Author' Response to Referees' Comments

Anonymous Referee #1

Yang et al. present VOC observational results at a rural site in the Beijing metropolitan area before, during, and after a strict emission control implementation for the APEC summit event. They mainly discussed on changes in the VOC composition during three different periods. Then, they move ahead to discuss the potential sources from the PFM analysis and the impacts of VOCs in the context of ozone and aerosol formation rates. Overall the manuscript is very clearly written, and the goals seem to be well achieved.

[1] However, I have a couple of concerns regarding whether this manuscript is well fit in this particular journal. Although the significance in understanding of Chinese pollution problems cannot be overstated considering the large population in China, this manuscript is too narrowly focused on Chinese local air pollution problems without discussion on the bigger context such as regional or global impacts.

Reply: Thanks for the insightful comments. Maybe we have not interpretated our findings properly and fully in the manuscript. We think the findings from our study are implicative at least in two aspects:

1) While exposure to indoor air pollution from burning solid fuels (biomass and coal) affects nearly half of the world's population, and household air pollution has been considered as a major environmental cause of death (Martin et al., 2011; Lim et al., 2012; Subramanian, 2014), a previous study (Liu et al., 2016) revealed that in the Beijing-Tianjian-Hebei (BTH) region, residential use of solid fuels might be a major and underappreciated ambient pollution source for PM_{2.5} (particularly BC and OC) during winter heating period based on the Multiresolution Emission Inventory of China (MEIC; www.meicmodel.org) for January and February 2010. Here we demonstrated that, based on our field monitoring, burning solid fuels (mainly coal) to heat homes would also be a major source of volatile organic compounds (VOCs) during winter in the region. As VOCs are important precursors of secondary aerosols and ozone, our study also suggests that cleaner residential energy use for cooking and heating not only benefit lowering indoor air pollution with great health benefits for the residents, but also benefit lowering the exposure to ambient air pollution for a wider range of people.

2) Due to high secondary aerosol contribution to particulate pollution during haze events (Huang et al., 2014), reducing emissions of VOCs as precursors of secondary organic aerosols is important for combating fine particle air pollution and heavy hazes. Enhacing the controls over emissions from vechicles and industry sector would be effective for reducing ambient VOCs, as demonstrated in our study during the APEC before the start of winter heating period. During the winter heating period, since residential coal/biomass burning was found to be a major source for ambient VOCs even in the Beijing metropolitan area, solely enhancing the emisison control in the traffic and industry sectors would be not so effective as did in the non-heating period. This is a important message for regions, particularly less developed regions, to control emisisons of VOCs to combat air pollution due to ozone and PM_{2.5}.

Therefore, although we conducted our study at a rural site in Beijing, the findings are not just locally significant but also have important implications for other regions. We have incorporated these aspects into our revised manuscript. We have rewrite our introduction, and modified our conclusions and abstract as well.

[2] Moreover, the scientifically relevant analyses such as ozone forming potential and secondary aerosol forming potential are just adapted from previous publications without detailed discussion whether the method is relevant to this particular photochemical environment.

Reply: Thanks. This comment is scientifically very important. It reminds us of remembering that the potentials are related to particular photochemical environments. As for the ozone formation potentials, the Maximum Incremental Reactivity (MIR) scale, originally developed by Carter (1994), has been widely used as a simplified approach to evaluate the relative ground-level ozone impacts of volatile organic compounds. The MIR scale in its nature represents conditions where ambient ozone is most sensitive to changes in VOC emissions, therefore the potentials based on the MIR scale are maximums that can hardly achieved under real atmospheric conditions, particularly depending on the relative availability of NOx (Dondge, 1984; Carter and Atkinson, 1989). However, for the convenience of regulating VOCs based on calculations of their relative ground-level ozone impacts, the metrics used for calculating OFP in the present study have been used worldwide, and therefore we remain the calculation in its present state but indicate in the revised manuscript that it is only a simplified approach.

Organic aerosol formation potentials are comparatively much more complicated. They are largely affected by factors such as the reactivity of the parent compound and volatility of the product species (Odum et al., 1997). The reactivity of the parent species can be directly measured by their reaction rate constants with oxidants. The oxidation products, however, are both numerous and difficult to quantify analytically. Therefore, the SOA yield (Y), defined as mass of SOA formed divided by mass of VOCs reacted, has been used as an indirect measure for a specific VOC species to indicate its ability to form SOA (Odum et al., 1997). This way the secondary organic aerosol formation potentials (SOAFPs) by a mixture of VOCs can be estimated as $\Sigma_i X_i \times Y_i$, where X_i is the mass concentration ($\mu g m^{-3}$) and Y_i (%) is the SOA yield of precursor i. SOA yield data have been obtained in controlled smog chamber studies. In this study, the SOA yields are taken from studies by Ng et al (2007), Lim and Ziemann (2009) and Loza et al (2014). As SOA formation depends on nitrogen oxides (NOx) (Ng et al., 2007), SOAFPs are typically calculated under low-NOx and high-NOx conditions, approximating the higher and lower limits, respectively. Although widely used in a lot of literatures, this kind of calculation is also a simplified approach to indicate SOA potentially formed if the observed VOCs are completely oxidized in the atmosphere.

In the present study, we put our focus mainly on how the control measures or human activities would impact the VOCs occurring in the ambient air, so we just followed the widely adopted approaches to indicate their ozone and SOA formation potentials, although they are simplified and even scientifically not solid enough.

[3] I recommend expanding discussion at least to compare VOC speciation from other locations in the region and other metropolitan areas in the world.

Reply: Thanks for the suggestion. In the revised manuscript, we have added the comparison as beolw:

"Table S1 shows a comparison of VOCs from our study with those observed at other metropolitan areas in the world. Mixing ratios of VOCs from this study at a rural site in Beijing during period I (23.41 ppb) and period III (21.71 ppbv) were comparable to that in urban Shanghai from January 2007 to March 2010 (Cai et al., 2010), but lower than those in Beijing during June 2008 (Wang et al., 2010), Guangzhou from June 2011 to May 2012 (Zou et al., 2015), Lille, French from May 1997 to April 1999 (Borbon et al., 2002) and Houston in August-September 2006 (Leuchner and

Rappengluck, 2010). Average mixing ratios of VOCs during period II (11.25 ppbv) with enhanced emission control in the present study were significantly lower than those reported in other metropolitan areas. As for the most abundant VOC species including ethane, propane, ethylene, benzene, toluene and ethyne, the mixing ratios of ethane and ethylene at UCAS were similar to that at Beijing during June 2008 (Wang et al., 2010) and urban Guangzhou from June 2011 to May 2012 (Zou et al., 2015), but significantly lower than that in urban Beijing during 2014 APEC (Li et al., 2015). Propane in present study are comparable with that in Hong Kong from September 2002 to August 2003 (Guo et al., 2007) and Lille, French from May 1997 to April 1999 (Borbon et al., 2002), but factors of 2-3 lower than that reported in urban Shanghai from January 2007 to March 2010 (Cai et al., 2010) and Guangzhou from June 2011 to May 2012 (Zou et al., 2015). Mixing ratios of benzene and toluene in Lille, French from May 1997 to April 1999 (Borbon et al., 2002) were over 2 times higher than that in present study. Mixing ratios of ethylene, benzene and toluene in present study. Mixing ratios of ethylene, benzene and toluene in present study. Mixing ratios of ethylene, benzene and toluene in present study. Mixing ratios of ethylene, benzene and toluene in present study. Mixing ratios of ethylene, benzene and toluene in present study. Mixing ratios of ethylene, benzene and toluene in present study. Mixing ratios of ethylene, benzene and toluene in present study were comparable to those observed in Houston during August-September 2006 (Leuchner and Rappengluck, 2010), while ethyne, a tracer of incomplete combustion, had mixing ratios 3-4 times higher than that in Houston."

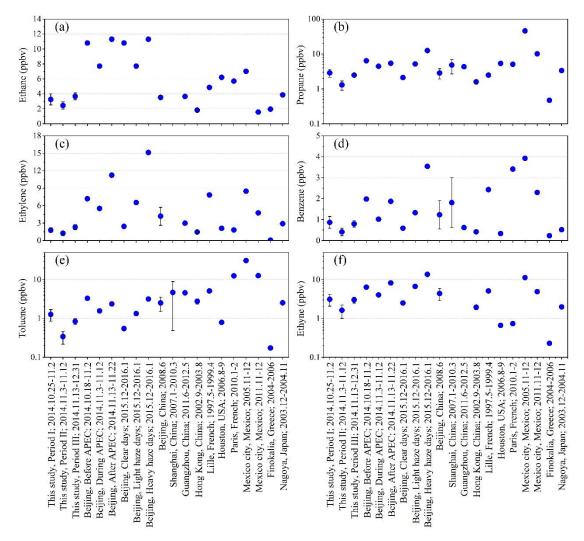


Figure S1. Comparison of (a) ethane, (b) propane, (c) ethylene, (d) benzene, (e) toluene and (f) ethyne observed at UCAS with those from other studies at metropolitan areas in the world.

[4] In addition, I would recommend more thorough descriptions on ozone formation potential and SOA formation potential particularly in the context of whether the metrics are relevant in this photochemical environment.

Reply: As responding to comment [2] above, we fully agree that we should consider if the metrics are relevant in a specific photochemical environment. However, in the present study since we put our focus mainly on how the control measures or human activities would impact the VOCs occurring in the ambient air, so we just followed the widely adopted approaches to indicate their ozone and SOA formation potentials, although they are over simplified and even scientifically not solid enough.

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Author' Response to Referees' Comments

Anonymous Referee #2

Household air pollution from burning biomass and coal for cooking foods and heating rooms has long been a major environmental problem. Previous studies suggested that the uncontrolled and inefficient combustion of solid fuels for heating in winter also contributed substantially to outdoor PM_{2.5}, BC, OC, SO₂ and NOx in many regions, such as in the North China Plain (Liu et al., 2016, PNAS). The field observations at the rural site of Beijing in this study further demonstrated that the combustion of solid fuels for heating in winter made remarkable contribution to ambient volatile organic compounds (VOCs). The authors also took advantage of the temporary intervention measures for emission control during the APEC to evaluate the actual effect of the control measures on the ambient VOCs levels through reduction of the source contributions. The comparison between the heating and non-heating periods offered robust results indicating the influential emission from winter heating. Overall, the data quality of this manuscript is quite good, and the interpretation of the results is appropriate and convincing. Therefore, I strongly recommend publication of this manuscript.

Reply: Thanks for the comments. We have revised our manuscript with your constructive comments and suggestions as below.

Majors:

[1] As stated above, an important finding of this study is that residential coal burning, especially during winter heating period, could be a major contributor to the ambient VOCs. Apart from the emission factors available for residential coal burning, is it possible for the authors to have the amounts of coals consumed in the residential sector especially during winter and give an in-depth explanation why this source could contribute substantially?

Reply: Thanks for the suggestions. The information about coal consumptions and an in-depth explanation to the substantial contribution from residential coal burning have been added into the revised manuscript (section 3.3.2, line 443-459):

"Coal is consumed in residential, industrial and power sectors in Beijing. As showed in Fig. S5a, while annual total coal consumptions dropped rapidly during 2006-2015, the annual residential coal

consumptions remained almost unchanged with their percentages in total coal consumptions rising from 8.7% in 2006 to 23.4% in 2015 (Beijing Municipal Bureau of Statistics, 2016; Yu et al., 2018). As a matter of fact, over 60% of the residential coal consumption occurred in rural areas of Beijing (Fig. S5b), and residential coal is mainly burned in the cool winter season for house heating (Xue et al., 2016). While emission factors of VOCs from residential coal burning have been found to be a factor of 20 greater than those from coal-fired power plants (Liu et al., 2017), the differences in coal quality between the urban and rural areas augment emissions in rural areas: coal used in urban area was entirely anthracite with comparatively much lower emissions of volatiles than other types of coal (Xu et al., 2017); instead only 5-15% of coal used in rural area was anthracite (Xue et al., 2016). Consequently, residential coal combustion could have been a major contributor to the ambient VOCs in rural areas of Beijing during winter."

The coal consumptions in Beijing from 2006 to 2015 were also added to the supplement information as shown below (Fig. S5).

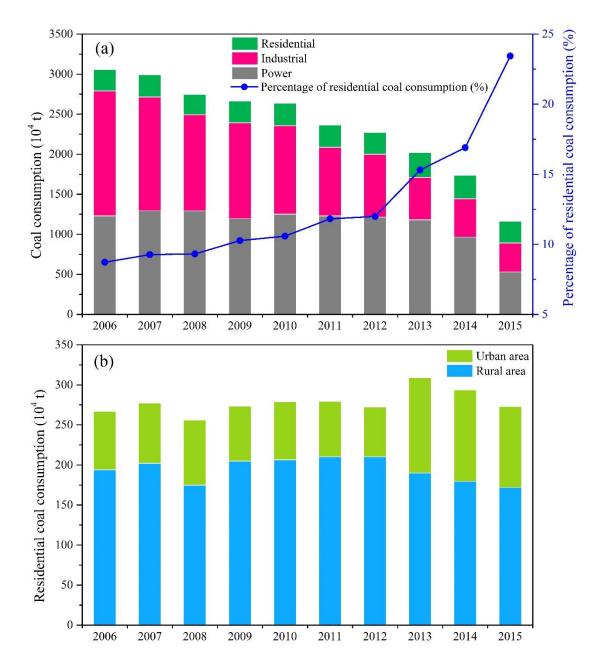


Figure S5. (a) The total coal consumption in residential, industrial and power generation sectors and the percentage of residential coal consumption in total coal consumption in Beijing during 2006-2015; (b) Residential coal consumption in urban and rural areas of Beijing during 2006-2015.

[2] The study suggests clean energy use in residential sector is vital for reducing VOCs in the heavily polluted winter. This aspect should be incorporated into the discussion or the conclusion part of the manuscript.

Reply: Thanks. In the revised manuscript we have re-write the introduction part to stress that residential burning of solid fuels for cooking and heating is not only a problem of indoor air

pollution, but also an important source of outdoor air pollutants. In the conclusion part, based on the results that residential burning of solid fuels contributed nearly halves of VOCs in ambient air during wintertime heating, we added:

"However, as observed in this study, even in megacities like Beijing, burning raw coal or biomass for household heating in winter could contribute near half of VOCs in ambient air. If the emission control over residential burning of solid fuels is underappreciated, the intervention measures targeted on traffic and industry sectors would be not so effective in the wintertime heating period as did in non-heating periods either to lower PM_{2.5} as indicated by Liu et al. (2016) or to lower VOCs in ambient air as indicted by this study. If fact, a study by Yu et al. (2018) during the same field campaign of this study demonstrated that, without emission control over residential burning of solid fuels, ambient PM_{2.5}-bound toxic polycyclic aromatic hydrocarbons in rural Beijing during the 2014 APEC summit remained unchanged despite of the temporary intervention control measures, and they were largely aggravated after the start of wintertime heating. Therefore, cleaner energy use instead of poor-technology burning of solid fuels household heating would have tremendous health benefits in lowering both indoor and outdoor air pollution particularly in heavily polluted winter. It worth noting that this study was conducted in a rural area of the megacity Beijing. Emission from residential burning of solid fuels would be a source of greater importance and thus deserves more concern in less developed regions."

Minors:

Although I am not a native English speaker, I would say that there is still room for improving English writing of the manuscript. The authors better find a native English speaker to check the English.

Reply: Thanks for your careful check and your great patience in listing the errors/mistakes. For the revised manuscript, we have also asked a native English speaker to re-check the English writing.

Line 33-34: Change "during wintertime severe haze events" to "during severe wintertime haze events";

Reply: Revised as suggested.

Line 35: Change "comparatively much less" to "not well";

Line 37: Change "inside" to "on";

Reply: Revised as suggested.

Line 37: add "the" before "University";

Reply: Revised as suggested.

Line 38: Change "northeast" to "northeastern";

Reply: Revised as suggested.

Line 39: Remove "that";

Reply: Revised as suggested.

Line 39: Change "during" to "on";

Reply: Revised as suggested.

Line 40: Add "the" before "air quality";

Reply: Revised as suggested.

Line 41: Change "in" to "on";

Reply: Revised as suggested.

Line 41: Remove "that";

Reply: Revised as suggested.

Line 41: Change "since" to "on";

Reply: Revised as suggested.

Line 42: Change "it is" to "this sample collection period provided";

Reply: Revised as suggested.

Line 43: Remove "the" before "temporary" and before "wintertime";

Reply: Revised as suggested.

Line 44, 45: Add "the" before "temporary";

Reply: Revised as suggested.

Line 46: Change "about" to "approximately";

Reply: Revised as suggested.

Line 47: Change "that" to "the values";

Reply: Revised as suggested.

Line 46-48: Change "that of 23.41 ppb before the APEC (25 October-2 November; Period I) or

21.71 ppb after the APEC (13 November-31 December; Period III)" to "the values of 23.41 ppb in Period I (25 October-2 November) before the APEC and 21.71 ppb in period III (13 November-31 December) after the APEC";

Reply: Revised as suggested.

Line 48: Change "Their" to "The";

Reply: Revised as suggested.

Line 49: Change "drop" to "decrease";

Reply: Revised as suggested.

Line 50: Change "of" to "over";

Reply: Revised as suggested.

Line 51: Remove "the" before "southerly";

Reply: Revised as suggested.

Line 52: Change "that in the northerly ones during period I, II and III" to "those in northerly air

masses during periods I, II and III";

Reply: Revised as suggested.

Line 53: Remove "and";

Reply: Revised as suggested.

Line 54: Change "south" to "southern";

Reply: Revised as suggested.

Line 56: Change "changed" to "the altered";

Reply: Revised as suggested.

Line 57, 58: Remove "the" before "Period";

Reply: Revised as suggested.

Line 58: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 59: Add "the" before "ambient";

Reply: Revised as suggested.

Line 60: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 60: Remove "they";

Line 61-62: Change "VOCs during the period I, II and III" to "the VOCs during periods I, II and III";

Reply: Revised as suggested.

Line 62-63: Change "became the dominant source which accounted for 45.1% of the VOCs" to "became the largest source, accounting for 45.1% of the VOCs";

Reply: Revised as suggested.

Line 64-65: Change "with a remarkably lower average contribution percentage (38.2%) in the southerly air masses than that of 48.8% in the northerly air masses" to "with a specifically lower average contribution percentage in southerly air masses (38.2%) than in northerly air masses (48.8%)";

Reply: Revised as suggested.

Line 72: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 73: Change "benefit improving" to "improve the";

Reply: Revised as suggested.

Line 74: Change "an extensive concern" to "a widespread concern";

Reply: Revised as suggested.

Line 75, 79: Change "summertime" to "summer";

Reply: Revised as suggested.

Line 75: Change "wintertime" to "winter";

Reply: Revised as suggested.

Line 80: Remove "as well";

Reply: Revised as suggested.

Line 82: Change "comparatively the role of VOCs in the wintertime with PM2.5" to "comparatively,

the effect of VOCs on wintertime PM_{2.5}";

Reply: Revised as suggested.

Line 88: Change "in the control of air pollution by PM_{2.5} in wintertime" to "in the control of PM_{2.5} air pollution in winter";

Line 90: Change "under" to "on";

Reply: Revised as suggested.

Line 92: Change "vehicle exhausts are" to "vehicle exhaust is an";

Reply: Revised as suggested.

Line 96: Change "particularly in north China in wintertime" to "particularly in northern China in winter";

Reply: Revised as suggested.

Line 99: Add "the" before "VOCs";

Reply: Revised as suggested.

Line 100: Change "is widely occurring in the rural areas" to "widely occur in rural areas";

Reply: Revised as suggested.

Line 101-102: Change "how the enhanced emission" to "how enhanced emissions";

Reply: Revised as suggested.

Line 109: Change "north" to "northern";

Reply: Revised as suggested.

Line 110: Add "the" before "air quality";

Reply: Revised as suggested.

Line 111: Remove "so";

Reply: Revised as suggested.

Line 111: Remove "when";

Reply: Revised as suggested.

Line 112: Change "especially in wintertime with" to "especially in winter, with";

Reply: Revised as suggested.

Line 116: Change "kind" to "type";

Reply: Revised as suggested.

Line 118: Change "As for" to "For";

Reply: Revised as suggested.

Line 121: Change "drops of" to "decreases in";

Reply: Revised as suggested.

Line 123: Change "inside" to "on";

Line 124: Add "the" before "total";

Reply: Revised as suggested.

Line 124: Add "were" before "reduced";

Reply: Revised as suggested.

Line 125: Add "those in" before "the period";

Reply: Revised as suggested.

Line 126: Change "about" to "of";

Reply: Revised as suggested.

Line 127, 134: Remove "the" before "urban";

Reply: Revised as suggested.

Line 127: Remove "entirely";

Reply: Revised as suggested.

Line 128: Add "on" before "a regional scale";

Reply: Revised as suggested.

Line 132-133: Change "The objectives of present study are" to "The objectives of the present study

are as follows";

Reply: Revised as suggested.

Line 135, 136: change "crucial" to "the major";

Reply: Revised as suggested.

Line 136: Change "wintertime" to "winter";

Reply: Revised as suggested.

Line 137: Add "the" before "APEC";

Reply: Revised as suggested.

Line 141: Change "_" to "_";

Reply: Revised as suggested.

Line 142: Change "inside the campus of" to "on the campus of the";

Reply: Revised as suggested.

Line 142: Add "the" before "Huairou";

Line 143-144: Change "The UCAS is located about 60 km northeast of central Beijing and about 150 km northwest of the Tianjin city" to "UCAS is located approximately 60 km northeast of the center of Beijing and approximately 150 km northwest of the city of Tianjin";

Reply: Revised as suggested.

Line 145-147: Change "16 meters above ground on the top of a four-story building, about 100 m west of a national road and only 1.5 km far away from the APEC main conference hall" to "16 meters above the ground on the top of a four-story building, approximately 100 m west of a national road and only 1.5 km away from the main APEC conference hall";

Reply: Revised as suggested.

Line 152: Remove "of";

Reply: Revised as suggested.

Line 153: Add "and" before "one";

Reply: Revised as suggested.

Line 154: Add "was" before "less than";

Reply: Revised as suggested.

Line 154: Add "a" before "relative";

Reply: Revised as suggested.

Line 154: Add "of" before "less";

Reply: Revised as suggested.

Line 158: Remove "the time span";

Reply: Revised as suggested.

Line 163-164: Change "and average temperature was 11.4, 7.0, and 0.6°C during periods I, II and

III" to "and the average temperature was 11.4, 7.0 and 0.6°C during periods I, II and III";

Reply: Revised as suggested.

Line 224: Remove "the" before "period";

Reply: Revised as suggested.

Line 226: Change "Total" to "The total";

Reply: Revised as suggested.

Line 226: Change "inside" to "at";

Line 227: Change "in" to "on";

Reply: Revised as suggested.

Line 229: Change "halves of 57.45, 36.17, and 56.56 ppb" to "half the values (57.45, 36.17, and 56.56 ppb)";

Reply: Revised as suggested.

Line 231: Remove "both";

Reply: Revised as suggested.

Line 234: Add "a" before "more than";

Reply: Revised as suggested.

Line 234: Remove "the";

Reply: Revised as suggested.

Line 234: Change "about" to "an approximately";

Reply: Revised as suggested.

Line 237: Change "or" to "and the";

Reply: Revised as suggested.

Line 238: Change "densities" to "density";

Reply: Revised as suggested.

Line 240: Change "shared by" to "of";

Reply: Revised as suggested.

Line 240: Remove "quite";

Reply: Revised as suggested.

Line 243-244: Change "percentages shared by aromatics became lower during period II (12%) when compared to that in period I (21%) or period III (15%)" to "the percentage of aromatics was lower during period II (12%) than during period I (21%) and period III (15%)";

Reply: Revised as suggested.

Line 246-247: Change "decreased by 49.0, 32.5, 72.8, and 48.1%, respectively, when compared to those during period I" to "were 49.0, 32.5, 72.8 and 48.1% lower than those during period I, respectively";

Reply: Revised as suggested.

Line 247-248: Change "Aromatics evidently had a more substantial drop" to "Aromatics evidently

underwent a larger decrease";

Reply: Revised as suggested.

Line 249: Remove "as";

Reply: Revised as suggested.

Line 252: Change "ozone formation potentials (OFPs)" to "ozone formation potential (OFP)";

Reply: Revised as suggested.

Line 253: Change "in average during periods I, II and III were" to "on average during periods I, II and III was";

Reply: Revised as suggested.

Line 255: Change "Their" to "The";

Reply: Revised as suggested.

Line 255: Change "potentials (SOAFPs)" to "potential (SOAFP)";

Reply: Revised as suggested.

Line 257, 259: Add "the" to "total";

Reply: Revised as suggested.

Line 261: Change "VOCs" to "VOC";

Reply: Revised as suggested.

Line 262: Change "drop" to "decreases";

Reply: Revised as suggested.

Line 264: Change "changed contribution by aromatic" to "the altered contribution of aromatics";

Reply: Revised as suggested.

Line 266: Change "condition" to "conditions and from";

Reply: Revised as suggested.

Line 267: Change "condition" to "conditions";

Reply: Revised as suggested.

Line 270: Change "showed" to "shown";

Reply: Revised as suggested.

Line 271: Change "with the increase in" to "with an increase in the";

Reply: Revised as suggested.

Line 272: Change "like that" to "as those";

Line 276: Add "the" before "wind";

Reply: Revised as suggested.

Line 280: Change "showed" to "shows";

Reply: Revised as suggested.

Line 281: Change "the" to "a";

Reply: Revised as suggested.

Lines 282-285: "It clearly demonstrated that the mixing ratios of VOCs increased rapidly, and the back trajectories indicated that air masses changed from northerly to southerly and then declined sharply while the air masses changed back from southerly to northerly", rewrite the sentences.

Reply: Revised as suggested.

Lines 285-286: Change "The southern areas of UCAS are the central Beijing with stronger emissions" to "The southern areas of UCAS are in central Beijing where emissions are stronger";

Line 286: Add "that";

Reply: Revised as suggested.

Line 287: Change "increase of" to "increase in the";

Reply: Revised as suggested.

Line 288: Change "of source regions" to "in the source region";

Reply: Revised as suggested.

Line 289: Change "showed" to "shown";

Reply: Revised as suggested.

Line 291: Change "changed" to "altered";

Reply: Revised as suggested.

Line 295: Add "and" before "2)";

Reply: Revised as suggested.

Line 296: should be ": : :Mongolia and quickly: : :";

Reply: Revised as suggested.

Line 297: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 302: Change "period" to "periods";

Line 303: Change "that" to "those";

Reply: Revised as suggested.

Line 304: Change "OFPs in the southerly air masses were" to "the OFP in southerly air masses was";

Reply: Revised as suggested.

Line 305: Change "and SOAFPs in the" to "and the SOAFP in";

Reply: Revised as suggested.

Line 305: Change "were" to "was";

Reply: Revised as suggested.

Line 308: Change "This indicates that the north and south" to "These results indicate that the northern and southern";

Reply: Revised as suggested.

Line 313-314: Change "OFPs and SOAPFs" to "OFP and SOAFP";

Reply: Revised as suggested.

Line 314: Add "that" before "the changes";

Reply: Revised as suggested.

Line 315: Remove "to";

Reply: Revised as suggested.

Line 316: Change "when compared to that during period I average" to "compared to that during period I, the average";

Reply: Revised as suggested.

Line 319: Change "OFPs decreased by 48.1% and SOAFPs" to "the OFP decreased by 48.1% and the SOAFP";

Reply: Revised as suggested.

Line 320: Change "when compared to that" to "compared to those";

Reply: Revised as suggested.

Line 321: Add "the" before "average";

Reply: Revised as suggested.

Line 323: Remove "when";

Line 323-324: Change "OFPs decreased by 48.9% and SOAFPs decreased by over 70% during period II relative to period I" to "the OFP decreased by 48.9%, and the SOAFP decreased by over 70% during period II relative to those in period I";

Reply: Revised as suggested.

Line 325: Add "a" before "more";

Reply: Revised as suggested.

Line 326: Change "emission control in" to "control over emissions from";

Reply: Revised as suggested.

Line 327: Change "less changes in mixing ratios" to "decreased changes in the mixing ratios";

Reply: Revised as suggested.

Line 328: Change "of" to "over";

Reply: Revised as suggested.

Line 331: Change "that" to "those";

Reply: Revised as suggested.

Line 331: Change "This difference in the increase rates" to "These different increases";

Reply: Revised as suggested.

Line 332: Change "are" to "were";

Reply: Revised as suggested.

Line 333: Change "heating supply was only available since" to "heat sources were only available after";

Reply: Revised as suggested.

Line 334: Change "already" to "have";

Reply: Revised as suggested.

Line 336: Change "apportioning" to "apportionment";

Reply: Revised as suggested.

Line 338: Add "the" before "campaign";

Reply: Revised as suggested.

Lines 338-339: Change "might be resulted from changed contribution by emission sources, such

like" to "might have resulted from the altered contributions from emission sources, such as";

Line 341: add "the" before "characteristic";

Reply: Revised as suggested.

Line 344: Change "in average during period" to "on average during periods";

Reply: Revised as suggested.

Line 345: Change "were approaching" to "approached";

Reply: Revised as suggested.

Line 347, 351: Remove "the" before "period";

Reply: Revised as suggested.

Line 348: Remove ", which is characteristic of vehicular exhaust";

Reply: Revised as suggested.

Line 349: Add "the" before "incomplete";

Reply: Revised as suggested.

Line 354: Change "in" to "on";

Reply: Revised as suggested.

Line 355: Change "that coal burning contributed more" to "an increased contribution of coal burning";

Reply: Revised as suggested.

Line 357: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 359: Change "are mainly from" to "mainly originate from";

Reply: Revised as suggested.

Line 361: Change "were" to "are";

Reply: Revised as suggested.

Line 364: Change "when compared to that of" to "than the values of";

Reply: Revised as suggested.

Line 365: Change "or" to "and of";

Reply: Revised as suggested.

Line 366: Change "This drop in aromatics/CO ratios" to "This decrease in the ratios of aromatics to CO";

Line 367: Change "of" to "over";

Reply: Revised as suggested.

Line 370, 371: Add "those in" before "period I";

Reply: Revised as suggested.

Line 371-372: Change "Apparently larger decrease in TEX/CO ratios in the northerly air masses

reflected" to "A larger decrease in the TEX/CO ratios in northerly air masses reflects the fact that";

Reply: Revised as suggested.

Line 374: Change "3.3.2 Source Apportioning by PMF" to "3.3.2 Source Apportionment by PMF";

Reply: Revised as suggested.

Line 375: Change "Thirty-five" to "The 35";

Reply: Revised as suggested.

Line 375: Add "and" before "ethyne";

Reply: Revised as suggested.

Line 376: Change "sources" to "source";

Reply: Revised as suggested.

Line 377: Add "use with" before "the PMF";

Reply: Revised as suggested.

Line 385: Change "the gasoline vehicle emission" to "gasoline vehicle emissions";

Reply: Revised as suggested.

Line 386: should be "trichloroethylene and tetrachloroethylene";

Reply: Revised as suggested.

Line 387: Change "of" to "by";

Reply: Revised as suggested.

Line 388: Change "manufacturing industrials" to "industrial manufacturing";

Reply: Revised as suggested.

Line 389-390: Change "by industries for make" to "in industry to prepare";

Reply: Revised as suggested.

Line 390: Add "during the" before "production";

Reply: Revised as suggested.

Line 392: Change "emission" to "emissions";

Line 393: Change "a larger percentage of the" to "larger percentages of";

Reply: Revised as suggested.

Line 394: Chang "It is known that TEX are" to "TEX is known to be";

Reply: Revised as suggested.

Line 396: Change "They are also" to "These compounds are also the";

Reply: Revised as suggested.

Line 396-397: Change "auto factory painting and building coating" to "automobile factories, paint and building coatings";

Reply: Revised as suggested.

Line 398: Change "as" to "to be";

Reply: Revised as suggested.

Line 403-404: Change "top 3 species of" to "the top 3 species emitted during";

Reply: Revised as suggested.

Line 405: Change "were" to "are";

Reply: Revised as suggested.

Line 405-406: Change "and aromatics like benzene" to "as well as aromatics such as benzene";

Reply: Revised as suggested.

Line 406-407: Change "So factor 5 is related to the coal/biomass burning" to "Thus, factor 5 is related to coal/biomass burning";

Reply: Revised as suggested.

Line 408: Change "period" to "periods";

Reply: Revised as suggested.

Line 409: Add "the" before "VOCs";

Reply: Revised as suggested.

Line 411: Add the "the" before "temporary" and remove "the" before "period";

Reply: Revised as suggested.

Line 412: Change "by" to "of";

Reply: Revised as suggested.

Line 413: Change "drop" to "decrease";

Line 414: Change "by" to "from";

Reply: Revised as suggested.

Line 415: Change "Quite similar" to "Similar";

Reply: Revised as suggested.

Line 417: Remove "the";

Reply: Revised as suggested.

Line 418: Change "from" to "on";

Reply: Revised as suggested.

Line 419: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 420: Add "the" before "VOCs";

Reply: Revised as suggested.

Line 421: Change "were showed" to "are shown";

Reply: Revised as suggested.

Line 422: Change "by" to "of";

Reply: Revised as suggested.

Line 422: Change "with the" to "with the increase in";

Reply: Revised as suggested.

Line 423: Remove "instead";

Reply: Revised as suggested.

Line 427: Change "consumptions" to "consumption";

Reply: Revised as suggested.

Line 429: Change "The residential coal combustion is prevailing" to "Residential coal combustion is primarily carried out";

Reply: Revised as suggested.

Line 429: Change "by using" to "with";

Reply: Revised as suggested.

Line 430: Add "the" before "rural";

Line 431: Change "wintertime" to "winter";

Reply: Revised as suggested.

Line 431: Remove "the";

Reply: Revised as suggested.

Line 431: Change "accounts" to "accounted";

Reply: Revised as suggested.

Line 433: Change "contribute predominately to" to "have been the predominant contributor to the";

Reply: Revised as suggested.

Line 437, 438, 444, 446, 449: Remove "the" before "period";

Reply: Revised as suggested.

Line 437, 440, 441: Change "by" to "from";

Reply: Revised as suggested.

Line 441: Change "reduced" to "decreased";

Reply: Revised as suggested.

Line 443: Change "showed" to "made";

Reply: Revised as suggested.

Line 443: Change "period" to "periods";

Line 447: Change "account" to "accounted";

Reply: Revised as suggested.

Line 451: Change "period" to "periods";

Reply: Revised as suggested.

Line 451: Remove "respectively";

Reply: Revised as suggested.

Line 452: Change "emission (gasoline and diesel vehicles) was" to "emissions (gasoline + diesel) were";

Reply: Revised as suggested.

Line 453: Change "VOCs during the period" to "the VOCs during periods";

Reply: Revised as suggested.

Line 454: Remove "instead";

Line 456: Change "period" to "periods";

Reply: Revised as suggested.

Line 459: Change "Contributions" to "The contributions";

Reply: Revised as suggested.

Line 460: Change "were" to "are";

Reply: Revised as suggested.

Line 460-461: Change "was mainly coming" to "mainly originated";

Reply: Revised as suggested.

Line 467, 468, 470: Change "of" to "in";

Reply: Revised as suggested.

Line 472: Change "emission" to "emissions";

Reply: Revised as suggested.

Line 474: Change "3.3.3 Source contributions to SOAFPs" to "3.3.3 Source contributions to the

SOAFP";

Reply: Revised as suggested.

Line 475: Change "apportioning" to "apportionment";

Reply: Revised as suggested.

Line 475: Change "SOAFPs by" to "the SOAFP of";

Reply: Revised as suggested.

Line 476: Change "showed" to "shown";

Reply: Revised as suggested.

Line 477: Change "condition" to "conditions";

Reply: Revised as suggested.

Line 477: Change "SOAFPs by solvent use were much higher than that by" to "SOAFP of solvent use was much higher than that of";

Reply: Revised as suggested.

Line 478: Change "were" to "was";

Reply: Revised as suggested.

Line 479, 481: Change "period" to "periods";

Line 482: Change "of SOAFPs" to "in SOAFP";

Reply: Revised as suggested.

Line 483: Change "by" to "from";

Reply: Revised as suggested.

Line 485: Change "condition," to "conditions, the";

Reply: Revised as suggested.

Line 485: Change "of" to "in";

Reply: Revised as suggested.

Line 486: Change "by" to "from";

Reply: Revised as suggested.

Line 490: Change "or" to "and";

Reply: Revised as suggested.

Line 490: Change "from" to "during";

Reply: Revised as suggested.

Line 494: Change "SOAFPs" to "the SOAFP";

Reply: Revised as suggested.

Line 494: Remove "only";

Reply: Revised as suggested.

Line 496: Change "is a large of" to "are large";

Reply: Revised as suggested.

Line 500-501: Change "SOA often shared higher factions in" to "SOAs often composed higher

fractions of";

Reply: Revised as suggested.

Line 502: Change "are much less" to "not well";

Reply: Revised as suggested.

Line 504: Change "inside" to "on";

Reply: Revised as suggested.

Line 505: Change "during" to "on";

Reply: Revised as suggested.

Line 505, 506: Remove "and, in fact";

Line 507: Change "could take" to "took";

Reply: Revised as suggested.

Line 509: Change "with" to "from";

Reply: Revised as suggested.

Line 510: Change "could also compare" to "also compared";

Reply: Revised as suggested.

Line 511: Add "use";

Reply: Revised as suggested.

Line 512: Change "investigate" to "investigated";

Reply: Revised as suggested.

Line 513: Change "the" to "a";

Reply: Revised as suggested.

Line 514-515: Change "period II (3-12 November)" to "period (period II; 3-12 November), the";

Reply: Revised as suggested.

Line 515: Change "when compared to that" to "compared to those";

Reply: Revised as suggested.

Line 516: Change "And their" to "In addition, the";

Reply: Revised as suggested.

Line 516: Change "potentials" to "potential";

Reply: Revised as suggested.

Line 518: Change "drop" to "decrease";

Reply: Revised as suggested.

Line 519: Change "of" to "over";

Reply: Revised as suggested.

Line 520: Change "apportioning" to "apportionment";

Reply: Revised as suggested.

Line 523: Chang "about" to "of";

Reply: Revised as suggested.

Line 524: Change "With" to "Through";

Line 525: Change "of wind directions" to "in wind direction";

Reply: Revised as suggested.

Line 526: Change "Total" to "The total";

Reply: Revised as suggested.

Line 527: Change "that" to "those";

Reply: Revised as suggested.

Line 531: Change "were" to "was";

Reply: Revised as suggested.

Line 533: Change "by" to "of";

Reply: Revised as suggested.

Line 534: Change "in average due to drops in the percentages by" to "on average due to decreases in the percentages of";

Reply: Revised as suggested.

Line 535-536: Change "that accounted" to "accounting";

Reply: Revised as suggested.

Line 537: Change "south" to "southern";

Reply: Revised as suggested.

Line 538: Change "north" to "northern".

Reply: Revised as suggested.

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households: A major and underappreciated ambient pollution source, P. Natl. Acad. Sci. USA., 113, 7756-7761, http://dx.doi.org/10.1073/pnas.1604537113, 2016.

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1	Volatile organic compounds at a rural site in Beijing:
2	Influence of temporary emission control and wintertime
3	heating
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32 Abstract

Secondary organic aerosols (SOA) contribute substantially to PM_{2.5} during severe 33 34 wintertime-severe haze events in northern China, yet ambient volatile organic compounds (VOCs) as SOA precursors are comparatively much lessnot well 35 characterized in winter, especially in rural areas. In this study, ambient air samples were 36 collected in 2014 from 25 October to 31 December at a rural site inside on the campus 37 of the University of Chinese Academy of Sciences (UCAS) in northeastern Beijing for 38 39 the analysis of VOCs. Since that temporary intervention measures were implemented 40 during on 3-12 November to improve the air quality for the Asian-Pacific Economic Cooperation (APEC) summit held in on 5-11 November in Beijing, and that wintertime 41 42 central heating started since on 15 November in Beijing after the APEC summit, it is this sample collection period provided a good opportunity to study the influence of the 43 44 temporary control measures and the wintertime heating on the ambient VOCs. As a 45 result of the temporary intervention measures implemented during 3-12 November (period II), the total mixing ratios of non-methane hydrocarbons averaged 11.25 ppb, 46 47 about approximately 50% lower than that the values of 23.41 ppb before in period I the APEC (25 October-2 November; Period I) orand 21.71 ppb after thein period III 48 49 APEC (13 November-31 December; Period III). Their ozone and SOA formation 50 potentials decreased by $\sim 50\%$ and $\sim 70\%$, respectively, with the larger dropdecrease in SOA formation potentials attributed to more effective control of over aromatic 51 52 hydrocarbons mainly from solvent use. Back trajectory analysis revealed that the 53 average mixing ratios of VOCs in the southerly air masses were 2.3, 2.3 and 2.9 times thatthose in the northerly onesair masses during periods I, II and III, respectively; and 54

55	all VOC episodes occurred under the influence of southerly winds, suggesting much
56	stronger emissions in the southern urbanized regions than in the northern rural areas.
57	Based on thea positive matrix factorization (PMF) receptor model, the altered changed
58	contributions from traffic emissions and solvent use could explain 47.9% and 37.6% of
59	the reduction in ambient VOCs, respectively, during the Period II relative to the Period
60	I, indicating that the temporary control measures on vehicle emissions and solvent use
61	were effective inat lowering the ambient levels of VOCs. Coal/biomass burning,
62	gasoline exhaust, and industrial emissions were among the vital sources, and they
63	altogether contributed 60.3%, 78.6% and 78.7% of the VOCs during the periods I, II
64	and III, respectively. Coal/biomass burning, mostly residential coal burning, became
65	the dominant source <u>, which</u> account <u>inged</u> for 45.1% of the VOCs during the wintertime
66	heating period, with a specificallyremarkably lower average contribution percentage
67	(38.2%) in the southerly air masses (38.2%) than that of 48.8% in the northerly air
68	masses (48.8%). The results suggests that emission control in the industry and traffic
69	sectors is more effective in lowering ambient reactive VOCs in non-heating time;
70	however, reducing emissions from residential burning of solid fuels for heating would
71	be of greater importance and would have health co-benefits from lowing both indoor
72	and outdoor air pollution.

1. Introduction

75	Volatile organic compounds (VOCs) are precursors of tropospheric ozone and
76	secondary organic aerosols (SOA) (Forstner et al., 1997; Odum et al., 1997; Atkinson,
77	2000; O'Dowd et al., 2002; Sato et al., 2010). As ozone formation in urban areas is
78	largely VOC-limited (Shao et al., 2009; Tang et al., 2010) and SOA are important
79	components of fine particles or $PM_{2.5}$ (particulate matter with an aerodynamic diameter
80	less than 2.5 μ m) (Cabada et al., 2004; Lonati et al., 2005; Huang et al., 2014), reducing
81	emissions of VOCs would be very important for benefit improvinging the air quality in
82	megacities, such as China's capital city Beijing, where air pollution has become an
83	extensive widespread concern, with increasing surface ozone levels during summertime
84	and severe PM _{2.5} pollution during wintertime (Streets et al., 2007; Ji et al., 2012; Wang
85	et al., 2014).
86	While exposure to indoor air pollution from burning solid fuels affects nearly half
87	of the world's population and household air pollution has long been a major
88	
00	environmental cause of death (Martin et al., 2011; Lim et al., 2012; Subramanian, 2014),
89	environmental cause of death (Martin et al., 2011; Lim et al., 2012; Subramanian, 2014), emissions from residential energy use such as heating and cooking, prevalent in India
89	emissions from residential energy use such as heating and cooking, prevalent in India
89 90	emissions from residential energy use such as heating and cooking, prevalent in India and China, also have the largest impact on global premature mortality from outdoor air
89 90 91	emissions from residential energy use such as heating and cooking, prevalent in India and China, also have the largest impact on global premature mortality from outdoor air pollution (Lelieveld et al., 2015). In fact, Juda-Rezler et al. (2011) showed that coal
89 90 91 92	emissions from residential energy use such as heating and cooking, prevalent in India and China, also have the largest impact on global premature mortality from outdoor air pollution (Lelieveld et al., 2015). In fact, Juda-Rezler et al. (2011) showed that coal combustion in residential boiler during winter was a major source of PM ₁₀ in four cities

96	winter heating period (Liu et al., 2016). Since substantial amounts of VOCs are released
97	from poor-technology burning of coal and biomass/biofuels (Yokelson et al., 2008;
98	Shrivastava et al., 2015; Fang et al., 2017; Liu et al., 2017; Cheng et al., 2018), it is of
99	wide concern how residential use of solid fuels, particularly for wintertime household
100	heating, would influence ambient levels and compositions of VOCs. In residential areas
101	of Izmir, Turkey, for example, household burning of coal on uncontrolled burners for
102	domestic heating during winter was found to be a larger source of VOCs than the local
103	traffic (Sari and Bayram, 2014).
104	Due to a wide variety of emission sources of VOCs and large uncertainties of the
105	emission inventories of VOCs, to formulate emission control measures on reducing
106	ambient VOCs is a highly challengeable task. More field measurements are therefore
107	needed to characterize VOCs in ambient air and in emission sources for better source
108	attribution. In Beijing, for example, since higher levels of ozone mostly occur during
109	summer andhot seasons-ozone formation in urban areas is largely VOC-limited (Shao
110	et al., 2009; Tang et al., 2010), many field measurements of VOCs in Beijing were
111	conducted in summertime with a focus on their sources (Song et al., 2007; Lu et al.,
112	2007; Yuan et al., 2009; Wang et al., 2010a) and their mixing ratios (Liu et al., 2009;
113	An et al., 2012; Zhang et al., 2012a; Liu et al., 2013) as well, particularly during ozone
114	episodes-(Liu-et al., 2009; An et al., 2012; Zhang et al., 2012a; Liu-et al., 2013).
115	However, comparatively the role of VOCs in the wintertime with PM2.5 pollution is
116	much less understood. On the other hand, during extremely severe and persistent haze
117	events in Beijing-in-China, organic matter (OM) could contribute 30-70% of the total
I	

118	PM _{2.5} with higher fractions of SOA in OM (Guo et al., 2014; Huang et al., 2014; Zhang
119	et al., 2014a). Therefore However, the effect of VOCs on wintertime PM _{2.5} pollution is
120	much less understood, although the control of VOCs, as SOA precursors, is also of great
121	importance in the control of $\underline{PM_{2.5}}$ air pollution by $\underline{PM_{2.5}}$ time. A previous study already
122	demonstrated that levels of aromatic hydrocarbons and carbonyls increased
123	significantly underon haze days in urban Beijing from 2008 to 2010 (Zhang et al.,
124	2014b), yet few reports are available about wintertime precursor VOCs, especially
125	about the potential contribution by burning solid fuels for household heating.
126	In urban areas, vehicle exhaust is an important sources of SOA precursors (McDonald
127	et al., 2015; Liu et al., 2015a; Ortega et al., 2016; Deng et al., 2017; Gentner et al.,
128	2017). However, biomass/biofuel burning and coal burning may also contribute
129	substantially to SOA precursors (Yokelson et al., 2008; Shrivastava et al., 2015; Fang
130	et al., 2017), particularly in northern China in winter when raw coal and biofuels are
131	widely used for household heating (Liu et al., 2016; Zhang et al., 2016a; Liu et al.,
132	2017). In fact, a study by Wang et al. (2013) in 2011-2012 revealed that even at an urban
133	site in Beijing, coal combustion could account for 28-39% of the VOCs observed in
134	ambient air. As raw coal and/or biofuel burning widely occur in rural areas in winter
135	(Liu et al., 2016), it is necessary to investigate how enhanced emissions due to
136	wintertime household heating would influence the levels and compositions of VOCs in
137	rural areas, as forming SOA or ozone is an issue of regional scale.
138	Apart from health benefits from lowering indoor air pollution, controlling
139	emissions in the residential sector would be of greater importance to further improve

140	outdoor air quality worldwide in the future (Liu et al., 2016), although previously efforts
141	have been overwhelmingly targeted on reducing emissions from industrial and traffic
142	sectors in many regions. In northern China, for example, Due to a wide variety of
143	emission sources of VOCs and large uncertainties of the emission inventories of VOCs,
144	to assess the effect of emission control measures on reducing ambient VOCs is a highly
145	challengeable task. The Chinese government has implemented long term pollution
146	control actions and air quality has been greatly improved in north China in recent years
147	due to the implementation of long-term pollution control actions (Hao and Wang, 2005;
148	Wang et al., 2009; Zhang et al., 2012b; Liu et al., 2015a; Kelly and Zhu, 2016). However,
149	the air quality there in Beijing is not so satisfactory when compared to that in cities in
150	the United States and Europe, especially in winter, time with frequent haze events and
151	high PM _{2.5} levels. Consequently, during critical international events such as the 2008
152	Olympic Games (Wang et al., 2010b; Huang et al., 2010) and the 2014 Asia-Pacific
153	Economic Cooperation (APEC) summit, temporary intervention measures were
154	adopted to guarantee better air quality. This kindtype of temporary intervention
155	provided a good opportunity to assess the relatively importance of different sources and
156	to study the effectiveness of various control measures on the reduction of ambient air
157	pollutants including VOCs (Yao et al., 2013; Huang et al., 2017). As forFor the 21 th
158	Asia-Pacific Economic Cooperation (APEC) summit held in Beijing on 5-11 November
159	2014, temporary control measures in Beijing and its surrounding regions resulted in
160	significant dropsdecreased in of air pollutants, including PM _{2.5} and NOx (Huang et al.,
161	2015; Liu et al., 2015b; Wang et al., 2015; Xu et al., 2015; Zhang et al., 2016a). For the

162	VOCs in ambient air, as observed by Li et al. (2015) at an urban site insideon the
163	campus of Peking University, the total mixing ratios of VOCs were reduced by 44%
164	during the APEC summit control period when compared to those in the period before.
.65	As the 2008 Olympic Games or the 2014 APEC were all held in the non-heating periods
66	it is not certain in what extent the temporary intervention measures mostly targeted on
167	industrial and traffic emissions would take effect in the winter heating period. Since
168	most observation based evaluations about the effectiveness of temporary emission
169	control measures were made with monitoring data in the urban areas, it is entirely
170	necessary to further investigate the influence in rural areas or a regional scale.
171	In urban areas, While vehicle exhaust is an important source of SOA
172	precursorsVOCs in urban areas (McDonald et al., 2015; Liu et al., 2015c; Ortega et al.,
173	2016; Deng et al., 2017; Gentner et al., 2017). However, coal burning and
174	biomass/biofuel burning and coal burning may also contribute substantially to SOA
175	precursors (Yokelson et al., 2008; Shrivastava et al., 2015; Fang et al., 2017), VOCs
176	particularly in northern China in winter when raw coal and biofuels are widely used for
177	household heating in regions like the northern China (Liu et al., 2016; Zhang et al.,
178	2016b; Liu et al., 2017). In fact, a study by Wang et al. (2013) in 2011-2012 revealed
179	that even at an urban site in Beijing, coal combustion could account for 28-39% of the
180	VOCs observed in ambient air. As raw coal and/or biofuel burning widely occur in rural
181	areas in winter (Liu et al., 2016), it is necessary to investigate extensively on how
182	enhanced emissions due to wintertime household heating would influence the levels
183	and compositions of ambient VOCs particularly in rural areas, as forming SOA or ozone

184 <u>is an issue of regional scale.</u>

In this study, ambient air samples were collected at a rural site in the north of 185 186 Beijing from 25 October to 31 December 2014, covering the period with-the enhanced temporary emission control (3-12 November) for the APEC summit and the wintertime 187 188 heating period starting from 15 November. The objectives of the present study are as 189 follow: (1) to study changes in the mixing ratios and compositions of VOCs at a rural site in Beijing in response to the emission control during the APEC summit and the 190 191 wintertime heating; (2) to identify the crucial sources of VOCs in Beijing and their 192 changes during the PM-polluted wintertime; (3) to evaluate the impact of control measures implemented during the APEC summit on the reduction of VOCs in ambient 193 194 air in rural areas; and (4) to assess the contribution of residential use of solid fuels for 195 household heating to the ambient VOCs during winter.-

196 **2. Methodology**

197 2.1 Sampling Site and Field Sampling

The ambient air samples were collected at a site (40.41°) N, 116.68° E; Fig. 1) 198 199 insideon the campus of the University of Chinese Academy of Science (UCAS) in the 200 Huairou district of Beijing. The UCAS is located about approximately 60 km northeast 201 of the central of Beijing and about approximately 150 km northwest of the city of Tianjin 202 city. It is surrounded by several small villages and farmlands. The samples were collected 16 meters above the ground on the top of a four-story building, 203 204 about approximately 100 m west of a national road and only 1.5 km far away from the main APEC-main conference hall. 205

206	Ambient air samples were collected from 25 October-31 December 2014 using
207	cleaned and evacuated 2 L silica-lined stainless steel canisters. During field sampling,
208	a model 910 canister sampler (Xonteck Inc., California, USA) with a constant flow rate
209	of 66.7 ml min ⁻¹ was adopted to allow each canister to be filled in 60 min. Samples
210	were collected at approximately 10:00 and 15:00 of local time (LT) on sunny days, and
211	one or two more samples were collected at 12:00 and/or 18:00 LT on haze days when
212	the visibility <u>was</u> less than 10 km at <u>a</u> relative humidity <u>of</u> less than 90% (Fu et al.,
213	2016). A total of 153 samples were collected during sampling. According to the air
214	pollution control measures, the field campaign was divided into periods I (25 October-
215	2 November), II (3-12 November) and III (13 November-31 December). Period II was
216	the time span when temporary control measures (Table 1;
217	http://www.zhb.gov.cn/gkml/hbb/qt/201411/t20141115_291482.htm) implemented for
218	better air quality. Wintertime heating started on 15 November just after the cease of
219	temporary control measures on 13 November. During the sampling periods, the
220	prevailing winds were mostly from north to northwest $(315-360^{\circ\circ})_{;;}$ the average wind
221	speeds were 3.5, 3.9, and 4.1 m s ⁻¹ ; and the average temperature was 11.4, 7.0, and
222	$0.6^{\circ}C$ during periods I, II and III, respectively.

223 2.2 Laboratory Analysis of VOCs and Carbon Monoxide

All ambient air samples were analyzed with a Model 7100 pre-concentrator (Entech Instruments Inc., California, USA) coupled with an Agilent 5973N gas chromatography-mass selective detector/flame ionization detector (GC-MSD/FID, Agilent Technologies, USA). Detailed cryogenically concentration steps are described

228	elsewhere (Zhang et al., 2012c). Briefly, 500 ml ambient air samples in the canister
229	were first pumped into the primarily trap with glass beads and then concentrated with
230	liquid-nitrogen cryogenic trap at -180°C. Following the primary trap was heated to
231	10°C, and all target compounds were transferred by pure helium to a secondary trap at
232	-50°C with Tenax-TA as adsorbents. Majority of H_2O and CO_2 were removed through
233	these two traps. The secondary trap then was heated to get VOCs transferred by helium
234	to a third cryo-focus trap at -170°C. After the focusing step, the third trap was rapidly
235	heated and the VOCs were transferred to the GC-MSD/FID system. The mixture were
236	first separated by a DB-1 capillary column (60 m×0.32 mm×1.0 μ m, Agilent
237	Technologies, USA) with helium as carrier gas, and then split into two ways, one is a
238	PLOT-Q column (30 m×0.32 mm×20.0 μ m, Agilent Technologies, USA) followed by
239	FID detector, another is to a 0.35 m×0.10 mm I.D. stainless steel line followed by MSD
240	detection. The GC oven temperature was programmed to be initially at 10°C, holding
241	for 3 min; next increased to 120°C at 5°C min ⁻¹ , and then 10°C min ⁻¹ to 250°C with a
242	final holding time of 7 min. The MSD was selected ion monitoring (SIM) mode and the
243	ionization method was electron impacting. Carbon monoxide (CO) in the ambient air
244	samples were also analyzed with an Agilent model 6890 gas chromatography equipped
245	with a FID and a packed column (5Å Molecular Sieve 60/80 mesh, 3 m×1/8 inch). CO
246	was first separated by packed column, then converted to CH ₄ by Ni-based catalyst and
247	finally detected by FID (Zhang et al., 2016b).
248	2.3 Quality Control and Quality Assurance

249 Before sampling, all canisters were flushed at least five times by repeatedly filling

and evacuating humidified zero air. In order to check if there was any contamination in
the canisters, all canisters were evacuated after the cleaning procedures, re-filled with
pure nitrogen, stored in the laboratory for at least 24 h, and then analyzed the same way
as field samples to make sure that all the target VOC compounds were not present.
Target compounds were identified based on their retention times and mass spectra,

and quantified by external calibration methods. The calibration standards were prepared 255 by dynamically diluting the Photochemical Assessment Monitoring Stations (PAMS) 256 standard mixture and TO-14 standard mixture (100 ppbv, Spectra Gases Inc., New 257 258 Jersey, USA) to 0.5, 1, 5, 15 and 30 ppb. The calibration curves were obtained by running the five diluted standards plus humidified zero air the same way as the field 259 samples. The humidified zero air was initially analyzed every day to ensure the 260 261 cleanness of system and then the analytical system was challenged daily with a onepoint (typically 1 ppb) calibration before running air samples. If the response was 262 beyond +/-10% of the initial calibration curve, recalibration was performed. The 263 264 method detection limits (MDL) for each VOCs species were presented in Table 2.

265 2.4 Positive Matrix Factorization (PMF)

266 PMF is a multivariate factor analysis tool that decomposes a matrix of sample data 267 into two matrices: factor contributions (G) and factor profiles (F). The method is 268 reviewed briefly here and described in greater detail elsewhere (Paatero and Tapper, 269 1994; Paatero, 1997). PMF uses both concentration and user-provided uncertainty 270 associated with the data to weight individual points. Data values below the MDL were 271 substituted with MDL/2; missing data values were substituted with median concentrations. If the concentration is less than or equal to the MDL provided, the uncertainty is calculated using the equation of $Unc = 5/6 \times MDL$; if the concentration is greater than the MDL provided, the uncertainty is calculated as $Unc = [(\text{Error faction} \times$ mixing ratio)² + (MDL)²]^{1/2}. The number of factors in PMF was initially chosen based on the result of PCA/APCS model (Zhang et al., 2012c).

277 **3. Results and discussion**

278 *3.1 Changing mixing ratios and compositions*

279 As mentioned above, during the period II (3-12 November), temporary emission 280 control measures were implemented to improve air quality during the 2014 APEC summit. The Ttotal mixing ratios of VOCs observed at the rural site insideat UCAS 281 282 during the period II was 11.25±3.22 ppb inon average, significantly lower than that the 283 value of 23.41±5.76 ppb during period I and 21.71±2.97 ppb during period III (Fig. 2). These levels were less than halves of half the values (57.45, 36.17, and 56.56 ppb) 284 285 observed by Li et al. (2015) at an urban site in Beijing before, during and after the 286 APEC summit, respectively. However, both-our measurements at a rural site in this study and the measurements at an urban site by Li et al. (2015) consistently 287 demonstrated that the temporary emission control resulted in a large decrease in 288 ambient VOCs during the APEC summit, with a more than 30% reduction in the urban 289 areas (Li et al., 2015) and aboutan approximately 50% reduction in rural areas, as 290 observed in this study. This reduced ambient mixing ratios of VOCs during the period 291 292 II was also in line with the decreased PM_{2.5} concentrations observed in Beijing during 293 the APEC summit (Liu et al., 2015b), or and the reduced NO₂ vertical column densities<u>density</u> (VCD) and aerosol optical depth (AOD) in Beijing during the APEC
 summit based on remote sensing (Huang et al., 2015).

The percentages shared by of alkanes, alkenes, and ethyne in total VOCs were quite similar: alkanes accounted for 54, 57 and 54% of VOCs; alkenes accounted for 12, 16 and 17%; and ethyne accounted for 13, 14 and 14% of VOCs during periods I, II and III, respectively. Instead, the percentages shared by of aromatics became was lower during period II (12%) when compared to that inthan during period I (21%) or and period III (15%).

The mean mixing ratios of alkanes, alkenes, aromatics and ethyne during period II were 6.47, 1.83, 1.33, and 1.62 ppb (Fig. 2), and they decreased bywere 49.0, 32.5, 72.8, and 48.1%_, respectively, when compared tolower than those during period I, respectively. Aromatics evidently hadunderwent a more substantial dropdecrease. Benzene, toluene, ethylbenzene, and m,p-xylene, which are the most abundant aromatics and usually collectively termed as-BTEX, were 52.8, 73.1, 78.8, and 80.5% lower during period II than during period I, respectively.

Table S1 shows a comparison of VOCs from our study with those observed at other metropolitan areas in the world. Mixing ratios of VOCs from this study at a rural site in Beijing during period I (23.41 ppb) and period III (21.71 ppbv) were comparable to that in urban Shanghai from January 2007 to March 2010 (Cai et al., 2010), but lower than those in Beijing during June 2008 (Wang et al., 2010a), Guangzhou from June 2011 to May 2012 (Zou et al., 2015), Lille, French from May 1997 to April 1999 (Borbon et al., 2002) and Houston in August-September 2006 (Leuchner and

316	Rappengluck, 2010). Average mixing ratios of VOCs during period II (11.25 ppbv) with
317	enhanced emission control in the present study were significantly lower than those
318	reported in other metropolitan areas. As for the most abundant VOC species including
319	ethane, propane, ethylene, benzene, toluene and ethyne, the mixing ratios of ethane and
320	ethylene at UCAS were similar to that at Beijing during June 2008 (Wang et al., 2010a)
321	and urban Guangzhou from June 2011 to May 2012 (Zou et al., 2015), but significantly
322	lower than that in urban Beijing during 2014 APEC (Li et al., 2015). Propane in present
323	study are comparable to that in Hong Kong from September 2002 to August 2003 (Guo
324	et al., 2007) and Lille, French from May 1997 to April 1999 (Borbon et al., 2002), but
325	factors of 2-3 lower than that reported in urban Shanghai from January 2007 to March
326	2010 (Cai et al., 2010) and Guangzhou from June 2011 to May 2012 (Zou et al., 2015).
327	Mixing ratios of benzene and toluene in Lille, French from May 1997 to April 1999
328	(Borbon et al., 2002) were over 2 times higher than that in present study. Mixing ratios
329	of ethylene, benzene and toluene in present study were comparable to those observed
330	in Houston during August-September 2006 (Leuchner and Rappengluck, 2010),
331	meanwhile ethyne, a tracer of incomplete combustion, had mixing ratios 3-4 times
332	higher than that in Houston.

The total ozone formation potentials (OFPs), based on the <u>simplified approach of</u> <u>MIR (maximum incremental reactivity) scale</u> (Carter, 2009), <u>inon</u> average <u>werewas</u> 60.64, 28.51, and 61.47 ppb (Table <u>S1S2)</u> <u>during periods I, II and III</u>, respectively, with a 53.0% reduction during period II relative to the period I (Fig. 2). Theeir secondary organic aerosol formation potentials (SOAFPs) under high-NOx and low-NOx 338 conditions (Ng et al., 2007; Lim and Ziemann, 2009) were also calculated (Table <u>\$2\$3</u>). As showed shown in Fig. 2, the total SOAFPs under low-NOx conditions decreased by 339 71.0% from 8.77 μ g m⁻³ during the period I to 2.54 μ g m⁻³ during period II, and the total 340 SOAFPs under high-NOx conditions decreased by 64.4% from 4.02 µg m⁻³ during 341 period I to 1.43 µg m⁻³ during period II. This significant decrease in OFPs and SOAFPs 342 343 during period II is related to lowered VOCs mixing ratios, especially larger dropdecreases in reactive alkenes and aromatics: alkenes and aromatics explain 26% 344 and 52% of the reduction in total OFPs, respectively, while the decrease in total 345 SOAFPs is mostly due to <u>changed</u>the altered contribution byof aromatics (Table 346 \$2\$3, whose SOAFPs decreased from 7.30 µg m⁻³ during period I to 1.93 µg m⁻³ during 347 period II under low-NOx conditions and from, 2.39 µg m⁻³ during period I to 0.75 µg 348 m^{-3} during period II under high-NOx conditions. The results suggest that enhancing the 349 emission control of reactive alkenes and aromatics would be more is especially effective 350 for reducing OFPs and SOAFPs of ambient VOCs-reduction. 351

352 *3.2 Pollution episodes and influence of source regions*

As showedshown in Fig. 3d and 3e, a number of episodes with mixing ratios of VOCs over 30 ppb were recorded along with thean increase in the_CO and SO₂ concentrations (Fig. 3d) during the campaign, such likeas thatthose on 4-5 November, 15-16 November, 18-21 November, 28-30 November, 17 December, and 26-28 December. During the episode on 3-5 November, for example, the total mixing ratio of VOCs was 14.30 ppb on 3 November, reached 31.96 ppb on 4 November, and then decreased again to 13.83 ppb on 5 November. As shown in Fig. 3a, the_wind speeds

360	were all below 2 m s ⁻¹ during 3-5 November, and the planetary boundary layer (PBL)
361	height on 4 November (477 m) was approximately 83% of that on 3 November (578 m)
362	(Fig. 3c). This lower PBL height on 4 November could only partly explain the higher
363	levels of VOCs. Figure S1a-S2a showedshows the 72-h back trajectories (HYSPLIT,
364	ver. 4.0; http://www.arl.noaa. gov/ready/hysplit4.html) of air masses from 3-5
365	November at thea height of 100 m in 12-h intervals and the corresponding mixing ratios
366	of VOCs. It demonstrated that the mixing ratios of VOCs increased rapidly while air
367	masses changed from the northerly to the southerly, and then declined sharply while the
368	air masses turned back from the southerly to the northerly again. The southern areas of
369	UCAS are thein central Beijing withwhere stronger emissions are stronger;
370	consequently, air masses that passed through these areas would carry higher levels of
371	pollutants to the sampling site, leading to the quick increase of in the mixing ratios of
372	VOCs. This rapid change of in the source regions could reasonably explain more than
373	the PBL height during the pollution episode of VOCs. As showedshown in Fig. S1bS2b,
374	$\frac{162c}{162c}$, and $\frac{142d}{162c}$, back trajectories also suggested that the episodes on 18-21 November,
375	28-30 November and 26-28 December are related to the changedaltered source regions.
376	According to the 72-h back trajectories, air masses arriving at the sampling site
377	could be categorized into two types (Fig. 4): 1) southerly (S) air masses, which passed
378	through Hebei, Shandong, Tianjin, and central Beijing with high-density emissions
379	before reaching UCAS, and; 2) northerly (N) air masses, which originated from
380	Mongolia <u>and</u> , quickly passed through areas with less anthropogenic activity and low-
381	density emissions before reaching UCAS. The pollution episodes with higher mixing

382	ratios of VOCs and CO, including the cases on 26-30 October, 4-5 November, 15-16
383	November, 18-20 November, 25-26 November and 26-28 December (Fig. 3d and 3e),
384	all occurred under the influence of southerly air masses, also suggesting the impacts of
385	emissions in the south.
386	During periods I, II and III, the average mixing ratios of VOCs for southerly air
387	masses were 2.3, 2.3 and 2.9 times thatthose for northerly air masses (Fig. 4),
388	respectively; the OFPs in the southerly air masses were was 2.0, 2.0 and 3.3 times that
389	in the northerly air masses, respectively; and the SOAFPs in the air masses from the
390	south werewas 1.7, 3.3, and 3.7 times that in the air masses from the north under low-
391	NOx conditions, and 1.9, 2.7, and 3.5 times that in the air masses from the north under
392	high-NOx conditions, respectively. This These results indicates that the northern and
393	southern regions are completely different in their source strengths. Developing strict
394	Stricter control measures in the southern region would be is an effective way for abating
395	VOCs pollution in Beijing.
396	As mentioned above, the mixing ratios of VOCs, as well as their OFPs and

SOAPFs, decreased greatly during period II. We can further see t<u>T</u>he changes in the southerly and northerly air masses to indicate the changes in different source regions. In the southerly air masses, when compared to that during period I<u>, the</u> average mixing ratios of alkanes, alkenes, aromatics, and ethyne during period II were 8.32, 2.16, 1.93, and 2.23 ppb, with reduction rates of 46.0, 33.3, 64.3, and 44.7%, respectively; accordingly, <u>the</u> OFPs decreased by 48.1% and <u>the</u> SOAFPs decreased by 63.5 % (low-NOx conditions) and 57.6% (high-NOx conditions) during period II when compared to

404 that those during period I (Fig. 4). In the northerly air masses, the average mixing ratios 405 of alkanes, alkenes, aromatics, and ethyne decreased 37.7, 4.8, 87.0, and 18.4% during 406 period II when compared to that during period I, respectively; the OFPs decreased by 48.9% and SOAFPs decreased by over 70% during period II relative to those in period 407 408 I (Fig. 4). As discussed below, <u>a</u> more drastic decrease in aromatics in both the northerly 409 and southerly air masses implied more effective emission control over emissions infrom 410 industrial solvent use during the APEC summit, and the much less changes in the 411 mixing ratios of alkenes in the northerly air masses were related to the less effective 412 control of over domestic coal/biomass burning in the northern regions. The mixing 413 ratios of VOCs in the southerly and northerly air masses during period III were 36.1% 414 and 7.2% higher than that during period I, respectively. This difference in 415 the Those different increases rates might be explained by the fact that the urban areas in 416 the south arewere largely central heating areas where heating supply was sources were 417 only available since after 15 November, and the northern areas were largely rural areas 418 where individual household heating might already have started during period I.

419 *3.3 Source attribution and apportioningapportionment*

420 *3.3.1 Indication from tracers*

The great changes in <u>the mixing ratios of VOCs during the campaign might behave</u> resulted from <u>changedthe altered</u> contribution<u>s</u> <u>byfrom</u> emission sources, such <u>likeas</u> enhanced emission control during the APEC summit or intensified emission<u>s</u> due to wintertime heating. These changes could be indicated by <u>the characteristic fingerprints</u> of different sources (Guo et al., 2007).

426	The toluene/benzene (T/B) ratio, a widely used indicator for sources of aromatics,
427	was 1.09, 0.67 and 0.70 inon average during periods I, II and III, respectively (Fig.
428	S2aS3a). While the T/B ratios during periods II and III were approaching approached
429	0.6, which is characteristic of coal/biomass burning (Liu et al., 2008; Liu et al., 2015d),
430	the ratios during the period I fell between that of coal/biomass burning (0.6) and vehicle
431	exhaust (1.6) , which is characteristic of vehicular exhaust (Wang et al., 2002; Liu et al.,
432	2009; Zhang et al., 2013a). Carbon monoxide (CO), a typical tracer of the incomplete
433	combustion of biomass or fossil fuels (Parrish et al., 2009; Zhang et al., 2015a), showed
434	highly significant correlations with benzene during the period II ($r^2=0.96$, Fig. <u>S2bS3b</u>)
435	and the period III ($r^2=0.88$, Fig. <u>S2bS3b</u>). SO ₂ , a good indicator of coal burning (Li et
436	al., 2017), had similar concentrations during period II and period I, but its
437	concentrations increased 56.5% inon average during period III compared to that during
438	period I (Fig. 3d), suggesting that an increased contribution of coal burning contributed
439	more-after the start of central heating. Methyl tert-butyl ether (MTBE), a specific
440	indicator of gasoline related traffic emissions (Song et al., 2007; Cai et al., 2010),
441	showed better correlation with benzene during period I ($r^2=0.88$, Fig. <u>S2eS3c</u>) than
442	during periods II and III.
1	

As toluene, ethylbenzene and xylene (TEX), are mainly <u>originate</u> from solvent use in painting, decorations and coatings (Guo et al., 2007; Zhang et al., 2012c), the ratios of TEX to CO <u>wereare</u> widely used to examine the impact of solvent use relative to combustion emissions (Zhang et al., 2013a). The ratios of T/CO, E/CO and X/CO were 0.61±0.09, 0.23±0.06 and 0.35±0.07 (ppb/ppm) during period II, obviously lower when compared to that ofthan the values of 1.16±0.49, 0.59±0.24 and 0.99±0.41 during
period I, or and of 1.34±0.27, 0.40±0.06 and 0.83±0.09 during period III (Fig. 5B),
respectively. This dropdecrease in the ratios of aromatics to /CO-ratios during period II
also reflected more effective control of over solvent use during the APEC summit.
If further categorized according to the air masses trajectories, the ratios of T/CO,

E/CO and X/CO decreased 29.5, 45.7 and 45.7% in the southerly air masses during period II relative to those in period I_{25} and decreased 68.0, 80.3 and 83.0% in the northerly air masses during period II relative to those in period I, respectively (Fig. 5A). Apparently<u>A</u> larger decrease in the TEX/CO ratios in the northerly air masses reflected<u>reflects the fact that</u> the control of solvent use was more effective in northern regions.

459 3.3.2 Source ApportioningApportionment by PMF

460 Thirty-five<u>The 35</u> most abundant VOCs, including alkanes, alkenes, aromatics,
461 <u>and</u> ethyne, and sources tracers, such as chloromethane, trichloroethylene,
462 tetrachloroethylene and MTBE, plus SO₂ and CO, were selected for <u>use with</u> the PMF
463 receptor model. Figure 6 shows the 5 sources retrieved by the model.

Factor 1 has high values of MTBE and C_5 - C_6 alkanes. MTBE is a common gasoline additive in China, and 2,2-dimethylbutane is used to enhance the octane levels of gasoline (Chang et al., 2004; Song et al., 2007; Cai et al., 2010), Ethyne can be formed during fuel combustion (Blake and Rowland, 1995; Song et al., 2007; Suthawaree et al., 2010), C5- C_6 alkanes are associated with unburned vehicular emissions (Guo et al., 2004; Cai et al., 2010; Zhang et al, 2013b). Consequently factor 470 1 is related to the gasoline vehicle emissions.

Factor 2 is distinguished by a strong presence of trichloroethylene, and tetrachloroethylene and moderate contributions of by propene and butenes. Trichloroethylene and tetrachloroethylene are species from industrial manufacturing industrials (Yuan et al., 2013; Zhang et al., 2015b); propene and butenes are gases widely used by industries in industry forto makeprepare organic chemicals (Guo et al., 2007), such as during the production of synthetic rubber in the petrochemical industry (Lau et al., 2010). Thus, factor 2 was identified as industrial emissions.

Factor 3 accounts for a larger percentages of the toluene, ethylbenzene, m/p-xylene and o-xylene. ItTEX is known that TEX areto be the primary constituents of solvent (Guo et al., 2004; Yuan et al., 2009; Zheng et al., 2013; Zhang et al., 2014c; Ou et al., 2015). TheyThese compounds are also the main components in emissions from automobile factories, y painting and building coatings (Liu et al., 2008; Yuan et al., 2010). Therefore, this source is considered asto be solvent use related to painting and architecture.

485 Factor 4 is diesel exhaust, which is characterized by a significant amount of n-486 undecane and n-dodecane (Song et al., 2007; Zhang et al., 2012c).

Factor 5 is characterized by the presence of ethane, ethylene, CO, SO₂ and chloromethane. Chloromethane is the typical tracer of biomass burning (Liu et al., 2008; Cai et al., 2010; Zhang et al., 2014c). Ethylene, ethane and propene are <u>the</u> top 3 species ofemitted during rice straw burning (Zhang et al, 2013c; Fang et al., 2017). The VOC species from coal burning <u>wereare</u> mainly ethyne, C₂-C₃ alkenes and alkanes, and <u>as</u> 492 <u>well as aromatics likesuch as benzene</u> (Liu et al., 2008). SO₂ is mainly from coal
493 burning (Li et al., 2017). SoThus, factor 5 is related to the coal/biomass burning.

494 Figure 7 shows the source contributions during periods I, II and III. During period I, gasoline exhaust was the largest source and accounted for 24.0% of the VOCs, while 495 496 during period II, coal/biomass burning became the largest source. The most significant 497 changes due to the temporary emission control during the period II were in the contribution percentages byof coal/biomass burning (22.3% in period I and 42.4% in 498 499 period II) and by solvent use (21.9% in period I and 5.8% in period II). The large 500 dropdecrease in the contribution by from solvent use was consistent with the above discussion about the TEX/CO ratios. Quite similar contributions were observed for 501 502 industrial emission and diesel exhaust.

In the-period III (13 November-31 December), with the central heating starting fromon 15 November, coal/biomass burning became the largest source (45.1%), and industrial emission, solvent use, diesel exhaust and gasoline exhaust accounted for 25.2, 12.8, 8.7 and 8.2% of the VOCs, respectively. The time series of source contributions during the campaign were showed<u>are shown</u> in Fig. <u>\$3\$4;</u>, the contribution percentages by of coal/biomass burning increased gradually with the increase in the wintertime heating, while that of gasoline exhaust instead decreased.

510 Coal/biomass burning was an important source of VOCs during winter in Beijing, 511 especially during period III with the start of central heating. In Beijing, coal 512 consumption was greater than that of residential biomass (Liu et al., 2016). <u>Coal is</u> 513 <u>consumed in residential, industrial and power sectors in Beijing. As showed in Fig. S5a,</u>

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514	while annual total coal consumptions dropped rapidly during 2006-2015, the annual
515	residential coal consumptions remained almost unchanged with their percentages in
516	total coal consumptions rising from 8.7% in 2006 to 23.4% in 2015 (Beijing Municipal
517	Bureau of Statistics, 2016; Yu et al., 2018). As a matter of fact, over 60% of the
518	residential coal consumption occurred in rural areas of Beijing (Fig. S5b), and
519	residential coal is mainly burned in the cool winter season for house heating (Xue et al.,
520	2016). While emission factors of VOCs from residential coal burning have been found
521	to be a factor of 20 greater than those from coal-fired power plants (Liu et al., 2017),
522	the differences in coal quality between the urban and rural areas augment emissions in
523	rural areas: coal used in urban area was entirely anthracite with comparatively much
524	lower emissions of volatiles than other types of coal (Xu et al., 2017); instead only 5-
525	15% of coal used in rural area was anthracite (Xue et al., 2016). Consequently,
526	residential coal combustion could have been a major contributor to the ambient VOCs
527	in rural areas of Beijing during winter. During 2008-2014 in Beijing the annual
528	residential coal consumptions increased gradually while the total coal consumption
529	decreased (Beijing Municipal Bureau of Statistics, 2015). The residential coal
530	combustion is prevailing for heating and cooking by using domestic coal stoves in rural
531	areas around urban Beijing particularly during wintertime. In 2014, although the annual
532	residential coal consumption accounts for 17% (2.93×10 ⁹ kg a ⁻¹) of the total coal
533	consumption in Beijing (Beijing Municipal Bureau of Statistics, 2015), residential coal
534	burning could contribute predominately to ambient VOCs from coal burning since the
535	emission factors of VOCs from residential coal burning have been found to be a factor
I	

536 of 20 greater than those from coal-fired power plants (Liu et al., 2017).

Compared with that in the period I (Fig. <u>\$4\$6</u>), the contribution byfrom solvent 537 538 use during the period II was reduced to a greater extent than other sources; it became 4.29 ppb lower and could explain 37.6% of the reduction in ambient VOCs (Table 539 540 <u>\$3\$4</u>). The contribution by from gasoline vehicles was 3.18 ppb lower and accounted 541 for 27.9% of the total reductions. The contribution byfrom diesel exhaust and industrial emissions reduced decreased 2.28 ppb and 1.35 ppb, and explained 20.0 and 11.8% of 542 543 the total reduction, respectively. Coal/biomass burning showedmade similar 544 contributions during periods I and II, with an elevated contribution percentage in the period II due to the reduction in other sources. This is consistent with the fact that during 545 546 the APEC summit residential coal/biomass burning was not restricted in the rural areas. 547 Traffic-related sources (gasoline and diesel vehicles) and solvent use accounted for 47.9 and 37.6% of the total reduction in ambient VOCs, indicating that control measures 548 (Table 1) related to the control of traffic and solvent use were among the most effective 549 550 ways to reduce the ambient VOCs.

Figure 8 shows the source contributions in the southerly and northerly air masses during periods I, II and III, respectively. In the southerly air masses, traffic related emission (gasoline and diesel vehicles) waswere the largest source, contributing 44.1 and 41.5% of the VOCs during the periods I and II, respectively; while coal/biomass burning instead was the largest source during period III, contributing 38.2% of the VOCs. In the northerly air masses, coal/biomass burning contributed 28.8, 51.6 and 48.8% of the VOCs during periods I, II and III, respectively. Overall, gasoline vehicle

exhaust contributed more VOCs in the southern regions (mostly densely populated 558 urban areas) and coal/biomass burning and diesel exhaust accounted for more emissions 559 560 of VOCs in northern regions (mostly rural areas). The Contributions of different sources to most reactive alkenes and aromatics based on PMF werewas presented in 561 562 Fig. 9. Alkenes was mainly comingoriginated from coal/biomass burning, with shares of 31.2-68.0%, and gasoline exhaust ranked second, with contributions of 3.0-26.5%. 563 Unlike alkenes, solvent use was the major contributors of aromatics, accounting for 564 565 77.5% during period I and 29.0% during period II in the northerly air masses; gasoline 566 exhaust contributed 8.2-43.6% of the aromatics during the campaign. In the southerly air masses, the reductions in solvent use, gasoline exhaust, and diesel exhaust during 567 the period II relative to the period I could explain 38.1, 31.1, and 15.8% of the total 568 569 reduction of VOCs, respectively. In the northerly air masses, reductions of solvent use, diesel exhaust, and gasoline exhaust during the period II relative to the period I 570 571 could explain 46.5, 35.8 and 11.9% of the total reduction of VOCs, respectively. 572 Consequently, control measures related to solvent use and gasoline exhaust were more 573 effective in the southern regions, while the control of solvent use and diesel exhaust 574 emissions were more effective in the northern region.

575 *3.3.3 Source contributions to the SOAFPs*

With the PMF source apportioningapportionment results, the contributions of the
SOAFPs byof different sources were further estimated. As showedshown in Fig. 10,
under low-NOx conditions, the SOAFPs by of solvent use werewas much higher than
that byof other sources, which werewas 4.88, 0.68 and 2.89 μg m⁻³, accounting for 56.9,

580 27.2 and 54.7% of the total SOAFPs during periods I, II and III, respectively. Gasoline exhaust contributed 19.2, 29.5 and 10.9%, and diesel exhaust contributed 16.5, 26.8 581 582 and 11.3% of the SOAFPs during periods I, II and III, respectively. During the period II, with temporary intervention measures, the reduction of SOAFPs was mainly due 583 584 to reduced contributions byfrom solvent use, gasoline exhaust and diesel exhaust, which could explain 69.1, 14.9 and 12.2% of the reduction in SOAFPs, respectively. 585 Under high-NOx conditions, the calculated reduction of SOAFPs during the period 586 587 II relative to the period I could largely be explained by the reduced contributions by from 588 solvent use, diesel exhaust and gasoline exhaust, which accounted for 54.0, 25.8 and 16.8% of the reduction in SOAFPs, respectively. 589

590 It is worth noting that recent chamber studies revealed that aromatic hydrocarbons 591 orand traditional VOCs could not fully explain the SOA formed fromduring atmospheric aging of source emissions (Zhao et al., 2014; 2015; Liu et al., 2015c; Deng 592 et al., 2017; Fang et al., 2017), particularly for emissions from diesel vehicles or 593 594 biomass burning (Zhao et al., 2015; Deng et al., 2017; Fang et al., 2017). Therefore the 595 discussion on the SOAFPs in this study is only limited to traditional anthropogenic SOA precursor species (mainly aromatic hydrocarbons), and intermediate-volatility organic 596 compounds (IVOCs), which is agre important SOA precursors large of secondary 597 598 organic aerosol (Zhao et al., 2014), should be further considered in order to fully 599 understand the influence of control measures on the ambient SOA.

600 4. Conclusions

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During severe wintertime haze events in recent years in Beijing, SOA often

602 shared composed higher fractions in of organic aerosols, yet their precursor VOCs in ambient air during winter are much lessnot well understood, especially in the rural areas. 603 604 In this study, we collected ambient air samples from 25 October to 31 December in 2014 at a rural site inside on the campus of UCAS in north Beijing. As the APEC summit 605 606 was held in Beijing duringon 5-11 November 2014, and temporary control measures were adopted to improve the air quality, and in fact the so-called "APEC Blue" was 607 achieved due to the enhanced emission control. Therefore, we could take took advantage 608 609 of this opportunity to see how the control measures influenced the ambient VOCs in 610 the rural areas. On the other hand, wintertime heating with from coal burning has been regarded as a major contributor to wintertime PM pollution and haze events; thus, we 611 612 could also compared the ambient VOC levels and compositions before and after the 613 start of central heating-_on 15 November, and investigated the influence of wintertime heating on ambient VOCs based on our observation at thea rural site. 614

615 We observed that during the enhanced emission control period II; (3-12)616 November), the average mixing ratios of VOCs decreased ~50% when compared to 617 thatthose before or after that period. AndIn addition, their ozone and SOA formation 618 potentials accordingly decreased by ~50% and ~70%, respectively, as a result of the temporary intervention measures implemented during period II. The larger 619 dropdecrease in SOA formation potentials was attributable to more effective control 620 of over aromatic hydrocarbons mainly from solvent use. Based on PMF source 621 622 apportioning apportionment, the control of traffic-related emissions (gasoline and diesel exhaust) and solvent use could explain 47.9 and 37.6% of the reduction in ambient 623

VOCs. This result thus offered an observation-based evaluation aboutof the temporary
 emission control measures.

With<u>Through</u> back trajectory analysis, we could compare ambient VOCs with the change ofin wind directions and thus further investigate the source emission strength in different regions. <u>The</u> <u>T</u>total mixing ratios of VOCs in the southerly air masses were 2.3, 2.3 and 2.9 times that<u>those</u> in the northerly air masses before, during and after the period with temporary emission control for the APEC summit. VOC episodes during the campaign all occurred under southerly winds. This confirms that emission control in the southern urbanized regions is crucial for reducing ambient VOCs.

As residential coal/biomass burning werewas not controlled during the APEC 633 summit, its contribution to the ambient mixing ratios of VOCs was similar between 634 635 period I and period II, although the contribution percentages byof coal/biomass burning became the largest inon average due to dropsdecreases in the percentages byof other 636 sources. During period III, with emissions from burning solid fuels for household 637 638 heating, coal/biomass burning became the largest source, that accountinged for 45.1% 639 of the VOCs. Specifically, during period III, coal/biomass combustion contributed 38.2% of the VOCs in the southerly air masses (or in the southern regions), and 48.8% of the 640 VOCs in the northerly air masses (or in the northern regions). 641

The finding of this study will provide useful information <u>for the emission on the</u>
 direction of control strategyies of VOCs for abating both ozone and PM_{2.5}-pollution. <u>A</u>
 <u>comparison of VOCs between period I (without intervention measures) and period II</u>
 (with intervention measures) revealed that the temporary intervention measures mostly

646	targeted on the control in traffic and industry (industrial processes and solvent use)
647	sectors are very effective to reduce reactive alkenes and aromatics and thereby to The
648	reduction reduce in totalthe OFPs and SOAFPs during the APEC is largely due to the
649	drop of reactive alkenes and aromatics, so adopting reactivity-based emission control
650	would be the effective and economical way to lower the ozone and SOA formation
651	potentials of VOCs in ambient air. As control measures related to solvent use and
652	vehicle exhausts explained most of the reduction in both ambient VOCs and their
653	ozone/SOA formation potentials, Therefore, enhancing emission control for solvent use
654	(especially solvents with aromatic hydrocarbons) and vehicle exhaust would benefit the
655	VOC-related air pollutionimproving air quality in the future. MoreoverHowever, as
656	observed in this study, even in megacities like Beijing, burning raw coal or biomass for
657	household heating in winter could contribute near half of VOCs in ambient air. If the
658	emission control over residential burning of solid fuels is underappreciated, the
659	intervention measures targeted on traffic and industry sectors would be not so effective
660	in the wintertime heating period as did in non-heating periods either to lower $PM_{2.5}$ as
661	indicated by Liu et al. (2016) or to lower VOCs in ambient air as indicted by this study.
662	If fact, a study by Yu et al. (2018) during the same field campaign of this study
663	demonstrated that, without emission control over residential burning of solid fuels,
664	ambient PM _{2.5} -bound toxic polycyclic aromatic hydrocarbons in rural Beijing during
665	the 2014 APEC summit remained unchanged despite of the temporary intervention
666	control measures, and they were largely aggravated after the start of wintertime heating.
667	Therefore, cleaner energy use instead of poor-technology burning of solid fuels

household heating would have tremendous health benefits in lowering both indoor and
outdoor air pollution particularly in heavily polluted winter. It worth noting that this
study was conducted in a rural area of the megacity Beijing. Emission from residential
burning of solid fuels would be a source of greater importance and thus deserves more
concern in less developed regions.a cleaner way of wintertime household heating would
help to lower both primary emission and secondary formation of air pollutants.

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1080Table 1. Enhanced temporary air pollution control measures during the 2014 Asian-1081Pacific Economic Cooperation (APEC) summit

Control types	Details	Control areas
Traffic	 Yellow label vehicles were banned to run inside the sixth ring of Beijing and the Huairou urban area; The number of private vehicles in operation reduced by 50% through an odd/even-number-plate rule throughout Beijing; 	Inside the sixth ring of Beijing and the Huairou urban area
	 Trucks were limited to drive inside the sixth ring of Beijing between 6 am and 24 pm; 70% of government cars also ordered off the roads in Beijing. 	
Industrial	 9289 enterprises were suspended, 3900 enterprises were ordered to limit production; More than 40000 construction sites were shut down. 	Beijing, some areas of Tianjin, Hebei, Shanxi, Shandong and Inner Mongolia
Other fields	 Open fire was completely controlled at North China Plain; Increasing road cleaning and water spraying in Beijing; Other relate control measures carried out in surrounding areas. 	North China Plain Tianjin, Hebei, Shanxi, Shandong and Inner Mongolia

1083 Table 2. The mixing ratios, ranges and 95% confidence intervals (95% C.I.) of VOCs

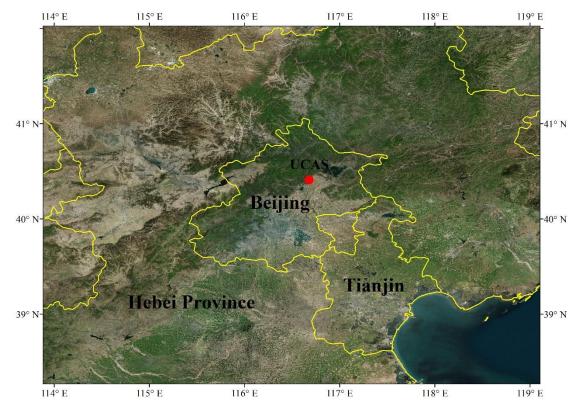
during period I, II and III at the rural site inside UCAS (in parts per trillion by volume,

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G	MDIa	Period I		Period II	Period III		
Species	MDL ^a	Range	Mean (95% C.I.)	Range	Mean (95% C.I.)	Range	Mean (95% C.I.)
Ethane	39	1172-7855	3254(743)	910-5511	2442(491)	1082-12714	3674(465)
Propane	31	427-6145	2880(720)	270-4138	1296(384)	598-7604	2479(329)
-Butane	14	53-2755	1121(312)	59-1400	474(187)	106-2741	754(129)
n-Butane	21	158-2947	1283(302)	83-1735	562(196)	174-3047	841(136)
-Pentane	14	94-3729	1425(354)	39-1388	561(167)	72-12590	1018(279)
n-Pentane	8	47-1697	615(182)	30-910	247(106)	26-4808	456(112)
2,2-Dimethylbutane	14	15-68	30(6)	17-32	24(3)	BDL ^b -75	26(2)
Cyclopentane	12	13-135	64(15)	BDL-64	35(7)	13-274	50(8)
2,3-Dimethylbutane	12	13-140	45(15)	22-51	32(4)	13-235	38(6)
2-Methylpentane	8	13-679	171(68)	12-257	77(31)	9-1077	124(27)
3-Methylpentane	7	12-548	150(54)	14-220	68(26)	16-792	104(20)
n-Hexane	6	115-1033	505(97)	102-921	324(89)	108-7393	1400(257)
Methylcyclopentane	9	10-283	100(30)	13-195	59(23)	BDL-535	88(17)
2,4-Dimethylpentane	4	BDL-43	15(5)	BDL-15	10(2)	BDL-90	16(2)
Cyclohexane	6	10-458	167(51)	10-107	43(14)	7-646	76(17)
2-Methylhexane	6	10-304	68(27)	7-56	22(6)	7-318	51(10)
2,3-Dimethylpentane	9	BDL-139	31(12)	BDL-24	15(2)	BDL-102	28(3)
3-Methylhexane	6	12-436	93(38)	8-97	41(11)	9-367	70(12)
2,2,4-Trimethylpentane	9	12-126	44(12)	BDL-41	25(4)	BDL-127	38(5)
n-Heptane	10	12-358	89(33)	12-71	30(8)	13-441	82(14)
Methylcyclohexane	5	BDL-162	51(17)	BDL-66	21(7)	BDL-162	44(8)
2,3,4-Trimethylpentane	6	BDL-38	14(4)	BDL-12	9(1)	BDL-59	16(2)
2-Methylheptane	4	8-175	31(16)	BDL-31	13(3)	BDL-91	22(3)
3-Methylheptane	5	BDL-231	26(20)	BDL-15	8(1)	BDL-74	17(2)
n-Octane	6	8-104	42(11)	BDL-31	18(3)	BDL-160	40(6)
n-Nonane	6	9-99	40(11)	BDL-37	18(4)	BDL-171	38(6)
n-Decane	6	14-777	129(74)	8-110	36(14)	BDL-600	73(17)
n-Undecane	7	47-317	151(35)	27-206	66(20)	11-374	94(12)
n-Dodecane	7	9-646	129(57)	25-313	75(30)	8-316	63(9)
Ethylene	41	367-3495	1788(391)	553-3572	1254(352)	319-13911	2313(428)
Propene	31	117-1264	430(118)	170-766	371(67)	176-3222	820(128)
1-Butene	17	19-161	107(18)	BDL-100	55(12)	19-581	137(22)
1,3-Butadiene	20	21-403	154(44)	23-234	79(27)	BDL-2140	252(74)
trans-2-Butene	5	BDL-41	18(4)	BDL-35	12(4)	BDL-425	39(10)
cis-2-Butene	7	9-50	23(4)	BDL-38	14(5)	BDL-276	37(7)
1-Pentene	20	BDL-47	33(3)	21-25	23(1)	BDL-127	52(6)
Isoprene	13	BDL-623	163(56)	16-143	62(15)	17-765	200(24)
trans-2-Pentene	10	BDL-37	17(4)	BDL-19	15(3)	BDL-65	23(3)
cis-2-Pentene	6	BDL-24	11(3)	BDL-9	8(0)	BDL-46	15(2)
2-Methyl-2-butene	12	13-50	21(4)	17-20	18(1)	BDL-61	24(2)
Benzene	14	75-2695	868(279)	43-1465	410(179)	72-2916	795(151)
Toluene	9	120-3585	1273(419)	47-1186	343(126)	62-3425	840(146)
Ethylbenzene	6	25-2210	684(240)	12-611	145(67)	23-2450	317(75)
m/p-Xylene	9	39-2106	765(248)	16-620	149(67)	25-3285	422(91)
Styrene	8	15-578	167(71)	BDL-99	32(11)	10-1267	151(38)
o-Xylene	4	11-965	334(104)	9-284	71(31)	15-1224	178(36)
Isopropylbenzene	4	5-66	24(7)	BDL-21	11(2)	BDL-77	18(3)
n-Propylbenzene	4	6-231	71(27)	BDL-55	20(7)	5-239	38(8)
m-Ethyltoluene	3	13-593	136(67)	4-91	28(11)	4-854	85(23)
p-Ethyltoluene	3	6-295	61(29)	4-59	17(6)	4-245	41(9)
1,3,5-Trimethylbenzene	3	7-217	48(21)	BDL-35	12(4)	4-179	38(6)
o-Ethyltoluene	3	5-246	64(26)	4-58	17(6)	5-230	40(8)
1,2,4-Trimethylbenzene	6	22-984	220(93)	13-219	58(22)	8-803	127(26)
1,2,3-Trimethylbenzene	5	12-442	82(37)	BDL-92	24(9)	6-300	56(11)
1,2,3-Trimethylbenzene	4	12-442	35(12)	BDL-92 BDL-26	15(3)	BDL-126	26(4)
1,4-Diethylbenzene	4	14-461	80(40)	5-69	23(7)	BDL-120 BDL-292	51(10)
1,2-Diethylbenzene	4	BDL-30	15(4)	3-09 BDL-8	6(1)	BDL-292 BDL-76	15(2)
Ethyne	4 57	406-10539	3128(1043)		1625(615)	584-10378	3008(509)

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^a MDL, method detection limits, pptv; ^b BDL, bellowed detection limit.



1089 Figure 1. Location of sampling site at a rural inside the campus of University of Chinese

¹⁰⁹⁰ Academy of Science (UCAS).

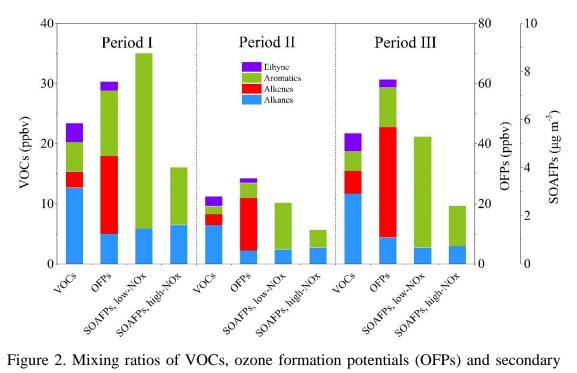


Figure 2. Mixing ratios of VOCs, ozone formation potentials (OFPs) and secondary
organic aerosol formation potentials (SOAFPs) during period I, II and III at UCAS,
respectively.

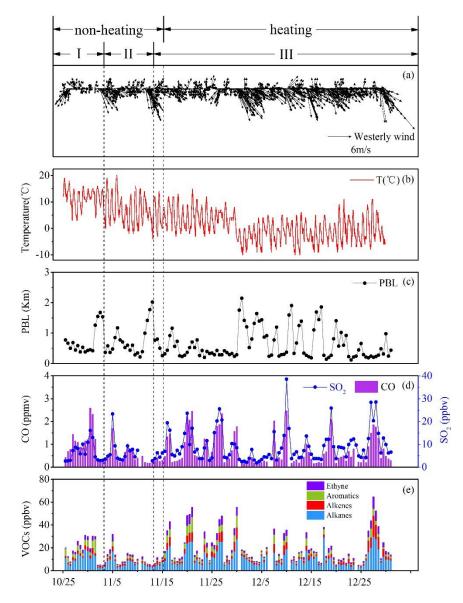


Figure 3. Time series of (a) wind speed and wind direction, (b) temperature, (c)
planetary boundary layer height, (d) mixing ratios of CO and SO₂, (e) mixing ratios of
VOCs, at the sampling site inside UCAS. The heating periods started on 15 November.
Period I: 25 October-2 November; Period II: 3-12 November; Period III: 13 November31 December.

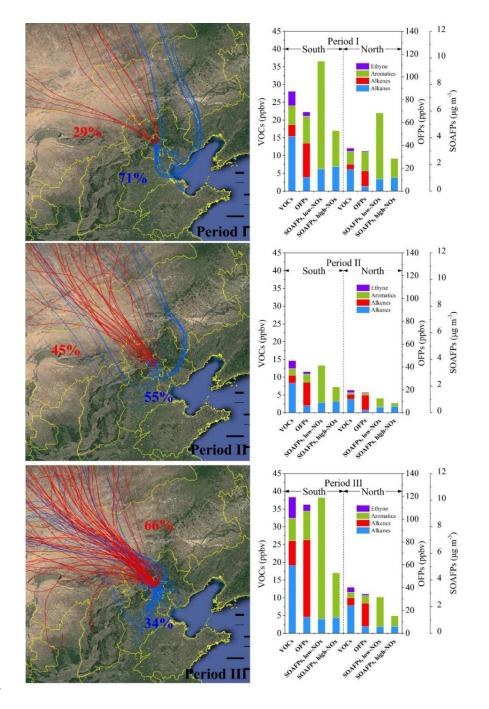
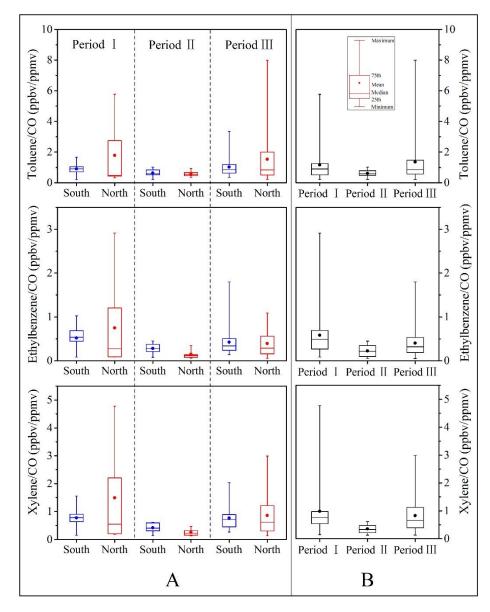
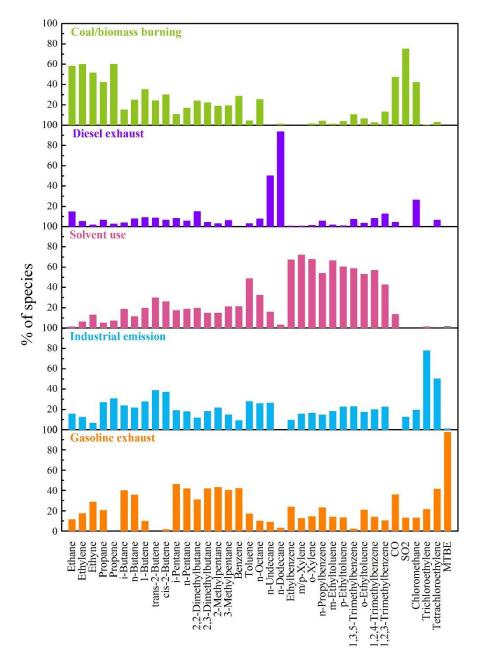


Figure 4. Mixing ratios of VOCs, ozone formation potentials (OFPs) and secondary organic aerosol formation potentials (SOAFPs) in the air masses from the south and north regions (right) and corresponding back trajectories at 100 meters above the ground level during period I, II and III, respectively (Left).

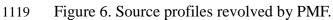


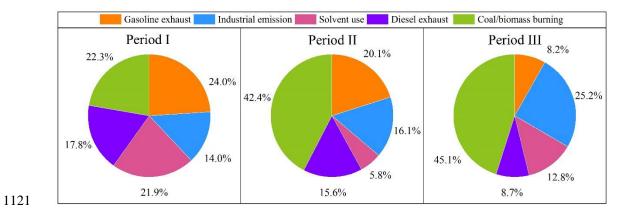
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Figure 5. Ratios of aromatic hydrocarbons to carbon monoxide (CO) (A) in the air masses from the south and north regions and (B) in all samples during period I, II and III. (The lower and upper boundaries of the box represent the 25th and 75th percentiles, respectively; the whiskers below and above the box indicate the minimum and maximum, respectively; the line within the box marks the median; the dot represent the mean).



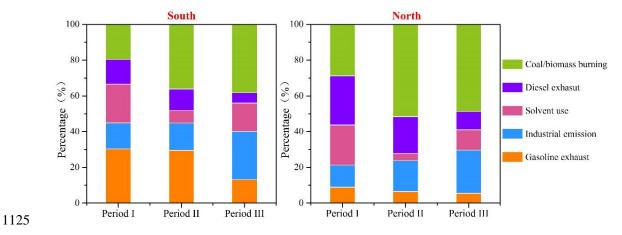






1122 Figure 7. Contributions to VOCs in percentages (%) by different sources during period

II23 I, II and III.



1126 Figure 8. Sources contributions (%) to VOCs in the air masses from the south and north

1127 regions during period I, II and III.

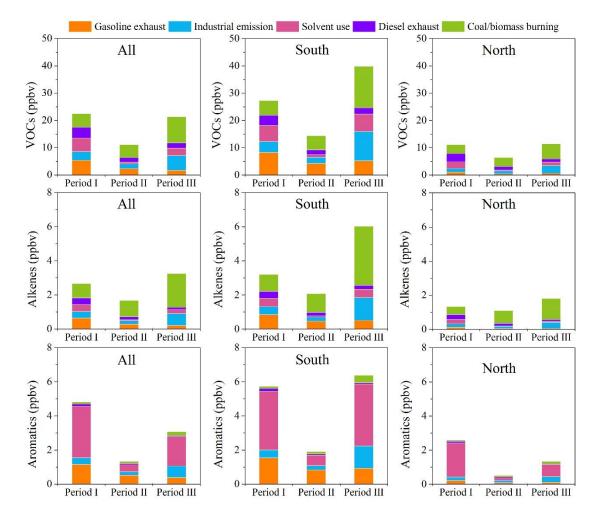


Figure 9. Sources contributions of VOCs and reactive alkenes/aromatics at UCAS, in
all samples and in air masses from the south and north regions during period I, II and
III.

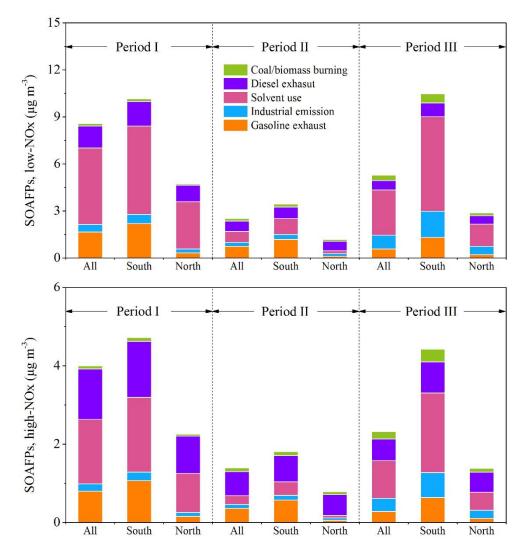


Figure 10. Contributions to SOAFPs by different sources in the air masses from the south and north regions during period I, II and III.