We thank the reviewers for their constructive comments and suggestions, which have helped us to significantly improve our manuscript. Following the reviewers' comments and suggestions, we revised the manuscript (please see the manuscript with changes tracked). Our responses to the reviewers' specific comments (blue text) are detailed below with <u>page and line numbers referring to the manuscript with "track changes" turned off i.e., the clean version.</u>

Reviewer #1 comments (&SC1):

General comments:

This paper presents a new multi-year set of sodium wind-temperature lidar observations of the mesopause region from Hefei, China (32N, 117E). These observations over six years have yielded 237 nights (2200 hours) of mesospheric sodium, temperature, and zonal wind measurements that support the investigation of chemistry and dynamics on time scales of hours over the night and months over the year. The observations are also compared with a contemporary coupled chemistry climate model and show reasonable agreement. The lidar system is a state-of-the-art wind-temperature system and the data set represents a significant experimental and observational effort.

Response: Thank you for your great comments. This will encourage us to continue our routine and long-term lidar observations.

Specific Comments;

(1) The seasonal and tidal dynamics presented in the paper is in reasonable agreement with other mid-latitude studies. The paper presents yearly plots of monthly mean temperature, zonal wind and sodium density. The paper highlights the presence of the tropical mesospheric semiannual oscillation where the temperature is in quadrature with the zonal winds. The paper presents nightly composite plots of hourly temperature, zonal wind and sodium density for each season. The paper highlights the presence of semidiurnal and diurnal tides in the winds and temperatures based on visual inspection of the composites. The tidal signatures are more pronounced in the zonal wind than the temperature. The diurnal tide appears to dominate at the equinoxes, while the semidiurnal tide dominates in winter. There is no clear tidal signature present in the summer composite. The authors note that these observations are consistent with meteor radar observations at Wuhan and sodium wind-temperature lidar observations Fort Collins. The authors may wish to compare their observations with those from Adelaide, Australia (35S, 138E).

Response: Following your suggestion, we have included a few sentences to compare our momentum flux with MF radar observation at Adelaide, Australia (a conjugate site). We did not compare the tidal signature with those determined from MF observations at Adelaide, Australia, since we could only visually examine the tidal components from the data due to limited local time coverage, and so this is very preliminary.

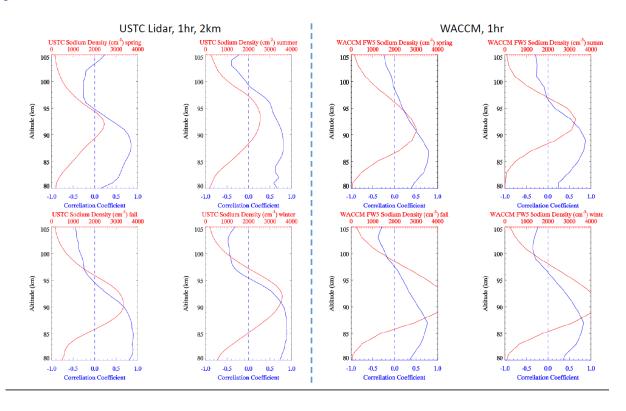
Changes: Please see page 12 lines 326-328. "The MF radar observations in Adelaide, Australia (35°S, 138°E) suggest an eastward flux of $\sim 3 \text{ m}^2/\text{s}^2$ in winter (Reid and Vincent, 1987)."

(2) The paper presents the correlation of sodium density and temperature perturbations. What was the time resolution of the data used in the correlation?

The presence of a positive correlation below 95 km and a negative correlation above 95 km has been shown in steady state analysis of the chemistry of the sodium layer [e.g., Collins and Smith, JASTP, 2004]. The question of the time-scale of the correlations is important in assessing vertical fluxes in sodium density that are used to quantify meteoric input into the atmosphere [e.g., Gardner et al., JGR, 2014] and turbulent eddy fluxes in the mesosphere [e.g., Guo et al., GRL, 2017]. The authors might examine the correlation in different seasons and shed further light on the chemical dynamics that underlie the correlation.

Response: The temporal resolution is 1hr for the vertical profiles of both sodium and temperature.

We have included in the text both the suggested reference Collins and Smith (2004), as well as the original reference to this making these Na-T correlations (Plane et al., (1999)). We then examined the correlation in the four different seasons, but the differences are not significant as shown in the following figures. We would therefore prefer to keep the existing plots.



Changes: Please see page 10 lines 263-265. "The temporal resolution for both lidar and WACCM is 1hr. We also examined the correlation in the four different seasons, but did not find a significant difference."

Please see page 10 lines 268-269. "..., consistent with lidar observations at Urbana (40N) (Plane et al., 1999) and in the Arctic (Collins and Smith, 2004).."

(3) The paper presents momentum fluxes based on the coplanar beam technique [Vincent and Reid, JAS, 1983]. Again the authors show consistency with other mid-latitude observations showing an eastward flux in summer and a clearer westward flux in winter. The fluxes include fluctuations with periods from 10 min to 16 h. Studies have shown the that waves with high intrinsic frequencies are most effective at transporting momentum in the middle atmosphere [Fritts and Vincent, JAS, 1987]. The authors might consider calculating the fluxes

by band-limiting the data to the higher frequencies (e.g., 10 min-4 h) and seeing if these periods dominate the fluxes. The seasonality of the fluxes might also be examined in terms of the semi-annual oscillation.

Response: Thank you for this important suggestion. We have recalculated the momentum flux with more careful handling of large value perturbations. The new high-pass filtered data with 10min-2hr were used to calculate the momentum flux, and we found that the momentum flux for GWs with period 10min-2hr accounts for 50%-70% of those derived from GWs with period 10 min-16hr. This suggests that the short-period GWs contribute to the majority of total momentum flux, consistent with early MF radar observation at Southern Hemisphere Adelaide, Australia (Fritts and Vincent, 1987).

We also find that the large short-period GW momentum flux in summer and winter are clearly anti-correlated with eastward zonal wind maxima below 90 km, suggesting the filtering of short-period GWs by the SAO wind.

Changes: Please see page 12 lines 337-342. "The short-period (10min – 2hr) GWs clearly contribute 50%-70% of total momentum flux, consistent with previously medium frequency (MF) radar observations (Fritts and Vincent, 1987). The large westward momenta of -0.9 and -0.6 m²/s² in summer and winter, respectively, for short-period GWs observed by our lidar are clearly anti-correlated with eastward zonal wind maxima below 90 km (Figure 8a), suggesting the filtering of short-period GWs by the SAO wind. However, this SAO variation is not clear in the total momentum flux."

Reviewer #2 Comments:

(1) The paper reports a multi-year data set in mesopause region that is important for upper atmosphere studies. The work also demonstrates the great capabilities of the USTC Na lidar. For this paper, I think it is also important to illustrate the differences among the three midlatitude sites and discuss the geophysical implications these differences reveal. It would be quite helpful if plots of the climatology from the other two mid-latitude sites could be added along side that of USTC, provided that they are available.

Response: Although we think this is a good suggestion, it would require a significant enlargement of the scope of the current study which focuses in detail on the Hefei results, and where we already compared with the published results from the two US lidar sites. A more detailed comparison of tides and gravity waves at the three sites could be the basis for a future study, which would involve contacting the PIs and gaining permission to use their data.

(2) I also hope the author could spend some extra ink on the discussion of this topic. For example, if the diurnal tide dominates the altitude range below 100 km and could account for the difference of the climatology among the three sites, there is a possibility that different diurnal tidal components dominate the mid-latitude of East Asia and North America. The most recent tidal wave climatology is open to public (both GSWM and CTMT), should be an easy check.

Response: Following your suggestion, we have included more discussion on the tides observed by SABER, and the contribution of tidal longitudinal variability to the observed differences in the nighttime climatology between the USTC and SOR lidars.

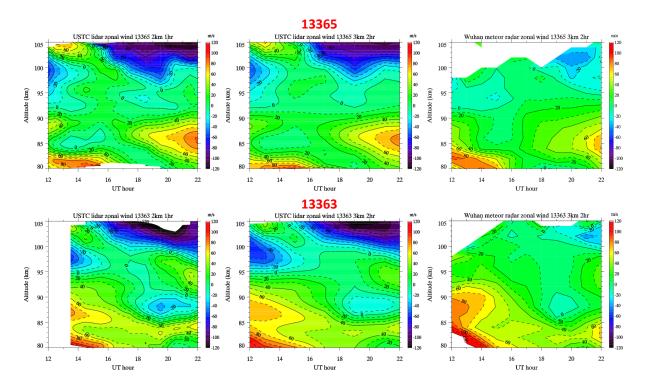
Changes: Please see page 8 lines 206-213. "The SABER observations reveal a diurnal amplitude of ~2 K and ~8 K, and semidiurnal amplitude of ~7 K and ~12 K at 95km for the USTC and SOR lidar sites, respectively (Zhang et al., 2010). This significant longitudinal variability is likely due to nonlinear interactions between the migrating tide and non-migrating tide (Forbes et al., 2003) and stationary planetary wave number 1 (Lieberman et al., 1991), and/or tidal/gravity waves interactions (Lindzen, 1981; Liu and Hagan, 1998; Li et al., 2007; 2009). The clear longitudinal variability of tides between the two lidar sites could thus cause significant differences in the nocturnal climatology."

Please see page 9 lines 235-238. "However, the SOR lidar observations were ~10 K colder below 90 km in summer, and ~10 K warmer between 90 and 95 km in spring, suggesting significant differences between the two locations likely induced by the significant longitudinal variability of the diurnal tide (Zhang et al., 2010)."

(3) The author also mentions the differences between the lidar and the nearby radar wind measurements, and attribute them to different resolutions. The lidar data can, then, be processed using the radar resolution, and see how it affects these differences.

Response: In the following figure we plot the lidar data with different temporal and vertical resolutions, compared with meteor radar results over two days. The left panel is for the lidar zonal wind with 2 km and 1 hr resolution, the middle panel is for 3 km and 2 hr resolution, and the right panel is for the radar wind with 3 km and 2 hr resolution. It turns out there is

some difference. The main feature between 80-100 km agrees very well between lidar and radar, except the maximum values are somewhat different. But above 100 km there are significant differences, likely due to the low signal-to-noise ratio of both instruments. In the revised paper we have kept a 2 km and 1 hr resolution in figure 8, since we want to emphasize the higher vertical and temporal resolution of the lidar compared with the meteor radar.



Changes: Please see page 11 lines 300-302. "This is likely due to the different vertical and temporal resolutions, signal-to-noise ratio, and the measurement methods, as well as the different locations."

(4) For the momentum flux measurements, it is critical to process the lidar data with the same temporal and spatial resolution as those in the literature for comparisons, due to the sensitive of these results to the GW spectrum.

Response: Yes, we agree with you. However, due to the different signal-to-noise between our lidar and other instruments, we analyze the data with particular resolutions (2 km, 5 min) which are optimal for deriving the momentum flux. Yes, part of the difference between our lidar results and previously published results is likely due to different vertical and temporal resolutions. We now include a sentence in the text to point this out.

We also apply a high-pass filter with cutoff at 2hr to raw perturbations to examine the relative contribution of short-period GWs (10min - 2hr) to the total momentum flux. The short-period GWs contribute 50-70% of total momentum flux. The large short-period GW momentum fluxes in summer and winter are clearly anti-correlated with the eastward zonal wind maxima below 90 km, suggesting the filtering of short-period GWs by the SAO wind.

Changes: Please see page 12 lines 328-330. "We note here that part of the difference between our lidar results with previously published work is likely due to different vertical and temporal resolutions, and thus sensitivity to different portions of the GW spectrum". Please see page 12 lines 337-342. "The short-period (10 min -2 hr) GWs clearly contribute

50%-70% of the total momentum flux, consistent with previously medium frequency (MF) radar observations (Fritts and Vincent, 1987). The large westward momentums of -0.9 and - $0.6 \text{ m}^2/\text{s}^2$ in summer and winter, respectively, for short-period GWs observed by our lidar are clearly anti-correlated with eastward zonal wind maxima below 90 km (Figure 8a), suggesting the filtering of short-period GWs by the SAO wind. However, this SAO variation is not clear in the total momentum flux."

The presentation is very clean and figures are easy to understand. There seems to be a typo in line 164 of w'u' under the par, however. Please correct.

Response: Thanks. We have corrected it.

- 1 Climatology of mesopause region nocturnal temperature, zonal wind, and sodium
- 2 density observed by sodium lidar over Hefei, China (32°N, 117°E)
- Tao Li^{1*}, Chao Ban^{1,2*}, Xin Fang¹, Jing Li¹, Zhaopeng Wu¹, Wuhu Feng^{3,4}, John M. C. Plane³,
- 4 Jianguang Xiong⁵, Daniel R. Marsh⁶, Michael J. Mills⁶, and Xiankang Dou¹
- ¹CAS Key Laboratory of Geospace Environment, School of Earth and Space Sciences,
- 6 University of Science and Technology of China, Hefei, Anhui, China
- 7 ²Now at Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing,
- 8 China³School of Chemistry, University of Leeds, Leeds, United Kingdom
- ⁴NCAS, School of Earth and Environment, University of Leeds, Leeds, United Kingdom
- ³Institute of Geology and Geophysics, Chinese Academy of Sciences, Beijing, China
- ⁶National Center for Atmospheric Research, Boulder, CO, USA
- *To whom correspondence should be addressed: <u>litao@ustc.edu.cn</u>; <u>banchao@mail.iap.ac.cn</u>

14 Abstract

13

17

19 20

21

22

23

24

25

26 27

28

29

30

31

15 The University of Science and Technology of China narrowband sodium temperature/wind

16 lidar, located in Hefei, China (32°N, 117°E), has made routine nighttime measurements since

January 2012. 154 nights (~1400 hours) of vertical profiles of temperature, sodium density,

and zonal wind, and 83 nights (~800 hours) of vertical flux of gravity wave (GW) zonal

momentum in the mesopause region (80-105 km) have been obtained during the period from

2012 to 2016. In temperature, it is most likely that the diurnal tide dominates below 100 km in

spring, while the semidiurnal tide dominates above 100 km throughout the year. A clear

semiannual variation in temperature is revealed near 90 km, in phase with the tropical

mesospheric semiannual oscillation (MSAO). The variability of sodium density is positively

correlated with temperature below 95 km, suggesting that in addition to dynamics, the

chemistry also plays an important role in the formation of sodium atoms. The seasonal

variability of sodium density observed by both lidar and satellite generally agrees well with a

whole atmosphere model simulation using an updated meteoric input function which includes

different cosmic dust sources. In zonal wind, the diurnal tide dominates in both spring and fall,

while semidiurnal tide dominates in winter. The observed semiannual variation in zonal wind

near 90 km is out-of-phase with that in temperature, consistent with the tropical MSAO. The

lidar observations generally agree with satellite and meteor radar observations as well as

Author

Moved (insertion) [1]

model simulations at similar latitude. The 50-70% of zonal momentum flux is induced by short-period (10 min – 2 hr) GWs. The large zonal momentum flux in summer and winter due to short-period GWs are clearly anti-correlated with eastward zonal wind maxima below 90

km, suggesting the filtering of short-period GWs by the SAO wind

35

Autho

Deleted: s

Author

Deleted: on the

Author

Moved up [1]: The lidar observations generally agree with satellite and meteor radar observations as well as model simulations at similar latitudes.

Author

Deleted: The GW zonal momentum flux is mostly westward in fall and winter, anti-correlated with eastward zonal wind. The annual mean flux averaged over 87-97 km is \sim -0.3 m²/s² (westward), anti-correlated with eastward zonal wind of \sim 10 m/s.

1. Introduction

The temperature and wind in the mesopause region (80-105 km) are key atmospheric parameters for studying the dynamics in this region. Ground-based instruments (e.g. lidars, radars), and space-borne instruments have been widely used to measure these key parameters over several decades (Vincent and Reid, 1983; She et al., 1998; Wu et al., 2008). Satellites can provide a near-global view of the mesopause region, but their local coverage is usually limited to two local times on the ascending and descending orbit. The lack of continuous coverage in local time makes it difficult to extract information on short period gravity wave (GW) perturbations from satellite data (Preusse et al., 2009). Ground-based meteor or medium frequency radars are capable of measuring mesopause wind in a continuous mode, but do not provide direct temperature measurements with sufficient accuracy and vertical resolution (Vincent and Reid, 1983). However, a narrowband sodium lidar is able to simultaneously measure mesopause region temperature and horizontal wind by utilizing the sodium high resolution spectrum (She et al., 1994; Arnold and She, 2003), which provides a unique opportunity to study GW perturbations and their breaking process in the mesopause region (Li et al., 2005; Li et al., 2007).

The long-term lidar observations have been used to study the seasonal variability of mesopause region temperature (She et al., 1998; Gardner et al., 2002; Xu et al., 2006; Friedman et al., 2007) and horizontal wind (Franke et al., 2005; Gardner et al., 2007), as well as sodium density (She et al., 2000; Gardner et al., 2005; Ejiri et al., 2010; Yi et al., 2009; Yuan et al., 2012), iron density (Yi et al., 2009; Lübken et al., 2011) and potassium density (Friedman et al., 2002; Plane et al., 2015). These datasets are extremely valuable to validate satellite results (Xu et al., 2006; Fan et al., 2007a; Dawkins et al., 2014) and improve general circulation models (Yuan et al., 2008; Feng et al., 2013; Marsh et al., 2013). When GWs break or dissipate in the mesopause region due to increased amplitudes or approaching critical level (where wave phase speed equal to horizontal background wind), they tend to deposit wave energy and momentum into the background flow, and further modify the temperature and wind near the breaking region (Lindzen et al., 1981; Liu and Hagan, 1998; Li et al., 2007). Therefore, measurements of the GW vertical flux of horizontal momentum and heat are critical for evaluating the GW contribution to the background state in this region, and their key roles in the dynamic coupling between lower and middle/upper atmosphere (Li et al.,

2013; 2016).

79

80

81

82

83

84

85

86

87

88

8990

91

92

93

94

95

96

97 98

99

100

101

102

103

The vertical flux of horizontal momentum can be directly derived from the vertical wind perturbation and associated horizontal wind perturbation. To ensure accuracy of the GW momentum flux, the wind data must have high temporal and vertical resolutions with good precision and a long-time average (Kudeki and Franke, 1998; Thorsen et al., 2000). Several studies of lidar-observed GW momentum flux in the mesosphere/lower thermosphere (MLT) region have been carried out previously (Espy et al., 2004; Gardner and Liu, 2007; Acott et al., 2009).

In this paper, we present the seasonal variation of sodium density, temperature, zonal wind and GW zonal momentum flux observed by the University of Science and Technology of China (USTC) sodium temperature/wind lidar from January 2012 to December 2016 over Hefei, China (32°N, 117°E). This is the first time simultaneous observations of the seasonal variability of mesopause region temperature, zonal wind, and GW momentum flux by sodium lidar over the Eastern Asia region have been reported. We compare the lidar results with temperature observed by the Sounding of the Atmosphere Using Broadband Emission Radiometry (SABER) instrument onboard the Thermosphere-Ionosphere-Mesosphere Energetics and Dynamics (TIMED) satellite (Russell et al., 1999); zonal wind observed by a nearby meteor radar (Xiong et al., 2004); and sodium density observed by the Optical Spectrograph and InfraRed Imager System (OSIRIS) onboard the Odin satellite (Llewellyn et al., 2004). These measurements are then compared with simulations from the Whole Atmosphere Community Climate Model version 5 (WACCM) (Marsh et al., 2013; Mills et al., 2016; Feng et al., 2017), using an updated meteoric input function (MIF) for Na (Cárrillo-Sanchez et al., 2016). The instruments, datasets, and data analysis method are described in section 2, followed by the results of temperature and sodium density in section 3, and zonal wind and GW zonal momentum flux in section 4. A summary is provided in section 5.

104105

106

107

108

109

2. Instruments, datasets and analysis method

The USTC sodium temperature/wind lidar, located on campus in Hefei, China (32°N, 117°E), utilizes a narrowband three-frequency design and can simultaneously observe sodium density, zonal wind and temperature in the mesopause region during nighttime clear sky

conditions (Li et al., 2012). The system was initially set up in October 2011 with two receiving telescopes (30-inch diameter) pointing eastward and northward 30° from zenith for measuring the zonal and meridional wind, respectively. The output laser beam is split into two beams, each aligned parallel to one telescope. Between December 2012 and May 2014 (total 83 nights), the two receiving telescopes were pointed to eastward and westward, each 15° from zenith. This dual-beam setup allows us to derive the GW zonal momentum flux as well as the zonal wind. Since June 2014, the westward telescope was pointed to vertical for measuring the vertical fluxes of heat and sodium atoms, and the eastward telescope to 30° from zenith for measuring zonal wind. Between January 2012 and December 2016, we obtained 154 nights (~1400 hours) of valid data, which is sufficient to study the seasonal variations of sodium density, temperature, zonal wind, and GW momentum flux (83 nights) in the mesopause region over Hefei. Figure 1 shows the number of nights with valid datasets in each month of the different years. It is clear that Hefei has more clear nights in fall and winter than in spring and summer.

The Wuhan (31°N, 114°E) meteor radar, located at ~300 km west of Hefei, has measured mesopause region horizontal wind since January 2002 (Xiong et al., 2004). The vertical and temporal resolutions of radar wind are 3 km and 2 hr, respectively. The SABER instrument onboard the TIMED satellite can measure the near-global vertical profile of temperature from the lower stratosphere to the lower thermosphere (Russell et al., 1999). The SABER temperature dataset used in this paper is Level2A version 2.0, which has a vertical resolution of 2 km and accuracies of ± 1 -2 K between 75 and 95 km, increasing to ± 4 K at 100 km. The OSIRIS instrument onboard the Odin satellite measures solar-pumped Na resonance fluorescence from a sun-synchronous polar orbit (Llewellyn et al., 2004), and the datasets can be used to retrieve the global vertical profiles of sodium density between 75 and 110 km with a ~10% uncertainty for 2 km vertical resolution (Gumbel et al., 2007; Fan et al., 2007a).

To compare with lidar results, we also use the temperature, zonal wind, and sodium density simulated by the WACCM, a chemistry-climate model which extends from the Earth's surface to the lower thermosphere (~140 km) (Garcia et al., 2007; Marsh et al., 2013a). WACCM uses the framework from the fully coupled global climate model Community Earth System Model (CESM version 1, e.g., Hurrell et al., 2013). In this paper, we use a version of WACCM described in Mills et al. (2016), which includes all the detailed physical processes

Author

Deleted: 20

as described in the Community Atmosphere Model, version 5 (CAM5) (Neale et al., 2012). The current configurations for WACCM are based on a finite volume dynamical core (Lin, 2004) for the tracer advection as well as a new surface topography data from Lauritzen et al. (2015). WACCM has the fully interactive chemistry described in Mills et al. (2016), and we have included the Na chemistry scheme listed in Plane et al. (2015) and Gomez Martin et al. (2015, 2017), with an updated meteoric input function (MIF) for Na (Cárrillo-Sanchez et al. 2016). The new MIF is calculated for the ablation of cosmic dust particles from Jupiter Family Comets (80% by mass), Asteroids (8%), and Long Period Comets (12%), and the injection rate of Na is about 8 times larger than that used in Marsh et al. (2013). The peak Na ablation rate from Cárrillo-Sanchez et al. (2016) occurs around 87 km, which is ~15 km lower than the MIF used in Marsh et al. (2013), which was based on meteor head radar measurements which were biased to the high velocity dust particles which mostly originate from Long Period Comets (Cárrillo-Sanchez et al., 2016). The absolute Na MIF used in this paper has been divided by a factor of 5 from that in Cárrillo-Sanchez et al. (2016), in order to match the observed Na layer density. This most likely reflects the fact that WACCM underestimates the rate of vertical transport of Na species in the MLT because sub-grid gravity waves are not resolved in the model (Huang et al., 2015). The horizontal resolution of WACCM is 1.9° latitude by 2.5° longitude. The vertical model layers and the vertical resolution are the same as Mills et al. (2017), which is 70 and ~3 km in the MLT region. Although the model can be nudged by a re-analysis dataset, in the current study we have used a "free-running" model simulation, which produces a satisfactory Na climatology in the model. We ran the model for year 2000 condition for 13 years.

142

143

144

145

146

147

148

149

150

151

152153

154

155

156

157

158

159

160161

162

163

164

165

166

167 168

169

170

171

172

The lidar raw photon counts are first analyzed to generate hourly mean vertical profiles of sodium density, temperature and line-of-sight (LOS) wind with 2 km vertical resolution for each direction. Before and after the dual-beam setup (eastward-westward) between December 2012 and May 2014, we assume that the hourly mean vertical wind is negligible and then derive the hourly mean zonal wind from the east channel LOS wind (eastward pointing at 30° from zenith). During the dual-beam setup, we derive the hourly mean zonal wind profiles by subtracting the hourly westward LOS wind from the eastward LOS wind and then dividing by $2\sin\theta$ (e.g. θ =20°) (Vincent and Reid,1983). The uncertainties of the hourly mean zonal wind and temperature typically range from ~1.0 m/s and ~0.5 K at 92 km (Na peak layer) to ~6 m/s

Author

Deleted: simulation which

and \sim 5 K at 82 km and 103 km (the edge of Na layer), respectively. We then generate the nighttime hourly mean composite in each season.

Vincent and Reid (1983) presented a method utilizing the dual beam technique to derive vertical flux of GW horizontal momentum, when two beams are pointed at equal and opposite angle θ from the zenith. The zonal momentum flux $w'u'_{\star}$ is calculated as follows:

$$\overline{w'u'} = \frac{\overline{v^2(\theta,R)} - \overline{v^2(-\theta,R)}}{2\sin(2\theta)} \tag{1}$$

where $\overline{v^2(\theta,R)}$ and $\overline{v^2(-\theta,R)}$ are the square of the LOS wind perturbations in the east and west channels respectively, and θ is the zenith angle (e.g. 20°). To derive the momentum flux, we employed a similar procedure to that of Gardner and Liu (2007). Briefly, we first analyze lidar raw photon counts to generate the LOS wind with a temporal resolution of 5 min and a vertical resolution of 2 km. Data points with errors larger than 5 m/s were discarded during the quality check. We remove the linear trend and nightly mean from the LOS wind to form wind perturbations for each night. Data where the perturbation variances are smaller than the corresponding noise variances are also excluded. The seasonal mean vertical profile of perturbation variance is then obtained by averaging all available perturbation variances in that season. This process is done separately for each beam. Finally, the seasonal mean momentum flux is calculated using equation (1). In this way, the results only account for the GW perturbations with periods of 10 min-20 hr and vertical wavelengths of 4-30 km. We also apply a high-pass filter with cutoff at 2 hr on raw perturbations to examine the relative contribution of short-period GWs (10 min - 2 hr) to total momentum flux.

Since the <u>meteor</u> radar observed zonal wind is only available in 2013, we then calculate monthly mean with all available data for comparison. The SABER tracking points within ±5° latitude band (27-37°N) and longitude band (112-122°E) of the lidar site are selected first. We then discard the SABER temperature profiles that are outside of the lidar observation period. Finally, we average all available SABER temperature profiles within each month to form the monthly mean for comparison. A similar analysis method is used for the OSIRIS data. In the case of WACCM, the zonal mean data are first extracted at the coordinates of the lidar site and then the monthly mean profiles are generated in the same way as the lidar and radar profiles.

Author

Deleted: wnal

utnor

Deleted: -

Author

Deleted:

Author

Formatted: Font:(Default) Times New

Roman

Formatted: Normal, Indent: First line: 0

cm

3. Temperature and sodium density

Figure 2 shows the hourly mean temperature composite in four different seasons. The temperatures below 95 km are generally warmer in fall and winter than in spring and summer, consistent with the mesospheric residual meridional circulation with upwelling in the summer hemisphere and downwelling in the winter hemisphere (Andrew et al., 1987; Smith, 2012). It is most likely that the diurnal tide with downward phase progression dominates below 100 km in spring, although we only have 10-12 hr data. However, the tidal feature is not clear below 95 km in other seasons. The temperature above 100 km in all seasons clearly exhibits two minima after dusk and before dawn and a maximum near midnight, suggesting dominance and persistence of the semidiurnal tide in this latitude region throughout the year.

The clear downward phase progression of diurnal and semidiurnal tides in mesopause temperature was previously observed by sodium lidar at the Starfire Optical Range (SOR), New Mexico (35°N, 107°W) (Chu et al., 2005). However, their observations suggest a clear dominance of diurnal in April and October and semidiurnal in January below 100 km, while we see a clear dominance of diurnal only in spring (March-May), and mixed features in other seasons. In addition, the midnight maximum above 100 km shown in our results is not observed over SOR. The SABER observations reveal a diurnal amplitude of ~2 K and ~8 K, and semidiurnal amplitude of ~7 K and ~12 K at 95km for the USTC and SOR lidar sites, respectively (Zhang et al., 2010). This significant longitudinal variability is likely due to nonlinear interactions between the migrating tide and non-immigrating tide (Forbes et al., 2003) and stationary planetary wave number 1 (Lieberman et al., 1991), respectively, and/or tidal/gravity waves interactions (Lindzen, 1981; Liu and Hagan, 1998; Li et al., 2007; 2009). The clear longitudinal variability of tides between two lidar sites could thus cause significant differences in the nocturnal climatology.

Figure 3 shows the monthly mean of the nightly mean temperature observed by lidar and SABER, and simulated by WACCM. All three figures show qualitative agreement in the general pattern, but difference in absolute values. The mesopause is clearly located near 100 km in winter and below 95 km in summer, indicating a two-level mesopause as previously observed at mid- and high latitudes (von Zahn et al., 1996; She et al., 1998). The lidar observed temperature above 95 km is ~10 K lower than SABER, likely due either to the low signal-to-noise ratio in the lidar return signals above 100 km (Li et al., 2012), or to a non-local

[1]
[1]
[2]
[3]
[4]
[5]
[6]
[0]
[7]
[8]
[9]
[10]
[10]
[11]
[11]
[12]
[13]
[13]
[14]
(,,
[15]
[15]
[15]
[15]
[15]
[15]
[15]
[15]
[15]
[15]
[15]
[15] [16] ave ([17]
[15] [16] ave ([17]
[15] [16] ave ([17]
[15] [16] ave ([17]
[15] [16] ave ([17]
[15] [16] ave ([17] [18] [19]
[15] [16] ave ([17]
[15] [16] ave ([17] [18] [19]
[15] [16] ave ([17] [18] [19]
[15] [16] ave ([17] [18] [19]
[15] [16] ave ([17] [18] [19]

thermal equilibrium influence in the SABER analysis (Mertens et al., 2001). The lidar observed mesopause is also 5-10 K colder than that observed by SABER. The WACCM simulated temperature is clearly higher than both sets of observations at most altitudes and months. Yuan et al. (2008) showed a significant monthly mean mesopause region temperature difference between lidar observations and WACCM simulations over Fort Collins, CO (41°N, 105°W); their comparisons show that the WACCM-simulated winter mesopause is much warmer than measured by lidar, and the summer mesopause is ~3 km lower than lidar observations. Another interesting feature in all three figures is that we see a temperature maximum near ~90 km in March and April, and a second maximum in September and October, likely related to the mesospheric semiannual oscillation (MSAO) usually dominant in the equatorial middle atmosphere (Dunkerton, 1982; Burrage et al., 1996; Garcia et al., 1997).

Our measured monthly means of the nightly mean temperatures are also generally consistent with previously lidar observations at SOR (Gardner and Liu, 2007) and Fort Collins, CO (She et al., 1998; Yuan et al., 2008). However, the SOR lidar observations were ~10 K colder below 90 km in summer, and ~10 K warmer between 90 and 95 km in spring, suggesting significant differences between the two locations likely induced by the significant longitudinal variability of the diurnal tide (Zhang et al., 2010). The semiannual oscillation signature is evident over both Hefei and SOR between 90 and 95 km, but not over Fort Collins. The summer mesopause observed by lidar over Hefei is clearly higher than over the other two locations.

Figure 4 shows the hourly mean sodium density composite during the four different seasons. The density increases with local time during the night, with a peak height around 92 km. The peak density is overall much higher in fall and winter than in spring and summer, which is consistent with previous ground-based and satellite observations (She et al., 2000; Fan et al., 2007a; Fussen et al., 2010). Some peaks above 95 km in summer are likely induced by sporadic sodium layers (SSLs), which often occur in this season over Hefei (Dou et al., 2010). The seasonal mean sodium peak density in winter can reach 4000-4500 cm⁻³ after midnight. Figure 5 shows the monthly mean of nightly mean sodium density observed by (a) lidar and (b) Odin/OSIRIS, and simulated by (c) WACCM. Both observations agree well in seasonal pattern and absolute sodium density, and are also consistent with the WACCM

model simulation. The elevated peak height and enhanced density in summer observed by lidar is likely due to increased SSL events in summer over Hefei, which is neither frequently observed by Odin/OSIRIS nor simulated by WACCM. The Odin/OSIRIS did observe SSLs over China (Fan et al., 2007b), but probably less frequently at 0600 and 1800 local time than at midnight. The observed sodium density over Hefei is quite consistent with previous narrowband lidar observations over Fort Collins, CO (She et al., 2000) and Urbana, IL (States and Gardner, 1998), but ~1.5 times higher than previous broadband sodium lidar observations over the nearby city of Wuhan, China (Yi et al., 2009).

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296297

298

299

300

301

302

303

304

305

306

307 308 The variability of sodium density is clearly correlated with the temperature variability shown in Figure 2. This is <u>further</u> demonstrated in Figure 6, where the correlation coefficient between the composite temperature and relative sodium density perturbations is plotted using lidar measurements (left) and the WACCM simulation (right). The temporal resolution for both lidar and WACCM is 1 hr. We also examined the correlation in the <u>four different seasons and found no significant differences</u>. The lidar observations are clearly consistent with the WACCM simulation, and both results suggest a positive correlation with coefficient of 0.5-0.8 between 80-90 km, but a negative correlation with coefficient of less than ~-0.4 above 96 km for lidar and 100 km for WACCM, consistent with lidar observations at Urbana (40N) (Plane et al., 1999) and in the Arctic (Collins and Smith, 2004). However, our lidar observations above 95 km are not consistent with the recent sodium lidar observations at ALOMAR, which showed a positive correlation with temperature above this altitude (Dunker et al., 2015). This difference may be related to energetic particle precipitation at high latitudes, but the detailed mechanism is beyond scope of this paper.

Our lidar observations suggest that the main chemistry below 95 km is likely dominated by neutral sodium chemistry, which essentially involves the partitioning of the metal between atoms and the main reservoir NaHCO₃; the significant activation energy of the reaction NaHCO₃ + H drives the balance towards Na at higher temperatures. In contrast, above 95 km the source of atomic Na is from Na⁺, which involves formation of cluster ions that then undergo dissociative recombination with electrons; the formation of cluster ions is favored at lower temperatures, hence the negative correlation coefficient between and Na and temperature on the topside of the Na layer (Plane et al., 2015).

Author

Formatted: Font:(Default) Times New Roman, 12 pt

Author

Formatted: Font:(Default) Times New Roman. 12 pt

Author

Deleted: 4

Author

Formatted: Font:(Default) Times New Roman, 12 pt

Author

Deleted: much

Author

Formatted: Font:(Default) Times New

Roman, 12 pt

Deleted: i

Deletea:

Author

Formatted: Font:(Default) Times New

Roman, 12 pt

Author

Formatted: Font:(Default) Times New

Roman, 12 pt

Author

Formatted: Font:(Default) Times New

Roman, 12 pt

Author

Comment [1]: Plane, J.M.C., C.S. Gardner, J.

Yu, C.Y. She, R.R. Garcia and H.C. Pumphrey

(1999), The Mesospheric Na layer at $40^{\circ}\mathrm{N}\colon$

Modelling and Observations. Journal of

Geophysical Research, 104, 3773-3788

Author

Deleted: early model simulation in the high

latitude

Deleted: It should be noted that

4. Zonal wind and gravity wave momentum flux

Figure 7 shows the hourly mean zonal wind composite in 4 different seasons. We see strong tidal oscillations with downward phase progression in all seasons, much clearer than those in temperature (Figure 2). The diurnal tide with vertical wavelength of ~ 20 km dominates in both spring and fall, while the semidiurnal tide with vertical wavelength of 30-40 km dominates in winter. In spring, the diurnal tide in temperature (Figure 2a) leads that in zonal wind by ~4hr between 90 and 95 km, consistent with earlier mid-latitude observations (Yuan et al., 2006). There is a strong wave oscillation signature with a period of ~8hr and amplitude of ~20 m/s that dominates in summer, possibly related to the terdiurnal tide. Previous observations by the nearby Wuhan meteor radar show that the diurnal amplitude near 90 km during equinox is ~ 30 m/s, with a semidiurnal amplitude of ~10 m/s (Xiong et al., 2004; Zhao et al., 2005). The comparable amplitude (~10 m/s) of diurnal and semidiurnal in winter is also revealed by these radar observations, with which our observations are generally consistent.

We show in Figure 8 the monthly mean of the nightly mean zonal wind observed by (a) lidar, (b) Wuhan meteor radar, and (c) simulated by WACCM. The radar observed zonal wind is only available in 2013 for comparison. The general pattern of the lidar observed zonal winds agrees well with the radar winds, but are 5-10 m/s stronger. This is likely due to the different vertical and temporal resolutions, signal-to-noise ratio, and the mesurement methods, as well as the different locations. The lidar results exhibit a semiannual variation near 90 km with minima in March and August/September, and one maximum in May/June, clearly out-of-phase with the temperature semiannual variation (Figure 3a). The lidar observed semiannual variation in both wind and temperature is consistent with the tropical MSAO previously observed by satellites (Garcia et al., 1997), and simulated by WACCM (Richter and Garcia, 2006). The lidar and radar observations agree with the WACCM simulation below 90 km in both pattern and magnitude, while disagreeing above. Interestingly, a recent comparision between lidar measurements over Fort Collins, CO and several general circulation models also reveals significant differences (Yuan et al., 2008).

The USTC lidar telescopes were pointed 15° from zenith in eastward and westward directions between December 2012 and May 2014. This setup allows us to derive the vertical flux of GW zonal momentum. A total of 83 nights of GW momentum flux measurements

Author

Deleted:

Author

Deleted: Wuhan meteor

Author

Deleted: possibly

Author

Deleted: Both observations agree with the WACCM simulation below 90 km in both pattern and magnitude, while disagreeing above.

Author

Deleted: 20

were obtained with 21, 12, 23, and 27 nights in spring, summer, fall, and winter respectively. Figure 9 shows vertical profiles of the seasonal mean \underline{GW} zonal momentum flux for period $\underline{10min-16hr}$ (blue) and $\underline{10min-2hr}$ (green), and zonal wind (red) in (a) spring, (b) summer, (c) fall, and (d) winter. The zonal momentum flux is mostly eastward in spring, positively correlated with the eastward zonal wind. However, the zonal momentum flux is mostly westward in other seasons, clearly anti-correlated with the eastward zonal wind, suggesting zonal wind filtering of GWs below 80 km. It is also clear that the zonal momentum flux induced by short-period (10 min – 2 hr) GWs clearly dominates total momentum flux in all seasons except summer.

354

355

356

357

358

359

360

361

362

363

364

365

366

367

368

369

370

371

372373

374

375

376

377

378

379

380 381

382

383

384

The seasonal variation of zonal momentum flux is consistent with previous sodium lidar observation at SOR, NM (Gardner and Liu, 2007). However, MU radar observations near Kyoto, Japan (35°N, 136°E) shows a clear eastward flux in summer and westward flux in winter between 65 and 85 km (Tsuda et al., 1990). MF radar observations in Adelaide, Australia (35°S, 138°E) suggest an eastward flux of ~3 m²/s² in winter (Reid and Vincent, 1987). We note here that part of the differences between our lidar results and other published work is likely due to different vertical and temporal resolutions and thus sensitivity, to different portions of the GW spectrum. Table 1 compares the GW zonal momentum flux measured at different mid-latitude lidar and radar stations. The results from other locations are estimated from the following studies: Gardner and Liu (2007) for the SOR lidar results; Acott et al. (2009) for the Fort Collins, CO lidar results; and Tsuda et al. (1990) for the Japan MU radar results. This comparison demonstrates that all observations report a clear westward GW zonal momentum flux in winter. In spring, both the USTC and SOR lidars observed an eastward momentum flux of 1.4°2 m²/s².

The short-period (10 min – 2 hr) GWs clearly contribute 50%-70% of the total momentum flux, consistent with previously medium frequency (MF) radar observations (Fritts and Vincent, 1987). The large westward momentum fluxes of -0.9 and -0.6 m²/s² for short-period GWs in summer and winter respectively are clearly anti-correlated with eastward zonal wind maxima below 90 km (Figure 8a), suggesting the filtering of short-period GWs by the SAO wind. However, this SAO variation is not clear in the total momentum flux. For the annual mean, our lidar result is clearly smaller than the SOR lidar result, mainly due to significant difference in summer. Our results also show that the annual mean zonal wind

Author

Deleted: of nightly mean

Author

Deleted: both fall and winter

Autho

Deleted: In summer, the zonal momentum flux is small and variable with altitude, likely due to much less data in this season.

Author

Deleted: is

Author

Formatted: Font:(Default) Times New Roman, 12 pt

Author

Deleted: °

Deleted: °

Author

Deleted: The

Author

Formatted: Font:(Default) Times New Roman, 12 pt

Ttoman, 12 pt

Author

Formatted: Font:(Default) Times New

Roman, 12 pt

Author

Formatted: Font:(Default) Times New

Roman, 12 pt

Author

Formatted: Superscript

Author

Formatted: Superscript

Author

Deleted:

Author

Deleted: with other results

Author

Deleted: e

Author

Deleted:

Author

Deleted: 5

Author

Deleted: the byon the

averaged between 87-95 km is ~10 m/s eastward, and anti-correlated with the westward momentum flux of ~-0.15, m²/s² induced by short-period GWs. This anti-correlation suggests that the GW momentum flux observed in the mesopause region is generally consistent with the wind filtering theory, proposed by Lindzen (1981), and adopted by general circulation models (e.g. Richter et al., 2010).

5. Summary

400

401

402

403

404 405

406

407

408

409

410 411

412

413

414

415

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

Between 2012 and 2016, the USTC sodium temperature/wind lidar observed mesopause region nighttime temperature, zonal wind, and sodium density over 150 nights, and the vertical flux of zonal momentum during 83 nights. The seasonal nighttime hourly composites of temperature and zonal wind show clear diurnal and/or semidiurnal tidal signatures. In temperature, the diurnal tide with clear downward phase progression dominates only in spring, while the semidiurnal tide dominates above 100 km throughout the year. In zonal wind, the diurnal tide with vertical wavelength of ~ 20 km dominates in both spring and fall, while the semidiurnal tide with vertical wavelength of 30-40 km dominates in winter. Between 90 and 95 km, the diurnal tide in temperature in spring leads that in zonal wind by ~4 hr, consistent with previous observations and model simulations. The monthly mean results show a signature of semiannual variation in both temperature and zonal wind near 90 km but with clear out-of-phase feature, consistent with the tropical MSAO. Comparison of the USTC lidar results with observations by satellite and meteor radar, and simulated by WACCM show generally good agreement, although there are some differences among them, with pronounced disagreement between the observed zonal wind and the model above 90 km.

The seasonal mean of zonal momentum flux is mostly westward in summer, fall and winter, clearly anti-correlated with the eastward zonal wind, which suggests zonal wind filtering of GWs below 80 km. However, during spring the zonal momentum flux is mostly eastward, positively correlated with the eastward zonal wind. The short-period GWs clearly contribute 50%-70% of total momentum flux averaged over 87-95 km. The large westward momentum fluxes in summer and winter for short-period GWs are clearly anti-correlated with eastward zonal wind maxima below 90 km (Figure 8a), suggesting the filtering of short-period GWs by the SAO wind. The annual mean flux averaged over 87-95, km is ~-0.15, m²/s² (westward) induced by the short-period GWs, anti-correlated with the zonal wind of

Deleted: 7 Deleted: 3 Deleted: the

Deleted: (especially in winter)

Author Deleted: Hefei Author Deleted: between Author **Deleted:** of the nightly mean vertical flux of Author Deleted: both

Formatted: Indent: First line: 0.74 cm Deleted: by

Deleted: In summer, the flux is small over the whole altitude range

Author Deleted: 7

Deleted: 3

 \sim 10 m/s (eastward), suggesting that the GW momentum flux observed in the mesopause region is generally consistent with the wind filtering theory.

The sodium density increases with local time during the night, with a peak height near 92 km. The peak density is overall much higher in fall and winter than in spring and summer. The seasonal mean sodium peak density in winter can reach 4000-4500 cm⁻³ after mid-night. The variability of sodium density is positively correlated with temperature variability, suggesting that chemistry plays a dominant role in the formation of sodium atoms in the mesopause region below 95 km. The lidar observations agree well with Odin/OSRIS satellite observations in both seasonal pattern and absolute monthly mean sodium density, consistent with WACCM simulations using a new Na meteoric input function.

Acknowledgments

The work described in this paper was carried out at the University of Science and Technology of China (USTC), under support of the National Natural Science Foundation of China grant, 41674149 and the Open Research Project of Large Research Infrastructures of CAS - "Study on the interaction between low/mid-latitude atmosphere and ionosphere based on the Chinese Meridian Project. WF and JMCP were supported by the European Research Council (project 291332-CODITA). The National Center for Atmospheric Research (NCAR) is sponsored by the National Science Foundation. We thank Chengyun Yang, Shengyang Gu, Xianyu Wang, Yetao Cen, Feng Li, and Huazhi Ge for help to take lidar data. TL would like to thank Alan Liu for helpful discussion. The SD-WACCM model was obtained from the NCAR and run at the University of Leeds and is available for contacting the co-authors FW or JMCP. We would like to thank Francis Vitt at NCAR for the WACCM model support. The SABER data is downloaded from http://saber.gats-inc.com/. We thank Richard Collins and another anonymous reviewer for their constructive comments.

Author

Deleted: This is especially clear in winter with a westward flux of $-1.2 \text{ m}^2/\text{s}^2$ corresponding to an eastward zonal wind of \sim -10 m/s.

Author Deleted: s

Author

Deleted: (

Author

Formatted: Font:Times New Roman, 12 pt, Not Bold

Author

Deleted: , 41225017).

Reference:

476

- Acott, P. E., C. Y. She, D. A. Krueger, Z. A. Yan, T. Yuan, J. Yue, and S. Harrell (2011), 477
- Observed nocturnal gravity wave variances and zonal momentum flux in mid-latitude 478
- mesopause region over Fort Collins, Colorado, USA, J. Atmos. Sol. Terr. Phys., 73(4), 479
- 449–456, doi:10.1016/j.jastp.2010.10.016. 480
- Andrews, D. G., J. R. Holton, and C. B. Leovy (1987), Middle Atmosphere Dynamics, 489 481
- pp., Elsevier, New York. 482
- Arnold, K., and C. She (2003), Metal fluorescence lidar (light detection and ranging) and the 483
- middle atmosphere, Contemporary Physics, 44(1), 35-49 484
- doi:10.1080/0010751021000019157. 485
- Burrage, M. D., R. A. Vincent, H. G. Mayr, W. R. Skinner, N. F. Arnold, and P. B. Hays 486
- 487 (1996), Long-term variability in the equatorial middle atmosphere zonal wind, J.
- Geophys. Res., 101(D), 12-, doi:10.1029/96JD00575. 488
- Carrillo-Sánchez, J. D., D. Nesvorný, P. Pokorný, D. Janches, and J. M. C. Plane (2016), 489
- Sources of cosmic dust in the Earth's atmosphere, Geophys. Res. Lett., 43, 11,979-490
- 11,986, doi:10.1002/2016GL071697. 491
- Chu, X., C. S. Gardner, and S. J. Franke (2005), Nocturnal thermal structure of the 492
- mesosphere and lower thermosphere region at Maui, Hawaii (20.7°N), and Starfire 493
- Optical Range, New Mexico (35°N), J. Geophys. Res., 110(D), D09S03, 494
- 495 doi:10.1029/2004JD004891.
- Collins, R. L. and Smith, R. W.: Evidence of damping and overturning of gravity waves in the 496
- Arctic mesosphere: Na lidar and OH temperature observations, J. Atmos. Sol. Terr. Phys. 497
- 66(10), 867–879, doi:10.1016/j.jastp.2004.01.038, 2004. 498
- Dou, X. K., X. H. Xue, T. Li, T. D. Chen, C. Chen, and S. C. Qiu (2010), Possible 499
- relations between meteors, enhanced electron density layers, and sporadic sodium layers, 500
- J. Geophys. Res., 115, A06311, doi:10.1029/2009JA014575. 501
- Dawkins, E. C. M., J. M. C. Plane, M. P. Chipperfield, W. Feng, J. Gumbel, J. Hedin, J. 502
- 503 Hoffner, and J. S. Friedman (2014): First global observations of the mesospheric
- potassium layer, Geophys. Res. Lett., 41, 5653-5661. 504
- Dunker, T., U.-P. Hoppe, W. Feng, J. M. C. Plane, and D. R. Marsh (2015), Mesospheric 505
- temperatures and sodium properties measured with the ALOMAR Na lidar compared 506

Formatted: Font:(Default) Times New

Formatted: Font:(Default) Times New

Roman

Formatted: Font:(Default) Adobe Caslon

Pro Bold

Formatted: Font:(Default) Adobe Caslon Pro Bold

Formatted: Font:(Default) Adobe Caslon Pro Bold

Formatted: Font:(Default) Adobe Caslon Pro Bold

- with WACCM, 127, 111-119, 507 Sol. Terr. Phys. Atmos.
- 508 doi:10.1016/j.jastp.2015.01.003.
- Dunkerton, T. J. (1982), Theory of the Mesopause Semiannual Oscillation, J. Atmos. Sci., 509
- 39(12), 2681–2690, doi:10.1175/1520-0469(1982)039<2681:TOTMSO>2.0.CO;2. 510
- Ejiri, M. K., T. Nakamura, and T. D. Kawahara (2010), Seasonal variation of nocturnal 511
- temperature and sodium density in the mesopause region observed by a resonance scatter 512
- lidar over Uji, Japan, J. Geophys. Res., 115, D18126, doi:10.1029/2009JD013799. 513
- Espy, P. J., G. O. L. Jones, G. R. Swenson, J. Tang, and M. J. Taylor (2004), Seasonal 514
- variations of the gravity wave momentum flux in the Antarctic mesosphere and lower 515
- thermosphere, J. Geophys. Res., 109, D23109, doi:10.1029/2003JD004446. 516
- Fan, Z. Y., J. M. C. Plane, J. Gumbel, J. Stegman, and E. J. Llewellyn (2007a), Satellite 517
- 518 measurements of the global mesospheric sodium layer, Atmospheric Chemistry and
- 519 Physics, 7, 4107-4115.
- Fan, Z. Y., J. M. C. Plane, and J. Gumbel (2007b), On the global distribution of sporadic 520
- sodium layers, Geophysical Research Letters, 34, Article number L15808 521
- Feng, W., Marsh, D. R., Chipperfield, M. P., Janches, D., Hoffner, J. Yi, F., and Plane, J. M. 522
- C. (2013): A global atmospheric model of meteoric iron, Journal of Geophysical 523
- Research, 118, 9456-9474. 524
- Feng, W., B. Kaifler, D. R. Marsh, J. Höffner, U.-P. Hoppe, B. P. Williams, and J. M. C. 525
- 526 Plane (2017), Impacts of a sudden stratospheric warming on the mesospheric metal layers,
- J. Atmos. Sol. Terr. Phys., 1–10, doi:10.1016/j.jastp.2017.02.004. 527
- Forbes, J. M., X. Zhang, W. Ward, and E. Talaat (2003), Nonmigrating diurnal tides in the 528
- thermosphere, J. Geophys. Res., 108(A1), 1033, doi:10.1029/2002JA009262. 529
- Franke, S. J., X. Chu, A. Z. Liu, and W. K. Hocking (2005), Comparison of meteor radar and 530
- Na Doppler lidar measurements of winds in the mesopause region above Maui, Hawaii, J. 531
- Geophys. Res., 110, D09S02, doi:10.1029/2003JD004486. 532
- Friedman, J. S., S. C. Collins, R. Delgado, and P. A. Castleberg (2002), Mesospheric 533
- 534 potassium layer over the Arecibo Observatory, 18.3°N 66.75°W, Geophys. Res. Lett.,
- 29(5), 1071, doi:10.1029/2001GL013542. 535
- Friedman, J.S., Chu, X. (2007) Nocturnal temperature structure in the mesopause region over 536
- the Arecibo Observatory (18.351N, 66.751W): seasonal variations. J. Geophys. Res., 537

Deleted: Journal of Atmospheric and Solar-Terrestrial Physics

Formatted: Font:(Default) Times New

Roman, 12 pt

Formatted

- 540 112(D11), D14107.
- 541 Fritts, D. and Vincent, R.: Mesospheric Momentum Flux Studies at Adelaide, Australia:
- Observations and a Gravity Wave-Tidal Interaction Model, Journal of the Atmospheric
- Sciences, 44(3), 605–619, 1987.
- Fussen, D., Vanhellemont, F., Tétard, C., Mateshvili, N., Dekemper, E., Loodts, N., et al.
- 545 (2010). A global climatology of the mesospheric sodium layer from GOMOS data during
- the 2002-2008 period. Atmospheric Chemistry and Physics, 10(1), 9225-9236,
- 547 doi:10.5194/acp-10-9225-2010.
- 548 Garcia, R. R., T. J. Dunkerton, R. S. Lieberman, and R. A. Vincent (1997), Climatology of
- the semiannual oscillation of the tropical middle atmosphere, J. Geophys. Res., 102(D),
- 550 26-, doi:10.1029/97JD00207.
- 551 Garcia, R. R., D. Marsh, D. E. Kinnison, B. Boville, and F. Sassi, Simulations of secular
- trends in the middle atmosphere, 1950-2003, J. Geophys. Res., 112, D09301,
- doi:10.1029/2006JD007485, 2007.
- 554 Gardner, C. S., Y. Zhao, and A. Z. Liu (2002), Atmospheric stability and gravity wave
- dissipation in the mesopause region, J. Atmos. Sol. Terr. Phys., 64, 923-929,
- doi:10.1016/S1364-6826(1002)00047-00040.
- 557 Gardner, C. S., J. M. C. Plane, W. Pan, T. Vondra, B. J. Murray, and X. Chu (2005), Seasonal
 - variations of the Na and Fe layers at the South Pole and their implications for the
- chemistry and general circulation of the polar mesosphere, J. Geophys. Res., 110,
- 560 D10302, doi:10.1029/2004JD005670.

558

- 561 Gardner, C. S., and Liu A. Z. (2007), Seasonal variations of the vertical fluxes of heat and
- horizontal momentum in the mesopause region at Starfire Optical Range, New Mexico, J.
- Geophys. Res., 112, D09113, doi:10.1029/2005JD006179.
- 564 Gómez Martín, J.C., et al., Reaction Kinetics of Meteoric Sodium Reservoirs in the Upper
- 565 Atmosphere, J. Phys. Chem. A, 2015, DOI: 10.1021/acs.jpca.5b00622
- 566 Gómez Martín, J.C., et al., The Reaction Between Sodium Hydroxide and Atomic Hydrogen
- 567 in Atmospheric and Flame Chemistry, J. Phys. Chem. A, 2017,
- doi:10.1021/acs.jpca.7b07808.
- 569 Huang, W.; Chu, X. Z.; Gardner, C. S.; Carrillo-Sanchez, J. D.; Feng, W.; Plane, J. M. C.;
- Nesvorny, D. (2015): Measurements of the vertical fluxes of atomic Fe and Na at the

Autho

Formatted: Font:(Default) Times New

Roman

Author

Formatted: Font:(Default) Times New

Roman

- 571 mesopause: Implications for the velocity of cosmic dust entering the atmosphere,
- *Geophysical Research Letters*, *42*, 169-175.
- 573 Hurrell, J. W., Holland, M. M., Gent, P. R., Ghan, S., Kay, J. E., Kushner, P. J., Lamarque, J.
- F., Large, W. G., Lawrence, D., Lindsay, K., Lipscomb, W. H., Long, M. C., Mahowald,
- N., Marsh, D. R., Neale, R. B., Rasch, P., Vavrus, S., Vertenstein, M., Bader, D., Collins,
- W. D., Hack, J. J., Kiehl, J. and Marshall, S.: The Community Earth System Model: A
- 577 Framework for Collaborative Research, Bulletin of the American Meteorological Society,
- 578 94(9), 1339–1360, doi:10.1175/BAMS-D-12-00121.1, 2013.
- 579 Kudeki, E., and S. J. Franke (1998), Statistics of momentum flux estimation, J. Atmos. Sol.
- 580 Terr. Phys., 60, 1549–1553.
- 581 Lauritzen, P. H., Bacmeister, J. T., Callaghan, P. F., and Taylor, M. A.: NCAR global model
- topography generation software for unstructured grids, Geosci. Model Dev., 8, 1-12,
- 583 doi:10.5194/gmd-8-1-2015, 2015
- 584 Li, T., C. She, B. Williams, T. Yuan, R. Collins, L. Kieffaber, and A. Peterson (2005),
- 585 Concurrent OH imager and sodium temperature/wind lidar observation of localized
- ripples over northern Colorado, J. Geophys. Res., 114, D06106,
- 587 doi:10.1029/2008JD011089.
- 588 Li, T., C. She, H. Liu, and M. Montgomery (2007), Evidence of a gravity wave breaking
- event and the estimation of the wave characteristics from sodium lidar observation over
- 590 Fort Collins, CO (41°N, 105°W), Geophys. Res. Lett., 34, L05815,
- 591 doi:10.1029/2006GL028988.
- 592 Li, T., C.-Y. She, H.-L. Liu, J. Yue, T. Nakamura, D. A. Krueger, Q. Wu, X. Dou, and S.
- Wang (2009), Observation of local tidal variability and instability, along with dissipation
- of diurnal tidal harmonics in the mesopause region over Fort Collins, Colorado (41°N,
- 595 105°W), J. Geophys. Res., 114, D06106, doi:10.1029/2008JD011089.
- 596 Li, T., X. Fang, W. Liu, S. Y. Gu, and X. K. Dou (2012), Narrowband sodium lidar for the
- measurements of mesopause region temperature and wind, Appl. Optics, 51(22),
- 598 5401-5411, doi:10.1364/ao.51.005401.
- 599 Li, T., N. Calvo, J. Yue, X. Dou, J. M. Russell III, M. G. Mlynczak, C.-Y. She, and X. Xue
- 600 (2013), Influence of El Niño-Southern Oscillation in the mesosphere, Geophys. Res. Lett.,
- 601 40(12), 3292–3296, doi:10.1002/grl.50598.

- 602 Li, T., N. Clavo, J. Yue, J. Russel III, A. Smith, M. Mlynczak, A. Chandran, X. Dou, and A.
- 603 Liu (2016), Southern Hemisphere summer mesopause responses to El Niño-Southern
- Oscillation, J. Clim., 29, 6319–6328, doi:10.1175/JCLI-D-15-0816.1.
- Lieberman, R. S. (1991), Nonmigrating diurnal tides in the equatorial middle atmosphere, J.
- 606 Atmos. Sci., 48, 1112–1123.
- 607 Lin, S.-J., A "vertically-Lagrangian" finite-volume dynamical core for global atmospheric
- models, Mon. Wea. Rev., 132, 2293-2307, 2004.
- 609 Lindzen, R. S. (1981), Turbulence and stress owing to gravity-wave and tidal breakdown, J.
- Geophys. Res., 86(NC10), 9707-9714, doi:10.1029/JC086iC10p09707.
- 611 Liu, H. L., and M. E. Hagan (1998), Local heating/cooling of the mesosphere due to gravity
- 612 wave and tidal coupling, Geophys. Res. Lett., 25(15), 2941-2944,
- doi:10.1029/98gl02153.
- 614 Lübken F, Höffner J, Viehl TP, Kaifler B, Morris RJ (2011), First measurements of thermal
- tides in the summer mesopause region at Antarctic latitudes. Geophys. Res. Lett., 38,
- 616 L24806. doi:10.1029/2011GL0500458.
- 617 Llewellyn, E. J., et al. (2004). The OSIRIS instrument on the Odin spacecraft, Can. J. Phys.,
- 618 82, 411–422.
- 619 Marsh, D. R., M. J. Mills, D. E. Kinnison, J.-F. Lamarque, N. Calvo, and L. M. Polvani
- 620 (2013a), Climate Change from 1850 to 2005 Simulated in CESM1(WACCM), Journal of
- 621 Climate, 26(19), 7372–7391, doi:10.1175/JCLI-D-12-00558.1.
- Marsh, D. R., Janches, D., Feng, W., and Plane, J. M. C. (2013b). A global model of meteoric
- sodium. J. Geophys. Res., 118(1), 11,442–11,452, doi:10.1002/jgrd.50870.
- Mertens, C. J., M. G. Mlynczak, M. López-Puertas, P. P. Wintersteiner, R. H. Picard, J. R.
- Winick, L. L. Gordley, and J. M. I. Russell (2001), Retrieval of mesospheric and lower
- thermospheric kinetic temperature from measurements of CO2 15 µm Earth Limb
- Emission under non-LTE conditions, Geophys. Res. Lett., 28(7), 1391–1394,
- doi:10.1029/2000GL012189.
- 629 Mills, M. J. et al. (2016), Global volcanic aerosol properties derived from emissions,
- 630 1990-2014, using CESM1(WACCM), J Geophys Res-Atmos, 121(5), 2332-2348,
- 631 doi:10.1002/2015jd024290.
- 632 Mills, M. J., Richter, J. H., Tilmes, S., Kravitz, B., MacMartin, D. G., Glanville, A. A.,

Author

Formatted: EndNote Bibliography, Justified, Indent: Left: 0 cm, Hanging: 4.26 ch, Space After: 0 pt, Line spacing: 1.5 lines, Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

Author

Formatted: Font:(Default) Times New Roman, 12 pt

- Tribbia, J. J., Lamarque, J.-F., Vitt, F., Schmidt, A., Gettelman, A., Hannay, C., 633
- Bacmeister, J. T. and Kinnison, D. E.: Radiative and Chemical Response to Interactive 634
- Stratospheric Sulfate Aerosols in Fully Coupled CESM1(WACCM), Journal of 635
- Geophysical Research-Atmospheres, 6(3), 541, doi:10.1002/2017JD027006, 2017. 636
- Neale, R.B., C.C. Chen, A. Gettelman and Coauthors, 2012: Description of the NCAR 637
- Community Atmosphere Model (CAM 5.0). NCAR Tech. Note NCAR-TN-486+STR, 638
- 274 pp. 639
- Plane, J. M. C., C. S. Gardner, J. Yu, C.Y. She, R. R. Garcia and H. C. Pumphrey (1999), The 640
- Mesospheric Na layer at 40°N: Modelling and Observations. Journal of Geophysical 641
- Research, 104, 3773-3788 642
- Plane, J. M. C., W. Feng, and E. C. M. Dawkins (2015), The Mesosphere and Metals: 643
- Chemistry and Changes, Chem. Rev., 115(10), 4497–4541, doi:10.1021/cr500501m. 644
- Preusse, P., S. D. Eckermann, M. Ern, J. Oberheide, R. H. Picard, R. G. Roble, M. Riese, J. M. 645
- Russell, and M. G. Mlynczak (2009), Global ray tracing simulations of the SABER 646
- gravity climatology, J. Geophys. Res., 114(D), D08126, 647 wave
- doi:10.1029/2008JD011214. 648
- Reid, I. M.: Measurements of mesospheric gravity wave momentum fluxes and mean flow 649
- accelerations at Adelaide, Australia, Journd of Atmospheric and Terresfrial Physrcs, 1-650
- 18, 1987. 651
- 652 Richter, J. H., F. Sassi, and R. R. Garcia (2010), Toward a Physically Based Gravity Wave
- Source Parameterization in a General Circulation Model, Journal of the Atmospheric 653
- Sciences, 67, 136, doi:10.1175/2009JAS3112.1. 654
- Russell, J. M., III, M. G. Mlynczak, L. L. Gordley, J. Tansock, and R. Esplin (1999), An 655
- overview of the SABER experiment and preliminary calibration results, Proc. SPIE, 3756, 656
- 277 288.657
- She, C. Y., and J. R. Yu (1994), Simultaneous three-frequency Na lidar measurements of 658
- radial wind and temperature in the mesopause region, Geophys. Res. Lett., 21(1), 1771-659
- 660 1774, doi:10.1029/94GL01417.
- She, C.Y., S. W. Thiel, D. A. Krueger (1998), Observed Episodic Warming at 86 and 100 km 661
- Between 1990 and 1997: Effects of Mount Pinatubo Eruption, Geophys. Res. Lett., 25(4), 662
- 497-500, doi: 10.1029/98GL00178 663

Formatted: Font:(Default) Times New

Roman, 12 pt

Formatted: Font:(Default) Times New Roman, 12 pt

Formatted: Font:(Default) Times New Roman, 12 pt

Formatted: Font:(Default) Times New Roman, 12 pt

Formatted: Font:(Default) Times New

Roman, 12 pt

Formatted: Font:(Default) Times New

Roman, 12 pt

Formatted: Font:(Default) Times New Roman

Formatted: Font color: Custom

Color(RGB(34,34,34)), (Asian) Chinese (PRC)

Formatted: Font:(Default) Times New

Roman

Formatted: Font:(Default) Times New

Roman, Not Bold

Formatted: Font:(Default) Times New

Roman

- 664 She, C. Y. (2000), Eight-year climatology of nocturnal temperature and sodium density in the
- mesopause region (80 to 105 km) over Fort Collins, Co (41°N, 105°W), Geophys. Res.
- Lett., 27(20), 3289-3292, doi: 10.1029/2000GL003825.
- 667 Smith, A. K. (2012), Global Dynamics of the MLT, Surv. Geophys., 33(6), 1177–1230,
- doi:10.1007/s10712-012-9196-9.
- 669 States, R. J., & Gardner, C. S. (1999). Structure of the mesospheric Na layer at 40°N latitude:
- 670 Seasonal and diurnal variations. J. Geophys. Res., 104(D), 11,783-11,798. doi:
- 671 10.1029/1999JD900002.
- 672 Thorsen, D., S. J. Franke, and E. Kudeki (2000), Statistics of momentum flux estimation
- using the dual coplanar beam technique, Geophys. Res. Lett., 27, 3193–3196.
- 674 Tsuda, T., Y. Murayama, and M. Yamamoto (1990), Seasonal variation of momentum flux in
- the mesosphere observed with the MU radar, Geophys. Res. Lett., 17, 725–728.
- 676 Vincent, R. A., and I. M. Reid (1983), HF doppler measurements of mesospheric
- gravity-wave momentum fluxs, J. Atmos. Sci., 40(5), 1321-1333,
- doi:10.1175/1520-0469(1983)040<1321:hdmomg>2.0.co;2.
- 679 Wu, Q., D. A. Ortland, T. L. Killeen, R. G. Roble, M. E. Hagan, H. L. Liu, S. C. Solomon, J.
- Xu, W. R. Skinner, and R. J. Niciejewski (2008), Global distribution and interannual
- variations of mesospheric and lower thermospheric neutral wind diurnal tide: 1.
- Migrating tide, J. Geophys. Res., 113(A), A05308, doi:10.1029/2007JA012542.
- Kiong, J. G., W. Wan, B. Ning, and L. Liu (2004), First results of the tidal structure in the
- MLT revealed by Wuhan Meteor Radar (30 degrees 40 ' N, 114 degrees 30 ' E), J. Atmos.
- Sol.-Terr. Phys., 66(6-9), 675-682, doi:10.1016/j.jastp.2004.01.018.
- 866 Xu, Jiyao, She, C. Y., Yuan Wei, Mertens Chris, Mlynczak Marty, Russell, James (2006),
- Comparison between the temperature measurements by TIMED/SABER and lidar in the
- 688 midlatitude, J. Geophys. Res., 11(A10), doi:10.1029/2005JA011439
- 689 Yi, F., C. Yu, S. Zhang, X. Yue, Y. He, C. Huang, Y. Zhang, and K. Huang (2009), Seasonal
- variations of the nocturnal mesospheric Na and Fe layers at 30°N, J. Geophys. Res., 114,
- 691 D01301, doi:10.1029/2008JD010344.
- 692 Yuan, T., et al. (2006), Seasonal variation of diurnal perturbations in mesopause region
- temperature, zonal, and meridional winds above Fort Collins, Colorado (40.6°N, 105°W),
- J. Geophys. Res., 111, D06103, doi:10.1029/2004JD005486.

595	Yuan, T., She, C. Y., Kawahara Takuya D., Krueger, D. A. (2012), Seasonal variations of
596	midlatitude mesospheric Na layer and their tidal period perturbations based on full
597	diurnal cycle Na lidar observations of 2002-2008, J. Geophys. Res., 117(D11),
598	doi:10.1029/2011JD017031.
599	Zahn, von, U., J. Hoffner, V. Eska, and M. Alpers (1996), The mesopause altitude: Only two
700	distinctive levels worldwide? Geophys Res Lett 23(2) 3231–3234

701

702 703

704

doi:10.1029/96GL03041.

Zhang, X., J. M. Forbes, and M. E. Hagan (2010), Longitudinal variation of tides in the MLT region: 1. Tides driven by tropospheric net radiative heating, J. Geophys. Res., 115, A06316, doi:10.1029/2009JA014897.

Zhao, G., L. Liu, W. Wan, B. Ning, and J. Xiong (2005), Seasonal behavior of meteor radar 705 winds over Wuhan, Earth Planets and Space, 57(1), 61-70, doi:10.1186/BF03351806. 706

Formatted: EndNote Bibliography,
Justified, Indent: Left: 0 cm, Hanging:
4.26 ch, Space After: 0 pt, Line spacing:
1.5 lines, Adjust space between Latin and
Asian text, Adjust space between Asian
text and numbers

Table 1. Comparison of the GW zonal momentum flux (m^2/s^2) measured at different middle latitude lidar and radar stations.

Stations	Altitude/filter	Annual	Spring	Summer	Fall	Winter
USTC lidar	87 – 9 <u>5</u> ,km	-0. <u>08</u> ,	1.4,	-0.2	-0. <u>3</u> ,	- <u>0.9</u>
(32°N, 117°E)	10min – 16hr					
	87 – 95 km	<u>-0.15</u>	0.8	<u>-0.9</u>	<u>-0.16</u>	<u>-0.6</u>
	<u>10min – 2hr</u>					
SOR lidar	85 – 100 km	-1.2	~2	1.8	N/A	-1.7
(35°N, 107°W)	3min – 14hr					
CSU lidar	85 – 95 km	N/A	~0.1	N/A	~0.1	-0.7
(41°N, 105°W)	6min – 4hr					
MU Radar	65 – 85km	N/A	~0	2.0	~0	-1.5
(35°N, 136°E)	5min – 2 hr					



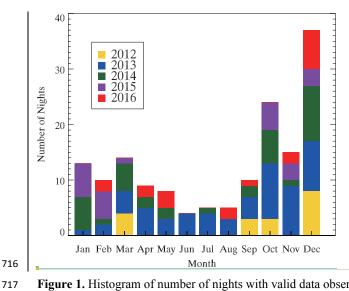
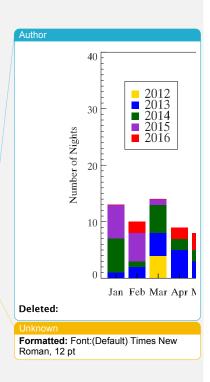


Figure 1. Histogram of number of nights with valid data observed by the USTC sodium lidar.



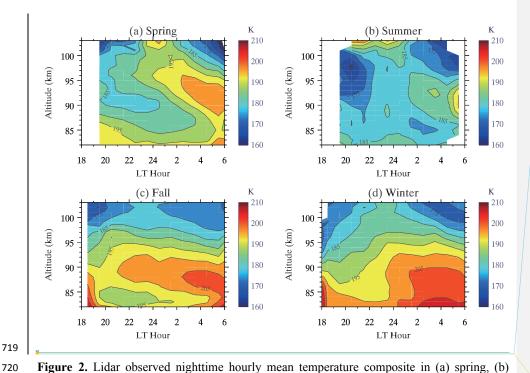
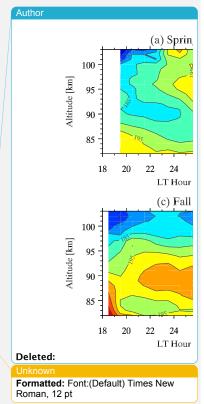


Figure 2. Lidar observed nighttime hourly mean temperature composite in (a) spring, (b) summer, (c) fall, and (d) winter.



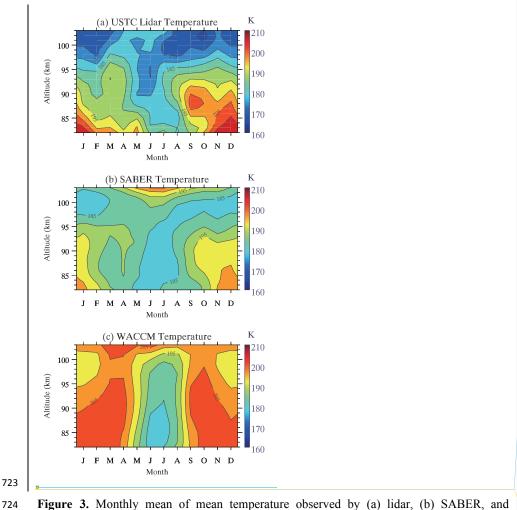
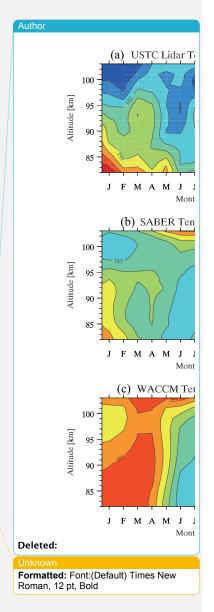


Figure 3. Monthly mean of mean temperature observed by (a) lidar, (b) SABER, and simulated by (c) WACCM.



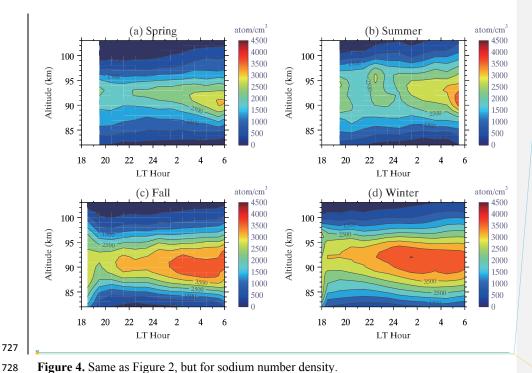
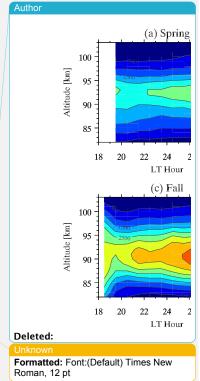


Figure 4. Same as Figure 2, but for sodium number density.



27

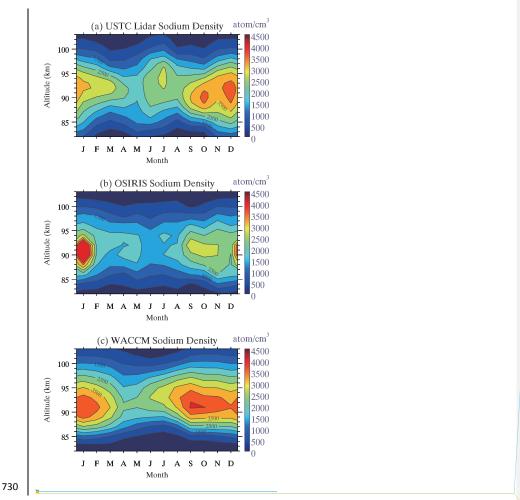
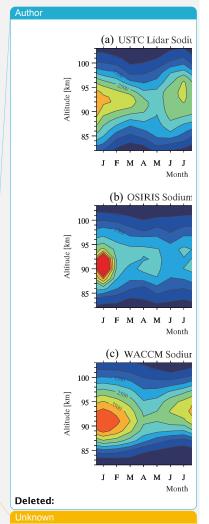
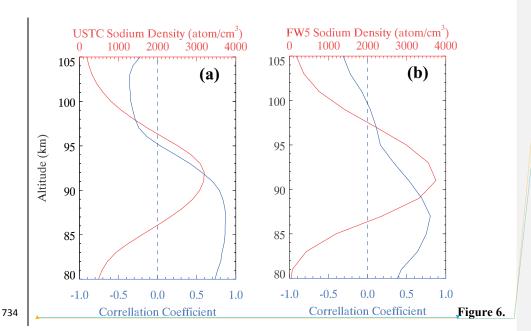


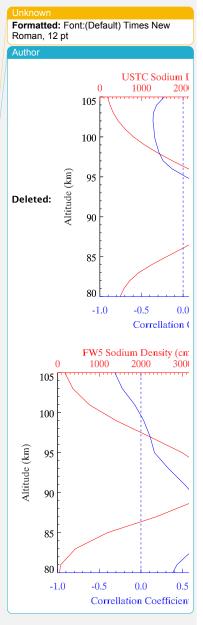
Figure 5. Monthly mean of nightly mean sodium density observed by (a) lidar and (b) Odin/
OSIRIS, and simulated by (c) WACCM.



Formatted: Font:(Default) Times New Roman, 12 pt, Bold



The vertical profiles of correlation coefficent (blue) between composite temperature and relative sodium density perturbations, and annual mean sodium density (red), observed by lidar (left) and simulated by WACCM (right).



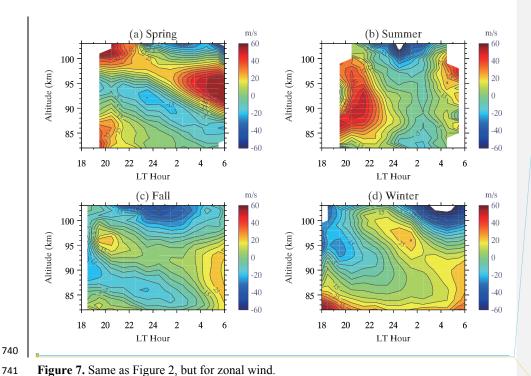
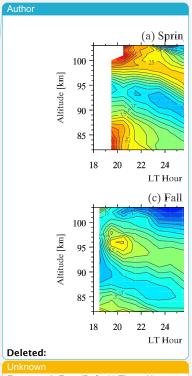


Figure 7. Same as Figure 2, but for zonal wind.



Formatted: Font:(Default) Times New Roman, 12 pt

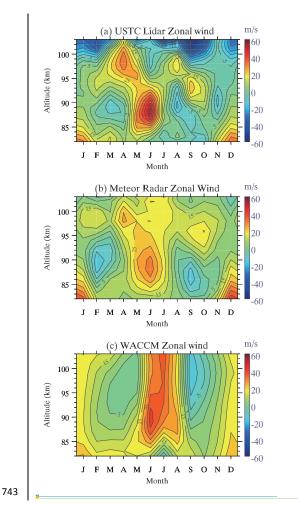
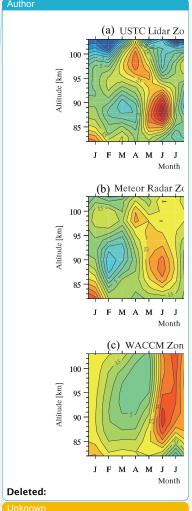


Figure 8. Monthly mean of nightly mean zonal wind observed by (a) lidar, (b) meteor radar, and simulated by (c) WACCM.



Formatted: Font:(Default) Times New Roman, 12 pt

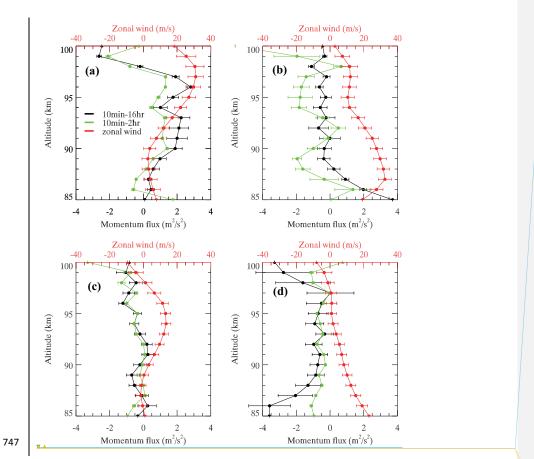
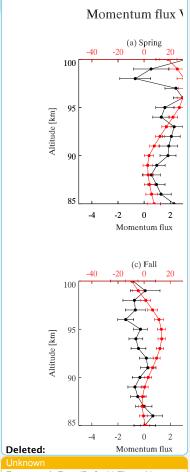


Figure 9. Comparision of seasonal mean of nightly mean zonal wind (red) and zonal momentum flux <u>for 10min - 16hr (blue) and 10min - 2hr (green)</u> observed by lidar in (a) spring, (b) summer, (c) fall, and (d) winter.

748

749

750



Formatted: Font:(Default) Times New Roman, 12 pt, Bold

Unknowr

Formatted: Font:(Default) Times New Roman, 12 pt, Bold

Author

Deleted: (blue)