



1 Fossil and Non-fossil Sources of Organic and Elemental Carbon Aerosols
2 in Beijing, Shanghai and Guangzhou: Seasonal Variation of Carbon
3 Source

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22



23 **Abstract**

24 Fossil fuel (FF) combustion and biomass burning are the two most important
25 contributors to the highly polluted air in China. Given that the large territorial area of
26 China, it is interesting to know how these two emission sources exert influences on
27 carbonaceous particles over megacities in different regions and different seasons.
28 Here, the radiocarbon (^{14}C) isotopic signals are reported in Beijing, Shanghai and
29 Guangzhou, China from 2013 to 2014. Generally, a greater contribution of non-fossil
30 (NF) (>55%) sources were found in all cities in autumn. However, the source
31 seasonality was different among the cities in other seasons. In winter, FF contributed
32 the most in Beijing (64%), NF contributed the most in Guangzhou (63%), and FF
33 contributed slightly more than NF in Shanghai (54%). In spring and summer, Beijing
34 and Guangzhou were similar to each other with a higher contribution of FF (55% and
35 63%, respectively) than NF. FF had the highest contribution (71%) in Shanghai in
36 summer. Comparison of carbon sources between haze and non-haze periods suggests
37 that the carbon sources in each season are almost consistent. Secondary organic
38 carbon (SOC) mainly originated from biomass burning and vehicle emissions, except in
39 Beijing in winter when the major source was residual coal combustion.

40 **Introduction**

41 Fine particle ($\text{PM}_{2.5}$, aerodynamic diameters less than or equal to $2.5\ \mu\text{m}$) pollution
42 frequently occurs at a large scale and results in the worsening of the air quality over
43 China's megacities due to massive and intensive emissions of pollutants and
44 unfavorable meteorological conditions. Among the aerosol pollutants, carbonaceous



45 aerosols, which can constitute 20-50% of aerosols in the urban atmosphere,(Cao et al.,
46 2007;Cao et al., 2005) are of great scientific concern due to their adverse impact on
47 air quality, visibility, climate and human health.(Highwood and Kinnersley,
48 2006;Mauderly and Chow, 2008;Pratsinis et al., 1984) Carbonaceous materials are
49 operationally classified as strongly refractory and highly polymerized carbon
50 (elemental carbon, EC) or black carbon (BC) and as weakly refractory and light
51 polycyclic or polyacidic hydrocarbons/organic carbon (OC).(Castro et al., 1999;Pöschl,
52 2005) EC is exclusively of primary origin and emitted by the incomplete combustion of
53 fossil fuels (i.e., coal and petroleum) and biomass burning (i.e., heating and woodfire).
54 OC is a complex mixture of primary directly emitted OC particles (POC) and secondary
55 OC (SOC) formed in situ in the atmosphere via the oxidation of gas-phase precursors.
56 Through a recently developed method, source apportionment can be determined by
57 measuring the radiocarbon (^{14}C) of OC and EC separately, which enables unambiguous
58 differentiation between fossil and non-fossil sources.(Liu et al., 2013;Zong et al.,
59 2016;Liu et al., 2016b;Liu et al., 2014;Liu et al., 2017b;Zhang et al., 2015a) This is
60 because ^{14}C is completely disintegrated in fossil fuel sources (i.e., diesel exhaust,
61 gasoline exhaust, and coal combustion), while non-fossil sources (i.e., biomass burning,
62 cooking and biogenic emission) are at the contemporary radiocarbon level.(Szidat et
63 al., 2009) Furthermore, a better understanding of carbon sources can be obtained by
64 dividing OC into water-soluble OC and water-insoluble OC.(Liu et al., 2016b)
65 Beijing, Shanghai and Guangzhou are representative megacities located in different
66 climatic regions, i.e., the Beijing-Tianjin-Hebei region, Yangtze River Delta region (YRD)



67 and Pearl River Delta region (PRD), that have been suffering from severe air pollution
68 problems due to rapid industrial and transportation expansion, sharply increased
69 demands for fossil fuel and increasing populations (Feng et al., 2015; Wei et al.,
70 2017; Ding et al., 2017; Zhang et al., 2015a). Although source apportionments of
71 carbonaceous aerosol have been conducted in some cities (Wei et al., 2017; Liu et al.,
72 2014; Liu et al., 2017b; Elser et al., 2016), the results are segmented. In this study, two
73 samples with higher and lower PM_{2.5} concentrations in each season in three cities were
74 selected for ¹⁴C analysis. ¹⁴C data of ambient aerosols from Beijing, Shanghai and
75 Guangzhou are presented for the two sub-fractions of TC, OC and EC. Furthermore, OC
76 is divided into water-insoluble OC and water-soluble OC. A comparison of the sources
77 and seasonal variation of carbonaceous aerosols among the three cities was
78 conducted. The results help identify the carbon sources of aerosols in China and can
79 support policy makers in developing appropriate air quality management initiatives for
80 particulate matter pollution.

81 **2. Methods and Experiments**

82 **2.1 Aerosol Sampling**

83 PM_{2.5} samples were collected in Beijing, Shanghai and Guangzhou in four seasons.
84 Detailed descriptions of the sampling sites, sampling methods and protocols are given
85 in reference (Liu et al., 2016a). Briefly, four sampling periods were selected to
86 represent the four seasons: autumn (October 16 to November 15, 2013), winter
87 (December 20, 2013 to January 20, 2014), spring (March 20 to April 20, 2014), and
88 summer (June 20 to July 20, 2014). During each season, the 24-h integrated PM_{2.5}



89 samples were collected on pre-baked quartz-fiber filters using a high-volume sampler.

90 In this study, we collected 110, 110 and 106 samples at Beijing, Shanghai and

91 Guangzhou, respectively. At each sampling site and during each season, one field blank

92 sample was collected and analyzed. All samples were stored at -20 °C until analysis.

93 **2.2. Thermal-Optical Carbon Analysis.**

94 Portions of filter samples (1.5 cm²) were cut for analyzing organic and elemental

95 carbon contents (OC/EC) by a thermal optical carbon analyzer (Sunset Laboratory Inc.,

96 Forest Grove, OR) with a modified NIOSH (National Institute of Occupational Safety

97 and Health) thermal-optical transmission (TOT) protocol. Replicate samples and filter

98 blank were conducted to determine analytical precision and background

99 contamination. The replicate analysis of samples (n = 64) provided a good analytical

100 precision; with relative deviation of 4.5%, 8.6%, and 4.5% for OC, EC and TC,

101 respectively. The average field blank concentration of OC was $1.47 \pm 0.17 \mu\text{g cm}^{-2}$ (1 σ ,

102 n = 12) as EC signal from the blank filters was undetectable. The reported OC

103 concentrations have been subtracted for the filter blank samples.

104 **2.3. ¹⁴C Analysis of the Carbonaceous Fractions.**

105 Radiocarbon (¹⁴C) measurements in carbonaceous aerosol were used to quantitatively

106 distinguish fossil and non-fossil sources. Two samples with relatively higher and lower

107 PM_{2.5} concentrations in each season in each city were selected for ¹⁴C analysis,

108 although only one sample was analyzed in summer in Shanghai (23 samples in total).

109 Air mass 5-day back trajectories for all selected samples are shown in Fig. 1. The

110 detailed method of ¹⁴C measurement of different carbonaceous aerosols (i.e., TC, EC,



111 and water-soluble organic carbon (WSOC)) has been described elsewhere. (Zhang et
112 al., 2012;Zhang et al., 2015a) Recently, ^{14}C measurements in aerosols collected in
113 China were also analyzed at the University of Bern, Switzerland following this
114 protocol.(Huang et al., 2014) In brief, ^{14}C analysis of TC was conducted at the University
115 of Bern, Switzerland by coupling of an EA (elemental analyzer) with a MICADAS (Mini
116 CARbon Dating System). (Szidat et al., 2014) ^{14}C analysis of EC or water-insoluble
117 organic carbon (WIOC) was performed by coupling the MICADAS with an OC/EC
118 analyzer (Sunset Laboratory Inc., OR, USA), where the resulting CO_2 from EC or WIOC
119 was isolated and separated in either EC or OC step by the Swiss_4S protocol. (Agrios
120 et al., 2015;Zhang et al., 2012) The ^{14}C analysis data results were expressed in terms
121 of fractions of modern carbon (f_M). The f_M values of OC and WSOC were calculated by
122 mass and isotope-mass balancing. The uncertainties of $f_{M(\text{OC})}$, $f_{M(\text{EC})}$, $f_{M(\text{TC})}$ and $f_{M(\text{WSOC})}$
123 were, on average, <10%, including uncertainties from ^{14}C measurements, blank
124 correction and mass-balancing calculation.

125 **3. Results and discussion**

126 **3.1 Seasonal variation and concentration levels of $\text{PM}_{2.5}$, OC and EC**

127 Fig. 2 shows the box-and-whisker plots for concentrations of $\text{PM}_{2.5}$, OC and EC and
128 EC/OC ratios during the sampling campaign at the three sites. The average $\text{PM}_{2.5}$ mass
129 concentrations at Beijing, Shanghai and Guangzhou were $182 \pm 78.3 \mu\text{g m}^{-3}$, $88.6 \pm$
130 $49.4 \mu\text{g m}^{-3}$ and $80.4 \pm 30.7 \mu\text{g m}^{-3}$, respectively. Despite large variations in the $\text{PM}_{2.5}$
131 concentrations observed for all sites, their concentrations were generally higher in
132 Beijing than in Shanghai and Guangzhou. This indicates a poorer air quality in north



133 China, which is consistent with other studies.(Cao et al., 2003;Hu et al., 2014)

134 The average high concentrations of OC and EC in PM_{2.5} were observed in Beijing (21.1

135 $\pm 13.9 \mu\text{g m}^{-3}$ and $2.8 \pm 2.2 \mu\text{g m}^{-3}$), followed by Guangzhou ($17.3 \pm 9.6 \mu\text{g m}^{-3}$ and 2.9

136 $\pm 1.3 \mu\text{g m}^{-3}$) and Shanghai ($9.0 \pm 7.6 \mu\text{g m}^{-3}$ and $1.6 \pm 1.5 \mu\text{g m}^{-3}$). The ratios of total

137 organic matter (TOM= $1.6 \times \text{OC} + \text{EC}$) to total fine particle mass were $20 \pm 6\%$, $17 \pm 6\%$,

138 and $36 \pm 8\%$ in Beijing, Shanghai, and Guangzhou, respectively. It indicated the

139 importance of carbonaceous aerosol in air quality, especially in Guangzhou, South

140 China. However, carbonaceous aerosols play a different role in haze formation in each

141 city. There are no significant correlations between the ratios of TOM/PM_{2.5} and PM_{2.5}

142 concentrations in Beijing and Shanghai, which implied that carbonaceous aerosols are

143 the major component of PM_{2.5} but did not play the predominant role in haze formation.

144 Whereas in Guangzhou, the ratio of TC/PM_{2.5} was positively correlated with PM_{2.5}

145 concentration ($R^2=0.27$, $p<0.05$). This means that relative contributions of

146 carbonaceous aerosols to total fine particles increased when the haze occurred in

147 Guangzhou, implying the role of carbonaceous aerosols is more important in South

148 China than those in other parts of China. The average concentrations of OC and EC in

149 Beijing, Shanghai and Guangzhou in this study were similar to those reported at the

150 same city during 2013 (OC: $38.6 \mu\text{g m}^{-3}$; EC: $5.83 \mu\text{g m}^{-3}$ in Beijing; $10.9 \mu\text{g m}^{-3}$ and 3.03

151 $\mu\text{g m}^{-3}$ in Shanghai; $14.4 \mu\text{g m}^{-3}$ and $3.87 \mu\text{g m}^{-3}$ in Guangzhou);(Zhang et al., 2016) and

152 significantly higher than European urban cities like Athens, Greece ($2.1 \pm 1.3 \mu\text{g m}^{-3}$

153 and $0.54 \pm 0.39 \mu\text{g m}^{-3}$),(Paraskevopoulou et al., 2014) Elche, Spain ($5.6 \pm 2.8 \mu\text{g m}^{-3}$

154 and $1.5 \pm 1.2 \mu\text{g m}^{-3}$),(Perrone et al., 2011) other Asian urban cities like Seoul, Korea



155 $(10.2 \pm 5.5 \mu\text{g m}^{-3}$ and $4.1 \pm 2.6 \mu\text{g m}^{-3})$, (Kim et al., 2007) Yokohama, Japan (3.75 ± 1.5
156 $\mu\text{g m}^{-3}$ and $1.94 \pm 1.2 \mu\text{g m}^{-3}$). (Khan et al., 2010)

157 Seasonally, the mass concentrations of $\text{PM}_{2.5}$, OC and EC were all higher in winter and
158 lower in summer (Fig.2). During the wintertime, the high concentrations may be
159 mainly attributed to combined and complex effects. For example, the increase
160 emission transport of coal and biomass or biofuel combustion from local and regional
161 scale, large secondary formation, and unfavorable metrological conditions in
162 exacerbating the air pollution. Adversely, the low mass concentrations in summer are
163 likely due to a significant reduction from anthropogenic source emissions (i.e. heating-
164 related coal/biofuel), relatively high mixing layer and wet scavenging effects.

165 Generally, OC-EC relationship and OC/EC ratios give some indication of the origin of
166 carbonaceous particles. Strong relationship between OC and EC might elucidate the
167 carbonaceous particles derived from the same emission source. Lower values of the
168 OC/EC ratio (OC/EC = 1.0-4.2) imply the sources from diesel- and gasoline-powered
169 vehicular exhaust (Schauer et al., 2002, 1999), while higher OC/EC ratios of aerosols
170 might source from coal combustion (Zhi et al., 2008), wood combustion (16.8-40.0)
171 (Schauer et al., 2001), forest fires (14.5), biomass burning (7.7) (Zhang et al., 2007),
172 and formation of SOA (Chow et al., 1993). In Beijing and Shanghai, the correlations
173 between OC and EC ($R^2 = 0.56$ and 0.80 , respectively) were higher than that of aerosols
174 from Guangzhou ($R^2 = 0.26$). Moreover, the correlation of OC and EC and OC/EC ratios
175 in different season in Beijing and Shanghai were almost consistent. It implied that the
176 sources of carbonaceous aerosols in these two cities did not have drastic change and



177 derived from various mixtures. In Guangzhou, higher correlations between OC and EC
178 in autumn ($R^2 = 0.71$) and winter ($R^2 = 0.50$) and a lower correlation in spring ($R^2 = 0.38$)
179 were found. However, there was no significant correlation found in summer. The
180 average OC/EC ratios in autumn (8.6) and winter (9.6) were significantly ($p < 0.01$)
181 higher than those in spring (4.9) and summer (3.7) (Fig.2). It implied that the major
182 sources of carbonaceous aerosols in different seasons in Guangzhou were obviously
183 varied. The south China region is under the strong influence of anthropogenic
184 emissions from the upwind Asian continent. The 5-days back trajectory analysis
185 showed the seasonal variations of carbonaceous aerosol were consistent with the
186 alteration of the winter monsoon and summer monsoon (Fig. 1). It means that the
187 major sources of carbonaceous aerosol in autumn and winter came from inland China
188 and from the Pearl River Delta in spring and summer. The source difference should
189 contribute the significant seasonal difference of carbonaceous aerosols, which might
190 be distinguished by the ^{14}C results.

191 **3.2 ^{14}C results: fraction of modern Carbon and seasonal variation**

192 The concentrations of different carbon species and their ratios of selected samples in
193 three cities are listed in Table 1, and the proportion (%) of FF sources in various carbon
194 fractions of the corresponding samples are shown in Table 2. Overall, fossil sources
195 annually accounted for a slightly larger contribution to TC in the three cities (average:
196 $53 \pm 10\%$; range: 31-71%) than non-fossil sources (average: $47 \pm 10\%$; range: 29-69%),
197 and the values in each of the three cities were similar to each other. For example, the
198 ratio of FF:NF in Beijing, Shanghai and Guangzhou was 54:46, 53:47 and 52:48,



199 respectively. Despite the wide range of EC concentrations (Table 1), the ratios of fossil
200 EC (EC_f) to total EC in Beijing, Shanghai and Guangzhou were also comparable, with
201 averages of $73\pm6\%$, $72\pm6\%$ and $74\pm14\%$, respectively, suggesting that fossil-fuel
202 combustion is the dominant contributor to EC. The high annual contribution of fossil
203 fuels to EC in the three cities was consistent with earlier reported results that used a
204 similar ^{14}C -based approach to analyze the EC in cities in China, including Beijing (i.e.,
205 79% and 82%), (Zhang et al., 2015b; Zhang et al., 2015a) Xi'an ($78\pm3\%$), (Zhang et al.,
206 2015a) Shanghai (79%) (Zhang et al., 2015a) and Guangzhou ($80\text{-}90\%$), (Liu et al., 2014)
207 and also with previous studies that have been conducted in other cities across the
208 world. (Andersson et al., 2015; Bernardoni et al., 2013; Liu et al., 2013) The average
209 contributions of fossil OC (OC_f) to OC were $50\pm10\%$, $49\pm9\%$ and $45\pm10\%$ in Beijing,
210 Shanghai, and Guangzhou, respectively, which were lower than the corresponding EC_f
211 contribution to EC for all samples. However, the high proportion of OC_{nf} ($32\text{-}72\%$)
212 also indicated that primary emissions and secondary formation from non-fossil
213 sources (i.e., biomass burning and biogenic emission) are important contributors to
214 OC in densely populated and urbanized areas of China.

215 The relative contributions of fossil and non-fossil to EC, WIOC and WSOC in each of the
216 four seasons are plotted in Fig. 3. Discrete seasonal patterns were found in the three
217 cities. Generally, the relatively higher contributions of non-fossil ($54\text{-}59\%$) to TC were
218 found in autumn, from late October to early November. Particulate EC was
219 predominantly derived from the combustion of fossil fuels such as coal, gasoline and
220 diesel and the burning of vegetation and wood (non-fossil). In this study, the ratios of



221 EC that were derived primarily from biomass burning (BB) were also higher in autumn
222 (>30%) compared to the other seasons. The 5-day back trajectory analysis revealed
223 that air masses came from inland central China (Fig. 1). It is suggested that the burning
224 of agricultural waste has a strong impact on air quality during this season in Beijing
225 (Zhang et al., 2017). This result is consistent with our previous study, which indicated
226 that NF emissions were predominant in carbonaceous aerosols in Chinese cities in this
227 season.(Liu et al., 2017a)

228 During winter, the carbon source compositions of different cities were different. The
229 percent of fossil-derived sources significantly increased in Beijing. $WIOC_f$ and EC_f were
230 approximately considered to be primary emissions from coal combustion and vehicle
231 exhaust. Generally, the $WIOC_f/EC_f$ ratios of coal combustion were higher than those of
232 vehicle emissions. Beijing winter had the highest $WIOC_f/EC_f$ ratio, 2.39, in this study.
233 This suggests that the increased emissions from fossil fuel combustion was related
234 with the increase in coal combustion for heating purposes during the cold periods in
235 North China (Fig. 1), which was confirmed by the aerosol mass spectrometer (AMS)
236 measurements results performed in the same season.(Elser et al., 2016) Furthermore,
237 based on another study, this fossil source enhancement might be attributed to
238 residential coal combustion.(Liu et al., 2017b) In Shanghai, the contribution of fossil
239 carbon increased approximately 11%. The $WIOC_f/EC_f$ ratio of 1.3 implied that the fossil-
240 derived carbon sources were a mixture of coal combustion and vehicle emissions. In
241 Guangzhou, the contribution of non-fossil sources was the highest (69%), and the
242 ratios of EC_{BB}/EC reached 0.39 and 0.48 in the winter samples. As shown in Fig. 1, air



243 masses came from the north of Guangdong, Hunan and Guizhou Provinces, where a
244 large amount of biomass, such as agricultural waste and hard wood, was burned for
245 cooking and domestic heating during the cold and dry winter. This carbon source
246 character is the same as the one in the regional-scale haze events reported in a
247 previous study.(Liu et al., 2014)

248 In Beijing and Guangzhou, the source compositions were almost consistent in spring
249 and summer, but the average contribution of non-fossil sources in Beijing ($45\pm 4\%$) was
250 higher than that in Guangzhou ($37\pm 3\%$). The results of the 5-day back trajectory
251 indicated that natural and biogenic emissions from the upwind rural and mountain
252 area had a strong impact on the air quality of Beijing, whereas the major carbon
253 sources in Guangzhou were from vehicle and industrial emissions in PRD. In Shanghai,
254 the carbon source composition in spring was almost similar to that in winter, but a
255 dramatic increase in fossil-derived carbon was observed in summer. The limited
256 sample number in summer in Shanghai might be lead to the bias results. However, a
257 recent study indicated that the highest number fraction of primary ship emitted
258 particles to total particles in Shanghai urban region could reach up to 50% during the
259 ship plume cases, and ship-plume-influenced periods usually occurred in spring and
260 summer. (Liu et al., 2017c) The corresponding back trajectory showed that the air mass
261 came from the East China Sea and passed through the coast of East China. In addition
262 to pollutants from industrial and vehicle emissions, the emission contribution of
263 fishing boat and large ship nearby to the air pollutants in Shanghai cannot be ignored.
264 However, the carbon sources during haze and non-haze in each season were almost



265 consistent (Fig. 3). In addition, the air masses of haze and non-haze in each season at
266 each site were from approximately the same direction (Fig. 1). Above all, this study
267 demonstrates that the main sources of carbonaceous aerosols in cities varied greatly
268 across different seasons, but the carbon sources of haze and non-haze days in each
269 season showed little difference. Compared with previous studies, the seasonal
270 variation in carbon sources in Beijing was similar to the variations in the
271 submicrometer organic aerosols measured from 2013-2014 in Beijing,(Zhang et al.,
272 2017) and variations in Shanghai and Guangzhou were consistent with the previous
273 studies conducted in different seasons.(Liu et al., 2014;Liu et al., 2017b;Liu et al.,
274 2016b)

275 **3.3 Possible sources of secondary organic aerosols**

276 Based on water solubility, OC was separated into WSOC and WIOC. EC and WIOC were
277 approximately considered primary emissions, while WSOC was a proxy for secondary
278 organic carbon (SOC) and biomass burning OC. (Zhang et al., 2017) In this study, WSOC
279 accounted for $47\pm 7\%$, $32\pm 7\%$ and $43\pm 12\%$ of TC and significantly positive correlated
280 with $PM_{2.5}$ concentrations in Beijing, Shanghai and Guangzhou, respectively, which
281 indicates the importance of SOC in megacities. Moreover, the ratios of WSOC/ $PM_{2.5}$
282 were significantly positive correlated with $PM_{2.5}$ concentrations in Beijing ($R^2=0.67$,
283 $p<0.01$) and Guangzhou ($R^2=0.31$, $p<0.05$), respectively, but there is no significantly
284 correlation found in Shanghai. It is suggested that SOC is playing an important role in
285 the haze formation in Beijing and Guangzhou.

286 Potential major sources of WSOC might be revealed by the plot figures. As shown in



287 Fig. 4A, the percent of non-fossil WSOC to TC is positively correlated with the ratio of
288 EC_{BB}/EC . EC is exclusively of primary origin and emitted by the incomplete combustion
289 of fossil fuels and biomass burning. The correlation indicated that the incensement of
290 non-fossil WSOC should be contributed to the enhancements of biomass burning. In
291 one hand, large fractions of biomass burning primary OC is water-soluble, in another
292 hand, an increase emission of volatile organic compounds during biomass burning
293 could lead to the incensement of non-fossil secondary organic aerosol. It suggests that
294 BB emission has an important impact on the non-fossil SOC in China. Recently,
295 evidence derived from a secondary organic aerosol tracer also indicated that a large
296 nationwide increase in secondary organic aerosols during the cold period was highly
297 associated with an increase in biomass burning emissions(Ding et al., 2017). In
298 principle, fresh primary OC emitted from FF combustion is water-insoluble. After
299 analyzing the differences in WSOC levels at sites with no direct influence from vehicle
300 exhaust emissions, the previous study concluded that primary WSOC emitted directly
301 by vehicles is very limited. (Weber et al., 2007) With regard to coal, another type of FF,
302 only ~1% of fresh OC is water-soluble.(Park et al., 2012) Thus, primary organic carbon
303 (POC) derived from FF combustion can reasonably be considered to be water-insoluble,
304 and fossil WSOC is used to estimate levels of FF-derived SOC.(Weber et al., 2007) The
305 percent of fossil WSOC to TC vs the ratio of $WIOC_f/EC_f$ is plotted in Fig. 4B. The primary
306 sources of $WIOC_f$ and EC_f were coal combustion and emission of internal combustion
307 engines using petroleum fuel. Generally, the $WIOC_f/EC_f$ ratio of coal combustion was
308 higher than that of vehicle emission.(Liu et al., 2013) As shown in Fig. 4B, the



309 proportion of $WSOC_f$ decreased with the increase in the $WIOC_f/EC_f$ ratio in Shanghai
310 and Guangzhou, indicating that the fossil SOC was not mainly from coal combustion
311 sources, but rather from vehicle and ship emissions or VOCs released from industrial
312 sources. However, this trend was different in Beijing. Excluding the winter samples, the
313 trend in Beijing was similar to those in Shanghai and Guangzhou. However, the trend
314 was opposite to the those in Shanghai and Guangzhou when the winter samples were
315 included. Therefore, it is suggested that the fossil SOC in Beijing mainly came from
316 residential coal combustion in the winter and from vehicle exhaust or industrial
317 emissions in the other seasons.

318 4. Conclusion

319 Carbonaceous aerosols accounted for $20 \pm 6\%$, $17 \pm 6\%$, and $36 \pm 8\%$ of $PM_{2.5}$ masses
320 in Beijing, Shanghai, and Guangzhou, respectively. The seasonal variation of $PM_{2.5}$, OC
321 and EC were characterized by the higher mass concentrations in winter and lower in
322 summer. Based on ^{14}C measurements, the yearly average contribution of FF and NF to
323 TC were almost equivalent, with FF:NF ratios of 54:46, 53:47 and 52:48 in Beijing,
324 Shanghai and Guangzhou, respectively. FF combustion is the dominant contributor to
325 EC (>72%), while NF contribution is a bit higher (50%-55%) than FF proportion to OC
326 at the three sites. Generally, a greater contribution of non-fossil (>55%) sources was
327 found in autumn in all cities. The source seasonality was different among the three
328 cities in other seasons. In winter, FF contributed the most in Beijing (64%), NF
329 contributed the most in Guangzhou (63%), and FF contributed slightly more than NF
330 in Shanghai (54%). In spring and summer, Beijing and Guangzhou had similar source



331 compositions, with a higher contribution of FF (55% and 63%, respectively) than NF.
332 However, FF had the highest contribution (71%) in Shanghai in summer. The carbon
333 sources of haze and non-haze days in each season showed little difference. Secondary
334 organic carbon (SOC) mainly originated from biomass burning and fossil oil emissions,
335 except in winter in Beijing, when the major source was residual coal combustion.

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343

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510 Table 1. Concentrations ($\mu\text{g C/m}^3$) of different carbon species and their ratios

511

Site	PM _{2.5}	WSOC	WIOC	EC	OC	TC	OC/EC	TC/PM _{2.5}
Beijing								
24-Oct-13	89.1	5.23	5.28	2.23	10.5	12.7	4.71	0.14
27-Oct-13	326	43.8	26.9	18.2	70.7	89.0	3.88	0.27
8-Jan-14	97.0	3.61	2.60	1.12	6.21	7.33	5.56	0.08
15-Jan-14	518	98.3	48.9	19.7	147	167	7.49	0.32
13-Apr-14	326	11.4	12.2	5.92	23.6	29.5	3.98	0.09
17-Apr-14	176	14.1	6.28	5.39	20.4	25.7	3.78	0.15
24-Aug-14	96.0	4.40	3.60	1.77	8.00	9.77	4.52	0.10
26-Aug-14	103	3.71	3.48	2.20	7.19	9.39	3.28	0.09
Shanghai								
8-Nov-13	176	9.56	20.6	7.49	30.1	37.6	4.02	0.21
11-Nov-13	67.2	1.88	2.56	1.02	4.44	5.46	4.37	0.08
22-Dec-13	81.8	4.16	5.07	2.82	9.23	12.1	3.27	0.15
28-Dec-13	216	9.51	17.2	9.73	26.7	36.4	2.75	0.17
4-Apr-14	168	5.37	9.77	4.59	15.1	19.7	3.30	0.12
7-Apr-14	110	4.63	4.19	2.20	8.81	11.0	4.00	0.10
10-Jul-14	128	6.25	5.72	3.49	12.0	15.5	3.43	0.12
Guangzhou								
22-Oct-13	79.3	9.09	7.41	3.62	16.5	20.1	4.56	0.25
27-Oct-13	124	8.79	14.0	5.80	22.8	28.6	3.93	0.23
22-Dec-13	40.1	4.90	2.92	1.73	7.83	9.56	4.51	0.24
4-Jan-14	159	48.9	20.4	8.04	69.2	77.3	8.61	0.49
28-Mar-14	61.0	10.3	6.06	5.98	16.4	22.3	2.74	0.37
9-Apr-14	124	23.3	13.2	13.5	36.4	50.0	2.69	0.40
1-Jul-14	34.5	2.07	2.90	2.70	4.97	7.66	1.84	0.22
7-Jul-14	120	11.7	11.8	8.09	23.5	31.6	2.90	0.26

512

513



514 Table 2. Relative contribution of fossil fuel sources to different carbon fractions

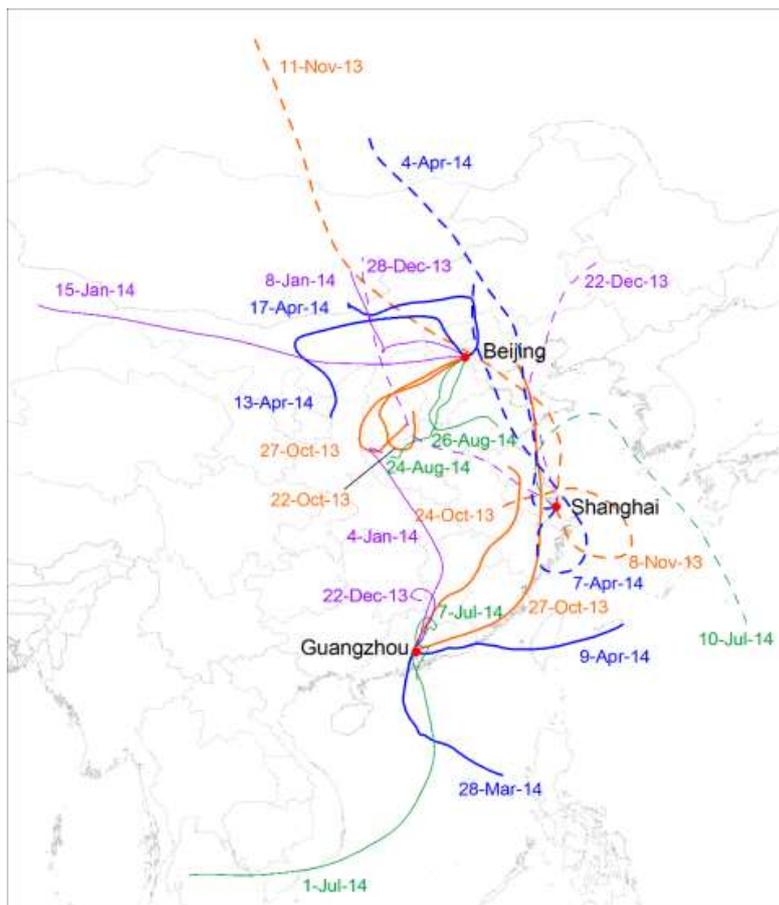
Site/Time	WSOC	WIOC	EC	OC	TC
Beijing					
24-Oct-13	0.37	0.33	0.67	0.35	0.41
27-Oct-13	0.40	0.39	0.63	0.39	0.44
8-Jan-14	0.63	0.49	0.72	0.57	0.60
15-Jan-14	0.65	0.74	0.77	0.68	0.69
13-Apr-14	0.56	0.44	0.75	0.50	0.55
17-Apr-14	0.51	0.48	0.75	0.50	0.55
24-Aug-14	0.45	0.43	0.77	0.44	0.50
26-Aug-14	0.56	0.49	0.79	0.53	0.59
Shanghai					
8-Nov-13	0.23	0.40	0.67	0.35	0.41
11-Nov-13	0.37	0.36	0.75	0.37	0.44
22-Dec-13	0.45	0.54	0.72	0.50	0.55
28-Dec-13	0.44	0.50	0.68	0.48	0.53
4-Apr-14	0.44	0.53	0.71	0.50	0.55
7-Apr-14	0.38	0.48	0.70	0.43	0.48
10-Jul-14	0.69	0.67	0.84	0.68	0.71
Guangzhou					
22-Oct-13	0.35	0.44	0.67	0.39	0.44
27-Oct-13	0.47	0.36	0.70	0.40	0.46
22-Dec-13	0.35	0.44	0.61	0.38	0.42
4-Jan-14	0.29	0.28	0.52	0.28	0.31
28-Mar-14	0.52	0.57	0.84	0.54	0.62
9-Apr-14	0.57	0.59	0.88	0.58	0.66
1-Jul-14	0.54	0.53	0.86	0.54	0.65
7-Jul-14	0.49	0.50	0.86	0.50	0.59

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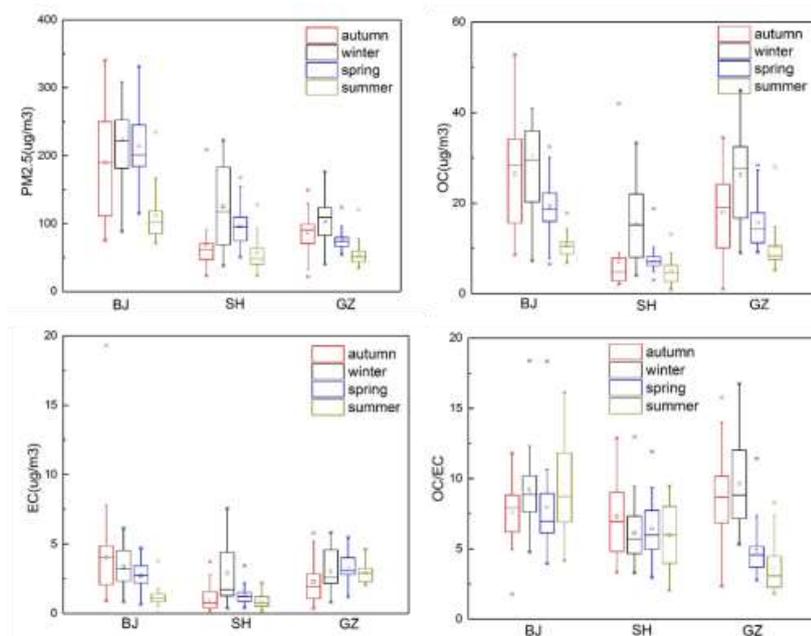


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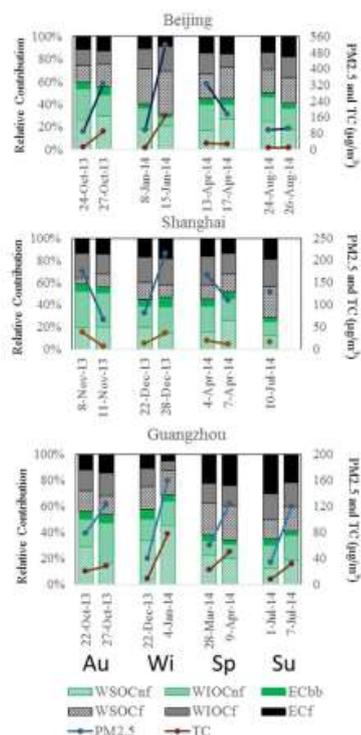
519 Figure 1. Air mass 5-day back trajectories for all samples are modeled at 500m above
520 ground level by Air Resources Laboratory, National Oceanic and Atmospheric
521 Administration (Hybrid Single Particle Lagrangian Integrated Trajectory Model).
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524 Figure 2. Box-and-whisker plots of mass concentrations of PM_{2.5}, OC, EC and EC/OC
525 ratios in Beijing (BJ), Shanghai (SH) and Guangzhou (GZ) during sampling periods 2013
526 -2014. The box represents the 25th (lower line), 50th (middle line) and 75th (top line)
527 percentiles values, while the end of the lower and upper vertical line represents the
528 10th and 90th percentile values, respectively.

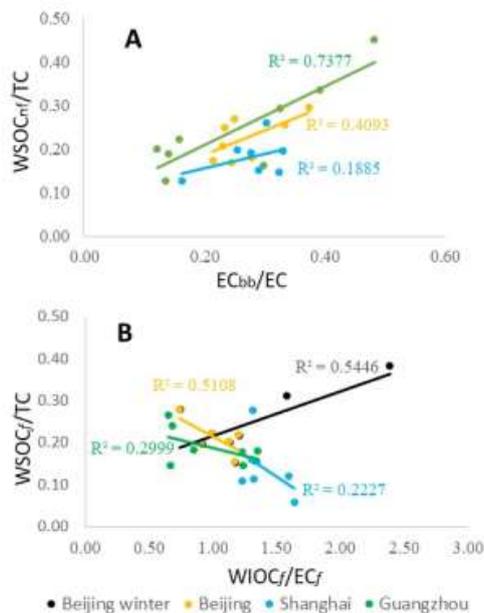
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531 Fig. 3. The relative contributions of fossil EC (EC_f), fossil water-insoluble OC ($WIOC_f$),
532 fossil water-soluble OC ($WSOC_f$), non-fossil EC (EC_{nf}), non-fossil water-insoluble OC
533 ($WIOC_{nf}$), and non-fossil water-soluble OC ($WSOC_{nf}$) to total carbon (TC) and the
534 concentrations of $PM_{2.5}$ and TC in four seasons (autumn/Au, winter/Wi, spring/Sp,
535 summer/Su) in Beijing, Shanghai and Guangzhou.

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539 Fig. 4. Correlations of WSOC_n/TC vs. EC_{bb}/EC (A), and WSOC_f/TC vs. WIOC_f/EC_f (B).

540 Beijing winter means all samples collected in Beijing; Beijing means winter samples

541 were excluded.

542