

Spatial and seasonal variations of aerosols over China from two decades of multi-satellite observations. Part I: ATSR (1995-2011) and MODIS C6.1 (2000-2017)

- 5 Larisa Sogacheva^{1*}, Gerrit de Leeuw¹, Edith Rodriguez¹, Pekka Kolmonen¹, Aristeidis K. Georgoulas², Georgia Alexandri², Konstantinos Kourtidis², Emmanouil Proestakis^{3,4}, Eleni Marinou⁵, Vassilis Amiridis³, Yong Xue⁶, Ronald J. van der A⁷

¹Finnish Meteorological Institute (FMI), Climate Research Programme, Helsinki, Finland

- 10 ²Laboratory of Atmospheric Pollution and Pollution Control Engineering of Atmospheric Pollutants, Department of Environmental Engineering, Democritus University of Thrace, Xanthi, Greece

³National Observatory Athens (NOA), Greece

⁴Laboratory of Atmospheric Physics, Department of Physics, University of Patras, 26500, Greece

⁵Deutsches Zentrum für Luft und Raumfahrt (DLR), Institut für Physik der Atmosphäre, Oberpfaffenhofen, Germany

- 15 ⁶Department of Electronics, Computing and Mathematics, College of Engineering and Technology, University of Derby, Derby DE22 1GB, UK

⁷Royal Netherlands Meteorological Institute (KNMI), De Bilt, Netherlands

* Correspondence to: Larisa Sogacheva (larisa.sogacheva@fmi.fi)

20 **Abstract.**

Aerosol optical depth (AOD) patterns and interannual and seasonal variations over China are discussed based on the AOD retrieved from the Along-Track Scanning Radiometer (ATSR-2, 1995-2002), the Advanced ATSR (AATSR, 2002-2012) (together ATSR) and the Moderate Resolution Imaging spectrometer (MODIS) aboard the Terra satellite (2000-2017). The AOD products used were the ATSR Dual View (ADV) v2.31 AOD and MODIS/Terra Collection 6.1 (C6.1) merged dark target (DT) and deep blue (DB)

- 25 AOD product. Together these data sets provide an AOD time series for 23 years, from 1995 to 2017.

The difference between the AOD values retrieved from ATSR-2 and AATSR is small, as shown by pixel-by-pixel and monthly aggregates comparison as well as validation results. This allows combining ATSR-2 and AATSR AOD time series into one dataset without offset correction.

ADV and MODIS AOD validation results show similar high correlation with Aerosol Robotic Network (AERONET) AOD (0.88 and 0.92, respectively), while the corresponding bias is positive for MODIS (0.06) and negative for ADV (-0.07). Validation of the AOD products in similar conditions, when ATSR and MODIS/Terra overpasses are within 90 minutes from each other and when both ADV and MODIS retrieve AOD around AERONET locations, show that ADV performs better than MODIS in autumn, while MODIS performs slightly better in spring and summer. In winter, both ADV and MODIS underestimate AERONET AOD.

Similar AOD patterns are observed by ADV and MODIS in annual and seasonal aggregates as well as in time series. ADV-MODIS difference maps show that MODIS AOD is generally higher than that from ADV.

Both ADV and MODIS show similar seasonal AOD behavior. The AOD maxima shifts from spring in the south to summer along the eastern coast further north.

- 5 The agreement between sensors in year-to-year AOD changes is quite good. During the period 1995-2006, AOD was increasing in the SE of China. Between 2006 and 2011, AOD was not changing much, showing minor minima in 2008-2009. From 2011 onward, AOD is decreasing in the SE of China.

Similar patterns exist in year-to-year ADV and MODIS annual AOD tendencies in the overlapping period. However, regional differences between the ATSR and MODIS AODs are quite large. The consistency between ATSR and MODIS as regards the AOD tendencies in the overlapping period is rather strong in summer, autumn and overall for the yearly average, while in winter and spring, when there is a difference in coverage between the two instruments, the agreement between ATSR and MODIS is lower. AOD tendencies in China during the 1995-2017 will be discussed in more detail in Part II, where a method to combine AOD time series from ADV and MODIS is introduced and combined AOD time series are analyzed.

1 Introduction

- 15 The concentrations of aerosols in China have been relatively high since over two decades (e.g., Wang et al., 2017; Zhang et al., 2017) and rising as a consequence of economic development, industrialization, urbanization and associated transport and traffic. Other factors affecting interannual and seasonal variations of the aerosol optical depth (AOD) over China are, e.g., the generation and transport of desert dust (e.g. Proestakis et al., 2018, Wang et al., 2008), seasonal biomass burning (e.g., Chen J. et al., 2017) as well as meteorological conditions and large-scale circulation (Zhu et al., 2012). Both direct production of aerosol particles and the emission of aerosol precursor gases, such as SO₂, NO₂ and volatile organic compounds (VOCs), contribute to the observed aerosol concentrations which manifest themselves as particulate matter (PM) or AOD (Bouarar et al., 2017). PM_{2.5}, the dry mass of aerosol particles with an ambient diameter smaller than 2.5 μm, is often used in air quality and health studies as a measure for aerosol concentrations. PM_{2.5} is a local quantity that is usually measured near the surface. In contrast, AOD is the column-integrated extinction coefficient, which is an optical property commonly used in climate studies, and can be measured from satellites or ground-based remote sensing. PM_{2.5} and AOD, although both used as a measure for the occurrence of aerosols, are very different aerosol properties which cannot be directly compared.

- Spatial variation, seasonal variability and time series have been observed from the analysis of ground-based networks measuring aerosol optical properties using sun photometers in, e.g., Aerosol Robotic Network (AERONET, Holben et al., 1998), China Aerosol Remote Sensing Network (CARSNET, Che et al., 2015), Campaign on Atmospheric Aerosol Research network of China (CARE-China, Xin et al., 2015) and Sun-sky radiometer Observation NETwork (SONET, Li et al., 2017) or hand-held sun photometers in the Chinese Sun Hazemeter (CSHNET, Wang Y. et al., 2011) networks. These networks provide point measurements, which are

representative for a limited area, and the coverage across China still leaves large gaps. Satellite observations, although less accurate, fill these gaps and provide a clear picture of spatial and temporal variations across the whole country.

In de Leeuw et al. (2018), was shown how the complementary use of three satellite-based radiometers, the Along-Track Scanning Radiometer ATSR-2 on ERS-2, the Advanced ATSR (AATSR) aboard the environmental satellite Envisat, and the MODerate resolution Imaging Spectroradiometer (MODIS) on Terra results in two decades (1995-2015) of AOD observations over mainland China. This information was complemented with observations from the Cloud Aerosol Lidar with Orthogonal Polarization (CALIOP) between 01/2007 and 12/2015 on the aerosol vertical structure. The satellite data show the high aerosol concentrations over distinct regions in China such as the North China Plain (NCP) including the Beijing-Tianjin-Hebei (BTH) area, the Yangtze River Delta (YRD), the Pearl River Delta (PRD) and Sichuan province/Chongqing, as well as the enhanced AOD over the Taklamakan desert (TD).

The two-decadal time series show the initial rise of the aerosol burden over China at the end of the 1990s followed by AOD variations in response to policy measures to improve air quality by the reduction of emissions of both aerosol particles and their precursor gases such as SO₂ and NO₂ (e.g. van der A et al., 2017). After 2011, the AOD appears to decrease toward the end of the study period used in de Leeuw et al. (2018), i.e. the end of 2015. These observed temporal variations of the AOD have also been reported elsewhere, including recent analyses indicating the decline since about 2011 (Zhang et al., 2017; Zhao et al., 2017) with some variation in the reported pivot point. An interesting question whether the recent decrease in AOD is confirmed by extension of the time series with the most recent data is addressed in the current paper and studied in more detail in Sogacheva et al. (2018), hereunder referred as Part II.

Most of the aerosol studies over China are focused on the SE part of the country or on specific regions or cities in SE China. However, the economic situation and Governmental policy measures to improve air quality by emission reduction obviously influence the temporal variations of the AOD in each province, since the differences in the emissions between provinces occur also due to the differences in regional policies on emission control and their implementation time (Jin et al., 2016; van der A et al., 2017). In addition, both meteorological conditions and large-scale circulation will vary from year to year and between different parts of China during each year. As a result, the aerosol properties and their spatial and temporal variations are expected to be different across China. As an illustration, Fig. 1 shows the AOD time series retrieved using the ATSR Dual View aerosol retrieval algorithm (ADV) version 2.31 (Kolmonen et al., 2016; Sogacheva et al., 2017), for the years 1995-2011, for two areas. One area covers mainland China, the other one only south-eastern (SE) China (see Sect. 2 and Fig. 2 for specification of these regions). Clearly, the AOD over SE China is substantially higher than over mainland China, but also the AOD increases much faster over SE China. Apart from that, the interannual variations are quite similar, with minima and maxima occurring in the same years but with larger amplitudes over SE China.

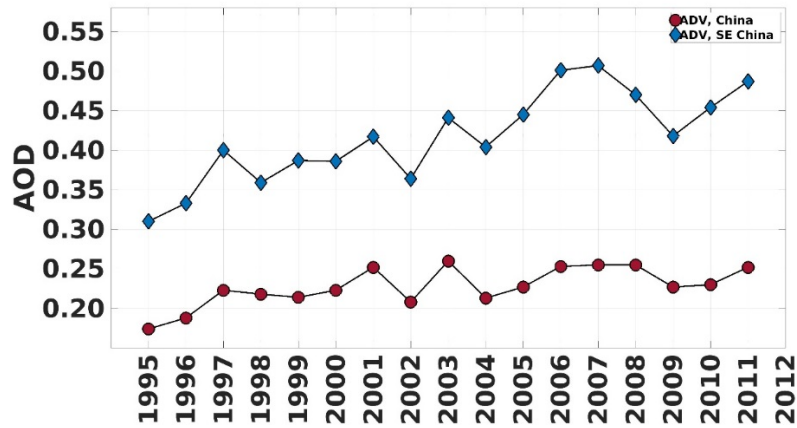


Figure 1. Time series of ATSR-retrieved AOD at 550 nm over China for the years 1995-2011. Note that data are missing in the beginning of the ATSR-2 observation period in 1995 and 1996, and AATSR data start from August 2002.

5

In this paper, the work presented in de Leeuw et al. (2018) is extended to obtain information on the occurrence of aerosols and their spatial and temporal variation over China with a focus on regional differences in annual and seasonal AOD behaviour. In addition, the study period is extended by including 2016 and 2017 and the most recent update of the MODIS AOD data set, Collection 6.1 (C6.1), is used instead of C6. The C6.1 AOD validation results, the C6.1 vs C6 comparison, differences between ADV and MODIS C6.1 seasonal AOD aggregates, as well as in AOD tendencies during the overlapping period (2000-2011) are discussed. The results from the ADV and MODIS AOD comparison will be utilized in Part II to construct a combined long-term AOD time series from ADV and MODIS for the period of 1995-2017. AOD tendencies over the selected regions will be estimated in Part II for the different periods characterized by changes in air pollution control policies in China (Jin et al., 2016; van der A et al., 2017).

10

The structure of this paper is as follows. In Sect. 2, the study area, including the selection of the 10 regions, is described. In Sect.3, satellite data are introduced, with a focus on the data coverage (Sect. 3.2), consistency between ATSR-2 and AATSR AOD (Sect. 3.3) and MODIS C6.1 and C6 AOD differences over China (Sect. 3.4). ATSR and MODIS validation results are discussed in Sect. 4. Sect. 5 focuses on the seasonal AOD variations and their differences for the selected regions across China. In Sect.6, the main results are summarised as conclusions.

15

2. Study area and selection of different regions

20

The study area, China, encompasses the same area as in de Leeuw et al. (2018), i.e. the area between 18°-54° N and 73°-135° E defined as 1°x1° grid cell with retrievals over land and constrained by the borders indicated by the black line in Fig. 2. The spatial variations of the AOD (Fig.2) combined with geographical knowledge (cf. de Leeuw et al., 2018) and general knowledge of the locations of highly populated and industrialized urban centers in China was used to select regions with different characteristics for

a more detailed study on the long-term variation of the seasonal and annual AOD. The results are expected to show differences in AOD across China due to different climate and differences in economic development. Such considerations resulted in the selection of 10 study areas as shown in Fig. 2: seven of them (regions 1-7) in SE China, one covering the Tibetan Plateau (region 8), one over the Taklamakan desert (region 9) and one over northeastern (NE) China (region 10). SE China defined in this study as the over-land area between 20°-41° N and 103°-135° E, includes areas 1-7. It is noted that all areas used in this study only consider the AOD over mainland China, i.e. AOD over the oceans or islands is not included.

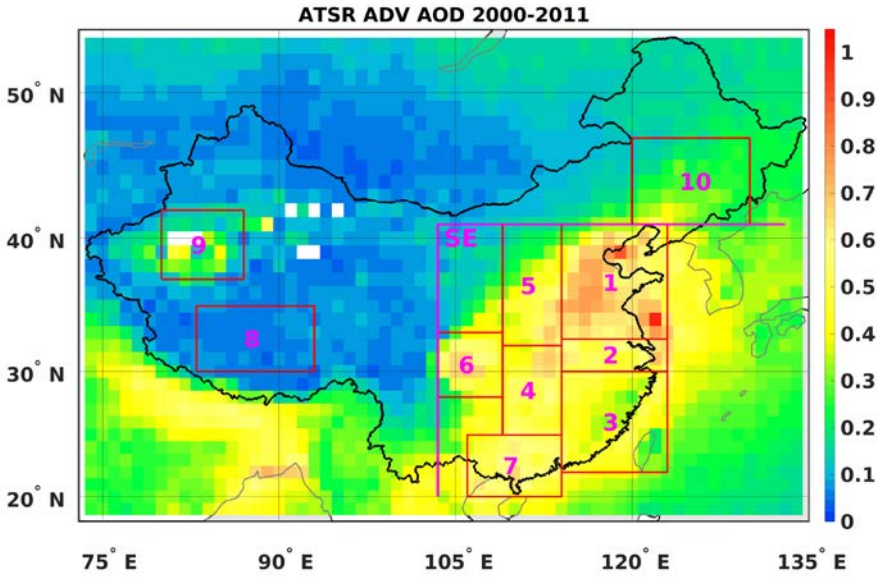


Figure2. Regions over mainland China selected for further study of seasonal, interannual and long term behaviour of the AOD, overlaid on the ATSR-retrieved (ADV version 2.31) 12-year aggregated AOD map. Mainland China is indicated with the black line. Figure shows 10 selected regions over China and a larger area over SE China indicated with SE.

Obviously, other choices are possible, such as those made by Luo et al. (2014) or Wang et al. (2017). The regions selected by Luo et al. (2014) are overall somewhat smaller than those in Fig. 2 and some of them are shifted with respect to the choices made for this study. However, overall the choices are similar and seem to cover major urban/industrial regions such as BTH, YRD and PRD, Sichuan/Chongqing as well as cleaner regions in the north (region 10 in Fig. 2) and south-east (region 3). Also regions were chosen to represent the Tibetan Plateau and Taklamakan desert. Wang et al. (2017) selected seven regions in North China (north of 32° N) some of which partly overlap with the regions selected for the current study. Other studies on the seasonal variation over China were guided by the location of observational sites (e.g. Wang, Y., et al., 2011; Che et al., 2005; Wang, et al., 2015). Another choice could be by province (e.g., van der A et al., 2017). However, some provinces would cover a mix of high and low AOD regions, while other provinces would be too small for a statistically meaningful data set.

3. Satellite data

3.1 MODIS C6.1 DTDB and ATSR ADV version 2.31.

The data used in this work were discussed in detail in de Leeuw et al. (2018). However, in the current study, the MODIS C6 DTDB merged AOD product (Sayer et al., 2014) was replaced with the recently released MODIS C6.1. In addition, MODIS/Terra data for 2016 and 2017 have been included in the analysis to provide the information on the AOD evolution in the most recent years. In short, L3 (averaged on a grid of $1^\circ \times 1^\circ$) monthly AOD data retrieved from ATSR-2 (1995-2002) and AATSR (2002-2012) (together referred to as ATSR) using ADV version 2.31 (Kolmonen et al., 2016; Sogacheva et al., 2017) and MODIS/Terra AOD C6.1 merged DTDB (L3) monthly data (MOD08_M3, 2000-2017, <https://ladsweb.modaps.eosdis.nasa.gov/>) were used together to cover the period from 1995-2017. Validation of the ADV and MODIS AOD products was performed for L2 (averaged on a grid of $0.1^\circ \times 0.1^\circ$) daily data, retrieved with the same corresponding to each instrument algorithms. Hereunder, the ATSR ADV version 2.31 AOD product will be referred to as ADV. The MODIS/Terra AOD C6.1 merged DTDB AOD product will be referred to as MODIS. In this study, the annually averaged AOD data were obtained by averaging monthly aggregated AOD data in each year. Furthermore, the seasonal means were obtained as averages of monthly aggregates for winter (DJF, including December, January, and February), spring (MAM, including March, April, and May), summer (JJA, including June, July, and August) and autumn (SON, including September, October, and November). Annual and seasonal linear AOD tendencies for both MODIS and ADV AOD for the overlapping period (2000-2011), when both ATSR and MODIS exist, were estimated using the least-squares linear regression method (Chandler and Scott, 2011).

3.2 ADV and MODIS coverage over selected regions

As introduced in de Leeuw et al. (2018), ATSR and MODIS have different temporal and spatial coverage over China. In brief, MODIS/Terra covers China in 1-2 days, while with ATSR China is covered in 4-5 days.

The ADV data sets for the years 1995, 1996 and 2012 are incomplete. For 1995 and 1996, ADV AOD data are available for the second half of each year (June-December and July-December, respectively). However, all available ADV AOD data in 1995 and 1996 are used in the current study to construct the annual aggregates for comparison with other annual aggregates. Obviously, the 1995 and 1996 aggregates are not exact and therefore the possible error related to the missing data has been estimated by comparison of the full-year (January to December) AOD composites with the half-year (July-December) AOD composites for the complete years (1997-2011). This comparison shows that when the half-year aggregate was used to present the full-year aggregate, the AOD was underestimated by, on average, -0.036 (with standard deviation of 0.02), or about 15% of the yearly aggregated AOD value. In this study, the aggregated AOD for the years 1995 and 1996 have not been corrected for the missing data and those years are included in the further analysis as they are. Another point worth mentioning is the white area in the far west of the study area where ATSR-2 did not provide data because the data collection was switched off for data transfer to the receiving station over that area. For 2012, the ADV AOD data are available until the connection with the satellite was lost on the 6th of April.

For MODIS/Terra, the AOD data record starts from the end of February 2000. Thus, the winter season for 2000 is missing.

To estimate the spatial coverage of AOD, the fraction of the area where AOD is available has been calculated for all seasonal and annual aggregates for the selected regions (Table S1, Supplement). In spring (MAM), summer (JJA) and autumn (SON), the ADV coverage reaches 84%, 91% and 91%, while MODIS coverage is 93%, 93% and 97%, respectively, over mainland China. Throughout the year, both ADV and MODIS coverages are close to 100%, except for region 9, where the ADV coverage is 62%.

5 For both ADV and MODIS, the Tibetan Plateau (region 8), the Taklamakan desert (region 9) and NE China (region 10) are covered less compared with other regions, all over the year.

Over the seasons, the lowest coverage is observed in winter (DJF), when northern and western China are covered with snow. As most aerosol retrieval algorithms, MODIS and ADV have difficulty retrieving AOD over snow and ice (Hsu et al., 2013; Istomina et al., 2011; Kolmonen et al. 2016), as well as all year round over bright surfaces such as the Taklamakan desert. On average, in
10 winter MODIS provides AOD over 70% of mainland China, while ADV AOD is available over 35% of China. For certain years, ADV AOD is not available in the winter over regions 9 and 10 and thus not shown in the analysis (Sect. 5). However, since the annual AOD time series for ADV and MODIS in regions 9 and 10 show similar tendencies (discussed later in Sect. 5.3), we assume that missing (for some years) ADV AOD in winter does not bias the results considerably.

Thus, besides the difference in the validation results presented and discussed below (Sect.4), which is likely due to the differences
15 in the ADV and MODIS AOD retrieval approaches and their implementation, the difference in the ATSR and MODIS/Terra spatial and temporal coverage might influence the AOD composites. Another exercise might be performed, where AOD aggregates are built for collocated ADV and MODIS pixels, but this is out of the scope of the current paper, where AOD aggregates for all points available in the ADV and MODIS standard products are analysed and compared.

3.3 Consistency between ATSR-2 and AATSR AOD data sets.

20 The ATSR-2 and AATSR instrument characteristics are very similar (ATSR-1/2 User Guide, 1999; AATSR Product Handbook, 2007). The main potential reason for difference would be offsets of the sensors' absolute calibration and small differences in band spectral response functions. Unfortunately, error characteristics of the two instruments are not available. Thus, we compare and validate the AOD products obtained from ATSR-2 and AATSR with the same retrieval algorithm (ADV V2.31). ATSR-2 was in an orbit ca.30 min later than AATSR, which allows the direct comparison of the retrieved collocated pixels. The number of collocations
25 is limited by the cloud-free conditions for both overpasses needed for the retrieval.

The AATSR AOD data series starts on the 20th of May 2002. However, several data interruptions in June-July do not allow for the constructions of monthly aggregates. Thus, the comparison analysis between ATSR-2 and AATSR AOD is performed for August-December 2002. The period is limited by technical issues with the ATSR-2 pointing accuracy, which started in 2003.

Pixel-by-pixel comparison between the ATSR-2 and AATSR L2 AOD is shown in Fig. 3 with i) a scatter density plot of the
30 difference between AATSR and ATSR-2 AODs as a function of AATSR and AOD and ii) box plots with statistics related to certain AOD bins. For AOD<0.5 (78.8% of all retrieved pixels), the offset is close to 0, which means that there is practically no offset between ATSR-2 and AATSR AOD for low (<0.5) AOD. For AOD between 0.5 and 1.5 (20.6% of all retrieved pixels), the median

values in chosen bins are slightly below 0, while the mean AATSR AOD is 0.05-0.12 lower than the mean ATSR-2 AOD. For AOD>1.5, AATSR AOD is considerably higher. However, only 0.6% of the retrieved pixels fit into AOD>1.5 bin.

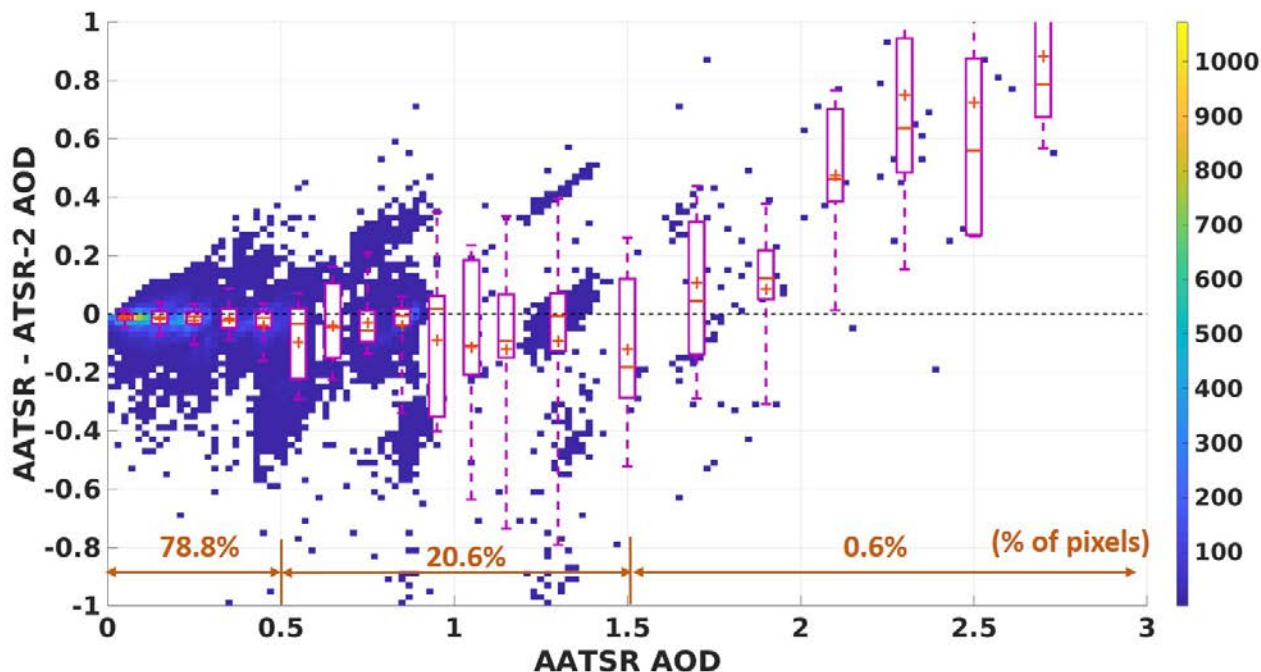


Figure 3. Scatter plot for AATSR AOD and difference in AOD between AATSR and ATSR-2. For AATSR AOD bins, box plots (magenta) are shown, which includes the following statistics : mean values as '+', median values as '-', lower and upper quartiles (box), 9% and 91% as lower and upper whiskers. The percentages from all retrieved pixels in three AOD bins (AOD<0.5, 0.5≤AOD≤1.5, AOD>1.5) are shown in brown.

Monthly AOD aggregates for August-December 2002 for China and globally over land are shown in Fig. 4. The monthly aggregates over China combined from L3 data show similar values for two ATSR instruments, i.e. there are no systematic difference between ATSR-2 and AATSR AODs. ATSR-2 AOD is ca 0.035 higher in August and October, while AATSR is higher by a similar amount in September and by ca 0.02 in November. In December, AOD retrieved with two instruments is similar. In global scale, AOD retrieved from ATSR-2 is somewhat higher (ca. 0.01-0.03) than that from AATSR in all months except October, where the AOD difference is negligible. Error bars, which for each aggregate show one standard deviation of AOD, closely overlap for all compared pairs.

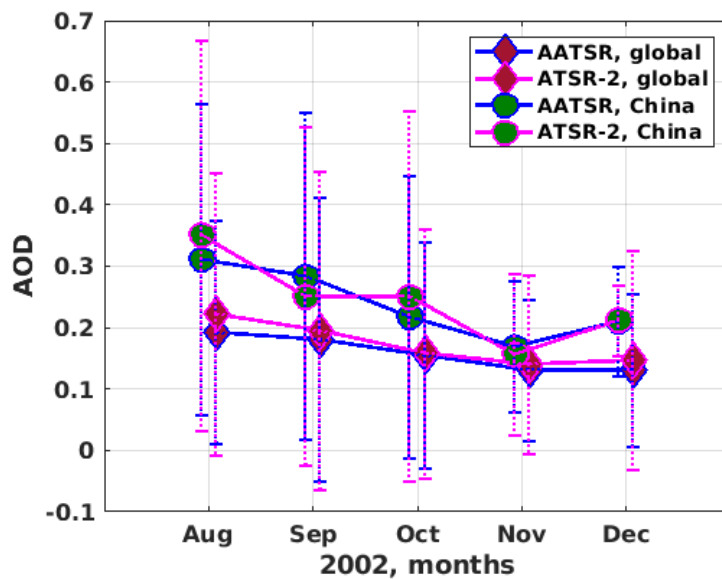


Figure 4. AOD monthly aggregates for ATSR-2 (purple line) and AATSR (blue line) over China (green dots) and globally over land (red diamonds). The vertical dashed lines on each marker represent one-sigma standard deviation.

- 5 For evaluation of differences between the AOD retrieved from ATSR-2 and AATSR, data from the overlap period (August-December 2002) over the study were validated using ground-based AOD from AERONET, which for that period are available over the BTH area from 2002 and over Taiwan where AERONET measurements were started in 1998. The low density of AERONET stations during that period and the limited number of available collocations (5 for ATSR-2 and 17 for AATSR) does not allow for statistically significant conclusions on the AOD quality. Thus, we additionally added all locations available globally in August-
- 10 December 2002 for evaluation exercise. The results in Fig. 5 show the correlation coefficient ($R=0.89$), and the low bias between AERONET and ATSR-2 AOD (Fig.5, left). For AATSR the correlation is also high (0.86), with a small bias (0.01). AOD standard deviation is 0.008 and 0.005; root-mean square error is 0.12 and 0.13 for ATSR-2 and AATSR, respectively. Thus, the validation results confirm similar performance of ADV for both sensors.

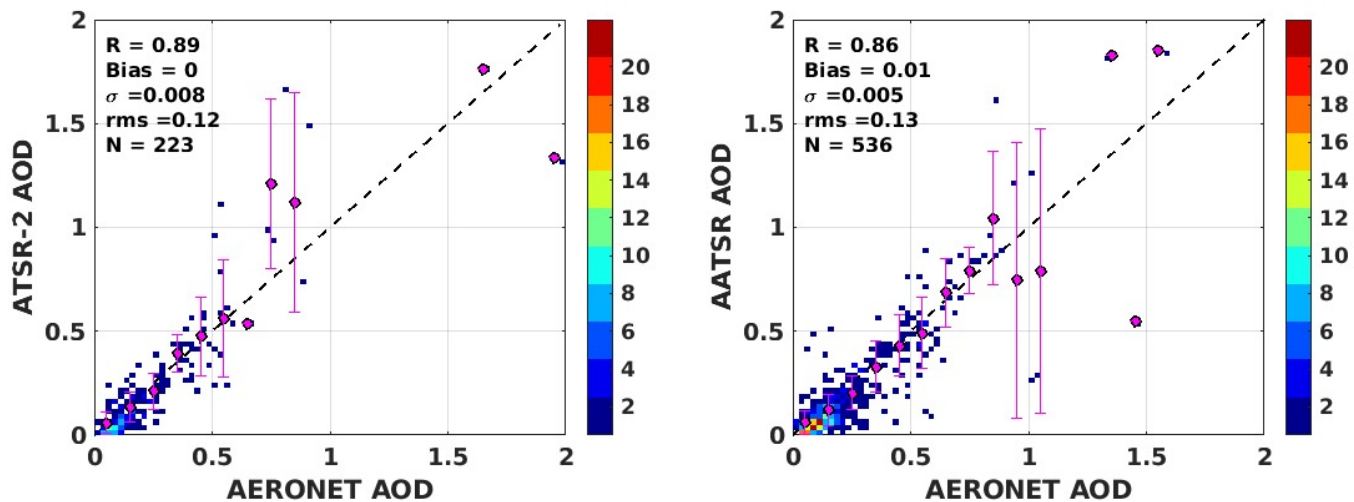


Figure 5. Density scatterplot of ATSR-2 AOD (left) and AATSR AOD (right) versus AOD from AERONET stations globally for the period August-December 2002. The filled circles are the averaged ATSR AOD binned in 0.1 AERONET AOD intervals (0.25 for AERONET AOD>1.0) and the vertical lines on each circle represent the 1-sigma standard deviation. Statistics in the upper left corner indicate correlation coefficient R, bias, standard deviation, root-mean-square (rms) error and number of data points (N). The colour bar on the right indicates the number of data points in each AOD bin.

Thus, the AOD values retrieved from ATSR-2 and AATSR are consistent, as shown by pixel-by-pixel, monthly aggregates and validation results comparisons and most of differences are within measurement uncertainty (0.03, or 10%) requirement of the Global Climate Observing System (GCOS). This makes it possible to combine ATSR-2 and AATSR AOD into one dataset without offset correction.

3.4 Comparison between MODIS merged DTDB C6.1 and C6 AOD

In MODIS C6.1, the brightness temperatures biases and trending were significantly reduced compared to C6 affecting ice cloud detection over water surfaces (Moeller et al., 2017). The electronic crosstalk correction discussed in Wilson et al. (2017) was made which greatly improves the performance of the cloud mask.

The difference between the C6.1 and C6 annual AOD over China averaged for the period 2000-2011 is shown in Fig. 6. This period was chosen because of the overlap between ATSR and MODIS, which is studied in the current manuscript. Over most of China, the difference between C6.1 and C6 is very small (within ± 0.025), except for certain areas (Fig. 6). The annual aggregated C6.1 AOD over the Tibetan Plateau and over the area north from the Taklamakan desert is 0.1-0.2 lower than for C6, while over Ningxia province (ca. 35-37°N and 103-107°E) and the Sichuan basin (ca. 28-30°N and 103-107°E) the AOD has increased by 0.1-0.2. Fig. 6b shows that the AOD differences over the TP and in the north are mostly due to the lower C6.1 AOD in the winter (DJF, about 0.15) and spring (MAM, up to 0.25) and over Ningxia due to the much higher AOD in these seasons in C6.1. Over the Sichuan basin the C6.1 and C6 AOD is similar in all seasons except in winter when C6.1 is about 0.25 higher. Similar changes in the AOD between C6.1 and C6 are shown by A. Sayer (doi:10.5194/acp-2017-838-RC1) for the period 2000-2008 over China.

As regards coverage, over most of mainland China, the differences between C6.1 and C6 are very small, except over the elevated areas such as the Tibetan Plateau, the Huangiu Gaoyuan Plateau and areas in the NW and NE of China (A. Sayer, personal communication, 2017). However, the MODIS AOD coverage over other locations has increased in C6.1, which is concluded from the increasing number of points available for validation, as discussed in Sect. 4.

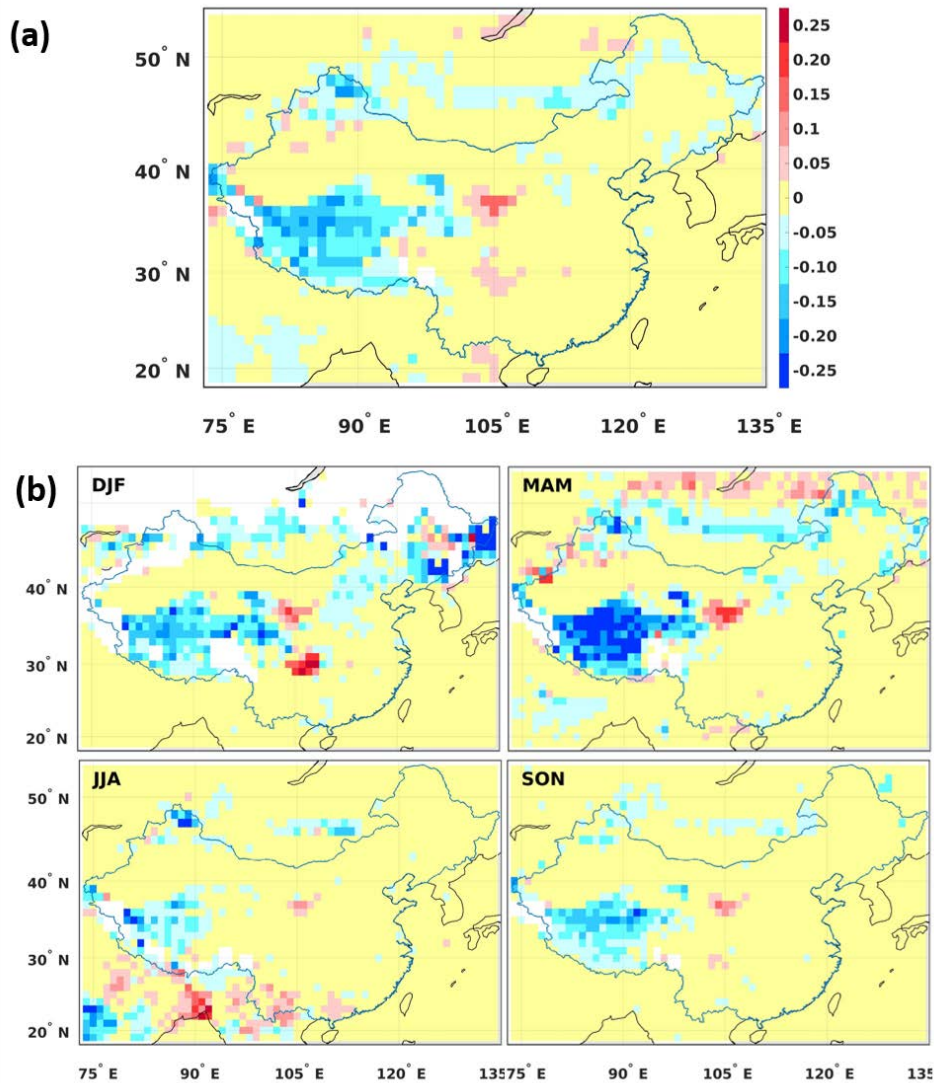


Figure 6. Difference between MODIS C6.1 and C6 DTDB merged AOD over China: annual averages for 2000-2011 (a) and seasonal averages for the same period (b, where DJF – winter, MAM – spring, JJA – summer and SON - autumn). Areas for which no data are available are shown in white.

4. ADV and MODIS AOD validation

4.1 General validation over China and validation results for selected regions.

MODIS C6.1 was validated over China with AERONET AOD (Fig. 7, right) for the sites available in the study area similar to C6 validation, as described in de Leeuw et al. (2018). Briefly, collocated satellite and AERONET data are used, i.e. satellite data within a circle with a radius of 0.125° around the AERONET site are averaged and compared with the averaged AERONET data measured within ± 1 hour of the satellite overpass time (Virtanen et al., 2018). C6.1 has about 5% more matchups with AERONET. For C6.1 the validation results have not changed much, since the amount of AERONET stations is limited over the areas, where changes in AOD in C6.1 compared to C6 are visible in the yearly and seasonal aggregates (Fig. 6).

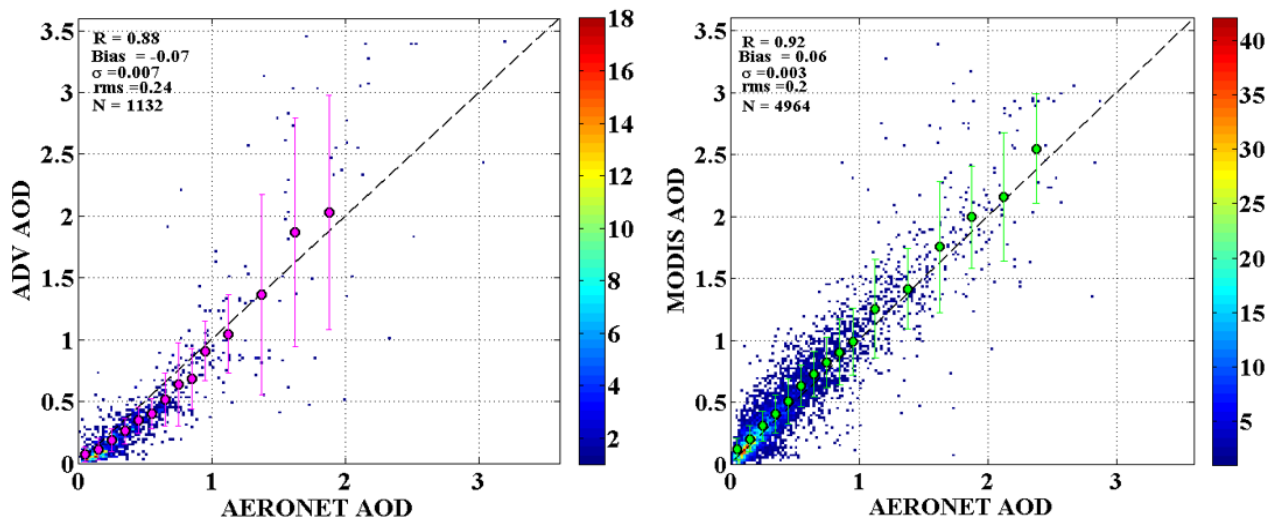


Figure 7. Density scatterplot of ATSR ADV v2.31 AOD (left, reproduced from de Leeuw et al., 2018, Figure 7), and MODIS C6.1 DTDB (right) versus AOD from AERONET stations in mainland China for the years 2002–2011. The filled circles are the averaged ATSR AOD binned in 0.1 AERONET AOD intervals (0.25 for AERONET AOD > 1.0) and the vertical lines on each circle represent the 1-sigma standard deviation of the averages. Statistics in the upper left corner indicate correlation coefficient R , bias, standard deviation, root-mean-square (rms) error and number of data points (N). The colour bar on the right indicates the number of data points.

ADV validation results (Fig. 7a, left) are adopted from de Leeuw et al. (2018). The main difference in ADV and MODIS performance, the bias, which is similar in absolute value but opposite in sign (0.06 for MODIS and -0.07 for ADV), has changed little from C6 to C6.1 (Fig. 7). This difference in AOD bias is emphasised here because it explains the offset in AOD between ADV and MODIS, as shown and discussed below, and will be used in Part II to construct the ADV and MODIS combined time series.

We also checked whether AOD validation results differ across China, where aerosol conditions are changing considerably from region to region, reflecting differences in primary and secondary aerosol sources, population density, industry, etc. Unfortunately, AERONET stations are sparsely located in China and long-term measurements have been conducted for few locations only (see Table 1 and Fig. 1 in de Leeuw et al., 2018), mostly in SE China.

The validation statistics for the selected regions, where AERONET AOD data are available, are shown in Table 1 (note the low number of validation points N in regions 5, 7, 8 and 10). For both ADV and MODIS, R was rather high (0.84-0.92) for all regions presented in the analysis, except for region 8, where correlation with AERONET was much lower for both data sets (0.33 and 0.35, ADV and MODIS respectively). In region 8, which includes the sparsely populated Tibetan Plateau, which is often covered with snow, AOD is very low and high uncertainties in AOD are expected related to the retrieval algorithms limitations (e.g., Kolmonen et al., 2016, Sayer et al., 2014). In region 5, both ADV and MODIS show a strongly negative AOD bias (-0.30 and -0.15, respectively). A high positive AOD bias (0.16) is observed for MODIS in region 7.

Table 1. AOD validation results (number of points (N), correlation coefficient (R), bias, standard deviation (σ) and root-mean-square (rms) error) for ADV and MODIS (MOD) obtained for the regions (left column), where AERONET data are available.

region	N		R		bias		σ		rms	
	ADV	MOD	ADV	MOD	ADV	MOD	ADV	MOD	ADV	MOD
China	1132	4964	0,88	0,92	-0,07	0,06	0,07	0,003	0,24	0,20
China, SE	1074	4846	0,88	0,92	-0,07	0,06	0,007	0,003	0,25	0,20
1	475	2928	0,89	0,94	-0,08	0,06	0,014	0,003	0,30	0,20
2	118	188	0,86	0,84	-0,09	0,00	0,023	0,024	0,26	0,35
3	343	937	0,84	0,89	0,00	0,07	0,009	0,005	0,16	0,15
5	15	80	0,90	0,87	-0,30	-0,15	0,049	0,014	0,22	0,19
7	9	18	0,92	0,92	-0,01	0,16	0,006	0,032	0,17	0,24
8	21	11	0,37	0,33	0,04	0,02	0,011	0,017	0,05	0,06
10	11	26	0,88	0,96	0,05	0,04	0,073	0,019	0,32	0,10

AOD was also validated for different aerosol types, classified according to AOD value and Ångström exponent (AE): “background” (AOD<0.2), “fine-dominated” (AOD>0.2, AE>1) and “coarse-dominated” (AOD>0.2, AE<1) aerosols.

For “background” aerosols (26% and 17% of validation points are in that class for ADV and MODIS, respectively), the correlation between MODIS- retrieved and AERONET AOD was poor (R = 0.17), while for ADV the correlation was better (R = 0.59). For “fine-dominated” (59% and 56% of validation points for ADV and MODIS, respectively) and “coarse-dominated” (15% and 27% of validation points for ADV and MODIS, respectively) aerosols, the validation statistics for ADV and MODIS are similar. Both products show high (≥ 0.85) correlation with AERONET. Similar in absolute values but different in sign AOD bias is calculated for “fine-dominated” (-0.09 and 0.08, for ADV and MODIS, respectively) and for “coarse-dominated” aerosol conditions (-0.11 and 0.10, for ADV and MODIS, respectively).

Table 2. AOD validation results (number of points (N), correlation coefficient (R), bias, standard deviation (σ) and root-mean-square (rms) error) for ADV and MODIS (MOD) obtained for different aerosol types, classified with AOD and Ångström exponent (AE).

Aerosol type	Conditions	R		bias		σ		rms	
		ADV	MOD	ADV	MOD	ADV	MOD	ADV	MOD
background	AOD<0.2	0,59	0,17	0,04	0,10	0,006	0,005	0,12	0,12
fine-dominated	AOD>0.2, AE>1	0,85	0,89	-0,09	0,08	0,014	0,005	0,30	0,24
coarse-dominated	AOD>0.2, AE<1	0,85	0,88	-0,11	0,10	0,032	0,007	0,37	0,22

4.2 ADV and MODIS collocated points annual and seasonal validation

The validation results presented in Fig. 7 show that MODIS AOD is positively biased and, in contrast, ADV is negatively biased.

- 5 However, since more validation points are available for MODIS than for ADV (Fig. 7 and Table 1), which is likely explained by better MODIS coverage (see the discussion on the ADV and MODIS coverage in Sect.3.2), a direct comparison of the algorithms performance to show differences in the retrieved AOD cannot be made. Instead, the retrieval performance was evaluated using collocated ATSR-MODIS/Terra-AERONET data. In collocated points validation only those AOD data were used, when both MODIS and ADV have achieved a successful retrieval within $\pm 1h$ over AERONET sites and the difference between the ADV and
- 10 MODIS overpasses was below 90 min. In total, 255 collocated points have been recognized for the period 2002-2011.

- Validation of the collocated points was performed for the overlapping period (Fig. 8, upper panel) and also for each of the seasons (Fig. 8, middle panel for ADV and lower panel for MODIS). The scatter plots of the ADV or MODIS AOD versus AERONET AOD show that for all collocated points (Fig. 8, upper panel) R is similar for ADV and MODIS (0.92 and 0.93, respectively), while bias is negative for ADV (-0.11) and positive for MODIS (0.06). In winter, when the number of collocated points is low (10), both
- 15 ADV and MODIS slightly underestimate AOD. In spring, R is lower than for other seasons and is the same (0.81) for both ADV and MODIS, while bias is 0 for ADV and positive for MODIS (0.11). In summer, R is slightly higher for MODIS (0.96 versus 0.94 for ADV). In summer, bias is similar in absolute value (0.13) but has different sign for ADV (positive) and MODIS (negative). In autumn, ADV performs slightly better (R is 0.92 and 0.88, bias is -0.02 and 0.05 for ADV and MODIS, respectively). Thus, in all seasons except winter, positive bias is observed for MODIS, while ADV AOD is biased negative in all seasons except spring, when
- 20 the ADV bias is 0.

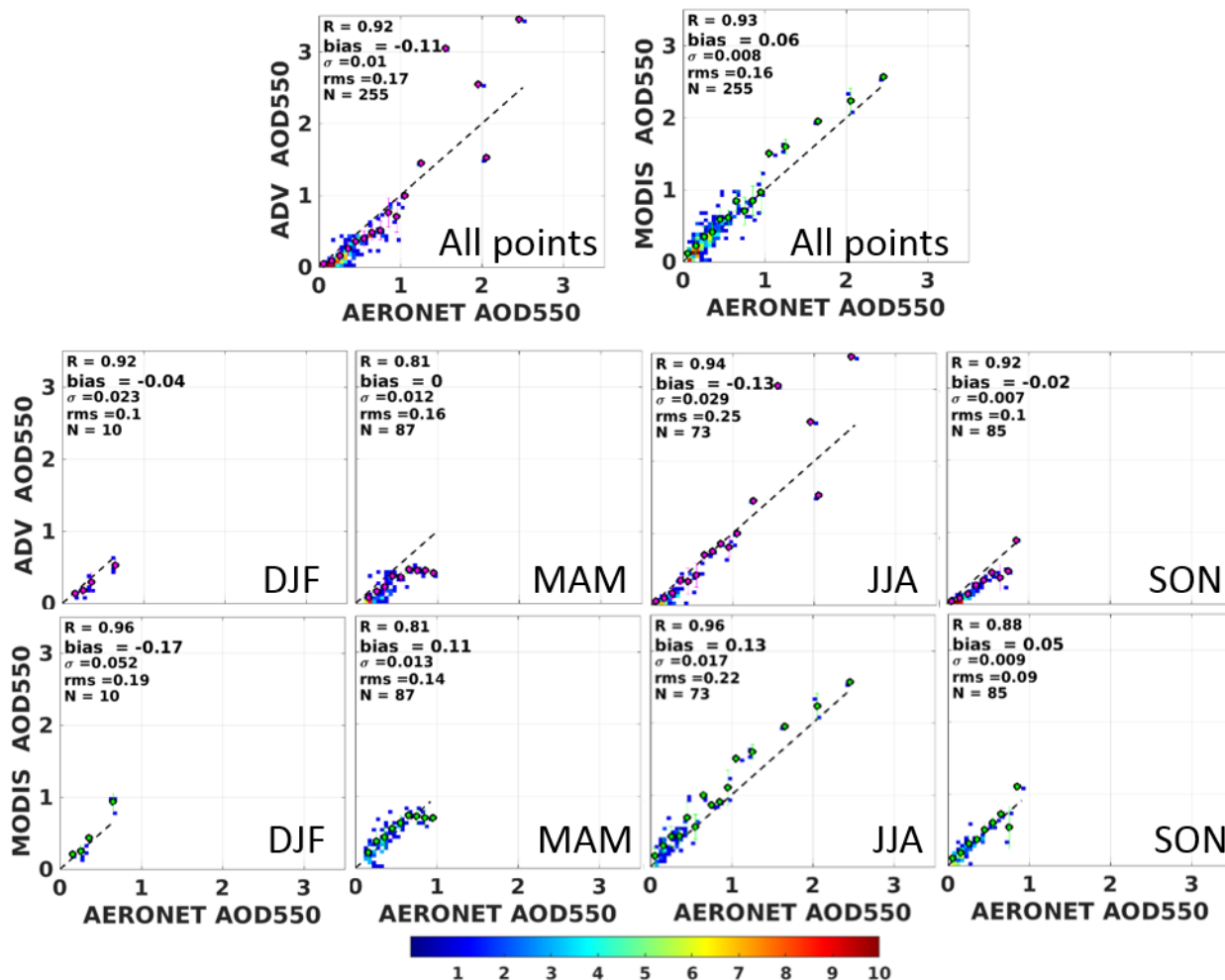


Figure 8. Density scatterplots of collocated ATSR ADV v2.31 AOD and MODIS C6.1 DTDB merged AOD versus AOD from AERONET stations in China for the years 2002-2011: all points (upper panel) and seasonal statistics (middle panel for ADV and lower panel for MODIS). The colour bar at the bottom indicates the number of data points.

We also checked whether the difference in the ADV and MODIS AOD depends on the difference in overpass time between ATSR and MODIS/Terra. The comparison of the AOD for ADV/MODIS/AERONET collocated points is shown as a scatterplot of MODIS AOD versus ADV AOD in Fig. 9. The color code indicates the difference in the exact overpass time between ADV and MODIS.

For all collocated points, MODIS AOD is usually higher, with an offset of 0.2. That positive difference does not depend on the difference in overpass times between ATSR and MODIS/Terra and thus cannot be explained by the influence of the possible AOD daily cycle.

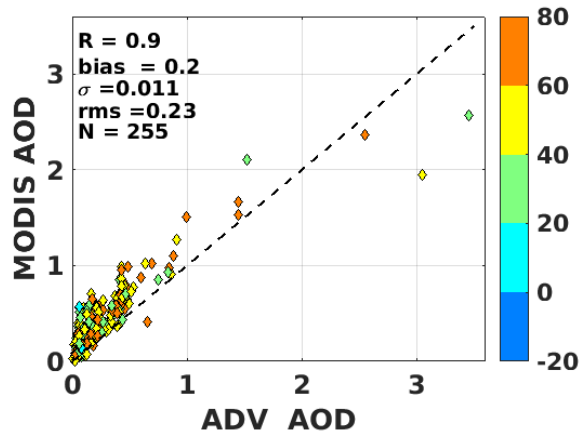


Figure 9. MODIS/Terra C6.1 DTDB merged AOD versus ATSR ADV v2.31 AOD, for collocated ATSR-MODIS/Terra-AERONET data, as described in the text of Sect. 4.1. The colours (scale at the right) indicate the difference between the MODIS/Terra and ATSR overpass times in minutes.

5. AOD seasonal variation

The AOD over China varies not only in space but also seasonal variations are observed, as briefly discussed in de Leeuw et al. (2018) based on MODIS/Terra C6 data. Seasonal AOD maps for ADV and MODIS C6.1, aggregated over the years 2000-2011, and ADV-MODIS difference maps for each season, are presented in Fig. 10. The spatial distribution of seasonally averaged AOD is similar to the spatial patterns of the annually averaged AOD. However, Fig. 10 shows some clear differences between ADV and MODIS, i.e. the MODIS AOD is often higher than that from ADV and MODIS has better coverage over bright surfaces. The latter is particularly prominent for the winter season (DJF) when the north and west of China are covered with snow. As mentioned before, like most of aerosol retrieval algorithms, ADV has difficulty in retrieving AOD over snow and ice, as well as all year round over bright surfaces such as desert areas. In other seasons than winter, ADV has reasonable coverage over most of China (see Table S1), except the Taklamakan desert where high dust episodes are missed. It is noted that also MODIS does not provide AOD over snow and ice (Levy et al., 2013; Hsu et al., 2013), but over bright desert surfaces the DB algorithm does provide AOD (Hsu et al., 2003), which is included in the DBDT product used here. However, as shown in Fig. 10, MODIS also misses AOD over the Tibetan Plateau along the southern border of China during all seasons, as well as along the NW border in the winter. North of ca. 45°N both MODIS and ADV do not provide AOD data in the winter.

As regards the AOD differences between MODIS and ADV, the difference maps in Fig. 10 show that MODIS is much higher (≥ 0.25) than ADV over part of SE China in winter and spring, especially over the NCP and the Sichuan basin, as well as over the desert areas west of the Loess Mountains. In summer, these differences are overall much smaller (≤ 0.15 -0.2) except over the Sichuan basin and Taklamakan and Gobi deserts and some smaller areas in SE China. Also just south of the Himalayas the MODIS AOD is much higher (≥ 0.25) than that retrieved using ADV. In autumn, the differences between MODIS and ADV are generally small (≤ 0.1) except for some regions in the SE of China (e.g. Sichuan, YRD and Hebei), as well as SW of the Himalayas. These observations

on the differences between ADV and MODIS can be partly explained by the validation results presented in Fig. 7 and Fig. 8, i.e. MODIS is biased high and ADV is biased low by a similar amount. However, these biases do not explain the seasonal variations of the differences between MODIS and ADV. Likely these are due to retrieval assumptions as regards the aerosol properties and the surface reflectance. The largest discrepancies are observed in the area north of about 27° N and over relatively bright areas in the deserts as well as over the NCP, which is dryer in winter and spring than during the summer and autumn. In addition, these regions are influenced by the desert dust with relatively large contributions to the AOD in spring as discussed below. ADV does not provide a quality retrieval over bright surfaces, but also for SE China the ADV AOD is substantially lower than that from MODIS. The substantially lower ADV-retrieved AOD in spring may indicate that the ADV retrieval of dust, which is most prominent in spring (e.g., Proestakis et al., 2018), needs some improvement. The difference between ADV and MODIS AOD may further be due to the fact that MODIS provides more results over bright surfaces, where ADV AOD is lower, if retrieved. In contrast, in summer the differences are much smaller.

As regards the AOD seasonal variation, the maps in Fig. 10 show similar variations for the ADV and MODIS-retrieved AODs. For instance, for the PRD the AOD is highest in spring and lower in other seasons whereas over the NCP in the area from BTH to the YRD the AOD is highest in summer. The ADV and MODIS AOD seasonal aggregates for different areas will be discussed in Section 5.1.

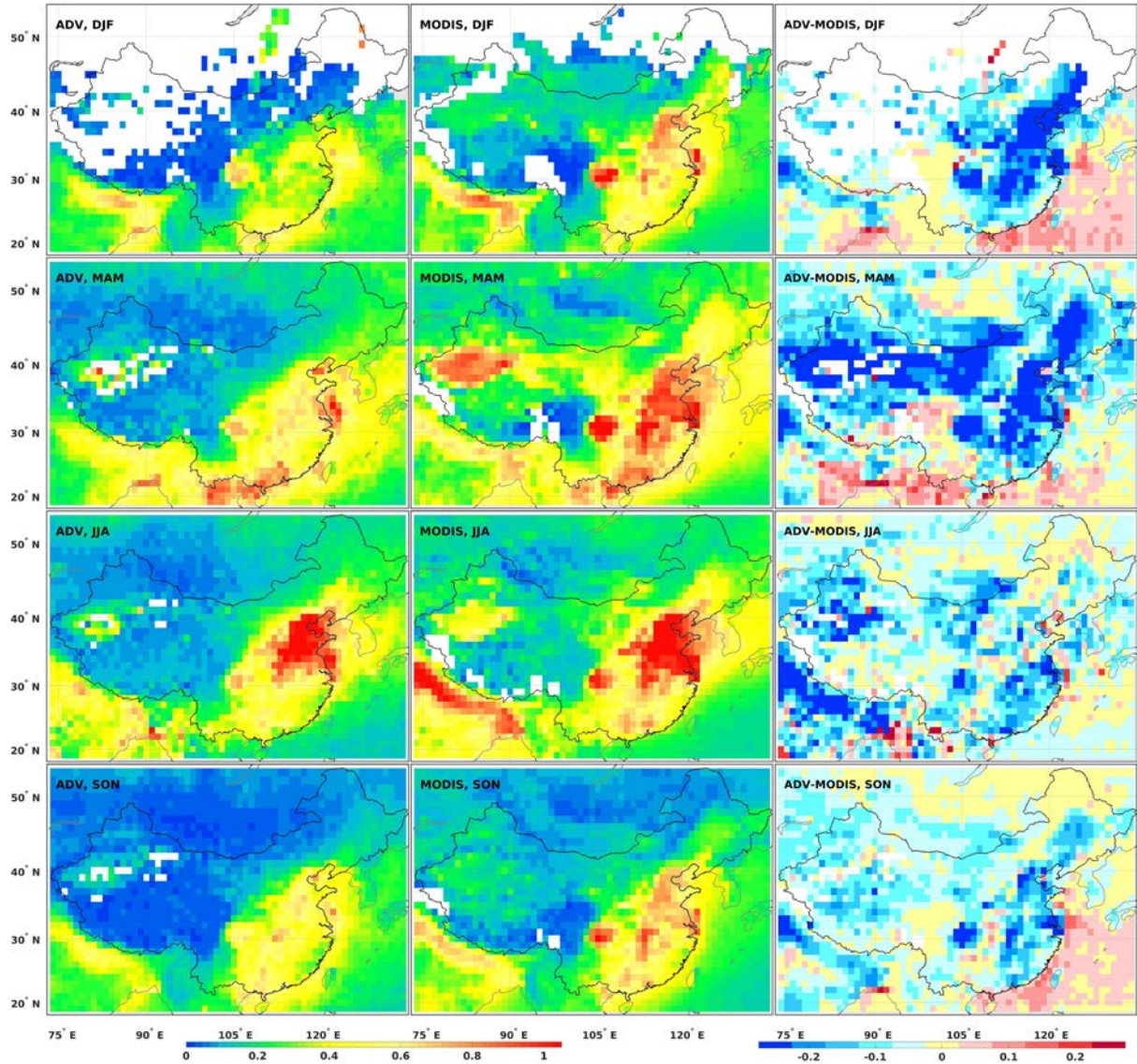


Figure 10. Seasonal AOD maps aggregated over the years 2000-2011 (top to bottom: DJF (winter), MAM (spring), JJA (summer) and SON (autumn)). Left: ATSR ADV v2.31 (adapted from de Leeuw et al., 2018, Fig. 11); middle MODIS/Terra C6.1 merged DBDT; right: difference maps ADV-MODIS. The AOD and difference scales are plotted at the bottom. Pixels for which no value was retrieved are plotted in white.

5.1 Seasonal variation by region for the period 2000-2011.

AOD seasonal time series for China, SE China and each of the 10 regions over China, selected as described in Sect. 2, are shown in Fig. 11, for both ADV and MODIS. The data shown in Fig. 11 are averages over the three months in each season, and over the years 2000-2011, i.e. the overlapping period for ATSR and MODIS/Terra. These time series illustrate the overall behavior, which emerged from the seasonal AOD maps in Fig. 10, i.e. a clear seasonal variation of the AOD over all regions, which is similar for both ADV

and MODIS but with MODIS AOD somewhat higher than that from ADV. For all regions, the AOD is lowest in the winter, except for China and for region 6 (Sichuan/Chongqing), where the minimum occurs in autumn. For all regions, the AOD is highest in spring, except for regions 1 and 5 where the maximum AOD is observed in the summer. In region 2, the AOD is similar in spring and summer. The difference in the seasonal variation of the AOD between regions 1 (NCP) and 5 and those further south in region 2 (YRD) and region 7 (PRD), which are all very large urban areas with a high population and large industrial development, is likely due to the different climatological zones. The NCP is situated in a temperate monsoon climate region, the YRD in a subtropical monsoon climate region and region 7 combines regions with a sub-tropical and a tropical monsoon climate, with strong differences in rain-season trends, i.e. precipitation and number of rain days (Song et al., 2011; Kourtidis et al., 2015; Stathopoulos et al., 2017). The East-Asian summer monsoon (EASM) and associated rain patterns over east China (Song et al., 2011) progresses from the south in April to the YRD in the early summer and reaches BTH in July. When the monsoon period ends in August, the rain belt moves back to the south. Precipitation obviously affects the AOD due to wash-out of the aerosol particles, but on the other hand on warm days with high relative humidity the aerosol particles swell and thus small (<100 nm) aerosol particles grow into the optically active size range. As a result, the particle size distribution shifts to larger particles and the aerosol scattering and associated AOD increase (Bian et al., 2014; Zhang et al., 2015). In region 10, in the NE of China with a cooler climate where the EASM does not reach, the AOD maximum occurs in spring.

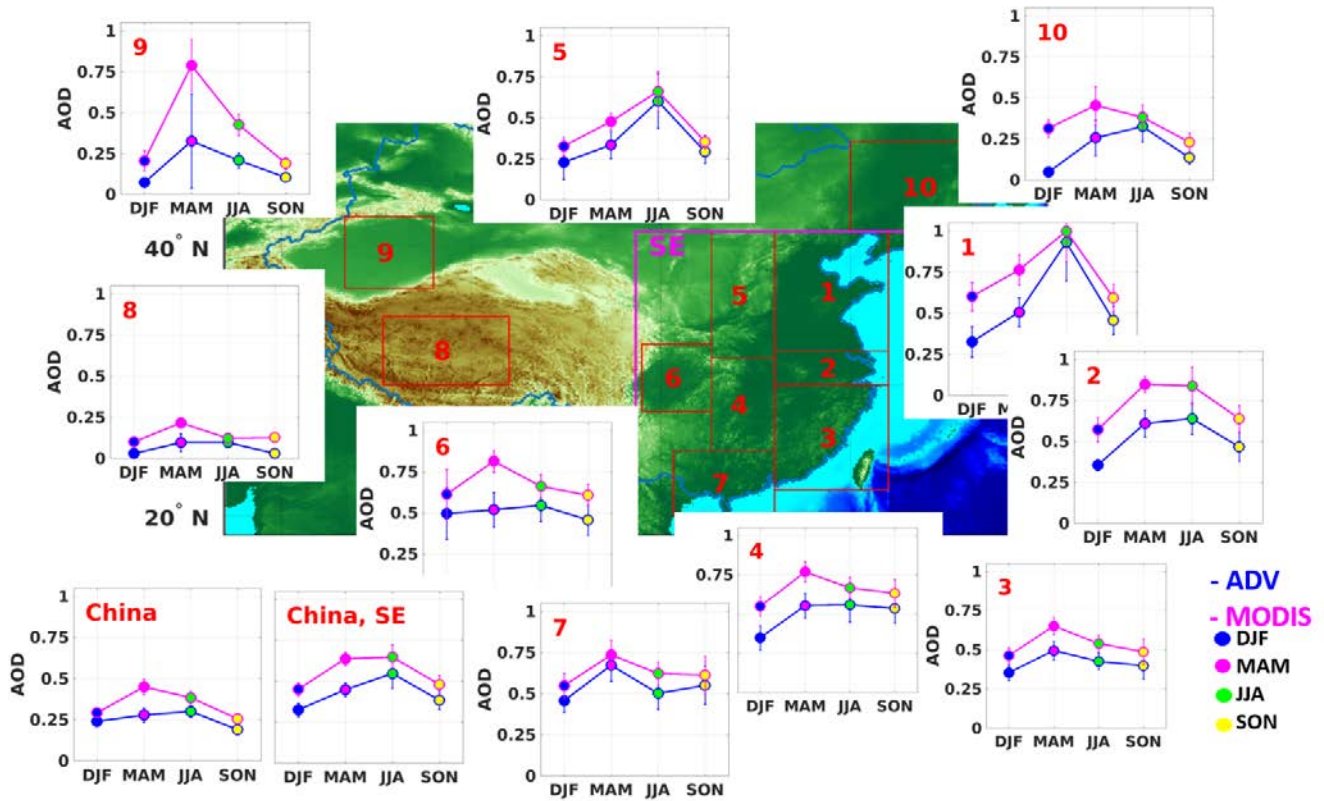


Figure 11. AOD seasonal time series averaged over the period 2000-2011 for ADV and MODIS (see legend for explanation) for China, SE China and the 10 regions selected as discussed in Sect. 2. Error bars shown on each seasonal data point are one standard deviation.

- 5 Another factor influencing the seasonal variation of the AOD is the dust emitted from the deserts with the highest intensity in spring and summer (cf. Proestakis et al., 2018). The largest dust sources in China are the Taklamakan desert and the Gobi (GD) deserts. Due to differences in topography, elevation, thermal conditions and atmospheric circulation, the GD has a much more important role than TD in contributing to the dust concentrations in East Asia than the TD (Chen S. et al., 2017). Figure 12, reproduced from Proestakis et al. (2018), who describe in detail how these products were obtained, shows seasonal maps of Dust AOD (DAOD) at
- 10 532 nm, based on CALIOP (Cloud Aerosol Lidar with Orthogonal Polarization; Winker et al., 2009) observations between 01/2007 and 12/2015. These maps clearly illustrate the effect of the dust generated over the TD, with very high DAOD in the spring (up to about 0.7) and also in the summer, and much lower in the autumn and winter (about 0.2). In contrast, there is no clear dust signal over the northern part of the Gobi desert where surface dust concentrations are high (cf. Chen S. et al., 2017), neither in the CALIOP DAOD maps in Fig. 12, nor in the ADV and MODIS AOD maps in Fig. 10. In these satellite observations the dust appears to be
- 15 confined to south from 40° N.

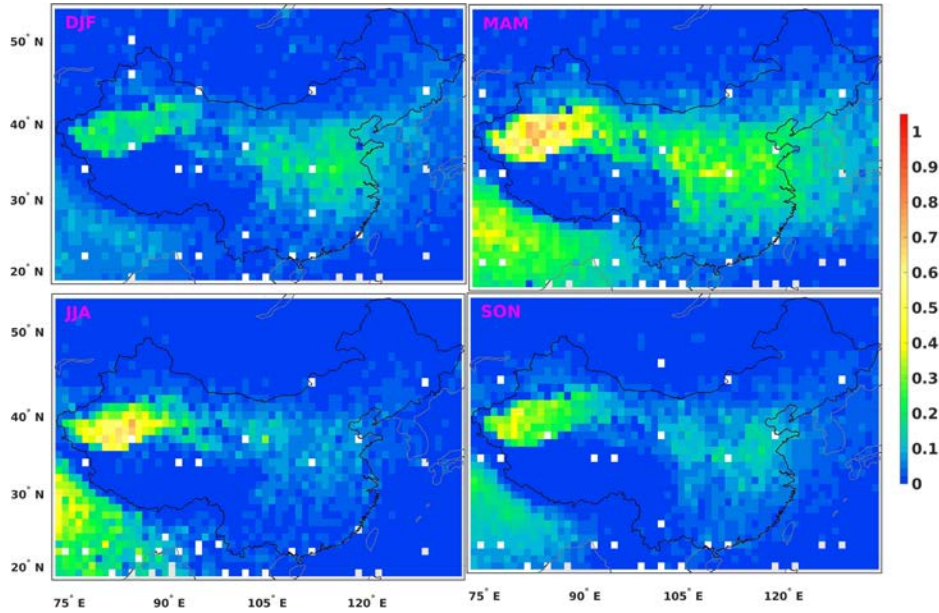


Figure 12. Spatial distribution of the seasonal mean dust AOD, as determined from CALIPSO observations, aggregated over the period January 2007- December 2015. Adopted from Proestakis et al. (2018). Chinese borders indicated by the black line.

- 5 A noticeable feature is the distinct eastward pathway of dust aerosol transport, although the observed features strongly vary with season. The eastward dust aerosol pathway extends from the Taklamakan Desert over central China (Kuhlmann and Quaas, 2010), with DAOD values of up to 0.3 in the spring and much smaller in other seasons (0.1), towards the Yellow Sea and the Pacific Ocean (Uno et al., 2009). This dust aerosol Trans-Pacific belt extends over central China between 30° N and 45° N, contributing with dust aerosols up to 50% to the total aerosol load of the densely populated Beijing, Hebei, Tianjin and Shandong provinces (Proestakis et al., 2018). However, very low DAOD values are observed to the south of about 30° N throughout the year, i.e. south of the Yangtze River basin, indicating the very low dust aerosol transport to the south of the observed dust aerosol Trans-Pacific belt. The YRD is also the area where the seasonal maximum shifts between spring and summer and North of the YRD are regions 1 and 5 with summer AOD maxima, as described above. Clearly, in spite of the relatively high DAOD over the TD in the summer and presumed sources over the GD, there appears to be little eastward transport and DAOD is not responsible for the high summer AOD with
- 10 DAOD over the NCP of the order of 0.1. Another candidate for causing the high AOD in summer might be agricultural fires during the summer harvest period in June in the NCP (Zhang et al., 2018) in addition to the mechanism proposed above in reaction to the migration of the EASM.

5.2 Long-term AOD seasonal variations for ATSR ADV (1995-2011) and MODIS (2000-2017)

- Figure 10 shows the spatial distribution of the multi-year averaged ADV and MODIS seasonal AOD for the years 2000-2011.
- 20 However, this leaves out the pre-EOS period covered by ATSR-2 and the post-Envisat period covered by MODIS. Furthermore, inter-annual variations occur. In Fig. 13, the summer AOD datasets for China, SE China and 10 selected regions are divided into

three periods, i.e. pre-EOS with only ATSR-2 (1995-2000), post-Envisat with only MODIS/Terra (2011-2017), and the overlap period (2000-2011, shaded light green) when both algorithms provided valid AOD retrievals. Time series for other seasons and yearly aggregates are shown in the supplement.

The time series of the summer AOD over China (Fig. 13) show a small increase of the AOD over the years 1995-2011, with a somewhat larger tendency for MODIS than for ATSR, whereas from 2011 the MODIS data show a definite decrease. This behavior seems to be mainly determined by the AOD decrease in SE China (and regions 1-7 therein) where the AOD is substantially higher than in other parts of China, and tendencies until 2011 have a similar direction but are much stronger, than over the west and north of China (regions 8-10).

Here we estimate the AOD tendencies during the overlapping period only to establish that ATSR and MODIS time series are similar and thus can be used to construct a combined long-term time series. AOD tendencies during the 1995-2017 period, as related to the changes in the emission control policy in China, are presented and discussed in detail in the following Part II.

For the overlapping period, linear fits were made using a MATLAB tool (<https://se.mathworks.com/products/matlab.html> and detailed description of the statistics) to determine the variation of the AOD versus time. AOD tendencies (dAOD) per decade, bias and slope for the linear regression lines, as well as p-value estimated with the t-test and absolute error for linear fits are presented in Table S2 (for seasonal aggregates) and Table S3 (for annual aggregates) for all selected regions.

For both China and SE China, the annual (Fig. S4) and seasonal (Figs. S1-S3, Fig.13) time series for ADV and MODIS are very similar, albeit with an almost constant offset with MODIS high and ADV low. When looking at the long-term time series of the yearly averaged AOD for each of the 10 regions, this behavior is replicated, with some anomalous years for each of them. The possible exception is region 8 (the Tibetan Plateau) where the AOD is very low in comparison with other regions with practically no interannual variation or long-term tendency. AOD tendencies during the whole period (1995-2017) will be discussed in Part II.

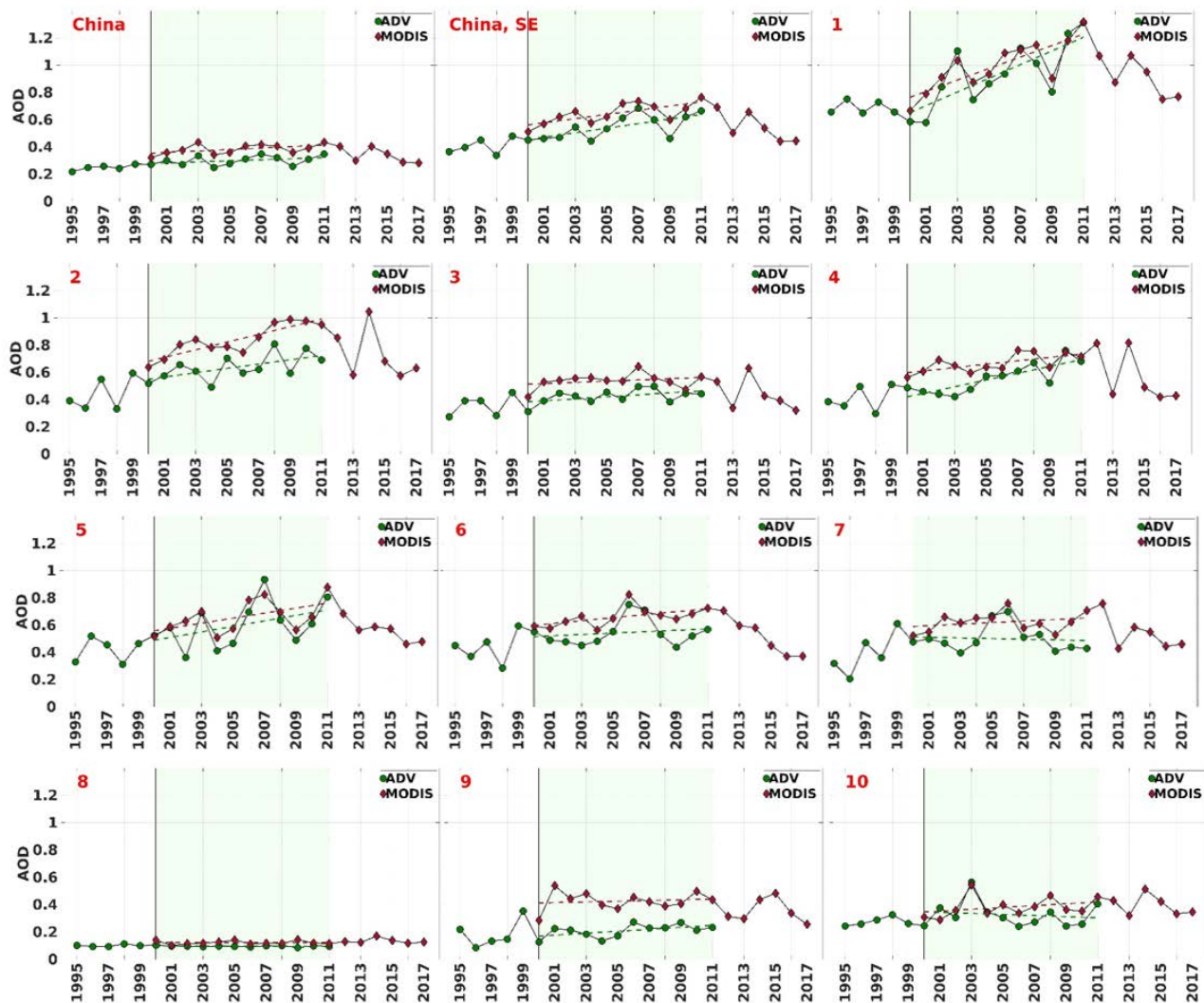


Figure 13. Time series of the summer-averaged AOD over China, SE China and each of the 10 selected regions, for ADV (1995-2011, green circles) and MODIS (2000-2017, red circles). The overlapping period is colored with light green. AOD linear fits for the overlapping periods are shown for each instrument (green and red dashed lines for ADV and MODIS, respectively). Statistics for linear fits are shown in Table S2.

5

5.3 Comparison between ATSR ADV and MODIS seasonal AOD tendencies.

To compare the seasonal year-to-year behavior of the AOD retrieved with ATSR and MODIS, the AOD tendencies for the overlapping period (2000-2011) have been estimated by fitting the time series with linear regression lines. The linear fit for AOD seasonal tendencies for the overlapping period for ADV and MODIS is shown in Fig. 13 and Figs. S1-S4, the corresponding statistics are summarized in Table S2 and Table S3.

For the overlapping period, positive AOD tendencies have been observed with both instruments over China for all seasons, except for spring, when the AOD tendency was close to zero or slightly negative. In winter, the ADV-retrieved AOD shows a strong increase (between 1.31 and 1.51 per decade) in regions 4 to 7, which represent the south and east of SE China. Interestingly, along the east coast, the AOD tendency increases in winter from north to south, as shown with ADV. MODIS shows a strong (near 0.16) AOD increase in winter in regions 1 and 2. In spring, the AOD tendencies are very low for both instruments, showing an increase in the MODIS AOD and a decrease for ADV. The highest AOD increase was observed in region 7 for both ADV and MODIS (0.181 and 0.171 per decade, respectively). In summer, a strong AOD increase is observed in region 1 for both ADV and MODIS (0.503 and 0.422 per decade, respectively). The positive AOD tendencies were higher in SE China, reaching 0.168 and 0.154 for ADV and MODIS respectively. In autumn, the AOD tendencies were smaller for both ADV and MODIS and agreed in sign for most of the selected regions, except for region 10. Note that the AOD tendencies were statistically significant for regions 1, 2, 4 and over SE China for MODIS only.

The AOD tendencies for the overlapping period derived from MODIS are plotted in Fig. 14 versus those derived from ATSR. This scatterplot includes tendencies for yearly and seasonal AOD aggregates (dots, see legend for colors) for China, SE China and for each of the 10 selected regions. The confidence for linear fits (p-value) is indicated by the colored (with respect to p-value for each instrument) circles around each symbol. The areas where both instruments show similar tendency are colored with light red (both positive) and light blue (both negative) background. The same plot, but with symbols replaced with region numbers is presented in Fig. 14, right.

Most of the ADV and MODIS AOD tendencies for corresponding periods are located in the colored (red and blue) areas, which confirm that ADV and MODIS show similar in sign AOD tendencies during the overlapping period. The grouping of the tendency points along the 1:1 line (line is not shown here) shows that the AOD tendencies derived for ADV and MODIS are also similar in absolute sense.

However, seasonal differences exist in the agreement between the ADV and MODIS AOD tendencies. The AOD tendencies derived from the two instruments are in good agreement in summer, autumn and annually (R is 0.87, 0.77, and 0.88, respectively). In winter and spring, the correlation coefficient is smaller (0.41).

Thus, the consistency between ATSR and MODIS as regards the AOD tendencies in the overlapping period is rather strong in the summer, the autumn and for the yearly average, while in the winter and spring, when there is a difference in coverage between the two instruments (Table S1), the agreement in the AOD tendencies is lower.

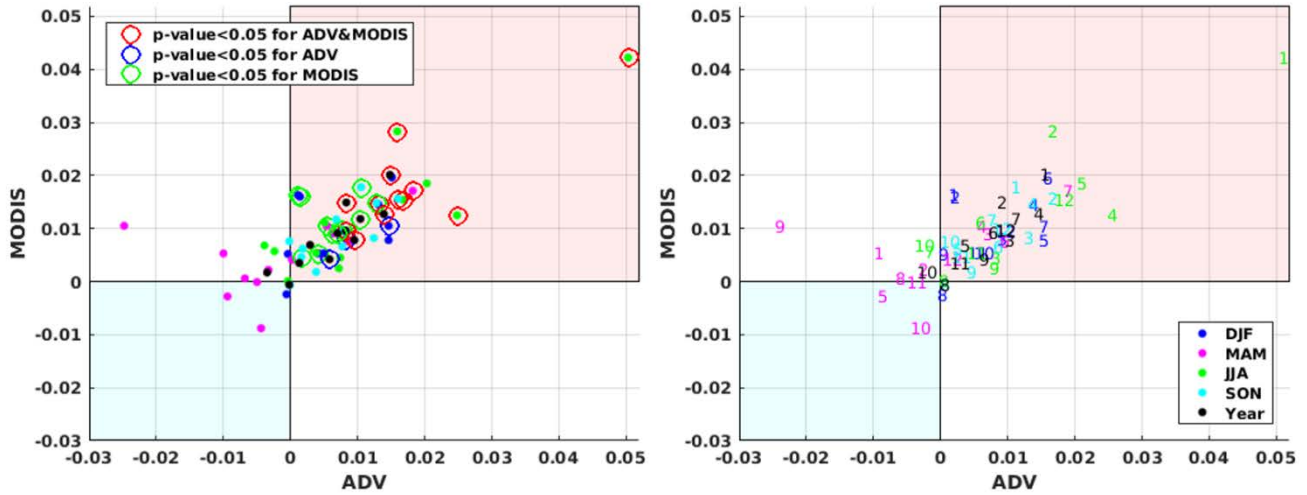


Figure 14. Scatterplot of the 2000-2011 yearly tendencies (left) derived from MODIS C6.1 DBDT vs. those derived from ATSR ADV v2.31, for China, SE China and 10 selected areas (as specified on the right) for the yearly (black dots) and seasonally averaged AOD (coloured dots, see legend). Coloured circles indicate if p-value<0.05 for both ADV and MODIS (red), only ADV (blue) and only MODIS (green).

5 6. Summary and Conclusions

The current manuscript is an extension of the study by de Leeuw et al. (2018), where ATSR-retrieved AOD using ADV v2.31 for 1995-2011 and the MODIS/Terra C6 DBDT merged AOD product for 2000-2015 were explored. In the current paper, the MODIS/Terra C6 DBDT merged AOD product has been replaced with the recently released collection C6.1 and extended to include 2016 and 2017. The AOD annual anomaly maps are shown and discussed; the analysis of the seasonal variability has been extended to 10 selected regions; the AOD tendencies for the overlapping period (2000-2011) for both ADV and MODIS are presented and compared.

The main results and following conclusions are summarized below.

- The difference in AOD retrieved from ATSR-2 and AATSR is small, as shown by pixel-by-pixel, monthly means and validation results comparisons for the period August-December 2002 over China and globally. Most of differences fit to the measurement uncertainty requirement of the GCOS (0.03, or 10%). This makes it possible to combine ATSR-2 and AATSR AOD time series into one dataset without offset correction.

- The comparison with AERONET shows similar performances of C6.1 and C6. The correlation coefficient slightly increased from 0.9 in C6 to 0.92 in C6.1; the bias slightly decreased from 0.07 to 0.06. The AOD spatial coverage in C6.1 increased by ca. 5% with respect to that in C6.

- AOD validation with AERONET shows that the validation results depend on the sampling. If the sampling includes all available collocations with AERONET (1132 and 4964 points for ADV and MODIS, respectively), the validation statistics are slightly

better for MODIS. The bias in both data sets is similar but with opposite sign (0.06 for MODIS and -0.07 for ADV). However, for collocated points, when ATSR and MODIS over passes are within ± 90 min and AERONET data exist for validation, the correlation coefficient is closer between ADV and MODIS (0.92 and 0.93, respectively) for all collocated points. However, ADV performs better than MODIS in autumn, while MODIS performs slightly better in spring and summer. In winter, both ADV and MODIS underestimate AOD. For “fine-dominated” and “coarse-dominated” aerosols, validation statistics are similar for ADV and MODIS. Both products show high (≥ 0.85) correlation with AERONET. Similar in absolute values but different in sign AOD bias is calculated for “fine-dominated” (-0.09 and 0.08, for ADV and MODIS, respectively) and for “coarse-dominated” (-0.11 and 0.10, for ADV and MODIS, respectively) aerosol conditions.

-The AOD interannual variability over China was presented based on annual anomaly maps (with respect to the 2000-2011 averages). During the period 1995-2006, AOD was increasing in the SE of China, while no significant changes in AOD have been observed in the west and in the north. Between 2006 and 2011, AOD was not changing much, showing minor minima in 2008-2009. From 2011 onward, AOD is observed to decrease in the SE of China.

- Both ADV and MODIS show similar seasonal behavior, with spring AOD maxima in the south and shifting from spring to summer along the eastern coast in the direction to the north.

- Similar patterns are shown in year-to-year differences for ATSR ADV and MODIS AOD. For the overlapping period, positive AOD tendencies have been observed with both instruments over China for all seasons, except for spring, when the AOD tendency was close to zero or slightly negative. More pronounced changes in AOD have been confirmed for SE China. AOD was changing faster in spring and autumn, compared to other seasons.

- The consistency between ATSR and MODIS as regards the AOD tendencies in the overlapping period is rather strong in the summer, the autumn and for the yearly average, while in the winter and spring, when there is a difference in coverage between the two instruments, the agreement in AOD tendency is lower.

The overall conclusion is that both ATSR ADV and MODIS individually show similar spatial and temporal AOD patterns over China. That conclusion is used as a main starting point in Part II, where the combined long-term AOD time series over China and selected areas will be introduced for the period of 1995-2017. In Part II, AOD tendencies in the combined time series will be estimated for the periods associated with changes in air pollution control policies in China.

Data availability

The ATSR data used in this manuscript are publicly available (after registration a password will be issued) at: <http://www.icare.univ-lille1.fr/>. MODIS data are publicly available at: <https://ladsweb.modaps.eosdis.nasa.gov/> AERONET data are available at AERONET: <https://aeronet.gsfc.nasa.gov/>

Acknowledgements:

Work presented in this contribution was undertaken as part of the MarcoPolo project supported by the EU, FP7 SPACE Grant agreement no. 606953 and as part of the Globemission project ESA-ESRIN Data Users Element (DUE), project AO/1-6721/11/I-NB, and contributes to the ESA/MOST DRAGON4 program. The ATSR algorithm (ADV/ASV) used in this work is improved with support from ESA as part of the Climate Change Initiative (CCI) project Aerosol_cci (ESA-ESRIN projects AO/1-6207/09/I-LG and ESRIN/400010987 4/14/1-NB). Further support was received from the Centre of Excellence in Atmospheric Science funded by the Finnish Academy of Sciences Excellence (project no. 272041). Many thanks are expressed to NASA Goddard Space Flight Center (GSFC) Level 1 and Atmosphere Archive and Distribution System (LAADS) (<http://ladsweb.nascom.nasa.gov>) for making available the L3 MODIS/Terra C6.1 and C6 aerosol data. The AERONET team is acknowledged for establishing and maintaining the AERONET sites used in this study.

References

- AATSR Product Handbook, ESA, <http://envisat.esa.int/handbooks/aatsr/CNTR.html> (last access 18.06.2018), 2007.
- ATSR-1/2 User Guide, http://www.atsr.rl.ac.uk/documentation/docs/userguide/atsr_user_guide_rev_3.pdf (last access 18.06.2018), 1999.
- Bian, Y. X., Zhao, C. S., Ma, N., Chen, J., and Xu, W. Y.: A study of aerosol liquid water content based on hygroscopicity measurements at high relative humidity in the North China Plain, *Atmos. Chem. Phys.*, 14, 6417-6426, doi:10.5194/acp-14-6417-2014, 2014.
- Bouarar, I., Wang, X., and Brasseur, G. P.: *Air Pollution in Eastern Asia: An Integrated Perspective*. Springer, 504p, 2017.
- Chandler, R. and Scott, M.: *Statistical methods for trend detection and analysis in the environmental sciences*, Wiley, 368, 2011.
- Che, H., Zhang, X.-Y., Xia, X., Goloub, P., Holben, B., Zhao, H., Wang, Y., Zhang, X.-C., Wang, H., Blarel, L., Damiri, B., Zhang, R., Deng, X., Ma, Y., Wang, T., Geng, F., Qi, B., Zhu, J., Yu, J., Chen, Q., and Shi, G.: Ground-based aerosol climatology of China: aerosol optical depths from the China Aerosol Remote Sensing Network (CARSNET) 2002–2013, *Atmos. Chem. Phys.*, 15, 7619-7652, doi:10.5194/acp-15-7619-2015, 2015.
- Chen, J., Li, C., Ristovski, Z., Milic, A., Gu, Y., Islam, M.S., Wang, S., Hao, J., Zhang, H., He, C., Guo, H., Fu, H., Miljevic, B., Morawska, L., Thai, P., Lam, Y.F., Pereira, G., Ding, A., Huang, X. and Dumka, U.C.: A review of biomass burning: Emissions and impacts on air quality, health and climate in China, *Science of the Total Environment*, 579, 1000-1034, doi: 10.1016/j.scitotenv.2016.11.025, 2017.
- Chen, S. Y., Huang, J. P., Li, J. X., Jia, R., Jiang, N. X., Kang, L. T., Ma, X. J., Xie, T. T.: Comparison of dust emissions, transport, and deposition between the Taklimakan Desert and Gobi Desert from 2007 to 2011, *Science China Earth Sciences*, 60: 1338CARSNETdoi: 10.1007/s11430-016-9051-0, 2017.

- de Leeuw, G., Sogacheva, L., Rodriguez, E., Kourtidis, K., Georgoulas, A. K., Alexandri, G., Amiridis, V., Proestakis, E., Marinou, E., Xue, Y., and van der A, R.: Two decades of satellite observations of AOD over mainland China using ATSR-2, AATSR and MODIS/Terra: data set evaluation and large-scale patterns, *Atmos. Chem. Phys.*, 18, 1573-1592, <https://doi.org/10.5194/acp-18-1573-2018>, 2018.
- 5 GCOS. Systematic Observation Requirements for Satellite-Based Data Products for Climate; 2011 Update, Supplemental Details to the Satellite-Based Component of the “Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC (2010 Update)”, GCOS-154; WMO: Geneva, Switzerland, 2011.
- Hsu, N. C., Jeong, M.-J., Bettenhausen, C., Sayer, A. M., Hansell, R., Seftor, C. S., Huang, J., and Tsay, S.-C.: Enhanced deep blue aerosol retrieval algorithm: The second generation, *J. Geophys. Res. Atmos.*, 118, 9296–9315, doi:10.1002/jgrd.50712, 2003.
- 10 Hsu, N. C., Jeong, M.-J., Bettenhausen, C., Sayer, A. M., Hansell, R., Seftor, C. S., Huang, J. and Tsay, S.-C.: Enhanced Deep Blue aerosol retrieval algorithm: The second generation, *J. Geophys. Res. Atmos.*, 118(16), 9296-9315, doi:10.1002/jgrd.50712, 2013.
- Istomina L., von Hoyningen-Huene, W., Kokhanovsky, A. A., Schultz, E., and Burrows, J. P.: Remote sensing of aerosols over snow using infrared AATSR observations, *Atmos. Meas. Tech.*, 4, 1133-1145, 2011.
- 15 Jin, Y., Andersson, H., and Zhang, S.: Air Pollution Control Policies in China: A Retrospective and Prospects, Levy J. K., ed., *Int. J. of Environ. Res. and Public Health*, 13(12):1219, doi:10.3390/ijerph13121219, 2016.
- Kolmonen, P., Sogacheva, L., Virtanen, T.H., de Leeuw, G., and Kulmala, M.: The ADV/ASV AATSR aerosol retrieval algorithm: current status and presentation of a full-mission AOD data set, *Int. J. of Digital Earth*, 9:6, 545-561, doi:10.1080/17538947.2015.1111450, 2016.
- 20 Koukouli, M. E., Balis, D. S., van der A, R. J., Theys, N., Hedelt, P., Richter, A., Krotkov, N., Li, C., and Taylor, M.: Anthropogenic sulphur dioxide load over China as observed from different satellite sensors, *Atm Env.*, 145, 45-59, <http://dx.doi.org/10.1016/j.atmosenv.2016.09.007>, 2016.
- Kourtidis, K., Stathopoulos, S., Georgoulas, A. K., Alexandri, G., and Rapsomanikis, S.: A study of the impact of synoptic weather conditions and water vapor on aerosol–cloud relationships over major urban clusters of China, *Atmos. Chem. Phys.*, 15, 10955-10964, <https://doi.org/10.5194/acp-15-10955-2015>, 2015.
- 25 Kuhlmann, J., and J. Quaas, J.: How can aerosols affect the Asian summer monsoon? Assessment during three consecutive pre-monsoon seasons from CALIPSO satellite data, *Atmos. Chem. Phys.*, 10, 4673–4688, doi:10.5194/acp-10-4673-2010, 2010.
- Levy, R. C., Mattoo, S., Munchak, L. A., Remer, L. A., Sayer, A. M., Patadia, F., and Hsu, N. C.: The Collection 6 MODIS aerosol products over land and ocean, *Atmos. Meas. Tech.*, 6, 2989-3034, doi:10.5194/amt-6-2989-2013, 2013.
- 30 Li, Z., Xu, H., Li, K. T., Li, D. H., Xie, Y. S., Li, L., Zhang, Y., Gu, X. F., Zhao, W., Tian, Q. J., Deng, R. R., Su, X. L., Huang, B., Qiao, Y. L., Cui, W. Y., Hu, Y., Gong, C. L., Wang, Y. Q., Wang, X. F., Wang, J. P., Du, W. B., Pan, Z. Q., Li, Z. Z., and Bu, D.: Comprehensive study of optical, physical, chemical and radiative properties of total columnar atmospheric aerosols over China: An overview of Sun-sky radiometer Observation NETwork (SONET) measurements. *Bull. Amer. Meteor. Soc.*, doi:10.1175/BAMS-D-17-0133.1, 2017.

- Luo, Y., Zheng, X., Zhao, T., and Chen, J.: A climatology of aerosol optical depth over China from recent 10 years of MODIS remote sensing data, *Int. J. Climatol.*, 34, 863-870, 2014.
- Moeller, C., Frey, R., Borbas, E., Menzel, W. P., Wilson, T., Wu, A., and Geng, X.: Improvements to Terra MODIS L1B, L2, and L3 science products through using crosstalk corrected L1B radiances, *Proc. SPIE 10402, Earth Observing Systems XXII*, 104020O (5 September 2017); doi: 10.1117/12.2274340, 2017.
- Proestakis, E., Amiridis, V., Marinou, E., Georgoulas, A. K., Solomos, S., Kazadzis, S., Chimot, J., Che, H., Alexandri, G., Biniotoglou, I., Kourtidis, K. A., de Leeuw, G., and van der A, R. J.: 9-year spatial and temporal evolution of desert dust aerosols over South-East Asia as revealed by CALIOP, *Atmos. Chem. Phys.*, 18, 1337–1362, <https://doi.org/10.5194/acp-18-1337-2018>, 2018.
- 10 Sayer, A.M., Munchak, L.A., Hsu, N.C., Levy, R.C., Bettenhausen, C., and Jeong, M.-J.: MODIS Collection 6 aerosol products: Comparison between Aqua’s e-Deep Blue, Dark Target, and “merged” data sets, and usage recommendations, *J. Geophys. Res. Atmos.*, 119, 13,965–13,989, doi:10.1002/2014JD022453, 2014.
- Sogacheva, L., Kolmonen, P., Virtanen, T. H., Rodriguez, E., Saponaro, G., and de Leeuw, G.: Post-processing to remove residual clouds from aerosol optical depth retrieved using the Advanced Along Track Scanning Radiometer, *Atmos. Meas. Tech.*, 10, 491-505, doi:10.5194/amt-10-491-2017, 2017.
- 15 Sogacheva, L., Rodriguez, E., Kolmonen, P., Virtanen, T. H., Saponaro, G., de Leeuw, G., Georgoulas, A. K., Alexandri, G., Kourtidis, K., and van der A, R. J.: Spatial and seasonal variations of aerosols over China from two decades of multi-satellite observations. Part II: AOD time series for 1995–2017 combined from ATSR ADV and MODIS C6.1 for AOD tendencies estimation, *Atmos. Chem. Phys. Discuss.*, <https://doi.org/10.5194/acp-2018-288>, in review, 2018..
- 20 Song, Y., Achberger, C., and Linderholm, H. W.: Rain-season trends in precipitation and their effect in different climate regions of China during 1961–2008, *Environ. Res. Lett.*, 6, 034025, doi:10.1088/1748-9326/6/3/034025, 2011.
- Stathopoulos, S., Georgoulas, A. K., and Kourtidis, K.: Space-borne observations of aerosol - cloud relations for cloud systems of different heights, *Atm. Res.*, 183, 191-201, 2017.
- van der A, R. J., Mijling, B., Ding, J., Koukouli, M. E., Liu, F., Li, Q., Mao, H., and Theys, N.: Cleaning up the air: effectiveness of air quality policy for SO₂ and NO_x emissions in China, *Atmos. Chem. Phys.*, 17, 1775-1789, <https://doi.org/10.5194/acp-17-1775-2017>, 2017.
- 25 Veefkind, J. P., de Leeuw, G., and Durkee, P. A.: Retrieval of aerosol optical depth over land using two-angle view satellite radiometry during TARFOX, *Geophys. Res. Lett.*, 25 (16), 3135-3138, 1998.
- Virtanen, T. H., Kolmonen, P., Sogacheva, L., Rodríguez, E., Saponaro, G., and de Leeuw, G.: Collocation mismatch uncertainties in satellite aerosol retrieval validation, *Atmos. Meas. Tech.*, 11, 925-938, <https://doi.org/10.5194/amt-11-925-2018>, 2018.
- 30 Uno, I., Eguchi, K., Yumimoto, K., Takemura, T., Shimizu, A., Uematsu, M., Liu, Z., Wang, Z., Hara, Y. and Sugimoto, N.: Asian dust transported one full circuit around the globe, *Nat. Geosci.*, 2(8), 557–560, doi:10.1038/NGEO583, 2009.

- Wang, P. , Ning, S., Dai, J., Sun, J., Lv, M., Song, Q., Dai, X., Zhao, J., and Yu, D.: Trends and Variability in Aerosol Optical Depth over North China from MODIS C6 Aerosol Products during 2001–2016, *Atmosphere*, 8, 223, doi:10.3390/atmos8110223, 2017.
- Wang, S., Xing, J., Chatani, S., Hao, J., Klimont, Z., Cofala, J., and Amann, M.: Verification of anthropogenic emissions of China by satellite and ground observations, *Atmospheric Environment* 45, 6347-6358, 2011.
- Wang, S., Li, G., Gong, Z., Du, L., Zhou, Q., Meng, X., Xie, S., and Zhou, L.: Spatial distribution, seasonal variation and regionalization of PM_{2.5} concentrations in China, *Science China – Chemistry*, Vol.58 No.9: 1435–1443, doi: 10.1007/s11426-015-5468-9, 2015.
- Wang, Y., Xin, J., Li, Z., Wang, S., Wang, P., Hao, W. M., Nordgren, B. L., Chen, H., Wang, L., and Sun, Y.: Seasonal variations in aerosol optical properties over China, *J. Geophys. Res.*, 116, D18209, doi:10.1029/2010JD015376, 2011.
- Wang, X., Huang, J. P., Ji, M.X., and Higuchi, K.: Variability of East Asia dust events and their long–term trend. *Atm. Env.*, 42, 3156-3165, 2008.
- Wilson, T., Wu, A., Shrestha, A., Geng, X., Wang, Z., Moeller, C., Frey, R. and Xiong, X.: Development and Implementation of an Electronic Crosstalk Correction for Bands 27-30 in Terra MODIS Collection 6., *Remote Sens.*, 9, 569, doi:10.3390/rs9060569, 2017.
- Winker, D. M., Vaughan, M. A., Omar, A., Hu, Y., Powell, K. A., Liu, Z., Hunt, W. H. and Young, S. A.: Overview of the CALIPSO Mission and CALIOP Data Processing Algorithms, *J. Atmos. Ocean. Technol.*, 26(11), 2310–2323, doi:10.1175/2009JTECHA1281.1, 2009.
- Xin, J., Wang, Y., Pan, Y., Ji, D., Liu, Z., Wen, T., Wang, Y., Li, X., Sun, Y., Sun J., Wang P., Wang G., Wang X., Cong Z., Song T., Hu B., Wang L., Tang G., Gao W., Guo Y., Miao H., Tian S., Wang L.; The Campaign on Atmospheric Aerosol Research Network of China: CARE-China; *Bull. Amer. Meteor. Soc.*, 96(7), 1137–1155, 2015.
- Zhang, L., Sun, J. Y., Shen, X. J., Zhang, Y. M., Che, H., Ma, Q. L., Zhang, Y. W., Zhang, X. Y., and Ogren, J. A.: Observations of relative humidity effects on aerosol light scattering in the Yangtze River Delta of China, *Atmos. Chem. Phys.*, 15, 8439-8454, <https://doi.org/10.5194/acp-15-8439-2015>, 2015.
- Zhang, X., Lu, Y., Wang, Q., and Qian, X.: A high-resolution inventory of air pollutant emissions from crop residue burning in China, *Atmos. Chem. Phys. Discuss.*, <https://doi.org/10.5194/acp-2017-1113>, in review, 2018.
- Zhang, J., Reid, J.S., Alfaro-Contreras, R., and Xian, P.: Has China been exporting less particulate air pollution over the past decade? *Geophys. Res. Lett.*, 44, 2941–2948, doi:10.1002/2017GL072617, 2017.
- Zhao, B., Jiang, J.H., Gu, Y., Diner, D., Worden, J., Liou, K.-N., Su, H., Xing, J., Garay, M., and Huang, L.: Decadal-scale trends in regional aerosol particle properties and their linkage to emission changes, *Environ. Res. Lett.*, 054021, doi.org/10.1088/1748-9326/aa6cb2, 2017.
- Zhu, J. L., Liao, H., and Li, J. P.: Increases in aerosol concentrations over eastern China due to the decadal-scale weakening of the East Asian summer monsoon, *Geophys. Res. Lett.*, 39, L09809, doi:10.1029/2012GL051428, 2012.