The role of H₂SO₄-NH₃ anion clusters in ion-induced aerosol nucleation 1

2 mechanisms in the boreal forest

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21 Abstract

22 New particle formation (NPF) provides a large source of atmospheric aerosols, which affect

- 23 the climate and human health. Ion-induce nucleation (IIN) has been discovered as an important
- 24 pathway of forming particles within recent chamber studies, however, atmospheric
- 25 investigation remains incomplete. For this study, we investigated the air anion compositions in
- 26 the boreal forest in Southern Finland for 3 consecutive springs, with a special focus on H₂SO₄-
- 27 NH₃ anion clusters. We found that the ratio between the concentrations of highly oxygenated
- organic molecules (HOMs) and H₂SO₄ controlled the appearance of H₂SO₄-NH₃ clusters (3< 28
- 29 #S < 13): All such clusters were observed when [HOM]/[H₂SO₄] was smaller than 30. The

30 number of H₂SO₄ molecules in the largest observable cluster correlated with the probability of

- 31 ion-induced nucleation (IIN) occurrence, which reached almost 100 % when the largest
- 32 observable cluster contained 6 or more H₂SO₄ molecules. During selected cases when the time
- 33 evolution of H₂SO₄-NH₃ clusters could be tracked, the calculated ion growth rates exhibited a
- 34 good agreement across measurement methods and cluster (particle) sizes. In these cases,
- 35 H₂SO₄-NH₃ clusters alone could explain ion growth up to 3 nm (mobility diameter). IIN events
- 36 also occurred in the absence of H_2SO_4 -NH₃, implying that also other NPF mechanisms prevail
- 37 at this site, most likely involving HOMs. It seems that H₂SO₄ and HOMs both affect the
- 38 occurrence of an IIN event, but their ratio ([HOMs]/[H₂SO₄]) defines the primary mechanism

of the event. Since that ratio is strongly influenced by solar radiation and temperature, IIN
mechanism ought to vary depending on conditions and seasons.

41

42 **1** Introduction

Atmospheric aerosol particles are known to influence human health and the climate (Heal et
al., 2012; Stocker et al., 2013). New particle formation (NPF) from gas-phase precursors
contributes to a major fraction of the global cloud condensation nuclei population (Merikanto
et al., 2009; Kerminen et al., 2012; Dunne et al., 2016; Gordon et al., 2017), and provides an
important source of particulate air pollutants in many urban environments (Guo et al., 2014).

48 Although NPF is an abundant phenomenon and has been observed in different places around 49 the globe within the boundary layer (Kulmala et al., 2004), the detailed mechanisms at each 50 location may differ and are still largely unknown. Experiments done in the CLOUD chamber 51 (Cosmic Leaving Outside Droplets) at CERN explored different NPF mechanisms on 52 molecular level, including sulfuric acid (H₂SO₄) and ammonia (NH₃) nucleation (Kirkby et al., 53 2011), H₂SO₄ and dimethylamine (DMA) nucleation (Almeida et al., 2013), and pure biogenic 54 nucleation (Kirkby et al., 2016) from highly oxygenated organic molecules (HOMs) (Ehn et 55 al., 2014). While chamber experiments can mimic some properties of ambient observations 56 (Schobesberger et al., 2013), it is still ambiguous to what extent these chamber findings can be 57 applied to understand NPF in the more complex atmosphere, mostly due to the challenges in 58 atmospheric measurements and characterization of the nucleating species.

59 In the aforementioned chamber studies, ions have been shown to play a crucial role in 60 enhancing new particle formation, which is known as ion-induced nucleation (IIN). The 61 importance of IIN varies significantly depending on the temperature as we as the concentration 62 and composition of the ion species. For instance, big H₂SO₄ ion clusters were not found in the 63 sulfur-rich airmass from Atlanta, suggesting the minor role of IIN (Eisele et al., 2006). Similar conclusions were drawn based on the observations in Boulder (Iida et al., 2006) and Hyytiälä 64 65 (e.g., Manninen et al., 2010), although the suggested importance of IIN in cold environment, such as upper troposphere, cannot be excluded (Lovejoy et al., 2004 Kurten et al., 2016). 66 67 Recent the CLOUD experiments have revealed that the importance of IIN can be negligible in 68 the H₂SO₄-DMA system (Almeida et al., 2013), moderate in the H₂SO₄-NH₃ system (Kirkby 69 et al., 2011) and dominating in the pure HOMs system (Kirkby et al., 2016). However, it is 70 also important to note that the ion-pair concentration in Hyytiälä is lower than in the CLOUD 71 chamber, which partly explains the its smaller contribution of IIN (Wagner et al., 2017).

72 The recently developed atmospheric-pressure-interface time-of-flight mass spectrometer (APi-73 TOF) (Junninen et al., 2010) has been used for measuring ion composition at the SMEAR II 74 station in Hyytiälä since 2009. Ehn et al., (2010) have first shown that the negative ion 75 population varied significantly, with H₂SO₄ clusters dominating during the day and HOM-NO₃⁻ 76 clusters during the night. This variation was further studied by Bianchi et al., (2017), who 77 grouped HOM-containing ions by separating the HOMs into non-nitrate- and nitrate-78 containing species as well as into ion adducts with HSO₄⁻ or NO₃⁻. In the night time, HOMs 79 may form negatively charged clusters containing up to 40 carbons (Bianchi et al., 2017; Frege 80 et al., 2018). In the daytime, H₂SO₄ and H₂SO₄-NH₃ clusters appear to be the most prominent 81 negative ions (Schobesberger et al., 2015; Schobesberger et al., 2013). However, they have not 82 yet been thoroughly studied regarding their appearance and their plausible links to atmospheric 83 IIN.

84 Along with the changes in temperature and in ion concentration and composition, the 85 importance of IIN is expected to vary considerably. In this study, we revisit the ion 86 measurement in Hyytiälä, aiming to connect our current understanding of the formation of ion 87 clusters to the significance of IIN, with a special focus on the fate of H₂SO₄-NH₃ clusters. We 88 also extend our analysis to ions other than H₂SO₄ clusters, i.e., HOMs, and identify their role 89 in IIN, in addition to other measured parameters on site. Finally, this study confirms the 90 consistency between chamber findings and atmospheric observations, even though it seems 91 that at least two separate mechanisms are alternatively controlling the IIN in Hyytiälä.

92

93 2 Materials and Methods

94 For this study, we used data collected at the Station for Measuring Forest Ecosystem-95 Atmospheric Relations (SMEAR II station), in Hyytiälä, Southern Finland (Hari and Kulmala, 96 2005). In this study, our data sets were obtained from intensive campaigns in 3 consecutive springs, 2011 – 2013. The exact time periods of the APi-TOF measurements are 22nd of March 97 until 24th of May 2011, 31st March until 28th of April 2012, and 7th April until 8th of June 2013. 98 99 For 134 days we were able to extend our analysis to include: i) ion composition and chemical 100 characterization using the APi-TOF (Junninen et al., 2010), ii) particle and ion number size 101 distribution using NAIS (e.g., Mirme and Mirme 2013), iii) concentrations of H₂SO₄ and 102 HOMs measured by the chemical ionization atmospheric-pressure-interface time-of-flight 103 mass spectrometer (CI-APi-TOF see, e.g., (Jokinen et al., 2012; Ehn et al., 2014; Yan et al.,

104 2016), and iv) other relevant parameters, e.g., NH₃ (Makkonen et al., 2014), temperature and

105 cloudiness (Dada et al., 2017).

106 2.1 Measurement of atmospheric ions

107 The composition of atmospheric anions was measured using the atmospheric-pressure-108 interface time-of-flight mass spectrometer (APi-TOF) (Junninen et al., 2010). The instrument 109 was situated inside a container in the forest, direct sampling the air outside. To minimize the sampling losses, we firstly drew the air at a larger flow rate within a wide tube (40 mm inner 110 111 diameter), and another 30-cm-long coaxial tube (10 mm outer diameter and 8 mm inner 112 diameter) inside the wider one was used to draw 5 L/min towards the APi-TOF, 0.8 L/min out 113 of which will enter through the pinhole. After entering the pinhole, the ions are focused and 114 guided through two quadrupoles and one ion lens, and finally and detected by the time-of-flight 115 mass spectrometer.

116 Different from the commonly used chemical-ionization mass spectrometer (CIMS), the APi-117 TOF does not do any ionization, so it only measures the naturally charged ions in the sample. 118 In the atmosphere, the ion composition is affected by the proton affinity of the species: 119 Molecules with the lowest proton affinity are more likely to lose the proton and thus become 120 negatively charged after colliding many times with other species; similarly, molecules with the 121 highest proton affinity would probably become positively charged ions. In addition to the 122 proton affinity, the neutral concentration also plays a role in determining the ion composition 123 by affecting the collision frequency. Due to the limited ionization rate in the atmosphere, there 124 is always a competition between different species in taking the charges. For example, the 125 H₂SO₄ often dominates the spectrum in the daytime when it is abundant, while in the nighttime nitrate ions and its cluster with HOMs are always the prominent due to the rare chance to 126 127 collide with the H₂SO₄. Since the signal strength of an ion in the APi-TOF depends not only 128 on the abundance of the respective neutral molecules, but also on the availability of other 129 charge-competing species, it is very important to note that the APi-TOF can not quantify the 130 neutral species.

One important virtue of APi-TOF is that it does not introduce extra energy during sampling, which ensures the sample is least affected when compared to other measurement techniques such as CIMS. although fragmentation cannot be fully avoided inside the instrument (Schobesberger et al., 2013). Because of this, it is a well-suited instrument to directly measure the composition of weakly bonded clusters in the atmosphere.

- 136 The APi-TOF data were processed with the tofTools package (version 6.08) (Junninen et al.,
- 137 2010). Since the ion signal in APi-TOF is usually weak, a 5-hour integration time was used,
- 138 after which the signals of H₂SO₄-NH₃ clusters and HOMs were fitted (See Fig.1). For HOM
- 139 signals, we used the same peaks reported in Bianchi et al., (2017), and the total signal of HOM
- 140 ions is the sum of all identified HOMs.
- 141 It should also be mentioned that the voltage tuning of the instrument was not the same in the
- 142 years we analyzed, which led to differences in the ion transmission efficiency function. For
- 143 example, we noticed that in 2011, the largest H_2SO_4 -NH₃ clusters contained 6 H_2SO_4 molecules,
- 144 whereas more than $10 H_2SO_4$ were observed in the clusters in other years. This was very likely

145 due to the very low ion transmission in the mass range larger than about 700 Th for the

146 measurements in 2011. However, this should not affect our results and conclusions, because

- 147 clusters consisting of 6 H₂SO₄ molecules had little difference from larger clusters in affecting
- the IIN in terms of occurrence probability (see more details in Sect. 3.3.1).
- 149 2.2 Measurement of H_2SO_4 and HOMs
- 150 The concentrations of H_2SO_4 and HOMs were measured by the chemical ionization 151 atmospheric-pressure-interface time-of-flight mass spectrometer (CI-APi-TOF). The details of
- 152 the quantification method for H_2SO_4 can be found in Jokinen et al., (2012) and for HOMs in
- 153 Kirkby et al., 2016. For all data, we applied the same calibration coefficient $(1.89 \times 10^{10} \text{ l/cm}^3)$
- 154 reported by Jokinen et al., (2012).
- Although the tuning of the CI-APi-TOF was not exactly the same during the measurement period included in this study, no systematic difference was found in the concentrations of H₂SO₄ and HOMs from different years.
- 158 2.3 Measurements of ion and particle size distribution

159 The mobility distribution of charged particles and air ions in the range 3.2-0.0013 cm²V⁻¹s⁻¹ (corresponding to mobility diameter 0.8 - 42 nm) were measured together with the size 160 161 distribution of total particles in the range ~ 2.5 - 42 nm using a neutral cluster and air ion 162 spectrometer (NAIS, Airel Ltd., (Mirme and Mirme, 2013)). The instrument has two identical 163 differential mobility analyzers (DMA) which allow for the simultaneous monitoring of positive 164 and negative ions. In order to minimize the diffusion losses in the sampling lines, each analyzer has a sample flow rate of 30 L min⁻¹ and a sheath flow rate of 60 L min⁻¹. In "particle mode", 165 166 when measuring total particle concentration, neutral particles are charged by ions produced 167 from a corona discharge in a "pre-charging" unit before they are detected in the DMAs. The 168 charging ions used in this process were previously reported to influence the total particle

169 concentrations below ~2 nm (Asmi et al., 2008; Manninen et al., 2010); for that reason, only the particle concentrations above 2.5 nm were used in the present work. Also, each 170 171 measurement cycle, i.e. 2 min in ion mode and 2 min in particle mode, is followed by an offset 172 measurement, during which the background signal of the instrument is determined and then 173 subtracted from measured ion and particle concentrations. In addition, particle size distributions between 3 and 990 nm were measured with a differential mobility particle sizer 174 175 (DMPS) described in details in Aalto et al., (2001). Based on earlier work by Kulmala et al., 176 (2001), this data were used to calculate the condensation sink (CS), which represents the rate of loss of condensing vapors on pre-existing particles. 177

178 2.4 Measurement of Meteorological parameter

179 The meteorological variables used as supporting data in the present work were measured on a mast, all with a time resolution of 1 min. Temperature and relative humidity were measured at 180 181 16.8 m using a PT-100 sensor and relative humidity sensors (Rotronic Hygromet MP102H with 182 Hygroclip HC2-S3, Rotronic AG, Bassersdorf, Switzerland), respectively. Global radiation was measured at 18 m with a pyranometer (Middleton Solar SK08, Middleton Solar, Yarraville, 183 184 Australia), and further used to calculate the cloudiness parameter, as done previously by Dada 185 et al., (2017, and references therein). This parameter is defined as the ratio of measured global 186 radiation to theoretical global irradiance, so that parameter values < 0.3 correspond to a 187 complete cloud coverage, while values > 0.7 are representative of clear sky conditions.

- 188
- 189 2.5 Calculation of particle formation rates and growth rates
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191 The formation rate of 2.5 nm particles includes both neutral and charged particles, and it was

192 calculated from the following equation:

193
$$J_{2.5} = \frac{dN_{2.5-3.5}}{dt} + CoagS_{2.5} \times N_{2.5-3.5} + \frac{1}{1nm}GR_{1.5-3} \times N_{2.5-3.5}$$
 Eq. 1

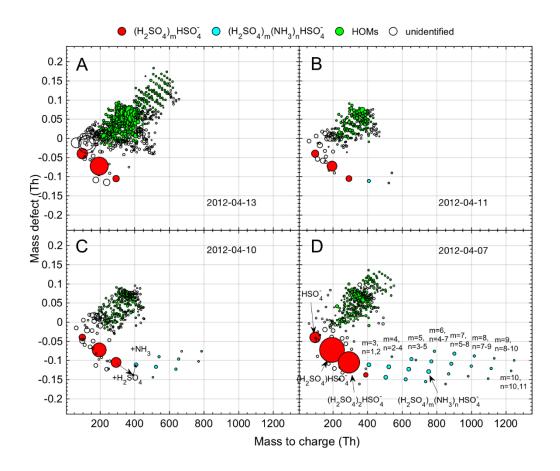
where $N_{2.5-3.5}$ is the particle concentration between 2.5 and 3.5 nm measured with the NAIS in particle mode, $CoagS_{2.5}$ is the coagulation sink of 2.5 nm particles derive $N_{2.5-3.5}^{\pm}$ d from DMPS measurements and $GR_{1.5-3}$ is the particle growth rate calculated from NAIS measurements in ion mode. Calculating the formation rate of 2.5 nm ions, or charged particles includes two additional terms to account for the loss of 2.5 – 3.5 nm ions due to their recombination with sub-3.5 nm ions of the opposite polarity (fourth term of Eq. 2) and the gain of ions caused by the attachment of sub-2.5 nm ions on 2.5-3.5 nm neutral clusters (fifth term of Eq. 2):

 $J_{2.5}^{\pm} = \frac{dN_{2.5-3.5}^{\pm}}{dt} + CoagS_{2.5} \times N_{2.5-3.5}^{\pm} + \frac{1}{1nm}GR_{1.5-3} \times N_{2.5-3.5}^{\pm} + \alpha \times N_{2.5-3.5}^{\pm}N_{<3.5}^{\mp} - \beta \times N_{2.5-3.5}N_{<2.5}^{\pm}$ Eq.2 201 where $N_{2.5-3.5}^{\pm}$ is the concentration of positive or negative ions between 2.5 and 3.5 nm, $N_{<2.5}^{\pm}$ is 202 the concentration of sub-2.5 nm ions of the same polarity and $N_{<3.5}^{\dagger}$ is the concentration of sub-203 3.5 nm ions of the opposite polarity, all measured with the NAIS in ion mode. α and β are 204 205 the ion-ion recombination and the ion-neutral attachment coefficients, respectively, and were assumed to be equal to 1.6×10^{-6} cm³ s⁻¹ and 0.01×10^{-6} cm³ s⁻¹, respectively. We consider 206 these values as reasonable approximations, keeping in mind that the exact values of both α and 207 208 β depend on a number of variables, including the ambient temperature, pressure and relative 209 humidity as well as the sizes of the colliding objects (ion-ion or ion-aerosol particle) (e.g. 210 Hoppel, 1985; Tammet and Kulmala, 2005; Franchin et al., 2015).

 $GR_{1.5-3}$ were calculated from NAIS data in ion mode using the "maximum" method introduced by (Hirsikko et al., 2005). Briefly, the peaking time of the ion concentration in each size bin of the selected diameter range was first determined by fitting a Gaussian to the concentration. The growth rate was then determined by a linear least square fit through the times. The uncertainty in the peak time determination was reported as the Gaussian's mean 67% confidence interval, and was further taken into account in the growth rate determination.

- 217 A similar approach was used to estimate the early growth rate of the H₂SO₄-NH₃ clusters 218 detected with the APi-TOF. Prior to growth rate calculation, we first converted cluster masses 219 into diameters in order to get growth rate values in nm h⁻¹ instead of amu h⁻¹. For that purpose, 220 we applied the conversion from Ehn et al., (2011), using a cluster density of 1840 kg m⁻³. The 221 time series of the cluster signals were then analysed in the same way as ion or particle 222 concentrations using the "maximum" method from Hirsikko et al. (2005), and the growth rate 223 was calculated using the procedure recalled above. Our ability to determine the early cluster 224 growth rate from APi-TOF measurement was strongly dependent on the strength of the signal 225 of the different H₂SO₄-NH₃ clusters. As a consequence, the reported growth rates characterize 226 a size range which might slightly vary between the events, falling in a range between 1 and 1.7 227 nm.
- 228
- 229 **3** Results and Discussion
- 230 3.1 Daytime ion composition

We examined the daytime ion composition of 134 days from three consecutive springs (2011-2013) in Hyytiälä. Consistent with the findings by previous studies, showing that H_2SO_4 233 clusters are the most abundant ions in the daytime (Ehn et al., 2010; Bianchi et al., 2017), we 234 found that NH₃-free H₂SO₄ clusters can contain up to three H₂SO₄ molecules when counting 235 the HSO₄⁻ also as one H₂SO₄ molecule ((H₂SO₄)₂HSO₄⁻), and that NH₃ is always present in 236 clusters containing 4 or more H₂SO₄ molecules. The latter feature suggests the important role 237 of NH₃ as a stabilizer in growing H₂SO₄ clusters (Kirkby et al. 2011). NH₃-free clusters (at 238 least dimers H₂SO₄HSO₄⁻) were observed on 116 measurement days, but the signal intensity 239 varied from day to day. Bigger clusters that contained NH₃ were observed on 39 days, 240 containing a maximum of 4 to 13 H₂SO₄ per cluster. Figure 1 provides four examples of 241 daytime ion spectra, including an NH₃-free case (Fig. 1A) and three cases with a different maximum size of H₂SO₄-NH₃ clusters (Fig. 1B-D), illustrating the significant variations in 242 243 signal and maximum size of H₂SO₄-NH₃ clusters. In the NH₃-free case, a larger number of HOM clusters (green circles) was observed, indicating a competition between H₂SO₄ and 244 HOMs in taking the charges. The largest detected cluster during the measurement was 245 246 $(H_2SO_4)_{12}(NH_3)_{13}HSO_4^-$, which corresponds to a mobility-equivalent diameter of about 1.7 nm 247 according to the conversion method (Ehn et al., 2011) and is big enough to be detected by 248 particle counters. Since the observed formation of such large H₂SO₄-NH₃ clusters is essentially 249 the initial step of IIN, we anticipate that the variation of H₂SO₄-NH₃ clusters will influence the 250 occurrence of IIN.



251

252 Figure 1 Mass defect plot showing the composition of ion clusters on four separate days. A) NH₃-free

clusters, B,C,D) H_2SO_4 - NH_3 clusters with different maximum number of H_2SO_4 molecules. The circle size is linearly proportional to the logarithm of the signal intensity.

255 3.2 The determining parameters for H₂SO₄-NH₃ cluster formation

256 To find out the dominating parameters that affect the formation of H₂SO₄-NH₃ clusters, we 257 performed a correlation analysis that included the ambient temperature, relative humidity (RH), 258 wind speed, wind direction, condensation sink (CS), as well as the gas-phase concentrations of 259 NH₃, H₂SO₄, and HOMs. Among all the examined parameters, we found that the ratio between 260 concentrations of HOMs and H_2SO_4 had the most pronounced influence on the appearance of 261 H₂SO₄-NH₃ clusters. As shown in Figure 2, all H₂SO₄-NH₃ clusters were detected when 262 [HOMs]/[H₂SO₄] was smaller than 30. No such dependence was observed for only [HOMs] or 263 [H₂SO₄]. This implies that the appearance of H₂SO₄-NH₃ clusters is primarily controlled by 264 the competition between H₂SO₄ and HOMs in getting the charges. More specifically, HSO₄, 265 the main charge carrier in the daytime, may either collide with neutral H₂SO₄ to form large clusters to accommodate NH3, or collide with HOMs that prevents the former process. In 266 267 addition, a reasonable correlation was found between [HOMs]/[H₂SO₄] and temperature, likely

explained by emission of volatile organic compounds (VOC) increasing with temperature, leading to higher HOMs concentrations, whereas the formation of H_2SO_4 is not strongly temperature-dependent. This observation indicates that the formation of H_2SO_4 -NH₃ clusters may vary seasonally: we expect to see them more often in cold seasons when HOM concentrations are low, and less often in warm seasons.

Parameters other than $[HOMs]/[H_2SO_4]$ and temperature seemed to have little influence on the formation of H_2SO_4 -NH₃ clusters. Interestingly, we found that NH₃ was even lower when H₂SO₄-NH₃ clusters were observed, indicating that the NH₃ concentration is not the limiting factor for forming H₂SO₄-NH₃ clusters (also see section 3.4). In addition, H₂SO₄-NH₃ clusters were observed in a wide range of RH spanning from 20 to 90 %, suggesting that RH is not affecting the cluster formation. Besides, no clear influence from condensation sink (CS), wind speed, or wind direction was observed.

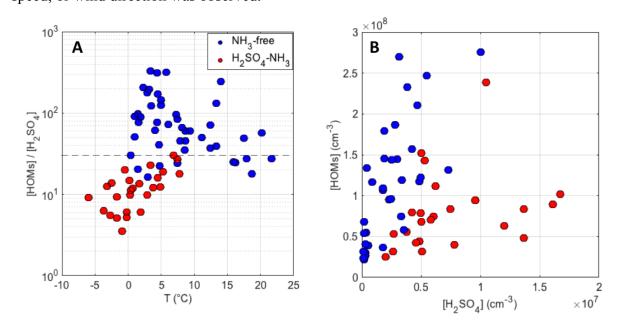


Figure 2 The effect of concentration of HOMs, H₂SO₄, their ratio ([HOM]/[H₂SO₄]), and temperature
on the appearance of H₂SO₄-NH₃ clusters.

283 3.3 The relation between H₂SO₄-NH₃ clusters and IIN

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284 3.3.1 The effect of cluster size on the probability of IIN events

We identified IIN events using data from the NAIS (ion mode) by observing an increase in the concentration of sub-2 nm ions (Rose et al., 2018), and classified 67 IIN events out of the 134 days of measurements. We defined the IIN probability as the number of days when IIN events were identified out of the total number of days that were counted. For example, the overall IIN probably is 50 % (67 out of 134 days). We found that the maximum observed size of H₂SO₄-

290 NH₃ clusters may affect the occurrence of IIN. Our conclusion is complementary to previous 291 theories which stated that the critical step of particle nucleation is the formation of initial 292 clusters that are big enough for condensational growth to outcompete evaporation (Kulmala et 293 al., 2013). To further understand the size-dependency of IIN probability, we investigated the 294 IIN probability when different maximum sizes of H₂SO₄-NH₃ clusters were observed. As 295 illustrated in Figure 3, the IIN probability increases dramatically when larger H₂SO₄-NH₃ 296 clusters were observed: IIN events were never observed when only HSO₄⁻ or H₂SO₄HSO₄⁻ were 297 present, whereas the IIN probability increased to about 50 - 60 % when the largest clusters 298 contained 3 - 5 H₂SO₄ molecules. IIN occurred in 24 out of 25 days (96 %) when the largest 299 clusters consisted of no less than 6 H₂SO₄ molecules. Thus, it is evident that the occurrence of 300 IIN is related to the size and thus the stability of H_2SO_4 -NH₃ clusters, and that a cluster 301 consisting of 6 H₂SO₄ molecules seems to lie on the threshold size of triggering nucleation.

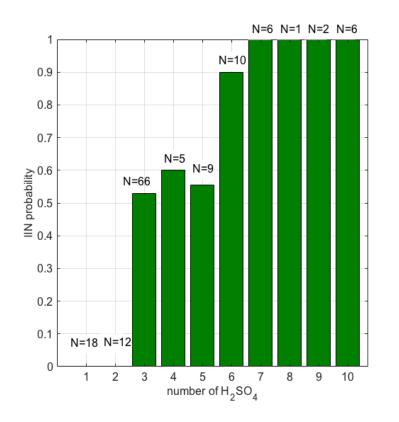
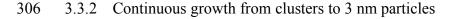




Figure 3 The maximum number of H₂SO₄ molecules observed in clusters and the respective IIN
probability. The days when it was unclear if IIN occurred was counted as non-event days. N denotes
the number of days when such clusters were the largest observed.



307 Although the strong connection between the size of H_2SO_4 -NH₃ clusters and the occurrence of 308 IIN was confirmed, it is challenging to directly observe the growth of these clusters in the 309 atmosphere, limited by the inhomogeneity of the ambient air and low concentrations of 310 atmospheric ions. Combining APi-TOF and NAIS measurements, we were able to follow the very first steps of the cluster growth for 8 of the detected events. In Figures 4A and 4B, we 311 present two examples in which the continuous growth of H₂SO₄-NH₃ clusters to 3 nm (mobility 312 313 diameter) particles was directly evaluated using the maximum-time method. The maximum 314 times, determined from APi-TOF and NAIS data independently, fall nicely on the same linear 315 fit. The continuity of the growth and the linearity of the fit suggests that the current mechanism 316 (H₂SO₄-NH₃, acid-base) explains the formation and growth of sub-3 nm ion clusters in these 317 cases. In most cases, the calculation of cluster GR from APi-TOF measurement suffered from 318 high uncertainties, but a weak positive correlation can be observed between the cluster growth rate and H₂SO₄ concentration (Fig. 4C). This correlation is likely due to the collision of H₂SO₄ 319 320 with existing H₂SO₄-NH₃ clusters being the limiting step for cluster growth when NH₃ is 321 abundant enough to follow up immediately (Schobesberger et al., 2015).

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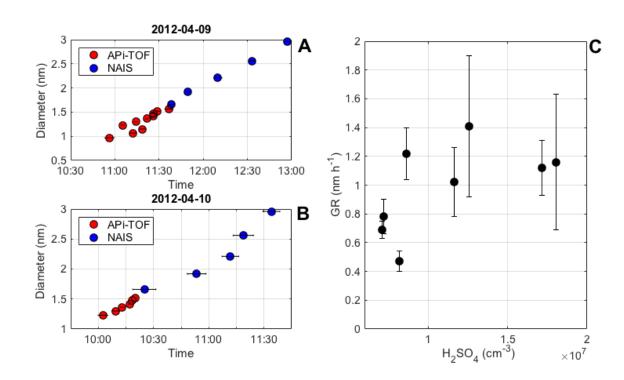


Figure 4 Cluster growth rate determined from APi-TOF (A) and NAIS (B) measurements using the maximum time method, and the correlation between growth rates and concentrations of H_2SO_4 molecules (C).

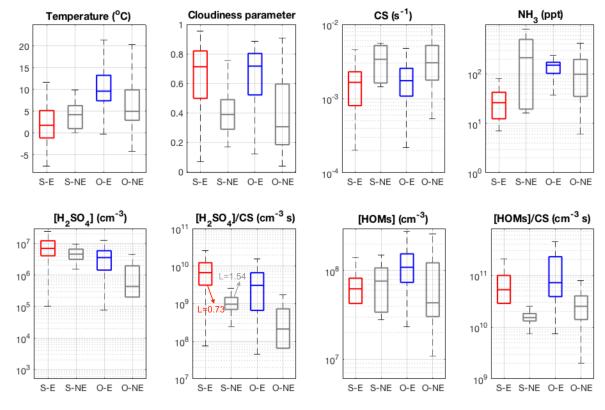
327 3.4 Evidence for other IIN mechanisms

- 328 For the 134 days of measurements, we were able to identify 67 IIN events using the NAIS data, 329 out of which H₂SO₄-NH₃ clusters were observed on 32 days, implying that at least 35 IIN 330 events were likely driven by mechanism(s) other than H₂SO₄-NH₃. In Figure 5, we classified 331 the days according to the types of IIN observation: 32 IIN events involving H₂SO₄-NH₃ (S-E), 332 3 non-events with the presence of H_2SO_4 -NH₃ clusters (S-NE), 35 IIN events involving other 333 mechanisms (O-E), 41 other non-event days (O-NE), and 23 days with unclear types. We 334 further present the respective statistics of additional measurements for the first four types of 335 days, including the concentrations of plausible precursor vapors, condensation sink and 336 meteorological parameters. It should be noted that the SA-NE has only 3 days, thus the statistics
- 337 on this type of days might not be fully representative.
- 338 Consistent with the previous discussion (Fig. 2), low temperatures are conducive of IIN events 339 via the H₂SO₄-NH₃ mechanism whilst being the highest other type of events (O-E) (Fig. 5A). 340 The clear-sky parameter (100% = clear sky and 0% = cloudiness) shows a noticeably higher 341 value during both event types compared to the non-event cases (Fig. 5B), indicating that photo-342 chemistry related processes are important for all events. Moreover, the CS is obviously lower 343 for both types of events than on non-event days (Fig. 5C). Although a strong effect of CS on 344 the appearance of H₂SO₄-NH₃ clusters has not been noticed, it is a most important parameter 345 in regulating the occurrence of IIN. Similar effects of cloudiness and CS on governing the 346 occurrence of NPF have been reported by Dada et al., (2017) based on long-term data sets.
- Remarkably, NH₃ has very low concentrations during H₂SO₄-NH₃ events in comparison to the other type of events (Fig. 5D). This is likely due to high NH₃ concentrations coinciding with higher temperature and thus elevated HOMs concentration, or the lower stability of H₂SO₄-NH₃ clusters at high temperatures that can evaporate NH₃ back to the atmosphere. This observation rules out the addition of NH₃ as a limiting step in the H₂SO₄-NH₃ nucleation mechanism, but the participation of NH₃ in the other type of events cannot be excluded.
- 353 H_2SO_4 has the highest concentrations during the H_2SO_4 -NH₃-involved events (Fig. 5E), but the 354 concentration of H₂SO₄ in S-NE days is not much lower, suggesting that the occurrence of H₂SO₄-NH₃-involved events is not solely controlled by the H₂SO₄ concentration. The 355 356 Incorporating the effect of CS ([H₂SO₄]/CS) significantly improves the separation (Fig.5F). 357 McMurry and coworkers (Mcmurry et al., 2005) have introduced a parameter L (Eq.3) to 358 quantitatively evaluate the likelihood of NPF, and they found that NPF mostly occurred when 359 L is smaller than 1. A similar result has been reported by Kuang et al., (2010), and a slightly 360 different threshold L value of 0.7 was determined.

361
$$L = \frac{CS}{[H_2SO_4]} \cdot \frac{1}{\beta_{11}}$$
 (Eq.3)

362 Here, L is dimensionless parameter representing the probability that NPF will not occur, and β_{11} is the collision rate between H₂SO₄ vapor molecules, which is characterized as 4.4×10^{-10} 363 cm³s⁻¹. Our results suggest a consistent L that most (75 percentile) S-E cases happen when L 364 365 is lower than 0.73 and most (75 percentile) S-NE cases are observed when L is larger than 1.54. 366 HOM concentrations are highest in the case of other events, revealing that HOMs play a key 367 role in this mechanism (Fig. 5F), although the contribution of H₂SO₄ in this HOM-involving 368 IIN mechanism cannot be excluded. Similar to the H₂SO₄-NH₃-driven cases, incorporating the 369 CS better distinguishes the event and non-event cases.

370 Overall, our results suggest that the concentrations of H₂SO₄ and HOMs, together with the CS 371 governs the occurrence of IIN, whereas their ratio determines the exact underlying mechanism 372 (Fig. 2). Although H₂SO₄-NH₃ and HOMs clearly drives the S-E and O-E events, respectively, 373 we cannot exclude the later participation of HOMs in SA-E cases or H₂SO₄ in O-E cases. 374 Different NPF mechanisms have also been identified at the Jungfraujoch station (Bianchi et 375 al., 2016) Frege et al., 2018) when influenced by different air masses. At SMEAR II station, 376 on the other hand, our results suggest that the natural variation of temperature is already 377 sufficient to modify the NPF mechanism via modulating the biogenic VOC emissions.



379 Figure 5 Comparison of different parameters for H₂SO₄-NH₃-involved events (S-E, red bars), non-

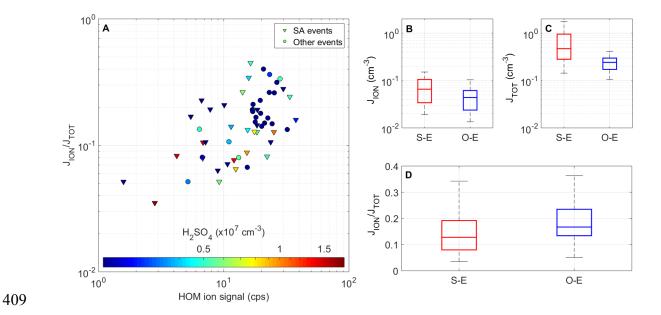
events with the presence of H₂SO₄-NH₃ clusters (S-NE, first column of black bars), other events (O-E,
blue bars), and other non-events (O-NE, second column of black bars).

382 3.5 Contribution of IIN to total nucleation rate

383 In order to get further insight into the importance of IIN during our measurements, we compared the formation rate of 2.5 nm ions, $J_{ION} = J_{2.5}^{\pm}$ (see Eq.2), to the total formation rate 384 385 of 2.5 nm particles, $J_{\text{TOT}} = J_{2.5}$ (see Eq.1). The ratio $J_{\text{ION}}/J_{\text{TOT}}$ is equal to the charged fraction 386 of the 2.5 nm particle formation rate. In analyzing field measurements, a similar ratio at a 387 certain particle size (typically 2 nm) has commonly been used to estimate the contribution of 388 ion-induced nucleation to the total nucleation rate (see Hirsikko et al. 2011 and references 389 therein). It should be noted that J_{ION}/J_{TOT} represents only a lower limit for the contribution of 390 ion-induced nucleation, as this ratio does not take into account the potential neutralization of 391 growing charged sub-2.5 nm particles by ion-ion recombination (e.g. Kontkanen et al., 2013; 392 Wagner et al., 2017). At present, measuring the true contribution of ion-induced nucleation to 393 the total nucleation rate is possible only in the CLOUD chamber (Wagner et al., 2017).

394

395 We were able to calculate J_{ION} and J_{TOT} for 57 (out of 67) cases, and the ratio $J_{\text{ION}}/J_{\text{TOT}}$ varied 396 from 4 to 45%, showing a clear correlation with the HOM signal (Fig. 6A). This indicates the 397 participation of HOMs even in H₂SO₄-NH₃-driven cases. In addition, most of the high J_{ION}/J_{TOT} 398 ratios were observed at moderate or low H₂SO₄ concentrations, e.g., $J_{ION}/J_{TOT} > 15$ % was only observed when $[H_2SO_4] < 6 \times 10^6$ cm⁻³. These observations indicate that HOMs are important 399 400 in high J_{ION}/J_{TOT} cases, while during events driven by H₂SO₄-NH₃ clusters low J_{ION}/J_{TOT} is 401 more often observed. Accordingly, the median value of J_{ION}/J_{TOT} for the H₂SO₄-NH₃ cases is 402 about 12 % and is clearly higher (18 %) in HOM-driven events (Fig. 6D). Figures 6B and 6C 403 reveal that both J_{ION} and J_{TOT} values are in fact higher in H₂SO₄-NH₃ cases, but the neutral 404 nucleation pathway is relatively more enhanced, leading to the lower ratio. These results 405 suggest that ion-induced nucleation plays a more important role in the events driven by HOMs 406 than in the events driven by H₂SO₄-NH₃. A plausible explanation is that NH₃ is performing 407 well in stabilizing H₂SO₄ molecules during the clustering process, whereas ions are a relatively 408 more important stabilizing agent for HOM clustering.



410 Figure 6. Formation rate 2.5 nm ions and total particles (both ions and neutral clusters) under different 411 nucleation mechanisms. A) Charged fraction of the formation rate of 2.5 nm particles as a function of 412 the total signal of HOM ions color-coded by the H_2SO_4 concentration, and (B, C and D) the differences

- 413 in J_{ION} , J_{TOT} , and J_{ION}/J_{TOT} between the H_2SO_4 - NH_3 -involved events (S-E) and other events (O-E).
- 414

415 **4 Summary**

We investigated the formation of H₂SO₄-NH₃ anion clusters measured by APi-TOF during three springs from 2011 to 2013 in a boreal forest in Southern Finland and their connection to IIN. The abundance and maximum size of H₂SO₄-NH₃ clusters showed great variability. Out of the total 134 measurement days, H₂SO₄-NH₃ clusters were only seen during 39 days. The appearance of these clusters was mainly regulated by the concentration ratio between HOMs and H₂SO₄, which can be changed by temperature via modulating the HOM production. We found that the maximum observable size of H₂SO₄-NH₃ clusters has a strong influence on

423 the probability of an IIN event to occur. More specifically, when clusters containing 6 or more 424 H₂SO₄ molecules were detected, IIN was observed at almost 100% probability. We further 425 compared the cluster ion growth rates from APi-TOF and NAIS using the maximum-time 426 method. In these H₂SO₄-NH₃ driven cases when we could robustly define the track of the 427 cluster evolution, the cluster growth was continuous and near linear for cluster-sizes up to 3 428 nm, suggesting co-condensation of H₂SO₄ and NH₃ as the sole growth mechanism. This does 429 not exclude that organics could also participate in the growth process in Hyvtiälä on other days. 430 In addition, we noticed that there was a mechanism driving the IIN, and HOMs are the most 431 likely responsible species, although H₂SO₄ and NH₃ might also participate in this mechanism.

- 432 Such mechanism was responsible for at least 35 IIN events during the measurement days and433 is expected to be the prevailing one in higher-temperature seasons.
- 434 The contribution of IIN to the total rates of NPF differs between events driven by H₂SO₄-NH₃
- 435 and by HOMs. IIN plays a bigger role in HOM-driven events, likely due to a relatively stronger
- 436 stabilizing effect of ions. Since the production of HOMs and H_2SO_4 are strongly modulated by
- 437 solar radiation and/or temperature, a seasonal variation of IIN can be expected, not only in
- 438 terms of frequency, but also in terms of the underlying mechanisms, and hence in terms of the
- 439 enhancing effect of ions. This information should be considered in aerosol formation modelling
- in future works.
- 441

442 Acknowledgement

This work was partially funded by Academy of Finland (1251427, 1139656, 296628, 306853,
Finnish centre of excellence 1141135), the EC Seventh Framework Program and European
Union's Horizon 2020 program (Marie Curie ITN no. 316662 "CLOUD-TRAIN", no. 656994

446 "Nano-CAVa", no. 227463 "ATMNUCLE", no. 638703 "COALA", no 714621
447 "GASPARCON", and no.742206 "ATM-GTP"), European Regional Development Fund

- 448 project "MOBTT42". We thank the tofTools team for providing tools for mass spectrometry449 analysis.
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