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## 8 **Abstract**

9 East African countries face an increasing threat from poor air quality, stemming from rapid  
10 urbanisation, population growth and a steep rise in fuel use and motorization rates. With few air  
11 quality monitoring systems available, this study provides much needed high temporal resolution  
12 data to investigate the concentrations of particulate matter (PM) air pollution in Kenya. Calibrated  
13 low cost optical particle counters (OPCs) were deployed in Kenya in three locations: two in the  
14 capital of Nairobi and one in a rural location in the outskirts of Nanyuki, which is upwind of Nairobi.  
15 The two Nairobi sites consist of an urban background site and a roadside site. The instruments were  
16 composed of an AlphaSense OPC-N2 optical particle counter (OPC) ran with a raspberry pi low cost  
17 microcomputer, packaged in a weather proof box. Measurements were conducted over a two-  
18 month period (February – March 2017) with an intensive study period when all measurements were  
19 active at all sites lasting two weeks. When collocated, the three OPC-N2 instruments demonstrated  
20 good inter-instrument precision with a coefficient of variance of  $8.8 \pm 2.0\%$  in the fine particle fraction  
21 ( $PM_{2.5}$ ). The low cost sensors had an absolute PM mass concentration calibration using a collocated  
22 gravimetric measurement at the urban background site in Nairobi.

23 The mean daily  $PM_1$  mass concentration measured at the urban roadside, urban background and  
24 rural background sites were 23.9, 16.1, 8.8  $\mu g m^{-3}$ . The mean daily  $PM_{2.5}$  mass concentration  
25 measured at the urban roadside, urban background and rural background sites were 36.6, 24.8, 13.0  
26  $\mu g m^{-3}$ . The mean daily  $PM_{10}$  mass concentration measured at the urban roadside, urban background  
27 and rural background sites were 93.7, 53.0, 19.5  $\mu g m^{-3}$ . The urban measurements in Nairobi showed  
28 that particulate matter concentrations regularly exceed WHO guidelines in both the  $PM_{10}$  and  $PM_{2.5}$   
29 size ranges. Following a 'Lenschow' type approach we can estimate the urban and roadside  
30 increments that are applicable to Nairobi (Lenschow et al., 2001). The median urban increment is  
31 33.1  $\mu g m^{-3}$  and the median roadside increment is 43.3  $\mu g m^{-3}$  for  $PM_{2.5}$ . For  $PM_1$ , the median urban

1 increment is  $4.7 \mu\text{g m}^{-3}$  and the median roadside increment is  $12.6 \mu\text{g m}^{-3}$ . These increments  
2 highlight the importance of both the urban and roadside increments to urban air pollution in  
3 Nairobi.

4 A clear diurnal behaviour in PM mass concentration was observed at both urban sites, which peaks  
5 during the morning and evening Nairobi rush hours; this was consistent with the high measured  
6 roadside increment indicating that vehicular traffic is a dominant source of particulate matter in the  
7 city, accounting for approximately 48.1, 47.5, and 57.2% of the total particulate matter loading in  
8 the  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$  and  $\text{PM}_1$  size ranges, respectively. Collocated meteorological measurements at the  
9 urban sites were collected, allowing for an understanding of the location of major sources of  
10 particulate matter at the two sites. The potential problems of using low cost sensors for PM  
11 measurement without gravimetric calibration available at all sites are discussed.

12 This study shows that calibrated low cost sensors can be used successfully to measure air pollution  
13 in cities like Nairobi. It demonstrates that low cost sensors could be used to create an affordable and  
14 reliable network to monitor air quality in cities.

## 15 **1. Introduction**

16 Recently, the Lancet Commission on pollution and health estimated that in 2015, air pollution led to  
17 the premature deaths of over nine million people globally, and contributed to over one in four  
18 deaths in severely affected countries (Landrigan et al., 2017). Typically, urban air pollution is higher  
19 in low and middle-income countries (LMICs) compared to further developed countries. Hence, the  
20 associated risk of air pollution to health is typically higher in LMICs, with over 92% of global pollution  
21 related deaths occurring in these countries. Within LMICs, health inequalities in urban areas  
22 contribute to an increased exposure to air pollution that faces those that live, work, socialise and  
23 commute to highly urbanised areas which typically have a substantially higher concentration of air  
24 pollutants. Despite the extensive links between air pollutants and human health, environmental  
25 degradation and the economy, air pollution is as of yet still under-researched in many LMICs. Due to  
26 a lack of long term air quality monitoring in many LMICs, the concentrations and sources of air  
27 pollution are poorly understood.

28 Airborne particulate matter (PM) is a major environmental risk factor with well-documented short  
29 and long-term effects on human mortality and morbidity (Thurston et al., 2016). Long term side  
30 effects to air pollution exposure include asthma, chronic pulmonary disease (COPD), pulmonary  
31 fibrosis, cancer, type-2 diabetes, neurodegenerative diseases, obesity and other conditions (Ferranti  
32 et al., 2017; Landrigan et al., 2017). People who are already susceptible to underlying respiratory  
33 disease, pneumonia, influenza or asthma can see a worsening of their symptoms and illnesses

1 through short term exposure to air pollution (Wan Mahiyuddin et al., 2013). The size of PM is  
2 correlated with their health impacts, with smaller particles typically having more significant health  
3 implications (Meng et al., 2013). PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> are particulate matter with aerodynamic  
4 diameters less than 1, 2.5 and 10 µm, respectively. The World Health Organization (WHO)  
5 recommends that PM<sub>2.5</sub> and PM<sub>10</sub> daily mass concentrations should not exceed 25 and 50 µg/m<sup>3</sup>,  
6 respectively; and that annual mass concentrations do not exceed 10 and 20 µg/m<sup>3</sup>, respectively  
7 (WHO, 2006). At present, the WHO or other regulatory bodies do not provide recommendations of  
8 the mass concentrations of PM<sub>1</sub>. PM<sub>1</sub> can remain suspended in air for much longer than coarser  
9 particulate matter, as well as penetrating deeper into the lungs leading to local pulmonary,  
10 systematic inflammation (Pateraki et al., 2014). Due to the smaller size, PM<sub>1</sub> has a higher surface to  
11 mass ratio, containing a harmful amount of potentially toxic anthropogenic constituents which could  
12 lead to health impacts such as respiratory disease, heart disease and lung cancer (Trippetta et al.,  
13 2016). Many studies still focus on PM<sub>10</sub> and PM<sub>2.5</sub> even though smaller particulates pose greater  
14 health impacts (Tsiouri et al., 2015). Beyond PM<sub>1</sub>, ultra-fine particles (<100 nm) are of such a small  
15 size they can be translocated to the central nervous system via the blood to brain barrier or the  
16 olfactory bulb (Chen et al., 2016). There are no air quality regulations of PM<sub>1</sub> or ultra-fine particles  
17 due to the paucity of data either within environmental science or public health.

18 Worldwide, road traffic is a dominant source of urban PM accounting for 5-80% of PM mass, with  
19 the precise amount being dependent upon several factors including time, location, and vehicle fleet,  
20 as reviewed by Pant and Harrison (2013). Vehicle derived PM is directly associated with negative  
21 health outcomes (Fan et al., 2006;HEI, 2010). Emissions are due both to exhaust pipe emissions and  
22 non-exhaust pipe emissions. Exhaust emissions result from the combustion of fuel, predominantly  
23 petrol and diesel, and oil and other lubricants. Non-exhaust emissions come either from the  
24 resuspension of road dust through wind or vehicle induced wind shear, or from the wear and tear of  
25 vehicle parts including the brakes, tyres and clutch. Resuspension of dust is particularly important  
26 on non-paved roads of which there are an abundance in Nairobi. Typically, non-exhaust emissions  
27 are in the coarse PM size fraction (PM in the size range 2.5-10 µm aerodynamic diameter), whereas  
28 exhaust emissions are in the fine PM size fraction (PM<sub>2.5</sub>) (Thorpe et al., 2007;Kam et al., 2012).  
29 However, it is noted that the papers which reference vehicle PM size distributions according to the  
30 emission of non-exhaust sources have typically been conducted in either the US or European studies  
31 and not in Africa, where non-paved road sources represent a much higher fraction of road surface  
32 type. The precise size of vehicular derived PM is dependent on several factors: vehicle fleet  
33 characteristics (e.g. weight and size), road type and level of maintenance and meteorological  
34 conditions (Beddows et al., 2009;Hays et al., 2011).

1 In many LMIC cities, urbanization, population, fuel use and motorization rates are all increasing  
2 rapidly and increases in air pollution are associated with these trends (Mitlin and Satterhwaite,  
3 2013;Ochieng et al., 2017). In particular, vehicular traffic is fast on the rise, with associated  
4 congestion on the road networks, which can contribute as much as 90% of air pollution in urban  
5 environments (UNEP, 2005). Nairobi is the capital city of Kenya and is showing these trends. In  
6 particular, the city population has increased dramatically, since 1999 to 2015 it has risen by 83%, and  
7 is projected to increase to 7.14 million by 2030 (Rajé et al., 2017). Similarly, motorization rates are  
8 increasing, between 2008 and 2012, the number of motor- and auto-cycles in Kenya grew by 368%  
9 with the number of overall registered vehicles increasing by 77% (Rajé et al., 2017). Considering this  
10 extensive increase in the vehicle fleet, limited roadway infrastructure and high congestion within the  
11 city, pollution hotspots are created leading to personal exposure levels much higher than that  
12 encountered throughout the rest of the city (van Vliet and Kinney, 2007).

13 To be able to reduce air pollution, it is helpful to be able to measure it, so reduction efforts can be  
14 assessed. Many LMIC countries have insufficient monitoring networks through which to measure air  
15 quality. In particular, long term high resolution data is required for such cities which are vulnerable  
16 to air pollution. Nairobi is in the vanguard of air pollution measurements for Sub-Saharan Africa but  
17 lacks continuous long term calibrated measurements of PM and other air pollutants (Petkova et al.,  
18 2013). A discussion of the relevant measurements in Nairobi is given in the next section. One of the  
19 constraints to making measurements is the high cost of research grade air quality monitoring  
20 equipment with appropriate calibration and certification. Low cost sensors offer the potential for  
21 dramatically reducing equipment costs by orders of magnitude, making the monitoring of air quality  
22 more accessible and attainable in LMIC countries (Lewis et al., 2016;Rai et al., 2017).

23 In this paper, the use of low cost sensors for measurement of  $PM_{10}$ ,  $PM_{2.5}$  and  $PM_{10}$  in Nairobi is  
24 detailed. We have previously assessed the same low cost sensors in the UK (Crilley et al., 2018). The  
25 sensors are calibrated using a standardised gravimetric approach. PM is measured in three locations:  
26 an urban roadside site, an urban background site and a rural background site. Comparison of  
27 simultaneous measurements at the three sites allows for the estimation of an urban increment and  
28 roadside increment in PM following a 'Lenschow' type approach which allows for simple modelling  
29 of city air pollution based on the urban and roadside increments in air pollution (Lenschow et al.,  
30 2001). The variation of measured PM with measured meteorological data is also discussed. Finally,  
31 we discuss the implications of using low cost sensors in Nairobi and LMIC countries in general.

## 1 **2. Previous PM measurements in Nairobi**

2 In general, long term air quality monitoring in Sub-Saharan Africa (SSA) is rare. Correspondingly,  
3 there are only limited PM data sets for East African urban areas; where data does exist estimated  
4 concentrations for PM<sub>2.5</sub> concentrations are approximately 100 µg/m<sup>3</sup> compared to <20 µg/m<sup>3</sup> in  
5 most European and North American cities (Brauer et al., 2012). This indicates that urban PM air  
6 pollution in East Africa could be a significant health concern.

7

8 In Nairobi, there have been numerous short term measurements of PM over the last decade (Brauer  
9 et al., 2012; Kinney et al., 2011; Ngo et al., 2015; Egondi et al., 2016; Gaita et al., 2016) with only one  
10 long term continuous measurement (Gaita et al., 2014). To date, most measurements have used  
11 gravimetric measurement methodologies to record PM mass concentration in the PM<sub>2.5</sub> and PM<sub>10</sub>  
12 size fractions. Most measurements indicate PM concentrations in Nairobi regularly exceed the WHO  
13 guidelines. At present, there is only one publication in the scientific literature describing the use of  
14 low cost sensors in the measurement of PM (de Souza et al., 2017) which monitored air quality in  
15 Nairobi at six sites from May 2016 to January 2017. Using AlphaSense OPC-N2's, the authors  
16 measured PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> as well as NO<sub>2</sub>, NO and SO<sub>2</sub>.

17 The study collected PM concentrations at six schools within Nairobi. It reported a PM<sub>2.5</sub>  
18 concentration range between 11 and 21 µg/m<sup>3</sup>, and a range of 26 to 59 µg/m<sup>3</sup> for PM<sub>10</sub>. The PM  
19 concentrations measured during the de Souza study are noticeably lower than of this study for both  
20 size fractions. It is worthy of note that the de Souza study collected measurements from May 2016  
21 to January 2017, whereas this campaign took place from February to April 2017; the local  
22 meteorology may have influenced the discrepancies seen in both recorded PM concentrations.  
23 Additionally, the study did not calibrate the monitors, which leads to questions about absolute  
24 concentrations and interference from other environmental dependencies (Lewis and Edwards,  
25 2016). The collected data from the study appeared noisy, with the authors stating they could not  
26 separate the signal from the noise without having access to an air quality measuring reference  
27 instrument (they recorded peaks at over 1000 µg/m<sup>3</sup>). Despite the limitations, it provides a useful  
28 comparison to this calibrated study.

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30 The paucity of long term calibrated measurements has hindered the understanding of long term  
31 trends and the influence of seasonal variations in meteorology and other factors. Most published  
32 data provide daily averages of PM mass; the lack of higher temporal resolution data precludes the  
33 generation of diurnal data which can be useful for identifying individual sources of PM, in particular,  
34 vehicular PM which typically tracks traffic and hence peaks during rush hours.

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The longest record of PM concentration in Nairobi is detailed in Gaita et al. (2014). In this work, the authors performed daily measurements of PM<sub>2.5</sub> at an urban background and suburban site over a two-year period from May 2008 to April 2010 using polycarbonate filters in cyclone sampler (Casella from Bedford, UK). They reported a concentration range of 3 µg/m<sup>3</sup> to 53 µg/m<sup>3</sup> at the urban background site, with an overall mean of 21 µg/m<sup>3</sup> which exceeds the annual WHO limit of 10 µg/m<sup>3</sup> by a factor of two. The average concentrations of PM<sub>2.5</sub> at both sites were found to be 21 ± 9.5 and 13 ± 7.3 µg/ m<sup>-3</sup>, respectively. Chemical composition measurements of the filter samples allowed source apportionment, via positive matrix factorization, to be carried out. The analysis suggested that five major source factors contribute to Nairobi PM<sub>2.5</sub>: traffic, mineral dust, industry, combustion and a mixed factor. The dominant source factors were mineral dust and traffic which accounted for 74% of the particle mass.

As an update to this study, Gaita et al. (2016) conducted a study on the characterization and size-fractionation of particulate matter and deposition fraction in the human respiratory system in Nairobi using measurements taken in August and September 2007, obtained at the University of Nairobi site. Based on the findings, the concentration levels of airborne particulate matter sampled at the urban background site during the period was found to range between 1 µg/m<sup>3</sup> and 78 µg/m<sup>3</sup>. The average PM<sub>2.5</sub> concentration at the site over the entire sampling period was 9.8±8.5 µg/m<sup>3</sup>.

A densely populated urban area with associated heavy local traffic within Nairobi largely contributes to the city's air pollution build up. Kinney et al., (2011) investigated the impact of vehicular emissions in Nairobi on the concentration of PM<sub>2.5</sub>, observing a substantial range between 58 µg/m<sup>3</sup> and 98 µg/m<sup>3</sup> across an 11-hour personal exposure along busy roadways and roundabouts. The range could be estimated to be between 45 and 85 µg/m<sup>3</sup> for a 24 h sampling due to pollutant dispersion at night. In addition, the study reported a decrease in horizontal dispersion measurements of PM<sub>2.5</sub> from 128.7 µg/m<sup>3</sup> to 18.7 µg/m<sup>3</sup> over 100 m downwind of a major intersection in Nairobi. A vertical dispersion from a street level to a third-floor rooftop in the Central Business District (CBD) showed a decrease in PM<sub>2.5</sub> concentration from 119.5 µg/m<sup>3</sup> to 42.8 µg/m<sup>3</sup>. This study clearly highlights that the PM concentration in Nairobi varies considerably over both time and space, which has significant implications for human exposure, see discussion.

Another study by Ngo et al., (2015) affirmed the contribution of anthropogenic activities on the quality of air in Nairobi. In their study, Teflon filters in PM<sub>2.5</sub> samplers (BGI model 400) were used between 2<sup>nd</sup> August and 18<sup>th</sup> August 2011 and high concentrations of PM<sub>2.5</sub> exposure levels among

1 different groups in Nairobi were reported. According to the study, bus drivers in Nairobi city were  
2 exposed to about  $103 \mu\text{g}/\text{m}^3$  while those in informal settlements, such as Mathare, reporting  
3 exposure levels of about  $62.7 \mu\text{g}/\text{m}^3$ , an indication that urgent measures needed to be taken to  
4 mitigate the impact of air pollution in the city.

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6 The severity of air pollution in urban centres in SSA is typically even higher in the informal  
7 settlements (slums), where acute respiratory tract infections and bronchitis are among the most  
8 frequent medical diagnoses (Gulis et al., 2004). Egondi et al., (2016), in their study on air pollution in  
9 two informal settlements in Nairobi: Korogocho and Viwandani, reported higher concentration levels  
10 of  $\text{PM}_{2.5}$  in the two slums. Optical counters (TSI DustTrak II model 3530) were used in the study and  
11 observed average concentration levels of  $\text{PM}_{2.5}$  in Korogocho slum, lying west of Dandora, Nairobi's  
12 biggest dumping ground, were the highest at  $166 \mu\text{g}/\text{m}^3$  and Viwandani, situated North of Nairobi  
13 recorded  $67 \mu\text{g}/\text{m}^3$ .

### 14 **3. Methodology**

#### 15 **3.1. Site locations**

16 This study utilised three field sites in Kenya, see Figure 1. Two sites were in Nairobi which is the  
17 capital of Kenya, covering an area of ca. 696 sq. kilometres and home to approximately 3.5 million  
18 residents according to a World Population review conducted in 2016 (Kenya Population, 2016),  
19 making it the second most populated city in East Africa after Dar es Salaam, Tanzania. In addition to  
20 Nairobi's longstanding popularity as a travel destination, due to its safari and other holiday resorts,  
21 the city also acts as East Africa's diplomatic, financial and communication capital (Rajé et al., 2017).  
22 Its geographical location is at approximately  $1.29^\circ \text{S}$  and  $36.82^\circ \text{E}$ . The highest elevation point in the  
23 city is at an altitude of 1663m above the ground. As discussed in the introduction, Nairobi is  
24 undergoing rapid increases in population and motorization both of which will likely lead to greater  
25 PM pollution in the absence of any efforts of mitigation against the pollution. Other significant  
26 infrastructure projects such as major road building are currently being undertaken, which will also  
27 likely lead to increased PM loadings. Within Nairobi, the two field sites represent an urban  
28 background location and an urban roadside location. The other site, a rural background site is  
29 located on the outskirts of Nanyuki, a town that is located at an approximate aerial distance of 147  
30 km to the north (NNE) of Nairobi and 240 km by road. The sensor boxes were placed in locations free  
31 from obstacles, at the three measurement sites, allowing for 360 degrees of air flow. A description  
32 of the meteorological conditions is provided in the supplementary material.

33

1 **Site 1: American Wing, University of Nairobi, Nairobi (urban background site)**

2 The first site for data collection in Nairobi was at the American Wing building located in the  
3 University of Nairobi, standing at an elevation of 17 m above ground level. Air flow at the site was  
4 free from any obstruction as the OPC's were located at an elevated point above the ground. The  
5 nearest road is Harry Thuku road which has very few on-road vehicles (no heavy trucks) and it leads  
6 to Fairmont Norfolk and Boulevard hotels, and Kijabe Street. Its level is far below the site and the  
7 only influence from the few vehicles and the city is highly diluted and dispersed pollutants (Kinney,  
8 et al., 2011) in regional air mass.

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10 **Site 2: Tom Mboya Street, Fire station, Nairobi (urban roadside)**

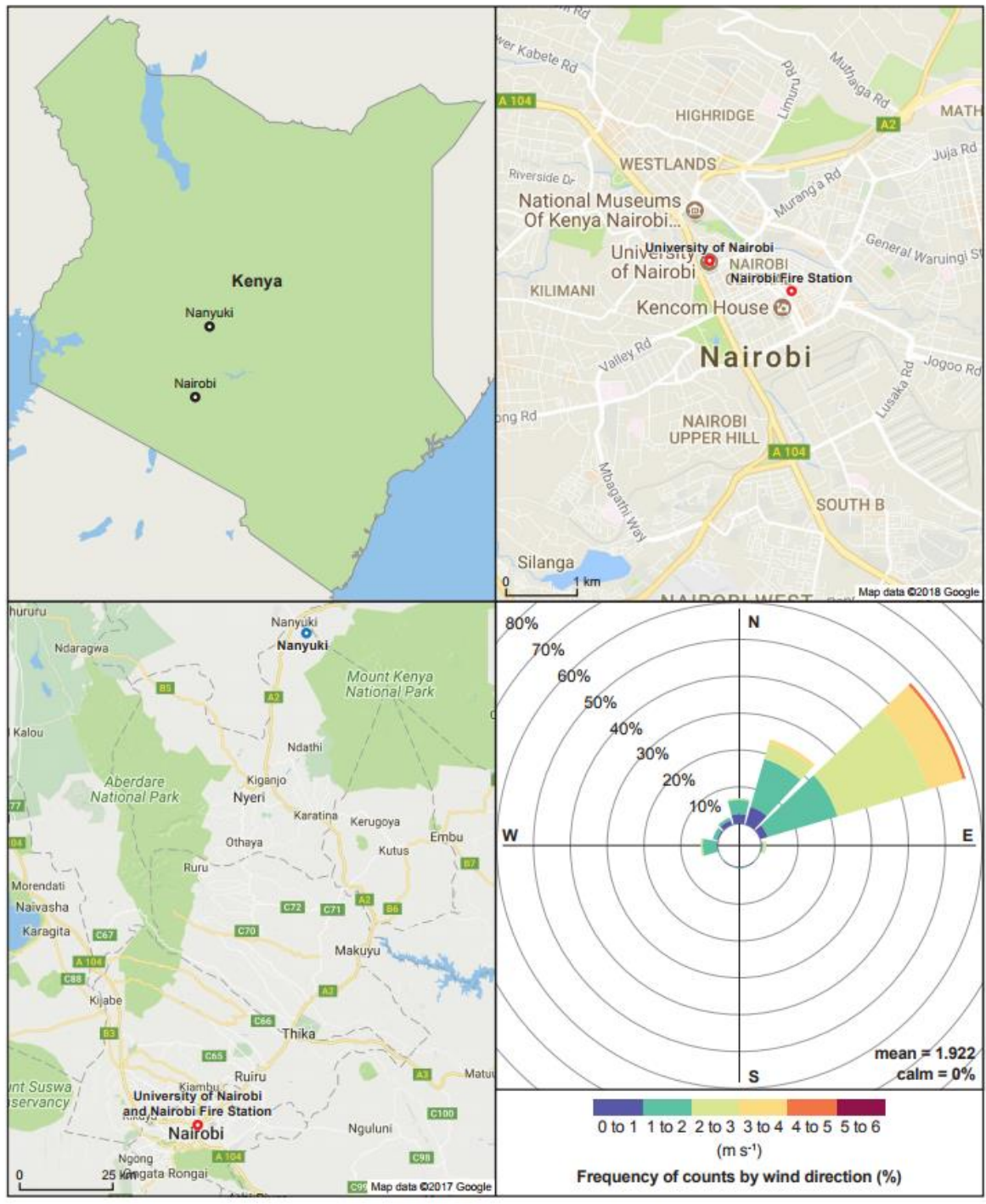
11 The second collection site in Nairobi was at the fire station, which is located within the CBD in the  
12 city. Unlike the American Wing site, the area around the Fire Station is characterized by high traffic  
13 flow which includes common public transport vans, locally known by the name "Matatus". It is on an  
14 urban street canyon, on a street where smoking diesel vans are frequent and is exposed to urban  
15 heat Island effects. It is also in the neighbourhood of vertical dispersion measurement site of PM<sub>2.5</sub>  
16 used by Kinney et al. (2011). The monitor was mounted at a height of approximately 5 m.

17

18 **Site 3: Nanyuki town (rural background)**

19 The third site chosen was on the outskirts of Nanyuki town, an administrative town in Laikipia  
20 County which is located to the North West of Mt. Kenya. The town is positioned at the Equator at  
21 approximately 1.28° S and 36.01° E. The highest point in Nanyuki is at an elevation of 2000 m above  
22 ground level. The town is home to approximately 50,000 people as per the last census conducted  
23 (KNBS, 2015). The Nanyuki region has a hinterland of significant agricultural cultivation, forest and  
24 considerable grazing activities (Gatari et al., 2005). The OPC was hung about 4 m above ground level  
25 thus exposing it to free regional air mass in an area of minimal local influence.





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 2 **Figure 1. Locations of data collection sites and wind rose for the urban background location. Top**  
 3 **left panel and bottom left panel: locations of Nairobi and Nanyuki in Kenya. Top right panel:**  
 4 **shows relative locations of urban background location (University of Nairobi, American Wing) and**  
 5 **urban roadside location (Nairobi fire station). Bottom right panel: wind rose generated from data**  
 6 **collected at the urban background location during the measurement campaign.**

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### 1 **3.2. PM Measurement Equipment**

2 Small low cost optical particle sensors (AlphaSense, OPC-N2, firmware version 18) were used to  
3 measure PM concentrations. The OPC-N2 is a miniaturized OPC which has dimensions of 75×60×65  
4 mm and weighs under 105 g. The unit cost of an OPC-N2 is approximately 250 GBP or 25000 KeS,  
5 hence it is significantly cheaper than reference optical particle counter instruments which cost  
6 approximately 30-50 times as much. Reference grade gravimetric instruments can cost even more.  
7 The lower cost of the OPC-N2, provided the opportunity for measurements at multiple sites  
8 simultaneously. It measures particles in the reported size range of 0.38 to 17 µm across 16 size bins,  
9 with a maximum particle count of 10,000 per second. The particle number concentration is  
10 converted by on-board factory calibration to PM concentrations according to European Standard  
11 EN481 (OPC-N2 manual).

12  
13 The assumed density for all particle sizes is 1.65 g/cm<sup>3</sup> and no special weighting is placed on any  
14 particular bin size. However, the manual for the OPC states “an additional weighting is applied on  
15 units with Firmware 18 or higher to account for under counting at low particle sizes and the effect of  
16 carbon particles in urban air so that the output matches collocated reference detectors.”

17  
18 The lower cut off for particle size observed by the OPC is 380 nm and hence a large proportion of all  
19 particles are not observed by the OPC due to the particle number being dominated by the smallest  
20 particle sizes (Seinfeld and Sypyros, 2016). Ultrafine particles (particles of aerodynamic diameter  
21 <100 nm) were therefore not measured. However, the interest of the study was particulate mass  
22 which is dominated by particle sizes that were measured.

23  
24 The sensors had their data logged using Raspberry Pi 3 minicomputers. The Python script used to  
25 run the OPC-N2 on the Raspberry Pi 3 is discussed and provided in Crilley et al. (2018) and makes use  
26 of the py-opc python library for operating the OPC-N2 written by Hagan (2017). Together, the OPC-  
27 N2, minicomputer and accompanying wires and tubing were placed in bespoke weather resistant  
28 housing (dimensions ca. 30\*20\*10 cm). Power for both the OPC-N2 and minicomputer were  
29 provided by mains power.

30  
31 The OPC-N2 sensors are factory calibrated to measure PM mass concentrations representative of  
32 the UK. However, in our previous study, (Crilley et al., 2018) we demonstrated that in situ calibration  
33 of the sensors is required for the correct measurement of PM mass concentrations at urban  
34 background sites in Birmingham, UK. The calibration in the Crilley et al. (2018) study involved both

1 scaling and a relative humidity (RH) dependent term for when the RH is greater than approximately  
2 85%.

3  
4 The mass concentrations from the OPC-N2 devices, in the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> size bins were  
5 recorded in time intervals of 10 s. For the subsequent analysis, the mass concentration data were  
6 aggregated into 1 h time-bins using the mean average. In time periods which contained missing data,  
7 the mean average of the available data was aggregated. All data manipulations were performed  
8 using R (version 3.4.1), and the openair project package for R was used extensively for data  
9 visualization (Carslaw and Ropkins, 2012).

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### 11 **3.3. Meteorological station**

12 The local meteorology for Nairobi was measured at the same location as the urban background site  
13 using a Vaisala instrument (WXT510) with the following variables measured: wind speed, wind  
14 direction, temperature, relative humidity, relative humidity, barometric pressure, and rainfall with  
15 an instrument temporal resolution of five minutes. The meteorology measured parameters were in  
16 good agreement with other local measurements such as those observed at Jomo Kenyatta  
17 International Airport (JKIA), which is approximately at an aerial distance of 10 km. The proximity of  
18 the meteorological station at the urban background site to the urban roadside makes the  
19 meteorological data appropriate for both sites. The data was collected at the urban background site  
20 from the 2<sup>nd</sup> of February to the 6<sup>th</sup> of April 2017, covering the duration of the PM measurements.

### 21 **3.4. OPC-N2 gravimetric mass calibration**

22 The OPC-N2 mass concentrations were calibrated using gravimetric measurements of PM<sub>2.5</sub> and  
23 PM<sub>10</sub>. The gravimetric calibration measurement was carried out on the 9<sup>th</sup> February 2017 for 24 h. A  
24 collocation measurement of the OPC and an Anderson dichotomous impactor (Sierra Instruments  
25 Inc., USA) was set up, on the only possible date, at the background site. The impactor collected PM<sub>2.5</sub>  
26 and PM<sub>10-2.5</sub> particles on Teflon filters (diameter = 37 mm, pore size = 2 μm) at a total flow rate of 1  
27 m<sup>3</sup> h<sup>-1</sup>. PM<sub>10</sub> is therefore the sum of the two size fractions (PM<sub>2.5</sub> + PM<sub>10-2.5</sub>). The chosen sample day  
28 was rain free and had similar temperature and RH profiles compared to the rest of the OPC sampling  
29 campaign. The filters were weighed using a mass balance before and after particulate matter  
30 collection. The observed 24 h average mass concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> from the impactor  
31 were 27.6 ± 6.8 and 51.8 ± 10.3 μg m<sup>-3</sup>, respectively, while those recorded from the OPC 16.9 and  
32 30.6 μg m<sup>-3</sup>, respectively. The uncertainty in gravimetric concentrations was estimated from the  
33 instrument (10%), sampling (7%) and weighing (25%) errors and that of the OPC data was the

1 standard deviation. The value is as provided by the OPC, it is not possible to provide an error from  
2 the 1 day calibration. From the Crilley et al. (2018), the coefficient of variance is estimated as  $0.32 \pm$   
3  $0.16$ ,  $0.25 \pm 0.14$  and  $0.22 \pm 0.13$  for  $PM_1$ ,  $PM_{2.5}$  and  $PM_{10}$  mass concentrations, respectively. Hence,  
4 the observed scaling factors between the OPC derived masses and gravimetric analysis were 1.70  
5 and 1.63 for  $PM_{10}$  and  $PM_{2.5}$ , respectively. These factors are different to that observed in Crilley et  
6 al. (2018) which performed a similar gravimetric calibration procedure with the OPC-N2 measuring  
7 PM at an urban roadside sites in the UK. The discrepancies in scaling factors are likely due to  
8 differences in average particle densities observed in Kenya compared to that observed in the UK,  
9 and also the typical RH measured in Nairobi compared to the UK measurements (see discussion in  
10 next section). In particular, Nairobi PM has been shown to have a high percentage of mineral dust  
11 which typically has a high density, with Gaita et al. (2014) showing the annual average composition  
12 of  $PM_{2.5}$  being composed of 35% mineral dust which originates from unpaved roads and wind-blown  
13 dust during the dry seasons. The gravimetric analysis did not allow for the calibration of the  $PM_1$   
14 mass concentrations because a filter sample was not generated for the fraction of PM in this size  
15 range. Hence, the  $PM_1$  size fraction calibration uses the same calibration factor derived for the  $PM_{2.5}$   
16 size fraction.

17

18 The gravimetric calibration was carried out at the urban background field location, for the three  
19 OPC-N2s which were subsequently used in the measurement campaign at the three field sites.  
20 Hence, the calibration was most appropriate for the urban background site. Whilst the urban  
21 roadside site is in close proximity to the urban background site, the roadside site is more influenced  
22 by traffic related PM, hence, the average particle density at the roadside site is likely different to the  
23 urban background site. Likewise, the rural background site is likely to be far more influenced by  
24 mineral dust than the two urban sites. Hence the gravimetric calibration at the urban background  
25 sites only provides an estimate calibration for the urban roadside and rural background sites.

26

27 Only one gravimetric calibration was carried out during the study period due to the lack of resource  
28 for further calibrations. If the PM composition varied significantly over the study period, then the  
29 true calibration factor will also change. Hence, the calibration factor used should be treated as an  
30 estimate for the whole study period because changes in PM composition lead to changes in particle  
31 refractive index, and therefore, the scattering pattern which is measured by the OPC to estimate  
32 particle size. Changes in particle density, due to compositional changes, also affects the particle mass  
33 calculated from the particle size. It is noted, for future studies it would be beneficial to have multiple

1 gravimetric calibration points to check for continuing accuracy of the OPC-N2 sensors throughout  
2 the campaign.

3

### 4 **3.5. Measured particle mass dependence on relative humidity**

5 As detailed in Crilley et al. (2018), under UK conditions, the OPC-N2 device is sensitive to variations  
6 in RH when the RH exceeds ca. 85%. Crilley et al. (2018) suggest the RH dependence is due to the  
7 hygroscopic properties of particles that result in significant water mass being taken up by PM at high  
8 RH. This hygroscopic dependence can be modelled using a calibration that uses the  $\kappa$ -Kohler  
9 parameterization of aerosol hygroscopicity (Petters and Kreidenweis, 2008). The average  $\kappa$   
10 parameter values for Africa ( $\kappa = 0.15 \pm 0.12$ ) are lower than for Europe ( $\kappa = 0.36 \pm 0.16$ ), as based on  
11 the Pringle et al. 2010 model, which is in good agreement with observational data. It is noted that  
12 composition of urban PM will have different hygroscopic properties to the average rural background.  
13 However, PM derived from urban emissions are often less hygroscopic than rural PM; therefore, the  
14 rural estimates might provide a useful upper estimate of particle hygroscopicity in urban centres. All  
15 locations used in the study period typically have RH less than the 85% threshold. However, it is  
16 noted that the RH dependent measurements shown in Crilley et al. (2018) were performed in the UK  
17 whereas these measurements were performed in Kenya. There may be significant differences  
18 between aerosol compositions, and hence hygroscopicities, in these two countries albeit both urban  
19 areas (Birmingham and Nairobi) will have significant vehicular influence. Measurements of RH at the  
20 Kenyan urban background site show that RH was only equal to or greater than 85% less than 1% of  
21 the time. Furthermore, there is no significant dependence of either the observed  $PM_{2.5}$  or  $PM_{10}$   
22 mass concentration upon RH (see supplementary figures 1a and 1b), this is consistent with low  
23 hygroscopicity aerosols. The measurement period of work reported in this paper was in the Kenyan  
24 dry season with very few rain events, it is noted that if low cost sensors are to be used in the wet  
25 season in Kenya then the RH will likely be greater than 85% during significant periods and the  
26 hygroscopicity effect will likely need to be accounted for to obtain good measurements.

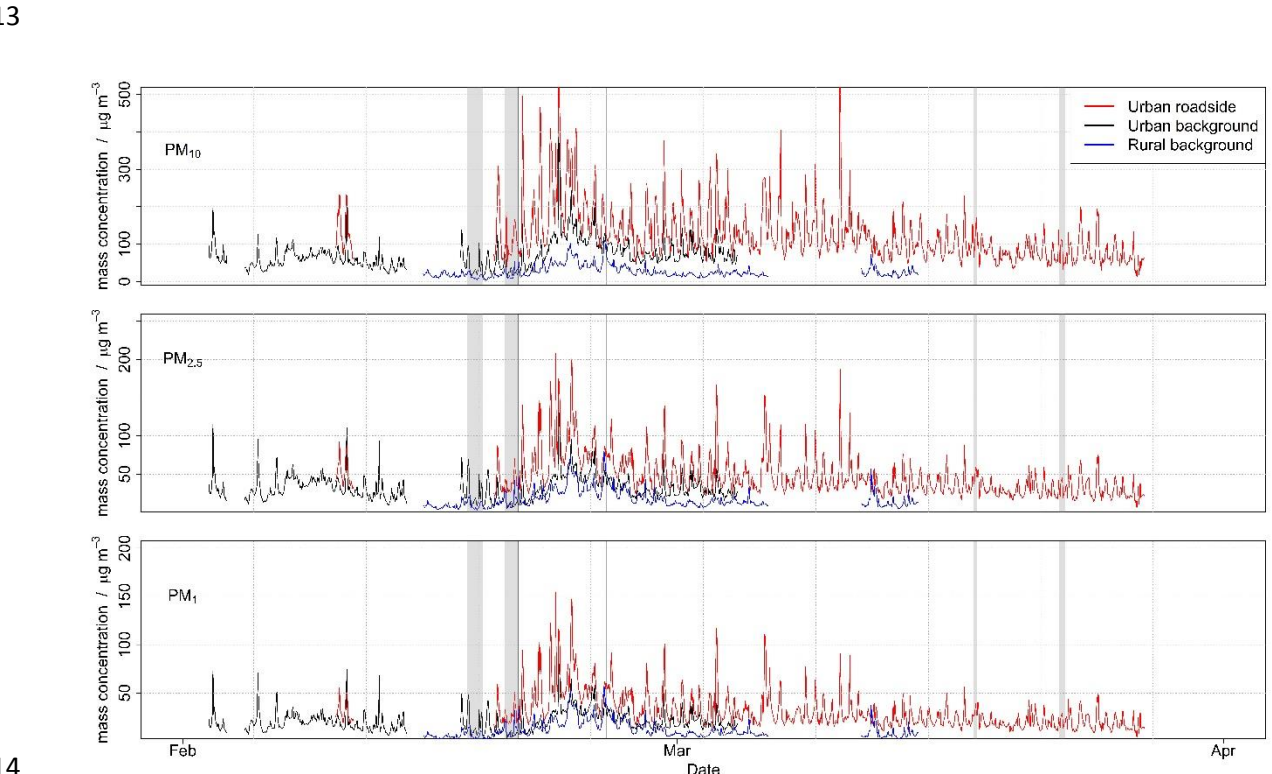
## 27 **4. Results**

### 28 **4.1. Particulate matter measurement**

29 PM data was collected at the three sampling sites over the time period inclusive of 02/02/2017 and  
30 24/03/2017. Figure 2 provides the time series data for the  $PM_{10}$ ,  $PM_{2.5}$  and  $PM_1$  data over the whole  
31 measurement campaign. Gaps in data at specific sites are either due to the collocation of two or all

1 three instruments at one site for cross calibration purposes, due to power failure requiring  
2 instrument restart or OPC malfunctioning.

3  
4 The inter OPC-N2 precision was measured once during the campaign by co-locating the three  
5 instruments at the urban background site for 3 days for side by side sampling. The three instrument  
6 colocation was carried out during at the start of the campaign (16/02/2017 – 18/02/2017). Two  
7 OPCs were collocated together at the urban roadside site near the end of the campaign (04/03/2017  
8 – 27/03/2017). All instruments gave very similar readings during both co-location periods, the inter-  
9 instrument precision gave a coefficient of variance of  $8.8\pm 2.0\%$  in the  $PM_{2.5}$  fraction, with no  
10 degradation in inter instrument precision observed over the sampling period. This coefficient of  
11 variance is better than observed in Crilley et al. (2018) but this is expected because of the lower RH  
12 conditions in Nairobi (see later discussion).



15 **Figure 2** Hourly time series data showing  $PM_{10}$ ,  $PM_{2.5}$  and  $PM_1$  mass concentrations at the three  
16 study locations. Red line = urban roadside, black line = urban background and blue line = rural  
17 background. Where multiple OPC-N2 devices were measuring in the same location at the same time,  
18 the average is provided. The grey shading represents rain events as measured at the urban  
19 background location.

20 Continuous monitoring at all three sites was achieved for a fortnight in the period 18/02/2017 to  
21 04/03/2017. This period will henceforth be referred to as the intensive period, whereas, the total

1 measurement campaign will be referred to as the campaign period. The number of monitoring days  
 2 for the urban roadside, urban background and rural background monitoring sites during the  
 3 campaign period were 40, 29 and 25 days, respectively.

4  
 5 Table 1 provides the average PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> mass concentrations observed at the three sites  
 6 during the campaign period. An identical table for the intensive period is included in the  
 7 supplementary material, see Table S1. The percentage of daily exceedances of daily PM<sub>2.5</sub> and PM<sub>10</sub>  
 8 as per WHO guidelines are also provided, however, to date there is no set guidelines of PM<sub>1</sub>. All  
 9 measurement sites exceeded the WHO daily guidelines for both PM<sub>2.5</sub> and PM<sub>10</sub> for some of the days  
 10 sampled. The urban roadside site exceeds the WHO guidelines on most days (85% for PM<sub>2.5</sub> and 90%  
 11 for PM<sub>10</sub>). Furthermore, on many days (13% for PM<sub>2.5</sub> and 40% for PM<sub>10</sub>) the urban roadside site  
 12 exceeds the WHO guidelines by at least twice as much. The urban background site has fewer  
 13 exceedances, compared to the urban roadside site, with daily exceedances occurring approximately  
 14 one third of the time. The urban background site is at an elevated position, which largely removes  
 15 the direct influence of local sources of PM pollution. As such, it can be assumed that the PM mass  
 16 concentrations observed at this location represent a lower limit for the ground level PM  
 17 concentrations throughout Nairobi, since most PM emissions will be due to ground level sources  
 18 such as vehicle emissions, fires, local industry and others. The rural background site has no daily  
 19 exceedances in the PM<sub>10</sub> size fraction but exceeds the PM<sub>2.5</sub> guidelines 12% of the time.

20  
 21 During the two-week intensive campaign, there was a period of elevated PM mass concentration  
 22 observed in PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> size fractions centred around the 23<sup>rd</sup> February. The elevated PM  
 23 was observed in all three sites; therefore, this might represent a long-range pollution event.  
 24 Correspondingly, the average PM mass concentrations and percentage of WHO exceedances are  
 25 higher during the intensive period compared to the whole measurement campaign, see Table S1.

26 **Table 1** Mean average PM mass concentrations (PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub>) and daily exceedances of the  
 27 WHO PM guidelines (PM<sub>2.5</sub> and PM<sub>10</sub>) observed at the three measurement sites during the campaign  
 28 period. <sup>1</sup>WHO guidelines for daily PM<sub>10</sub> and PM<sub>2.5</sub> are 50 and 25 µg/m<sup>3</sup>, respectively

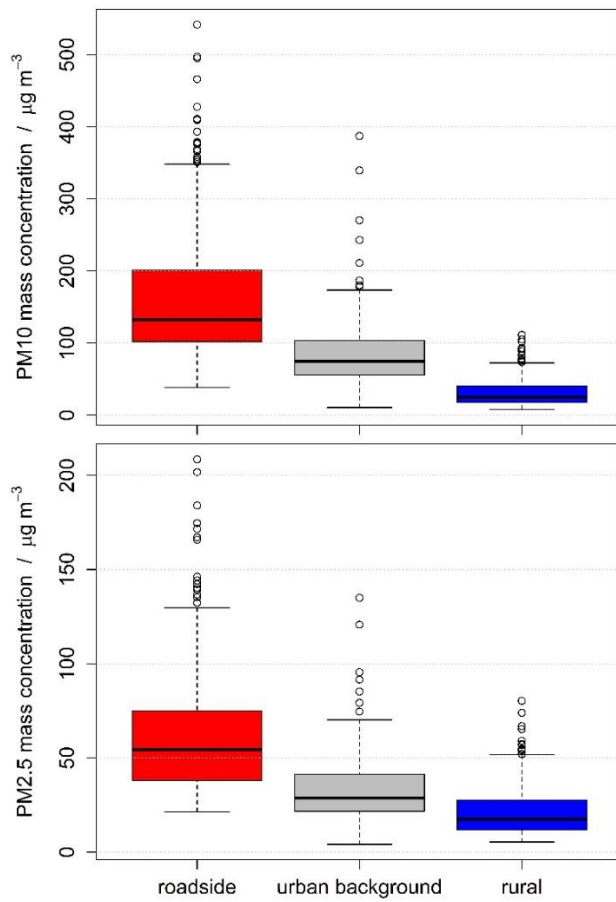
Measureme nt location	Measureme nt days (number)	Average PM <sub>1</sub> mass concentrati on (µg/m <sup>3</sup> )	Average PM <sub>2.5</sub> mass concentrati on (µg/m <sup>3</sup> )	Average PM <sub>10</sub> mass concentrati on (µg/m <sup>3</sup> )	% daily PM <sub>2.5</sub> exceedance s <sup>1</sup>	% daily PM <sub>10</sub> exceedance s <sup>1</sup>
Urban	29	16.1	24.8	53.0	31.6	39.5

background						
Urban roadside	40	23.9	36.6	93.7	85.0	90.0
Rural background	25	8.8	13.0	19.5	12.0	0.0

1

2 Whilst there is insufficient temporal data to provide a yearly average value for PM<sub>2.5</sub> and PM<sub>10</sub> mass  
3 concentrations for the three sites, the annual average values can be estimated from the data set  
4 using the average values provided in Table 1. These values are likely to be upper estimates for the  
5 yearly values because the measurements were obtained in period with little precipitation, thereby  
6 minimizing the degree of wet deposition of the PM. For instance, Gaita et al. (2014) showed that  
7 Nairobi’s short rainy season (typically October – December) suppresses PM concentrations at the  
8 urban background site by approximately 50%. Notwithstanding the seasonal rain consideration, the  
9 average PM mass concentration observed in this study suggests that that the WHO  
10 recommendations for annual PM<sub>2.5</sub> and PM<sub>10</sub> are likely exceeded at both the urban background and  
11 urban roadside locations. For the urban background site, the measured average PM<sub>2.5</sub> and PM<sub>10</sub>  
12 mass concentrations exceed the annual WHO recommendations by factors of 2.5 and 2.7,  
13 respectively. Whereas for the urban roadside site they exceed recommendations by 3.7 and 4.7,  
14 respectively. These significant exceedances for both the urban roadside and urban background sites  
15 suggests that most of Nairobi’s population will be subjected to outdoor air pollution far in excess of  
16 the WHO recommendations for annual exposure. Figure 3 provides the box and whisker plots for the  
17 hourly averaged PM<sub>2.5</sub> and PM<sub>10</sub> data for the three measurement sites. Figure S3 provides box and  
18 whisker plots for the daily averaged PM<sub>2.5</sub> and PM<sub>10</sub> data for the three measurement sites and  
19 highlights the proportion of the days which exceed the WHO annual and daily recommendations.

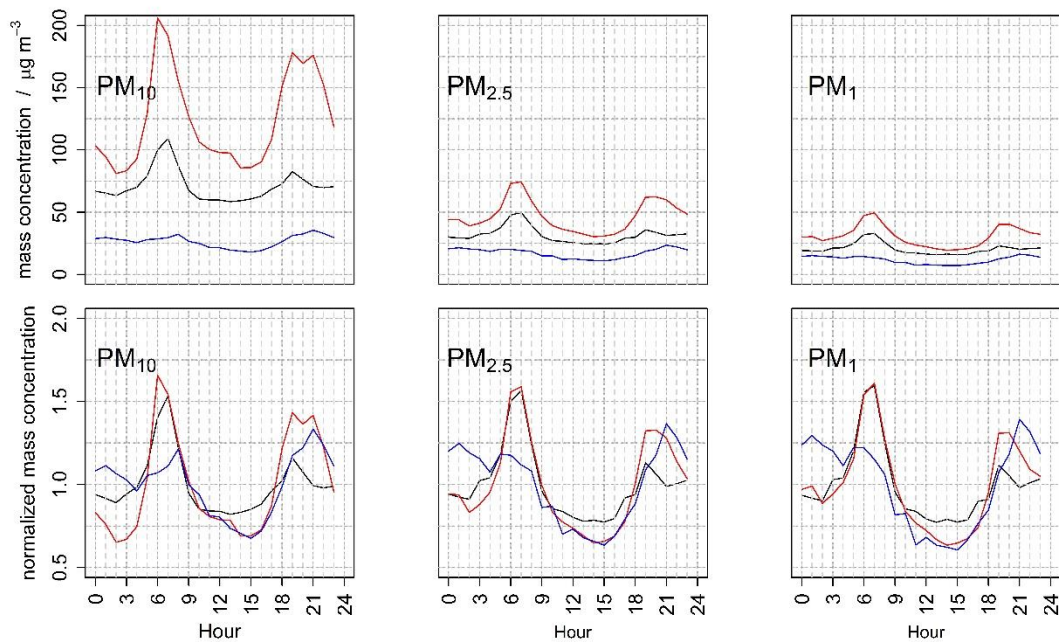




1

2 **Figure 3** Box and whisker plots of the hourly averaged PM<sub>2.5</sub> and PM<sub>10</sub> mass concentrations  
 3 measured at the three sites. The green dashed and dotted lines represents the WHO recommended  
 4 annual and daily limits, respectively

5



1

2 **Figure 4** Diurnal variation in PM<sub>10</sub>, PM<sub>2.5</sub> and PM<sub>1</sub> mass concentration measured at the three field  
 3 sites during the whole campaign period. Top panels show the measured concentrations. Bottom  
 4 panels shows the mass concentrations that have been normalized to the average mass  
 5 concentration.

6

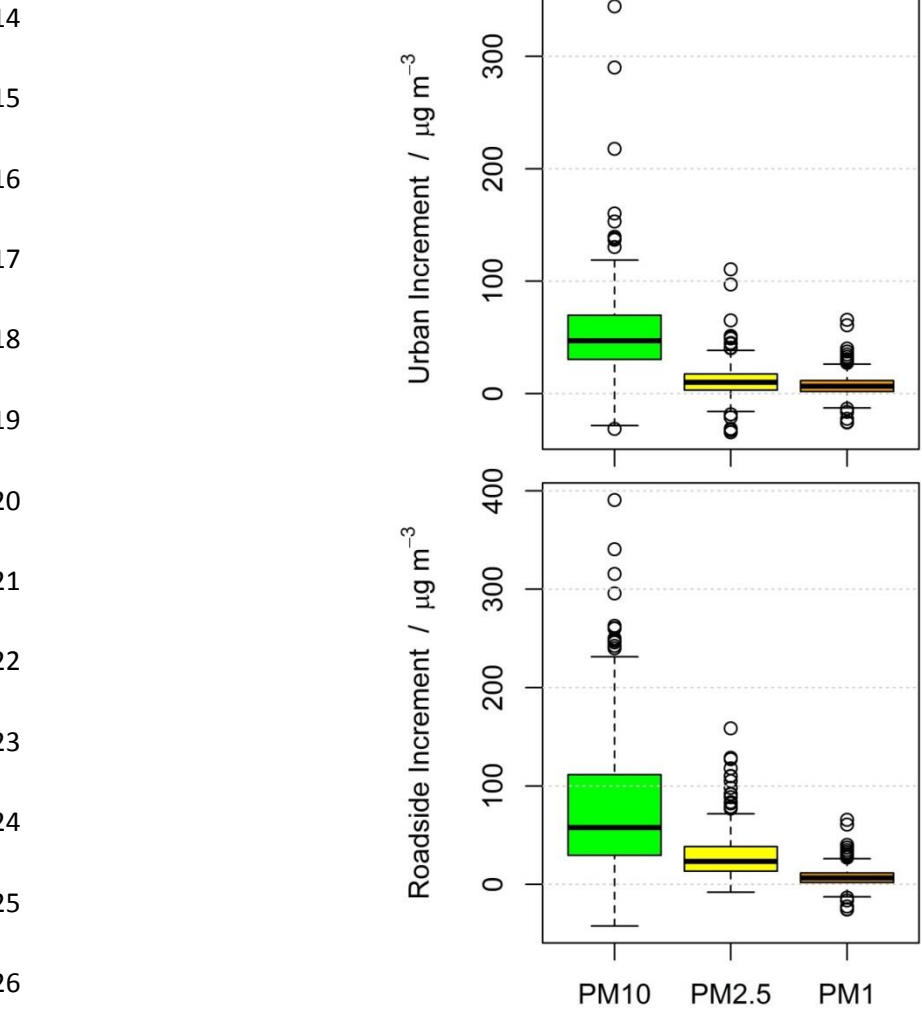
7 Figure 4 provides the mean average diurnal hourly profiles of the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> mass  
 8 concentrations for the three measurement sites during the whole campaign period. There is clear  
 9 diurnal variation observed at all the sites, two distinct peaks are observed in the two urban locations  
 10 during the morning (ca. 05:00 – 10:00) and the evening (ca. 18:00 – 24:00) which correspond to the  
 11 Nairobi peak traffic periods. The normalized data shows that the traffic related structure is very  
 12 similar in both the urban background and urban roadside sites indicating that the traffic related PM  
 13 pollution is the dominant source at both sites. The rural background site also shows diurnal  
 14 variation with some indication of a traffic related signal at similar times to the urban sites, especially  
 15 in the PM<sub>2.5</sub> size fraction. However, overall the rural diurnal cycle appears to largely correspond to  
 16 solar insolation suggesting the dominant factor affecting the rural mass concentrations is the height  
 17 of the local boundary layer which decreases in the night time and increases with greater solar  
 18 insolation.

19

20 Through comparison of the urban roadside, urban background and rural background hourly  
 21 averaged data, it is possible to generate estimates of urban increments and roadside increments

1 relevant for Nairobi using a 'Lenschow' type approach (Lenschow et al., 2001). For the intensive  
2 period the urban and rural increments are calculated for both the  $PM_{10}$ ,  $PM_{2.5}$  and  $PM_{10}$  mass  
3 concentrations, see Figure 5. The urban increment is calculated by subtracting the hourly average  
4 values of the rural background site from the urban background site. During the intensive period,  
5 analysis of the air mass back trajectories indicates that the regional wind direction was almost  
6 exclusively from the northeast. Hence the Nanyuki rural background site is a good representative of  
7 the rural background that impacts upon Nairobi.

8 The roadside increment was calculated by subtracting the hourly average values of the urban  
9 background site from the urban roadside site. It is noted that the chosen roadside measurement  
10 site is particularly busy with vehicles, compared to many other non-highway streets in Nairobi. In  
11 particular, the site is a popular Matatu (14 seat passenger vans) terminal with multiple vehicles idling  
12 at any point during the day. Therefore, the roadside increment obtained using this location likely  
13 represents a value close to the upper boundary for Nairobi roads.



1 **Figure 5** Box and whisker plots of urban and roadside increment of PM<sub>10</sub>, PM<sub>2.5</sub> and PM<sub>1</sub> calculated  
 2 for Nairobi. Data was taken from the intensive campaign period when the urban background, urban  
 3 roadside and rural background sites were all measuring simultaneously. Hourly averaged mass  
 4 concentration data is used

5 The urban and roadside increments are significant for all the investigated PM size fractions. A  
 6 statistical summary of the roadside and urban increments for the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> size fractions  
 7 are given in Table 2.

8 **Table 2** Summary of roadside and urban increments for the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> size fractions  
 9 measured during the intensive period.

	Roadside Increment ( $\mu\text{g}/\text{m}^3$ )			Urban Increment ( $\mu\text{g}/\text{m}^3$ )		
	PM <sub>1</sub>	PM <sub>2.5</sub>	PM <sub>10</sub>	PM <sub>1</sub>	PM <sub>2.5</sub>	PM <sub>10</sub>
Minimum	-4.3	-6.1	-31.7	-20.1	-26.9	-23.6
1 <sup>st</sup> Quartile	7.3	10.5	22.2	1.0	2.2	19.5
Median	12.6	18.3	43.3	4.7	7.1	33.1
Mean	18.9	22.9	58.1	5.2	8.2	36.6
3 <sup>rd</sup> Quartile	20.7	30.0	83.4	8.7	13.2	48.2
Maximum	95.5	123.9	292.6	51.2	86.3	258.0

10

11 During the intensive period, the mean average roadside increment is 57.2, 47.5 and 48.1 % of the  
 12 mean roadside mass concentration, in the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> size fractions, respectively.

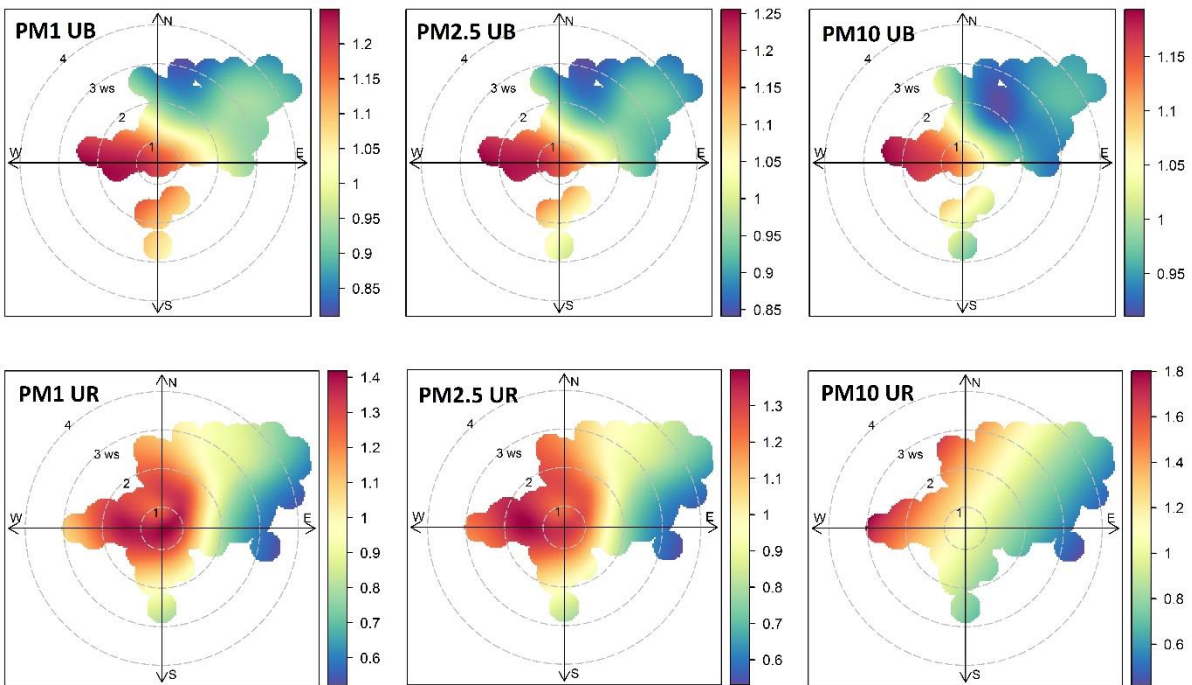
13 The spatial variation in PM emissions, in the different size fractions, can be assessed at the urban  
 14 background and urban roadside sites using bivariate polar plots, which provide information on the  
 15 variation of PM mass concentration with wind direction and speed, see Figure 6 (Carslaw and  
 16 Beevers, 2013). The urban background and urban roadside sites are sufficiently closely collocated (<  
 17 0.5 km apart) that the wind data acquired at the urban background site is applicable to the urban  
 18 roadside site. Wind direction data was not available for the rural background site, so analysis of the  
 19 spatial variation was impossible at this site.

20 Figure 6 clearly shows significant variation of PM mass concentration at both urban sites, which are  
 21 dependent upon the wind conditions. The urban background site shows broadly similar behaviour in  
 22 the spatial variation of the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> size fractions. The peak in concentrations are  
 23 observed at low wind speeds and when the wind comes from the west and south. This wind  
 24 direction dependence is consistent with the close proximity of the major highway A104 'Nairobi-

1 Malaba Road', which passes close to the site in the direction of high PM concentrations. The diurnal  
 2 profiles and roadside increments discussed earlier combined with the wind dependence highlights  
 3 the role of roads in Nairobi as the major source of PM in all size fractions studied. Since the site is  
 4 within Nairobi's Central Business District (CBD), there are other significant roads nearby as well, but  
 5 the A104 has the greatest fleet density.

6 The urban roadside site also shows distinct variation in pollutant concentrations with wind speed  
 7 and direction. In the PM<sub>10</sub> size fraction the greatest concentrations are seen to the northwest and  
 8 smallest to the southwest with a steady reduction between these two extremes. The PM<sub>2.5</sub> and PM<sub>1</sub>  
 9 size fractions show a more complex behaviour with highest concentrations at low wind speeds and  
 10 the north and west directions. The urban roadside location is surrounded by small roads and lower  
 11 traffic speeds compared to the highways, for example the A104. The lower traffic speeds likely lead  
 12 to less non-tail pipe emissions from dust resuspension and hence there are less local PM<sub>10</sub> particles  
 13 when compared to the urban background site. Whereas the localized PM<sub>2.5</sub> and PM<sub>1</sub> concentrations  
 14 are likely due to the heavily congested local roads on which Matatus and other vehicles are often left  
 15 idling leading to high tail pipe emissions, which are typically in the smaller PM size fractions (Pant  
 16 and Harrison, 2013).

17



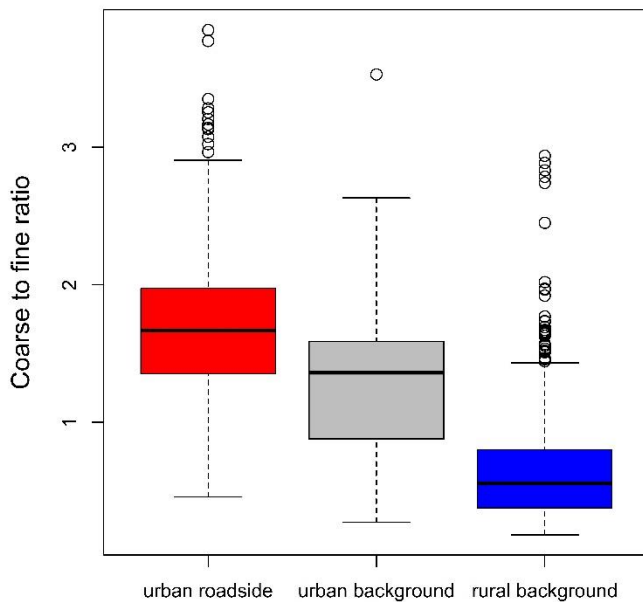
18

19 **Figure 6** Bivariate (polar) plots of PM in different size fractions at urban background (UB) and urban  
 20 roadside (UR) sites. Panel descriptions A) PM<sub>1</sub> UB, B) PM<sub>2.5</sub> UB, C) PM<sub>10</sub> UB, D) PM<sub>1</sub> UR, E) PM<sub>2.5</sub> UB  
 21 and F) PM<sub>10</sub> UR. The PM mass concentration data in each plot are normalized to allow for easy

1 comparison between the different sites and PM size fractions investigated. However, note the scale  
2 bars are different for each panel to allow for easier interpretation.

3

4 Figure 7 provides the distributions of the ratio between the coarse and the fine PM mass fractions at  
5 the three field sites during the campaign period. Whilst each site shows distinct variation, with large  
6 interquartile ranges, in the reported coarse:fine ratio, which is dependent on the time of year and  
7 time of day, the median ratios at each site are distinct, with the ratio at the urban roadside, urban  
8 background and rural background sites being 1.6, 1.3, and 0.5, respectively. At the roadside site, the  
9 median coarse:fine mass ratio is almost triple that observed at the rural background; this is  
10 consistent with the dominant source of PM at the roadside site being the resuspension of large dust  
11 particles. At the rural site, the PM size distribution has a greater ratio of fine material consistent  
12 with the rural site having a signature of regional background PM. The ratio of coarse:fine PM at the  
13 urban background site is intermediate between the roadside and rural background sites which  
14 suggests that this site is effected significantly by both the regional background and the urban road  
15 PM sources. These insights into the coarse:fine PM ratio is consistent with the roadside and urban  
16 increments, shown in Figure 5 and discussed previously.



17

18 **Figure 7** Ratio of the coarse ( $PM_{10} - PM_{2.5}$ ) to fine ( $PM_{2.5}$ ) fraction of PM

### 4.3. Comparison with previous measurements

To the best of our knowledge, there has been no previous literature study to date utilising calibrated low cost sensors to measure PM in Nairobi. Furthermore, it is difficult to make comparison with previous Nairobi based PM studies because of the differences in the temporal resolution of the data and campaign durations used in this study compared to past measurements.

The most comparable study of PM<sub>2.5</sub> would be the work of Gaita et al., (2016) which also recorded the levels of PM<sub>2.5</sub> at the University of Nairobi (urban background site). The urban background average of PM<sub>2.5</sub> during this study's campaign period was 24.8 µg/m<sup>3</sup> compared to Gaita et al., (2016) mean average of 9.8µg/m<sup>3</sup>, showing a significant increase of 253%. The sampling time window used in Gaita et al., (2016) study was between August to September 2007 which is distinct from the February to March 2017 period of this study. Both of these study periods were largely dry, with low precipitation levels, thereby suggesting PM deposition would have been similar between the two studies. The significant increase in measured PM<sub>2.5</sub> could be due to several reasons. Firstly, there could be seasonal differences between August/September and the February/March sampling periods of the two studies; however, the study of Gaita et al. (2014) suggests the urban background concentrations of PM<sub>2.5</sub> mass concentration is similar between these two time periods. The regional background PM loading may have increased during this time period, potentially due to increasing regional aridity caused by climate change leading to more dust generation (Greve et al., 2017). There is almost ten years difference in the times of this study compared to Gaita et al. 2016, in this time Nairobi has undergone significant increases in population and urbanization with correspondingly higher use of motorization and fuel. Using UN population data (UN, 2014), the population of Nairobi is well modelled by equation E1, in which  $Y$  is year date, and  $p$  is the population in thousands, which suggests that the population of Nairobi has increased by 148% from 2007 to 2017.

$$p = 2.33 \times 10^{31} \exp(3.91 \times 10^{-2} \times Y) \quad (E1)$$

Hence, the population increase alone cannot account for the increase in PM concentration. The pollution production capability per capita could have increased, which is very likely because of the increased rates of motorization and fuel use. If we assume that the increase in PM is solely due to population increase and per capita pollution, it suggests that in 2017 the average citizen is 70% more polluting than the average citizen in 2007.

The Egondi et al. (2016) study of PM<sub>2.5</sub> in two slums in Nairobi reported much higher values of 166 µg/m<sup>3</sup> and 67 µg/m<sup>3</sup> for two different slum areas within Nairobi. These values are much higher than the average PM<sub>2.5</sub> values from this study; Egondi et al. stated that the reason for such high levels of PM<sub>2.5</sub> stemmed from the local situation and distinct sources of PM within the two slums. This study

1 used a TSI optical particle counter, which was placed 1.5 m above ground level. Therefore, these  
2 measurements were likely highly influenced by re-suspended dust.

3 Although Kinney et al., (2012) measured PM<sub>2.5</sub> levels at four roadside locations, the sampling  
4 window was only 11 hours and therefore it is not possible to directly compare this study to it.  
5 However, considering the diurnal variation in PM found in this study, both investigations measured  
6 similar PM<sub>2.5</sub> levels. Kinney et al., (2012) recorded daytime concentration ranges of 10.7 µg/m<sup>3</sup> and  
7 98.1 µg/m<sup>3</sup> for a rural and urban roadside site, respectively, compared to ca. 25 µg/m<sup>3</sup> and ca. 150  
8 µg/m<sup>3</sup> for this study. Again, the increase between sampling years may be a reflection of the  
9 increased population, vehicular traffic and rapid urbanisation.

## 10 **5. Discussion**

11 In this study, we have shown that Nairobi currently has very high levels of PM mass concentration in  
12 the PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> mass fractions. These measurements were conducted using low cost  
13 calibrated OPC-N2 sensors. The measured PM<sub>2.5</sub> and PM<sub>10</sub> concentrations at the urban roadside and  
14 urban background sites both regularly exceeded the WHO daily limits and very likely exceed the  
15 annual limits. In particular, the roadside site often showed concentrations of double the WHO  
16 guidelines. These concentrations will very likely be causing significant harm to the population of the  
17 Nairobi.

18 The negative health effect of PM is linked to the level of exposure experienced by the patient. This  
19 paper and others (e.g. Gaita et al. 2014) have shown that in Nairobi, vehicle emissions are the most  
20 significant source of PM. Hence, in Nairobi and other similar cities, the exposure to outdoor PM is to  
21 a large extent a function of ones proximity to roads. Furthermore, since traffic varies diurnally,  
22 seasonally and by day of the week, personal exposure is both spatially and temporally dependent.  
23 This spatial and temporal heterogeneity leads to health inequalities in cities. The urban poor who  
24 are often most vulnerable to environmental risks due to lack of adequate health provision, typically  
25 live in close proximity to roadways, heightening their exposure to vehicular emissions. Stemming  
26 from poorly planned rapid urbanisation and inadequate service provision within these cities, those  
27 that are unable to afford public transport or personal vehicles frequently walk along these pollution  
28 heavy roads, only increasing their exposure periods (Hajat et al., 2015).

29 This study only looked at outdoor air quality, it is important to stress that a significant amount of air  
30 pollution deaths in Kenya and SSA in general are due to poor indoor air quality (Mannucci and  
31 Franchini, 2017). As a total number of deaths, deaths related to indoor air quality in Kenya rose to  
32 18% from 1990-2013 (Roy, 2016). In LMIC countries, indoor exposure to pollutants is typically from



1 the household combustion of solid fuels on open fires or traditional stoves (Yip et al., 2017). These  
2 exposures increase the risk of acute lower respiratory infections and associated mortality among  
3 young children; indoor air pollution from solid fuel use is also a major risk factor for cardiovascular  
4 disease, chronic obstructive pulmonary disease and lung cancer among adults (Muindi et al., 2016).

5  
6 This study has shown that the low cost OPC-N2 sensors can be used to generate diurnal PM datasets  
7 with good precision and repeatability. As noted in the methodology, it would have been preferable  
8 for more cross calibration periods with the tried and tested gravimetric PM measurement but  
9 resources did not allow this. In addition to more calibration points, the study could have been  
10 enhanced by the inclusion of collocated calibration points for the roadside and rural background  
11 sites in addition to the urban background site, since the average particle shape, size and density will  
12 likely be different between the three sites because of differing PM sources and emission factors.  
13 However, it is noted, that whilst it is desirable from a purely scientific point of view to have more  
14 inter-comparison with reference grade equipment; every inter-comparison adds significant  
15 additional cost to the project both in terms of consumables for the gravimetric analysis (including  
16 the cost of analytical grade filters and accompanying laboratory supplies), and the cost in  
17 manpower. Many other cities in SSA and other LMIC countries do not have the resource that Nairobi  
18 does in having a gravimetric sampler. These additional costs required for highly accurate scientific  
19 results would likely make the low cost sensors not so very low cost after all, and hence bring into  
20 question their unique selling point (USP).

21 Whilst this paper focused on PM pollution, it is noted, that there are serious risks to health not only  
22 from exposure to PM, but also from exposure to ozone (O<sub>3</sub>), nitrogen dioxide (NO<sub>2</sub>) and sulfur  
23 dioxide (SO<sub>2</sub>) (Lelieveld et al., 2015). As with PM, concentrations are often highest largely in the  
24 urban areas of low- and middle-income countries. Ozone is a major factor in asthma morbidity and  
25 mortality, while nitrogen dioxide and sulfur dioxide also can play a role in asthma, bronchial  
26 symptoms, lung inflammation and reduced lung function. Good quality measurements of these gas  
27 phase pollutants lag behind measurements of PM in Nairobi, other SSA cities and LMIC cities in  
28 general. This is due to the high importance of PM as an environmental risk factor but also because of  
29 the lack of good quality gas analysers which are affordable and transportable.

## 30 **6. Conclusions**

31 Air quality in many LMIC urban centres is often poor and in many cities is getting worse due to the  
32 combined pressures of increasing population, increasing urbanization, increasing vehicular traffic

1 and poor vehicle regulation. To be able to manage air pollution, good quality and long term data sets  
2 are required. Unfortunately, in many LMICs the cost of certified high quality air quality  
3 measurements is beyond the financial means of environmental authorities. Low cost sensors offer  
4 the possibility of air quality products at significantly lower cost compared to traditional methods.  
5 This paper used calibrated OPC-N2 devices to measure PM concentrations in Nairobi, Kenya in the  
6 size fractions  $PM_{1}$ ,  $PM_{2.5}$  and  $PM_{10}$ . The data required calibration using an established gravimetric  
7 approach to PM measurement. The need for calibration by trained scientists significantly increases  
8 the costs associated with low cost monitoring and this cost needs to be factored in when assessing  
9 options for air quality monitoring.

10 PM was measured in three locations: an urban roadside, urban background and rural background  
11 site for a period of approximately two months. The data reveals that roadside and urban  
12 background locations in Nairobi often exceed the WHO guidelines for daily averaged PM mass  
13 concentration in both the  $PM_{2.5}$  and  $PM_{10}$  size fractions. Comparison of the data with previous  
14 measurements conducted in Nairobi is difficult but where comparison is possible, it appears that air  
15 quality has become worse in the last ten years which is likely due to increases in population,  
16 urbanisation and motorization. Changes in industry may also influence the air quality. Comparison  
17 of the data from the three sites, following a 'Lenschow' type approach, allowed for the calculation of  
18 representative roadside and urban increments for Nairobi (Lenschow et al., 2001). This increment  
19 data can be used in future air quality modelling to assess the likely health impact of PM pollution on  
20 Nairobi's population. The combination of the diurnal PM data with local meteorology allows for  
21 simple source apportionment of the PM. The diurnal PM concentrations tracks the Nairobi rush  
22 hours, furthermore, PM peaks when the wind comes from the direction of significant numbers of  
23 vehicles such as major roads and a Matatu stop. These facts taken together, point towards vehicle  
24 emissions being the major sources of air pollution in Nairobi, as has been previously observed in  
25 studies such as Gaita et al. 2014. The coarse PM fraction increases at roadside compared to urban  
26 background site suggesting that non-exhaust vehicle emissions make up a significant amount of the  
27 vehicle emissions.

28 In summary, the low cost sensors used in this study provided much useful data for assessing air  
29 quality in Nairobi at an equipment cost significantly lower than that of traditional instruments. Low  
30 cost sensors have great potential in other country settings and could be used for long term sampling  
31 if the appropriate calibrations are performed.

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