



## Air quality in the middle and lower reaches of the Yangtze River

## channel: A cruise campaign

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## 1 Abstract

2 Yangtze River is the longest river in China, nearly one-third of the national population lives 3 along the River. Air quality over the Yangtze River is interesting as it may have significant 4 influences on aquatic ecosystem, public health onboard and coastal areas. A comprehensive15-5 days cruise campaign has been performed in the Mid-Lower Reaches Yangtze River (MLYR) in winter of 2015. Based on the filter samples, the concentration and chemical composition of 6 7 PM<sub>2.5</sub> were greatly varied or fluctuated in different regions. Crustal elements (Ca, Mg, Al and 8 K) from floating dust showed peak concentrations in the Yangtze River Delta (YRD) regions, 9 while secondary species (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>) and some most enriched elements (Pb, As, Se 10 and Cd) presented high levels in the central China (Wuhan). The significant correlation between 11 Se and SO<sub>4</sub><sup>2</sup> suggested that coal combustion may play a key role on the secondary inorganic 12 formation. The relative high enrichment factors (EFs) of Ca (EFs > 100) suggested the crustal 13 elements may derive from anthropogenic sources. Furthermore, the concentration of 14 levoglucosan in PM<sub>2.5</sub> and CO column level from satellite data greatly enhanced in the rural 15 area (Anhui and Jiangxi), indicating that biomass burning may make remarkable contribution 16 to rural area. The concentrations of V and Ni were found to evidently elevate in the Shanghai 17 port, which were mainly ascribed to the ship emission through the air mass source analysis and 18 the relatively high ratio of V/Ni as well. This result shown herein portrayed a good picture of 19 air pollution along the Yangtze River.

20 Keywords:

21 Shipboard observation, chemical composition, ship engine emission, Mid-Lower Yangtze Plain

22 1 Introduction

The Yangtze river is the longest river in China, originating from the Qinghai-Tibetan Plateau and extending to the East China Sea, and it drains an area of 18,08,500 square km basin, of which is China's great granary and contains nearly one-third of the national population (Liu et al., 2007; Xiang et al., 2002; Jiang et al., 2008). Along both shores of the Mid-Lower Reaches Yangtze River (MLYR), there are main composed of three city agglomerations, including Wuhan, Nanjing and Shanghai, which are the centers of economy, politics, and culture in the





Middle and Eastern China, all of which are home to larger petrochemical complex and/or steel industry. MLYP is one of the most developed and economically vibrant regions in China, accounting for 34.13% of China's total GDP in 2015. Owing to fast economic development and industrialization, the MLYP region has become one of the most polluted areas in China (Xu et al., 2016b).

34 Physical properties and chemical compositions of fine aerosol particles are becoming more 35 important in recently years, due to their effects on human health, agriculture, and climate 36 change (Wang et al., 2012;Kang et al., 2013a;Pöschl, 2005;Seaton et al., 1995;Ackerman et al., 37 2004; Stier et al., 2005; Chameides et al., 1999; Novakov and Penner, 1993; Jones et al., 1994). 38 Numerous field researches related to fine particle have been conducted in megacities of the 39 Yangtze River Delta (YRD) region. At present, the fine particle concentrations, chemical 40 compositions, size distributions, seasonal variations, daily change optical properties and possible sources in this region have been generally characterized (Zhou et al., 2016;Kang et al., 41 42 2013a;Tao et al., 2014b;Shen et al., 2014;Fu et al., 2014;Huang et al., 2013;Huang et al., 43 2012b;Huang et al., 2012a;Ding et al., 2013a;Ding et al., 2017;Zhang et al., 2010). By analysis 44 of several serious haze cases, Huang et al. (2012) pointed out that secondary inorganic species 45  $(SNA, SO_4^2, NO_3^2)$  and  $NH_4^2$  and dust pollution erupted in spring, while biomass burning (BB) 46 event was often observed in summer (harvest season for wheat). The high values for sulfate oxidizing rate (SOR) and nitrate oxidizing rate (NOR) were also observed from long-term field 47 48 measurements in Nanjing and Shanghai, indicating that atmospheric photochemical processes 49 were quit active in these areas (Zhou et al., 2016;Zhou et al., 2017;An et al., 2015). Wang et al. 50 (2015b) also found that secondary inorganic species highly contributed to the PM<sub>2.5</sub> pollution. 51 Additionally, the increase trend of the  $NO_3^2/SO_4^2$  ratio suggested that vehicle sources became 52 more and more important (Kang et al., 2013b;Huang et al., 2012a;Tao et al., 2014b). Beyond, 53 Cheng et al. (2014) estimated that BB contributed to 37% of PM<sub>2.5</sub>, 70% of organic carbon and 54 61% of element carbon in harvest, respectively. If BB was controlled and even forbidden, the 55 PM<sub>2.5</sub> level would decrease by 47% in the YRD region (Cheng et al., 2014). Some typical events, 56 including fresh combustion pollution from firework (Zhang et al., 2010;Kong et al., 2015), and





57 peaking SNA originated from travel rush and re-opening of factories after China Spring Festival 58 (Huang et al., 2012b;Kong et al., 2015) have also focused and analyzed. Huang et al. (2013) 59 found that the concentration of anthropogenic Ca decreased as results of implementing strict 60 emission control of construction activity during 2010 Word Expo. During the 2014 Youth 61 Olympic Games (YOG), the levels of  $Ca^{2+}$  and  $SO_2$  reduced 55% and 46%, respectively (Zhou 62 et al., 2017).

63 The MLYR faces the most complex anthropogenic emission sources effecting its air quality, 64 including a variety of power plants, large petrochemical and steel industries, and farmland 65 located on both banks of the Yangtze River, as well as ship emission. It was well documented 66 that ship emissions displayed a significant impact on regional air quality, particularly in traffic 67 hubs and harbors (Pandis et al., 1999;Becagli et al., 2017;Zhan et al., 2014). The contribution 68 and effect of ship-plume to local air pollution, especially particulate matter, have been partly analyzed in global and regional scale (Jalkanen et al., 2015;Zhan et al., 2014;Pandis et al., 69 70 1999; Fan et al., 2016; Coggon et al., 2012). The emission factors and properties of emitted 71 particles and gases from ship plume in different engine speeds were also reported (Zhang et al., 72 2016;Moldanová et al., 2009;Agrawal et al., 2009). Ship-related pollutants have been identified 73 in the YRD port cluster and surrounding areas. In 2010, the ship emissions of  $SO_2$ ,  $NO_x$  and  $PM_{2.5}$  in this region were  $3.8 \times 10^5$  t/y,  $7.1 \times 10^5$  t/y and  $5.1 \times t/y$ , respectively. The maximal 74 75 SO<sub>2</sub> and NOx concentrations from ship in harbors or traffic hubs were nearly 36 times and 17 76 times higher than the maximal land-based emissions, respectively (Fan et al., 2016). Zhao et al. 77 (2013b) noted that Ni and V enriched in fine particles in Shanghai port. Recently, Liu et al. 78 (2017) reported that ship plume contributed to 2-7  $\mu$ g m<sup>-3</sup> to fine particle within the coastal of Shanghai port, accounting for 20-30% of total PM2.5. Known as "golden canal", Yangtze River 79 80 was an important route of trade and travel. However, there is seldom data related to air quality 81 and the influence of ship emission along the Yangtze River channel. Meanwhile, related 82 observations for synchronous trend of aerosol in the MLYP region remain insufficient.

To characterize the air quality in this region, a comprehensive field observation, namely
Yangtze River Campaign (YRC), was conducted from 22 November to 05 December 2015





85 along the Yangtze River. Multitudinous off-line and online instruments were installed on a 86 vessel and a round-trip observation voyage was carried out from Shanghai to Wuhan. The 87 purpose of this cruise campaign was main to characterize the components of atmospheric 88 pollutants, to analyze their spatial distribution, and to identify their potential sources. Herein, 89 the data of the gaseous pollutants (SO<sub>2</sub>, CO, O<sub>3</sub>, NO-NO<sub>x</sub>), meteorological parameters and the 90 satellite data in this region were also collected and analyzed. Back trajectory, principle 91 component analysis (PCA) and potential source contribution functions (PSCF) were applied to 92 determine the potential geographic distribution of fine particles and their main sources over the 93 MLYP region during the cruise. To the best of our knowledge, it is the first systematic observation to characterize the air pollution along the China's largest and longest river. 94

#### 95 2 Measurements and methods

#### 96 2.1 Overview YRC

97 A mobile haze monitoring platform (A container: length 10 m, width 4 m and height 2.5m) was 98 placed on the vessel (length: 20 m, width 6 m), sailing from 22 November to 05 December in 99 2015 along the Yangtze River channel between Shanghai to Wuhan (29.72°N-32.33°N, 100 114.33°E-121.61°E). This campaign route was illustrated in Figure 1. Starting on 22 November 101 in the Waigaoqiao port of Shanghai, then the vessel crossed Jiangsu, Anhui province and finally 102 arrived at the Hankou port in Wuhan, Hubei province on 29 November along the Yangtze River 103 waterway. The ship shifted at an average speed of 1 m/s heading the upper the Yangtze River 104 towards Wuhan. After berthing in the port of Wuhan one night, the vessel turned around, 105 departed and proceeded towards Shanghai. This cruise finally ended in the Waigaoqiao port in 106 Shanghai on 5 December. During YRC, a wide range of data, including common 107 meteorological parameters, trace gas concentrations (CO, NO-NO<sub>x</sub>, SO<sub>2</sub> and O<sub>3</sub>), were acquired. 108 The aerosol particles were collected on the filter samples for chemical composition analysis.

#### 109 **2.2 Trace gases measurements**

A set of commercial trace gas instruments (Thermo Environmental Instruments Ins., USA C series), including 43i SO<sub>2</sub> analyzer, 49i O<sub>3</sub> analyzer, 48i CO analyzer, and 42i NO-NO<sub>2</sub>-NO<sub>x</sub>
 analyzer, were installed in an air-conditioned container to measure gaseous pollutants. The





- 113 routine QA/QC (the daily zero/standard calibration) procedures were followed the technical
- 114 guidance established by U.S. Environmental Protection Agency (USEPA, 1998).
- 115 Trace alkanes, including toluene and benzene, were also sampled in stainless summa canister
- and quantified by a gas chromatograph with a mass spectrometer and a flame ionization detector
- 117 (GC-MS/FID) (Wang et al., 2014). The sampling time of VOCs was 3 hours with fluctuation.
- 118 The ratio of toluene/benzene (T/B) was commonly regarded as an indicator of the
- 119 photochemical age (Baltrenas et al., 2011). The high ratio of T/B indicated that air masses were
- 120 fresh emission, while lower value suggested that air masses had undergone photochemical
- 121 processes. In this paper, we used the same value ratio of T/B in CalNex (Gaston et al., 2013).
- 122 Air masses with  $T/B \ll 1$  were excepted to well undergo photochemical aging while urban
- 123 fresh air masses had much higher T/B ratio ( $\geq 2$ ). Ship track self-emission was removed by
- 124 subducting the periods when the wind blew from the stern, that is, the relative wind direction
- 125 was from 130° to 220° to the ship direction (0° in the front). The real-time measurement of trace
- 126 gases and aerosol data presented here were all filtered out by this method.
- 127 **2.3 Chemical analysis of the filter samples**

128 The filter samples of PM<sub>2.5</sub> and PM<sub>1.0</sub> were collected on the separate quartz filters ( $\phi$ 90 mm, 129 Whatman Inc., Maidstone, UK) using a medium-volume sampler by HY-100 (Qingdao 130 Hengyuan S.T. Development Co., Ltd, China) (model: PM<sub>2.5</sub>/PM<sub>1.0</sub>; flow rate: 100 L min<sup>-1</sup>), 131 which was placed on the foredeck about 3 meters above sea levels. The sampling time was 132 generally set at 12 h (in parallels: day 07:00-19:00, night 19:00-07:00), while it was also 133 collected PM for 24 h. The quartz filters were preheated at 500 °C for 10 h to remove the 134 residues prior to sampling. All the samples were stored in a refrigerator at -20 °C for analysis. 135 The particle masses were measured by an intelligent weight system (Hangzhou Wmade 136 Intelligent Technology co., LTD) after the equilibration at 20 °C for 24 h under RH of 40%. All 137 of the procedures were strictly controlled to avoid the possible contamination. The sample instrument was placed on the bow of the ship far away of its track. Ship self-emission in the 138





- 139 filter samples was ignored since the most prevailing winds blew from the bow to the stern
- 140 during the sampling.
- 141 One-eighth of each filter was extracted ultrasonically by 20 mL of deionized water for 40 142 min (18.2 M $\Omega$  cm<sup>-1</sup>). After filtering, eight inorganic ions (SO<sub>4</sub><sup>2</sup>, NO<sub>3</sub><sup>-</sup>, Cl<sup>-</sup>, NH<sub>4</sub><sup>+</sup>, Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, 143 and Mg<sup>2+</sup>) were analyzed by an ion chromatography (940 Professional IC, Metrohm, 144 Switzerland) and a sugar column (945 Professional Detector Vario, Metrohm, Switzerland) was 145 used to measure levoglucosen by the high-performance anion-exchange chromatography 146 coupled with pulsed electrochemical detection (HPAEC-PAD) method in the extract. Both of 147 instruments were controlled with a 940 Professional IC software. The lower and upper limits 148 of the detection were 0.5 and 4  $\mu$ g m<sup>-3</sup>, respectively. The relative standard deviation of each ion 149 was < 2% from three reproducibility tests. Six blank samples were analyzed with the same 150 processes to remove possibly contaminations.

151 One-eighth of the sample filter and the blank filter were cut into fragment and digested at 152 170 °C for 4 h in a high pressure Teflon digestion vessel with 3 mL of HNO3 and 1 mL of 153 HClO4 (Wang et al., 2006;Li et al., 2015b). After cooling, the digested solution was filtered and 154 diluted to 15 mL with less than 2% acidity with ultrapure Mill-Q water. An inductively coupled 155 plasma mass spectrometer (ICP-MS, Agilent 7500a) was employed to measure the 156 concentrations of 17 elements (Al, As, Ca, Co, Cr, Cu, Fe, K, Mg, Mn, Na, Ni, Se, Tl, Pb, V 157 and Zn) in the samples. National standard material (soil, GSS-12, China) was digested and 158 applied to calculate the element recoveries ranging from 91%-102%. The detection limits of 159 the trace elements were derived from the standard deviation  $(3\delta)$  of the blank values. Details 160 relating to ICP-MS have been described (Li et al., 2015b).

Organic carbon (OC) and elemental carbon (EC) in the aerosol samples were analyzed by a Thermal/Optical Carbon Analyzer (DRI Model 2001). Each sample was identified as four OC fraction (OC1, OC2, OC3, and OC4 at 120, 250, 450, and 550 °C, respectively in a helium air) and three EC fraction (EC1, EC2, EC3 at 550,700 and 800 °C, respectively, in the mixture air (98% helium and 2% oxygen) by an IMPROVE thermal/optical reflectance (TOR) protocol.





- 166 Pyrolyzed organic carbon (POC) was separately detected by transmittance. IMPROVE OC was
- 167 defined as OC1 + OC2 + OC3 + OC4 + POC and EC was calculated by EC1 + EC2 + EC3 -
- 168 POC.
- 169 2.4 Satellite data and ship traffic data
- 170 The satellite databases, including Moderate Resolution Imaging Spectroradiometer (MODIS),
- 171 Measurement of Pollutants in the Troposphere (MOPITT) and Ozone Monitoring Instrument
- (OMI) on the National Aeronautics and Space Administration's Earth Observing System(NASA's EOS) Aura satellite, were applied to provide spatial distribution of aerosol particles
- and trace gases (Xu et al., 2011;Huang et al., 2012a). The column levels of CO, NO<sub>2</sub>, SO<sub>2</sub> and aerosol optical depth (AOD) at 550 nm were retrieved over the MLYP region. Considering
- 176 different conditions for databases, the poor-quality data was removed.
- 177 Ship positions and numbers in the Yangtze River channel were decoded by Automatic 178 Identification System (AIS) database which was obtained from the Marine Department. A 15-
- 179 day AIS data set in the region of MLYP was chosen with a high time resolution (about 15min).
- 180 **2.5 Potential source contribution function**

181 The potential source contribution function developed by Hopke et al. (1995) was applied to 182 derive the potential source regions and spatial distribution. In this study, 3 days back trajectories 183 at arrival height 500 m was computed using National Oceanic and Atmospheric Administration 184 (NOAA) Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT-4) model 185 (http://www.arl.noaa.gov/ready/open/hysplit4.html) with global meteorological data from 186 NCEP Reanalysis data (ftp://arlftp.arlhq.noaa.gov/pub/archives/reanalysis) (Draxler and Hess, 1998). The contribution of potential source during YRC was calculated by the PSCF analysis 187 188 with TrajStat (Wang et al., 2009). The domain sources were restricted to 25°N-45°N and

- 189  $110^{\circ}\text{E}-125^{\circ}\text{E}$ , which were divided into grid cells with a  $0.5^{\circ}\times0.5^{\circ}$  resolution. The PSCF value
- 190 for the *ij* th grid cell was defined as:

191 
$$\operatorname{PSCF}_{ij} = \frac{\mathsf{M}_{ij}}{\mathsf{N}_{ij}} W_{ij} (1)$$





192 where  $N_{ij}$  is the total number of trajectory segment endpoints that fall in the *ij* cell and  $M_{ij}$  is 193 the number of endpoints for the same cell with arrival times at the sampling site corresponding 194 to the pollutant concentrations higher than an arbitrary criterion value. In this study, the average 195 concentration for each trace element was set as the criteria value. To suppress the erroneous 196 and uncertainly of the small value of  $n_{ij}$ , the weighting function of  $W_{ij}$  reduced the PSCF 197 values when the total number of the endpoints in a particular cell  $n_{ii}$  was less by 198 approximately 3 times than the average NAvevalue of the endpoints per each cell (Han et al., 199 2005):

200 
$$W_{ij} = \begin{cases} 1.00 & N_{ij} > 3N_{Ave} \\ 0.70 & 1.5N_{Ave} < N_{ij} \le 3N_{Ave} \\ 0.42 & N_{Ave} < N_{ij} \le 1.5N_{Ave} \\ 0.17 & 0 < N_{ij} \le N_{Ave} \end{cases}$$
(2)

#### 201 3 Results and discussion

#### 202 **3.1 Classification of the typical pollution episodes**

203 The air pollution during the cruise was classified into eight distinct episodes based on sampling 204 locations, backward trajectory and photochemical aging processes (the T/B value) (Figure 1, 205 Figure S1 and Table 1). The detailed meteorological information along YRC was also 206 summarized in supplements. As shown in Figure S1, the first episode (EP1), staring from 22 to 207 23 November, was characterized as the sampled air masses from East China Sea, which brought 208 the local industry and Shanghai harbor pollution. The ratio of T/B ranged from 1 to 2 with an 209 average of 1.3 suggesting fresh air masses mixed by the aged ones. Air masses in the secondary 210 episode (EP2), with B/T<1, originated from Anhui and Henan rural areas, carrying agriculture 211 emission. Sampled air masses stagnated around Jiujiang to Wuhan from the third episode (EP3) 212 to the fifth episode (EP5). However, the fourth episode (EP4) (near Wuhan) with the low 213 average T/B ratio of 0.97 experienced a serious photochemical aging. Besides, the local air in 214 EP4 was under low pressure system with high RH coupled with the low wind speeds that didn't 215 favor the diffusion of the local pollutants (Figure S2). Both EP3 and EP5 (nearly Jiujiang) were 216 characterized by high T/B value of 2.1, suggesting that these two pollution episodes were





217 contributed mainly by local fresh emissions. In the sixth episode (EP6), the wind direction 218 shifted from southwest to northwest, and the vessel was traveling in the rural area of middle 219 reach of Yangtze River, suggesting that air masses may originate from agricultural activities. 220 Then, a cold front arrived and wind speed increased from average 3.84 m/s to 5.38 m/s (Table 221 2) with air masses transported from northern inland in the seventh episode (EP7), which were 222 further supported by wind field (Figure S2) and a sharply RH decrease. The last episode (EP8) 223 lied in the YRD region where highly intensive anthropogenic activities released a large amount 224 of the pollutants. Air masses in EP8, with the average T/B value of 1.73, were expected to 225 mixture of aged masses sources with local fresh emission. Overall, EP1 and EP8 (the YRD 226 region) were mainly influenced by fresh local emission mixed by aged air masses, while 227 agriculture emissions contributed mainly to EP2 and EP6. Both EP3 and EP5 were 228 characterized by industries emission although the megapolis was not available in this region. 229 The cruise stared on November 22, but the offline  $PM_{2.5}$  samples were taken after November 230 25. The EP1 description was thus ignored in the present study.

231 **3.2 Trace gases and fine particles measurements during YRC** 

### 232 **3.2.1** The pollutants measured in the vessel

The off-line of  $PM_{2.5}$  and  $PM_{1.0}$  were sampled from 25 November to 5 December. Their detail 233 234 information was also summarized in Table 1. The average mass concentration of PM<sub>1.0</sub> and 235  $PM_{2.5}$  during YRC were 96.69 ± 22.18 µg m<sup>-3</sup> and 119.29 ± 33.67 µg m<sup>-3</sup>, respectively. The 236 average ratio of  $PM_{1.0}/PM_{2.5}$  was 0.8  $\pm$  0.085, implying that  $PM_{2.5}$  was mainly dominated by 237 fine particles with the size of  $< 1 \ \mu m$ . The detailed meteorological information, including 238 temperature (T), RH, pressure and wind speed (WS), and trace gases for pollution episodes 239 were also summarized in Table 2. The peak PM2.5 concentrations were observed in EP4 and 240 EP7. However, there were obvious differences between EP4 and EP7 in the meteorological 241 parameters and trace gases levels, indicating that these two pollution events were completely 242 different. As mentioned in 3.1, air masses in EP4 were mainly originated from local emission, 243 whereas EP7 was influenced by a long-transport of the pollutants.





244 As shown in Table 2, the average concentrations of CO, SO2 and NOX varied dramatically in 245 the pollution episodes, mainly owing to local emissions, photochemical processes and 246 meteorology conditions (Xu et al., 2011). Average concentrations of CO and SO<sub>2</sub> (993.96  $\pm$ 247 387.34 and  $9.32 \pm 4.33$  ppby, respectively) were slightly lower than those in cities in winter, 248 including Wuhan (1024.00 and 13.30 ppbv) (Wang et al., 2017), Nanjing (1096.00 and 13.09 249 ppbv) (Sun et al., 2017), Chengdu (1440.00 and 12.60 ppbv) (Liao et al., 2017) and Shanghai 250 (1067.20 and 18.90 ppbv) (Huang et al., 2012a). The CO level increased during the sampling 251 and peaked in EP6 and EP7. Meanwhile, the SO<sub>2</sub> and NO<sub>X</sub> levels were much lower in these two 252 episodes, which was identified as the BB events. The mean CO concentration in EP7 was 253 substantially enhanced and reached to 1224.88 ppbv, which was close to the level recorded at 254 Shanghai during the harvest season of wheat (June) (Huang et al., 2012a). As reported 255 previously, CO was the major gaseous pollutants released from BB (Huang et al., 2012a;Ding et al., 2013b). The SO<sub>2</sub> concentrations in EP3 and EP8 greatly increased, which were close to 256 257 the  $SO_2$  level in the haze event in Shanghai (Huang et al., 2012a). This was partly caused by 258 local fresh emission (high T/B in EP3 and EP8). Besides EP6 and EP7 (BB), the  $NO_X$ 259 concentration almost exceeded 50 ppbv along this cruise. The NO<sub>x</sub> concentration peaked in 260 EP3, which was identified as local emission region. Mean NO<sub>x</sub> mass concentration in this cruise 261 is  $63.74 \pm 41.08$  ppbv, which was much higher than the mean levels in Shanghai (42.40 ppbv, 2012) (Han et al., 2015) and Guangzhou (39.14ppbv, 2012) (Zou et al., 2015) that represented 262 263 typical urban NO<sub>x</sub> level. The high NO<sub>x</sub> distribution along YRC revealed strong local emission 264 from both edge of the Yangtze River. It could be supposed that lots of the pollution sources 265 distributed on both the bank of the Yangtze River.

## 266 **3.2.2 Regional distributions of the air pollutants by remote sense**

The YRC region is one of the most polluted areas in China and the spatial distribution of various pollutants were regionally different. As shown in Figure 2a, high average values of AOD retrieved from MODIS MOD04 were observed in eastern Jiangsu and Shanghai, etc, where human and industries activities were concentrated, suggesting that anthropogenic emission was dominated. However, there was much missing data of AOD in central China due to heavy





272 clouds. As evidenced in Figure S3 by the MODIS true-color imagery on 28 November, thick 273 clouds covered across central China. Besides, the average of AOD was about 0.45, which was 274 slight lower than that in Shanghai in winter (0.55) (He et al., 2012) and background (0.65) in 275 the North China plain. Besides, the AOD value in Northern China was higher than that in 276 Southern China. As plotted in Figure 2b, CO surface mixing ratio calculated by MOPITT 277 revealed that Shandong, Henan and Anhui were exposed to high CO column concentration. CO 278 is an important tracer for the incomplete combustion sources, such as BB and fossil fuel 279 combustions (Girach et al., 2014). BB should be the major source for CO in the grain-producing 280 areas (Huang et al., 2012; Ding et al., 2013). As mentioned above, the peak CO level was also 281 observed in Anhui (EP6 and EP7). The high levels of SO<sub>2</sub> were mainly observed in Anhui and 282 stretched to the Shanghai area (Figure 2c). Whereas, the SO<sub>2</sub> level in the Wuhan and Nanjing 283 urban areas were measured at the background pollution level. In general, NO<sub>2</sub> was regarded as 284 a tracer for the local emission sources due to short lifetime in the atmosphere (Geng et al., 285 2009;Xu et al., 2011). NOx were significantly originated from vehicle and power plant 286 emissions (Fu et al., 2013). One can see that the NO<sub>2</sub> emission was characterized by strong local 287 source in north China and the YRD urban area, which are in good agreement with the previous 288 reports (Lin, 2011;Zhao et al., 2013a).

289 **3.3** The ionic composition and levoglucosan in PM<sub>2.5</sub> collected along the YRC

### 290 3.3.1 General characterization

291 The water-soluble ions constitute one of the dominant components in atmospheric aerosol and 292 determine the aerosol acidity (Kerminen et al., 2001), accounting for 37.43% and 40.15% in 293  $PM_{2.5}$  and  $PM_{1.0}$ , respectively. For the ionic concentration, the most abundant species hosted by 294  $PM_{2.5}$  were  $SO_4^2$  with an mean of  $15.21 \pm 6.69 \ \mu g \ m^{-3}$ , followed by  $NO_3^-$  ( $13.76 \pm 4.99 \ \mu g \ m^{-3}$ ), 295  $NH_4^+$  (9.38 ± 4.35 µg m<sup>-3</sup>),  $Ca^{2+}$  (2.23 ± 1.24 µg m<sup>-3</sup>),  $Cl^-$  ( 1.94 ± 0.92 µg m<sup>-3</sup>),  $Na^+$  (1.29 ± 0.48  $\mu$ g m<sup>-3</sup>), K<sup>+</sup> (0.63  $\pm$  0.22  $\mu$ g m<sup>-3</sup>) and Mg<sup>2+</sup> (0.22  $\pm$  0.07  $\mu$ g m<sup>-3</sup>) (Figure S4a). The mass 296 297 concentration of SNA accounted for 85.89% of the total water-soluble ions in PM25. Comparing 298 with the previous reports (Figure 3), the SNA concentrations were lower than those collected





299	in the polluted cities in winter, including Beijing (38.90, 22.70 and 22.4 $\mu$ g m <sup>-3</sup> , respectively)
300	(Wang et al., 2015a), Xi'an (39.7, 21.43 and 12.50 $\mu$ g m <sup>-3</sup> , respectively) (Xu et al., 2016a),
301	Wuhan (29.80, 29.80 and 16.80 $\mu$ g m <sup>-3</sup> , respectively) (Zhang et al., 2015) and Chengdu (31.80,
302	15.5 and 15.5 $\mu$ g m <sup>-3</sup> , respectively) (Tao et al., 2014a). However, the concentration of SNA
303	were higher than those collected in marine boundary layer, such as East China sea (29.80, 29.80
304	and 16.80 $\mu g$ m $^{\text{-3}}$ , respectively) (Nakamura et al., 2005), Northern South China Sea (7.80, 0.24
305	and 2.1 $\mu g$ m $^{\text{-3}}$ , respectively) (Zhang et al., 2007), South China sea (7.99, 0.08 and 1.083 $\mu g$ m $^{\text{-}}$
306	$^{3}$ , respectively) (Hsu et al., 2007), Taiwan Strait (5.20, 3.13and 1.50 µg m <sup>-3</sup> , respectively) (Li
307	et al., 2016), and Tuoji island in Bohai Rim (8.90, 5.80 and 1.40 $\mu g$ m $^{\text{-3}}$ , respectively) (Zhang
308	et al., 2014). The SNA levels in the YRC was close to Shanghai in winter (11.7, 13.33 and 8.11
309	$\mu g$ m $^3$ , respectively) (Zhou et al., 2016). The mass ratio of NO $^3_3/SO_4^{2-}$ was regarded as a marker
310	to distinguish mobile source vs. stationary source (Huang et al., 2013). The ratio of $NO_3^2/SO_4^2$
311	in this campaign was also close to Shanghai and lower than those in other cities, indicating that
312	the mobile sources (traffic) contributed mainly to fine particles. Besides, the mass concentration
313	of $SO_4^2$ definitely exceed the $NO_3^2$ level in the marine boundary layer (Figure 3), indicating that
314	marine was another important source for SO $_4^2$ (Calhoun et al., 1991). The average
315	concentration of Ca^2+(2.23 $\mu g~m^{\text{-}3})$ in this cruise was highest among all locations and cruises as
316	summarized in Figure 3, followed Chengdu (2.10 $\mu g$ m $^{-3}),$ Wuhan (1.90 $\mu g$ m $^{-3})$ and Xi'an (1.33
317	$\mu g$ m $^{\text{-3}}\text{)}.$ As shown in Figure 3, $Ca^{2+}$ also presented the higher concentration in the cities and
318	decreased from inland to coastal of ocean, indicating that Ca2+ was mainly from terrace crustal
319	(Xiao et al., 2017). However, the concentration of $K^{\scriptscriptstyle +}$ and $Mg^{\scriptscriptstyle 2+}$ in YRC were lower than most
320	samples among all location (Figure 3). $K^{\scriptscriptstyle +}$ may originate from BB, sea salt and crustal dust.
321	High average $Ca^{\scriptscriptstyle 2+}$ concentration in this campaign suggested that $K^{\scriptscriptstyle +}$ may come from crustal
322	dust. Average Cl <sup>-</sup> concentration was also lower than those in most cities (Figure 3). However,
323	$Na^{\scriptscriptstyle +}$ was higher than the most reported values (Figure 4). Besides, the ratio of Cl-/Na^{\scriptscriptstyle +} among
324	all location (Figure 3) were much higher than 1.17 (ratio of seawater), suggesting that
325	anthropogenic sources, including BB and coal combustion, contributed the excessive Cl- in





326

ranged from 0.015-0.18  $\mu$ g m<sup>-3</sup> with a mean value of 0.075  $\pm$  0.047  $\mu$ g m<sup>-3</sup>, much higher than 327 328 the average concentration of 0.0394  $\mu$ g m<sup>-3</sup> in Lin'an (30.3°N, 119.73°E) (a rural site of the 329 YRD regions) (Liang et al., 2017), indicating that BB was also an major contributor to PM<sub>2.5</sub>. 330 3.3.2 Distribution of the soluble ions and levoglucosan along the cruise 331 The concentrations and mass fractions of the major ions and levoglucosan in  $PM_{2.5}$  are shown 332 in Figure 4. The mass concentration of SNA with an average of  $38.35 \pm 15.17 \,\mu\text{g/m}^3$  increased 333 from coast to inland and exhibited the highest level (#6, 79.06  $\mu$ g/m<sup>3</sup>) in the Wuhan region 334 (EP4), accounting for nearly 50% of local PM2.5 mass. As mentioned above, SO2 and NOx also 335 present the high concentration in this region. Furthermore, Wuhan and the surrounding regions 336 were controlled by the low-pressure system with low WS and high RH (Figure S2), of which 337 have been verified to cause haze episode (Zhao et al., 2013d; Quan et al., 2011; Wang et al., 338 2010). Besides, the mass fraction of SNA in PM<sub>2.5</sub> also peaked in rural region (EP2 and EP6), 339 which was in accord with low ratio of T/B in these regions, suggesting that aerosol particles in 340 rural region were well aged. Furthermore, the peak Cl<sup>-</sup> concentration and its mass fraction in 341  $PM_{2.5}$  also observed in Wuhan region. Thus, it's concluded that Wuhan and the surrounding 342 regions suffered serious pollution with high SNA loading during sampling. In addition, the ratio 343 of  $NO_3/SO_4^2$  in the Wuhan area was close to the values of cities in Northern China (relatively 344 low) (Figure 3), suggesting that the stationary source (such as: coal fired power station or stove 345 emission) dominated in this area. The highest Cl<sup>-</sup> concentration in this region also supported 346 this result. 347 Contrary to SNA distribution (Figure 3), the concentration of  $Ca^{2+}$  along this cruise increased

China cities (Li et al., 2015a; Zhang et al., 2013). The concentration of levoglucosan, a BB tracer,

1347 Contrary to SNA distribution (Figure 3), the concentration of Ca<sup>2+</sup> along this cruise increased 1348 from mainland to costal of East China Sea and the peak Ca<sup>2+</sup> mass fraction in PM<sub>2.5</sub> was 1349 measured in EP7 and EP8, probably due to its local floating dust. The highest concentration of 1350 4.89  $\mu$ g m<sup>-3</sup> was observed on 3 December when the vessel was traveling through Nanjing. In 1351 the meanwhile, dust episode was verified by MODIS true-color image on 2 and 3 December 1352 (Figure S3), supported by a drastically decrease of RH with the prevailing northern wind (Table





353 2). Resembling Ca2+ distribution pattern, the maximal concentrations of Na+ and K+ in PM2.5 354 were also measured during EP7. In general, it was well known that dust particles with high 355 alkalinity could firstly neutralize  $SO_4^{2-}$  and  $NO_3^{-}$  in aerosol particles, then atmospheric ammonia 356 was absorbed. The concentrations and mass fractions of SNA in PM<sub>2.5</sub> slightly increased at the 357 end of the cruise since carbonate in aerosol could enhance the uptake of acidic gases on particles 358 (Huang et al., 2010). In the meanwhile, the increasing mass ratio of  $NO_3^2/SO_4^2$  in EP7 and EP8 359 was attributed to two main reasons. The mobile sources (such as: vehicle emission) increased 360 and released huge amount of  $NO_x$  when the vessel was close to the megacity (Huang et al., 361 2013). Furthermore, NO<sub>2</sub> could transform into NO<sub>3</sub> via the heterogeneous process on dust 362 aerosol surface (Nie et al., 2012).

363 The distribution of levogluscan is irregular parabolic from inland to coastal of sea. The 364 maximal value of levogluscan (0.18 µg m<sup>-3</sup>) was observed in Anhui rural area (EP6), while the 365 levogluscan level in YRD region (EP8) was much lower. However, fire points couldn't be 366 observed apparently in the satellite-detected fire maps (http://firefly.geog.umd.edu/firemap/), 367 due to heavy cloud cover on 27 November and 1 December. During the whole campaign, it was 368 only collected one sample (#12, Figure S5) for BB which was verified by MODIS fire points, 369 due to a cold current blowing heavy clouds away (Figure S3). A slightly higher levoglucosan 370 concentration was observed in night that was attributed to the lower boundary layer at night and 371 BB for heating and cooking in the rural regions.

372 Ion balance gained by the major anions (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and Cl<sup>-</sup>) and cations (Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, 373 Mg<sup>2+</sup>) was furthermore calculated in this study. Both cation and anion are in the units of 374 equivalent concentration ( $\mu$  eq m<sup>-3</sup>). The linear correlation coefficient of cation vs anion for 375 PM2.5 and PM1.0 were 0.828 and 0.837 (Figure S6a), respectively, implying that the major ions 376 in PM<sub>2.5</sub> and PM<sub>1.0</sub> could be contributed by same sources. There is a good correlation ( $R^2 > 0.93$ ) 377 between  $NH_4^+$  (equivalent concentration) and the sum of  $SO_4^2$  and  $NO_3^-$  (equivalent 378 concentration) in PM<sub>2.5</sub>, which suggested a good quality of data. Additionally, the relationship 379 between NH<sup>+</sup><sub>4</sub> and Ca<sup>2+</sup> versus SO<sup>2-</sup><sub>4</sub> and NO<sup>3</sup><sub>3</sub> was also investigated. As plotted in Figure S6b,





- 380 the slopes of linear regression lines for  $[NH_4^++Ca^{2+}]$  vs  $[SO_4^2+NO_3]$  in PM<sub>2.5</sub> and PM<sub>1.0</sub> were
- 381 1.171 and 1.154, respectively, suggesting that the alkaline substance in aerosol could neutralize
- 382  $SO_4^2$  and  $NO_3^2$  completely during YRC.
- 383 3.4 Elemental concentration, spatial distribution and sources identification
- 384 3.4.1 General characterization

385 A total of 17 elements in the PM<sub>1.0</sub> and PM<sub>2.5</sub> samples collected during YRC were measured, 386 and their average concentrations are summarized in Table 3. For comparison, the data reported 387 previously in the megacities (in winter) and the cruises are also outlined in Table 4. Ca showed 388 the highest concentration among all elements (Table 3) at all locations (Table 4), and shared 389 2.16% on average in PM<sub>2.5</sub>, partly due to a cold front with floating dust in this campaign. The 390 secondary highest concentration among all elements was Fe (Table 3). This concentration (1.64 391  $\mu$ g m<sup>-3</sup>) in the campaign were higher than those at many urban sites, such as Beijing (1.55  $\mu$ g 392  $m^{-3}$ ) (Zhao et al., 2013c), Shanghai (0.56 µg  $m^{-3}$ ) (Huang et al., 2012b) and Guangzhou (0.16 393 µg m<sup>-3</sup>) (Lai et al., 2016), probably due to numerous steel industries/shipyard distributed on 394 both two banks of the Yangtze River. Other elements decreased from K (865.88 ng m<sup>-3</sup>) to Tl 395  $(0.32 \text{ ng m}^{-3})$ . Pb and Zn contributed the high levels for heavy metals in PM<sub>2.5</sub>. In addition to 396 inland cities, such as Beijing (Zhao et al., 2013c), Wuhan (Zhang et al., 2015) and Chengdu 397 (Tao et al., 2014a), average concentration of Pb and Zn along the YRC was much higher than 398 those in the other regions and cruises (Table 4). Both Pb and Zn could originate from coal 399 combustion or mineral industry, which were related to energy structure and industrial layout in 400 MLYP (Zhao et al., 2013c;Huang et al., 2013;Zhang et al., 2015;Tao et al., 2014a;Lai et al., 401 2016;Li et al., 2016;Zhao et al., 2015).

402 The enrichment factor (EF) was applied to identify the trace elements from crustal or 403 anthropogenic sources. The formula to evaluate EF was:

404  $EF_i = (X_i / X_R)_{aerosol} / (X'_i / X'_R)_{crust} (3)$ 

405 of which  $EF_i$  is the enrichment factor of element *i*;  $X_i$  and  $X_R$  are the concentrations of element 406 *i* and reference element of R in aerosol, respectively;  $X'_i$  and  $X'_R$  are the background content





407 of elements in the MLYP soil (Wei et al., 1991). Al was considered to be originated from soil, 408 thus it was selected as the reference element for calculation. Trace elements with EF < 10409 included: Al, Co, K, Mg, and Na, all of which were regarded from crustal or re-suspension of 410 the local soil. The species with higher 10 < EFs < 100 were thought to be the mixture of the 411 crustal and anthropogenic sources, including Cr, Cu, Ni and V. The elements with EF > 100, 412 including Ca, Zn, Se, Pb, As, Mo, Fe, and Cd, were attributed to be originated from anthropogenic activities. 413 414 3.4.2 Source apportionments and regional distribution of the main elements in PM<sub>2.5</sub> 415 In order to identify the source of contaminated elements and their geographical distribution, 416 PCA was applied to classify the main source of trace elements in PM<sub>2.5</sub> using the rotate component matrix and PSCF for individual elements was performed to estimate the potential 417 418 sources. As shown in Figure 5a, trace elements were classified into four categories (PCA), which 419 420 could explain 86.73% of the variance. The first component (component 1) could account for 421 38.48% of the variance, which was considered to be originated from coal burning, including 422 high loadings of Cd, As, Pb, Tl and Se. Particularly, Se was generally considered as a tracer for 423 coal combustion due to production under high temperature environment. It was well known that 424 Se hosted by fine particles after the rapid gas-to-particle conversion could undergo a long-range 425 transport (Nriagu, 1989; Wen and Carignan, 2007). A significant correlation ( $R^2 = 0.71$ ) 426 between  $SO_4^2$  and Se are shown in Figure S4b. Furthermore, As and Pb mainly originated from 427 coal burning after phasing out of leaded gasoline in China since 1997 (Xu et al., 2012), both of 428 which showed the high correlations with Se. Meanwhile, component 1 showed the high 429 concentration in EP4 and EP5 (Figure 5b) when the ship anchored in Wuhan and travelled 430 through Jiujiang. As illustrated in Figure 6a-d, As, Cd, Pb and Se showed the similar source 431 region distributions. The higher PSCF values in Hubei, Hunan and Jiangxi provinces coincided 432 well with the uneven regional distribution of the residential coal consumption (Figure S7) in 433 central China, suggesting coal-related PM pollution was quite serious in this region during the 434 cruise.





435 The secondary component (component 2) with a variation of 25.45%, contributed by high 436 loading of Al, Mg, Ca, and K, all of which obviously represented the crustal or soil materials 437 and showed the low EF values (EFs< 10, except Ca). The high concentration spike of crustal 438 elements occurred in EP7 (Figure 5b). A sharp decrease of RH (Table 2) indicated that a cold 439 front arrived, accompanying by floating dust. As shown in Figure 6e-h, the YRD region and 440 the Loess Plateau with highest PSCF values were identified as important source regions and 441 pathways for crustal elements of Al, K, Mg and Ca. Meanwhile, the central China also showed 442 the distribution of K and Mg, for which the coal combustion in this region could be primary 443 responsible. Furthermore, Ca showed the high EFs (EFs > 100), suggesting that the crustal 444 material may not derive from natural sources, but from anthropogenic re-suspension of road or 445 construction activities along of the Yangtze River. To further evaluate the impact of 446 anthropogenic Ca, the equation below was applied:

447 
$$Ca_{anthropogenic} = Ca_{total} - Al_{total} \times (Ca/Al)_{crust} (4)$$

448 (Ca/Al) <sub>crust</sub> is ratio of Ca to Al in crust and its value is 0.5. According to this method, the 449 average Ca <sub>anthropogenic</sub> concentration was 2.15  $\mu$ g m<sup>-3</sup> and the peak level reached to 3.42  $\mu$ g m<sup>-3</sup> 450 on December 3. IF all of Ca <sub>anthropogenic</sub> in the samples of other cities and cruises (Table 4) were 451 calculated by this method, its level in this cruise was much higher than those in other samples, 452 suggesting that anthropogenic dust was dominated and distributed in the YRD region during 453 the period.

454 Component 3, accounting for 15.14% of variation, was considered to be the primary of V, 455 Co and Ni. Both V and Ni was often used to be a tracer of heavy oil combustion (Zhao et al., 456 2013b;Becagli et al., 2017). The high concentrations of V and Ni were observed when the ship 457 was anchored at the Waigaoqiao port of Shanghai (EP8) (Figure 5b), where some field 458 observations have identified that heavy oil combustion exert a significant impact on the local 459 air quality (Zhao et al., 2013b;Fu et al., 2014;Ding et al., 2017;Liu et al., 2017). It was also 460 reported that the combustion of heavy oil emitted smaller particles with the size of  $< 0.1 \mu m$  and 461 the transition metals of Ni and V were greatly enriched (Jang et al., 2007). Ni hosted in fine





particles (Figure 6i) had almost same spatial distribution with Cr and the YDR, Jiangsu, east of
Anhui, and the Mongolian plateau were identified as the major source regions and pathways.
However, the high PSCF values for fine particle V (Figure 6j) were only derived from the YDR
and Mongolian plateau, that's possible reason that V was considered origination from heavy oil
combustion while Ni have other sources (Table S1) (Zhao et al., 2013b).
The fourth component (component 4) showed high loadings of Mn, Co, Zn and Fe, all of

468 which could explain 7.33% of the variance. Fe exhibited the high EFs value, indicating that 469 they may originate from anthropogenic activities. Anthropogenic Fe was usually considered to 470 be originated from steel factory and/or shipyard, both of which were widely distributed over 471 the YRC region (Fu et al., 2014). The temporal variations of component 4 peaked nearly in 472 Wuhan and Shanghai (EP4, EP7 and EP8) (Figure 5b) where China Baowu steel industry and 473 numerous shipyards were located in this region (Ivošević et al., 2016). Fe, Co, Mn and Zn in fine particles displayed similar regional distributions (Figure 61-o). The significantly high PSCF 474 475 values in the YRD region were attributed to the intensive distribution of steel industries in East 476 of Anhui, Jiangsu and Shanghai and shipyards on the banks of the Yangtze River. However, 477 the high PSCF value of Zn also exhibited high value in Hubei, Henan and Shanxi (Figure 61), 478 probably due to the influence of coal combustion and nonferrous metal smelting activities in 479 these regions (Li et al., 2015b). Overall, it should be noted that regional anthropogenic sources were dominant origins of trace elements in fine particles collected along this cruise. 480

481 **3.5 Ship emission** 

### 482 3.5.1 Primary of ship emission

Over the past few decades, China's rapid economic development leads to huge cargo transports by ship in the Yangtze River channel. However, there is lack of data for ship emission along the Yangtze River channel, especially in the inland area. It was well known that the ratio of V to Ni was used to judge whether ship emission could influence air quality (Isakson et al., 2001). The average ratio of V/Ni over the present cruise is 1.27, which was in good agreement with the previous studies (Pandolfi et al., 2011;Zhang et al., 2014). Although ratio of V/Ni was used to judge whether ship emission could influence air quality, it was still a challenge to distinguish





490 V from refineries and ship plume. So, high-resolution back-trajectories and high-resolution of 491 the ship position from the AIS data were applied to identify ship plume during this cruise 492 (Figure S8). As plotted in Figure 7, the number of ship from AIS were closely related to the V 493 concentration. From the inland to the coastal of East China Sea, the V concentration hosted by 494 fine particles generally increased and reached the highest level of 0.06  $\mu$ g m<sup>-3</sup> on 4 December 495 when the vessel berthed in the anchorage of the Yangtze River estuary. Meanwhile, air masses 496 in this evening originated from the port and anchorage (Figure S8). Hence, the V in the fine 497 particles could be possibly attributed by the ship engine emission in Shanghai port..

498 The contribution of primary ship emission to  $PM_{2.5}$  could be calculated by the equation 499 developed by (Agrawal et al. (2009):

500 
$$PM_a = \langle r \rangle \times V_a / \langle F_{V,HFO} \rangle$$
(5)

501 where  $PM_a$  represents the primary PM<sub>2.5</sub> concentration estimated (µg m<sup>-3</sup>); < r > is average 502 ratio of PM<sub>2.5</sub> to normalized V emitted (ppm);  $V_a$  represents the V amount of the samples (µg 503  $m^{-3}$ ) during YRC and is the V content of heavy oil on average from the vessels (ppm). The value 504 of < r > was set as 8205.8 ppm according to Agrawal et al. (2009) report. The value of < $F_{V,HFO}$  > was set as 65.3 ppm, of which represents of the average V content (Zhao et al., 505 506 2013b). The average concentration of the primary ship emission was 1.19 µg m<sup>-3</sup>, ranging from 507 0.02 to 7.37 µg m<sup>-3</sup>. The peak level of the estimated primary ship emission was observed in 508 Shanghai harbor.

### 509 **3.5.2** $SO_4^{2-}$ , $NO_3^{-}$ and OC emitted from ships

To further explore the contribution of the ship plume to secondary fine particles, a lower limit of the  $SO_4^{2-}/V$ ,  $NO_3^{-}/V$ , EC/V and OC/V ratios (equal to the average minus one standard deviation) was applied to estimate the particulate from heavy oil combustion in the Yangtze River channel (Becagli et al., 2017). As presented in Figure S9a-b, the mass ratio of  $SO_4^{2-}/V$  and  $NO_3^{-}/V$  decreased rapidly with increasing V concentration. According to ship traffic numbers, weather condition and the component of heavy diesel oil combustion emissions, the samples





516 with V > 15 ng m<sup>-3</sup> were mainly considered as the ship emission. Hence,  $SO_4^2$ ,  $NO_3$ , EC and

517 OC in the samples with V > 15 ng m<sup>-3</sup> were assumed to be from ship plume.

518 The limit ratio of SO<sub>4</sub><sup>2-</sup> /V, NO<sub>3</sub><sup>-</sup> /V and OC/V, and the estimation of the ship plume 519 contributions to  $SO_4^2$ ,  $NO_3$ , OC and  $PM_{2.5}$  are summarized in Table S2 in supplements. The 520 minimum ratio of  $NO_3/V$  in this campaign was nearly twice larger than the limit ratio for  $SO_4^2$ 521 /V, which was contrary to the previous report with higher SO<sub>4</sub><sup>2-</sup> observed in summer on the island 522 of Lampedusa (35.5°N, 12.6°E) in the central Mediterranean. In general,  $SO_4^{2-}$  and  $NO_3^{-}$  in 523 aerosol were formed from gas precursors of SO<sub>2</sub> and NO<sub>x</sub>, respectively, both of which were 524 complete different for lift-time and chemical processes in the atmosphere. High T and RH could 525 accelerate the chemical process of SO<sub>2</sub> to SO<sub>4</sub><sup>2-</sup> (Zhou et al., 2016). However, NO<sub>3</sub><sup>3</sup> was in gas-526 aerosol equilibrium with gaseous HNO<sub>3</sub>. So, low T and RH were conducive to NO<sub>3</sub> formation 527 in aerosol (Matthias et al., 2010; Wang et al., 2016). One reason for this discrepancy was 528 probably meteorological and photochemical conditions, which may be attributed to low sulfur 529 conversion rates and particulate NO<sub>3</sub> dominated in low temperature and moisture in winter in 530 this cruise (Table 2). On the other hand,  $NO_3^3$  may have other sources in our samples (Shanghai), 531 whereas Lampedusa was a remote site (Becagli et al., 2017). The average estimated 532 concentration of minimum  $SO_4^2$  derived from ship emission was 1.38 µg m<sup>-3</sup> during YRC, 533 which was similar to the value measured in the Mediterranean (Becagli et al., 2017;Becagli, 534 2012).

535 EC and OC were also estimated by the same methods for  $SO_4^{2-}$  and  $NO_3^{3-}$  for calculation the 536 lower limit for OC/V and EC/V ratio in the ship plume (Figure S9c-d). Besides, the correlation 537 of V with EC ( $R^2 = 0.71$ ) have high value, suggesting that V and EC have same 538 sources(Agrawal et al., 2009). In this cruise, the total OC were estimated from OC measured 539 through a conversion factor of 1.8, due to typically fresh emission and weak light in winter 540 (Becagli et al., 2017). The total average of ship traffic in PM<sub>2.5</sub> was 8.46 µg m<sup>-3</sup>, nearly 541 occupying 7.73% of total PM<sub>2.5</sub> during YRC. However, ship plume could reach to 52.28% of 542 total PM2.5 when the vessel berthed in that Shanghai harbor. It is noted that the ship engine





543 emission decreased from Shanghai port to inland area. One reason for this was correspond to 544 the density of ship in the Yangtze River channel. On the other hand, fuel oils were completely 545 different between the ship travelling in inland waterway and the oceangoing vessel. In general, 546 ship inland waterway run on mainly light diesel which contain low heavy metals (such as: V, 547 Ni) comparing with marine heavy oil (Table S1). So, it is urgent to establish emission control 548 area (ECA) in Shanghai ports.

549 4 Conclusions. In order to better characterize air pollution over the region of MLYP, an 550 intensive atmospheric observation was conducted during YRC. A combine of ship-suit 551 measurements of trace gases and aerosol samples for fine particles were used to characterize 552 the air pollution in this region. The average concentrations of  $PM_{1.0}$  and  $PM_{2.5}$  were 96.69  $\pm$ 22.18  $\mu$ g m<sup>-3</sup> and 119.29  $\pm$  33.67  $\mu$ g m<sup>-3</sup> during the cruise, respectively. The most abundant 553 554 species in PM<sub>2.5</sub> were SO<sub>4</sub><sup>2</sup> with the average concentration of  $15.21 \pm 6.69 \, \mu g/m^3$ , followed by 555  $NO_{3}^{-}$  (13.76 ± 4.99µg/m<sup>3</sup>),  $NH_{4}^{+}$  (9.38 ± 4.35µg/m<sup>3</sup>),  $Ca^{2+}$  (2.23 ± 1.24µg/m<sup>3</sup>), respectively. 556 Combined with satellite data, back trajectory, principle component analysis (PCA), and 557 potential source contribution functions (PSCF), chemical composition in PM<sub>2.5</sub> manifested 558 greatly geographical difference and diverse anthropogenic emission sources. Wuhan undertook 559 SNA (including  $SO_4^2$ ,  $NO_3^2$  and  $NH_4^4$ ), accounting for nearly 50% of PM<sub>2.5</sub>. The significant 560 correlation between Se and  $SO_4^2$  corroborated that coal combustion may play a key role on the 561 SNA formation. The concentration of levoglucosan in PM2.5 and CO column level from the 562 satellite data were greatly enhanced in the rural area (Anhui and Jiangxi), indicating that BB 563 from the both shores of the Yangtze river may make remarkable contribution to rural area. 564 Furthermore, the crustal elements of Al and Ca presented high levels in the YRD regions and the relative high enrichment factors (EFs) of Ca (EFs > 100) coupling with the PSCF analysis 565 566 suggested the crustal material may derive from re-suspension of dust from road and 567 construction activity along the banks of the Yangtze river. Ship engine emission displayed a 568 significant effect on the air quality and could contribute to more than 50% of the total  $PM_{2.5}$  in 569 the Shanghai ports. As far as we know, this is the first comprehensive measurement of air





- 570 quality over the MLYP region using a vessel mobile platform. The data shown herein suggested
- 571 that the differentiated control measures in accordance with local pollution characterizations
- 572 should be applied to tackle air pollution.

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# **Captions of Figure and Table**

Table 1. The levels of PM<sub>2.5</sub> and PM<sub>1.0</sub> sampled during YRC.

Table 2. The pollutant levels and meteorological parameters in eight different episodes.

Table 3. Average concentration of the elements in  $PM_{2.5}$  and  $PM_{1.0}$  (ng m<sup>-3</sup>) during YRC

Table 4. Comparisons of trace element concentrations with the reported data (µg m<sup>-3</sup>).

Figure 1. Cruise tracks, source region limits, the sampling sites and land use during YRC.

**Figure 2.** (a) aerosol optical depth(AOD); (b) the total CO column mixture ratio; (c) the  $SO_2$  column concentration; (d) the  $NO_2$  column level.

**Figure 3.** Comparisons major ionic species during YRC with other regions, including: Beijing, Xi'an, Chengdu, Wuhan, Guangzhou, Shanghai, Northern South China Sea, Taiwan Strait, South China Sea, East China Sea and Tuoji Island. The red lines mark the sample routes in different cruises.

Figure 4. Spatial concentration distributions of the soluble ions and levoglucosan in PM2.5.

**Figure 5.** (a) Principle component analysis (PCA) of the typical elements in  $PM_{2.5}$ ; (b) Time series of four typical element sources derived from PCA. All of the units are in  $\mu g m^{-3}$ .

**Figure 6.** Probable sources from PSCF for individual elements in  $PM_{2.5}$  during YRC. The criteria are the mean concentration for all.

**Figure 7.** The primary of ship emission along YRC and number of ship distribution in the Yangtze River channel.





Sample Number	Start data UTC	Day/Night Samples	Ship state	Sampling duration	Average Latitude, °N	Average Longitude, °E	, PM <sub>2.5</sub> (μg m <sup>-3</sup> )	Average Average $Average$ $PM_{2.5}$ ( $\mu g m^3$ ) $PM_{1.0}$ ( $\mu g m^3$ ) $PM_{1.0}$ ( $\mu g m^3$ ) $PM_{1.0}/PM_{2.5}$ Latitude, °N Longitude, °E	PM <sub>1.0</sub> /PM <sub>2.5</sub>
#1	25-Nov-15	Daily	Moving	24 hours	30.95	117.78	63.83	58.3	91.33%
#2	26-Nov-15	Daily	Moving	24 hours	30.3	116.95	112.7	84.58	75.06%
#3	27-Nov-15	Daily	Moving	24 hours	29.73	115.86	106.4	90.37	84.96%
#4	28-Nov-15	Daily	Moving	24 hours	30.37	115.06	81.49	73.69	90.43%
#5	29-Nov-15	Daytime	Moving	12 hours	30.63	114.53	157.7	136.1	86.32%
9#	29-Nov-15	Nighttime	Stopping	12 hours	30.69	114.45	161.8	152.2	94.06%
L#	30-Nov-15	Daytime	Moving	12 hours	30.42	114.92	80.56	65.56	81.38%
#8	30-Nov-15	Nighttime	Stopping	12 hours	30.09	115.32	106.3	89.29	83.99%
6#	1-Dec-15	Daytime	Moving	12 hours	29.72	115.97	96.0	81.83	85.24%
#10	1-Dec-15	Nighttime	Moving	12 hours	30.32	116.89	92.02	82.86	90.04%
#11	2-Dec-15	Daytime	Moving	12 hours	31.08	117.96	122.8	85.17	69.34%
#12	2-Dec-15	Nighttime	Moving	12 hours	31.9	118.55	163.2	118.4	72.55%
#13	3-Dec-15	Daytime	Moving	12 hours	32.27	119.44	152.9	108.7	71.09%
#14	3-Dec-15	Nighttime	Moving	12 hours	31.95	120.27	133.9	105.6	78.89%
#15	4-Dec-15	Daytime	Moving	12 hours	31.7	121.18	146.1	111.8	76.57%
#16	4-Dec-15	Nighttime	Stopping	12 hours	31.38	121.6	131.2	102.7	78.27%

Table 1





Table 2

Periods	Data and time (BST <sup>a</sup> )	Latitude	Longitude	wind speed (m/s)	RH%	NOX (ppb)	SO <sub>2</sub> (ppb)	CO (ppb)	PM2.5 (SN <sup>b</sup> )	T/B
EP1	2015/11/22 12:00 to 2015/11/23 18:00	31.28 to 32.22	121.23 to 119.55	3.01	88.95	65.51	6.32	443.91		1.59
EP2	2015/11/25 12:00 to 2015/11/27 14:00	31.01 to 29.91	117.79 to 116.35	2.86	66.73	57.50	12.45	704.48	(#1,2)	0.81
EP3	2015/11/27 14:00 to 2015/11/29 00:00	29.84 to 30.50	116.35 to 114.83	2.48	69.72	68.16	16.15	676.20	(#3,4) 1.20	1.20
EP4	2015/11/29 00:00 to 2015/11/30 18:00	30.50 to 30.18	114.83 to 115.25	2.18	83.01	62.65	8.60	1030.25	(#2-7)	0.97
EP5	2015/11/30 18:00 to 2015/12/01 20:00	30.18 to 30.02	115.25 to 116.66	2.32	79.64	51.92	11.66	989.75	(#8,9)	2.61
EP6	2015/12/01 20:00 to 2015/12/02 20:00	30.02 to 31.67	116.66 to 118.40	3.84	74.67	31.00	4.09	1139.33	(10,11) 0.96	0.96
EP7	2015/12/02 20:00 to 2015/12/03 20:00	31.67 to 32.32	118.40 to 119.73	5.39	44.91	23.73	7.87	1224.88 (#12,13) 1.00	(#12,13)	1.00
EP8	2015/12/03 20:00 to 2015/12/05 06:00	32.32 to 31.36	119.73 to 121.61	2.68	38.86	57.55	16.62	1061.46 (#14-16) 1.73	(#14-16)	1.73





## Table 3

Contents		Average	Max	Min	Median	$SD^a$
Ma	PM <sub>2.5</sub>	629.87	1487.67	135.69	589.13	358.57
Mg	$\mathbf{PM}_{1.0}$	328.57	699.09	17.26	359.42	213.44
A 1	PM <sub>2.5</sub>	863.87	2400.13	21.13	786.17	618.66
Al	PM <sub>1.0</sub>	631.37	1894.40	100.78	473.46	483.74
TZ.	PM <sub>2.5</sub>	865.88	1723.87	368.51	805.73	367.14
К	PM <sub>1.0</sub>	771.80	1560.67	326.41	739.86	303.33
G	PM <sub>2.5</sub>	2724.35	5657.60	391.54	2381.94	1729.51
Ca	PM <sub>1.0</sub>	1525.39	3371.73	108.21	1455.19	1108.03
	PM <sub>2.5</sub>	9.71	60.00	0.19	7.33	13.45
V	PM <sub>1.0</sub>	9.20	55.50	1.18	6.80	12.72
G	PM <sub>2.5</sub>	22.29	62.67	2.16	16.73	16.51
Cr	$PM_{1.0}$	21.67	48.17	2.67	22.74	13.31
	PM <sub>2.5</sub>	56.63	152.12	9.08	42.56	43.42
Mn	$PM_{1.0}$	45.80	106.33	8.58	31.56	31.75
_	PM <sub>2.5</sub>	1644.84	5188.18	38.87	860.40	1590.29
Fe	PM <sub>1.0</sub>	934.30	2616.83	46.74	516.37	850.12
	PM <sub>2.5</sub>	0.82	2.88	0.00	0.48	0.75
Co	PM <sub>1.0</sub>	0.62	1.67	0.07	0.26	0.53
	PM <sub>2.5</sub>	10.53	73.64	1.83	5.61	16.82
Ni	PM <sub>1.0</sub>	8.19	32.29	1.39	4.35	7.89
	PM <sub>2.5</sub>	18.79	49.87	4.07	17.66	11.28
Cu	PM <sub>1.0</sub>	15.21	37.07	3.70	12.32	7.87
	PM <sub>2.5</sub>	295.08	638.08	125.36	221.83	159.05
Zn	PM <sub>1.0</sub>	288.84	485.26	81.91	261.06	156.34
	PM <sub>2.5</sub>	37.33	107.17	0.87	31.50	28.14
As	$PM_{1.0}$	41.73	111.85	12.46	30.70	32.00
G	PM <sub>2.5</sub>	6.08	12.18	2.70	5.78	2.57
Se	$PM_{1.0}$	6.48	11.04	3.07	6.40	2.76
<u></u>	PM <sub>2.5</sub>	2.72	5.00	1.30	2.50	1.06
Cd	$PM_{1.0}$	5.42	39.20	1.30	3.33	9.09
	PM <sub>2.5</sub>	0.32	0.90	0.00	0.29	0.22
T1	$PM_{1.0}$	0.41	0.89	0.14	0.35	0.23
	PM <sub>2.5</sub>	98.37	176.54	53.26	95.68	35.91
Pb	PM <sub>1.0</sub>	110.45	274.80	53.04	102.84	54.07

<sup>a</sup> SD is one standard deviation.





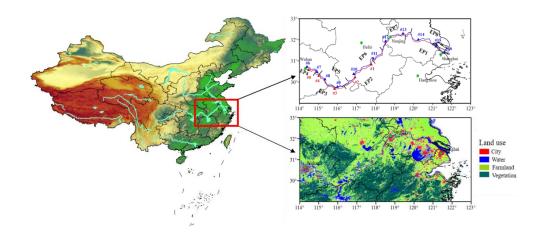
	2015 <sup>a</sup>	2003	2011	2013-2014	2012-2013	2011	2012-2013	2008-2009	2009-2010
	(this study)	(Zhang et al., 2007)	(Zhao et al., 2015)	(Li et al., 2016)	(Lai et al., 2016)	(Tao et al., 2014)	(Zhang et al., 2015)	(Huang et al., 2013)	(Zhao et al., 2013)
	Winter	Spring	Spring	Winter	Winter	Winter	Winter	Winter	Winter
	Yangtze river channel <sup>b</sup>	Yangtze river Northern South channel <sup>b</sup> China Sea	East China sea	Taiwan strait	Guangzhou (rural)	Chengdu	Wuhan	Shanghai	Beijing
Ы	0.86	0.31	3.28	3.00	0.21	0.43	I	0.64	1.03
Ca	2.72	0.82	2.40	2.00	0.11	0.26	2.27	0.72	1.85
Fe	1.64	0.32	1.37	1.30	0.16	0.61	1.42	0.56	1.55
Mg	0.63	0.11	0.83	2.40	2.30	0.16	0.61	0.26	0.57
As	0.04	Ι	0.01	Ι	I	0.02	0.04	0.02	0.01
Cd	0.00	Ι	I	Ι	I	00.00	0.01	I	I
Cr	0.02	0.03	I	0.60	I	0.01	0.01	0.02	0.01
Cu	0.02	Ι	0.01	Ι	0.03	0.03	0.03	0.04	0.04
Mn	0.06	I	0.01	0.70	0.03	0.07	0.13	0.04	0.09
Ni	0.01	Ι	0.01	06.0	I	00.00	0.01	0.01	0.01
Pb	0.10	0.16	0.02	0.70	0.09	0.20	0.24	0.06	0.15
^	0.01	I	0.02	I	I	0.00	Ι	0.01	I
Zn	0.30	Ι	0.07	0.60	0.27	0.32	0.37	0.13	0.30
<sup>a</sup> Sampling pe	<sup>a</sup> Sampling periods; <sup>b</sup> Sampling sites	ng sites							

Table 4





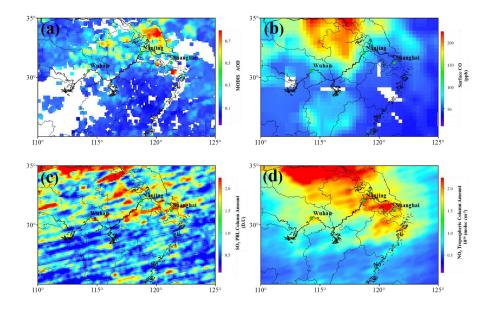
# Figure 1.







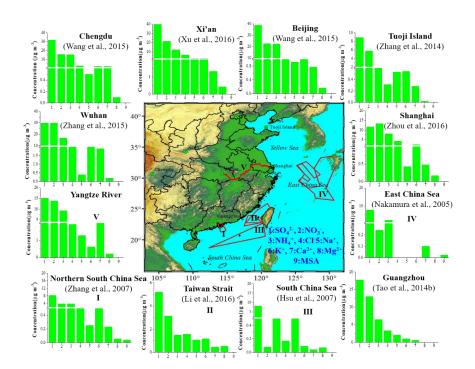
# Figure 2.







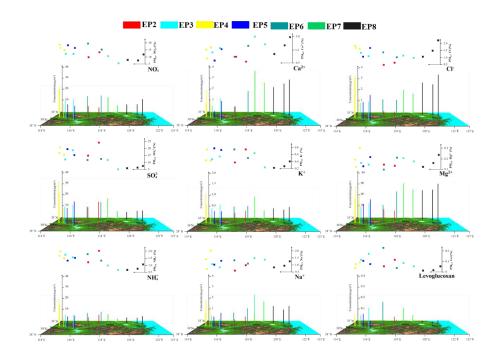
## Figure 3.







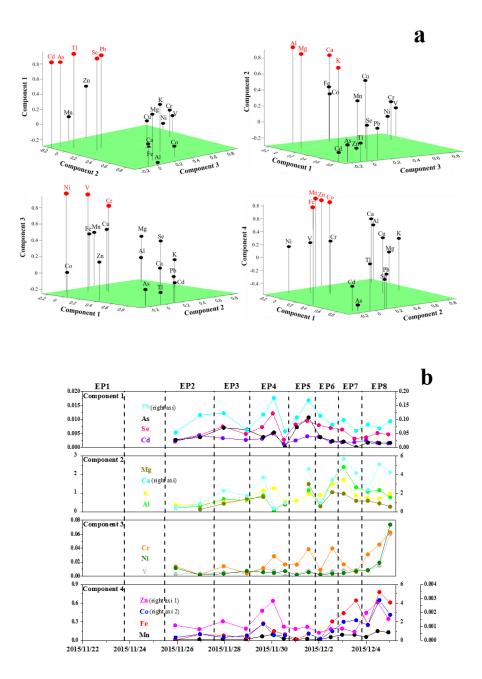
# Figure 4.







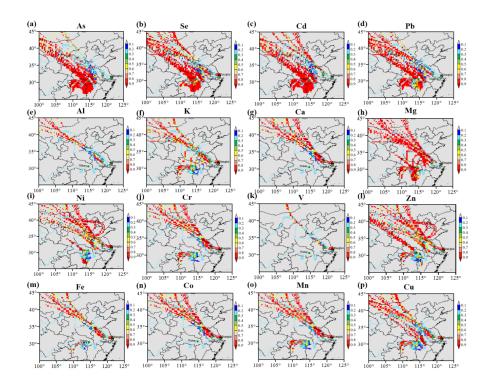
## Figure 5.







# Figure 6.







# Figure 7.

