### Interactive comment on "The influence of transformed Reynolds number limitation on gas transfer parameterizations and global DMS and CO <sub>2</sub> fluxes" by Alexander Zavarsky and Christa A. Marandino

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This paper looks at the implications of air flow separation on previously published gas transfer velocities as well as on the global oceanic CO2 and DMS fluxes. The paper relies heavily on the recently published ZA18 from the same group, which argues that when wind and waves are aligned the leeside of the wave is sheltered from the wind and encounters less turbulence. This theory was used by ZA18 to explain the fairly low transfer velocities of DMS and CO2 during a recent Indian Ocean cruise at wind speeds over 10 m/s.

The first reviewer has already given a detailed review, pointing out some mathematical inaccuracies. The authors have supplied a new, revised version of the manuscript. It is this revised version that I will comment on. Overall, I find this paper still very confusing and the main results self-contradictory. The abstract states that corrections of Nightingale et al 2000 and Wanninkholf 2014 for air flow separation leads to INCREASES in the gas transfer velocity. However, applications of these corrected k parameterizations led to  $\sim 10\%$  DECREASES in the global flux magnitudes. This doesn't make any sense from a superficial level.

We thank Dr. Yang for his constructive comments on our paper and we think that the manuscript is much clearer now as a result. In an attempt to make the manuscript less confusing, we highlight the goals of the paper here and in the revised version. The revised version follows directly after this response. All changes are marked yellow. The first goal is to show that gas transfer suppression happens frequently in situ and appears in our most used gas transfer velocity parameterizations. The second goal is to provide other scientists, who compute fluxes but do not measure them directly, with a simple way to account for the suppression during their studies (i.e. a u correction). With regard to Dr. Yang's comment about our self-contradictory results, we hope that by making our text clearer we have adequately shown that our results are consistent. Below are some arguments in support of our stated goals (underlined text is sometimes used to directly answer Dr. Yang's comments):

Goal 1) If gas transfer suppression is ubiquitous, scientists who compute fluxes using wind speed based parameterizations and in situ measurements of concentration difference will need to correct for it. We wanted to provide a rather simple approach for them to use and wind speed seemed to be the key. It is always measured and they are already used to using this term in their calculations. They would need to compute their transformed Reynolds number (which includes some easy vector calculations), but once that is done they can easily iterate their wind speed to compute a lower and more suitable k for their presumed suppression. This is much more doable than using a different k parameterization based on physical quantities that are not typically measured.

Goal 2) We thought it is strange that the Nightingale 2000 parameterization has, although based upon  ${}^{3}$ He/SF<sub>6</sub> dual tracer measurements, a flatter k vs u slope than the Wanninkhof 2014 parameterization or the k values determined by CO<sub>2</sub> eddy covariance (Wanninkhoff and Mc Gillis 1999). Dual tracer based gas transfer parameterizations should exhibit a higher bubble mediated gas transfer contribution than those based on CO<sub>2</sub> measurements, due to the lesser solubility of  ${}^{3}$ He and SF6. Therefore, we think that a suppressed interfacial gas transfer reduces the total gas transfer during the studies used for the Nightingale 2000 parameterization, although this decrease is not visible. Soloviev 2007, in figure 5(b), shows a case when interfacial gas transfer reduction is superimposed by the bubble term (the slope is subsequently influenced, but an overall decrease with u is not seen).

We think the reason why Nightingale 2000 can be used for CO<sub>2</sub> gas transfer calculations (the data in Zavarsky 2018a also follows N00) is that the data set is under the influence of gas transfer suppression, which balances the high bubble mediated transfer of the dual tracer data set. We thought it would be interesting to correct for the suppression to determine the non-suppressed magnitude and to determine if it does in fact look higher than CO<sub>2</sub> based parameterizations (when plotted with u). We calculated that the unsuppressed N00 would be 22% higher. However, we do not use this unsuppressed version of N00 for any calculations in this manuscript. It is an academic exercise. The same holds for the correction of the W14 parameterization. It is an application of our correction algorithm to calculate the slope of the W14 if one would correct for the gas transfer suppression that is within the data set.

Figures 2 and 3 show that correcting for air flow separation moves wind speed to the left (i.e. Ualt <U10 when there's suppression), while k remains unchanged. Figure 6 (left panel) shows that correcting for air flow separation moves the global wind speed distribution to the left. Is it this adjustment in wind (rather than an adjustment to k) that causes the global fluxes to reduce in magnitude? But then Figure 4 and 5 show that the actual k values (the individual dual tracer points) are adjusted upwards (rather than the wind speeds adjusted leftwards) for the Nightingale et al 2000 data. How? Not clear.

Answer: In relation to goal 2, we try to find a way to practically address the influence of gas transfer suppression. We think that when the wind speed  $u_{10}$  gets close to the wave's phase speed (normally the wind speed is slower) gas transfer suppression happens. At the time before the onset of gas transfer suppression, the interfacial k behaves like the chosen linear k vs. u parameterization. Then wind speed increases and  $u_{tr}$ , in the wave's reference frame, gets smaller and gas transfer suppression sets in, decreasing k.

In our model we decrease  $u_{10}$  and calculate the point at which gas transfer suppression sets in,  $u_{alt}$ .  $u_{alt}$  is the wind speed with the maximum k possible at those conditions. As  $u_{alt}$  does not appear in the physical world, it is an estimate of the wind speed at which the decrease/suppression of k begins and can be used in two ways:

a) If one has a global wind speed distribution, you can use u<sub>alt</sub> as the maximum wind speed for each data point before gas transfer suppression decreases k. This is what we have done to calculate the influence of gas transfer suppression on the Wanninkhof 2014 parameterization. That is why we moved the points on the wind speed axis and the global

distribution tends slightly towards smaller wind speeds.

b) If we have measured k values with a given wind speed we propose that the larger the difference between  $u_{10}$  and  $u_{alt}$  the larger the decrease of k, which we could call  $\Delta k$ . We compute the  $\Delta k$  value with the DMS k vs u relationship:

 $\Delta k = (3.1 \cdot u_{10} - 5.37) - (3.1 \cdot u_{alt} - 5.37) = 3.1 \cdot (u_{10} - u_{alt})$ 

Because we hypothesize that gas transfer suppression only affects the interfacial gas transfer, we use the DMS parameterization for correction. Correcting a data point using  $\Delta k$  or moving it from u10 to ualt (with constant k) is equal, with regard to interfacial gas transfer only. For figures 2 and 3, we moved the points along the x-axis. For the N00 correction, we moved along the k axis, as the N00 parameterization has a significant bubble gas transfer contribution. For flux calculations, we find ualt along the x axis to obtain the unsuppressed k (i.e.  $\Delta k$ ) (See manuscript Eq.).

Seems to me that there are two possible philosophical approaches: a) U10 is a good predictor of k, and thus points below the expected mean k vs. U10 relationship (suppressed data) should be adjusted upwards in terms of k, or b) U10 is not a good predictor of k as waves are also important; thus we either need a new x variable that includes wave-wind interaction, or adjust U10 to account for waves as the authors have done in the Ualt calculation. But in this paper the authors seem to be taking both of these approaches.

Answer: In our opinion, u<sub>10</sub> is a decent predictor of k and is especially useful with respect to the linear relationships for interfacial gas transfer. However, in regard to this comment, our approach is not philosophical, but rather practical. Wind speed is easily measurable by non-meteorologists and atmospheric scientists. As stated above, we try here to establish a first step to correct and estimate the influence of gas transfer suppression generally. Of course, given the spread in published wind speed based k parameterizations, we would ideally like to move beyond wind speed. To date, there are promising alternatives, but a parameterization with e.g. wave slope, friction velocity, would not be easy to use by a wide range of scientists.

The bottom line is that the authors are trying to address an interesting and important topic. Unfortunately I find the paper far from publishable currently. And so I recommend a major revision and give the authors a chance to clearly address the issues raised.

Comments: At a given wind speed, there is probably a range of gas transfer velocities as a function of sea state. Recent works from Blomquist et al 2017 and Brumer et al 2017 demonstrate the efficacy of the 'wave-wind Reynolds number'. Some of the variability in sea state may be encapsulated by the authors' transformed Reynolds number, fine.

Answer: We thank Dr. Yang for seeing that our formulation might be useful. Regarding the papers cited, we think that there are some points that need to be addressed further:

a) Blomquist et al page 8044: "However, a strong relationship between sea state and transfer velocity is not evident in this data set." As quoted they find no relationship between the general transfer velocity of DMS and  $CO_2$  and sea state. However, they pick out the dates 24-25 October, when they find a dependency of only  $CO_2$  (not DMS) k with sea-state. They state: "DMS transfer velocities show much less relationship to sea state development... with

scant evidence of significant enhancement or suppression in the presence of large waves." For  $CO_2$  they state: "For example, by 12:00 on 26 October, wind speed had decreased to well below 15 ms<sup>-1</sup> but k<sub>660</sub>  $CO_2$  remained significantly greater than 100 cmh<sup>-1</sup>. This behavior is consistent with a low-solubility gas sensitive to the effects of breaking waves and bubble injection." As a consequence, they use the wind-wave Reynolds number to parameterize the gas exchange. In our opinion this is a parameterization for interfacial + bubble mediated gas transfer and takes the effect of sea state on breaking waves and bubble volume into account. We think the wind-wave Reynolds wave number is a valid and good description of the bubble effect on top of interfacial gas transfer. Our formulation for "gas transfer suppression" is affecting interfacial gas transfer only. In their data set no gas transfer suppression is evident and, therefore, their conclusion cannot be transferred to our model.

They also investigate previously published gas transfer data, which show signs of gas transfer suppression. They cannot explain the suppression using their wave-wind Reynolds number. This is plausible because, in our opinion, it specially focuses on the bubble part of the gas transfer. They also address the Soloviev 2007 parameterization and state: "The interfacial transfer model of Soloviev (2007) incorporates a wave age dependence that acts to reduce surface renewal and gas transfer in the presence of large waves, but these effects should apply equally to conditions on all these projects and on that basis does not provide a satisfying explanation of the observed differences." The Soloviev 2007 parameterization incorporates friction velocity and wave period (wave speed) which are good descriptions of wind-wave interactions. The downside here is that friction velocity does not account for the relative wind speed between wind and waves. In the paper "Bubble-Mediated Gas Transfer and Gas Transfer Suppression of DMS and CO<sub>2</sub>" Zavarsky et al 2018, the DMS dataset and wind wave data of Hiwings is used to calculate the transformed Reynolds number. The transformed Reynolds number parameterization shows that there is no gas transfer suppression. This is in accordance with the findings of Blomquist et al 2018.

b) Brumer et al 2017 in the Keypoints section: "Wave-related Reynolds numbers provide a unique universal relationship for  $CO_2$  gas transfer that transcends the quadratic-cubic conundrum."

Brumer et al 2017 also use the wave-wind Reynolds number. In our opinion this is again a description of the bubble mediated part of the gas transfer velocity. Figure 2 of their publication shows that for CO<sub>2</sub> the different measurement campaigns fall on top of each other using the wind-wave Reynolds number as parameter. In Figure 3, for DMS, this is not so much the case and clearly it can be seen that they cannot explain the gas transfer suppressed data points. They state: "One could a priori expect DMS to be less sea statedependent than CO2 as its increased solubility means that its transfer velocity depends less on bubble-mediated transfer." If the sea-state dependence mostly relates to the bubble mediated gas transfer this statement is true. Generally, we think that DMS is more sea state dependent if it comes to gas transfer suppression, which is influencing the interfacial part of k. We can use our model to explain gas transfer suppression on various occasions (see Zavarsky et al 2018). However, Brumer et al say, "Weaker dependence on sea state may account for the increased scatter observed in the relationship between both the wave-wind and breaking Reynolds numbers and kDMS660. Sea state, represented as either the significant wave height or wave age, does not reconcile outliers in the SO GasEx and Knorr11 DMS data set." Again, they cannot explain gas transfer suppression.

At expected times of gas transfer suppression, the authors decided to adjust the wind speed (U10) downwards to a transformed wind speed (Ualt) by using a threshold in the transformed Reynolds number. I think this binary treatment (i.e. either suppressed or not suppressed, instead of varying degrees of sea state effect) is overly simplistic

Answer: Dr. Yang is right; we use a simplistic approach. As stated above, we wanted to provide an easy way for the larger community to correct for gas transfer suppression. Additionally, in aerodynamics (airflow over a wind, or airflow over a sphere), stall or no stall, attached or detached is a binary state. This might not be entirely true for waves and there are definitely transitions zones or hysteresis. There could be dependencies on surface roughness, wind speed, and wind direction, which gradually transfer the state from suppressed to non-suppressed and back. Right now, we think that this is far from measurable or addressable and we decided to start with what is firmly known. For example, sea ice models still use the approach that under a threshold temperature the water surface is fully ice covered. We all know this is not precisely true, but it is a good start, on first principles, that will develop and get more sophisticated over time. We added the following text to the manuscript introduction: "It is a binary view, but in aerodynamics stall conditions, flow detachment and reattachment are binary as well, so we adopted this view."

Our approach to describe a transition between a suppressed and an unsuppressed state is a statistical one. We calculate the amount of times, within the measurement or cruise time of days or weeks, when the wind-wave interaction was below or above the threshold. We can make a probability statement about the likelihood of seeing gas transfer suppression. In the paper Zavarsky et al 2018 you can see that this likelihood correlates with the decline of gas transfer velocity. You can also see this in the gas transfer suppression index in Figure 4 of this manuscript. The same problems (e.g. statistical vs episodic) exist between toxicologists and epidemiologists. One cannot certainly say that this very cigarette caused the disease or even put a number on its toxicity (although each cigarette poisons the body), but one can say that the likelihood of smoking x cigarettes over y years increases the likelihood of cancer by z%.

So far gas transfer suppression has not been explained at all (usually publications invoke hypotheses about the microlayer and wave shielding influences). We make a first simple attempt to describe and parameterize this process using measured data that seems to hold in the majority of cases (Zavarsky et al 2018).

What is the quantitative reasoning for adjusting wind speed downwards to the threshold REtr value in the case of suppression? Why not adjusting to an even lower |REtr| value, for example? And could there be times when k is 'enhanced' relative to the mean relationship? For DMS (Figures 2 and 3), k is simply shifted to the left due to the U10 to Ualt correction. However, Figure 5 shows that the dual tracer k values from Nightingale et al 2000 are actually shifted upwards, while wind speed remains unchanged. It looks like R2 is worse in Figure 5 than in Figure 4. How did the authors make this latter correction (Eq. 14?) and why the inconsistency in approach?

Answer: In our model there are only two states: Suppressed and non-suppressed. We reduce the wind speed to the transition point between the suppressed und unsuppressed state. This wind speed relates, using a k vs u relationship, to the maximum k value possible

in this condition. As answered above, we have found that it is the same to adjust along u or along k for interfacial k. Since the dual tracer measurements have the additional influence of bubbles, we decided it is better to shift in k space, using only ko. In our opinion it is arbitrary to reduce the wind speed to a lower  $Re_{tr}$ . We reduce it to the transition point, as stated above in relation to the binary nature of the suppressed state. This is the maximum k possible for this wind-wave condition.

To date, there is not much evidence in the literature of k enhancement, so we do not address that here (we focus on suppression).

We stated the reason for moving along the k axis (y-axis) above. A worsening of  $r^2$  value is a quality criterion, but not exclusively. Although it is true that the new fit has a worse RMSE (original 06.37, new quadratic only=10.6, new quadratic and linear=9.1), we do not think this is indicative of whether or not we deal with the suppression correctly. They could still be influenced e.g. by the presence of surfactants and different bubble terms.

The authors did not apply the U10 to Ualt correction to the N00 data, as with DMS, because N00 data are more affected by bubbles? Also, the authors implied that the N00 dataset were taken in places (many coastal) and during times when gas transfer suppression is predicted to happen more often than the global average. Following that logic, shouldn't the global fluxes be higher, and not lower, if the original N00 contained a lot of suppressed gas transfer data?

Answer: Dr. Yang is correct. We do not adjust to  $u_{alt}$  because of the bubble effect. Since the suppression only acts on ko, we cannot "correct" N00 in the same way as for DMS. The terms must be separated.

As stated above, we think that the bubble term for  ${}^{3}\text{He/SF}_{6}$  balances out the gas transfer suppression in ko. Therefore, it is suitable and used for CO<sub>2</sub> flux calculations and we do not need to correct the resulting fluxes to higher values. Also, as stated above, the N00 "correction" is an academic exercise, showing that suppression is ubiquitous and appears even in our commonly used parameterizations. When "corrected" we see the logical effect of a larger bubble term on the parameterization when compared with other parameterizations.

In the case of W14, it is a single global average point averaged over multiple years. It presumably does include the full range of sea states. This single k point is pinned against a global mean wind speed (accounting for wind distribution). So if the functionality of W14 is correct, I don't see how it needs to be corrected at all to account for air flow separation. Does the right panel of Fig 6 imply an upward adjustment in the k value, or a leftward adjustment in wind speed? Shouldn't fluxes computed from [original W14 x NCEP wind speed distribution] be the same as those computed from [adjusted W14 x corrected wind speed distribution]? It's worth noting that in the revised wind distribution, there is far more occurrence of 'zero wind speed', which in the W14 formulation would result in zero flux. Are the authors saying that under conditions of moderate-to-high wind speed, when wind and waves follow each other, there is no gas transfer?

Answer: The gas transfer velocity is set by <sup>14</sup>C measurements. So, to calculate the k vs u relationship, one needs the wind speed distribution. The underlying functional form to transfer the global transfer velocity to a wind speed parameterization is given by Wanninkhof 2014. We use the same formula (simple quadratic with no offset).

We say that  $u_{alt}$  is the wind speed that actually acts on the ocean gas transfer in the case of gas transfer suppression. U10 is the wind speed one measures, but is not relevant in the suppression environment. As a consequence, we use a globally calculated  $u_{alt}$  distribution to calculate the theoretical k vs u relationship from the <sup>14</sup>C inventory without any suppression. Again, this is an academic exercise and is only used to compute the flux difference between the unsuppressed case (hypothetical) and the average suppression case (using the normal W14 parameterization). The occurrence of more zero wind speeds is apparent. In our calculation, zero wind speed means no gas transfer. We know that there are doubts that zero wind speed means zero flux. However, W92 and W14 use a formula that implies this. We believe gas transfer suppression occurs at all wind speeds. A suppression at low wind speed, has so far, not been observed, but using the model it is possible that, for example, a u10 of 3 ms<sup>-1</sup> gets reduced to a  $u_{alt}$  of 0 ms<sup>-1</sup>.

Some technical comments: Your Eq. 1 is presented from the perspective of air concentrations. Since you're talking about water-side controlled gases, it seems more appropriate to present this Eq. from the perspective of water concentrations, i.e. k \* (Ca\*H - Cw) or k \* (Ca/H - Cw), depending on whether your H is water to air or air to water. Also, your Eq. 1 adopts the convention of positive flux into the ocean. That's consistent in sign to your global CO2 flux, but not to your DMS flux. Please be consistent.

Answer: We changed the Equation (1) to:

F=k\*(-Ca/H).

We use the convention of positive flux out of the ocean and negative flux out of the ocean. As a consequence, we also change the order in Equation (2).

Eq. 3: I think you have left out the H term. Should be 1/ktot = 1/kw + H/ka (if H is water to air) In many of the plots, I think it's misleading to call Ualt 'wind speed' on the x-axis and have both k vs U10 and k vs Ualt on the same plot. Figures 9 and 10. Which original parameterization is used? Please specify in the captions.

Answer: We changed Eq. 3 accordingly.

We do not think it is necessary to make changes according to the label of the x-axis. All x-axes have the label "wind speed". It is stated in the legend and the caption which data set (u10 or  $u_{alt}$ ; also,  $u_{alt}$  is u10) is plotted.

Figures 9 and 10 show the difference between suppressed and unsuppressed gas transfer. The difference is calculated using the bulk formula  $F=k^*\Delta C$  and using a change in k according to our model:

 $\Delta k = (3.1 \cdot u_{10} - 5.37) \cdot (3.1 \cdot u_{alt} - 5.37) = 3.1 \cdot (u_{10} - u_{alt})$ 

There is no original parameterization, from which we subtracted  $\Delta k$ . We used the ZA18 to correct for the gas transfer suppression and therefore for the calculations of the change of flux in Figures 9 and 10.

Finally, the results from ZA18 are heavily used in this current paper. While the presented argument of air flow separation is a neat theory, I don't think it's well

backed up by the observations for at least three reasons: 1) It is conceivable that transfer velocity varies with the directional difference between wind and wave as well as with the relative wind velocity relative to the wave phase speed. However, I don't understand the directional dependence in the formulation of the transformed Reynolds number. Air flow separation and sheltering are argued to occur when wind and waves are aligned (and not occur when they are orthogonal). However,  $\cos(0) = 1$  and  $\cos(90) = 0$ . And it is a low transformed Reynolds number that is argued to cause a suppression (or limitation) in gas transfer. This seems contradictory.

Although, as Dr. Yang states, these comments are not about the current paper, but about a previously published paper, we will still respond below.

Sheltering and gas transfer suppression are two different processes. We discuss gas transfer suppression due to a flow detachment in the paper and only mention the concept of sheltering as a previously hypothesized reason for suppression.

Our model of gas transfer suppression is based on the transformed Reynolds number  $Re_{tr} = \frac{u_{tr} \cdot H_s}{\vartheta} \cdot cos(\theta)$ . The number depends on the relative wind speed  $u_{tr}$ , the wave height and the angle of attack  $\Theta$ . Generally, gas transfer suppression can occur at all angle of attacks if Retr drops below the threshold. At angles close to  $\Theta$ =90° gas transfer suppression should not occur and, in fact, we think this is hardly measurable and sparsely occurring.

The reason for normal gas transfer is that the object in the flow path (wave) creates turbulence, which counteracts the flow separation. This turbulence can be created in two ways (1) larger  $u_{tr}$ , (2) higher waves. Both increase  $Re_{tr}$ , which leads above the threshold to normal gas transfer.

The factor  $\cos(\Theta)$  basically describes the wave slope. A wave with a certain slope creates according to the wave height turbulence and if  $\text{Re}_{tr}$  is above the threshold normal gas transfer occurs. If the flow experiences an angle of attack ( $\cos(\Theta)$ ), the slope of the wave changes. A flatter slope results in less turbulence to counteract the flow separation. Hence one needs a higher obstacle or larger  $u_{tr}$  to counteract the flow separation. This is the reason why  $\cos(\Theta)$  is a factor in the Re<sub>tr</sub> formula.

We added an extra sentence to the section 2.4 and added a graphical illustration to the supplemental material.

2) ZA18 explains previous transfer velocity datasets with the flow separation theory, but did not use actual (in situ or modeled) wave data. This is a significant shortcoming in my view. I have the ECMWF wave and in situ wind data from those cruises. It is not obvious that waves from cruises when gas transfer suppression were observed differed obviously from the waves during other cruises. The authors are welcomed to contact me and use these data to further improve their work.

We did use modelled wave data at the times of the cruise. The cruise positions and times are available online. We then used the WWIII model hindcast to get the wave data for that specific cruise track, at the specific position and time of the cruise.

The new concept includes a Galilean transformation of the wind into the wave's reference system and then a calculation of the transformed Reynolds number. A comparison between different cruises regarding waves only is not sufficient. It is necessary to know and compare the wave speed, wave direction, wind speed, wind direction, and wave height. A description of the sea state with, for example, cp/u is also not enough, as only absolute values are

considered. A description using absolute values might lead to similar results, but not in all cases.

# 3) a lot of high points and noise in the kDMS and kCO<sub>2</sub> data occurred when the delta C were very small. Not only are fluxes very noisy under these conditions, any small bias in delta C would also significantly affect the derived k. Is there still a noticeable 'suppression' if the authors remove these low delta C points?

For DMS we removed all points with a seawater concentration lower than 2 nM/l, for  $CO_2$  we removed all point with a delta C lower than 20 µatm. The results are shown in the two figures below. The binned data line in both figures is based on the entire dataset. We see that even omitting points of low DMS seawater concentration or low delta p $CO_2$ , gas transfer suppression remains in the dataset.





These last comments are not criticisms of the ACPD paper, but partly explain why I find the current paper rather unconvincing.

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## The influence of transformed Reynolds number suppression on gas transfer parameterizations and global DMS and CO<sub>2</sub> fluxes

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**Abstract.** Eddy covariance measurements show gas transfer velocity suppression at medium to high wind speed. A windwave interaction described by the transformed Reynolds number is used to characterize environmental conditions favoring this suppression. We take the transformed Reynolds number parameterization to review the two most cited wind speed gas transfer velocity parameterizations, Nightingale 2000 and Wanninkhof 1992/2014. We propose an algorithm to correct for the effect

of gas transfer suppression and validate it with two directly measured DMS gas transfer velocity data sets that experienced gas transfer suppression. A correction of the Nightingale 2000 parameterization leads to an average increase of 22 % of its predicted gas transfer velocity. The increase for Wanninkhof 2014 is 9.85 %. Additionally, we applied our gas transfer suppression algorithm to global air-sea flux climatologies of CO<sub>2</sub> and DMS. The global application of gas transfer suppression leads to a decrease of 6-7 % for the uptake CO<sub>2</sub> by the oceans and to decrease of 11 % of oceanic outgassing of DMS. We
expect the magnitude of Reynolds suppression on any global air-sea gas exchange to be about 10 %.

#### 1 Introduction

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Gas flux F between the ocean and the atmosphere is commonly described as the product of the concentration difference  $\Delta C$  between the liquid phase (seawater) and the gas phase (atmosphere) and the gas transfer velocity k.  $\Delta C$  acts as the forcing potential difference and k as the conductance, which includes all processes promoting and suppressing gas transfer.  $c_{air}$  and  $c_{water}$  are the respective air-side and water-side concentrations. H is the dimensionless form of Henry's law constant.



(1)

 $\Delta C$  is typically measured with established techniques, although the distance of the measurements from the interface introduce uncertainties in the flux calculation. Parameterizations of k are another source of uncertainty in calculating fluxes. The flux F can be directly measured, for example with the eddy covariance technique, together with  $\Delta C$  in order to derive k and estimate a k parameterization (Eq. (2)).

$$k = \frac{F}{\Delta C} \equiv \frac{F}{\frac{Cwater}{H} - c_{air}} \tag{2}$$

It is very common that k is parameterized with wind speed and all wind speed parameterizations have in common that k increases monotonically with increasing wind speed. This assumption is sensible, as higher wind speed increases turbulence

both on the air and the water side and hence the flux. Additional processes like bubble generation can additionally enhance gas transfer. The total gas transfer velocity  $k_{total}$ , which is measured by eddy covariance or other direct flux methods, is split up into the water side gas transfer velocity  $k_{water}$  and the air side gas transfer velocity  $k_{air}$  (Eq. (3)).

$$\frac{1}{k_{total}} \equiv \frac{1}{k_{water}} + \frac{H}{k_{air}} \tag{3}$$

5 We focus, in this work, on  $k_{water}$  which is the sum of the interfacial gas transfer  $k_o$  and the bubble mediated gas transfer  $k_b$  (Eq. (4)).

$$k_{water} = k_o + k_b \tag{4}$$

To make gas transfer velocities of different gases comparable, Schmidt number (Sc) (Eq. (5)) scaling has been introduced. Sc scaling only applies to  $k_o$  and  $k_{air}$ . Sc is the ratio of the viscosity  $\nu$  to the diffusivity D of the respective gas in seawater.

$$10 \quad Sc = \frac{\nu}{D} \tag{5}$$

$$\frac{k_{o,Sc}}{k_{o,660}} = \left(\frac{Sc}{660}\right)^n \tag{6}$$

The exponent n is chosen depending on the surface properties. For smooth surfaces  $n=-\frac{2}{3}$  and rough wavy surfaces  $n=-\frac{1}{2}$  (Komori et al., 2011). In this study  $n=-\frac{1}{2}$  is used.

15 In contrast to commonly accepted gas transfer velocity parameterizations, parameterizations based on direct flux measurements by eddy covariance systems have shown a decrease or flattening of k with increasing wind speed at medium to high wind speed (Bell et al., 2013, 2015; Yang et al., 2016; Blomquist et al., 2017).

We use the transformed Reynolds number  $\operatorname{Re}_{tr}$  (Zavarsky et al., 2018) to identify instances of gas transfer suppression.

$$Re_{tr} = \frac{u_{tr} \cdot H_s}{\nu_{air}} \cdot \cos(\theta) \tag{7}$$

- 20 Re<sub>tr</sub> is the Reynolds number transformed into the reference system of the moving wave.  $u_{tr}$  is the wind speed transformed into the wave's reference system, H<sub>s</sub>, the significant wave height,  $\nu_{air}$  the kinematic viscosity of air and  $\theta$  the angle between the wave direction and direction of  $u_{tr}$  in the wave's reference system. A flux measurement at values of  $|Re_{tr}| \le 6.96 \cdot 10^5$ is gas transfer suppressed (Zavarsky et al., 2018). It is a binary view, but in aerodynamics stall conditions, flow detachment and reattachment are binary as well, so we adopted this view. Describing transition conditions is beyond the scope of the first
- 25 introduction of this model. This parameterization by  $Re_{tr}$  shows that the suppression is primarily dependent on wind speed, wave speed, wave height and a directional component. It is noteworthy that, so far, only eddy covariance deduced gas transfer velocities have shown a gas transfer suppression. This may be due to the spatial (1 km) and temporal (30 min) resolution of EC measurements, or to the types of gases measured (e.g.  $CO_2$ , DMS, OVOCs). The use of rather soluble gases (DMS, acetone, methanol) makes the gas transfer velocity not greatly influenced by bubble mediated gas transfer. Gas transfer suppression
- 30 only affects k<sub>o</sub>(Zavarsky et al., 2018). Another direct flux measurement technique, the dual tracer method, utilizes sulfur

hexafluoride (SF<sub>6</sub>) or <sup>3</sup>He. The dual tracer measurement usually lasts over few days but could have a similar spatial resolution as eddy covariance. SF<sub>6</sub> and <sup>3</sup>He are both very insoluble and heavily influenced by the bubble effect. Hence, if the gas transfer suppression only affects  $k_o$ ,  $k_b$  could be the dominant process and masking the gas transfer suppression. Additionally, the long measurement period could decrease the likelihood of detection of gas transfer suppression as the conditions for suppression

5 might not be persistent over a few days.

There are two main goals of this study: [1] develop and use a simplistic algorithm to correct for gas transfer suppression; [2] illustrate that gas transfer suppression is ubiquitous, showing up in our most used gas transfer parameterizations. To address goal 1, we develop a gas transfer suppression model and apply it to two DMS EC data sets. To address goal 2, we investigate the two most commonly used gas parameterizations (both cited more than 1000 times each) for the occurrence of gas transfer

10 suppression. The Nightingale 2000 parameterization (N00) (Nightingale et al., 2000) contains data from the North Sea, Florida Strait and the Georges Bank between 1989-1996. The N00 parameterization is derived from changes in the ratio of  $SF^6$  and <sup>3</sup>He (dual tracer method). We also investigate the Wanninkhof 2014 gas transfer parameterization (W14) (Wanninkhof, 2014) which is an update to Wanninkhof 1992 (Wanninkhof, 1992). Using a global <sup>14</sup>C inventory of the ocean they calculate the amount of CO<sub>2</sub> exchanged between the atmosphere and the ocean. This <sup>14</sup>C inventory is already influenced by gas transfer suppression,

15 as it is globally averaged. They deduce a quadratic k vs wind speed parameterization using a wind speed climatology. Both k parameterizations (N00, W14) are monotonically increasing with wind speed.
In addition, we use wind wave data for the year 2014, calculate Re<sub>tr</sub> and perform an analysis of the impact of gas transfer suppression on the yearly global air sea exchange of CO<sub>2</sub> and DMS. So far global estimates of air-sea exchange of these two gases(Lana et al., 2011; Takahashi et al., 2009; Rödenbeck et al., 2015) have been based on k parameterization which have not included a mechanism for gas transfer suppression. We provide an iterative calculation of the effect of gas transfer suppression

and apply the correction to existing  $CO_2$  and DMS climatologies.

#### 2 Methods

#### 2.1 Wave Watch Model III

We use wave data from the WWIII model hindcast run by the Marine Modeling and Analysis Branch of the Environmental Modelling Center of the National Center for Environmental Prediction (NCEP)(Tolman, 1997, 1999, 2009). The data was obtained for the total year 2014 with a temporal resolution of 3 hours and a spatial resolution of  $0.5^{\circ} \times 0.5^{\circ}$ . It also provides the u (meridional) and v (zonal) wind vectors, assimilated from the Global Forecast System, used in the model. We retrieved wind speed, wind direction, bathymetry, wave direction, wave period and significant wave height. We converted the wave period  $T_p$ to phase speed  $c_p$ , assuming deep water waves, using Eq. (8) (Hanley et al., 2010).

$$c_p = \frac{g \cdot T_p}{2\pi} \tag{8}$$

#### 2.2 Auxiliary variables

Surface air temperature T, air pressure p, sea surface temperature SST and sea ice concentration were retrieved from the ERA-Interim reanalysis of the European Center for Meridional Weather Forecast(Dee et al., 2011). It provides a six hourly resolution and a global 0.125° x 0.125° spatial resolution. Sea surface salinity (SSS) was extracted from the Takahashi climatology (Ta-

5 kahashi et al., 2009).

Air-sea partial pressure difference ( $\Delta pCO_2$ ) was obtained from the Takahashi climatology.  $\Delta pCO_2$ , in the Takahashi climatology, is calculated for the year 2000 CO<sub>2</sub> air concentrations. Assuming an increase in both the air concentration and the partial pressure in the water side, the partial pressure difference remains constant. The data set has a monthly temporal resolution, a 4° latitudinal resolution and a 5° longitudinal resolution.

10 DMS water concentrations were taken from the Lana DMS climatology (Lana et al., 2011). These are provided with a monthly resolution and a 1° x 1° spatial resolution. The air mixing ratio of DMS was set to zero  $c_{air,DMS} = 0$ . Taking air mixing ratios into account, the global air sea flux of DMS reduces by 17 %(Lennartz et al., 2015). We still think that our approach is reasonable, as we are looking at the change of flux due to gas transfer suppression only. We linearly interpolated all data sets to the grid and times of the WWIII model.

#### 15 2.3 Kinematic viscosity

The kinematic viscosity  $\nu$  of air is dependent on air's density  $\rho$  and the dynamic viscosity  $\mu$  of air, Eq. (9).

$$\nu(T,p) = \frac{\mu(T)}{\rho(T,p)} \tag{9}$$

The dynamic viscosity is dependent on temperature T and can be calculated using Sutherland's law(White, 1991) (Eq. (10)).

$$\mu = \mu_0 \cdot \left(\frac{T}{T_0}\right)^{\frac{2}{3}} \tag{10}$$

20  $\mu_0 = 1.716 \cdot 10^{-5}$  N s m<sup>-2</sup> at T<sub>0</sub> = 273 K(White, 1991). Air density is dependent on temperature T and air pressure p and was calculated using the ideal gas law.

#### 2.4 Transformed Reynolds number

The Reynolds number describes the balance of inertial forces and viscous forces. It is the ratio of the typical length and velocity scale over the kinematic viscosity. The transformed Reynolds number, in Eq. (11), uses the wind speed  $u_{tr}$ , transformed into

25 the wave's reference system. The significant wave height  $H_s$  is used as the typical length scale. The difference between wind direction and wave direction is given by the angle  $\theta$ . Between  $\theta = 0^\circ$  and  $\theta = 90^\circ$  the air flowing over the wave experiences, due to the angle of attack, a differently shaped and streamlined wave. The factor  $\cos(\theta)$  is multiplied to  $H_s$  to account for directional dependencies and shape influences (Fig. (A1)).

$$Re_{tr} = \frac{u_{tr} \cdot H_s}{\nu} \cdot \cos\left(\theta\right) \tag{11}$$

#### 3 Gas transfer suppression model

Below  $|Re_{tr}| \le 6.96 \cdot 10^5$  flow separation between the wind flowing above the wave and the flow entering the trough suppresses gas transfer (Zavarsky et al., 2018). As a result, common wind speed parameterizations of k are not applicable (Eq. (1)). To provide a magnitude of this suppression we propose an alternative wind speed  $u_{alt}$ , which is lower than  $u_{10}$ . This decrease

5 accounts for the effect of gas transfer suppression.  $u_{alt}$  represents the wind speed with the maximum possible k in these conditions, hence an increase of u beyond  $u_{alt}$  does not result in an increase of k.  $u_{alt}$  can then be used with k parameterizations to calculate the gas flux.

Given a set wave field (constant  $H_s$ , wave direction and speed), if the relative wind speed in the reference system of the wave  $u_{tr}$  is big enough that  $|Re_{tr}| > 6.96 \cdot 10^5$ , no suppression occurs. In the 'no-suppression' case, k can be estimated by com-

- 10 mon gas transfer parameterizations. If the wind speed  $u_{10}$ , in the earth's reference system, is getting close to the wave's phase speed,  $u_{tr}$  in the wave's reference system gets smaller and  $|Re_{tr}|$  drops below the threshold, flow separation happens, and suppression occurs. We propose a stepwise ( $\Delta$ s) reduction of  $u_{10}$  to calculate when the wind-wave system changes from the flow separation regime ( $|Re_{tr}| < 6.96 \cdot 10^5$ ) into a normal flow regime ( $|Re_{tr}| > 6.96 \cdot 10^5$ ). This can be used to estimate the magnitude of the suppression. We recalculate Re<sub>tr</sub> with a lower  $u_{alt} = u_{10} - i \cdot \Delta s$  and iterate i=0,1,2,3... as long as Re<sub>tr</sub> is
- 15 below the threshold (flow separation). If  $\operatorname{Re}_{tr}$  crosses to the non-suppressing regime, the iteration is stopped and the actual  $u_{alt}$ can be used as an alternative wind speed. The iteration steps are: [1] Calculate  $\operatorname{Re}_{tr}$ , using  $u_{alt} = u_{10} - i \cdot \Delta s$ .[2] Determine if  $|\operatorname{Re}_{tr}| \leq 6.96 \cdot 10^5$  [3] If yes, i=i+1 and continue with step [1]. If no, break the loop. The step size in this model was 0.3 m s<sup>-1</sup>. We think this step size allows a good balance between computing time and velocity resolution. The minimum velocity for  $u_{alt}$ is 0 m s<sup>-1</sup>. Figure 1 shows a flowchart of the algorithm. This algorithm is applied to every box at every time step.
- 20 A change in the parameters of the wave field is, in our opinion, not feasible as the wave field is influenced to a certain extent by swell which is externally prescribed. Swell travels long distances and does not necessarily have a direct relation to the wind conditions at the location of the gas transfer and measurement. Therefore, we change the wind speed only.

#### 3.1 Gas transfer

25 The difference between u<sub>alt</sub> and u<sub>10</sub> directly relates to the magnitude of gas transfer suppression. u<sub>alt</sub> can be used in two ways:[1] u<sub>10</sub> can be directly replaced by u<sub>alt</sub>. This is only possible for parameterizations with a negligible bubble contribution (like DMS), as we assume that the gas transfer suppression only affects k<sub>o</sub>. As a result, one gets a k estimation using the lower wind speed u<sub>alt</sub>. This is an estimate of the reduction of k by gas transfer suppression. [2] For parameterizations of rather insoluble gases, like CO<sub>2</sub>, SF<sub>6</sub>, <sup>3</sup>He, one needs to subtract ∆k from the unsuppressed k parameterization. This correction is
30 done by inserting u<sub>10</sub>-u<sub>alt</sub> into a k<sub>o</sub> parameterization (Eq. 12) and subtracting ∆k. In this manuscript ZA18 from Zavarsky et al. (2018) is used as the parameterization of k<sub>o</sub>. The magnitude of gas transfer suppression is given by Eq. 12.

 $\Delta k = k_o(u_{10}) - k_o(u_{alt}) = (3.1 \cdot u_{10} - 5.7) - (3.1 \cdot u_{alt} - 5.7) = 3.1 \cdot (u_{10} - u_{alt})$ 

For the global flux of DMS and  $CO_2$  we use the bulk gas transfer formula (Eq. (1)). The global gas flux calculations are based on the following k parameterizations: ZA18 (for DMS), and the quadratic parameterizations, Tak09 (for  $CO_2$ ) (Takahashi et al., 2009), W14 (for  $CO_2$ ) and N00 (for DMS and  $CO_2$ ). For every grid box and every time step we calculate  $u_{alt}$  according to the description in Sect. 3. If  $u_{alt}$  is lower than  $u_{10}$  from the global reanalysis then gas transfer suppression occurs. Subsequently,  $u_{alt}$  together with Eq. 12 is used in the specific bulk gas transfer formulas (Eq. (13-14)). For ZA18  $u_{alt}$  can be directly inserted into this parameterization (Eq. (13)). However, all other parameterizations are based on measurements with rather insoluble

gases, which have a significant bubble mediated gas transfer contribution. As a consequence we subtract the linear dependency  $\Delta k$  using the ZA18 parametrization, to account for the gas transfer suppression in k<sub>o</sub> (Eq. (14)).

 $F_{lim,ZA18} = [k_{ZA18} (u_{10}) - \Delta k] \cdot \Delta C = (3.1 \cdot u_{alt} - 5.37) \cdot \Delta C$ 

(13)

10

20

 $F_{lim,Tak00/W14/N00} = \left[k_{Tak00/W14/N00}(u_{10}) - \Delta k\right] \cdot \Delta C = \left[k_{Tak00/W14/N00}(u_{10}) - 3.1 \cdot (u_{10} - u_{alt})\right] \cdot \Delta C \tag{14}$ 

For the global DMS transfer, we use the ZA18 and N00 parameterizations, as Lana et al. (2011) also uses N00. Sea ice concentration from the ERA-Interim reanalysis was included as a linear factor in the calculation. A sea ice concentration of 90 %, for example, results in a 90 % reduction of the flux. Each time step (3 h) of the WWIII model provided a global grid of air-sea fluxes with and without gas transfer suppression. These single time steps were summed up to get a yearly flux result.

#### 4 Results

We test the correction by shifting  $u_{10} \rightarrow u_{alt}$  with two data sets of DMS gas transfer velocities, Knorr11 (Bell et al., 2017) and SO234-2/235 (Zavarsky et al., 2018). Both data sets experienced gas transfer suppression at high wind speed. Using this proof of concept, we quantify the influence of gas transfer suppression on N00 and W14 and correct for it. Finally, we apply the correction to global flux estimates of CO<sub>2</sub> and DMS.

#### 4.1 Correction of the interfacial gas transfer

Fig. 2 and 3 show the corrected DMS gas transfer velocities for the SO234-2/235 and the Knorr11 cruises. We shift the measured data points, which are gas transfer suppressed, along the x-axis by replacing  $u_{10}$  with  $u_{alt}$ . The shift along the x-axis is equivalent to an addition of  $\Delta k$  to balance gas transfer suppression, see appendix. The black circles indicate the original data

25 set at  $u_{10}$ . The colored circles are k values plotted at the corrected wind speed  $u_{alt}$ . If a black circle and a colored circle are concentric the data point was not suppressed and therefore no correction was applied. For comparison, the parameterization ZA18 is plotted in both figures. Both figures show the significant wave height with the color bar.

Fig. 2 illustrates the linear fits to the data set before (dotted) and after (dashed) the correction. The suppressed data points from 14-16 m s<sup>-1</sup> moved closer to the linear fit after correction with u<sub>alt</sub>. The large gas transfer velocity values at around 13 m s<sup>-1</sup>
and above 35 cm h<sup>-1</sup> were moved to 11 m<sup>-1</sup>. This means a worsening of the the k estimate by the linear fit. These data points

have very low  $\Delta C$  values (Zavarsky et al., 2018), therefore, we expect a large scatter as a result from Eq. (2).

Fig. 3 also shows an improvement of the linear fit estimates. The gas transfer suppressed data points were assigned the new wind speed  $u_{alt}$ , resulting in better agreement to ZA18. The change of the linear fit to the corrected and uncorrected data set can be seen in the dotted (before) and dashed (after) line. The corrected data points at 12-16 m s<sup>-1</sup> are still, relative to the

- 5 linear estimates, heavily gas transfer suppressed. A reason could be that the significant wave height of these points is larger than 3.5 m and they experienced high wind speed. A shielding of wind by the large wave or an influence of water droplets on the momentum transfer is suggested as reason (Yang et al., 2016; Bell et al., 2013). In principle, we agree that these processes may be occurring, but we hypothesize that it occurs only during exceptional cases of high winds and wave heights and that they are separately additionally on the gas transfer velocity. The Reynolds gas transfer suppression (Zavarsky et al., 2018) occurs
- 10 over a larger range of wind speeds and wave heights, but obviously does not capture all the flux suppression. Therefore, it appears that several processes may be responsible for gas transfer suppression and they are not all considered in our model. This marks the upper boundary for environmental conditions for our model.

Tab. 1 shows the average offset between every data point and the linear fit ZA18. A reduction of the average offset can be seen for all data combinations. The last two columns of Tab. 1 show the mean absolute error. The absolute error also decreases with

15 the application of our correction. The linear fits to the two data sets, before and after the corrections, are given in Tab. (2). The slopes for the two corrected data sets show a good agreement. However, we do not correct for the suppression entirely. The corrected slopes are both are in the range of the linear function ZA18  $k_{660} = 3.1 \pm 0.37 \cdot u_{10} - 5.37 \pm 2.35$  (Zavarsky et al., 2018), but the slopes barely overlap within the 95 % confidence interval.

#### 4.2 Nightingale parameterization

20 The N00 parameterization is a quadratic wind speed dependent parameterization of k. It is widely used, especially for bulk CO<sub>2</sub> gas flux calculations as well as for DMS flux calculations in (Lana et al., 2011). The parameterization is based upon dual tracer measurements in the water performed in the North Sea (Watson et al., 1991; Nightingale et al., 2000) as well as data from the Florida Strait (FS) (Wanninkhof et al., 1997) and Georges Bank (GB) (Wanninkhof, 1992).

We analyzed each individual measurement that was used in the parameterization to asses the amount of gas transfer suppressing instances that are within the N00 parameterization. The single measurements, which are used for fitting the quadratic function of the N00 parametrization, are shown together with N00 in the left panel of Fig. 4. As the measurement time of the dual tracer technique is on the order of days, we interpolated the wind and wave data to 1 h time steps and calculated the number of gas transfer suppressing and gas transfer non-suppressing instances. The right panel of Fig. 4 shows the suppression index which is the ratio of gas suppressing instances to the number of data points (x-axis). The value 1 indicates that all of the interpolated

30 one hour steps were gas transfer suppressed. The y-axis of Fig. 4 depicts the relation of the individual measurement to the N00 parameterization. A ratio (y-axis) of 1 indicates that the measurement point is exactly the same as the N00 parameterization. A value of 1.1 would indicate that the value was 10 % higher than predicted by the N00 parameterization.

We expect a negative correlation between the suppression index and the relation of the individual measurement vs the N00 parameterization. The higher the suppression index, the higher the gas transfer suppression and the lower the gas transfer

velocity k with respect to the average parameterization. The correlation (Spearman's rank) is -0.43 with a significance level (p-value) of 0.11. This is not significant. However, we have to take a closer look at two specific points: [1] Point 11, GB11 that shows low measurement percentage despite a low suppression index, and [2] point 14, FS14 that shows high measurement percentage despite a high suppression index. GB11 at the Georges Bank showed an average significant wave height of 3.5 m,

- 5 with a maximum of 6 m and wind speed between 9-13 m s<sup>-1</sup>. Transformed wind speeds  $u_{tr}$  are between 4-20 m s<sup>-1</sup>. As already discussed in Sect. 4.1 using the Knorr11 data set, wave heights above 3.5 m could lead to gas transfer suppression without being captured by Reynolds gas transfer suppression model (Zavarsky et al., 2018). High waves together with the strong winds could mark an upper limit of the gas transfer suppression model (Zavarsky et al., 2018). On the other hand the FS14 data point showed an average wave height of 0.6 m and wind speed of 4.7 m s<sup>-1</sup>. It is questionable if a flow separation
- 10 and a substantial wind wave interaction can be established at this small wave height. This could mark the lower boundary for the Reynolds gas transfer suppression model(Zavarsky et al., 2018). Taking out either or both of these measurements (GB11 or FS14) changes the correlation (Spearman's rank) to -0.62 p=0.0233 (no GB11), -0.59 p=0.033 (no FS14) and -0.79 p=0.0025 (no GB11, no FS14). All three are significant. The black solid line in the right panel of Fig. 4 is a fit, which is based on the Eq. (15), to all points but GB11 and FS14.

15 
$$y(x) = a_1 + a_2 \cdot \frac{1}{x - a_3}$$
 (15)

We choose this functional form, because we follow the finding that the effect of gas transfer suppression is not linear but rather has a threshold (Zavarsky et al., 2018). This means that the influence of suppression on gas transfer is relatively low with a small suppression ratio, but increases strongly. The fit coefficients are: $a_1 = 1.52$ ,  $a_2 = 0.14$  and  $a_3 = 1.18$ .

Fig. 5 shows the, according to the gas transfer suppression model (Section 3), corrected data points. We do not correct the 20 individual data points along the wind speed axis (x-axis), as the parameterization has a significant bubble contribution, but add  $\Delta k$  (Eq. 12) to make up for the suppressed part of total k.

A new quadratic fit was applied to the corrected data points ((Eq. 16), Fig. 5).

$$k_{660} = 0.359 \cdot u^2 \tag{16}$$

On average the new parameterization is 22 % higher than the original N00 parameterization. This increase is caused by the heavy gas transfer suppression of the individual measurements. As we believe that this suppression only affects the interfacial k<sub>o</sub> gas exchange, it might not be easily visible (decreasing k vs u relationship) in parameterizations based on dual tracer gas transfer measurements because of the potential of a large bubble influence.

The calculation of the unsuppressed N00 parameterization is an example application for this correction algorithm. We do not advise using the unsuppressed N00 parameterization for flux calculations. We hypothesize that the N00 contains a large bubble

30 component, as it is based on <sup>3</sup>He and SF<sub>6</sub> measurements, which compensates the gas transfer suppression. Therefore, N00 is suitable for CO<sub>2</sub> flux calculations.

#### 4.3 Wanninkhof parameterization

The W14 parameterization estimates the gas transfer velocity using the natural disequilibrium between ocean and atmosphere of <sup>14</sup>C and the bomb <sup>14</sup>C inventories. The total global gas transfer over several years is estimated by the influx of the <sup>14</sup>C in the ocean(Naegler, 2009) and the global wind speed distribution over several years. The parameterization from W14 is for winds

averaged over several hours. The WWIII model winds, used here, are 3 hourly and therefore in the proposed range(Wanninkhof, 2014). The W14 parameterization is given in Eq. (17).

$$k_{660,W14} = 0.251 \cdot \left(u_{10}\right)^2 \tag{17}$$

The interesting point about this parameterization is that it already includes a global average gas transfer suppressing factor. The parametrization is independent of local gas transfer suppression events. It utilizes a global, annual averaged, gas transfer

10 velocity of <sup>14</sup>C and relates it to remotely sensed wind speed. This means that the average gas transfer velocity has experienced the average global occurrence of gas transfer suppression and therefore is incorporated in the k vs u parameterization. The quadratic coefficient a is calculated by dividing the averaged gas transfer velocity k<sub>glob</sub> by u<sup>2</sup> and the wind distribution distu of u.

$$a = \frac{k_{glob}}{\sum u^2 \cdot distu} \tag{18}$$

15 The quadratic coefficient then defines the wind speed dependent gas transfer velocity k (Eq. (19)).

$$k = a \cdot u^2 \tag{19}$$

The left panel of Fig. 6 shows the global wind speed distribution of the year 2014 taken from the WWIII model, which is based on the NCEP reanalysis. Additionally, we added the distribution taking our wind speed correction into account. At the occurrence of gas transfer suppression we calculated, as described in Sect. 3,  $u_{alt}$  as the representative wind speed for the unsuppressed transfer. The distribution of  $u_{alt}$  shifts higher wind speed (10-17 m s<sup>-1</sup>) to lower wind speed regimes (0-

- 20 the unsuppressed transfer. The distribution of  $u_{alt}$  shifts higher wind speed (10-17 m s<sup>-1</sup>) to lower wind speed regimes (0-7 m s<sup>-1</sup>). This alters the coefficient for the quadratic wind speed parametrization. A global average gas transfer velocity of  $k_{glob}$ =16.5 cm h<sup>-1</sup>(Naegler, 2009) results in a coefficient a=0.2269, using the uncorrected NCEP wind speed distribution. With the  $u_{alt}$  distribution a becomes 0.2439. This is an 9.85 % increase. Our uncorrected value of a=0.2269 differs from the W14 value of a=0.251 because we use a different wind speed distribution. The W14 uses a Rayleigh distribution with  $\sigma = 5.83$ ,
- our NCEP derived  $\sigma = 6.04$  and the corrected NCEP  $\sigma = 5.78$ . This means that the W14 uses a wind speed distribution with a lower global average speed. However, for correction we use the relative gas transfer reduction between our calculated parameterization and our calculated and corrected parameterization. For the calculation of a, we did not use a fitted Rayleigh function but the corrected wind speed distribution from Fig. 6.

A comparison of W14, N00 and the corrected parameterizations is shown in the right panel of Fig. 6. N00 shows the lowest
relationship between u and k. W14 shows a parameterization with a global averaged gas transfer suppression influence and is
therefore slightly higher than N00. It appears that the gas transfer suppression is overcompensating the smaller bubble mediated

gas transfer of  $CO_2$  (W14). The corrected N00 is significantly higher than the W14+9.85 %. We hypothesize that this difference is based on the different bubble mediated gas transfer of He, SF<sub>6</sub>, and CO<sub>2</sub>.

#### 4.4 Global Analysis

5

We used the native global grid  $(0.5^{\circ} \times 0.5^{\circ})$  from the WWIII for the global analysis. The data points from the DMS and CO<sub>2</sub> climatologies as well as all auxiliary variables were interpolated to this grid.

Fig. 7 shows the percentage of gas transfer suppressed data points with respect to the total data points for every month in the year 2014. The average yearly global percentage is 18.6 %. The minimum is 15 % in March and April and the maximum is 22 % in June, July and August. Coastal areas and marginal seas seem to be more influenced than open oceans. The reason could be that gas transfer suppression is likely to occur at developed wind seas when the wind speed is in the same direction and

- 10 magnitude as the wave's phase speed. At coastal areas and marginal seas, the sea state is less influenced by swell and waves that were generated at a remote location. Landmasses block swell from the open ocean to marginal seas. The intra-annual variability of gas transfer suppression is shown in Fig. 8. Additionally, we plotted the occurrences split into ocean basins and Northern and Southern Hemisphere. Two trends are visible. There is a higher percentage of gas transfer suppression in the Northern Hemisphere and, on the time axis, the peak is in the respective (boreal and austral) summer season. The Southern
- 15 Hemisphere has a water-landmass ratio of 81 %, the northern Hemisphere's ratio is 61 %. The area of free open water is therefore greater in the southern part. Fully developed seas without remote swell influence favor gas transfer suppression. In the Southern Hemisphere, the large open ocean areas, where swell can travel longer distances, provide an environment without gas transfer suppression. The peak in summer and minimum in winter can be associated with the respective sea ice extent on the Northern and Southern Hemisphere. Fig. 7 shows that seas, which are usually ice covered in winter, show a high ratio of gas
- 20 transfer suppression.

The global reduction of the  $CO_2$  and DMS flux is calculated using Eq. (13)-(14) and shown for every month in Fig. 9 and 10. Most areas with a reduced influx of  $CO_2$  into the ocean are in the northern Hemisphere. The only reduced  $CO_2$  influx areas of the Southern Hemisphere are in the south Atlantic and west of Australia and New Zealand. Significantly reduced  $CO_2$  efflux areas are found in the northern tropical Atlantic, especially in the boreal summer months, the northern Indian Ocean and the

25 Southern Ocean.

For the DMS flux (Fig. 9) the absolute values of reduction, due to gas transfer suppression, coincide with the summer maximum of DMS concentration and therefore large air-sea fluxes (Lana et al., 2011; Simó and Pedrós-Alió, 1999). In the boreal winter the northern Indian Ocean also shows a high level (10  $\mu$ mol m<sup>2</sup> d<sup>-1</sup>) of reduction. The highest water concentrations and fluxes in the Indian Ocean are found in boreal summer (Lana et al., 2011), which does not seem to be greatly influenced

30 by gas transfer suppression.

The total amount of carbon taken up by the ocean is shown in Tab. 3. We calculate a total carbon uptake for the year 2014 of 1.15 Pg C for the N00 parameterization without the effect of gas transfer suppression. This value is reduced by the gas transfer suppression model to 1.06 Pg C, which is a reduction of 8 %. The W14 parameterization yields an uptake of 1.16 Pg C and with the suppression model an uptake of 1.06 Pg C which is a difference of 9 %. The decrease of the oceanic uptake using the

W14 parameterization is just calculated for comparative and example reasons to show the effect on this parameterization type. The W14 parameterization already includes an average estimate for gas transfer suppression and no suppression needs to be added on top. For the parameterization used in the Takahashi climatology (Takahashi et al., 2009), we calculated a total uptake of 1.28 Pg C without gas transfer suppression. Adding the effect of gas transfer suppression, we get a value of 1.19 Pgram C

- 5 which is a reduction of 7 %. The global value from the Takahashi climatology (Takahashi et al., 2009) is 1.42 Pgram C yr<sup>-1</sup>. Rödenbeck (Rödenbeck et al., 2015) estimate 1.75 Pg C yr<sup>-1</sup> as uptake between 1992 and 2009. The difference between our calculation and the estimates from the global climatologies are [1] due to the different reference year, Takahashi 2000 / Rödenbeck 1992-2009 / this study 2014, which leads to different wind speed, ΔpCO<sub>2</sub> and SST data. [2] The data set and influence for sea ice cover is different. However, the estimated reduction of 7-9 %, due to gas transfer suppression, is also valid for the
- 10 Takahashi and Rödenbeck estimates.

The DMS emissions from the ocean to the atmosphere are shown in Tab. 4. The calculated total emission from the N00 parameterization is 50.72 Tg DMS  $yr^{-1}$  for the year 2014. This is reduced, due to our gas transfer suppression calculations, to 45.47 Tg DMS  $yr^{-1}$ , which is a reduction of 11 %. The linear parameterization ZA18 estimates an emission of 56.22 Tg DMS  $yr^{-1}$ . Using the gas transfer suppression model the linear parameterization is reduced to 51.07 Tg DMS  $yr^{-1}$ ,

- 15 which is a reduction of 11 %. Global estimates are 54.39 Tg DMS yr<sup>-1</sup> (Lana et al., 2011) and 45.5 Tg DMS yr<sup>-1</sup> (Lennartz et al., 2015). Similar to the reasons we mentioned in the paragraph above, a difference in wind speed or sea ice coverage could be the reason for the difference in the global emission estimated between the Lana climatology and our calculations with the N00 parameterization. Lennartz et al. (2015) uses the water concentrations from the Lana climatology, but includes air-side DMS concentrations, which reduces the flux by 17 %. We do not include air-side DMS concentrations but gas transfer sup-
- 20 pression, which reduces the flux by 11 %. Including both processes we can expect a reduction of 20-30 %. The global CO<sub>2</sub> air-sea flux is reduced by 7-9 % due to gas transfer suppression. The impact on the DMS climatology is 11 %. This is in the range of 9.85 % which is the estimated influence of gas transfer suppression on the W14 parametrization through a different wind speed distribution. The different reduction percentages between these two gases are attributed to the larger bubble mediated gas transfer of CO<sub>2</sub>, which compensated the loss of flux for CO<sub>2</sub> but not for DMS.

#### 25 5 Conclusions

We provide a model to correct for the gas transfer suppression due to wind-wave interaction (Zavarsky et al., 2018).  $\text{Re}_{tr}$  and the resulting alternative wind speed  $u_{alt}$  can be calculated from standard meteorological and oceanographic variables. Additionally the condition (period, height, direction) of the ocean waves have to be known or retrieved from wave models. The calculation is iterative and can be easily implemented. The effect of the correction is shown with two data sets from the

30 Knorr11 (Bell et al., 2017) and the SO234-2/235 cruise (Zavarsky et al., 2018). Both data sets show, after the correction, a better agreement with the linear ZA18 parameterizations (Tab. 1and Tab. 2), which only contains non suppressed gas transfer velocity measurements from the SO 234-2/235 cruise. Generally, the correction may be only applied to the interfacial gas transfer velocity  $k_o$ .

We investigated the individual measurements leading to the N00 gas transfer parameterization for the influence of gas transfer suppression. We think that the overall parameterization is heavily influenced by gas transfer suppression but, due to the measurement method (dual tracer measurements), the suppression is masked by bubble mediated gas transfer. We show a significant negative correlation between the occurrence of gas transfer suppression and the ratio of the individual measurement to the N00

5 parameterization. We applied a gas transfer suppression correction and fitted a new quadratic function to the corrected data set. The new parameterization is on average 22 % higher than the original N00 parameterization. This leads to the conclusion that gas transfer suppression influences gas transfer parameterizations, even if it is not directly visible, via a smaller slope. For the W14 parameterization we used a global wind speed climatology for the year 2014 and applied the gas transfer sup-

pression model  $u_{10} \rightarrow u_{alt}$ . Using the distribution function of  $u_{alt}$  we calculated a corrected gas transfer parameterization. The

- 10 coefficient of the corrected parameterization is 9.85 % higher than the original one. W14 already includes the global average of gas transfer suppression. Therefore the increase, due to the correction, is expected to be less than the one for N00. The uncorrected N00 is lower than W14, but after correction N00 is larger than the corrected W14, which is expected due to the larger bubble mediated gas transfer of He and  $SF_6$  over  $CO_2$ .
- In addition, we calculated the global carbon uptake of CO<sub>2</sub> due to air-sea exchange and the global emission of DMS. The reduction, due to the consideration of gas transfer suppression, is between 7-9 % for CO<sub>2</sub> and 11 % for DMS. This is in the range of the calculated influence of gas transfer suppression on the global parameterization W14.

We think that gas transfer suppression has a global influence on air-sea gas exchange of 7-11 %. These numbers are supported by the correction of the W14 parametrization as well a global DMS and CO<sub>2</sub> gas transfer calculation. Local conditions may lead to much higher influences. Gas transfer velocity parameterizations from regional data sets might be heavily influenced by
gas transfer suppression. We have shown this for the N00 parameterization. This should be considered with their use.

- For global calculations we recommend the use of the Wanninkhof parameterizations (Wanninkhof, 2014), as it already has an average global gas transfer suppression included. We recommend using a linear parameterization (e.g. ZA18) for rather soluble gases, such as DMS, in the cases of non-suppressed gas transfer. The suppression can be determined using the  $Re_{tr}$ parameter. If conditions favor suppression, we recommend our iterative approach to correct u to  $u_{alt}$  (Fig. 1). For gases with a
- similar solubility as  $CO_2$ , we recommend the use of W14. In case of no gas transfer suppression, we recommend the use of the corrected W14+9.85 % parameterization. The corrected N00 (N00+22 %) parameterization is recommended for very insoluble gases with the absence of gas transfer suppression, the original N00 is recommended for the gas transfer suppressed case.

*Data availability.* The wave data is available at the website of the NOAA Environmental Modelling Center. The ERA-Interim data is available at the website of the ECMWF. The data is stored at the data portal of GEOMAR Kiel.

#### **Appendix A: Directional dependencies**

Figure A1 shows the shape of the wave (half sphere) as experienced by the wind flowing over it with a certain angle  $\theta$ . The larger  $\theta$  the more streamlined the wave (half sphere). The more streamlined the more difficult it is to generate turbulence, which counteracts the flow detachment and as a consequence gas transfer suppression.

5 Wind at an angle of  $\theta = 90^{\circ}$  does not experience a wave crest or trough, but rather an along-wind corrugated surface. In this case there should be no gas transfer suppression. Zavarsky et al. (2018) predicts a non suppressed condition around  $\operatorname{Re}_{tr} = 0$ , which coincides with  $\theta \approx 90^{\circ}$  or  $u_{tr} \rightarrow 0$ . Both conditions rarely occur and must be investigated in the future.

#### Appendix B: Correction of wind speed or correction of k

A shift on the x-axis from  $u_{10}$  to  $u_{alt}$  is, when related to a linear relationship, equivalent to an increase of k by  $\Delta k$ . As gas

10 transfer suppression only affects interfacial gas transfer we use the ZA18 parameterization, which is a linear relationship describing  $k_{o}$ , as a reference and correction (Eq. 12). Figure A2 illustrates the two different possibilities of correcting suppressed gas transfer values. The correction of the two DMS data sets (SO234-2/235 and Knorr11) is done by shifting  $u_{10}$  along the x-axis to  $u_{alt}$ . We want to test whether  $u_{10}$  can be directly replaced by  $u_{alt}$  for  $k_o$  parameterizations. Gas transfer suppression corrections for bubble

15 influenced parameterizations are done by adding  $\Delta k$ , which is directly related to the difference  $\Delta u = u_{10} - u_{alt}$ .

Competing interests. The authors declare no competing interests.

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Figure 1. Work flow of the gas transfer suppression model. In the case of suppressed gas transfer, the output is the corrected wind speed  $u_{alt}$ , which then can be used in gas transfer parameterizations. The step size  $\Delta s$  can be adapted freely, but considerations of resolution and computing power have to be made. For this manuscript we set  $\Delta s = 0.3 m s^{-1}$ .



**Figure 2.** Correction of the SO234-2/235 DMS fluxes. The data points with  $|Re_{tr}| \prec 6.96 \cdot 10^5$  were corrected using the gas transfer suppression model. Black circles denote k values at the original wind speed  $u_{10}$ . Colored filled circles denote the k value at wind speed= $u_{alt}$ . The color shows the significant wave height. If a data point has a concentric black and filled circle, it was not corrected as it was not subject to gas transfer suppression. The black solid line is the ZA18 parameterization. The dotted line is the linear fit to the data points before the correction, the dashed line is the linear fit after the correction.



**Figure 3.** Correction of the Knorr11 DMS fluxes. The data points with  $|Re_{tr}| \prec 6.96 \cdot 10^5$  were corrected using the gas transfer suppression model. Black circles denote k values at the original wind speed u<sub>10</sub>. Colored filled circles denote the k value at wind speed=u<sub>alt</sub>. The color shows the significant wave height. If a data point has a concentric black and filled circle, it was not corrected as it was not subject to gas transfer suppression. The black solid line is the ZA18 parameterization. The dotted line is the linear fit to the data points before the correction, the dashed line is the linear fit after the correction.



**Figure 4.** Individual dual tracer measurements which contribute to the N00 (solid line) parameterization [left panel]. The relationship of the gas suppression ratio to the measurement/N00 ratio [right panel]. The solid line in the right panel is a fit to the suppression to measurement/N00 relationship. A higher suppression ratio indicates a longer influence of gas transfer suppression on the data point. The two red circles denote the outlier points which are discussed in the text. The black solid line is a fit using the function  $y(x) = a_1 + a_2 \cdot \frac{1}{x-a_3}$ . The fit coefficients are: $a_1 = 1.52$ ,  $a_2 = 0.14$  and  $a_3 = 1.18$ .



**Figure 5.** Corrected individual measurements, comprising the N00 parameterization, resulting from the algorithm described in Sect. 3. The difference between  $u_{alt}$  and the original  $u_{10}$  was added to k using the linear parameterization ZA18, which corrects the suppression of ko due to wind-wave interaction. This is correcting the suppression of  $k_o$  due to wind-wave interaction. The black solid line is the original N00 parametrization. The red line is a new quadratic fit to the corrected data points k=0.359\*u<sup>2</sup>.



Figure 6. Wind speed distributions for the year 2014 [left panel]. The solid line is NCEP derived wind speed distribution, the dashed line the wind speed distribution of the corrected wind speed  $u_{alt}$ . Comparison of original and suppression corrected k vs wind speed parameterizations [right panel].



**Figure 7.** The global probability of experiencing gas transfer suppression during the respective month (2014). The percentage is the number of gas transfer suppressed occurrences with respect to the total data points with a 3 h resolution.



**Figure 8.** The probability of experiencing gas transfer suppression during the respective month (2014) divided into ocean basins and hemisphere. The Southern Ocean was added to the southern part of the respective ocean basin. The percentage is the number of gas transfer suppressed instances with respect to the total data points with a 3 h resolution.



**Figure 9.** The absolute change of CO<sub>2</sub> gas transfer due to suppression for each month of 2014. Negative values (blue) denote areas where a flux into the ocean is reduced by the shown value. Positive values denote areas where flux out of the ocean is reduced by the shown value. The change is calculated using the bulk flux formula (Eq. 1) and  $\Delta k$  (Eq. 12).



**Figure 10.** The absolute change of DMS gas transfer due to suppression for each month of 2014. The shown magnitudes denote the reduction by gas transfer suppression. The change is calculated using the bulk flux formula (Eq. 1) and  $\Delta k$  (Eq. 12).

reference fit	SO234-2/235	Knorr11	SO234-2/235	Knorr11
all [cm $h^{-1}$ ]	mean diff.	mean diff.	mean(  )	mean(  )
lin. fit SO234-2/235 to corrected	-1.2	-6.96	5.5	8.1
lin. fit SO234-2/235 to uncorrected	-2.8	-10.3	6.4	10.7

**Table 1.** Mean differences between the fits in column one and the corrected and the uncorrected k data sets. A negative value describes that the fit, on average, overestimates the actual measured data. The mean of the absolute value is presented in the last two columns.

	Knorr11	SO234-2/235
uncorrec	ed $k_{660} = 0.52 \pm 0.4 \cdot u + 5.79 \pm 4.82$	$k_{660} = 2 \pm 0.42 \cdot u + 0.94 \pm 2.48$
correcte	d $k_{660} = 2.27 \pm 0.5 \cdot u - 3.29 \pm 4.08$	$k_{660} = 2.28 \pm 0.45 \cdot u - 0.63 \pm 4.14$

**Table 2.** Linear fits to the corrected and uncorrected data sets of Knorr11 and SO234-2/235. The error estimates correspond to a 95 % confidence interval.

parameterization	flux [Pg C]
N00	1.15
N00 Re $_{tr}$	1.06
W14	1.16
W14 $\operatorname{Re}_{tr}$	1.06
Tak09	1.28
Tak09 Re <sub>tr</sub>	1.19
Takahashi 2009 (Takahashi et al., 2009)	$1.42 \text{ Pg vr}^{-1}$

Takahashi 2009 (Takahashi et al., 2009) $1.42 \text{ Pg yr}^{-1}$ Rödenbeck (Rödenbeck et al., 2015) $1.75 \text{ Pg yr}^{-1}$ Table 3. 2014 carbon flux in Pg. Re $_{tr}$  indicates an application of the gas transfer suppression model. The last two lines are estimates from previously published work.

parameterization	flux [Tg DMS yr <sup>-1</sup> ]	
N00	50.72	
N00 Re $_{tr}$	45.47	
ZA18	56.22	
ZA18 $\operatorname{Re}_{tr}$	51.07	
Lana et al. (2011)	54.39 Tg DMS yr <sup>-1</sup>	
Lennartz et al. (2015)	$45.5 \text{ Tg DMS yr}^{-1}$	

**Table 4.** 2014 DMS flux in Tg.  $\text{Re}_{tr}$  indicates an application of the gas transfer suppression model. The last two lines are estimated from global climatologies



Figure A1. The streamlined shape of a wave (cylindrical half sphere) that experiences wind flowing over it from various angles,  $\theta$ .



Figure A2. Illustration of the gas transfer suppression correction along either the wind speed or gas transfer velocity axis.