**Reponses to Co-editor comments on ‘Contrasting interannual atmospheric CO2 variabilities and their terrestrial mechanisms for two types of El Niños’**

Dear Professor Law,

Thanks very much for your efforts to deal with our manuscript and provide these constructive comments. We have modified the manuscript accordingly. The point-by-point reply to these comments as follows:

1. Abstract, line 207-212: Perhaps re-write this sentence to replace ‘and’ with ‘or’ i.e. ‘The composite analysis showed that evolution of the MLO CGR anomaly during EP and CP El Niños had three clear differences: (1) negative or neutral precursors in the boreal spring during an El Niño-developing year (denoted as “yr0”), (2) strong or weak amplitudes, and (3) durations of the peak from December (yr0) to April during an EP El Niño- decaying year (denoted as “yr1”) compared to October (yr0) to January (yr1) for a CP El Nino.

Reply: Thanks for your suggestion. We have modified it accordingly.

1. Reviewer 2 asked you to ‘articulate to readers why it’s important to separate the two types of El Nino’ (review paragraph 1). Perhaps this could be done at line 335 by extending the sentence to note why you want to reveal their different impacts e.g. ‘In this study, we attempt to reveal their different impacts given the different regional responses of the EP and CP El Ninos.’

Reply: Thanks for your constructive suggestions. We have extended the sentence accordingly.

1. Line 420: Suggest ‘of a more recent, better performing, version’

Reply: Thanks very much. We have modified it accordingly.

1. Line 422: Suggest adding a sentence after ‘experimental protocol.’ ‘Models use different vegetation datasets or internally generated vegetation.’

Reply: Thanks very much. We have added this sentence.

1. Line 445: Is the ONI plotted in Fig 1a or the Nino3.4 index without the running mean? If it is the ONI then you might like to refer to Fig 1a at line 445-446.

Reply: Thanks very much. Figure 1a showed the ONI. We have added the reference here accordingly.

1. Line 456: After ‘used here’ add ‘(both modelled and observed)’ if this is correct.

Reply: Thanks very much. We have added ‘both modelled and observed’ here.

1. Line 571: It might be good to refer to your new supplementary figure S5 here when you are first describing the choice of years that make up each composite as well as later in the paper. You will need to re-number the supplementary figures if you do this.

Reply: Thanks very much for your constructive suggestion. We have moved the Fig. S5 as Fig. S2, and modified them thoroughly.

**Contrasting interannual atmospheric CO2 variabilities and their terrestrial mechanisms for two types of El Niños**

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**Abstract**

El Niño has two different flavors, eastern Pacific (EP) and central Pacific (CP) El Niños, with different global teleconnections. However, their different impacts on the interannual carbon cycle variability remain unclear. We here compared the behaviors of interannual atmospheric CO2 variability and analyzed their terrestrial mechanisms during these two types of El Niños, based on the Mauna Loa (MLO) CO2 growth rate (CGR) and the Dynamic Global Vegetation Model’s (DGVM) historical simulations. The composite analysis showed that evolution of the MLO CGR anomaly during EP and CP El Niños had three clear differences: (1) negative or neutral precursors in the boreal spring during an El Niño-developing year (denoted as “yr0”), (2) strong or weak amplitudes, and (3) durations of the peak from December (yr0) to April during an El Niño-decaying year (denoted as “yr1”) compared to October (yr0) to January (yr1) for a CP El Niño, respectively. The global land–atmosphere carbon flux (FTA) simulated by multi-models was able to capture the essentials of these characteristics. We further found that the gross primary productivity (GPP) over the tropics and the extratropical southern hemisphere (Trop+SH) generally dominated the global FTA variations during both El Niño types. Regional analysis showed that during EP El Niño events significant anomalous carbon uptake caused by increased precipitation and colder temperatures, corresponding to the negative precursor, occurred between 30S and 20N from January (yr0) to June (yr0). The strongest anomalous carbon releases, largely due to the reduced GPP induced by low precipitation and warm temperatures, occurred between the equator and 20N from February (yr1) to August (yr1). In contrast, during CP El Niño events, clear carbon releases existed between 10N and 20S from September (yr0) to September (yr1), resulting from the widespread dry and warm climate conditions. Different spatial patterns of land temperatures and precipitation in different seasons associated with EP and CP El Niños accounted for the evolutionary characteristics of GPP, terrestrial ecosystem respiration (TER), and the resultant FTA. Understanding these different behaviors of interannual atmospheric CO2 variability, along with their terrestrial mechanisms during EP and CP El Niños, is important because the CP El Niño occurrence rate might increase under global warming.

1. **Introduction**

The El Niño–Southern Oscillation (ENSO), a dominant year-to-year climate variation, leads to a significant interannual variability in the atmospheric CO2 growth rate (CGR) (Bacastow, 1976; Keeling et al., 1995). Many studies, including measurement campaigns (Lee et al., 1998; Feely et al., 2002), atmospheric inversions (Bousquet et al., 2000; Peylin et al., 2013), and terrestrial carbon cycle models (Zeng et al., 2005; Wang et al., 2016), have consistently suggested the dominant role of terrestrial ecosystems, especially tropical ecosystems, in contributing to interannual atmospheric CO2 variability. Recently, Ahlstrom et al. (2015) further suggested ecosystems over the semi-arid regions played the most important role in the interannual variability of the land CO2 sink. Moreover, this ENSO-related interannual carbon cycle variability may be enhanced under global warming, with approximately a 44% increase in the sensitivity of terrestrial carbon flux to ENSO (Kim et al., 2017).

Tropical climatic variations (especially in surface air temperature and precipitation) induced by ENSO and plant and soil physiological responses can largely account for interannual terrestrial carbon cycle variability (Zeng et al., 2005; Wang et al., 2016; Jung et al., 2017). Multi-model simulations involved in the TRENDY project and the Coupled Model Intercomparison Project Phase 5 (CMIP5) have consistently suggested the biological dominance of gross primary productivity (GPP) or net primary productivity (NPP) (Kim et al., 2016; Wang et al., 2016; Piao et al., 2013; Ahlstrom et al., 2015). However, debates continue regarding which is the dominant climatic mechanism (temperature or precipitation) in the interannual variability of the terrestrial carbon cycle (Wang et al., 2013; Wang et al., 2014; Cox et al., 2013; Zeng et al., 2005; Ahlstrom et al., 2015; Wang et al., 2016; Qian et al., 2008; Jung et al., 2017).

The atmospheric CGR or land–atmosphere carbon flux (FTA – if this is positive, this indicates a flux into the atmosphere) can anomalously increase during El Niño, and decrease during La Niña episodes (Zeng et al., 2005; Keeling et al., 1995). Cross correlation analysis shows that atmospheric CGR and FTA lags the ENSO by several months (Qian et al., 2008; Wang et al., 2013; Wang et al., 2016). This is due to the period needed for surface energy and soil moisture adjustment following ENSO-related circulation and precipitation anomalies (Gu and Adler, 2011; Qian et al., 2008). However, considering the variability inherent in the ENSO phenomenon (Capotondi et al., 2015), the atmospheric CGR and FTA can show different behaviors during different El Niño events (Schwalm, 2011; Wang et al., 2018).

El Niño events can be classified into eastern Pacific El Niño (EP El Niño, also termed as conventional El Niño) and central Pacific El Niño (CP El Niño, also termed as El Niño Modoki) according to the patterns of sea-surface warming over the tropical Pacific (Ashok et al., 2007; Ashok and Yamagata, 2009). These two types of El Niño have different global climatic teleconnections, associated with contrasting climate conditions in different seasons (Weng et al., 2007; Weng et al., 2009). For example, positive winter temperature anomalies are located mostly over the northeastern US during an EP El Niño, while warm anomalies occur in the northwestern US during a CP El Niño (Yu et al., 2012). The contrasting summer and winter precipitation anomaly patterns associated with these two El Niño events over the China, Japan, and the US were also discussed by Weng et al. (2007; 2009). Importantly, Ashok et al. (2007) suggested that the occurrence of the CP El Niño had increased during recent decades compared to the EP El Niño. This phenomenon can probably be attributed to the anthropogenic global warming (Ashok and Yamagata, 2009; Yeh et al., 2009).

However, the contrasting impacts of EP and CP El Niño events on carbon cycle variability remain unclear. In this study, we attempt to reveal their different impacts given the different regional responses of the EP and CP El Niños. We compared the behavior of interannual atmospheric CO2 variability and analyzed their terrestrial mechanisms corresponding to these two types of El Niños, based on Mauna Loa long-term CGR and TRENDY multi-model simulations.

This paper is organized as follows: section 2 describes the datasets used, methods, and TRENDY models selected. Section 3 reports the results regarding the relationship between ENSO and CGR and EP and CP El Niño events, in addition to a composite analysis on carbon cycle behaviors, and terrestrial mechanisms. Section 4 contains a discussion of the results, and section 5 presents concluding remarks.

**2 Datasets and Methods**

**2.1 Datasets used**

Data for monthly atmospheric CO2 concentrations between 1960 and 2013 was collected from the National Oceanic and Atmospheric Administration (NOAA) Earth System Research Laboratory (ESRL). The annual CO2 growth rate (CGR) in Pg C yr−1 was derived month by month according to the approach described by Patra et al., (2005) and Sarmiento et al. (2010). The calculation is as follows:

(1)

where Pg C ppm−1; is the atmospheric partial pressure of CO2 in ppm; and t is the time in months. The detailed calculation of the conversion factor,, can be found in the appendix (Sarmiento et al., 2010).

Temperature and precipitation datasets for 1960 through 2013 were obtained from CRUNCEPv6 (Wei et al., 2014). CRUNCEP datasets are the merged product of ground observation-based CRU data and model-based NCEP-NCAR Reanalysis data with a spatial resolution and 6-hour temporal resolution. These datasets are consistent with the climatic forcing used to run dynamic global vegetation models in TRENDY v4 (Sitch et al., 2015). The sea surface temperature anomalies (SSTA) over the Niño3.4 region (5S–5N, 120–170W) were obtained from the NOAA’s Extended Reconstructed Sea Surface Temperature (ERSST) dataset, version 4 (Huang et al., 2015).

The inversion of FTA from the Jena CarboScope was used for comparison with the TRENDY multi-model simulations from 1981 to 2013. The Jena CarboScope Project provided the estimates of the surface-atmosphere carbon flux based on atmospheric measurements using an “atmospheric transport inversion”. The inversion run used here was s81\_v3.8 (Rodenbeck et al., 2003).

**2.2 TRENDY simulations**

We analyzed eight state-of-the-art dynamic global vegetation models from TRENDY v4 for the period 1960–2013: CLM4.5 (Oleson et al., 2013), ISAM (Jain et al., 2013), JSBACH (Reick et al., 2013), JULES (Clark et al., 2011), LPX-Bern (Keller et al., 2017), OCN (Zaehle and Friend, 2010), VEGAS (Zeng et al., 2005), and VISIT (Kato et al., 2013) (Table 1). Since LPX-Bern was excluded in the analysis of TRENDY v4, due to it not fulfilling the minimum performance requirement, the output over the same time period of a more recent, better performing, version (LPX-Bern v1.3) was used. These models were forced using a common set of climatic datasets (CRUNCEPv6), and followed the same experimental protocol. Models use different vegetation datasets or internally generated vegetation. The ‘S3’ run was used in this study, in which simulations forced by all the drivers including CO2, climate, land use, and land cover change (Sitch et al., 2015).

The simulated terrestrial variables (NBP, GPP, TER, soil moisture, and others) were interpolated into a consistent resolution using the first-order conservative remapping scheme (Jones, 1999) by Climate Data Operators (CDO):

(2)

where denotes the area-averaged destination quantity; is the area of cell ; and is the quantity in an old grid which has overlapping area with the destination grid. Then the median, 5%, and 95% percentiles of the multi-model simulations were calculated grid by grid to study the different effects of EP and CP El Niños on terrestrial carbon cycle interannual variability.

**2.3 El Niño criterion and classification methods**

El Niño events are determined by the Oceanic Niño Index (ONI) [i.e. the running 3-month mean SST anomaly over the Niño3.4 region] (Fig. 1a). This NOAA criterion is that El Niño events are defined as 5 consecutive overlapping 3-month periods at or above the +0.5 anomaly.

We classified El Niño events into EP or CP based on the consensus of three different identification methods directly adopted from a previous study (Yu et al., 2012). These identification methods included the El Niño Modoki Index (EMI) (Ashok et al., 2007), the EP/CP-index method (Kao and Yu, 2009), and the Niño method (Yeh et al., 2009).

**2.4 Anomaly calculation and composite analysis**

To calculate the anomalies, we first removed the long-term climatology for the period from 1960 to 2013 from all of the variables used here, both modelled and observed, in order to eliminate seasonal cycle. We then detrended them based on a linear regression, because (1) the trend in terrestrial carbon variables was mainly caused by long-term CO2 fertilization and climate change, and (2) the trend in CGR primarily resulted from the anthropogenic emissions. We used these detrended monthly anomalies to investigate the impacts of El Niño events on the interannual carbon cycle variability.

More specifically, in terms of the composite analysis, we calculated the averages of the carbon flux anomaly (CGR, FTA i.e.) during the selected EP and CP El Niño events, respectively. We use the Bootstrap Methods (Mudelsee, 2010) to estimate the 95% confidence intervals and the Student’s -test to estimate the significance levels in the composite analysis. An 80% significance level was selected, as per Weng et al. (2007), due to the limited number of EP El Niño events.

**3 Results**

**3.1 The relationship between ENSO and interannual atmospheric CO2 variability**

The interannual atmospheric CO2 variability closely coupled with ENSO (Fig. 1) with noticeable increases in CGR during ElNiño and decreases during La Niña, respectively (Bacastow, 1976; Keeling and Revelle, 1985). The correlation coefficient between the MLO CGR and the Niño3.4 Index from 1960 to 2013 was 0.43 (). A regression analysis further indicated that a per unit increase in the Niño3.4 Index can lead to a 0.60 Pg C yr−1 increase in the MLO CGR.

The variation in the global FTA anomaly simulated by TRENDY models resembled the MLO CGR variation, with a correlation coefficient of 0.54 (Fig. 1b). This was close to the correlation coefficient of 0.61 (Fig. 1b) between the MLO CGR and the Jena CarboScope s81 for the time period from 1981 to 2013. This indicates that the terrestrial carbon cycle can largely explain the interannual atmospheric CO2 variability, as suggested by previous studies (Bousquet et al., 2000; Zeng et al., 2005; Peylin et al., 2013; Wang et al., 2016). Moreover, the correlation coefficient of the TRENDY global FTA and the Niño3.4 Index reached 0.49 (), and a similar regression analysis of FTA with Niño3.4 showed a sensitivity of 0.64 Pg C yr−1 K−1. However, owing to the diffuse light fertilization effect induced by the eruption of Mount Pinatubo in 1991 (Mercado et al., 2009), the Jena CarboScope s81 indicated that the terrestrial ecosystems had an anomalous uptake during the 1991/92 El Niño event, making the MLO CGR an anomalous decrease. However, TRENDY models did not capture this phenomenon. This was not only due to a lack of a corresponding process representation in some models, but also because the TRENDY protocol did not include diffuse and direct light forcing.

**3.2 EP and CP El Niño events**

Schematic diagrams of the two types of El Niños (EP and CP) are shown in Fig. 2. During EP El Niño events (Fig. 2a), a positive sea surface temperature anomaly (SSTA) occurs in the eastern equatorial Pacific Ocean, showing a dipole SSTA pattern with the positive zonal SST gradient. This condition forms a single cell of Walker circulation over the tropical Pacific, with a dry downdraft in the western Pacific and wet updraft in the central-eastern Pacific. In contrast, an anomalous warming in the central Pacific, sandwiched by anomalous cooling in the east and west, is observed during CP El Niño events (Fig. 2b). This tripole SSTA pattern makes the positive/negative zonal SST gradient in the western/eastern tropical Pacific, resulting in an anomalous two-cell Walker circulation over the tropical Pacific. This alteration in atmospheric circulation produces a wet region in the central Pacific. Moreover, apart from these differences in the equatorial Pacific, the SSTA in other oceanic regions also differ remarkably (Weng et al., 2007; Weng et al., 2009).

Based on the NOAA criterion, a total of 17 El Niño events were detected from 1960 through 2013. The events were then categorized into an EP or a CP El Niño based on a consensus of three identification methods (EMI, EP/CP-index, and Niño methods) (Yu et al., 2012). Considering the effect of diffuse radiation fertilization induced by volcano eruptions (Mercado et al., 2009), we removed the 1963/64, 1982/83, and 1991/92 El Niño events, in which Mount Agung, El Chichón, and Pinatubo erupted, respectively. In addition, we closely examined those extended El Niño events that occurred in 1968/70, 1976/78, and 1986/88. Based on the typical responses of MLO CGR to El Niño events (anomalous increase lasting from the El Niño developing year to El Niño decaying year; Supplementary Fig. S1), we retained 1968/69, 1976/77, and 1987/88 El Niño periods. Finally, we got 4 EP El Niño and 7 CP El Niño events in this study (Table 2; Fig. 1b and Supplementary Fig. S2), with the composite SSTA evolutions as shown in Supplementary Fig. S3.

**3.3 Responses of atmospheric CGR to two types of El Niños**

Based on the selected EP and CP El Niño events, a composite analysis was conducted with the non-smoothed detrended monthly anomalies of the MLO CGR and the TRENDY global FTA to reveal the contrasting carbon cycle responses to these two types of El Niños (Fig. 3). In addition to the differences in the location of anomalous SST warming and the alteration of the atmospheric circulation in EP and CP El Niños shown in Fig. 2, the following findings were elucidated: (1) different El Niño precursors: the SSTA was significantly negative in EP El Niño during the boreal winter (JF) and spring (MAM) in yr0 (hereafter yr0 and yr1 refer to the El Niño developing and decaying year, respectively). Conversely, the SSTA was neutral in CP El Niño; (2) different tendencies of SST (): the tendency of SST in EP El Niño was stronger than that in CP El Niño; (3) different El Niño amplitudes: due to the different tendencies of SST, the amplitude of EP El Niño was basically stronger than that of CP El Niño, though they all reached maturity in November or December of yr0 (Figs. 3a and 3c).

Correspondingly, behaviors of the MLO CGR during these two types of El Niño events also displayed some differences (Figs. 3b and 3d). During EP El Niño events (Fig. 3b), the MLO CGR was negative in boreal spring (yr0) and increased quickly from boreal fall (yr0), whereas it was neutral in boreal spring (yr0) and slowly increases from boreal summer (yr0) during the CP El Niño episode (Fig. 3d). The amplitude of the MLO CGR anomaly during EP El Niño events was generally larger than that during CP El Niño events. Importantly, the duration of the MLO CGR peak during EP El Niño was from December (yr0) to April (yr1), while the MLO CGR anomaly peaked from October (yr0) to January (yr1) during CP El Niño. We here simply defined the peak duration as the period above the 75% of the maximum CGR (or FTA) anomaly, in which the variabilities of less than 3 months below the threshold were also included. The positive MLO CGR anomaly ended around September (yr1) in both cases (Figs. 3b and 3d). During the finalization of this paper, we noted the publication of Chylek et al. (2018) who also found CGR amplitude difference in response to the two types of events.

A comparison of the MLO CGR with the TRENDY global FTA anomalies (Figs. 3b and 3d) indicated that the TRENDY global FTA effectively captured the characteristics of CGR evolution during the CP El Niño. In contrast, the amplitude of the TRENDY global FTA anomaly was somewhat underestimated during the EP El Niño, causing a lower statistical significance (Fig. 3b). This underestimation of the global FTA anomaly can, for example, be clearly seen in a comparison between the TRENDY and the Jena CarboScope during the extreme 1997/98 EP El Niño (Fig. 1b). Also, other characteristics can be basically captured. Therefore, insight into the mechanisms of these CGR evolutions during EP and CP El Niños, based on the simulations by TRENDY models, is still possible.

**3.4 Regional contributions, characteristics, and their mechanisms**

We separated the TRENDY global FTA anomaly by major geographic regions into two parts: the extratropical northern hemisphere (NH, 23N–90N), and the tropics plus extratropical southern hemisphere (Trop+SH, 60S–23N) (Fig. 4). In a comparison of the contributions from these two parts, it was found that the FTA over Trop+SH played a more important role in the global FTA anomaly in both cases (Figs. 4b and 4d), and this finding was consistent with previous studies (Bousquet et al., 2000; Peylin et al., 2013; Zeng et al., 2005; Wang et al., 2016; Ahlstrom et al., 2015; Jung et al., 2017). The FTA over Trop+SH was negative in austral fall (MAM; yr0), increased from austral spring (SON; yr0), and peaked from December (yr0) to April (yr1) during the EP El Niño (Fig. 4b). Conversely, it was nearly neutral in austral fall (yr0), increased from austral winter (JJA; yr0), and peaked from November (yr0) to March (yr1) during the CP El Niño (Fig. 4d). These evolutionary characteristics in the FTA over the Trop+SH were generally consistent with the global FTA and the MLO CGR (Figs. 3b and 3d). In contrast, the contributions from the FTA anomaly over the NH were relatively weaker (or nearly neutral) (Figs. 4a and 4c).

According to the equation (where D is the carbon flux caused by the disturbances such as the wildfires, harvests, grazing, land cover change etc.), the variation in FTA can be explained by the variations in GPP, TER, and D. The D simulated by TRENDY was nearly neutral during both El Niño types (Fig. 4). Therefore, GPP and TER largely accounted for the variation in FTA.

More Specifically, in Trop+SH, GPP anomalies dominated the variations in FTA for both El Niño types, but their evolutions differed (Figs. 4b and 4d). The GPP showed an anomalous positive value during austral fall (yr0), and an anomalous negative value from austral fall (yr1) to winter (yr1), with the minimum around April (yr1) during the EP El Niño (Fig. 4b). Conversely, the GPP anomaly was always negative, with the minimum occurring around October or November (yr0) during the CP El Niño (Fig. 4d). The variation in the TER in both El Niños was relatively weaker than that of the GPP (Figs. 4b and d). The anomalous positive TER during austral spring (yr0) and summer (yr1) accounted for the increase in FTA, and it partly canceled the negative GPP in austral fall (yr1) and winter (yr1) during the EP El Niño (Fig. 4b). In contrast, the TER had a reduction in yr0 during the CP El Niño (Fig. 4d). Over the NH, though the FTA anomaly was relatively weaker, the behaviors of GPP and TER differed in EP and CP El Niños. GPP and TER consistently decreased in the growing season of yr0 and increased in the growing season of yr1 during the EP El Niño (Fig. 4a), whereas they only showed some increase during boreal summer (yr1) during the CP El Niño (Fig. 4c).

These evolutionary characteristics of GPP, TER, and the resultant FTA principally resulted from their responses to the climate variability. Figure 5 shows the standardized observed surface air temperature, precipitation, and TRENDY simulated soil moisture contents. Over the Trop+SH, taking into consideration the regulation of thermodynamics and hydrological cycle on surface energy balance, variations in temperature and precipitation (soil moisture) were always opposite during the two types of El Niños (Figs. 5b and d). Additionally, adjustments in soil moisture lagged precipitation by approximately 2–4 months, owing to the so-called ‘soil memory’ of water recharge (Qian et al., 2008). The variations in GPP in both the El Niño types were closely associated with variations in soil moisture, namely water availability largely dominated by precipitation (Figs. 4b and 4d and 5b and 5d), and this result was consistent with previous studies (Zeng et al., 2005; Zhang et al., 2016). Warm temperatures during El Niño episodes can enhance the ecosystem respiration, but dry conditions can reduce it. These cancellations from warm and dry conditions made the amplitude of TER variation smaller than that of GPP (Figs. 4b and 4d). Over the NH, variations in temperature and precipitation were basically in the same direction (Figs. 5a and 5c), as opposed to their behaviors over the Trop+SH. This was due to the different climatic dynamics of the two regions (Zeng et al., 2005). During the EP El Niño event, cool and dry conditions in the boreal summer (yr0) inhibited GPP and TER, whereas warm and wet conditions in the boreal spring and summer (yr1) enhanced them (Figs. 5a and 4a). In contrast, only the warm and wet conditions in boreal summer (yr1) enhanced GPP and TER during the CP El Niño event. (Figs. 5c and 4c). These different configurations of temperature and precipitation variations during EP and CP El Niños form the different evolutionary characteristics of GPP, TER, and the resultant FTA.

Detailed regional evolutionary characteristics can be seen from the Hovmöller diagrams in Fig. 6 and in Supplementary Figs. S4 and S5. Obvious large anomalies in FTA consistently occurred from 20N to 40S during EP and CP El Niños (Figs. 6c and 6f), consistent with the above analyses (Figs. 4b and 4d). Moreover, there was a clear anomalous carbon uptake between 30S and 20N during the period from January (yr0) to June (yr0) during the EP El Niño (Fig. 6c). This uptake corresponded to the negative precursor (Figs. 3b and 4b). This anomalous carbon uptake comparably came from the three continents (Supplementary Figs. S4 a–c). Biological process analyses indicated that GPP dominated between 5N and 20N, and between 30S and 15S (Supplementary Fig. S5a), which was related to the increased amount of precipitation (Fig. 6b). In contrast, TER dominated between 15S and 5N (Supplementary Fig. S5b), largely due to the colder temperatures (Fig. 6a). Conversely, the strongest anomalous carbon releases occurred between the equator and 20N during the period from February (yr1) to August (yr1) during the EP El Niño (Fig. 6c). The largest contribution to these anomalous carbon releases came from the South America (Supplementary Fig. S4c). Both GPP and TER showed the anomalous decreases (Supplementary Figs. S5a and S5b), and stronger decrease in GPP than in TER made the anomalous carbon releases here (Fig. 6c). Low precipitation (with a few months of delayed dry conditions; Fig. 6b) and warm temperatures (Fig. 6a) inhibited GPP, causing the positive FTA anomaly (Fig. 6c). In contrast, significant carbon releases were found between 10N and 20S from September (yr0) to September (yr1) during the CP El Niño (Fig. 6f). More specifically, these clear carbon releases largely originated from South America and tropical Asia (Supplementary Figs. S4 d–f). TER dominated between 15S and 10N during the period from January (yr1) to September (yr1), and other regions and periods were dominated by GPP (Supplementary Figs. S5c and S5d). Widespread dry and warm conditions (Figs. 6d and e) effectively explained these GPP and TER anomalies, as well as the resultant FTA behavior. For more detailed information on the other regions, refer to Supplementary Figs. S4 and S5.

**4 Discussion**

El Niño shows large diversity in individual events (Capotondi et al., 2015), thereby creating large uncertainties in composite analyses (Figs. 3–5). Four EP El Niño events during the past five decades were selected for this study to research their effects on interannual carbon cycle variability (Table 1). Due to the small number of samples and large inter-event spread (Supplementary Fig. S2), the statistical significance of the composite analyses will need to be further evaluated with upcoming EP El Niño events occurring in the future. However, cross-correlation analyses between the long-term CGR (or FTA) and the Niño Index have shown that the responses of CGR (or FTA) lag ENSO by a few months (Zeng et al., 2005; Wang et al., 2016; Wang et al., 2013). This phenomenon can be clearly detected in the EP El Niño composite (Fig. 3b). Therefore, the composite analyses in this study can still give us some insight into the interannual variability of the global carbon cycle.

Another caveat is that the TRENDY models seemed to underestimate the amplitude of the FTA anomaly during the extreme EP El Niño events (Fig. 1b). This underestimation of FTA may partially result from a bias in the estimation of carbon releases induced by wildfires. As expected, the carbon releases induced by wildfires in such 1997/98 strong El Niño event played an important role in global carbon variations (van der Werf et al., 2004; Chen et al., 2017) (Supplementary Fig. S6). However, some TRENDY models (ISAM, JULES, and OCN) do not include a fire module to explicitly simulate the carbon releases induced by wildfires (Table 1), and those TRENDY models that do contain a fire module generally underestimate the effects of wildfires. For instance, VISIT and JSBACH clearly underestimated the carbon flux anomaly induced by wildfires during the 1997/98 EP El Niño event (Supplementary Fig. S6).

The recent extreme 2015/16 El Niño event was not included in this study, because the TRENDY v4 datasets covered the time span from 1860 to 2014. As shown in Wang et al. (2018), the behavior of the MLO CGR in the 2015/16 El Niño resembled the composite result of the CP El Niño events (Fig. 3d). But the 2015/16 El Niño event had the extreme positive SSTA both over the central and eastern Pacific. Its equatorial eastern Pacific SSTA exceeded 2.0 K, comparable to the historical extreme El Niño events (e.g. 1982/83, 1997/98); the central Pacific SSTA marked the warmest event since the modern observation (Thomalla and Boyland, 2017). Therefore, the 2015/16 El Niño event evolved not only in a similar fashion to the EP El Niño dynamics that rely on the basin-wide thermocline variations, but also in a similar fashion to the CP El Niño dynamics that rely on the subtropical forcing (Paek et al., 2017; Palmeiro et al., 2017). The 2015/16 extreme El Niño event can be treated as the strongest mixed EP and CP El Niño that caused different climate anomalies compared with the extreme 1997/98 El Niño (Paek et al., 2017; Palmeiro et al., 2017), which had contrasting terrestrial and oceanic carbon cycle responses (Wang et al., 2018; Liu et al., 2017; Chatterjee et al., 2017).

As above mentioned, when finalizing our paper, we noted the publication of Chylek et al. (2018) who also focused on interannual atmospheric CO2 variability during EP and CP El Niño events. We here simply illustrated some differences and similarities. In the method of the identification of EP and CP El Niño events, Chylek et al. (2018) took the Niño1+2 index and Niño4 index to categorize El Niño events, while we adopted the results of Yu et al. (2012), based on the consensus of three different identification methods, and additionally excluded the events that coincided with volcanic eruptions. The different methods made some differences in the identification of EP and CP El Niño events. Chylek et al. (2018) suggested that the CO2 rise rate had different time delay to the tropical near surface air temperature, with the delay of about 8.5 and 4 months during EP and CP El Niños, respectively. Although we did not find out the exactly same time delay, we suggested that MLO CGR anomaly showed the peak duration from December (yr0) to April (yr1) in EP El Niños, and from October (yr0) to January (yr1) in CP El Niños. Additionally, we suggested the differences of MLO CGR anomaly in precursors and amplitudes during EP and CP El Niños. Furthermore, we revealed their terrestrial mechanisms based on the inversion results and the TRENDY multi-model historical simulations.

**5 Concluding Remarks**

In this study, we investigate the different impacts of EP and CP El Niño events on the interannual carbon cycle variability in terms of the composite analysis, based on the long-term MLO CGR and TRENDY multi-model simulations. We suggest that there are three clear differences in evolutions of the MLO CGR during EP and CP El Niños in terms of their precursor, amplitude, and duration of the peak. Specifically, the MLO CGR anomaly was negative in boreal spring (yr0) during EP El Niño events, while it was neutral during CP El Niño events. Additionally, the amplitude of the CGR anomaly was generally larger during EP El Niño events than during CP El Niño events. Also, the duration of the MLO CGR peak during EP El Niño events occurred from December (yr0) to April (yr1), while it peaked from October (yr0) to January (yr1) during CP El Niño events.

The TRENDY multi-model simulated global FTA anomalies were able to capture these characteristics. Further analysis indicated that the FTA anomalies over the Trop+SH made the largest contribution to the global FTA anomalies during these two types of El Niño events, in which GPP anomalies, rather than TER anomalies, generally dominated the evolutions of the FTA anomalies. Regionally, during EP El Niño events, clear anomalous carbon uptake occurred between 30S and 20N during the period from January (yr0) to June (yr0), corresponding to the negative precursor. This was primarily caused by more precipitation and colder temperatures. The strongest anomalous carbon releases happened between the equator and 20N during the period from February (yr1) to August (yr1), largely due to the reduced GPP induced by low precipitation and warm temperatures. In contrast, clear carbon releases existed between 10N and 20S from September (yr0) to September (yr1) during CP El Niño events, which were caused by widespread dry and warm climate conditions.

Some studies (Yeh et al., 2009; Ashok and Yamagata, 2009) have suggested that the CP El Niño has become or will be more frequent under global warming compared with the EP El Niño. Because of these different behaviors of the interannual carbon cycle variability during the two types of El Niños, this shift of El Niño types will alter the response patterns of interannual terrestrial carbon cycle variability. This possibility should encourage researchers to perform further studies in the future.

**Data availability.** The monthly atmospheric CO2 concentration is from NOAA/ESRL ([https://www.esrl.noaa.gov/gmd/ccgg/trends/index.html)](https://www.esrl.noaa.gov/gmd/ccgg/trends/index.html.)). The Niño3.4 Index is from ERSST4 (http://www.cpc.ncep.noaa.gov/data/indices/ersst4.nino.mth.81-10.ascii). Temperature and precipitation are from CRUNCEP v6 (ftp://nacp.ornl.gov/synthesis/2009/frescati/temp/land\_use\_change/original/readme.htm). TRENDY v4 data are available from S. Sitch ([s.a.sitch@exeter.ac.uk)](mailto:s.a.sitch@exeter.ac.uk)) upon your reasonable request.

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**Tables and Figures**

Table 1 TRENDY models used in this study.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Model | Resolution (latlon) | Fire Simulation | References |
| 1 | CLM4.5 | 0.94°1.25° | yes | Oleson et al., 2013 |
| 2 | ISAM | 0.5°0.5° | no | Jain et al., 2013 |
| 3 | JSBACH | 1.875°1.875° | yes | Reick et al., 2013 |
| 4 | JULES | 1.6°1.875° | no | Clark et al., 2011 |
| 5 | LPX-Bern | 1°1° | yes | Keller et al., 2017 |
| 6 | OCN | 0.5°0.5° | no | Zaehle et al., 2010 |
| 7 | VEGAS | 0.5°0.5° | yes | Zeng et al., 2005 |
| 8 | VISIT | 0.5°0.5° | yes | Kato et al., 2013 |

Table 2 Eastern Pacific (EP) and Central Pacific (CP) El Niño events used in this study, as identified by a majority consensus of three methods.

|  |  |
| --- | --- |
| **EP El Niño** | **CP El Niño** |
| 1972/73 | 1965/66 |
| 1976/77 | 1968/69 |
| 1997/98 | 1987/88 |
| 2006/07 | 1994/95 |
|  | 2002/03 |
|  | 2004/05 |
|  | 2009/10 |

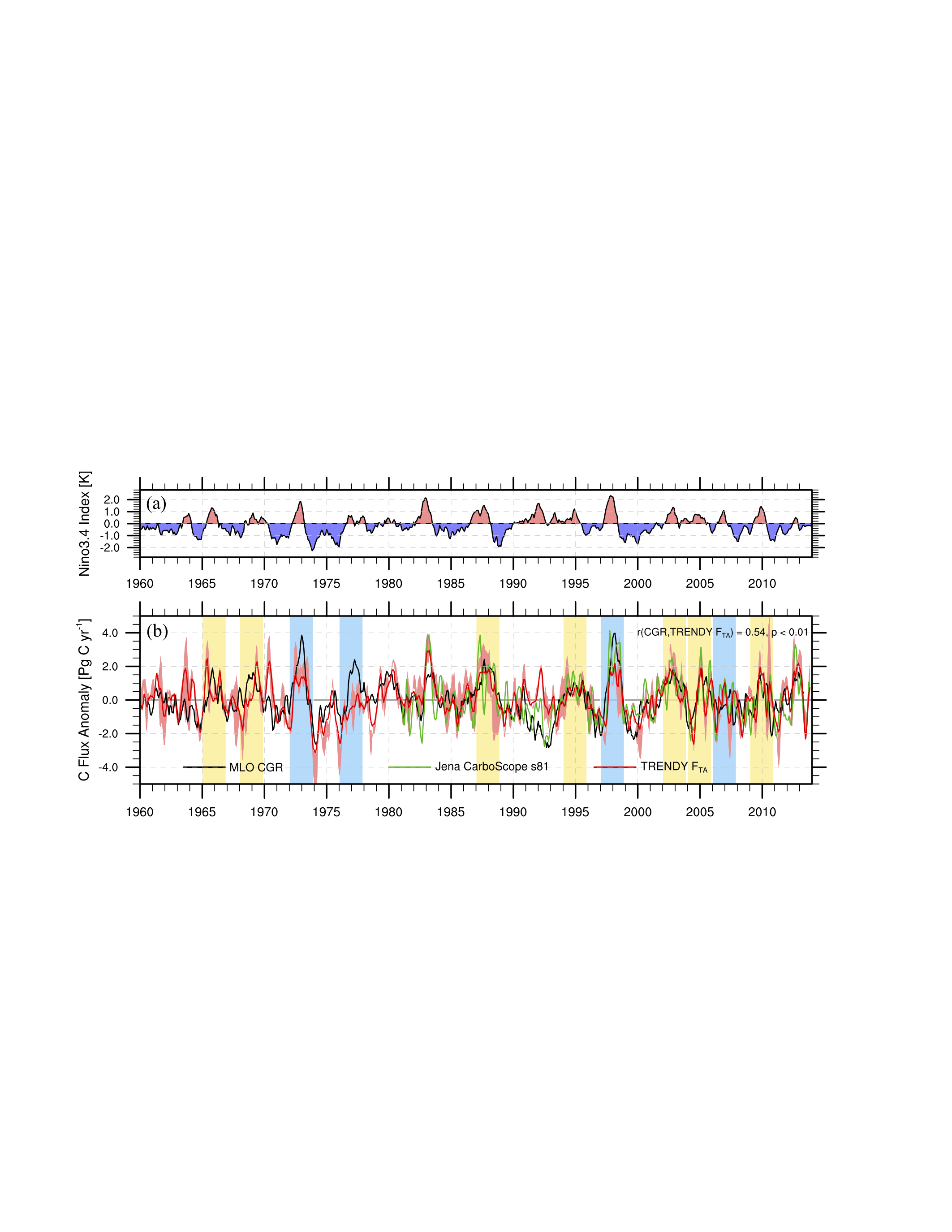


Figure 1. Interannual variability in the Niño3.4 Index and the carbon cycle. (a) Niño3.4. (b) Mauna Loa (MLO) CO2 growth rate (CGR, black line), as well as TRENDY multi-model median (red line) and Jena inversion (green line) of the global land–atmosphere carbon flux (FTA, positive value means into the atmosphere, units in Pg C yr−1), which were further smoothed by the 3-month running average. The light red shaded represents the area between the 5% and 95% percentiles of the TRENDY simulations. The bars represent the ElNiño events selected for this study, with the EP ElNiño in blue and the CP ElNiño in yellow.



Figure 2. Schematic diagram of the two types of El Niños. (a) sea surface temperature anomaly (SSTA) over the tropical Pacific associated with the anomalous Walker Circulation in an EP El Niño. (b) SSTA with two cells of the anomalous Walker Circulation in a CP El Niño. Red colors indicate warming, and blue colors indicate cooling. Vectors denote the wind directions.

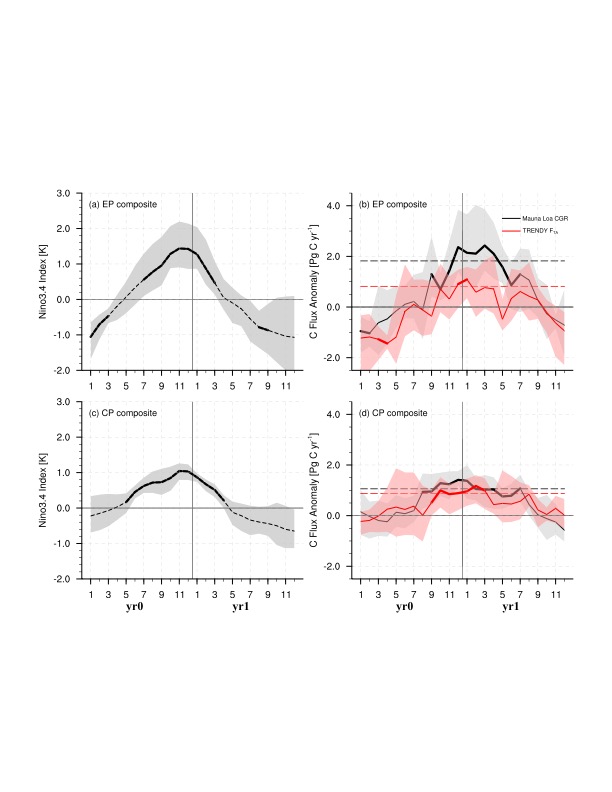


Figure 3. Composites of El Niño and the corresponding carbon flux anomaly (Pg C yr−1). (a) The Niño3.4 Index composite during EP El Niño events. (b) Corresponding MLO CGR and TRENDY v4 global FTA composite during EP El Niño events. (c) The Nino3.4 Index composite during CP El Niño events. (d) Corresponding MLO CGR and TRENDY v4 global FTA composite during CP El Niño events. The shaded area denotes the 95% confidence intervals of the variables in the composite, derived from 1000 bootstrap estimates. The bold lines indicate the significance above the 80% level estimated by the Student’s *t*-test. The black and red dash lines in b and d represent the thresholds of the peak duration (75% of the maximum CGR or FTA anomaly).

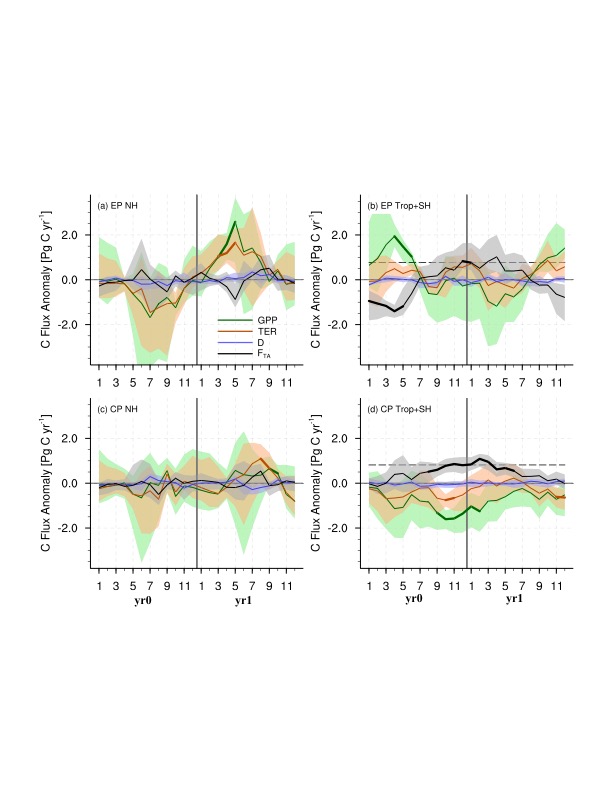


Figure 4. Composites of anomalies in the TRENDY FTA (black lines), gross primary productivity (GPP, green lines), terrestrial ecosystem respiration (TER, brown lines), and the carbon flux caused by disturbances (D, blue lines) during two types of El Niños over the extratropical northern hemisphere (NH, 23N–90N) and the tropics and extratropical southern hemisphere (Trop+SH, 60S–23S). The shaded area denotes the 95% confidence intervals of the variables in the composite, derived from 1000 bootstrap estimates. The bold lines indicate the significance above the 80% level estimated by the Student’s *t*-test. The black dash lines in b and d represent the thresholds of the peak duration.

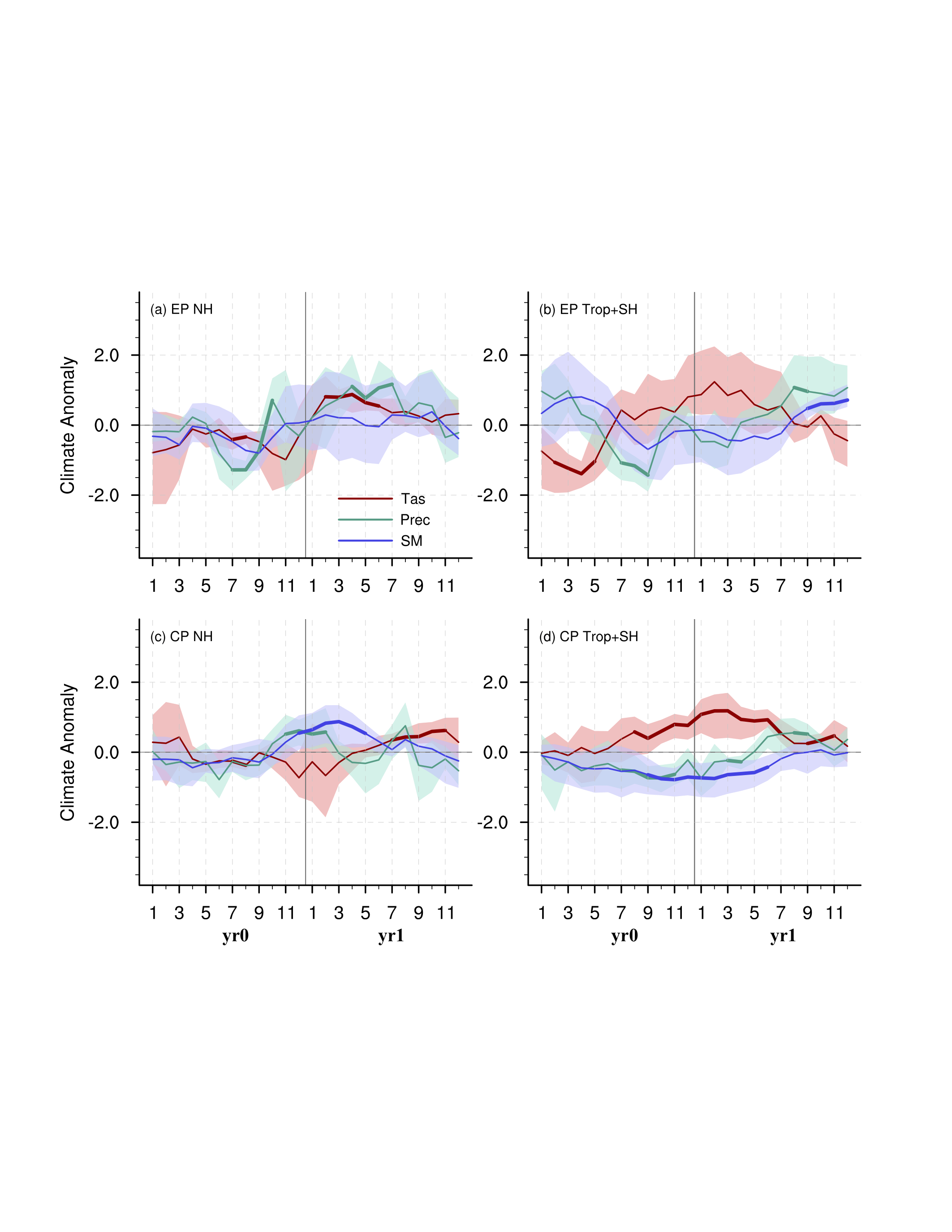


Figure 5. Composites of the standardized land surface air temperature (Tas, red lines), precipitation (green lines), and TRENDY simulated soil moisture content (SM, blue lines) anomalies in two types of El Niños over the NH, Trop+SH. Shaded area denotes the 95% confidence intervals of the variables in the composite, derived in 1000 bootstrap estimates. The bold lines indicate the significance above the 80% level estimated by Student’s *t*-test.

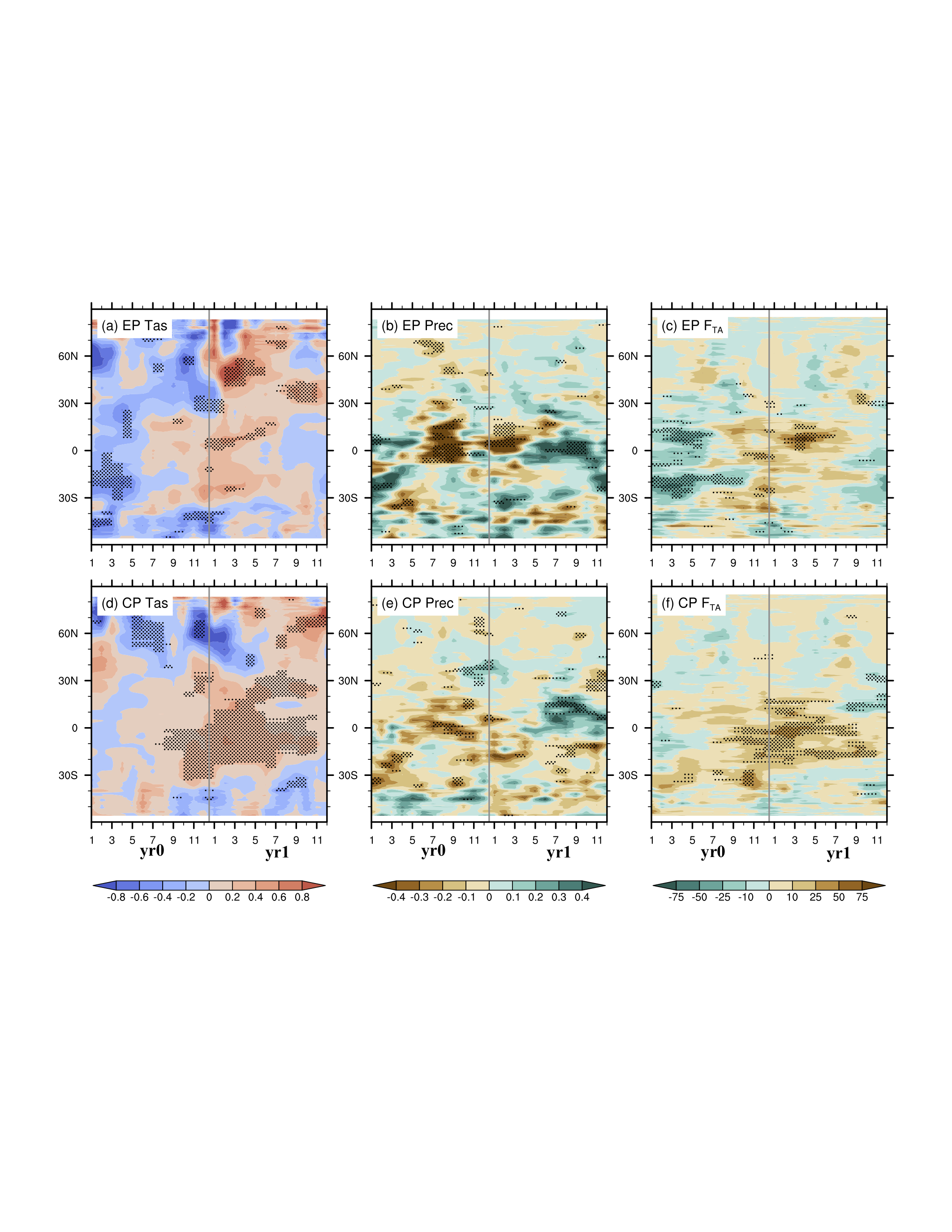


Figure 6. Hovmöller diagrams of the anomalies in climate variables and the FTA (averaged from 180W to 180E) during EP and CP El Niño events. (a and d) surface air temperature anomalies over land (units: K); (b and e) precipitation anomalies over land (units: mm d−1); (c and f) TRENDY simulated FTA anomalies (units: g C m−2 yr−1) during EP and CP El Niño events. The dotted areas indicate the significance above the 80% level as estimated using the Student’s *t*-test.