

1 Nitrogen isotope fractionation during gas-to-particle conversion of NO_x to
2 NO₃⁻ in the atmosphere – implications for isotope-based NO_x source
3 apportionment

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23 **Abstract**

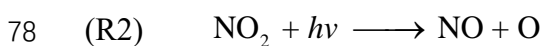
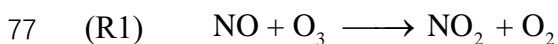
24 Atmospheric fine-particle (PM_{2.5}) pollution is frequently associated with the formation
25 of particulate nitrate ($p\text{NO}_3^-$), the end product of the oxidation of NO_x gases ($=\text{NO}+\text{NO}_2$)
26 in the upper troposphere. The application of stable nitrogen (N) (and oxygen) isotope
27 analyses of $p\text{NO}_3^-$ to constrain NO_x source partitioning in the atmosphere requires the
28 knowledge of the isotope fractionation during the reactions leading to nitrate formation.
29 Here we determined the $\delta^{15}\text{N}$ values of fresh $p\text{NO}_3^-$ ($\delta^{15}\text{N-}p\text{NO}_3^-$) in PM_{2.5} at a rural site
30 in Northern China, where atmospheric $p\text{NO}_3^-$ can be attributed exclusively to biomass
31 burning. The observed $\delta^{15}\text{N-}p\text{NO}_3^-$ ($12.17\pm 1.55\text{‰}$; $n=8$) was much higher than the N
32 isotopic source signature of NO_x from biomass burning ($1.04\pm 4.13\text{‰}$). The large
33 difference between $\delta^{15}\text{N-}p\text{NO}_3^-$ and $\delta^{15}\text{N-NO}_x$ ($\Delta(\delta^{15}\text{N})$) can be reconciled by the net N
34 isotope effect (ϵ_N) associated with the gas-particle conversion from NO_x to NO_3^- . For
35 the biomass-burning site, a mean ϵ_N ($\approx \Delta(\delta^{15}\text{N})$) of $10.99\pm 0.74\text{‰}$ was assessed through
36 a newly-developed computational quantum chemistry (CQC) module. ϵ_N depends on
37 the relative importance of the two dominant N isotope exchange reactions involved
38 (NO_2 reaction with OH versus hydrolysis of dinitrogen pentoxide (N_2O_5) with H_2O),
39 and varies between regions, and on a diurnal basis. A second, slightly higher CQC-
40 based mean value for ϵ_N ($15.33\pm 4.90\text{‰}$) was estimated for an urban site with intense
41 traffic in Eastern China, and integrated in a Bayesian isotope mixing model to make
42 isotope-based source apportionment estimates for NO_x at this site. Based on the $\delta^{15}\text{N}$
43 values ($10.93\pm 3.32\text{‰}$, $n=43$) of ambient $p\text{NO}_3^-$ determined for the urban site, and
44 considering the location-specific estimate for ϵ_N , our results reveal that the relative
45 contribution of coal combustion and road traffic to urban NO_x are $32\pm 11\%$ and $68\pm 11\%$,
46 respectively. This finding agrees well with a regional bottom-up emission inventory of
47 NO_x . Moreover, the variation pattern of OH contribution to ambient $p\text{NO}_3^-$ formation
48 calculated by the CQC module is consistent with that simulated by the Weather
49 Research and Forecasting model coupled with Chemistry (WRF-Chem), further
50 confirming the robustness of our estimates. Our investigations also show that, without
51 the consideration of the N isotope effect during $p\text{NO}_3^-$ formation, the observed $\delta^{15}\text{N-}$

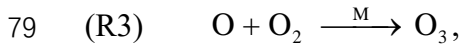
52 $p\text{NO}_3^-$ at the study site would erroneously imply that NO_x is derived almost entirely
53 from coal combustion. Similarly, reanalysis of reported $\delta^{15}\text{N}\text{-NO}_3^-$ data throughout
54 China and its neighboring areas suggests that NO_x emissions from coal combustion may
55 be substantively overestimated (by >30%) when the N isotope fractionation during
56 atmospheric $p\text{NO}_3^-$ formation is neglected.

57 **1 Introduction**

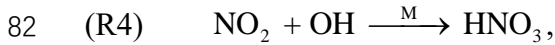
58 Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) are among the most important molecules in
59 tropospheric chemistry. They are involved in the formation of secondary aerosols and
60 atmospheric oxidants, such as ozone (O_3) and hydroxyl radicals (OH), which controls
61 the self-cleansing capacity of the atmosphere (Galloway et al., 2003; Seinfeld and
62 Pandis, 2012; Solomon et al., 2007). The sources of NO_x include both anthropogenic
63 and natural origins, with more than half of the global burden ($\sim 40 \text{ Tg N yr}^{-1}$) currently
64 attributed to fossil fuel burning ($22.4\text{-}26.1 \text{ Tg N yr}^{-1}$) and the rest primarily derived
65 from nitrification/denitrification in soils (including wetlands; $8.9 \pm 1.9 \text{ Tg N yr}^{-1}$),
66 biomass burning ($5.8 \pm 1.8 \text{ Tg N yr}^{-1}$), lightning ($2\text{-}6 \text{ Tg N yr}^{-1}$), and oxidation of N_2O
67 in the stratosphere ($0.1\text{-}0.6 \text{ Tg N yr}^{-1}$) (Jaegle et al., 2005; Richter et al., 2005; Lamsal
68 et al., 2011; Price et al., 1997; Yienger and Levy, 1995; Miyazaki et al., 2017; Duncan
69 et al., 2016; Anenberg et al., 2017; Levy et al., 1996). The main/ultimate sinks for
70 NO_x in the troposphere are the oxidation to nitric acid ($\text{HNO}_{3(\text{g})}$) and the formation of
71 aerosol-phase particulate nitrate ($p\text{NO}_3^-$) (Seinfeld and Pandis, 2012), the partitioning
72 of which may vary on diurnal and seasonal time scales (Morino et al., 2006).

73 Emissions of NO_x occur mostly in the form of NO (Seinfeld and Pandis, 2012; Leighton,
74 1961). During daytime, transformation from NO to NO_2 is rapid (few minutes) and
75 proceeds in a photochemical steady state, controlled by the oxidation of NO by O_3 to
76 NO_2 , and the photolysis of NO_2 back to NO (Leighton, 1961):

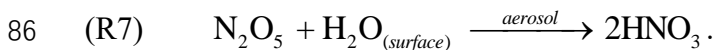
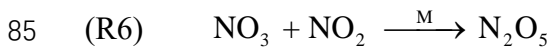
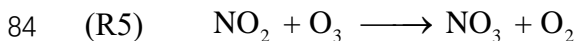




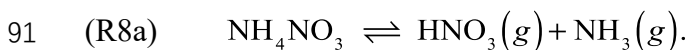
80 where M is any non-reactive species that can take up the energy released to stabilize
81 O. NO_x oxidation to HNO₃ is governed by the following equations. During daytime:



83 and during nighttime:



87 HNO₃ then reacts with gas-phase NH₃ to form ammonium nitrate (NH₄NO₃) aerosols.
88 If the ambient relative humidity (RH) is lower than the efflorescence relative humidity
89 (ERH) or crystallization relative humidity (CRH), solid-phase NH₄NO₃(s) is formed
90 (Smith et al., 2012; Ling and Chan, 2007):



92 If ambient RH exceeds the ERH or CRH, HNO₃ and NH₃ dissolve into the aqueous
93 phase (aq) (Smith et al., 2012; Ling and Chan, 2007):



95 Whilst global NO_x emissions are well constrained, individual source attribution and
96 their local or regional role in particulate nitrate formation are difficult to assess due to
97 the short lifetime of NO_x (typically less than 24 hr), and the high degree of
98 spatiotemporal heterogeneity with regards to the ratio between gas-phase HNO₃ and
99 particulate NO₃⁻ (pNO₃⁻) (Duncan et al., 2016; Lu et al., 2015; Zong et al., 2017; Zhang
100 et al., 2003). Given the conservation of the nitrogen (N) atom between NO_x sources and

101 sinks, the N isotopic composition of $p\text{NO}_3^-$ can be related to the different origins of the
102 emitted NO_x , and thus provides valuable information on the partitioning of the NO_x
103 sources (Morin et al., 2008). Such N isotope balance approach works best if the N
104 isotopic composition of various NO_x sources display distinct $^{15}\text{N}/^{14}\text{N}$ ratios (reported
105 as $\delta^{15}\text{N} = \frac{\left(\frac{^{15}\text{N}}{^{14}\text{N}} \right)_{\text{sample}} - \left(\frac{^{15}\text{N}}{^{14}\text{N}} \right)_{\text{N}_2}}{\left(\frac{^{15}\text{N}}{^{14}\text{N}} \right)_{\text{N}_2}} \times 1000$). The $\delta^{15}\text{N}-\text{NO}_x$ of coal-fired power
106 plant (+10‰ to +25‰) (Felix et al., 2012; Heaton, 1990; Felix et al., 2013), vehicle
107 (+3.7‰ to +5.7‰) (Heaton, 1990; Walters et al., 2015; Felix and Elliott, 2014; Felix et
108 al., 2013; Wojtal et al., 2016), and biomass burning (-7‰ to +12‰) emissions (Fibiger
109 and Hastings, 2016), for example, are generally higher than that of lightning (-0.5‰ to
110 +1.4‰) (Hoering, 1957) and biogenic soil (-48.9‰ to -19.9‰) emissions (Li and Wang,
111 2008; Felix and Elliott, 2014; Felix et al., 2013), allowing the use of isotope mixing
112 models to gain insight on the NO_x source apportionment for gases, aerosols, as well as
113 the resulting nitrate deposition (-15‰ to +15‰) (Elliott et al., 2007; Zong et al., 2017;
114 Savarino et al., 2007; Morin et al., 2008; Elliott et al., 2009; Park et al., 2018; Altieri et
115 al., 2013; Gobel et al., 2013). In addition, because of mass-independent fractionation
116 during its formation (Thiemens, 1999; Thiemens and Heidenreich, 1983), ozone
117 possesses a strong isotope anomaly ($\Delta^{17}\text{O} \approx \delta^{17}\text{O} - 0.52 \cdot \delta^{18}\text{O}$), which is propagated into
118 the most short-lived oxygen-bearing species, including NO_x and nitrate. Therefore, the
119 oxygen isotopic composition of nitrate ($\delta^{18}\text{O}$, $\Delta^{17}\text{O}$) can provide information on the
120 oxidants involved in the conversion of NO_x to nitrate (Michalski et al., 2003; Geng et
121 al., 2017). Knopf et al. (2006, 2011) and Shiraiwa et al. (2012) have shown that NO_3
122 can be taken up efficiently by organic (e.g., levoglucosan) aerosol and may dominate
123 oxidation of aerosol in the polluted urban nighttime (Kaiser et al., 2011). Globally,
124 theoretical modeling results show that nearly 76%, 18%, and 4% of annual inorganic
125 nitrate are formed via pathways/reactions involving OH, N_2O_5 , and DMS or HC (NO_3
126 reacts with dimethylsulfide (DMS) or hydrocarbons (HC) predominantly at night) (e.g.,
127 Alexander et al., 2009). The stable O isotopic composition of atmospheric nitrate is a
128 powerful proxy for assessing which oxidation pathways are important for converting

129 NO_x into nitrate under changing environmental conditions (e.g., polluted, volcanic
130 events, climate change). In the same line, in this study, the average $\delta^{18}\text{O}$ value of $p\text{NO}_3^-$
131 in Nanjing City was $83.0 \pm 11.2\text{‰}$ (see discussion later), suggesting that $p\text{NO}_3^-$
132 formation is dominated by the pathways of “OH + NO₂” and the heterogeneous
133 hydrolysis of N₂O₅.

134 $\delta^{15}\text{N}$ -based source apportionment of NO_x requires knowledge of how kinetic and
135 equilibrium isotope fractionation may impact $\delta^{15}\text{N}$ values during the conversion of NO_x
136 to nitrate (Freyer, 1978; Walters et al., 2016). If these isotope effects are considerable,
137 they may greatly limit the use of $\delta^{15}\text{N}$ values of $p\text{NO}_3^-$ for NO_x source partition (Walters
138 et al., 2016). Previous studies didn't take into account the potentially biasing effect of
139 N isotope fractionation, because they assumed that changes in the $\delta^{15}\text{N}$ values during
140 the conversion of NO_x to nitrate are minor (without detailed explanation) (Kendall et
141 al., 2007; Morin et al., 2008; Elliott et al., 2007) or relatively small (e.g., +3‰) (Felix
142 and Elliott, 2014; Freyer, 2017). However, a field study by Freyer et al. (1993) has
143 indicated that N isotope exchange may have a strong influence on the observed $\delta^{15}\text{N}$
144 values in atmospheric NO and NO₂, implying that isotope equilibrium fractionation
145 may play a significant role in shaping the $\delta^{15}\text{N}$ of NO_y species (the family of oxidized
146 nitrogen molecules in the atmosphere, including NO_x, NO₃, NO₃⁻, peroxyacetyl nitrate
147 etc.). The transformation of NO_x to nitrate is a complex process that involves several
148 different reaction pathways (Walters et al., 2016). To date, few fractionation factors for
149 this conversion have been determined. Recently, Walters and Michalski (2015) and
150 Walters et al. (2016) used computational quantum chemistry methods to calculate N
151 isotope equilibrium fractionation factors for the exchange between major NO_y
152 molecules and confirmed theoretical predictions that ¹⁵N isotopes enrich in the more
153 oxidized form of NO_y, and that the transformation of NO_x to atmospheric nitrate (HNO₃,
154 NO₃ (aq), NO₃ (g)) continuously increases the $\delta^{15}\text{N}$ in the residual NO_x pool.

155 As a consequence of its severe atmospheric particle pollution during the cold season,
156 China has made great efforts toward reducing NO_x emissions from on-road traffic (e.g.,

157 improving emission standards, higher gasoline quality, vehicle travel restrictions) (Li
158 et al., 2017). Moreover, China has continuously implemented denitrogenation
159 technologies (e.g., selective catalytic reduction or SCR) in the coal-fired power plants
160 sector since the mid-2000s, and has been phasing out small inefficient units (Liu et al.,
161 2015). Monitoring and assessing the efficiency of such mitigation measures, and
162 optimizing policy efforts to further reduce NO_x emissions, requires knowledge of the
163 vehicle- and power plant-emitted NO_x to particulate nitrate in urban China (Ji et al.,
164 2015; Fu et al., 2013; Zong et al., 2017). In this study, the chemical components of
165 ambient fine particles (PM_{2.5}) were quantified, and the isotopic composition of
166 particulate nitrate ($\delta^{15}\text{N-NO}_3^-$, $\delta^{18}\text{O-NO}_3^-$) was assessed in order to elucidate ambient
167 NO_x sources in Nanjing City of Eastern China. We also investigated the potential
168 isotope effect during the formation of nitrate aerosols from NO_x, and evaluated how
169 disregard of such N isotope fractionation can bias N-isotope mixing model-based
170 estimates on the NO_x source apportionment for nitrate deposition.

171 **2 Methods**

172 **2.1 Field sampling**

173 In this study, PM_{2.5} aerosol samples were collected on precombusted (450 °C for 6 hr)
174 quartz filters (25 × 20 cm) on a day/night basis, using high-volume air samplers at a
175 flow rate of 1.05 m³ min⁻¹ in Sanjiang and Nanjing (Fig. 1). After sampling, the filters
176 were wrapped in aluminum foil, packed in air-tight polyethylene bags and stored at -
177 20 °C prior to further processing and analysis. Four blank filters were also collected.
178 They were exposed for 10 min to ambient air (i.e., without active sampling). PM_{2.5} mass
179 concentration was analyzed gravimetrically (Sartorius MC5 electronic microbalance)
180 with a ± 1 µg precision before and after sampling (at 25°C and 45 ± 5% during
181 weighing).

182

183

Figure 1.

184

185 The Sanjiang campaign was performed during a period of intensive burning of
186 agricultural residues between October 8 and 18, 2013, to examine if there is any
187 significant difference between the $\delta^{15}\text{N}$ values of $p\text{NO}_3^-$ and NO_x emitted from biomass
188 burning. The Sanjiang site (in the following abbreviated as SJ; 47.35°N, 133.31°E) is
189 located at an ecological experimental station affiliated with the Chinese Academy of
190 Sciences located in the Sanjiang Plain, a major agricultural area predominantly run by
191 state farms in Northeastern China (Fig. 1). Surrounded by vast farm fields and bordering
192 Far-Eastern Russia, SJ is situated in a remote and sparsely populated region, with a
193 harsh climate and rather poorly industrialized economy. The annual mean temperature
194 at SJ is close to the freezing point, with daily minima ranging between -31 and -15°C
195 in the coldest month January. As a consequence of the relatively low temperatures (also
196 during summer), biogenic production of NO_x through soil microbial processes is rather
197 weak. SJ is therefore an excellent environment where to collect biomass burning-
198 emitted aerosols with only minor influence from other sources.

199 The Nanjing campaign was conducted between 17 December 2014 and 8 January 2015
200 with the main objective to examine whether N isotope measurements can be used as a
201 tool to elucidate NO_x source contributions to ambient $p\text{NO}_3^-$ during times of severe
202 haze. Situated in the lower Yangtze River region, Nanjing is, after Shanghai, the second
203 largest city in Eastern China. The aerosol sampler was placed at the rooftop of a building
204 on the Nanjing University of Information Science and Technology campus (in the
205 following abbreviated as NJ; 18 m a.g.l.; 32.21° N, 118.72° E; Fig. 1), where NO_x
206 emissions derive from both industrial and transportation sources.

207 **2.2 Laboratory analysis**

208 The mass concentrations of inorganic ions (including SO_4^{2-} , NO_3^- , Cl^- , NH_4^+ , K^+ , Ca^{2+} ,
209 Mg^{2+} , and Na^+), carbonaceous components (organic carbon or OC, elemental carbon or
210 EC), and water-soluble organic carbon or WSOC were determined using an ion

211 chromatograph (761 Compact IC, Metrohm, Switzerland), a thermal/optical OC/EC
212 analyzer (RT-4 model, Sunset Lab. Inc., USA), and a TOC analyzer (Shimadzu, TOC-
213 VCSH, Japan), respectively. Importantly, levoglucosan, a molecular marker for the
214 biomass combustion aerosols was detected using a DionexTM ICS-5000⁺ system
215 (Thermo Fisher Scientific, Sunnyvale, USA). Chemical aerosol analyses, including
216 sample pre-treatment, analytical procedures, protocol adaption, detection limits, and
217 experimental uncertainty were described in detail in our previous work (Cao et al., 2016;
218 Cao et al., 2017).

219 For isotopic analyses of aerosol nitrate, aerosol subsamples were generated by punching
220 1.4-cm disks out of the filters. In order to extract the NO₃⁻, sample discs were placed in
221 acid-washed glass vials with 10 ml deionized water and placed in an ultra-sonic water
222 bath for 30 min. Between one and four disks were used for NO_x extraction, dependent
223 on the aerosol NO₃⁻ content on the filters, which was determined independently. The
224 extracts were then filtered (0.22 μm) and analyzed the next day. N and O isotope
225 analyses of the extracted/dissolved aerosol nitrate (¹⁵N/¹⁴N, ¹⁸O/¹⁶O) were performed
226 using the denitrifier method (Sigman et al., 2001; Casciotti et al., 2002). Briefly, sample
227 NO₃⁻ is converted to nitrous oxide (N₂O) by denitrifying bacteria that lack N₂O
228 reductase activity (*Pseudomonas chlororaphis* ATCC# 13985; formerly *Pseudomonas*
229 *aureofaciens*, referred to below as such). N₂O is extracted, purified, and analyzed for
230 its N and O isotopic composition using a continuous-flow isotope ratio mass
231 spectrometer (Thermo Finnigan Delta⁺, Bremen, German). Nitrate N and O isotope
232 ratios are reported in the conventional δ-notation with respect to atmospheric N₂ and
233 standard mean ocean water (V-SMOW) respectively. Analyses are calibrated using the
234 international nitrate isotope standard IAEA-N3, with a δ¹⁵N value of 4.7‰ and a δ¹⁸O
235 value of 25.6‰ (Böhlke et al., 2003). The blank contribution was generally lower than
236 0.2 nmol (as compared to 20 nmol of sample N). Based on replicate measurements of
237 standards and samples, the analytical precision for δ¹⁵N and δ¹⁸O was generally better
238 than ± 0.2‰ and ± 0.3‰ (1σ), respectively.

239 The denitrifier method generates $\delta^{15}\text{N}$ and $\delta^{18}\text{O}$ values of the combined pool of NO_3^-
 240 and NO_2^- . The presence of substantial amounts of NO_2^- in NO_3^- samples may lead to
 241 errors with regards to the analysis of $\delta^{18}\text{O}$ (Wankel et al., 2010). We refrained from
 242 including a nitrite-removal step, because nitrite concentrations in our samples were
 243 always $< 1\%$ of the NO_3^- concentrations. In the following $\delta^{15}\text{N}_{\text{NO}_x}$ and $\delta^{18}\text{O}_{\text{NO}_x}$ are thus
 244 referred to as nitrate $\delta^{15}\text{N}$ and $\delta^{18}\text{O}$ (or $\delta^{15}\text{N}_{\text{NO}_3}$ and $\delta^{18}\text{O}_{\text{NO}_3}$).

245 In the case of atmospheric/aerosol nitrate samples with comparatively high $\delta^{18}\text{O}$ values,
 246 $\delta^{15}\text{N}$ values tend to be overestimated by 1-2‰ (Hastings et al., 2003), if the contribution
 247 of $^{14}\text{N}^{14}\text{N}^{17}\text{O}$ to the N_2O mass 45 signal is not accounted for during isotope ratio
 248 analysis. For most natural samples, the mass-dependent relationship can be
 249 approximated as $\delta^{17}\text{O} \approx 0.52 \times \delta^{18}\text{O}$, and the $\delta^{18}\text{O}$ can be used for the ^{17}O correction.
 250 Atmospheric NO_3^- does not follow this relationship but inhabits a mass-independent
 251 component. Thus, we adopted a correction factor of 0.8 instead of 0.52 for the ^{17}O to
 252 ^{18}O linearity (Hastings et al., 2003).

253 **2.3 Calculation of N isotope fractionation value (ϵ_{N})**

254 As we described above, the transformation process of NO_x to $\text{HNO}_3/\text{NO}_3^-$ involves
 255 multiple reaction pathways (see also Fig. S1) and is likely to undergo isotope
 256 equilibrium exchange reactions. The measured $\delta^{15}\text{N}-\text{NO}_3^-$ values of aerosol samples are
 257 thus reflective of the combined N isotope signatures of various NO_x sources ($\delta^{15}\text{N}-\text{NO}_x$)
 258 plus any given N isotope fractionation. Recently, Walter and Michalski (2015) used a
 259 computational quantum chemistry approach to calculate isotope exchange fractionation
 260 factors for atmospherically relevant NO_y molecules, and based on this approach, Zong
 261 et al. (2017) estimated the N isotope fractionation during the transformation of NO_x to
 262 $p\text{NO}_3^-$ at a regional background site in China. Here we adopt, and slightly modify, the
 263 approach by Walter and Michalski (2015) and Zong et al. (2017), and assumed that the
 264 net N isotope effect ϵ_{N} (for equilibrium processes $\text{A} \leftrightarrow \text{B}$: $\epsilon_{\text{A} \leftrightarrow \text{B}} =$

$$265 \left(\frac{(\text{heavy isotope/light isotope})_A}{(\text{heavy isotope/light isotope})_B} - 1 \right) \cdot 1000\text{‰} ; \epsilon_{\text{N}} \text{ refers to } \epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)} \text{ in this}$$

266 study unless otherwise specified) during the gas-to-particle conversion from NO_x to
 267 $p\text{NO}_3^-$ formation ($\Delta(\delta^{15}\text{N})_{p\text{NO}_3^-\text{NO}_x} = \delta^{15}\text{N}-p\text{NO}_3^- - \delta^{15}\text{N}-\text{NO}_x \approx \epsilon_{\text{N}}$) can be considered
 268 a hybrid of the isotope effects of two dominant N isotopic exchange reactions:

$$\begin{aligned}
 \epsilon_{\text{N}} &= \gamma \times \epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} + (1 - \gamma) \times \epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}} \\
 &= \gamma \times \epsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} + (1 - \gamma) \times \epsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}}
 \end{aligned} \quad (1)$$

270 where γ represents the contribution from isotope fractionation by the reaction of NO_x
 271 and photo-chemically produced OH to form HNO_3 (and $p\text{NO}_3^-$), as shown by
 272 $\epsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}}$ ($\epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$). The remainder is formed by the hydrolysis of N_2O_5
 273 with aerosol water to generate HNO_3 (and $p\text{NO}_3^-$), namely, $\epsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}}$
 274 ($\epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}}$). Assuming that kinetic N isotope fractionation associated with the
 275 reaction between NO_x and OH is negligible, $\epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$ can be calculated based on
 276 mass-balance considerations:

$$\begin{aligned}
 \epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} &= \epsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} = \epsilon_{\text{N}(\text{NO}_2 \leftrightarrow \text{HNO}_3)_{\text{OH}}} \\
 &= 1000 \times \left[\frac{({}^{15}\alpha_{\text{NO}_2/\text{NO}} - 1)(1 - f_{\text{NO}_2})}{(1 - f_{\text{NO}_2}) + ({}^{15}\alpha_{\text{NO}_2/\text{NO}} \times f_{\text{NO}_2})} \right]
 \end{aligned} \quad (2)$$

278 where ${}^{15}\alpha_{\text{NO}_2/\text{NO}}$ is the temperature-dependent (see equation 7 and Table S1)
 279 equilibrium N isotope fractionation factor between NO_2 and NO , and f_{NO_2} is the
 280 fraction of NO_2 in the total NO_x . f_{NO_2} ranges from 0.2 to 0.95 (Walters and
 281 Michalski, 2015). Similarly, assuming a negligible kinetic isotope fractionation
 282 associated with the reaction $\text{N}_2\text{O}_5 + \text{H}_2\text{O} + \text{aerosol} \rightarrow 2\text{HNO}_3$, $\epsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}}$ can be
 283 computed from the following equation:

284
$$\begin{aligned} \varepsilon_{\text{N}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}} &= \varepsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}} = \\ \varepsilon_{\text{N}(\text{NO}_x \leftrightarrow \text{N}_2\text{O}_5)_{\text{H}_2\text{O}}} &= 1000 \times \left({}^{15}\alpha_{\text{N}_2\text{O}_5/\text{NO}_2} - 1 \right) \end{aligned} \quad (3)$$

285 where ${}^{15}\alpha_{\text{N}_2\text{O}_5/\text{NO}_2}$ is the equilibrium isotope fractionation factor between N_2O_5 and
286 NO_2 , which also is temperature-dependent (see equation 7 and Table S1).

287 Following Walter and Michalski (2015) and Zhong et al. (2017), γ can then be
288 approximated based on the O isotope fractionation during the conversion of NO_x to
289 $p\text{NO}_3^-$:

290
$$\begin{aligned} \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} &= \gamma \times \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} + (1 - \gamma) \times \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}} \\ &= \gamma \times \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} + (1 - \gamma) \times \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}} \end{aligned} \quad (4)$$

291 where $\varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$ and $\varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}}$ represent the O isotope effects associated
292 with $p\text{NO}_3^-$ generation through the reaction of NO_x and OH to form HNO_3 , and the
293 hydrolysis of N_2O_5 on a wetted surface to form HNO_3 , respectively. $\varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}}$ can
294 be further expressed as:

295
$$\begin{aligned} \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{OH}}} &= \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{OH}}} = \frac{2}{3} \varepsilon_{\text{O}(\text{NO}_2 \leftrightarrow \text{HNO}_3)_{\text{OH}}} + \frac{1}{3} \varepsilon_{\text{O}(\text{NO} \leftrightarrow \text{HNO}_3)_{\text{OH}}} \\ &= \frac{2}{3} \left[\frac{1000 \left({}^{18}\alpha_{\text{NO}_2/\text{NO}} - 1 \right) (1 - f_{\text{NO}_2})}{(1 - f_{\text{NO}_2}) + \left({}^{18}\alpha_{\text{NO}_2/\text{NO}} \times f_{\text{NO}_2} \right)} + \left(\delta^{18}\text{O-NO}_x \right) \right] + \\ &\frac{1}{3} \left[\left(\delta^{18}\text{O-H}_2\text{O} \right) + 1000 \left({}^{18}\alpha_{\text{OH}/\text{H}_2\text{O}} - 1 \right) \right] \end{aligned} \quad (5)$$

296 and $\varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}}$ can be determined as follows:

297
$$\varepsilon_{\text{O}(\text{NO}_x \leftrightarrow p\text{NO}_3^-)_{\text{H}_2\text{O}}} = \varepsilon_{\text{O}(\text{NO}_x \leftrightarrow \text{HNO}_3)_{\text{H}_2\text{O}}} = \frac{5}{6} \left(\delta^{18}\text{O-N}_2\text{O}_5 \right) + \frac{1}{6} \left(\delta^{18}\text{O-H}_2\text{O} \right) \quad (6)$$

298 where ${}^{18}\alpha_{\text{NO}_2/\text{NO}}$ and ${}^{18}\alpha_{\text{OH}/\text{H}_2\text{O}}$ represent the equilibrium O isotope fractionation

299 factors between NO₂ and NO, and OH and H₂O, respectively. The range of δ¹⁸O-H₂O
300 can be approximated using an estimated tropospheric water vapor δ¹⁸O range of -25‰-
301 0‰. The δ¹⁸O values for NO₂ and N₂O₅ range from 90‰ to 122‰ (Zong et al. 2017).

302 ¹⁵α_{NO₂/NO} and ¹⁵α_{N₂O₅/NO₂}, ¹⁸α_{NO₂/NO} and ¹⁸α_{OH/H₂O} in these equations, are dependent
303 on the temperature, which can be expressed as:

$$304 \quad 1000(\alpha_{X/Y} - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4 \quad (7)$$

305 where A, B, C, and D are experimental constants (Table S1) over the temperature range
306 of 150-450 K (Walters and Michalski, 2015; Walters et al., 2016; Walters and Michalski,
307 2016; Zong et al., 2017).

308 Based on Equations 4-7 and measured values for δ¹⁸O-*p*NO₃⁻ of ambient PM_{2.5}, a Monte
309 Carlo simulation was performed to generate 10000 feasible solutions. The error
310 between predicted and measured δ¹⁸O was less than 0.5‰. The range (maximum and
311 minimum) of computed contribution ratios (γ) were then integrated in Equation 1 to
312 generate an estimate range for the nitrogen isotope effect ε_N (using Equations 2-3).
313 δ¹⁵N-*p*NO₃⁻ values can be calculated based on ε_N and the estimated δ¹⁵N range for
314 atmospheric NO_x, (see section 2.4).

315 **2.4 Bayesian isotope mixing model**

316 Isotopic mixing models allow estimating the relative contribution of multiple sources
317 (e.g., emission sources of NO_x) within a mixed pool (e.g., ambient *p*NO₃⁻). By explicitly
318 considering the uncertainty associated with the isotopic signatures of any given source,
319 as well as isotope fractionation during the formation of various components of a mixture,
320 the application of Bayesian methods to stable isotope mixing models generates robust
321 probability estimates of source proportions, and are often more appropriate when
322 targeting natural systems than simple linear mixing models (Chang et al., 2016a). Here
323 the Bayesian model MixSIR (a stable isotope mixing model using sampling-
324 importance-resampling) was used to disentangle multiple NO_x sources by generating

325 potential solutions of source apportionment as true probability distributions, which has
326 been widely applied in a number of fields (e.g., Parnell et al., 2013; Phillips et al., 2014;
327 Zong et al., 2017). Details on the model frame and computing methods are given in SI
328 Text S1.

329 Here, coal combustion ($13.72 \pm 4.57\text{‰}$), transportation ($-3.71 \pm 10.40\text{‰}$), biomass
330 burning ($1.04 \pm 4.13\text{‰}$), and biogenic emissions from soils ($-33.77 \pm 12.16\text{‰}$) were
331 considered to be the most relevant contributors of NO_x (Table S2 and Text S2). The
332 $\delta^{15}\text{N}$ of atmospheric NO_x is unknown. However, it can be assumed that its range in the
333 atmosphere is constrained by the $\delta^{15}\text{N}$ of the NO_x sources and the $\delta^{15}\text{N}$ of $p\text{NO}_3^-$ after
334 equilibrium fractionation conditions have been reached. Following Zong et al. (2017),
335 $\delta^{15}\text{N}\text{-NO}_x$ in the atmosphere was determined performing iterative model simulations,
336 with a simulation step of 0.01 times the equilibrium fractionation value based on the
337 $\delta^{15}\text{N}\text{-NO}_x$ values of the emission sources (mean and standard deviation) and the
338 measured $\delta^{15}\text{N}\text{-}p\text{NO}_3^-$ of ambient $\text{PM}_{2.5}$ (Fig. S2).

339 **3 Results**

340 **3.1 Sanjiang in Northern China**

341 The $\delta^{15}\text{N}\text{-}p\text{NO}_3^-$ and $\delta^{18}\text{O}\text{-}p\text{NO}_3^-$ values of the eight samples collected from the
342 Sanjiang biomass burning field experiment, ranged from 9.54 to 13.77‰ (mean:
343 12.17‰) and 57.17 to 75.09‰ (mean: 63.57‰), respectively. In this study, atmospheric
344 concentrations of levoglucosan quantified from $\text{PM}_{2.5}$ samples collected near the sites
345 of biomass burning in Sanjiang vary between 4.0 and 20.5 $\mu\text{g m}^{-3}$, two to five orders of
346 magnitude higher than those measured during non-biomass burning season (Cao et al.,
347 2017; Cao et al., 2016). Levoglucosan is an anhydrosugar formed during pyrolysis of
348 cellulose at temperatures above 300 °C (Simoneit, 2002). Due to its specificity for
349 cellulose combustion, it has been widely used as a molecular tracer for biomass burning
350 (Simoneit et al., 1999; Liu et al., 2013a; Jedynska et al., 2014; Liu et al., 2014). Indeed,
351 the concentrations of levoglucosan and aerosol nitrate in Sanjiang were highly

352 correlated ($R^2 = 0.64$; Fig. 2a), providing compelling evidence that particulate nitrate
353 measured during our study period was predominately derived from biomass burning
354 emissions.

355 **3.2 Nanjing in Eastern China**

356 The mass concentrations ($mean_{min}^{max} \pm 1\sigma, n = 43$) of $PM_{2.5}$ and pNO_3^- measured in Nanjing
357 City were $122.1_{39.0}^{227.8} \pm 47.9$ and $17.8_{4.0}^{45.2} \pm 10.3$ $\mu g\ m^{-3}$, respectively. All $PM_{2.5}$
358 concentrations exceeded the Chinese Air Quality Standard for daily $PM_{2.5}$ ($35\ \mu g\ m^{-3}$),
359 suggesting severe haze pollution during the sampling period. The corresponding $\delta^{15}N$ -
360 pNO_3^- values (raw data without correction) ranged between 5.39‰ and 17.99‰,
361 indicating significant enrichment in ^{15}N relative to rural and coastal marine atmospheric
362 NO_3^- sources (Table S4). This may be due to the prominent contribution of fossil fuel-
363 related NO_x emissions with higher $\delta^{15}N$ values in urban areas (Elliott et al., 2007; Park
364 et al., 2018).

365 **4 Discussion**

366 **4.1 Sanjiang campaign: theoretical calculation and field validation of N isotope** 367 **fractionation during pNO_3^- formation**

368 To be used as a quantitative tracer of biomass-combustion-generated aerosols,
369 levoglucosan must be conserved during its transport from its source, without partial
370 removal by reactions in the atmosphere (Hennigan et al., 2010). The mass
371 concentrations of non-sea-salt potassium ($nss-K^+ = K^+ - 0.0355*Na^+$) is considered as
372 an independent/additional indicator of biomass burning (Fig. 2b). The association of
373 elevated levels of levoglucosan with high $nss-K^+$ concentrations underscores that the
374 two compounds derived from the same proximate sources, and that thus aerosol
375 levoglucosan in Sanjiang was indeed pristine and represented a reliable source indicator
376 that is unbiased by altering processes in the atmosphere. Moreover, in our previous
377 work (Cao et al., 2017), we observed that there was a much greater enhancement of

378 atmospheric NO_3^- compared to SO_4^{2-} (a typical coal-related pollutant). This additionally
379 points to biomass burning, and not coal-combustion, as the dominant $p\text{NO}_3^-$ source in
380 the study area, making SJ and ideal “quasi single source” environment for calibrating
381 the N isotope effect during $p\text{NO}_3^-$ formation.

382

383

Figure 2.

384

385 Our $\delta^{18}\text{O}-p\text{NO}_3^-$ values are well within the broad range of values in previous reports
386 (Zong et al., 2017; Geng et al., 2017; Walters and Michalski, 2016). However, as
387 depicted in Fig. 3, the $\delta^{15}\text{N}$ values of biomass burning-emitted NO_3^- fall within the
388 range of $\delta^{15}\text{N}-\text{NO}_x$ values typically reported for emissions from coal combustion,
389 whereas they are significantly higher than the well-established values for $\delta^{15}\text{N}-\text{NO}_x$
390 emitted from the burning of various types of biomass (mean: $1.04 \pm 4.13\%$, ranging
391 from -7 to $+12\%$) (Fibiger and Hastings, 2016). Turekian et al. (1998) conducted
392 laboratory tests involving the burning of eucalyptus and African grasses, and
393 determined that the $\delta^{15}\text{N}$ of $p\text{NO}_3^-$ (around 23%) was 6.6% higher than the $\delta^{15}\text{N}$ of the
394 burned biomass. This implies significant N isotope partitioning during biomass burning.
395 In the case of complete biomass combustion, by mass balance, the first gaseous
396 products (i.e., NO_x) have the same $\delta^{15}\text{N}$ as the biomass. Hence any discrepancy between
397 the $p\text{NO}_3^-$ and the $\delta^{15}\text{N}$ of the biomass can be attributed to the N isotope fractionation
398 associated with the partial conversion of gaseous NO_x to aerosol NO_3^- . Based on the
399 computational quantum chemistry (CQC) module calculations, the N isotope
400 fractionation ϵ_{N} ($mean_{\text{min}}^{\text{max}} \pm 1\sigma$) determined from the Sanjiang data was
401 $10.99_{10.30}^{12.54} \pm 0.74\%$. After correcting the primary $\delta^{15}\text{N}-p\text{NO}_3^-$ values under the
402 consideration of ϵ_{N} , the resulting mean $\delta^{15}\text{N}$ of $1.17_{-1.89}^{2.98} \pm 1.95\%$ is very close to the
403 N isotopic signature expected for biomass burning-emitted NO_x ($1.04 \pm 4.13\%$) (Fig.

404 3) (Fibiger and Hastings, 2016). The much higher $\delta^{15}\text{N-}p\text{NO}_3^-$ values in our study
405 compared to reported $\delta^{15}\text{N-NO}_x$ values for biomass burning can easily be reconciled
406 when including N isotope fractionation during the conversion of NO_x to NO_3^- . Put
407 another way, given that Sanjiang is an environment where we can essentially exclude
408 NO_x sources other than biomass burning at the time of sampling, the data nicely validate
409 our CQC module-based approach to estimate ϵ_{N} .

410

411

Figure 3.

412

413 **4.2 Source apportionment of NO_x in an urban setting using a Bayesian isotopic** 414 **mixing model**

415 Due to its high population density and intensive industrial production, the Nanjing
416 atmosphere was expected to have high NO_x concentrations derived from road traffic
417 and coal combustion (Zhao et al., 2015). However, the raw $\delta^{15}\text{N-}p\text{NO}_3^-$ values ($10.93 \pm$
418 3.32%) fell well within the variation range of coal-emitted $\delta^{15}\text{N-NO}_x$ (Fig. 3). It is
419 tempting to conclude that coal combustion is the main, or even sole, $p\text{NO}_3^-$ source
420 (given the equivalent $\delta^{15}\text{N}$ values), yet, this is very unlikely. The data rather confirm
421 that significant isotope fractionation occurred during the conversion of NO_x to NO_3^-
422 and that, without consideration of the N isotope effect, traffic-related NO_x emissions
423 will be markedly underestimated.

424 In the atmosphere, the oxygen atoms of NO_x rapidly exchanged with O_3 in the NO/NO_2
425 cycle (see equations R₁-R₃) (Hastings et al., 2003), and the $\delta^{18}\text{O-}p\text{NO}_3^-$ values are
426 determined by its production pathways (R₄-R₇), rather than the sources of NO_x
427 (Hastings et al., 2003). Thus, $\delta^{18}\text{O-}p\text{NO}_3^-$ can be used to gain information on the
428 pathway of conversion of NO_x to nitrate in the atmosphere (Fang et al., 2011). In the
429 computational quantum chemistry module used here to calculate isotope fractionation,

430 we assumed that two-thirds of the oxygen atoms in NO_3^- derive from O_3 and one-third
431 from $\bullet\text{OH}$ in the $\bullet\text{OH}$ generation pathway (R₄) (Hastings et al., 2003); correspondingly,
432 five sixths of the oxygen atoms then derived from O_3 and one sixth from $\bullet\text{OH}$ in the
433 $\text{O}_3/\text{H}_2\text{O}$ pathway (R₅-R₇). The assumed range for $\delta^{18}\text{O}-\text{O}_3$ and $\delta^{18}\text{O}-\text{H}_2\text{O}$ values were
434 90‰-122‰ and -25‰-0‰, respectively (Zong et al., 2017). The partitioning between
435 the two possible pathways was then assessed through Monte Carlo simulation (Zong et
436 al., 2017). The estimated range was rather broad, given the wide range of $\delta^{18}\text{O}-\text{O}_3$ and
437 $\delta^{18}\text{O}-\text{H}_2\text{O}$ values used. Nevertheless, the theoretical calculation of the average
438 contribution ratio (γ) for nitrate formation in Nanjing via the reaction of NO_2 and $\bullet\text{OH}$
439 is consistent with the results from simulations using the Weather Research and
440 Forecasting model coupled with Chemistry (WRF-Chem) (Fig. 4; see Text S3 for
441 details). A clear diurnal cycle of the mass concentration of nitrate formed through $\bullet\text{OH}$
442 oxidation of NO_2 can be observed (Fig. S3), with much higher concentrations between
443 12:00 and 18:00, This indicates the importance of photochemically produced $\bullet\text{OH}$
444 during daytime. Yet, throughout our sampling period in Nanjing, the average $p\text{NO}_3^-$
445 formation by the heterogeneous hydrolysis of N_2O_5 ($12.6 \mu\text{g m}^{-3}$) exceeded $p\text{NO}_3^-$
446 formation by the reaction of NO_2 and $\bullet\text{OH}$ ($4.8 \mu\text{g m}^{-3}$), even during daytime, consistent
447 with recent observations during peak pollution periods in Beijing (Wang et al., 2017).
448 Given that the production rates of N_2O_5 in the atmosphere is governed by ambient O_3
449 concentrations, reducing atmospheric O_3 levels appears to be one of the utmost
450 important measures to take for mitigating $p\text{NO}_3^-$ pollution in China's urban
451 atmospheres.

452

453

Figure 4.

454

455 In Nanjing, dependent on the time-dependent, dominant $p\text{NO}_3^-$ formation pathway, the
456 average N isotope fractionation value calculated using the computational quantum
457 chemistry module varied between 10.77‰ and 19.34‰ (15.33‰ on average). Using

458 the Bayesian model MixSIR, the contribution of each source can be estimated, based
459 on the mixed-source isotope data under the consideration of prior information on the
460 site (see Text S1 for detailed information regarding model frame and computing
461 method). As described above, theoretically, there are four major sources, i.e., road
462 traffic, coal combustion, biomass burning, and biogenic soil, potentially contributing to
463 ambient NO_x. As a start, we tentatively integrated all four sources into MixSIR (data
464 not shown). The relative contribution of biomass burning to the ambient NO_x (median
465 value) ranged from 28% to 70% (average 42%), representing the most important source.
466 The primary reason for such apparently high contribution by biomass burning is that
467 the corrected $\delta^{15}\text{N-}p\text{NO}_3^-$ values of $-4.29_{-10.32}^{0.42} \pm 3.66\text{‰}$ are relatively close to the N
468 isotopic signature of biomass burning-emitted NO_x ($1.04 \pm 4.13\text{‰}$) compared to the
469 other possible sources. Based on $\delta^{15}\text{N}$ alone, the isotope approach can be ambiguous if
470 there are more than two sources. The N isotope signature of NO_x from biomass burning
471 falls right in between the spectrum of plausible values, with highest $\delta^{15}\text{N}$ for emissions
472 from coal combustion on the one end, and much lower values for automotive and soil
473 emissions on the other, and will be similar to a mixed signature from coal combustion
474 and NO_x emissions from traffic.

475 We can make several evidence-based pre-assumption to better constrain the emission
476 sources in the mixing model analysis: (1) sampling at a typical urban site in a major
477 industrial city in China, we can assume that the sources of road traffic and coal
478 combustion are dominant, while the contribution of biogenic soil to ambient NO_x
479 should have minimal impact, or can be largely neglected (Zhao et al., 2015); (2) there
480 is no crop harvest activity in Eastern China during the winter season. Furthermore,
481 deforestation and combustion of fuelwood has been discontinued in China's major
482 cities (Chang et al., 2016a). Therefore, the contribution of biomass burning-emitted
483 NO_x during the sampling period should also be minor. Indeed, Fig. S4 shows that the
484 mass concentration of biomass burning-related $p\text{NO}_3^-$ is not correlated with the fraction
485 of levoglucosan that contributes to OC, confirming a weak impact of biomass burning
486 on the variation of $p\text{NO}_3^-$ concentration during our study period.

487 In a second, alternative, and more realistic scenario, we excluded biomass burning and
488 soil as potential source of NO_x in MixSIR (see above). As illustrated in Fig. 5a,
489 assuming that NO_x emissions in urban Nanjing during our study period originated
490 solely from road traffic and coal combustion, their relative contribution to the mass
491 concentration of pNO₃⁻ is 12.5 ± 9.1 μg m⁻³ (or 68 ± 11%) and 4.9 ± 2.5 μg m⁻³ (or 32
492 ± 11%), respectively. These numbers agree well with a city-scale NO_x emission
493 inventory established for Nanjing recently (Zhao et al., 2015). Nevertheless, on a
494 nation-wide level, relatively large uncertainties with regards to the overall fossil fuel
495 consumption and fuel types propagate into large uncertainties of NO_x concentration
496 estimates and predictions of longer-term emission trends (Li et al., 2017). Current
497 emission-inventory estimates (Jaegle et al., 2005; Zhang et al., 2012; Liu et al., 2015;
498 Zhao et al., 2013) suggest that in 2010 NO_x emissions from coal-fired power plants in
499 China were about 30% higher than those from transportation. However, our isotope-
500 based source apportionment of NO_x clearly shows that in 2014 the contribution from
501 road traffic to NO_x emissions, at least in Nanjing (a city that can be considered
502 representative for most densely populated areas in China) is twice that of coal
503 combustion. In fact, due to changing economic activities, emission sources of air
504 pollutants in China are changing rapidly. For example, over the past several years,
505 China has implemented an extended portfolio of plans to phase out its old-fashioned
506 and small power plants, and to raise the standards for reducing industrial pollutant
507 emissions (Chang, 2012). On the other hand, China continuously experienced double-
508 digit annual growth in terms of auto sales during the 2000s, and in 2009 it became the
509 world's largest automobile market (Liu et al., 2013b; Chang et al., 2017; Chang et al.,
510 2016b). Recent satellite-based studies successfully analyzed the NO_x vertical column
511 concentration ratios for megacities in Eastern China and highlighted the importance of
512 transportation-related NO_x emissions (Reuter et al., 2014; Gu et al., 2014; Duncan et
513 al., 2016; Jin et al., 2017). Moreover, long-term measurements of the ratio of NO₃⁻
514 versus non-sea-salt SO₄²⁻ in precipitation and aerosol jointly revealed a continuously
515 increasing trend in Eastern China throughout the latest decade, suggesting decreasing

516 emissions from coal combustion (Liu et al., 2013b; Itahashi et al., 2017). Both coal
517 combustion- and road traffic-related $p\text{NO}_3^-$ concentrations are highly correlated with
518 their corresponding tracers (i.e., SO_2 and CO , respectively), confirming the validity of
519 our MixSIR modelling results. With justified confidence in our Bayesian isotopic model
520 results, we conclude that previous estimates of NO_x emissions from
521 automotive/transportation sources in China based on bottom-up emission inventories
522 may be too low.

523

524

Figure 5.

525

526 3.3 Previous $\delta^{15}\text{N}\text{-NO}_3^-$ based estimates on NO_x sources

527 Stable nitrogen isotope ratios of nitrate have been used to identify nitrogen sources in
528 various environments in China, often without large differences in $\delta^{15}\text{N}$ between
529 rainwater and aerosol NO_3^- (Kojima et al., 2011). In previous work, no consideration
530 was given to potential N isotope fractionation during atmospheric $p\text{NO}_3^-$ formation.
531 Here, we reevaluated 700 data points of $\delta^{15}\text{N}\text{-NO}_3^-$ in aerosol ($-0.77 \pm 4.52\%$, $n = 308$)
532 and rainwater ($3.79 \pm 6.14\%$, $n = 392$) from 13 sites that are located in the area of
533 mainland China and the Yellow and East and South China Seas (Fig. 1), extracted from
534 the literature (see SI Table S4 for details). To verify the potentially biasing effects of
535 neglecting N isotope fractionation (i.e. testing the sensitivity of ambient NO_x source
536 contribution estimates to the effect of N isotope fractionation), the Bayesian isotopic
537 mixing model was applied a) to the original NO_3^- isotope data set and b) to the corrected
538 nitrate isotope data set, accounting for the N isotope fractionation during NO_x
539 transformation. All 13 sampling sites are located in non-urban areas; therefore, apart
540 from coal combustion and on-road traffic, the contributions of biomass burning and
541 biogenic soil to nitrate needs to be taken into account.

542 Although most of the sites are located in rural and coastal environments, using the
543 original data set without the consideration of N isotope fractionation in the Bayesian
544 isotopic mixing model, fossil fuel-related NO_x emissions (coal combustion and on-road
545 traffic) appear as the largest contributor at all the sites (data are not shown). This is
546 particularly true for coal combustion: Everywhere, except for the sites of Dongshan
547 Islands and Mt. Lumin, NO_x emissions seem to be dominated by coal combustion. Very
548 high contribution from coal combustion (on the order of 40-60%) particularly in
549 Northern China may be plausible, and can be attributed to a much larger consumption
550 of coal. Yet, rather unlikely, the highest estimated contribution of coal combustion (83%)
551 was calculated for Beihuang Island (a full-year sampling at a costal island that is 65 km
552 north of Shandong Peninsula and 185 km east of the Beijing-Tianjin-Hebei region) and
553 not for mainland China. While Beihuang may be an extreme example, we argue that,
554 collectively, the contribution of coal combustion to ambient NO_x in China as calculated
555 on the basis of isotopic analyses in previous studies without the consideration of N
556 isotope fractionation represent overestimates.

557 As a first step towards a more realistic assessment of the actual partitioning of NO_x
558 sources in China in general (and coal combustion-emitted NO_x in particular), it is
559 imperative to determine the location-specific values for ϵ_N . Unfortunately, without
560 $\delta^{18}\text{O}-\text{NO}_3^-$ data in hand, as well as data on meteorological parameters that correspond
561 to the 700 $\delta^{15}\text{N}-\text{NO}_3^-$ values used in our meta-analysis, it is not possible to estimate the
562 ϵ_N values through the above-mentioned CQC module. As a viable alternative, we
563 adopted the approximate values for ϵ_N as estimated in Sanjiang (10.99‰) and Nanjing
564 (15.33 ± 4.90‰). As indicated in Fig. 6, the estimates on the source partitioning is
565 sensitive to the choice of ϵ_N . Whereas with increasing ϵ_N , estimates on the relative
566 contribution of on-road traffic and biomass burning remained relatively stable;
567 estimates for coal combustion and biogenic soil changed significantly, in opposite
568 directions. More precisely, depending on ϵ_N , the average estimate of the fractional
569 contribution of coal combustion decreased drastically from 43% ($\epsilon_N = 0\text{‰}$) to 5% (ϵ_N
570 = 20‰) (Fig. 6), while the contribution from biogenic soil to NO_x emissions increased

571 in a complementary way. Given the lack of better constraints on ϵ_N for the 13 sampling
572 sites, it cannot be our goal here to provide a robust revised estimate on the partitioning
573 of NO_x sources throughout China and its neighboring areas. But we have very good
574 reasons to assume that disregard of N isotope fractionation during $p\text{NO}_3^-$ formation in
575 previous isotope-based source apportionment studies has likely led to overestimates of
576 the relative contribution of coal combustion to total NO_x emissions in China. For what
577 we would consider the most conservative estimate, i.e. lowest calculated value for the
578 N isotope fractionation during the transformation of NO_x to $p\text{NO}_3^-$ ($\epsilon_N = 5\text{‰}$), the
579 approximate contribution from coal combustion to the NO_x pool would be 28%, more
580 than 30% less than N isotope mixing model-based estimates would yield without
581 consideration of the N isotope fractionation (i.e., $\epsilon_N = 0\text{‰}$) (Fig. 6).

582

583 **Figure 6.**

584

585

586 **4 Conclusion and outlook**

587 Consistent with theoretical predictions, $\delta^{15}\text{N}$ - $p\text{NO}_3^-$ data from a field experiment where
588 atmospheric $p\text{NO}_3^-$ formation could be attributed reliably to NO_x from biomass burning
589 only, revealed that the conversion of NO_x to $p\text{NO}_3^-$ is associated with a significant net
590 N isotope effect (ϵ_N). It is imperative that future studies, making use of isotope mixing
591 models to gain conclusive constraints on the source partitioning of atmospheric NO_x ,
592 will consider this N isotope fractionation. The latter will change with time and space,
593 depending on the distribution of ozone and OH radicals in the atmosphere and the
594 predominant NO_x chemistry. The O-isotope signatures of $p\text{NO}_3^-$ is mostly chemistry-
595 (and not source) driven (modulated by O-isotope exchange reactions in the atmosphere),
596 and thus, O isotope measurements do not allow addressing the ambiguities with regards

597 to the NO_x source that may remain when just looking at $\delta^{15}\text{N}$ values alone. However,
598 $\delta^{18}\text{O}$ in $p\text{NO}_3^-$ will help assessing the relative importance of the dominant $p\text{NO}_3^-$
599 formation pathway. Simultaneous $\delta^{15}\text{N}$ and $\delta^{18}\text{O}$ measurements of atmospheric nitrate
600 thus allow reliable information on ϵ_{N} , and in turn on the relative importance of single
601 NO_x sources. For example, for Nanjing, which can be considered representative for
602 other large cities in China, dual-isotopic and chemical-tracer evidence suggest that on-
603 road traffic and coal-fired power plants, rather than biomass burning, are the
604 predominant sources during high-haze pollution periods. Given that the increasing
605 frequency of nitrate-driven haze episodes in China, our findings are critically important
606 in terms of guiding the use of stable nitrate isotope measurements to evaluate the
607 relative importance of single NO_x sources on regional scales, and for adapting suitable
608 mitigation measures. Future assessments of NO_x emissions in China (and elsewhere)
609 should involve simultaneous $\delta^{15}\text{N}$ and $\delta^{18}\text{O}$ measurements of atmospheric nitrate and
610 NO_x at high spatiotemporal resolution, allowing us to more quantitatively reevaluate
611 former N-isotope based NO_x source partitioning estimates.

612 **Competing interests**

613 The authors declare that they have no competing interests.

614 **Data availability**

615 Data are available from the corresponding author on request. We prefer not to publish
616 the software of calculating the nitrogen isotope fractionation factor and estimating
617 nitrate source attribution at the present stage in order to avoid compromising the future
618 of ongoing software registration. Readers can download the software through the
619 website atmosgeochem.com after the finish of software registration.

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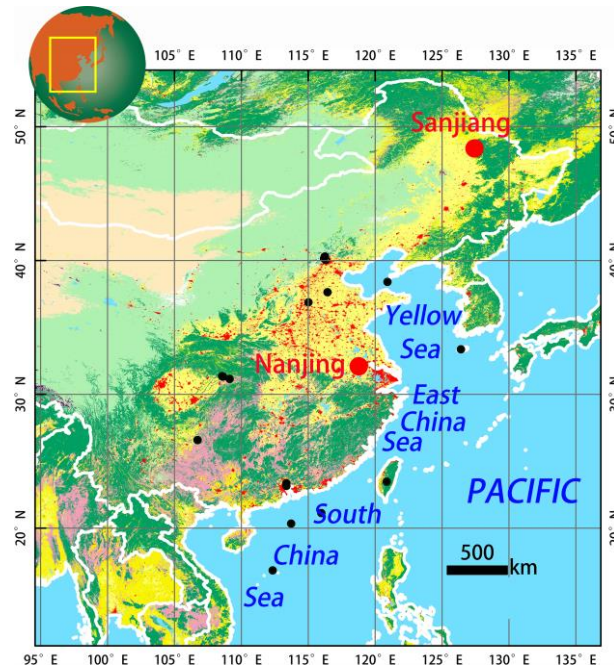
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935 **Figure 1.** Location of the sampling sites Sanjiang and Nanjing. The black dots
936 indicate the location of sampling sites (sites are located in the area of mainland China
937 and the Yellow and East and South China Seas) with $\delta^{15}\text{N-NO}_3^-$ data from the
938 literature (see also Table S4).

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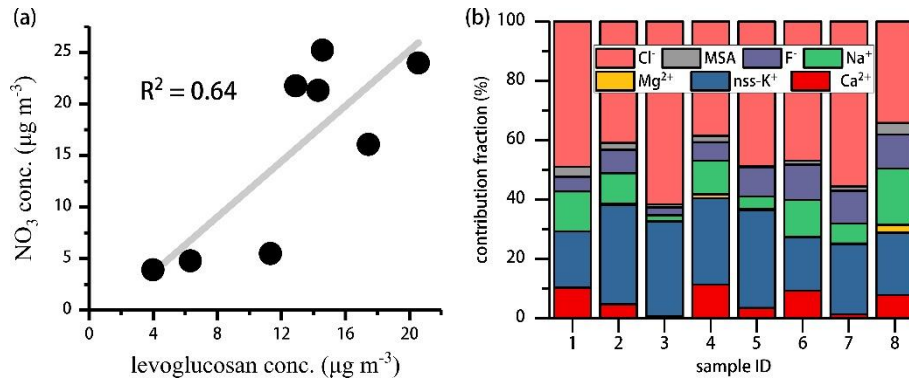
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947 **Figure 2.** (a) Correlation analysis between the mass concentrations of levoglucosan
948 and aerosol nitrate during the Sanjiang sampling campaign; (b) Variation of fractions
949 of various inorganic species (MSA^- stands for methyl sulphonate) during day-night
950 samplings at Sanjiang between 8 and October 2013 18 (sample ID 1 to 8,
951 respectively). The higher relative abundances of nss-K^+ and Cl^- are indicative for a
952 biomass-burning dominated source. For sample ID information and exact sampling
953 dates, refer to Table S3.

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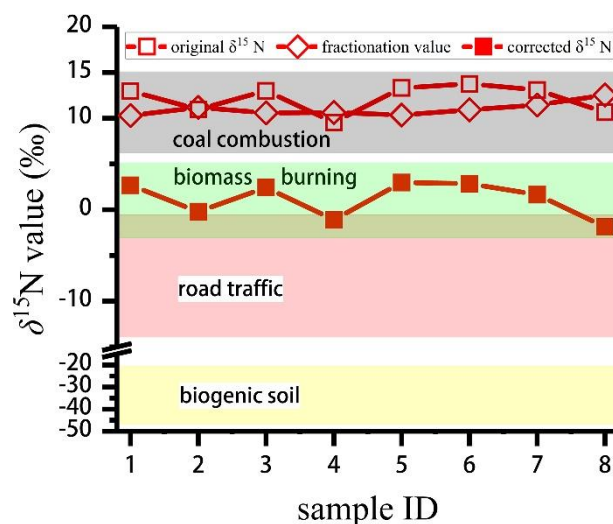
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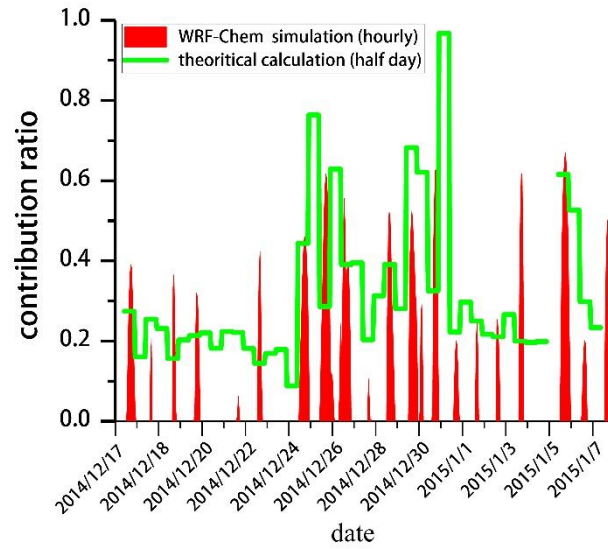
963 **Figure 3.** Original $\delta^{15}\text{N}$ values ($\delta^{15}\text{N}_{\text{ini}}$) for $p\text{NO}_3^-$, calculated values for the N isotope
 964 fractionation (ϵ_{N}) associated with the conversion of gaseous NO_x to $p\text{NO}_3^-$, and
 965 corrected $\delta^{15}\text{N}$ values ($\delta^{15}\text{N}_{\text{corr}}$; $^{15}\text{N}_{\text{ini}}$ minus ϵ_{N}) of $p\text{NO}_3^-$ for each sample collected
 966 during the Sanjiang sampling campaign. The colored bands represent the variation
 967 range of $\delta^{15}\text{N}$ values for different NO_x sources based on reports from the literature
 968 (Table S2). See Table S3 for the information regarding sample ID.

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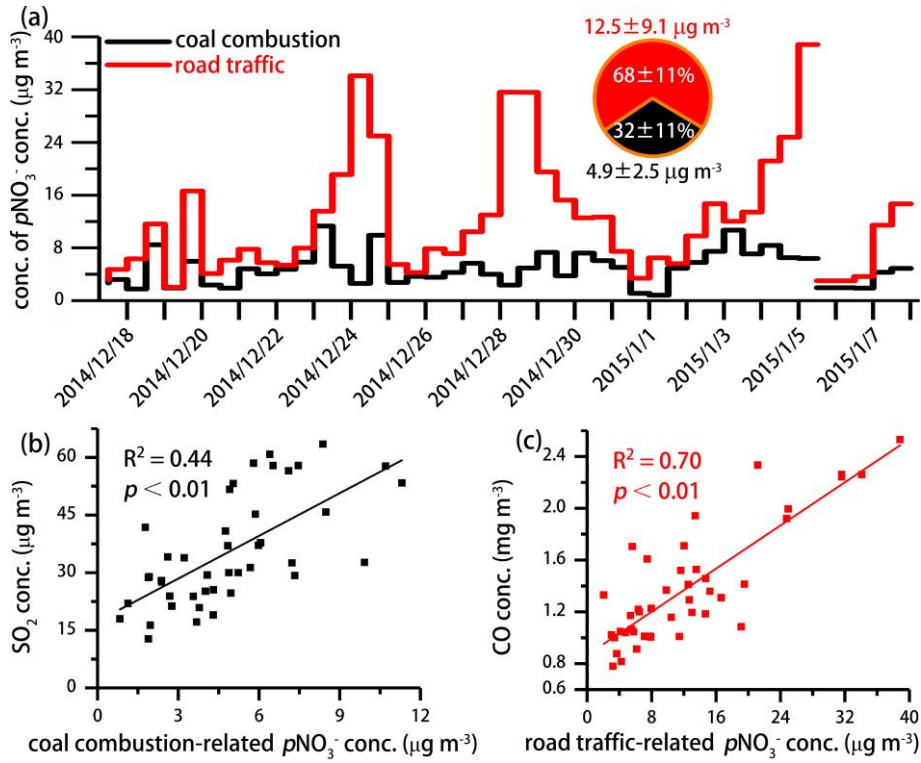
974 **Figure 4.** Comparison between the theoretical calculation and WRF-Chem simulation
 975 of the average contribution ratio (γ) for nitrate formation in Nanjing via the reaction
 976 of NO_2 and photochemically produced $\bullet\text{OH}$.

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982 **Figure 5.** (a) Time-series variation of coal combustion and road traffic contribution to
 983 the mass concentrations of ambient $p\text{NO}_3^-$ in Nanjing, as estimated through MixSIR;
 984 (b) Correlation analysis between the mass concentrations of coal combustion-related
 985 $p\text{NO}_3^-$ and SO_2 ; (c) Correlation analysis between the mass concentrations of road
 986 traffic-related $p\text{NO}_3^-$ and CO.

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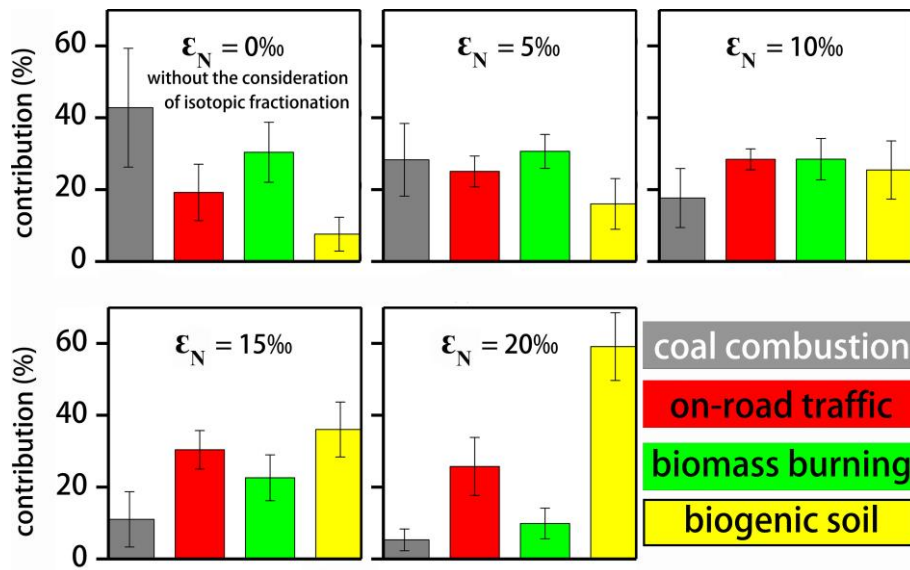
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Figure 6. Estimates of the relative importance of single NO_x sources (mean ± 1σ) throughout China based on the original $\delta^{15}\text{N-NO}_3^-$ values extracted from the literature ($\epsilon_N = 0\text{‰}$) and under consideration of significant N isotope fractionation during NO_x transformation ($\epsilon_N = 5\text{‰}, 10\text{‰}, 15\text{‰}$ or 20‰).