities in China

	Guangzhou	Nanjing	Beijing	Hangzhou	Wuhan	Zhengzhou
Items	March-December, 2005	2011-2012	August, 2006	July-August, 2013	2013- 2014	May-September, 2017
Sampling site	residents-commercial -transportation mixed area	transportation- industry mixed area	residents- commercial mixed area	residents- transportation mixed area	urban	urban
Quantified compounds	59 NMHC	56 NMHC	47 NMHC	56 NMHC	99 VOCs	56 NMHC
Total samples	145	–	24	–	–	400
TNMHC (ppbv)	47.3	43.5	65.6±17.4	55.9	23.3±0.5	29.2±23.1
Compositions of major groups (%)	<i>alkane</i>	49.0	45.0	52.3	33.2	56.7±12.4
	<i>alkene</i>	16	25.3	21.2	25.9	16.2±7.6
	<i>aromatic</i>	23	22.3	18.1	24.3	14.1±8.4
	<i>alkyne</i>	12	7.3	8.4	16.6	12.9±6.7
Reference	(Li and Wang, 2012)	(An et al., 2014)	(Guo et al., 2012)	(Li et al., 2017b)	(Lyu et al., 2016)	this study

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Table4. Specific information on VOCs, O₃ and NO at the four sites in June, 2017

Composition or conc.	JK	MEM	YH	GS
Aromatic (%)	9.06	11.6	4.72	15.8
Alkene (%)	6.36	4.13	5.52	5.47
Σ _{VOCs} (ppbv)	34.0	30.3	28.3	39.3
O ₃ (ppbv)	74.9	73.5	73.8	88.0
NO(ppbv)	7.10	7.72	2.34	4.47

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Table5. Top 10 VOCs ranked according to calculated ozone formation potential (OFP) and their

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corresponding percentage weighted in mixing ratio

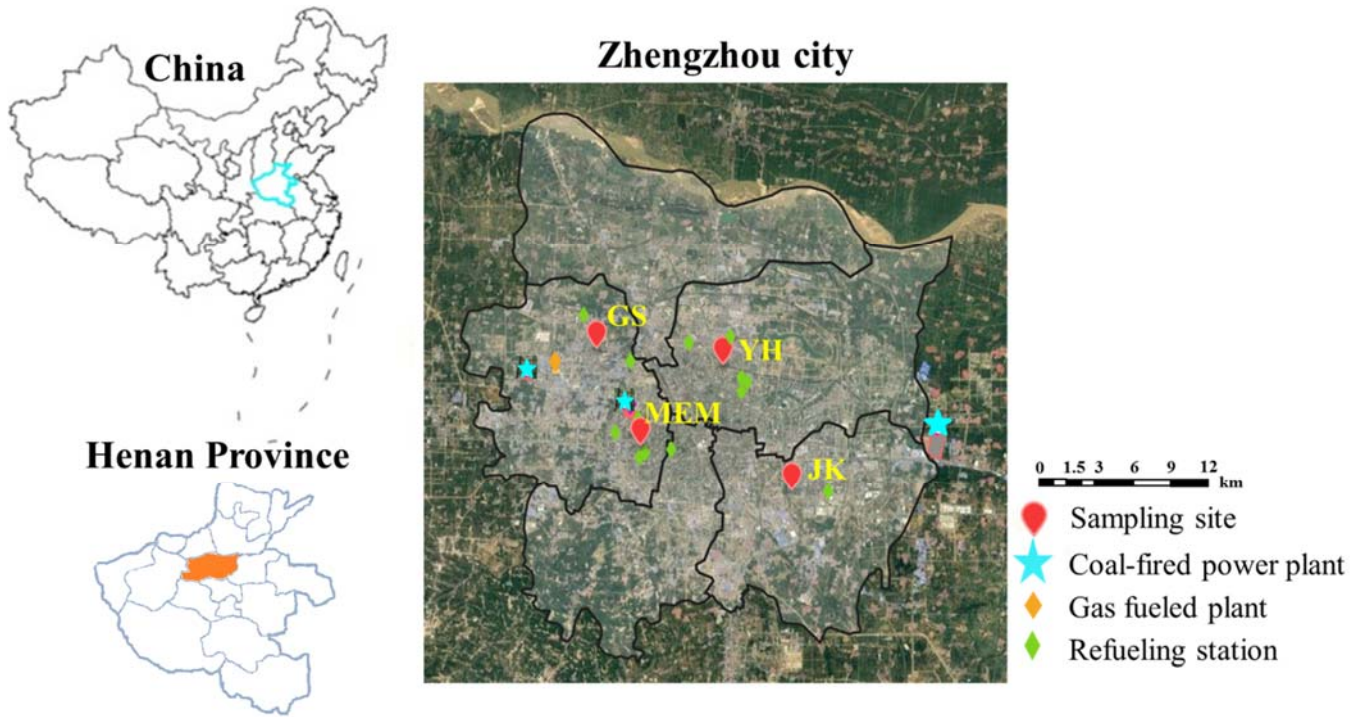
Site	Species	OFP (ppbv)	Weighted in OFP (%)	Weighted in mixing ratio (%)	Site	Species	OFP (ppbv)	Weighted in OFP (%)	Weighted in mixing ratio (%)
JK	Ethylene	19.0	25.5	8.22	MEM	Ethylene	18.4	30.9	7.92
	Isoprene	13.0	21.8	7.31		Isoprene	4.66	10.1	2.36
	m/p-Xylene	6.08	5.89	2.67		Toluene	3.73	6.67	3.99
	Toluene	5.53	5.83	4.22		Propylene	3.60	6.16	1.25
	Propylene	4.03	5.36	1.29		Acetylene	2.82	5.00	12.2
	Acetylene	2.97	4.44	13.5		m/p-Xylene	2.55	4.20	1.40
	n-Butane	2.15	3.05	7.28		n-Butane	1.81	3.20	5.97
	o-Xylene	1.83	2.00	0.88		Isopentane	1.76	3.16	7.39
	Isopentane	1.66	1.95	6.50		Ethane	1.58	2.96	23.4
	Propane	1.17	1.73	9.77		Propane	1.31	2.48	10.6
YH	Ethylene	19.8	28.1	8.88	GS	Ethylene	18.1	26.90	7.51
	Isoprene	7.44	11.3	3.67		Isoprene	8.01	16.8	4.64
	Toluene	6.63	7.75	5.72		Toluene	7.43	7.67	5.49
	m/p-Xylene	3.93	4.38	1.58		Propylene	4.39	5.85	1.26
	Acetylene	3.15	4.38	13.9		m/p-Xylene	4.31	4.57	1.75
	Propylene	3.01	3.60	0.91		Acetylene	2.76	4.24	12.1
	Trans-2-pentene	2.25	2.94	3.43		n-Butane	1.82	2.93	6.39
	n-Butane	1.84	2.80	6.31		Isopentane	1.71	2.68	6.94
	Isopentane	1.59	2.22	6.69		Propane	1.38	2.26	11.6
	Propane	1.18	1.98	10.2		Isobutane	1.13	1.98	4.59

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^a *m*-Xylene and *p*-Xylene are co-eluted in the chromatographic separation.

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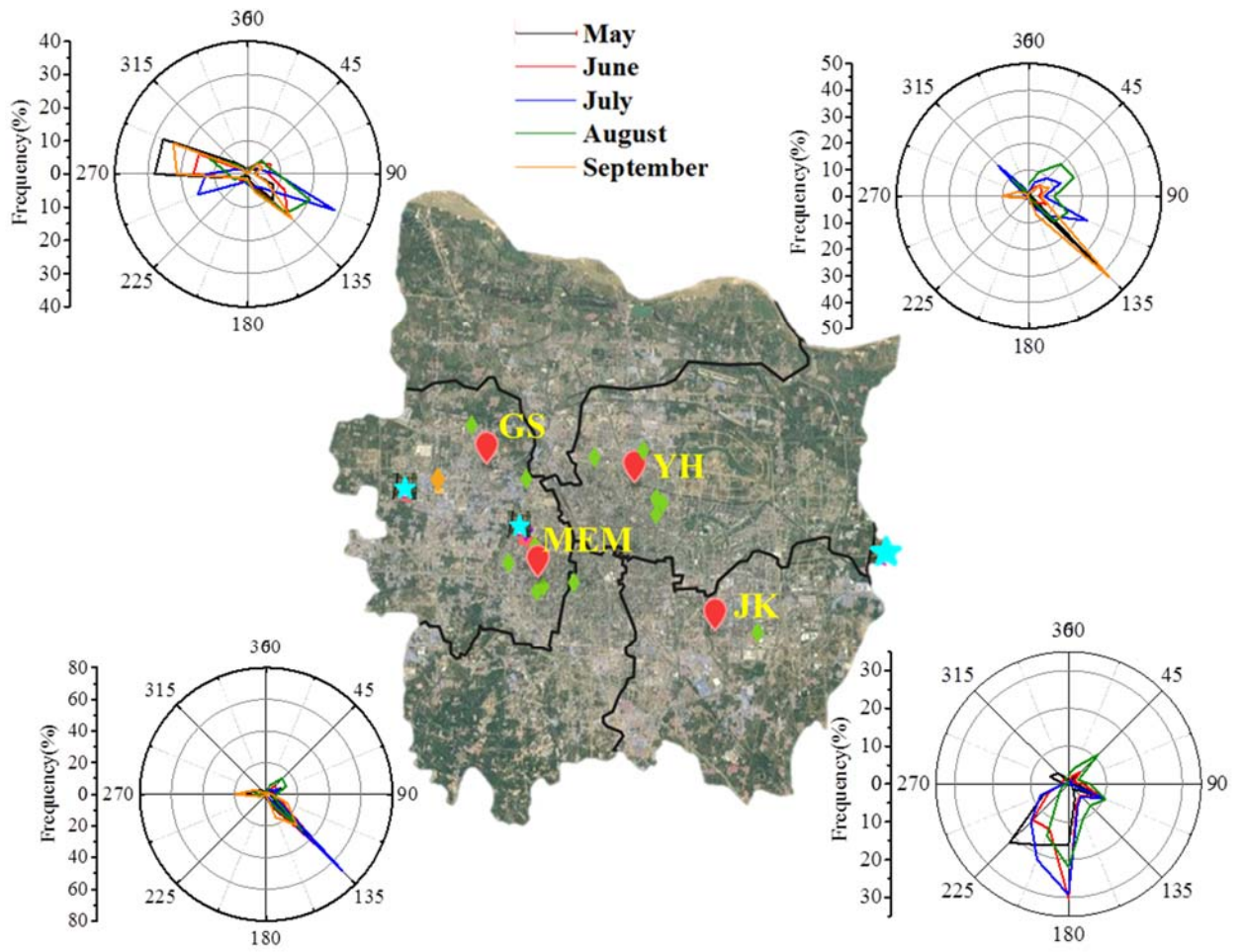


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1229 Fig 1. Satellite imagery showing the four sampling sites and surrounding areas of Zhengzhou, China,

1230 including major emission sources presented with different marks

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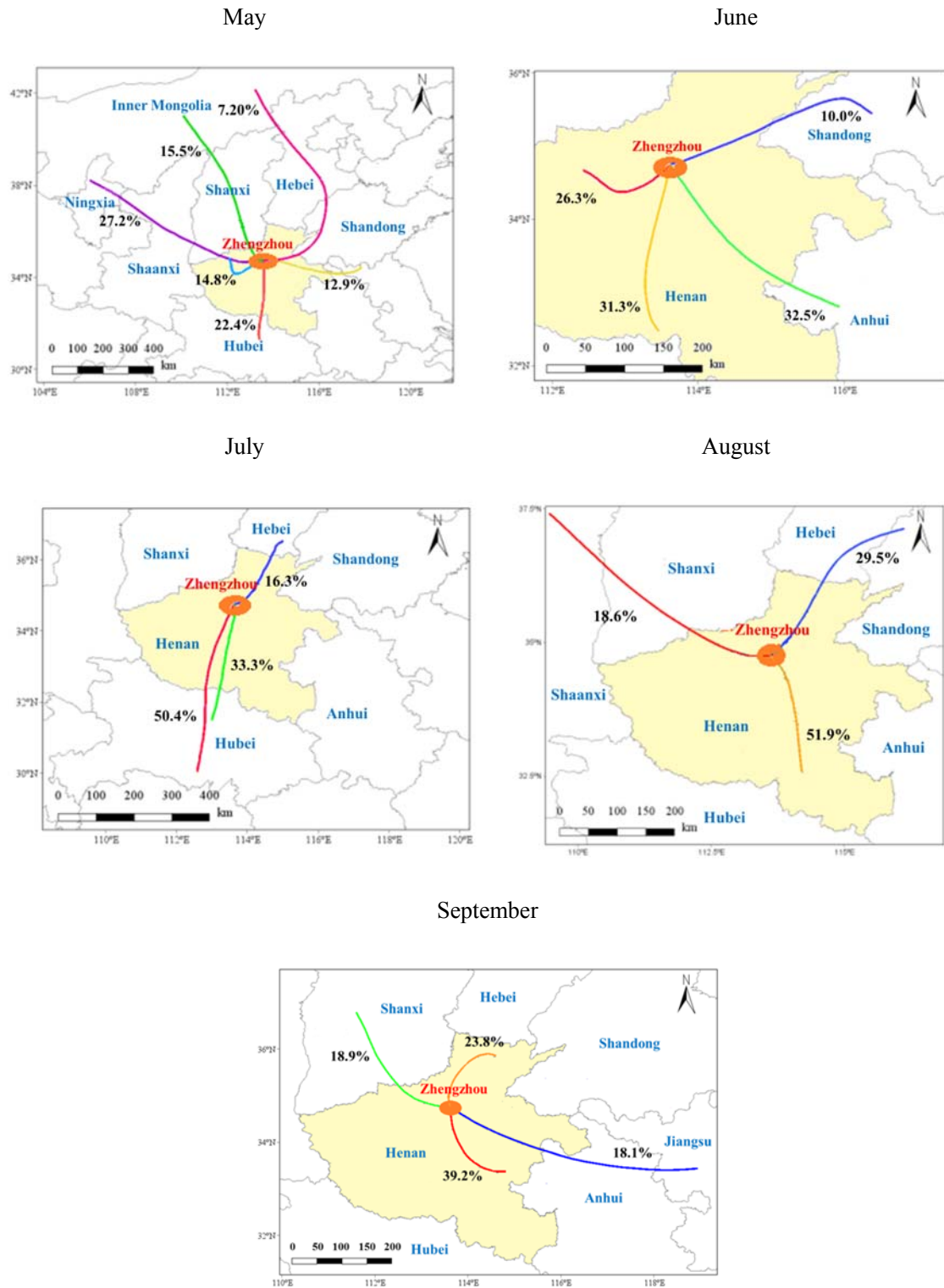


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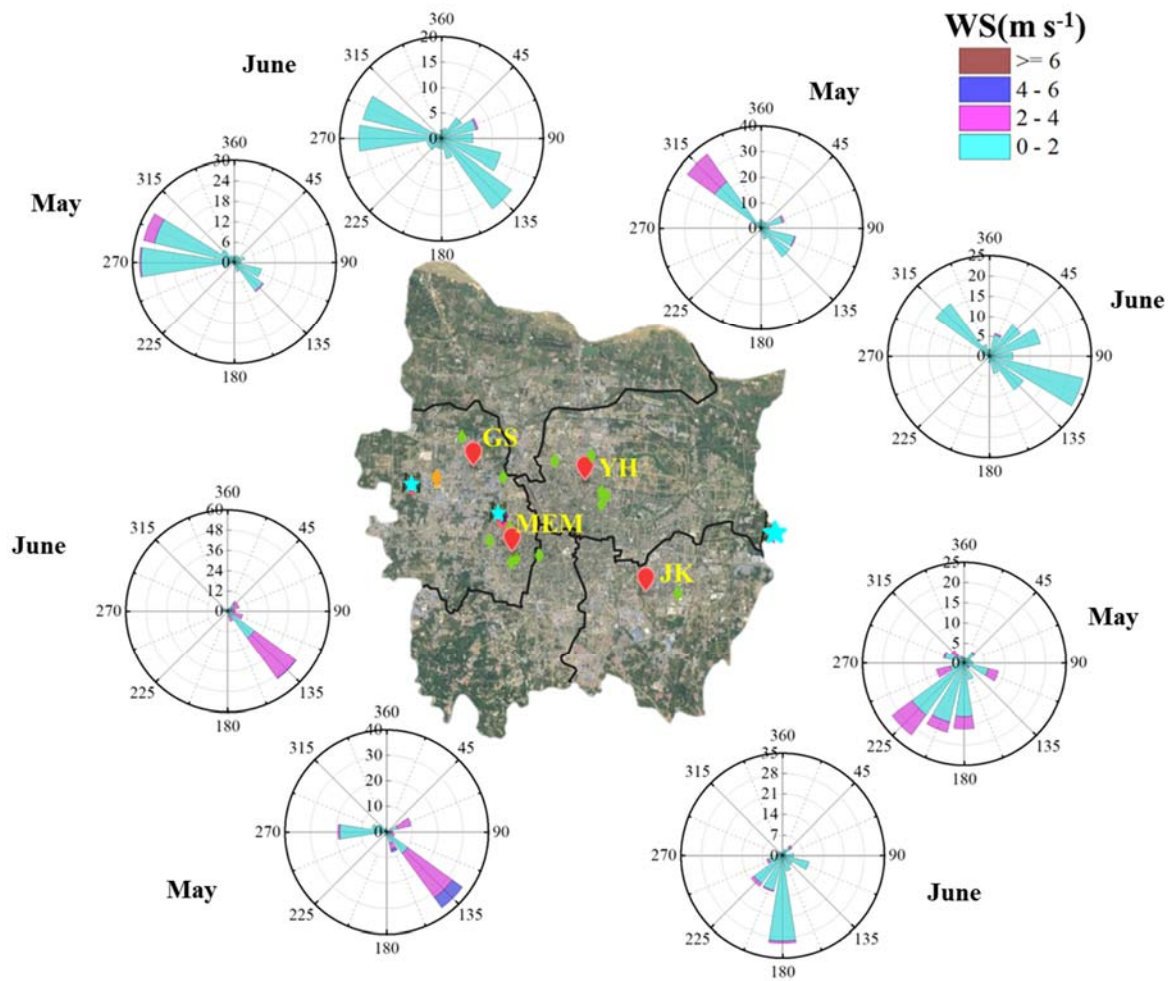
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Fig.2 Wind direction for each site during May to September, 2017

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1235 Fig. 3 Cluster analysis of 48-hour backward trajectories for Zhengzhou in each sampling month, with
 1236 the start height at 500m altitude and running interval set as 2- hour for each day, percentage of each
 1237 cluster and covered areas are presented as well.



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Fig.4 Wind rose plot showing wind sector frequency (%) of occurrence and associated wind speed

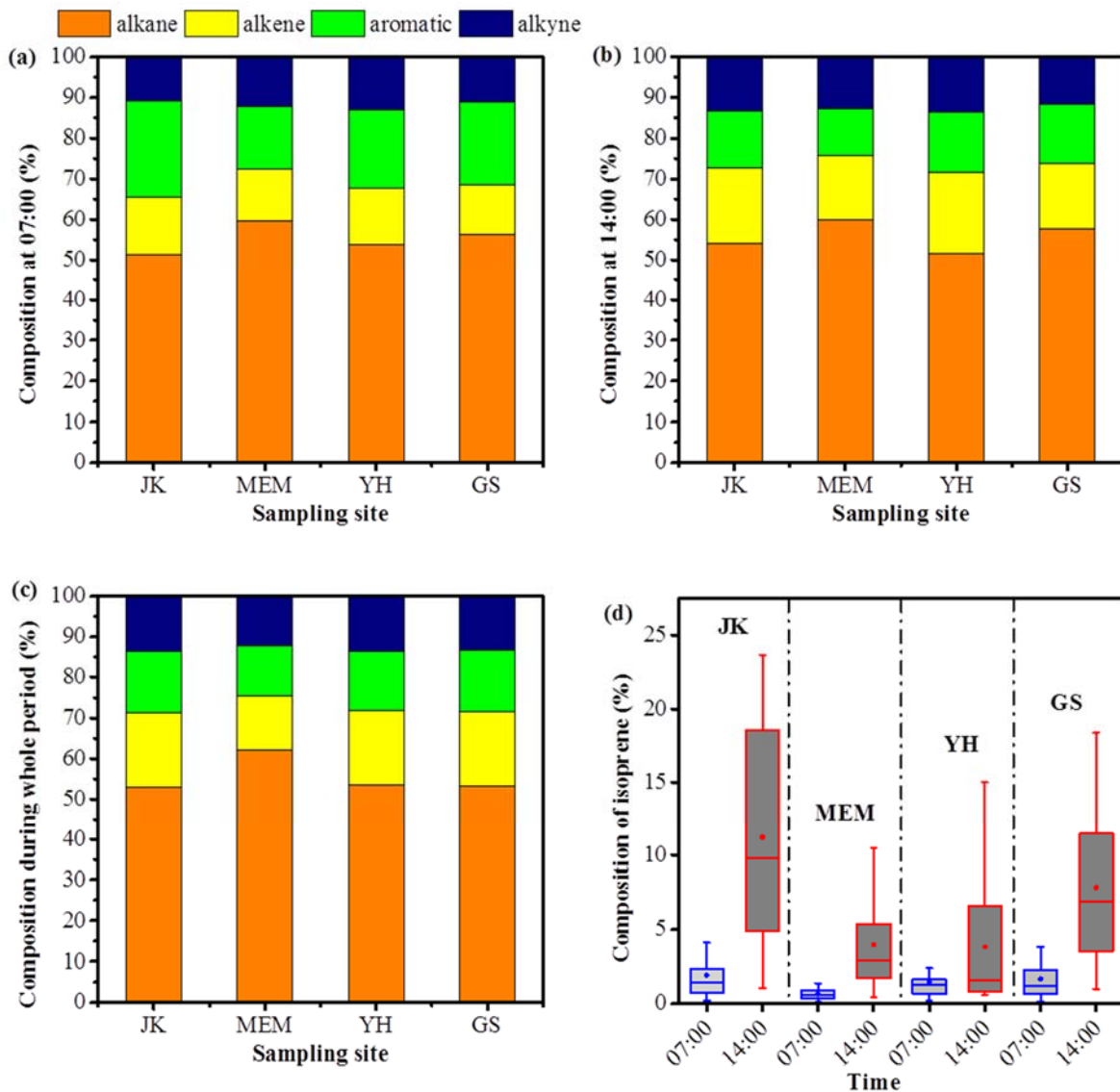
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(m s^{-1}) at each site in May and June (the wind distribution in other three months were illustrated in

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Fig S2), which were recorded by the anemometers placed at the same site with other air monitors.

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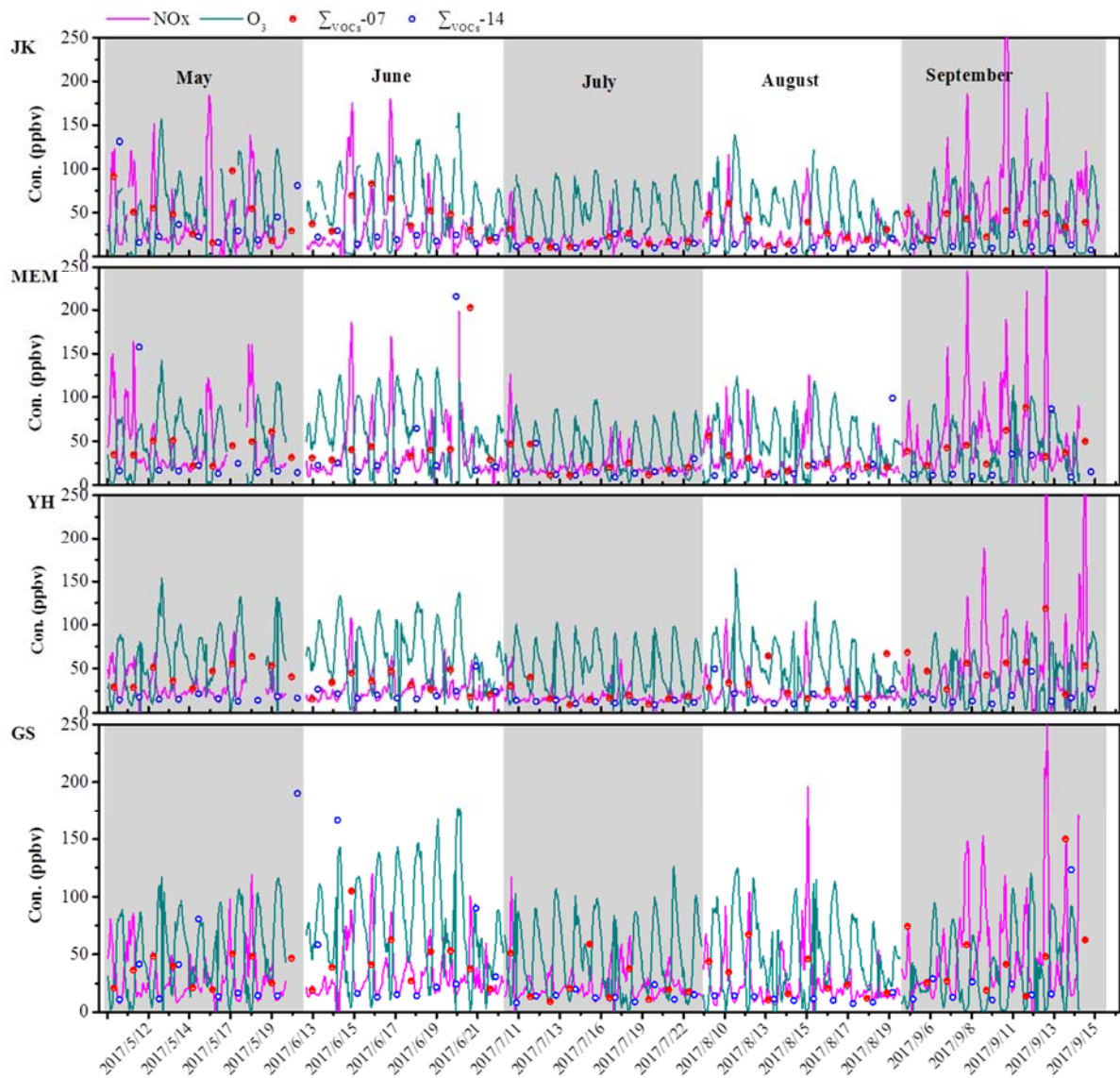


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1245 Fig. 5 Compositions of major organic classes at 07:00 LT (a), 14:00 LT (b) and during the whole
 1246 sampling period (c) at the four sites, and the box plot for the composition of isoprene at 07:00 LT and
 1247 14:00 LT for each site, with the whiskers range in 5-95%iles, and the box shows the 25-75%iles, the
 1248 solid dots represents the arithmetic average, the line in the box shows the median (d).

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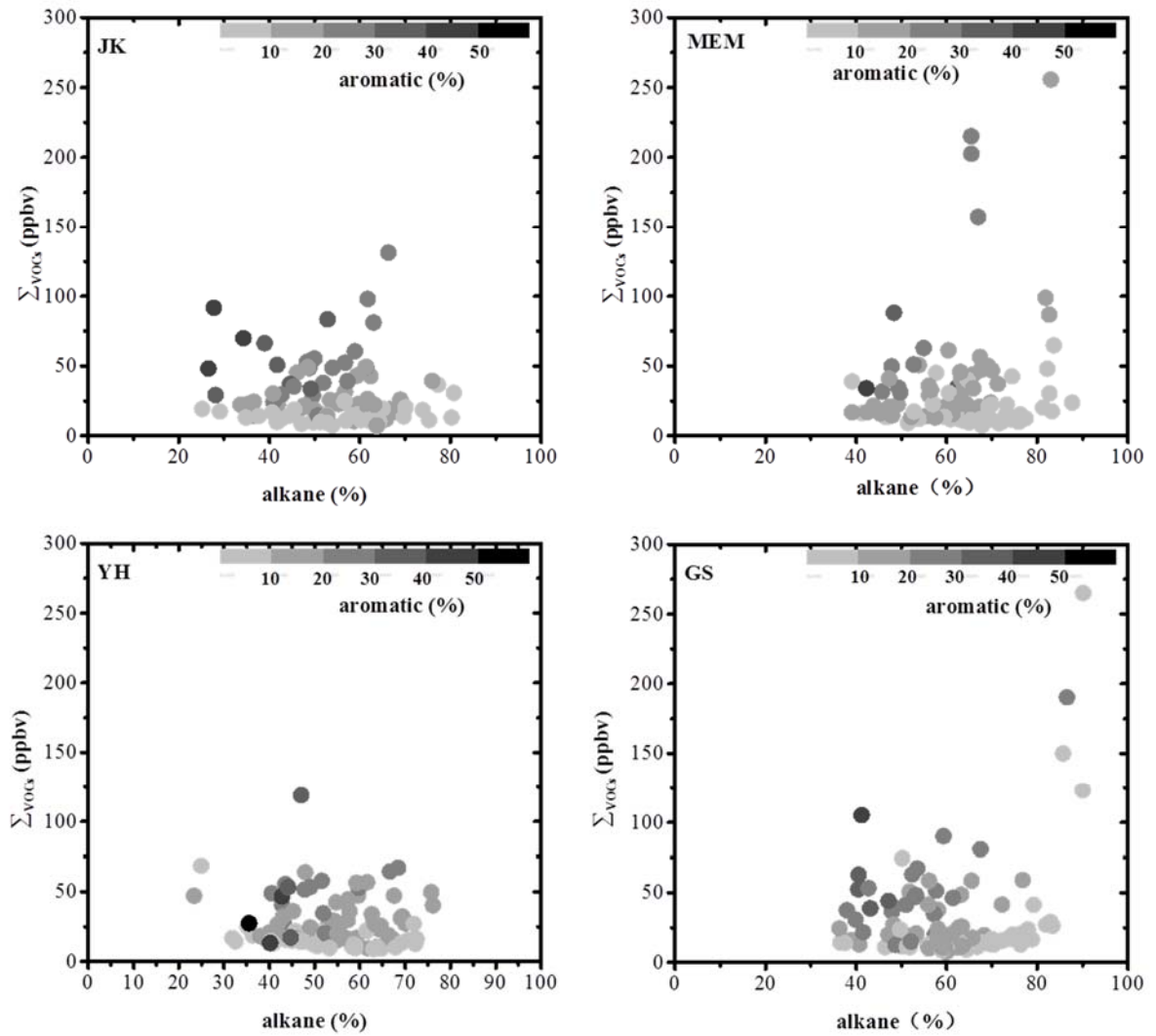
1252 Fig.6 Temporal variations of mixing ratios of Σ VOCs, NO_x and O₃ at the four sites during the whole

1253 sampling period, in which Σ VOCs-07 stands for the concentration level of Σ VOCs observed at

1254 07:00 LT, and Σ VOCs-14 was that observed at 14:00 LT.

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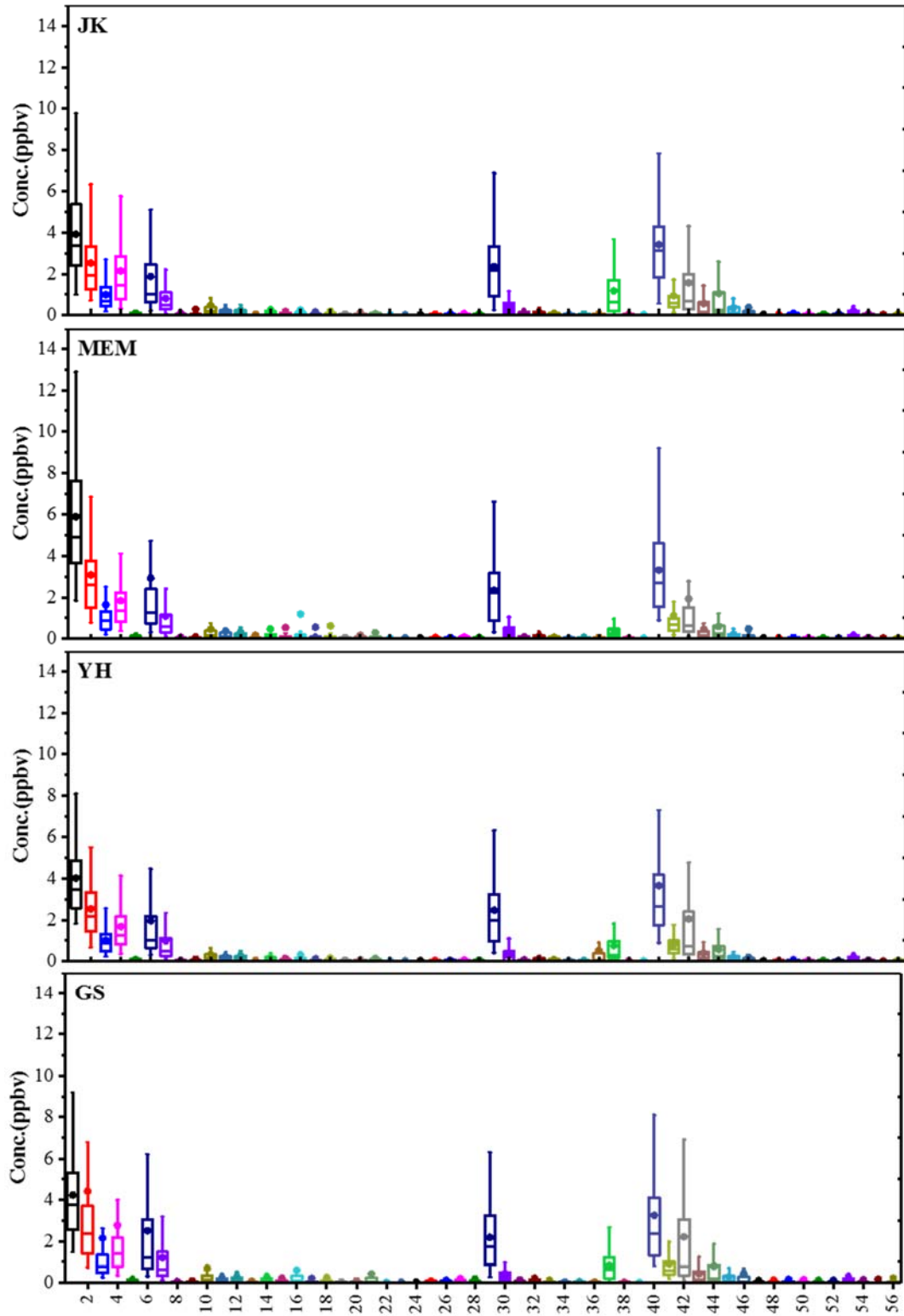
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1259 Fig. 7 The relationship between mixing ratio of ΣVOCs and the composition of alkane, the data

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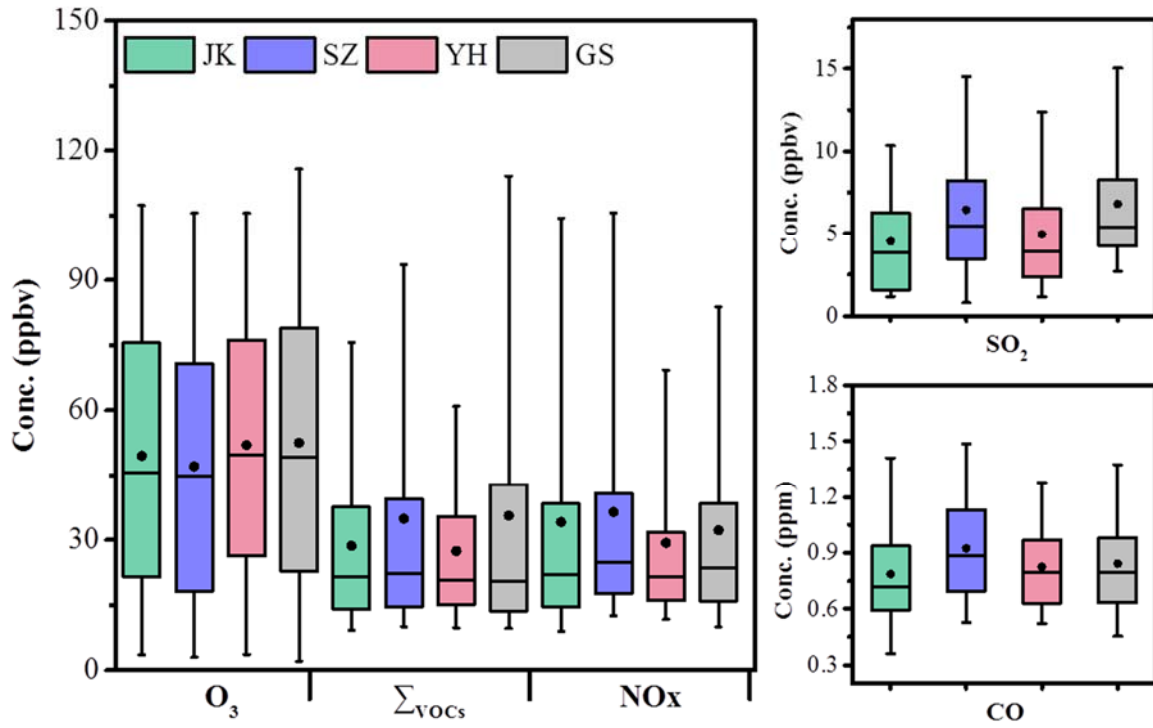
points are color coded with the composition of aromatic.

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1263 Fig.8 Concentrations of 57 VOCs at each site for the whole sampling period, the whiskers show the
 1264 5-95%iles, and the box shows the 25-75%iles, the solid points shows the arithmetic average, the line
 1265 in the box shows the median. The chemicals are listed in Table S1.



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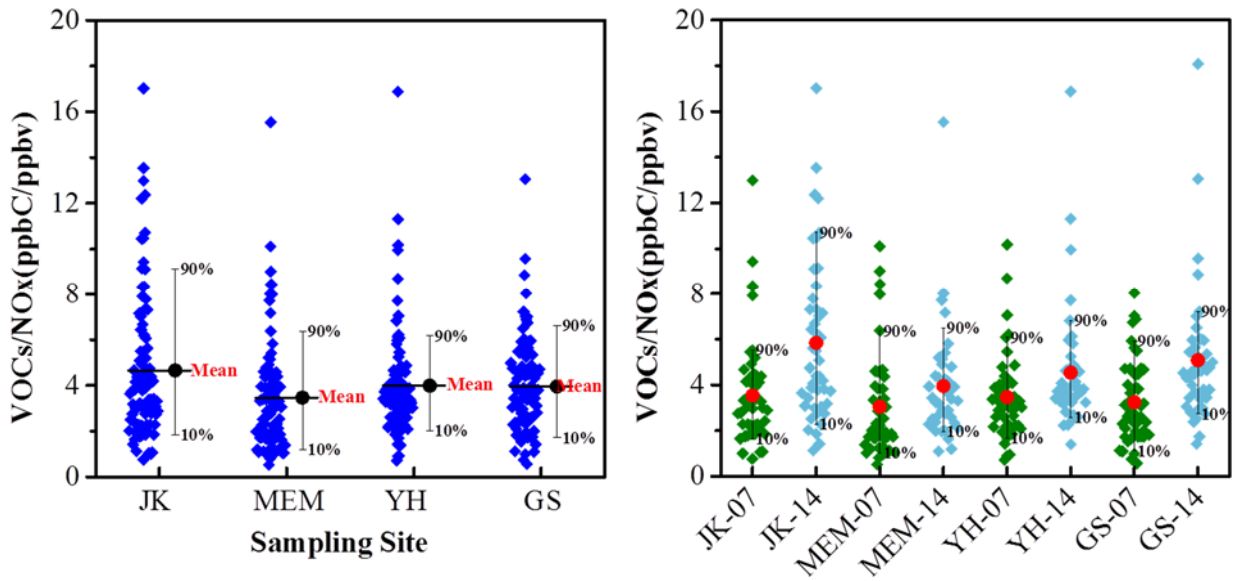
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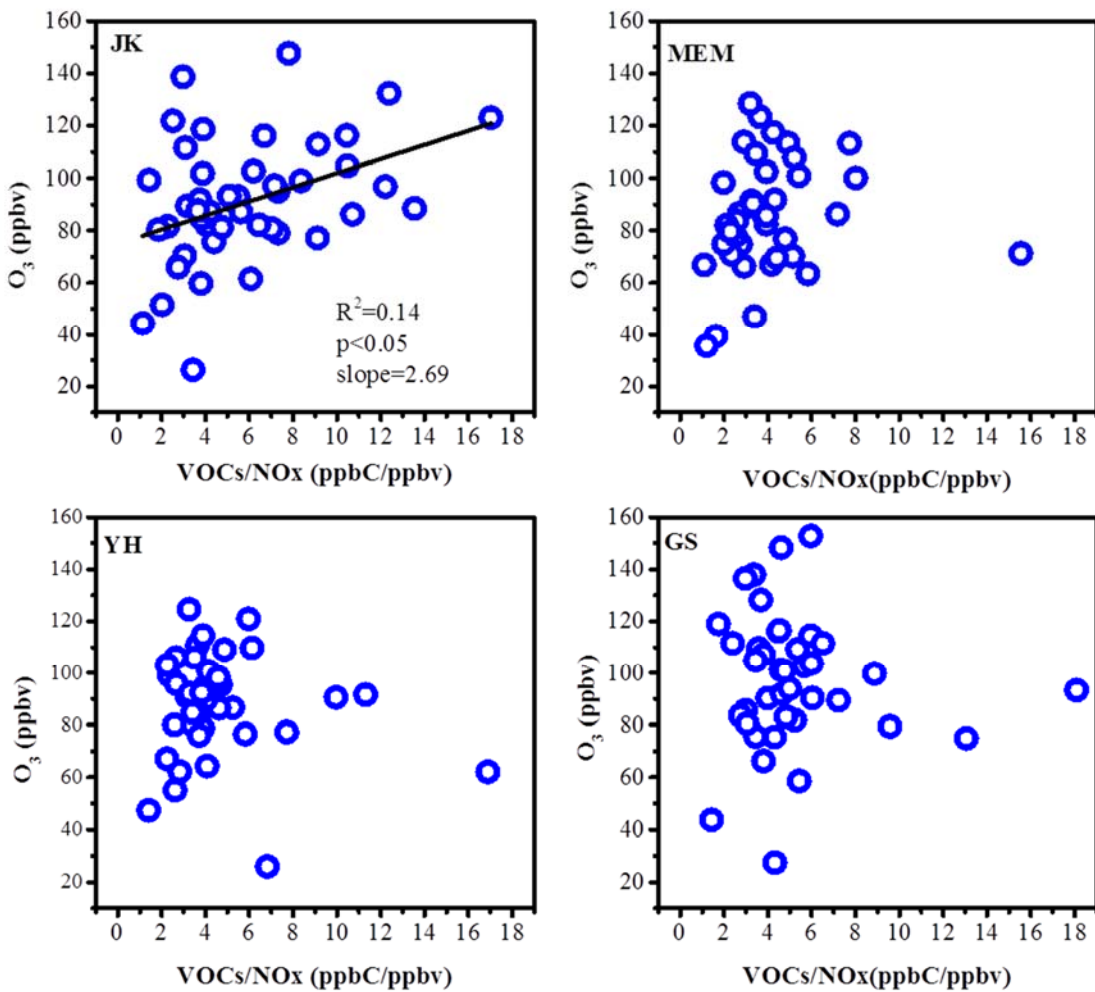
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Fig. 9 The distribution of concentration point on O₃, ΣVOCs, NO_x, SO₂ and CO at each site, the range of the box was 25%-75%, the black line in the box stands for median level, the black dot represent the average level, the range of whisker was 5-95%.



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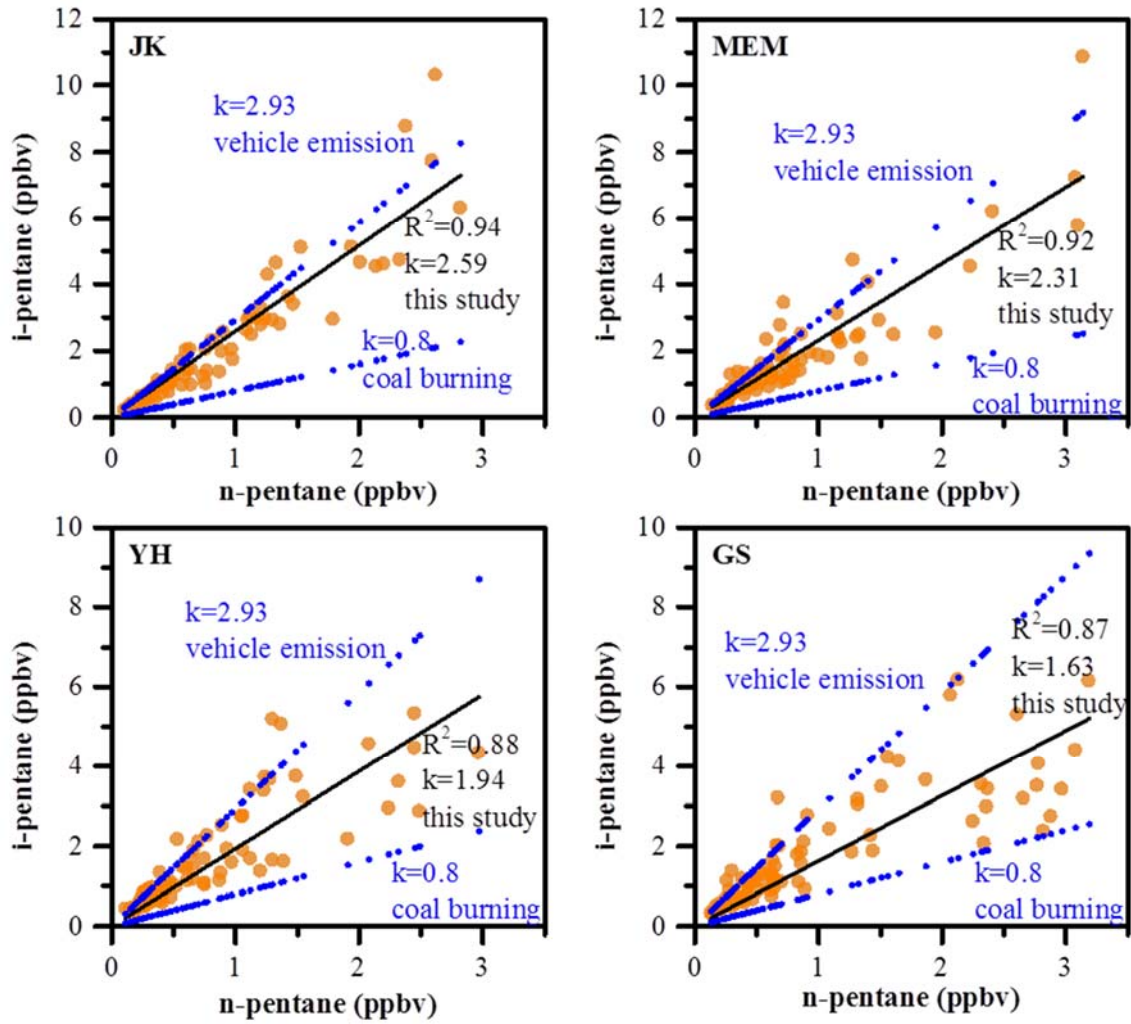
Fig.10 The data distribution of VOCs/NO_x(ppbC/ppbv) at the four sites (left), and the ratio observed at 07:00 LT and 14:00 LT were presented (right).



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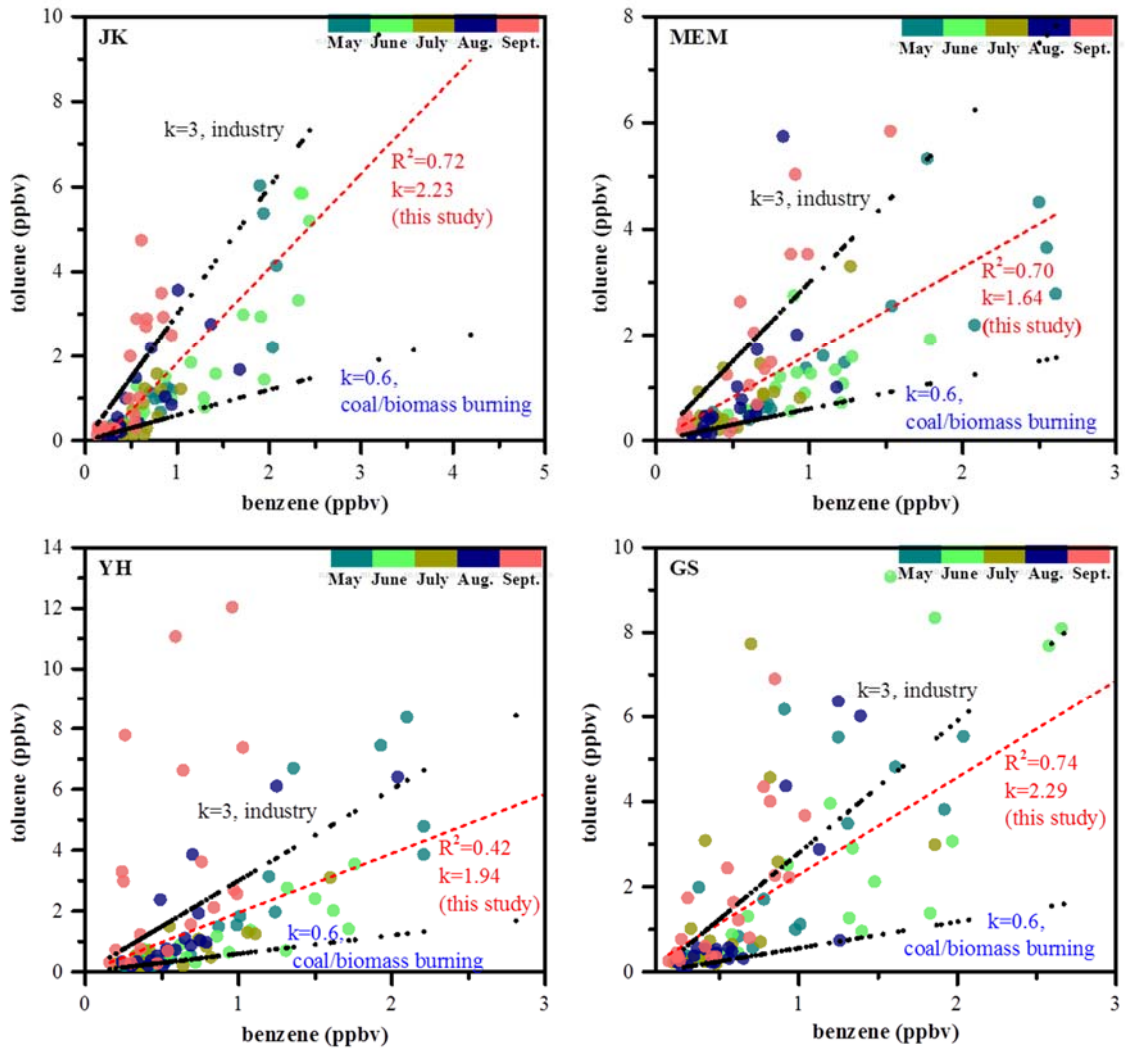
Fig.11 The relationship between O₃ and VOCs/NO_x at 14:00 LT for each of the four sampling sites.

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Fig. 12 Ratios of isopentane to n-pentane at every site



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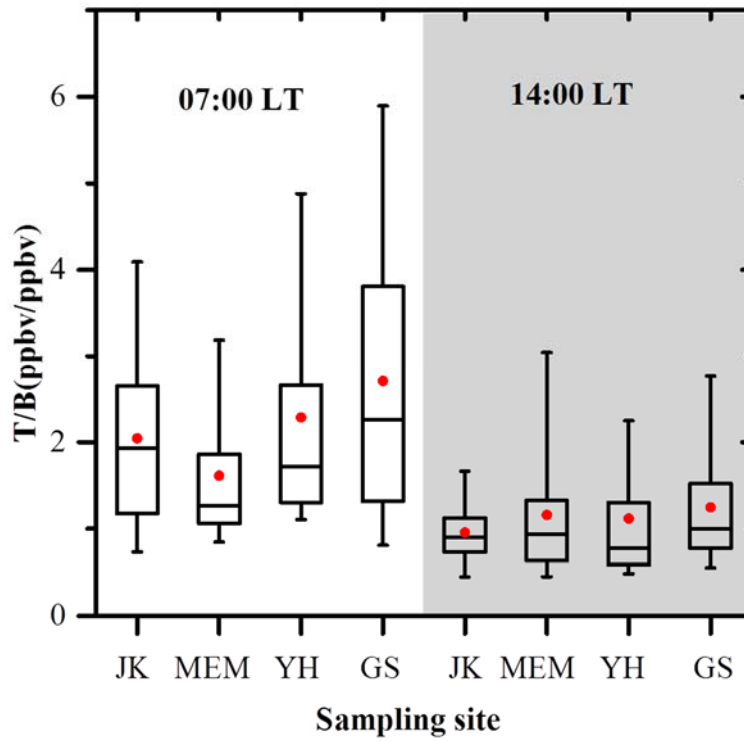
1285 Fig.13 T/B ratios and linear correlation coefficients (R^2) between benzene and toluene at every site, the

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data points were color mapped with sampling period.

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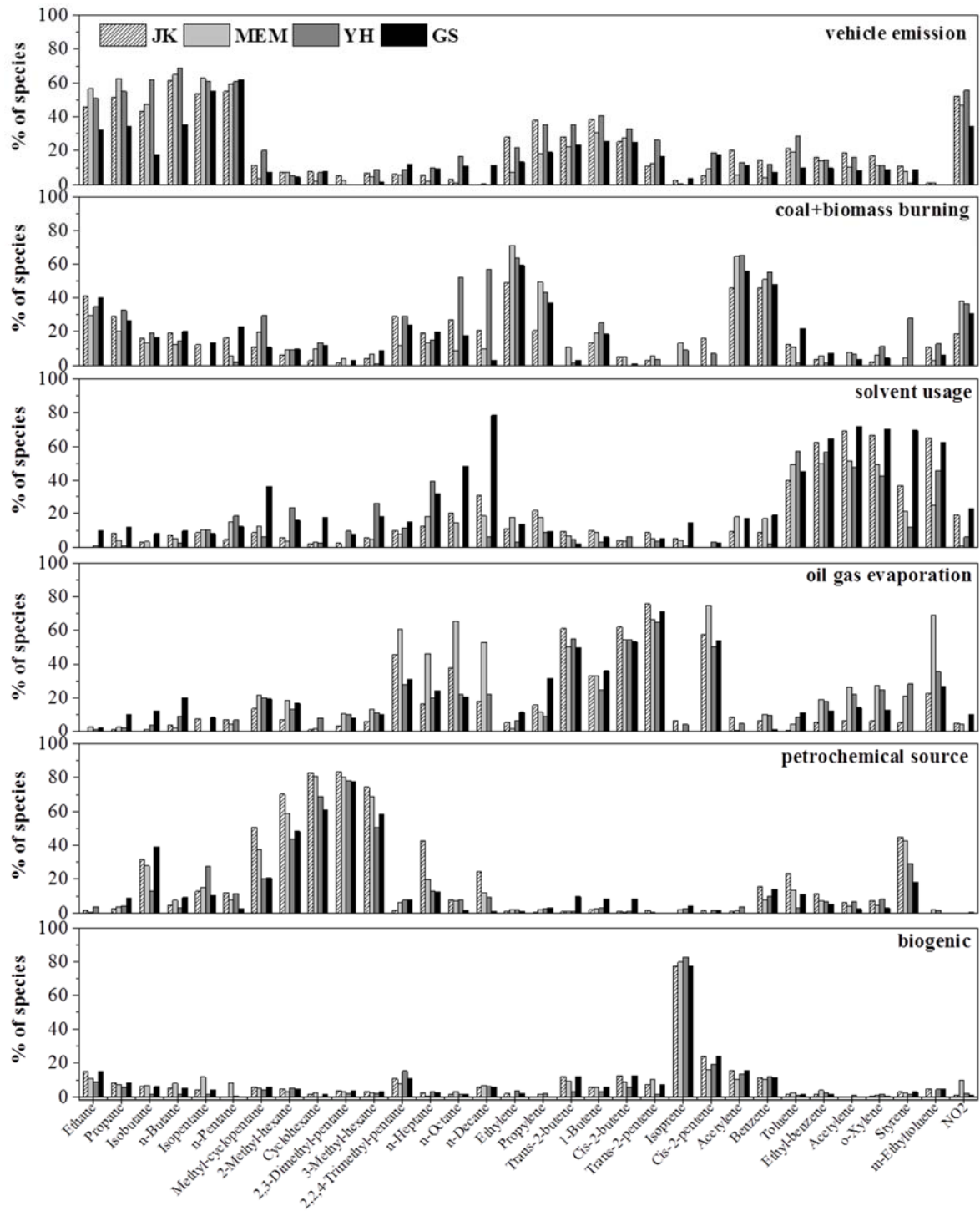
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1291 Fig. 14 The average ratio of T/B at 07:00LT and 14:00LT for each site during the whole sampling
1292 period

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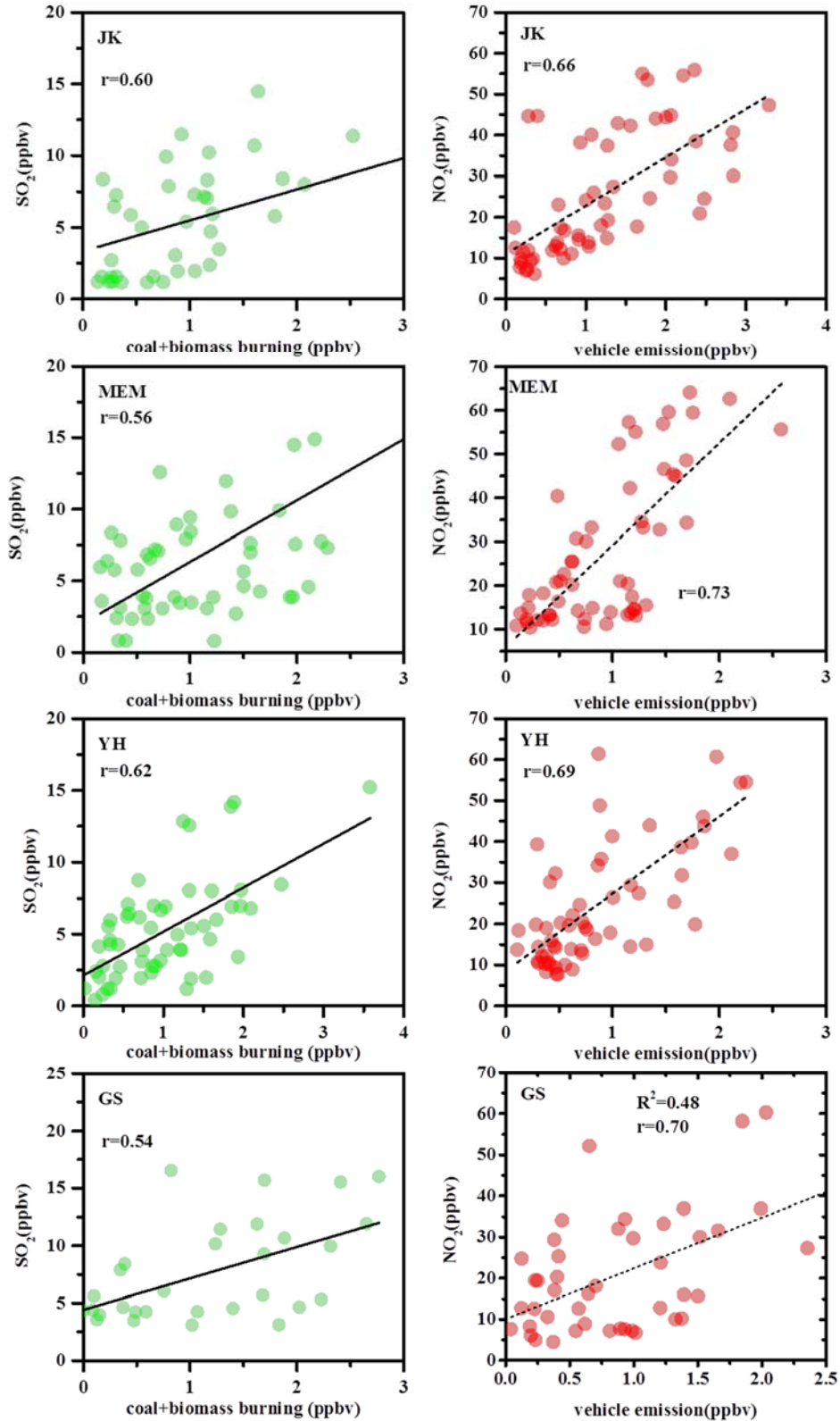
1295 Fig. 15 Factor profiles of major emission sources, namely vehicle emission, coal+biomass

1296 burning, solvent usage, oil gas evaporation, petrochemical and biogenic source resolved by PMF

1297 model.

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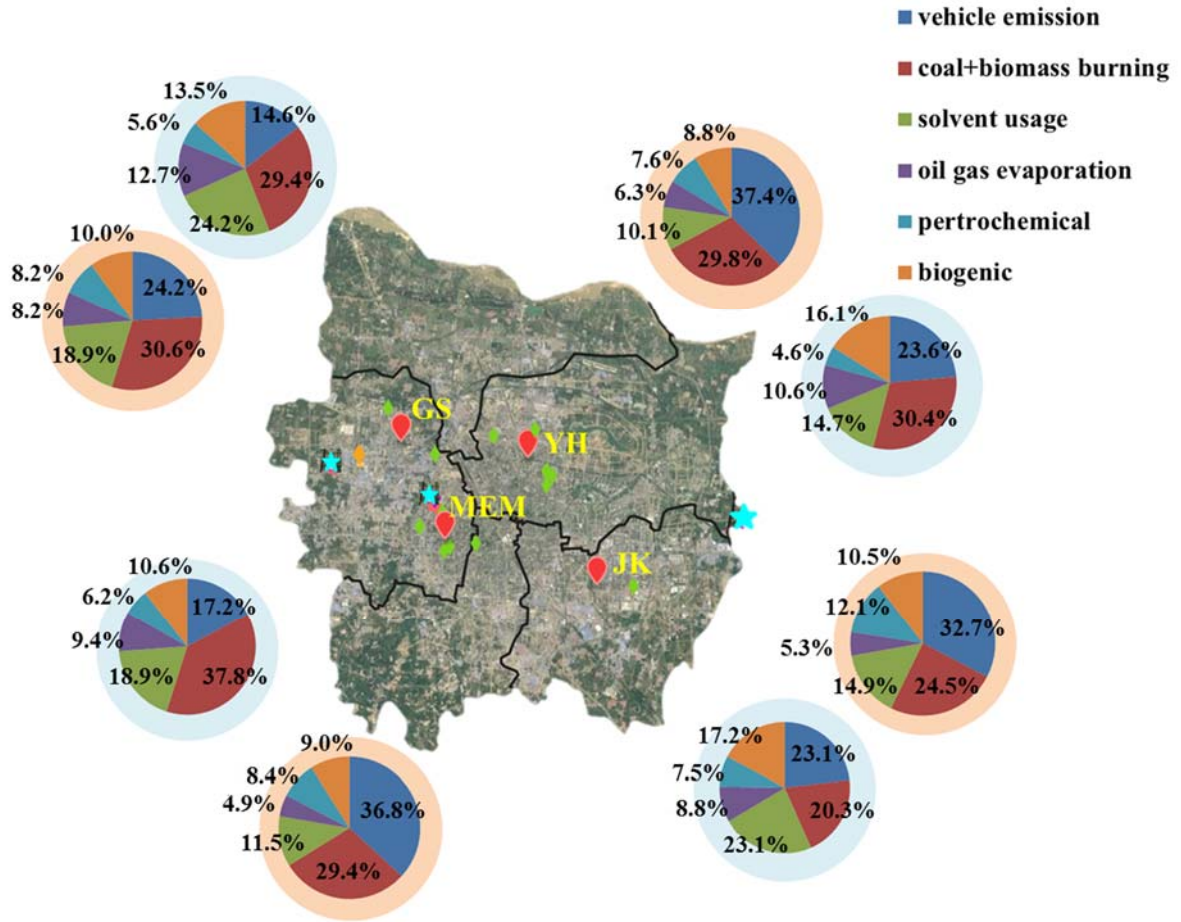
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1301 Fig. 16 Correlation analysis relating source-apportioned VOC contributions of coal+biomass burning

1302 (left column) and vehicle emission (right column) with co-located measurements of SO₂ and NO₂ for

1303 each site (rows).

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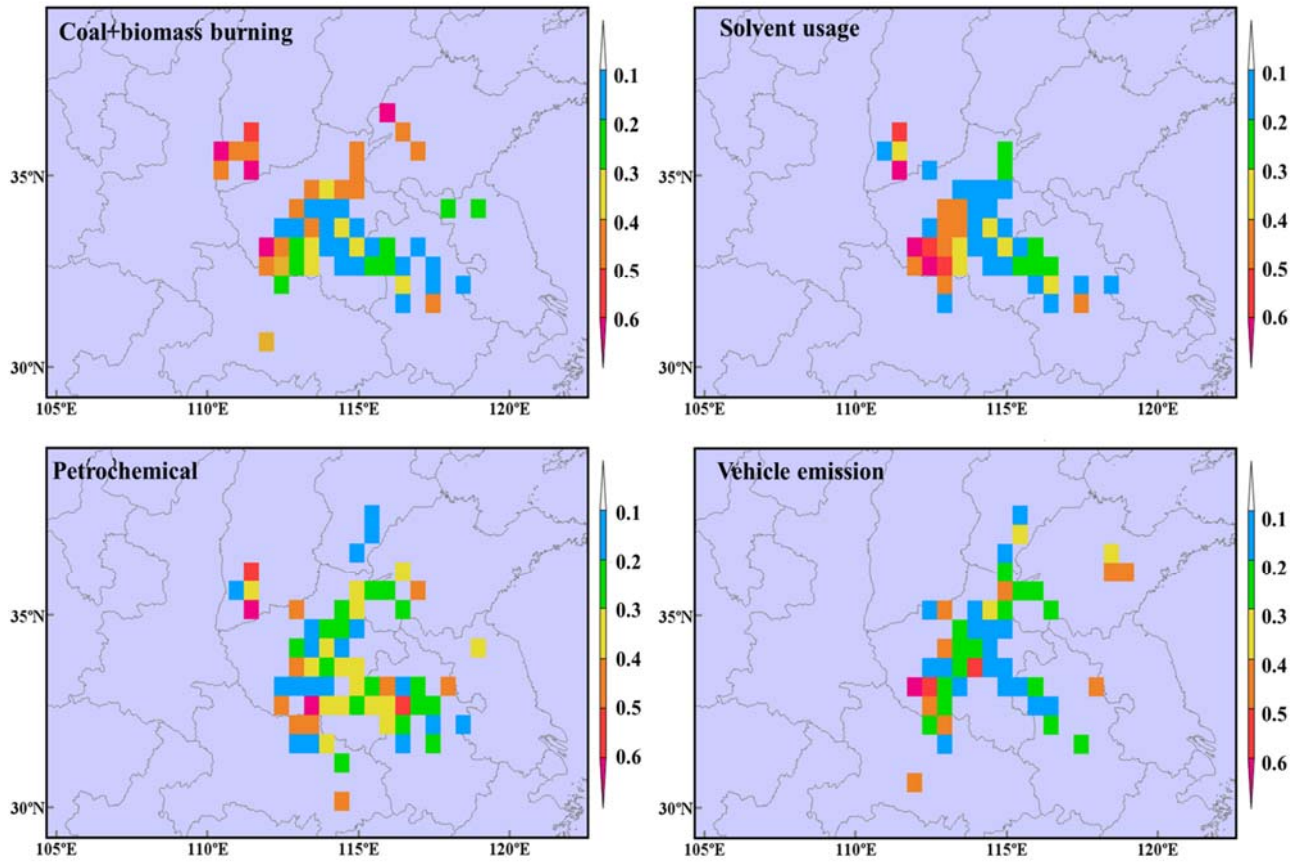
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Fig. 17 Source apportionment results during the whole sampling period. The results weighted in observed concentrations were shadowed with pink color, and the results estimated based on OFP were shadowed with light blue color.

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1314 Fig. 18 Probable source regions apportioned by PSCF at Zhengzhou at summer (June-Aug. 2017)

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during sampling period

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1319 **Reference**

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