The role of H₂SO₄-NH₃ anion clusters in ion-induced aerosol nucleation

| 2 | mechanisms in the boreal forest |
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| 21 | Abstract |
| 22 | New particle formation (NPF) provides a large source of atmospheric aerosols, which affect |
| 23 | the climate and human health. Ion-induce nucleation (IIN) has been discovered as an |
| 24 | important pathway of forming particles within recent chamber studies, however, atmospheric |
| 25 | investigation remains incomplete. For this study, we investigated the air anion compositions |
| 26 | in the boreal forest in Southern Finland for 3 consecutive springs, with a special focus on |
| 27 | H ₂ SO ₄ -NH ₃ anion clusters. We found that the ratio between the concentrations of highly |
| 28 | oxygenated organic molecules (HOMs) and H_2SO_4 controlled the appearance of H_2SO_4 -N H_3 |
| 29 | clusters (3< $\#$ S < 13): All such clusters were observed when [HOM]/[H ₂ SO ₄] was smaller |
| 30 | than 30. The number of $\mathrm{H}_2\mathrm{SO}_4$ molecules in the largest observable cluster correlated with the |
| 31 | probability of ion-induced nucleation (IIN) occurrence, which reached almost 100 % when |
| 32 | the largest observable cluster contained 6 or more H ₂ SO ₄ molecules. During selected cases |
| 33 | when the time evolution of H ₂ SO ₄ -NH ₃ clusters could be tracked, the calculated ion growth |
| 34 | rates exhibited a good agreement across measurement methods and cluster (particle) sizes. In |
| 35 | these cases, H ₂ SO ₄ -NH ₃ clusters alone could explain ion growth up to 3 nm (mobility |
| 36 | diameter). IIN events also occurred in the absence of H ₂ SO ₄ -NH ₃ , implying that also other |
| 37 | NPF mechanisms prevail at this site, most likely involving HOMs. It seems that H ₂ SO ₄ and |
| 38 | HOMs both affect the occurrence of an IIN event, but their ratio ([HOMs]/[H ₂ SO ₄]) defines |

the primary mechanism of the event. Since that ratio is strongly influenced by solar radiation and temperature, IIN mechanism ought to vary depending on conditions and seasons.

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1 Introduction

43 Atmospheric aerosol particles are known to influence human health and the climate (Heal et al., 2012; Stocker et al., 2013). New particle formation (NPF) from gas-phase precursors 44 contributes to a major fraction of the global cloud condensation nuclei population (Merikanto 45 46 et al., 2009; Kerminen et al., 2012; Dunne et al., 2016; Gordon et al., 2017), and provides an 47 important source of particulate air pollutants in many urban environments (Guo et al., 2014). 48 Although NPF is an abundant phenomenon and has been observed in different places around 49 the globe within the boundary layer (Kulmala et al., 2004), the detailed mechanisms at each 50 location may differ and are still largely unknown. Experiments done in the CLOUD chamber 51 (Cosmic Leaving Outside Droplets) at CERN explored different NPF mechanisms on 52 molecular level, including sulfuric acid (H₂SO₄) and ammonia (NH₃) nucleation (Kirkby et 53 al., 2011), H₂SO₄ and dimethylamine (DMA) nucleation (Almeida et al., 2013), and pure 54 biogenic nucleation (Kirkby et al., 2016) from highly oxygenated organic molecules (HOMs) 55 (Ehn et al., 2014). While chamber experiments can mimic some properties of ambient 56 observations (Schobesberger et al., 2013), it is still ambiguous to what extent these chamber 57 findings can be applied to understand NPF in the more complex atmosphere, mostly due to 58 the challenges in atmospheric measurements and characterization of the nucleating species. 59 In the aforementioned chamber studies, ions have been shown to play a crucial role in 60 enhancing new particle formation, which is known as ion-induced nucleation (IIN). The 61 importance of IIN varies significantly depending on the temperature as we as the 62 concentration and composition of the ion species. For instance, big H₂SO₄ ion clusters were 63 not found in the sulfur-rich airmass from Atlanta, suggesting the minor role of IIN (Eisele et 64 al., 2006). Similar conclusions were drawn based on the observations in Boulder (Iida et al., 65 2006) and Hyytiälä (e.g., Manninen et al., 2010), although the suggested importance of IIN in cold environment, such as upper troposphere, cannot be excluded (Lovejoy et al., 2004 66 67 Kurten et al., 2016). Recent the CLOUD experiments have revealed that the importance of 68 IIN can be negligible in the H₂SO₄-DMA system (Almeida et al., 2013), moderate in the 69 H₂SO₄-NH₃ system (Kirkby et al., 2011) and dominating in the pure HOMs system (Kirkby 70 et al., 2016). However, it is also important to note that the ion-pair concentration in Hyytiälä

- 71 is lower than in the CLOUD chamber, which partly explains the its smaller contribution of
- 72 IIN (Wagner et al., 2017).
- 73 The recently developed atmospheric-pressure-interface time-of-flight mass spectrometer
- 74 (APi-TOF) (Junninen et al., 2010) has been used for measuring ion composition at the
- 75 SMEAR II station in Hyytiälä since 2009. Ehn et al., (2010) have first shown that the
- negative ion population varied significantly, with H₂SO₄ clusters dominating during the day
- and HOM-NO₃ clusters during the night. This variation was further studied by Bianchi et al.,
- 78 (2017), who grouped HOM-containing ions by separating the HOMs into non-nitrate- and
- 79 nitrate-containing species as well as into ion adducts with HSO₄ or NO₃. In the night time,
- 80 HOMs may form negatively charged clusters containing up to 40 carbons (Bianchi et al.,
- 2017; Frege et al., 2018). In the daytime, H₂SO₄ and H₂SO₄-NH₃ clusters appear to be the
- most prominent negative ions (Schobesberger et al., 2015; Schobesberger et al., 2013).
- 83 However, they have not yet been thoroughly studied regarding their appearance and their
- plausible links to atmospheric IIN.
- 85 Along with the changes in temperature and in ion concentration and composition, the
- 86 importance of IIN is expected to vary considerably. In this study, we revisit the ion
- 87 measurement in Hyytiälä, aiming to connect our current understanding of the formation of
- ion clusters to the significance of IIN, with a special focus on the fate of H₂SO₄-NH₃ clusters.
- We also extend our analysis to ions other than H₂SO₄ clusters, i.e., HOMs, and identify their
- 90 role in IIN, in addition to other measured parameters on site. Finally, this study confirms the
- onsistency between chamber findings and atmospheric observations, even though it seems
- that at least two separate mechanisms are alternatively controlling the IIN in Hyytiälä.

2 Materials and Methods

- 95 For this study, we used data collected at the Station for Measuring Forest Ecosystem-
- 96 Atmospheric Relations (SMEAR II station), in Hyytiälä, Southern Finland (Hari and
- 97 Kulmala, 2005). In this study, our data sets were obtained from intensive campaigns in 3
- 98 consecutive springs, 2011 2013. The exact time periods of the APi-TOF measurements are
- 99 22nd of March until 24th of May 2011, 31st March until 28th of April 2012, and 7th April until
- 100 8th of June 2013. For 134 days we were able to extend our analysis to include: i) ion
- 101 composition and chemical characterization using the APi-TOF (Junninen et al., 2010), ii)
- particle and ion number size distribution using NAIS (e.g., Mirme and Mirme 2013), iii)
- concentrations of H₂SO₄ and HOMs measured by the chemical ionization atmospheric-

- pressure-interface time-of-flight mass spectrometer (CI-APi-TOF see, e.g., (Jokinen et al.,
- 2012; Ehn et al., 2014; Yan et al., 2016), and iv) other relevant parameters, e.g., NH₃
- 106 (Makkonen et al., 2014), temperature and cloudiness (Dada et al., 2017).
- 107 2.1 Measurement of atmospheric ions
- The composition of atmospheric anions was measured using the atmospheric-pressure-
- interface time-of-flight mass spectrometer (APi-TOF) (Junninen et al., 2010). The instrument
- was situated inside a container in the forest, direct sampling the air outside. To minimize the
- sampling losses, we firstly drew the air at a larger flow rate within a wide tube (40 mm inner
- diameter), and another 30-cm-long coaxial tube (10 mm outer diameter and 8 mm inner
- diameter) inside the wider one was used to draw 5 L/min towards the APi-TOF, 0.8 L/min
- out of which will enter through the pinhole. After entering the pinhole, the ions are focused
- and guided through two quadrupoles and one ion lens, and finally and detected by the time-
- of-flight mass spectrometer.
- Different from the commonly used chemical-ionization mass spectrometer (CIMS), the APi-
- TOF does not do any ionization, so it only measures the naturally charged ions in the sample.
- In the atmosphere, the ion composition is affected by the proton affinity of the species:
- Molecules with the lowest proton affinity are more likely to lose the proton and thus become
- negatively charged after colliding many times with other species; similarly, molecules with
- the highest proton affinity would probably become positively charged ions. In addition to the
- proton affinity, the neutral concentration also plays a role in determining the ion composition
- by affecting the collision frequency. Due to the limited ionization rate in the atmosphere,
- there is always a competition between different species in taking the charges. For example,
- the H₂SO₄ often dominates the spectrum in the daytime when it is abundant, while in the
- night-time nitrate ions and its cluster with HOMs are always the prominent due to the rare
- chance to collide with the H₂SO₄. Since the signal strength of an ion in the APi-TOF depends
- not only on the abundance of the respective neutral molecules, but also on the availability of
- other charge-competing species, it is very important to note that the APi-TOF can not
- 131 quantify the neutral species.
- One important virtue of APi-TOF is that it does not introduce extra energy during sampling,
- which ensures the sample is least affected when compared to other measurement techniques
- such as CIMS. although fragmentation cannot be fully avoided inside the instrument
- 135 (Schobesberger et al., 2013). Because of this, it is a well-suited instrument to directly
- measure the composition of weakly bonded clusters in the atmosphere.

- The APi-TOF data were processed with the tofTools package (version 6.08) (Junninen et al.,
- 138 2010). Since the ion signal in APi-TOF is usually weak, a 5-hour integration time was used,
- after which the signals of H₂SO₄-NH₃ clusters and HOMs were fitted (See Fig. 1). For HOM
- signals, we used the same peaks reported in Bianchi et al., (2017), and the total signal of
- HOM ions is the sum of all identified HOMs.
- 142 It should also be mentioned that the voltage tuning of the instrument was not the same in the
- 143 years we analyzed, which led to differences in the ion transmission efficiency function. For
- example, we noticed that in 2011, the largest H₂SO₄-NH₃ clusters contained 6 H₂SO₄
- molecules, whereas more than 10 H₂SO₄ were observed in the clusters in other years. This
- was very likely due to the very low ion transmission in the mass range larger than about 700
- 147 Th for the measurements in 2011. However, this should not affect our results and
- conclusions, because clusters consisting of 6 H₂SO₄ molecules had little difference from
- larger clusters in affecting the IIN in terms of occurrence probability (see more details in
- 150 Sect. 3.3.1).
- 151 2.2 Measurement of H₂SO₄ and HOMs
- 152 The concentrations of H₂SO₄ and HOMs were measured by the chemical ionization
- atmospheric-pressure-interface time-of-flight mass spectrometer (CI-APi-TOF). The details
- of the quantification method for H₂SO₄ can be found in Jokinen et al., (2012) and for HOMs
- in Kirkby et al., 2016. For all data, we applied the same calibration coefficient (1.89×10^{10})
- 156 1/cm³) reported by Jokinen et al., (2012).
- 157 Although the tuning of the CI-APi-TOF was not exactly the same during the measurement
- period included in this study, no systematic difference was found in the concentrations of
- 159 H₂SO₄ and HOMs from different years.
- 160 2.3 Measurements of ion and particle size distribution
- The mobility distribution of charged particles and air ions in the range 3.2-0.0013 cm²V⁻¹s⁻¹
- (corresponding to mobility diameter 0.8 42 nm) were measured together with the size
- distribution of total particles in the range ~ 2.5 42 nm using a neutral cluster and air ion
- spectrometer (NAIS, Airel Ltd., (Mirme and Mirme, 2013)). The instrument has two identical
- differential mobility analyzers (DMA) which allow for the simultaneous monitoring of
- positive and negative ions. In order to minimize the diffusion losses in the sampling lines,
- each analyzer has a sample flow rate of 30 L min⁻¹ and a sheath flow rate of 60 L min⁻¹. In
- "particle mode", when measuring total particle concentration, neutral particles are charged by
- ions produced from a corona discharge in a "pre-charging" unit before they are detected in

the DMAs. The charging ions used in this process were previously reported to influence the

total particle concentrations below ~2 nm (Asmi et al., 2008; Manninen et al., 2010); for that

reason, only the particle concentrations above 2.5 nm were used in the present work. Also,

each measurement cycle, i.e. 2 min in ion mode and 2 min in particle mode, is followed by an

offset measurement, during which the background signal of the instrument is determined and

then subtracted from measured ion and particle concentrations. In addition, particle size

distributions between 3 and 990 nm were measured with a differential mobility particle sizer

(DMPS) described in details in Aalto et al., (2001). Based on earlier work by Kulmala et al.,

178 (2001), this data were used to calculate the condensation sink (CS), which represents the rate

of loss of condensing vapors on pre-existing particles.

180 2.4 Measurement of Meteorological parameter

The meteorological variables used as supporting data in the present work were measured on a

mast, all with a time resolution of 1 min. Temperature and relative humidity were measured at

183 16.8 m using a PT-100 sensor and relative humidity sensors (Rotronic Hygromet MP102H with

184 Hygroclip HC2-S3, Rotronic AG, Bassersdorf, Switzerland), respectively. Global radiation

was measured at 18 m with a pyranometer (Middleton Solar SK08, Middleton Solar, Yarraville,

Australia), and further used to calculate the cloudiness parameter, as done previously by Dada

et al., (2017, and references therein). This parameter is defined as the ratio of measured global

radiation to theoretical global irradiance, so that parameter values < 0.3 correspond to a

complete cloud coverage, while values > 0.7 are representative of clear sky conditions.

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2.5 Calculation of particle formation rates and growth rates

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193 The formation rate of 2.5 nm particles includes both neutral and charged particles, and it was

194 calculated from the following equation:

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$$J_{2.5} = \frac{dN_{2.5-3.5}}{dt} + CoagS_{2.5} \times N_{2.5-3.5} + \frac{1}{1nm}GR_{1.5-3} \times N_{2.5-3.5}$$
 Eq. 1

where $N_{2.5-3.5}$ is the particle concentration between 2.5 and 3.5 nm measured with the NAIS

in particle mode, CoagS_{2.5} is the coagulation sink of 2.5 nm particles derived from DMPS

measurements and $GR_{1.5-3}$ is the particle growth rate calculated from NAIS measurements in

ion mode. Calculating the formation rate of 2.5 nm ions, or charged particles includes two

additional terms to account for the loss of 2.5 - 3.5 nm ions due to their recombination with

sub-3.5 nm ions of the opposite polarity (fourth term of Eq. 2) and the gain of ions caused by

the attachment of sub-2.5 nm ions on 2.5-3.5 nm neutral clusters (fifth term of Eq. 2):

$$203 J_{2.5}^{\pm} = \frac{dN_{2.5-3.5}^{\pm}}{dt} + CoagS_{2.5} \times N_{2.5-3.5}^{\pm} + \frac{1}{1nm}GR_{1.5-3} \times N_{2.5-3.5}^{\pm} + \alpha \times N_{2.5-3.5}^{\pm} N_{<3.5}^{\mp} - \beta \times N_{2.5-3.5}N_{<2.5}^{\pm}$$
 Eq. 2

where $N_{2.5-3.5}^{\pm}$ is the concentration of positive or negative ions between 2.5 and 3.5 nm, $N_{<2.5}^{\pm}$

is the concentration of sub-2.5 nm ions of the same polarity and $N_{<3.5}^{\mp}$ is the concentration of

sub-3.5 nm ions of the opposite polarity, all measured with the NAIS in ion mode. α and β

are the ion-ion recombination and the ion-neutral attachment coefficients, respectively, and

were assumed to be equal to 1.6×10^{-6} cm³ s⁻¹ and 0.01×10^{-6} cm³ s⁻¹, respectively. We

consider these values as reasonable approximations, keeping in mind that the exact values of

both α and β depend on a number of variables, including the ambient temperature, pressure

and relative humidity as well as the sizes of the colliding objects (ion-ion or ion-aerosol

particle) (e.g. Hoppel, 1985; Tammet and Kulmala, 2005; Franchin et al., 2015).

 $GR_{1.5-3}$ were calculated from NAIS data in ion mode using the "maximum" method

introduced by (Hirsikko et al., 2005). Briefly, the peaking time of the ion concentration in

each size bin of the selected diameter range was first determined by fitting a Gaussian to the

concentration. The growth rate was then determined by a linear least square fit through the

217 times. The uncertainty in the peak time determination was reported as the Gaussian's mean

67% confidence interval, and was further taken into account in the growth rate determination.

A similar approach was used to estimate the early growth rate of the H₂SO₄-NH₃ clusters

detected with the APi-TOF. Prior to growth rate calculation, we first converted cluster masses

into diameters in order to get growth rate values in nm h⁻¹ instead of amu h⁻¹. For that

purpose, we applied the conversion from Ehn et al., (2011), using a cluster density of 1840 kg

m⁻³. The time series of the cluster signals were then analysed in the same way as ion or

particle concentrations using the "maximum" method from Hirsikko et al. (2005), and the

growth rate was calculated using the procedure recalled above. Our ability to determine the

early cluster growth rate from APi-TOF measurement was strongly dependent on the strength

of the signal of the different H₂SO₄-NH₃ clusters. As a consequence, the reported growth

rates characterize a size range which might slightly vary between the events, falling in a

range between 1 and 1.7 nm.

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3 Results and Discussion

3.1 **Daytime ion composition**

We examined the daytime ion composition of 134 days from three consecutive springs (2011-2013) in Hyytiälä. Consistent with the findings by previous studies, showing that H₂SO₄ clusters are the most abundant ions in the daytime (Ehn et al., 2010; Bianchi et al., 2017), we found that NH₃-free H₂SO₄ clusters can contain up to three H₂SO₄ molecules when counting the HSO₄⁻ also as one H₂SO₄ molecule ((H₂SO₄)₂HSO₄⁻), and that NH₃ is always present in clusters containing 4 or more H₂SO₄ molecules. The latter feature suggests the important role of NH₃ as a stabilizer in growing H₂SO₄ clusters (Kirkby et al. 2011). NH₃-free clusters (at least dimers H₂SO₄HSO₄⁻) were observed on 116 measurement days, but the signal intensity varied from day to day. Bigger clusters that contained NH₃ were observed on 39 days, containing a maximum of 4 to 13 H₂SO₄ per cluster. Figure 1 provides four examples of daytime ion spectra, including an NH₃-free case (Fig. 1A) and three cases with a different maximum size of H₂SO₄-NH₃ clusters (Fig. 1B-D), illustrating the significant variations in signal and maximum size of H₂SO₄-NH₃ clusters. In the NH₃-free case, a larger number of HOM clusters (green circles) was observed, indicating a competition between H₂SO₄ and HOMs in taking the charges. The largest detected cluster during the measurement was (H₂SO₄)₁₂(NH₃)₁₃HSO₄, which corresponds to a mobility-equivalent diameter of about 1.7 nm according to the conversion method (Ehn et al., 2011) and is big enough to be detected by particle counters. Since the observed formation of such large H₂SO₄-NH₃ clusters is essentially the initial step of IIN, we anticipate that the variation of H₂SO₄-NH₃ clusters will influence the occurrence of IIN.

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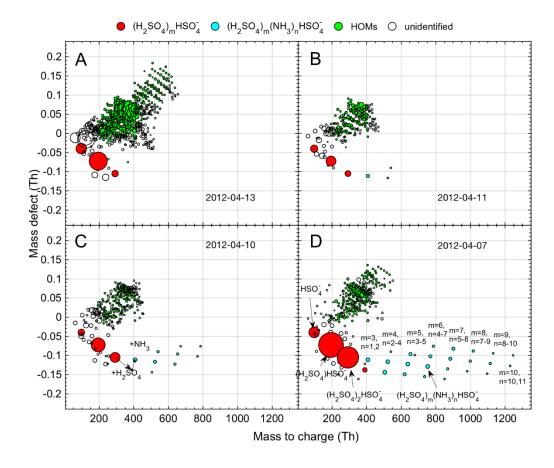


Figure 1 Mass defect plot showing the composition of ion clusters on four separate days. A) NH_3 -free clusters, B,C,D) H_2SO_4 - NH_3 clusters with different maximum number of H_2SO_4 molecules. The circle size is linearly proportional to the logarithm of the signal intensity.

3.2 The determining parameters for H₂SO₄-NH₃ cluster formation

To find out the dominating parameters that affect the formation of H₂SO₄-NH₃ clusters, we performed a correlation analysis that included the ambient temperature, relative humidity (RH), wind speed, wind direction, condensation sink (CS), as well as the gas-phase concentrations of NH₃, H₂SO₄, and HOMs. Among all the examined parameters, we found that the ratio between concentrations of HOMs and H₂SO₄ had the most pronounced influence on the appearance of H₂SO₄-NH₃ clusters. As shown in Figure 2, all H₂SO₄-NH₃ clusters were detected when [HOMs]/[H₂SO₄] was smaller than 30. No such dependence was observed for only [HOMs] or [H₂SO₄]. This implies that the appearance of H₂SO₄-NH₃ clusters is primarily controlled by the competition between H₂SO₄ and HOMs in getting the charges. More specifically, HSO₄-, the main charge carrier in the daytime, may either collide with neutral H₂SO₄ to form large clusters to accommodate NH₃, or collide with HOMs that prevents the former process. In addition, a reasonable correlation was found between

[HOMs]/[H₂SO₄] and temperature, likely explained by emission of volatile organic compounds (VOC) increasing with temperature, leading to higher HOMs concentrations, whereas the formation of H₂SO₄ is not strongly temperature-dependent. This observation indicates that the formation of H₂SO₄-NH₃ clusters may vary seasonally: we expect to see them more often in cold seasons when HOM concentrations are low, and less often in warm seasons.

Parameters other than [HOMs]/[H₂SO₄] and temperature seemed to have little influence on the formation of H₂SO₄-NH₃ clusters. Interestingly, we found that NH₃ was even lower when H₂SO₄-NH₃ clusters were observed, indicating that the NH₃ concentration is not the limiting factor for forming H₂SO₄-NH₃ clusters (also see section 3.4). In addition, H₂SO₄-NH₃ clusters were observed in a wide range of RH spanning from 20 to 90 %, suggesting that RH is not affecting the cluster formation. Besides, no clear influence from condensation sink (CS), wind speed, or wind direction was observed.

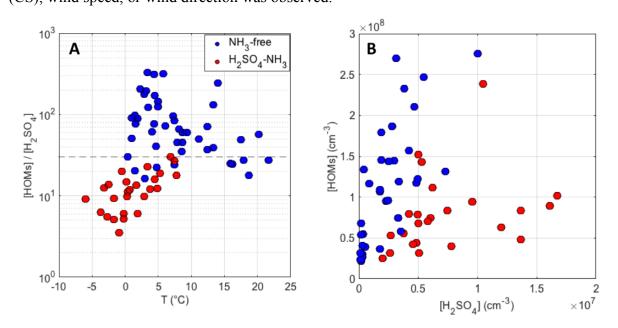


Figure 2 The effect of concentration of HOMs, H_2SO_4 , their ratio ([HOM]/[H_2SO_4]), and temperature on the appearance of H_2SO_4 -NH₃ clusters.

3.3 The relation between H₂SO₄-NH₃ clusters and IIN

3.3.1 The effect of cluster size on the probability of IIN events

We identified IIN events using data from the NAIS (ion mode) by observing an increase in the concentration of sub-2 nm ions (Rose et al., 2018), and classified 67 IIN events out of the 134 days of measurements. We defined the IIN probability as the number of days when IIN events were identified out of the total number of days that were counted. For example, the overall IIN

probably is 50 % (67 out of 134 days). We found that the maximum observed size of H₂SO₄-NH₃ clusters may affect the occurrence of IIN. Our conclusion is complementary to previous theories which stated that the critical step of particle nucleation is the formation of initial clusters that are big enough for condensational growth to outcompete evaporation (Kulmala et al., 2013). To further understand the size-dependency of IIN probability, we investigated the IIN probability when different maximum sizes of H₂SO₄-NH₃ clusters were observed. As illustrated in Figure 3, the IIN probability increases dramatically when larger H₂SO₄-NH₃ clusters were observed: IIN events were never observed when only HSO₄⁻ or H₂SO₄HSO₄⁻ were present, whereas the IIN probability increased to about 50 – 60 % when the largest clusters contained 3 – 5 H₂SO₄ molecules. IIN occurred in 24 out of 25 days (96 %) when the largest clusters consisted of no less than 6 H₂SO₄ molecules. Thus, it is evident that the occurrence of IIN is related to the size and thus the stability of H₂SO₄-NH₃ clusters, and that a cluster consisting of 6 H₂SO₄ molecules seems to lie on the threshold size of triggering nucleation.

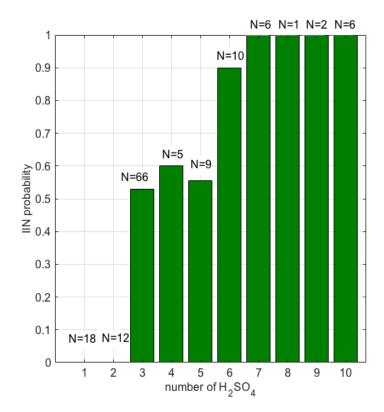


Figure 3 The maximum number of H_2SO_4 molecules observed in clusters and the respective IIN probability. The days when it was unclear if IIN occurred was counted as non-event days. N denotes the number of days when such clusters were the largest observed.

3.3.2 Continuous growth from clusters to 3 nm particles

Although the strong connection between the size of H₂SO₄-NH₃ clusters and the occurrence of IIN was confirmed, it is challenging to directly observe the growth of these clusters in the atmosphere, limited by the inhomogeneity of the ambient air and low concentrations of atmospheric ions. Combining APi-TOF and NAIS measurements, we were able to follow the very first steps of the cluster growth for 8 of the detected events. In Figures 4A and 4B, we present two examples in which the continuous growth of H₂SO₄-NH₃ clusters to 3 nm (mobility diameter) particles was directly evaluated using the maximum-time method. The maximum times, determined from APi-TOF and NAIS data independently, fall nicely on the same linear fit. The continuity of the growth and the linearity of the fit suggests that the current mechanism (H₂SO₄-NH₃, acid-base) explains the formation and growth of sub-3 nm ion clusters in these cases. In most cases, the calculation of cluster GR from APi-TOF measurement suffered from high uncertainties, but a weak positive correlation can be observed between the cluster growth rate and H₂SO₄-NH₃ clusters being the limiting step for cluster growth when NH₃ is abundant enough to follow up immediately (Schobesberger et al., 2015).

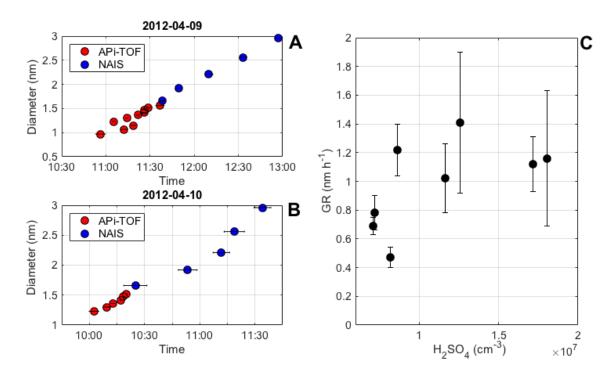


Figure 4 Cluster growth rate determined from APi-TOF (A) and NAIS (B) measurements using the maximum time method, and the correlation between growth rates and concentrations of H_2SO_4 molecules (C).

3.4 Evidence for other IIN mechanisms

For the 134 days of measurements, we were able to identify 67 IIN events using the NAIS data, 332 out of which H₂SO₄-NH₃ clusters were observed on 32 days, implying that at least 35 IIN 333 events were likely driven by mechanism(s) other than H₂SO₄-NH₃. In Figure 5, we classified 334 the days according to the types of IIN observation: 32 IIN events involving H₂SO₄-NH₃ (S-E), 335 3 non-events with the presence of H₂SO₄-NH₃ clusters (S-NE), 35 IIN events involving other 336 mechanisms (O-E), 41 other non-event days (O-NE), and 23 days with unclear types. We 337 further present the respective statistics of additional measurements for the first four types of days, including the concentrations of plausible precursor vapors, condensation sink and 339 meteorological parameters. It should be noted that the SA-NE has only 3 days, thus the statistics on this type of days might not be fully representative. Consistent with the previous discussion (Fig. 2), low temperatures are conducive of IIN events 342 via the H₂SO₄-NH₃ mechanism whilst being the highest other type of events (O-E) (Fig. 5A). 343 The clear-sky parameter (100% = clear sky and 0% = cloudiness) shows a noticeably higher 344 value during both event types compared to the non-event cases (Fig. 5B), indicating that photo-345 chemistry related processes are important for all events. Moreover, the CS is obviously lower for both types of events than on non-event days (Fig. 5C). Although a strong effect of CS on 347 the appearance of H₂SO₄-NH₃ clusters has not been noticed, it is a most important parameter 348 in regulating the occurrence of IIN. Similar effects of cloudiness and CS on governing the occurrence of NPF have been reported by Dada et al., (2017) based on long-term data sets. Remarkably, NH₃ has very low concentrations during H₂SO₄-NH₃ events in comparison to the other type of events (Fig. 5D). This is likely due to high NH₃ concentrations coinciding with higher temperature and thus elevated HOMs concentration, or the lower stability of H₂SO₄-353 NH₃ clusters at high temperatures that can evaporate NH₃ back to the atmosphere. This 354 observation rules out the addition of NH₃ as a limiting step in the H₂SO₄-NH₃ nucleation 355 mechanism, but the participation of NH₃ in the other type of events cannot be excluded. 356 H₂SO₄ has the highest concentrations during the H₂SO₄-NH₃-involved events (Fig. 5E), but the 357 concentration of H₂SO₄ in S-NE days is not much lower, suggesting that the occurrence of H₂SO₄-NH₃-involved events is not solely controlled by the H₂SO₄ concentration. The 358 359 Incorporating the effect of CS ([H₂SO₄]/CS) significantly improves the separation (Fig.5F). 360 McMurry and coworkers (Mcmurry et al., 2005) have introduced a parameter L (Eq.3) to quantitatively evaluate the likelihood of NPF, and they found that NPF mostly occurred when L is smaller than 1. A similar result has been reported by Kuang et al., (2010), and a slightly 363 different threshold L value of 0.7 was determined.

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$$L = \frac{CS}{[H_2SO_4]} \cdot \frac{1}{\beta_{11}}$$
 (Eq.3)

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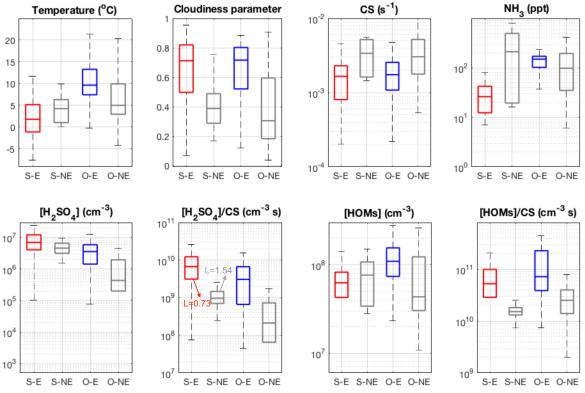
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Here, L is dimensionless parameter representing the probability that NPF will not occur, and β_{11} is the collision rate between H₂SO₄ vapor molecules, which is characterized as 4.4×10^{-10} cm³s⁻¹. Our results suggest a consistent L that most (75 percentile) S-E cases happen when L is lower than 0.73 and most (75 percentile) S-NE cases are observed when L is larger than 1.54. HOM concentrations are highest in the case of other events, revealing that HOMs play a key role in this mechanism (Fig. 5F), although the contribution of H₂SO₄ in this HOM-involving IIN mechanism cannot be excluded. Similar to the H₂SO₄-NH₃-driven cases, incorporating the CS better distinguishes the event and non-event cases. Overall, our results suggest that the concentrations of H₂SO₄ and HOMs, together with the CS governs the occurrence of IIN, whereas their ratio determines the exact underlying mechanism (Fig. 2). Although H₂SO₄-NH₃ and HOMs clearly drives the S-E and O-E events, respectively, we cannot exclude the later participation of HOMs in SA-E cases or H₂SO₄ in O-E cases. Different NPF mechanisms have also been identified at the Jungfraujoch station (Bianchi et

al., 2016) Frege et al., 2018) when influenced by different air masses. At SMEAR II station, on the other hand, our results suggest that the natural variation of temperature is already sufficient to modify the NPF mechanism via modulating the biogenic VOC emissions.



383 events with the presence of H₂SO₄-NH₃ clusters (S-NE, first column of black bars), other events (O-E, 384 blue bars), and other non-events (O-NE, second column of black bars). 385 Contribution of IIN to total nucleation rate 3.5 386 In order to get further insight into the importance of IIN during our measurements, we compared the formation rate of 2.5 nm ions, $J_{\rm ION} = J_{2.5}^{\pm}$ (see Eq.2), to the total formation rate 387 388 of 2.5 nm particles, $J_{\text{TOT}} = J_{2.5}$ (see Eq. 1). The ratio $J_{\text{ION}}/J_{\text{TOT}}$ is equal to the charged fraction 389 of the 2.5 nm particle formation rate. In analyzing field measurements, a similar ratio at a 390 certain particle size (typically 2 nm) has commonly been used to estimate the contribution of 391 ion-induced nucleation to the total nucleation rate (see Hirsikko et al. 2011 and references 392 therein). It should be noted that J_{ION}/J_{TOT} represents only a lower limit for the contribution of 393 ion-induced nucleation, as this ratio does not take into account the potential neutralization of 394 growing charged sub-2.5 nm particles by ion-ion recombination (e.g. Kontkanen et al., 2013; 395 Wagner et al., 2017). At present, measuring the true contribution of ion-induced nucleation to 396 the total nucleation rate is possible only in the CLOUD chamber (Wagner et al., 2017). 397 398 We were able to calculate J_{ION} and J_{TOT} for 57 (out of 67) cases, and the ratio J_{ION}/J_{TOT} 399 varied from 4 to 45%, showing a clear correlation with the HOM signal (Fig. 6A). This 400 indicates the participation of HOMs even in H₂SO₄-NH₃-driven cases. In addition, most of 401 the high $J_{\rm ION}/J_{\rm TOT}$ ratios were observed at moderate or low H₂SO₄ concentrations, e.g., $J_{\rm ION}/J_{\rm TOT} > 15$ % was only observed when $[{\rm H_2SO_4}] < 6 \times 10^6 \, {\rm cm}^{-3}$. These observations 402 403 indicate that HOMs are important in high $J_{\text{ION}}/J_{\text{TOT}}$ cases, while during events driven by 404 H_2SO_4 -NH₃ clusters low J_{ION}/J_{TOT} is more often observed. Accordingly, the median value of 405 $J_{\text{ION}}/J_{\text{TOT}}$ for the H₂SO₄-NH₃ cases is about 12 % and is clearly higher (18 %) in HOM-driven 406 events (Fig. 6D). Figures 6B and 6C reveal that both J_{ION} and J_{TOT} values are in fact higher in 407 H₂SO₄-NH₃ cases, but the neutral nucleation pathway is relatively more enhanced, leading to 408 the lower ratio. These results suggest that ion-induced nucleation plays a more important role 409 in the events driven by HOMs than in the events driven by H₂SO₄-NH₃. A plausible 410 explanation is that NH₃ is performing well in stabilizing H₂SO₄ molecules during the 411 clustering process, whereas ions are a relatively more important stabilizing agent for HOM 412 clustering.

Figure 5 Comparison of different parameters for H₂SO₄-NH₃-involved events (S-E, red bars), non-

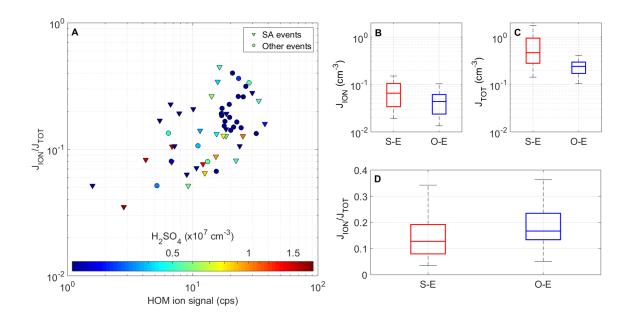


Figure 6. Formation rate 2.5 nm ions and total particles (both ions and neutral clusters) under different nucleation mechanisms. A) Charged fraction of the formation rate of 2.5 nm particles as a function of the total signal of HOM ions color-coded by the H_2SO_4 concentration, and (B, C and D) the differences in J_{ION} , J_{TOT} , and J_{ION} / J_{TOT} between the H_2SO_4 - NH_3 -involved events (S-E) and other events (O-E).

4 Summary

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We investigated the formation of H₂SO₄-NH₃ anion clusters measured by APi-TOF during three springs from 2011 to 2013 in a boreal forest in Southern Finland and their connection to IIN. The abundance and maximum size of H₂SO₄-NH₃ clusters showed great variability. Out of the total 134 measurement days, H₂SO₄-NH₃ clusters were only seen during 39 days. The appearance of these clusters was mainly regulated by the concentration ratio between HOMs and H₂SO₄, which can be changed by temperature via modulating the HOM production. We found that the maximum observable size of H₂SO₄-NH₃ clusters has a strong influence on the probability of an IIN event to occur. More specifically, when clusters containing 6 or more H₂SO₄ molecules were detected, IIN was observed at almost 100% probability. We further compared the cluster ion growth rates from APi-TOF and NAIS using the maximumtime method. In these H₂SO₄-NH₃ driven cases when we could robustly define the track of the cluster evolution, the cluster growth was continuous and near linear for cluster-sizes up to 3 nm, suggesting co-condensation of H₂SO₄ and NH₃ as the sole growth mechanism. This does not exclude that organics could also participate in the growth process in Hyytiälä on other days. In addition, we noticed that there was a mechanism driving the IIN, and HOMs are the most likely responsible species, although H₂SO₄ and NH₃ might also participate in this mechanism.

- Such mechanism was responsible for at least 35 IIN events during the measurement days and
- is expected to be the prevailing one in higher-temperature seasons.
- The contribution of IIN to the total rates of NPF differs between events driven by H₂SO₄-NH₃
- and by HOMs. IIN plays a bigger role in HOM-driven events, likely due to a relatively
- stronger stabilizing effect of ions. Since the production of HOMs and H₂SO₄ are strongly
- 442 modulated by solar radiation and/or temperature, a seasonal variation of IIN can be expected,
- not only in terms of frequency, but also in terms of the underlying mechanisms, and hence in
- 444 terms of the enhancing effect of ions. This information should be considered in aerosol
- formation modelling in future works.

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