



| 1 | Long-term trends of global marine primary and secondary aerosol production |
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| 2 | during the recent global warming hiatus (2000-2015) |
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| 14 | Abstract |
| 15 | Long-term trends in global sea spray aerosol (SSA) emissions and dimethyl sulfide (DMS) fluxes |
| 16 | from sea to air during the recent global warming hiatus (2000-2015) were analyzed using satellite |
| 17 | observations and modelling data. The SSA emissions were estimated using a widely used whitecap |
| 18 | method with sea surface temperature (SST) dependence. In addition, sea-to-air DMS fluxes were also |
| 19 | used to quantify the secondary contributions of DMS through its sequential oxidation and gas-to-particle |
| 20 | conversion. Aerosol optical depth (AOD) was estimated by an aerosol optical model using the number |
| 21 | concentration of SSA and non-sea-salt sulfate from DMS. The estimated AOD, which was derived from |
| 22 | the SSA and DMS emitted from the sea surface, was compared with satellite-derived AOD to quantify |
| 23 | its (primary and secondary) contribution to atmospheric aerosol loading (i.e., observed AOD). Yearly |
| 24 | global mean anomalies in DMS fluxes and AOD derived from SSA showed statistically significant |
| 25 | downward trends during the recent global warming hiatus, whereas SSA emissions and AOD derived |

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- from DMS oxidation did not. In terms of regional trends, the decreases in SSA emissions during 2000-26 2015 occurred over the central Pacific Ocean, the Indian Ocean, and the Caribbean Sea, whereas upward 27 trends in SSA emissions occurred over the tropical southeastern Pacific Ocean, the Southern Ocean, and 28 29 the North Atlantic Ocean. DMS fluxes during the study period showed a clear downward trend over 30 most regions of the global ocean. The estimates of the contributions of SSA (primary) and DMS 31 (secondary) to atmospheric aerosol loading were 23-62% and 26-38%, respectively, with the largest primary contribution (~90%) over the Southern Ocean. 32 33 34 Keywords: Sea spray aerosol, DMS, Trend, MODIS AOD, OPAC
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36 1. Introduction

37 Over the past few decades, the Earth's climate system has undergone substantial changes due to 38 increases in atmospheric concentrations of greenhouse gases (GHGs) and aerosols from anthropogenic sources (IPCC, 2013). It is certain that the increase in GHG concentrations has, since the late 19th 39 40 century, caused the global mean surface air temperature (SAT) to rise, with a warming of 0.85°C from 1880 to 2012 (IPCC, 2013). However, the rate of warming (0.05°C per decade) over the last 15 years 41 (1998-2012) was smaller than that (0.12°C per decade) calculated for 1951-2012 (IPCC, 2013). 42 According to a recent study (England et al., 2014), the global mean SAT has remained flat since around 43 2001, reflecting a hiatus in global warming, possibly due to increased subsurface ocean heat uptake, the 44 45 cool sea surface temperature (SST) in the eastern Pacific, and changes in atmospheric water vapor and 46 aerosols.

47 Excluding black carbon, atmospheric aerosols act to counter the global warming effects of GHGs and play an important role in the Earth's climate system due to their direct and indirect effects on the 48 Earth's radiative balance (IPCC, 2013). Marine aerosols, one of the most important natural aerosol 49 50 systems, includes sea spray aerosol (SSA) that is formed at the sea surface primarily through the breaking of waves via bubble bursting (Blanchard, 1963) and, at elevated wind speeds, the direct tearing 51 of wave crests (Monahan et al., 1986). In addition, the non-sea-salt (NSS) sulfate (SO_4^{2-}) fraction of 52 marine aerosol is produced by the gas-to-particle conversion of dimethyl sulfide (DMS), emitted from 53 54 the sea surface in the marine boundary layer (Saltzman et al., 1986). Global emissions of natural SSA $(2,200 \text{ to } 118,000 \text{ Tg yr}^{-1})$ are significantly larger than those of anthropogenic aerosols $(111 \text{ Tg yr}^{-1} \text{ in }$ 55 56 2010, Klimont et al., 2017), despite their large uncertainty, which is due to the difficulty of 57 parameterizing SSA emissions (de Leeuw et al., 2011 and references therein).

Interestingly, the variations in SSA emissions over the tropical Pacific Ocean were affected by changing sea surface wind (SSW) and SST associated with the El Niño-Southern Oscillation (ENSO) variability and were highly correlated with changes in aerosol optical depth (AOD) (Yang et al., 2016). A significant influence of oceanic DMS emissions on cloud condensation nuclei (CCN) concentrations was reported from November–April, whereas a strong influence of SSA emissions on CCN was reported





during austral winter over the remote Southern Ocean (Korhonen et al., 2008). Strong coupling between
annual cycles of methanesulfonic acid, which is produced by the oxidation of DMS, CCN, and satellite-

derived AOD, was also observed at Gape Grim (40°41′S, 144°41′E) (Gabric et al., 2002).

The atmospheric aerosol mass loading from natural and anthropogenic sources can be represented 66 67 by the AOD, which is the degree to which aerosols prevent the transmission of light by absorption or scattering of radiation (i.e., the integrated extinction coefficient over the atmospheric column). Thus, 68 69 concentrations/emissions (or production) of aerosols of marine origin (e.g., SSA and NSS-SO4²⁻), and 70 anthropogenic aerosols, may be related closely to AOD observations. A number of studies on aerosols 71 related to climate change have focused on issues related to radiative forcing by changes in anthropogenic 72 aerosol emissions (e.g., nitrate, sulfate, mineral, dust, organic carbon, and black carbon) (IPCC, 2013). Global anthropogenic emissions of particulate matter (PM₁₀) increased from 56974 Gg yr⁻¹ in 2000 to 73 74 60651 Gg yr⁻¹ in 2010 (6.5% increase) (Klimont et al., 2017). However, an understanding of the long-75 term variation in the production of natural marine aerosols, as well as their primary and secondary 76 contributions to atmospheric aerosol loadings (e.g., AOD) in the marine atmosphere, is limited. Thus, 77 the aim of this study was to analyze the long-term trends in global SSA emissions and DMS fluxes 78 during the recent global warming hiatus period (2000-2015). In addition, we evaluated the long-term 79 variations of separate aerosol loadings derived from primary (SSA) and secondary (DMS oxidation) 80 marine aerosols. Finally, we estimated their contributions to satellite-observed AOD.

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82 2. Materials and methods

83 2.1 Estimation of SSA emissions

To examine the long-term trend in global marine aerosol fluxes and its contribution to atmospheric aerosol loading, including indirect contributions, it was necessary to estimate SSA emissions and seato-air DMS fluxes over the global ocean. The emission strength of SSA has been parameterized from semi-empirical combinations of whitecap factorization and concentration measurements (Monahan et al., 1986; Smith and Harrison, 1998; Gong, 2003; Clarke et al., 2006). In the literature, SSA source functions of Monahan et al. (1986) and Gong (2003) have been widely used in the estimation of global SSA emissions. Other parameters, including SST, wave height, and salinity, have been known to affect





- 91 SSA emission processes such as initial air entrainment, buoyancy of air bubbles, and bubble size spectra,
- 92 which are partially responsible for the discrepancies between different studies (Mårtensson et al., 2003;
- 93 Ovadnevaite et al., 2014; Salter et al., 2014; Grythe et al., 2014; de Leeuw et al., 2011; Jaeglé et al.,
- 94 2011).
- 95 In this work, size-dependent SSA emissions were estimated using the parameterization of Gong

 $A_{\rm SST} = 0.3 + 0.1T - 0.0076T^2 + 0.00021T^3$

96 (2003), with the third-order SST dependence from Jaeglé et al. (2011):

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$$\frac{dF}{dr_{80}} = A_{SST} 1.373 U_{10}^{3.41} r_{80}^{-B} (1 + 0.057 r_{80}^{3.45}) \times 10^{6}$$

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99 $B = 4.7(1 + \theta_{r_{80}})^{-0.017r_{80}^{-1.44}}$

100
$$C = 1.607 \exp\left(-\left[\frac{0.433 - \log r_{80}}{0.433}\right]^2\right)$$

101 where dF/dr_{80} (m⁻²s⁻¹) is the SSA number flux, r_{80} (µm) is the aerosol radius at 80% relative humidity, which is taken as a typical value in the marine boundary layer, U_{10} (m s⁻¹) is the wind speed at 10 m, 102 and θ (30) is an adjustable shape parameter that controls the submicron size distribution. Monthly U_{10} 103 104 datasets at 25-km spatial resolution were obtained from the Quick Scatterometer (QuikSCAT) satellite 105 for 2000-2007, and the Advanced Scatterometer (ASCAT) for 2008-2015, due to sensor failure or malfunction of the QuikSCAT. Monthly SST (T) datasets at 9-km spatial resolution were obtained from 106 107 the NOAA Advanced Very High Resolution Radiometer (AVHRR) satellite for 2000-2002 and Aqua 108 Moderate Resolution Imaging Spectroradiometer (MODIS) for 2003-2015, due to the unavailability of MODIS data for the first 3 years. 109

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111 2.2 Estimation of DMS fluxes

112 Sea-to-air fluxes of DMS can be computed as the product of surface seawater DMS concentrations 113 and the gas transfer velocity at the air-sea interface (Gabric et al., 2004; Archer et al., 2010). The basic 114 equation used to estimate the DMS flux (F_{DMS} : µmol m⁻² day⁻¹) is expressed as 115 $F_{DMS} = k_w [DMS]_{aq}$





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| 117 | (nM) in the seawater. In this study, monthly mean DMS fluxes over the global ocean during 2000–2015 |
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| 118 | were estimated using satellite-based data (e.g., chlorophyll a (Chl-a), SSW, and SST) and model- |
| 119 | predicted mixed layer depths (MLDs). The [DMS] _{aq} in the global ocean was estimated using an empirical |
| 120 | algorithm constructed with Chl-a concentrations (mg m^{-3}) and MLDs (m) using the following equations |
| 121 | (Kettle et al., 1999; Simó and Dachs, 2002; Cropp et al., 2004; Gabric et al., 2004): |
| 122 | $[DMS]_{aq} = -Ln(MLD) + 5.7$ Chl-a/MLD < 0.02 |
| 123 | $[DMS]_{aq} = 55.8(Chl-a/MLD) + 0.6$ Chl-a/MLD ≥ 0.02 |
| 124 | where the monthly Chl-a concentration was obtained from the Sea-Viewing Wide Field-of-View Sensor |
| 125 | (SeaWiFS) for 2000–2002 and Aqua MODIS data for 2003–2015, at 9-km spatial resolution. In addition, |
| 126 | the monthly MLD (1/6° resolution) in the global ocean was derived separately from several model- |
| 127 | predicted datasets due to limited satellite data availability: Simple Ocean Data Assimilation (SODA) for |
| 128 | 2000-2004, the Fleet Numerical Meteorology and Oceanography Center (FNMOC) for July 2005 to |
| 129 | December 2008, and HYbrid Coordinate Ocean Model (HYCOM) data for 2009–2015. |
| 130 | In general, the gas transfer velocity from sea to air (k_w) is parameterized with wind speed (SSW) |
| 131 | and molecular diffusivity (Schmidt number). Two types of parameterizations. Liss and Merlivat (1986). |

where k_w is the sea-to-air transfer velocity (m s⁻¹) and [DMS]_{aq} represents surface DMS concentrations

and molecular diffusivity (Schmidt number). Two types of parameterizations, Liss and Merlivat (1986) 131 132 and Wanninkhof (1992), are used commonly in the literature. The former parameterizes the transfer 133 velocity for three regimes of wind speed separately with a first-order equation (Liss and Merlivat, 1986), while the latter uses a second-order equation (Wanninkhof, 1992). In this study, the Liss and Merlivat 134 135 (1986) approach was used to calculate DMS fluxes during the study period. We used the dependence of the Schmidt number (for DMS flux) on SST by Saltzman et al. (1993). Descriptions of the SST and 136 SSW data are discussed in section 2.1. To match the resolution (25 km) of the SSW data, the Chl-a and 137 SST data (9-km resolution) and the MLD data ($1/6^{\circ}$ resolution) were converted into 25-km resolution 138 data using MATLAB and Fortran. Detailed information on the calculation of transfer velocities and the 139 140 associated DMS fluxes has been described in previous studies (Liss and Merlivat, 1986; Wanninkhof, 1992; Cropp et al., 2004; Gabric et al., 2004; Kettle and Merchant, 2005). 141

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143 2.3 Estimation of the contributions of marine aerosols to atmospheric aerosol loading

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The contributions of primary SSAs, emitted from the sea surface, and secondary NSS-SO₄^{2–} aerosols, produced by DMS oxidation, to atmospheric aerosol loadings over the marine atmosphere were estimated using the calculation of the AOD derived from both aerosol types. AOD (or AOD_{SSA}) derived from SSA emission was calculated using the aerosol size distribution over the ocean described by Lewis and Schwartz (2004), which was parameterized with U_{10} :

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$$\frac{dN}{d\log r_{80}} = 0.07U_{10}^{2} \exp\left(-\frac{1}{2}\left[\frac{\ln r_{80} - \ln r_{i}}{\ln \sigma_{i}}\right]^{2}\right)$$

where $dN/d\log r_{80}$ (cm⁻³) is the size distribution at a relative humidity of 80%, r_i is the count median 150 particle radius (0.21 µm), σ_i is a geometric standard deviation (2.03), and r_{80} is in the range 0.07–20 µm. 151 152 The count median radius and geometric standard derivation were calculated by fitting the size 153 distribution of SSA emission derived from the Gong (2003) parameterization. The Optical Properties of 154 Aerosols and Clouds (OPAC) model was used to calculate the AOD. Detailed information on the OPAC 155 is given by Hess et al. (1998). In brief, the OPAC model provides the microphysical and optical 156 properties of aerosols and clouds in the solar and terrestrial spectral range (e.g., 61 wavelengths of 0.25– 157 40 µm for aerosols and water clouds). The optical properties of aerosol particles and cloud droplets were 158 modeled using Mie theory, assuming spherical particles (Quenzel and Müller, 1978).

AOD (or AOD_{DMS}) derived from the secondary marine aerosol (e.g., NSS-SO₄²⁻) was estimated 159 160 using the default condition for the clean maritime aerosol type (water-soluble part originating from gas-161 to-particle conversion) in the OPAC model of Hess et al. (1998), with consideration of a weighting factor based on the global distribution of DMS fluxes. For example, the default total number 162 163 concentration was assigned to the global mean DMS flux. For this simulation, a lognormal size 164 distribution was assumed, with a count median radius of 0.0212 µm, a geometric standard deviation of 2.24, and total number concentrations of 1500 cm^{-3} (for the water-soluble part of the clean maritime 165 aerosol type). An observation or the SO₄²⁻ number concentration in the accumulation mode over the 166 Arabian Sea indicated that it reached a maximum value of 1800 cm⁻³ (Verma et al., 2012). Given that 167 168 our total number concentration is in the range of previously observed values, the value assigned is 169 reasonable for the estimation of AOD_{DMS}. Finally, the AOD_{SSA} and AOD_{DMS} simulated by the OPAC





- 170 model were compared with MODIS satellite-based observations of AOD, to quantify the primary and
- 171 secondary contributions, respectively.
- 172

173 3. Results and Discussion

174 3.1 Long-term trends and distributions of global SSA emissions and DMS fluxes

Figures 1 and 2 show the geographical distribution of the long-term annual trends and anomalies 175 176 in the annual means for SSA emissions, DMS fluxes, SSW, and SST over the global ocean from January 177 2000 to December 2015. There was no statistically significant global annual trend in SSA emissions for 178 2000-2015 (Fig. 2). However, the long-term trends in SSA emissions did exhibit regional differences 179 during the study period. An upward trend in SSA emissions occurred over the tropical southeastern Pacific Ocean (especially at 5°N–23°S and 70°W–110°W), the northeastern Pacific (15°N–30°N, 180 120°W-140°W), the Southern Ocean (40°S-60°S), and the North Atlantic Ocean (45°N-60°N, 0°-181 182 60° W). The maximum and mean slopes in the tropical southeastern Pacific Ocean were +0.03 and +0.01183 g m⁻² yr⁻¹, respectively. A downward trend in SSA emissions occurred over the tropical Pacific Ocean, the Indian Ocean, and the Caribbean Sea, with mean slopes of -0.012, -0.013, and -0.0099 g m⁻² yr⁻¹, 184 185 respectively. In contrast to SSA emissions, there was a statistically significant downward trend (-10.2 $\mu g m^{-2} yr^{-1}$, $R^2 = 0.68$) in global DMS fluxes during the study period (Fig. 2). In addition, DMS fluxes 186 for 2000-2015 exhibited a clear downward trend over most oceans, except for the slight upward trend 187 over the tropical South Pacific Ocean. 188

189 In general, the geographical distributions of the long-term trends in SSA emissions and DMS fluxes were similar to that of SSW, but are somewhat different from those of SST, Chl-a, and DMS 190 191 concentrations, due to their strong dependences on wind speed (i.e., $U_{10}^{3.41}$ for SSA emissions and U_{10} 192 for DMS fluxes). Thus, the long-term SST trend did not significantly affect SSA emissions and DMS 193 fluxes. The global average SST has remained mostly steady since 2001, with little regional variation, despite ongoing increases in atmospheric GHGs (IPCC, 2013). Two of the most recent extended hiatus 194 195 periods (1940–1975 and 2001–present) correspond closely to the negative phase of the Interdecadal 196 Pacific Oscillation (IPO), which manifests as a low-frequency El Niño pattern of climate variability with





a cool tropical Pacific (Folland, et al., 2002; England et al., 2014). England et al. (2014) suggested that
a key component of the most recent global warming hiatus was the cool eastern Pacific SST, due to the
strengthening of Pacific trade winds over the past two decades, which causes an increase in equatorial
upwelling, a decrease in SST, and a substantial slowdown in surface warming through increased
subsurface ocean heat uptake.

The downward trend in SSA emissions (with a mean of $-12 \text{ mg m}^{-2} \text{ yr}^{-1}$) over the tropical Pacific 202 Ocean (10°N–5°S and 180°W–120°W) was related strongly to the downward trend in SSW (-0.057 m 203 s⁻¹), whereas the downward trend in DMS fluxes (-7.16 μ mol m⁻² yr⁻¹) over this region was not 204 205 significant due to the combination of its weak SSW dependence (gas transfer velocity) and the 206 compensating effect of increasing seawater DMS concentrations (+0.021 nM). The downward trend in 207 DMS fluxes over this region was caused mainly by the downward trend in SSW (e.g., k_w). Yang et al. 208 (2016) found that variations in sea salt emissions over the tropical Pacific Ocean were affected by 209 changing wind speeds associated with ENSO variability. A recent satellite chlorophyll record indicated 210 that trends in ocean chlorophyll (e.g., DMS) from September 1997 to December 2012 were upward 211 $(0.54-0.72\% \text{ yr}^{-1})$ in this region (Hammond et al., 2017).

212 The long-term annual trends (or slopes) in SSA emissions and DMS fluxes were examined using 213 the non-parametric statistical method of Mann-Kendall (hereinafter MK), through which monotonic 214 trends (either increases or decreases) were evaluated along with Sen's non-parametric method for estimating the slope of a linear trend (Table 1 and Supplementary Table 1, respectively) (Simmonds, et 215 216 al., 2004; Carslaw, 2005; Anttila and Tuoviene, 2010). In general, the statistically significant long-term trends in SSA emissions for the latitude bands (10° interval between 0° and 60°) over the global ocean 217 218 for 2000–2015 were dependent on their geographic locations (Table 1). Clear upward and downward trends in annual SSA emissions were calculated in the Northern Hemisphere (NH). In the NH, an upward 219 trend in SSA emissions (+0.074 mg m⁻² yr⁻¹ or 2.2% yr⁻¹) was calculated for the high latitudes (50°N– 220 60°N), whereas downward trends (-0.070 to -0.23 mg m⁻² yr⁻¹ or -0.6 to -1.7% yr⁻¹) were calculated 221 for latitudes below 40°N. In the Southern Hemisphere (SH), an upward trend (+0.15 mg m⁻² yr⁻¹ or 1.6% 222 223 yr^{-1}) was calculated for the latitude band 50°S–60°S only. In addition, the yearly downward trends of





224 DMS fluxes at most latitudes in the NH and SH ranged from -4.7 to -13.1 (or -0.49 to -3.2% yr⁻¹) and -5.8 to -18.6μ mol m⁻² yr⁻¹ (or -1.1 to -4.2% yr⁻¹), respectively (Supplementary Table 1). 225 226 Long-term trends in SSA emissions for each latitude band in both the NH and the SH differed by 227 season (Table 1). In the NH, most downward trends in SSA emissions (-3.48 to $-24.3 \ \mu g \ m^{-2} \ yr^{-1}$ or -1.06 to -3.57% yr⁻¹) occurred at latitudes below 40°N during summer (JJA) and fall (SON), whereas 228 229 clear upward trends were calculated in the latitude bands of 20°N-30°N during winter (DJF) (+0.27 mg $m^{-2} yr^{-1}$ or 3.5% yr^{-1}) and 50°N-60°N during the spring (MAM) (+0.064 mg m⁻² yr⁻¹ or 5.5% yr⁻¹) and 230 DJF (+0.47 mg m⁻² yr⁻¹ or 17.4% yr⁻¹). In the SH, however, the areas with statistically significant trends 231 232 in SSA emissions were restricted to the specific latitude band for each season. Upward trends were predicted in the latitude bands of $10^{\circ}\text{S}-20^{\circ}\text{S}$ (+0.32 mg m⁻² yr⁻¹ or 1.1% yr⁻¹) during JJA and $50^{\circ}\text{S}-20^{\circ}\text{S}$ 233 60° S (+0.32 mg m⁻² yr⁻¹ or 4.9% yr⁻¹) during SON, whereas downward trends were predicted in the 234 235 latitude bands of 0° S-20°S (-0.08 to 0.18 mg m⁻² yr⁻¹ or -0.6 to -0.8% yr⁻¹) during MAM, 30° S-40°S (-0.11 mg m⁻² yr⁻¹ or -2.2% yr⁻¹) during JJA, and 0°S-10°S during DJF (-0.09 mg m⁻² yr⁻¹ or -0.7% 236 yr^{-1}). 237

In contrast to the varying SSA emission trends among latitudinal bands, DMS fluxes exhibited a 238 downward trend globally (Supplementary Table 1, Choi, et al., 2017). A detailed discussion of the DMS 239 flux trends is given by Choi et al. (2017). In the NH, the downward trends (-3.65 to -23.4 µmol m⁻² 240 yr^{-1} or -0.4 to -8.4% yr^{-1}) were predicted for latitudes below 50°N, regardless of season (except for 241 DJF ($-2.92 \ \mu mol \ m^{-2} \ yr^{-1} \ or \ -0.4\% \ yr^{-1}$) at 50°N–60°N). In the SH, the downward trend ($-9.86 \ \mu mol$ 242 m⁻² yr⁻¹ or -1.3% yr⁻¹) in the tropics was predicted during SON only. The relative decreases during DJF 243 $(-2.73 \text{ to } -8.4\% \text{ yr}^{-1})$ were significantly faster than those during other seasons $(-0.51 \text{ to } -2.0\% \text{ yr}^{-1})$ 244 due to large reductions in DMS concentrations (0.60 to $69\% \text{ yr}^{-1}$). 245

Zone-averaged distributions of SSA emissions, DMS fluxes, SSW, and SST were calculated for 2000–2015 (Supplementary Fig. 1). In the NH, annual SSA emissions were highest over the latitude band 10°N–20°N (25 mg m⁻² yr⁻¹) because this region had a high wind speed (5.9 m s⁻¹) and the second highest SST (27.0°C). For DMS fluxes, annual patterns in both the NH and the SH were generally higher at low latitudes than at mid-latitudes (except for 40°S–60°S), due primarily to the high SSW (\geq 6.0 m





251 s⁻¹) and, in part, the high SST (\geq 26°C). Our global mean DMS fluxes ranged from 12.1 Tg yr⁻¹ (2000) 252 to 10.7 Tg yr⁻¹ (2015), which are similar to those derived from DMS measurements during 1972-1998(15.0 Tg yr⁻¹, Kettle and Andreae, 2000) and during 1972–2009 (17.6 Tg yr⁻¹, Lana et al., 2011). The 253 254 distribution of monthly mean wind speeds over the sea surface for 2015 was dominated by the range 4-255 8 m s⁻¹, accounting for 50% of the entire wind speed range. The most frequent oceanic wind speed range 256 was 5–7 m s⁻¹ (U₁₀, 3 h, $1^{\circ} \times 1^{\circ}$) with a long-tailed distribution towards higher wind speeds (Grythe et al., 2014). SSA emissions (9.6–25 mg m⁻² yr⁻¹) at low latitudes (0°N–30°N) were significantly higher 257 than those (1.8–3.2 mg m⁻² yr⁻¹) at mid-latitudes (40°N–60°N) due to high wind speeds and high SST. 258 259 On average, wind speeds $(4.6-5.9 \text{ m s}^{-1})$ at low latitudes were a factor of 1.6 higher than those (3.0-3.6 m)m s⁻¹) at mid-latitudes, while surface temperatures ($24.5^{\circ}C-27.7^{\circ}C$) at low latitudes were a factor of 2.0 260 higher than those (8.1°C-19.9°C) at mid-latitudes. 261

262 In both the NH and the SH, there were no significant differences in seasonal SSA emissions for the 263 mid latitudes $(30^{\circ}-60^{\circ})$ during 2000–2015 (data not shown). However, there were large differences in seasonal SSA emissions at low latitudes (30°N-30°S). For instance, winter SSA emissions (32 and 31 264 mg m⁻² yr⁻¹ for the NH and SH, respectively) were highest in the 10° -20° latitude band of both 265 266 hemispheres due to the high winter wind speeds in this region, whereas the lowest SSA emissions for the NH were predicted at 50°N–60°N during JJA (0.7 mg m⁻² yr⁻¹), and at 30°S–40°S (2.1 mg m⁻² yr⁻¹) 267 during SON. There was no significant difference in annual mean SSA emissions between the NH (9.9 268 mg m⁻² yr⁻¹) and the SH (11.6 mg m⁻² yr⁻¹). Monthly variations in SSA emissions in the NH exhibited 269 270 two distinct peaks, in June and January or December at 0°-20°N, whereas there was a peak in July at 271 0°–20°S (data not shown).

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273 3.2. Long-term trends and the distribution of AOD_{SSA} and AOD_{DMS}

AODs over the ocean are affected by direct SSA emissions and the chemical transformation of
DMS emitted from the sea surface, the long-range transport of aerosols from continental regions,
anthropogenic aerosols derived from ships, and dry and wet deposition. Figure 3 shows the long-term
trends in AODs (τ₅₅₀) derived from SSA emissions (AOD_{SSA}) and DMS fluxes (AOD_{DMS}) during 2000–





278 2015. In general, the trends in AOD_{SSA} and SSA emissions were similar. Interestingly, the intensity of 279 annual trends in AOD_{SSA} over the ocean was significantly different from that of SSA emissions, with a 280 decreasing AOD trend. AOD is a function of the aerosol number concentrations, size distribution, and chemical composition and is less affected by the mass concentration of aerosols. The aerosol mass 281 282 concentration is governed entirely by coarse particles (>1 µm), whereas the aerosol number 283 concentration is dominated by fine particles (<1 µm). Thus, the trends of AOD_{SSA} were weak compared 284 with those of SSA emissions (mass-based). Unlike the trend in SSA emissions, a statistically significant strong upward trend in AOD_{SSA} (+0.011 or 33.6% yr⁻¹) was predicted over the Sea of Okhotsk. In 285 286 contrast to AOD_{SSA}, the AOD_{DMS} slopes were higher due to increases in DMS concentrations in seawater, 287 especially in the tropics (+0.0009 or 1.48% yr⁻¹). Upward trends extended into the tropical Atlantic and 288 Indian Oceans, similar to the distribution of DMS concentrations in seawater (Fig. 1).

In addition, a sensitivity study was conducted to explore the effects of wind speed (U_{10}) and/or an adjustment factor (Θ) on SSA emission and AOD_{SSA}. The sensitivity study revealed that a ±25% change in U_{10} gave a +114/-63% change in annual SSA emission rates and a +20/-16% change in AOD_{SSA}. The effects of changing the adjustment factor on the SSA emission rates and AOD were negligible (0.7% change in SSA emission after decreasing Θ from 30 to 8). Changing the default aerosol number concentration (1500 cm⁻³) by 50% resulted in a 30% change in AOD_{DMS}. Finally, a 50% change in DMS flux resulted in a 30% change in AOD_{DMS}.

296 To compare the trends in natural marine AOD and total AOD (anthropogenic and natural), we 297 analyzed the AOD (τ_{550}) trends derived from both SSA and DMS (AOD_{SSA+DMS}) and satellite-based 298 MODIS AOD (7550) during 2003-2015, as MODIS AOD data were not available for 2000-2002 (Fig. 3 299 and Supplementary Table 2). In general, the geographical (spatial) distributions of the downward or 300 upward trends in AOD_{SSA+DMS}, which were governed mainly by AOD_{DMS}, were found to differ from 301 those of MODIS AOD. In general, MODIS AOD showed upward trends (with a mean of +0.0007 or +0.74% yr⁻¹) across the whole SH, whereas there were downward trends over most areas of the NH, 302 except for near the Arabian Sea and the Bay of Bengal (10°N–20°N, 60°E–90°E), where a maximum of 303 304 +0.0194 or 3.51% yr⁻¹ was observed. Similar upward trends over the Bay of Bengal (0.07 per decade)





305 and the Arabian Sea were observed by Terra MODIS and the Multi-angle Imaging Spectroradiometer 306 (MISR) during 2000–2009 (Zhang and Reid, 2010). The significant upward trend over the Indian Ocean 307 is likely related to increases in anthropogenic emissions from India (e.g., the 0.7% yr⁻¹ increase in PM₁ 308 during 2005–2010, Klimont et al., 2017). When compared to AOD_{SSA+DMS}, MODIS AOD trends in the 309 northern tropical Pacific Ocean were generally reversed (i.e., downward), especially near the western Pacific Ocean, mainly due to recent decreases in anthropogenic emissions of PM₁₀ from China because 310 311 of strict emissions regulation policies during 2000–2010 (-0.11% yr⁻¹ decrease from 11.73 to 11.61 Tg yr^{-1} , Klimont et al., 2017). 312

In contrast to AOD_{SSA+DMS}, the weak upward trend in MODIS AOD over the SH suggests that 313 314 anthropogenic effects were also non-negligible in the less polluted remote marine atmosphere of the SH (Fig. 3). In the SH, the transport of biomass burning (forest and savannah fires) aerosols may have 315 316 affected aerosol loading over the oceans, as biomass burning accounted for 44% of total global PM 317 emissions in 2010 (Klimont et al., 2017). Emissions from fires in South America, southern Africa, and 318 Australia accounted for 52% of global biomass burning emissions during 2003–2008 (Kaiser et al., 2012) and, in addition, fire emissions from South America increased during 2000-2004 (van der Werf et al., 319 320 2006). Carbon emissions from fires in southern Africa showed upward annual trends from 2003 (500 Tg C) to 2010 (575 Tg C), suggesting a significant contribution of aerosols of continental origin to AOD 321 322 over the ocean in the SH (Kaiser et al., 2012). Meanwhile, the impact of ship emissions in the SH on 323 AOD was negligible because ship tracks (vessel densities) were concentrated over the Northern Pacific 324 and Northern Atlantic Oceans (Schreier, et al. 2007).

Table 2 presents the yearly and seasonal trends in $AOD_{SSA+DMS}$ for each latitude band from January 2003 to December 2015. The annual $AOD_{SSA+DMS}$ over the ocean exhibited statistically significant downward trends at 0°–10°N (–0.70% yr⁻¹), 30°N–40°N (–0.56% yr⁻¹), and 40°S–50°S (–0.66% yr⁻¹), and upward trends at 10°–20° in both the NH (0.21% yr⁻¹) and the SH (0.41% yr⁻¹). The trends exhibited distinct seasonal differences. A strong upward trend (1.35% yr⁻¹) was predicted at 10°S–20°S in JJA, whereas a strong downward trend was predicted at 0°–10°N in MAM. In general, however, $AOD_{SSA+DMS}$ showed negligible seasonal trends over large areas.





332 Temporal variations in AOD_{SSA}, AOD_{DMS}, and A_{ODSSA+DMS} during the study period (2000–2015) 333 are given in Supplementary Fig. 2 and Supplementary Tables 3 and 4. In the NH, AOD_{SSA} was highest 334 over the latitude band of 10°N-20°N (0.032) and lowest at 30°N-40°N (0.023) and was related to the 335 distribution of SSA emissions in these regions. The AOD_{SSA+DMS} in both the NH and SH were highest in the latitude band of 10°-20° (0.090-0.112 for the NH and 0.087-0.110 for the SH), regardless of 336 337 season. The AOD_{SSA+DMS} (0.10) was highest over the same area as AOD_{SSA}, while its lowest value (0.05) 338 occurred at 50°N–60°N (Supplementary Table 4). This minimum was a result of the relatively low 339 AOD_{DMS} (0.026) at 50°N–60°N, caused by the low sea-to-air DMS flux. Meanwhile, MODIS AOD 340 (0.192) at 0°-10°N during DJF was significantly higher than those (0.067-0.149) at 20°N-60°N by a factor of 1.7, while that (0.164) at 0° -10°S during JJA was higher than those (0.085–0.091) at 20°S– 341 60°S by a factor of 2.8 (Supplementary Table 5). This may be related to summer biomass burning, such 342 343 as forest and savannah fires in North Africa ($0^{\circ}-30^{\circ}N$) and southern Africa ($0^{\circ}-35^{\circ}S$), respectively⁴². The highest MODIS AOD was observed at 10°N-20°N during JJA, probably due to natural marine 344 345 sources as the highest AOD_{SSA+DMS} was predicted for the same area. In addition, natural marine AODs (i.e., AOD_{SSA+DMS}) at low latitudes were generally higher than those at mid-latitudes. MODIS AOD in 346 347 the latitude bands of 20°N-40°N (with a mean of 0.18) seemed to be affected by the transport of anthropogenic PM emitted from China and South Asia (which accounted for 35% of global PM 348 emissions in 2010, Klimont et al., 2017), especially over the western Pacific Ocean. 349

350 Indirect estimation of the contribution of SSA emissions (AOD_{SSA}) to atmospheric aerosol loading 351 (MODIS AOD) over the marine atmosphere was also carried out in this study (Fig. 4 and Supplementary 352 Table 6). In general, the contribution of AOD_{SSA} exhibited a gradual decrease over most oceans from 353 2003 to 2015. The contribution of AOD_{SSA} (43%) in the SH during 2003–2015 was significantly higher than that (27%) in the NH. For example, the contributions of SSA to MODIS AOD in the SH increased 354 355 from 28% at 0°-10°S to 62% at 50S°-60°S, with the largest contribution (approximately 90%) observed in the Southern Ocean, while those in the NH ranged from 23% (30°N-40°N) to 35% (50N°-60°N). 356 357 The relatively high contribution of SSA emissions (AOD_{SSA}) and total natural marine sources 358 (AOD_{SSA+DMS}) in the Southern Ocean was possibly due to the reduced impacts from anthropogenic





359 sources in continental regions. In addition, there was a significant seasonal variation in SSA 360 contributions. The SSA contributions in SON and DJF in the NH (31-38%) were higher than those in 361 MAM and JJA (20-23%), and vice versa for the SH (47-55% in MAM and JJA and 34-36% in SON and DJF). The mean total contributions of primary SSA and the secondary contributions from DMS 362 363 oxidation (AOD_{SSA+DMS}) to MODIS AOD in the NH and SH were 60 and 86%, respectively, implying that the secondary marine source (DMS oxidation) was important for atmospheric aerosol loading 364 365 (Supplementary Table 7). In a previous study, the contribution of biogenic sulfur (DMS) in the fine 366 particle mode over the Atlantic Ocean was less than 35% of the excess sulfur in the NH (0° - 60° N), and 367 about 60% in the SH (0°-35°S, Patris et al., 2000). Our mean DMS-derived sulfate contributions to 368 atmospheric aerosol loading were similar to these values, 33% in the NH and 44% in the SH (54% for 369 0°-30°S).

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371 4. Summary and Conclusions

372 The long-term trends in the sea-to-air emissions of marine aerosols (SSAs) and their precursor 373 (DMS) during 2000–2015 were characterized using a SSA source function and an empirical DMS 374 algorithm, based on satellite observations and model simulations. Their annual trends were also analyzed with the MK test. In addition, the AODs derived from direct SSA production (i.e., AOD_{SSA}), and 375 376 secondary aerosol production by DMS oxidation (e.g., AOD_{DMS}), were evaluated using the OPAC model. 377 These AODs were then compared to satellite-derived MODIS AOD to quantify the contributions of 378 marine-based aerosols to atmospheric aerosol loading. Yearly global mean anomalies in DMS fluxes 379 and AOD derived from SSA showed statistically significant downward trends during the recent global 380 warming hiatus, whereas SSA emissions and AOD derived from DMS oxidation did not. In terms of 381 regional trends, strong increases in SSA emissions occurred over the tropical southeastern Pacific, the 382 northeastern Pacific, the North Atlantic, the Arabian Sea, and the Bay of Bengal, whereas strong downward trends occurred over the central Pacific, the Indian Ocean, and near the Caribbean Sea. On 383 384 the other hand, DMS fluxes exhibited a clear decreasing trend over most oceans from 2000 to 2015. The





trends in SSA emissions and DMS fluxes were likely caused primarily by SSW changes, suggesting
 reduced impacts of SST during the recent global warming hiatus period.

387 The yearly trends in AOD_{SSA}, AOD_{DMS}, and AOD_{SSA+DMS} were different than those of SSA 388 emissions and DMS fluxes, due to the different physical properties of AOD. In general, the geographical 389 (spatial) distributions of the downward or upward trends in AOD_{SSA+DMS} were governed mainly by AOD_{DMS}. In terms of the contributions of natural primary marine aerosols to MODIS AOD, AOD_{SSA} 390 391 over oceans during 2003–2015 accounted for approximately 28-62% (SH) and 23-35% (NH) of MODIS AOD, with the largest contribution (~90%) observed in the Southern Ocean, as this region is 392 393 less impacted by anthropogenic sources in continental regions. The significant upward (in the Indian 394 Ocean) and downward trends (near the western Pacific Ocean) in MODIS AOD are likely related to increasing and decreasing anthropogenic PM emissions from India and China, respectively. In addition, 395 396 the long-range transport of biomass burning aerosols from forest and savannah fires, which are 397 concentrated in North Africa and southern Africa during summer, can significantly reduce the relative 398 contributions of marine aerosols, even in the SH. The mean contributions of total marine aerosols, 399 including secondary production, were 60% in the NH and 87% in the SH.

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401 Acknowledgments

This work was supported by the National Research Foundation of Korea (NRF) grant funded by
the Korea government (MSIP) (2017R1A2B2003616). This research was also supported by Basic
Science Research Program through the National Research Foundation of Korea (NRF) funded by the
Ministry of Science, ICT and future Planning (2015R1A2A1A10053971).

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| Table 1. Sum | mary of Mann- | Kendall (MI | K) statistics | of annual t | rends in sea sp | ray aerosol | (SSA) emis | sions (µg m ⁻ | yr^{-1}) for each | ch latitude ł | and (10° inter | val) over th | e global oce | can during the | study period | (2000-2015) |
|---------------------------|------------------|---------------------------|---------------------------------|------------------|-----------------|-------------|------------|--------------------------|----------------------|---------------|----------------|--------------|--------------|----------------|--------------|-------------|
| Latitude | | IIA | | | l I | MAM | | | JJA | | S | NO | | I | JJF | |
| | Qª | \mathbf{p}^{p} | Avg ^c | Std ^d | 0 | d | Avg | 0 | d | Avg | 0 | d | Avg | 0 | d | Avg |
| Northern | | | | | | | | | | | | | | | | |
| Hemisphere | | | | | | | | | | | | | | | | |
| 50°-60° | 74.4 | 2.19 | 2671 | 688 | 64 | 5.53 | 1546 | 11.6 | 151 | 718 | -58.6 | -1.18 | 3245 | 465.9 | 17.43 | 5811 |
| 40°-50° | -4.5 | -0.1 | 3180 | 885 | 1.3 | 0.05 | 1957 | ĽL- | -0.63 | 1221 | -111.1 | -2.05 | 3109 | 112.8 | 1.33 | 6387 |
| 30°-40° | -69.5 | -1.7 | 3391 | 818 | -35 | -1.43 | 2002 | -39.1 | -1.06 | 3198 | 5.00- | -3.34 | 2127 | -188.8 | -2.96 | 6172 |
| 20°-30° | -29.3 | -0.28 | 9664 | 694 | 47.1 | 0.68 | 7226 | -165.2 | -122 | 12182 | -194.9 | -1.49 | 10909 | 269.4 | 3.54 | 8331 |
| 10°-20° | -161 | -0.59 | 25103 | 1584 | -100.9 | -0.39 | 23331 | -217.6 | -0.77 | 26461 | -330.5 | -15 | 18241 | L9- | -0.2 | 31998 |
| 0°-10° | -232.7 | -1.35 | 15549 | 1462 | -358.5 | -1.79 | 15873 | -194.2 | -1.11 | 16091 | -174 | -1.55 | 10371 | -132.3 | -0.65 | 19571 |
| Southern | | | | | | | | | | | | | | | | |
| Hemisphere | | | | | | | | | | | | | | | | |
| 0°-10° | -17.3 | -0.1 | 16720 | 1313 | -82.7 | -0.58 | 12757 | 19.2 | 0.08 | 24169 | -18.7 | -0.11 | 17237 | -94.1 | -0.74 | 12597 |
| 10°-20° | 53.7 | 0.25 | 22253 | 1564 | -177.3 | -0.78 | 20129 | 324.9 | 1.1 | 30813 | 87.5 | 0.41 | 23755 | -25.6 | -0.19 | 14241 |
| 20°-30° | -30.8 | -0.3 | 8828 | 1014 | 44.3 | -0.3 | 10240 | -3.3 | -0.05 | 5972 | -39.5 | -0.57 | 7330 | 5.8 | 0.05 | 11575 |
| 30°-40° | -42.4 | -1.33 | 2748 | 448 | -24.8 | -1.35 | 1973 | -107.7 | -2.23 | 3847 | -14 | -0.42 | 2533 | -32.5 | -1.13 | 2637 |
| 40°-50° | 13.6 | 0.14 | 9431 | 946 | -22.4 | -0.22 | 8897 | -15.2 | -0.14 | 9922 | 112.5 | 1.18 | 9885 | -82.2 | -0.81 | 8992 |
| 50°-60° | 154.1 | 1.61 | 0096 | 1653 | 123.9 | 0.79 | 11487 | 152.6 | 2.23 | 8142 | 318.6 | 4.94 | 10187 | 137.8 | 2.1 | 9249 |
| ^a Q is a slope | of long-term tre | and calculate | d using the 1 | non-param | etric Sen's me | thod. | | | | | | | | | | |

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^b %/yr.

° Average.

¹ Standard deviation.

Values in bold are statistically significant with 90% confidence level.

Atmos. Chem. Phys. Discuss., https://doi.org/10.5194/acp-2018-322 Manuscript under review for journal Atmos. Chem. Phys. Discussion started: 2 May 2018

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| | e | | | | | | | | | | | | | | 107 | |
|---------------------|--------|----------------|------------------|------------------|-----------|-------|--------|-----------|-------|--------|-----------|-------|--------|-----------|-------|--------|
| | ۵ª | p [,] | Avg ^c | Std ^d | 0 | d | Avg | 0 | b | Avg | б | þ | Avg | δ | d | Avg |
| Northern | | | | | | | | | | | | | | | | |
| emisphere | | | | | | | | | | | | | | | | |
| 50°-60° -4. | 10E-05 | -0.09 | 0.0497 | 0.003 | 1.40E-04 | 0.31 | 0.0449 | -6.20E-05 | -0.14 | 0.0456 | -4.20E-04 | -0.84 | 0.0572 | 7.70E-04 | 1.56 | 0.0562 |
| 40°-50° {-2. | 30E-04 | -0.45 | 0.051 | 0.0023 | 1.90E-05 | 0.04 | 0.0459 | -2.20E-04 | -0.45 | 0.0471 | -3.10E-04 | -0.6 | 0.0526 | -9.50E-05 | -0.16 | 0.0569 |
| 30°-40° -3 . | 0E-04* | -0.56 | 0.0519 | 0.0024 | -1.10E-04 | -0.24 | 0.0458 | -2.50E-04 | -0.43 | 0.0553 | -5.00E-04 | -0.98 | 0.0482 | -1.50E-04 | -0.24 | 0.0569 |
| 20°-30° -3. | 80E-05 | -0.05 | 0.0737 | 0.0029 | 2.40E-04 | 0.36 | 0.0679 | -4.80E-04 | -0.53 | 0.0843 | -2.00E-04 | -0.27 | 0.0758 | 5.60E-04 | 0.99 | 0.066 |
| 10°-20° 2.1 | 10E-04 | 0.21 | 0.1008 | 0.0021 | 4.10E-04 | 0.4 | 0.1023 | -2.30E-04 | -0.21 | 0.1035 | -9.30E-05 | -0.1 | 0.0902 | 3.40E-04 | 0.32 | 0.1123 |
| 0°-10° -6. | 00E-04 | -0.7 | 0.0854 | 0.004 | -9.00E-04 | -1.09 | 0.0821 | -7.10E-04 | -0.83 | 0.0873 | -4.80E-04 | -0.64 | 0.076 | -2.20E-04 | -0.23 | 0.0953 |
| Southern | | | | | | | | | | | | | | | | |
| emisphere | | | | | | | | | | | | | | | | |
| 0°-10° 2. | 30E-04 | 0.27 | 0.0895 | 0.0024 | 3.00E-04 | 0.39 | 0.0791 | 4.90E-04 | 0.48 | 0.1063 | 3.10E-04 | 0.35 | 0.0893 | 4.10E-04 | 0.52 | 0.0795 |
| 10°-20° 3.5 | 0E-04 | 0.41 | 0.0987 | 0.0023 | 5.70E-04 | 0.62 | 0.0953 | 1.40E-03 | 1.35 | 0.1102 | 3.70E-04 | 0.38 | 0.1015 | -6.30E-04 | -0.7 | 0.0872 |
| 20°-30° 1.(| 00E-05 | 0.01 | 0.0695 | 0.0032 | -1.50E-04 | -0.21 | 0.0731 | 3.80E-04 | 0.68 | 0.0569 | -4.80E-06 | -0.01 | 0.0654 | 4.50E-04 | 0.52 | 0.0837 |
| 30°-40° -1. | 80E-04 | -0.37 | 0.048 | 0.0014 | -1.40E-04 | -0.3 | 0.0465 | -3.40E-04 | -0.71 | 0.0476 | -1.70E-04 | -0.37 | 0.0455 | -2.10E-04 | -0.4 | 0.0525 |
| 40°-50° -4. | 40E-04 | -0.66 | 0.064 | 0.0024 | -5.10E-04 | -0.8 | 0.0662 | -2.70E-04 | -0.45 | 0.0567 | -2.50E-04 | -0.43 | 0.0591 | -8.90E-04 | -1.17 | 0.0711 |
| 50°-60° -5. | 90E-05 | -0.08 | 0.0683 | 0.0046 | -2.70E-04 | -0.33 | 0.0771 | -4.00E-04 | -0.76 | 0.0569 | -9.70E-05 | -0.15 | 0.0631 | -5.30E-04 | -0.72 | 0.0714 |

° Average.

^d Standard deviation.
* Values in bold are statistically significant with 90% confidence level.









Fig. 1. Annual trends in sea spray aerosol (SSA) emissions (mg m⁻² yr⁻¹), dimethyl sulfide (DMS) fluxes (μ mol m⁻² yr⁻¹), sea surface wind (SSW, m s⁻¹), sea surface temperature (SST, °C), and seawater DMS concentrations (nM) in the global ocean over the entire study period (2000–2015). The dots indicate significant values at the 90% confidence level.





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Fig. 2. Anomalies in global mean SSA emissions, DMS fluxes, aerosol optical depth derived from
 SSA (AOD_{SSA}), AOD_{DMS}, SSW, SST, DMS concentrations, and chlorophyll-a during 2000–2015.

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Fig. 3. Annual trends in (a) AODs (τ_{550}) derived from SSA emissions (AOD_{SSA}), (b) DMS fluxes (AOD_{DMS}) during 2000–2015, (c) AODs (τ_{550}) derived from SSA and DMS fluxes (AOD_{SSA+DMS}), and (d) MODIS AOD (τ_{550}) during 2003–2015. The dots indicate significant values at the 90% confidence level.





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571 Fig. 4. Contributions (%) of AOD_{SSA} to MODIS AOD over the global ocean averaged over four years

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^{572 (2003, 2007, 2011,} and 2015).