# Answer to the Co-editor

June 21, 2018

Dear Jens-Uwe Grooß,

according to your additional concerns we revised the manuscript. We answer your comments point by point below. We further added a document highlighting the changes in the manuscript.

Dear Franziska Frank at al.,

Thank you for yours answers and for preparing the revised version.

I must say that I am still puzzled by some of your findings. Especially, I think you should address the critics of Reviewer #3 somewhat more who stressed the point that a lot of stratospheric observations support gamma\_H2O=2 and this ratio would break down above the stratopause. Therefore I still have some questions regarding your study:

As we stress in our revision le Texier et al. (1988) already showed that the yield (chemical/kinetic production) of  $H_2O$  is below two. We re-evaluate these findings by (1) distinguishing between the direct and effective yields and (2) by applying a comprehensive chemical mechanism.

Moreover, we clearly show that the ratio of the hydrogen carrying species does not reflect the yield as pointed out by Hurst et al. (1999) (see also reply to referee #3).

#### major issues:

(page numbers in answers correspond to the new revised manuscript)

To my understanding, there is no process above the "freeze-drying" altitude, that would be able to change total water ( $\rm H2 + H2O + 2*CH4$ ). Orders of magnitude are 4-7 ppmv H2O, 0-2 ppmv CH4, and 0.5 ppmv H2. This is consistent with your figure 12. The H2 mixing ratio throughout the troposphere and the stratosphere is about constant at about 0.5 ppmv (likely due to approximate equally strong production and loss processes).

Therefore, if the effective yield would be significantly below 2 and H2 stays approximately constant at  $\sim 0.5$  ppmv, the hydrogen atoms must be found in a significant amount in a different (intermediate?) species. This seems not the case in the results displayed in your Figure 12. Throughout the stratosphere (below  $\sim 0.2$  hPa) the sum of H2O + 2\*CH4 is constant. For me that means that effectively all CH4 molecules are converted into 2 H2O molecules (potentially involving equal production and loss of H2).

In other words, if there were no H2 contribution from the troposphere, you would see an increase in H2 with altitude due to CH4-oxidation with gamma\_H2O < 2. So one may need to consider gamma\_H2O and gamma\_H2, but also the oxidation of H2 forming H2O.

We largely agree. However, the contribution of  $H_2$  transported originally from the troposphere at a given point in the stratosphere cannot be distinguished from that  $H_2$ , which is produced there chemically in the

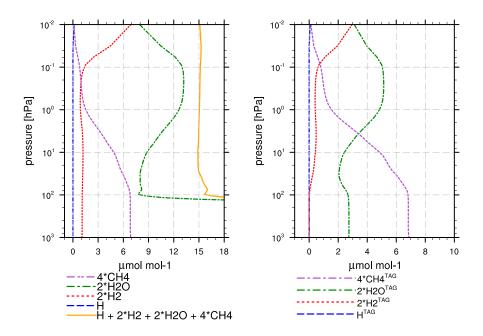


Figure 12: Annual zonal average of tagged H content by species (in  $\mu$ mol mol<sup>-1</sup>) over the tropics (23° S–23° N).

stratosphere (and as such defining the chemical yield), neither from observations, nor from our Figure 12.

The chemical yield of  $H_2O$  from methane (both, direct and effective) is defined solely by chemical production of  $H_2O$  (i.e., methane oxidation), which competes with the chemical production of  $H_2$  (and H) from  $CH_4$ .

Thus, indeed the contribution of  $H_2$  produced from methane increases with altitude (corresponding to  $\gamma_{H_2O}$  <2), whereas the  $H_2$  originally injected from the troposphere decreases (by oxidation into  $H_2O$ ). Therefore the net  $H_2$  content is (almost) constant, at least in the lower stratosphere where measurements are available.

In order to clarify this even further, we added an additional panel to Figure 12 (see also in this reply), which shows in addition the tagged species, i.e. those species, which carry H atoms and were originally part of a  $CH_4$  molecule. The text has been expanded accordingly with an explanation.

New (page 18): The chemical regime determines the proportion between H, H<sub>2</sub> and H<sub>2</sub>O, but the total H content is preserved. Figure 12 (right) shows the tagged H content in the same manner. In this panel the difference between the total H<sub>2</sub> including the transported H<sub>2</sub> from the troposphere, which is observed in atmospheric measurements, and the H<sub>2</sub> solely produced by CH<sub>4</sub> becomes distinguishable. The contribution of H<sub>2</sub> produced from methane increases with altitude (corresponding to  $\gamma_{H_2O}$ <2), whereas the H<sub>2</sub> originally injected from the troposphere decreases (by oxidation into H<sub>2</sub>O). Therefore the net H<sub>2</sub> content is (almost) constant, at least in the lower stratosphere where measurements are available.

The question is also, at what altitudes this is important. A deviation from the factor 2 is clear above 0.2 hPa, but in the lower stratosphere it is (at least) not important. This is so because of the comparison between the altitude profiles of the effective yield (Fig. 3) and the CH4 loss rate or the CH4 lifetime (Fig. 4). If (in the lower stratosphere) the chemical lifetime is above the typical transport times or in other words the loss rate is very low, then the derived yield is not very relevant.

Thank you for pointing this out. Indeed, the yield alone does not say much about its relevance at a given altitude. In addition the contribution of  $CH_4$  oxidation to total water needs to be considered as well. As can be seen from our updated Figure 12, the ratio of tagged  $H_2O$  (i.e., those from methane, right panel) to total  $H_2O$  (left panel) between 100 and 10 hPa is between 1 and 1.75 by 4, i.e. the contribution of  $H_2O$  from  $CH_4$  is in the range of 25% to 44%.

Our calculated yield at these altitudes is 1.5 to 1.8. Thus, the assumption of  $\gamma_{H_2O}=2$  overestimates this contribution by 10% (1.8/2) to 25% (1.5/2), which is equivalent to an overestimation of total water of 2.5% (0.1 \* 0.25) up to 11% (0.25 \* 0.44).

Given the large uncertainties of  $\rm H_2O$  measurements in this altitude range and the high sensitivity for climate impact (Solomon et al., 2010), a 10% change in water vapor can have a measurable impact. This impact can only be estimated by sensitivity climate simulations. These are, however, beyond the scope of our present study.

We added a brief discussion about the relevance to the revised manuscript.

New (page 24): Based on our simulations, in the lower stratosphere between 100 and 10 hPa, the portion of  $H_2O$  from  $CH_4$  is in the range of 25% to 44% (calculated by Fig. 12 taking the ratio of tagged and total  $H_2O$ ). Assuming  $\gamma_{H_2O}=2$  overestimates the contribution of  $CH_4$  oxidation to the  $H_2O$  production by 10% to 25%, which is equivalent to an overestimation of total water of 2.5% up to 11%. Given the large uncertainties of  $H_2O$  measurements in this altitude range and the high sensitivity for climate impact (Solomon et al., 2010), a 10% change in water vapor can have a measurable impact. This impact can only be estimated by sensitivity climate simulations. These are, however, beyond the scope of our present study.

Could it be that your effective yield is below 2 because you do not include all follow-up reactions of the CH4 oxidation? Or enough "recycling cycles"?

We are certain that this is not the case. We use a very comprehensive chemical mechanism and the tagging method takes care that the whole recycling process is considered.

Figure 10 shows the effective yield in the 3-D model. The values >2 are said to be due to transport of intermediate species. It is not clear, what these intermediate species would be? Is it H2? No other intermediate species seems to have long lifetimes and/or a mixing ratio above the ppb level.

There are several intermediates between  $CH_4$  oxidation and  $H_2O$  production (except for the reaction with OH, where at least one  $H_2O$  is produced right away). The intermediates are  $CH_3$ ,  $CH_3O_2$ ,  $CH_3O$ ,  $CH_3OOH$ , HCHO and  $CH_3OH$  to name only a few. Their atmospheric lifetimes in the stratosphere range from a couple of hours to months.  $H_2$  is of course the most important intermediate. The residence times of the intermediates combined is sufficient to be transported upward. Note, however, that the kinetic yield is per molecule and therefore does not depend on the absolute mixing ratio of the educt species. We added a list of intermediates to the text and point to the relevance of  $H_2$ .

New (page 17): First, the yield of  $H_2O$  from  $CH_4$  oxidation increases in the upper stratosphere and lower mesosphere to a value above 2, because the global model, unlike the box model, includes transport. The tagged intermediates (e.g. tagged  $H_2$  (mainly),  $CH_3$ ,  $CH_3OH$ , HCHO etc.) which are produced at lower levels are transported upward and are finally converted to  $H_2O$ . This results in a production of more than two  $H_2O$  molecules per oxidized  $CH_4$  in one specific layer, because the additional production via transported intermediates is counted as well. In layers, where this increased production takes place, high OH concentration supports the conversion of the intermediates towards  $H_2O$ , since OH is the main driver of the chemistry (e.g.  $H_2 + OH \rightarrow H_2O + H$ ).

Also that means that this yield would be dependent on the specific transport formulation.

We think that we agree that specific transport formulations can hardly be considered in a parameterization of  $\gamma_{H_2O}$ , however, some of our formulations might be misleading. We therefore reformulated the text.

New (page 25): Besides this, transport of intermediates is an important factor for the vertical profile of the  $\gamma_{H_2O}$ . It must be noted that atmospheric transport is not constant in time. The Brewer-Dobson circulation, for example, changes in future climate projections (Butchart et al., 2010). A simple parameterization of  $\gamma_{H_2O}$  cannot take these changes in transport into account, since they depend on various factors. This raises indeed the question, whether a simplified parameterization of  $\gamma_{H_2O}$  is at all applicable for future climate projections, or if it is necessary to simulate the full-chemistry for an accurate representation of SWV. The need of on-line chemistry for meaningful climate projections has anyway already been shown e.g. by Chiodo and Polvani (2017) for a realistic response of SH circulation to CO<sub>2</sub> changes.

I appreciate that you included the subsection 4.1 for GCM recommendations. However I fear there is not much use for GCM modellers. The idea of a pressure-dependent gamma\_H2O is likely not sufficient, as I think, it should at least depend also on latitude. It seems difficult to use a yield that is dependent on the specific transport formulation. Would be better to involve the results from the Lagrangian results that are displayed in figs 2 and 5 and a simplified system involving CH4->H2, CH4->H2O and H2->H2O? This would do the transport of theses three species consistently with the others.

Although we show (in the supplement) that our yield results are hardly dependent on latitude (except for the polar regions), we added latitude as a potential parameter for a simple parameterization for non-climate change simulations. Additionally, we added a statement about the feasibility of a three tracer parameterization.

We are however confused by your statement about the Lagrangian results since we do not apply a Lagrangian model. We apply a box model. The boxes are fixed in space and not transported.

New (page 25): Keeping these challenges in mind we are interested in deriving a parameterization as an intermediate stage between the very simple constant yield and the on-line chemistry. This is beyond the scope of the current study. Nevertheless, in the paragraph below we provide a sketch of such a parameterization together with its limitations and requirements.

One could start with a parameterization as introduced by Eq. (1), however, with a pressure p (and latitude  $\phi$ ) dependent  $\gamma_{H_2O}(p,\phi)$  derived from our vertical yield profiles. This adds a vertical dependency to the chemical production of  $H_2O$  per  $CH_4$  oxidized. As long as no large variations or trends in the stratospheric transport are expected within the simulation period, our profile is a good approximation. The limitation is, however, that the pressure (and latitude) dependence is likely to change with changing climate.

Reviewer #1 asked you to include the results from the study by Wrotny et al. (2010) who show a derived yield of 2.3 at 4.6 hPa while in your Figure 10 the yield is about 1.8 at this pressure level. Could you comment on that?

Our results are lower at most pressure levels investigated by Wrotny et al. (2010). As they stated in their conclusions "the net loss of  $H_2$  [...] drives additional  $H_2O$  production, thus producing positive vertical gradients in  $H_2O+2*CH_4$ " (Wrotny et al., 2010). In other words, they attribute the values above 2 to the production from  $H_2$ . Our method distinguishes  $H_2$  produced by  $CH_4$  oxidation from  $H_2$  from other sources (e.g. transport from the troposphere) and our yield is only defined for the  $CH_4$  originating part.

Therefore it is lower than reported by Wrotny et al. (2010). We added this explanation to our text.

Old: This is furthermore consistent with the findings of Wrotny et al. (2010), who calculated a yield larger than 2 in this area as well.

New: This is furthermore consistent with the findings of Wrotny et al. (2010), who calculated a yield larger than 2 in this area as well. However, our results are lower than from Wrotny et al. (2010). As they stated in their conclusions "the net loss of H<sub>2</sub> [...] drives additional H<sub>2</sub>O production, thus producing positive vertical gradients in H<sub>2</sub>O+2\*CH<sub>4</sub>" (Wrotny et al., 2010). In other words, they attribute the values above 2 to the production from H<sub>2</sub>. Our method distinguishes H<sub>2</sub> produced by CH<sub>4</sub> oxidation from H<sub>2</sub> from other sources (e.g. transport from the troposphere) and our yield is only defined for the CH<sub>4</sub> originating part. Therefore it is lower than reported by Wrotny et al. (2010).

#### minor issues:

(page and line numbers from the version with highlighted changes)

p.18 l.13. I think you cannot assume that the proportion of H / total hydrogen is constant. H does have a clear diurnal cycle while total hydrogen does not

Yes, that is true. There are also monthly variations in the sum of H,  $H_2$  and  $H_2O$ . However, we average over sufficiently long time periods (i.e. a year). We are therefore not affected by these variations. For the very same reason the argumentation in the half-sentence actually becomes obsolete. We reformulated the sentence accordingly.

Old: If we assume further that the simulated proportion of H, H<sub>2</sub> and H<sub>2</sub>O at a certain level is approximately constant in time and that CH<sub>4</sub> is at higher layers the only additional hydrogen supply, we can determine the effective yield of H<sub>2</sub>O by CH<sub>4</sub> oxidation through the proportion of H atoms in H<sub>2</sub>O to the total hydrogen content of H, H<sub>2</sub> and H<sub>2</sub>O.

New: If we assume further that  $CH_4$  is at higher layers the only additional hydrogen supply, we can determine the effective yield of  $H_2O$  by  $CH_4$  oxidation through the proportion of anually averaged H atoms in  $H_2O$  to the total hydrogen content of H,  $H_2$  and  $H_2O$ .

figure 13. It is unclear what are you showing. Is it something like d(H2O-tagged)/d CH4 and d(H+2H2+2H2O)/d CH4? please clarify.

Thank you for pointing this out. It is the proportion of H in tagged and total  $H_2O$  to the sum of H,  $H_2$  and  $H_2O$ . I.e.:

$$\frac{2 \cdot H_2O}{H + 2 \cdot H_2 + 2 \cdot H_2O} \text{ and } \frac{2 \cdot H_2O_{tagged}}{H_{tagged} + 2 \cdot H_{2tagged} + 2 \cdot H_2O_{tagged}}.$$

We added this explanation to the figure caption.

discussion 3rd method with OH constrained: If you use a constant OH value that may be inconsistent with the remaining chemical composition, total hydrogen would be not conserved. Is this a problem or do you see deviations in total hydrogen itself in addition to the H2O yield?

We checked whether the constant OH introduces a concerning amount of hydrogen to the system. This is not the case. The total hydrogen in the system is not influenced by keeping OH constant. This is already mentioned on page 9: "In further sensitivity simulations with CAABA, OH is initialized with the reference from EMAC multiplied with constants and kept constant throughout the simulation. This introduces an additional prescribed hydrogen carrying species, which introduces or withdraws hydrogen to or from the system. However, contribution of OH to the total H abundance in the system was found negligible."

# typographical issues:

(page and line numbers from the version with highlighted changes)

p. 19 line 3: you likely mean "total hydrogen content"

Thank you! We changed it.

fig 12, legend blue dashed should be "H+3 umol mol $^{-1}$ " (alternatively you could use a x-range from -1 to 18 and display H without shift)

That is a good idea. We changed the x-range starting with -1.

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# Investigating the yield of $H_2O$ and $H_2$ from methane oxidation in the stratosphere

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**Abstract.** An important driver of climate change is stratospheric water vapour (SWV), which in turn is influenced by the oxidation of atmospheric methane (CH<sub>4</sub>). In order to parameterize the production of water vapour (H<sub>2</sub>O) from CH<sub>4</sub> oxidation, it is often assumed that the oxidation of one CH<sub>4</sub> molecule yields exactly two molecules of H<sub>2</sub>O. However, this assumption is based on an early study, which also gives evidence, that this is not true at all altitudes.

- In the current study we re-evaluate this assumption with a comprehensive systematic analysis using a state-of-the art Chemistry-Climate model (CCM), namely the ECHAM/MESSy Atmospheric Chemistry (EMAC) model, and present three approaches to investigate the yield of H<sub>2</sub>O and hydrogen gas (H<sub>2</sub>) from CH<sub>4</sub> oxidation. We thereby make use of Module Efficiently Calculating the Chemistry of the Atmosphere (MECCA) in a box model and global model configuration. Furthermore, we use the kinetic chemistry tagging technique (MECCA-TAG) to investigate the chemical pathways between CH<sub>4</sub>, H<sub>2</sub>O and H<sub>2</sub>, by being able to distinguish hydrogen atoms stemming from produced by CH<sub>4</sub> and from H<sub>2</sub> from other sources.
  - We apply three approaches, which all agree that assuming a yield of 2 overestimates the production of  $H_2O$  in the lower stratosphere (calculated as 1.5–1.7). Additionally, transport and subsequent photochemical processing of longer-lived intermediates raise the local yield values in the upper stratosphere and lower mesosphere above 2 (maximum > 2.2). In the middle and upper mesosphere, the influence of loss and recycling of  $H_2O$  increases, making it a crucial factor in the parameterization of the yield of  $H_2O$  from  $CH_4$  oxidation. An additional sensitivity study with the Chemistry As A Boxmodel Application (CAABA) shows a dependence of the yield on the hydroxyl radical (OH) abundance. No significant temperature dependence is found. We focus representatively on the tropical zone between 23° S-23S-23° N. It is found in the global approach that presented results are mostly valid for mid latitudes as well. During the polar night the method is not applicable.
- Our conclusions question the use of a constant yield of H<sub>2</sub>O from CH<sub>4</sub> oxidation in climate modeling and encourage to apply comprehensive parameterizations that follow the vertical profiles of the H<sub>2</sub>O yield derived here and take the chemical H<sub>2</sub>O loss into account.

#### 1 Introduction

It is beyond question that water vapour  $(H_2O)$  is an important greenhouse gas (GHG). The current study focuses on stratospheric water vapour (SWV), which is by itself an influential driver of climate change. SWV, for example, induces a reduction of

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stratospheric ozone concentration (Stenke and Grewe, 2005; Revell et al., 2016), cools the stratosphere (Revell et al., 2012; Forster and Shine, 1999; Maycock et al., 2014) and produces a positive radiative forcing (Solomon et al., 2010). Changes in SWV are mainly driven by troposphere-stratosphere exchange (e. g. through deep convection in the tropics (Fueglistaler and Haynes, 2005)). However, there is also a chemical contribution to SWV, mostly by oxidation of methane (CH<sub>4</sub>) and hydrogen gas (H<sub>2</sub>). These gases are still abundant above the tropopause to act as significant in-situ photochemical sources of H<sub>2</sub>O. Besides H<sub>2</sub>O, CH<sub>4</sub> is a powerful GHG as well, with a 34 times higher climate effect than an equivalent amount of carbon dioxide (CO<sub>2</sub>) on a time horizon of 100 years (IPCC, 2013). It also introduces secondary climate effects through the additional SWV. The strong linkage of CH<sub>4</sub> and SWV represents a decisive factor of the net climate effect of CH<sub>4</sub>. Enhanced CH<sub>4</sub> concentrations are likely expected in the future Earth's atmosphere and can impact the otherwise rather dry stratosphere substantially (Rohs et al., 2006).

Nevertheless, to account for the contribution of  $CH_4$  to SWV, in current climate modeling it is common either to use a Chemistry-Climate model (CCM) with a complex chemistry set up, which puts high demands on computational resources, or a General Circulation model (GCM) or Chemical Transport model (CTM) with – if at all – a parameterization of the chemical sources of SWV. A parameterization of the chemical feedback onto SWV requires to estimate the yield of  $H_2O$  from  $CH_4$  oxidation, which is defined as the production of  $H_2O$  per oxidized  $CH_4$  molecule. A common simple assumption of the yield of  $H_2O$  from  $CH_4$  oxidation is that one oxidized  $CH_4$  molecule produces two  $H_2O$  molecules in the stratosphere. This simple parameterization is based on a first estimation of the  $H_2O$  yield from  $CH_4$  oxidation, using a simplified methane chemistry without chlorine in a two dimensional photochemistry model (le Texier et al., 1988).

This is a widely accepted approximation (Myhre et al., 2007; Stowasser et al., 1999) and is also affirmed by aircraft observations, which state that  $2 \cdot [CH_4] + [H_2O]$  (also named as the total stratospheric hydrogen budget) is fairly constant in the stratosphere being 6.8-7.6 ppmv (Hurst et al., 1999; Rahn et al., 2003; Dessler et al., 1994; Stowasser et al., 1999). Although this suggests that all atomic hydrogen (H) from  $CH_4$  oxidation reaches  $H_2O$ , it must be noted that the referenced observation studies do not distinguish, whether the H in  $H_2O$  comes from  $CH_4$  or from  $H_2$ , which also originates from the troposphere. Thus, calculations based on observed mixing ratios show a net production of  $H_2O$  only, but not the yield of  $H_2O$  specifically from  $CH_4$  oxidation (Hurst et al., 1999). Furthermore,  $H_2$  mixing ratios, when measured as well, show an almost absent vertical gradient, which can be explained by the supposition that the  $H_2$  sink is in photochemical equilibrium with its production from  $CH_4$  oxidation. Hence, all additional  $H_2$  by  $CH_4$  is leveled by the oxidation of  $H_2$  and balances the  $2 \cdot [CH_4] + [H_2O]$  and  $H_2$  content in the stratosphere (Rahn et al., 2003). Nevertheless, Hurst et al. (1999) took the weak anti-correlation of  $H_2$  and  $CH_4$  into account and calculated a net production of  $H_2O$  over loss of  $CH_4$  of 1.973  $\pm 0.003$ , differing from the assumed value of 2, which would be the case if all H goes into  $H_2O$ . By analysing analyzing satellite based measurements Wrotny et al. (2010) derived a production of  $H_2O$  over loss of  $CH_4$  ratio of 2.0–3.7 in the upper stratosphere between 1.0–4.6 hPa, which is clearly  $\geq 2$ .

Still, for reasons of simplification, several GCMs use the approximation that the yield of H<sub>2</sub>O from CH<sub>4</sub> oxidation is exactly two (Monge-Sanz et al., 2013; ECMWF, 2007; Austin et al., 2007; Oman et al., 2008; Boville et al., 2001; Mote, 1995; Eichinger et al., 2015). In the ECHAM/MESSy Atmospheric Chemistry (EMAC) model (Jöckel et al., 2010), for example,

explicitly configured in a CTM-like set-up without interactive chemistry, the production of SWV from CH<sub>4</sub> oxidation is calculated in a simplified way using a specifically introduced CH<sub>4</sub> tracer (by applying the CH4 submodel) according to:

$$\frac{d}{dt}[H_2O] = -\gamma_{H_2O} \cdot \frac{d}{dt}[CH_4] \tag{1}$$

with  $\gamma_{H_2O} = 2$  as the yield of H<sub>2</sub>O. Note, that if one wants to apply such a parameterization, one must specifically be aware not to mix yield of H<sub>2</sub>O from the oxidation of CH<sub>4</sub> ( $\gamma_{H_2O}$ ) with the yield from the oxidation of H<sub>2</sub>, originating from the troposphere.

However, this approximation first and foremost neglects the chemical loss of  $H_2O$  (mostly by reaction with excited oxygen  $(O(^1D))$  and by photolysis). Using this parameterization, SWV is solely added and not removed by chemistry. Moreover, the results of le Texier et al. (1988) also suggest that the yield of  $H_2O$  from  $CH_4$  oxidation is not exactly two, accounting for the part of H diverted into  $H_2$  production and that the share of  $H_2$  increases at higher altitudes. Therefore, following the results of le Texier et al. (1988) precisely, we would generate a certain bias by using a yield of 2 in Eq. (1), especially at higher altitudes, where  $2 \cdot [CH_4] + [H_2O]$  approx. const. does not hold anymore. In the mesosphere, for example, the loss of  $H_2O$  becomes increasingly relevant, shifting the balance between  $H_2O$  and  $H_2$  towards the latter. Furthermore, the net production calculated by Hurst et al. (1999) and the yield of le Texier et al. (1988) also do not agree well in the lower stratosphere, which can indeed be explained by the indistinguishable inputs from  $H_2$  and  $CH_4$  oxidation in observations as stated before. Yet, this does also indicate that the yield from  $CH_4$  oxidation itself must be even lower than suggested by the net production, which is calculated based on observations. It is, therefore, questionable, if the assumption of  $\gamma_{H_2O} = 2$  for the  $CH_4$  oxidation is indeed applicable.

In this study we re-evaluate the findings of le Texier et al. (1988) with multiple approaches using a modern CCM with a complex state-of-the-art chemistry mechanism. Our goal is to assess the currently used assumption of the constant yield as in Eq. 1 with  $\gamma_{H_2O} = 2$  and investigate, if a parameterization solely based on CH<sub>4</sub> is sufficient to reproduce the chemical yield of H<sub>2</sub>O from CH<sub>4</sub> oxidation. As an additional remark, it should be noted that difficulties with yield estimates can be expected especially in the stratosphere, as it is vertically not as well mixed as the turbulent troposphere.

We show three approaches to determine the yield of  $H_2O$  from  $CH_4$  oxidation. The first two approaches use the kinetic chemistry tagging technique (MECCA-TAG, Gromov et al. (2010)), either (1) in a box model set-up with the Chemistry As A Boxmodel Application (CAABA, Sander et al. (2011a)) and (2) in a global simulation, with the EMAC (Jöckel et al., 2010) model. For the third approach (3) we again use the model results of a global simulation with EMAC. This approach relies on the assumption that the hydrogen budget in the stratosphere is conserved, mostly consisting of fractions of H,  $H_2$ ,  $H_2O$  and  $CH_4$ .

We apply MECCA-TAG (Gromov et al., 2010) in all approaches to run a comprehensive chemistry setup, while being able to track the production of H<sub>2</sub>O originating explicitly from CH<sub>4</sub> oxidation. A conceptionally different approach would be the extended Crutzen's sequential method used by Johnston and Kinnison (1998) to estimate the gross ozone loss by CH<sub>4</sub>. The study of Johnston and Kinnison (1998) is an additional example for estimating a yield from CH<sub>4</sub> oxidation, although it focuses

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on  $CH_4$  impacts on ozone  $(O_3)$  instead of  $H_2O$ . By applying MECCA-TAG, however, it is not necessary to explicitly write down the chemical net reactions as this is done in the extended Crutzen's sequential method.

The paper is structured as follows: In section 2 we present the methods and theoretical background of our studies, followed by the results in section 3. Section 4 comprises a detailed discussion and section 5 summarizes the findings and gives an outlook for further studies.

#### 2 Methods

## 2.1 The model set-up

#### 2.1.1 EMAC

The applied global chemistry climate model is EMAC, which is a state-of-the art numerical chemistry and climate simulation system that includes sub-models describing tropospheric and middle atmosphere processes and their interaction with oceans, land and human influences (Jöckel et al., 2010). It uses the second version of the Modular Earth Submodel System (MESSy) to link multi-institutional computer codes. The core atmospheric model is the 5th generation European Centre Hamburg general circulation model (ECHAM5) (Roeckner et al., 2006). For the global simulations in the present study we applied EMAC (ECHAM5 version 5.3.02, MESSy version 2.53.0) in the T42L90MA-resolution, i.e. with a spherical truncation of T42 (corresponding to a quadratic Gaussian grid of approx. 2.8 by 2.8 degrees in latitude and longitude) with 90 vertical hybrid pressure levels up to 0.01 hPa. The applied model setup comprises particularly the submodels MECCA (Module Efficiently Calculating the Chemistry of the Atmosphere) (Sander et al., 2005) and MECCA-TAG (kinetic chemistry tagging technique) (Gromov et al., 2010).

The MECCA represents the chemical core of EMAC. The applied chemistry is based on a chemical mechanism, which, for example, was already used for the base simulations in the Earth System Chemistry integrated Modelling (ESCiMo) project (Jöckel et al., 2016). The mechanism is extended to resolve specific intermediates in the  $CH_4 \rightarrow H_2O$  reaction chain (e.g. methyl (CH<sub>3</sub>) and methoxy radical (CH<sub>3</sub>O)), resulting in slightly more comprehensive chemical kinetics. The full chemical mechanism is part of the supplement.

## 2.1.2 The kinetic tagging technique MECCA-TAG

MECCA-TAG (Gromov et al., 2010) enables the user to tag certain elements, without modifying the underlying standard chemical mechanism. It can either be applied for simulating isotopologues of selected trace gases or used to investigate elemental exchange between the species of interest. For example, a model study was carried out with focus on the carbon and oxygen isotope composition of carbon monoxide (CO) (Gromov et al., 2010).

In the current study we use the tagging technique (in the so called fractional mode) to investigate the pathways of H atom transfer from the source  $CH_4$  to  $H_2O$  via all simulated intermediates. In order to do so, we create counterparts of the species of interest (e.g., those containing H) in an isolated doubled set of studied reactions (e.g.,  $CH_4$  oxidation chemistry) in the

same chemical mechanism simulated. By doing so, we are able to quantify the fraction of molecules (hence their H content) stemming from CH<sub>4</sub> oxidation only, as well as their production and loss rates, which are used for the yield calculations. Furthermore, we improve the latter by quantifying the H, which is recycled in the given reactions.

In this particular case, we count the  $H_2O$  molecules created from  $CH_4$  oxidation pathways and are able to distinguish the H from  $CH_4$  from the H of other sources ( $H_2$ , non-methane hydrocarbons (NMHCs), hydrochlorofluorocarbons (HCFCs), etc.). More specifically, we track the H atoms, which all have in common that their source is only  $CH_4$ . These H atoms can temporarily be part of  $H_2$ , but we are not counting oxidation of  $H_2$ , which is produced in the troposphere and transported into the stratosphere. However, we are accounting for hydrogen, which has been part of  $CH_4$  produced  $H_2O$ , and which becomes recycled after depletion of  $H_2O$ . Hence, that part of  $CH_4$  produced  $H_2O$ , which breaks down to other HOx (hydroxyl radical (OH) +and hydroperoxyl ( $HO_2$ )) compounds (and subsequently produces  $H_2O$  again) is counted separately. Overall, such an approach is the "online" approximation of the technique used by Lehmann (2004) and helps to avoid double-counting issues in yield derivation. Ultimately, we are able to quantify the fraction of H atoms populating the species of the complete ( $CH_4 \rightarrow H_2O/H_2 \leftrightarrow HO_x$ )-cycle, including their fractions recycled via  $H_2O$ .

#### 2.1.3 **CAABA**

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For the photochemical box model studies we use the Chemistry As A Boxmodel Application (CAABA) in model version 3.0 (Sander et al., 2011a). CAABA equipped with MECCA (CAABA/MECCA) provides an atmospheric chemistry box model, simulating single air parcels with the chemical mechanism identical to that used in EMAC. CAABA/MECCA is, moreover, using the MESSy interface to attach certain submodels to the box model system. The used submodels in the current study, in addition to MECCA, are SEMIDEP (applies deposition fluxes) and JVAL (calculates photolysis rates) (Sander et al., 2014).

CAABA simulates one box at one pressure and temperature specific for a given latitude and altitude in the atmosphere. To derive a pseudo vertical profile of the yield, 35 independent boxes superimposed upon each other at the equator are simulated with prescribed conditions following a standard atmosphere profile ((NOAA/NASA, 1976) accessed via https://www.digitaldutch.com/atmoscalc/ (digital dutch, 1999)). The equatorial region is chosen for mainly two reasons: (1) the equatorial region is in terms of photochemistry most active and (2) we avoid the inactive photochemistry during the polar night. Since the boxes represent different temperature and pressure levels and therefore distinct chemical regimes throughout the middle atmosphere, it is possible to illustrate the vertical dependence of the yield.

Note that the purpose of the box model simulation is to demonstrate the steady state conditions expected at different altitudes. In order to do so, we mimic the effect of vertical transport between the boxes by prescribing the vertical distribution of the relevant species concentrations for:

- 1. CH<sub>4</sub> and all species acting as in-situ sources of H (primarily NMHCs and HCFCs), which are not produced in the chemical mechanism,
  - 2. long-lived substances, such as ammonia (NH<sub>3</sub>) and nitrous oxide (N<sub>2</sub>O),
  - 3. N<sub>2</sub> and O<sub>2</sub>, whose mixing ratios are virtually constant throughout the considered altitude range,

- 4. nitrogen oxide (NO) and O(<sup>1</sup>D), to constrain the HOx-NOx-cycle to the given initial state (the family NOx consists of NO and nitrogen dioxide (NO<sub>2</sub>)),
- 5. SO<sub>2</sub>, Cl and Br, for the same reason as in 4. with respect to ClOx (Cl + ClO), BrOx (Br + BrO) and sulfate compounds,
- 6. H<sub>2</sub>O and H<sub>2</sub> mixing ratios and therefore serving as a H sink for the limitless influx of H via the fixed source species (indicated in 1.).

Other species, particularly the OH and  $HO_2$ , are unconstrained in the simulations unless otherwise noted. All initial mixing ratios of the chemical species are taken from a climatology over the years 2000–2010 of the RC1SD-base-10 EMAC simulation of the ESCiMo project (Jöckel et al., 2016). This simulation is carried out at T42L90MA resolution with specified dynamics, hence a Newtonian relaxation is performed with respect to meteorological reference data (ERA-Interim reanalysis data from ECMWF (Dee et al., 2011) to be more precise) concerning the prognostic variables divergence, vorticity, temperature and (logarithm of) surface pressure.

Because a priori fractions of H from  $CH_4$  (or tagged H) in the species of the chemical mechanism are not known, all tagged species are initialized with zero. The simulation of every box is run for 200 years to make sure that all tagged species have filled up to a steady state.

#### 15 2.2 Calculation of the chemical H<sub>2</sub>O yield from CH<sub>4</sub> oxidation

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A straight forward definition of the direct yield is the ratio of the production of  $H_2O$  molecules by the loss of  $CH_4$ , as depicted in Eq. (2).

$$\gamma_{H_2O}^{direct}(CH_4) = \frac{\mathbf{P}_{H_2O}^I}{\mathbf{L}_{CH_4}} \tag{2}$$

with variables listed in Table 1. The  $\gamma_{H_2O}$  represents the units of molecule  $H_2O$  per molecule  $CH_4$  (i.e. [molecule/molecule]) and is displayed dimensionless throughout this work.

The loss of  $CH_4$  ( $L_{CH_4}$ ) in MECCA includes the reactions with OH,  $O(^1D)$  and Cl, as well as photolysis (see Reactions (R1) - (R6)).

$$CH_4 + O(^1D) \rightarrow CH_3 + OH$$
 (R1)

$$\rightarrow CH_3O + H$$
 (R2)

$$\rightarrow CH_2O + H_2 \stackrel{a,}{}$$
 (R3)

$$CH_4 + OH \rightarrow CH_3 + H_2O^{-a}$$
, (R4)

$$CH_4 + Cl \rightarrow HCl + CH_3$$
 a, (R5)

$$CH_4 + h\nu \rightarrow products^{b},$$
 (R6)

with reaction rates of a, from Sander et al. (2011b) and photolysis rate of b, calculated by JVAL (Sander et al., 2014).

**Table 1.** Variable names as used in Equations 2, 3 and 4.

name	description
$\mathbf{L}_{CH_4}$	loss of CH <sub>4</sub> molecules
$\mathbf{P}^{I}_{H_2O/H_2}$	direct production of H <sub>2</sub> O/H <sub>2</sub> by
	H from CH <sub>4</sub>
$\mathbf{L}^{I}_{H_{2}O/H_{2}}$	loss of directly produced $H_2O/H_2$
$\mathbf{P}^{II}_{H_2O/H_2}$	production of recycled H <sub>2</sub> O/H <sub>2</sub> ,
	hence the H already has been part
	of a $H_2O/H_2$ produced by $CH_4$
$\mathbf{L}_{H_2O/H_2}^{II}$	loss of recycled $H_2O/H_2$
$\mu_{H_2O/H_2}$	lost H <sub>2</sub> O/H <sub>2</sub> during the recycling

Following these reactions, H atoms from  $CH_4$  are distributed among intermediates (not shown) and eventually reach  $H_2O$ . Produced  $H_2O$  reacts further and gets removed, by reactions (R7) and (R9).

$$H_2O + O(^1D) \rightarrow 2OH^{-a},$$
 (R7)

$$SO_2 + OH + O_2 + H_2O \rightarrow H_2SO_4 + HO_2$$
 (R8)

$$H_2O + h\nu \rightarrow H + OH^{-b}$$
, (R9)

with reaction rates of a, from Sander et al. (2011b) and photolysis rate of b, calculated by JVAL (Sander et al., 2014).

In consecutive reactions H is again recycled into  $H_2O$ . The direct yield calculated by Eq. (2) represents the  $H_2O$ , which is produced in the chemical mechanism and directly emerges from  $CH_4$  oxidation. However, this is not the additional  $H_2O$  of the whole chemical process. It also cannot be used in a simplified set-up for the methane chemistry and the production of SWV parameterized as by Eq. (1), because no chemical depletion of water is considered. Hence, we suggest to define the effective yield of  $H_2O$ , which takes into account that water is recycled in consecutive reactions and that recycled water is again destroyed. The process is sketched in Fig. 1. During this recycling process, some H is converted to species other than  $H_2O$ , filling up to a steady state or leaving the HOx-cycle once and for all. The effective yield is therefore always equal to or smaller than the direct yield in a closed system.

We define the effective yield of  $H_2O$  in this study as in Eq. (3), with  $\mu$  accounting for the lost  $H_2O$ , due to subsequent loss and recycling of  $H_2O$  molecules:

$$\gamma_{H_2O}^{eff}(CH_4) = \frac{\mathbf{P}_{H_2O}^I - \mu_{H_2O}}{\mathbf{L}_{CH_4}} \quad \text{with} \quad \mu_{H_2O} = \mathbf{L}_{H_2O}^I + \mathbf{L}_{H_2O}^{II} - \mathbf{P}_{H_2O}^{II}$$
 (3)

Variables are listed in Table 1.

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Exemplarily, the components of the effective yield of  $H_2O$  of Eq. (3) are plotted separately in Fig. 2 as a vertical profile for the experiment Ref, which will be introduced in more detail in Section 3.1.2. These profiles indicate that loss of  $CH_4$  and production of  $H_2O$  minimize around the tropopause and maximize close to the stratopause. The maximum of the primary loss

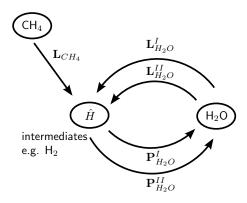


Figure 1. Sketch on the production and recycling of  $H_2O$ . The arrows of  $L_{CH_4}$  and  $P_{H_2O}^I$  are indicating the direct yield and all arrows together the effective yield.

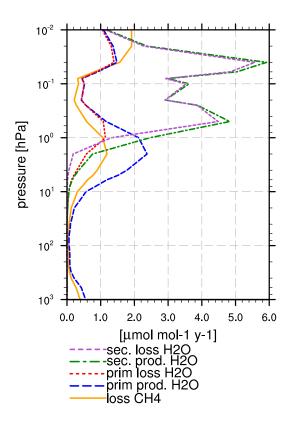


Figure 2. Separate loss of CH<sub>4</sub> and primary and secondary loss and production of H<sub>2</sub>O from box model simulation Ref.

of  $H_2O$  in the stratosphere is slightly shifted vertically. Above the stratopause, the recycling of  $H_2O$  becomes more important. This is indicated by increased secondary loss and production of  $H_2O$  and is further reflected by the reduced effective yield in the mesosphere.

Due to the implementation of the tagging technique, counting of recycled H (as described in section 2.1.2) can only be applied with respect to one species at a time. Hence, the effective yield can only be calculated either for  $H_2O$  or  $H_2$  in the same simulation. Similar to that for  $H_2O$ , recycling of  $H_2$  is calculated in the chemical mechanism, that is, the recycled H is counted as soon as it is leaving  $H_2$ . The corresponding formula for  $H_2$  is derived similarly to Eq. (3) and reads as follows:

$$\gamma_{H_2}^{eff}(CH_4) = \frac{\mathbf{P}_{H_2}^I - \mu_{H_2}}{\mathbf{L}_{CH_4}} \quad \text{with} \quad \mu_{H_2} = \mathbf{L}_{H_2}^I + \mathbf{L}_{H_2}^{II} - \mathbf{P}_{H_2}^{II}$$
(4)

Direct and effective yield are equal, as long as the loss of H<sub>2</sub>O is negligible or the recycling is lossless.

The chemical conversion from  $CH_4$  to  $H_2O$  follows some intermediate reactions. Hence, the loss of  $CH_4$  and the eventual production of  $H_2O$  do not occur simultaneously. Furthermore, in reality, chemistry undergoes diurnal variations. The major changes occur during daylight. At night, virtually no photo-sensitive chemistry takes place, which results in very low OH concentrations. This reduces  $CH_4$  loss and  $H_2O$  production to a nighttime-low. A diurnal average smoothes the difference between day and night to a representative value. This is based on the assumption that the system is in a quasi-steady-state. A quasi-steady-state implies that equal integral production and loss are simulated throughout a given time interval, e.g. a day, a month or a year. Monthly  $\gamma_{H_2O}$  averages, as presented in this study, which average over the simulated diurnal cycle, are sufficient for the application of a simplified  $CH_4$  loss/ $H_2O$  production rates calculation with prescribed monthly varying OH distributions.

For these reasons, we apply in our analysis Eq. (3) to annual averages of the production and sink terms simulated in the boxes representing conditions typical for the tropics, where in addition seasonal variations are negligible. In the global simulations with EMAC we calculate an average over zonally averaged tropical bands.

In the following we compare the direct and effective yields of  $H_2O$  and  $H_2$  from  $CH_4$  oxidation obtained in simulations with the box model and EMAC.

#### 3 Results

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# 25 3.1 Box model approach

# 3.1.1 Simulation with unrestrained oxidation capacity

The direct and the effective yield of  $H_2O$  from  $CH_4$  oxidation of the box model approach (i.e. simulation Exp1), calculated as indicated in Eq. (2) and Eq. (3) respectively, are shown as a pseudo vertical profile in Fig. 3 by 35 vertically stacked boxes following the standard atmosphere at the equator. The shown results comprise also boxes on tropospheric levels. However, since the physical water cycle (e.g. evaporation, clouds) exceeds the influence of the  $CH_4$  oxidation onto  $H_2O$ , the kinetic

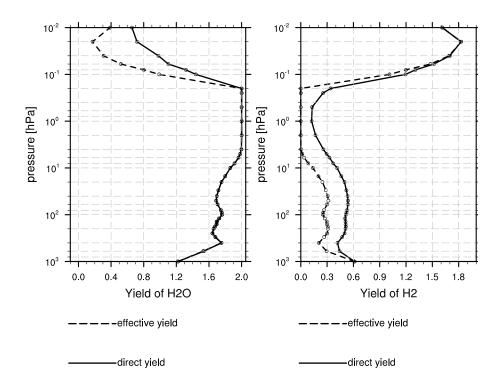


Figure 3. The pseudo vertical profile shows the  $H_2O$  yield (left) and  $H_2$  yield (right), calculated by the box model approach. The solid line represents the direct yield, the dashed line represents the effective yield and circles indicate the pressure levels of the model boxes.

production of  $H_2O$  is irrelevant in the troposphere. All values below the tropopause level (approximately 100 hPa in the tropics) are therefore not part of the analysis presented in this work.

The direct yield in Fig. 3 (left) is 1.7 around the tropopause and increases monotonically up to 2 at 4 hPa. It remains constant until 0.2 hPa, where it starts to decrease monotonically down to about 0.65 at the uppermost layer. In the mesosphere the loss of H<sub>2</sub>O especially via Reactions (R7) and (R9) increases (also evident in Fig. 2).

The direct and the effective yields do not differ significantly for water vapor throughout the stratosphere and most of the mesosphere. This suggests, that the  $H_2O$  recycling at these pressure levels and chemical regimes is predominant and all broken down water is regenerated. Nevertheless, in the mesosphere at approx. 0.1 hPa, the effective yield decreases more strongly than the direct yield, reaching the minimum of 0.17 at 0.02 hPa, with a slight increase to 0.39 at the topmost layer at 0.01 hPa.

The value of 2 between 4 and 0.2 hPa reflects that all H from CH<sub>4</sub> reaches H<sub>2</sub>O eventually at these altitudes, supporting the assumption as accepted in the literature. In the lower stratosphere and upper mesosphere, however, the box model results show that assuming a yield of 2 will lead to an overestimated H<sub>2</sub>O production.

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The yield of  $H_2$  (see Fig. 3 (right)) shows a mostly anti-correlated behavior with respect to the yield of  $H_2O$ . Throughout most of the stratosphere the effective and direct yields of  $H_2$  differ by about 0.2, while the effective yield drops down to

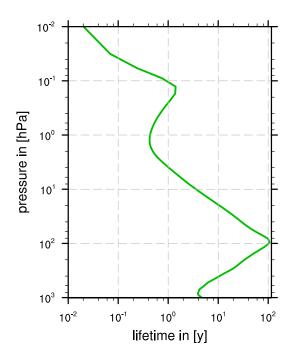


Figure 4. Vertical profile of CH<sub>4</sub> lifetime in the tropics with respect to removal by OH, O(<sup>1</sup>D), chlorine (Cl) and photolysis in years.

0 between 4 and 0.2 hPa, i.e. exactly in the region where the yield of  $H_2O$  attains its maximum. In accordance with the decreasing yield of  $H_2O$ , the direct and effective yields of  $H_2$  increase substantially at higher altitudes, giving evidence that more and more H becomes diverted to and stays in  $H_2$  instead of continuing towards  $H_2O$ .

A good indicator for the rate of general chemical reactivity in the atmosphere is the CH<sub>4</sub> lifetime, which is mostly influenced by both, temperature, and the concentration of the reaction partners. The lifetime of CH<sub>4</sub> ( $\tau_{CH_4}$ ) with respect to its sinks OH, chlorine (Cl), O( $^{1}$ D) and photolysis is defined as:

$$\tau_{CH_4} = \frac{1}{(k_{OH} * [OH] + k_{Cl} * [Cl] + k_{O1D} * [O1D]) * c_{air} + j_{CH_4}}$$
(5)

with  $k_X$  being the reaction rate coefficients of CH<sub>4</sub>+X in [em3-cm3 s<sup>-1</sup>], [X] being the mixing ratio of species X,  $c_{air}$  the concentration of dry air in [molecules cm<sup>-3</sup>] and  $j_{CH_4}$  the photolysis rate of CH<sub>4</sub> in [molecules s<sup>-1</sup>].

The area, where the H<sub>2</sub>O yield attains its maximum, i.e. where it is 2, corresponds to the area, where the lifetime of CH<sub>4</sub> attains its stratospheric minimum (see Fig. 4). However, the CH<sub>4</sub> lifetime does not fully explain the behavior of the chemical yield, since in the upper mesosphere both, yield and lifetime, drop to a minimum, which can be explained by the emerging role of photolysis in this area. This further suggests that OH is an important factor in the H<sub>2</sub>O yield in the stratosphere, but does not influence it alone. It becomes replaced by photolysis in the mesosphere, which influences the CH<sub>4</sub> lifetime and, more importantly, destroys H<sub>2</sub>O and initiates its recycling.

A sensitivity study concerning the impact of OH onto  $\gamma_{H_2O}$  is presented in the next section.

**Table 2.** Overview of simulations carried out in this study, including box model simulations and the sensitivity study concerning  $H_2O$  yield dependence on OH as well as the global simulations with EMAC.

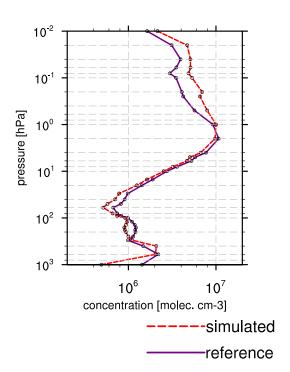
Name	description	simulation
Exp1	Experiment with unconstrained OH	box model
Ref	Reference with standard fixed OH concentration from	box model
	yearly climatology of RC1SD-base-10	
SS1	Sensitivity simulation with 0.5×OH from Ref	box model
SS2	Sensitivity simulation with 0.1×OH from Ref	box model
SS3	Sensitivity simulation with 0.05×OH from Ref	box model
SS4	Sensitivity simulation with 0.01×OH from Ref	box model
SS5	Sensitivity simulation with 2.0×OH from Ref	box model
Exp2	Global simulation with EMAC, MECCA and MECCA-	CCM
	TAG	

# 3.1.2 Sensitivity with respect to OH

The results of the previous section reveal that the effective yield of water vapor from  $CH_4$  oxidation depends on the box location, hence the chemical regime at a certain pressure level. Particularly, OH is one of the major oxidants shaping the chemical regime and largely controls the conversion of  $CH_4$  to  $H_2$  and  $H_2O$ , respectively. In the simulations shown above (Exp1) the OH is unconstrained, however, its final (equilibrated) OH concentration does not deviate much from the initial values (see Fig. 5). The following sensitivity study aims towards understanding the relationship between the OH and the vertical profile of the yield. It is investigated whether the variations of the yield are directly related to OH variations or to other parameters.

In further sensitivity simulations with CAABA, OH is initialized with the reference from EMAC multiplied with constants and kept constant throughout the simulation. This introduces an additional prescribed hydrogen carrying species, which introduces or withdraws hydrogen to or from the system. However, contribution of OH to the total H abundance in the system was found negligible. The first four simulations reduce the OH concentration by the factors of 0.5 (SS1), 0.1 (SS2), 0.05 (SS3) and 0.01 (SS4) respectively, while the fifth one is performed with a doubled OH concentration (SS5). One additional simulation represents the reference simulation (Ref), which started with an OH concentration identical to the analysis above, except that OH is kept constant. The simulations are listed in Table 2. The simulation set-up uses extreme perturbations of the OH concentration to provide a qualitative estimate of the impact of OH onto the  $H_2O$  yield from  $CH_4$  oxidation.

The results of the sensitivity simulations are shown in Fig. 6. First of all, the initial experiment Exp1 (see Fig. 3) and the reference experiment of the sensitivity study Ref (see Fig. 6 red line), show mostly consistent results compared to each other concerning the effective and direct yield, which confirms that prescribing OH is adequate. However, in the upper mesosphere, where the OH concentration has the largest difference (cf. Fig. 5), the effective yield in the experiment Ref drops already at 1 hPa significantly. Additionally, the effective yield in the experiment Ref reaches a value lower than the effective yield in the



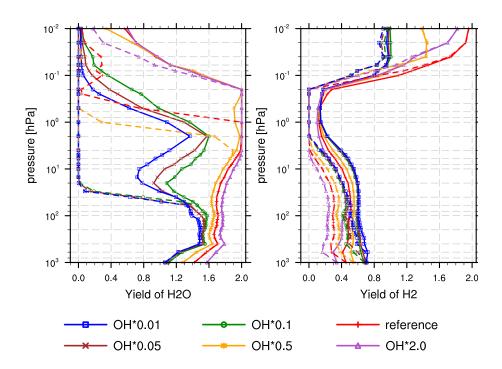
**Figure 5.** Reference OH concentration in the tropics from ESCiMo experiment RC1SD-base-10a (purple) and OH concentration as simulated in respective boxes (red).

experiment Exp1 in this area. Nevertheless, the direct yield is not considerably different between these two experiments. This once more supports the assumption of a strong OH dependence of the  $\gamma_{H_2O}$ .

Comparing experiment Ref with SS1 shows that reducing the OH concentrations by half reduces the direct and effective yields by about 0.05 in the lower stratosphere. Altogether, the direct yield profiles are rather similar in experiment Ref and SS1, with an exception of lower values in SS1 within the 10–1 hPa range and above 0.2 hPa. Prominent, however, is the difference in the effective yield. In the experiment SS1 the effective yield drops to zero already at 0.04 hPa and does not have the local enhancement seen in experiment Ref around 0.2–0.02 hPa.

Considering the sensitivity simulations SS2–SS4, the effect of OH reduction on  $\gamma_{H_2O}$  becomes more apparent. The effective yield drops to zero already above 60 hPa. The direct yield shows strongly reduced values in the stratosphere, with a local minimum at 20 hPa for SS2 and SS3 and a bit above for SS4, being 1.08, 0.92 and 0.78 respectively. Above 20 hPa the direct yield increases towards a local maximum at 2 hPa, following the profile of the CH<sub>4</sub> lifetime. Above 2 hPa the direct yield decreases nearly monotonically.

In the experiment SS5, with doubled OH,  $\gamma_{H_2O}$  is about 0.07 higher compared to experiment Ref and nearly replicates the results of experiment Exp1 in the mesosphere, where the OH equilibrated at a value of about twice that of the reference OH concentration from EMAC.



**Figure 6.** Pseudo vertical profiles of the H<sub>2</sub>O yield (left) and H<sub>2</sub> yield (right), calculated by the box model approach. Solid lines represent the direct yield, the dashed lines the effective yield and circles indicate the pressure levels of the model boxes. OH concentrations are prescribed in all simulation to the initial values of the respective vertical box. The plot shows simulations with the reference OH concentration (Ref, red, plus signs) as well as the OH concentration times 2 (SS5, purple, triangles), times 0.5 (SS1, orange, asterisks), times 0.1 (SS2, green, circles), times 0.05 (SS3, brown, crosses) and times 0.01 (SS4, blue, squares).

Compared to the yields of H<sub>2</sub>O, the effective and direct yields of H<sub>2</sub> show moderate dependence on OH concentration. The yield of H<sub>2</sub> is rather constant at lower levels, reaches its minimum around the stratopause and increases again above that to its maximum. Around the stratopause and in the lower mesosphere all experiments show similar results. In lower boxes the simulations with lower OH show higher yields and vice versa. In contrast to this, the boxes in the middle mesosphere and above show an inverted behavior. Except, however, for experiment SS5, which results in a lower yield than in the reference simulation.

Moreover, profiles of yield of  $H_2$  from the oxidation of  $CH_4$  ( $\gamma_{H_2}$ ) of experiments SS2, SS3 and SS4 overall do not vary much compared to each other.

Summarizing, increasing OH concentrations lead to higher direct and effective H<sub>2</sub>O yields. Both yields show a larger difference to the reference at higher altitudes with varying OH, indicating that the sensitivity of the chemical regime with respect to the OH concentration increases with altitude.

The results of the sensitivity study suggest that the effective yield of  $H_2O$  has a high sensitivity to the OH concentration and give evidence that a minimum OH concentration is required for an effective  $H_2O$  recycling.

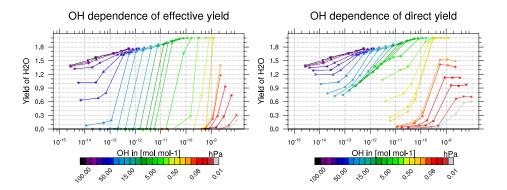


Figure 7. Effective yield (left) and direct yield (right) versus OH; colors indicate pressure level from low to high pressure.

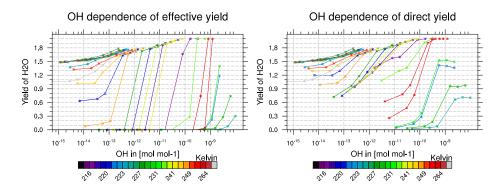


Figure 8. Effective yield (left) and direct yield (right) versus OH; colors indicate temperature from low to high temperature.

The  $\gamma_{H_2}$  shows an anti-correlated behavior to that of the H<sub>2</sub>O yield, however, as an exception, doubling of OH shows a lower yield than the reference in the mesosphere.

## 3.1.3 Dependencies on pressure and temperature

The results shown in the previous subsection indicate that there is an OH dependence in both the effective and direct yield. To investigate whether this dependency is systematic, simulated  $H_2O$  yields are plotted as  $\gamma_{H_2O}$  versus OH mixing ratio in Fig. 7. Generally, there is no linear correlation between these two parameters. However, a systematic dependence is evident for each box, i.e. at each pressure level. The slope of the correlation is thereby dependent on the pressure level. For higher pressure the gradient is low and becomes steeper for lower pressure levels.

The slope of the correlation of OH and the direct yield (see Fig. 7 (right)) is smaller for pressure levels at 2–80 hPa than the slope of the effective yield (see Fig. 7 (left)) at corresponding pressure levels. Moreover, the effective yield has a sharp transition from low to high OH values, while the direct yield increases more gradually.

The scatter plots give evidence that in a certain range of pressure levels the yields exhibit a saturation-like behavior with respect to OH concentrations. Furthermore, there is no indication of a connection between the yield-OH-dependence and the

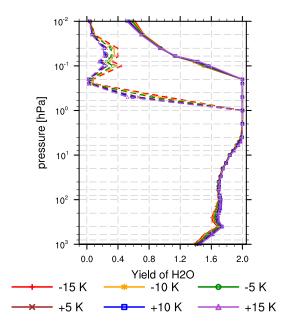


Figure 9. The pseudo vertical profile of the  $H_2O$  yield calculated by the box model approach. Solid lines represent the direct yield, the dashed lines the effective yield and circles indicate the pressure levels of the model boxes. OH is kept constant to the initial values of the respective vertical box. The plot shows sensitivities concerning temperature. Temperature is varied from the standard atmosphere value by -15K (red), -10K (orange), -5K (green), +5K (brown), +10K (blue) and +15 K (purple).

temperature (see Fig. 8 and the non-ordered colors indicating the temperature), despite the fact, that reaction rates in the  ${\rm CH_4} \rightarrow {\rm H_2/H_2O}$ -cycle are usually stronger impacted by temperature than by pressure.

We carried out additional sensitivity studies in order to investigate the temperature dependence of the yield on a given pressure level. Results are displayed in Fig. 9. The simulation set-ups are identical to that of experiment Ref, except that temperature in every box was varied within -15 K to +15 K with 5 K steps. This temperature range is chosen as it represents a range exceeding day-night differences (less than  $\pm 5$  K) and the annual cycle (less than  $\pm 10$  K) in the tropics. In the lower stratosphere there is no indication of a significant temperature sensitivity of the effective and direct yields. The latter also does not show any significant sensitivity at higher altitudes. The effective yield in the upper stratosphere and mesosphere shows a small dependence in a way that lower temperatures increase the yield and vice versa.

Consideration of the obvious vertical dependence and the very low temperature dependence gives evidence that not the physical parameters (temperature and pressure) themselves are crucial for the  $H_2O$  yield, but rather the chemical composition of the box (i.e., among others, abundances of OH,  $HO_2$ ,  $O(^1D)$  and Cl). This chemical composition, however, changes with altitude (hence with pressure) and depends additionally on transport.

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# 3.2 Global model approach

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As stated before, the box model approach does not take into account vertical transport and requires certain assumptions. Consequently, the boxes do not fully represent atmospheric conditions. To investigate the production of SWV in a comprehensive set-up, MECCA-TAG is applied in a global simulation with EMAC. The full chemistry of MECCA plus MECCA-TAG, which more than triples the amount of simulated tracers, increases the computational demands substantially. The additional tracers in the model defined by MECCA-TAG are basically counterparts of the tracers of the regular chemical mechanism and are marked (tagged) to be distinguishable from each other. In the following, these tracers are indicated by the label *tagged*. A spin-up simulation of 6 years with a reduced vertical resolution is carried out to pre-adjust tagged tracers. The results shown here originate from a subsequent simulation, which is executed for another two years model time.

Although the global simulation provides a three dimensional field, we focus in the current study on the vertical zonal mean profile of the yield of  $H_2O$  from  $CH_4$  oxidation ( $\gamma_{H_2O}$ ) averaged over the tropics. An analysis of the zonal mean without meridional averaging (see Supplement Fig. S1) shows that the conclusions presented in this section also apply to a certain degree at mid latitudes. In the polar regions the analysis of the calculated yield is not useful as long periods without sunlight and hence photolysis introduce substantial numerical errors into the calculation of  $\gamma_{H_2O}$ .

Figure 10 shows the vertical profile of the direct and effective yield of  $H_2O$  in the tropics (23° S–23° N). Both match the vertical profile of the results of the box model simulation Exp1 superficially. However, there are certain differences.

First, the yield of  $H_2O$  from  $CH_4$  oxidation increases in the upper stratosphere and lower mesosphere to a value above 2, because the global model, unlike the box model, includes transport. The tagged intermediates (e.g. tagged  $CH_3$ , HCHO- $H_2$  (mainly),  $CH_3$ , methanol ( $CH_3OH$ ), formaldehyde (HCHO) etc.) which are produced at lower levels are transported upward and are finally converted to  $H_2O$ . This results in a production of more than two  $H_2O$  molecules per oxidized  $CH_4$  in one specific layer, because the additional production via transported intermediates is counted as well. In layers, where this increased production takes place, high OH concentration supports the conversion of the intermediates towards  $H_2O$ , since OH is the main driver of the chemistry  $-(e,g,H_2+OH \rightarrow H_2O+H)$ .

The three topmost model layers in the upper mesosphere (0.06–0.01 hPa) are possibly subject to artifacts due to the nearby top of the global model and are therefore not considered in this analysis. It is assumed that the trend, which is evident below 0.1 hPa, showing decreasing  $\gamma_{H_2O}$  values also applies to the upper mesosphere, which would be similar to the box model results in the section above.

In Fig. 11 it also becomes obvious that the loss of  $H_2O$  increases at higher altitudes. Additionally, the recycling of  $H_2O$  contributes considerably to the effective yield. The photooxidation of  $H_2O$  drives the continuously recycling of  $H_2O$  to  $H_2$  and back, shifting the equilibrium between these two gases towards  $H_2$ .

Altogether, the separated  $H_2O$  and  $H_2$  loss/production terms of the global model are consistent with the box model findings. They also show a local maximum in loss of  $CH_4$  and primary production of  $H_2O$  below the stratopause and the strongly pronounced secondary loss and production of  $H_2O$  in the middle and towards the upper mesosphere.

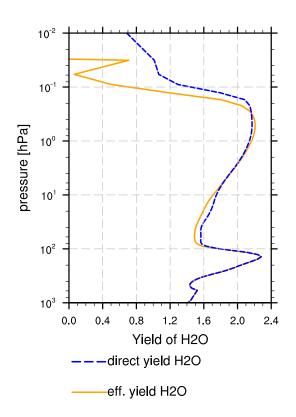


Figure 10. Effective and direct yield calculated from results of the global simulation in the tropics (23° 5-235-23° N)

## 3.3 Ratio of H:H2:H2O

A different approach than the first two presented ones to determine  $\gamma_{H_2O}$  in the stratosphere is to use the fact that the vertical profile of the H content in terms of atoms is fairly constant above the tropopause (see Fig. 12 (left)) compared to tropospheric variations. The H content in the stratosphere consists mostly of  $CH_4$ ,  $H_2O$ ,  $H_2$ , and, in the topmost layers, H. Other H carrying substances, such as OH, HNO<sub>3</sub>, can be neglected for the H budget. The chemical regime determines the proportion between H,  $H_2$  and  $H_2O$ , but the total H content is preserved. Figure 12 (right) shows the tagged H content in the same manner. In this panel the difference between the total  $H_2$  including the transported  $H_2$  from the troposphere, which is observed in atmospheric measurements, and the  $H_2$  solely produced by  $CH_4$  becomes distinguishable. The contribution of  $H_2$  produced from methane increases with altitude (corresponding to  $\gamma_{H_2O}$ <2), whereas the  $H_2$  originally injected from the troposphere decreases (by oxidation into  $H_2O$ ). Therefore the net  $H_2$  content is (almost) constant, at least in the lower stratosphere where measurements are available.

The effective yield of  $H_2O$  from  $CH_4$  oxidation, as explained in previous sections, describes the net production of  $H_2O$ . Precisely it is an indicator for the interaction of loss and production of  $H_2O$ , further influencing the production of  $H_2$  and H as

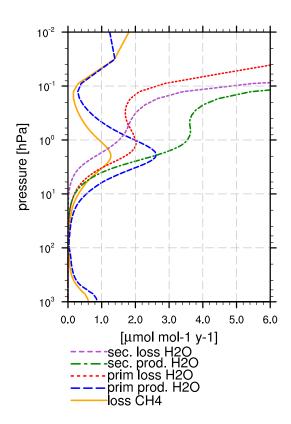
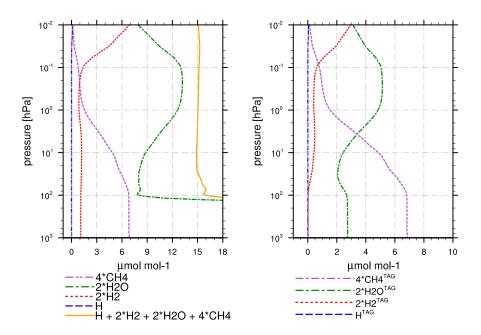


Figure 11. Separate loss of CH<sub>4</sub> and primary and secondary loss and production of H<sub>2</sub>O from the global simulation (23°  $\frac{\text{S-23}}{\text{S-23}} = \frac{23}{\text{C}} = \frac{23}{\text{C}}$ 

well. As a first assumption, additional H from  $CH_4$  oxidation should be partitioned to the reservoirs of H,  $H_2$  and  $H_2O$  in the same proportion as is present in the steady state. This is based on the supposition that it does not matter, whether the H, which is injected to the hydrogen cycling and reaches the indicated H reservoirs, comes from  $CH_4$  or any other hydrogen supply. If we assume further that the simulated proportion of , and at a certain level is approximately constant in time and that  $CH_4$  is at higher layers the only additional hydrogen supply, we can determine the effective yield of  $H_2O$  by  $CH_4$  oxidation through the proportion of anually averaged H atoms in  $H_2O$  to the total hydrogen content of H,  $H_2$  and  $H_2O$ . This proportion of the total hydrological content is subsequently called the H portion of  $H_2O$ .

In Fig. 13 the H portion of tagged and total  $H_2O$  is plotted with respect to the sum of tagged and total <u>hydrogen content</u> in the CH<sub>4</sub> oxidation products H, H<sub>2</sub> and H<sub>2</sub>O, from the global experiment Exp2. This sum (H + 2\*H<sub>2</sub> + 2\*H<sub>2</sub>O + 4\*CH<sub>4</sub>) of 15  $\mu$ mol mol<sup>-1</sup> is in accordance with the estimate derived with the CHEM2D model by Wrotny et al. (2010) for the sum of H<sub>2</sub> + H<sub>2</sub>O + 2\*CH<sub>4</sub> being  $\sim 7.5 \mu$ mol mol<sup>-1</sup> (i.e. one half of  $\simeq 15 \mu$ mol mol<sup>-1</sup>). The individual abundances of H<sub>2</sub>, H<sub>2</sub>O and CH<sub>4</sub> also agree well with each other.

The H portion of  $H_2O$  in the hydrogen budget is 2 in the troposphere and decreases to a minimum right above the tropopause. The hydrological cycle is producing a generally humid troposphere. Therefore,  $H_2O$  in the lower layers of the atmosphere is



**Figure 12.** Annual zonal average of general H (left) and tagged H (right) content by species (in  $\mu$ mol mol<sup>-1</sup>) over the tropics (23° S-23S-23° N). is shifted by 3.0 for a better visibility.

prevailing versus  $H_2$  and H, which are quickly oxidized as soon as they are produced. The minimum of the H portion of  $H_2O$  above the troposphere can be explained by the freeze drying at the cold point. This reduces the H portion of  $H_2O$  versus the one of H and  $H_2$ .

This minimum is not equally plain in the tagged  $H_2O$ . Note that tagged  $H_2O$  in the troposphere is already lower than the total  $H_2O$ , since it is solely produced by  $CH_4$  oxidation. When  $CH_4$  ascends from the troposphere through the cold point into the stratosphere it continuously produces  $H_2O$ , although at low rates (due to low temperatures). Therefore, tagged  $H_2O$  is still produced by  $CH_4$  and even though it partly freezes out, the proportion to H and  $H_2$  is not much impacted. However, in the lower stratosphere the mixing ratio of tagged  $H_2$  increases, while  $H_2O$  is still restrained by the cold point. This behavior becomes more apparent in case of the tagged species, since their absolute amounts are fairly low compared to the total ones.

Nevertheless, the H portion of tagged  $H_2O$  and total  $H_2O$  behave similar above the minimum at the tropopause, as seen in the maximum around the stratopause and in the lower mesosphere and the strong decrease in the middle mesosphere and above. The general behavior of the vertical profile also agrees well with the above findings of the yield calculations using box model and global model results.

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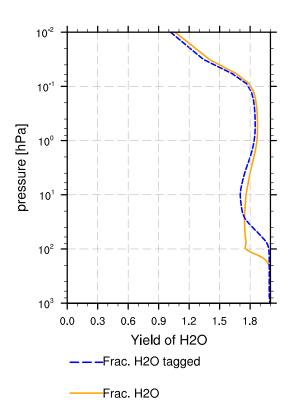


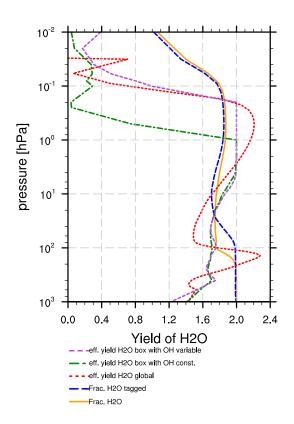
Figure 13. H portion of tagged and total  $H_2O$  with respect to the tagged and total hydrogen content  $H_y=(H+2\times H_2+2\times H_2O)$ , respectively. I.e.  $2\cdot H_2O/H_y$  (orange solid) and  $2\cdot H_2O_{tagged}/H_{ytagged}$  (blue dashed).

#### 4 Discussion

The presented results show three different approaches in estimating  $\gamma_{H_2O}$ . Taking the results of the separate approaches together gives the opportunity to discuss certain processes, which are differently parameterized and decisive for the yield estimation. We first want to discuss the general benefits and limitations of the approaches.

In the box model we have the opportunity to study a chemical regime without transport. It enables us to solely assess the involved chemical kinetics. Clearly, the box model chemistry does not fully represent the intended atmospheric conditions. Setting certain species to a constant value does change the chemical regime. However, without constraints on the chemical species the model would run into a new equilibrium, which changes the regime as well. It therefore needs careful weighing to specify, which species should be kept constant and which species should be allowed to re-adjust, to be able to simulate a representative chemical regime.

In the global model, we are not restricted to one vertical profile, but can evaluate the yield in three dimensions. Nevertheless, the effects of transport and chemical regime onto the yield cannot be separated, since transport influences the chemical regime.



**Figure 14.** Comparison of all approaches determining the H<sub>2</sub>O yield: Effective yield by box model simulations with variable OH (purple, dashed) and fixed OH (green, dash-dotted), effective yield by global model simulations (red, dotted), H portion of total (yellow, solid) and tagged (blue, long dashed) H<sub>2</sub>O with respect to the hydrogen content.

The vertical profile of  $\gamma_{H_2O}$  is for this reason susceptible to changes in dynamical processes as for example the Brewer-Dobson circulation.

The third approach, which used the total H budgets and portions, helps to quantitatively evaluate the methods, which are calculating the effective yield. It shows the actual portion of hydrogen from  $CH_4$  in the total hydrogen without a production and loss term, which is sensitive to variations in the chemical regime. Yet, this approach is not directly linked to the loss of  $CH_4$  and it is not possible to explicitly resolve the influence of chemistry, since, for example, it is not clear if the decreasing values of  $\gamma_{H_2O}$  in the mesosphere are due to the increasing loss of  $H_2O$  or due to the reduced oxidation of  $CH_4$ .

Figure 14 shows the vertical profiles of the  $H_2O$  yields and H portions calculated by the approaches described in the previous sections combined in one plot.

Comparing the results of the box model and the global model in the lower stratosphere,  $\gamma_{H_2O}$  in the global model is lower than in the box model. This suggests that CH<sub>4</sub>-produced H<sub>2</sub>O is transported into the stratosphere, where it is destroyed, adding to the loss of H<sub>2</sub>O. This reduces  $\gamma_{H_2O}$  while the oxidation of CH<sub>4</sub> is low, due to the exceptionally long lifetime of CH<sub>4</sub> due

to low temperatures and low OH concentrations. In the upper stratosphere, global model  $\gamma_{H_2O}$  is larger than box model  $\gamma_{H_2O}$  and, more importantly, larger than 2, which is attributed to transport. This time,  $CH_4$ -derived intermediates are elevated and produce  $H_2O$  independent of the  $CH_4$  oxidized in this region. This contradicts the assumption that two  $H_2O$  molecules are immediately produced from  $CH_4$  oxidation, since intermediates do play an important role. This is furthermore consistent with the findings of Wrotny et al. (2010), who calculated a yield larger than 2 in this area as well. However, our results are lower than from Wrotny et al. (2010). As they stated in their conclusions "the net loss of  $H_2$  [...] drives additional  $H_2O$  production, thus producing positive vertical gradients in  $H_2O+2*CH_4$ " (Wrotny et al., 2010). In other words, they attribute the values above 2 to the production from  $H_2$ . Our method distinguishes  $H_2$  produced by  $CH_4$  oxidation from  $H_2$  from other sources (e.g. transport from the troposphere) and our yield is only defined for the  $CH_4$  originating part. Therefore it is lower than reported by Wrotny et al. (2010).

In the middle mesosphere, box model and global model  $\gamma_{H_2O}$  decrease substantially. Although, the topmost layers must be considered with caution due to potential artifacts, it is possible that the yield of the global model reaches values below zero. In the global model tagged  $H_2O$  is transported into the mesosphere, where it is destroyed, due to the enhanced sink of  $H_2O$  through photooxidation. The effective yield decreases below zero, since the loss of  $H_2O$  becomes larger than the production of  $H_2O$  ( $\mathbf{P}_{H_2O}^I + \mathbf{P}_{H_2O}^{II} < \mathbf{L}_{H_2O}^{II} + \mathbf{L}_{H_2O}^{II}$ ). This emphasizes the importance of  $H_2O$  destruction at higher altitudes, which particularly is not included, when parameterizing the chemical  $\gamma_{H_2O}$  of  $H_2O$  with two  $H_2O$  molecules per  $CH_4$  molecule oxidized.

Moreover, the effective yield in the box model setup with fixed OH profile drops down at 1 hPa, while the yield of the box model with variable OH, (Exp1) and the global model (Exp2) do not drop until 0.2 hPa. Additionally, Exp1 and Exp2 agree well concerning the altitude of the drop (the peak in Exp2 (red line) is most likely an artifact as discussed in Section 3.2). This suggests further that the chemical regime of the box model presented by the annual mean of the reference simulation (Ref) is not consistent with the chemical regime at the corresponding altitude concerning OH. The initialized and fixed value of OH at these levels is too low to realistically capture the chemical situation. This also shows that unconstrained OH is crucial and that the vertical profile of OH of simulation Exp1 in this region better agrees with the OH in the global simulation Exp2.

The H portion of  $H_2O$  in the hydrogen content matches qualitatively the results of the yield calculations in the box and global model approach. MECCA-TAG again enables us to focus on H in  $H_2O$  particularly from  $CH_4$  oxidation and to ignore the H from other sources. The minimum of the H portion of  $H_2O$  in the lower stratosphere and its maximum close to the stratopause and in the lower mesosphere therefore shows that the production of  $H_2O$  from  $CH_4$  oxidation relative to the production of  $H_2$  from  $CH_4$  oxidation is smaller in the lower stratosphere and becomes larger towards the upper stratosphere. Accordingly, we conclude that our estimation that  $\gamma_{H_2O}$  differs significantly from 2 in the lower stratosphere is reliable.

Altogether, the different approaches yield consistent results. All suggest a yield of less than 2 in the lower stratosphere, varying between 1.5 and 1.7. The smallest value is estimated in the global simulation Exp2, where the yield is larger than the one of le Texier et al. (1988), which is  $\gamma_{H_2O}$ =1.3 at corresponding altitudes. The results of le Texier et al. (1988) also showed a maximum around 1 hPa, which is consistent with our results, albeit being a bit above 1.8 and with that lower than our estimate of 2 (or more in case of the global simulation) in that region.

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Overall, the estimated yield of  $H_2$  from le Texier et al. (1988) and the yield of  $H_2$  estimated by the box model approach are consistent as well. While our resulting  $\gamma_{H_2O}$  is larger than in le Texier et al. (1988), the  $\gamma_{H_2}$  is lower. Still, the vertical profiles of  $\gamma_{H_2}$  in both studies are comparable.

The fundamental study of le Texier et al. (1988) does not capture the influence of the increasing loss of  $H_2O$  at higher altitudes. They only considered the direct yield of  $H_2O$  and do not include  $H_2O$  loss in their calculation. Nevertheless, the findings in our study show, that the difference between effective and direct yield becomes only apparent above 0.1 hPa and le Texier et al. (1988) do not discuss results above this pressure level.

Furthermore, le Texier et al. (1988) is often cited as the reference for the assumption of  $\gamma_{H_2O}$ =2. However, in the lower stratosphere our results and those of le Texier et al. (1988) actually agree that  $\gamma_{H_2O}$  is less than two, which objects the assumption of a constant  $\gamma_{H_2O}$ =2.

Hurst et al. (1999) calculated a net production of  $H_2O$  of  $1.973 \pm 0.003$ , which includes a loss of H via  $H_2$  of  $0.027 \pm 0.003$ . These values differ from our findings in the box model approach. Our estimated  $\gamma_{H_2O}$  is smaller and our  $\gamma_{H_2}$  is larger than estimated by Hurst et al. (1999). As noted before, by using observational data it is not possible to distinguish between  $H_2$  from the troposphere and  $H_2$  produced by H from  $CH_4$ , which results in this rather low net production of  $H_2$ . Assume, for example, that  $H_2$  is not produced in the stratosphere. The mixing ratio of  $H_2$  will then decrease with respect to altitude. However, the contribution from  $CH_4$  oxidation onto  $H_2$  fills up the oxidized molecules, and only if  $\gamma_{H_2} \cdot [CH_4]$  is larger than the total loss of  $H_2$ , observed  $H_2$  and  $CH_4$  are anti-correlated. Using the kinetic tagging gives us the opportunity to distinguish between the total loss of  $H_2$  and the loss of those  $H_2$  molecules carrying H from  $CH_4$ . Our findings provide therefore an additional insight into processes, which determine the observed vertical profiles and provide estimates for the contribution of  $CH_4$  separated from the background  $H_2$  and  $H_2O$ .

The study of Wrotny et al. (2010), based on a correlation analysis of satellite measurements, derived a yield of 2.6–2.7 at 1.0 hPa (depending on the satellite product and error assumptions). These are larger than our estimate, which is less than 2.3. Nevertheless, we agree that the yield can be larger than 2, but a direct comparison of our model results with the measurement based derivation of Wrotny et al. (2010) is not possible for the arguments given above.

Summarizing, our results suggest that applying  $\gamma_{H_2O}$ =2 as the contribution to  $H_2O$  by the oxidation of  $CH_4$  in climate models likely overestimates the kinetic yield of  $H_2O$  in the lower stratosphere and in the mesosphere above 0.2 hPa. We admit, however,

Based on our simulations, in the lower stratosphere between 100 and 10 hPa, the portion of  $H_2O$  from  $CH_4$  is in the range of 25% to 44% (calculated by Fig. 12 taking the ratio of tagged and total  $H_2O$ ). Assuming  $\gamma_{H_2O} = 2$  overestimates the contribution of  $CH_4$  oxidation to the  $H_2O$  production by 10% to 25%, which is equivalent to an overestimation of total water of 2.5% up to 11%. Given the large uncertainties of  $H_2O$  measurements in this altitude range and the high sensitivity for climate impact (Solomon et al., 2010), a 10% change in water vapor can have a measurable impact. This impact can only be estimated by sensitivity climate simulations. These are, however, beyond the scope of our present study.

We admit that a small fraction of  $H_2O$  should also be produced from  $H_2$  ascending from the troposphere. This likely reduces the SWV bias in GCMs simulations using the approximation of  $\gamma_{H_2O}$ =2, since those models do not include a separate  $H_2O$ 

production from  $H_2$  oxidation. Nevertheless, to be punctilious, the yield of  $H_2O$  from  $CH_4$  oxidation should be distinguished from the net chemical production of  $H_2O$ . In subsequent studies, we intend to apply the tagging method for estimating a  $\gamma_{H_2O}$  from  $H_2$  oxidation ( $\gamma_{H_2O}(H_2)$ ).  $H_2$  and  $CH_4$  may oxidize at a similar rate, but the resulting products are different, which likely results in a varied  $\gamma_{H_2O}$  with respect to the source gas (i.e.  $\gamma_{H_2O}(CH_4) \neq \gamma_{H_2O}(H_2)$ ).

#### 5 4.1 Recommendations for GCMs without on-line chemistry

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An important disadvantage of the parameterization as in Eq. (1) with  $\gamma_{H_2O}$ =2 is that it does not account for the loss of H<sub>2</sub>O in the mesosphere. Even though CH<sub>4</sub> oxidation becomes negligible at these altitudes, this simple parameterization does not consider that H<sub>2</sub>O gets chemically destroyed. Strictly speaking, the loss of H<sub>2</sub>O is independent of CH<sub>4</sub> and should potentially be included separately. MacKenzie and Harwood (2004) and McCormack et al. (2008) presented, for example, sophisticated parameterizations, which target this issue in their 2D atmospheric models. Based on our results, we recommend to apply a parameterization, which is not solely based on the loss of CH<sub>4</sub>, but accounts for the reduced yield in the lower stratosphere and also includes the loss of H<sub>2</sub>O.

Besides this, transport of intermediates is an important factor for the vertical profile of the  $\gamma_{H_2O}$ . It must be noted that atmospheric transport is not constant in time. The Brewer-Dobson circulationfor example is predicted to change, for example, changes in future climate projections (Butchart et al., 2010). For a comprehensive A simple parameterization of  $\gamma_{H_2O}$  cannot take these changes in transport must be taken into account. However, changes in transport into account, since they depend on various factors and are therefore difficult to be included into parameterizations. This raises indeed the question, whether a simplified parameterization of  $\gamma_{H_2O}$  is indeed at all applicable for future climate projections, or if it is necessary to simulate the full-chemistry for an accurate representation of SWV. The need of on-line chemistry for meaningful climate projections has anyway already been shown e.g. by Chiodo and Polvani (2017) for a realistic response of Southern Hemisphere (SH) circulation to CO<sub>2</sub> changes.

Nevertheless, one Keeping these challenges in mind we are interested in deriving a parameterization as an intermediate stage between the very simple constant yield and the on-line chemistry. This is beyond the scope of the current study. Nevertheless, in the paragraph below we provide a sketch of such a parameterization together with its limitations and requirements.

One could start with a parameterization as introduced by Eq. (1), however, with a pressure p (and latitude  $\phi$ ) dependent  $\gamma_{H_2O}(p)$  (p) (p) derived from our vertical yield profiles. This adds a vertical dependency to the chemical production of  $H_2O$  per  $CH_4$  oxidized. As long as no large variations or trends in the stratospheric transport are expected within the simulation period, our profile is a good approximation. The limitation is, however, that the pressure (and latitude) dependence is likely to change with changing climate.

At higher altitudes (above 0.2 hPa) the yield in Eq. (1) could be replaced or supplemented by an explicit parameterization of the chemical loss of  $H_2O$ , mostly via photolysis and the reaction with  $O(^1D)$ , see MacKenzie and Harwood (2004) and McCormack et al. (2008). In the simplified methane chemistry of EMAC, for example, a predefined  $O(^1D)$  is also used for the reaction with  $CH_4$  and could be reused for the reaction with  $H_2O$ . Again, the same limitation holds: under climate change, water vapourvapor, and photolysis rates are likely to change.

Furthermore, for the sake of completeness concerning the chemical source of SWV, the contribution of  $H_2$  transported from the troposphere into the stratosphere needs to be included as well. This requires at least one additional tracer for  $H_2$  and a parameterization of the vertical profile of  $\gamma_{H_2O}$  from troposphere originated  $H_2$  oxidation.

Last but not least, we doubt that a simple three-tracer (H<sub>2</sub>, H<sub>2</sub>O, CH<sub>4</sub>) parameterization will be possible without a nearly full chemical mechanism, because the oxidation rates largely dependent on ozone. Such an approach will hardly be meaningful for climate simulations.

#### 5 Conclusions

In this study, we present a comprehensive evaluation of current assumptions and estimates of the chemical yield of  $H_2O$  from  $CH_4$  oxidation in the middle atmosphere. We show results of three different approaches to estimate  $\gamma_{H_2O}$  and discuss certain advantages and challenges.

We conclude that the widely used assumption that one  $CH_4$  molecule produces two water molecules overestimates the kinetic  $H_2O$  production in the stratosphere up to 4 hPa and in the mesosphere above 0.2 hPa. Our results show that a local yield larger than 2 in certain areas is possible through ascended intermediates. In addition to that, transport is generally an issue when dealing with kinetic yields, since it influences the chemical regimes at all altitudes. It also makes the interpretation of the presented approaches challenging, when these are investigated separately.

Nevertheless, the separate approaches presented in this study, show consistently that  $\gamma_{H_2O}$  is substantially lower than 2 in the lower stratosphere, has a local maximum between 0.2 and 0.4 hPa and is exceedingly low in the upper mesosphere. We find a low  $\gamma_{H_2O}$  in the middle and upper mesosphere, since the loss of  $H_2O$  at higher altitudes increases, shifting the equilibrium between  $H_2O$  and  $H_2$  towards  $H_2$ . The chemical loss is therefore a crucial factor for the correct parameterization of SWV production from  $CH_4$  oxidation. At some point, the loss of  $H_2O$  is so strong that  $H_2O$  is effectively destroyed per oxidized  $CH_4$ .

An additional result from the box model simulation is that the chemical yield of  $H_2O$  depends on the OH concentration and more general on the chemical kinetics. A strong temperature dependence, however, could not be detected.

Furthermore, the presented results agree with earlier kinetic estimates of  $\gamma_{H_2O}$  from le Texier et al. (1988), who state that not exactly two molecules are produced from CH<sub>4</sub> oxidation. Furthermore, our results give an additional insight into observations (e.g. Hurst et al. (1999); Rahn et al. (2003)), which are limited in detecting the chemical origin of H<sub>2</sub>O.

Overall, the results of the separate approaches give evidence that calculating the yield of  $H_2O$  from  $CH_4$  oxidation requires the loss of  $H_2O$  to be taken into account, making the task of creating a simple parameterization challenging. The latter also requires to admit a critical amount of assumptions about uncertain factors for an adequate atmospheric simulation. We therefore recommend, in order to maintain as much certainty as possible concerning the chemical yield of  $H_2O$ , to implement a simplified  $H_2O$  chemistry including the most important reactions determining the  $H_2O$  yield. The extent of the resulting subset of the chemical mechanism is determinative for the correct representation of the  $H_2O$  content in the middle atmosphere. However, it must be noted that a set of reactions required for the comprehensive simulation of  $H_2O$  kinetics is not substantially different

from the one incorporated in the full chemistry setup and is therefore less beneficial in terms of computational resources than a parameterized model. Nevertheless, as stated before, a too simple parameterization introduces uncertainties, which makes it challenging to preserve the required accuracy for applications in the simulation of climate projections, where atmospheric dynamics (e.g. the Brewer-Dobson circulation) and chemistry potentially differ from the present-day atmosphere.

The investigations presented in this study should serve as a basis for future studies concerning the chemical yield of H<sub>2</sub>O in the stratosphere and mesosphere. The gained knowledge can be used to derive new parameterizations of the chemical yield of H<sub>2</sub>O for a potential application in GCMs.

Code and data availability. The Modular Earth Submodel System (MESSy) is continuously developed and applied by a consortium of institutions. The usage of MESSy and access to the source code is licensed to all affiliates of institutions, which are members of the MESSy
 Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium Web-site (http://www.messy-interface.org). The data of the box model simulations described above is available in the supplement. Data of the global simulation is available upon request from the corresponding author.

Competing interests. The authors declare that they have no conflict of interest.

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# **Supplement**

- meccanism.pdf: The applied chemical mechanism of the box model and EMAC simulations.
- supplement.pdf: Including 2D Profiles of the EMAC simulations in terms of  $\gamma_{H_2O}$  and the ratio of H:H<sub>2</sub>:H<sub>2</sub>O, as well as the data of the box model simulations.

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