

1 Particle number size distribution and new particle formation under influence of
2 biomass burning at a high altitude background site of Mt. Yulong (3410m) in China

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13 **Abstract**

14 Biomass burning (BB) activities have a great impact on particle number size
15 distribution (PNSD) in upper troposphere of Tibet-Plateau, which could affect regional
16 and global climate. The intensive campaign for the measurement of PNSD, gaseous
17 pollutants and meteorological parameters was conducted at Mt. Yulong, a high-altitude
18 site (3410 m a. s. l.) in the southeast of Tibet Plateau during the pre-monsoon season
19 (22 March to 15 April), when the intensive BB activities in South Asia were observed
20 by fire maps. Long-range transport of BB pollutants could increase the accumulation
21 mode particles in background atmosphere of Mt. Yulong. As a consequence, cloud
22 condensation nuclei (CCN) concentration was found to be 2-8 times higher during BB
23 periods than that during clean period. Apart from BB, variation of planet boundary layer
24 (PBL) and new particle formation were other factors that influenced PNSD. However,
25 only 3 NPF events (with a frequency of 14 %) were observed at Mt. Yulong. Occurrence
26 of NPF events during clean episode corresponded with elevated PBL or transported BB
27 pollutants. Due to lack of condensable vapors including sulfuric acid and organic
28 compounds, the newly formed particles were not able to grow to CCN size. Our study
29 emphasized the influences of BB on aerosol and CCN concentration in atmosphere of
30 Tibet Plateau. These results can improve our understanding of the variation of particle

31 concentration in upper troposphere, and provide information for regional and global
32 climate models.

33 Key words: Tibet-Plateau, particle number size distribution, biomass burning,
34 CCN, new particle formation

35 1. Introduction

36 The aerosol particles can influence the radiation of the planet surface through
37 scattering the sunlight, and cloud albedo by serving as cloud condensation nuclei (CCN)
38 (IPCC, 2013). The cloud albedo effect of aerosols provides the biggest uncertainties in
39 global climate models (IPCC, 2013), and depends strongly on number concentration
40 and size of particles. Numerous studies concentrated on monitoring particle number
41 size distribution (PNSD) within the planet boundary layer (PBL), where anthropogenic
42 sources have strong impacts (Peng et al., 2014). While the particles in pristine free
43 troposphere (FT) were rarely studied. Particles in FT mainly originated from lifting of
44 emission within PBL by convective, frontal, and orographic lifting (Okamoto and
45 Tanimoto, 2016), or atmospheric nucleation. Those particles have longer lifetime and
46 could be transported in a longer distance, during which they could exchange with PBL
47 (Shen et al., 2016;D'Andrea et al., 2016). Hence, studies on FT are important because :
48 1) CCN in FT could influence cloud albedo more directly compared to surface CCN; 2)
49 FT served as a route of long-range transport of pollutants. Aircraft study is a direct way
50 to measure the FT particles, but it is costly and can only provide data within short
51 periods. Therefore, measurement at high mountain sites is one common method to study
52 the FT particles and analyze the influences of pollution transport on FT (Shen et al.,
53 2016).

54 Particles originated from BB in South Asia could have impacts on vast
55 atmosphere of Tibet Plateau by transport in FT. As the highest plateau in the world,
56 Tibet Plateau has very few anthropogenic sources, and could be taken as the continent
57 background. However, recent studies revealed that the smoke plume in South Asia
58 could ascend to FT, and transport to Himalayas and the mountain valley of Tibet Plateau
59 during pre-monsoon season (Cong et al., 2015;Lüthi et al., 2015;Bukowiecki et al.,
60 2016). During pre-monsoon season, the enhanced convection and steep pressure

61 gradient across the Himalaya-Gangetic region could rise the BB particles to higher
62 altitude (Gautam et al., 2009;Adak, 2014). The particles could be transported by dry
63 westerly, and have impacts on aerosols in Tibet Plateau region (Bonasoni et al.,
64 2010;Chen et al., 2014). Former studies verify South Asian BB's influence in Tibet
65 Plateau by chemical analysis of K^+ , levoglucosan, etc. However, there was limited
66 information of variation of PNSD under influence of BB. Also, there were limited
67 studies concerning the contribution of BB to CCN in Tibet Plateau.

68 Except primary emissions, new particle formation (NPF) is another important
69 source of particles in FT, but with limited measurement. According to model results,
70 nucleation in FT contribute to 35 % of the CCN globally (Merikanto et al., 2009).
71 Considering the level of pre-existing particles in FT is relatively low, it should provide
72 a good condition for nucleation of the nanoparticles. As a result, NPF has been observed
73 to happen frequently in FT, including Mt. Tai (1500m a.s.l.) (Shen et al., 2016),
74 Mediterranean Sea (1000m-300m a.s.l.) (Rose et al., 2015), Mt. Puy de Dôme (1465 m
75 a.s.l), Mt. Izana (2367m a.s.l.) (Rodríguez et al., 2009;García et al., 2014), Colorado
76 Rocky Mountains (2900m a.s.l.) (Boy et al., 2008), etc. While NPF events happened
77 less frequently at Indian foothill Himalayas (2080m) (Neitola et al., 2011). Studies at
78 mountain sites considered that the frequency of NPF corresponded to the rise of PBL
79 height, which could raise the concentration of anthropogenic SO_2 , NH_3 and other
80 nucleation precursors. Mechanisms of formation and the growth of nanoparticles in FT
81 remain ambiguous (Bianchi et al., 2016), thus comprehensive measurements of PNSD
82 as well as trace gases at high-mountain sites are necessary to provide information
83 around this topic.

84 This study aimed to: 1) investigate the influence of BB from South Asia on PNSD
85 and CCN concentration at South east of Tibet Plateau; 2) characterize the NPF at high-
86 mountain sites. For purposes of these, a comprehensive measurement was conducted at
87 a background site in Mt. Yulong (3410 m a.s.l.), during the pre-monsoon season.

88 2. Experiments and data analysis

89 2.1 Monitoring site

90 An intensive field campaign was conducted during 22 March to 15 April, at a high
91 mountains site of Mt. Yulong (27.2N, 100.2E) in Southwest China and Southeast corner
92 of Tibet Plateau, with an altitude of 3410 m a. s. l. This site is one of national regional
93 background sites coordinated by the Chinese Environmental Monitoring Center
94 (CEMC), which is a remote site on the transport route of South Asian pollutants during
95 pre-monsoon season. At the foot of the Mt. Yulong, 36 km to the south of the site is the
96 famous Lijiang Old Town, a populated tourist place. More details of the monitoring site
97 can be found in another paper (Zheng et al., 2017).

98 2.2 Instrumentation

99 PNSD was measured with a time resolution of 5 min, by two set of scanning
100 mobility particle sizer (SMPS, TSI Inc., St. Paul, MN, USA) and an aerodynamic
101 particle sizer (APS, TSI model 3321, TSI Inc., St. Paul, MN, USA). The first set of
102 SMPS consisted of a short differential mobility analyzer (DMA, Model 3085) and an
103 ultra-condensing particle counter (UCPC, Model 3776, flowrate 1.5 L/min) was used
104 to measure the 3-60 nm particles. Another SMPS with long DMA (Model 3081) and
105 normal CPC (Model 3022, flow rate 0.3 L/min) was used for measuring 60-700 nm
106 particles. A silicon diffusion tube was placed before the SMPS, controlling the relative
107 humidity of sampling air under 35 %. Diffusion loss and multiple charging calibration
108 of the particles was done for SMPS data. APS with flow rate of 1 L/min was used for
109 measuring 0.5-10 μm particles. The result of APS was modified to stokes diameter
110 assuming the particle density to be $1.7 \mu\text{g}/\text{m}^3$ before combining with SMPS data. A
111 bypass flow was added before the inlet cutoff, to meet the working flow rate of the
112 PM_{10} cyclone (16.7 L/min).

113 To investigate the BB influences in aerosols, a high-resolution time-of-flight aerosol
114 mass spectrometer (HR-TOF-AMS) was deployed to measure the chemical
115 composition of aerosols. Through this instrument, we can obtain the concentration of
116 nitrate, sulfate, ammonium, chloride and high-resolution mass spectrum of organics,

117 especially the fragments of BB organic markers. Black carbon (BC) is another
118 important marker for combustion sources. In this study, BC was measured with an
119 aethalometer (Magee Scientific, USA, type AE31), by collecting aerosol particles on a
120 filter stripe, and analyzing the transmission of the lights with seven wave length, from
121 370 to 950 nm. BC concentration was calculated as a multiple of the light absorption
122 coefficient at 880nm, with the default mass attenuation cross sections of $16.6 \text{ m}^2 \text{ g}^{-1}$
123 (Fröhlich et al., 2015). To get the concentration of organic tracers of the new particle
124 formation, an online-gas chromatography coupled with mass spectrometer and flame
125 ionization detectors (GC-MS/FID) was used to measure the non-methane hydrocarbons
126 (NMHCs), including benzene, toluene, monoterpene, etc.

127 Meteorological parameters, $\text{PM}_{2.5}$ and trace gases were also measured by online
128 instruments during the campaign (Table S1). NO and NO_2 measurement was conducted
129 by a commercial instrument (Thermo Electron model 42i NO- NO_2 - NO_x analyzer) with
130 Chemiluminescence technique. NO_2 was deoxidized to NO by a molybdenum catalyzer
131 before detection. O_3 measurement was performed by ultraviolet (UV) absorption with
132 Thermo Electron model 49i. CO was detected by an infrared spectrophotometry
133 (Thermo Electron models 48i-TLE). SO_2 was measured by a commercial instrument
134 (Thermo Electron models 43i-TLE) with ultraviolet fluorescence method. Due to the
135 noise of the instrument and the quite low concentration of SO_2 , the relative uncertainties
136 of SO_2 measurement was high for data under 0.05 ppb.

137

138 2.3 Data processing

139 2.3.1 Backward trajectory analysis

140 The 48h backward trajectories of the air mass were computed at 4000 m a.s.l. (600
141 m above the ground of the Mt. Yulong site) by the Weather Research and Forecasting
142 (WRF) model (version 3.61) to identify the impacts from South Asia. The fire spots
143 were obtained from the satellite map from Moderate Resolution Imaging
144 Spectroradiometer (MODIS) (<https://firms.modaps.eosdis.nasa.gov/firemap/>). In order

145 to characterize the air mass origin during the NPF events, the 48h backward trajectories
 146 at 600 m above the ground were calculated by NOAA HYSPLIT 4 (Hybrid Single-
 147 Particle Lagrangian Integrated Trajectory) model (Draxier and Hess, 1998).

148

149 2.3.2 Parameterization of NPF

150 The data of each PNSD during NPF was fitted as the sum of three or two mode
 151 lognormal distribution (Hussein et al., 2005), including the geometric mean diameter
 152 D_m , geometric standard deviation σ_m and total number concentration of each mode.
 153 During the NPF events, the growth rate (GR) was calculated as the variation of the
 154 mean diameter D_m of newly formed mode in unit interval:

$$155 \quad \text{GR} = \frac{\Delta D_m}{\Delta t} \quad (1)$$

156 Formation rate was calculated for nucleation fraction of the particles (3-25 nm), with
 157 the formula:

$$158 \quad J_{3-25} = \frac{dN_{3-25}}{dt} + N_{3-25} \cdot \text{CoagS}_8 + F_{growth} \quad (2)$$

159 in this formula, N_{3-25} is number concentration of particles within size range of 3-25 nm,
 160 CoagS_8 is the coagulation rate of particles with diameter of 8 nm, which is the geometric
 161 mean of 3-25 nm. The coagulation rate was calculated as:

$$162 \quad \text{CoagS}(D_p) = \int K(D_p, D'_p) n(D'_p) dD'_p \quad (3)$$

163 in which $n(D'_p)$ is number concentration of particles with size of D'_p , $K(D_p, D'_p)$
 164 is the coagulation coefficient between D_p and D'_p particles. During nucleation events,
 165 there were negligible particles that grew beyond 25 nm, so the last term in formula of
 166 was not included (Dal Maso et al., 2005). To quantify the limitation of NPF from pre-
 167 existing particles, the condensation sink was calculated as:

$$168 \quad \text{CS} = 2\pi D \sum_i \beta \cdot D_i \cdot N_i \quad (4)$$

169 where D is the diffusion coefficient of the condensational vapor, e.g. sulfuric acid, β the
 170 transitional regime correction factor, D_i and N_i are the diameter and number
 171 concentration of particles in class i . In calculation described above, all diameters were

172 dry diameter directly measured from SMPS, so the coagulation and condensation sink
 173 could be underestimated.

174 Sulfuric acid was thought to be the most important precursor of NPF events (Sipilä
 175 et al., 2010), and could contribute to particle growth by condensation (Yue et al.,
 176 2010; Zhang et al., 2012). In this study, the content of H₂SO₄ was calculated by a
 177 pseudo-steady state method (Kulmala et al., 2001):

$$178 \quad [\text{H}_2\text{SO}_4] = k \cdot [\text{OH}][\text{SO}_2]/\text{CS} \quad (5)$$

179 in which [OH] and [SO₂] are number concentration of OH radicals and SO₂, value of k
 180 is 10⁻¹² cm³s⁻¹. [OH] was estimated by:

$$181 \quad [\text{OH}] = a(JO^1D)^\alpha (J_{\text{NO}_2})^\beta \frac{b[\text{NO}_2]+1}{c[\text{NO}_2]^2+d[\text{NO}_2]+1} \quad (6)$$

182 in which α=0.83, β=0.19, a=4.1×10⁹, b=140, c=0.41, d=1.7 (Ehhalt and Rohrer, 2000).
 183 Contribution of sulfuric acid condensation to particle growth was calculated by Yue et
 184 al.'s (2010) method.

185 2.3.3 Calculation of CCN concentration

186 In order to evaluate the variation of indirect climate effects of the particles at Mt.
 187 Yulong, CCN number concentration was estimated from data of PNSD and particle
 188 chemical composition. Firstly, the SNA (sulfate, nitrate, ammonium) was ion-coupled
 189 to get exact chemical compounds of the inorganic salts in particles. NH₄NO₃, H₂SO₄,
 190 NH₄HSO₄ and (NH₄)₂SO₄ were calculated following the formula:

$$191 \quad n_{\text{NH}_4\text{NO}_3} = n_{\text{NO}_3^-},$$

$$192 \quad n_{\text{H}_2\text{SO}_4} = \max(0, n_{\text{SO}_4^{2-}} - n_{\text{NH}_4^+} + n_{\text{NO}_3^-}),$$

$$193 \quad n_{\text{NH}_4\text{HSO}_4} = \min(2n_{\text{SO}_4^{2-}} - n_{\text{NH}_4^+} + n_{\text{NO}_3^-}, n_{\text{NH}_4^+} - n_{\text{NO}_3^-}),$$

$$194 \quad n_{(\text{NH}_4)_2\text{SO}_4} = \max(n_{\text{NH}_4^+} - n_{\text{NO}_3^-} - n_{\text{SO}_4^{2-}}, 0),$$

195 where *n* is the mole number of the specific compounds (Gysel et al., 2007). Based on
 196 κ-Köhler theory and Zdanovskii–Stokes–Robinson (ZSR) mixing rule, the hygroscopic
 197 parameter of mixed particles can be calculated as (Petters and Kreidenweis, 2007):

$$198 \quad \kappa = \sum_1^n \varepsilon_m \kappa_m$$

199 where ε_m is the volume fraction of the composition m in particles, and κ_m is the
200 hygroscopic parameter of pure composition m . In this research, we consider secondary
201 inorganic ions, organics and BC as majority composition of particles, and put them into
202 the ZSR mixing formula. The correlated parameters of the compounds we used are in
203 table 1.

204 Based on κ -Köhler theory, the relationship between κ and D_c under certain
205 supersaturation (S_c) is:

$$206 \quad \kappa = \frac{4A^3}{27D_c^3 \ln^2 S_c}, \quad A = \frac{4\sigma_{s/a} M_w}{RT\rho_w}$$

207 in which $\sigma_{s/a}$ is the surface tension of water, M_w and ρ_w is the molecular weight and
208 density of water respectively, R is $8.317 \text{ J mol}^{-1} \text{ K}^{-1}$, T is the ambient temperature. With
209 the κ of the particles, the critical diameter D_c of the CCN activation can be achieved
210 with this formula. Then the number concentration of CCN can be calculated as number
211 concentration of particles larger than D_c .

212

213 3. Results and discussion

214 3.1 Particle number size distribution

215 3.1.1 Particle and meteorology parameters

216 Fig.1 shows the time series of PNSD and correlating meteorological parameters.
217 Temperature and relative humidity was $6.1 \pm 3.5 \text{ }^\circ\text{C}$ and $54.9 \pm 19.7 \%$, respectively
218 (Fig.1b). Southeast wind was dominant during the campaign, followed by South wind
219 and Southwest wind. Average wind speed was $2.9 \pm 1.8 \text{ m/s}$ (Fig S1). Most of the
220 monitoring days were sunny, in favor of nucleation process, while short time rainfall
221 occurred on 24, 26 March and 4, 6, 7, 8, 10, 11 April. During April 12, there was a
222 heavy snow with the RH more than 90 %.

223 As a background high altitude site in TP, Mt. Yulong site revealed the feature of
224 low particle concentration and strong oxidation capacity. On average $\text{PM}_{2.5}$ was
225 $10.51 \pm 9.16 \text{ } \mu\text{g/m}^3$, similar with the results on Northeast slope of Tibet Plateau (Xu et

226 al., 2014; Du et al., 2015). This result was only 1/10-1/3 of that in the atmosphere of
227 urban and rural regions in China, indicating a background situation in Southwest China
228 (Zheng et al., 2016). However, the PM_{2.5} at Yulong background site during the monsoon
229 season was around 3 times as that at Qilian Shan Station (4180 m a.s.l.) in Northeast of
230 Tibet Plateau (Xu et al., 2015) and at Jungfrauoch (3580 m a.s.l.), Switzerland
231 (Bukowiecki et al., 2016), with similar altitude, indicating relatively stronger
232 anthropogenic influence in in this area. During 22 to 30 March, 4 to 5 April and 11 to
233 12 April, particle mass concentration exceeded 10 µg/m³, building up a pollution
234 episode.

235 During the measurement, ozone level was 50.1 ± 7.0 ppbv, similar with the results
236 at high mountain sites in Europe (Cristofanelli et al., 2016; Okamoto and Tanimoto,
237 2016), higher than the results in Beijing during spring. This indicate that atmosphere at
238 Mt. Yulong site had higher oxidation capacity for secondary transformation of
239 pollutants. The concentration of NO_x and NO was 0.94 ± 0.62 ppbv and 0.07 ± 0.05 ppbv,
240 respectively. SO₂ concentration was 0.06 ± 0.05 ppbv, around the detection limit,
241 showing no strong primary pollution. CO concentration was 0.22 ± 0.07 ppmv, and
242 showed higher level during the start of the campaign (24 to 30 March), which could be
243 resulted from the influence of BB (Fig 1d).

244 Although particles we measured in this study had larger size range than most of
245 other studies, the results can still be comparable, considering that Aitken and
246 accumulation mode particles, which all measurements included, constitute most of the
247 particle number concentration (PN). Table 2 showed particle number concentrations in
248 atmosphere at Mt. Yulong and other high altitude stations. Total number concentration
249 of PM₁₀ was 1600 ± 1290 cm⁻³ during monsoon season of Mt. Yulong, slightly lower
250 than those measured at other sites around Tibet Plateau, e.g. Waliguan and Mukteshwar,
251 and Mt. Huang. However, this result is several times higher than those of areas with
252 scarce emission sources, e.g. Alps and Antarctica. On the other hand, PN didn't show
253 clear trend as the altitude increases, which means the regional emission and transport
254 had larger impact on aerosols in upper troposphere, rather than the vertical distribution.
255 We define N₃₋₂₅, N₂₅₋₁₀₀, N₁₀₀₋₁₀₀₀, N₁₀₀₀₊ as number concentrations of particles with

256 diameters of 3-25 nm, 25-100 nm, 100-1000 nm and 1-10 μm , respectively. There were
257 bursts of N_{3-25} on midday of 29 March, 4 April, 13 April, with the peak value at 9900
258 cm^{-3} , 11700 cm^{-3} and 5400 cm^{-3} , respectively (Fig.1c). During those periods, the
259 geometric mean diameter of the particles was lower than 25 nm. Those events could be
260 resulted from local or regional new particle formation, which would be discussed later.
261

262 3.1.2 Analysis of PNSD and PVSD

263 Average of PNSD during the measurement is showed in Fig.2a. In this study, we
264 sorted the particles by their sizes (Dal Maso et al., 2005). We consider that N_{25-100}
265 correlates to primary emission, and $N_{100-1000}$ has stronger connection with secondary
266 formation. According to results from bench tests and road tests, gasoline and diesel
267 vehicles will emit particles within 10–100 nm (Harris and Maricq, 2001; Kittelson et al.,
268 2006; Benajes et al., 2017; Tan et al., 2017). While studies on source apportionment of
269 atmospheric PNSD considered that the secondary formation or long range transport
270 mainly contributed particles within 100-1000 nm (Wang et al., 2013b; Vu et al., 2015).
271 The diameter with highest particle number concentration ($D_{p-\text{max}}$) was 107 nm. Number
272 concentration ($dN/d\log D_p$) was larger than 1000 cm^{-3} between 40-200 nm, which was
273 the adjacent area of N_{25-100} and $N_{100-1000}$. This indicates that both primary emission
274 sources and secondary formation process had influences at Mt. Yulong site. N_{3-25} , N_{25-}
275 100 , $N_{100-1000}$ were 244 cm^{-3} , 676 cm^{-3} and 638 cm^{-3} , constituting 16 %, 43 % and 41 %
276 of total concentration, respectively.

277 Different from PNSD, particle volume (PV) exhibited a bimodal distribution (Fig
278 2a). The first peak had an extreme value at 340 nm, representing the contribution of
279 primary emission and aging processes. This mass peak constituted 66 % of total PV,
280 including PV_{25-100} (2 %) and $PV_{100-1000}$ (64 %). 3-25 nm particles had negligible
281 influence on PV. Another mode in PV size distribution is within range of 1 μm -10 μm ,
282 with the $D_{p-\text{max}}$ at 2.2 μm . This mode could be attributed to the suspended soil. Volume
283 of 1-10 μm particles constituted 34 % of total PV, similar with Qilian Shan station (38 %)

284 at Northeast Tibet Plateau (Xu et al., 2015), but higher than that urban Beijing (25 %)
285 (Wu et al., 2008), due to the much less emission sources and stronger wind at Mt.
286 Yulong.

287 To better characterize the contribution from different process, the mean PNSD was
288 fitted to three lognormal modes (Fig. 3, Table 3). We define the three fitted modes as
289 nucleation mode, Aitken mode and accumulation mode, based on their geometric mean
290 diameters, which were within 3-25 nm, 25-100 nm and 100-1000 nm, respectively.
291 Nucleation mode can be derived from nucleation process. Nucleation mode contributed
292 15 % to total PN, which was half lower than proportion of nucleation mode particles at
293 Mt. Tai, indicating relatively less impact from nucleation events. Median diameter of
294 Aitken mode and accumulation mode particles are 52 nm and 130 nm. These mean
295 diameters are similar with the results at Jungfraujoch (Bukowiecki et al., 2016) and
296 Beijing (Wu et al., 2008). Accumulation mode particles, correlating with secondary
297 formation (mode_3), contributed 54 % to total PN, which is twice higher than the result
298 in urban Beijing (Wu et al., 2008), and similar with that in pristine atmosphere of
299 Jungfraujoch (Bukowiecki et al., 2016). This result indicates that aerosols arrived at Mt.
300 Yulong were aged during the transport.

301

302 3.2 Influence of PBL diurnal variation on PNSD

303 Figure 4 shows the diurnal variation of N_{3-25} , N_{25-100} , $N_{100-1000}$ during the sampling
304 period. The particle number concentration in nucleation fraction and Aitken fraction
305 showed a clear diurnal variation. Mean value of N_{3-25} started to increase at 10:00 in the
306 morning, and reached around 500 cm^{-3} at noon due to nucleation events at noon (Fig.
307 4a). However, the median of N_{3-25} didn't showed similar diurnal variation because of
308 low NPF frequency. On the other hand, both mean and median of N_{25-100} showed a local
309 maximum during 10:00-14:00 (Fig. 4b). NPF events could not cause this variation,
310 since newly formed particles were not able to grow to 25 nm in the morning. So the
311 increased 25-100 nm particles originated from primary sources, e.g. traffic sources and

312 biomass burning. Considering that no anthropogenic emission sources around the site,
313 those primary particles could be transported from other regions. During noon time, as
314 the convection is strongest, N_{25-100} could be raised by the elevated urban PBL during
315 the day, and anthropogenic particle injected during this process (Tröstl et al., 2016b).
316 Adak et al. (2014) also reported that number concentration of PM_1 increased during day
317 time, corresponding with the up-slope valley wind. In the afternoon, the convection
318 become weaker, and the larger wind speed (Fig. 4e) had stronger scavenging effect on
319 those primary particles, so N_{25-100} decreased at around 14:00.

320 The diurnal change of absolute water content also support that Mt. Yulong site was
321 influenced by elevated PBL during midday. The water concentration was calculated
322 based on temperature and relative humidity, and showed an increase from 3.3 g m^{-1} to
323 4.2 g m^{-1} during 9:00-12:00, and descended back to 3.6 g m^{-1} till 14:00 (Fig. 4f). This
324 systematic water content variation indicates that the site was influenced by the PBL
325 during day time. Shen et al (2016) used the increase of water content together with
326 Aitken mode particles, to separate the PBL conditions at Mt. Tai. The value of CO/NO_y
327 and NO_y/NO_x was used in other studies to determine the age of the air masses arriving
328 high altitude sites (Tröstl et al., 2016b; Zellweger et al., 2003; Jaeglé et al., 1998).
329 Because NO_y was not measured in this study, we used CO/NO_x to estimate the age of
330 air mass since contact with primary emission. CO/NO_x was 287 ± 146 at Mt. Yulong,
331 lower than Jungfraujoeh (Herrmann et al., 2015), Mt. Cimone (Cristofanelli et al., 2016)
332 and Kansas (Jaeglé et al., 1998), indicating a stronger anthropogenic influence. The
333 diurnal variation of CO/NO_x showed minimum during 9:00-14:00 (Fig. 4d), consistent
334 with the local maximum of water content and N_{25-100} . The diurnal variation of O_3/NO_x
335 exhibited a similar trend as CO/NO_x , with an average of 69.6 during 10:00-14:00, and
336 83.6 during 1:00-6:00 (Fig. S2). Those evidences indicate that at least during 10:00-
337 14:00, Mt. Yulong site was influenced by elevated PBL. On the other hand, we consider
338 the data during 1:00-6:00 as the condition within FT, when N_{25-100} and water content
339 were lowest and CO/NO_x were highest. However, $N_{100-1000}$ didn't show obvious diurnal
340 variation, indicating the elevated PBL didn't inject large amount of 100-1000 nm
341 particles.

342 3.3 Influences of BB on Mt. Yulong

343 3.3.1 Identification of BB episodes

344 Background condition (BG) was picked during 1 to 4 April, when the
345 concentration of BC was 85 ng m^{-3} on average. During this period, the wind was
346 relatively stronger, and fire spots were barely found on the westward path of the air
347 mass (Fig. S3). Based on the background condition, three BB events were identified by
348 the following criteria: 1) BC was more than the background level (85 ng m^{-3}); 2) higher
349 fraction of f_{60} than during BG (0.4 %); 3) fire spots appeared in the source regions of
350 the air masses or surrounding areas of the site.

351 During the first BB event (BB1, 22 to 30 March), dense fire spots were found on
352 the source region in north Burma. BC concentration ($1.2 \text{ } \mu\text{g m}^{-3}$), PV and f_{60} signal
353 showed highest level during BB1. Trajectories of BB2 (5 to 6 April) passed fewer fire
354 spots in South Asia than BB1, and the BC concentration was lower ($0.3 \text{ } \mu\text{g m}^{-3}$).
355 Concentration of organic components during BB2 was 4 times as that during
356 background period. According to an AMS study from the same campaign, organic
357 particles during BB2 were mainly influenced by transported oxidized organics
358 originated from biomass burning (OOA-BB), other than fresh BB organic aerosols
359 (Zheng et al., 2017). The proportion of f_{60} was highest (1.4 %) during BB3 (11 to 12
360 April), showing strong BB influence. However, few fire spots were observed on the
361 path of air mass, indicating the BB particles could be derived from domestic heating
362 nearby. Zheng's study (2017) also indicated that organic aerosols showed character
363 closer with fresh BBOA compared with BB1.

364 3.3.2 Influences of BB on PNSD and CCN

365 The average PNSDs during BB events and BG condition were plotted in Figure 5,
366 and fitted by 3-mode lognormal distributions. The fitting results are showed in Table 3.
367 For the BG condition, only two modes were obtained (Fig. 5d), including nucleation
368 mode (mode₁, $D_{\text{mean}} = 15 \text{ nm}$) originated from nucleation events and Aitken mode

369 (mode_2, $D_{\text{mean}} = 79$ nm). Their total number concentration was 669 cm^{-3} , similar with
370 the level at Swiss Jungfrauoch (Herrmann et al., 2015), exhibiting an Eurasia
371 background character.

372 The averaged PNSD during those BB episodes showed discrepancies, indicating
373 the variant influences of transported and local BB on particles in atmosphere of Mt.
374 Yulong. During the BB2, Aitken mode number concentration was similar with that
375 during BG condition. But an accumulation mode (mode_3, $D_{\text{mean}} = 106$ nm) with higher
376 PN (775 cm^{-3}) appeared (Fig. 5b). This mode with larger size could be the aged particles
377 transported from BB source regions in South Asia. Different from BB2, Aitken mode
378 particles were increased by a factor of 3 and became dominant in PNSD during BB3
379 the local event (Fig. 5c). The number concentration of this mode was 1309 cm^{-3} , 2 times
380 more than accumulation mode particles. The reason could be that the particles during
381 BB3 were freshly emitted from sources nearby the monitoring site. The study of Zheng
382 et al (2017) also showed that during this period, the OOA fraction in organic aerosols
383 was relatively lower, while BBOA fraction was higher, indicating impacts from more
384 local BB sources. The geometric mean diameters of accumulation mode were 169, 106,
385 130 nm during BB1, BB2, and BB3, smaller than that of aged biomass burning particles
386 at Mt. Bachelor, USA (Laing et al., 2016), indicating the particles at Mt. Yulong were
387 more fresh. Nucleation mode had lower PN during BB2 and BB3, since the higher PN
388 of larger particles played as strong coagulation sink of nucleation mode particles.
389 Aitken mode and accumulation mode were comparable during BB1 (Fig. 5a), indicating
390 the fresh aerosols from sources surrounding the site had comparable influence as the
391 transported aged BB aerosols.

392 In a word, the BG condition at Mt. Yulong could represent the background level
393 of particles of TP or even Eurasia. The local and long-range transported BB emissions
394 would increase the level of Aitken mode and accumulation mode particles, respectively.

395

396 Concentration of CCN was calculated following method described in section 2.3.3.
397 κ value during the sampling period was 0.12 ± 0.01 , only 1/3 from urban Beijing (Wu et
398 al., 2016) and a rural site at Thuringia, Germany (Wu et al., 2013), but consistent with

399 the results in Alberta, Canada (0.11 ± 0.04) during BB events (Latham et al., 2013).
400 Pierce et al (2012) reported that κ was around 0.1 for >100 nm particles in a forest
401 mountain valley during biogenic secondary organic aerosols formation and growth
402 events. Similarly, organic volume fraction was 0.73 in particle at Mt. Yulong,
403 explaining the low value of κ . As a result, the D_c at SS of 0.6 % and 1.2 % was 72.0 ± 2.2
404 and 45.4 ± 1.4 nm, respectively. There could be uncertainties for value of κ and D_c , since
405 here we used a manually set hygroscopicity of organics, which may varied with
406 oxidation level or other factors (Wu et al., 2016). Considering the variation range of D_c
407 was small, the CCN concentration was mainly controlled by size distribution of particle
408 number.

409 BB events raised the CCN level in atmosphere by influencing the PNSD. Increase
410 of PN was observed during BB events, i.e. 2207 ± 1388 cm^{-3} , 1214 ± 638 cm^{-3} ,
411 2062 ± 1112 cm^{-3} during BB1, BB2, BB3, respectively. As a consequence, the increased
412 particles played as CCN in atmosphere of Mt. Yulong, forming a readily increase of
413 CCN concentration during BB events. Figure 6 showed the mean number concentration
414 of CCN in periods under PBL influence (10:00-14:00, as discussed in 3.2) and FT
415 condition (1:00-6:00) during BG and BB events. Mean number concentration of CCN
416 under supersaturation of 0.6 % was 936 ± 754 cm^{-3} and 807 ± 705 cm^{-3} for PBL and FT
417 periods at Mt. Yulong, comparative with boreal forest station in Finland (Cerully et al.,
418 2011). The concentration of CCN in PBL condition during BB1, BB2, BB3 was 5, 2, 2
419 times as that during BG. Promotions of CCN during BB1, BB2, BB3 were more
420 remarkable for FT, i.e. 9, 3, 8 times as BG (Fig. 6). This result indicates that the BB
421 particles from South Asia could have strong influence on the climate parameter. For the
422 data under supersaturation of 1.2 %, the ratios between CCN concentration of BBs and
423 that of BG were less, i.e. 2-4 times for periods influenced by PBL, and 2-7 times for FT
424 conditions. This is because critical diameters under supersaturation 0.6 % and 1.2 %
425 were around 72 nm and 45 nm, respectively. And PN within 45-72 nm was relatively
426 stable compared to the larger particles, because of the daily input of anthropogenic
427 primary aerosols from urban air masses.

428 3.4 New particle formation events

429 3.4.1 NPF events at Mt. Yulong under anthropogenic influences

430 Following the method Tröstl et al (2016b) and Yli-Juuti et al (2009) used, we
431 define the three NPF events on 29 March, 4 and 13 April as follows:

432 a) Type A event on 29 March: appearance of newly formed particles (3 nm, the first
433 bin of nano-SMPS) and continuous growth of those particles, reaching the upper
434 limit of nucleation mode (25 nm). This NPF event was within the period of BB1,
435 the air mass arriving at Mt. Yulong was from North part of Burma with slow
436 movement before 28 March, transporting abundant pollutants to this area. During
437 28 March 12:00 to 29 March 6:00, the air mass was from west upper troposphere
438 (Fig S4), cleaning out the pre-existing particles built up by BB events. CS at Mt.
439 Yulong decreased from 0.006 s^{-1} to 0.002 s^{-1} on morning of 29 March. On the other
440 hand, the concentration of SO_2 was stable (around 0.04 ppb) before occurrence of
441 nucleation. The calculated H_2SO_4 increased before nucleation, reaching $5 \times 10^6 \text{ cm}^{-3}$
442 ³ (Fig. S4). The nucleation rate was $1.43 \text{ cm}^{-3}\text{s}^{-1}$, increasing nucleation mode
443 particles to around 10^4 cm^{-3} . Benefited by the increase of α -pinene (from 0.02 ppb
444 to 0.10 ppb), β -pinene (from 0.03 ppb to 0.20 ppb) and SO_2 in day of 29 March, the
445 formation of secondary aerosol continued, and the newly formed particles grew
446 over 30 nm before night. This process could be from gaseous oxidation and
447 condensation. Particle growth stopped during night of 29 March, when the gaseous
448 reaction was inhibited because of absence of sunlight. After sunrise on 30 March,
449 the particle continued growing and reached 40 nm. Concentration of toluene was
450 lower than 0.1 ppb, indicating small contribution from anthropogenic VOCs. The
451 GR was 3.48 nm h^{-1} within size range of 3-25 nm. In a word, under the influence of
452 transported pollutants, nucleation was triggered by the upper clean air mass, which
453 reduced level of pre-existing particles. While growth of particles was favored by
454 the photochemical reaction and condensation process.

455

456 b) Type B event on 4 April: newly formed mode occurred with growing trend, but
457 growth stopped at early stage (<15 nm), and there were temporal low values of N₃₋
458 ₂₅ during the event. The event on 4 April was under BG condition, during which
459 concentration of SO₂ was lower (around 0.02 ppb). Started from 2:00 on 4 April,
460 the air mass arrived at Mt. Yulong was transported by upslope flow from west lower
461 troposphere. While during 9:00-12:00, the air mass arrived at Mt. Yulong passed
462 Northeast India, where fire spots could be observed on the MODIS map during 2
463 April. Thus, the gaseous pollutants and particles from anthropogenic sources nearby
464 or from BB sources in Northeast India was transported to this site on morning of 4
465 April. Concentration of SO₂ and [H₂SO₄] increased to 0.07 ppb and 6×10⁶ cm⁻³ at
466 11:00, respectively, corresponding to occurrence nucleation (Fig. S5). SO₂ shared
467 similar time series with black carbon, indicating a combustion source. FR and GR
468 were 0.93 cm⁻³ s⁻¹ and 3.2 nm h⁻¹, respectively. N₃₋₂₅ fluctuated during NPF events,
469 showing low values when there were temporary changes in cloud condition
470 (influencing radiation) and wind direction. Concentrations of β-pinene and Toluene
471 were stable and lower than 0.10 ppb and 0.65 ppb respectively throughout the NPF
472 event, which could be the reason of smaller growth rate. At around 14:00, the source
473 region of air mass varied to west upper troposphere, and the stronger wind cleaned
474 out both the nucleated mode particles and gaseous precursors, terminating the NPF
475 event. In summary, type B event under background condition was triggered by the
476 injection of gaseous pollutants from elevated PBL and short term transport of BB
477 pollutants.

478

479 c) Off-site NPF event on 13 April: A narrow band (8-50 nm) was observed in PNSD
480 from 13 to 14 April. The primary particles should have wider range and larger size.
481 These particles were mostly likely nucleated off-site, and transported to Mt. Yulong
482 site by uplifting air mass. On the afternoon of 13 April, the air mass arrived at Mt.
483 Yulong passed the local ground layer (yellow trajectory in Fig S6). SO₂ increased
484 from 0.06 ppb to 0.13 ppb, and toluene reached highest level at 0.098 ppb (Fig. S6),
485 indicating an anthropogenic impact. As a result, particles formed from the ground

486 level were transported to the site and a burst of N_{3-25} occurred at around 18:00. β -
487 pinene also showed higher value at dawn of 13 April. Those nanoparticles showed
488 a growth trend, with GR at 2.99 nm h^{-1} . To summarize, occurrence of nucleation
489 mode particles were off-site nucleated in PBL and transported to this site.

490

491 The new particle formation events had strong influences on PNSD. Particles
492 showed unimodal distribution during non-NPF event periods, with the peak diameter at
493 around 125 nm (Fig 7S). This is mainly due to the impact from aged biomass burning
494 particles. During those NPF events, PNSD showed a clear bimodal characteristic. The
495 smaller mode was originated from nucleation and growth of nanoparticles. This mode
496 had a higher peak than the larger mode, indicating that NPF significantly contributed
497 to particle number concentration.

498 3.4.2 Limiting factors of NPF events

499 Frequency of NPF was 14 % during our measurement. This NPF frequency is
500 clearly less than polluted atmosphere of North China Plain (40-65 %) in March and
501 April (Wang et al., 2013a; Shen et al., 2011), the top of Mt. Huang (38 %) during April
502 (Zhang et al., 2016), a background site in Northeast Tibet Plateau (79%) in autumn (Du
503 et al., 2015) and a remote rural site in the Sierra Nevada Mountains (47 %) in spring
504 (Creamean et al., 2011). A common knowledge is that CS is the limiting factor that
505 controls the NPF (Cai et al., 2017). Thus, pre-existing particle levels on event days
506 should be less than non-event days, at high altitude mountain sites (Shen et al.,
507 2016; Guo et al., 2012) as well as urban sites (Wang et al., 2011; Wang et al., 2017). The
508 low NPF frequency was unexpected in clean atmosphere of Mt. Yulong, since the mean
509 CS at Mt. Yulong was 0.0038 s^{-1} . On the other hand, similar low frequency of NPF
510 events were also observed in pristine atmospheres, e.g. 24 % at Antarctic site Neumayer
511 (Weller et al., 2015), 12-17 % at Dome C, Antarctica (Järvinen et al., 2013).

512 During the first five days of the campaign (22 to 27 March), the nucleation events
513 could be prevented by large amount of pre-existing particles acting as big condensation

514 sink. The CS was more than 0.005 s^{-1} , similar with polluted Beijing on days with NPF
515 events (Wu et al., 2007). However, on rest of days when CS was even lower than 0.002
516 s^{-1} , the NPF events were still scarce. Considering that the content of condensable vapor
517 participated in nucleation is determined by the competition between formation from
518 precursor oxidation and condensation on surface of pre-existing particles (Zhang et al.,
519 2012), the lower NPF frequency at pristine sites could be resulted from lack of precursor,
520 e.g. VOCs and SO_2 from fossil fuel and biomass burning sources.

521 To further evaluate the effect of different parameters on NPF, daily variations of
522 SO_2 , CS, $J(\text{O}^1\text{D})$, Benzene and β -pinene during 28 March to 14 April were calculated
523 and plotted in Figure 7. The results during 10:00-14:00 were picked up as the
524 occurrence time of nucleation, and compared between NPF days and non-event days.
525 As shown in Fig. 7, NPF days and non-NPF days shared same level of $J(\text{O}^1\text{D})$, and 15 %
526 difference in CS when nucleation happened, indicating small influence of solar
527 radiation and pre-existing particles on NPF. In addition to this, if we took out the data
528 under biomass burning influences from non-NPF days, the averaged PNSD (Fig S7)
529 was similar with the PNSD during NPF days in larger size range ($> 80 \text{ nm}$). Considering
530 that larger particles are the main contributor to condensation and coagulation sink, we
531 can conclude that in the pristine atmosphere of Mt. Yulong, CS is not the decisive factor
532 on NPF.

533 The concentration of SO_2 showed increase on NPF days, 60 % higher than non-
534 event days, indicating the anthropogenic SO_2 as the controlling factor of NPF at Mt.
535 Yulong. Studies at Jungfraujoeh (Bianchi et al., 2016; Tröstl et al., 2016b), Izaña (García
536 et al., 2014) and Mukteshwar (Neitola et al., 2011) also reported that the nucleation
537 events in upper troposphere corresponded to increase of anthropogenic gas pollutants
538 by elevated PBL. At Daban Mountain on the North slope of TP, the $\text{PM}_{2.5}$ level was
539 similar with Mt. Yulong, but NPF could be observed nearly every day. It may be caused
540 by that the SO_2 was around 2 ppb on average, two order of magnitude higher than Mt.
541 Yulong.

542 Organics may also be a driven factor on NPF. The concentration of β -pinene
543 showed higher value (40 %) in the afternoon on NPF days, while there was little

544 difference (9 %) between NPF days and non-event days on anthropogenic benzene.
545 Recent studies considered that apart from sulfuric acid, the highly oxidized
546 multifunctional organics from biogenic VOCs could take part in nucleation as well as
547 growth (Huang et al., 2016;Tröstl et al., 2016a), in free troposphere, the pure organic
548 nucleation without sulfuric acid may even be dominant (Bianchi et al., 2016;Gordon et
549 al., 2016). According to Du et al 's study(2015), the fraction of oxidized organics in
550 particle phase had positive relationship with particle growth rate, indicating the
551 contribution from organics to particle growth. So the increase of biogenic VOCs could
552 benefit the nucleation and growth of nucleation mode particles at Mt. Yulong.

553

554 3.4.3 Parameters of NPF events in this study

555 Formation rate, growth rate and condensation sink of NPF events at Mt. Yulong
556 were summarized in Table 4. Compared with other high mountain measurements, this
557 study reported a higher FR, e.g. 3 times as that at Storm peak laboratory (Hallar et al.,
558 2011). But the GR at Mt. Yulong was within average level, indicating different
559 precursors participating in nucleation and growth process. Even though SO₂ was well
560 correlated with nucleation events, the calculated growth rate by condensation of H₂SO₄
561 can only explain 5 % of the measured GR. This result indicated participation of some
562 other precursors in particle growth, e.g. organics.

563

564 4. Conclusion

565 PNSD, meteorological parameters, trace gases and particle chemical composition
566 were measured at Mt. Yulong site (3410 m a.s.l.) in Southeast corner of Tibet Plateau,
567 during pre-monsoon season (22 March to 15 April) of 2015. PNSD in background
568 atmosphere of Tibet Plateau was characterized. As a background site in Southwest
569 China, the atmosphere of Mt. Yulong exhibited lower particle level and stronger
570 oxidation capacity than low attitude atmosphere.

571 PBL convection is an influencing factor of PNSD, which caused readable diurnal

572 variation of N_{ait} . Diurnal variation of CO/NO_x and absolute humidity showed that the
573 monitoring site was influenced by PBL during 10:00-14:00, and showed typical FT
574 condition during 1:00-6:00.

575 Three different types of BB event periods were identified by content of BC, f_{60} ,
576 air mass backward trajectory and fire spot map. Accumulation mode was dominant in
577 transported BB particles from Myanmar, but less aged compared with other Tibet
578 Plateau sites under influence of BB. Under local biomass burning episode, Aitken mode
579 was dominant in PNSD. The biomass burning from South Asia had strong influence on
580 climate parameters, especially for FT. Concentrations of CCN in FT at Mt. Yulong
581 during BB events were 3-9 times as that during BG period. Due to high fraction of
582 organic compounds, the CCN activity of particles in atmosphere of Mt. Yulong was
583 lower than other high altitude sites and ground level sites.

584 Unexpected low NPF frequency was found in clean atmosphere at Mt. Yulong,
585 due to low concentration of anthropogenic precursor, i.e. SO_2 . Occurrence of NPF
586 events were favored by elevated surface emission of SO_2 and transported BB pollutants
587 from South Asia. Off-site NPF event was also observed, during which nanoparticles
588 were formed in PBL and transported to the site. Condensation of sulfuric acid can only
589 explain 5 % of GR in on-site NPF events, indicating other precursors participating in
590 particle growth. NPF can hardly contribute to CCN, since the newly formed particles
591 cannot reach the critical diameter.

592 Our study provided important dataset of particle physical properties at Tibet
593 Plateau. Influences of BB activities in South Asia and local area on PNSD and CCN in
594 atmosphere of Tibet Plateau were highlighted. Different types of NPF in upper
595 troposphere in Southwest China were characterized, and role of SO_2 were analyzed.
596 Results of our study could be used in regional and global climate model, and help
597 building up the knowledge of NPF in upper part of troposphere.

598

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606

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873

874 **Table 1. Densities and hygroscopic parameters of the compounds used in CCN**
 875 **calculation**

Species	NH ₄ NO ₃	NH ₄ HSO ₄	(NH ₄) ₂ SO ₄	H ₂ SO ₄	Organics	BC
ρ (kg m ⁻³)	1720	1780	1769	1830	1400	1700
κ	0.67	0.61	0.61	0.91	0.1	0

876

877 **Table 2. Particle number concentration of high altitude sites around the world, in**
 878 **comparison with this study**

Location	Altitude [m]	Date	Size range [nm]	PN [cm ⁻³]	Reference
Sierra Nevada Mountains, US	1315	May-Nov 2002	10-400	4300	(Lunden et al., 2006)
Mt. Tai, China	1534	July 2010-Feb 2012	3-2500	11800±6200	(Shen et al., 2016)
Mt. Huang, China	1840	April-Aug 2008	10-10000	2350	(Zhang et al., 2016)
Mukteshwar, India	2180	Nov 2005-Nov 2008	10-800	2730	(Komppula et al., 2009)
Izana Observatory, Spain	2367	Nov 2006-Dec 2007	3-660	480-4600	(Rodríguez et al., 2009)
Mt. Norikura, Japan	2770	Sep 2001, July-Sep 2002	9-300	260-1600	(Nishita et al., 2008)
University of Colorado Mountain Research Station, US	2900	July 2006	3-800	2881-19947	(Boy et al., 2008)
Dome C, Antarctica	3200	Spring, 2008-2009	10-600	17.9-457	(Järvinen et al., 2013)
Storm Peak Laboratory, US	3210	Mar 2012	10-10000	3100	(Yu and Hallar, 2014)
Jungfrauoch, Switzerland	3580	1995-2015	10-10000	757	(Bukowiecki et al., 2016)
Wangliguan, China	3816	Sep 2005-May 2007	12-570	2030	(Kivekäs et al., 2009)
Mt. Daban, China	3295	Sep-October 2013	12-478	2300	(Du et al., 2015)
Mt. Yulong, China	3410	May-April 2015	3-10000	1600±1290	This Study

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883

884 **Table 3. Fitted parameters of lognormal modes for different period. μ , σ and N**
885 **represent the mean diameter, standard deviation, and total number concentration**
886 **of each mode, respectively. “Total” represents the mean result of all data achieved**
887 **from the campaign.**

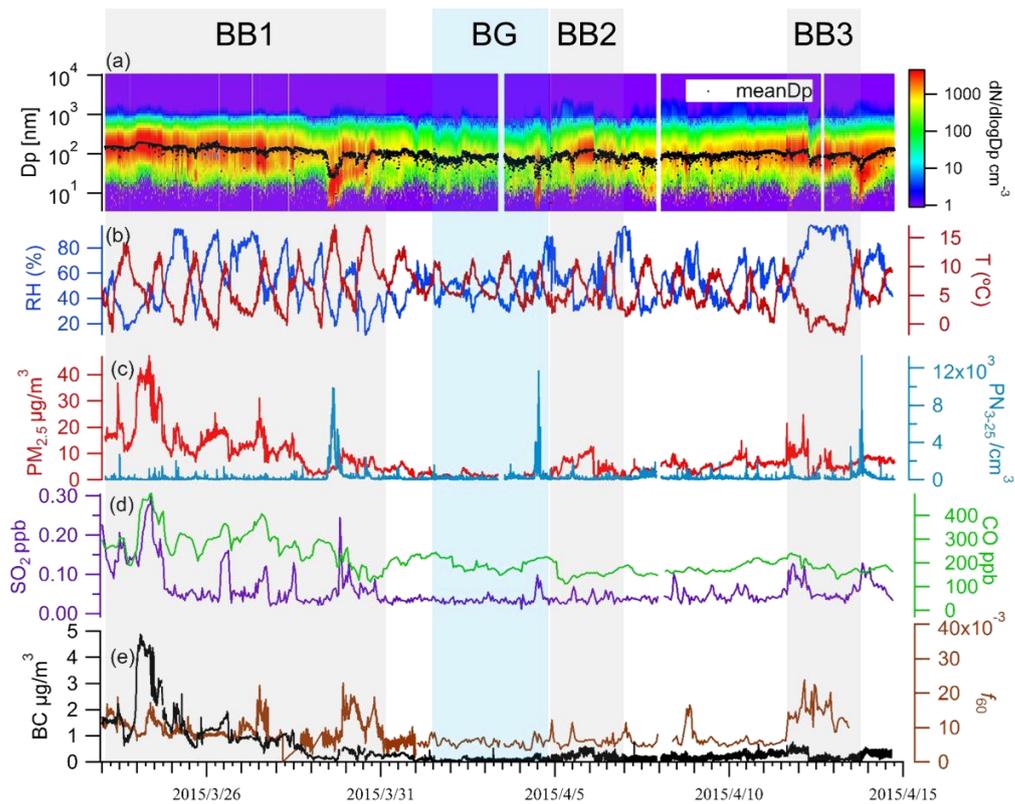
Period	μ [nm]			σ [nm]			N [cm ⁻³]		
	mode_1	mode_2	mode_3	mode_1	mode_2	mode_3	mode_1	mode_2	mode_3
Total	16	52	130	1.75	1.75	1.77	221	488	861
BB1	23	92	169	1.94	1.91	1.63	428	1014	744
BB2	16	47	106	1.75	1.75	1.75	117	302	775
BB3	15	70	130	1.75	1.75	1.72	106	1309	628
BG	15	79	-	2.03	1.73	-	301	368	-

888

889 **Table 4. Comparisons of NPF parameters (FR, GR, CS) with the other studies.**

Site	Region	Altitude [m]	Size [nm]	FR [cm ⁻³ s ⁻¹]	GR [nm h ⁻¹]	CS [s ⁻¹]	Reference
Mt. Yulong	Asia	3410	3-25	1.18	3.22	0.002	This research
Mukteshwar	Asia	2180	15-20	0.44	2.47	0.015	(Neitola et al., 2011)
Storm peak laboratory	North America	3210	9-334	0.39	7.5	0.001	(Hallar et al., 2011)
Mt. Tai	Asia	1500	3-25	4	6.1	0.02	(Shen et al., 2016)
Izaña	Atlantic Ocean	2400	10-25	0.46	0.43	0.002	(García et al., 2014)
Jungfraujoch	Europe	3580	3.2-15	1.8	4.0	-	(Tröstl et al., 2016b)
Dome C	Antarctica	3200	10-25	0.023	2.5	0.0002	(Järvinen et al., 2013)

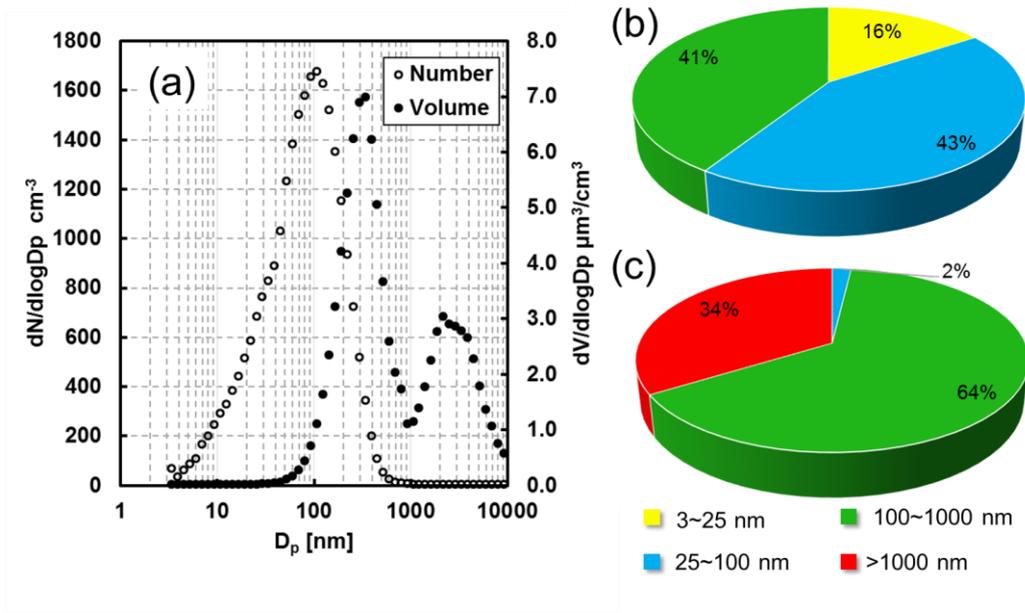
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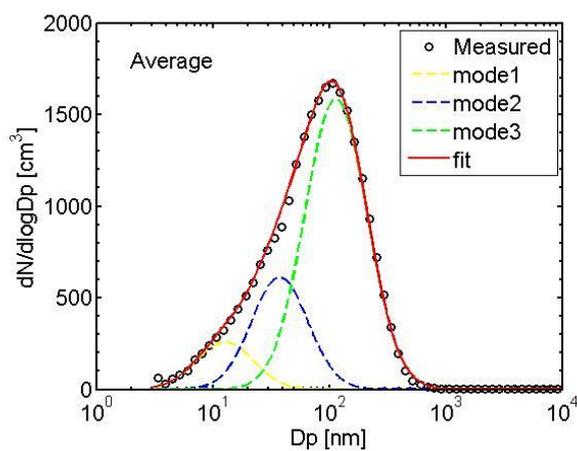
892 **Figure 1. Time series of (a) particle number size distribution and geometric mean**
 893 **diameter, (b) ambient temperature, relative humidity, (c) PM_{2.5} mass**
 894 **concentration, number concentration of nucleation mode (3-25 nm) particles (PN₃₋**
 895 **25), (d) SO₂ and CO concentration, (e) black carbon concentration, fraction of f₆₀**
 896 **(organic fragment ions with m/z=60) during the monitoring campaign. Periods**
 897 **influenced by biomass burning (BB1, BB2, BB3) were marked by grey shades,**
 898 **period representing background condition (BG) was marked by blue shade.**

899



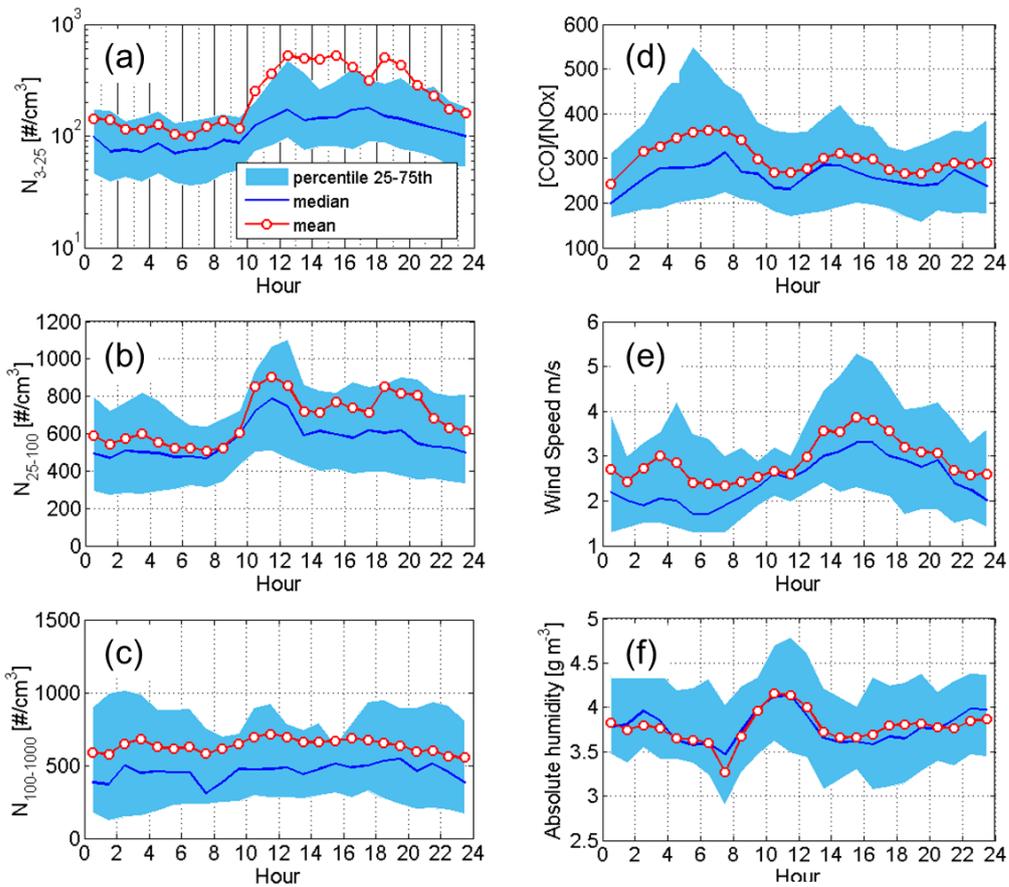
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901 **Figure 2. Particle size distribution in atmosphere at Mt. Yulong. (a) Mean size**
 902 **distribution of particle number (hollow circle) and volume (filled circle)**
 903 **concentration; Contribution of different fractions to total particle (b) number**
 904 **concentration and (c) volume concentration. Different colors represent different**
 905 **size ranges: yellow (3-25 nm), blue (25-100 nm), green (100-1000 nm), red (1-10**
 906 **μm).**



907

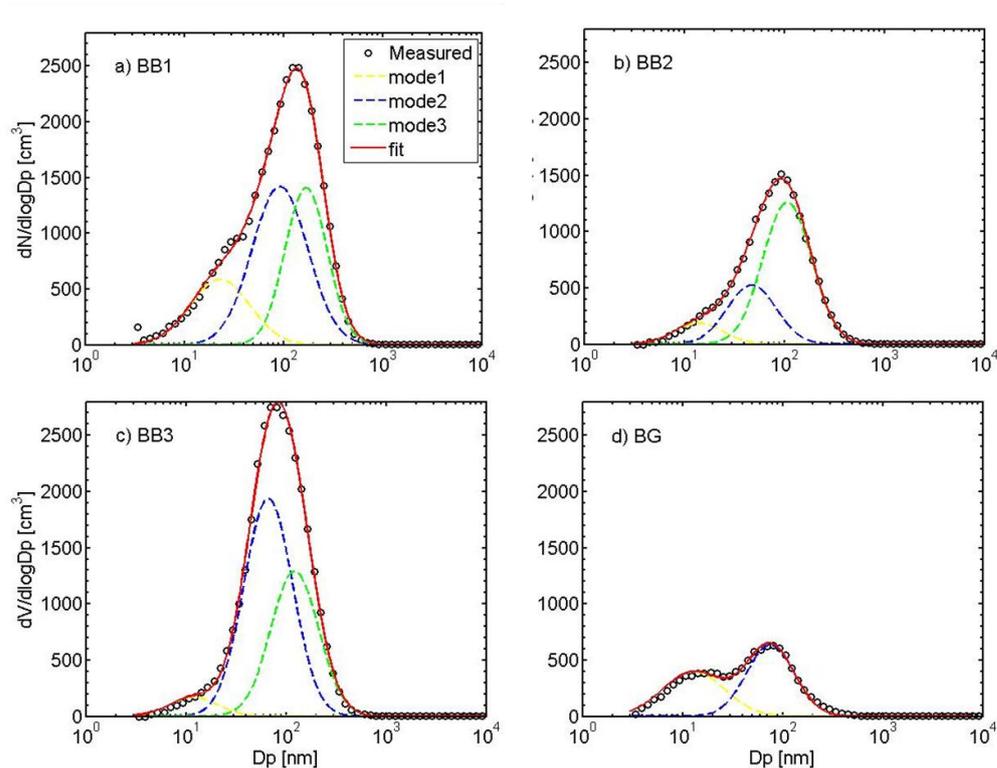
908 **Figure 3. Lognormal fit (3 modes) of average particle number size distribution**
 909 **during the campaign at Mt. Yulong. Black circles mark the measured PNSD,**
 910 **colored dash lines represent the PNSD of fitting modes, and red full line marks the**
 911 **sum of PNSD of all fitting modes. Mode 1, 2 and 3 were nucleation mode, Aitken**
 912 **mode and accumulation mode, respectively.**



914

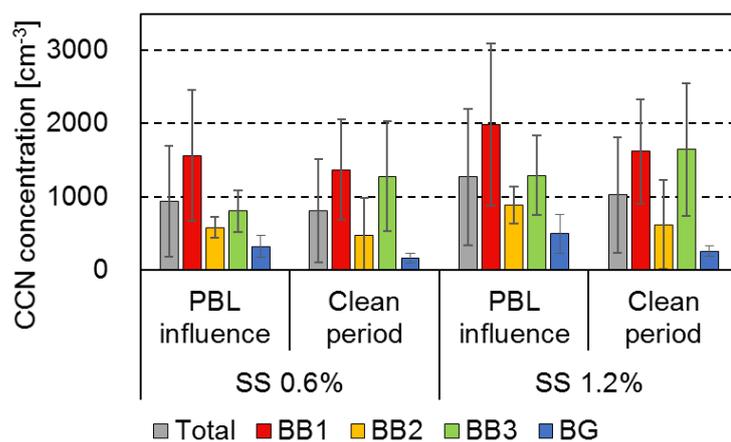
915 **Figure 4. Diurnal variations of N_{3-25} , N_{25-100} , $N_{100-1000}$, CO/NO_x , wind speed, and**
 916 **absolute humidity at Mt. Yulong during monitoring campaign. Red lines with**
 917 **circles, blue lines mark the mean and median results, respectively. Light blue area**
 918 **marks the range between 25th, and 75th percentiles of the data.**

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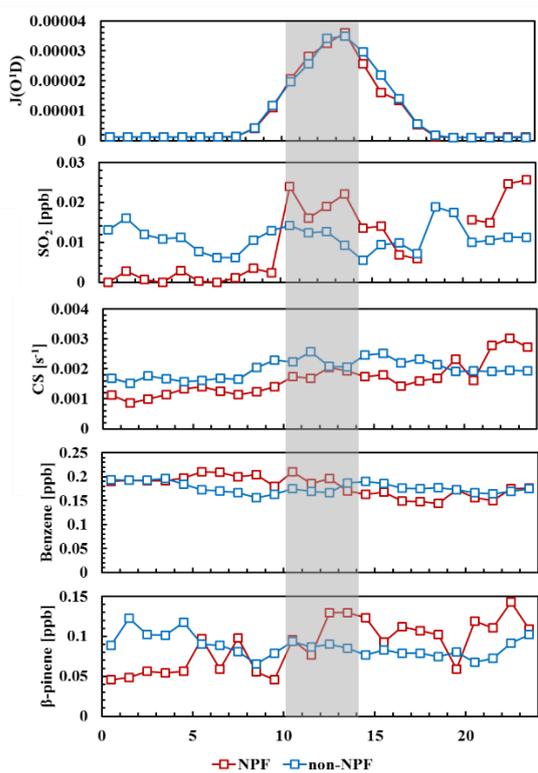
921 **Figure 5. Lognormal fit (3 modes) of average PNSD during (a) BB1, (b) BB2, (c)**
 922 **BB3, (d) BG at Mt. Yulong site. Black circles mark the measured PNSD, colored**
 923 **dash lines represent the PNSD of fitting modes, and red full line marks the sum of**
 924 **PNSD of all fitting modes. Mode 1, 2, 3 were nucleation mode, Aitken mode and**
 925 **accumulation mode, respectively.**



926

927 **Figure 6. Mean number concentration of CCN under supersaturation of 0.6 %**
 928 **and 1.2 % during whole monitoring period (labelled as “total”), BB1, BB2, BB3**
 929 **events (marked by shadow in Fig. 1). PBL (10:00-14:00) and FT (1:00-6:00)**
 930 **conditions were separated.**

931



932

933 **Figure 7. Diurnal variation of $J(O^1D)$, SO_2 , CS, Benzene, β -pinene during days**
934 **with NPF events (labelled as “NPF”, red lines with marks), and without NPF**
935 **events (labelled as “non-NPF”, blue lines with marks). Shadow marks the time**
936 **period during which nucleation occurred.**

937