1 Analyzing the turbulent Planetary Boundary Layer by

² remote sensing systems: Doppler wind lidar, aerosol

3 elastic lidar and microwave radiometer

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14 Abstract

The Planetary Boundary Layer (PBL) is the lowermost region of troposphere and endowed with turbulent 15 16 characteristics, which can have mechanical and/or thermodynamic origins. Such behavior gives to this layer 17 great importance, mainly in studies about pollutant dispersion and weather forecasting. However, the 18 instruments usually applied in studies about turbulence in the PBL have limitations in spatial resolution 19 (anemometer towers) or temporal resolution (instrumentation onboard aircraft). Ground-based remote 20 sensing, both active and passive, offers an alternative for studying the PBL. In this study we show the 21 capabilities of combining different remote sensing systems (microwave radiometer [MWR], Doppler lidar 22 [DL] and elastic lidar [EL]) for retrieving a detailed picture on the PBL turbulent features. The statistical 23 moments of the high frequency distributions of the vertical wind velocity, derived from DL and of the 24 backscattered coefficient derived from EL, are corrected by two methodologies, namely first lag and -2/3 25 correction. The corrected profiles, obtained from DL data, present small differences when compared against 26 the uncorrected profiles, showing the low influence of noise and the viability of the proposed methodology. 27 Concerning EL, in addition to analyze the influence of noise, we explore the use of different wavelengths 28 that usually include EL systems operated in extended networks, like EARLINET, LALINET, MPLNET or 29 SKYNET. In this way we want to show the feasibility of extending the capability of existing monitoring 30 networks without strong investments or changes in their measurements protocols. Two case studies were 31 analyzed in detail, one corresponding to a well-defined PBL and another one corresponding to a situation 32 with presence of a Saharan dust lofted aerosol layer and clouds. In both cases we discuss results provided 33 by the different instruments showing their complementarity and the cautions to be applied in the data 34 interpretation. Our study shows that the use of EL at 532nm requires a careful correction of the signal using 35 the first lag time correction in order to get reliable turbulence information on the PBL.

Keywords: Turbulence, Planetary Boundary Layer, Doppler lidar, elastic lidar, microwave radiometer,
 Earlinet.

38 1 Introduction

39 The Planetary Boundary Layer (PBL) is the atmospheric layer directly influenced by the Earth's surface 40 that responds to its changes within time scales around an hour (Stull, 1988). Such layer is located at the 41 lowermost region of troposphere, and is mainly characterized by turbulent processes and a daily evolution 42 cycle. In an ideal situation, some instants after sunrise, the ground surface temperature increases due to the 43 positive net radiative flux (R_n). This process intensifies the convection, where there is an ascension of warm 44 air masses, causing the downward displacement of colder air masses and consequently originating the 45 Convective Boundary Layer (CBL) or Mixing Layer (ML). Such layer has this name due to the mixing 46 process generated by the ascending air parcels. Slightly before sunset, the gradual reduction of incoming 47 solar irradiance at the Earth's surface causes the decrease of the positive R_n and, consequently, its sign 48 change. In this situation, there is a reduction of the convective processes and a weakening of the turbulence. 49 In this process the CBL leads to the development of two layers, namely a stably stratified boundary layer 50 called Stable Boundary Layer (SBL) close to the surface, and the Residual Layer (RL) that contains features 51 from the previous day's ML and is just above the SBL.

Knowledge of the turbulent processes in the *CBL* is important in diverse studies, mainly for atmospheric modeling and pollutant dispersion, since turbulent mixing can be considered as the primary process by which aerosol particles and other scalars are transported vertically in atmosphere. Because turbulent processes are treated as nondeterministic, they are characterized and described by their statistical properties (high order statistical moments). When applied to atmospheric studies such analysis provide information about the field of turbulent fluctuation, as well as, a description of the mixing process in the *PBL* (Pal et al., 2010).

Anemometer towers have been widely applied in studies about turbulence (e.g., Kaimal and Gaynor, 1983; van Ulden and Wieringa, 1996), however the limited vertical range of these equipment restrict the analysis to regions close to surface. Aircraft have also been used in atmospheric turbulence studies (e.g., Lenschow et al., 1980; Williams and Hacker, 1992; Lenschow et al., 1994; Albrecht et al., 1995; Stull et al., 1997; Andrews et al., 2004; Vogelmann et al., 2012), nevertheless their short time window limits the analysis. In this scenario, systems with high spatial and temporal resolution and enough range are necessary in order to provide more detailed results along the day throughout the whole thickness of the *PBL*.

66 In the last decades, lidar systems have been increasingly applied in this kind of study due to their large 67 vertical range, high data acquisition rate and capability to detect several observed quantities such as vertical 68 wind velocity [Doppler lidar] (e.g. Lenschow et al., 2000; Lothon et al., 2006; O'Connor et al., 2010), water 69 vapor [Raman lidar and DIAL] (e.g. Wulfmeyer, 1999; Kiemle et al., 2007; Wulfmeyer et al., 2010; Turner 70 et al., 2014; Muppa et al., 2015), temperature [rotational Raman lidar] (e.g. Behrendt et al., 2015) and 71 aerosol [elastic lidar] (e.g. Pal et al., 2010; McNicholas et al., 2015). This allows the observation of a wide 72 range of atmospheric processes. For example, Pal et al. (2010) demonstrated how the statistical analyses 73 obtained from high-order moments of elastic lidar can provide information about aerosol plume dynamics 74 in the PBL region. In addition, when different lidar systems operate synergistically, as for example in

75 Engelmann et al. (2008), who combined elastic and Doppler lidar data, it is possible to identify very76 complex variables such as vertical particle flux.

77 Different works (Ansmann et al., 2010; O'Connor et al., 2010) have evidenced the feasibility for 78 characterizing the PBL turbulence by DL. Pal et al. (2010) have shown the feasibility for retrieving 79 information on the PBL turbulence from high high-order moments of elastic lidar operating at 1064. Such 80 approaches are even more attractive when considering facilities of networks, e. g. European Aerosol 81 Research Lidar NETwork (EARLINET) (Pappalardo et al., 2014), Microwave Radiometer Network 82 (MWRNET) (Rose et al., 2005; Caumont et al., 2016) and ACTRIS CLOUDNET (Illingworth et al., 2007). 83 For these reasons, and having in mind the wide spread of elastic lidar systems operated at other wavelengths, 84 like 532 nm or 355 nm, it would be worthy test the feasibility of these other wavelengths in the 85 characterization of the PBL turbulent behavior.

The use of simple techniques, applied to the aforementioned remote systems provide robust and similar information on the *PBL* height (*PBLH*) during the convective period (see for example Moreira et al, 2018), or a complementary information when the *CBL* is substituted by the presence of the *SBL* and the *RL* (Moreira et al., in preparation). Thus, the combination of information obtained from the active remote sensing systems, *DL* and *EL*, acquired with a temporal resolution close to 1 s, and that provided by *MWR* can provide a detailed understanding about different features of the *PBL*, like structure (*CBL* versus *SBL* and *RL*), height of the layers, rate of growth of the *PBLH* and turbulence.

93 In this study we show the feasibility of obtaining a clear insight on the *PBL* behavior using a combination 94 of active and passive remote sensing systems (Elastic Lidar [EL], Doppler Lidar [DL] and Microwave 95 Radiometer [MWR]) acquired during the SLOPE-I campaign, held at IISTA-CEAMA (Andalusian Institute 96 for Earth System Research, Granada, Spain) from May to August 2016. One of the goals is to show the 97 feasibility of using EL at 532 nm, considering the widespread use of lidar systems based on laser emission 98 at this wavelength in different coordinated networks, like as EARLINET (Pappalardo et al., 2014) and 99 LALINET - Latin American LIdar Network (Guerrero-Rascado et al., 2016). In addition, this study shows 100 the variety of application that can be done with EARLINET data applying some simple changes in the data 101 acquisition procedures.

This paper is organized as follows. Description of the experimental site and the equipment setup are
 presented in Section 2. The methodologies applied are introduced in Section 3. Section 4 presents the results
 of the analyses using the different methodologies. Finally, conclusions are summarized in Section 5.

105

106 2 Experimental site and instrumentation

107 The SLOPE-I (Sierra nevada Lidar aerOsol Profiling Experiment) campaign was performed from May to
 108 September 2016 in South-Eastern Spain in the framework of the European Research Infrastructure for the

109 observation of Aerosol, Clouds, and Trace gases (ACTRIS). The main objective of this campaign was to

110 perform a closure study by comparing remote sensing system retrievals of atmospheric aerosol properties,

111 using remote systems operating at the Andalusian Institute of Earth System Research (IISTA-CEAMA)

112 and in-situ measurements operating at different altitudes in the Northern slope of Sierra Nevada, around 20 113 km away from IISTA-CEAMA (Bedoya-Velásquezet al., 2018; Román et al., 2018). The IISTA-CEAMA

114

station is part of EARLINET (Pappalardo et al, 2014) since 2005 and at present is an ACTRIS station

115 (http://actris2.nilu.no/). The research facilities are located at Granada, a medium size city in Southeastern

116 Spain (Granada, 37.16°N, 3.61°W, 680 m a.s.l.), surrounded by mountains and with Mediterranean-117 continental climate conditions that are responsible for cool winters and hot summers. Rain is scarce,

118 especially from late spring to early autumn. Granada is affected by different kind of aerosol particles locally

- 119 originated and medium-long range transported from Europe, Africa and North America (Lyamani et al.,
- 120 2006; Guerrero-Rascado et al., 2008, 2009; Titos et al., 2012; Navas-Guzmán et al., 2013; Valenzuela et
- 121 al., 2014, Ortiz-Amezcua et al, 2014, 2017).

122 MULHACÉN is a biaxial ground-based Raman lidar system operated at IISTA-CEAMA in the frame of 123 EARLINET research network. This system operates with a pulsed Nd:YAG laser, frequency doubled and 124 tripled by Potassium Dideuterium Phosphate crystals, emitting at wavelengths of 355, 532 and 1064 nm 125 with output energies per pulse of 60, 65 and 110 mJ, respectively. MULHACÉN operates with three elastic 126 channels: 355, 532 (parallel and perpendicular polarization) and 1064 nm and three Raman-shifted 127 channels: 387 (from N₂), 408 (from H₂O) and 607 nm (from N₂). MULHACÉN's overlap is complete at 128 90% between 520 and 820 m a.g.l. for all the wavelengths, reaching full overlap around 1220 m a.g.l. 129 (Navas-Guzmán et al., 2011; Guerrero-Rascado et al. 2010). Calibration of the depolarization capabilities 130 is done following Bravo-Aranda et al. (2013). This system was operated with a temporal and spatial 131 resolution of 2 s and 7.5 m, respectively. More details can be found at Guerrero-Rascado et al. (2008, 2009).

132 The Doppler lidar (Halo Photonics, model Stream Line XR) is also operated at IISTA-CEAMA. This 133 system works in continuous and automatic mode from May 2016. It operates at 1.5 µm with pulse energy 134 and repetition rate of 100 µJ and 15 KHz, respectively. This system records the backscattered signal with a 135 range resolution of 30 m in 300 range gates with the first range gate starting at 60 m from the instrument. 136 The telescope focus is set to approximately 800 m. The instrument was operated in vertical stare mode with 137 a temporal resolution of 2 s.

138 Furthermore, we operated the ground-based passive microwave radiometer (RPG-HATPRO G2, 139 Radiometer Physics GmbH), which is member of the MWRnet [http://cetemps.aquila.infn.it/mwrnet/]. This 140 system operates in automatic and continuous mode at IISTA-CEAMA since November 2011. The 141 microwave radiometer (MWR) measures the sky brightness temperature with a radiometric resolution 142 between 0.3 and 0.4 K root mean square error at 1 s integration time, using direct detection receivers within 143 two bands: K-band (water vapor – frequencies: 22.24 GHz, 23.04 GHz, 23.84 GHz, 25.44 GHz, 26.24 GHz, 144 27.84 GHz, 31.4 GHz) and V-band (oxygen - frequencies: 51.26 GHz, 52.28 GHz, 53.86 GHz, 54.94 GHz, 145 56.66 GHz, 57.3 GHz, 58.0 GHz). From these bands is possible to obtain profiles of water vapor and 146 temperature, respectively, by inversion algorithms described in Rose et al. (2005). The range resolution of 147 these profiles vary between 10 and 200 m in the first 2 km and between 200 and 1000 m in the layer between

148 2 and 10 km (Navas-Guzmán et al., 2014). The meteorological sensor (HMP60, Vaisala) is used to register the air surface temperature and surface relative humidity, with a temporal resolution of 1 minute. Relative humidity is monitored with an accuracy of \pm 3%, and air surface temperature is acquired with an accuracy and precision of 0.6° C and 0.01° C, respectively.

A CM-11 pyranometer manufactured by Kipp&Zonen (Delft, The Netherlands) is also installed in the ground-based station. This equipment measures the shortwave (SW) solar global horizontal irradiance data (305-2800 nm). The CM-11 pyranometer complies with the specifications for the first-class WMO (World Meteorological Organization) classification of this instrument (resolution better than ±5 Wm⁻²), and the calibration factor stability has been periodically checked against a reference CM-11 pyranometer (Antón et. al, 2012).

159 3 Methodology

160 3.1 MWR data analysis

161 The MWR data are analyzed combining two algorithms, Parcel Method [*PM*] (Holzworth, 1964) and 162 Temperature Gradient Method [*TGM*] (Coen, 2014), in order to estimate the *PBL* Height (*PBLH_{MWR}*) in 163 convective and stable situations, respectively. The different situations are discriminated by comparing the 164 surface potential temperature ($\theta(z_0)$) with the corresponding vertical profile of $\theta(z)$ up to 5 km. Those 165 cases where all the points in the vertical profile have values larger than $\theta(z_0)$ are labeled as stable, and 166 *TGM* is applied. Otherwise the situation is labeled as unstable and the *PM* is applied. The vertical profile 167 of $\theta(z)$ is obtained from the vertical profile of T(z) using the following equation (Stull, 2011):

168
$$\theta(z) = T(z) + 0.0098 * z$$
 (1)

169 where T(z) is the temperature profile provided by MWR, z is the height above the sea level, and 0.0098 170 K/m is the dry adiabatic temperature gradient. A meteorological station co-located with the MWR is used 171 to detect the surface temperature $[T(z_0)]$. In order to reduce the noise, $\theta(z)$ profiles were averaged 172 providing a PBLH_{MWR} value at 30 minutes intervals. This methodology of PBLH detection was selected as 173 the reference due to the results obtained during a performed intercomparison campaign between MWR and 174 radiosonde data, where twenty-three radiosondes were launched. High correlations were found between 175 *PBLH* retrievals provided by both instruments in stable and unstable cases. Further details are given by 176 Moreira et al. (2018a).

177 **3.2 Lidar retrieval of the PBLH.**

The simple processing of *DL* and *EL* data allows the estimation of the *CBL* height. Moreira et al. (2018),
have discussed this issue in depth, while Moreira et al. (in preparation) have exploited the complementarity
of the data obtained from distinct remote sensing systems in order to distinguish the sublayers during the

- period when the *SBL* and *RL* substitute the *CBL*, as well as, in complex situations, like as, presence of dustlayers.
- 183 The *PBLH* obtained from *DL* data (*PBLH_{Doppler}*) is estimated from variance threshold method. In this

184 method the *PBLH*_{Doppler} is attributed to height where the variance of vertical wind speed (σ_w^2) is lower than

- 185 a determinate threshold, which was adopted as 0.16 m²/s² (Moreira et al., 2018). For the $PBLH_{Doppler}$
- 186 calculations was selected a time interval of 30 minutes. In concerning the PBLH obtained from EL
- 187 (PBLH_{Elastic}), the variance method is applied. Such method assumes the maximum of the variance of
- 188 Range Corrected Signal (σ_{RCS}^2) as $PBLH_{Elastic}$ (Moreira et al., 2015). The σ_{RCS}^2 is obtained from a time 189 interval of 30 minutes.

190 **3.3 Lidar turbulence analysis**

Both lidar systems, *DL* and *EL*, gathered data [q(z, t)] with a temporal resolution of 2 seconds. Then, the data are averaged in 1-hour packages, from which the mean value is extracted $[\bar{q}(z)]$. Such mean value is subtracted from each q(z, t) profile in order to estimate the vertical profile of the fluctuation for the measured variable [q'(z, t)] (i.e. vertical velocity for the *DL*):

195
$$q'(z,t) = q(z,t) - \bar{q}(z)$$
 (2)

196 Then, from q'(z, t) is possible to obtain the high-order moments (variance (σ^2) , skewness (S) and kurtosis 197 (K)), as well as, the integral time scale (τ - which is the time over which the turbulent process are highly 198 correlated to itself) as shown in Table 1. These variables can also be obtained from the following 199 autocovariance function, M_{ij} :

200
$$M_{ij} = \int_{0}^{t_f} [q'(z,t)]^i [q'(z,t+t_f)]^j dt \quad (3)$$

201 where t_f is the final time, *i* and *j* indicate the order of autocovariance function.

202 However, it is necessary to considerer that the acquired real data contain instrumental noise, $\varepsilon(z)$. 203 Therefore, the equation 3 can be rewritten as:

204
$$M_{ij} = \int_{0}^{\tau} [q(z,t) + \varepsilon(z,t)]^{i} [q(z,t+\tau) + \varepsilon(z,t+\tau)]^{j} dt \quad (4)$$

The autocovariance function of a time series with zero lag results in the sum of the variances of the atmospheric variable and its $\varepsilon(z)$. Nevertheless, atmospheric fluctuations are correlated in time, but the $\varepsilon(z)$ is random and uncorrelated with the atmospheric signal. Consequently, the noise is only associated with lag 0 (Fig. 1). Based on this concept Lenschow et al. (2000) suggested to obtain the corrected autocovariance function, $M_{11}(\rightarrow 0)$, from two methods, namely first lag correction or -2/3 law correction. In the first method, $M_{11}(\rightarrow 0)$ is obtained directly by the subtraction of lag 0, $\Delta M_{11}(0)$, from the autocovariance function, $M_{11}(0)$. In the second method $M_{11}(\rightarrow 0)$ is generated by the extrapolation of 212 $M_{11}(0)$ at firsts nonzero lags back to lag zero (-2/3 law correction). The extrapolation can be performed 213 using the inertial subrange hypothesis, which is described by the following equation (Monin and Yaglom, 214 1979):

215
$$M_{11}(\to 0) = \overline{q'^2(z,t)} + Ct^{2/3}(5)$$

where C represents a parameter of turbulent eddy dissipation rate. The high-order moments and τ corrections and errors are shown in Table 1 (columns 2 and 3, respectively).

The same procedure of analysis is applied in studies with DL and EL, being the main difference the tracer used by each system, which are the fluctuation of vertical wind speed (w') for DL and aerosol number density (N') for EL. DL provides w(z, t) directly, and therefore the procedure described in Figure 2 can be directly applied. Thus, the two corrections described above are applied separately and finally τ and highorder moments with and without corrections can be estimated.

223 On the other hand, the *EL* does not provide N(z, t) directly. Under some restrictions, it is possible to ignore 224 the particle hygroscopic growth and to assume that the vertical distribution of aerosol type does not changes 225 with time, and to adopt the following relation (Pal et al., 2010):

226
$$\beta_{par}(z,t) \approx N(z,t)Y(z) \Rightarrow \beta'_{par}(z,t) = N'(z,t)$$
(6)

227 where β_{par} and β'_{par} represent the particle backscatter coefficient and its fluctuation, respectively, and 228 Y(z) does not depend on time.

229 Considering the lidar equation:

230
$$P_{\lambda}(z) = P_0 \frac{ct_d}{2} AO(z) \frac{\beta_{\lambda}(z)}{z^2} e^{-2\int_0^z \alpha_{\lambda}(z'dz')}$$
(7)

where $P_{\lambda}(z)$ is the signal returned from distance *z* at time *t*, *z* is the distance [m] from the lidar of the volume investigated in the atmosphere, P_0 is the power of the emitted laser pulse, *c* is the light speed [m/s], *t*_d is the duration of laser pulse [ns], *A* is the area [m²] of telescope cross section, O(z) is the overlap function, $\alpha_{\lambda}(z)$ is the total extinction coefficient (due to atmospheric particles and molecules) [(km)⁻¹] at distance *z*, $\beta_{\lambda}(z)$ is the total backscatter coefficient (due to atmospheric particles and molecules) [(km·sr)⁻ 1] at distance *z* and the subscript λ represents the wavelength. The two path transmittance term related to $\alpha(z)$ is considered as nearly negligible at 1064 nm (Pal et al., 2010). Thus, it is possible to affirm that:

238
$$RCS_{1064}(z) = P(z)_{1064} \cdot z^2 \cong G \cdot \beta_{1064}(z)$$
(8)

and consequently:

240
$$RCS'_{1064}(z,t) \cong \beta'_{1064}(z,t) = \beta'_{nar}(z,t) = N'(z,t)$$
(9)

where RCS_{1064} and RCS'_{1064} are the range corrected signal and its fluctuation, respectively, *G* is a constant and the subscripts represent the wavelength.

- 243 In this way, Pal et al. (2010) have shown the feasibility of using *EL* operating at 1064 nm for describing
- the atmospheric turbulence. However, having in mind the more extended use of lidar systems based on
- laser emission at 532 nm in different coordinated networks, e.g., in EARLINET and LALINET around 76%
- and 45% of the systems include the wavelength of 1064 nm, while 95% of the EARLINET systems and
- 247 73% of the LALINET systems operate systems that include the wavelength 532 nm (Guerrero-Rascado et
- 248 al., 2016), in this study we evaluate using RCS_{532} fluctuations to determine turbulence following the
- procedure described in Figure 3. This *EL* methodology is very similar to that described earlier for *DL*.
- 250 we perform the validation of the RCS_{532} in analyses about turbulence using EL, following the procedure
- described in Figure 3, which is basically the same methodology described earlier for *DL*.

252 4 Results

253 4.1 Error Analysis

The influence of random error in noisy observations rapidly grows for higher-order moments (i.e., the influence of random noise is much larger for the fourth-order moment than for the third-order moment). Therefore, the first step, in order to ascertain the applied methodology and our data quality, we performed the error treatment of *DL* data as described in Figure 2. For the *DL* analysis we selected the period 08-09 UTC of 19th May, the same day that will be presented in Case Study 1. This day is characterized by a welldefined PBL.

Figure 4 illustrates the autocovariance function, generated from w', at three different heights. As mentioned before, the lag 0 is contaminated by noise (ε), and thus the impact of the ε increases together with height, mainly above $PBLH_{MWR}$ (1100 m a.g.l. in our example).

- Figure 5-A illustrates the comparison between integral time scale (τ_{wr}) without correction and the two corrections cited in section 3.2. Except for the first height-bins, below the *PBLH_{MWR}* the profiles have little differences, as well as small errors bars. Above the *PBLH_{MWR}* the first lag correction presents higher differences in relation to the other profiles at around 1350 m.
- Figures 5-B and 5-C show the comparison of variance (σ_{wr}^2) and skewness (S_{wr}) , respectively, with and without corrections. The profiles corrected by -2/3 law do not present significant differences in comparison to uncorrected profiles. On the other hand, the profiles corrected by the first lag correction have slight differences below the *PBLH_{MWR}*, mainly the σ_{wr}^2 (S_{wr} only in the first 50 m). Therefore, considering high Signal-to-Noise Ratio (*SNR*) conditions, although the presence of ε can change slightly the value of high order moments, it is not enough to distort the observed phenomena as shown by the impact of the corrections applied.
- For *EL* we use the same procedure for the correction and error analysis that we apply to the *DL* data. The same day was chosen $(19^{th} May)$, however the period selected is between 12 and 13 UTC, due to the
- 276 incomplete overlap of MULHACÉN.

277 In this sense, we studied the influence of noise at two wavelengths: 1064 nm, that has been previously

analyzed by Pal et al. (2010) as presented in the section 2 and adopted as reference (considering the rather

low impact of molecular signal and the two ways transmittance shown in 9) and 532 nm, just in order to

280 check the feasibility of this wavelength for turbulence studies considering its widespread use in observation

- 281 networks (Pappalardo et al., 2014; Guerrero-Rascado et al., 2016). Figures 6 and 7 shows the
- autocovariance function, obtained from RCS'_{1064} and RCS'_{532} , respectively, at three distinct heights. As
- 283 expected, ε increases with range, principally above the *PBLH_{MWR}*. However, the wavelength 532 nm is
- 284 more influenced by the noise, what can be verified by the higher peak at lag 0 in figure 7, in comparison
- with peaks at same lag in figure 6.
- 286 Although the level of influence of ε in each wavelength depends on the SNR of them (which is associated 287 to technical factors such as laser output power, filters, type of detectors), considering the proposed 288 methodology, to evaluate the composition of each wavelength is also important. The large contribution of 289 $\beta_{Molecular}^{532}$ to the total β at 532 nm in comparison with the behavior at 1064 nm, can influence the results 290 obtained from such wavelength, because our methodology is based on the use of $\beta'_{Aerosol}$. In addition, the 291 larger extinction (due to both aerosol particles and molecules) at 532 nm produces a lower two-way 292 transmittance, resulting in the reduction of the SNR values at this wavelength. As we used Elastic lidar 293 technique, we could not calculate aerosol extinction profiles, but an estimation of these transmittances was 294 done on the basis of Klett method (Klett, 1985). With this method, a constant lidar ratio value was 295 constrained for each profile using the AOD derived from a collocated AERONET Sun-photometer 296 (Guerrero-Rascado et al., 2008). Using these constrained lidar ratios, the transmittances were calculated 297 together with aerosol backscatter profiles, integrated up to 2.5 km. The estimated two-way transmittance was 0.85 for the case analyzed in this subsection (19th May). 298
- 299 Figures 8-A, 8-B, 8-C and 8-D show the vertical profiles of $\tau_{RCS'}$, $\sigma_{RCS'}^2$, $S_{RCS'}$ and kurtosis ($K_{RCS'}$), 300 respectively, obtained at 1064 nm, with and without the corrections described in section 3.2. In general, the 301 corrections do not affect the profiles generated from 1064 nm data in a significant way, so that, the higher 302 influence of corrections is observed in the $K_{RCS'}$ profile, which is underestimated in some regions. In the 303 figures 9-A, 9-B, 9-C and 9-D we show same high order moments calculated from 532 nm data. As the 304 complexity of moments increases, it is possible to observe the larger influence of the corrections, due to 305 propagation of noise. Nonetheless, the application of the corrections, mainly first lag correction, make these 306 profiles very similar to those generated from the wavelength 1064 nm, so that the same phenomena can be 307 observed in both.
- Therefore, in spite of the larger attenuation expected at 532 nm wavelength, which reduces the *SNR* of the profiles in comparison with 1064 nm, the application of the proposed corrections, mainly the first lag, reduces significantly such influence and enable the observation of the same phenomena detected in the high-order moments obtained from 1064 nm. Consequently, the wavelength 532 nm will be applied in the analysis presented in section 4.2. The first lag correction was adopted as default due to it generates more relevant results in comparison with the -2/3 law correction, providing a more careful analysis.

314 4.2 Case studies

315 In this section we present two study cases, in order to show how the products indicated in table 2 can

- provide a detailed description about the turbulence in the *PBL*. The first case represents a typical day with
- 317 a clear sky situation. The second case corresponds to a more complex situation, where there is presence of
- 318 clouds and Saharan mineral dust layers.

319 4.2.1 Case study I: clear sky situation

- In this case study we use measurements gathered with *DL*, *MWR* and pyranometer during 24 hours. The
 EL was operated under operator-supervised mode between 08:20 to 18:00 UTC.
- Figure 10 (A) shows the integral time scale obtained from *DL* data (τ_{wt}). The gray area represents the region

where it is not possible to analyze the turbulent process from our *DL* data, either because of the low *SNR* values, which results in null values of the $\tau_{w'}$, or due to regions where the $\tau_{w'}$ is not null, but it is lower than the acquisition time of the *DL*. However, the gray area is located almost entirely above the *PBLH_{MWR}*

- 326 (white stars).
- 327 The $\sigma_{w'}^2$ has low values during the entire period when the *SBL* is present (Figure 10-B). Nevertheless, as air
- temperature begins to increase (around 07:00 UTC), the $\sigma_{w'}^2$ increases together, as well as, the *PBLH_{MWR}*.
- The $\sigma_{w'}^2$ reaches its maximum values in the middle of the day, when we also observe the maximum values of air temperature and *PBLH_{MWR}*.. The combination of $\sigma_{w'}^2$ and *PBLH_{MWR}* provides us a better comprehension about the *PBLH* growth speed, so that, in the moments where high values of $\sigma_{w'}^2$ are observed, it means higher values of Turbulent Kinetic Energy (*TKE*), which favor the fast ascension of
- **333** *PBLH*.
- The skewness of $w'(S_{w'})$ is shown in Figure 11-C. The $S_{w'}$ describes the distribution of the turbulent 334 335 velocities. Thus positive S_{w} , implies strong but narrow updrafts surrounded by weaker but more widespread 336 downdrafts, and vice versa for negative S_{wi} . Consequently, positive values (red regions) correspond with a 337 surface-heating-driven boundary layer, while negative (blue regions) ones are associated to cloud-top long-338 wave radiative cooling. During the stable period, there is predominance of low absolute values of S_{wr} . 339 Nevertheless, as air temperature increases (transition from stable to unstable period), S_{wr} values begin to 340 become larger. Air temperature begins to decrease around 18:00 UTC, and there is a reduction of S_{wr} , so 341 that, the generation rate of convective turbulence decreases. Therefore, the turbulence cannot be maintained 342 against dissipation, then the CBL becomes a SBL covered by the RL. Thus, the reduction observed in the 343 $PBLH_{MWR}$ is due to the detection of SBL height.
- Figure 10-D shows the values of net surface radiation (R_n) that are estimated from solar global irradiance
- values using the seasonal model described in Alados et al. (2003). The negative values of R_n are
- 346 concentrated in the stable region. The R_n begins to increase around 06:00 UTC and reaches its maximum
- in the middle of the day. Comparing figures 8-C and 8-D, we can observe similarity among the behavior of

- 348 $S_{w'}$ and R_n , so that, the joint analysis of these variables reinforce the characterization of this *PBL* as surface-349 heating-driven *CBL*.
- 350 Figure 10-E presents the values of surface air temperature and surface relative humidity (*RH*). Air surface
- temperature has a pattern of increase and decrease similar to observed in R_n and $S_{w'}$. On the other hand, *RH* is inversely correlated with temperature.
- 353 Figure 11 shows the RCS₅₃₂ profile obtained from 08:00 to 18:00 UTC. At the beginning of the 354 measurement period (08:20 to 10:00 UTC) it is possible to observe the presence of a thin residual layer 355 (around 2000 m a.s.l.), and later from 13:00 to 18:00 UTC it is evident a lofted aerosol layer. In this picture 356 there are the $PBLH_{MWR}$ (pink stars), the $PBLH_{Doppler}$ (blue stars), obtained from the maximum of $\sigma_{w'}^2$ 357 (Moreira et al., 2018a), and the *PBLH_{Elastic}* (black stars), obtained from the maximum of $\sigma_{RCS'}^2$ (Moreira 358 et al., 2015). In the initial part of measurement, all profiles have similar behavior. However due to distinct 359 *PBLH* definition and tracer applied by each one, the differences increase as *CBL* becomes more complex, 360 e.g. the presence of lofted aerosol layer at 14 UTC. The joint observation of the results provided by these 361 three methods can provide us information about the sublayers in the PBL, both in convective and stable 362 situations. Due to low variability of PBLH, the period between 13:00 and 14:00 UTC has been selected to
- be analyzed from the high order moments.
- Figure 12 presents the statistical moments generated from RCS' of wavelength 532 nm, which were obtained from 13:00 and 14:00 UTC. The red line in all graphics represent the $PBLH_{Elastic}$ (2200 m a.s.l.) and the blue one the average value of $PBLH_{MWR}$ (2250 m a.s.l.), both obtained between 13 and 14 UTC.
- 367 Due to presence of a decoupled aerosol layer at 13:30, the average values of PBLH_{Elastic} and PBLH_{MWR} 368 have a difference of around 500 m. The σ_{RCS}^2 , has small and practically constant values between 1000 and 369 1400m, evidencing the homogeneity of aerosol distribution in this region. From 1400 m the value of σ_{RCS}^2 , 370 begins to increase, reaching a positive peak at PBLH_{MWR}, which represents the Entrainment Zone (region 371 characterized by an intense mixing between air parcels coming from CBL and Free Troposphere (FT), 372 causing a high variation in aerosol concentration). The PBLH_{Elastic} observed at approximately 2900 m 373 demonstrate an inherent difficulty of variance method to detect the PBLH in the presence of several aerosol 374 layers (Kovalev and Eichinger, 2004). Above PBLH_{Elastic} the values of $\sigma_{RCS'}^2$ decrease slowly due to 375 location of the lofted aerosol around 2500 m. However, above this aerosol layer the value of σ_{RCS}^2 is 376 reduced to zero, indicating a large homogeneity in aerosol distribution at this region, what is expected, 377 because the aerosol concentration at the FT is negligible in this case. The integral time scale obtained from 378 RCS' ($\tau_{RCS'}$) has values higher than EL time acquisition throughout the CBL, evidencing the feasibility for 379 studying turbulence using this elastic lidar configuration. The skewness values obtained from RCS' ($S_{RCS'}$) 380 give us information about aerosol motion. The positive values of $S_{RCS'}$ observed in the lowest part of profile 381 and above the $PBLH_{Elastic}$ represents the updrafts aerosol layers. The negative values of S_{RCS} , indicates the 382 region with low aerosol concentration due to clean air coming from FT. This movement of ascension of 383 aerosol layers and descent of clean air with zero value of S_{RCS} , at PBLH (characteristic of the CBL growing) 384 was also detected by Pal et al. (2010) and McNicholas et al. (2014). The kurtosis of $RCS'(K_{RCS})$ determines 385 the level of mixing at different heights. There are values of K_{RCS} , larger than 3 in the lowest part of profile

- and around 2500 m, showing a peaked distribution in this region. On other hand, values of K_{RCS} lower than
- 387 3 are observed close to the $PBLH_{Elastic}$, therefore this region has a well-mixed CBL regime. Pal et al. (2010)
- and McNicholas et al. (2014) also detected this feature in the region nearby the *PBLH*. In figure 13 are
- shown the high-order moments obtained at the same period described above, however from the 1064 nm
- data (our reference wavelength). It is possible to observe a similarity between the profiles obtained from
- each wavelength, so that, the same phenomena observed in the profiles generated from 532 nm and
- described above, also are detected in the profiles obtained from the reference wavelength.
- 393 The results provided by *DL*, pyranometer and *MWR* data agree with the results observed in figures 12 and
- 13. In the same way, the analysis of high order moments of RCS' fully agree with the information in Figure
- 395 10. Thus, the large values of $S_{RCS'}$ and $K_{RCS'}$ detected around 2500 m a.s.l, where we can see a lofted aerosol
- layer, suggest the ascent of an aerosol layer and presence of a peaked distribution, respectively.

397 4.2.2 Case study: dusty and cloudy scenario

In this case study measurements with *DL*, *MWR* and pyranometer expand during 24 hours, while *EL* dataare collected from 09:00 to 16:00 UTC.

- Figure 14-A shows τ_{wl} . Outside the period 13:00 to 17:00 UTC, the greatest part of grey area is situated above the *PBLH_{MWR}* (white stars), thus *DL* time acquisition is enough to perform studies about turbulence in this case.
- 403 $\sigma_{w'}^2$ has values close to zero during all the stable period (Figure 14-B). However, when air temperature 404 begins to increase (around 06:00 UTC), the $\sigma_{w'}^2$ also increases and reaches its maximum in the middle of 405 the day. The higher values of *PBL* growth speed are observed in the moments where $\sigma_{w'}^2$ reaches its 406 maximum values. In the late afternoon, as air temperature decrease, the values of $\sigma_{w'}^2$ (and consequently 407 the TKE) decrease gradually, until reach the minimum value associated to the SBL. Figure 14-C shows the 408 profiles of S_{wi} . The main features of this case are: the low values of S_{wi} , the slow increase and ascension 409 of positive S_{w} , values and the predominance of negative S_{w} , values from 12:00 to 13:00 UTC. The first two 410 features are likely due to the presence of the intense Saharan dust layer (Figure 15), which reduces the 411 transmission of solar irradiance, and consequently the absorption of solar irradiance at the surface, 412 generating weak convective process. From figure 16 we can observe the presence of both middle altitude 413 clouds and very intense dust layers from 12:00 to 15:00 UTC. Such combination contributes to the intense 414 negative values of S_{wr} observed in this period until around 2 km, because, as mentioned previously, S_{wr} is 415 directly associated with the direction of turbulent movements, which during this period can be characterized 416 as cloud-top long-wave radiative cooling (Ansmann et al., 2010).

417 The influence of Saharan dust layer can also be evidenced on the R_n pattern (Figure 14-D), which maintains 418 negative values until 12:00 UTC and reaches a low maximum value (around 200 W/m²). The observation 419 of S_{wr} and R_n between 12:00 and 14:00, as well as, the presence of clouds and geometrically thick dust 420 layers during this same period, reinforces the hypothesis of a case of the cloud-top long-wave radiative 421 cooling in the *CBL*. Air surface temperature and *RH* (Figure 14-E) present the same correlation and anti-

- 422 correlation (respectively) observed in the earlier case study, where the maximum of air surface temperature
- 423 and the minimum of RH are detected in coincidence with the maximum daily value of $PBLH_{MWR}$.
- 424 As mentioned before, Figure 15 shows the RCS profile obtained from 09:00 to 16:00 UTC in a complex

425 situation, with presence of decoupled dust layer (around 3800 m a.s.l.) from 09:00 to 12:00 UTC and the

426 presence of both middle altitude clouds and very intense dust layers (around 3500 m a.s.l.) from 11:30 to

- 16:00 UTC. The pink, black and blue stars represent the PBLH_{MWR}, PBLH_{Doppler} and PBLH_{Elastic} 427
- 428 respectively. Due to the presence of dusty layers and clouds, the difference between the methods is more
- 429 evident, mainly of the PBLH_{Elastic}, which uses the aerosol as tracers. This method only produces results 430 close to the others at 15 UTC, when dust layer is mixed with the CBL.
- 431 Figure 16 illustrates the statistical moments of RCS' of 532 nm wavelength obtained from 11:00 to 12:00 432 UTC. The $\sigma_{RCS'}^2$ profile presents several peaks due to the presence of distinct aerosol sublayers. The first 433 peak is coincident with the value of PBLH_{MWR}. The value of PBLH_{elastic}, is coincident with the base of 434 the dust layer. This difficulty to detect the PBLH in presence of several aerosol layers is inherent to the 435 variance method (Kovalev and Eichinger, 2004). However, the joint observation of PBLH_{MWR} and 436 $PBLH_{elastic}$, enable us to characterize and distinguish the several sublayers. The values of τ_{RCS} , are higher 437 than EL acquisition time all along the PBL, evidencing the feasibility of EL time acquisition for studying 438 the turbulence of *PBL* in this case. The S_{RCS} profile has several positive values, due to the large number of 439 aerosol sublayers that are present. The characteristic inflection point of S_{RCS} , is observed in coincidence 440 with the $PBLH_{MWR}$, that confirming the agreement between this point and the PBLH. From the analysis of 441 $S_{RCS'}$ and $S_{w'}$ is possible to justify this phenomena from the mixing process demonstrated in the earlier case 442 study. The K_{RCS} has predominantly values lower than 3 below 2500 m, thus shown how this region is well 443 mixed as can see in Figure 16. Values of K_{RCS} , larger than 3 are observed in the highest part of profile, where 444 the dust layer is located.
- 445 In order to show the feasibility of 532 nm wavelength, in the figure 17 are presented the high-order moments 446 obtained between 11-12 UTC from 1064 nm wavelength data. Although the error of $\sigma_{RCS'}^2$ obtained from 447 532 nm (pink shadow) is considerably higher than the error of same variable obtained from 1064 nm, all 448 profiles are very similar, so that, the same phenomena can be observed in both graphics (figure 16 and 17).
- 449 Figure 18 shows the RCS' 532 nm wavelength high-order moments obtained from 12:00 and 13:00 in 450 presence of cloud cover. The method based on maximum of σ_{RCS}^2 locates the PBLH_{Elastic} at the cloud base, 451 due to the high variance of RCS' generated by the clouds. $\tau_{RCS'}$ presents values larger than EL time 452 acquisition, therefore this configuration enable us to study turbulence by EL analyses. S_{RCS} , has few peaks, 453 due to the mixing between CBL and dust layer, generating a more homogenous layer. The highest values 454 of S_{RCS} , are observed in regions where there are clouds, and the negative ones (between 3500 and 4000 m) 455 occur due to presence of air from FT between the two aerosol layers (Figure 15). The inflection point of 456 S_{RCS} profile is observed in $PBLH_{MWR}$ region. K_{RCS} profile has low values in most of the PBL, demonstrating 457 the high level of mixing during this period, where dust layer and PBL are combined. The higher values of 458 K_{RCS} , are observed in the region of clouds. In the same way of the previous analysis, the high-order moments 459 of the period mentioned above were calculated for the wavelength of 1064 nm (figure 19). Although there

are some differences in the absolute values of some profiles, the high-order moments generated using 1064

- 461 and 532 nm have similar profiles, so that, the same phenomena can be observed, demonstrating the viability
- 462 of 532 nm wavelength in the proposed methodology.

463 5 Conclusions

464 In this paper we perform an analysis about the *PBL* turbulent features from three different types of remote 465 sensing systems (DL, EL and MWR) and surface sensors during SLOPE-I campaign. We applied two kind 466 of corrections to the lidar data: first lag and -2/3 corrections. The corrected DL statistical moments showed 467 little variation with respect to the uncorrected profiles, denoting a rather low influence of the noise in high 468 SNR conditions. The EL high-order moments were obtained from two wavelengths: 1064 nm, adopted as 469 reference, and 532 nm, in order to verify the viability to use the last one in turbulence analysis. From this 470 comparison, was possible to observe that the wavelength 532 nm is more affected by noise, in comparison 471 with 1064 nm, due to the large contribution of the molecular component and the reduced two-way 472 transmittance at that wavelength. However, the application of proposed corrections, mainly the first lag, 473 can reduce such influence, so that, the same phenomena can be observed in the high-order moments 474 provided from both wavelengths

The case studies present two kind of situations: well-defined PBL and a more complex situation with the presence of Saharan dust layer and some clouds. In both cases was possible to identify the events describe in table 2. The-combined use of remote sensing systems shows how the results provided by the different instruments can complement one each other, providing a detailed observation of some phenomena, mainly in complex situations.

Therefore, this study shows the feasibility of the described methodology based on the combination of remote sensing systems for retrieving a detailed picture on the *PBL* turbulent features. In addition, the feasibility of using the analyses of high order moments of the *RCS* collected at 532 nm at a temporal resolution of 2 s offers the possibility for using the proposed methodology in networks such as EARLINET or LALINET with a reasonable additional effort.

485 Acknowledgements

486 This work was supported by the Andalusia Regional Government through project P12-RNM-2409, by the

487 Spanish AgenciaEstatal de Investigación, AEI, through projects CGL2016-81092-R and CGL2017-90884-

488 REDT. We acknowledge the financial support by the European Union's Horizon 2020 research and

- 489 innovation program through project ACTRIS-2 (grant agreement No 654109). The authors thankfully
- 490 acknowledge the FEDER program for the instrumentation used in this work and the University of Granada
- 491 that supported this study through the Excellence Units Program and "Plan Propio. Programa 9 Convocatoria
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Table 1 – Variables applied to statistical analysis (Lenschow et al., 2000)

	Without Correction	Correction	Error
Integral Time Scale (τ)	$\int_0^\infty q'(t)dt$	$\frac{1}{\overline{q'^2}}\int_{t\to 0}^{\infty}M_{11}(t)dt$	$\tau.\sqrt{\frac{4\Delta M_{11}}{M_{11}(\rightarrow 0)}}$
Variance ($\sigma_{q\prime}^2$)	$\frac{1}{T}\sum_{t=1}^{T}(q(t)-\overline{q})^2$	$M_{11}(ightarrow 0)$	$q^2 \cdot \sqrt{\frac{4\Delta M_{11}}{M_{11}(\to 0)}}$
Skewness (S)	$rac{\overline{q^3}}{\sigma_q^3}$	$\frac{M_{21}(\to 0)}{M_{11}^{3/2}(\to 0)}$	$\frac{\Delta M_{21}}{\Delta M_{11}^{3/2}}$
Kurtosis (<i>K</i>)	$rac{\overline{q^4}}{\sigma_q^4}$	$\frac{3M_{22}(\to 0) - 2M_{31}(\to 0) - 3\Delta M_{11}^2}{M_{11}^2(\to 0)}$	$\frac{4\Delta M_{31} - 3\Delta M_{22} - \Delta M_{11}^2}{\Delta M_{11}^2}$

Table 2 – Products and their respective meaning, provided by each system

Product	System	Meaning
$ au_{w'}(z)$	Doppler lidar	Measurement in time of length of turbulent eddies
$\sigma_{W'}^2(z)$	Doppler lidar	Turbulent Kinetic Energy
$S_{w'}(z)$	Doppler lidar	Direction of turbulent movements
PBLH _{Doppler}	Doppler lidar	Top of CBL obtained from variance threshold method
$ au_{RCS'}(z)$	Elastic lidar	Measurement in time of length of turbulent eddies
$\sigma_{RCS'}^2(z)$	Elastic lidar	Homogeneity of aerosol distribution
$S_{RCS'}(z)$	Elastic lidar	Aerosol motion (S < 0 → Downdrafts, S> 0 → Updrafts)
$K_{RCS'}(z)$	Elastic lidar	Level of aerosol mixing (K < 3 → Well-Mixed, K > 3 → Low Mixing)
PBLH _{Elastic}	Elastic lidar	Top of aerosol layer obtained from variance method
PBLH _{MWR}	MWR	Top of CBL/SBL layer obtained from Potential Temperature

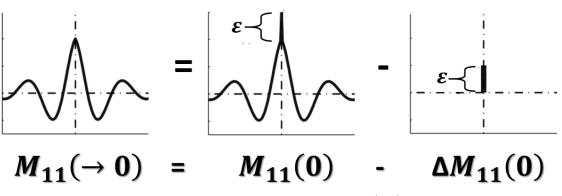


Figure 1 – Procedure to remove the errors of autocovariance functions. $M_{11}(\rightarrow 0)$ – corrected autocovariance function errors; $M_{11}(0)$ - autocovariance function without correction; $\Delta M_{11}(0)$ - error of autocovariance function.

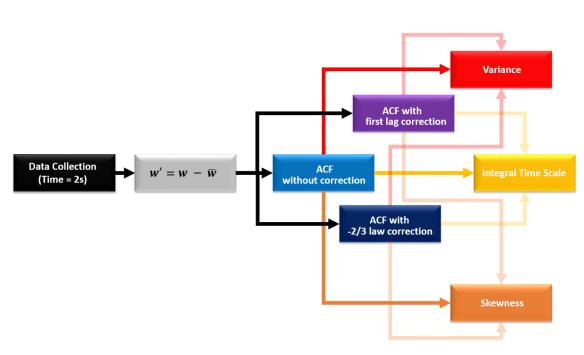
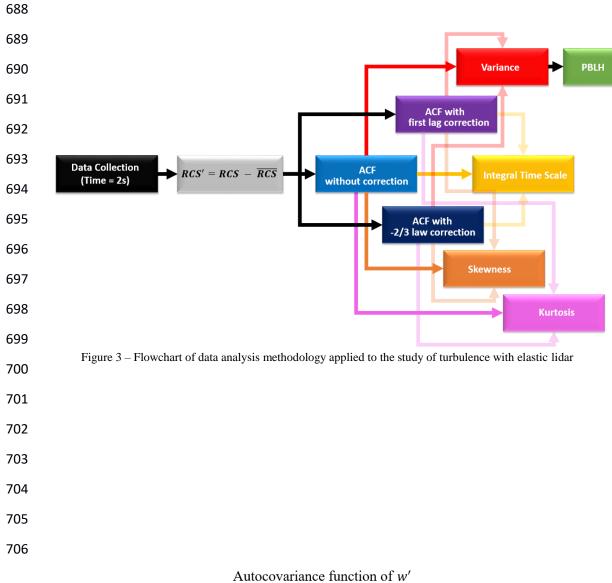


Figure 2 - Flowchart of data analysis methodology applied to the study of turbulence with Doppler lidar



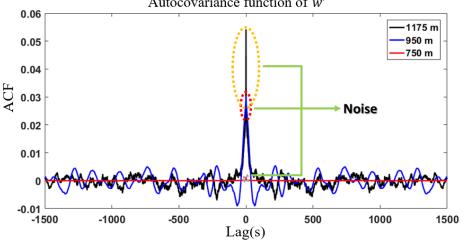
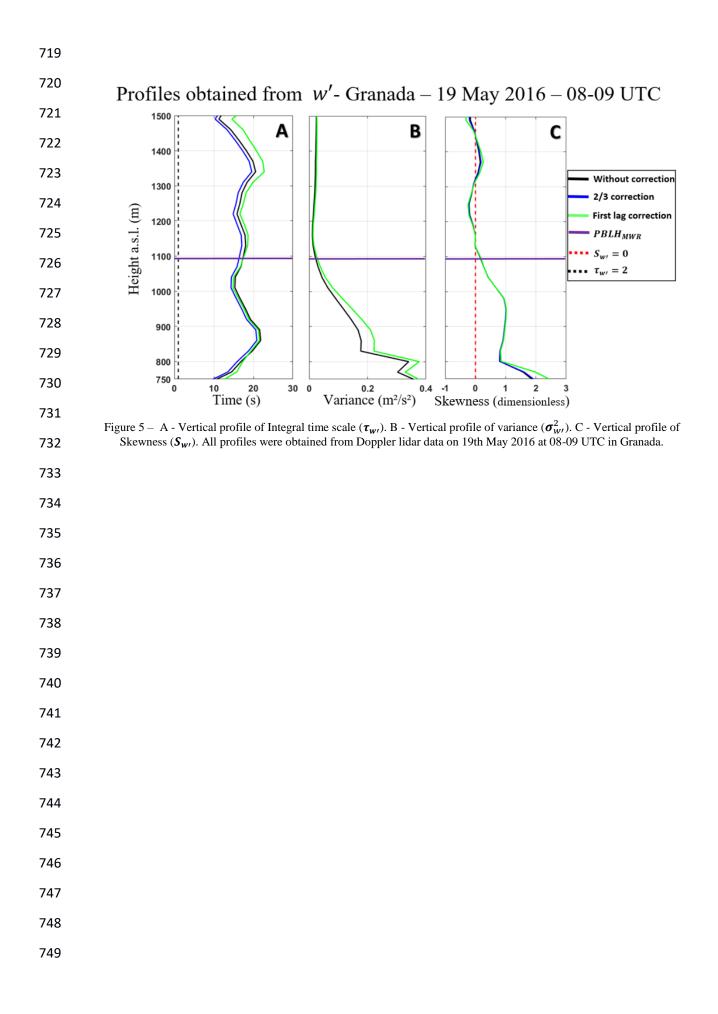
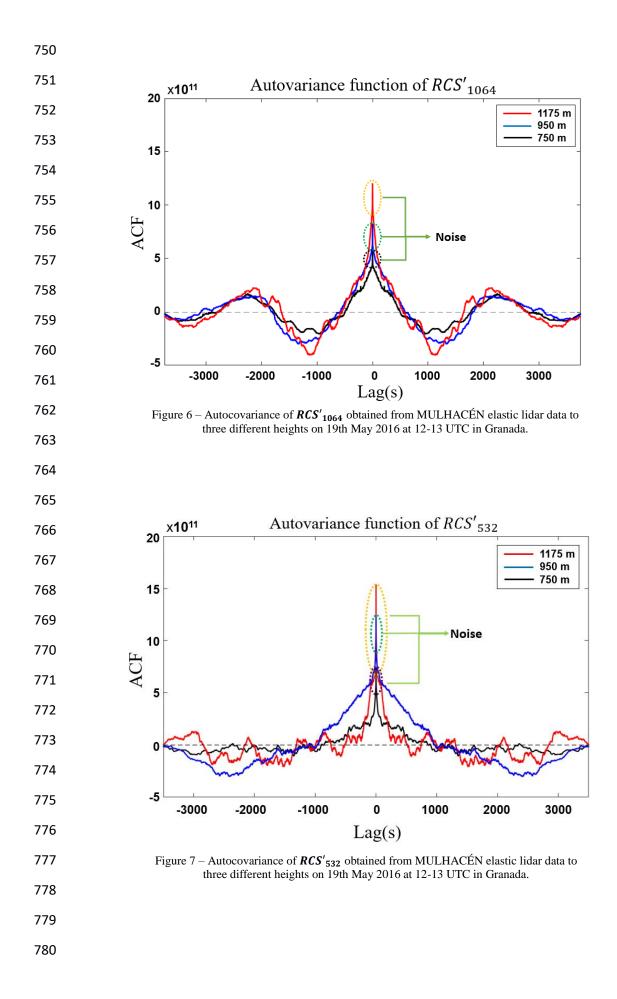
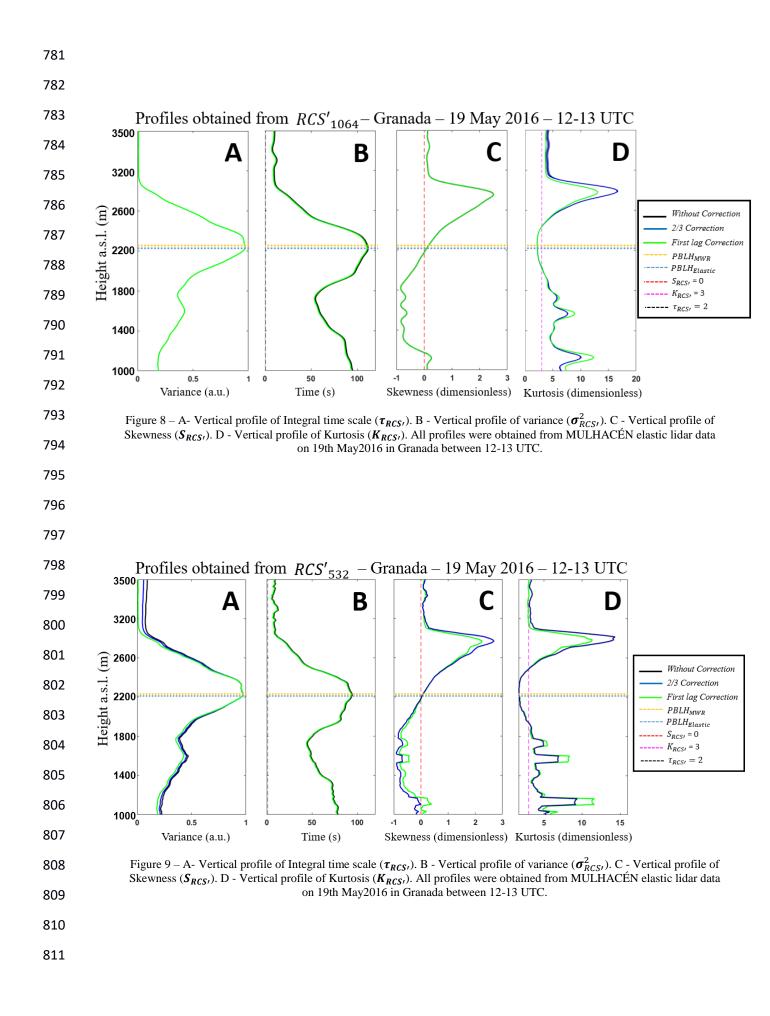


Figure 4 – Autocovariance function (ACF) of w', obtained from Doppler lidar at three different heights on 19th May 2016 at 08-09 UTC in Granada.







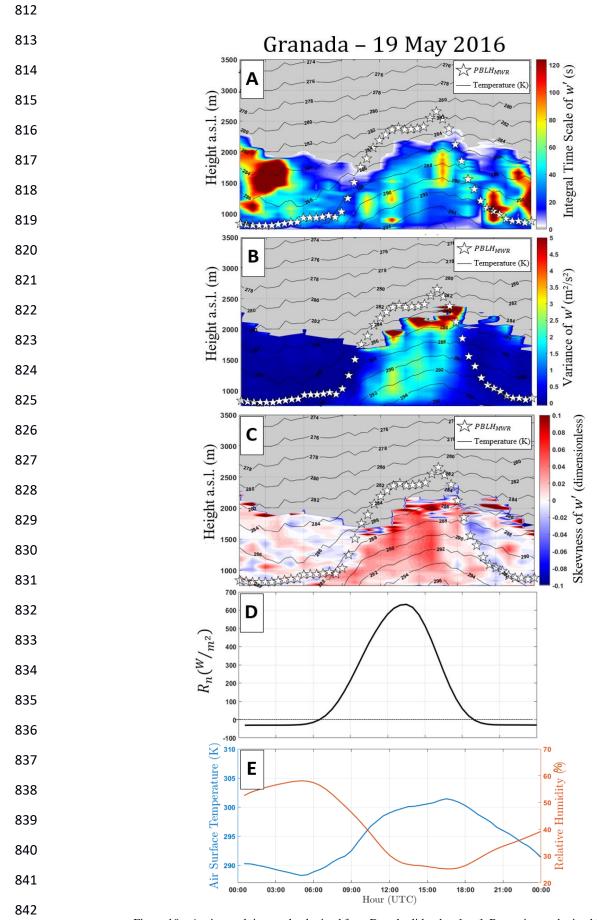
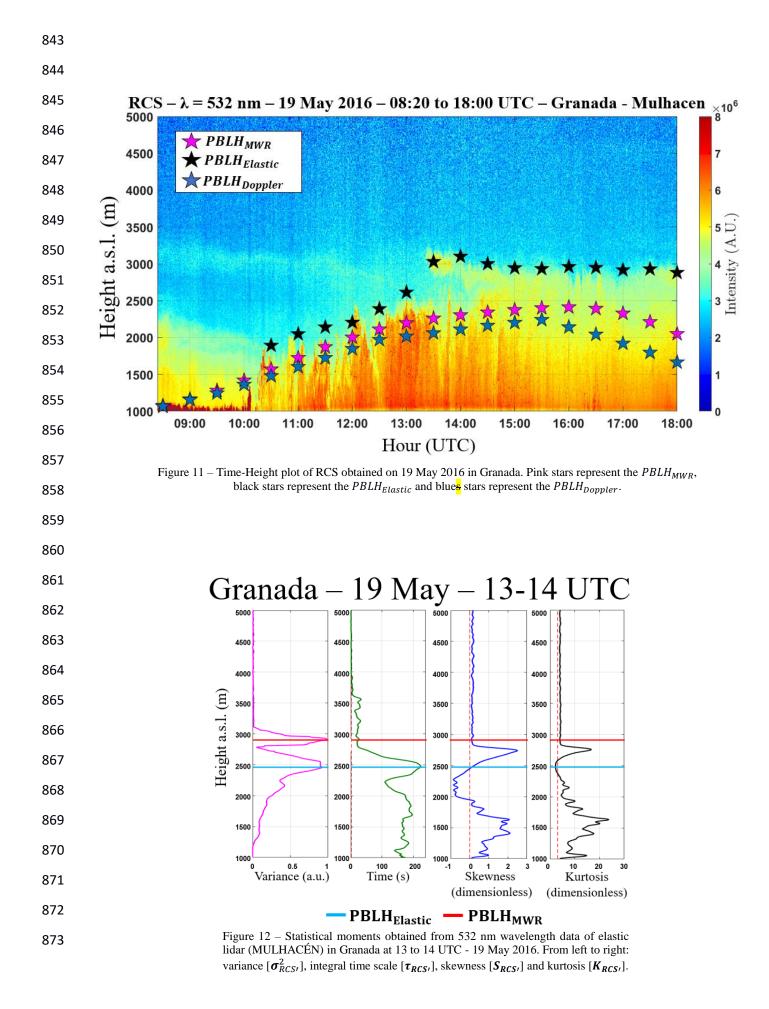
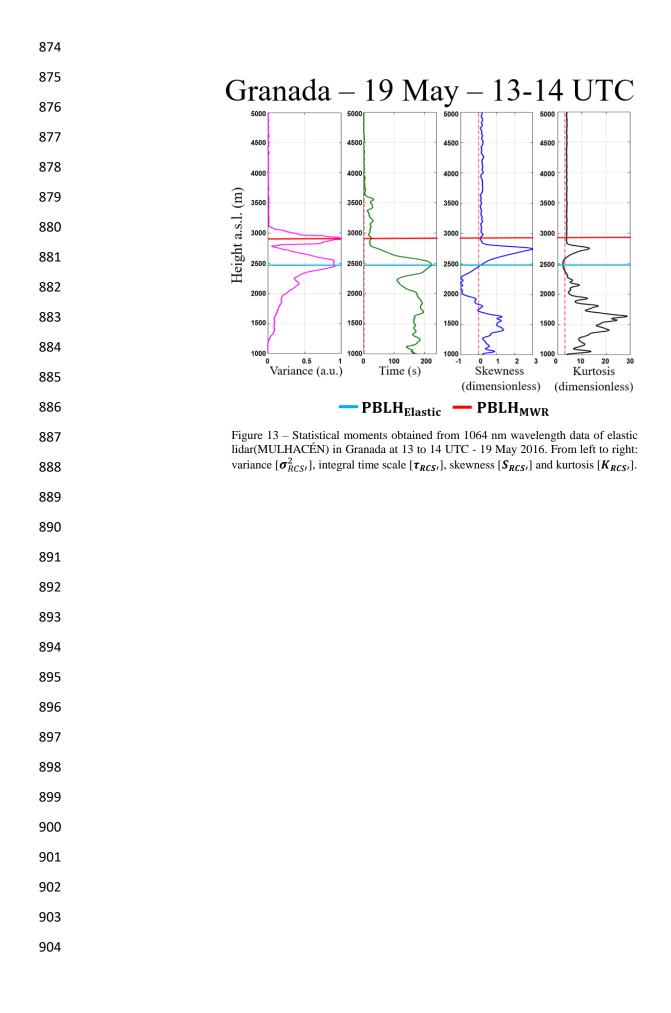


Figure 10 – A – integral time scale obtained from Doppler lidar data $[\boldsymbol{\tau}_{w'}]$, B – variance obtained from Doppler lidar data $[\boldsymbol{\sigma}_{w'}^2]$, C – skewness obtained from Doppler lidar data $[\boldsymbol{S}_{w'}]$, D – net radiation obtained from pyranometer data $[\boldsymbol{R}_n]$, E – Air surface temperature [blue line] and surface relative humidity [RH - orange line] both were obtained from surface sensors. All profiles were acquired on 19th May 2016 in Granada. In A, B and C black lines and white stars represent air temperature and $PBLH_{MWR}$, respectively.





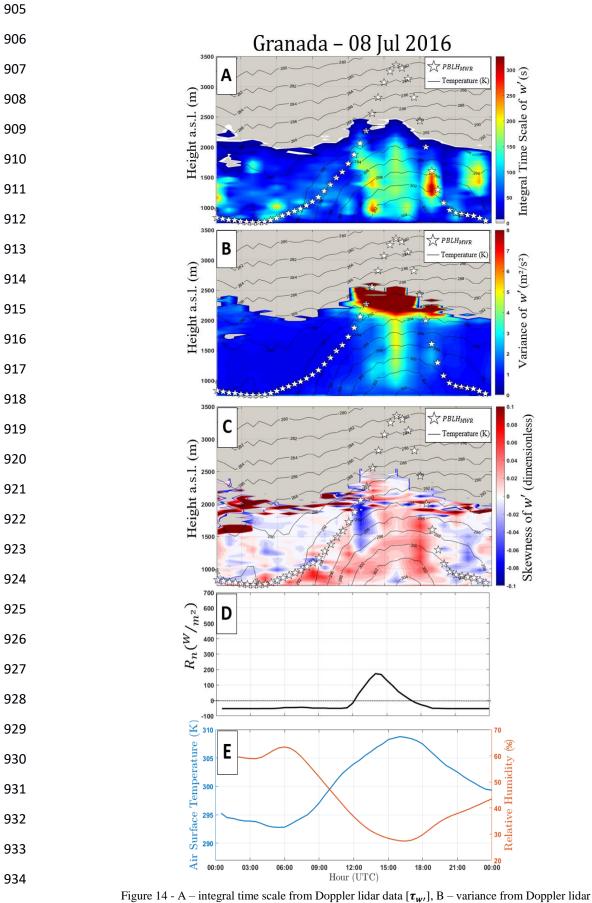
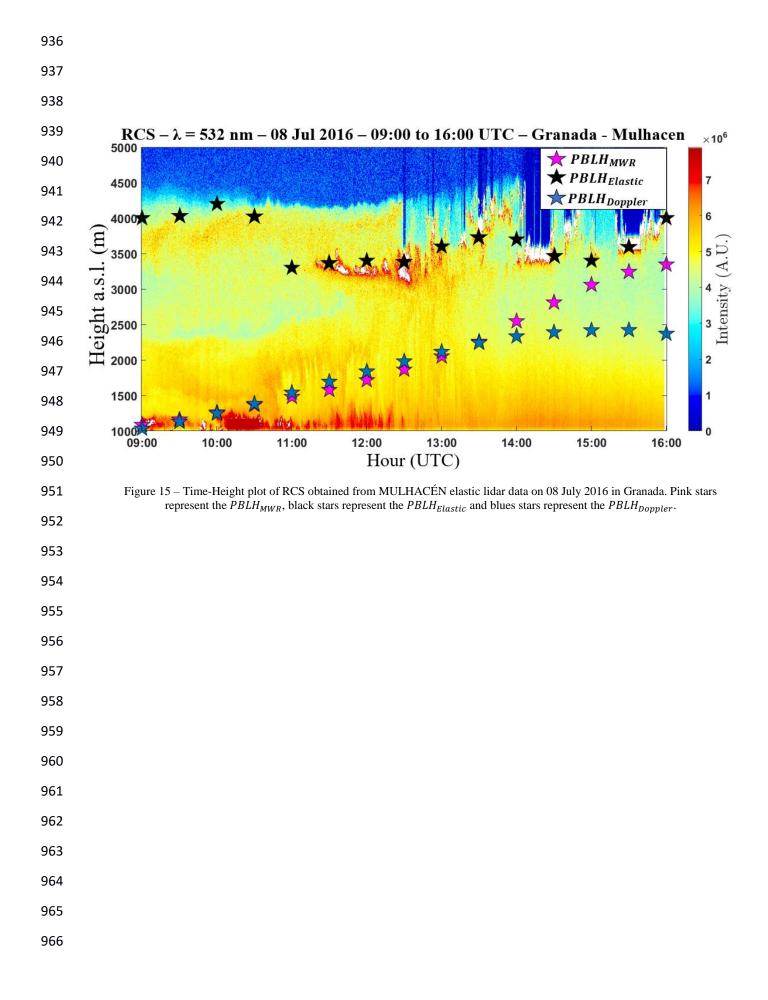


Figure 14 - A – integral time scale from Doppler Idar data $[\tau_{w'}]$, B – variance from Doppler Idar data $[\sigma_{w'}]$, C – skewness from Doppler lidar data $[S_{w'}]$, D – net radiation from pyranometer data $[R_n]$, E – Air surface temperature [blue line] and surface relative humidity [RH – orange line] from surface sensor data. All profiles were obtained in Granada on 08 July 2016. In A, B and C black lines and white stars represent air temperature and $PBLH_{MWR}$, respectively.



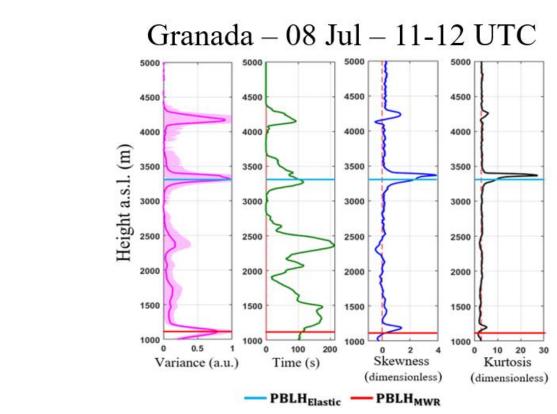


Figure 16 - Statistical moments obtained from 532 nm wavelength data of elastic lidar(MULHACÉN) in Granada between 11-12 UTC on 08th July 2016. From left to right: variance $[\sigma_{RCS'}^2]$, integral time scale $[\tau_{RCS'}]$, skewness $[S_{RCS'}]$ and kurtosis $[K_{RCS'}]$.

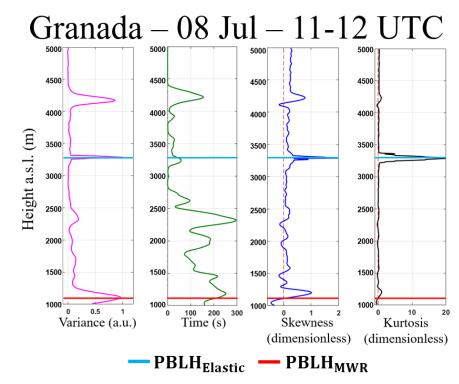


Figure 17 - Statistical moments obtained from 1064 nm wavelength data of elastic lidar(MULHACÉN) in Granada between 11-12 UTC on 08th July 2016. From left to right: variance $[\sigma_{RCS'}^2]$, integral time scale $[\tau_{RCS'}]$, skewness $[S_{RCS'}]$ and kurtosis $[K_{RCS'}]$.

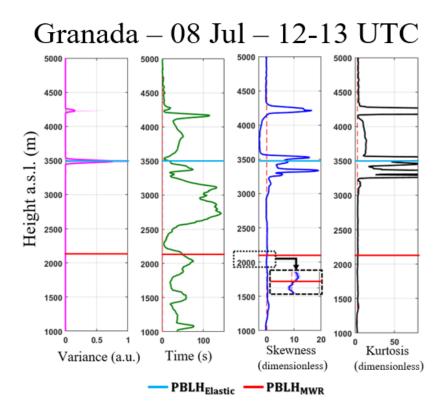


Figure 18 - Statistical moments obtained from 532 nm wavelength data of elastic lidar (MULHACÉN) in Granada between 12 -13 UTC on 08 July 2016. From left to right: variance $[\sigma_{RCS'}^2]$, integral time scale $[\tau_{RCS'}]$, skewness $[S_{RCS'}]$ and kurtosis $[K_{RCS'}]$.

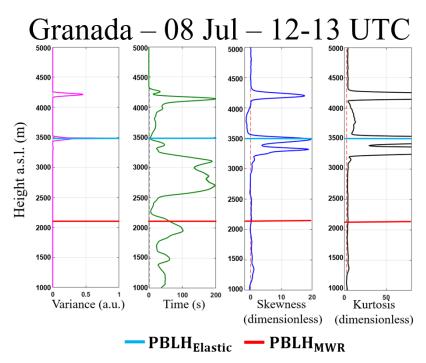


Figure 19 - Statistical moments obtained from 1064 nm wavelength data of elastic lidar (MULHACÉN) in Granada between 12 -13 UTC on 08 July 2016. From left to right: variance $[\sigma_{RCS'}^2]$, integral time scale $[\tau_{RCS'}]$, skewness $[S_{RCS'}]$ and kurtosis $[K_{RCS'}]$.