¹ Secondary Organic Aerosol (SOA) yields from NO₃ radical

² + isoprene based on nighttime aircraft power plant plume

3 transects

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- 5 Juliane L. Fry¹, Steven S. Brown^{2,5}, Ann M. Middlebrook², Peter M. Edwards^{2,3,4}, Pedro
- 6 Campuzano-Jost^{3,5}, Douglas A. Day^{3,5}, José L. Jimenez^{3,5}, Hannah M. Allen⁶, Thomas B.
- 7 Ryerson², Ilana Pollack^{2,3,a}, Martin Graus^{3,b}, Carsten Warneke^{2,3}, Joost A. deGouw^{3,5}, Charles A.
- 8 Brock², Jessica Gilman^{2,3}, Brian M. Lerner^{2,3,c}, William P. Dubé^{2,3}, Jin Liao^{2,3,d}, André Welti^{2,3,e}
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- 10 ¹Chemistry Department, Reed College, Portland, OR, USA
- ²Chemical Sciences Division, Earth System Research Laboratory, National Oceanic and Atmospheric
- 12 Administration, Boulder, CO, USA
- 13 ³Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO, USA
- ⁴Wolfson Atmospheric Chemistry Laboratories, Department of Chemistry, University of York, York, UK
- 15 ⁵Department of Chemistry, University of Colorado, Boulder, CO, USA
- ⁶Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA, USA
- 17 ^anow at Department of Atmospheric Science, Colorado State University, Fort Collins, CO, USA
- ^bnow at Institute of Atmospheric and Cryospheric Sciences, University of Innsbruck, Austria.
- 19 ^cnow at Aerodyne Research, Inc., Billerica, MA, USA
- 20 ^dnow at Universities Space Research Association, Columbia, MD, USA and NASA Goddard Space Flight
- 21 Center, Atmospheric Chemistry and Dynamic Laboratory, Greenbelt, MD, USA
- 22 ^enow at Leibniz Institute for Tropospheric Research, Department of Physics, Leipzig, Germany

24 Abstract

- 25 Nighttime reaction of nitrate radicals (NO₃) with biogenic volatile organic compounds (BVOC)
- has been proposed as a potentially important but also highly uncertain source of secondary
- 27 organic aerosol (SOA). The southeast United States has both high BVOC and nitrogen oxide
- (NO_x) emissions, resulting in a large model-predicted NO_3 -BVOC source of SOA. Coal-fired
- power plants in this region constitute substantial NO_x emissions point sources into a nighttime
- 30 atmosphere characterized by high regionally widespread concentrations of isoprene. In this
- 31 paper, we exploit nighttime aircraft observations of these power plant plumes, in which NO₃
- 32 radicals rapidly remove isoprene, to obtain field-based estimates of the secondary organic
- aerosol yield from NO_3 + isoprene. Observed in-plume increases in nitrate aerosol are consistent with organic nitrate aerosol production from NO_3 + isoprene, and these are used
- 34 consistent with organic nitrate aerosol production from NO_3 + isoprene, and these are used to 35 determine molar SOA yields, for which the average over 9 plumes is 9%. Corresponding mass
- 36 yields depend on the assumed molecular formula for isoprene-NO₃-SOA, but the average over 9
- 37 plumes is 27%, larger than those previously measured in chamber studies (12 14% after
- 38 oxidation of both double bonds). Yields are larger for longer plume ages. This suggests that
- 39 ambient aging processes lead more effectively to condensable material than typical chamber
- 40 conditions allow. We discuss potential mechanistic explanations for this difference, including
- 41 longer ambient peroxy radical lifetimes and heterogeneous reactions of NO₃-isoprene gas
- 42 phase products. More in-depth studies are needed to better understand the aerosol yield and

43 oxidation mechanism of NO₃ radical + isoprene, a coupled anthropogenic – biogenic source of
 44 SOA that may be regionally significant.

45 **1 Introduction**

46 Organic aerosol (OA) is increasingly recognized as a globally important component of the fine 47 particulate matter that exerts a large but uncertain negative radiative forcing on Earth's climate 48 (Myhre et al., 2013) and adversely affects human health around the world (Lelieveld et al., 49 2015). This global importance is complicated by large regional differences in OA concentrations 50 relative to other sources of aerosol such as black carbon, sulfate, nitrate and sea salt. OA 51 comprises 20 – 50% of total fine aerosol mass at continental mid-latitudes, but more in urban 52 environments and biomass burning plumes, and up to 90% over tropical forests (Kanakidou et 53 al., 2005, Zhang et al., 2007). Outside of urban centers and fresh biomass burning plumes, the 54 majority of this OA is secondary organic aerosol (SOA) (Jimenez et al., 2009), produced by 55 oxidation of directly emitted volatile organic compounds followed by partitioning into the aerosol 56 phase. Forests are strong biogenic VOC emitters, in the form of isoprene (C_5H_8), monoterpenes 57 $(C_{10}H_{16})$, and sesquiterpenes $(C_{15}H_{24})$, all of which are readily oxidized by the three major 58 atmospheric oxidants, OH, NO₃, and O₃. The total global source of biogenic SOA from such 59 reactions remains highly uncertain, with a eview estimating it at 90 +/- 90 Tg C yr⁻¹ (Hallquist et 60 al., 2009), a large fraction of which may be anthropogenically controlled (Goldstein et al., 2009, 61 Carlton et al., 2010, Hoyle et al., 2011, Spracklen et al., 2011). As most NO₃ arises from 62 anthropogenic emissions, OA production from NO_3 + isoprene is one mechanism that could 63 allow for the anthropogenic control of biogenic SOA mass loading.

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65 Isoprene constitutes nearly half of all global VOC emissions to the atmosphere, with a flux of 66 ~600 Tg yr⁻¹ (Guenther et al., 2006). As a result, accurate global biogenic SOA budgets depend strongly on yields from isoprene oxidation. Recent global modeling efforts find that isoprene 67 68 SOA is produced at rates from 14 (Henze and Seinfeld 2006, Hoyle et al., 2007) to 19 TgC yr⁻¹ 69 (Heald et al., 2008), which implies that it could constitute 27% (Hoyle et al., 2007) to 48% 70 (Henze and Seinfeld 2006) to 78% (Heald et al., 2008) of total SOA (based also on varying 71 estimates of total SOA burden in each study). More recent observational constraints on SOA 72 yield from isoprene find complex temperature-dependent mechanisms that could affect vertical 73 distributions (Worton et al., 2013) and suggest that isoprene SOA constitutes from 17% (Hu et al., 2015) to 40% (Kim et al., 2015) up to 48% (Marais et al., 2016) of total OA in the 74 75 southeastern United States. This large significance comes despite isoprene's low SOA mass vields - two recent observational studies estimated the total isoprene SOA mass yield to be 76 77 ~3% (Kim et al., 2015, Marais et al., 2016), and modeling studies typically estimate isoprene SOA yields to be 4 to 10%, depending on the oxidant, in contrast to monoterpenes' yields of 10 78 79 to 20% and sesquiterpenes' yields of >40% (Pye et al., 2010). Furthermore, laboratory studies 80 of SOA mass yields may have a tendency to underestimate these yields, if they cannot access 81 the longer timescales of later-generation chemistry, or are otherwise run under conditions that 82 limit oxidative aging of first-generation products (Carlton et al., 2009). 83

- Laboratory chamber studies of SOA mass yield at OA loadings of ~ 10 μ g m⁻³ from isoprene
- have typically found low yields from O_3 (1% (Kleindienst et al., 2007)) and OH (2% at low NO_x to
- ⁸⁶ 5% at high NO_x (Kroll et al., 2006, Dommen et al., 2009); 1.3% at low NO_x and neutral seed
- 87 aerosol pH but rising to 29% in the presence of acidic sulfate seed aerosol due to reactive
- uptake of epoxydiols of isoprene (IEPOX) (Surratt et al., 2010)). One recent chamber study on
 OH-initiated isoprene SOA formation focused on the fate of second-generation RO₂ radical
- 90 found significantly higher yields, up to 15% at low NO_x (Liu et al., 2016), suggesting that omitting
- 91 later-generation oxidation chemistry could be an important limitation of early chamber
- 92 determinations of isoprene SOA yields. Another found an increase in SOA formed with
- 93 increasing HO₂ to RO₂ ratios, suggesting that RO₂ fate could also play a role in the variability of
- 94 previously reported SOA yields (D'Ambro et al., 2017).
- 95
- 96 For NO₃ oxidation of isoprene, early chamber experiments already pointed to higher yields (e.g.,
- 97 12% (Ng et al., 2008)) than for OH oxidation. Ng et al. (Ng et al., 2008) also observed chemical
- 98 regime differences: SOA yields were approximately two times larger when chamber conditions
- 99 were tuned such that first-generation peroxy radical fate was $RO_2 + RO_2$ dominated than when it
- was $RO_2 + NO_3$ dominated. In addition, Rollins et al. (Rollins et al., 2009) observed a significantly
- higher SOA yield (14%) from second-generation NO₃ oxidation than that when only one double
- bond was oxidized (0.7%). This points to the possibility that later-generation, RO_2+RO_2
- dominated isoprene + NO₃ chemistry may be an even more substantial source of SOA than
 what current chamber studies have captured. Schwantes et al. (Schwantes et al., 2015)
- investigated the gas-phase products of NO_3 + isoprene in the RO_2 +HO₂ dominated regime and
- 106 found the major product to be isoprene nitrooxy hydroperoxide (INP, 75-78% molar yield), which
- 107 can photochemically convert to isoprene nitrooxy hydroxyepoxide (INHE), a molecule that might
- 108 contribute to SOA formation via heterogeneous uptake similar to IEPOX. Here again, multiple
- 109 generations of chemistry are required to produce products that may contribute to SOA.
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- 111 Because the SOA yield appears to be highest for NO_3 radical oxidation, and isoprene is such an
- abundantly emitted BVOC, oxidation of isoprene by NO_3 may be an important source of OA in
- areas with regional NO_x pollution. Since the SOA yield with neutral aerosol seed appears to be
- an order of magnitude larger than that from other oxidants, even if only 10% of isoprene is
- 115 oxidized by NO₃, it will produce comparable SOA to daytime photo-oxidation. For example,
- Brown et al. (Brown et al., 2009) concluded that NO_3 contributed more SOA from isoprene than
- 117 OH over New England, where > 20% of isoprene emitted during the previous day was available
- 118 at sunset to undergo dark oxidation by either NO_3 or O_3 . The corresponding contribution to total 119 SOA mass loading was 1 – 17% based on laboratory yields (Ng et al., 2017). Rollins et al.
- SOA mass loading was 1 17% based on laboratory yields (Ng et al., 2017). Rollins et al.
 (Rollins et al., 2012) concluded that multi-generational NO₃ oxidation of biogenic precursors was
- responsible for one-third of nighttime organic aerosol increases during the CalNex-2010
- 122 experiment in Bakersfield, CA. In an aircraft study near Houston, TX, Brown et al. (Brown et al.,
- 123 2013) observed elevated organic aerosol in the nighttime boundary layer, and correlated vertical
- 124 profiles of organic and nitrate aerosol in regions with rapid surface level NO₃ radical production
- and BVOC emissions. From these observations, the authors estimated an SOA source from
- 126 NO₃ + BVOCs within the nocturnal boundary layer of $0.05 1 \mu g m^{-3} h^{-1}$. Carlton et al. (Carlton
- 127 et al., 2009) note the large scatter in chamber-measured SOA yields from isoprene

128 photooxidation and point throughout their review of SOA formation from isoprene to the likely

- 129 importance of poorly understood later generations of chemistry in explaining field observations.
- 130 We suggest that similar differences in multi-generational chemistry could explain the variation
- 131 among the (sparse) chamber and field observations of NO_3 + isoprene yields described in the
- previous paragraph, and summarized in a recent review of NO_3 + BVOC oxidation mechanisms and SOA formation (Ng et al., 2017).
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135 The initial products of NO_3 + isoprene include organic nitrates, some of which will partially 136 partition to the aerosol phase. Organic nitrates in the particle phase (pRONO₂) are challenging 137 to quantify with online methods, due to both interferences and their often overall low 138 concentrations in ambient aerosol. Hence, field datasets to constrain modeled pRONO₂ are 139 sparse (Fisher et al., 2016, Ng et al., 2017). One of the most used methods in recent studies, 140 used also here, is quantification with the Aerodyne Aerosol Mass Spectrometer (AMS). Organic 141 nitrates thermally decompose in the AMS vaporizer and different approaches have been used to 142 apportion the organic fraction contributing to the total nitrate signal. Allan et al. (Allan et al., 143 2004) first proposed the use of nitrate peaks at m/z 30 and 46 to distinguish various nitrate 144 species with the AMS. Marcolli et al. (Marcolli et al., 2006), in the first reported tentative 145 assignment of aerosol organic nitrate using AMS data, used cluster analysis to analyze data 146 from the 2002 New England Air Quality Study. In that study, cluster analysis identified two 147 categories with high m/z 30 contributions. One of these peaked in the morning when NO_x was 148 abundant and was more prevalent in plumes with lowest photochemical ages, potentially from 149 isoprene oxidation products. The second was observed throughout the diurnal cycle in both 150 fresh and aged plumes, and contained substantial m/z 44 contribution (highly oxidized OA). A 151 subsequent AMS laboratory and field study discussed and further developed methods for 152 separate quantification of organic nitrate (in contrast to inorganic nitrate) (Farmer et al., 2010). A 153 refined version of one of these separation methods, based on the differing NO_2^+/NO^+ 154 fragmentation ratio for organic vs. inorganic nitrate, was later employed to quantify organic 155 nitrate aerosol at two forested rural field sites where strong biogenic VOC emissions and 156 relatively low NOx combined to make substantial organic nitrate aerosol concentrations ((Fry et 157 al., 2013, Ayres et al., 2015)). Most recently, Kiendler-Scharr et al. (Kiendler-Scharr et al., 2016) used a variant of this method to conclude that across Europe, organic nitrates comprise ~40% 158 159 of submicron organic aerosol. Modeling analysis concluded that a substantial fraction of this 160 organic nitrate aerosol is produced via NO₃ radical initiated chemistry. Chamber studies have employed this fragmentation ratio method to quantify organic nitrates (Fry et al., 2009, Rollins et 161 162 al., 2009, Bruns et al., 2010, Fry et al., 2011, Boyd et al., 2015), providing the beginnings of a 163 database of typical organonitrate fragmentation ratios from various BVOC precursors. 164 165 Measurements conducted at the SOAS ground site in Centreville, Alabama in 2013 found 166 evidence of significant organonitrate contribution to SOA mass loading. Xu et al. (2015) reported

167 that organic nitrates constituted 5 to 12% of total organic aerosol mass from AMS data applying

- 168 a variant of the NO_2^+/NO^+ ratio method. They identify a nighttime-peaking "LO-OOA" AMS factor
- 169 which they attribute to mostly NO₃ oxidation of BVOC (in addition to O_3 + BVOC). They
- 170 estimated that the NO₃ radical oxidizes 17% of isoprene, 20% of α -pinene, and 38% of β -pinene
- 171 in the nocturnal boundary layer at this site. However, applying laboratory-based SOA yields to

model the predicted increase in OA, Xu et al. predict only 0.7 μ g m⁻³ of SOA would be 172 produced, substantially lower than the measured nighttime LO-OOA production of 1.7 μ g m⁻³. 173 174 The more recent analysis of Zhang et al. (Zhang et al., 2018) found a strong correlation of 175 monoterpene SOA with the fraction of monoterpene oxidation attributed to NO₃, even for non-176 nitrate containing aerosol, suggesting an influence of NO_3 even in pathways that ultimately 177 eliminate the nitrate functionality from the SOA, such as hydrolysis or NO₂ regeneration. Ayres 178 et al. (Ayres et al., 2015) used a correlation of overnight organonitrate aerosol buildup with calculated net NO₃ + monoterpene and isoprene reactions to estimate an overall NO₃ + 179 180 monoterpene SOA mass yield of 40 - 80%. The factor of two range in this analysis was based 181 on two different measurements of aerosol-phase organic nitrates. These authors used similar 182 correlations to identify specific CIMS-derived molecular formulae that are likely to be NO₃ radical 183 chemistry products of isoprene and monoterpenes, and found minimal contribution of identified 184 first-generation NO₃ + isoprene products to the aerosol phase (as expected based on their volatility). Lee et al. (Lee et al., 2016) detected abundant highly functionalized particle-phase 185 186 organic nitrates at the same site, with apparent origin both from isoprene and monoterpenes. 187 and both daytime and nighttime oxidation, and estimated their average contribution to 188 submicron organic aerosol mass to be between 3 - 8 %. For the same ground campaign, 189 Romer et al. (Romer et al., 2016) found evidence of rapid conversion from alkyl nitrates to 190 HNO_3 , with total alkyl nitrates having an average daytime lifetime of 1.7 hours.

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192 Xie et al. (Xie et al., 2013) used a model constrained by observed alkyl nitrate correlations with 193 O₃ from the INTEX-NA/ICARTT 2004 field campaign to determine a range of isoprene nitrate 194 lifetimes between 4 and 6 hours, with 40-50% of isoprene nitrates formed by NO_3 + isoprene 195 reactions. Laboratory studies show that not all organic nitrates hydrolyze to HNO₃ equally 196 rapidly: primary and secondary organic nitrates were found to be less prone to aqueous 197 hydrolysis than tertiary organic nitrates (Darer et al., 2011, Hu et al., 2011, Boyd et al., 2015, 198 Fisher et al., 2016). This suggests that field-based estimates of the contribution of organic 199 nitrates to SOA formation could be a lower limit, if they are based on measurement of those 200 aerosol-phase nitrates. This is because if hydrolysis is rapid, releasing HNO₃ but leaving behind the organic fraction in the aerosol phase, then that organic mass would not be accurately 201 202 accounted for as arising from nitrate chemistry. This was addressed in a recent modeling study of SOAS (Pye et al., 2015) in which modeled hydrolysis products of particulate organic nitrates 203 of up to 0.8 μ g m⁻³ additional aerosol mass loading in the southeast U.S. were included in the 204 205 estimate of change in OA due to changes in NO_x. Another recent GEOS-Chem modeling study using of gas- and particle-phase organic nitrates observed during the SEAC⁴RS and SOAS 206 207 campaigns similarly finds RONO₂ to be a major sink of NO_x across the SEUS region (Fisher et 208 al., 2016, Lee et al., 2016).

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Complementing these SOAS ground site measurements, the NOAA-led SENEX (Southeast
Nexus) aircraft campaign conducted 18 research flights focused in part on studying the
interactions between biogenic and anthropogenic emissions that form secondary pollutants
between 3 June and 10 July 2013 (Warneke et al., 2016). Flight instrumentation focused on
measurement of aerosol precursors and composition enable the present investigation of SOA
yields using this aircraft data set. Edwards et al. (Edwards et al., 2017) used data from the

- 216 SENEX night flights to evaluate the nighttime oxidation of BVOC, observing high nighttime
- 217 isoprene mixing ratios in the residual layer that can undergo rapid NO₃ oxidation when sufficient
- 218 NO_x is present. These authors suggest that past NO_x reductions may have been uncoupled
- 219 from OA trends due to NO_x not having been the limiting chemical species for OA production, but
- 220 that future reductions in NO_x may decrease OA if NO₃ oxidation of BVOC is a substantial
- 221 regional SOA source. Because isoprene is ubiquitous in the nighttime residual layer over the 222 southeastern United States and the NO₃ + isoprene reaction is rapid, NO₃ reaction will be
- 223 dominant relative to O_3 in places with anthropogenic inputs of NO_x (Edwards et al. (Edwards et
- 224 al., 2017) concludes that when NO₂/BVOC > 0.5, NO₃ oxidation will be dominant). Hence, a
- 225 modest NO_3 + isoprene SOA yield may constitute a regionally important OA source.
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- 227 Several modeling studies have investigated the effects of changing NO_x on global and SEUS
- 228 SOA. Hoyle et al. (Hoyle et al., 2007) found an increase in global SOA production from 35 Tg yr ¹ to 53 Tg yr⁻¹ since preindustrial times, resulting in an increase in global annual mean SOA
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- 230 mass loading of 51%, attributable in part to changing NO_x emissions. Zheng et al. (Zheng et al., 231 2015) found only moderate SOA reductions from a 50% reduction in NO emissions: 0.9 – 5.6 %
- 232 for global NO_x or 6.4 - 12.0% for southeast US NO_x, which they attributed to buffering by
- 233 alternate chemical pathways and offsetting tendencies in the biogenic vs. anthropogenic SOA 234 components. In contrast, Pye et al. (Pye et al., 2015) find a 9% reduction in total organic aerosol
- 235 in Centreville, AL for only 25% reduction in NO_x emissions. A simple limiting-reagent analysis of
- 236 NO_3 + monoterpene SOA from power plant plumes across the United States found that between
- 237 2008 and 2011, based on EPA-reported NO_x emissions inventories, some American power 238 plants shifted to the NO_x-limited regime (from 3.5% to 11% of the power plants), and showed
- 239 that these newly NO_x-limited power plants were primarily in the southeastern United States (Fry 240 et al., 2015). The effect of changing NO_x on SOA burden is clearly still in need of further study.
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242 Here, we present aircraft transects of spatially discrete NO_x plumes from electric generating

- 243 units (EGU), or power plants (PP), as a method to specifically isolate the influence of NO_3
- 244 oxidation. These plumes are concentrated and highly enriched in NO_x over a scale of only a
- 245 few km (Brown et al., 2012), and have nitrate radical production rates ($P(NO_3)$) 10 – 100 times
- 246 greater than those of background air. The rapid shift in $P(NO_3)$ allows direct comparison of air 247 masses with slow and rapid oxidation rates attributable to the nitrate radical, effectively isolating
- 248 the influence of this single chemical pathway in producing SOA and other oxidation products.
- 249 Changes in organic nitrate aerosol (pRONO₂) concentration and accompanying isoprene
- 250 titration enable a direct field determination of the SOA yield from NO_3 + isoprene.

2 Field campaign and experimental and modeling methods 251

252 The Southeast Nexus (SENEX: http://esrl.noaa.gov/csd/projects/senex/) campaign took place 3

June through 10 July 2013 as the NOAA WP-3D aircraft contribution to the larger Southeast 253

254 Atmospheric Study (SAS: http://www.eol.ucar.edu/field projects/sas/), a large, coordinated

255 research effort focused on understanding natural and anthropogenic emissions, oxidation

256 chemistry and production of aerosol in the summertime atmosphere in the southeastern United

257 States. The NOAA WP-3D aircraft operated 18 research flights out of Smyrna, Tennessee,

- 258 carrying an instrument payload oriented towards elucidating emissions inventories and reactions
- of atmospheric trace gases, and aerosol composition and optical properties (Warneke et al.,
- 260 2016). One of the major goals of the larger SAS study is to quantify the fraction of organic
- aerosol that is anthropogenically controlled, with a particular focus on understanding how OA
- 262 may change in the future in response to changing anthropogenic emissions.
- 263
- 264 The subset of aircraft instrumentation employed for the present analysis of nighttime NO_3 + 265 isoprene initiated SOA production includes measurements used to determine NO₃ radical production rate ($P(NO_3) = k_{NO2+O3}(T) [NO_2] [O_3]$), isoprene and monoterpene concentrations, 266 267 other trace gases for plume screening and identification, aerosol size distributions, and aerosol 268 composition. The details on the individual measurements and the overall aircraft deployment 269 goals and strategy are described in Warneke et al. (Warneke et al., 2016). Briefly, NO_2 was 270 measured by UV photolysis and gas-phase chemiluminescence (P-CL) and by cavity ringdown 271 spectroscopy, (CRDS), which agreed within 6%. O₃ was also measured by both gas-phase 272 chemiluminescence and CRDS and agreed within 8%, within the combined measurement 273 uncertainties of the instruments. Various volatile organic compounds were measured with 274 several techniques, including for the isoprene and monoterpenes of interest here, proton 275 reaction transfer mass spectrometry (PTR-MS) and canister whole air samples and post-flight 276 GC-MS analysis (iWAS/GCMS). A comparison of PTR-MS and iWAS/GCMS measurements of 277 isoprene during SENEX has high scatter due to imperfect time alignment and isoprene's high 278 variability in the boundary layer, but the slope of the intercomparison is 1.04 ((Warneke et al., 279 2016); for more details on the VOC intercomparisons, see also Lerner et al., (Lerner et al., 280 2017)). Acetonitrile from the PTRMS was used to screen for the influence of biomass burning. 281 Sulfur dioxide (SO₂) was used to identify emissions from coal-fired power plants. All gas-phase 282 instruments used dedicated inlets, described in detail in the supplemental information for 283 Warneke et al. (Warneke et al., 2016).
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285 Aerosol particles were sampled downstream of a low turbulence inlet (Wilson et al., 2004), after 286 which they were dried by ram heating, size-selected by an impactor with 1 µm aerodynamic 287 diameter size cut-off, and measured by various aerosol instruments (Warneke et al., 2016). An 288 ultra-high-sensitivity aerosol sizing spectrometer (UHSAS, Particle Metrics, Inc., Boulder, CO 289 (Cai et al., 2008, Brock et al., 2011)) was used to measure the dry submicron aerosol size 290 distribution down to about 70 nm. Data for the UHSAS are reported at 1 Hz whereas AMS data 291 were recorded roughly every 10 seconds. The ambient (wet) surface areas were calculated 292 according to the procedures described in Brock et al., 2016 (Brock et al., 2016). A pressure-293 controlled inlet (Bahreini et al., 2008) was employed to ensure that a constant mass flow rate 294 was sampled by a compact time-of-flight aerosol mass spectrometer (C-ToF-AMS) which 295 measured the non-refractory aerosol composition (Drewnick et al., 2005). The aerosol volume 296 transmitted into the AMS was calculated by applying the measured AMS lens transmission 297 curve (Bahreini et al., 2008) to the measured particle volume distributions from the UHSAS. For 298 the entire SENEX study, the mean, calculated fraction of aerosol volume behind the 1 micron 299 impactor that was transmitted through the lens into the AMS instrument was 97% (with ±4% 300 standard deviation), indicating that most of the submicron aerosol volume measured by the 301 sizing instruments was sampled by the AMS.

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- 303 After applying calibrations and the composition-dependent collection efficiency following Middlebrook et al. (Middlebrook et al., 2012), the limits of detection for the flight analyzed here 304 were 0.05 μ g m⁻³ for nitrate, 0.26 μ g m⁻³ for organic mass, 0.21 μ g m⁻³ for ammonium, and 0.05 305 µg m⁻³ for sulfate, determined as three times the standard deviation of 10-second filtered air 306 307 measurements obtained for 10 minutes during preflight and 10 minutes during postflight (110 308 datapoints). Note that the relative ionization efficiency for ammonium was 3.91 and 3.87 for the two bracketing calibrations and an average value of 3.9 was used for the flight analyzed here. 309 310 An orthogonal distance regression (ODR-2) of the volume from composition data (AMS mass 311 plus refractory black carbon) using a mass weighted density as described by Bahreini et al. 312 (Bahreini et al., 2009) versus the volume based on the sizing instruments (after correcting for 313 AMS lens transmission as above) had a slope of 1.06 for the entire SENEX study and 72% of 314 the data points were within the measurements' combined uncertainties of ±45% (Bahreini et al., 315 2008). For the flight analyzed here, however, the same regression slope was 1.58, which is 316 slightly higher than the combined uncertainties. It is unclear why the two types of volume 317 measurements disagree more for this flight. This does not change the conclusions of this work 318 because this has been incorporated into the error in aerosol organic nitrate, which still show 319 positive enhancements in pRONO2 for these plumes (see Figure 4 below). These complete 320 error estimates are also used in Figure 5 to clearly show the uncertainties in the yields. The 321 volume comparison is discussed further in the Supplemental Information and shown for the 322 plumes of interest in Fig. S1.
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324 The C-ToF-AMS is a unit mass resolution (UMR) instrument and the mass spectral signals that are characteristic of aerosol nitrate at m/z 30 and 46 (NO⁺ and NO₂⁺) often contain interferences 325 from organic species such as CH_2O^+ and $CH_2O_2^+$, respectively. Here, the *m*/*z* 30 and 46 signals 326 327 have been corrected for these interferences by using correlated organic signals at m/z 29, 42, 328 43, and 45 that were derived from high-resolution AMS measurements during the NASA SEAC⁴RS campaign that took place in the same regions of the SE US shortly after SENEX (see 329 330 Supplemental Information and Fig. S2). The corrections were applied to the individual flight analyzed here from July 2. All of the corrections were well correlated with each other for the 331 332 SEAC⁴RS dataset and we used the organic peak at m/z 29 (from CHO⁺) and the peak at m/z 45 333 (from CHO_2^+), respectively, since those corrections were from peaks closest (in m/z) to those being corrected. Once corrected, the nitrate mass concentrations in the final data archive for 334 this flight were reduced by 0-0.24 μ g sm⁻³, an average reduction of 0.11 μ g sm⁻³ or 32% from 335 the initial nitrate mass concentrations. The organic interferences removed from the *m*/z 30 and 336 337 m/z 46 signals are linearly correlated with the total organic mass concentrations, corresponding 338 to an average 1.3% increase in the total organic mass.

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The ratio of the corrected NO_2^+/NO^+ signals was then used to calculate the fraction of aerosol nitrate that was organic (pRONO₂) or inorganic (ammonium nitrate) based on the method described first in (Fry et al., 2013). Here we used an organic NO_2^+/NO^+ ratio that was equal to the ammonium nitrate NO_2^+/NO^+ ratio from our calibrations divided by 2.8. This factor was determined from multiple datasets (see discussion in Supplemental Information). The

- that bracketed the flight on 2 July, which is analyzed here. It was 0.514 and 0.488, respectively, and for all of the data from both calibrations it averaged 0.490. Hence, the organic nitrate NO_2^+/NO^+ ratio was estimated to be 0.175. This is the first time, to our knowledge, that UMR measurements of aerosol nitrate have been corrected with HR correlations and used to
- 350 apportion the corrected nitrate into inorganic or organic nitrate species.
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The time since emission of intercepted power plant plumes was estimated from the slope of a plot of O_3 against NO_2 . For nighttime emitted NO_x plumes that consist primarily of NO (Peischl et al., 2010), O_3 is negatively correlated with NO_2 due to the rapid reaction of NO with O_3 that produces NO_2 in a 1:1 ratio:

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$$357 \qquad NO + O_3 \rightarrow NO_2 + O_2$$

Reaction R1 goes rapidly (NO pseudo first order loss rate coefficient of 0.03 s^{-1} at 60 ppb O₃) to completion, so that all NO_x is present as NO₂, as long as the plume NO does not exceed background O₃ after initial mixing of the plume into background air. Subsequent oxidation of NO₂ via reaction (R2) leads to an increasingly negative slope of O₃ vs NO₂:

$$364 \qquad \mathsf{NO}_2 + \mathsf{O}_3 \to \mathsf{NO}_3 + \mathsf{O}_2 \tag{R2}$$

366 Equation (1) then gives plume age subsequent to the completion of (R1) in terms of the 367 observed slope, m, of O₃ vs NO₂ (Brown et al., 2006).

369
$$t_{plume} = \frac{ln[1-S(m+1)]}{Sk_1\overline{O_3}}$$
 (1)
370

Here *S* is a stoichiometric factor that is chosen for this analysis to be 1 based on agreement of plume age with elapsed time in a box model run initialized with SENEX flight conditions (see below); k_1 is the temperature dependent bimolecular rate constant for NO₂ + O₃ (R2) and $\overline{O_3}$ is the average O₃ within the plume.

375

376 We calculate plume ages using both a stoichiometric factor of 1 (loss of NO₃ and N₂O₅ 377 dominated by NO₃ reactions) and 2 (loss dominated by N₂O₅ reactions), although we note that 378 the chemical regime for $NO_3+N_2O_5$ loss may change over the lifetime of the plume, progressing 379 from 1 to 2 as the BVOC is consumed. We use S=1 values in the analysis that follows. Because 380 the more aged plumes are more likely to have S approach 2, this means that some of the older 381 plumes may have overestimated ages. Fig. S3 in the Supplemental Information shows the 382 plume age calculated by Eq. 1 using modeled NO_x, NO_y and O₃ concentrations for S=1 and 383 S=2, from nighttime simulations of plume evolution using an observationally constrained box 384 model. This confirms that for nighttime plumes, S=1 plume ages match modeled elapsed time 385 well. The model used for this calculation, and those used to assess peroxy radical lifetimes and 386 fates in Section 4.3, was the Dynamically Simple Model of Atmospheric Chemical Complexity (DSMACC (Emmerson and Evans 2009)) containing the Master Chemical Mechanism v3.3.1 387 388 chemistry scheme (Jenkin et al., 2015). More details on the model approach are provided in the 389 SI.

(R1)

390 3 Nighttime flight selection

391 There were three nighttime flights (takeoffs on the evenings of 19 June, 2 July, and 3 July, 392 2013, local time) conducted during SENEX, of which one (2 July) surveyed regions surrounding 393 Birmingham, Alabama, including multiple urban and power plant plume transects. As described 394 in the introduction, these plume transects are the focus of the current analysis since they 395 correspond to injections of concentrated NO (and subsequently high $P(NO_3)$) into the regionally 396 widespread residual layer isoprene. The nighttime flight on 3 July, over Missouri, Tennessee 397 and Arkansas sampled air more heavily influenced by biomass burning than biogenic emissions. 398 The 19 June night flight sampled earlier in the evening, in the few hours immediately after 399 sunset, and sampled more diffuse urban plume transects that had less contrast with background 400 air. Therefore, this paper uses data exclusively from the 2 July flight, in which 9 transects of 401 well-defined NO_x plumes from power plants emitted during darkness can be analyzed to obtain 402 independent yields measurements.

403

A map of the 2 July flight track is shown in Fig. 1a. After takeoff at 8:08 pm local Central

405 Daylight Time on 2 July, 2013 (1:08 am UTC 3 July, 2016), the flight proceeded towards the

southwest until due west of Montgomery, AL, after which it conducted a series of east-west
running tracks while working successively north toward Birmingham, AL. Toward the east of

408 Birmingham, the aircraft executed overlapping north-south tracks at six elevations to sample the

409 E. C. Gaston power plant. During the course of the flight, concentrated NO_x plumes from the

410 Gaston, Gorgas, Miller and Greene City power plants were sampled. Around 1:30 and 2:30 AM

411 Central Daylight Time (5:30 and 6:30 am UTC), two transects of the Birmingham, AL urban

412 plume were measured prior to returning to the Smyrna, TN airport base.

413

414 The flight track is shown colored by the nitrate radical production rate, $P(NO_3)$, to show the

- 415 points of urban and/or power plant plume influence:
- 416

417 $P(NO_3) = k_2(T) [NO_2][O_3]$

(2)

418

Here, k_2 is again the temperature-dependent rate coefficient for reaction of NO₂ + O₃ (Atkinson et al., 2004), and the square brackets indicate concentrations. Fig. 1b further illustrates the

420 et al., 2004), and the square brackets indicate concentrations. Fig. 1b further illustrates the 421 selection of power plants plumes: sharp peaks in $P(NO_3)$ are indicative of power plant plume

421 selection of power plants plumes: sharp peaks in $P(NO_3)$ are indicative of power plant plume 422 transects, during which isoprene mixing ratios also are observed to drop from the typical

422 regional residual layer background values of \sim 1 ppb, indicative of loss by NO₃ oxidation (an

individual transect is shown in more detail below in Fig. 2). Also shown in Fig. 1b are measured

425 concentrations of isoprene and monoterpenes throughout the flight, showing substantial residual

426 layer isoprene and supporting the assumption that effectively all NO₃ reactivity is via isoprene

427 (see calculation in next section). Residual layer concentrations of other VOCs that could

428 produce SOA (e.g., aromatics) are always below 100 pptv, and their reaction rates with NO_3 are

slow. Edwards et al. (Edwards et al., 2017) have shown that NO₃ and isoprene mixing ratios for

430 this and other SENEX night flights exhibit a strong and characteristic anticorrelation that is

431 consistent with nighttime residual layer oxidation chemistry.

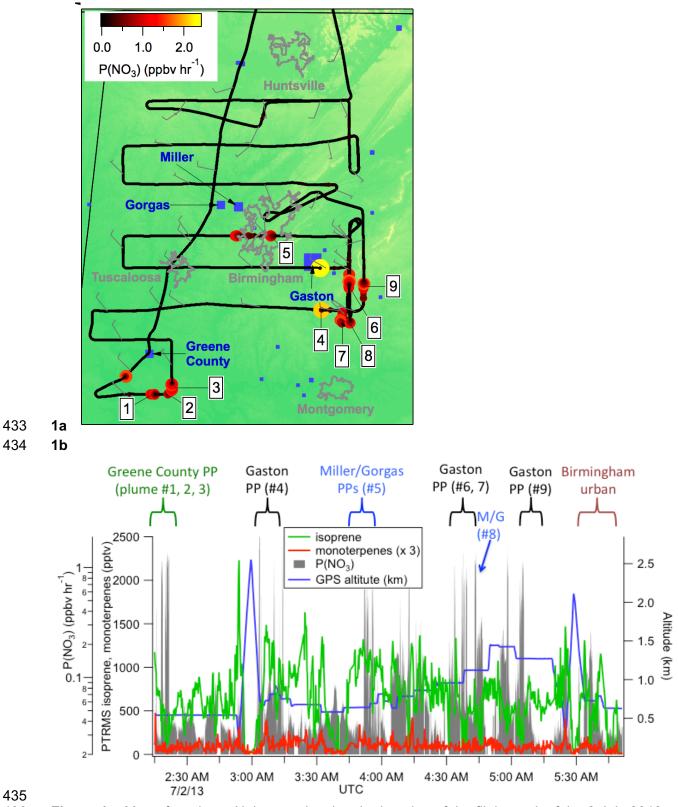


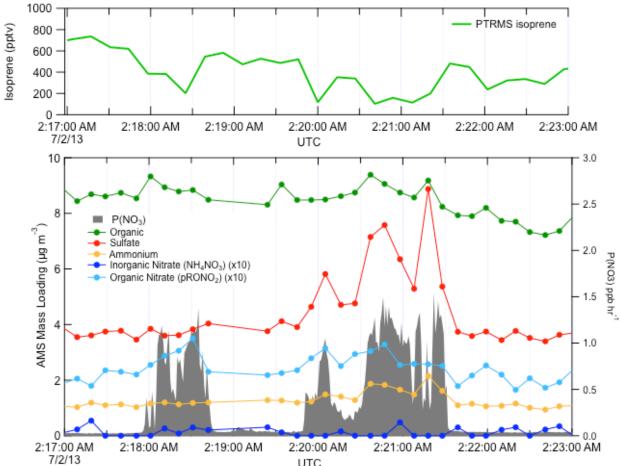
Figure 1a. Map of northern Alabama, showing the location of the flight track of the 2 July 2013
night flight used in the present analysis, with plume numbers labeled and wind direction shown.
Although the wind direction changed throughout the night, these measurements enable us to

- 439 attribute each plume to a power plant source (see labels in Figure 1b and Table 2). Color scale 440 shows $P(NO_3)$ based on aircraft-measured $[NO_2]$ and $[O_3]$, while power plants discussed in the 441 text are indicated in blue squares with marker size scaled to annual NO_x emissions for 2013 442 (scale not shown). Isoprene emissions are widespread in the region (Edwards et al., 2017). 443 **Figure 1b** shows time series data from the same flight, with plume origins and numbers labeled, 444 showing aircraft-measured isoprene and monoterpene concentrations, altitude, and $P(NO_3)$ 445 determined according to Eq. 2 (log scale), showing that the isoprene was uniformly distributed 446 (mixing ratios often in excess of 1 ppbv), while the more reactive monoterpenes were present at 447 mixing ratios below 100 ppt except at the lowest few hundred meters above ground in the 448 vertical profiles (not used in the present analysis). Figure 1b also shows that sharp peaks in 449 nitrate radical production rate occur both at the lowest points of these vertical profiles, when the
- aircraft approached the surface, but also frequently during periods of level flight in the residual
- 451 layer, which correspond to the power plant plume transects analyzed in this paper.

452 **4 Results**

453 **4.1 Selection of plumes**

Figure 2 shows a subset of the July 2 flight time series data, illustrating three NO_x plumes used for analysis. The large NO_3 source and isoprene loss was accompanied by an increase in organic nitrate aerosol mass, which we attribute to the NO_3 + isoprene reaction based on prior arguments. We observed each plume as a rapid and brief perturbation to background conditions, of order 10 – 50 sec., or 1 – 5 km in spatial scale. Each plume's perturbed conditions can correspond to different plume ages, depending on how far downwind of the power plant the plume transect occurred.



461



UTC 462 463 Figure 2. Three representative plume transect observations from the 2 July 2013 flight (plumes 464 are identified by the peaks in $P(NO_3)$, listed in Table 1 at times 02:18, 02:20, and 02:21 UTC). 465 Note the difference in sulfate enhancement in the three plumes, which is largest in the third

466 plume, and is accompanied by increases in ammonium. In all three cases, the isoprene

- 467 concentration drops in the plumes, accompanied by a clear increase in organic nitrate, no
- 468 changes in the inorganic nitrate, and a modest changes in organic aerosol mass concentrations. 469

470 Candidate plumes were initially identified by scanning the time series flight data for any period 471 where the production rate of nitrate radical ($P(NO_3)$) rose above 0.5 ppbv hr⁻¹. This threshold 472 was chosen to be above background noise and large enough to isolate only true plumes (see 473 Fig. 1a). The value is thus subjectively chosen, but was consistently applied across the dataset. 474 For each such period, a first screening removed any of these candidate plumes that occurred 475 during missed approaches or other periods where radar altitude above ground level (AGL) was

- 476
- changing, because in the stratified nighttime boundary layer structure, variations in altitude may 477 result in sampling different air-masses, rendering the adjacent out of plume background not
- 478 necessarily comparable to in-plume conditions. A second criterion for rejection of a plume was
- 479 missing isoprene or AMS data during brief plume intercepts. No selected plumes on July 2
- 480 showed enhanced acetonitrile or refractory black carbon, indicating no significant biomass

481 burning influence. Finally, two plumes downwind of the Gaston power plant (at 03:10 and 482 03:14) were removed from the present analysis, because (03:10) the background isoprene was 483 changing rapidly, preventing a good baseline measurement, and (03:14) there was no observed 484 decrease in isoprene concentration in-plume (as well as no increase in nitrate aerosol). The 485 03:14 plume was apparently too recently emitted to have undergone significant nighttime 486 reaction; its O_3/NO_2 slope was unity to within the combined measurement error of O_3 and NO_2 487 (Eq. 1). After this filtering, there are 9 individual plume observations for determination of NO_3 + 488 isoprene SOA yields (see Table 1). The rapid increases in $P(NO_3)$ appeared simultaneously with 489 significant decreases in isoprene and increases in aerosol nitrate. The aerosol and isoprene 490 measurements (taken at data acquisition rates < 1 Hz) were not exactly coincident in time which 491 leads to some uncertainty in the yield analysis below.

492

493 Derivation of SOA yields from observed changes in isoprene and aerosol mass in plumes 494 depends on two conditions, and has several caveats that will be discussed in the text that 495 follows (see Table 3 below for a summary of these caveats). The two conditions are: (1) that the 496 majority of VOC mass consumed by NO₃ in plumes is isoprene (rather than monoterpenes or 497 other VOC), and then either or both (2a) that the change in aerosol organic mass concentration 498 during these plumes is due to NO_3 + isoprene reactions, and/or (2b) that the change in aerosol 499 nitrate mass concentration is due to NO_3 + isoprene reactions. There are separate 500 considerations for each of these conditions.

501

502 For the first condition, we note that the isoprene to monoterpenes ratio just outside each plume 503 transect was always high (a factor of 10 to 70, on average 26). With the 298 K NO₃ rate constants of ~ 5 × 10^{-12} cm³ molec⁻¹ s⁻¹ for monoterpenes and 6.5 × 10^{-13} cm³ molec⁻¹ s⁻¹ for 504 505 isoprene (Calvert et al., 2000), isoprene (~ 2 ppb) will always react faster with nitrate than 506 monoterpenes (~ 0.04 ppbv). At these relative concentrations, even if all of the monoterpene is 507 oxidized, the production rate of oxidation products will be much larger for isoprene. Contribution 508 to aerosol by N_2O_5 uptake is also not important in these plumes. Edwards et al. (Edwards et al., 509 2017) calculated the sum of NO₃ and N₂O₅ loss throughout this flight and showed that it is 510 consistently NO₃+BVOC dominated (Fig. S4 of that paper). As isoprene depletes, N₂O₅ uptake 511 will increasingly contribute to NO_3 loss, but as shown below, we are able to rule out a 512 substantial source of inorganic nitrate for most plumes. We also know that despite increased 513 OH production in-plume, the isoprene loss is still overwhelming dominated by NO_3 (Fig. S5 in 514 Edwards, et al. (Edwards et al., 2017)).

515

516 The second condition requires that we can find an aerosol signal that is attributable exclusively 517 to NO_3 + isoprene reaction products, whether it be organic aerosol (OA) or organic nitrate 518 aerosol (pRONO₂) mass loading, or both. We note that the ratio of in-plume aerosol organic 519 mass increase to pRONO₂ mass increase is noisy (see discussion below at Fig. 6), but indicates 520 an average in-plume ΔOA to $\Delta pRONO_2$ ratio of about 5. The large variability is primarily due to 521 the fact that the variability in organic aerosol mass between successive 10-second data points for the entire flight is quite large (of order 0.75 µg m⁻³) and comparable to many of the individual 522 plume ΔOA increases, far exceeding the expected organonitrate driven increases in OA, which 523 524 are roughly twice the pRONO₂ mass increases. It is also possible that in these plumes, where

- 525 total aerosol mass is elevated, semivolatile organic compounds may re-partition to the aerosol 526 phase, contributing a non-pRONO₂ driven variability in Δ OA. For example, if some gas phase
- 527 IEPOX is present in the residual layer, it may be taken up into the highly acidic aerosol from the
- 528 power plants. Alternatively, very polar gas-phase compounds could partition further into the
- 529 higher liquid water associated with the sulfate in the plume. Therefore, in-plume organic aerosol
- 530 increases cannot be attributed clearly to NO_3 + isoprene SOA production, so we do not use
- 531 them in the SOA yield calculations.
- 532

This leaves consideration 2b, whether all increase in nitrate mass is due to NO₃ + isoprene 533 534 reactions. Here we must evaluate the possibility of inorganic nitrate aerosol production in these 535 high-NO_x plumes. Fine-mode aerosol inorganic nitrate can be formed by the (reversible) 536 dissolution of HNO_{3(q)} into aqueous aerosol. In dry aerosol samples, inorganic nitrate is typically 537 in the form of ammonium nitrate (NH₄NO₃), when excess ammonium is available after 538 neutralization of sulfate as $(NH_4)_2SO_4$ and $NH_4(HSO_4)$. Because of the greater stability of 539 ammonium sulfate salt relative to ammonium nitrate, in high-sulfate plumes with limited 540 ammonium, inorganic nitrate aerosol will typically evaporate as HNO_{3(g)} (Guo et al., 2015) 541 (reaction R3):

542

543

3
$$2NH_4NO_{3(aq)} + H_2SO_{4(aq)} \neq (NH_4)_2SO_{4(aq)} + 2HNO_{3(g)}$$
 (R3)

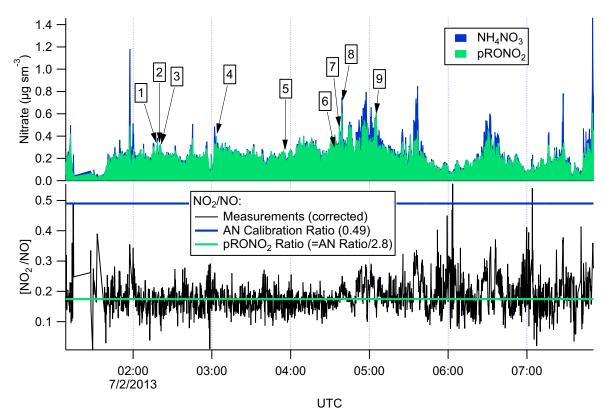
544

545 Inorganic nitrate can also form when crustal dust (e.g. $CaCO_3$) or seasalt (NaCI) are available. 546 Uptake of HNO₃ is rendered favorable by the higher stability of nitrate mineral salts, evaporating 547 CO_2 or HCI. Inorganic nitrate can also be produced by the heterogeneous uptake of N₂O₅ onto 548 aqueous aerosol; Edwards et al. (2017) demonstrated that this process is negligible relative to 549 NO_3 + BVOC for the July 2 SENEX night flight considered here.

550

551 There are several lines of evidence that the observed nitrate aerosol is organic and not 552 inorganic. First, examination of the NO_2^+/NO^+ (interference-corrected *m/z* 46:*m/z* 30) ratio 553 measured by the aircraft AMS (Fig. 3) shows a ratio throughout the July 2 flight, including the 554 selected plumes, that is substantially lower than that from the bracketing ammonium nitrate 555 calibrations. This lower AMS measured NO₂⁺/NO⁺ ratio has been observed for organic nitrates (Farmer et al., 2010), and some mineral nitrates (e.g. Ca(NO₃)₂ and NaNO₃, (Hayes et al., 556 557 2013)), which are not important in this case because aerosol was dominantly submicron. As 558 described above, we can separate the observed AMS nitrate signal into pRONO₂ and inorganic 559 nitrate contributions. These mass loadings are also shown in Fig. 3, indicating dominance of 560 pRONO₂ throughout the flight.

561





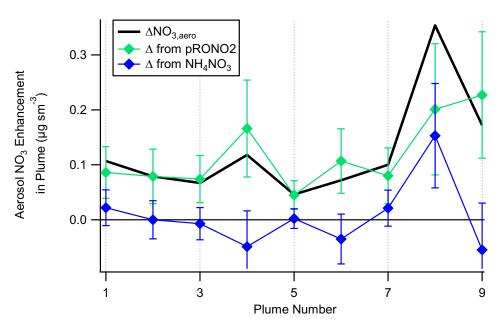
563 Figure 3. For the flight under consideration, the estimated relative contributions of ammonium 564 and organic nitrate to the total corrected nitrate signal (top panel) was calculated from the ratios 565 of the corrected peaks at m/z 30 and 46 (lower panel). Each of the plumes is identified here by 566 plume number. The ratios of NO_2^+/NO^+ (black data in the lower panel) from the corrected peaks at m/z 46 and 30, respectively, are compared to the ratios expected for ammonium nitrate (AN 567 568 Calibration Ratio, blue horizontal line at 0.49) or organic nitrate (pRONO₂ Ratio, green 569 horizontal line at 0.175 which is estimated from the AN calibration ratio using multiple data sets 570 (see discussion in Supplemental Information). The measured ratio for most of the flight is more 571 characteristic of organic nitrate than ammonium nitrate. 572

573 We can also employ the comparison of other AMS-measured aerosol components during the 574 individual plumes to assess the possibility of an inorganic nitrate contribution to total measured nitrate. Fig. S5a shows that the in-plume increases in sulfate are correlated with increases in 575 576 ammonium with an R^2 of 0.4. The observed slope of 5.4 is characteristic of primarily (NH₄)HSO₄, 577 which indicates that the sulfate mass is not fully neutralized by ammonium. We note, however, 578 that if the largest observed aerosol nitrate increase is due solely to ammonium nitrate, the ammonium increase would be only 0.11 μ g m⁻³, which would be difficult to discern from the NH₄ 579 variability of order 0.11 µg m⁻³. However, the slope is consistent with incomplete neutralization 580 581 of the sulfate by ammonium, which would make HNO_{3(a)} the more thermodynamically favorable 582 form of inorganic nitrate. The ion balance for the ammonium nitrate calibration particles and the 583 plume enhancements are shown in Fig. S5b. Complete neutralization of the calibration aerosols 584 is nearly always within the gray 10% uncertainty band for the relative ionization efficiency of

ammonium (Bahreini et al., 2009). In contrast, many of the plume enhancements are near the 1:2 line (as primarily ammonium bisulfate) within the combined 10% ammonium and 15% sulfate uncertainty error bars or without ammonium (sulfuric acid). Thus, NH_4NO_3 is unlikely to be stable in the aerosol phase under the conditions of these plumes, consistent with the AMS observations.

590

591 A plot of the calculated plume enhancements from the derived apportionment into organic 592 (pRONO₂) and inorganic (ammonium) nitrate is shown in Fig. 4. The increases in aerosol nitrate 593 for nearly all of the plumes appear to be mostly due to enhancements in pRONO2. Based on 594 these considerations, we conclude that in-plume pRONO₂ mass increases are a consequence 595 (and thus a robust measure) of organic nitrate aerosol produced from NO_3 + isoprene. Since 596 each isoprene molecule condensing will have one nitrate group, the ratio of these increases to 597 isoprene loss is a direct measure of the molar organic aerosol yield from NO₃-isoprene 598 oxidation.



599

600

Figure 4. The contribution of each species to the nitrate enhancements in each of the plumes, showing that the enhancements in most of the plumes are mainly due to enhancements in organic nitrate, with the exception of Plume 8 which had enhancements in both organic and ammonium nitrate. Error bars are estimated from the measurement variability, the UMR corrections to the nitrate signals, apportionment between organic and inorganic nitrate, and the total nitrate uncertainty (see Supplemental Information).

607

Table 1 shows the selected plumes to be used for yield analysis. Wherever possible, multiple

609 points have been averaged for in-plume and background isoprene and nitrate aerosol

610 concentrations; in each case the number of points used is indicated and the corresponding

611 standard deviations are reported. In two cases (2:20 and 3:03 plumes), the plumes were so

612 narrow that only a single point was measured in-plume at the 10 s time resolution of the PTR-

- 613 MS and AMS; for these "single-point" plumes it is not possible to calculate error bars. Error bars
- 614 were determined using the standard deviations calculated for in-plume and background
- 615 isoprene and nitrate aerosol concentrations, accounting also for the additional uncertainty in the
- AMS measurement described in the caption to Figure 4, and propagated through the yield
- 617 formula detailed in the following section.
- 618

619 **Table 1.** List of plumes used in this NO_3 + isoprene SOA yield analysis. For each plume, the 620 delta-values listed indicate the difference between in-plume and outside-plume background in 621 average observed concentration, and the standard deviations (SD) are the propagated error 622 from this subtraction. (For ΔNO_3 from pRONO₂, the standard deviations also include error 623 propagated from the uncertainties in the nitrate apportionment and aerosol volume, as 624 described in the caption for Figure 4) After each plume number, the numbers of points averaged 625 for isoprene (10 s resolution) and AMS (10 s resolution), respectively, are listed. Because the 626 isoprene data were reported at a lower frequency, these numbers are typically lower to cover 627 the same period of time. Plume numbers annotated with * indicate brief plumes for which only 628 single-point measurements of in-plume aerosol composition were possible. Additional AMS and

auxiliary data from each plume is included in the Supplemental Information, Table S3.

plume number [#isop/#AMS]	7/2/13 plume time (UTC)	P(NO₃) (ppbv hr⁻¹)	ΔISOP (ppt) [± SD]	ΔΝΟ _{3,aero} (μg m ⁻³) [± SD]	ΔNO ₃ from pRONO ₂ (μg m ⁻³) [± SD]	ΔNO₃ from NH₄NO₃ (µg m ⁻³) [± SD]
	bical variability	0.05	0.05	0.05		
1 [2/3]	2:18	0.9	-335 [128]	0.107 [0.039]	0.086 [0.047]	0.022 [0.012]
2 [*]	2:20	0.8	-404	0.079	0.079	0
3 [4/5]	2:21	1.2	-228 [121]	0.067 [0.039]	0.074 [0.043]	-0.007 [0.027]
4 [*]	3:03	1.4	-453	0.118	0.166	-0.049
5** [3/4]	3:55	1.0	-255 [251]	0.046 [0.019]	0.045 [0.026]	0.002 [0.015]
6 [2/2]	4:34	0.6	-713 [219]	0.072 [0.031]	0.107 [0.059]	-0.035 [0.029]
7 [5/6]	4:37	0.8	-298 [197]	0.100 [0.082]	0.080 [0.051]	0.021 [0.034]
8*** [2/3]	4:39	0.9	-443 [75]	0.354 [0.058]	0.201 [0.12]	0.153 [0.057]
9 [7/8]	5:04	0.6	-293 [131]	0.172 [0.048]	0.227 [0.115]	-0.055 [0.042]

630

**Plume 5 has the smallest $\Delta NO_{3,aero}$ and may be affected by background pRONO₂ variability.

631 ***Plume 8 has a measurable increase in inorganic nitrate as well as organic.

632 4.2 SOA yield analysis

A molar SOA yield refers to the number of molecules of aerosol organic nitrate produced per
 molecule of isoprene consumed. In order to determine molar SOA yields from the data
 presented in Table 1, we convert the aerosol organic nitrate mass loading differences to mixing

ratio differences (ppt) using the NO₃ molecular weight of 62 g mol⁻¹ (the AMS organic nitrate

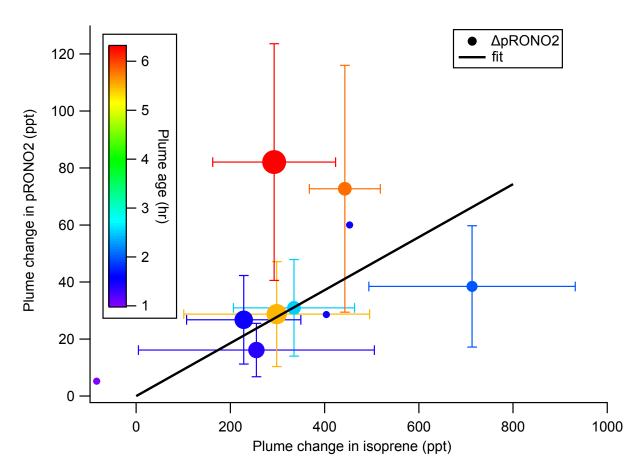
637 mass is the mass only of the $-ONO_2$ portion of the organonitrate aerosol). At standard 638 conditions of 273 K and 1 atm (all aerosol data are reported with this STP definition), 1000 ppt 639 $NO_3 = 2.77 \ \mu g \ m^{-3}$, so each ΔM_{pRONO2} is multiplied by 361 ppt ($\mu g \ m^{-3}$)⁻¹ to determine this molar 640 yield:

641

$$642 Y_{SOA,molar} = \frac{(pRONO2_{plume} \pm SD_{pRONO2plume}) - (pRONO2_{bkg} \pm SD_{pRONO2bkg})}{-[(isop_{plume} \pm SD_{isopplume}) - (isop_{bkg} \pm SD_{isopbkg})]} \times \frac{361 \, ppt \, NO_3}{\mu g \, m^{-3}}$$
(3)

643

644 The SOA molar yields resulting from this calculation are shown in Table 2, spanning a range of 645 5-28%, with uncertainties indicated based on the SDs in measured AMS and isoprene 646 concentrations. In addition to this uncertainty based on measurement precision and ambient 647 variability, there is an uncertainty of 50% in the AMS derived-organic nitrate mass loadings (see 648 SI) and 25% in the PTR-MS isoprene concentrations (Warneke et al., 2016). The average molar 649 pRONO₂ yield across all plumes, with each point weighed by the inverse of its standard 650 deviation and assuming SD = 0.1 for single point plumes, is 9%. (As noted below, the yield 651 appears to increase with plume age, so this average obscures that trend.) An alternate 652 graphical analysis of molar SOA yield from all nine plumes plus one 'null' plume (03:14, in which 653 no isoprene had yet reacted and thus not included in Tables 1 and 2) obtains the same average 654 molar yield of 9% (Fig. 5). Here, the molar yield is the slope of a plot of plume change in 655 pRONO₂ vs plume change in isoprene. The slope is determined by a linear fit with points 656 weighted by the square root of the number of AMS data points used to determine in-plume 657 pRONO₂ in each case. We have not corrected the calculated yields for the possibility of NO_3 658 heterogeneous uptake, which could add a nitrate functionality to existing aerosol. Such a 659 process could be rapid if the uptake coefficient for NO_3 were 0.1, a value characteristics of 660 unsaturated substrates (Ng et al., 2017), but would not contribute measurably at more 661 conventional NO₃ uptake coefficients of 0.001 (Brown and Stutz 2012). 662



665 666

667 **Figure 5.** SOA molar yield can be determined as the slope of $\Delta pRONO_2$ vs. $\Delta isoprene$, both in 668 mixing ratio units. The linear fit is weighted by square root of number of points used to 669 determine each in-plume pRONO₂, with intercept held at zero. The slope coefficient ± one 670 standard deviation is 0.0930 ± 0.0011 . Points are colored by plume age, and size scaled by 671 square root of number of points (the point weight used in linear fit). This plot and fit includes the 672 nine plumes listed in Tables 1 and 2, as well as the 03:14 "unreacted" plume (at ∆isoprene = -673 84 ppt). Error bars on isoprene are the propagated standard deviations of the (in plume - out 674 plume) differences, for plumes in which multi-point averages were possible. Error bars on 675 pRONO2 are the same as in Figure 4. The points without error bars are single-point plumes. 676

677 To estimate SOA mass yields, we need to make some assumption about the mass of the 678 organic molecules containing the nitrate groups that lead to the observed nitrate aerosol mass 679 increase. The observed changes in organic aerosol are too variable to be simply interpreted as the organic portion of the aerosol organic nitrate molecules. We conservatively assume the 680 organic mass to be approximately double the nitrate mass (62 g mol⁻¹), based on an "average" 681 682 molecular structure of an isoprene nitrate with 3 additional oxygens: e.g. a tri-hydroxynitrate (with organic portion of formula C₅H₁₁O₃, 119 g mol⁻¹), consistent with 2nd-generation oxidation 683 684 product structures suggested in Schwantes, et al. (Schwantes et al., 2015). Based on this 685 assumed organic to nitrate ratio, all plumes' expected organic mass increases would be less

than the typical variability in organic of 0.75 μ g m⁻³. This assumed structure is consistent with 686 687 oxidation of both double bonds, which appears to be necessary for substantial condensation of 688 isoprene products, and which structures would have calculated vapor pressures sufficiently low to partition to the aerosol phase (Rollins et al., 2009). Another possible route to low vapor 689 690 pressure products is intramolecular H rearragement reactions, discussed below in Section 4.3, 691 which would not require oxidant reactions at both double bonds. In the case of oxidant reactions 692 at both double bonds, it is difficult to understand how the second double bond would be oxidized 693 unless by another nitrate radical, which would halve these assumed organic to nitrate ratios 694 (assuming the nitrate is retained in the molecules). On the other hand, any organic nitrate 695 aerosol may lose NO₃ moieties, increasing the organic to nitrate ratio. Given these uncertainties 696 in both directions, we use the assumed "average" structure above to guess an associated 697 organic mass of double the nitrate mass. Thus, to estimate SOA mass yield, we multiply the increase in organic nitrate aerosol mass concentration by three (i.e., $2 \times \Delta M_{pRONO2} + \Delta M_{pRONO2}$), 698 and divide by the observed decrease in isoprene, converted to $\mu g m^{-3}$ by multiplying by 329 ppt 699 $(\mu g m^{-3})^{-1}$, the conversion factor based on isoprene's molecular weight of 68.12 g mol⁻¹. 700

$$Y_{SOA,mass} = \frac{(pRONO2_{plume} \pm SD_{pRONO2plume}) - (pRONO2_{bkg} \pm SD_{pRONO2bkg})}{-[(isop_{plume} \pm SD_{isopplume}) - (isop_{bkg} \pm SD_{isopbkg})]} \times 3 \times \frac{329ppt}{\mu g m^{-3}}$$
(4)

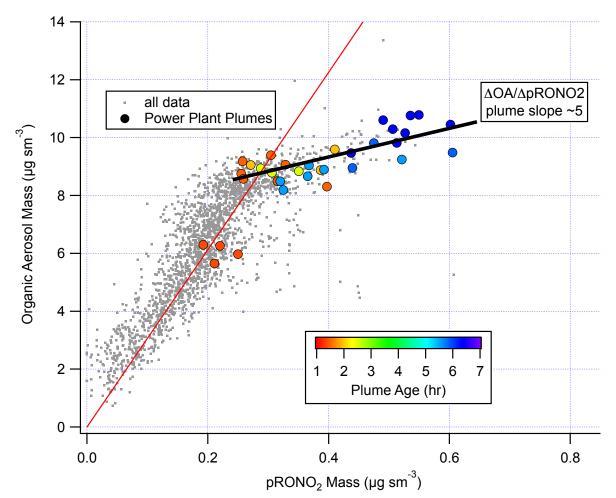
703

Note that the SOA mass yield reported here is based on the (assumed) mass of organic aerosol plus the (organo)nitrate aerosol formed in each plume. If instead the yield were calculated using only the assumed increase in **organic** mass (i.e., $2x \Delta M_{pRONO2}$ instead of $3x \Delta M_{pRONO2}$), which would be consistent with the method used in Rollins, et al. (Rollins et al., 2009) and Brown et al. (Brown et al., 2009), the mass yields would be 2/3 the values reported here. However, since SOA mass yield is typically defined based on the total increase in aerosol mass, we use the definition with the sum of the organic and nitrate mass here.

711

712 We note also that correlation of in-plume increases in OA with pRONO₂ (Fig. 6) point to a 713 substantially larger 5:1 organic-to-nitrate ratio; if this were interpreted as indicating that the average molecular formula of the condensing organic nitrate has 5 times the organic mass as 714 715 nitrate, this would increase the SOA mass yields reported here. However, due to the 716 aforementioned possibility of additional sources of co-condensing organic aerosol, which led us 717 to avoid using ΔOA in determining SOA yields, we do not consider this to be a direct indication of the molecular formula of the condensing organic nitrate. Including OA in the SOA yield 718 determination, based on this 5:1 slope rather than the assumed 2:1 OA:pRONO₂, would give 2.5 719 720 times larger SOA mass yields than reported here. 721

_..



722

Figure 6. Correlation of organic aerosol mass concentration with $pRONO_2$ mass concentration for the full 2 July flight (grey points and red fit line, fitted slope and thus average OA/pRONO₂ mass ratio of ~30) and for the points during the selected plumes (colored points, colored by plume age, average OA/pRONO₂ mass ratio of ~ 5).

727

729 **Table 2.** SOA Yields for each plume observation, estimated plume age, and likely origin. See

730 text for description of uncertainty estimates. For the mass yields, the calculated SOA mass

731 increase includes both the organic and (organo)nitrate aerosol mass; the measurements for OA

732 increases shown in Figure 6 do not include the nitrate mass.

plume number	plume time (UTC)	SOA molar yield (fraction) [± SD]	SOA mass yield (fraction) [± SD]	plume age from O ₃ / NO ₂ clock assuming S=1 (hours)	Likely NOx origin & altitude (m)
1	7/2/13 2:18	0.09 [0.06]	0.25 [0.17]	2.5	Greene County @ 540 m
2	7/2/13 2:20	0.07	0.21	1.5	ibid
3	7/2/13 2:21	0.12 [0.10]	0.32 [0.25]	1.5	ibid
4	7/2/13 3:03	0.13	0.36	1.5	Gaston @ 720 m
5	7/2/13 3:55	0.06 [0.07]	0.17 [0.20]	1.4	Miller / Gorgas @ 690 m
6	7/2/13 4:34	0.05 [0.03]	0.15 [0.09]	2	ibid
7	7/2/13 4:37	0.10 [0.09]	0.26 [0.24]	5.5	ibid
8	7/2/13 4:39	0.16 [0.10]	0.45 [0.28]	5.8	Miller / Gorgas @ 1120 m
9	7/2/13 5:04	0.28 [0.19]	0.77 [0.52]	6.3	Gaston @ 1280 m

735 **Table 3.** Several caveats to the present SOA yields analysis are listed below, alongside the

race expected direction each would adjust the estimated yields. Because we do not know whether or

how much each process may have occurred in the studied plumes, we cannot quantitatively

- assess the resulting uncertainties, so we simply list them here. See text above for more detailed
- 739 discussion.

Process	Effect on determined SOA yield		
Organic nitrate aerosol loses NO ₃ functional group	Larger, because the non-nitrate OA would not be counted in this analysis		
Both double bonds in isoprene are oxidized by NO ₃ : two nitrates per condensing molecule	Smaller, because the assumed organic to nitrate mass ratio assumes one nitrate per molecule		
NO ₃ oxidizes daytime isoprene oxidation products (e.g. ISOPOOH) to make new aerosol	Smaller, because this would produce organic nitrate aerosol without corresponding decrease in isoprene, so that some of existing SOA production is mis-attributed to isoprene + NO ₃		
Assumed organic to nitrate mass ratio is incorrect	Unknown direction of effect, depends on whether assumed ratio is high or low		
Daytime-produced IEPOX uptake onto acidic particles	No effect (only changes ΔOA , not nitrate)		
Suppression of O_3 + monoterpene or O_3 + isoprene SOA in plumes	No effect (only changes ΔOA , not nitrate)		

740

741 Finally, the large range in observed yields can be interpreted by examining the relationship to 742 estimated plume age. Using the slope of O_3 to NO_2 (Eq. 1) to estimate plume age as described 743 above, a weak positive correlation is observed (Table 2, Fig. S4), suggesting that as the plume 744 ages, later-generation chemistry results in greater partitioning to the condensed phase of NO_3 + 745 isoprene organonitrate aerosol products. This is consistent with the observation by Rollins et al. 746 (Rollins et al., 2009) that 2nd-generation oxidation produced substantially higher SOA yields 747 than the oxidation of the first double bond alone, but we note that these mass yields (averaging 748 27%, would be 18% using the organic mass only) are higher than even the largest yield found in 749 that chamber study (14%, used organic mass only).

750

We observe increasing SOA yield, from a molar yield of around 10% at 1.5 hours up to 30% at 6
hours of aging. The lowest yields observed are found in the most recently emitted plumes,

suggesting the interpretation of the higher yields as a consequence of longer aging timescales

in the atmosphere.

755 **4.3 Mechanistic considerations**

756

757 These larger SOA mass yields from field determinations (average 27%) relative to chamber

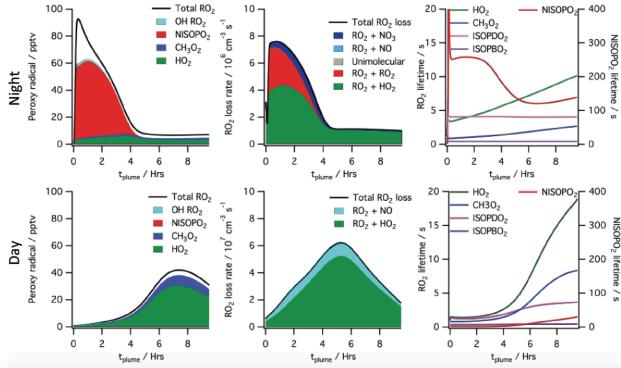
- vork (12 14%, see introduction) may arise for several reasons. We first assess the volatility of
- assumed first- and second-generation products using group contribution theory in order to
- predict partitioning. After a single oxidation step, with a representative product assumed to be a

761 C_5 hydroperoxynitrate, the saturation vapor pressure estimated by group contribution theory (Pankow and Asher 2008) at 283 K would be 2.10 x 10^{-3} Torr (C^{*} = 1.7 x 10^{4} µg m⁻³ for MW = 762 147 g mol⁻¹), while a double-oxidized isoprene molecule (assuming a C_5 dihydroxy dinitrate) has 763 an estimated vapor pressure of 7.95 x 10^{-8} Torr (C^{*} = 1.01 µg m⁻³ for MW = 226 g mol⁻¹). This 764 765 supports the conclusion that while the first oxidation step produces compounds too volatile to 766 contribute appreciably to aerosol formation, oxidizing both double bonds of the isoprene 767 molecule is sufficient to produce substantial partitioning, consistent with Rollins et al. (Rollins et 768 al., 2009). This is also true if the second double bond is not oxidized by nitrate (group contribution estimate P_{vap} for a C₅ tri-hydroxy nitrate is 7.7 x 10⁻⁸ Torr, C^{*} = 0.79 µg m⁻³ for MW = 769 770 181 g mol⁻¹). These C* saturation concentration values suggest that no dimer formation or 771 oligomerization is *required* to produce low-enough volatility products to condense to the aerosol 772 phase; however, such oligomerization would result in more efficient condensation. The fact that 773 Rollins et al. (Rollins et al., 2009) did not observe larger mass yields may indicate that it takes 774 longer than a typical chamber experiment timescale to reach equilibrium, or that this absorptive 775 partitioning model did not accurately capture those experiments, or that substantial loss of 776 semivolatiles to the chamber walls (e.g. (Krechmer et al., 2016)) suppressed apparent yields. 777

778 Determination of yields from ambient atmospheric data differs from chamber determinations in 779 several additional respects. First, ambient measurements do not suffer from wall loss effects, 780 such that no corrections are necessary for loss of aerosol or semi-volatile gases (Matsunaga 781 and Ziemann 2010, Krechmer et al., 2016). Second, ambient measurements take place on the 782 aging time scale of the atmosphere rather than a time scale imposed by the characteristics of 783 the chamber or the choice of oxidant addition. Third, the typical lifetime of the initially produced 784 nitrooxy-isoprene-RO₂ radical is more representative of the ambient atmosphere rather than a 785 chamber. The unique conditions of a high NO_x power plant plume affect lifetime and fates of 786 peroxy radicals, as described below.

787

788 To help interpret these in-plume peroxy radical lifetimes, a box model calculation using the 789 MCM v3.3.1 chemistry scheme was run (see details in Supplemental Information). This box 790 model shows substantially longer peroxy radical lifetimes during nighttime than daytime, 791 initializing with identical plume-observed conditions. These long peroxy radical lifetimes may 792 have consequences for comparison to chamber experiments: for example, in Schwantes' 793 (Schwantes et al., 2015) chamber experiment on the NO₃ + isoprene reaction mechanism, the 794 HO₂-limited nitrooxy-RO₂ lifetime was at maximum 30 s. In the plumes investigated in this study, 795 peroxy radical lifetimes are predicted to be substantially longer (>200 s early in the night, see 796 Fig. 7), allowing for the possibility of different bimolecular fates, or of unimolecular 797 transformations of the peroxy radicals that may result in lower-volatility products (e.g., auto-798 oxidation to form highly oxidized molecules (Ehn et al., 2014)). 799





802 Figure 7. Simulated peroxy radical concentration (left), loss rates (middle), and lifetime (right), 803 using the MCM v3.3.1 chemical mechanism, for conditions typical of a nighttime intercepted 804 power plant plume (top) and the same plume initial conditions run for daytime simulation 805 (bottom, local noon occurs at 5 hrs). Included are total peroxy radical concentration and losses, 806 as well as the highlighted subclasses HO₂, CH₃O₂, total nitrooxy-isoprene-RO₂ and the total 807 hydroxy-isoprene-RO₂ produced from OH oxidation. The righthand panels show HO₂, CH_3O_2 808 and the dominant hydroxy-isoprene-RO₂ ISOPBO₂ and ISOPDO₂ (β -hydroxy-peroxy radicals 809 from OH attack at carbons 1 and 4 respectively) lifetime on the left axis and nitrooxy-isoprene-810 RO₂ on the right axis, showing nighttime lifetimes an order of magnitude longer than daytime for 811 this NO₃ + isoprene derived RO₂ radical (NISOPO₂).

812

813 The typically assumed major fate of nighttime RO_2 in the atmosphere is reaction with HO_2 to 814 yield a hydroperoxide, NO₃-ROOH. This is shown in the model output above as the green 815 reaction, and is responsible for half of early RO₂ losses in the MCM modeled plume. Schwantes 816 et al. (Schwantes et al., 2015) proposed reaction of these nighttime derived hydroperoxides with 817 OH during the following day as a route to epoxides, which in turn can form SOA via reaction 818 with acidic aerosol. Reaction of hydroperoxides with nighttime generated OH may similarly 819 provide a route to SOA through epoxides, albeit more slowly than that due to photochemically 820 generated OH.

821

822 The predicted longer nighttime peroxy radical lifetimes may enable unique chemistry. For

823 example, if nitrooxy-isoprene-RO₂ self-reactions are substantially faster than assumed in the

824 MCM, as suggested by Schwantes et al. (Schwantes et al., 2015), RO₂+RO₂ reactions may

825 compete with the HO₂ reaction even more than shown in Fig. 7, and dimer formation may be

826 favored at night, yielding lower volatility products. The 5:1 AMS Organic:Nitrate ratio observed in

- 827 the SOA formed in Rollins et al. (Rollins et al., 2009), and consistent with aggregated 828 observations reported here, may suggest that in some isoprene units the nitrate is re-released 829 as NO₂ in such oligomerization reactions. We note that this larger organic to nitrate ratio would 830 mean higher SOA mass yields than estimated in Table 2.
- 831

832 Alternatively, longer nighttime peroxy radical lifetimes may allow sufficient time for

- 833 intramolecular reactions to produce condensable products. This unimolecular isomerization
- 834 (auto-oxidation) of initially formed peroxy radicals is a potentially efficient route to low-volatility,
- 835 highly functionalized products that could result in high aerosol yields. For OH-initiated oxidation
- 836 of isoprene, laboratory relative rate experiments found the fastest 1,6-H-shift isomerization
- 837 reaction to occur for the hydroxy-isoprene-RO₂ radical at a rate of 0.002 s⁻¹ (Crounse et al., 838 2011), meaning that peroxy radicals must have an ambient lifetime of >500 s for this process to
- 839 be dominant. As shown in Fig. 7, the simulated power plant plume peroxy radical lifetimes are
- 840 long (>200 s), so an isomerization reaction at this rate may play a significant role. However, a
- 841 recent study has demonstrated that OH-initiated and NO₃-initiated RO₂ radicals from the same
- 842 precursor VOC can have very different unimolecular reactive fates due to highly structurally
- 843 sensitive varying rates of reactions of different product channels (Kurtén et al., 2017). A similar
- 844 theoretical study on the rate of unimolecular autooxidation reactions of nitrooxy-isoprene-RO₂
- 845 radicals would be valuable to help determine under what conditions such reactions might occur,
- 846 and this knowledge could be applied to comparing chamber and field SOA yields.

847 4.4 Atmospheric implications and needs for future work

- 848 Because this paper proposes higher SOA yield for the NO₃ + isoprene reaction than measured 849 in chamber studies, we conclude with some discussion of the implications for regional aerosol 850 burdens, and further needs for investigation in the NO_3 + isoprene system.
- 851 Using an isoprene + NO₃ yield parameterization that gave a 12% SOA mass yield at 10 μ g m³, 852 853 Pye et al. (2010) found that adding the NO_3 + isoprene oxidation pathway increased isoprene
- SOA mass concentrations in the southeastern United States by about 30%, increases of 0.4 to 854
- 855 0.6 μ g m⁻³. The larger NO₃ + isoprene SOA mass yields suggested in this paper, with average
- 856 value of 30%, could double this expected NO_3 radical enhancement of SOA production.
- 857 Edwards et al. (2017) concluded that the southeast U.S. is currently in transition between NO_x-
- 858 independent and NO_x-controlled nighttime BVOC oxidation regime. If NO₃-isoprene oxidation is
- 859 a larger aerosol source than currently understood, and if future NO_x reductions lead to a 860 stronger sensitivity in nighttime BVOC oxidation rates, regional SOA loadings could decrease by
- a substantial fraction from the typical regional summertime OA loadings of 5 +/- 3 μ g m⁻³ (Saha 861 862 et al., 2017).
- 863
- 864 Analysis of the degree of oxidation and chemical composition of NO_3 + isoprene SOA would
- 865 help to elucidate mechanistic reasons for the different field and lab SOA yields. For example,
- 866 the potential contribution of the uptake of morning-after OH + NISOPOOH produced epoxides,
- 867 discussed above in section 4.3, onto existing (acidic) aerosol could be quantified by
- 868 measurement of these intermediates or their products in the aerosol phase. Assessment of
- 869 degree of oxidation could help determine whether auto-oxidation mechanisms are active.

- 870 Because of the potentially large effect on predicted SOA loading in regions of high isoprene
- 871 emissions, a better mechanistic understanding of these observed yields is crucial.
- 872

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1294 Supplemental Information

1295 In the main text, we noted a discrepancy between overall average aerosol volume estimates 1296 based on size measurements vs. AMS for the flight analyzed here (see Figure S1). We checked 1297 to see if this bias was also present in the individual plumes studied here by calculating the 1298 volume changes from the sizing instruments and the derived volume changes from the 1299 AMS+rBC mass. There is guite a bit of scatter in the volume enhancements, with most of the 1300 points falling along the same line as the data for this flight. It is unclear why the two types of 1301 volume measurements disagree more for this flight. Therefore, the bias in volume changes 1302 introduces additional uncertainty in the magnitude of the plume enhancements. 1303

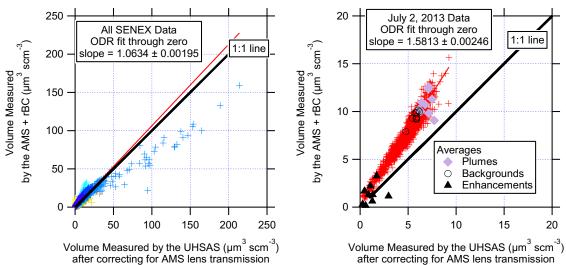




Figure S1. Aerosol volume measured using the total aerosol mass from the AMS plus refractory black carbon (rBC) and mass-weighted densities versus the aerosol volume measured by optical size with the UHSAS after correcting for AMS lens transmission. The procedure for calculating the mass-weighted density is described by Bahreini et al. (2009). On average, the measured aerosol volume from composition is roughly equal to the measured aerosol volume from size for the entire SENEX study (left hand panel) and is higher than one for the flight analyzed here (July 2, 2013, right hand panel).

1312

1313Corrections for AMS UMR nitrate data and applicability to pRONO2 estimation1314

1315 Nitrate in the AMS is quantified in unit mass resolution mode (UMR) as the sum of the estimated NO⁺ at m/z 30 and NO₂⁺ at m/z 46, with a correction factor to account for the smaller ions (N⁺ 1316 and HNO_{3⁺}, mostly) produced from nitrate (Allan et al., 2004). The default AMS UMR 1317 1318 quantification algorithm (documented in the AMS "fragmentation table") estimates NO⁺ as the 1319 total signal at m/z 30 minus a small (2.2% of OA at m/z 29, "Org29" in AMS parlance) 1320 subtraction to account for organic interferences and an isotopic correction for naturally-occurring ¹⁵N₂ from nitrogen in air. The default UMR fragmentation table was developed for mixed ambient 1321 1322 aerosols, in particular in urban studies, and it is the responsibility of each AMS user to correct it 1323 as needed for each study. In environments with high biogenic contributions to total OA, and/or 1324 low total nitrate concentrations, the contribution of the CH_2O^+ ion can be much larger than the

1325 default subtraction at m/z 30. Similarly, the $CH_2O_2^+$ ion at m/z 46 becomes non-negligible, and 1326 hence nitrate reported from AMS data with UMR resolution will frequently be overestimated in 1327 these situations. The poor performance of the default AMS correction is likely due to the initial 1328 focus on urban OA with high nitrate fractions when deriving those corrections (Allan et al., 2004, 1329 Zhang et al., 2004).

1330

1331 Here we derive a set of corrections based on an aircraft high-resolution (HR) dataset acquired 1332 with the University of Colorado HR-AMS (Dunlea et al., 2009) on the NASA DC-8 during the 1333 SEAC⁴RS campaign (Toon et al., 2016). SEAC⁴RS took place with a strong emphasis on the 1334 SEUS 6 weeks after the SENEX flight analyzed in this manuscript. Based on an initial screening 1335 of the correlations of the CH₂O⁺ and CH₂O⁺ ions with UMR signals, 10 potential UMR m/z1336 between m/z 29 and m/z 53 were selected as viable for deriving suitable corrections. Further 1337 analysis using three specific SEAC⁴RS flights (RF11 on 30 Aug 30th, 2013, RF16 on Sep 11th, 2013 and RF18 on Sep 16th, 2013) that covered a wide range of OA composition with both 1338 1339 strong biogenic contributions and fresh and aged biomass plumes showed that only four m/z1340 (29, 42, 43 and 45) had good enough S/N and robust enough correlations to be used as corrections. Table S1 summarizes the correction coefficients obtained in this analysis, and 1341 Figure S2 shows the ability of matching the actual NO⁺ and NO₂⁺ signals (as obtained from 1342 high-resolution analysis of these flights) with the corrected UMR procedure. These corrections 1343 1344 are applied as:

- 1345
- 1346 1347

UMR NO = Signal(m/z30) – a_i *Signal(Variable_i) UMR NO₂ = Signal(m/z 46) – b_i *Signal(Variable_i)

1348

1349 with the coefficients a_i and b_i as reported in Table S1. It should be noted that in all cases the contributions of $C^{18}O^+$ to m/z 30 need to be subtracted first before applying the correction (which 1350 1351 is constrained to the organic CO_2^+ signal, measured at m/z 44, by the naturally-occurring isotopic ratio and assuming that OA produces $CO^{+} = CO_{2}^{+}$ (Zhang et al., 2005, Takegawa et al., 1352 2007). Likewise, the contribution of ${}^{13}CO^+$ to Org29 needs to be subtracted first. It is hence very 1353 1354 important for this analysis that the corrections to the AMS frag table to suitably estimate the 1355 contribution of gas phase CO_2^+ to total UMR m/z 44 as well as the baseline correction for m/z 29 1356 be properly applied first (Allan et al., 2004). Finally, also note that the corrections using m/z 29 1357 and 43 are rather based on Org29 and Org43, which are standard AMS products that take the 1358 OA relative ionization efficiency (RIE) into account.

1359

For the SEAC⁴RS dataset, the corrections amounted to on average subtracting 55% from UMR m/z 30 and 33% from UMR m/z 46. Despite this large subtraction, the corrected data correlates very well with the HR AMS results, with less than 5% deviation in the regression slope between the two datasets.

1364

1365 Although all of the corrections in Table S1 were valid for the SEAC⁴RS data set, for the flight

analyzed here we chose Org29 to correct m/z 30 and mz 45 correction to correct m/z 46

because they were the closest organic signals to the UMR nitrate peaks with organicinterferences and may be more valid for other field studies where different types of OA are

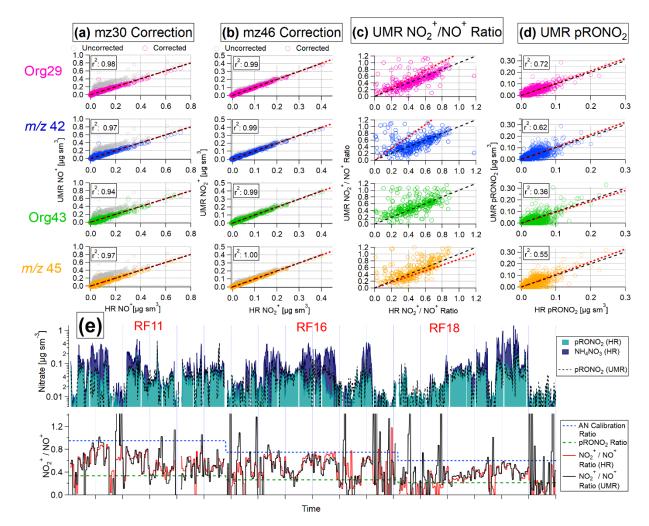
1369 sampled. After these UMR signals were corrected and the appropriate RIEs and CE were 1370 applied, the nitrate mass concentrations in the final data archive for the flight analyzed here 1371 were reduced by 0-0.24 μ g sm⁻³, averaging 0.11 μ g sm⁻³ or 32%. The corresponding increase in 1372 OA due to the organic interferences in the UMR nitrate had linear dependence on the reported 1373 OA mass concentrations (r² = 0.89) with a slope of 1.3%.

1374

1375 To estimate the fraction of nitrate that is organic nitrate (pRONO₂) the use of the NO_2^+/NO^+ ratio 1376 with an empirically determined pRONO₂ calibration ratio has been successfully used previously 1377 with HR-AMS data (Farmer et al., 2010, Fry et al., 2013, Ayres et al., 2015, Fisher et al., 2016, Lee et al., 2016, Day et al., 2017, Palm et al., 2017). Figure S2 summarizes how well the ratio of 1378 the corrected UMR m/z 30 and 46 signals correlate with the NO₂⁺ and NO⁺ (and ratios) 1379 1380 determined using HR data. As expected, there is considerable scatter at very low nitrate 1381 concentrations (which is a considerable part of the dataset, as the time series shows, since the 1382 free troposphere was sampled extensively). However, for the predicted pRONO₂ (which is 1383 mass-weighted), most of this scatter disappears, and for concentrations above 0.1 μ g sm⁻³ of 1384 nitrate there is good agreement between the HR results and the UMR-corrected pRONO₂, 1385 regardless of the correction chosen. For lower concentrations the scatter is considerable larger, 1386 with the Org29 correction providing the best overall agreement. Based on the variability in this 1387 dataset for this correction (Org29), we estimate the uncertainty in pRONO₂ fraction 1388 apportionment using UMR to be about 30%, in addition to an estimated uncertainty for the 1389 apportionment method using HR of 20%. From the comparison of UMR-corrected total nitrate 1390 to HR nitrate (not shown), we estimate an additional error of 5% for total nitrate error using 1391 these corrections.

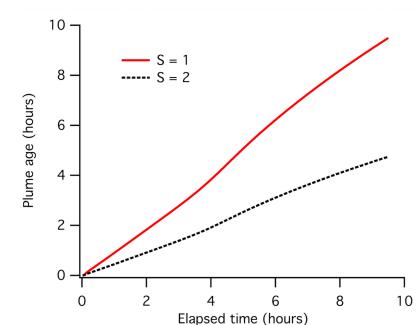
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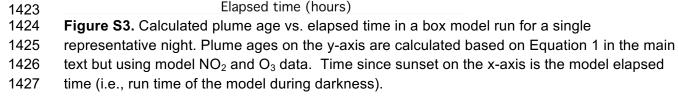
1393 As mentioned in the main text, the empirically determined pRONO₂ calibration ratio used for the 1394 flight data analyzed here was the ratio of NO₂⁺/NO⁺ from the ammonium nitrate calibration 1395 aerosols divided by 2.8. This factor was determined as the average of several literature studies 1396 (Fry et al., 2009, Rollins et al., 2009, Farmer et al., 2010, Sato et al., 2010, Fry et al., 2011, 1397 Boyd et al., 2015) and applied according to the "ratio of ratios" method (Fry et al., 2013). The 1398 ammonium nitrate NO_2^+/NO^+ ratio was obtained from the two calibrations on 30 June and 7 July 1399 that bracketed the flight on 2 July, as described above. This ratio averaged 0.490. Hence, the 1400 organic nitrate NO_2^+/NO^+ ratio was estimated to be 0.175. The ratio of NO_2^+/NO^+ from the flight 1401 data was then used with the pRONO₂ and ammonium nitrate NO₂⁺/NO⁺ calibration ratios to 1402 estimate the fraction of the total corrected nitrate mass concentrations that was organic 1403 $(pRONO_2)$ or inorganic (nitrate associated with ammonium or NH₄NO₃). Propagating the 30% 1404 UMR vs HR uncertainty and 20% apportionment (see above) error on top of the 34% AMS total 1405 nitrate measurement uncertainty results in ±50% uncertainties in the derived organic nitrate 1406 mass concentrations (and similar for NH₄NO₃; however it will depend on the relative 1407 contributions of pRONO₂ and NH₄NO₃ to total nitrate since the absolute concentration errors 1408 associated with pRONO₂ - NH₄NO₃ apportionment should be similar [64]). 1409



1411 **Figure S2.** (a and b) Comparison of m/z 30 and 46 with the NO⁺ and NO₂⁺ signals from the high 1412 resolution analysis of the AMS data before and after applying the four different corrections listed

- 1413 in Table S1. The Pearson r^2 for the corrected dataset is shown as well. (c) Comparison of the
- 1414 NO_2^+/NO^+ ratio obtained from HR analysis with the ratios of the corrected UMR NO and NO_2
- 1415 variables (d) Comparison of the $pRONO_2$ concentrations derived using the HR and UMR $NO_2^+/$
- 1416 NO⁺ ratios. (e) Time series of the total and speciated nitrate as reported from HR analysis of the
- 1417 SEAC⁴RS data (NASA 2018)(NASA 2018)(NASA 2018)(NASA 2018)(NASA 2018)(DOI:
- 1418 10.5067/Aircraft/SEAC4RS/Aerosol-TraceGas-Cloud) compared to the speciation using the
- 1419 Org29 correction (note the logarithmic scale). The bottom time series shows the NO_2^+/NO^+ ratio
- 1420 that the speciation is based on, again for the HR and corrected UMR case.
 - AMS Correction coefficient for Correction coefficient for m/z Variable *m/z* 30 (a_i) 46 (b_i) Org29 0.215 0.037 *m/z* 42 0.51 0.092 Org43 0.215 0.037 *m/z* 45 0.72 0.127
- 1421 **Table S1.** Coefficients used to correct m/z 30 and 46 to estimate total nitrate.







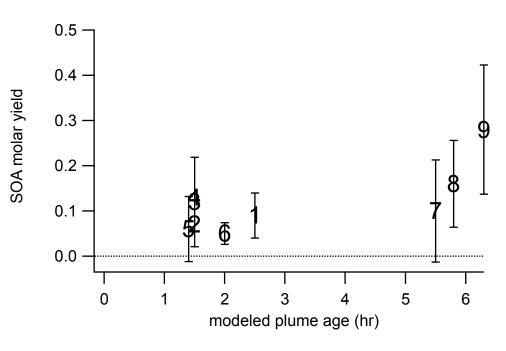
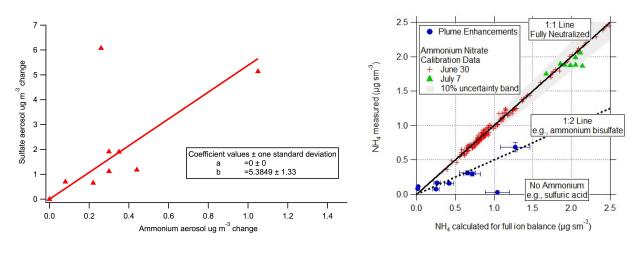


Figure S4. SOA molar yield is positively correlated with estimated plume age. This SOA molar
 yield is based on Eq. 3, with error bars determined by propagation of observed variability in
 pRONO₂ and isoprene, where multiple point averaging was possible. Markers correspond to

- plume numbers.). Based on the box model described in more detail below, the first-generationisoprene products peak at a approximately 4 hours plume age and then begin to decay.
- **Table S2**. Peak ambient (wet) aerosol surface area during each plume used in the yield

analysis (plume numbers 1 – 9), and for the two longer urban plumes transected at the end ofthe flight.

plume number	7/2/13 plume time (UTC)	Peak aerosol surface area (μm ² cm ⁻³)			
1	2:18	280			
2	2:20	370			
3	2:21	470			
4	3:03	340			
5	3:55	800			
6	4:34	470			
7	4:37	370			
8	4:39	420			
9	5:04	490			
Urban plume	5:36	340			
Urban plume	6:37	300			





1445 1446 Figure S5. (a) In-plume change in sulfate mass concentration vs. change in ammonium aerosol 1447 mass concentration is generally well correlated, with a slope of 5.4. The masses of the cations 1448 and anions would give an ion balance for pure $(NH_4)_2SO_4$ of $MW(SO_4)/(2 \times MW(NH_4)) = 2.7$, and 1449 for $(NH_4)HSO_4$ of $MW(SO_4)/(MW(NH_4)) = 5.4$. Hence, this slope provides support for a mix of 1450 these two ammonium sulfate salts, with sometimes exclusively $(NH_4)HSO_4$. This is consistent 1451 with incomplete neutralization of the sulfate mass by ammonium. The one clear outlier (sulfate increase of 6 µg m⁻³ for Plume #5) suggests excess sulfate, rendering ammonium or other 1452 1453 inorganic nitrate formation even less likely. Points with ammonium aerosol below 0.1 µg m⁻³ are 1454 within the variability of that measurement; their omission does not change the slope. (b) 1455 Measured vs. calculated (ion balanced) NH₄ for calibration data and plume enhancements. This 1456 also shows that plumes are acidic than ammonium sulfate, ruling out the possibility of inorganic 1457 nitrate formation. 1458

1460 Additional AMS and auxiliary data from plumes

1461

1462 **Table S3.** Additional information for the list of plumes used in this NO₃ + isoprene SOA yield

analysis, for which key yield-related data is presented in Table 1. For each plume, the delta-

values listed indicate the difference between in-plume and outside-plume background in

average observed concentration. After each plume number, the numbers of points averaged for
 isoprene and AMS, respectively, are listed. Plume numbers annotated with * indicate brief

isoprene and AMS, respectively, are listed. Plume numbers annotated with * indicate brief
 plumes for which only single-point measurements of in-plume aerosol composition were

1468 possible. Also shown are the plume changes in isoprene used in the present analysis (Δisop,

1469 the difference between in-plume and background isoprene concentration, reproduced from

1470 Table 1), alongside for comparison the Δisop determined as the difference between in-plume

1471 isoprene and the modeled sunset (initial) concentration of isoprene present at that location

1472 outside of the plume, determined using an iterative box model (Edwards et al., 2017). The

1473 similarity between these two values for most points suggests that the isoprene just outside of

1474 each plume transect was largely unperturbed from the sunset initial value.

plume number [#isop/#AMS]	7/2/13 plume time (UTC)	ΔORG _{aero} (µg m ⁻³)	ΔNH _{4,aero} (µg m ⁻³)	ΔSO _{4,aero} (µg m ⁻³)	Temp (C)	%RH	∆isop (pptv)	∆isop from model (pptv)	lsop:M [.] Mole Rai
Typical varia	ability (µg m⁻³):	0.75	0.1	0.5					
1 [2/3]	2:18	0.35	0	0	23.6	66.5	-335	-327	36.5
2 [*]	2:20	0.89	0.3	1.91	23.6	65	-404	-453	71.4
3 [4/5]	2:21	1.25	1.05	5.14	23.6	65.2	-228	-337	16.6
4 [*]	3:03	0.16	0.08	0.7	21.2	68.1	-453	-391	50.6
5 [3/4]	3:55	0.32	0.26	6.07	21.9	65.5	-255	-376	34.2
6 [2/2]	4:34	0.57	0.3	1.12	19.9	74.6	-713	-233	17.3
7 [5/6]	4:37	1.05	0.22	0.65	19.7	76.2	-298	-221	14.2
8 [2/3]	4:39	1.26	0.44	1.18	18.3	82.2	-443	-353	11.0
9 [7/8]	5:04	1.45	0.35	1.9	17.2	84.8	-293	-434	17.8

1475

1476 1477

1478 **Box model calculations**

Box model simulations were performed using the Dynamically Simple Model of Atmospheric
Chemical Complexity (DSMACC, http://wiki.seas.harvard.edu/geos-

1481 chem/index.php/DSMACC_chemical_box_model), containing the Master Chemical Mechanism

- 1482 v3.3.1 chemistry scheme (http://mcm.leeds.ac.uk/MCM/). The model approach is similar to that
- described in detail in Edwards et al. 2017, and the accompanying supplement, with the model
- 1484 run over a 9.5 hour night to simulate the nocturnal residual layer. For the nocturnal simulation 1485 used in this work (for both the plume lifetime calculation and the peroxy radical lifetime analysis
- 1486 in Sect. 4.3) the model was initialized with concentrations of the constraining species
- 1487 representative of the SENEX observations (Table S4). As the model is simulating power plant
- 1488 plume evolution from point of emission, a starting NO mixing ratio of 10 ppb was used to
- 1489 constrain NO_x, and the chemistry scheme was subsequently allowed to partition the reactive 1490 nitrogen. The top panels in Figure S7 show the evolution of key species during this nocturnal
- 1491 simulation.

Table S4: Species constrained (MCM v3.3.1 names) during model simulations and constraining
 values. Constraint column indicates if species concentrations were held at the constrained value
 throughout the simulation (Fixed) or allowed to vary after initialization (Initial).

Species	Mixing ratio	Units	Constraint	
NO	9.28	ppb	Initial	
03	55.72	ppb	Initial	
CO	134.00	ppb	Fixed	
CH4	1920.00	ppb	Fixed	
C5H8	2606.80	ppt	Initial	
APINENE	38.87	ppt	Initial	
BPINENE	195.50	ppt	Initial	
LIMONENE	12.42	ppt	Initial	
MACR	454.13	ppt	Initial	
MVK	1006.00	ppt	Initial	
IC4H10	47.00	ppt	Fixed	
NC4H10	128.00	ppt	Fixed	
C2H6	1199.00	ppt	Fixed	
C2H4	117.00	ppt	Fixed	
C2H2	145.00	ppt	Fixed	
NC6H14	20.00	ppt	Fixed	
IC5H12	120.00	ppt	Fixed	
NC5H12	76.00	ppt	Fixed	
C3H8	344.00	ppt	Fixed	
C3H6	26.00	ppt	Fixed	
CH3COCH3	2556.00	ppt	Fixed	
BENZENE	35.90	ppt	Fixed	
C2H5OH	2239.00	ppt	Fixed	
MEK	309.00	ppt	Fixed	
СНЗОН	5560.00	ppt	Fixed	

1495 The daytime simulation used for comparison in Sect. 4.3 of the main manuscript (lower panels

1496 of Figure S7) uses the same initialization as the nocturnal simulation; with the only difference

being the model is run during the daytime. Photolysis rates are calculated using TUV

1498 (https://www2.acom.ucar.edu/modeling/tropospheric-ultraviolet-and-visible-tuv-radiation-model).

1499 The daytime simulation does not accurately simulate daytime mixing ratios of species such as

1500 O₃ representative of SENEX observations. However, the intent of this simulation is to compare

1501 model daytime peroxy radical fate and lifetime with the nocturnal simulation. The presence of

intense convective mixing in the daytime planetary boundary layer of the Southeast US makes
 accurately modeling these concentrations difficult with a zero dimensional model.

1504

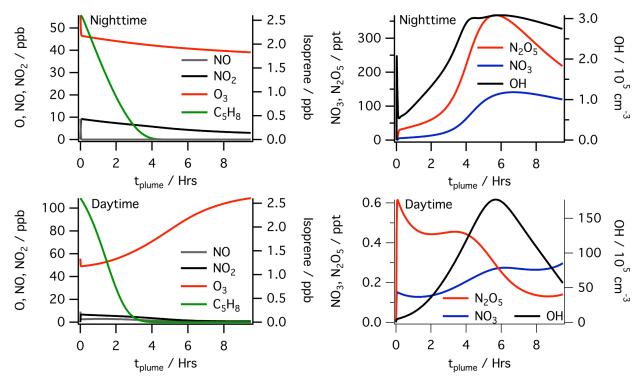


Fig. S6. Model calculated NO, NO₂, O₃, and isoprene (left) and NO₃, N₂O₅ and OH (right for the nocturnal (top) and daytime (bottom) simulations shown in Sect. 4.3.

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1509 Additional considerations investigated via RO₂ fate box modeling

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1511 Based on the potentially larger than previously estimated contribution of RO₂+RO₂ reactions at 1512 night, we considered a related possible source of a high bias in the determined SOA yields. If 1513 NO₃ reaction with the major daytime isoprene oxidation products MVK and/or MACR produces 1514 RO₂ radicals that can cross-react with NO₃ + isoprene products to produce condensable 1515 products, this would be a mechanism of recruiting isoprene-derived organic mass into the 1516 aerosol, but that original isoprene oxidation would not be counted in the denominator of the yield 1517 calculation, since its interaction with NO₃ began as MACR or MVK. In the box model, substantial 1518 MVK and MACR are available in the plume at nighttime, but only MACR reacts with NO₃, and a 1519 maximum fraction of one-quarter of MVK+MACR losses go to reaction with NO₃ overnight (see 1520 Figure S8). In addition, in our power plant plume observations, MVK+MACR are not observed to 1521 be appreciably depleted by the large NO₃ injection, further suggesting that this chemistry is not 1522 a substantial additional source of SOA (see Figure S9). 1523

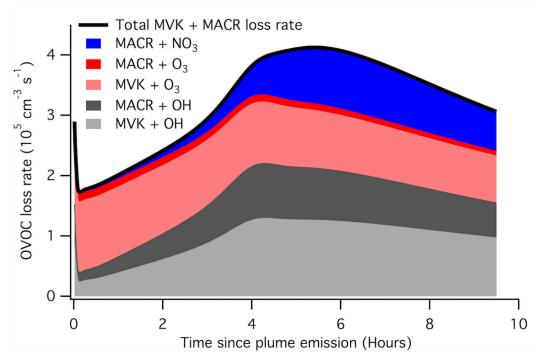
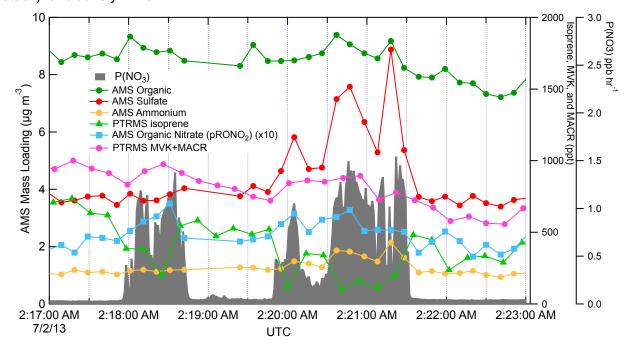




Figure S7. Calculated (via MCM) loss rate contributions for the daytime isoprene products methyl vinyl ketone (MVK) and methacrolein (MACR) in the simulated nighttime plume used in the text. Only MACR reacts with NO₃, and the contribution of this process to total losses (green stack) is relatively minor.



1529

Figure S8. MVK and MACR are not titrated on the timescale of these yield estimates in powerplant plumes.

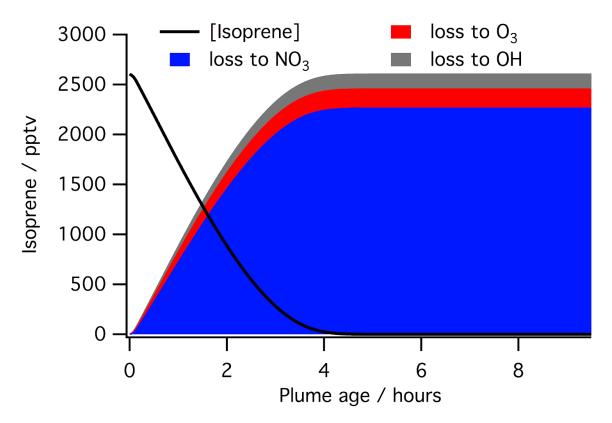


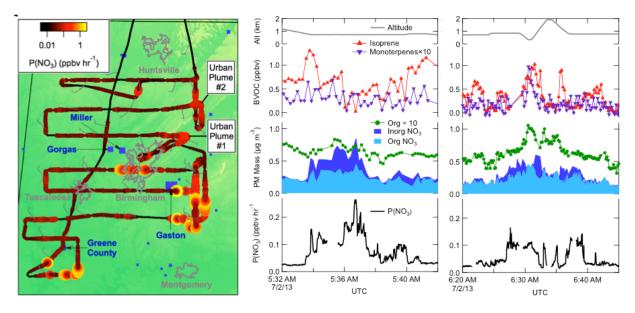
Figure S9. Model simulation of typical in-plume consumption of isoprene (black line), and
stacked plot showing the contributions to this from the NO₃, O₃, and OH. Modeled plume was
emitted at sunset, so this represents nocturnal processing under power plant plume conditions.

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1539 Two urban plume case studies

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1541 In addition to the nine power plant plumes analyzed above to determine the NO₃ + isoprene 1542 SOA molar yield, towards the end of the July 2 flight, the Birmingham urban plume was 1543 intercepted twice (around 5:36 am and 6:37 am UTC, Fig. 8). These downwind urban plumes 1544 are among the most aged plumes (estimated at 5.2 and 5.8 hours, respectively), but are also 1545 substantially more diffuse than the narrow power plant plume intercepts and have lower peak 1546 $P(NO_3)$. Nevertheless, we note that these two plumes contain periods of apparent anti-1547 correlation of isoprene and organic nitrate aerosol time series and high apparent SOA molar 1548 yields (23%, 19%) and mass yields (62%, 51%), if calculated by the same method as above and 1549 omitting the period of vertical profiling in the second plume. Potentially complicating these urban 1550 SOA yield determinations is the fact that the inorganic fraction of nitrate was much larger than in 1551 the power plant plumes (see Fig. 8). The background isoprene is also somewhat lower in these 1552 urban plumes, potentially shifting the NO_3/N_2O_5 fate to reactions other than NO_3 + isoprene (see 1553 Fig. S4 in Edwards et al. (Edwards et al., 2017)). The aerosol surface area is not noticeably 1554 higher in these urban plumes, which one might expect to lead to a larger contribution of N_2O_5 1555 uptake and hydrolysis. In the more complex mix of gases characteristic of an urban plume, we 1556 hesitate to attribute these apparent yields exclusively to the NO₃ + isoprene reaction.



1558

1559 Figure S10. Flight map and time series of two urban plume intercepts, showing anticorrelation

1560 of organic nitrate and isoprene. These more diffuse plumes, with lower *P*(NO₃) and larger

1561 inorganic nitrate contribution, make yield determination more uncertain, so we do not include

them in the overall yield determination. However, using the same methodology as for the power

1563 plant plumes would give similarly high yields for these very aged plumes.

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