Airborne particulate matter monitoring in Kenya using calibrated low cost sensors

Response to all reviewer's comments

We thank the reviewers for taking their time to comment on this paper. Their suggestions have improved the paper. We now go through each comment one by one, responses in red.

Anonymous Referee #2

Major Comments:

1) As the authors state, there are few PM measurements in Africa, thus the data presented here are important. Additionally, the use of low-cost monitors is of growing interest and information on the use of these instruments is beneficial to the field.

Response: We are happy that the reviewer sees the value in the work.

2) The organization and analysis in the paper could be improved.

Response: in replying to the various comments we have improved the organization and the analysis, see specific responses below.

3) One of the main issues with the paper is the use of "calibrated" and the authors purporting that it is a major strength of the study. I am not entirely convinced of the authors' calibration methods. While the authors acknowledge the limitations in having only one day at one location; I'm not totally convinced that the calibration even improves the results. A scaling factor determined from one day (with results that have a pretty large uncertainty range) cannot represent the variability in aerosol size distributions, composition, or relative humidity that might impact the results. They mention these differences when comparing their results to a previous study in the UK, but then assume it does not make a difference between their sites. The authors should just be more cautious in stating that they calibrated the results and not overstate the significance of the calibration (since they did not actually test that the calibration improves their results).

Response: in writing the paper we were very careful not to oversell and make clear in several places where the study could have been improved if time and finances had allowed. In the abstract, we state that calibration only occurred at one site. In section 3.4, we clearly state the date and location of the calibration. The following statement makes clear that the calibration at the non-urban background sites should be treated more carefully: "The gravimetric calibration was carried out at the urban background field location, for the three OPC-N2s which were subsequently used in the measurement campaign at the three field sites. Hence, the calibration was most appropriate for the urban background site. Whilst the urban roadside site is in close proximity to the urban background site, the roadside site is likely different to the urban background site. Likewise, the rural background site is likely to be far more influenced by mineral dust than the two urban sites. Hence the gravimetric calibration for the urban roadside and rural background sites. Only one gravimetric calibration was carried out during the study period due to the lack of resource for further calibrations. If the PM composition varied significantly over the study period, then the true calibration factor will also change. Hence, the calibration factor used

should be treated as an estimate for the whole study period because changes in PM composition lead to changes in particle refractive index, and therefore, the scattering pattern which is measured by the OPC to estimate particle size. Changes in particle density, due to compositional changes, also affects the particle mass calculated from the particle size. It is noted, for future studies it would be beneficial to have multiple gravimetric calibration points to check for continuing accuracy of the OPC-N2 sensors throughout the campaign."

4) I am also a little confused by the "Lenschow" increment section. The authors separate out an urban background from an urban roadside increment. What do these increments actually represent and what is the bigger implication? Through most of the paper, they discuss urban emissions as primarily vehicle emissions and the major source for the urban background site seems to be the highway. In the Conclusion section, they say these could be useful for modeling studies, but I am unsure of how since it is not clear what they represent.

Response: the "Lenschow" approach is a widely used approach to generate a first order estimate of air pollution within a city. The difference between the urban background site and the rural background site represents an estimate of the minimum exposure to air pollution anywhere in the city. The urban background site is chosen so it is removed from any localised source of pollution and is hence representative of city wide pollution. Since air pollution has a significant vehicular component in Nairobi, it is expected that the urban increment will show significant vehicular component. The following text has now been placed in the introduction "The Lenschow approach allows for simple modelling of urban air pollution based on the urban and roadside increments in air pollution".

5) Additionally, the introduction is much too long but could benefit from being trimmed down. The extensive literature review on all previous measurements does not seem necessary, and the information is repeated again in sections 4.3 and 5.

Response: we believe the long format of ACP allows this level of detail and it is useful for the reader.

6) Please increase the font size on all the figures.

Response: done.

7) Finally, there is a lack of citations in some parts of the paper or strange choices in citations (noted below), along with some odd word choices throughout that I think are more literary in style than necessary (examples: whilst, henceforth, fortnight, vanguard, bespoke).

Response: responses given on a case by case basis below.

Minor comments:

- 1. Page 1, Line 11: change to "study provides much needed" Changed
- 2. Page 1, Line 20: what is "fraction"? Is this an actual fraction or the PM2.5 mass concentration? Changed to "fine particle fraction (PM_{2.5})".
- 3. Page 1, Line 29: "Lenschow type approach" needs a citation. Changed
- 4. Page 1, Line 31-Page 2, Line 2: "Respectively" is used three times in this sentence alone. In general, "respectively" is overused in this paper. Change to "The median urban increment is 33.1 μg m⁻³ and the median roadside increment is 43.3 μg m⁻³ for PM_{2.5}. For PM₁, the median urban increment is 4.7 μg m⁻³ and the median roadside increment is 12.6 μg m⁻³.

- 5. Page 2, Lines 10-11: the sentence "The potential problems. . ." seems out of place. I would remove it. Calibration of low cost sensors is required, we believe this line should be kept.
- 6. Page 2, Line 17: "attributed" should be "contributed" or "1 in 4 deaths is attributable to . . ." changed
- 7. Page 2, line 28: remove "air pollution" changed
- 8. Page 2, Line 29: citation should be e.g. and this study only looked at long-term exposure and mortality so it does not apply to the whole statement. Also, what are "short term effects on human mortality"? People who are already susceptible to underlying respiratory disease, pneumonia, influenza or asthma can see a worsening of their symptoms and illnesses through short term exposure to air pollution (https://doi.org/10.1016/j.atmosenv.2012.10.019)
- 9. Page 2, Line 31: I do not think this is the best citation. I think there are a lot of journal articles that would be better references. Reference changed to recent Lancet report
- 10. Page 3, Line 1: This does not need a citation. Removed
- 11. Page 3, Lines 11-13: need a citation Citation provided
- 12. Page 3, Line 28: Nairobi is in Africa, so just put "in Africa" Changed
- 13. Page 4, Line 11: Please remove this sentence or rewrite it, as is it is not true. Changed
- 14. Page 4, Line 32 and Page 13, Line 3: circa is generally used for dates, not measurements. Changed to approximately
- 15. Page 5, Lines 1-2: change to "could be a significant health concern" Changed
- 16. Page 7, Lines 19-23: This is not really methodology and should be left to the introduction or put in the discussion section. We believe this information is relevant to methodology and have left unchanged.
- 17. Page 8, Line 20: change to "was mounted about 4 m" Changed
- 18. Page 10, Line 3: Remove "The AlphaSense. . .OPC-N2" as it is already referred to in the parentheses of the previous sentence. Changed
- 19. Page 10, Lines 14-16: The authors are using firmware version 18, so what is the additional weighting? The Alphasense manual does not provide any further information other than what is stated already in the manuscript.
- 20. Page 11, Lines 31-32: The OPC measurement does not have an uncertainty range. The value is as provided by the OPC, it is not possible to provide an error from the 1 day calibration. From the Crilley et al. (2018), the coefficient of variance is estimated as 0.32 ± 0.16 , 0.25 ± 0.14 and 0.22 ± 0.13 for PM1, PM2.5 and PM10 mass concentrations, respectively. This is now stated in the updated manuscript.
- 21. Page 11, Lines 32-33: Did the authors determine these uncertainties for the gravimetric concentration or are these from the literature? The uncertainty in gravimetric concentrations was estimated from the instrument (10%), sampling (7%) and weighing (25%) errors. This information is now included in the manuscript.
- 22. Page 13, section 3.5 This seems out of place in the methodology section. I would perhaps shorten this section and put it in with the discussion section. This section answers major comment 2.
- 23. Page 13, Line 7: remove "of the Earth". I would also suggest pointing out that this is from a model. Sentence changed to "The average κ parameter values for Africa (κ = 0.15±0.12) are lower than for Europe (κ = 0.36±0.16), as based on the Pringle et al. 2010 model, which is in good agreement with observational data"
- 24. Page 13, Line 10: remove "derived" Changed to "sourced from"
- 25. Page 13, Lines 10-12: Is there a citation for this? I think of this as true for many regions because of aging downwind of urban area making aerosols more hygroscopic, but I am not sure about this for Africa. What do the authors think is the composition of the rural/regional

background vs. the urban? We found no good citations for African particle hygroscopicity and its link to chemical aging. However, on reappraisal the sentence was too strong without supporting evidence. Correspondingly, the sentence is toned down by changing it to "However, PM derived from urban emissions are often less hygroscopic than rural PM; therefore, the rural estimates might provide a useful upper estimate of particle hygroscopicity in urban centres."

- 26. Page 13, Lines 18-20: There may not appear to be a dependence from the plot because there is so much scatter. However, their assertion depends on the assumption that all these aerosols are the same and experiencing different RH levels. Potentially subsetting the data for like aerosols would show a dependence. The authors should just be less emphatic that there is no dependence. Also, aerosols take up water at relative humidity values less than 85%. The uptake will depend on the composition as the authors mention, so I am not entirely sure that a study completed with a completely different aerosol type should negate the potential effect for this study and would therefore suggest the authors not rely so much on the "85% threshold" for their comparisons. We see no dependence of RH on aerosol mass in the data presented in this paper. Even taking into account the scatter in the plot, there is no suggestion of an RH effect over the RH range measured. We agree that different aerosol compositions might show different relationships but since we have no methodology for subsetting the data into individual aerosol compositions, we cannot do this analysis.
- 27. Section 4.1 This can all go in the supplement. Changed and changed table numbers/contents numbers
- 28. Figure 2: Use a legend rather than the caption to explain the figure lines We have added a caption as requested.
- 29. Page 15, Lines 7-11: This seems more like methodology as compared to results. We believe this section makes sense where placed.
- 30. Page 16, Lines 8-12: This seems like a discussion point and could use more proof that it is long range pollution (could be a regional event?). This is beyond the scope of the paper, we mention the possibility of a long range event as a possible explanation. We lessen the strength of the statement by stating "this might represent" rather than "it likely represents".
- 31. Page 16, Line 17-Page 17, Line 10: I do not think calculating an annual average from 25-40 days of measurements in one season is useful. This section should be removed. We believe this is useful as there is no dataset like it and has been clearly stated that it is estimated
- 32. Figure 3: These are hourly concentrations. It does not make sense to add on the annual and daily WHO guidelines. Should make a separate plot with the daily averages. The WHO guidelines have been removed from the figure. An additional plot showing the daily average boxplots with accompanying WHO guidelines has been added, in the supplementary.
- 33. Page 19, Lines 17-20: There is no plot of solar insolation, so just say that it is likely affected by the boundary layer height. We believe statement already uses caution by using "suggesting" rather than anything more definite.
- 34. Figure 6: Can the labels be put on the actual plot rather than just in the caption? Changed.
- 35. Page 24, Line 9: Remove "non-exhaust emissions from vehicles" Changed
- 36. Page 25, Lines 25-26: I am not sure that this is a good calculation to even suggest. The authors suggested that the highway was a major source for the urban background. The highway runs through the city, suggesting that traffic through the city, not changes in the urban population would be a major driver of the increasing pollution. This is an interesting question. Whilst traffic flows will unlikely be exactly linear with population, they are clearly related. We think this analysis is interesting to the field and is described in an honest way

that points out where flaws may exist in its logic, "If we assume that the increase in PM is solely due to 25 population increase and per capita pollution..."

- 37. Section 5. I don't know if this needs to be its own section. It should either be put in the Results or in the Conclusion as quite a bit of it is simply a repeat. we believe the long format of ACP allows this level of detail and it is useful for the reader.
- 38. Page 26, Lines 6-7: Any changes in industry? This is beyond the scope of the paper, but the possibility is now included by adding the following sentence "It is noted, changes in industry may also influence the air quality".
- 39. Page 26, Lines 21-26: Need citations. Done
- 40. Page 26, Lines 27-28. Needs a citation. Done
- 41. Page 26, Lines 29-31. Needs a citation. Done
- 42. Page 27, Lines 19-27: Need citations. Done

Anonymous Referee #1

- 1) I think the data presented in this manuscript is important. I also think the author's efforts to get as many insights from the data is very good. However, I have concerns about the calibration methodology. We are happy that the reviewer sees the value in the work.
- 2) Although the authors themselves point out the concerns, I think the manuscript needs to specify how the OPC works in more detail and speak more about the validity of this calibration. Please see response to Anonymous Referee #2 question 3.
- 3) Is a simple linear fit okay? For the calibration approach taken, only a linear fit is possible.
- 4) How does one take into consideration the different aerosol size distributions and types at the other locations and wouldn't that influence the calibration dramatically?
- 5) Is it worthwhile calibrating the OPCs in the urban background site in the first place if you're going to use the OPCs at other sites? We believe so. The OPCs are factory calibrated in the UK under UK conditions. Whilst the urban background site in Nairobi is not the same as the rural background site and Nairobi urban roadside site, it is likely to have more similar conditions compared to the UK calibration conditions.
- 6) What does the literature say about this? The literature on low cost sensors is still nascent an only just finding its feet with respect to calibration. Our Crilley et al. (2018) paper suggests it is best to calibrate wherever the low cost sensor is mounted. However, to calibrate everywhere would take significant resources and would put into question the 'low cost' aspect of the 'low cost sensor'.
- 7) I appreciated the discussion on the RH and its impacts on measured PM, but I wonder about other aerosol properties: shape composition that must be mentioned here. These are all important parameters, but there was no possibility to measure them.
- 8) I'd also like to see an image of the OPC if possible. Is it pole mounted etc? A photograph of the sensor package is now provided in the supplementary material. The OPC were mounted to railings allowing for "The sensor boxes were placed in locations free 27 from obstacles, at the three measurement sites, allowing for 360 degrees of air flow", as stated in the manuscript.

Airborne particulate matter monitoring in Kenya using calibrated low cost sensors

Francis D. Pope^{1*}, Michael Gatari², David Ng'ang'a², Alexander Poynter¹ and Rhiannon Blake¹

¹School of Geography, Earth and Environmental Sciences, University of Birmingham, Birmingham, United Kingdom, B15 2TT.

²Institute of Nuclear Science and Technology, University of Nairobi, Nairobi, Kenya,

*Corresponding author – <u>f.pope@bham.ac.uk</u>

Abstract

East African countries face an increasing threat from poor air quality, stemming from rapid urbanisation, population growth and a steep rise in fuel use and motorization rates. With few air quality monitoring systems available, this study provides much needed high temporal resolution data to investigate the concentrations of particulate matter (PM) air pollution in Kenya. Calibrated low cost optical particle counters (OPCs) were deployed in Kenya in three locations: two in the capital of Nairobi and one in a rural location in the outskirts of Nanyuki, which is upwind of Nairobi. The two Nairobi sites consist of an urban background site and a roadside site. The instruments were composed of an AlphaSense OPC-N2 optical particle counter (OPC) ran with a raspberry pi low cost microcomputer, packaged in a weather proof box. Measurements were conducted over a two-month period (February – March 2017) with an intensive study period when all measurements were active at all sites lasting two weeks. When collocated, the three OPC-N2 instruments demonstrated good inter-instrument precision with a coefficient of variance of 8.8±2.0% in the fine particle fraction (PM_{2.5}). The low cost sensors had an absolute PM mass concentration calibration using a collocated gravimetric measurement at the urban background site in Nairobi.

The mean daily PM₁ mass concentration measured at the urban roadside, urban background and rural background sites were 23.9, 16.1, 8.8 μ g m⁻³. The mean daily PM_{2.5} mass concentration measured at the urban roadside, urban background and rural background sites were 36.6, 24.8, 13.0 μ g m⁻³. The mean daily PM₁₀ mass concentration measured at the urban roadside, urban background and rural background sites were 93.7, 53.0, 19.5 μ g m⁻³. The urban measurements in Nairobi showed that particulate matter concentrations regularly exceed WHO guidelines in both the PM₁₀ and PM_{2.5} size ranges. Following a 'Lenschow' type approach we can estimate the urban and roadside increments that are applicable to Nairobi (Lenschow et al., 2001). The median urban increment is 33.1 μ g m⁻³ and the median roadside increment is 43.3 μ g m⁻³ for PM_{2.5}. For PM₁, the median urban increment is 4.7

 μ g m⁻³ and the median roadside increment is 12.6 μ g m⁻³. These increments highlight the importance of both the urban and roadside increments to urban air pollution in Nairobi.

A clear diurnal behaviour in PM mass concentration was observed at both urban sites, which peaks during the morning and evening Nairobi rush hours; this was consistent with the high measured roadside increment indicating that vehicular traffic is a dominant source of particulate matter in the city, accounting for approximately 48.1, 47.5, and 57.2% of the total particulate matter loading in the PM₁₀, PM_{2.5} and PM₁ size ranges, respectively. Collocated meteorological measurements at the urban sites were collected, allowing for an understanding of the location of major sources of particulate matter at the two sites. The potential problems of using low cost sensors for PM measurement without gravimetric calibration available at all sites are discussed.

This study shows that calibrated low cost sensors can be used successfully to measure air pollution in cities like Nairobi. It demonstrates that low cost sensors could be used to create an affordable and reliable network to monitor air quality in cities.

1. Introduction

Recently, the Lancet Commission on pollution and health estimated that in 2015, air pollution led to the premature deaths of over nine million people globally, and contributed to over one in four deaths in severely affected countries (Landrigan et al., 2017). Typically, urban air pollution is higher in low and middle-income countries (LMICs) compared to further developed countries. Hence, the associated risk of air pollution to health is typically higher in LMICs, with over 92% of global pollution related deaths occurring in these countries. Within LMICs, health inequalities in urban areas contribute to an increased exposure to air pollution that faces those that live, work, socialise and commute to highly urbanised areas which typically have a substantially higher concentration of air pollutants. Despite the extensive links between air pollutants and human health, environmental degradation and the economy, air pollution is as of yet still under-researched in many LMICs. Due to a lack of long term air quality monitoring in many LMICs, the concentrations and sources of air pollution are poorly understood.

Airborne particulate matter (PM) is a major environmental risk factor with well-documented short and long-term effects on human mortality and morbidity (Thurston et al., 2016). Long term side effects to air pollution exposure include asthma, chronic pulmonary disease (COPD), pulmonary fibrosis, cancer, type-2 diabetes, neurodegenerative diseases, obesity and other conditions (Ferranti et al., 2017; Landrigan et al., 2017). People who are already susceptible to underlying respiratory disease, pneumonia, influenza or asthma can see a worsening of their symptoms and illnesses through short term exposure to air pollution (Wan Mahiyuddin et al., 2013). The size of PM is correlated with their health impacts, with smaller particles typically having more significant health implications (Meng et al., 2013). PM₁, PM_{2.5} and PM₁₀ are particulate matter with aerodynamic diameters less than 1, 2.5 and 10 μ m, respectively. The World Health Organization (WHO) recommends that PM_{2.5} and PM₁₀ daily mass concentrations should not exceed 25 and 50 μ g/m³, respectively; and that annual mass concentrations do not exceed 10 and 20 μ g/m³, respectively (WHO, 2006). At present, the WHO or other regulatory bodies do not provide recommendations of the mass concentrations of PM₁. PM₁ can remain suspended in air for much longer than coarser particulate matter, as well as penetrating deeper into the lungs leading to local pulmonary, systematic inflammation (Pateraki et al., 2014). Due to the smaller size, PM₁ has a higher surface to mass ratio, containing a harmful amount of potentially toxic anthropogenic constituents which could lead to health impacts such as respiratory disease, heart disease and lung cancer (Trippetta et al., 2016). Many studies still focus on PM₁₀ and PM_{2.5} even though smaller particulates pose greater health impacts (Tsiouri et al., 2015). Beyond PM₁, ultra-fine particles (<100 nm) are of such a small size they can be translocated to the central nervous system via the blood to brain barrier or the olfactory bulb (Chen et al., 2016). There are no air quality regulations of PM₁ or ultra-fine particles due to the paucity of data either within environmental science or public health.

Worldwide, road traffic is a dominant source of urban PM accounting for 5-80% of PM mass, with the precise amount being dependent upon several factors including time, location, and vehicle fleet, as reviewed by Pant and Harrison (2013). Vehicle derived PM is directly associated with negative health outcomes (Fan et al., 2006;HEI, 2010). Emissions are due both to exhaust pipe emissions and nonexhaust pipe emissions. Exhaust emissions result from the combustion of fuel, predominantly petrol and diesel, and oil and other lubricants. Non-exhaust emissions come either from the resuspension of road dust through wind or vehicle induced wind shear, or from the wear and tear of vehicle parts including the brakes, tyres and clutch. Resuspension of dust is particularly important on non-paved roads of which there are an abundance in Nairobi. Typically, non-exhaust emissions are in the coarse PM size fraction (PM in the size range 2.5-10 µm aerodynamic diameter), whereas exhaust emissions are in the fine PM size fraction (PM_{2.5}) (Thorpe et al., 2007;Kam et al., 2012). However, it is noted that the papers which reference vehicle PM size distributions according to the emission of non-exhaust sources have typically been conducted in either the US or European studies and not in Africa, where non-paved road sources represent a much higher fraction of road surface type. The precise size of vehicular derived PM is dependent on several factors: vehicle fleet characteristics (e.g. weight and size), road type and level of maintenance and meteorological conditions (Beddows et al., 2009; Hays et al., 2011).

In many LMIC cities, urbanization, population, fuel use and motorization rates are all increasing rapidly and increases in air pollution are associated with these trends (Mitlin and Satterhwaite, 2013;Ochieng et al., 2017). In particular, vehicular traffic is fast on the rise, with associated congestion on the road networks, which can contribute as much as 90% of air pollution in urban environments (UNEP, 2005). Nairobi is the capital city of Kenya and is showing these trends. In particular, the city population has increased dramatically, since 1999 to 2015 it has risen by 83%, and is projected to increase to 7.14 million by 2030 (Rajé et al., 2017). Similarly, motorization rates are increasing, between 2008 and 2012, the number of motor- and auto-cycles in Kenya grew by 368% with the number of overall registered vehicles increasing by 77% (Rajé et al., 2017). Considering this extensive increase in the vehicle fleet, limited roadway infrastructure and high congestion within the city, pollution hotspots are created leading to personal exposure levels much higher than that encountered throughout the rest of the city (van Vliet and Kinney, 2007).

To be able to reduce air pollution, it is helpful to be able to measure it, so reduction efforts can be assessed. Many LMIC countries have insufficient monitoring networks through which to measure air quality. In particular, long term high resolution data is required for such cities which are vulnerable to air pollution. Nairobi is in the vanguard of air pollution measurements for Sub-Saharan Africa but lacks continuous long term calibrated measurements of PM and other air pollutants (Petkova et al., 2013). A discussion of the relevant measurements in Nairobi is given in the next section. One of the constraints to making measurements is the high cost of research grade air quality monitoring equipment with appropriate calibration and certification. Low cost sensors offer the potential for dramatically reducing equipment costs by orders of magnitude, making the monitoring of air quality more accessible and attainable in LMIC countries (Lewis et al., 2016;Rai et al., 2017).

In this paper, the use of low cost sensors for measurement of PM₁, PM_{2.5} and PM₁₀ in Nairobi is detailed. We have previously assessed the same low cost sensors in the UK (Crilley et al., 2018). The sensors are calibrated using a standardised gravimetric approach. PM is measured in three locations: an urban roadside site, an urban background site and a rural background site. Comparison of simultaneous measurements at the three sites allows for the estimation of an urban increment and roadside increment in PM following a 'Lenschow' type approach which allows for simple modelling of city air pollution based on the urban and roadside increments in air pollution (Lenschow et al., 2001). The variation of measured PM with measured meteorological data is also discussed. Finally, we discuss the implications of using low cost sensors in Nairobi and LMIC countries in general.

2. Previous PM measurements in Nairobi

In general, long term air quality monitoring in Sub-Saharan Africa (SSA) is rare. Correspondingly, there are only limited PM data sets for East African urban areas; where data does exist estimated concentrations for PM_{2.5} concentrations are approximately 100 μ g/m³ compared to <20 μ g/m³ in most European and North American cities (Brauer et al., 2012). This indicates that urban PM air pollution in East Africa could be a significant health concern.

In Nairobi, there have been numerous short term measurements of PM over the last decade (Brauer et al., 2012;Kinney et al., 2011;Ngo et al., 2015;Egondi et al., 2016;Gaita et al., 2016) with only one long term continuous measurement (Gaita et al., 2014). To date, most measurements have used gravimetric measurement methodologies to record PM mass concentration in the $PM_{2.5}$ and PM_{10} size fractions. Most measurements indicate PM concentrations in Nairobi regularly exceed the WHO guidelines. At present, there is only one publication in the scientific literature describing the use of low cost sensors in the measurement of PM (de Souzza et al., 2017) which monitored air quality in Nairobi at six sites from May 2016 to January 2017. Using AlphaSense OPC-N2's, the authors measured PM_{1} , $PM_{2.5}$ and PM_{10} as well as NO₂, NO and SO₂.

The study collected PM concentrations at six schools within Nairobi. It reported a PM_{2.5} concentration range between 11 and 21 μ g/m³, and a range of 26 to 59 μ g/m³ for PM10. The PM concentrations measured during the de Souzza study are noticeably lower than of this study for both size fractions. It is worthy of note that the de Souzza study collected measurements from May 2016 to January 2017, whereas this campaign took place from February to April 2017; the local meteorology may have influenced the discrepancies seen in both recorded PM concentrations. Additionally, the study did not calibrate the monitors, which leads to questions about absolute concentrations and interference from other environmental dependencies (Lewis and Edwards, 2016). The collected data from the study appeared noisy, with the authors stating they could not separate the signal from the noise without having access to an air quality measuring reference instrument (they recorded peaks at over 1000 μ g/m³). Despite the limitations, it provides a useful comparison to this calibrate study.

The paucity of long term calibrated measurements has hindered the understanding of long term trends and the influence of seasonal variations in meteorology and other factors. Most published data provide daily averages of PM mass; the lack of higher temporal resolution data precludes the generation of diurnal data which can be useful for identifying individual sources of PM, in particular, vehicular PM which typically tracks traffic and hence peaks during rush hours.

The longest record of PM concentration in Nairobi is detailed in Gaita et al. (2014). In this work, the authors performed daily measurements of PM_{2.5} at an urban background and suburban site over a two-year period from May 2008 to April 2010 using polycarbonate filters in cyclone sampler (Casella from Bedford, UK). They reported a concentration range of 3 μ g/m³ to 53 μ g/m³ at the urban background site, with an overall mean of 21 μ g/m³ which exceeds the annual WHO limit of 10 μ g/m³ by a factor of two. The average concentrations of PM_{2.5} at both sites were found to be 21 ± 9.5 and 13 ± 7.3 μ g/m⁻³, respectively. Chemical composition measurements of the filter samples allowed source apportionment, via positive matrix factorization, to be carried out. The analysis suggested that five major source factors contribute to Nairobi PM_{2.5}: traffic, mineral dust, industry, combustion and a mixed factor. The dominant source factors were mineral dust and traffic which accounted for 74% of the particle mass.

As an update to this study, Gaita et al. (2016) conducted a study on the characterization and sizefractionation of particulate matter and deposition fraction in the human respiratory system in Nairobi using measurements taken in August and September 2007, obtained at the University of Nairobi site. Based on the findings, the concentration levels of airborne particulate matter sampled at the urban background site during the period was found to range between 1 μ g/m³ and 78 μ g/m³. The average PM_{2.5} concentration at the site over the entire sampling period was 9.8±8.5 μ g/m³.

A densely populated urban area with associated heavy local traffic within Nairobi largely contributes to the city's air pollution build up. Kinney et al., (2011) investigated the impact of vehicular emissions in Nairobi on the concentration of PM_{2.5}, observing a substantial range between 58 μ g/m³ and 98 μ g/m³ across an 11-hour personal exposure along busy roadways and roundabouts. The range could be estimated to be between 45 and 85 μ g/m³ for a 24 h sampling due to pollutant dispersion at night. In addition, the study reported a decrease in horizontal dispersion measurements of PM_{2.5} from 128.7 μ g/m³ to 18.7 μ g/m³ over 100 m downwind of a major intersection in Nairobi. A vertical dispersion from a street level to a third-floor rooftop in the Central Business District (CBD) showed a decrease in PM_{2.5} concentration from 119.5 μ g/m³ to 42.8 μ g/m³. This study clearly highlights that the PM concentration in Nairobi varies considerably over both time and space, which has significant implications for human exposure, see discussion.

Another study by Ngo et al., (2015) affirmed the contribution of anthropogenic activities on the quality of air in Nairobi. In their study, Teflon filters in PM_{2.5} samplers (BGI model 400) were used between 2nd August and 18th August 2011 and high concentrations of PM_{2.5} exposure levels among different groups in Nairobi were reported. According to the study, bus drivers in Nairobi city were exposed to about

103 μ g/m³ while those in informal settlements, such as Mathare, reporting exposure levels of about 62.7 μ g/m³, an indication that urgent measures needed to be taken to mitigate the impact of air pollution in the city.

The severity of air pollution in urban centres in SSA is typically even higher in the informal settlements (slums), where acute respiratory tract infections and bronchitis are among the most frequent medical diagnoses (Gulis et al., 2004). Egondi et al., (2016), in their study on air pollution in two informal settlements in Nairobi: Korogocho and Viwandani, reported higher concentration levels of PM_{2.5} in the two slums. Optical counters (TSI DustTrak II model 3530) were used in the study and observed average concentration levels of PM_{2.5} in Korogocho slum, lying west of Dandora, Nairobi's biggest dumping ground, were the highest at 166 μ g/m³ and Viwandani, situated North of Nairobi recorded 67 μ g/m³.

3. Methodology

3.1. Site locations

This study utilised three field sites in Kenya, see Figure 1. Two sites were in Nairobi which is the capital of Kenya, covering an area of ca. 696 sq. kilometres and home to approximately 3.5 million residents according to a World Population review conducted in 2016 (Kenya Population, 2016), making it the second most populated city in East Africa after Dar es Salaam, Tanzania. In addition to Nairobi's longstanding popularity as a travel destination, due to its safari and other holiday resorts, the city also acts as East Africa's diplomatic, financial and communication capital (Rajé et al., 2017).

Its geographical location is at approximately 1.29° S and 36.82° E. The highest elevation point in the city is at an altitude of 1663m above the ground. As discussed in the introduction, Nairobi is undergoing rapid increases in population and motorization both of which will likely lead to greater PM pollution in the absence of any efforts of mitigation against the pollution. Other significant infrastructure projects such as major road building are currently being undertaken, which will also likely lead to increased PM loadings. Within Nairobi, the two field sites represent an urban background location and an urban roadside location. The other site, a rural background site is located on the outskirts of Nanyuki, a town that is located at an approximate aerial distance of 147 km to the north (NNE) of Nairobi and 240 km by road. The sensor boxes were placed in locations free from obstacles, at the three measurement sites, allowing for 360 degrees of air flow. A description of the meteorological conditions is provided in the supplementary material.

Site 1: American Wing, University of Nairobi, Nairobi (urban background site)

The first site for data collection in Nairobi was at the American Wing building located in the University of Nairobi, standing at an elevation of 17 m above ground level. Air flow at the site was free from any obstruction as the OPC's were located at an elevated point above the ground. The nearest road is Harry Thuku road which has very few on-road vehicles (no heavy trucks) and it leads to Fairmont Norfolk and Boulevard hotels, and Kijabe Street. Its level is far below the site and the only influence from the few vehicles and the city is highly diluted and dispersed pollutants (Kinney, et al., 2011) in regional air mass.

Site 2: Tom Mboya Street, Fire station, Nairobi (urban roadside)

The second collection site in Nairobi was at the fire station, which is located within the CBD in the city. Unlike the American Wing site, the area around the Fire Station is characterized by high traffic flow which includes common public transport vans, locally known by the name "Matatus". It is on an urban street canyon, on a street where smoking diesel vans are frequent and is exposed to urban heat Island effects. It is also in the neighbourhood of vertical dispersion measurement site of PM_{2.5} used by Kinney et al. (2011). The monitor was mounted at a height of approximately 5 m.

Site 3: Nanyuki town (rural background)

The third site chosen was on the outskirts of Nanyuki town, an administrative town in Laikipia County which is located to the North West of Mt. Kenya. The town is positioned at the Equator at approximately 1.28° S and 36.01° E. The highest point in Nanyuki is at an elevation of 2000 m above ground level. The town is home to approximately 50,000 people as per the last census conducted (KNBS, 2015). The Nanyuki region has a hinterland of significant agricultural cultivation, forest and considerable grazing activities (Gatari et al., 2005). The OPC was hung about 4 m above ground level thus exposing it to free regional air mass in an area of minimal local influence.

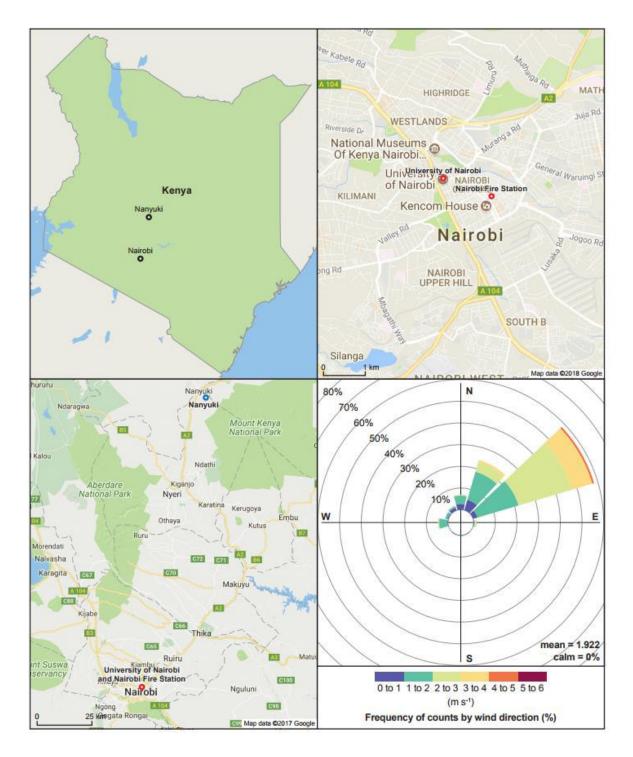


Figure 1. Locations of data collection sites and wind rose for the urban background location. Top left panel and bottom left panel: locations of Nairobi and Nanyuki in Kenya. Top right panel: shows relative locations of urban background location (University of Nairobi, American Wing) and urban roadside location (Nairobi fire station). Bottom right panel: wind rose generated from data collected at the urban background location during the measurement campaign.

3.2. PM Measurement Equipment

Small low cost optical particle sensors (AlphaSense, OPC-N2, firmware version 18) were used to measure PM concentrations. The OPC-N2 is a miniaturized OPC which has dimensions of $75 \times 60 \times 65$ mm and weighs under 105 g. The unit cost of an OPC-N2 is approximately 250 GBP or 25000 KeS, hence it is significantly cheaper than reference optical particle counter instruments which cost approximately 30-50 times as much. Reference grade gravimetric instruments can cost even more. The lower cost of the OPC-N2, provided the opportunity for measurements at multiple sites simultaneously. It measures particles in the reported size range of 0.38 to 17 μ m across 16 size bins, with a maximum particle count of 10,000 per second. The particle number concentration is converted by on-board factory calibration to PM concentrations according to European Standard EN481 (OPC-N2 manual).

The assumed density for all particle sizes is 1.65 g/cm³ and no special weighting is placed on any particular bin size. However, the manual for the OPC states "an additional weighting is applied on units with Firmware 18 or higher to account for under counting at low particle sizes and the effect of carbon particles in urban air so that the output matches collocated reference detectors."

The lower cut off for particle size observed by the OPC is 380 nm and hence a large proportion of all particles are not observed by the OPC due to the particle number being dominated by the smallest particle sizes (Seinfield and Sypyros, 2016). Ultrafine particles (particles of aerodynamic diameter <100 nm) were therefore not measured. However, the interest of the study was particulate mass which is dominated by particle sizes that were measured.

The sensors had their data logged using Raspberry Pi 3 minicomputers. The Python script used to run the OPC-N2 on the Raspberry Pi 3 is discussed and provided in Crilley et al. (2018) and makes use of the py-opc python library for operating the OPC-N2 written by Hagan (2017). Together, the OPC-N2, minicomputer and accompanying wires and tubing were placed in bespoke weather resistant housing (dimensions ca. 30*20*10 cm). Power for both the OPC-N2 and minicomputer were provided by mains power.

The OPC-N2 sensors are factory calibrated to measure PM mass concentrations representative of the UK. However, in our previous study, (Crilley et al., 2018) we demonstrated that in situ calibration of the sensors is required for the correct measurement of PM mass concentrations at urban background

sites in Birmingham, UK. The calibration in the Crilley et al. (2018) study involved both scaling and a relative humidity (RH) dependent term for when the RH is greater than approximately 85%.

The mass concentrations from the OPC-N2 devices, in the PM₁, PM_{2.5} and PM₁₀ size bins were recorded in time intervals of 10 s. For the subsequent analysis, the mass concentration data were aggregated into 1 h time-bins using the mean average. In time periods which contained missing data, the mean average of the available data was aggregated. All data manipulations were performed using R (version 3.4.1), and the openair project package for R was used extensively for data visualization (Carslaw and Ropkins, 2012).

3.3. Meteorological station

The local meteorology for Nairobi was measured at the same location as the urban background site using a Vaisala instrument (WXT510) with the following variables measured: wind speed, wind direction, temperature, relative humidity, relative humidity, barometric pressure, and rainfall with an instrument temporal resolution of five minutes. The meteorology measured parameters were in good agreement with other local measurements such as those observed at Jomo Kenyatta International Airport (JKIA), which is approximately at an aerial distance of 10 km. The proximity of the meteorological station at the urban background site to the urban roadside makes the meteorological data appropriate for both sites. The data was collected at the urban background site from the 2nd of February to the 6th of April 2017, covering the duration of the PM measurements.

3.4. OPC-N2 gravimetric mass calibration

The OPC-N2 mass concentrations were calibrated using gravimetric measurements of PM_{2.5} and PM₁₀. The gravimetric calibration measurement was carried out on the 9th February 2017 for 24 h. A collocation measurement of the OPC and an Anderson dichotomous impactor (Sierra Instruments Inc., USA) was set up, on the only possible date, at the background site. The impactor collected PM_{2.5} and PM_{10-2.5} particles on Teflon filters (diameter = 37 mm, pore size = 2 μ m) at a total flow rate of 1 m³ h⁻¹. PM₁₀ is therefore the sum of the two size fractions (PM_{2.5} + PM_{10-2.5}). The chosen sample day was rain free and had similar temperature and RH profiles compared to the rest of the OPC sampling campaign. The filters were weighed using a mass balance before and after particulate matter collection. The observed 24 h average mass concentrations of PM_{2.5} and PM₁₀ from the impactor were 27.6 ± 6.8 and 51.8 ± 10.3 μ g m⁻³, respectively, while those recorded from the OPC 16.9 and 30.6 μ g m⁻³, respectively. The uncertainty in gravimetric concentrations was estimated from the instrument (10%), sampling (7%) and weighing (25%) errors and that of the OPC data was the standard deviation.

The value is as provided by the OPC, it is not possible to provide an error from the 1 day calibration. From the Crilley et al. (2018), the coefficient of variance is estimated as 0.32 ± 0.16 , 0.25 ± 0.14 and 0.22 ± 0.13 for PM₁, PM_{2.5} and PM₁₀ mass concentrations, respectively. Hence, the observed scaling factors between the OPC derived masses and gravimetric analysis were 1.70 and 1.63 for PM₁₀ and PM_{2.5}, respectively. These factors are different to that observed in Crilley et al. (2018) which performed a similar gravimetric calibration procedure with the OPC-N2 measuring PM at an urban roadside sites in the UK. The discrepancies in scaling factors are likely due to differences in average particle densities observed in Kenya compared to that observed in the UK, and also the typical RH measured in Nairobi compared to the UK measurements (see discussion in next section). In particular, Nairobi PM has been shown to have a high percentage of mineral dust which typically has a high density, with Gaita et al. (2014) showing the annual average composition of PM_{2.5} being composed of 35% mineral dust which originates from unpaved roads and wind-blown dust during the dry seasons. The gravimetric analysis did not allow for the calibration of the PM₁ mass concentrations because a filter sample was not generated for the fraction of PM in this size range. Hence, the PM₁ size fraction calibration uses the same calibration factor derived for the PM_{2.5} size fraction.

The gravimetric calibration was carried out at the urban background field location, for the three OPC-N2s which were subsequently used in the measurement campaign at the three field sites. Hence, the calibration was most appropriate for the urban background site. Whilst the urban roadside site is in close proximity to the urban background site, the roadside site is more influenced by traffic related PM, hence, the average particle density at the roadside site is likely different to the urban background site. Likewise, the rural background site is likely to be far more influenced by mineral dust than the two urban sites. Hence the gravimetric calibration at the urban background sites only provides an estimate calibration for the urban roadside and rural background sites.

Only one gravimetric calibration was carried out during the study period due to the lack of resource for further calibrations. If the PM composition varied significantly over the study period, then the true calibration factor will also change. Hence, the calibration factor used should be treated as an estimate for the whole study period because changes in PM composition lead to changes in particle refractive index, and therefore, the scattering pattern which is measured by the OPC to estimate particle size. Changes in particle density, due to compositional changes, also affects the particle mass calculated from the particle size. It is noted, for future studies it would be beneficial to have multiple gravimetric calibration points to check for continuing accuracy of the OPC-N2 sensors throughout the campaign.

3.5. Measured particle mass dependence on relative humidity

As detailed in Crilley et al. (2018), under UK conditions, the OPC-N2 device is sensitive to variations in RH when the RH exceeds ca. 85%. Crilley et al. (2018) suggest the RH dependence is due to the hygroscopic properties of particles that result in significant water mass being taken up by PM at high RH. This hygroscopic dependence can be modelled using a calibration that uses the κ -Kohler parameterization of aerosol hygroscopicity (Petters and Kreidenweis, 2008). The average k parameter values for Africa (κ = 0.15±0.12) are lower than for Europe (κ = 0.36±0.16), as based on the Pringle et al. 2010 model, which is in good agreement with observational data. It is noted that composition of urban PM will have different hygroscopic properties to the average rural background. However, PM derived from urban emissions are often less hygroscopic than rural PM; therefore, the rural estimates might provide a useful upper estimate of particle hygroscopicity in urban centres. All locations used in the study period typically have RH less than the 85% threshold. However, it is noted that the RH dependent measurements shown in Crilley et al. (2018) were performed in the UK whereas these measurements were performed in Kenya. There may be significant differences between aerosol compositions, and hence hygroscopicities, in these two countries albeit both urban areas (Birmingham and Nairobi) will have significant vehicular influence. Measurements of RH at the Kenyan urban background site show that RH was only equal to or greater than 85% less than 1% of the time. Furthermore, there is no significant dependence of either the observed PM_{2.5} or PM₁₀ mass concentration upon RH (see supplementary figures 1a and 1b), this is consistent with low hygroscopicity aerosols. The measurement period of work reported in this paper was in the Kenyan dry season with very few rain events, it is noted that if low cost sensors are to be used in the wet season in Kenya then the RH will likely be greater than 85% during significant periods and the hygroscopicity effect will likely need to be accounted for to obtain good measurements.

4. Results

4.1. Particulate matter measurement

PM data was collected at the three sampling sites over the time period inclusive of 02/02/2017 and 24/03/2017. Figure 2 provides the time series data for the PM₁₀, PM_{2.5} and PM₁ data over the whole measurement campaign. Gaps in data at specific sites are either due to the colocation of two or all three instruments at one site for cross calibration purposes, due to power failure requiring instrument restart or OPC malfunctioning.

The inter OPC-N2 precision was measured once during the campaign by co-locating the three instruments at the urban background site for 3 days for side by side sampling. The three instrument

colocation was carried out during at the start of the campaign (16/02/2017 - 18/02/2017). Two OPCs were collocated together at the urban roadside site near the end of the campaign (04/03/2017 - 27/03/2017). All instruments gave very similar readings during both co-location periods, the interinstrument precision gave a coefficient of variance of $8.8\pm2.0\%$ in the PM_{2.5} fraction, with no degradation in inter instrument precision observed over the sampling period. This coefficient of variance is better than observed in Crilley et al. (2018) but this is expected because of the lower RH conditions in Nairobi (see later discussion).

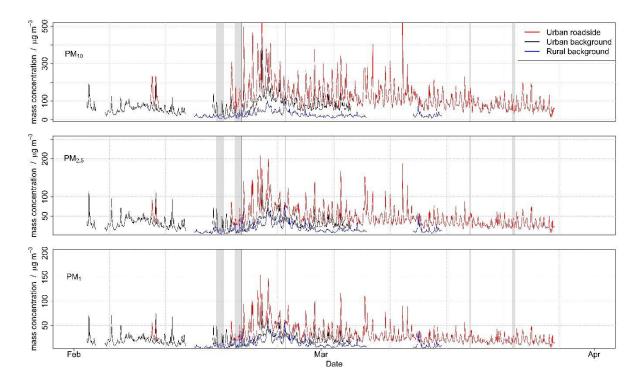


Figure 2 Hourly time series data showing PM_{10} , $PM_{2.5}$ and PM_1 mass concentrations at the three study locations. Red line = urban roadside, black line = urban background and blue line = rural background. Where multiple OPC-N2 devices were measuring in the same location at the same time, the average is provided. The grey shading represents rain events as measured at the urban background location.

Continuous monitoring at all three sites was achieved for a fortnight in the period 18/02/2017 to 04/03/2017. This period will henceforth be referred to as the intensive period, whereas, the total measurement campaign will be referred to as the campaign period. The number of monitoring days for the urban roadside, urban background and rural background monitoring sites during the campaign period were 40, 29 and 25 days, respectively.

Table 1 provides the average PM_1 , $PM_{2.5}$ and PM_{10} mass concentrations observed at the three sites during the campaign period. An identical table for the intensive period is included in the

supplementary material, see Table S1. The percentage of daily exceedances of daily PM_{2.5} and PM₁₀ as per WHO guidelines are also provided, however, to date there is no set guidelines of PM₁. All measurement sites exceeded the WHO daily guidelines for both PM_{2.5} and PM₁₀ for some of the days sampled. The urban roadside site exceeds the WHO guidelines on most days (85% for PM_{2.5} and 90% for PM₁₀). Furthermore, on many days (13% for PM_{2.5} and 40% for PM₁₀) the urban roadside site exceeds the WHO guidelines by at least twice as much. The urban background site has fewer exceedances, compared to the urban roadside site, with daily exceedances occurring approximately one third of the time. The urban background site is at an elevated position, which largely removes the direct influence of local sources of PM pollution. As such, it can be assumed that the PM mass concentrations observed at this location represent a lower limit for the ground level PM concentrations throughout Nairobi, since most PM emissions will be due to ground level sources such as vehicle emissions, fires, local industry and others. The rural background site has no daily exceedances in the PM₁₀ size fraction but exceeds the PM_{2.5} guidelines 12% of the time.

During the two-week intensive campaign, there was a period of elevated PM mass concentration observed in PM₁, PM_{2.5} and PM₁₀ size fractions centred around the 23rd February. The elevated PM was observed in all three sites; therefore, this might represent a long-range pollution event. Correspondingly, the average PM mass concentrations and percentage of WHO exceedances are higher during the intensive period compared to the whole measurement campaign, see Table S1.

Table 1 Mean average PM mass concentrations (PM_1 , $PM_{2.5}$ and PM_{10}) and daily exceedances of the WHO PM guidelines ($PM_{2.5}$ and PM_{10}) observed at the three measurement sites during the campaign period. ¹WHO guidelines for daily PM_{10} and $PM_{2.5}$ are 50 and 25 µg/m³, respectively

Measureme	Measureme	Average	Average Average		% daily	% daily
nt location	nt days	PM ₁ mass	PM _{2.5} mass	PM ₁₀ mass	PM _{2.5}	PM ₁₀
	(number)	concentrati	concentrati	concentrati	exceedanc	exceedanc
		on (µg/m³)	on (µg/m³)	on (µg/m³)	es1	es1
Urban	29	16.1	24.8	53.0	31.6	39.5
background						
Urban	40	23.9	36.6	93.7	85.0	90.0
roadside						
Rural	25	8.8	13.0	19.5	12.0	0.0
background						

Whilst there is insufficient temporal data to provide a yearly average value for PM_{2.5} and PM₁₀ mass concentrations for the three sites, the annual average values can be estimated from the data set using the average values provided in Table 1. These values are likely to be upper estimates for the yearly values because the measurements were obtained in period with little precipitation, thereby minimizing the degree of wet deposition of the PM. For instance, Gaita et al. (2014) showed that Nairobi's short rainy season (typically October - December) suppresses PM concentrations at the urban background site by approximately 50%. Notwithstanding the seasonal rain consideration, the average PM mass concentration observed in this study suggests that that the WHO recommendations for annual PM_{2.5} and PM₁₀ are likely exceeded at both the urban background and urban roadside locations. For the urban background site, the measured average PM_{2.5} and PM₁₀ mass concentrations exceed the annual WHO recommendations by factors of 2.5 and 2.7, respectively. Whereas for the urban roadside site they exceed recommendations by 3.7 and 4.7, respectively. These significant exceedances for both the urban roadside and urban background sites suggests that most of Nairobi's population will be subjected to outdoor air pollution far in excess of the WHO recommendations for annual exposure. Figure 3 provides the box and whisker plots for the hourly averaged PM_{2.5} and PM₁₀ data for the three measurement sites. Figure S3 provides box and whisker plots for the daily averaged PM_{2.5} and PM₁₀ data for the three measurement sites and highlights the proportion of the days which exceed the WHO annual and daily recommendations.

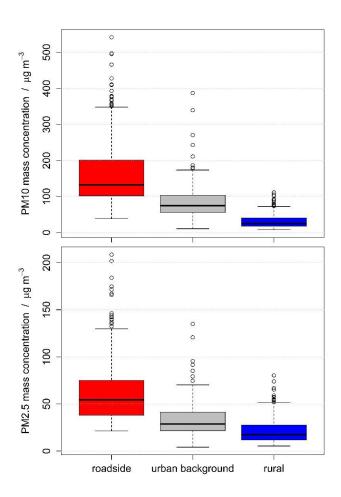


Figure 3 Box and whisker plots of the hourly averaged $PM_{2.5}$ and PM_{10} mass concentrations measured at the three sites. The green dashed and dotted lines represents the WHO recommended annual and daily limits, respectively

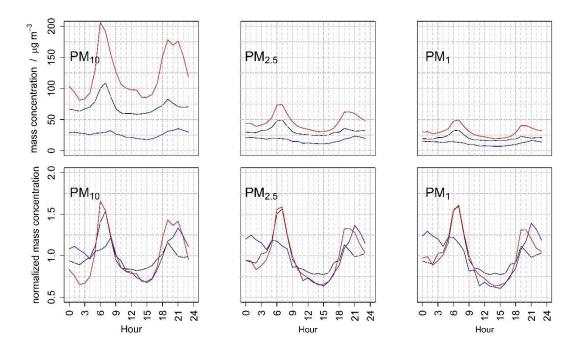


Figure 4 Diurnal variation in PM_{10} , $PM_{2.5}$ and PM_1 mass concentration measured at the three field sites during the whole campaign period. Top panels show the measured concentrations. Bottom panels shows the mass concentrations that have been normalized to the average mass concentration.

Figure 4 provides the mean average diurnal hourly profiles of the PM_1 , $PM_{2.5}$ and PM_{10} mass concentrations for the three measurement sites during the whole campaign period. There is clear diurnal variation observed at all the sites, two distinct peaks are observed in the two urban locations during the morning (ca. 05:00 – 10:00) and the evening (ca. 18:00 – 24:00) which correspond to the Nairobi peak traffic periods. The normalized data shows that the traffic related structure is very similar in both the urban background and urban roadside sites indicating that the traffic related PM pollution is the dominant source at both sites. The rural background site also shows diurnal variation with some indication of a traffic related signal at similar times to the urban sites, especially in the $PM_{2.5}$ size fraction. However, overall the rural diurnal cycle appears to largely correspond to solar insolation suggesting the dominant factor affecting the rural mass concentrations is the height of the local boundary layer which decreases in the night time and increases with greater solar insolation.

Through comparison of the urban roadside, urban background and rural background hourly averaged data, it is possible to generate estimates of urban increments and roadside increments relevant for Nairobi using a 'Lenschow' type approach (Lenschow et al., 2001). For the intensive period the urban

and rural increments are calculated for both the PM₁, PM_{2.5} and PM₁₀ mass concentrations, see Figure 5. The urban increment is calculated by subtracting the hourly average values of the rural background site from the urban background site. During the intensive period, analysis of the air mass back trajectories indicates that the regional wind direction was almost exclusively from the northeast. Hence the Nanyuki rural background site is a good representative of the rural background that impacts upon Nairobi.

The roadside increment was calculated by subtracting the hourly average values of the urban background site from the urban roadside site. It is noted that the chosen roadside measurement site is particularly busy with vehicles, compared to many other non-highway streets in Nairobi. In particular, the site is a popular Matatu (14 seat passenger vans) terminal with multiple vehicles idling at any point during the day. Therefore, the roadside increment obtained using this location likely represents a value close to the upper boundary for Nairobi roads.

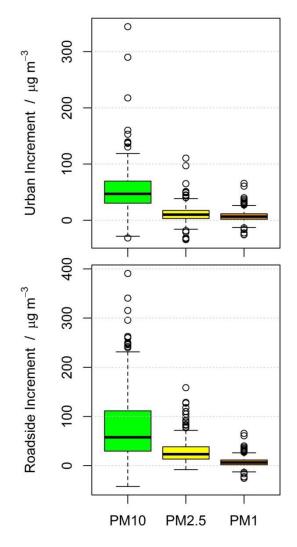


Figure 5 Box and whisker plots of urban and roadside increment of PM₁₀, PM_{2.5} and PM₁ calculated for Nairobi. Data was taken from the intensive campaign period when the urban background, urban

roadside and rural background sites were all measuring simultaneously. Hourly averaged mass concentration data is used

The urban and roadside increments are significant for all the investigated PM size fractions. A statistical summary of the roadside and urban increments for the PM₁, PM_{2.5} and PM₁₀ size fractions are given in Table 2.

	Roadside Increment (µg/m ³)			Urban Increment (µg/m³)			
	PM ₁	PM _{2.5}	PM ₁₀	PM ₁	PM _{2.5}	PM ₁₀	
Minimum	-4.3	-6.1	-31.7	-20.1	-26.9	-23.6	
1 st Quartile	7.3	10.5	22.2	1.0	2.2	19.5	
Median	12.6	18.3	43.3	4.7	7.1	33.1	
Mean	18.9	22.9	58.1	5.2	8.2	36.6	
3 rd Quartile	20.7	30.0	83.4	8.7	13.2	48.2	
Maximum	95.5	123.9	292.6	51.2	86.3	258.0	

Table 2 Summary of roadside and urban increments for the PM_1 , $PM_{2.5}$ and PM_{10} size fractions measured during the intensive period.

During the intensive period, the mean average roadside increment is 57.2, 47.5 and 48.1 % of the mean roadside mass concentration, in the PM_{1} , $PM_{2.5}$ and PM_{10} size fractions, respectively.

The spatial variation in PM emissions, in the different size fractions, can be assessed at the urban background and urban roadside sites using bivariate polar plots, which provide information on the variation of PM mass concentration with wind direction and speed, see Figure 6 (Carslaw and Beevers, 2013). The urban background and urban roadside sites are sufficiently closely collocated (< 0.5 km apart) that the wind data acquired at the urban background site is applicable to the urban roadside site. Wind direction data was not available for the rural background site, so analysis of the spatial variation was impossible at this site.

Figure 6 clearly shows significant variation of PM mass concentration at both urban sites, which are dependent upon the wind conditions. The urban background site shows broadly similar behaviour in the spatial variation of the PM₁, PM_{2.5} and PM₁₀ size fractions. The peak in concentrations are observed at low wind speeds and when the wind comes from the west and south. This wind direction dependence is consistent with the close proximity of the major highway A104 'Nairobi-Malaba Road', which passes close to the site in the direction of high PM concentrations. The diurnal profiles and roadside increments discussed earlier combined with the wind dependence highlights the role of

roads in Nairobi as the major source of PM in all size fractions studied. Since the site is within Nairobi's Central Business District (CBD), there are other significant roads nearby as well, but the A104 has the greatest fleet density.

The urban roadside site also shows distinct variation in pollutant concentrations with wind speed and direction. In the PM₁₀ size fraction the greatest concentrations are seen to the northwest and smallest to the southwest with a steady reduction between these two extremes. The PM_{2.5} and PM₁ size fractions show a more complex behaviour with highest concentrations at low wind speeds and the north and west directions. The urban roadside location is surrounded by small roads and lower traffic speeds compared to the highways, for example the A104. The lower traffic speeds likely lead to less non-tail pipe emissions from dust resuspension and hence there are less local PM₁₀ particles when compared to the urban background site. Whereas the localized PM_{2.5} and PM₁ concentrations are likely due to the heavily congested local roads on which Matatus and other vehicles are often left idling leading to high tail pipe emissions, which are typically in the smaller PM size fractions (Pant and Harrison, 2013).

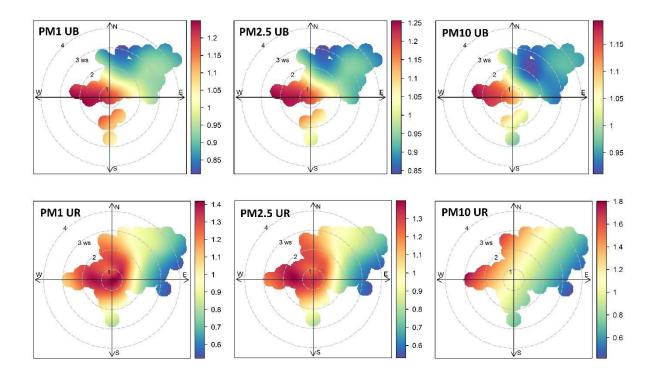
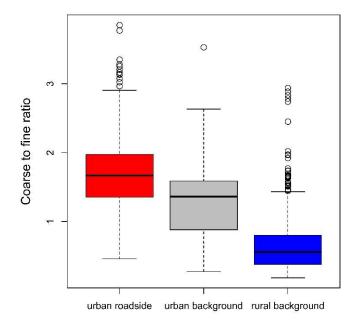


Figure 6 Bivariate (polar) plots of PM in different size fractions at urban background (UB) and urban roadside (UR) sites. Panel descriptions A) PM_1 UB, B) $PM_{2.5}$ UB, C) PM_{10} UB, D) PM_1 UR, E) $PM_{2.5}$ UB and F) PM_{10} UR. The PM mass concentration data in each plot are normalized to allow for easy comparison between the different sites and PM size fractions investigated. However, note the scale bars are different for each panel to allow for easier interpretation.

Figure 7 provides the distributions of the ratio between the coarse and the fine PM mass fractions at the three field sites during the campaign period. Whilst each site shows distinct variation, with large interquartile ranges, in the reported coarse:fine ratio, which is dependent on the time of year and time of day, the median ratios at each site are distinct, with the ratio at the urban roadside, urban background and rural background sites being 1.6, 1.3, and 0.5, respectively. At the roadside site, the median coarse:fine mass ratio is almost triple that observed at the rural background; this is consistent with the dominant source of PM at the roadside site being the resuspension of large dust particles. At the rural site, the PM size distribution has a greater ratio of fine material consistent with the rural site having a signature of regional background PM. The ratio of coarse:fine PM at the urban background site is intermediate between the roadside and rural background sites which suggests that this site is effected significantly by both the regional background and the urban road PM sources. These insights into the coarse:fine PM ratio is consistent with the roadside and urban increments, shown in Figure 5 and discussed previously.



However, PM derived

4.3. Comparison with previous measurements

To the best of our knowledge, there has been no previous literature study to date utilising calibrated low cost sensors to measure PM in Nairobi. Furthermore, it is difficult to make comparison with previous Nairobi based PM studies because of the differences in the temporal resolution of the data and campaign durations used in this study compared to past measurements.

The most comparable study of PM_{2.5} would be the work of Gaita et al., (2016) which also recorded the levels of PM 2.5 at the University of Nairobi (urban background site). The urban background average of PM_{2.5} during this study's campaign period was 24.8 µg/m³ compared to Gaita et al., (2016) mean average of 9.8µg/m³, showing a significant increase of 253%. The sampling time window used in Gaita et al., (2016) study was between August to September 2007 which is distinct from the February to March 2017 period of this study. Both of these study periods were largely dry, with low precipitation levels, thereby suggesting PM deposition would have been similar between the two studies. The significant increase in measured PM_{2.5} could be due to several reasons. Firstly, there could be seasonal differences between August/September and the February/March sampling periods of the two studies; however, the study of Gaita et al. (2014) suggests the urban background concentrations of PM_{2.5} mass concentration is similar between these two time periods. The regional background PM loading may have increased during this time period, potentially due to increasing regional aridity caused by climate change leading to more dust generation (Greve et al., 2017). There is almost ten years difference in the times of this study compared to Gaita et al. 2016, in this time Nairobi has undergone significant increases in population and urbanization with correspondingly higher use of motorization and fuel. Using UN population data (UN, 2014), the population of Nairobi is well modelled by equation E1, in which Y is year date, and p is the population in thousands, which suggests that the population of Nairobi has increased by 148% from 2007 to 2017.

 $p = 2.33 \times 10^{31} \exp(3.91 \times 10^{-2} \times Y)$ (E1)

Hence, the population increase alone cannot account for the increase in PM concentration. The pollution production capability per capita could have increased, which is very likely because of the increased rates of motorization and fuel use. If we assume that the increase in PM is solely due to population increase and per capita pollution, it suggests that in 2017 the average citizen is 70% more polluting than the average citizen in 2007.

The Egondi et al. (2016) study of PM_{2.5} in two slums in Nairobi reported much higher values of 166 μ g/m³ and 67 μ g/m³ for two different slum areas within Nairobi. These values are much higher than the average PM_{2.5} values from this study; Egondi et al. stated that the reason for such high levels of PM_{2.5} stemmed from the local situation and distinct sources of PM within the two slums. This study used a TSI optical particle counter, which was placed 1.5 m above ground level. Therefore, these measurements were likely highly influenced by re-suspended dust.

Although Kinney et al., (2012) measured PM_{2.5} levels at four roadside locations, the sampling window was only 11 hours and therefore it is not possible to directly compare this study to it. However, considering the diurnal variation in PM found in this study, both investigations measured similar PM_{2.5} levels. Kinney et al., (2012) recorded daytime concentration ranges of 10.7 μ g/m³ and 98.1 μ g/m³ for a rural and urban roadside site, respectively, compared to ca. 25 μ g/m³ and ca. 150 μ g/m³ for this study. Again, the increase between sampling years may be a reflection of the increased population, vehicular traffic and rapid urbanisation.

5. Discussion

In this study, we have shown that Nairobi currently has very high levels of PM mass concentration in the PM₁, PM_{2.5} and PM₁₀ mass fractions. These measurements were conducted using low cost calibrated OPC-N2 sensors. The measured PM_{2.5} and PM₁₀ concentrations at the urban roadside and urban background sites both regularly exceeded the WHO daily limits and very likely exceed the annual limits. In particular, the roadside site often showed concentrations of double the WHO guidelines. These concentrations will very likely be causing significant harm to the population of the Nairobi.

The negative health effect of PM is linked to the level of exposure experienced by the patient. This paper and others (e.g. Gaita et al. 2014) have shown that in Nairobi, vehicle emissions are the most significant source of PM. Hence, in Nairobi and other similar cities, the exposure to outdoor PM is to a large extent a function of ones proximity to roads. Furthermore, since traffic varies diurnally, seasonally and by day of the week, personal exposure is both spatially and temporally dependent. This spatial and temporal heterogeneity leads to health inequalities in cities. The urban poor who are often most vulnerable to environmental risks due to lack of adequate health provision, typically live in close proximity to roadways, heightening their exposure to vehicular emissions. Stemming from poorly planned rapid urbanisation and inadequate service provision within these cities, those that are unable to afford public transport or personal vehicles frequently walk along these pollution heavy roads, only increasing their exposure periods (Hajat et al., 2015).

This study only looked at outdoor air quality, it is important to stress that a significant amount of air pollution deaths in Kenya and SSA in general are due to poor indoor air quality (Mannucci and Franchini, 2017). As a total number of deaths, deaths related to indoor air quality in Kenya rose to 18% from 1990-2013 (Roy, 2016). In LMIC countries, indoor exposure to pollutants is typically from the household combustion of solid fuels on open fires or traditional stoves (Yip et al., 2017). These exposures increase the risk of acute lower respiratory infections and associated mortality among

young children; indoor air pollution from solid fuel use is also a major risk factor for cardiovascular disease, chronic obstructive pulmonary disease and lung cancer among adults (Muindi et al., 2016).

This study has shown that the low cost OPC-N2 sensors can be used to generate diurnal PM datasets with good precision and repeatability. As noted in the methodology, it would have been preferable for more cross calibration periods with the tried and tested gravimetric PM measurement but resources did not allow this. In addition to more calibration points, the study could have been enhanced by the inclusion of collocated calibration points for the roadside and rural background sites in addition to the urban background site, since the average particle shape, size and density will likely be different between the three sites because of differing PM sources and emission factors. However, it is noted, that whilst it is desirable from a purely scientific point of view to have more intercomparison with reference grade equipment; every inter-comparison adds significant additional cost to the project both in terms of consumables for the gravimetric analysis (including the cost of analytical grade filters and accompanying laboratory supplies), and the cost in manpower. Many other cities in SSA and other LMIC countries do not have the resource that Nairobi does in having a gravimetric sampler. These additional costs required for highly accurate scientific results would likely make the low cost sensors not so very low cost after all, and hence bring into question their unique selling point (USP).

Whilst this paper focused on PM pollution, it is noted, that there are serious risks to health not only from exposure to PM, but also from exposure to ozone (O₃), nitrogen dioxide (NO₂) and sulfur dioxide (SO₂) (Lelieveld et al., 2015). As with PM, concentrations are often highest largely in the urban areas of low- and middle-income countries. Ozone is a major factor in asthma morbidity and mortality, while nitrogen dioxide and sulfur dioxide also can play a role in asthma, bronchial symptoms, lung inflammation and reduced lung function. Good quality measurements of these gas phase pollutants lag behind measurements of PM in Nairobi, other SSA cities and LMIC cities in general. This is due to the high importance of PM as an environmental risk factor but also because of the lack of good quality gas analysers which are affordable and transportable.

6. Conclusions

Air quality in many LMIC urban centres is often poor and in many cities is getting worse due to the combined pressures of increasing population, increasing urbanization, increasing vehicular traffic and poor vehicle regulation. To be able to manage air pollution, good quality and long term data sets are required. Unfortunately, in many LMICs the cost of certified high quality air quality measurements is

beyond the financial means of environmental authorities. Low cost sensors offer the possibility of air quality products at significantly lower cost compared to traditional methods.

This paper used calibrated OPC-N2 devices to measure PM concentrations in Nairobi, Kenya in the size fractions PM₁, PM_{2.5} and PM₁₀. The data required calibration using an established gravimetric approach to PM measurement. The need for calibration by trained scientists significantly increases the costs associated with low cost monitoring and this cost needs to be factored in when assessing options for air quality monitoring.

PM was measured in three locations: an urban roadside, urban background and rural background site for a period of approximately two months. The data reveals that roadside and urban background locations in Nairobi often exceed the WHO guidelines for daily averaged PM mass concentration in both the PM_{2.5} and PM₁₀ size fractions. Comparison of the data with previous measurements conducted in Nairobi is difficult but where comparison is possible, it appears that air quality has become worse in the last ten years which is likely due to increases in population, urbanisation and motorization. Changes in industry may also influence the air quality. Comparison of the data from the three sites, following a 'Lenschow' type approach, allowed for the calculation of representative roadside and urban increments for Nairobi (Lenschow et al., 2001). This increment data can be used in future air quality modelling to assess the likely health impact of PM pollution on Nairobi's population. The combination of the diurnal PM data with local meteorology allows for simple source apportionment of the PM. The diurnal PM concentrations tracks the Nairobi rush hours, furthermore, PM peaks when the wind comes from the direction of significant numbers of vehicles such as major roads and a Matatu stop. These facts taken together, point towards vehicle emissions being the major sources of air pollution in Nairobi, as has been previously observed in studies such as Gaita et al. 2014. The coarse PM fraction increases at roadside compared to urban background site suggesting that nonexhaust vehicle emissions make up a significant amount of the vehicle emissions.

In summary, the low cost sensors used in this study provided much useful data for assessing air quality in Nairobi at an equipment cost significantly lower than that of traditional instruments. Low cost sensors have great potential in other country settings and could be used for long term sampling if the appropriate calibrations are performed.

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