

Reduction in black carbon light absorption due to multi-pollutant emission control during APEC China 2014

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Abstract. Reducing black carbon (BC) emissions has been recognized as an efficient way to simultaneously improve air quality and mitigate climate change. However, the benefits of BC emission controls are not well quantified partly due to a lack of understanding of the changes in BC light absorption as a result of emission reductions. In this work, we discussed the effects of multi-pollutant emission reductions on the BC light absorption based on a field campaign study conducted before, during and after the 2014 APEC (Asia-Pacific Economic Cooperation) meeting in Beijing, China. When emission restrictions were in place during APEC, we found that the reduction in the light absorption of BC-containing particles was driven by both the decrease in BC mass concentration and the weakened light-absorption capability of BC. Compared with that before and after APEC, the daytime light absorption of BC-containing particles during APEC reduced by ~56%, of which ~48% was contributed by the decrease in BC mass concentration and the remain ~8% was contributed by a weakening of light-absorption capability for BC. Based on single particle soot photometer (SP2) measurement and Mie calculation, we estimated that the light-absorption capability of BC-containing particles with ~80-200 nm refractory BC (rBC) cores at daytime during APEC was reduced by ~6-15% and ~10-20% compared with that before and after APEC, respectively. The decrease in BC light-absorption capability could be attributed to less coating materials on BC surfaces as a result of a decreased chemical production of secondary aerosols. Compared with that before and after APEC, the mass ratio between the coating materials and rBC core (~80-200 nm) during APEC decreased by ~10-30% and ~31-53%, respectively, due to reductions in coating precursor emissions, e.g., SO₂ and NO₂. The results revealed the benefits of emission control on BC light absorption by simultaneously reducing the mass concentration and light-absorption capability of BC, implying that synergetic reduction in multiple-pollutant emission could benefit both air quality and climate.

1 Introduction

Black carbon (BC) has drawn considerable attention due to its key role in climate and the atmospheric environment (Bond

1 and Sun, 2005; Jacobson et al., 2002, 2010). Because BC is the most efficient light-absorbing component in ambient aerosols
2 (Bond and Bergstrom, 2006; Ramanathan and Carmichael, 2008), reduction measures targeting BC emissions have been
3 recognized as a viable way to mitigate global warming (Shindell et al. 2012; Jacobson et al., 2010) and improve air quality in
4 polluted regions (Ding et al., 2016; Wang et al., 2018). The benefits of BC emission reduction are mainly driven by more
5 solar radiation reaching the surface due to the reduction in BC light absorption in the atmosphere.

6 The light absorption of ambient BC-containing particles can be reduced by decreasing the BC mass concentration,
7 weakening the BC light-absorption capability or implementing both strategies. As primary aerosols, the mass concentration
8 of BC particles generally decreases with emission reduction. When emission control measures were implemented, the mass
9 concentration of the BC present in the atmosphere was proven to decrease (Han et al., 2015; Huang et al., 2010; Xu et al.,
10 2015; Zhang et al., 2016a). In terms of the influence of emission reduction on the characteristics of BC aerosols, previous
11 studies usually highlighted the decrease in BC mass concentration (Han et al., 2015; Wang et al., 2018; Zhang et al., 2016a).
12 However, few studies considered the change in light-absorption capability of BC-containing particles due to emission
13 reduction.

14 The light-absorption capability of ambient BC-containing particles is closely associated with their aging degree
15 (Jacobson et al., 2001; Liu et al. 2017; Moffet et al., 2009; Peng et al., 2016; Zhang et al. 2016c, 2018), i.e., the degree to
16 which BC is internally mixed with other species (e.g., sulfate and nitrate) (Oshima et al., 2009). When fresh BC is emitted
17 from incomplete combustion (e.g., traffic emission) other than biomass burning (Wang et al., 2018; Pan et al., 2017), they are
18 most likely externally mixed with other aerosol components (e.g., primary organic aerosol). These fresh BC particles exist as
19 almost bare particles with few other species condensed on their surfaces and are named externally mixed BC particles
20 (Jacobson et al., 2001; Chung et al. 2005). During atmospheric transport, fresh BC particles undergo aging, in which
21 internally mixed BC particles form when other aerosol components coat the bare BC surface (Cheng et al., 2006; Bond and
22 Bergstrom, 2006; Peng et al., 2016; Zhang et al., 2018). The internally mixed BC particles generally have a shell-and-core
23 morphology, with the coating materials and BC as the shell and core, respectively. This shell-and-core morphology endows
24 BC particles with a higher light-absorption capability because the coating materials act as a lens to focus more photons on
25 BC (lensing effect, Lack and Cappa 2010). Compared with externally mixed BC particles (i.e., bare BC), the light absorption
26 of internally mixed BC particles (i.e, coated BC) can be enhanced by a factor of 2-3 (Fuller et al., 1999; Jacobson et al.,
27 2001; Schnaiter et al., 2005; Zhang et al., 2016c).

28 Emission reduction may affect the lensing effect by changing the amount of coating materials for the BC-containing
29 particles and consequently altering the light-absorption capability of BC. Emission control measures can reduce the
30 concentrations of not only BC but also co-emitted gaseous pollutants (e.g., volatile organic compounds (VOCs), SO₂ and
31 NO_x) present in the atmosphere (Tang et al., 2015; Huang et al., 2015). The reduction in these secondary aerosol precursors
32 can lower the production of secondary components (e.g. secondary organic matter, sulfate and nitrate) in aerosol particles
33 (Cheng et al., 2008; Huang et al., 2010; Han et al., 2015). This relationship implies that the interaction between BC and
34 secondary aerosol components via condensation and coagulation may be impacted by the primary emission reductions of

1 both BC and co-emitted pollutants (e.g., VOCs, SO₂ and NO_x), namely, emission control measures may influence BC aging
2 in the atmosphere. As mentioned above, the aging degree of BC-containing particles exerts a substantial effect on their light-
3 absorption capability. Less aged BC is expected as emission control measures are implemented to decrease BC light-
4 absorption capability. However, it is still unclear whether emission control measures can lower the aging degree of BC-
5 containing particles and thus weaken their light-absorption capability.

6 In this work, we used the 2014 Asia-Pacific Economic Cooperation (APEC) meeting in Beijing, China, as a case study to
7 investigate the effects of emission control measures on the light absorption of ambient BC-containing particles. This paper
8 reported the in situ measurements before, during and after APEC and investigated how the concentrations of BC and coating
9 precursors, the BC aging degree and the BC light-absorption capability were affected by emission reductions. Based on these
10 results, we quantified the impact of emission reduction during APEC on the light absorption of BC-containing particles and
11 further discuss the additional effect of emission control measures on BC light absorption due to changes in the coating
12 materials of ambient BC particles.

13 **2 Methods and data**

14 **2.1 Measurement location and period**

15 The in situ measurement was carried out on the campus of Tsinghua University (40°00'17" N, 116°19'34" E, Fig. S1). The
16 observation site is located in downtown Beijing, approximately 1 km from North 4th Ring Road, which has a high traffic
17 density. The air quality at this site is considered typical of the Beijing urban environment. More details regarding the
18 Tsinghua site can be found in Zheng et al., (2015) and Zhang et al. (2018).

19 The measurement period lasted from October 28 to November 21, 2014. A series of aggressive measures were
20 implemented from November 3 to 12, 2014 in Beijing and the surrounding areas (i.e., Tianjin, Hebei, Shanxi, Shandong,
21 Henan and Inner Mongolia, shown in Fig. S1) to achieve good air quality during the APEC meeting: mandatory restrictions
22 on traffic flow in Beijing, limited or arrested production from high-emitting factories, suspended construction activities and
23 bans on various outdoor burning practices (Gao et al., 2017; Huang et al., 2015; Tang et al., 2015; Zhang et al., 2016a; Zhang
24 et al., 2016b). In this study, we classified the observation period into five subperiods: before APEC (October 28-November
25 2, 2014), which served as a reference; during APEC (November 6-12, 2014), which was characterized by the enforcement of
26 emission control measures; after APEC (November 17-21, 2014), which served as another reference; and two transition
27 periods (November 3-5 and 13-16, 2014), which were not discussed in this work considering that we could not distinguish
28 the BC particles transported to the site during these days characterized by enforcement of emission control measures or not
29 (Fig. S2 and the associated discussion in the supplementary information).

30 **2.2 Instrumentation**

1 A single-particle soot photometer (SP2) instrument (Droplet Measurement Technologies, Boulder, CO, USA) uses a 1064
2 nm Nd:YAG laser to measure the mass of a refractory BC (rBC) core (m_{rBC}) and the scattering cross section (C_s) of an
3 individual BC-containing particle. As a light-absorbing component, a rBC core is gradually heated by the continuous laser
4 beam and vaporizes at ~ 4000 K, where detectable incandescent light is emitted (Schwarz et al., 2006; Moteki and Kondo,
5 2010). The incandescence signal recorded by SP2 was used to determine the m_{rBC} of an individual BC-containing particle.
6 The mass concentration of rBC was calculated based on the m_{rBC} and sampling flow rate (0.12 lpm (liter per minute)). On the
7 other hand, we used the scattering signal from the SP2 measurement to retrieve the C_s of an individual BC-containing
8 particle (including coating materials and rBC core) based on the leading-edge-only (LEO) method developed by Gao et al.
9 (2007). The validity of the LEO method for ambient BC-containing particles observed in China has been evaluated by Zhang
10 et al. (2016c). More details on the SP2 technique have been reported elsewhere (Gysel et al., 2011; Pan et al., 2017; Sedlacek
11 et al., 2012; Zhang et al., 2016c).

12 The observational data of hourly $\text{PM}_{2.5}$, SO_2 , NO_2 and O_3 concentrations at the Wanliu station in urban Beijing were
13 downloaded from the Atmospheric Environment Monitoring Network (<http://www.zhb.gov.cn/>). The Wanliu station is
14 approximately 5 km away from the Tsinghua site.

15 **2.3 Data analysis**

16 **2.3.1 Aging degree of BC-containing particles**

17 The aging degree of ambient BC-containing particles was retrieved by the SP2 measurements (i.e., the m_{rBC} and the C_s of
18 BC-containing particles) and Mie calculation. To quantify the aging degree of BC-containing particles, we assumed that a
19 BC-containing particle was a sphere with a rBC core and a non-refractory coating material (NR-CM) shell (Moteki and
20 Kondo, 2007; Subramanian et al., 2010; Zhang et al., 2016c). The actual shape of BC-containing particles in the atmosphere
21 was complex (He et al., 2015; Scarnato et al. 2013; Wang et al., 2017). In this study, we focused on investigating the BC-
22 containing particles during pollution episodes. Under polluted conditions, we have found fully aged BC-containing particles
23 in Beijing, China (Zhang et al., 2018). In our previous study (Zhang et al., 2016), we found that the thickly coated BC
24 particles in the north china plain (including Beijing) exhibited near-spherical shape and a core-shell structure used in the Mie
25 calculation was reasonable.

26 In this study, the diameter of the rBC core (D_c) and the whole particle diameter including the core and shell (D_p) were
27 calculated to retrieve the aging degree of BC-containing particles. D_c was calculated from m_{rBC} and the density of the rBC
28 core (ρ_c , here, a prescribed value of 1.8 g cm^{-3}) (Cappa et al., 2012; Pan et al., 2017; Laborde et al., 2013). D_p was
29 determined via the Mie calculation and was related to the D_c , the C_s of the BC-containing particle, and the refractive indices
30 of NR-CM ($RI_{\text{NR-CM}}$, 2.26-1.26i) and rBC core (RI_c , 1.5-0i). The uncertainty of size information of BC-containing particles
31 from Mie calculation was estimated to be $\sim 10\%$ in our previous work (Zhang et al., 2018). More details regarding the
32 calculation of D_p and D_c for ambient BC-containing particles observed in Tsinghua site can be found in Zhang et al. (2018).

In this study, the aging degree of a BC-containing particle was characterized by the mass ratio between NR-CM and rBC ($m_{\text{NR-CM}}/m_{\text{rBC}}$) and was calculated by Eq. (1):

$$\frac{m_{\text{NR-CM}}}{m_{\text{rBC}}} = \frac{\frac{1}{6} \times \pi \times (D_p^3 - D_c^3) \times \rho_{\text{NR-CM}}}{m_{\text{rBC}}} \quad (1)$$

where $m_{\text{NR-CM}}$ is the mass of the non-refractory coating materials; $\rho_{\text{NR-CM}}$ is the density of the non-refractory coating materials, with a prescribed value of 1.4 g cm^{-3} in this study based on the composition of submicron aerosols during APEC reported by Zhang et al. (2016a) and the densities of the various components (i.e., sulfate, nitrate, ammonium and organic aerosol) (Cappa et al., 2012).

2.3.2 Light absorption of BC-containing particles

In this study, the light-absorption capability of ambient BC-containing particles was characterized by the light absorption enhancement (E_{ab}) of BC from the lensing effect caused by the coating materials. The E_{ab} of BC-containing particles was retrieved using a shell-and-core model based on Mie theory (Laborde et al., 2013; Metcalf et al., 2013; Schwarz et al., 2008), calculated by dividing the light absorption cross-section of the whole BC-containing particle ($C_{\text{ab,p}}$) by that of the bare rBC core ($C_{\text{ab,c}}$) at a certain wavelength (550 nm in this study), as expressed in Eq. (2):

$$E_{\text{ab}} = \frac{C_{\text{ab,p}}(D_c, D_p, RI_{\text{NR-CM}}, RI_c)}{C_{\text{ab,c}}(D_c, RI_c)} \quad (2)$$

where $C_{\text{ab,c}}$ and $C_{\text{ab,p}}$ were determined from the Mie calculation (uncertainty of ~15% estimated in our previous study (Zhang et al., 2018)). $C_{\text{ab,c}}$ is related to D_c and RI_c . For $C_{\text{ab,p}}$, we needed additional information on the whole particle, i.e., D_p and $RI_{\text{NR-CM}}$.

The light absorption coefficient (σ_{ab}) of BC-containing particles at a wavelength (550 nm and 670 nm used in this study) was determined by the light-absorption capability of BC and the rBC mass concentration (C_{rBC}), as shown in Eq. (3):

$$\sigma_{\text{ab}} = C_{\text{rBC}} \times MAC_p = C_{\text{rBC}} \times E_{\text{ab}} \times MAC_c \quad (3)$$

Where MAC_p and MAC_c are the mass absorption cross-section (MAC) of BC-containing particles and rBC cores, respectively, which was calculated based on Mie theory and SP2 measurements. In this study, the σ_{ab} at 670 nm was also obtained by a multi-Angle absorption photometer (MAAP) measurement. The MAAP data were corrected using the algorithm reported by Hyvärinen et al. (2013).

3 Results

3.1 Reduction in the concentrations of BC and coating precursors

Figure 1a shows the time series of the $\text{PM}_{2.5}$ and rBC mass concentrations during the campaign period. Three pollution episodes on October 28-November 1, November 6-11 and November 17-21 were observed before, during and after APEC,

1 respectively. Following APEC study in Sun et al. (2016), we focused on comparing the BC characteristics among the three
2 pollution episodes to investigate the effect of emission reduction. During the three pollution episodes, the air masses over the
3 site were mainly from the south and east of Beijing (Fig. S3), in which emission control measures were implemented during
4 APEC. On the other hand, the pollution episodes in Beijing were characterized by low wind speed and planetary boundary
5 layer (PBL), as well as high relative humidity (Sun et al. 2014; Zheng et al. 2015).

6 The $PM_{2.5}$ concentration during the pollution episodes before and after APEC were $\sim 127 \mu\text{g m}^{-3}$ and $\sim 213 \mu\text{g m}^{-3}$,
7 respectively, which were larger than that ($\sim 66 \mu\text{g m}^{-3}$) during APEC. The decrease in $PM_{2.5}$ loadings revealed that the air
8 quality was improved during APEC. Similarly, the rBC mass concentration during APEC was also smaller than those before
9 and after APEC. However, the decreases in the rBC concentration during APEC by $\sim 27\%$ and $\sim 58\%$, respectively, compared
10 with that before and after APEC were smaller than the corresponding decreases in the $PM_{2.5}$ concentrations ($\sim 48\%$ and 69% ,
11 respectively), possibly indicating that more secondary aerosols (e.g., sulfate and nitrate) than primary aerosols (e.g., rBC)
12 were reduced during APEC, which could aid the decrease in coating materials on BC surfaces.

13 Fig. 2 compares the mass concentrations of both rBC and the coating precursors (i.e., NO_2 and SO_2) in the pollution
14 episodes before, during and after APEC. Compared with that before and after APEC, the mass concentration of NO_2 during
15 APEC was decreased by $\sim 34\%$ and $\sim 45\%$, respectively, while the SO_2 concentration was reduced by $\sim 35\%$ and $\sim 67\%$,
16 respectively. These results revealed that the emission control measures implemented during APEC were a viable way to
17 reduce not only the rBC mass concentrations but also the concentrations of secondary aerosol precursors present in the
18 atmosphere. The emission control-caused reduction in secondary particle precursors (i.e., NO_2 and SO_2) during APEC could
19 have reduced the secondary aerosol formation in the atmosphere. Previous studies identified a reduction in the
20 concentrations of secondary components (e.g., sulfate and nitrate) in aerosols during APEC compared to that before and after
21 APEC (Zhang et al., 2016a; Han et al., 2015). However, the change of coating materials on the BC due to the reduction of
22 secondary components was complex, which not only determined by the decrease in BC versus secondary components, but
23 also depend on secondary components condensed on BC-containing versus non-BC particles.

24 Figure S4 shows that the diurnal variations of the rBC, NO_2 and SO_2 concentration and the PBL during the pollution
25 episodes before, during and after APEC. Comparing the diurnal variations between the rBC concentration and the PBL
26 revealed that the rBC concentrations during the pollution episodes were dominated by the PBL. However, the precursor
27 concentration of secondary aerosol (i.e., NO_2 and SO_2) during the pollution episodes exhibited different diurnal variations
28 with a peak at noontime and early afternoon, which was most likely attributed to regional transport. The back-trajectory
29 analysis (Fig. S3) revealed that the air mass during the pollution episodes was mainly from polluted regions (i.e., Hebei and
30 Tianjin). This indicated that regional emission controls would reduce the pollutant (i.e., rBC, NO_2 and SO_2) concentration in
31 Beijing under polluted conditions. The Sun et al., (2016) has demonstrated significant reductions in the precursors of
32 secondary aerosol during APEC compared to those in non-APEC period due to emission controls during over a regional
33 scale (i.e., Beijing and adjacent areas). The similar PBL (Fig S4) during the pollution episodes before, during and after
34 APEC further identified the important contribution of emission reduction to the decrease of rBC, NO_2 and SO_2 concentration

1 during APEC.

2 Previous studies have pointed out the importance of photochemical reactions in BC aging process (Wang et al., 2017;
3 Metcalf et al., 2013; Zhang et al., 2014; Peng et al., 2016), indicating that changing the daytime concentrations of rBC and
4 coating precursors might play a more important role in affecting BC aging than altering the nighttime concentrations. We
5 separated the data sets for the pollution episodes before, during and after APEC into daytime (07:00-19:00) and nighttime
6 (19:00 to 07:00 of the following day) sets. Fig. 2 shows that while the emission controls were in place during APEC, a
7 greater reduction in the rBC and NO₂ concentrations occurred during the day than at night. Compared with those before and
8 after APEC, the daytime reductions in the NO₂ concentration during APEC were reduced by as much as ~40% and ~51%,
9 respectively. By contrast, the daytime reduction (~25%) in the SO₂ concentration during APEC compared with that before
10 APEC was smaller than that at night, which might be attributable to the high contribution of regional emissions (e.g., power
11 generation and industrial activities in Hebei Province) to the daytime SO₂ concentration in Beijing (Guo et al., 2014; Tang et
12 al., 2015). Meanwhile, a similar reduction (~67%) in the daytime and nighttime SO₂ concentrations during APEC compared
13 with that after APEC was observed. In summary, the significant reductions in the daytime levels of rBC and coating
14 precursors during APEC further indicated that the BC aging in the atmosphere might have been affected by the emission
15 control measures.

16 **3.2 Reductions in the aging degree of BC**

17 Figs. 1b and 1c show time series of the number size distribution of rBC cores (D_c) and whole BC-containing particles (D_p),
18 respectively. The rBC cores observed before, during and after APEC exhibited similar number size distributions, with a
19 mode at ~95 nm (Fig. 1b). The similar modes of the rBC cores could have resulted from similar emission sources for BC-
20 containing particles observed before, during and after APEC. However, the whole BC-containing particles (including coating
21 materials and rBC core) showed different number size distributions in the pollution episodes before, during and after APEC
22 (Fig. 1c), indicating different amounts of coating materials on the BC surface during the three pollution episodes. In the
23 pollution episodes before and after APEC, the particle size of the whole BC-containing particles exhibited sustained growth
24 from ~180 nm to ~320 and ~400 nm, respectively, which could be attributed to the gradual condensation and coagulation of
25 other species (i.e., primary aerosol and secondary components) on the BC surface. However, the continuous size growth of
26 the whole BC-containing particles was not observed in the pollution episode during APEC, in which the number particle size
27 distribution with a mode no more than 280 nm (Fig. 1c), significantly smaller than those before (~320 nm) and after APEC
28 (~400 nm). These results indicated that secondary formation during APEC was insufficient to maintain continuous BC aging.

29 Fig. 3 compares the mass ratio between the coating materials and rBC cores ($m_{\text{NR-CM}}/m_{\text{rBC}}$) for BC-containing particles
30 with size-resolved rBC cores in the pollution episodes before, during and after APEC. The $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratios of BC-
31 containing particles before, during and after APEC showed similar correlations with the rBC core size, namely, the $m_{\text{NR-}}$
32 CM/m_{rBC} ratio decreased with increasing rBC core size (Fig. 3a). The size-dependent $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing
33 particles indicated that particle growth was more effective for smaller particles, which followed the diffusion-controlled

1 growth law (Seinfeld and Pandis 2006). At a certain size of rBC cores, Fig. 3a shows that $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of ambient BC-
2 containing particles during APEC was significantly smaller than that before and after APEC, revealing that the emission
3 restrictions during APEC weakened the condensation of other species on the BC surface. For ambient BC-containing
4 particles with ~80-200 nm rBC cores, the $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratios observed in the pollution episodes before, during and after
5 APEC were 4-22, 3-15, and 5-33, respectively.

6 Fig. 3b shows the reductions in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles for the pollution episodes during APEC
7 compared with those before and after APEC, which were also dependent on rBC core size. Smaller rBC cores exhibited
8 greater reductions in the $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio as a result of emission control measurements during APEC. This indicated that in
9 terms of BC aging, it was more sensitive to emission levels for smaller rBC cores. This could be explained by the diffusion-
10 controlled growth law, i.e., the condensational growth of smaller BC particles was more effective (Metcalf et al., 2013;
11 Seinfeld and Pandis, 2006), and thus, the effect of emission reduction on BC aging was more significant for smaller rBC
12 particles. Compared with that before and after APEC, the $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of ambient BC-containing particles with ~80-200
13 nm rBC cores during APEC was reduced by ~10-30% and ~31-53%, respectively. The relationship between the reduction in
14 $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles (R_{aging}) during APEC and their rBC core size (D_c) followed an exponential
15 function (Fig. 3b), i.e., $R_{\text{aging}} = 9.1+1576.6\exp(-0.055D_c)$ (relative to that before APEC) and $R_{\text{aging}} = 30.7+169.2\exp(-$
16 $0.025D_c)$ (relative to that after APEC).

17 The reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles for the pollution episode during APEC relative to that
18 before and after APEC showed pronounced diurnal cycles (Fig. 4). Compared with that before APEC, the reduction in $m_{\text{NR-}}$
19 CM/m_{rBC} ratio of BC-containing particles with 80-200 nm rBC cores during APEC showed maxima in the afternoon (~14:00-
20 17:00 LT) (Fig. 4a), consistent with the peak time of the diurnal cycle of O_3 concentrations before and during APEC (Fig.
21 4c). This consistence indicated that the reduction in coating materials on the BC surface during APEC compared to that
22 before APEC was most likely dominated by a lower photochemical production of secondary species. Fig. 5a1 shows that the
23 reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles during APEC relative to that before APEC increases with the O_3
24 concentration during the day (7:00-19:00 LT), revealing that the effect of emission controls on BC aging is associated with
25 photochemistry. Moreover, Fig. 4a shows the diurnal cycle of the reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles
26 during APEC compared to that before APEC with minima during rush hour (~6:00-8:00 LT), which can be due to a larger
27 contribution of primary emissions of fresh BC (namely, bare BC and thin coated BC particles) during rush hour than at other
28 times for both episodes before and during APEC.

29 However, the reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles for the pollution episode during APEC compared
30 to that after APEC showed a different diurnal cycle, with maxima at ~10:00-12:00 LT and with minima at ~15:00-17:00 LT
31 (Fig. 4b). Fig. 4c shows that the daytime O_3 concentrations after APEC are significantly smaller than those during APEC,
32 indicating a weakened contribution from photochemistry after APEC. The increased amount of coating materials of BC
33 observed after APEC compared to that during APEC was mostly likely attributed to enhanced other reactions (e.g.
34 heterogeneous chemistry) during haze episodes (Xie et al., 2015; Yang et al., 2015; Zheng et al., 2015; Mu et al., 2018). Fig.

1 5a2 shows that the variation in the reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles during APEC compared to that
2 after APEC is poorly correlated with the O_3 concentration. The diurnal trend of the reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-
3 containing particles during APEC relative to that after APEC was likely driven by the simultaneous effects of enhanced
4 photochemistry and weakened other chemistry (e.g. heterogeneous reaction) contributions during APEC.

5 As discussed above, the reduction in the aging degree of ambient BC-containing particles during APEC could have been
6 caused by a decreased chemical production (namely, weakened contributions from photochemical or other reactions) of
7 coating materials on the BC surface. Fig. 5b shows that the reduction in the $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles
8 during APEC relative to that before and after APEC is associated with a decrease of the concentrations of SO_2 and NO_2 due
9 to emission reduction. A greater decrease in the concentrations of SO_2 and NO_2 corresponded to a greater reduction in the
10 $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles during APEC. The reduction in precursor emissions of secondary species (e.g.,
11 SO_2 and NO_2) could decrease the chemical production, and therefore, lower amounts of coating materials on the BC surfaces
12 were observed during APEC.

13 3.3 Reduction in the light absorption of BC-containing particles

14 The reduction in the BC aging degree during APEC could weaken the light-absorption capability of BC-containing particles
15 owing to a decrease in the lensing effect caused by less coating materials on the BC surfaces (Fuller et al. 1999; Lack and
16 Cappa 2010). Fig. 6 compares the E_{ab} of BC-containing particles during the day for the pollution episodes observed before,
17 during and after APEC. The daytime E_{ab} of BC-containing particles with 80-200 nm rBC cores varied from ~ 1.5 to ~ 2.5
18 during APEC, values that were remarkably lower than that before and after APEC (i.e., E_{ab} of 1.7-3.0 and 1.8-3.2,
19 respectively, Fig. 6a); these results reflected a weakened light-absorption capability of BC during APEC. The reduction in
20 the daytime E_{ab} of BC-containing particles (R_{Eab}) during APEC compared with those before and after APEC decreased with
21 the rBC core size (D_c), and the relationship followed an exponential function ($R_{\text{Eab}} = 6.3 + 192.9 \exp(-0.039D_c)$) (relative to that
22 before APEC) and $R_{\text{Eab}} = 9.8 + 148.8 \exp(-0.033D_c)$ (relative to that after APEC)) shown in Fig. 6b. Compared with that of
23 before and after APEC, the E_{ab} of BC-containing particles with ~ 80 -200 nm rBC cores during the day decreased by ~ 6 -15%
24 and ~ 10 -20%, respectively. Our results provided evidence that emission controls could weaken the light-absorption
25 capability of ambient BC-containing particles. This weakening would have enhanced the effects of emission control
26 measures during APEC on BC light absorption.

27 Figure 7a shows the measured and theoretical light absorption coefficient of BC-containing particles during the
28 campaign period. The measured σ_{ab} revealed that the daytime light absorption of BC-containing particles in the pollution
29 episode during APEC decreased by $\sim 42\%$ and $\sim 68\%$ compared with those in pollution episodes before and after APEC,
30 respectively. This decrease could attributed to reduction in both the rBC mass concentration and the light-absorption
31 capability of ambient BC-containing particles. In order to separate the contributions of a decrease of rBC mass concentration
32 and a weakening of BC light-absorption capability to the reduction in light absorption during APEC, we calculated the

1 theoretical reduction in σ_{ab} of BC-containing during APEC with and without considering the weakened light-absorption
2 capability of BC-containing particles due to emission reduction ($\sigma_{ab,with}$ and $\sigma_{ab,without}$, respectively). When considering the
3 simultaneous reduction in the mass concentration and light-absorption capability of BC, the calculated reduction in daytime
4 σ_{ab} of BC-containing during APEC related to non-APEC period showed a good agreement with ones obtained from MAAP
5 measurements (Fig. 7b). This agreement demonstrated that the decrease in the light absorption of BC-containing particles
6 depended not only on the reduction of BC mass concentration, but also on the weakening of their light-absorption capability.

7 Considering the reductions in both the mass concentration and light-absorption capability of BC due to the emission
8 control measures, the daytime light absorption of BC-containing particles (i.e., $\sigma_{ab,with}$) decreased by $\sim 41\%$ and $\sim 68\%$ during
9 APEC compared to that before and after APEC, respectively. However, the $\sigma_{ab,without}$ of BC during APEC decreased by $\sim 34\%$
10 and $\sim 62\%$ relative to that before and after APEC, respectively (Fig. 7b). The difference between the reductions in $\sigma_{ab,with}$ and
11 $\sigma_{ab,without}$ indicated that the reduction in the rBC concentration contributed $\sim 83\%$ and $\sim 91\%$ of the reduction in BC light
12 absorption during APEC compared to that before and after APEC, respectively, while the weakening of the BC light-
13 absorption capability contributed $\sim 17\%$ and $\sim 9\%$, respectively. On average, the light absorption of BC-containing particles at
14 daytime during APEC decreased by $\sim 56\%$ compared with before and after APEC, of which $\sim 48\%$ was contributed by the
15 reduction in the mass concentration of rBC and the remain $\sim 8\%$ was controlled by the weakening of BC light-absorption
16 capability. These results imply that reductions in the emissions of multiple pollutants (i.e., BC and precursors of secondary
17 species) in China could benefit air quality and climate due to significantly lowering the light absorption of BC, which was
18 driven by the reductions in both rBC mass concentration and light-absorption capability of BC-containing particles.

19 **4 Discussion**

20 Based on a comparison of the observations before, during and after APEC, we found that the emission control measures
21 successfully reduced both the rBC mass concentration and the light-absorption capability (i.e., E_{ab}) of BC-containing
22 particles, resulting in a significant decrease in the light absorption of BC. The mechanism underlying the effect of the
23 emission reductions during APEC on BC light absorption is summarized in Fig. 8. Emission control measures reduce the
24 amount of both BC and co-emitted secondary aerosol precursors present in the atmosphere. The presence of lower amounts
25 of secondary particle precursors in the atmosphere weakens the chemical formation of secondary aerosol components,
26 suppressing the condensation of secondary species on BC surfaces. Less coating material on BC can weaken the lensing
27 effect, which leads to a weakening of the light-absorption capability for BC-containing particles. Simultaneous reductions in
28 the mass concentration and light-absorption capability of BC can result in a much lower light absorption of BC during APEC
29 compared to those before and after APEC.

30 In China, a series of emission controls measures have been implemented in pollution regions (e.g., Jing-Jin-Ji region),
31 aiming to increase the number of clean days and decrease the number of haze days. This comparison between periods with
32 and without emission controls measures may illustrate the differences between clean and polluted periods. In terms of

1 different pollution levels in China, our findings imply that a clean period is characterized by not only a lower BC mass
2 concentration but also a weaker light-absorption capability of BC-containing particles compared to that in polluted periods.
3 In our previous study (Zhang et al., 2018), we found that the light-absorption capability of ambient BC-containing particles
4 observed in Beijing was enhanced by an increase in pollution levels, resulting in an amplification of BC light absorption
5 under polluted conditions. The present work clearly demonstrates that emission control measures can reduce this
6 amplification effect by decreasing the light-absorption capability of BC-containing particles. Moreover, this work can
7 explain how emission control measures reduce the amplification effect, namely, by slowing the aging of BC resulted from a
8 reduction in co-emitted secondary aerosol precursors (e.g., SO₂, NO_x and VOCs).

9 The simultaneous reductions in the mass concentration and light-absorption capability of BC due to emission controls
10 confirmed the suggestions of previous studies that BC emission reductions could achieve multiple benefits, i.e.,
11 simultaneously controlling air pollution and protecting the climate (Ding et al., 2016). Furthermore, our study implies that
12 the air quality and climate co-benefits from multi-pollutant emission controls are enhanced by the weakened light-absorption
13 capability of BC-containing particles. In terms of air quality improvement, the weakened light-absorption capability plays an
14 important role in both the direct and indirect effects of BC. Weakened light-absorption capability can directly lower the light-
15 absorbing efficiency of BC aerosols in the atmosphere, resulting in more solar light radiation reaching the surface; the
16 weakened light-absorption capability of ambient BC-containing particles can indirectly mitigate air pollution by improving
17 PBL suppression driven by the dome effect of BC (Ding et al., 2016; Wang et al., 2017). On the other hand, an enhanced
18 reduction in climate warming can be attributed to a smaller direct radiative forcing from BC aerosols due to a weaker light-
19 absorption capability of atmospheric BC-containing particles. The importance of the weakened light-absorption capability of
20 BC highlighted in our study provides clues for the management of air quality and climate change. The emission controls of
21 multiple pollutants including BC and co-emitted secondary aerosol precursors may be an efficient way to simultaneously
22 mitigate air pollution and climate warming.

23 **5 Concluding remarks**

24 The effects of emission reductions on the light absorption of BC-containing particles are not only controlled by the reduction
25 in the BC mass concentration but also dependent on the change in their light-absorption capability. The decrease in the BC
26 mass concentration due to emission control measures is well known. However, the impact of emission reduction on the light-
27 absorption capability of BC-containing particles remains unclear due to a lack of available observations. The 2014 APEC
28 meeting in Beijing, China, provides an invaluable opportunity to measure the variations in the light-absorption capability of
29 ambient BC-containing particles due to emission reductions. In this work, based on in situ measurements at an urban site in
30 Beijing before, during and after APEC using a SP2, we explored whether and how emission control measures in China
31 influence the light-absorption capability of ambient BC-containing particles. Note that this comparative study focused on the
32 pollution episodes before, during and after APEC.

1 We found that the emission control measures successfully lowered the aging degree (i.e., $m_{\text{NR-CM}}/m_{\text{rBC}}$) of BC-containing
2 particle. The $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles with ~80-200 nm rBC cores during APEC decreased by ~10-30%
3 and ~31-53% compared to that before and after APEC, respectively. The reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing
4 particles increased with decreasing rBC core size, following an exponential function. The size-dependent reduction in $m_{\text{NR-}}$
5 CM/m_{rBC} ratio of BC-containing particles indicated that emission reduction was more effective for slowing the aging of
6 smaller rBC particles. The reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles during APEC relative to those before
7 and after APEC showed a pronounced diurnal cycle, with maxima at ~14:00-17:00 LT and ~10:00-12:00, respectively. The
8 decreased ageing of BC-containing particles during APEC was mainly driven by a reduction in chemical production (i.e.,
9 oxidation products such as sulfate and nitrate) on the surface of BC due to less amounts of secondary aerosol precursors
10 (e.g., the NO_2 concentration during APEC decreased by ~34% and ~45% compared with those before and after APEC,
11 respectively, and the corresponding SO_2 concentration decreased by ~35% and ~67% during APEC, respectively) present in
12 the atmosphere during BC aging. The reduction in $m_{\text{NR-CM}}/m_{\text{rBC}}$ ratio of BC-containing particles during APEC relative to
13 those before and after APEC increased with the reduction in the concentrations of NO_2 and SO_2 .

14 Due to the lower amount of coating materials on BC surfaces during APEC, the light-absorption capability (i.e., E_{ab}) of
15 BC-containing particles with ~80-200 nm rBC cores during the day decreased by ~6-15% and ~10-20% compared to those
16 before and after APEC, respectively. The weakened light-absorption capability of BC-containing particles enhanced the
17 reduction in BC light absorption due to the emission control measures. When considering the reduction in both the mass
18 concentration and light-absorption capability of BC-containing particles during the day during APEC, the theoretical light
19 absorption (i.e., σ_{ab}) decreased by ~41% and ~68% compared to those before and after APEC, respectively. However, the
20 reduced light absorption of BC during the day caused by the decrease in the BC mass concentration during APEC compared
21 to those before and after APEC was estimated to be ~34% and ~62%, respectively. Therefore, ~10-20% of the reduction in
22 the daytime light absorption of BC-containing particles during APEC relative to those before and after APEC could be
23 attributed to the weakened light-absorption capability. Our study revealed that reductions in the emissions of multiple
24 pollutants (i.e., BC, NO_2 and SO_2) could reduce the light-absorption capability of BC. Weakened light-absorption capability
25 of BC due to emission controls further confirmed the suggestions of previous studies that BC emission reductions can
26 achieve multiple benefits, i.e., simultaneously controlling air pollution and protecting the climate (Ding et al., 2016; Peng et
27 al., 2016; Zhang et al., 2018). Our study then implied that the air quality and climate co-benefits from multi-pollutant
28 emission control could be enhanced by the weakened light-absorption capability of BC-containing particles.

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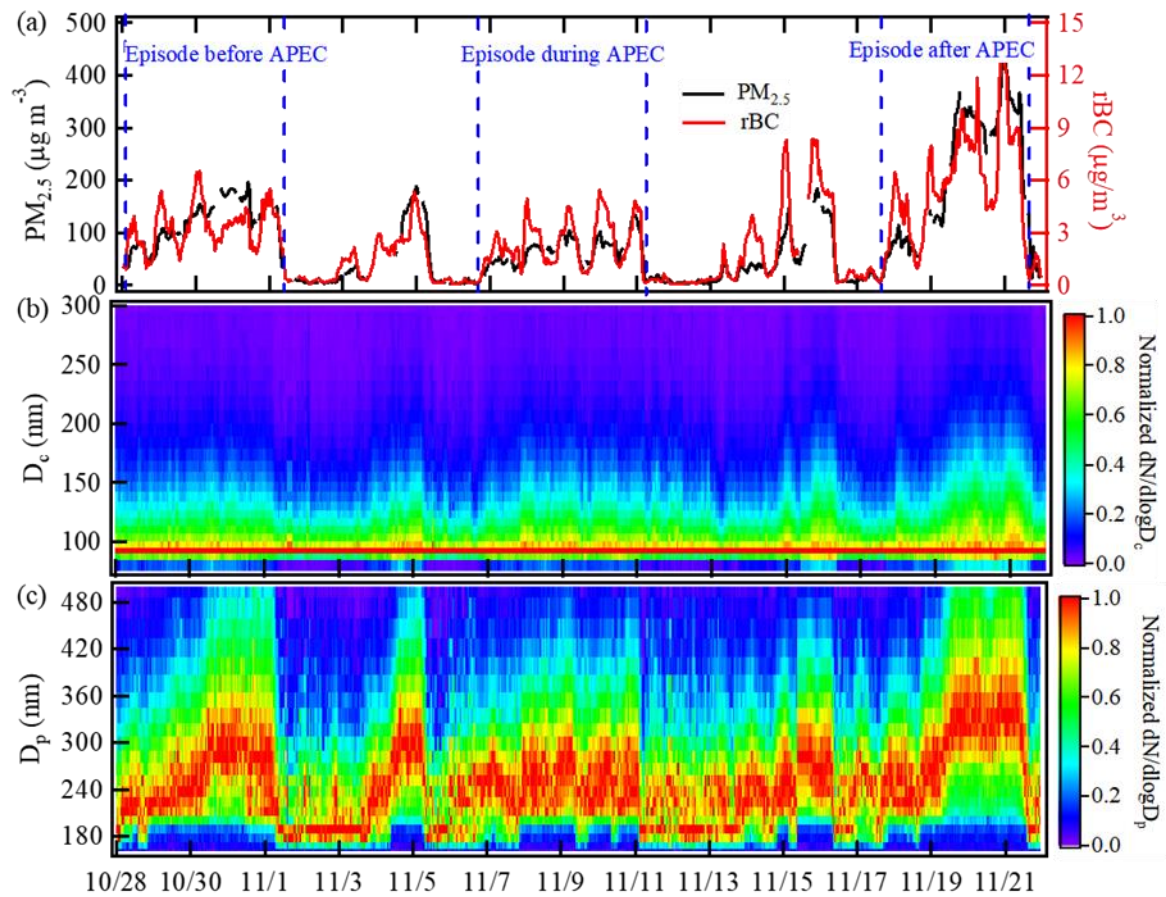
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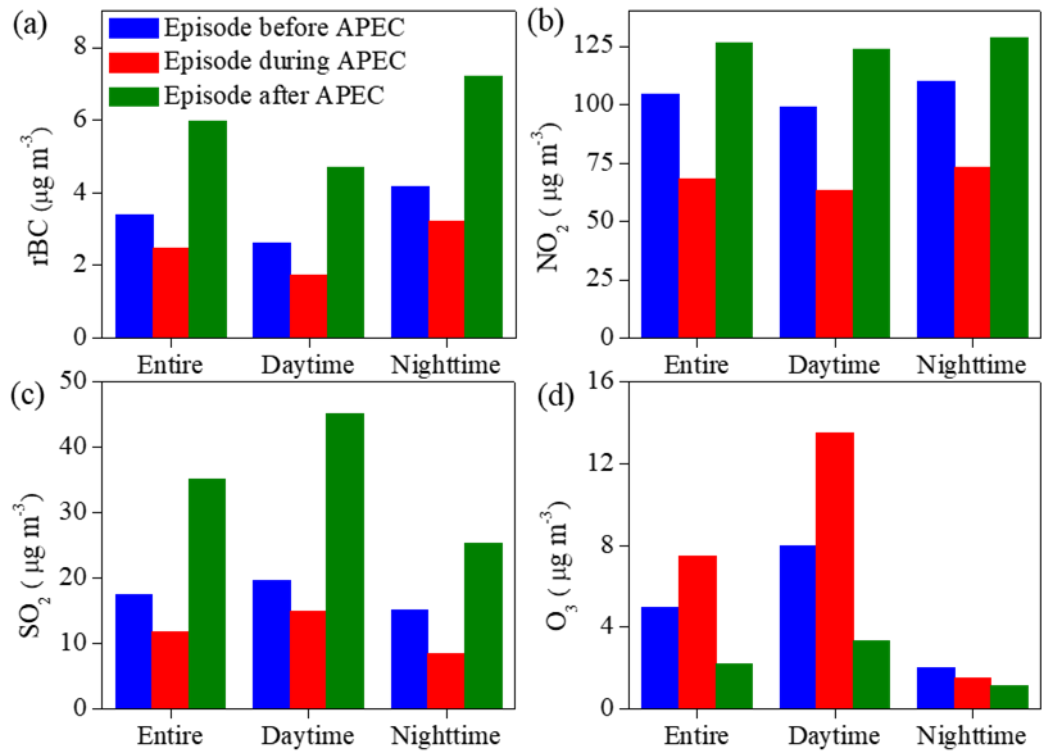
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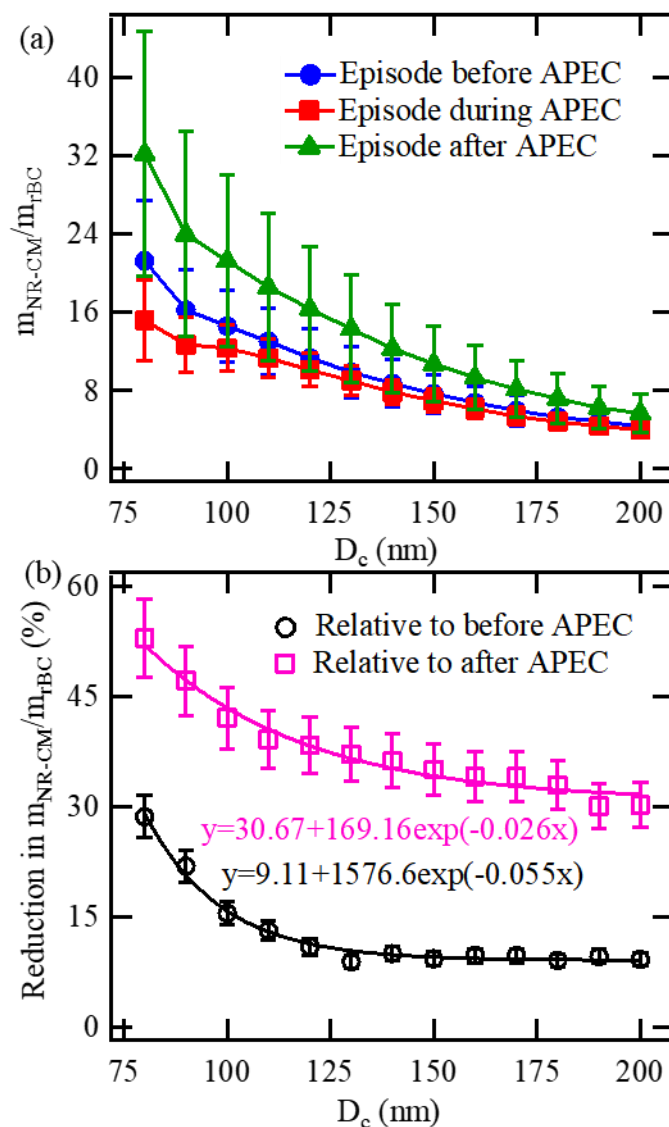
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2014

2 **Figure 1.** Time series of (a) the mass concentrations of $PM_{2.5}$ and rBC and the number size distribution of (b) rBC cores (D_c)
 3 and (c) whole BC-containing particles (D_p).

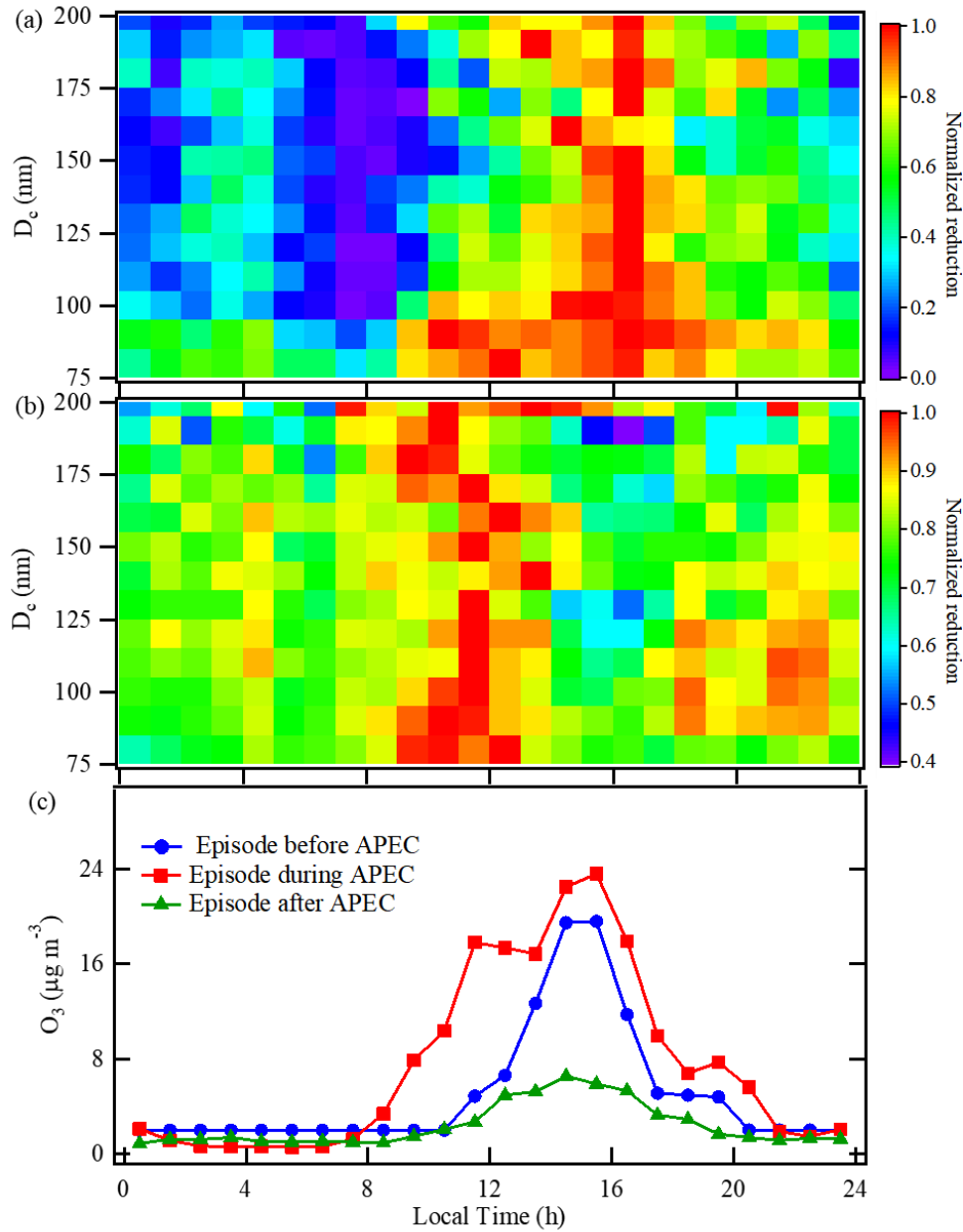


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 2 **Figure 2.** The mass concentrations of (a) rBC, (b) NO₂, (c) SO₂ and (d) O₃ for the pollution episodes before, during and after APEC. We
 3 separated the entire data sets into daytime (7:00 LT to 19:00 LT) and nighttime (19:00 LT to 7:00 LT of the following day) sets.
 4



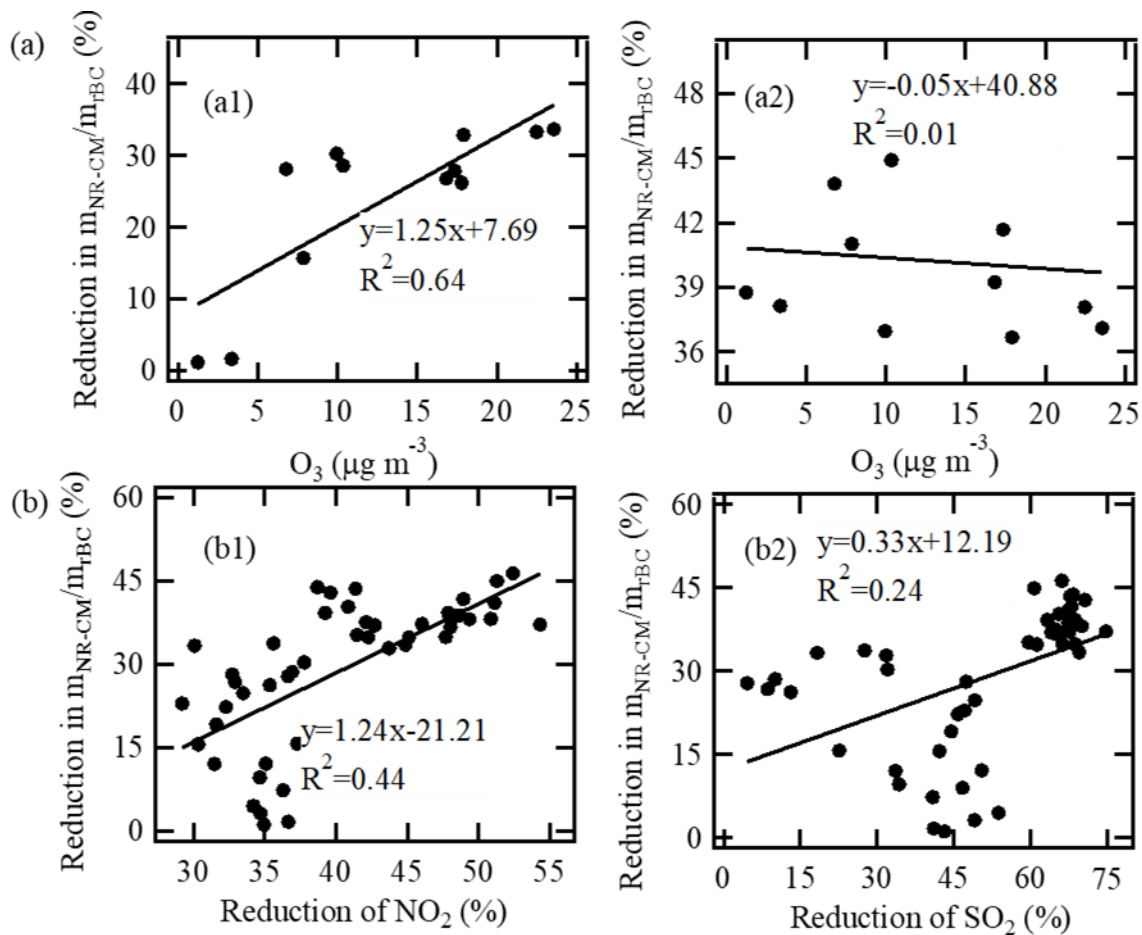
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3 **Figure 3.** Comparison of the aging degree of BC-containing particles for the pollution episodes before, during and after APEC: (a)
 4 m_{NR-CM}/m_{TBC} ratio of BC-containing particles and (b) the reduction in m_{NR-CM}/m_{TBC} ratio of BC-containing particles during
 5 APEC relative to those before and after APEC. The error bar shown in (b) represents the uncertainties (~15%) from Mie
 6 calculation.

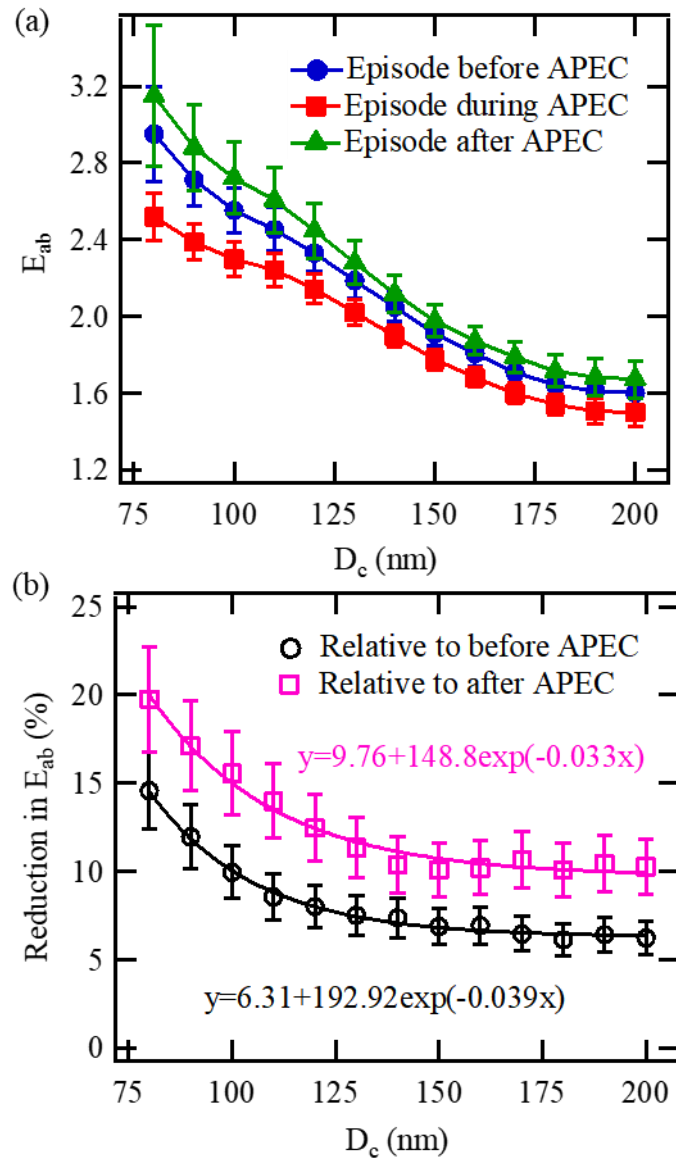


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3 **Figure 4.** Diurnal cycle of the normalized reduction in m_{NR-CM}/m_{BC} ratio of BC-containing particles for the pollution episode
 4 during APEC relative to those (a) before and (b) after APEC. (c) Diurnal cycle of O_3 concentration for the pollution episodes
 5 before, during and after APEC

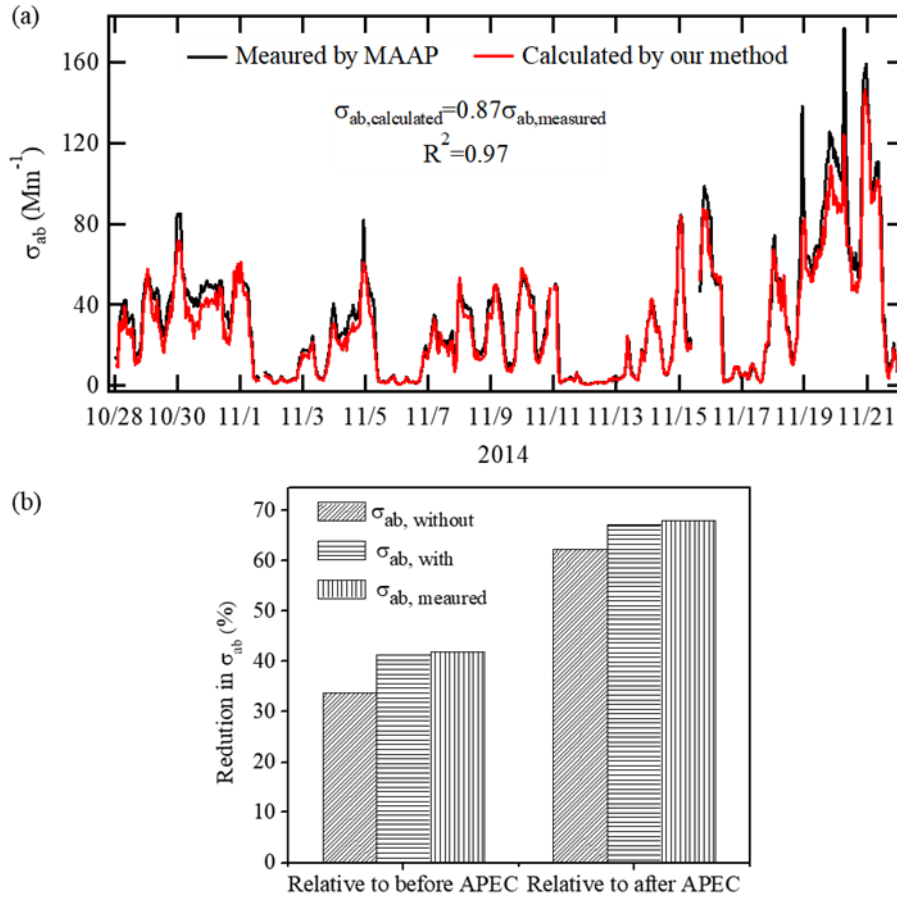


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 2 **Figure 5.** (a) Correlation between the reduction in m_{NR-CM}/m_{TBC} ratio of BC-containing particles for the pollution episode
 3 during APEC relative to those (a1) before and (a2) after APEC and the daytime (7:00-19:00) O_3 concentration during APEC.
 4 (b) Correlation between the reduction in m_{NR-CM}/m_{TBC} during APEC relative to those before and after APEC and the
 5 corresponding reduction in the concentrations of (b1) NO_2 and (b2) SO_2 .



2

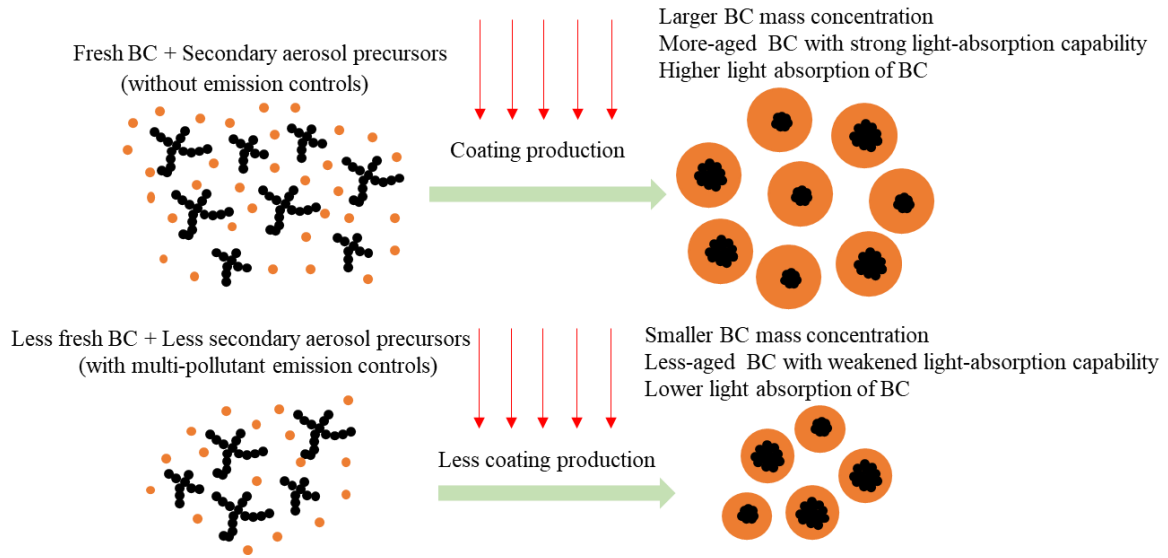
3 **Figure 6.** Comparison of the light-absorption capability of BC-containing particles during the day for the pollution episodes
 4 before, during and after APEC: (a) light absorption enhancement (E_{ab}) of BC-containing particles and (b) the reduction in E_{ab}
 5 of BC-containing particles during APEC relative to those before and after APEC. The error bar shown in (b) represents the
 6 uncertainties (~15%) from Mie calculation.



2

3 **Figure 7.** (a) The light absorption coefficient (σ_{ab}) at 670 nm. (b) Reduction in the absorption coefficients (σ_{ab}) of BC-
4 containing particles observed in the pollution episode during APEC relative to those before and after APEC. The correlation
5 between the calculated σ_{ab} ($\sigma_{ab,calculated}$) using Mie theory combined with SP2 measurements and the measured σ_{ab} ($\sigma_{ab,measured}$)
6 by the MAAP is also shown in (a). The $\sigma_{ab,with}$ and $\sigma_{ab,without}$ values represent $\sigma_{ab,calculated}$ values with/without, respectively,
7 considering the differences of light-absorption capability of ambient BC-containing particles among the episodes before,
8 during and after APEC.

1



2

3 **Figure 8.** Conceptual scheme of the reduction in light absorption of BC-containing particles due to multi-pollutant emission

4 controls.