1	Winter 2018 major sudden stratospheric warming impact on midlatitude mesosphere
2	from microwave radiometer measurements
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19	Abstract. The impact of a major sudden stratospheric warming (SSW) in the Arctic in
20	February 2018 on the mid-latitude mesosphere is investigated by performing the microwave
21	radiometer measurements of carbon monoxide (CO) and zonal wind above Kharkiv, Ukraine
22	(50.0°N, 36.3°E). The mesospheric peculiarities of this SSW event were observed using a
23	recently designed and installed microwave radiometer in East Europe for the first time. Data

ata from the ERA-Interim and MERRA-2 reanalyses, as well as the Aura Microwave Limb 24 25 Sounder measurements, are also used. Microwave observations of the daily CO profiles in 26 January–March 2018 allowed the retrieval of mesospheric zonal wind at 70–85 km (below the winter mesopause) over the Kharkiv site. Reversal of the mesospheric westerly from about 10 27 m s⁻¹ to an easterly wind of about -10 m s⁻¹ around 10 February was observed. The local 28 29 microwave observations at our NH midlatitude site combined with reanalysis data show wide-30 ranging daily variability in CO, zonal wind and temperature in the mesosphere and stratosphere 31 during the SSW of 2018. The observed local CO variability can be explained mainly by 32 horizontal air mass redistribution due to planetary wave activity. Replacement of the CO-rich 33 polar vortex air by CO-poor air of the surrounding area led to a significant mesospheric CO 34 decrease over the station during the SSW and fragmentation of the vortex over the station at the 35 SSW start caused enhanced stratospheric CO at about 30 km. The results of microwave measurements of CO and zonal wind in the midlatitude mesosphere at 70–85 km altitudes,
which still is not adequately covered by ground-based observations, are useful for improving
our understanding of the SSW impacts in this region.

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41 **1 Introduction**

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43 Major sudden stratospheric warming (SSW) events which happen roughly every other year in 44 the North Polar region are produced by strong planetary wave activity according to the model 45 developed by Matsuno (1971) which is supported by numerous observations (Alexander and 46 Shepherd, 2010; Kuttippurath and Nikulin, 2012; Tao et al., 2015). A major SSW event is 47 accompanied by a sharp increase of the stratosphere temperature up to 50 K and the reversal of 48 the zonal wind from climatological westerlies to easterlies over a period of several days 49 (Charlton and Polvani, 2007; Chandran and Collins, 2014; Hu et al., 2014; Tripathi et al., 2016; 50 Butler et al., 2017; Karpechko et al., 2018; Taguchi, 2018; Rao et al., 2018). The primary 51 definition of a SSW event provided by the World Meteorological Organization requires a 52 stratosphere temperature increase and an accompanying zonal wind reversal to easterlies at the 10-hPa pressure level (approximately 30 km altitude) and 60° latitude (WMO, 1978). This 53 54 definition was broadened and detailed in recent papers (Butler et al., 2015; Butler and Gerber, 55 2018; Rao et al., 2019). The summarizing paper, where a SSW database is described, was 56 published in **Butler** et al. This useful tool (2017). 57 (https://www.esrl.noaa.gov/csd/groups/csd8/sswcompendium/) allows analysis of the 58 conditions in the stratosphere, troposphere, and at the surface before, during and after each 59 SSW event representing its evolution, structure, and impact on winter surface climate. The 60 compendium is based on data from six different reanalysis products, covers the 1958-2014 61 period and includes global daily anomaly fields, full fields, and derived products for each SSW 62 event (Butler et al., 2017).

63 The source of the SSW is planetary wave activity born in the troposphere that propagates 64 upward through the tropopause to the stratosphere (Matsuno, 1971; Alexander and Shepherd, 65 2010, Butler et al., 2015). The enhanced wave activity results in the rapid warming of the polar 66 stratosphere and the breakdown of the stratospheric polar vortex (Matsuno, 1971; de la Torre et 67 al., 2012; Chandran and Collins, 2014; Pedatella et al., 2018). The important feature of a SSW 68 event is its impact on lower altitudes, when temperature and wind anomalies descend 69 downward into the high- and mid-latitude troposphere during the following weeks to month 70 and influence the surface weather (Baldwin and Dunkerton, 2001; Zhou et al., 2002; Butler et al., 2015; Yu et al., 2018). The major SSW events may also impact the atmospheric
composition of the whole Northern Hemisphere (NH) stratosphere including mid-latitudes
(Solomon et al., 1985; Allen et al., 1999; Tao et al., 2015).

74 During the SSW, vertical coupling covers not only the troposphere but extends upward to 75 the mesosphere. Mesospheric responses to the SSW are observed as enhancement in planetary 76 wave amplitude, zonal wind reversal and significant air cooling (Shepherd et al., 2014; Zülicke 77 and Becker, 2013; Stray et al., 2015; Zülicke et al., 2018), substantial depletion of the metal 78 layers (Feng et al., 2017; Gardner, 2018), mesosphere-to-stratosphere descent of trace species 79 (Manney et al., 2009; Salmi et al., 2011). The SSW events are also accompanied by the rapid 80 descent of the stratopause into the stratosphere at the SSW onset, followed by formation of the 81 elevated stratopause in the lower mesosphere and gradual stratopause lowering toward its 82 typical position in the SSW recovery phase (Manney et al., 2009; Chandran et al., 2011; Salmi 83 et al., 2011; Tomikawa et al., 2012; Limpasuvan et al., 2016; Orsolini et al., 2010, 2017). The 84 elevated stratopause events provide an evidence of the coupling between the stratosphere and 85 the mesosphere.

86 Among the trace gases, the CO molecule is a good tracer of winter polar vortex dynamics in 87 the upper stratosphere and mesosphere due to its long photochemical lifetime (Solomon et al., 88 1985; Allen et al., 1999; Rinsland et al., 1999, Shepherd et al. 2014). The CO mixing ratio 89 generally increases with height in the upper stratosphere and mesosphere and increases with 90 latitude toward the winter pole. This is due to the mean meridional circulation which transports 91 CO from the source region in the summer hemisphere and tropics to the extratropical winter 92 mesosphere and stratosphere (Shepherd et al., 2014). Therefore, large abundances of CO appear 93 in the winter polar regions under conditions of large-scale planetary wave activity. Downward 94 meridional transport causes descent of CO between the mesosphere and stratosphere and this 95 process is sensitive to planetary wave amplitudes, and particularly the wave amplitude changes 96 that occur during SSWs (Rinsland et al., 1999; Manney et al., 2009; Kvissel et al., 2012). Due 97 to the large scale descent, high CO values of mesospheric origin are observed at stratospheric 98 altitudes down to 25–30 km (Engel et al., 2006; Huret et al., 2006; Funke et al., 2009). At NH 99 mid-latitudes, CO also exhibits significant variability during periods of planetary wave activity 100 associated with SSWs, when the polar vortex splits and displaces off the pole (Solomon et al., 101 1985; Allen et al., 1999; Funke et al., 2009).

Recent atmospheric models are being extended up to 80–150 km and are used for the study
of SSWs (de la Torre et al., 2012; Chandran and Collins, 2014; Shepherd at al., 2014;
Limpasuvan et al., 2016; Newnham et al., 2016). For example, de la Torre et al. (2012) applied
the Whole Atmosphere Community Climate Model (WACCM) and Shepherd at al. (2014) used

106 the Canadian Middle Atmosphere Model (CMAM) for SSW modeling. The reference wind 107 profiles for the models are mainly retrieved from observations of the radiation of the 108 mesospheric ozone molecules, which allow robust measurements at altitudes up to of 109 approximately 65 km (e.g., Hagen et al., 2018). These data are generally consistent with the 110 most commonly used reanalysis products. However, there are still insufficient observations of 111 middle atmospheric winds at altitudes between 60 and 85 km made with a high vertical 112 resolution to verify atmospheric models and possible long-term trends (Keuer et al., 2007; 113 Hagen et al., 2018; Rüfenacht et al., 2018). This altitude range, where temperature generally 114 decreases with height, which causes inherent vertical instability, is situated below the winter 115 mesopause region at 95–100 km (e.g. Xu et al., 2009) and plays a significant role in the mass 116 and energy exchange between the stratosphere and the mesosphere (Shepherd et al., 2014; 117 Limpasuvan et al., 2016; Gardner, 2018).

118 Microwave radiometry is a ground-based technique that can provide vertical profiles of CO, 119 H₂O and O₃ atmospheric gases and wind data in the upper stratosphere and mesosphere 120 (Rüfenacht et al., 2012; Scheiben et al., 2012; Forkman et al., 2016). The upper stratosphere-121 mesosphere zonal winds at the 30–85 km altitude region can be measured using the Doppler 122 shift between different observation directions in simultaneously measured spectra of transitions 123 lines of carbon monoxide at 115.3 GHz and ozone O₃ at 110.8 GHz (Rüfenacht et al., 2012; 124 Forkman et al., 2016). Due to high altitude CO residence region, the simultaneous zonal wind 125 measurements using both O_3 and CO provide independent data that extend the wind 126 measurement from the stratospheric to mesospheric altitudes, respectively (Forkman et al., 127 2016; Piddyachiy et al., 2017).

128 The first ground-based microwave measurements of CO were made in the 1970s and they 129 confirmed theoretical estimations of the vertical CO profile (Waters et al., 1976; Goldsmith et 130 al., 1979). Since the 1990s, the ground-based microwave radiometers measuring CO have been 131 installed in the Northern Hemisphere at high and middle latitudes to provide measurements on 132 a regular basis. Microwave radiometers are operating in Onsala and Kiruna, Sweden, since 133 2008. The results are described in Hoffmann et al. (2011) and in Forkman et al. (2012). The 134 microwave radiometer operated in Bern, Switzerland since 2010 aims to contribute to the 135 significant gap that exists in the middle atmosphere between 40 and 70 km altitude for wind 136 data (Rüfenacht et al., 2012). In the Arctic, the O₃, N₂O, HNO₃, and CO spectra were recorded 137 using the Ground-Based Millimetre-wave Spectrometer GBMS (Muscari et al., 2007; Di Biagio 138 et al., 2010).

139 Since 2014, the microwave measuring system for CO observations has been operated in 140 Kharkiv, Ukraine (Piddyachiy et al., 2010; Piddyachiy et al., 2017). Microwave radiometer 141 measurements of CO are used to retrieve mesospheric winds nearby the mesopause region (70– 142 85 km). Methods deriving the wind speed from mesospheric CO measurements are based on 143 the determination of the CO and O_3 lines emission Doppler shift (Eriksson et al., 2011; Hagen 144 et al., 2018).

145 Our observations in February 2018 using the new microwave radiometer at the mid-latitude 146 Kharkiv station have recorded the mesospheric effects of a major SSW. In mid-February 2018, 147 the stratospheric polar vortex in the Arctic splitted into two sister vortices (Fig. 1), the zonal 148 wind reversed in the stratosphere-mesosphere from westerly to easterly and warm air 149 penetrated into the polar cap regions (Rao et al., 2018; Karpechko et al., 2018; Vargin and 150 Kiryushov, 2019). This caused large-scale disturbances in the middle atmosphere of the polar 151 and middle latitudes. The major SSW in 2018 is not yet widely discussed in publications (Rao 152 et al., 2018; Karpechko et al., 2018; Vargin and Kiryushov, 2019) and in this paper, we give a 153 detailed description of the observed mesospheric CO and zonal wind variations.

In Sect. 2, the microwave radiometer and data processing software are briefly described. The SSW event in February 2018 is considered in Sect. 3. The effects of the SSW on midlatitude mesosphere–stratosphere conditions in the Ukraine longitudinal sector are presented in Sect. 4. Discussion is given in Sect. 5 followed by conclusions in Sect. 6.

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160 **2 Data and methods**

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The microwave radiometer data set registered during the 2017/2018 winter campaign in Kharkiv (50.0°N, 36.3°E) is used in this study to investigate local effects of the winter 2018 sudden stratospheric warming on the mesosphere and stratosphere. Since the ground-based microwave measurements are spatially limited by instrument coverage, data on air temperature, zonal wind and geopotential height were used from reanalyses and satellite databases to interpret the CO profile and the zonal wind microwave observations and to describe the SSW effects in the atmosphere of the surrounding mid-latitude region (30–40°E, 48–52°N).

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171 **2.1** Microwave radiometer, method, and midlatitude data description

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The microwave radiometer (MWR) with high sensitivity, installed at Kharkiv, Ukraine, is designed for continuous observations of the atmospheric CO profiles and zonal wind speed in the mesosphere using emission lines at 115.3 GHz. The radiometer can continuously provide vertical profiles up to the mesopause region during day and night, even in cloudy conditions
(Hagen et al., 2018). However, precipitation, such as strong rain or snow, can prevent the
measurements.

179 The receiver of the radiometer has the double-sideband noise temperature of 250 K at an 180 ambient temperature of 10°C (Piddyachiy et al., 2010; 2017). The radiometer was tested during 181 the 2014–2015 period for observation of the CO emission lines in the mesosphere over Kharkiv. 182 These tests proved the reliability of the receiver system, on which further details are provided 183 in Piddyachiy et al. (2017). Since 2015, the radiometer has been used for continuous 184 microwave measurements of CO profiles and mesosphere wind investigations. The first 185 observations of the atmospheric CO spectral lines over Kharkiv have confirmed seasonal 186 variations in the CO abundance (Piddyachiy et al., 2017). Operation of the MWR in a double-187 sideband mode allows retrieval of wind speed from the Doppler shift of the CO line emission at 188 the 115.3 GHz. Two methods are used to determine wind speed. Firstly the observed line shape 189 is fitted by a Voigt profile and the center frequency is determined (Piddyachiy et al., 2017). 190 Secondly radiative transfer calculations for a horizontally layered atmosphere are used to 191 determine the wind profiles with the Qpack package, version 1.0.93 (Eriksson et al., 2005; 192 Eriksson et. al., 2011), which is specifically designed to work with the forward model of the 193 Atmospheric Radiative Transfer Simulator ARTS (Buehler et al., 2018; 194 http://www.radiativetransfer.org/). The results obtained by both methods were almost the same 195 within the error limits. In this paper, both methods were used and provided average values of 196 the zonal wind speed for altitudes of 70-85 km. The time interval of the data used here was 197 January 1 – March 31, 2018, which covers the main phases of the SSW 2018 event.

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200 **2.2 Data from other sources**

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202 In this study, daily datasets from ERA-Interim global atmospheric reanalysis of European 203 Centre for Medium-Range Weather Forecast (ECMWF; Dee et al., 2011) were downloaded 204 (https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/erafrom 205 interim) and have been used for comparison with MWR observations. The ERA-Interim data 206 were used to create temperature and zonal wind velocity profiles and to calculate geopotential 207 height at the stratospheric pressure levels, in order to compare with the data measured over the 208 Kharkiv site. Aura Microwave Limb Sounder (MLS) measurements of the air temperature were 209 analyzed as well (Xu et al., 2009; https://mls.jpl.nasa.gov/data/readers.php; see details in the 210 Supplement).

211 Zonal wave amplitudes in geopotential height were analyzed using the National Oceanic and 212 Atmospheric Administration National Centers for Environmental Prediction, Global Data 213 Assimilation System-Climate Prediction Center (NOAA NCEP GDAS-CPC) data at 214 https://www.cpc.ncep.noaa.gov/products/stratosphere/strat-trop/ and the MERRA-2 data from 215 the National Aeronautics and Space Administration Goddard Space Flight Center, Atmospheric 216 Chemistry and Dynamics Laboratory (NASA GFC ACDL) site at https://acd-217 ext.gsfc.nasa.gov/Data services/met/ann data.html. The detailed description of the data used 218 for analysis is given in the Supplement.

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- 221 **3 Northern Hemisphere SSW effects**
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Descending air masses are observed throughout the mesosphere and stratosphere of the winter polar region (Orsolini et al., 2010; Chandran and Collins, 2014; Limpasuvan et al., 2016; Zülicke et al., 2018). From Aura MLS vertical profiles, a layered descending sequence of alternating cool and warm anomalies over the polar cap was observed in the 2017/2018 winter (Fig. 2a). The SSW event in Fig. 2a is identified by the rapid warming in the stratosphere and cooling in the mesosphere (upward arrow) starting from 10 February 2018 (left vertical line).

229 This event was preceded by progressively descending warm and cold anomalies that formed 230 in January (black and white dashed arrows, respectively). Oscillations in the intensity of the 231 anomalies indicate that they were formed under the influence of large amplitude planetary 232 waves of zonal wave numbers 1 and 2 (Fig. 2c-2e). From 1 January to 10 February (during 41 days), descending warm anomalies with a velocity $-850 \text{ m} \cdot \text{day}^{-1}$ were apparent in the 233 234 mesosphere and the upper stratosphere (75 to 40 km; black dashed arrow in Fig. 2a). Below the 235 warm anomaly, a cold anomaly descended between the upper and lower stratosphere (45 to 20 km) with velocity $-600 \text{ m} \cdot \text{day}^{-1}$ (white dashed arrow in Fig. 2a), while a cold mesospheric 236 anomaly in February–March descended with average velocity -750 m·day⁻¹ (white dotted 237 238 arrow in Fig. 2a). Our velocity estimates are similar to those of Salmi et al. (2011) who found 239 that mesospheric NO_x anomalies during the major SSW 2009 were transported from 80 to 55 240 km in about 40 days, i.e. with velocity $-600 \text{ m} \cdot \text{day}^{-1}$.

The splitting of the polar vortex (Fig. 1) and the zonal wind reversal (Fig. 2b) started at the time of the wave 2 pulse on 10 February (Fig. 2d and dashed curve in Fig. 2e). Note that this is close to the SSW timing in Rao et al. (2018) and Vargin and Kiryushov (2019), where the SSW onset date was 11 February. As seen from Fig. 2c and solid curve in Fig. 2e, increasing wave 1 amplitude contributed to the destabilization of the polar vortex during January–early February 246 and to temperature and zonal wind oscillations in the mesosphere and stratosphere (Fig. 2a and 247 2b). These oscillations are usually associated with the propagation of planetary waves in the 248 stratosphere and mesosphere (Limpasuvan et al., 2016; Rüfenacht et al., 2016). As noted in an 249 earlier study (Manney et al., 2009; Rao et al., 2018), wave 1 amplitudes were also larger prior 250 to the SSW in 2009, suggesting a role of preconditioning. During 10–15 February, the easterly zonal wind anomaly at the stratopause (about 1 hPa, \sim 50 km) increased to -60 m s⁻¹ (Fig. 2b). 251 252 At the same time, warming in the polar stratosphere with the largest temperature anomaly of 253 about 20 K was observed between 25 and 45 km in the same time interval (upward arrow in 254 Fig. 2a). Both anomaly peaks are close in time to the wave 1 pulse after the SSW start (Fig. 2c 255 and 2e). The descending negative temperature anomaly in the mesosphere between 50 and 90 256 km persisted during and after the SSW and reached -15 K (dotted arrow in Fig. 2a).

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259 **4** The local SSW effects over the midlatitude station

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261 4.1 CO variability

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263 Local variability in the conditions of the atmosphere during the microwave measurements in 264 January–March 2018 at Kharkiv (50.0°N, 36.3°E) is shown in Figs. 3–6. The sharp changes 265 occurred in the 20-day interval from 10 February to 1 March coinciding with the SSW event 266 2018, as indicated by red vertical lines in Figs. 3, 5 and 6. At this time the polar vortex divided 267 into two parts producing two smaller vortices over the longitudinal sectors of North America 268 and Eurasia (Fig. 1). Due to the planetary wave influence (Fig. 2c-2e), the two sub-vortices 269 shifted zonally and meridionally, so that the SSW effects were observed not only in the polar 270 region but also in the middle latitudes (Fig. 4).

271 The CO molecule volume mixing ratio (VMR) near the mesopause at 75–80 km decreased 272 from 10 ppmv of background level to 4 ppmv on 19–21 February (Fig. 3a), when the sharp 273 vertical CO gradient at the lower edge of the CO layer near about 6 ppmv increased in height 274 by about 8 km (between 75 km and 83 km, thick part of the white curve in Fig. 3a). For 275 comparison, the pre- and post-SSW vertical variations of the 6-ppmv contour were observed in 276 a range 2–3 km (white curve in Fig. 3a). Moreover, similar variations in the zonal mean 6-277 ppmv level are much weaker (yellow curve in Fig. 3e). This indicates that local and regional 278 mesosphere over the MWR site was disturbed by some source acted during the SSW, which is 279 identified below. We take here the 6-ppmv contour as a conditional lower edge of the CO layer since the CO gradients sharply increase from 0.2–0.3 ppmv km⁻¹ in a 10-km layer below to 280

0.6–0.8 ppmv km⁻¹ in a 10-km layer above (below and above the white curve in Fig. 3a). The
similar gradient change is a characteristic of the mesospheric CO profiles in boreal winter from
ground-based and satellite observations (Fig. 4 in Koo et al., 2017; Fig. 5 in Ryan et al., 2017).

The local mesospheric CO variability from the MWR observations over Kharkiv agrees with regional one from the MLS data averaged over the adjacent area 47.5–52.5°N, 26–46°E (Fig. 3b, the white curve for 6 ppmv). However, the zonal mean CO profiles in the same zone do not show an anomalous decrease of the mesospheric CO during the SSW (yellow curve in Fig. 3a, 3b and 3e).

289 Unlike the mesosphere, the CO descent and an increase in CO abundance is observed in 290 the stratosphere from both regional and zonal mean MLS data shortly after the SSW start 291 (contour 0.1 ppmv in Fig. 3d and 3g, respectively). The CO-rich air of 0.1–0.5 ppmv, which is 292 typical for the lower mesosphere (Fig. 3c) descended down to about 30 km (Fig. 3d and 3g), far 293 exceeding typical stratospheric CO mixing ratios on the order of about 0.01–0.02 ppmv (Engel 294 et al., 2006; Huret et al., 2006; Funke et al. 2009). The CO-rich stratospheric anomaly is close 295 in time to the wave 1 peak on 10-15 February (solid curve in Fig. 2e), that was observed 296 through the stratosphere down to the 30 km altitude (Fig. 2c).

297 Horizontal distributions of the CO VMR in the Northern Hemisphere at the stratospheric 298 and mesospheric altitudes in Fig. 4 suggest causes of the different CO variability in the stratosphere and mesosphere in Fig. 3. The dynamical deformation, elongation, and 299 300 displacements of the polar vortex relative to the pole lead to temporal shifts between the low 301 and high CO amounts over the MWR site at Kharkiv (white circle in Fig. 4). The tendency of 302 the planetary wave westward tilt with altitude (dashed lines in Fig. 4, see also Supplemental 303 Figs. S1 and S2 for more details) also contributes to relative zonal shift between the 304 stratosphere and the mesosphere of the low/high CO over Kharkiv.

305 The observed decrease of the local CO in the mesosphere during the SSW (white curve in 306 Fig. 3a) is consistent with the regional data from the satellite observations (white curve in Fig. 307 3b). The decrease is due to the displacement of the CO-rich air to the west relative to Kharkiv 308 (white circle and contours outlined the CO-rich area in Fig. 4a–4c and 4e–4g). This is a result 309 of the dominance of easterlies during the SSW that led to placing of the CO-poor air over 310 Kharkiv with the lowest CO levels on 19–23 February (Fig. 4c and 4g) in correspondence with 311 the MWR (Fig. 3a) and MLS (Fig. 3b) measurements. Recovery to the westerly regime in early 312 March reversed the rotation of the vortex (2–6 March in Fig. 4d and 4h) and caused recovery of 313 high CO level over Kharkiv (since about 1st of March in Fig. 3a and 3b).

The polar vortex split influenced the local CO change in the middle stratosphere (Fig. 4m– 40). The low CO level at ~30 km before the SSW start (Fig. 3d) is associated with the relatively 316 distant location of the CO-rich vortex from Kharkiv (Fig. 4m). The vortex split and easterly 317 circulation caused displacement of the small vortex fragment with the CO level higher than 0.1 318 ppmv to Kharkiv just at the SSW start (9-13 February in Fig. 4n) and corresponding sharp CO 319 increase over the Kharkiv region around 30-km altitude (contour 0.1 ppmv in a few days after 320 10 February in Fig. 3d). Vertical CO profiles in Fig. 3c and 3d show that downward penetration 321 of the mesospheric CO-rich air into the startosphere took place around 10 February. As seen 322 from Fig. 4f, 4j, and 4n, the mesospheric CO-rich air appears to be contained inside the small 323 sub-vortex over Kharkiv. The large sub-vortex (Fig. 4n and 4o) contributed to the stratospheric 324 CO increase after 10 February in the zonal mean CO profile near 30 km (Fig. 3g). The two sub-325 vortices in Fig. 4n and 4o provided a longer duration of the mesospheric intrusion in the zonal 326 mean (Fig. 3g) than a short-time influence of the single sub-vortex in regional data (Fig. 3d).

It should be noted that the lower edge of the mid-latitude CO-rich air descended in January - mid-February (dashed lines in Fig. 3d and 3g) similarly to the temperature anomaly in the polar region (Fig. 2a). Descent velocity was about -270 and $-220 \text{ m} \cdot \text{day}^{-1}$ in the case of the regional and zonal mean data, respectively. This is a few times lower than in the vortex region, nevertheless, it is in the range of the winter descent velocity noted above (Ryan et al., 2018).

Note also that the vortex split in the CO distribution can be identified only in the middle and upper stratosphere (Fig. 4n and 4o and Fig. S1j and S1k), but not at the stratopause level (Fig. 4j and 4k) and in the mesosphere (Fig. S2, second and third columns for 9–13 and 19–23 February 2018, respectively).

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338 4.2 Zonal wind variability

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340 The reversal of the local zonal wind estimated from the CO measurements at the Kharkiv 341 MWR site near the mesopause region was observed. The averaged wind velocity in the altitude range 70–85 km changed between 10 m s⁻¹ and -10 m s⁻¹ around 10 February (Fig. 5a). After 342 the active phase of the SSW, the zonal wind recovers to the westerly wind and enhances to 20 343 m s⁻¹ reaching the highest velocity observed in January–March (Fig. 5a). This zonal wind peak 344 345 in early March is accompanied by the CO peak at 18 ppmv around 85 km that is also the 346 highest CO abundance over January-March (Fig. 3a). This is closely consistent with the MLS 347 measurements at the 86-km altitude: Kharkiv was located on the 16-ppmv contour in early 348 March (2–6 March in Fig. 4d).

349 During the SSW event, local zonal wind over the station became easterly between the lower 350 stratosphere and lower mesosphere (-30 m s^{-1} up to -40 m s^{-1} , white contours in Fig. 5b). Note that westerly zonal wind at the stratopause level (\sim 50 km) in January 2018 (mid-winter, the pre-SSW conditions) sometimes increased to more than 100 m s⁻¹ (black contours in Fig. 5b).

The recovery of the local westerly wind in the upper mesosphere began in late February (Fig. 5a) and later, in early March, in the lower mesosphere–stratosphere (Fig. 5b). The longer persistence of the westerly anomaly in the stratosphere than at the stratopause level is also seen in the polar region (Fig. 2b). This is a manifestation of the downward migration of the circulation anomalies in the SSW recovery phase, while a near-instantaneous vertical coupling is observed at the SSW start on 10 February (Fig. 2a–2d and Fig. 5).

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- 361 **4.3 Temperature changes**
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363 The MLS temperature profiles show that high temperature variability over the Kharkiv region 364 concentrated at the stratopause level, particularly before and during the SSW 2018 (Fig. 6). As 365 known, the SSW events are accompanied by polar stratopause descent to 30-40 km, by 366 stratopause breakdown and subsequent reformation at very high altitudes of about 70–80 km 367 (Manney et al., 2009; Chandran et al., 2011; Limpasuvan et al., 2016; Orsolini et al., 2017). 368 The midlatitude stratopause exhibits less sharp, but significant oscillations between 40 and 50 369 km in January-first half of February 2018 (dotted curve in Fig. 6) and the highest temperature 370 near -5°C after the SSW start on 12-13 February. The short-time stratopause elevation to the lower-mesospheric altitude ~60 km was observed near 20 February, i.e., close in time to the 371 372 maximum elevation of the 6-ppmv CO level in the mesosphere (Fig. 3a and 3b). Note that the 373 wave 1 and wave 2 (Fig. 2c–2e), and zonal wind (Fig. 5) do not demonstrate strong anomalies 374 this time. The post-SSW stratopause stabilized at the 50-km altitude and warmed from about – 375 20°C to -10°C (Fig. 6b).

Similarly to the CO profile in Fig. 3, the zonal mean temperature variability is much lower above the stratopause than the regional one (Fig. 6b and 6a, respectively). The stratosphere is equally disturbed in both regional and zonal mean characteristics (Fig. 3d and 3g and Fig. 6a and 6b). This difference may be associated with the influence of the splitted (non-splitted) polar vortex in the stratosphere (mesosphere). The vortex fragments introduce higher local/regional and zonal mean variability in the stratosphere; whereas the vortex region is more uniform in the mesosphere (Fig. 4). That results in the weaker zonal mean variability.

During the SSW, the regional stratospheric temperature in Fig. 6a was warmer by 10–15°C in comparison with the pre- and post-SSW temperature. This is about two times lower warming 385 than in the polar region (Fig. 2a) and about three times lower than it is typically observed 386 during the SSWs (see Section 1). It should be noted that this warm stratospheric anomaly in 387 Fig. 6a (contour -50° C) rapidly descended between the upper and lower stratosphere (dashed 388 arrow) in about 10 days. A similar tendency is seen in Fig. 6b from the zonal mean (contour – 389 55°C) but with a descent within a few days (arrow). So, the SSW start in the midlatitude 390 stratosphere is not accompanied by a near-instantaneous vertical coupling as observed in the 391 polar region (Fig. 2a–2d). Midlatitude stratospheric warming in February 2018 occurred with 392 increasing time lag between the upper and lower stratosphere.

As is known, upward propagation of the tropospheric planetary waves into the stratosphere is limited in the easterly zonal wind (Charney and Drazin, 1961). In the changed state of a zonal flow, the critical line for planetary waves (zero wind line) in the polar region descents in a few days that looks like downward propagation of an anomaly from above (Matsuno, 1971; Zhou et al., 2002). Possibly, this process may be delayed in the midlatitude, as seen from Fig. 6.

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400 **5 Discussion**

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402 The observations of the major SSW effects in February 2018 in the NH midlatitude mesosphere 403 by microwave radiometer at the Kharkiv site, Northern Ukraine (50.0°N, 36.3°E), have been 404 provided. The CO altitude profiles in the mesosphere have been measured by the MWR with 405 one-day time resolution. Using the CO molecule as a tracer, the wind speed has been retrieved 406 from the Doppler shift of the CO 115.3 GHz emission and the mesospheric winds reverse from 407 westerly to easterly below the winter mesopause region (70–85 km) has been detected. A few 408 ground-based observations in the mesosphere by the same method have been undertaken at 409 midlatitudes (Sect. 1). The zonal wind and CO profile variability during the major SSW were 410 compared with the daily zonal wind, temperature and zonal wave 1/wave 2 datasets from the 411 MLS data, the ERA-Interim, and MERRA-2 reanalyses. The SSW started with the polar vortex 412 split around 10 February (Fig. 1), zonal wind reverse in the mesosphere and stratosphere (Fig. 413 2b and Fig. 5) and enhanced stratosphere warming and mesosphere cooling (Fig. 2a).

414

415 **5.1 Wave patterns and CO level**

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417 As noted in Sect. 1, CO abundance in the extratropical mesosphere increases with latitude 418 toward the winter pole due to meridional transport. CO accumulation results in the formation of 419 the CO layer with the sharp vertical gradient at its lower edge (Solomon et al., 1985; Shepherd et al., 2014). Because of the horizontal CO gradient at the polar vortex edge, its split and
displacement during the SSW cause a significant CO variability at the NH midlatitudes
(Solomon et al., 1985; Allen et al., 1999; Funke et al., 2009; Shepherd et al., 2014).

In Sect. 4a, based on the MWR observations, we have defined the lower CO edge at 6 ppmv and this edge uplifted during the SSW by about 8 km (between 75 km and 83 km, thick part of the white curve in Fig. 3a). This uplifting noticeably stands out against the pre- and post-SSW variations of the 6-ppmv level occurring within 2–3 km (Fig. 4a). The MLS CO measurements show similar variations in the 6-ppmv level over the Kharkiv region (white curve in Fig. 3b) and their absence in the corresponding zonal mean (yellow curve in Fig. 3a, 3b, and 3e).

Mesospheric CO profile uplifting is usually associated with the stratopause elevation during the SSW, when air, poor in CO, enters the mesospheric CO layer from below (Kvissel et al., 2012; Shepherd et al., 2014). Similar ascending motions in the stratopause and mesopause regions were observed in the 2013 SSW from nitric oxide (NO) and showed that the NO contours deflected upwards throughout the mesosphere (Orsolini et al., 2017). Our analysis reveals that the local CO profile variations during the SSW 2018 were closely associated with the changes in the planetary wave patterns in the mesosphere.

436 The MLS CO distribution demonstrates how deformation, elongation (wave 2 effect) and 437 rotation of the CO-rich polar area influence the local CO level over Kharkiv (white circle with 438 respect to the CO contours in Fig. 4a–4h and Fig. S1). The highest elevation of the 6-ppmv CO 439 level in Fig. 3a and 3b corresponds to the lowest CO level over Kharkiv on 19–23 February, 440 when the most distant displacement of the CO contours 16 ppmv and 6 ppmv off the Kharkiv 441 location was observed (Fig. 4c and 4g, respectively; see also the third column in Fig. S1). As 442 known, the strong vertical CO gradient in the winter mesosphere is found at the higher altitudes 443 in the tropics than in the extratropics (Solomon et al., 1985; Allen et al., 1999; Garcia et al., 444 2014). Then, poleward displacement of the low-latitude air masses is accompanied by the CO 445 abundance decrease and vertical CO gradient elevation at the middle latitudes, as it is observed 446 in Fig. 3a and 3b. A similar effect related to the wave 1 influence was observed during the 447 2003–2004 Arctic warming (Funke et al., 2009): the vortex has shifted from the pole toward 448 the western sector and mid-latitude air poor in CO filled the eastern sector (0-90°E) over 50-449 80°N and even over the pole.

The results of Fig. 4 and Fig. S1 show that meridional displacements of the low-latitude, CO-poor mesospheric air to the Kharkiv region occurred under the planetary wave influence and caused the local CO profile variations in the SSW 2018 (Fig. 3a and 3b). These results, thus, confirm that latitudinal displacements due to wave effects may dramatically affect the local densities of the atmospheric species (Solomon et al., 1985). Figure 6a demonstrates that 455 the local stratopause elevation in February 2018 to about 60 km was relatively small in 456 comparison with the elevation that is characteristic for the polar region, up to 70-80 km 457 (Chandran et al., 2011; Tomikawa et al., 2012; Limpasuvan et al., 2016; Orsolini et al., 2010, 458 2017). No significant stratopause elevation was observed in the zonal mean for 47.5–52.5°N 459 (Fig. 6b). Therefore, the meridional (poleward) and zonal displacements of the CO-rich air 460 masses enclosed within the polar vortex (Solomon et al., 1985; Allen et al., 1999; Funke et al., 461 2009) rather than stratopause elevation (Kvissel et al., 2012; Shepherd et al., 2014) may be 462 dominant cause of the CO profile uplift observed in the NH midlatitudes during the SSW 2018.

463 In March 2018, after the SSW, vertical CO profile has been re-established (Fig. 3a and 3b) 464 according to the recovery phase following the SSW (Shepherd et al., 2014; Limpasuvan et al., 465 2016). In the MWR data, the SSW recovery phase in the mesosphere in early March started 466 with the short-term but anomalously high peaks in the local CO (Fig. 3a) and westerly wind 467 (Fig. 5a). These peaks reached the highest values in daily variations of CO and zonal wind over 468 the three months of the observations (January–March). By analogy with the low-CO episode in 469 February discussed above, the high-CO peak in early March 2018 caused by change in the 470 vortex shape and the return of the CO-rich vortex edge region to the Kharkiv location (compare 471 2–6 March in Fig. 4d and 4h with 19–23 February in Fig. 4c and 4g; see also the same dates in 472 Fig. S2).

Wind measurements using the CO layer provide a further means to evaluate the validity of the modeled winds. Furthermore, by combining the measurements with ray tracing of gravity wave propagation (e.g. Kogure et al., 2018), this type of measurement may provide specific insights into wave-mean flow interactions, particularly where local temperature inversions alter gravity wave filtering (Hocke et al., 2018; Fritts et al., 2018).

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480 **5.2 Descent of the midlatitude stratospheric anomalies**

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482 Alternating altitudinal sequence of warm and cool anomalies progressively descended through 483 the mesosphere and stratosphere of the polar region was observed in January-March 2018 (Fig. 484 2a) in consistency with many observations (Zhou et al., 2002; Orsolini et al., 2010; Shepherd et 485 al., 2014; de Wit et al., 2014; Zülicke et al., 2018). The warm anomaly sharply intensified in 486 the stratosphere between 20 and 50 km with simultaneous strong cooling in the mesosphere in 487 the active phase of SSW since 10 February (vertical arrow in Fig. 2a). Unlike this, the 488 midlatitude temperature anomalies do not show the similar vertical arrangement and regular 489 descent with respect to the same mean climatology 2005–2017 (Fig. S3).

490 During the SSW of 2018, the upper (lower) stratosphere over the Kharkiv region was cooler 491 (warmer) up to 20°C (10°C) than climatological mean with stepwise descent relative to the pre-492 SSW one (Fig. S3a). However, excluding unstable anomalies at different altitudes, the air 493 temperature through the mesosphere and stratosphere was close to the climatology during most 494 of the time in January–March 2018 (light blue in Fig. S3a). The zonal mean temperature 495 anomalies in Fig. S3b show steady warming of the air in the stratosphere and lower mesosphere 496 and distinct tendency for the anomaly to descend between about 40 km and 20 km during the SSW (20 days, ~ -1 km·day⁻¹). It could be concluded that the temperature anomaly profile 497 498 observed in the NH midlatitudes may vary in time depending on the observing location and 499 individual SSW event and, thus, differ from climatologically warm (cold) stratospheric 500 (mesospheric) anomaly typical for the SSWs in the NH polar region (e.g. Chandran and 501 Collins, 2014; their Fig. 1g).

502 The CO profiles in Fig. 3 demonstrate opposite tendencies in the vertical shift of the COrich air in the NH midlatitudes. The CO descent in the stratosphere occurred during January-503 February with velocities of about 270 and 220 $\text{m}\cdot\text{day}^{-1}$ in a case of the regional and zonal mean 504 505 data, respectively (Fig. 3d and 3g). In general, this is in a range of the winter descent velocities 506 observed in the polar vortex (Funke et al., 2009; Salmi et al., 2011; Ryan et al., 2018), 507 however, a few times lower than in the polar vortex in the winter 2017–2018 (Fig. 2a). The 508 deepest penetration of the mesospheric CO levels (0.1-0.5 ppmv) to ~30 km was observed 509 immediately after the SSW onset (Fig. 3d and 3g). Although this coincides with the peaks in 510 the wave 1 and wave 2 amplitudes (Fig. 2e), the main reason in the CO increase in the 511 stratosphere over Kharkiv is the location of the small sub-vortex of the split polar vortex (9–13 512 February, Fig. 4n).

513 The MLS CO maps in Fig. 4 show that the high CO amount is concentrated inside the polar 514 vortex and its fragments after splitting. This is a result of meridional and downward transport of 515 CO that is strongest in the winter polar vortex (Rinsland et al., 1999; Manney et al., 2009; 516 Kvissel et al., 2012; Shepherd et al., 2014). Before (4–8 February), during (19–23 February) 517 and after (2–6 March) the SSW, Kharkiv was outside the stratospheric vortex/sub-vortices edge 518 (Fig. 4m, 4o and 4p, respectively) and the CO amount was at low level typical for the 519 midlatitude stratosphere (of about 0.01–0.02 ppmv; Engel et al., 2006; Huret et al., 2006; Funke 520 et al. 2009). Descent of the 0.1-ppmv contour marked by dashed lines in Fig. 3d and 3g is 521 observed due to the episodic shift of the vortex edge toward the Kharkiv region or to the 522 corresponding zone 47.5–52.5°N, respectively.

523 Figure 4 demonstrates that the CO amount inside the polar vortex or its fragments is much 524 higher than in the surrounding area not only in the mesosphere but also in the stratosphere. This 525 leads to the possibility of the enhanced CO appearance even in the stratosphere at about 25–30 526 km (Engel et al., 2006; Huret et al., 2006; Funke et al., 2009). By analogy, the vortex edge shift 527 beyond the Kharkiv region (Fig. 4c and 4g) resulted in lowering of the regional CO mixing 528 ratios in the mesosphere consistent with both ground-based and satellite observations (Fig. 3a 529 and 3b, respectively). Meridional structure of the mesospheric CO (Sect. 1) provided the uplift 530 of the 6-ppmv level during the SSW relative to pre- and post-SSW levels (Fig. 3a and 3b).

531

532 6 Conclusions

533

534 The impact of a major sudden stratospheric warming in February 2018 on the mid-latitude 535 mesosphere was investigated using microwave radiometer measurements in Kharkiv, Ukraine 536 (50.0°N, 36.3°E). The zonal wind reversal has been revealed below the winter mesopause 537 region at 70-85 km altitudes during the SSW using the CO profiles. The reverse of the mesospheric westerly from about 10 m s⁻¹ to easterly wind about -10 m s⁻¹ around 10 February 538 539 has been documented. The data from the ERA-Interim and MERRA-2 reanalyses and the Aura 540 MLS temperature profiles have been used for the analysis of stratosphere-mesosphere behavior 541 under the SSW conditions. Our local microwave observations in the NH midlatitude combined 542 with the reanalysis data show wide ranges of daily variability in CO, zonal wind and 543 temperature in the mesosphere and stratosphere during the SSW 2018.

544 Among the most striking SSW manifestations over the midlatitude station in February 545 2018, there were (i) zonal wind reversal throughout the mesosphere-stratosphere, (ii) 546 oscillations in the vertical profiles of CO, zonal wind and temperature, (iii) descent of the 547 stratospheric CO and temperature anomalies on the time scale of days to months, (iv) wave 2 548 peak at the vortex split date and (v) strong mesospheric CO and westerly peaks at the start of 549 the SSW recovery phase. Generally, the midlatitude SSW effects are known from many event 550 analyses and in most cases they are associated with zonal asymmetry and polar vortex split and 551 displacements relative to the pole (Solomon et al., 1985; Allen et al., 1999; Yuan et al., 2012; 552 Chandran and Collins, 2014). Our results show that the local midlatitude atmosphere variability 553 in the SSW 2018 includes both the large-scale changes in the zonal circulation and temperature 554 typical for the SSWs and local evolution of the altitude-dependent planetary wave patterns in 555 the individual vortex split event.

556 The observed local CO variability can be explained mainly by horizontal air mass 557 redistribution due to planetary wave activity with the replacement of the CO-rich air by CO- 558 poor air and vice versa, in agreement with other studies. The MLS CO fields show that the CO-559 rich air masses are enclosed within the polar vortex. Horizontal (meridional and zonal) 560 displacements of the edge of the vortex or vortex fragments relative to the ground-based 561 midlatitude station may be a dominant cause of the observed CO profile variations during the 562 SSW 2018. The small sub-vortex located over the station at the SSW start caused the 563 appearance of the enhanced CO level not only in the mesosphere but also in the stratosphere at 564 about 30 km. This indicates that the polar vortex contains the CO-rich air masses with much 565 higher CO amount than in the surrounding area and this takes place over the stratosphere-566 mesosphere altitude range.

567 Microwave observations show that sharp altitudinal CO gradient below the mesopause 568 could be used to define the lower edge of the CO layer and to evaluate oscillation and 569 significant elevation of the lower CO edge during the SSW and its trend on a seasonal time 570 scale. The presented results of microwave measurements of CO and zonal wind in the 571 midlatitude mesosphere at 70-85 km altitudes, which is still not adequately covered by ground-572 based observations (Hagen et al., 2018; Rüfenacht et al., 2018), are suitable for evaluating and 573 potentially improving atmospheric models. Simulations show that planetary wave forcing by 574 westward propagating wave 1 dominates between 40 and 80 km in the winter polar region 575 during the SSW (Limpasuvan et al., 2016). Our spectral analysis reveals that the westward 576 wave 1 during the SSW 2018 is a dominant wave component through the midlatitude upper 577 stratosphere–mesosphere. Instability of the westward polar jet suggested in previous studies 578 (e.g. Limpasuvan et al., 2016) should be analyzed in the context of the westward wave 1 579 generation in the midlatitude upper stratosphere-mesosphere.

580 Our observation of variability of the CO layer during the SSW deserves further study, 581 particularly in relation to the implications for modelling of wave dynamics and vertical 582 coupling (Ern et al., 2016; Martineau et al., 2018) and chemical processes (Garcia et al., 2014) 583 in the mesosphere.

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- 585

586 *Conflict of Interest.* The authors declare that the research was conducted in the absence of any 587 commercial or financial relationships that could be construed as a potential conflict of interest.

588

Author contributions. GM coordinated and led the efforts for this manuscript. VS initiated the
 microwave measurements during the SSW event in Kharkiv. VS, DS, VM and AA developed

591 equipment and provided microwave measurements with data processing by AP and DS. GM,

VS, YW, OE, AK, and AG analyzed the results and provided interpretation. GM, OE, AK, VS,and WH wrote the paper with input from all authors.

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Figure 1. The polar vortex split at the 10-hPa pressure level during the SSW event in February

- 884 2018. Geopotential heights are calculated from ERA-Interim reanalysis data.



Figure 2. The development of the SSW in 2018 from the vertical profiles of (a) Aura MLS temperature anomalies in December 2017–April 2018 at polar zone 60–75°N (with respect to the mean climatology 2005–2017), (b) zonal mean zonal wind anomalies, (c) wave 1 and (d) wave 2 amplitudes in geopotential height in January–March by NOAA NCEP GDAS-CPC data (climatology 1981–2010). (e) zonal wave 1 and wave 2 amplitudes in geopotential height at 10 hPa, 60°N, by the MERRA-2 time series from the NASA GFC ACDL data. The SSW-related anomalous variability between 10 February and 1 March 2018 is bounded by red vertical lines.



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Figure 3. (a) Mesospheric CO profile from microwave measurements over Kharkiv averaged in altitude range 70–85 km, and vertical CO profile from the MLS measurements averaged over latitudes 47.5–52.5°N and longitudes (b)–(d) 26–46°E centered at the Kharkiv MWR site (50°N, 36°E) and (e)–(g) 0–360°E for zonal mean. Selected CO levels are highlighted by white, black and yellow contours (see text for details). Data for January–March 2018 are presented and time interval of significant variations in the atmosphere parameters due to the SSW event (from 10 February to 1 March 2018) is bounded by red vertical lines.

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Figure 4. The 5-day mean CO field over the NH (0–90°N) from the MLS measurements at the two mesospheric (75 km and 86 km) and stratospheric (32 km and 50 km) levels before (4–8 February), during (9–13 and 19–23 February) and after (2–6 March) the SSW 2018. White circle shows location of the MWR site Kharkiv relatively the high/low CO amounts marked off by the black contours. Dashed lines indicate clockwise rotation of the elongated polar vortex with altitude as manifestation of upward propagation of planetary waves with their westward tilt with altitude.

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Figure 5. (a) Mesospheric zonal wind microwave measurements over Kharkiv (averaged in altitude range 70–85 km, vertical bars are standard deviations) compared to (b) time-altitude local zonal wind from the ERA-Interim reanalysis data averaged over latitudes 48–52°N and longitudes 34–38°E (centered at the Kharkiv microwave radiometer site, 50°N, 36°E). Time interval of significant variations in the atmosphere parameters due to the SSW event (from 10 February to 1 March, 2018) is bounded by red vertical lines.

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Figure 6. MLS temperature profiles (a) over the Kharkiv region and (b) zonal average in the
zone 47.5–52.5°N. Dashed arrows indicate downward warming.