



1 Nitrogen isotope fractionation during gas-particle conversion of NO_x to
2 NO₃⁻ in the atmosphere – implications for isotope-based NO_x source
3 apportionment

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23 **Abstract**

24 Atmospheric fine-particle (PM_{2.5}) pollution is frequently associated with the formation
25 of particulate nitrate ($p\text{NO}_3^-$), the end product of the oxidation of NO_x gases ($=\text{NO}+\text{NO}_2$)
26 in the upper troposphere. The application of stable nitrogen (N) (and oxygen) isotope
27 analyses of $p\text{NO}_3^-$ to constrain NO_x source partitioning in the atmosphere requires the
28 knowledge of the isotope fractionation during the reactions leading to nitrate formation.
29 Here we determined the $\delta^{15}\text{N}$ values of fresh $p\text{NO}_3^-$ ($\delta^{15}\text{N}-p\text{NO}_3^-$) in PM_{2.5} at a rural site
30 in Northern China, where atmospheric $p\text{NO}_3^-$ can be attributed exclusively to biomass
31 burning. The observed $\delta^{15}\text{N}-p\text{NO}_3^-$ ($12.17\pm 1.55\%$; $n=8$) was much higher than the N
32 isotopic source signature of NO_x from biomass burning ($1.04\pm 4.13\%$). The large
33 difference between $\delta^{15}\text{N}-p\text{NO}_3^-$ and $\delta^{15}\text{N}-\text{NO}_x$ ($\Delta(\delta^{15}\text{N})$) can be reconciled by the net N
34 isotope effect (ϵ_{N}) associated with the gas-particle conversion from NO_x to NO_3^- . For
35 the biomass-burning site, a mean ϵ_{N} ($\approx \Delta(\delta^{15}\text{N})$) of $10.99\pm 0.74\%$ was assessed through
36 a newly-developed computational quantum chemistry (CQC) module. ϵ_{N} depends on
37 the relative importance of the two dominant N isotope exchange reactions involved
38 (NO_2 reaction with OH versus hydrolysis of dinitrogen pentoxide (N_2O_5) with H_2O),
39 and varies between regions, and on a diurnal basis. A second, slightly higher CQC-
40 based mean value for ϵ_{N} ($15.33\pm 4.90\%$) was estimated for an urban site with intense
41 traffic in Eastern China, and integrated in a Bayesian isotope mixing model to make
42 isotope-based source apportionment estimates for NO_x at this site. Based on the $\delta^{15}\text{N}$
43 values ($10.93\pm 3.32\%$, $n=43$) of ambient $p\text{NO}_3^-$ determined for the urban site, and
44 considering the location-specific estimate for ϵ_{N} , our results reveal that the relative
45 contribution of coal combustion and road traffic to urban NO_x are $32\pm 1\%$ and $68\pm 1\%$,
46 respectively. This finding agrees well with a regional bottom-up emission inventory of
47 NO_x . Moreover, the variation pattern of OH contribution to ambient $p\text{NO}_3^-$ formation
48 calculated by the CQC module is consistent with that simulated by the Weather
49 Research and Forecasting model coupled with Chemistry (WRF-Chem), further
50 confirming the robustness of our estimates. Our investigations also show that, without
51 the consideration of the N isotope effect during $p\text{NO}_3^-$ formation, the observed $\delta^{15}\text{N}$ -

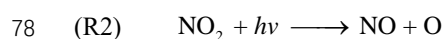
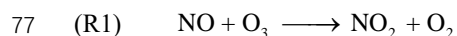


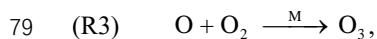
52 $p\text{NO}_3^-$ at the study site would erroneously imply that NO_x is derived almost entirely
53 from coal combustion. Similarly, reanalysis of reported $\delta^{15}\text{N}\text{-NO}_3^-$ data throughout
54 China and its neighboring areas suggests that, nationwide, NO_x emissions from coal
55 combustion may be substantively overestimated (by >30%) when the N isotope
56 fractionation during atmospheric $p\text{NO}_3^-$ formation is neglected.

57 **1 Introduction**

58 Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) are among the most important molecules in
59 tropospheric chemistry. They are involved in the formation of secondary aerosols and
60 atmospheric oxidants, such as ozone (O_3) and hydroxyl radicals (OH), which controls
61 the self-cleansing capacity of the atmosphere (Galloway et al., 2003; Seinfeld and
62 Pandis, 2012; Solomon et al., 2007). The sources of NO_x include both anthropogenic
63 and natural origins, with more than half of the global burden ($\sim 40 \text{ Tg N yr}^{-1}$) currently
64 attributed to fossil fuel burning ($22.4\text{-}26.1 \text{ Tg N yr}^{-1}$) and the rest primarily derived
65 from nitrification/denitrification in soils (including wetlands; $8.9 \pm 1.9 \text{ Tg N yr}^{-1}$),
66 biomass burning ($5.8 \pm 1.8 \text{ Tg N yr}^{-1}$), lightning ($2\text{-}6 \text{ Tg N yr}^{-1}$), and oxidation of N_2O
67 in the stratosphere ($0.1\text{-}0.6 \text{ Tg N yr}^{-1}$) (Jaegle et al., 2005; Richter et al., 2005; Lamsal
68 et al., 2011; Price et al., 1997; Yienger and Levy, 1995; Miyazaki et al., 2017; Duncan
69 et al., 2016; Anenberg et al., 2017; Levy et al., 1996). The main/ultimate sinks for
70 NO_x in the troposphere are the oxidation to nitric acid ($\text{HNO}_{3(g)}$) and the formation of
71 aerosol-phase particulate nitrate ($p\text{NO}_3^-$) (Seinfeld and Pandis, 2012), the partitioning
72 of which may vary on diurnal and seasonal time scales (Morino et al., 2006).

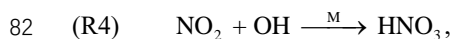
73 Emissions of NO_x occur mostly in the form of NO (Seinfeld and Pandis, 2012; Leighton,
74 1961). During daytime, transformation from NO to NO_2 is rapid (few minutes) and
75 proceeds in a photochemical steady state, controlled by the oxidation of NO by O_3 to
76 NO_2 , and the photolysis of NO_2 back to NO (Leighton, 1961):



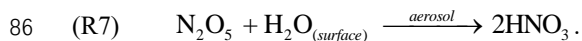
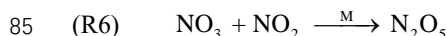
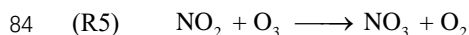


80 where M is any non-reactive species that can take up the energy released to stabilize

81 O. NO_x oxidation to HNO₃ is governed by the following equations. During daytime:



83 and during nighttime:

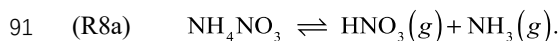


87 HNO₃ then reacts with gas-phase NH₃ to form ammonium nitrate (NH₄NO₃) aerosols.

88 If the ambient relative humidity (RH) is lower than the efflorescence relative humidity

89 (ERH) or crystallization relative humidity (CRH), solid-phase NH₄NO₃(s) is formed

90 (Smith et al., 2012; Ling and Chan, 2007):



92 If ambient RH exceeds the ERH or CRH, HNO₃ and NH₃ dissolve into the aqueous

93 phase (aq) (Smith et al., 2012; Ling and Chan, 2007):



95 Whilst global NO_x emissions are well constrained, individual source attribution and
96 their local or regional role in particulate nitrate formation are difficult to assess due to
97 the short lifetime of NO_x (typically less than 24 hr), and the high degree of
98 spatiotemporal heterogeneity with regards to the ratio between gas-phase HNO₃ and
99 particulate NO₃⁻ (*p*NO₃⁻) (Duncan et al., 2016; Lu et al., 2015; Zong et al., 2017; Zhang
100 et al., 2003). Given the conservation of the nitrogen (N) atom between NO_x sources and
101 sinks, the N isotopic composition of *p*NO₃⁻ can be related to the different origins of the



102 emitted NO_x , and thus provides valuable information on the partitioning of the NO_x
103 sources. Such N isotope balance approach works best if the N isotopic composition of
104 various NO_x sources display distinct $^{15}\text{N}/^{14}\text{N}$ ratios (reported as
105 $\delta^{15}\text{N} = \frac{\left(\frac{^{15}\text{N}}{^{14}\text{N}}\right)_{\text{sample}} - \left(\frac{^{15}\text{N}}{^{14}\text{N}}\right)_{\text{N}_2}}{\left(\frac{^{15}\text{N}}{^{14}\text{N}}\right)_{\text{N}_2}} \times 1000$). The $\delta^{15}\text{N}$ - NO_x of coal-fired power plant
106 (+10‰ to +25‰) (Felix et al., 2012; Heaton, 1990; Felix et al., 2013), vehicle (+3.7‰
107 to +5.7‰) (Heaton, 1990; Walters et al., 2015; Felix and Elliott, 2014; Felix et al., 2013;
108 Wojtal et al., 2016), and biomass burning (-7‰ to +12‰) emissions (Fibiger and
109 Hastings, 2016), for example, are generally higher than that of lightning (-0.5‰ to
110 +1.4‰) (Hoering, 1957) and biogenic soil (-48.9‰ to -19.9‰) emissions (Li and Wang,
111 2008; Felix and Elliott, 2014; Felix et al., 2013), allowing the use of isotope mixing
112 models to gain insight on the NO_x source apportionment for gases, aerosols, as well as
113 the resulting nitrate deposition (-15‰ to +15‰) (Elliott et al., 2007; Zong et al., 2017;
114 Savarino et al., 2007; Morin et al., 2008; Elliott et al., 2009; Park et al., 2018; Altieri et
115 al., 2013; Gobel et al., 2013). In addition, because of mass-independent fractionation
116 during its formation (Thiemens, 1999; Thiemens and Heidenreich, 1983), ozone
117 possesses a strong isotope anomaly ($\Delta^{17}\text{O} \approx \delta^{17}\text{O} - 0.52 \cdot \delta^{18}\text{O}$), which is propagated into
118 the most short-lived oxygen-bearing species, including NO_x and nitrate. Therefore, the
119 oxygen isotopic composition of nitrate ($\delta^{18}\text{O}$, $\Delta^{17}\text{O}$) can provide information on the
120 oxidants involved in the conversion of NO_x to nitrate (Michalski et al., 2003; Geng et
121 al., 2017).

122 $\delta^{15}\text{N}$ -based source apportionment of NO_x requires knowledge of how kinetic and
123 equilibrium isotope fractionation may impact $\delta^{15}\text{N}$ values during the conversion of NO_x
124 to nitrate (Freyer, 1978; Walters et al., 2016). If these isotope effects are considerable,
125 they may greatly limit the use of $\delta^{15}\text{N}$ values of $p\text{NO}_3^-$ for NO_x source partition (Walters
126 et al., 2016). Previous studies didn't take into account the potentially biasing effect of
127 N isotope fractionation, because they assumed that changes in the $\delta^{15}\text{N}$ values during
128 the conversion of NO_x to nitrate are minor (without detailed explanation) (Kendall et
129 al., 2007; Morin et al., 2008; Elliott et al., 2007) or relatively small (e.g., +3‰) (Felix



130 and Elliott, 2014; Freyer, 2017). However, a field study by Freyer et al. (1993) has
131 indicated that N isotope exchange may have a strong influence on the observed $\delta^{15}\text{N}$
132 values in atmospheric NO and NO₂, implying that isotope equilibrium fractionation
133 may play a significant role in shaping the $\delta^{15}\text{N}$ of NO_y species (the family of oxidized
134 nitrogen molecules in the atmosphere, including NO_x, NO₃, NO₃⁻, peroxyacetyl nitrate
135 etc.). The transformation of NO_x to nitrate is a complex process that involves several
136 different reaction pathways (Walters et al., 2016). To date, few fractionation factors for
137 this conversion have been determined. Recently, Walters and Michalski (2015) and
138 Walters et al. (2016) used computational quantum chemistry methods to calculate N
139 isotope equilibrium fractionation factors for the exchange between major NO_y
140 molecules and confirmed theoretical predictions that ¹⁵N isotopes enrich in the more
141 oxidized form of NO_y, and that the transformation of NO_x to atmospheric nitrate (HNO₃,
142 NO₃ (aq), NO₃ (g)) continuously increases the $\delta^{15}\text{N}$ in the residual NO_x pool.

143 As a consequence of its severe atmospheric particle pollution during the cold season,
144 China has made great efforts toward reducing NO_x emissions from on-road traffic (e.g.,
145 improving emission standards, higher gasoline quality, vehicle travel restrictions) (Li
146 et al., 2017). Moreover, China has continuously implemented denitrogenation
147 technologies (e.g., selective catalytic reduction or SCR) in the coal-fired power plants
148 sector since the mid-2000s, and has been phasing out small inefficient units (Liu et al.,
149 2015). Monitoring and assessing the efficiency of such mitigation measures, and
150 optimizing policy efforts to further reduce NO_x emissions, requires knowledge of the
151 vehicle- and power plant-emitted NO_x to particulate nitrate in urban China (Ji et al.,
152 2015; Fu et al., 2013; Zong et al., 2017). In this study, the chemical components of
153 ambient fine particles (PM_{2.5}) were quantified, and the isotopic composition of
154 particulate nitrate ($\delta^{15}\text{N}\text{-NO}_3^-$, $\delta^{18}\text{O}\text{-NO}_3^-$) was assessed in order to elucidate ambient
155 NO_x sources in two distinct areas of China. We also investigated the potential isotope
156 effect during the formation of nitrate aerosols from NO_x, and evaluated how disregard
157 of such N isotope fractionation can bias N-isotope mixing model-based estimates on
158 the NO_x source apportionment for nitrate deposition.



159 **2 Methods**

160 **2.1 Field sampling**

161 In this study, PM_{2.5} aerosol samples were collected on precombusted (450 °C for 6 hr)
162 quartz filters (25 × 20 cm) on a day/night basis, using high-volume air samplers at a
163 flow rate of 1.05 m³ min⁻¹ in Sanjiang and Nanjing (Fig. 1). After sampling, the filters
164 were wrapped in aluminum foil, packed in air-tight polyethylene bags and stored at -
165 20 °C prior to further processing and analysis. Four blank filters were also collected.
166 They were exposed for 10 min to ambient air (i.e., without active sampling). PM_{2.5} mass
167 concentration was analyzed gravimetrically (Sartorius MC5 electronic microbalance)
168 with a ± 1 µg precision before and after sampling (at 25°C and 45 ± 5% during
169 weighing).

170

171 **Figure 1.**

172

173 The Sanjiang campaign was performed during a period of intensive burning of
174 agricultural residues between October 8 and 18, 2013, to examine if there is any
175 significant difference between the $\delta^{15}\text{N}$ values of $p\text{NO}_3^-$ and NO_x emitted from biomass
176 burning. The Sanjiang site (in the following abbreviated as SJ; 47.35°N, 133.31°E) is
177 located at an ecological experimental station affiliated with the Chinese Academy of
178 Sciences located in the Sanjiang Plain, a major agricultural area predominantly run by
179 state farms in Northeastern China (Fig. 1). Surrounded by vast farm fields and bordering
180 Far-Eastern Russia, SJ is situated in a remote and sparsely populated region, with a
181 harsh climate and rather poorly industrialized economy. The annual mean temperature
182 at SJ is close to the freezing point, with daily minima ranging between -31 and -15°C
183 in the coldest month January. As a consequence of the relatively low temperatures (also
184 during summer), biogenic production of NO_x through soil microbial processes is rather



185 weak. SJ is therefore an excellent environment where to collect biomass burning-
186 emitted aerosols with only minor influence from other sources.

187 The Nanjing campaign was conducted between 17 December 2014 and 8 January 2015
188 with the main objective to examine whether N isotope measurements can be used as a
189 tool to elucidate NO_x source contributions to ambient pNO₃⁻ during times of severe
190 haze. Situated in the heartland of the lower Yangtze River region, Nanjing is, after
191 Shanghai, the second largest city in Eastern China. The aerosol sampler was placed at
192 the rooftop of a building on the Nanjing University of Information Science and
193 Technology campus (in the following abbreviated as NJ; 18 m a.g.l.; 32.21° N, 118.72°
194 E; Fig. 1), where NO_x emissions derive from both industrial and transportation sources.

195 **2.2 Laboratory analysis**

196 The mass concentrations of inorganic ions (including SO₄²⁻, NO₃⁻, Cl⁻, NH₄⁺, K⁺, Ca²⁺,
197 Mg²⁺, and Na⁺), carbonaceous components (organic carbon or OC, elemental carbon or
198 EC), and water-soluble organic carbon or WSOC were determined using an ion
199 chromatograph (761 Compact IC, Metrohm, Switzerland), a thermal/optical OC/EC
200 analyzer (RT-4 model, Sunset Lab. Inc., USA), and a TOC analyzer (Shimadzu, TOC-
201 VCSH, Japan), respectively. Importantly, levoglucosan, a molecular marker for the
202 biomass combustion aerosols was detected using a DionexTM ICS-5000⁺ system
203 (Thermo Fisher Scientific, Sunnyvale, USA). In addition, a homologous series of
204 dicarboxylic acids (C₂-C₁₁) and related compounds (oxoacids, α-dicarbonyls and fatty
205 acids) were analyzed using an Agilent 7890 gas chromatography and GC-MS detection
206 (Agilent Technologies, Wilmington, USA), employing a dibutyl ester derivatization
207 technique. Chemical aerosol analyses, including sample pre-treatment, analytical
208 procedures, protocol adaption, detection limits, and experimental uncertainty were
209 described in detail in our previous work (Cao et al., 2016; Cao et al., 2017).

210 For isotopic analyses of aerosol nitrate, aerosol subsamples were generated by punching
211 1.4-cm disks out of the filters. In order to extract the NO₃⁻, sample discs were placed in



212 acid-washed glass vials with 10 ml deionized water and placed in an ultra-sonic water
213 bath for 30 min. Between one and four disks were used for NO_x extraction, dependent
214 on the aerosol NO₃⁻ content on the filters, which was determined independently. The
215 extracts were then filtered (0.22 μm) and analyzed the next day. N and O isotope
216 analyses of the extracted/dissolved aerosol nitrate (¹⁵N/¹⁴N, ¹⁸O/¹⁶O) were performed
217 using the denitrifier method (Sigman et al., 2001; Casciotti et al., 2002). Briefly, sample
218 NO₃⁻ is converted to nitrous oxide (N₂O) by denitrifying bacteria that lack N₂O
219 reductase activity (*Pseudomonas chlororaphis* ATCC# 13985; formerly *Pseudomonas*
220 *aureofaciens*, referred to below as such). N₂O is extracted, purified, and analyzed for
221 its N and O isotopic composition using a continuous-flow isotope ratio mass
222 spectrometer (Thermo Finnigan Delta⁺, Bremen, German). Nitrate N and O isotope
223 ratios are reported in the conventional δ-notation with respect to atmospheric N₂ and
224 standard mean ocean water (V-SMOW) respectively. Analyses are calibrated using the
225 international nitrate isotope standard IAEA-N3, with a δ¹⁵N value of 4.7‰ and a δ¹⁸O
226 value of 25.6‰ (Böhlke et al., 2003). The blank contribution was generally lower than
227 0.2 nmol (as compared to 20 nmol of sample N). Based on replicate measurements of
228 standards and samples, the analytical precision for δ¹⁵N and δ¹⁸O was generally better
229 than ± 0.2‰ and ± 0.3‰ (1σ), respectively.

230 The denitrifier method generates δ¹⁵N and δ¹⁸O values of the combined pool of NO₃⁻
231 and NO₂⁻. The presence of substantial amounts of NO₂⁻ in NO₃⁻ samples may lead to
232 errors with regards to the analysis of δ¹⁸O (Wankel et al., 2010). We refrained from
233 including a nitrite-removal step, because nitrite concentrations in our samples were
234 always < 1% of the NO₃⁻ concentrations. In the following δ¹⁵N_{NO_x} and δ¹⁸O_{NO_x} are thus
235 referred to as nitrate δ¹⁵N and δ¹⁸O (or δ¹⁵N_{NO₃} and δ¹⁸O_{NO₃}).

236 In the case of atmospheric/aerosol nitrate samples with comparatively high δ¹⁸O values,
237 δ¹⁵N values tend to be overestimated by 1-2‰ (Hastings et al., 2003), if the contribution
238 of ¹⁴N¹⁴N¹⁷O to the N₂O mass 45 signal is not accounted for during isotope ratio
239 analysis. For most natural samples, the mass-dependent relationship can be



240 approximated as $\delta^{17}\text{O} \approx 0.52 \times \delta^{18}\text{O}$, and the $\delta^{18}\text{O}$ can be used for the ^{17}O correction.
 241 Atmospheric NO_3^- does not follow this relationship but inhabits a mass-independent
 242 component. Thus, we adopted a correction factor of 0.8 instead of 0.52 for the ^{17}O to
 243 ^{18}O linearity (Hastings et al., 2003).

244 2.3 Calculation of N isotope fractionation value (ϵ_N)

245 As we described above, the transformation process of NO_x to $\text{HNO}_3/\text{NO}_3^-$ involves
 246 multiple reaction pathways (see also Fig. S1) and is likely to undergo isotope
 247 equilibrium exchange reactions. The measured $\delta^{15}\text{N}-\text{NO}_3^-$ values of aerosol samples are
 248 thus reflective of the combined N isotope signatures of various NO_x sources ($\delta^{15}\text{N}-\text{NO}_x$)
 249 plus any given N isotope fractionation. Recently, Walter and Michalski (2015) used a
 250 computational quantum chemistry approach to calculate isotope exchange fractionation
 251 factors for atmospherically relevant NO_y molecules, and based on this approach, Zong
 252 et al. (2017) estimated the N isotope fractionation during the transformation of NO_x to
 253 $p\text{NO}_3^-$ at a regional background site in China. Here we adopt, and slightly modify, the
 254 approach by Walter and Michalski (2015) and Zong et al. (2017), and assumed that the
 255 net N isotope effect ϵ_N (for equilibrium processes $A \leftrightarrow B$: $\epsilon_{A \leftrightarrow B} =$
 256 $\left(\frac{(\text{heavy isotope/light isotope})_A}{(\text{heavy isotope/light isotope})_B} - 1 \right) \cdot 1000\text{‰}$; ϵ_N refers to $\epsilon_{N(\text{NO}_x \leftrightarrow p\text{NO}_3^-)}$ in this
 257 study unless otherwise specified) during the gas-to-particle conversion from NO_x to
 258 $p\text{NO}_3^-$ formation ($\Delta(\delta^{15}\text{N})_{p\text{NO}_3^-, \text{NO}_x} = \delta^{15}\text{N}-p\text{NO}_3^- - \delta^{15}\text{N}-\text{NO}_x \approx \epsilon_N$) can be considered
 259 a hybrid of the isotope effects of two dominant N isotopic exchange reactions:

$$\begin{aligned}
 \epsilon_N &= \gamma \times \epsilon_{N(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} + (1 - \gamma) \times \epsilon_{N(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}} \\
 &= \gamma \times \epsilon_{N(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} + (1 - \gamma) \times \epsilon_{N(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}}
 \end{aligned}
 \quad (1)$$

261 where γ represents the contribution from isotope fractionation by the reaction of NO_x
 262 and photo-chemically produced OH to form HNO_3 (and $p\text{NO}_3^-$), as shown by
 263 $\epsilon_{N(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}}$ ($\epsilon_{N(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$). The remainder is formed by the hydrolysis of N_2O_5



264 with aerosol water to generate HNO₃ (and pNO₃⁻), namely, $\varepsilon_{N(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}}$
 265 ($\varepsilon_{N(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{H}_2\text{O}}}$). Assuming that kinetic N isotope fractionation associated with the
 266 reaction between NO_x and OH is negligible, $\varepsilon_{N(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{OH}}}$ can be calculated based on
 267 mass-balance considerations:

$$\begin{aligned}
 &\varepsilon_{N(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{OH}}} = \varepsilon_{N(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} = \varepsilon_{N(\text{NO}_2 \leftrightarrow \text{HNO}_3)_{\text{OH}}} \\
 268 &= 1000 \times \left[\frac{({}^{15}\alpha_{\text{NO}_2/\text{NO}} - 1)(1 - f_{\text{NO}_2})}{(1 - f_{\text{NO}_2}) + ({}^{15}\alpha_{\text{NO}_2/\text{NO}} \times f_{\text{NO}_2})} \right] \quad (2)
 \end{aligned}$$

269 where ${}^{15}\alpha_{\text{NO}_2/\text{NO}}$ is the temperature-dependent (see equation 7 and Table S1)
 270 equilibrium N isotope fractionation factor between NO₂ and NO, and f_{NO_2} is the
 271 fraction of NO₂ in the total NO_x. f_{NO_2} ranges from 0.2 to 0.95 (Walters and
 272 Michalski, 2015). Similarly, assuming a negligible kinetic isotope fractionation
 273 associated with the reaction N₂O₅ + H₂O + aerosol → 2HNO₃, $\varepsilon_{N(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{H}_2\text{O}}}$ can be
 274 computed from the following equation:

$$\begin{aligned}
 &\varepsilon_{N(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{H}_2\text{O}}} = \varepsilon_{N(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}} = \\
 275 &\varepsilon_{N(\text{NO}_x \leftrightarrow \text{N}_2\text{O}_5)_{\text{H}_2\text{O}}} = 1000 \times ({}^{15}\alpha_{\text{N}_2\text{O}_5/\text{NO}_2} - 1) \quad (3)
 \end{aligned}$$

276 where ${}^{15}\alpha_{\text{N}_2\text{O}_5/\text{NO}_2}$ is the equilibrium isotope fractionation factor between N₂O₅ and
 277 NO₂, which also is temperature-dependent (see equation 7 and Table S1).

278 Following Walter and Michalski (2015) and Zhong et al. (2017), γ can then be
 279 approximated based on the O isotope fractionation during the conversion of NO_x to
 280 pNO₃⁻:

$$\begin{aligned}
 &\varepsilon_{O(\text{NO}_x \leftrightarrow \text{pNO}_3^-)} = \gamma \times \varepsilon_{O(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{OH}}} + (1 - \gamma) \times \varepsilon_{O(\text{NO}_x \leftrightarrow \text{pNO}_3^-)_{\text{H}_2\text{O}}} \\
 281 &= \gamma \times \varepsilon_{O(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} + (1 - \gamma) \times \varepsilon_{O(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}} \quad (4)
 \end{aligned}$$



282 where $\varepsilon_{O(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$ and $\varepsilon_{O(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}}$ represent the O isotope effects associated
 283 with $p\text{NO}_3^-$ generation through the reaction of NO_x and OH to form HNO_3 , and the
 284 hydrolysis of N_2O_5 on a wetted surface to form HNO_3 , respectively. $\varepsilon_{O(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$ can
 285 be further expressed as:

$$\begin{aligned}
 \varepsilon_{O(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} &= \varepsilon_{O(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} = \frac{2}{3} \varepsilon_{O(\text{NO}_2 \leftrightarrow \text{HNO}_3)_{\text{OH}}} + \frac{1}{3} \varepsilon_{O(\text{NO} \leftrightarrow \text{HNO}_3)_{\text{OH}}} \\
 286 \quad &= \frac{2}{3} \left[\frac{1000 \left({}^{18}\alpha_{\text{NO}_2/\text{NO}} - 1 \right) (1 - f_{\text{NO}_2})}{(1 - f_{\text{NO}_2}) + ({}^{18}\alpha_{\text{NO}_2/\text{NO}} \times f_{\text{NO}_2})} + (\delta^{18}\text{O}-\text{NO}_x) \right] + \quad (5) \\
 &\quad \frac{1}{3} \left[(\delta^{18}\text{O}-\text{H}_2\text{O}) + 1000 \left({}^{18}\alpha_{\text{OH}/\text{H}_2\text{O}} - 1 \right) \right]
 \end{aligned}$$

287 and $\varepsilon_{O(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}}$ can be determined as follows:

$$288 \quad \varepsilon_{O(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}} = \varepsilon_{O(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}} = \frac{5}{6} (\delta^{18}\text{O}-\text{N}_2\text{O}_5) + \frac{1}{6} (\delta^{18}\text{O}-\text{H}_2\text{O}) \quad (6)$$

289 where ${}^{18}\alpha_{\text{NO}_2/\text{NO}}$ and ${}^{18}\alpha_{\text{OH}/\text{H}_2\text{O}}$ represent the equilibrium O isotope fractionation
 290 factors between NO_2 and NO , and OH and H_2O , respectively. The range of $\delta^{18}\text{O}-\text{H}_2\text{O}$
 291 can be approximated using an estimated tropospheric water vapor $\delta^{18}\text{O}$ range of -25‰-
 292 0‰. The $\delta^{18}\text{O}$ values for NO_2 and N_2O_5 range from 90‰ to 122‰ (Zong et al. 2017).

293 ${}^{15}\alpha_{\text{NO}_2/\text{NO}}$ and ${}^{15}\alpha_{\text{N}_2\text{O}_5/\text{NO}_2}$, ${}^{18}\alpha_{\text{NO}_2/\text{NO}}$ and ${}^{18}\alpha_{\text{OH}/\text{H}_2\text{O}}$ in these equations, are dependent
 294 on the temperature, which can be expressed as:

$$295 \quad 1000 \left({}^m\alpha_{\text{XY}} - 1 \right) = \frac{\text{A}}{\text{T}^4} \times 10^{10} + \frac{\text{B}}{\text{T}^3} \times 10^8 + \frac{\text{C}}{\text{T}^2} \times 10^6 + \frac{\text{D}}{\text{T}} \times 10^4 \quad (7)$$

296 where A, B, C, and D are experimental constants (Table S1) over the temperature range
 297 of 150-450 K (Walters and Michalski, 2015; Walters et al., 2016; Walters and Michalski,
 298 2016; Zong et al., 2017).

299 Based on Equations 4-7 and measured values for $\delta^{18}\text{O}-p\text{NO}_3^-$ of ambient $\text{PM}_{2.5}$, a Monte



300 Carlo simulation was performed to generate 10000 feasible solutions. The error
301 between predicted and measured $\delta^{18}\text{O}$ was less than 0.5%. The range (maximum and
302 minimum) of computed contribution ratios (γ) were then integrated in Equation 1 to
303 generate an estimate range for the nitrogen isotope effect ϵ_{N} (using Equations 2-3).
304 $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ values can be calculated based on ϵ_{N} and the estimated $\delta^{15}\text{N}$ range for
305 atmospheric NO_x , (see section 2.4).

306 **2.4 Bayesian isotope mixing model**

307 Isotopic mixing models allow estimating the relative contribution of multiple sources
308 (e.g., emission sources of NO_x) within a mixed pool (e.g., ambient $p\text{NO}_3^-$). By explicitly
309 considering the uncertainty associated with the isotopic signatures of any given source,
310 as well as isotope fractionation during the formation of various components of a mixture,
311 the application of Bayesian methods to stable isotope mixing models generates robust
312 probability estimates of source proportions, and are often more appropriate when
313 targeting natural systems than simple linear mixing models (Chang et al., 2016a). Here
314 the Bayesian model MixSIR (a stable isotope mixing model using sampling-
315 importance-resampling) was used to disentangle multiple NO_x sources by generating
316 potential solutions of source apportionment as true probability distributions, which has
317 been widely applied in a number of fields. Details on the model frame and computing
318 methods are given in SI Text S1.

319 Here, coal combustion ($13.72 \pm 4.57\%$), transportation ($-3.71 \pm 10.40\%$), biomass
320 burning ($1.04 \pm 4.13\%$), and biogenic emissions from soils ($-33.77 \pm 12.16\%$) were
321 considered to be the most relevant contributors of NO_x (Table S2 and Text S2). The
322 $\delta^{15}\text{N}$ of atmospheric NO_x is unknown. However, it can be assumed that its range in the
323 atmosphere is constrained by the $\delta^{15}\text{N}$ of the NO_x sources and the $\delta^{15}\text{N}$ of $p\text{NO}_3^-$ after
324 equilibrium fractionation conditions have been reached. Following Zong et al. (2017),
325 $\delta^{15}\text{N}$ - NO_x in the atmosphere was determined performing iterative model simulations,
326 with a simulation step of 0.01 times the equilibrium fractionation value based on the
327 $\delta^{15}\text{N}$ - NO_x values of the emission sources (mean and standard deviation) and the



328 measured $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ of ambient $\text{PM}_{2.5}$ (Fig. S2).

329 **3 Results**

330 **3.1 Sanjiang in Northern China**

331 The $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ and $\delta^{18}\text{O}$ - $p\text{NO}_3^-$ values of the eight samples collected from the
332 Sanjiang biomass burning field experiment, ranged from 9.54 to 13.77‰ (mean:
333 12.17‰) and 57.17 to 75.09‰ (mean: 63.57‰), respectively. In this study, atmospheric
334 concentrations of levoglucosan quantified from $\text{PM}_{2.5}$ samples collected near the sites
335 of biomass burning in Sanjiang vary between 4.0 and 20.5 $\mu\text{g m}^{-3}$, two to five orders of
336 magnitude higher than those measured during non-biomass burning season (Cao et al.,
337 2017; Cao et al., 2016). Levoglucosan is an anhydrosugar formed during pyrolysis of
338 cellulose at temperatures above 300 °C (Simoneit, 2002). Due to its specificity for
339 cellulose combustion, it has been widely used as a molecular tracer for biomass burning
340 (Simoneit et al., 1999; Liu et al., 2013a; Jedynska et al., 2014; Liu et al., 2014). Indeed,
341 the concentrations of levoglucosan and aerosol nitrate in Sanjiang were highly
342 correlated ($R^2 = 0.64$; Fig. 2a), providing compelling evidence that particulate nitrate
343 measured during our study period was predominately derived from biomass burning
344 emissions.

345 **3.2 Nanjing in Eastern China**

346 The mass concentrations ($mean_{\min}^{\max} \pm 1\sigma, n = 43$) of $\text{PM}_{2.5}$ and $p\text{NO}_3^-$ measured in Nanjing
347 City were $122.1_{39.0}^{227.8} \pm 47.9$ and $17.8_{4.0}^{45.2} \pm 10.3$ $\mu\text{g m}^{-3}$, respectively. All $\text{PM}_{2.5}$
348 concentrations exceeded the Chinese Air Quality Standard for daily $\text{PM}_{2.5}$ (35 $\mu\text{g m}^{-3}$),
349 suggesting severe haze pollution during the sampling period. The corresponding $\delta^{15}\text{N}$ -
350 $p\text{NO}_3^-$ values (raw data without correction) ranged between 5.39‰ and 17.99‰,
351 indicating significant enrichment in ^{15}N relative to rural and coastal marine atmospheric
352 NO_3^- sources (Table S4). This may be due to the prominent contribution of fossil fuel-
353 related NO_x emissions with higher $\delta^{15}\text{N}$ values in urban areas (Elliott et al., 2007; Park



354 et al., 2018).

355 **4 Discussion**

356 **4.1 Sanjiang campaign: theoretical calculation and field validation of N isotope** 357 **fractionation during $p\text{NO}_3^-$ formation**

358 To be used as a quantitative tracer of biomass-combustion-generated aerosols,
359 levoglucosan must be conserved during its transport from its source, without partial
360 removal by reactions in the atmosphere (Hennigan et al., 2010). The mass
361 concentrations of non-sea-salt potassium ($\text{nss-K}^+ = \text{K}^+ - 0.0355 \cdot \text{Na}^+$) is considered as
362 an independent/additional indicator of biomass burning (Fig. 2b). The association of
363 elevated levels of levoglucosan with high nss-K^+ concentrations underscores that the
364 two compounds derived from the same proximate sources, and that thus aerosol
365 levoglucosan in Sanjiang was indeed pristine and represented a reliable source indicator
366 that is unbiased by altering processes in the atmosphere. Moreover, in our previous
367 work (Cao et al., 2017), we observed that there was a much greater enhancement of
368 atmospheric NO_3^- compared to SO_4^{2-} (a typical coal-related pollutant). This additionally
369 points to biomass burning, and not coal-combustion, as the dominant $p\text{NO}_3^-$ source in
370 the study area, making SJ and ideal “quasi single source” environment for calibrating
371 the N isotope effect during $p\text{NO}_3^-$ formation.

372

373 **Figure 2.**

374

375 Our $\delta^{18}\text{O}-p\text{NO}_3^-$ values are well within the broad range of values in previous reports
376 (Zong et al., 2017; Geng et al., 2017; Walters and Michalski, 2016). However, as
377 depicted in Fig. 3, the $\delta^{15}\text{N}$ values of biomass burning-emitted NO_3^- fall within the
378 range of $\delta^{15}\text{N}-\text{NO}_x$ values typically reported for emissions from coal combustion,
379 whereas they are significantly higher than the well-established values for $\delta^{15}\text{N}-\text{NO}_x$



380 emitted from the burning of various types of biomass (mean: $1.04 \pm 4.13\%$, ranging
381 from -7 to $+12\%$) (Fibiger and Hastings, 2016). Turekian et al. (1998) conducted
382 laboratory tests involving the burning of eucalyptus and African grasses, and
383 determined that the $\delta^{15}\text{N}$ of $p\text{NO}_3^-$ (around 23%) was 6.6% higher than the $\delta^{15}\text{N}$ of the
384 burned biomass. This implies significant N isotope partitioning during biomass burning.
385 In the case of complete biomass combustion, by mass balance, the first gaseous
386 products (i.e., NO_x) have the same $\delta^{15}\text{N}$ as the biomass. Hence any discrepancy between
387 the $p\text{NO}_3^-$ and the $\delta^{15}\text{N}$ of the biomass can be attributed to the N isotope fractionation
388 associated with the partial conversion of gaseous NO_x to aerosol NO_3^- . Based on the
389 computational quantum chemistry (CQC) module calculations, the N isotope
390 fractionation ϵ_{N} ($mean_{\min}^{\max} \pm 1\sigma$) determined from the Sanjiang data was
391 $10.99_{10.30}^{12.54} \pm 0.74\%$. After correcting the primary $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ values under the
392 consideration of ϵ_{N} , the resulting mean $\delta^{15}\text{N}$ of $1.17_{-1.89}^{2.98} \pm 1.95\%$ is very close to the
393 N isotopic signature expected for biomass burning-emitted NO_x ($1.04 \pm 4.13\%$) (Fig.
394 3) (Fibiger and Hastings, 2016). The much higher $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ values in our study
395 compared to reported $\delta^{15}\text{N}$ - NO_x values for biomass burning can easily be reconciled
396 when including N isotope fractionation during the conversion of NO_x to NO_3^- . Put
397 another way, given that Sanjiang is an environment where we can essentially exclude
398 NO_x sources other than biomass burning at the time of sampling, the data nicely validate
399 our CQC module-based approach to estimate ϵ_{N} .

400

401

Figure 3.

402

403 **4.2 Source apportionment of NO_x in an urban setting using a Bayesian isotopic** 404 **mixing model**

405 Due to its high population density and intensive industrial production, the Nanjing



406 atmosphere was expected to have high NO_x concentrations derived from road traffic
407 and coal combustion (Zhao et al., 2015). However, the raw $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ values ($10.93 \pm$
408 3.32%) fell well within the variation range of coal-emitted $\delta^{15}\text{N}$ - NO_x (Fig. 3). It is
409 tempting to conclude that coal combustion is the main, or even sole, $p\text{NO}_3^-$ source
410 (given the equivalent $\delta^{15}\text{N}$ values), yet, this is very unlikely. The data rather confirm
411 that significant isotope fractionation occurred during the conversion of NO_x to NO_3^-
412 and that, without consideration of the N isotope effect, traffic-related NO_x emissions
413 will be markedly underestimated.

414 In the atmosphere, the oxygen atoms of NO_x rapidly exchanged with O_3 in the NO/NO_2
415 cycle (see equations R1-R3) (Hastings et al., 2003), and the $\delta^{18}\text{O}$ - $p\text{NO}_3^-$ values are
416 determined by its production pathways (R4-R7), rather than the sources of NO_x
417 (Hastings et al., 2003). Thus, $\delta^{18}\text{O}$ - $p\text{NO}_3^-$ can be used to gain information on the
418 pathway of conversion of NO_x to nitrate in the atmosphere (Fang et al., 2011). In the
419 computational quantum chemistry module used here to calculate isotope fractionation,
420 we assumed that two-thirds of the oxygen atoms in NO_3^- derive from O_3 and one-third
421 from $\bullet\text{OH}$ in the $\bullet\text{OH}$ generation pathway (R4) (Hastings et al., 2003); correspondingly,
422 five sixths of the oxygen atoms then derived from O_3 and one sixth from $\bullet\text{OH}$ in the
423 $\text{O}_3/\text{H}_2\text{O}$ pathway (R5-R7). The assumed range for $\delta^{18}\text{O}$ - O_3 and $\delta^{18}\text{O}$ - H_2O values were
424 90% - 122% and -25% - 0% , respectively (Zong et al., 2017). The partitioning between
425 the two possible pathways was then assessed through Monte Carlo simulation (Zong et
426 al., 2017). The estimated range was rather broad, given the wide range of $\delta^{18}\text{O}$ - O_3 and
427 $\delta^{18}\text{O}$ - H_2O values used. Nevertheless, the theoretical calculation of the average
428 contribution ratio (γ) for nitrate formation in Nanjing via the reaction of NO_2 and $\bullet\text{OH}$
429 is consistent with the results from simulations using the Weather Research and
430 Forecasting model coupled with Chemistry (WRF-Chem) (Fig. 4; see Text S3 for
431 details). A clear diurnal cycle of the mass concentration of nitrate formed through $\bullet\text{OH}$
432 oxidation of NO_2 can be observed (Fig. S3), with much higher concentrations between
433 12:00 and 18:00. This indicates the importance of photochemically produced $\bullet\text{OH}$
434 during daytime. Yet, throughout our sampling period in Nanjing, the average $p\text{NO}_3^-$



435 formation by the heterogeneous hydrolysis of N_2O_5 ($12.6 \mu\text{g m}^{-3}$) exceeded $p\text{NO}_3^-$
436 formation by the reaction of NO_2 and $\bullet\text{OH}$ ($4.8 \mu\text{g m}^{-3}$), even during daytime, consistent
437 with recent observations during peak pollution periods in Beijing (Wang et al., 2017).
438 Given that the production rates of N_2O_5 in the atmosphere is governed by ambient O_3
439 concentrations, reducing atmospheric O_3 levels appears to be one of the utmost
440 important measures to take for mitigating $p\text{NO}_3^-$ pollution in China's urban
441 atmospheres.

442

443

Figure 4.

444

445 In Nanjing, dependent on the time-dependent, dominant $p\text{NO}_3^-$ formation pathway, the
446 average N isotope fractionation value calculated using the computational quantum
447 chemistry module varied between 10.77‰ and 19.34‰ (15.33‰ on average). Using
448 the Bayesian model MixSIR, the contribution of each source can be estimated, based
449 on the mixed-source isotope data under the consideration of prior information on the
450 site (see Text S1 for detailed information regarding model frame and computing
451 method). As described above, theoretically, there are four major sources, i.e., road
452 traffic, coal combustion, biomass burning, and biogenic soil, potentially contributing to
453 ambient NO_x . As a start, we tentatively integrated all four sources into MixSIR (data
454 not shown). The relative contribution of biomass burning to the ambient NO_x (median
455 value) ranged from 28% to 70% (average 42%), representing the most important source.
456 The primary reason for such apparently high contribution by biomass burning is that
457 the corrected $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ values of $-4.29_{-10.32}^{0.42} \pm 3.66\text{‰}$ are relatively close to the N
458 isotopic signature of biomass burning-emitted NO_x ($1.04 \pm 4.13\text{‰}$) compared to the
459 other possible sources. Based on $\delta^{15}\text{N}$ alone, the isotope approach can be ambiguous if
460 there are more than two sources. The N isotope signature of NO_x from biomass burning
461 falls right in between the spectrum of plausible values, with highest $\delta^{15}\text{N}$ for emissions
462 from coal combustion on the one end, and much lower values for automotive and soil



463 emissions on the other, and will be similar to a mixed signature from coal combustion
464 and NO_x emissions from traffic.

465 We can make several evidence-based pre-assumption to better constrain the emission
466 sources in the mixing model analysis: (1) sampling at a typical urban site in a major
467 industrial city in China, we can assume that the sources of road traffic and coal
468 combustion are dominant, while the contribution of biogenic soil to ambient NO_x
469 should have minimal impact, or can be largely neglected (Zhao et al., 2015); (2) there
470 is no crop harvest activity in Eastern China during the winter season. Furthermore,
471 deforestation and combustion of fuelwood has been discontinued in China's major
472 cities (Chang et al., 2016a). Therefore, the contribution of biomass burning-emitted
473 NO_x during the sampling period should also be minor. Indeed, Fig. S4 shows that the
474 mass concentration of biomass burning-related *p*NO₃⁻ is not correlated with the fraction
475 of levoglucosan that contributes to OC, confirming a weak impact of biomass burning
476 on the variation of *p*NO₃⁻ concentration during our study period.

477 In a second, alternative, and more realistic scenario, we excluded biomass burning and
478 soil as potential source of NO_x in MixSIR (see above). As illustrated in Fig. 5a,
479 assuming that NO_x emissions in urban Nanjing during our study period originated
480 solely from road traffic and coal combustion, their relative contribution to the mass
481 concentration of *p*NO₃⁻ is 12.5 ± 9.1 μg m⁻³ (or 68 ± 11%) and 4.9 ± 2.5 μg m⁻³ (or 32
482 ± 11%), respectively. These numbers agree well with a city-scale NO_x emission
483 inventory established for Nanjing recently (Zhao et al., 2015). Nevertheless, on a
484 nation-wide level, relatively large uncertainties with regards to the overall fossil fuel
485 consumption and fuel types propagate into large uncertainties of NO_x concentration
486 estimates and predictions of longer-term emission trends (Li et al., 2017). Current
487 emission-inventory estimates (Jaegle et al., 2005; Zhang et al., 2012; Liu et al., 2015;
488 Zhao et al., 2013) suggest that in 2010 NO_x emissions from coal-fired power plants in
489 China were about 30% higher than those from transportation. However, our isotope-
490 based source apportionment of NO_x clearly shows that in 2014 the contribution from
491 road traffic to NO_x emissions, at least in Nanjing (a city that can be considered



492 representative for most densely populated areas in China) is twice that of coal
493 combustion. In fact, due to changing economic activities, emission sources of air
494 pollutants in China are changing rapidly. For example, over the past several years,
495 China has implemented an extended portfolio of plans to phase out its old-fashioned
496 and small power plants, and to raise the standards for reducing industrial pollutant
497 emissions (Chang, 2012). On the other hand, China continuously experienced double-
498 digit annual growth in terms of auto sales during the 2000s, and in 2009 it became the
499 world's largest automobile market (Liu et al., 2013b; Chang et al., 2017; Chang et al.,
500 2016b). Recent satellite-based studies successfully analyzed the NO_x vertical column
501 concentration ratios for megacities in Eastern China and highlighted the importance of
502 transportation-related NO_x emissions (Reuter et al., 2014; Gu et al., 2014; Duncan et
503 al., 2016; Jin et al., 2017). Moreover, long-term measurements of the ratio of NO_3^-
504 versus non-sea-salt SO_4^{2-} in precipitation and aerosol jointly revealed a continuously
505 increasing trend in Eastern China throughout the latest decade, suggesting decreasing
506 emissions from coal combustion (Liu et al., 2013b; Itahashi et al., 2017). Both coal
507 combustion- and road traffic-related $p\text{NO}_3^-$ concentrations are highly correlated with
508 their corresponding tracers (i.e., SO_2 and CO , respectively), confirming the validity of
509 our MixSIR modelling results. With justified confidence in our Bayesian isotopic model
510 results, we conclude that previous estimates of NO_x emissions from
511 automotive/transportation sources in China based on bottom-up emission inventories
512 may be too low.

513

514

Figure 5.

515

516 3.3 Previous $\delta^{15}\text{N}\text{-NO}_3^-$ based estimates on NO_x sources

517 Stable nitrogen isotope ratios of nitrate have been used to identify nitrogen sources in
518 various environments in China, often without large differences in $\delta^{15}\text{N}$ between



519 rainwater and aerosol NO_3^- (Kojima et al., 2011). In previous work, no consideration
520 was given to potential N isotope fractionation during atmospheric $p\text{NO}_3^-$ formation.
521 Here, we reevaluated 700 data points of $\delta^{15}\text{N}\text{-NO}_3^-$ in aerosol ($-0.77 \pm 4.52\%$, $n = 308$)
522 and rainwater ($3.79 \pm 6.14\%$, $n = 392$) from 13 sites that are located in the area of
523 mainland China and the Yellow and East and South China Seas (Fig. 1), extracted from
524 the literature (see SI Table S4 for details). To verify the potentially biasing effects of
525 neglecting N isotope fractionation (i.e. testing the sensitivity of ambient NO_x source
526 contribution estimates to the effect of N isotope fractionation), the Bayesian isotopic
527 mixing model was applied a) to the original NO_3^- isotope data set and b) to the corrected
528 nitrate isotope data set, accounting for the N isotope fractionation during NO_x
529 transformation. All 13 sampling sites are located in non-urban areas; therefore, apart
530 from coal combustion and on-road traffic, the contributions of biomass burning and
531 biogenic soil to nitrate needs to be taken into account.

532 Although most of the sites are located in rural and coastal environments, using the
533 original data set without the consideration of N isotope fractionation in the Bayesian
534 isotopic mixing model, fossil fuel-related NO_x emissions (coal combustion and on-road
535 traffic) appear as the largest contributor at all the sites (data are not shown). This is
536 particularly true for coal combustion: Everywhere, except for the sites of Dongshan
537 Islands and Mt. Lumin, NO_x emissions seem to be dominated by coal combustion. Very
538 high contribution from coal combustion (on the order of 40-60%) particularly in
539 Northern China may be plausible, and can be attributed to a much larger consumption
540 of coal. Yet, rather unlikely, the highest estimated contribution of coal combustion (83%)
541 was calculated for Beihuang Island (a full-year sampling at a coastal island that is 65 km
542 north of Shandong Peninsula and 185 km east of the Beijing-Tianjin-Hebei region) and
543 not for mainland China. While Beihuang may be an extreme example, we argue that,
544 collectively, the contribution of coal combustion to ambient NO_x in China as calculated
545 on the basis of isotopic analyses in previous studies without the consideration of N
546 isotope fractionation represent overestimates.

547 As a first step towards a more realistic assessment of the actual partitioning of NO_x



548 sources in China in general (and coal combustion-emitted NO_x in particular), it is
549 imperative to determine the location-specific values for ϵ_N . Unfortunately, without
550 $\delta^{18}\text{O}\text{-NO}_3^-$ data in hand, as well as data on meteorological parameters that correspond
551 to the 700 $\delta^{15}\text{N}\text{-NO}_3^-$ values used in our meta-analysis, it is not possible to estimate the
552 ϵ_N values through the above-mentioned CQC module. As a viable alternative, we
553 adopted the approximate values for ϵ_N as estimated in Sanjiang (10.99‰) and Nanjing
554 ($15.33 \pm 4.90\%$). As indicated in Fig. 6, the estimates on the source partitioning is
555 sensitive to the choice of ϵ_N . Whereas with increasing ϵ_N , estimates on the relative
556 contribution of on-road traffic and biomass burning remained relatively stable;
557 estimates for coal combustion and biogenic soil changed significantly, in opposite
558 directions. More precisely, depending on ϵ_N , the average estimate of the fractional
559 contribution of coal combustion decreased drastically from 43% ($\epsilon_N = 0\%$) to 5% (ϵ_N
560 $= 20\%$) (Fig. 6), while the contribution from biogenic soil to NO_x emissions increased
561 in a complementary way. Given the lack of better constraints on ϵ_N for the 13 sampling
562 sites, it cannot be our goal here to provide a robust revised estimate on the partitioning
563 of NO_x sources throughout China and its neighboring areas. But we have very good
564 reasons to assume that disregard of N isotope fractionation during $p\text{NO}_3^-$ formation in
565 previous isotope-based source apportionment studies has likely led to overestimates of
566 the relative contribution of coal combustion to total NO_x emissions in China. For what
567 we would consider the most conservative estimate, i.e. lowest calculated value for the
568 N isotope fractionation during the transformation of NO_x to $p\text{NO}_3^-$ ($\epsilon_N = 5\%$), the
569 approximate contribution from coal combustion to the NO_x pool would be 28%, more
570 than 30% less than N isotope mixing model-based estimates would yield without
571 consideration of the N isotope fractionation (i.e., $\epsilon_N = 0\%$) (Fig. 6).

572

573

Figure 6.

574



575

576 **4 Conclusion and outlook**

577 Consistent with theoretical predictions, $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ data from a field experiment where
578 atmospheric $p\text{NO}_3^-$ formation could be attributed reliably to NO_x from biomass burning
579 only, revealed that the conversion of NO_x to $p\text{NO}_3^-$ is associated with a significant net
580 N isotope effect (ϵ_{N}). It is imperative that future studies, making use of isotope mixing
581 models to gain conclusive constraints on the source partitioning of atmospheric NO_x ,
582 will consider this N isotope fractionation. The latter will change with time and space,
583 depending on the distribution of ozone and OH radicals in the atmosphere and the
584 predominant NO_x chemistry. The O-isotope signatures of $p\text{NO}_3^-$ is mostly chemistry-
585 (and not source) driven (modulated by O-isotope exchange reactions in the atmosphere),
586 and thus, O isotope measurements do not allow addressing the ambiguities with regards
587 to the NO_x source that may remain when just looking at $\delta^{15}\text{N}$ values alone. However,
588 $\delta^{18}\text{O}$ in $p\text{NO}_3^-$ will help assessing the relative importance of the dominant $p\text{NO}_3^-$
589 formation pathway. Simultaneous $\delta^{15}\text{N}$ and $\delta^{18}\text{O}$ measurements of atmospheric nitrate
590 thus allow reliable information on ϵ_{N} , and in turn on the relative importance of single
591 NO_x sources. For example, for Nanjing, which can be considered representative for
592 other large cities in China, dual-isotopic and chemical-tracer evidence suggest that on-
593 road traffic and coal-fired power plants, rather than biomass burning, are the
594 predominant sources during high-haze pollution periods. Given that the increasing
595 frequency of nitrate-driven haze episodes in China, our findings are critically important
596 in terms of guiding the use of stable nitrate isotope measurements to evaluate the
597 relative importance of single NO_x sources on regional scales, and for adapting suitable
598 mitigation measures. Future assessments of NO_x emissions in China (and elsewhere)
599 should involve simultaneous $\delta^{15}\text{N}$ and $\delta^{18}\text{O}$ measurements of atmospheric nitrate and
600 NO_x at high spatiotemporal resolution, allowing us to more quantitatively reevaluate
601 former N-isotope based NO_x source partitioning estimates.

602 **Competing interests**



603 The authors declare that they have no competing interests.

604 **Data availability**

605 Data are available from the corresponding author on request.

606 **Acknowledgements**

607 This study was supported by the National Key Research and Development Program of
608 China (2017YFC0210101), the National Natural Science Foundation of China (Grant
609 nos. 91644103, 41705100, and 41575129), the Provincial Natural Science Foundation
610 of Jiangsu (BK20170946), the University Science Research Project of Jiangsu Province
611 (17KJB170011), and through University Basel Research funds.

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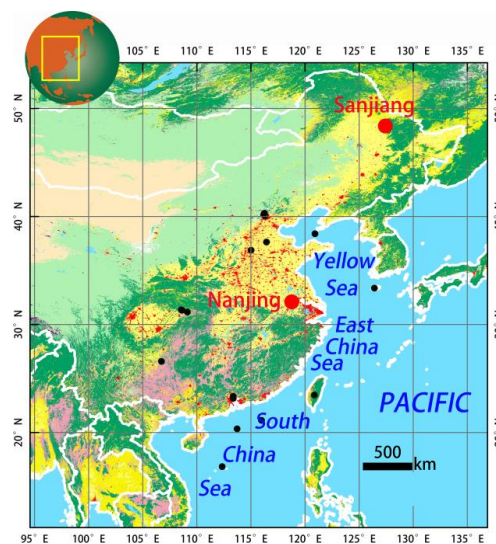
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898 **Figure 1.** Location of the sampling sites Sanjiang and Nanjing. The black dots
899 indicate the location of sampling sites (sites are located in the area of mainland China
900 and the Yellow and East and South China Seas) with $\delta^{15}\text{N-NO}_3^-$ data from the
901 literature (see also Table S4).

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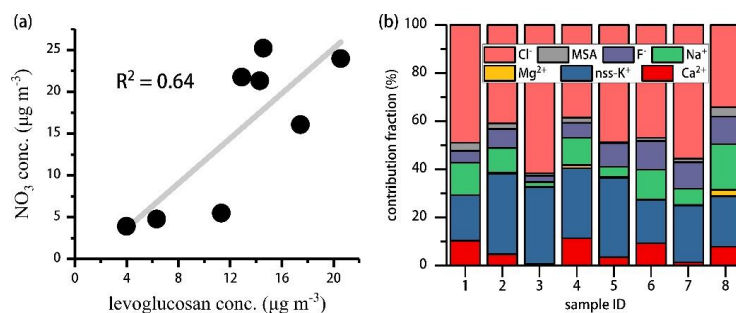
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910 **Figure 2.** (a) Correlation analysis between the mass concentrations of levoglucosan
911 and aerosol nitrate during the Sanjiang sampling campaign; (b) Variation of fractions
912 of various inorganic species during day-night samplings at Sanjiang between 8 and
913 October 2013 18 (sample ID 1 to 8, respectively). The higher relative abundances of
914 nss-K^+ and Cl^- are indicative for a biomass-burning dominated source. For sample ID
915 information and exact sampling dates, refer to Table S3.

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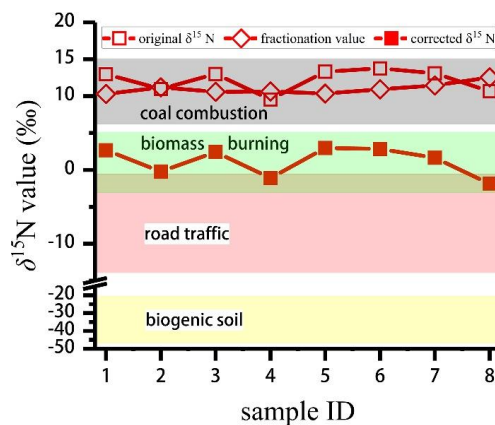
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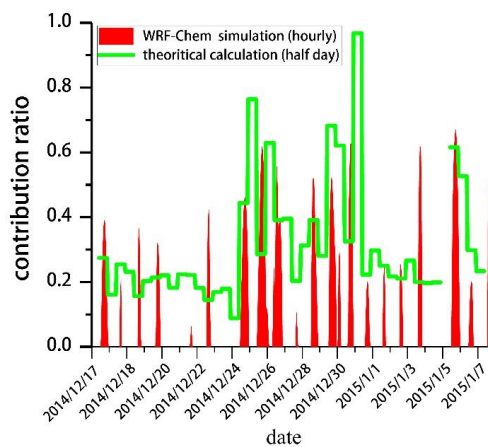
925 **Figure 3.** Original $\delta^{15}\text{N}$ values ($\delta^{15}\text{N}_{\text{ini}}$) for $p\text{NO}_3^-$, calculated values for the N isotope
 926 fractionation (ϵ_{N}) associated with the conversion of gaseous NO_x to $p\text{NO}_3^-$, and
 927 corrected $\delta^{15}\text{N}$ values ($\delta^{15}\text{N}_{\text{corr}}$; $^{15}\text{N}_{\text{ini}}$ minus ϵ_{N}) of $p\text{NO}_3^-$ for each sample collected
 928 during the Sanjiang sampling campaign. The colored bands represent the variation
 929 range of $\delta^{15}\text{N}$ values for different NO_x sources based on reports from the literature
 930 (Table S2). See Table S3 for the information regarding sample ID.

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936 **Figure 4.** Comparison between the theoretical calculation and WRF-Chem simulation

937 of the average contribution ratio (γ) for nitrate formation in Nanjing via the reaction

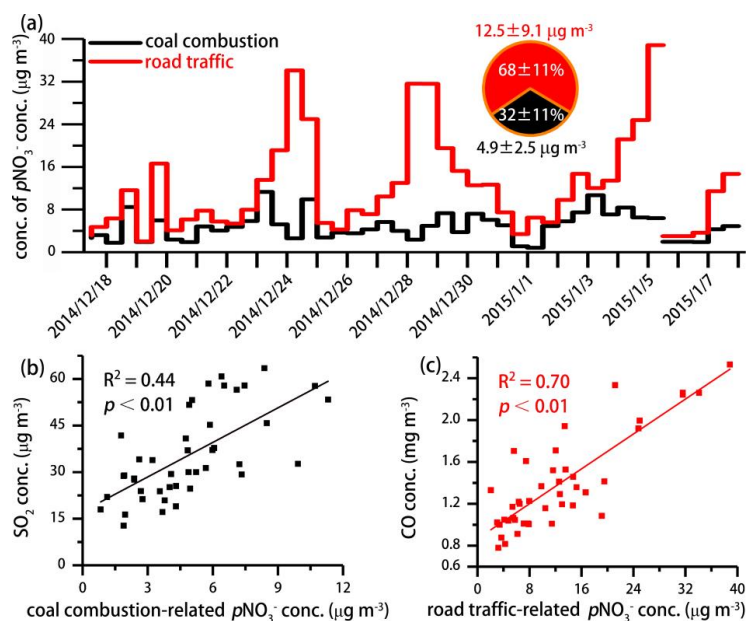
938 of NO_2 and photochemically produced $\bullet\text{OH}$.

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944 **Figure 5.** (a) Time-series variation of coal combustion and road traffic contribution to
945 the mass concentrations of ambient $p\text{NO}_3^-$ in Nanjing, as estimated through MixSIR;
946 (b) Correlation analysis between the mass concentrations of coal combustion-related
947 $p\text{NO}_3^-$ and SO_2 ; (c) Correlation analysis between the mass concentrations of road
948 traffic-related $p\text{NO}_3^-$ and CO.

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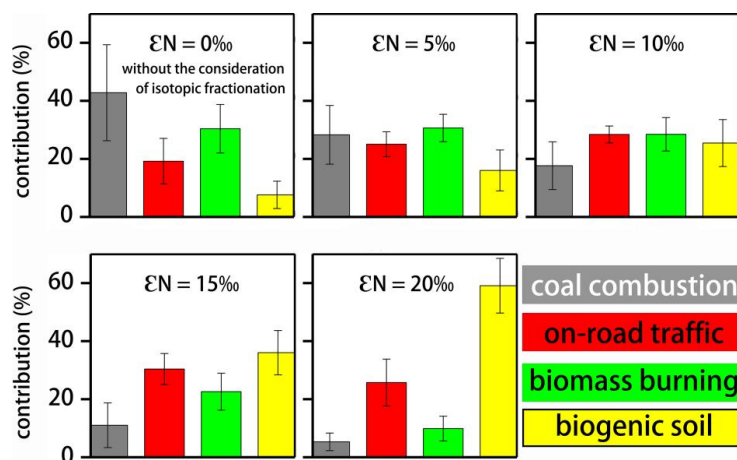
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Figure 6. Estimates of the relative importance of single NO_x sources (mean $\pm 1\sigma$) throughout China based on the original $\delta^{15}\text{N}\text{-NO}_3^-$ values extracted from the literature ($\epsilon_N = 0\%$) and under consideration of significant N isotope fractionation during NO_x transformation ($\epsilon_N = 5\%$, 10% , 15% or 20%).