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2 **Observation of absorbing aerosols above clouds over the South-**
3 **East Atlantic Ocean from the geostationary satellite SEVIRI**
4 **Part 1: Method description and sensitivity**

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6 *Fanny Peers¹, Peter Francis², Cathryn Fox², Steven J. Abel², Kate Szpek², Michael I.*
7 *Cotterell^{1,2}, Nicholas W. Davies^{1,2}, Justin M. Langridge², Kerry G. Meyer³, Steven E.*
8 *Platnick³, Jim M. Haywood^{1,2}*
9

10 (1) College of Engineering, Mathematics, and Physical Sciences, University of Exeter, Exeter, UK

11 (2) Met Office, Exeter, UK

12 (3) NASA Goddard Space Flight Center, Greenbelt, Maryland, USA
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14 **Abstract**

15
16 High temporal resolution observations from satellites have a great potential for studying the
17 impact of biomass burning aerosols and clouds over the South East Atlantic Ocean (SEAO).
18 This paper presents a method developed to retrieve simultaneously aerosol and cloud properties
19 in aerosol above cloud conditions from the geostationary instrument Meteosat Second
20 Generation/Spinning Enhanced Visible and Infrared Imager (MSG/SEVIRI). The above-cloud
21 Aerosol Optical Thickness (AOT), the Cloud Optical Thickness (COT) and the Cloud droplet
22 Effective Radius (CER) are derived from the spectral contrast and the magnitude of the signal
23 measured in three channels in the visible to shortwave infrared region. The impact of the
24 absorption from atmospheric gases on the satellite signal is corrected by applying
25 transmittances calculated using the water vapour profiles from a Met Office forecast model.
26 The sensitivity analysis shows that a 10% error on the humidity profile leads to an 18.5% bias
27 on the above-cloud AOT, which highlights the importance of an accurate atmospheric
28 correction scheme. *In situ* measurements from the CLARIFY-2017 airborne field campaign are
29 used to constrain the aerosol size distribution and refractive index that is assumed for the
30 aforementioned retrieval algorithm. The sensitivities in the retrieved AOT, COT and CER to
31 the aerosol model assumptions are assessed. Between 09:00-15:00 UTC, an uncertainty of 40%
32 is estimated on the above-cloud AOT, which is dominated by the sensitivity of the retrieval to
33 the single scattering albedo. The absorption AOT is less sensitive to the aerosol assumptions
34 with an uncertainty generally lower than 17% between 09:00-15:00 UTC. Outside of that time
35 range, as the scattering angle decreases, the sensitivity of the AOT and the absorption AOT to
36 the aerosol model increases. The retrieved cloud properties are only weakly sensitive to the
37 aerosol model assumptions throughout the day, with biases lower than 6% on the COT and 3%
38 on the CER. The stability of the retrieval over time is analysed. For observations outside of the
39 backscattering glory region, the time-series of the aerosol and cloud properties are physically
40 consistent, which confirms the ability of the retrieval to monitor the temporal evolution of
41 aerosol above cloud events over the SEAO.
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43 **1. Introduction**

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45 The South East Atlantic Ocean (SEAO) provides a natural laboratory for analysing the full
46 range of aerosol-cloud-radiation interactions. During the fire season, large amounts of particles
47 from African biomass burning are transported above the semi-permanent deck of stratocumulus
48 covering this oceanic region. As a result, an important contrast is expected in the Direct
49 Radiative Effect (DRE) of aerosols (i.e. the direct impact of aerosol scattering and absorption
50 of radiation). On one hand, the aerosol scattering above the ocean typically increases the local
51 albedo which leads to a negative DRE at the top of the atmosphere. On the other hand, the sign
52 of the DRE above clouds depends on the underlying cloud albedo and the aerosol absorption.
53 Positive instantaneous DRE of up to $+130\text{W m}^{-2}$ has been observed by satellite instruments
54 over the SEAO (De Graaf et al., 2012; Peers et al., 2015). There are many poorly constrained
55 variables, such as the aerosol and cloud properties, vertical structure of aerosol and clouds
56 (Peers et al., 2016), which result in a large spread in the DRE derived from climate models in
57 this region (Zuidema et al., 2016). In addition, the absorption of radiation by aerosols leads to
58 a modification of the atmospheric stability and consequently on the formation, development
59 and dissipation of clouds, i.e. semi-direct effect. Studies have shown that the overlying African
60 biomass burning aerosols are associated with a cloud thickening (Wilcox, 2010 & 2012). This
61 negative semi-direct effect partly compensates the positive DRE of aerosols above clouds over
62 the SEAO. However, as an aerosol plume moves away from the coast and descends into the
63 boundary layer, the heat due to the aerosol absorption could lead to a reduction of the cloud
64 thickness (Koren et al., 2004). Biomass burning particles may also have indirect effects through
65 their interactions with cloud droplets, leading to a modification of the microphysics of the
66 cloud, its lifetime and precipitations (Twomey, 1974; Rosenfeld, 2000). Recent model studies
67 (Gordon et al., 2018; Lu et al., 2018) suggest that the semi-direct and indirect effects of aerosols
68 dominate the DRE over the SEAO, leading to a regional cooling.

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70 Until recently, there has been a relative dearth of observations of biomass burning above clouds
71 as passive sensor retrievals of aerosol and cloud are generally mutually exclusive. In past
72 studies, biases in cloud properties derived from passive shortwave measurements were
73 expected because the impact of aerosol absorption above clouds was not taken into account in
74 the retrievals (Haywood et al., 2004). Over the last decade, techniques have been developed
75 for the observation of aerosols above clouds. POLDER (Polarization measurements from
76 POLarization and Directionality of the Earth's Reflectances) has been used to detect aerosols
77 above clouds and to characterize the aerosol and the cloud layers by exploiting the sensitivity
78 in polarized measurements (Waquet et al., 2013a & 2013b; Peers et al., 2015). In the case of
79 fine mode absorbing aerosols overlying clouds, the absorption Ångström exponent leads to a
80 greater impact on radiances reflected by the clouds at shorter wavelengths than longer ones
81 (De Graaf et al., 2012; Torres et al., 2012). The “colour-ratio” approach has been applied to
82 OMI (Ozone Monitoring Instrument - Torres et al., 2012) and MODIS (Moderate Resolution
83 Imaging Spectroradiometer - Jethva et al., 2013) to simultaneously retrieve the aerosol and the
84 cloud optical thicknesses over the SEAO. Using a similar technique, the MODIS retrieval
85 developed by Meyer et al. (2015) takes advantage of the 6 channels of the instrument from the

86 UV to the Short-Wave Infra-Red (SWIR) to characterize not only the aerosol and cloud optical
87 thicknesses, but also the cloud droplet effective radius. For the first time, these studies have
88 provided large-scale observations of aerosols above clouds in the SEAO. However, these
89 approaches have been applied to satellite instruments on polar-orbiting platforms that provide
90 only two observations per day for MODIS (on the Aqua and Terra platforms) and one for OMI
91 and POLDER. The cloud cover over the SEAO has an important diurnal cycle which modulates
92 the DRE of aerosols during the day (Min and Zhang, 2014). Therefore, the study of the SEAO
93 cloud and above-cloud aerosol optical properties would benefit from the high temporal
94 resolution observations provided by geostationary satellite platforms.

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96 Chang and Christopher (2016) have highlighted the ability of SEVIRI (Spinning Enhanced
97 Visible and Infrared Imager) to identify absorbing aerosols above clouds at high temporal
98 resolution. The instrument is on board the geostationary satellite MSG (Meteosat Second
99 Generation) and provides a full-disc observation every 15 minutes, offering a unique
100 opportunity to monitor the evolution of the cloud cover and to track aerosol plumes over the
101 SEAO. The objective of this two-part paper is to demonstrate the potential of this instrument
102 to retrieve simultaneously aerosol and cloud properties in the case of absorbing aerosols above
103 clouds. In this first contribution, we describe the approach used to derive the above-cloud
104 Aerosol Optical Thickness (AOT), the Cloud Optical Thickness (COT) and the Cloud droplet
105 Effective Radius (CER) and discuss the accuracy of the retrievals. The algorithm, as well as
106 the atmospheric correction scheme and the assumed aerosol model, are presented in Section 2.
107 The sensitivities in the retrieved quantities to the water vapour profile and the aerosol property
108 assumptions are assessed in Section 3. The evaluation of the stability of the retrieval is shown
109 in Section 4 and conclusions are drawn in Section 5. In a second companion paper, we will
110 compare our SEVIRI-based retrievals of cloud and aerosol properties with those from MODIS
111 products (Meyer et al., 2015) more comprehensively and also compare against *in situ* aircraft
112 observations from the CLARIFY-2017 field campaign.

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114 **2. Retrieval method**

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116 **a. Principle**

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118 The approach used to retrieve aerosol and cloud properties from satellite spectral radiance
119 measurements relies on the colour-ratio effect (Jethva et al., 2013). The signal backscattered
120 by a liquid cloud is almost spectrally neutral from the UV to the Near Infra-Red (NIR). On the
121 other hand, the absorption from biomass burning aerosols is typically larger at shorter
122 wavelengths. Therefore, the presence of absorbing aerosols above clouds modifies the apparent
123 colour of clouds. This enhancement of the spectral contrast can be detected by any passive
124 remote sensing instrument with two channels with enough separation in the UV/NIR region.
125 The SEVIRI instrument, aboard the MSG satellite (Aminou et al., 1997), has channels centred
126 at 0.64, in the visible, and at 0.81 μm , in the NIR. Figure 1 plots the 0.81 μm radiance ($R_{0.81}$)
127 against the ratio of the 0.64 to 0.81 μm radiances ($R_{0.64}/R_{0.81}$), for absorbing aerosols above
128 clouds over an ocean surface for several aerosol and cloud optical thicknesses. Throughout this

129 paper, the radiances R refer to the normalized quantity as defined by Herman et al. (2005) and
130 the optical thicknesses (i.e. AOT, COT) are given at $0.55\mu\text{m}$. The simulations have been
131 performed with the adding-doubling method (De Haan et al., 1987), considering a viewing
132 geometry of 20° for the solar zenith angle, 50° for the viewing zenith angle and 140° for the
133 relative azimuth. The cloud is located between 0 and 1 km and the aerosol layer is between 2
134 and 3 km. Aerosols have a refractive index of $1.54 - 0.025i$ and the size distribution follows a
135 lognormal with a geometric mean radius of $0.1\mu\text{m}$. The cloud droplets have an effective radius
136 of $10\mu\text{m}$. Rayleigh scattering has been accounted for but the simulations do not include the
137 absorption from atmospheric gases. A Lambertian surface with an albedo of 0.05 is assumed.
138 For $\text{AOT} = 0$, the radiance ratio is around 1 and weakly depends on the COT. As the AOT
139 increases, the radiance at $0.81\mu\text{m}$ as well as the radiance ratio decreases, indicating that the
140 attenuation from the aerosol layer is larger at $0.64\mu\text{m}$. This attenuation is mainly due to the
141 absorption from the aerosol layer, which means that it is primarily correlated to the Absorption
142 AOT (AAOT).

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144 As in the Nakajima and King technique (1990), the sensitivity of the retrieval to the CER is
145 brought by the Short-Wave Infra-Red (SWIR) channel of SEVIRI, centred at $1.64\mu\text{m}$. Figure
146 2 shows the radiances at 0.81 and $1.64\mu\text{m}$ for several COT and CER as well as the impact of
147 overlying absorbing aerosols. The simulations without aerosol are plotted in blue and represent
148 the signal typically used by cloud property retrievals that do not include light absorption from
149 overlying aerosols. The orange and red grids are associated with an AOT of 0.5 and 1.5 at
150 $0.55\mu\text{m}$. Compared to the no-aerosol case, these grids are shifted towards the upper left, which
151 means that the presence of aerosols decreases the NIR radiance and increases in the SWIR
152 signal. As highlighted by Haywood et al. (2004), not taking into account the aerosol absorption
153 above clouds leads to low biases in both the COT and the CER. These biases depend on the
154 aerosol loading as well as on the brightness of the underlying cloud.

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156 Although the aerosol microphysical properties have some influence on the signal measured by
157 satellites, this kind of approach requires us to assume an aerosol model. Fundamentally, the
158 algorithm developed here aims to retrieve the above-cloud AOT, the COT and the CER from
159 the magnitude and the gradient of the radiances measured by SEVIRI at 0.64 , 0.81 and 1.64
160 μm using a basic Look Up Table (LUT) approach and appropriate assumptions about the
161 aerosol model for the region (Haywood et al., 2003) that have been refined based on
162 measurements from the CLARIFY-2017 observational campaign (Zuidema et al., 2016).

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164 **b. Atmospheric correction**

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166 The SEVIRI channels chosen for the retrieval are fairly standard in atmospheric science and
167 have been widely used for aerosol and cloud analysis (e.g. Brindley and Ignatov, 2006;
168 Thieuleux, et al. 2005; Watts et al., 1998). However, the SEVIRI bandwidths are much larger
169 than other state-of-the-art instruments such as MODIS. Hence, SEVIRI radiances are
170 significantly more impacted by the absorption from various atmospheric gases. The spectral
171 response functions for the 0.64 , 0.81 and $1.64\mu\text{m}$ SEVIRI channels are plotted in Figure 3

172 together with the equivalent MODIS bands. The main absorbing gases in these spectral bands
 173 are ozone, water vapour, methane and carbon dioxide; gases which are typically produced and
 174 transported within biomass burning plumes (Browell et al., 1996; Koppmann et al., 2005). The
 175 contributions of each gas to the atmospheric absorption are also shown in Figure 3 and the two-
 176 way transmittances (i.e. from the top of the atmosphere to the cloud top and from the cloud top
 177 to the top of the atmosphere) weighted by the spectral response function have been calculated.
 178 For sake of simplicity, the two-way transmittances will be referred to as transmittances.
 179 Although the MODIS bandwidths are narrower than the SEVIRI ones, the weighted
 180 transmittances are similar for the 0.64 and 1.64 μm channels. In the NIR, the MODIS central
 181 wavelength (0.86 μm) is slightly larger than for SEVIRI (0.81 μm) and the spectral band is
 182 only weakly impacted by the humidity, with a weighted transmittance of 0.989. Within the
 183 SEVIRI band, water vapour absorption is much higher, with a transmittance of 0.931. As a
 184 result, humidity has an impact on the spectral contrast between the VIS and the NIR, and
 185 therefore, on the above-cloud AOT retrieval. The atmospheric correction, especially for the
 186 water vapour, is essential to accurately retrieve the aerosol and cloud properties from SEVIRI.
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188 In order to correct the SEVIRI measurements for atmospheric absorption, the transmittances
 189 $T_{\text{atm},\lambda}$ are calculated for each spectral band λ from the cloud top height to the top of the
 190 atmosphere using the fast-radiative transfer model RTTOV (Matricardi et al., 2004; Hocking
 191 et al., 2014). The cloud top height is derived from the Met Office cloud property algorithm
 192 which uses the 10.8, 12.0 and 13.4 μm channels of SEVIRI (Francis et al., 2008, Hamann et
 193 al., 2014). Water vapour profiles come from the operational forecast configuration of the global
 194 Met Office Unified Model (Brown et al., 2012). This forecast is assimilated according to the
 195 scheme described by Clayton et al. (2013) that uses humidity data from various sources,
 196 including radiosondes and remote sensing sounding data from many meteorological satellites.
 197 The forecast is run every 6 hours and the humidity profile used for the atmospheric correction
 198 comes from the latest time-appropriate forecast field available. The profiles of the remaining
 199 gases - including ozone, carbon dioxide and methane - are those implicitly assumed by the
 200 RTTOV calculations (Matricardi, 2008). The radiance measured by SEVIRI $R_{\text{atm},\lambda}$ is finally
 201 corrected using:

$$R_{\text{atm},\lambda} = T_{\text{atm},\lambda} R_{\lambda} \quad (1)$$

202 where R_{λ} is the radiance corrected from the gaseous absorption.
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204 **c. Aerosol model**

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 206 The choice of the aerosol microphysical properties to use for the retrieval is similar to that of
 207 Haywood et al (2003), but based on more comprehensive *in situ* measurements acquired during
 208 the CLARIFY-2017 field campaign. The Facility for Airborne Atmospheric Measurements
 209 (FAAM) BAe 146 aircraft was deployed in August-September 2017 operating from Ascension
 210 Island, with a main objective of studying biomass burning aerosol interactions with both
 211 radiation and clouds over the SEAO. This analysis focuses on flight C050, performed on 04
 212 September, 2017. A profile descent from 7.3 to 1.9 km altitude was performed in order to
 213 sample the aerosol layer above clouds.

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The aerosol dry extinction and absorption were measured with the EXSCALABAR instrument (EXtinction, SCattering and Absorption of Light for AirBorne Aerosol Research), which consists of a series of cavity ring-down and photoacoustic absorption cells operating at different wavelengths (Davies et al., 2018). From these *in situ* measurements, the Single Scattering Albedo (SSA) has been calculated at the instrument wavelengths of 405 and 658 nm. The uncertainty in SSA calculations are related to the corresponding uncertainties in the extinction and absorption coefficients measured by EXSCALABAR. This error analysis has been performed previously and the reader is directed to Davies et al. (2019). Briefly, the measured extinction has an accuracy of ~2%, and we use a 2% extinction uncertainty in the analysis here. The errors in absorption measurements using photoacoustic spectroscopy depend on uncertainties in the ozone calibration, microphone pressure dependence and the background response from laser scattering/absorption on the windows of the photoacoustic cell. We have shown in recent publications that our calibration uncertainties are ~5% (Cotterell et al. 2019; Davies et al. 2018), and the uncertainty in the pressure-dependent microphone response is 1.2% (Davies et al. 2019). The background response from laser-window interactions is from 0.27 and 0.54 Mm^{-1} . Thus, the total absorption uncertainty, propagating all the above uncertainties, is absorption-dependent and ranges from 29.0 – 55.0 % (dependent on PAS measurement wavelength) at 1 Mm^{-1} and 8.1 % at 100 Mm^{-1} (independent of PAS measurement wavelength). We propagated these total measurement uncertainties for both extinction and absorption measurements to derive the standard deviation σ in our calculated SSA values. We find that the mean SSA uncertainties are 0.013 and 0.018 at the measurement wavelengths of 405 and 658 nm respectively.

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The aerosol size distribution was characterized between 0.05 and 1.50 μm radius using a wing-mounted Passive Cavity Aerosol Spectrometer Probe (PCASP). Before and after the campaign, the bin sizes of the PCASP were calibrated using aerosolized diethylhexyl sebacate and polystyrene latex of known size and refractive index (Rosenberg et al., 2012). Further Mie-scattering theory based calculations are performed in order to determine the bin sizes at the refractive index of the biomass burning aerosol sample. Partial evaporation of water is expected in the PCASP due to the heating of the probe, which may decrease the aerosol size. However, the sonde dropped during the flight indicates an average relative humidity above clouds of 29.2% with a maximum of 38.6%. According to Magi and Hobbs (2003), the light scattering coefficient of an aged African biomass burning plume only increases by a factor of 1.01 for a relative humidity of 40%. For this reason, the impact of humidity on the PCASP and EXSCALABAR measurements is neglected. Three sources of errors have been taken into account on the PCASP measurements: the error on the bin concentration is calculated according to Poisson counting statistics, the sample flow rate error is assumed to be 10% and a bin edge calibration error of half a bin has been considered.

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The aerosol properties needed for the SEVIRI retrieval include the size distribution and the complex refractive index. The normalized number size distribution ($dN/d\ln r$) is commonly represented by a combination of lognormal modes:

$$\frac{dN}{d \ln r} = \sum_i \frac{N_i}{\sqrt{2\pi}} \frac{1}{\ln \sigma_i} \exp \left[\frac{-(\ln r_i - \ln r)^2}{2(\ln \sigma_i)^2} \right] \quad (2)$$

257 where N_i , r_i and σ_i are the number fraction, the geometric mean radii and the standard deviation
 258 of the mode i , respectively. As in most remote sensing applications, it has been chosen to
 259 represent the particle size distribution for the aerosol during CLARIFY-2017 with a fine and a
 260 coarse mode contributions. The aerosol optical properties are calculated using the Mie theory,
 261 as the spherical approximation is expected to be valid for biomass burning particles from one
 262 hour after being released in the atmosphere (Martins et al., 1998). The aerosol model is selected
 263 by iteratively adjusting the refractive index and fitting the PCASP measurements (Fig. 4a) until
 264 the aerosol model matches the SSA from EXSCALABAR (Fig. 4b). In order to obtain the most
 265 suitable aerosol optical parameters for the retrieval, it is important to accurately fit the PCASP
 266 measurements where the aerosols contribute the most to the SEVIRI signal. Each bin of the
 267 PCASP has been assigned a weight for the fit of the bimodal distribution. The weights have
 268 been calculated in a similar way to Haywood et al. (2003), which means that they are
 269 proportional to the contribution of each bin to the total aerosol extinction in the 0.6 μm band.
 270 The bins corresponding to the 0.15 to 0.25 μm radius range contribute to about 77% of the
 271 extinction. Consequently, these bins have been assigned appropriate larger weights during the
 272 fitting process of the size distribution. Due to the small fraction of coarse mode aerosols, the
 273 standard deviation of this mode σ_{coarse} could not be reliably fitted and has been set to a value
 274 of 2.23, which is within the same order of magnitude than the one assumed for absorbing
 275 aerosol (~ 2.12) in the MODIS Dark Target operational algorithm (Levy et al., 2009).

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 277 The aerosol model that best represents the PCASP and EXSCALABAR measurements is
 278 shown in blue on Figures 4a and 4b. A refractive index of 1.51-0.029i has been obtained,
 279 associated with an SSA of 0.85 at 0.55 μm which is within the range of SSA measured over
 280 the SEAO during the SAFARI and the DABEX campaigns (Johnson et al., 2008) and on the
 281 upper end of the values from Ascension Island reported by Zuidema et al. (2018). Regarding
 282 the refractive index, it should be noted that the SSA is not very sensitive to the real part
 283 suggesting that the value of 1.51 is not particularly well constrained. However, a real part of
 284 1.51 is consistent with the AERONET retrievals for African biomass burning particles (Sayer
 285 et al., 2014) and is adopted here. The best-fit size distribution is characterised by [r_{fine} , σ_{fine} ,
 286 N_{fine} ; r_{coarse} , σ_{coarse} , N_{coarse}] = [0.12 μm , 1.42, 0.9996; 0.62 μm , 2.23, 0.0004]. By way of
 287 comparison, the 3-mode lognormal distribution obtained for aged biomass burning aerosols
 288 during the SAFARI 2000 campaign (Haywood et al., 2003), defined by [r_1 , σ_1 , N_1 ; r_2 , σ_2 , N_2 ;
 289 r_3 , σ_3 , N_3] = [0.12 μm , 1.30, 0.996; 0.26 μm , 1.50, 0.0033; 0.80 μm , 1.90, 0.0007], is plotted in
 290 orange on Figure 4a. The radius associated with the first mode is consistent with the CLARIFY-
 291 2017 model. The absence of the second fine mode in this study is compensated by a larger
 292 standard deviation for the fine mode. Finally, the radius of the CLARIFY-2017 coarse mode is
 293 slightly smaller than the SAFARI-2000 one but the coarse mode fractions of the two models
 294 are close to each other. The uncertainties on the aerosol properties have been estimated using
 295 the errors on the PCASP and EXSCALABAR measurements. The uncertainty on the imaginary
 296 part of the refractive index is 0.02 for the real part and 0.004 for the imaginary part. For the

297 size distribution, the uncertainty is 0.016 μm , 0.09 and 0.00045 for radius, the standard
298 deviation and the number fraction of the fine mode respectively.

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300 **d. Algorithm**

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302 The algorithm relies on the comparison of the corrected SEVIRI signal at 0.64, 0.81 and 1.64
303 μm with precomputed radiances. The simulations have been performed using an adding-
304 doubling radiative transfer code (De Haan et al., 1987). The surface is assumed to be
305 Lambertian with an albedo of 0.05 at all wavelengths which is typical of the sea-surface albedo
306 under diffuse radiation conditions. The aerosol and cloud properties assumed for the LUT are
307 summarized in Table 1. The truncation of the cloud droplet phase function has been done using
308 the delta-M method (Wiscombe, 1977) and the TMS correction (Nakajima and Tanaka, 1988)
309 has been applied. The cloud layer is assumed to be located between 0 and 1 km and the aerosol
310 layer between 2 and 3 km. The sensitivity of the algorithm to the altitudes of the aerosol and
311 cloud layers is expected to be negligible due to the small contribution of the Rayleigh scattering
312 to the signal at the SEVIRI wavelengths. We have evaluated the error due to the fixed aerosol
313 and cloud altitudes to be lower than 2.5% on the AOT and 0.3% on the cloud properties. The
314 cloud droplets are assumed to follow a gamma law distribution characterised by an effective
315 variance of 0.06. When the cloud is optically thin and/or the cloud droplets are too small, it is
316 not possible to separate the contribution to the optical signal arising from aerosols from that of
317 clouds. Therefore, the minimum values for the CER and the COT in the LUT are 4 μm and 3,
318 respectively. This also justifies the assumption of a relatively simple sea-surface reflectance
319 parameterisation as, at COTs exceeding 3, the sea-surface has little impact on the upwelling
320 radiances above clouds. Clouds associated with lower COT and/or CER are rejected. The
321 aerosol model corresponds to the CLARIFY-2017 model mentioned above, assuming the same
322 refractive index at the 3 SEVIRI wavelengths.

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324 The retrieval of the above-cloud AOT, COT and CER is performed simultaneously. The result
325 corresponds to the parameters that minimise the difference ε between the simulated radiances
326 R_{sim} and the corrected satellite signal R_λ :

$$\varepsilon = \sum_{\lambda} \left(\frac{R_{\lambda} - R_{sim,\lambda}}{R_{\lambda}} \right)^2 \quad (3)$$

327 When the simulated signal is not close enough to the satellite measurements (i.e. $\varepsilon > 0.0006$),
328 the result is rejected. The retrieval of the above-cloud AOT is highly uncertain at the cloud
329 edges and for inhomogeneous clouds. In order to remove these results, the products are
330 aggregated onto a $0.1 \times 0.1^\circ$ grid and the standard deviation of the AOT and the CER are
331 calculated. Note that each grid cell represents approximately 12 SEVIRI pixels. The
332 inhomogeneity parameter ρ is defined by the ratio of the standard deviation of a parameter to
333 the average value of this parameter. The results corresponding to a standard deviation of the
334 AOT larger than 0.7 and/or $\rho_{CER} > 0.2$ as well as grid cells associated with less than 9 successful
335 retrievals are rejected.

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337 It is important to realise that the uncertainties that we quantify here are structural and
338 parametric uncertainties related to assumptions made in the retrieval algorithm. When using a
339 fixed aerosol model, no account is made for natural variability in the aerosol optical parameters
340 and the associated uncertainty; this is dealt with in the uncertainty analysis that follows.
341

342 **3. Results and uncertainty analysis**

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344 **a. Case study**

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346 The algorithm has been applied to an event of biomass burning aerosols above clouds captured
347 by SEVIRI on 28 August 2017 at 10:12 UTC. The RGB composite, the retrieved above-cloud
348 AOT, COT and CER over the SEAO region are shown in Figure 5. The largest AOT are
349 observed off the coast of Angola, with a local average value of 1.0 and a maximum of 1.6 at
350 $0.55 \mu\text{m}$. The AERONET site of Lubango ($14.96^\circ\text{S} - 13.45^\circ\text{E}$) measured an average AOT of
351 0.75 that day with an Ångström exponent of 1.83, indicating the expected domination of fine
352 mode biomass burning aerosols. A gradient of AOT is observed towards the south-west, as we
353 move away from the source as might be expected from a pre-campaign analysis of satellite
354 retrievals (Zuidema et al., 2016). Absorbing aerosols above clouds are also detected in the
355 north-west part of the region. Around Ascension Island ($7.98^\circ\text{S} - 14.42^\circ\text{W}$), the above-cloud
356 AOT from SEVIRI is around 0.37 while the AERONET site indicates a value of 0.48 associated
357 with an Ångström exponent of 1.271. This suggests that coarse mode aerosols, such as sea salt
358 within the boundary layer but generally below cloud, are contributing to the total column
359 aerosol load. The cloud properties retrieved are within the range of values typically observed
360 for marine stratocumulus (Szczodrak et al., 2001) with more than 90 % of the COT lower than
361 25 and 99 % of the CER between 4 and $20 \mu\text{m}$. As a comparison, Figure 6 shows the equivalent
362 aerosol and cloud properties retrieved from MODIS-Terra with the MOD06ACAERO
363 algorithm (Meyer et al., 2015) for the 10:00 and 11:30 UTC overpasses. The MODIS above-
364 cloud AOT pixels associated with an uncertainty larger than 100% have been removed. A good
365 spatial agreement is observed between the two satellites products. The above-cloud AOT from
366 MODIS is also 1.0 on average close to the coast. On average over the area, the MODIS above-
367 cloud AOT is larger by 0.05 compared to SEVIRI. Considering that MODIS is less sensitive
368 to the atmospheric absorption and that the two algorithms are based on the same principle, the
369 small differences observed between the two above-cloud AOT tend to validate the atmospheric
370 correction applied on the SEVIRI measurements for that case. There is a good consistency
371 between the MODIS and the SEVIRI COT. Finally, the CER retrieved with the
372 MOD06ACAERO algorithm is larger by $2.2 \mu\text{m}$ compared to the SEVIRI CER. This almost
373 systematic difference is mainly due to differences in the satellite instruments, and especially,
374 the difference in the channels used for the retrieval (Platnick, 2000). A fully statistical analysis
375 against the MODIS algorithm, and against airborne remote sensing and *in situ* measurements
376 will be presented in a companion paper.
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378 **b. Atmospheric correction**

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380 The atmospheric transmittances above clouds used to correct the SEVIRI measurements from
381 the gas absorption are calculated based on forecast water vapour profiles. In order to assess the
382 sensitivity of the retrieval to the atmospheric correction, new transmittances have been
383 calculated for the event studied here, modifying the specific humidity by +/-10%. The aerosol
384 and cloud properties retrieved with the modified atmospheric corrections are aggregated on a
385 $0.1 \times 0.1^\circ$ grid. Figure 7 compares the retrieved aerosol and cloud properties from SEVIRI-
386 measured radiances using the original specific humidity forecast with the perturbed specific
387 humidity (+10% in orange and -10% in blue). The uncertainty on the water vapour content
388 impacts mainly the retrieval of the above-cloud AOT, and then the COT, because of its effect
389 on the radiance ratio. A +10%/-10% bias on the humidity leads to an
390 overestimation/underestimation of the AOT and COT respectively. On average, errors of
391 18.5%, 5.5% and 2.3% have been calculated for the AOT, COT and CER respectively, based
392 on biases of 10% in the specific humidity forecast. These errors are likely upper estimates
393 because forecast errors in specific humidity are unlikely to reach these values owing to the
394 extensive assimilation of satellite data and sonde profiles by the data assimilation process used
395 in the Met Office forecast model as previously mentioned. However, the differences between
396 forecast model specific humidities and those of simple standard atmosphere climatological
397 values (e.g. those of McClatchey et al., 1972) frequently exceed 10%, indicating that accurate
398 retrievals of aerosol and cloud need synergistic retrievals or data assimilated forecasts of
399 specific humidity.

400

401 **c. Aerosol model**

402

403 The LUT used for the SEVIRI retrieval uses an assumed aerosol model based on *in situ*
404 measurements from CLARIFY-2017. However, the absorption property and the size of
405 biomass burning particles are expected to vary during the fire season and across the SEAO
406 (e.g. Eck et al., 2003). Here, we analyse the impact of the aerosol assumptions on the retrieved
407 aerosol and cloud properties.

408

409 In order to create a range of aerosol optical properties, a thousand aerosol models have been
410 processed using the Mie theory. The radius and the standard deviation of the fine mode, and
411 the real and imaginary part of the refractive index of the models are random values following
412 a normal distribution. Their mean corresponds to the CLARIFY model values provided in
413 Table 1, with standard deviations of $0.01\mu\text{m}$ and 0.1 for the radius and the standard deviation
414 of the fine mode, 0.02 for the real part of the refractive index and 0.008 for the imaginary part.
415 Figure 8a and 8b show the histograms of the simulated SSA and asymmetry factor g at 0.55
416 μm in orange. As a comparison, histograms of the AERONET SSA and g are plotted in blue.
417 The data corresponds to the AERONET level 2.0 retrievals for August-September, from 1997
418 to 2018 and for inland sites of Southern Africa (10°S – 35°S , 10°E – 40°E). Only data associated
419 with an Ångström exponent larger than 1.0 have been used in order to remove measurements
420 dominated by coarse mode particles (such as dust and sea salt) that are less likely to be observed

421 above clouds in the SEAO. The mean SSA (0.862) and the mean g (0.620) from AERONET
422 are respectively slightly larger and smaller than the CLARIFY model. Small differences
423 between above-cloud and full column aerosol properties could be explained by the contribution
424 of aerosol within the boundary layer, such as pollution, desert dust and sea salt. The dashed
425 lines in Figure 8a and 8b represent the mean +/- the standard deviation of SSA and g. The
426 AERONET standard deviation is 0.023 for the SSA and 0.024 for g while the simulation
427 produces a standard deviation of 0.036 for the SSA and 0.041 for g. The simulated range of
428 both optical properties is larger than the range observed by AERONET. Therefore, the
429 variation of the aerosol microphysical properties used for the simulations is wide enough to
430 cover the range of observed aerosol optical properties.

431
432 From the simulated standard deviation σ of g and SSA, eight aerosol models have been defined
433 and their properties are summarized in Table 2. The first four are used to test the sensitivity of
434 the retrieval to g and SSA independently ($[SSA_{\text{CLARIFY}} \pm \sigma_{\text{SSA}}, g_{\text{CLARIFY}}]$ and $[SSA_{\text{CLARIFY}},$
435 $g_{\text{CLARIFY}} \pm \sigma_g]$) and the sensitivity to both parameters will be assessed with the last four
436 ($[SSA_{\text{CLARIFY}} \pm \sigma_{\text{SSA}}, g_{\text{CLARIFY}} \pm \sigma_g]$). New LUTs have been processed with these modified
437 aerosol models and used to re-process the case study from section 3.a. After aggregating the
438 data on a $0.1 \times 0.1^\circ$ grid, the AOT as well as the Absorption AOT (AAOT), the COT and the
439 CER are compared against those obtained with the standard CLARIFY-2017 aerosol model.
440 Results are shown in Figure 9 and 10. For each aerosol and cloud property, a linear relationship
441 is observed between the retrieval using the standard CLARIFY-2017 aerosol model and the
442 modified one. The retrieval of cloud properties (fig. 9c, 9d, 10c and 10d) appears to be weakly
443 sensitive to the assumed aerosol model, with g having a slightly larger impact. On average,
444 differences lower than 4.1% are observed on the COT and lower than 2.4% on the CER. As
445 expected, the choice of the aerosol model has much more influence on the AOT retrieval. The
446 uncertainty on the AOT is dominated by the SSA assumption. When aerosols are more
447 absorbing than the CLARIFY model, the algorithm overestimates the AOT by 25.7%.
448 Conversely, the retrieved AOT is underestimated by 32.6% when aerosols are less absorbing
449 than the CLARIFY model. The impact of g alone on the retrieved AOT is far less significant
450 and lower than 4.3%. Figure 9a, which shows the impact of a perturbation on both the SSA and
451 g, confirms that the SSA is the parameter with the strongest influence on the AOT retrieval.
452 The largest overestimation (27.5%) is observed when both the SSA and g are overestimated
453 (fig. 10a), while the largest underestimation (-33.3%) is obtained when the SSA is
454 underestimated and g is overestimated. The retrieval of the above-cloud AOT depends mostly
455 on the aerosol absorption of the light reflected by the cloud. Therefore, it is expected that the
456 retrieved AAOT is less sensitive to the absorbing property of the aerosol than the AOT. The
457 sensitivity of the AAOT to the assumed aerosol properties is shown in Figure 9b and 10b. The
458 uncertainty in the AAOT due to an error in g is similar to the uncertainty in the AOT (<5%).
459 However, the influence of the SSA assumption alone on the AAOT is smaller than the influence
460 on the AOT, with differences of 1.9% and -8.7%. This means that a perturbation of the SSA
461 primarily impacts the scattering AOT. The largest overestimation of the AAOT (2.7%) is
462 obtained when the assumed aerosol model overestimates g. An underestimation of the SSA and
463 an overestimation of g lead to the largest underestimation of the AAOT (-5.1%).

464

465 The variation of the solar zenith angle, and therefore, of the satellite observation geometry
466 during the day can impact the sensitivity of the retrieval to the aerosol assumptions. Therefore,
467 the 15-minute SEVIRI observations for the 28 August have been processed using the eight
468 aerosol models described above and compared to the aerosol and cloud properties retrieved
469 with the CLARIFY aerosol model. The difference Δx_i of a product x is defined as:

$$470 \quad \Delta x_i = (x_{CLARIFY} - x_i) / x_i \times 100\%$$

471 where $x_{CLARIFY}$ and x_i is the mean product x retrieved over the SEVIRI slot with the aerosol
472 CLARIFY model and the modified model i , respectively. Figure 11 shows the time series of
473 ΔAOT (a), $\Delta AAOT$ (b), ΔCOT (c) and ΔCER (d) obtained with the modified aerosol models.
474 The sensitivity of the retrieved cloud properties to the aerosol model assumptions remains
475 small (lower than 5.6% for the COT and 2.6% for the CER) and dominated by the sensitivity
476 to g . Apart from a small decrease of ΔCOT at midday when g is overestimated (solid blue line)
477 and an increase of ΔCOT in late afternoon when the SSA is underestimated (solid red line), no
478 significant trend is observed on the cloud property sensitivities. As observed previously, the
479 uncertainty on the AOT is led by the SSA assumption, with the AOT being overestimated
480 (respectively underestimated) when the assumed SSA is overestimated (respectively
481 underestimated). Until 15:00, ΔAOT stays within +/-40%, with the sensitivity to the SSA being
482 slightly larger at midday. Then it increases up to 60% when the SSA is overestimated and g is
483 underestimated (dashed blue line). Similar trends are observed on $\Delta AAOT$, with generally
484 lower values than ΔAOT . An increase of the uncertainty is observed on the AAOT after 15:00,
485 that reaches up to 27% at 16:30. Before 15:00, there is a larger AAOT sensitivity to the SSA
486 around midday (+8.9%/-15.2%), but there is no evident evolution of the sensitivity to g with
487 time. The case that lead to the largest biases on the AAOT is when the SSA is underestimated
488 and g overestimated (dashed green lines), with an underestimation of up to 23%. However, it
489 should be noted that 0% of the AERONET observations used in Figure 8 are associated with
490 an SSA lower than $SSA_{CLARIFY} - \sigma_{SSA}$ and a g larger than $g_{CLARIFY} - \sigma_g$. Otherwise, the sensitivity
491 of the AAOT to the aerosol property assumptions stays between -16.6 and +9% before 15:00.

492

493 In conclusion, the retrieved AOT is less sensitive to the aerosol property assumption before
494 15:00, with an uncertainty of 40%. This uncertainty is dominated by the sensitivity of the
495 retrieval to the SSA. An overestimation (respectively underestimation) of the AOT is expected
496 when the observed aerosols are more (respectively less) absorbing than the aerosol model
497 assumed for the retrieval. A better accuracy is obtained on the retrieved AAOT, with an
498 uncertainty generally lower than 17 % before 15:00. The sensitivity of the cloud properties to
499 the aerosol model assumption remain small all day long, with an uncertainty of 5.6% on the
500 COT and 2.6% on the CER.

501

502 **4. Assessing the stability of the retrieval**

503

504 One of the major benefits from using SEVIRI is the ability to track both aerosol and cloud
505 events at high temporal resolution. Therefore, it is important to evaluate how consistent the
506 retrieval is over time. For that purpose, two days of continuous observations (i.e. 5th and 6th

507 September 2017) have been analysed and the retrieved properties have been averaged over
508 20°S and 10°S, and 5°E and 15°E, which correspond to the red square on the maps of Figure
509 12. The above-cloud AOT, COT and CER time series are presented in Figures 13a, b and c.
510 The studied area is located next to the coast, where the AOT is typically the highest. The above-
511 cloud AOT is around 0.66 and 0.72 for the 5th and the 6th September, respectively. As expected,
512 the transport of the aerosol plume from east to west is slow, resulting in a small evolution of
513 the above-cloud AOT. On both days, a peak is observed at 12:12pm with an anomaly larger
514 than the AOT variability. This localised discontinuity in the above-cloud AOT is shown in the
515 11:42, 12:12 and 12:42 UTC maps for 05 September 2017 of Figure 12. The evolution of the
516 cloud properties is slightly more complex. A small decrease is observed on both the COT and
517 CER until 2pm. After 3pm, both properties sharply increase. The clouds are strongly affected
518 by the diurnal cycle and a shoaling of the cloud cover is expected from early morning to late
519 afternoon. As the thinnest clouds vanish, the cloud fraction decreases together with the number
520 of retrievals in the area. This results in a larger contribution of the thickest clouds to the mean
521 value in the late afternoon. As for the above-cloud AOT, large variations of the CER are
522 observed around noon. At that time, the sun and the satellite are almost aligned and the
523 scattering angle (fig. 13d) reaches values larger than 175° which corresponds to the region
524 where the glory phenomenon is typically observed. Several reasons can explain why the
525 retrieval does not perform well in backscattering direction. The first one is the uncertainty in
526 the LUT due to the truncation of the cloud phase function. Although the TMS correction gives
527 good results, biases still remain in the glory aureole (Iwabushi and Suzuki, 2009). Also, the
528 radiances in the glory are more sensitive to the cloud droplet microphysics (Mayer et al., 2004).
529 The assumption on the variance of the droplet size distribution may induce biases in the
530 retrieval. Therefore, the accuracy of the retrieval cannot be guaranteed within the glory aureole
531 and these observations should be discarded. In Figure 13, the timespans corresponding to the
532 MODIS Aqua and Terra overpasses in the region are highlighted in orange. This shows that
533 MODIS measurements are typically performed before and after SEVIRI observes the glory
534 backscattering over the SEAO, usually allowing comparisons between these instruments.

535

536 The performance of the algorithm is further assessed by evaluating the stability of the retrieved
537 above-cloud AOT at pixel level. As noted by Chang and Christopher (2016), in this region over
538 these scales, aerosols are expected to have a limited temporal variability and the variation of
539 the above-cloud AOT is expected to be small between $t=0$ and $t\pm 15$ minutes. The differences
540 between the AOT retrieved at $t=0$ and the running mean estimated between $t-15$ and $t+15$
541 minutes have been calculated at pixel level for observations between 09:00-15:00 UTC,
542 removing measurements within the glory backscattering region. Figure 14 shows the histogram
543 of the AOT differences calculated over a 12-day period (01 to 12 September 2017). The
544 differences follow a normal distribution centred around 0.0 with a standard deviation of 0.1.
545 This short-term variability can be attributed to several sources of uncertainties, such as the total
546 amount of water vapour, its vertical distribution, the retrieved cloud top height and the
547 numerical fitting procedure. This analysis indicates that the retrieval of the above-cloud AOT
548 remains relatively stable, with an observed variability of ± 0.1 between consecutive
549 observations. Except for the glory backscattering, the stability observed on the retrieved aerosol
550 and cloud properties reinforces the reliability of the algorithm.

551

552 **5. Conclusion**

553

554 Recently, progress has been made in the remote sensing field in order to fill the lack of aerosol
555 above cloud observations. Techniques have been developed to retrieve aerosol and cloud
556 properties over the SEAO from passive remote sensing instruments. These algorithms take
557 advantage of the colour-ratio effect (Jethva et al., 2013), which is the spectral contrast produced
558 by the aerosol absorption above clouds. Although OMI (Torres et al., 2012), MODIS (Jethva
559 et al., 2013; Meyer et al., 2015) and POLDER (Peers et al., 2015) already provide useful
560 information about aerosols above clouds, these instruments are on polar-orbiting satellites and
561 their low temporal resolutions prevent monitoring the diurnal variation of the cloud cover and
562 of the DRE of aerosols over the SEAO. For the first time, we have applied a similar algorithm
563 to geostationary measurements from the SEVIRI instrument, which has a repeat cycle of 15
564 minutes. The method consists of a LUT approach, using the channels at 0.64, 0.81 and 1.64
565 μm in order to retrieve simultaneously the above-cloud AOT, COT and CER.

566

567 Compared to other satellite instruments, the SEVIRI measurements are more sensitive to the
568 absorption from atmospheric gases because of their wider spectral bands. Therefore, an
569 efficient atmospheric correction scheme is essential in order to separate the absorption from
570 aerosol absorption and from the atmospheric. Atmospheric transmittances are calculated with
571 the fast-radiative transfer model RTTOV based on the cloud top height observed by SEVIRI
572 and the forecasted water vapour profiles from the Met Office Unified Model. The water vapour
573 correction has the largest impact on the above-cloud aerosol retrieval. The impact of errors in
574 the atmospheric correction has been evaluated by modulating the humidity profile for a case
575 study. A positive bias of both the AOT and the COT is observed when the water vapour is
576 overestimated, and vice versa. On average, an 18.5% bias on the AOT and a 5.5% bias on the
577 COT are expected for a 10% error on the water vapour profile. Although a good accuracy is
578 expected from the forecast model, this limitation should be kept in mind when utilising or
579 further developing SEVIRI products. In the companion paper, the humidity from the forecast
580 will be compared against the dropsonde measurements from the CLARIFY-2017 campaign.

581

582 The choice of the aerosol model used to produce the LUT is also a key feature of the method.
583 *In situ* measurements of aerosols above clouds have been performed off the coast of Ascension
584 Island during the CLARIFY-2017 field campaign. An aerosol model optimised for the SEVIRI
585 spectral bands has been obtained by analysing the vertical profiles of extinction and absorption
586 from EXSCALABAR together with the size distribution from a PCASP. A bimodal lognormal
587 distribution has shown to adequately reproduce the observations. A fine mode radius of 0.12
588 μm has been obtained, which is in good agreement with the biomass burning measured over
589 the SEAO during SAFARI 2000 (Haywood et al., 2003). The refractive index has been
590 evaluated at 1.51-0.029i. The corresponding SSA of 0.85 at 0.55 μm is consistent with both *in*
591 *situ* and remote sensing observations of African biomass burning aerosols (Johnson et al., 2008;
592 Sayer et al., 2014). In addition to the uncertainty associated with the estimation of the aerosol
593 model, a seasonal dependence is expected in the biomass burning properties as well as

594 modifications due to aging processes during their transport over the SEAO. We have evaluated
595 the impact of applying a single model assumption on both aerosol and cloud properties.
596 Retrievals have been performed considering aerosol models with modified SSA and
597 asymmetry factor g . It has been shown that the sensitivity of the retrieved cloud properties to
598 the aerosol model assumption is small with errors lower than 5.6% on the COT and 2.6% on
599 the CER. As expected the impact of the assumed aerosol properties is much larger on the above
600 cloud AOT, with an uncertainty estimated at 40% before 15:00 UTC. This uncertainty is led
601 by the sensitivity of the retrieval to the SSA. Because the method relies on the impact of the
602 aerosol absorption on the light reflected by the clouds, the perturbation of the SSA has
603 primarily an impact on the scattering contribution of the AOT. Therefore, a better accuracy is
604 obtained on the retrieved AAOT, with biases generally lower than 17% before 15:00 UTC.
605 After that time, an increase of the uncertainty on both the AOT and the AAOT has been
606 observed, and users are advised to be careful when using the late afternoon aerosol product.
607 For any satellite retrievals based on the colour-ratio technique, aerosol properties, including
608 the SSA, have to be assumed and the same order of magnitude can be expected on the
609 sensitivity of their AOT. This analysis highlights the importance of a suitable constrain on the
610 SSA.

611
612 Despite the wider channels and the narrower spectral range of SEVIRI, it has been
613 demonstrated that the geostationary instrument has the potential to detect and quantify the
614 absorbing aerosol plumes transported above the clouds of the SEAO. Except from observations
615 within the glory backscattering for which the retrieval has shown to be unstable, a good
616 consistency has been observed on the aerosol and cloud properties. The stability of the results
617 during the day is promising for future uses of the SEVIRI algorithm. In the companion paper,
618 the reliability of the retrieved aerosol and cloud properties will be further assessed by analysing
619 the consistency with the MODIS retrievals and comparing with direct measurements from the
620 CLARIFY-2017 field campaign. The potential of such a retrieval is obvious. The 15-minute
621 resolution will aid in tracking the fate of above-cloud biomass burning aerosol and will prove
622 invaluable for assessing models of the emission, transport and deposition of biomass burning
623 aerosol, with implications for accurate determination of the direct radiative effects of biomass
624 burning aerosol at high temporal resolution.

625

626 **Author contribution**

627

628 FP, PF and JMH developed the concept and the ideas for the conduction of this paper. PF
629 implemented the atmospheric correction scheme and FP, the retrieval algorithm. CF, SJA, KS,
630 MIC, NWD and JMH operated, calibrated and prepared the *in situ* measurements from
631 EXSCALABAR and the PCASP. The reliability of the retrieved products was analysed
632 throughout the development of the algorithm with the help of KGM and SEP. FP carried out
633 the analysis and prepared the manuscript with contributions from all co-authors.

634

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636

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640

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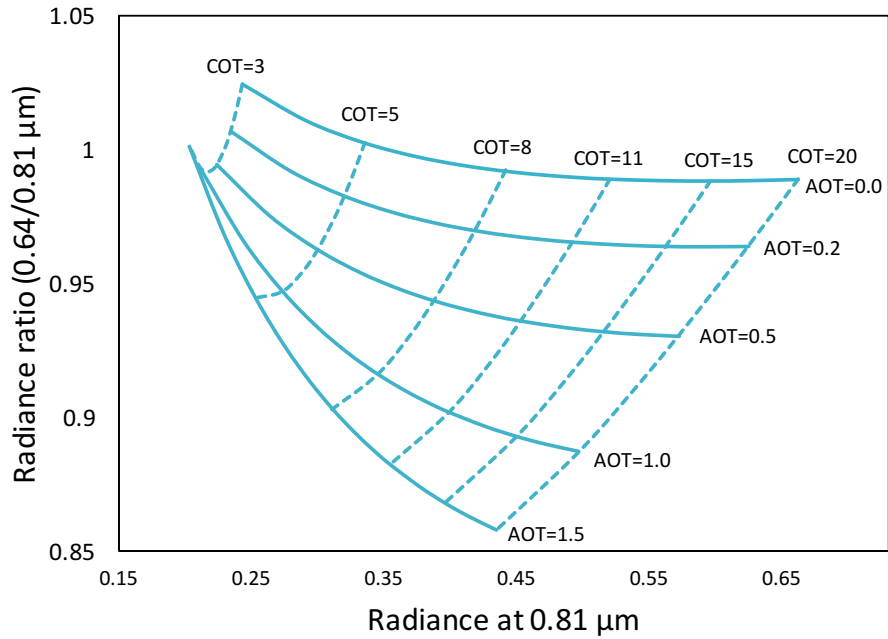
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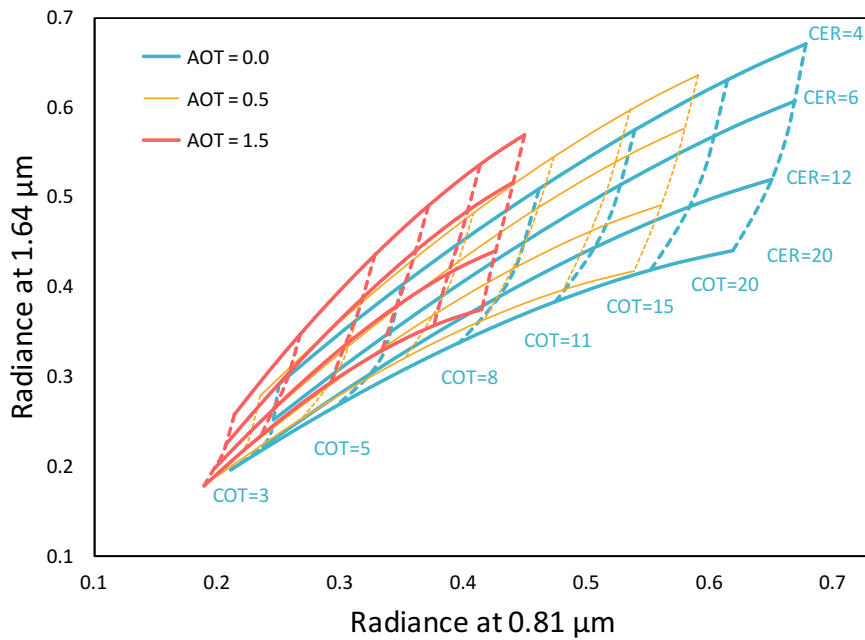
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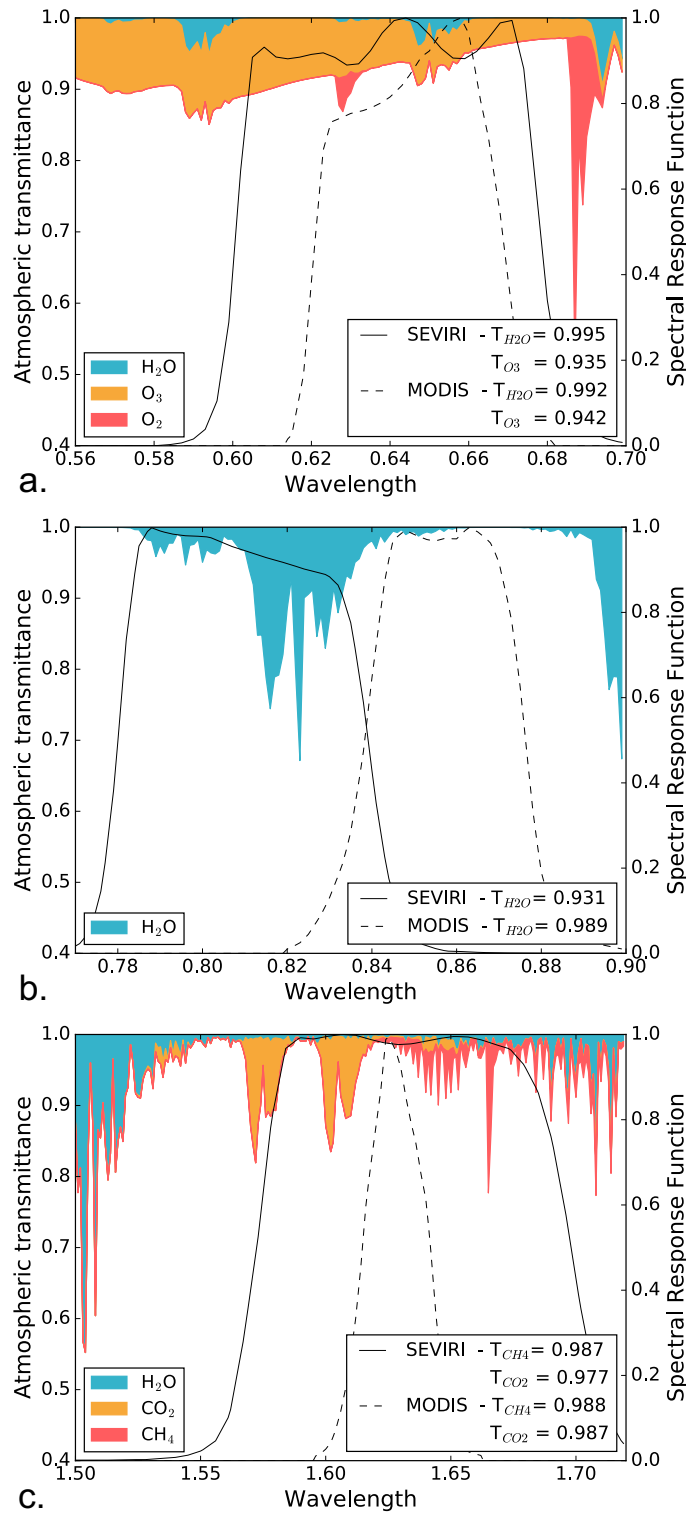
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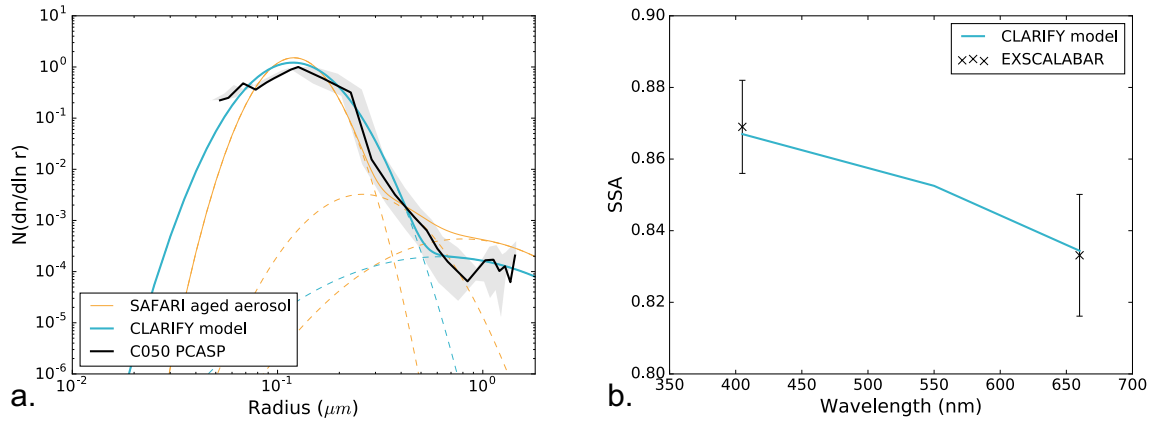
875
 876 **Figure 1:** Radiance ratio $R_{0.64}/R_{0.81}$ as a function of the radiance at $0.81\mu\text{m}$ for absorbing
 877 aerosols above clouds simulated with the adding-doubling method (De Haan et al., 1987).
 878 COTs and AOTs are indicated at $0.55\mu\text{m}$.
 879



880
 881 **Figure 2:** Simulated radiances at 1.64 and $0.81\mu\text{m}$ for clouds with varying COTs and CERs
 882 (in μm), without (blue) and with (orange and red) overlying absorbing aerosols above. The
 883 viewing geometry, the aerosol and the cloud properties are the same as Figure 1.
 884



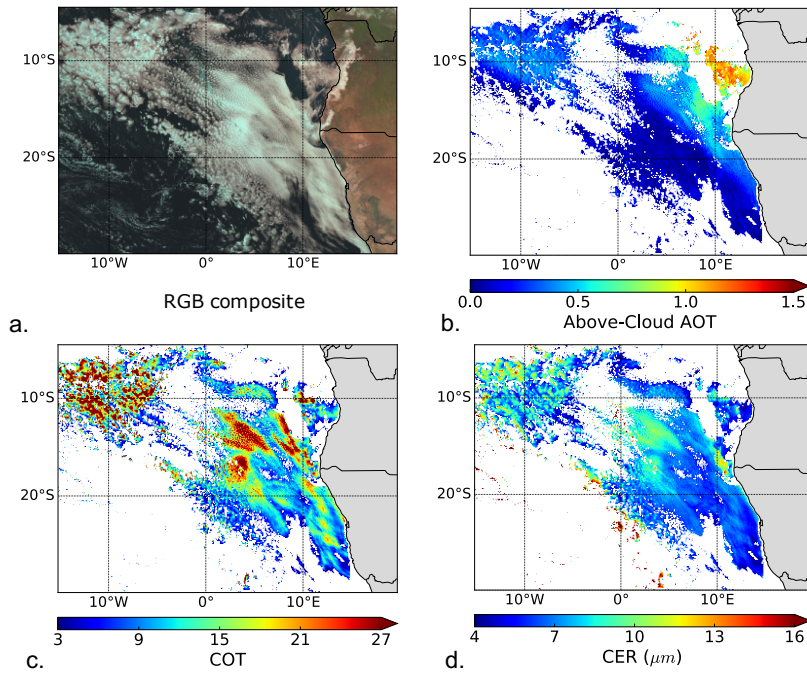
885
 886 **Figure 3:** Spectral response function of the SEVIRI bands at 0.64 (a), 0.81 (b) and 1.64 μm (c)
 887 with the corresponding MODIS ones (dashed lines) as well as the atmospheric transmittance
 888 within the spectral range (in colour). The transmittances have been calculated with the
 889 SOCRATES radiative transfer scheme (Manners et al., 2015; Edwards and Slingo, 1996)
 890 assuming a humidity profile measured during SAFARI (Keil and Haywood, 2003). In the
 891 legend of each plot, the transmittance weighted by the spectral response function is given for
 892 the main absorbing gases.



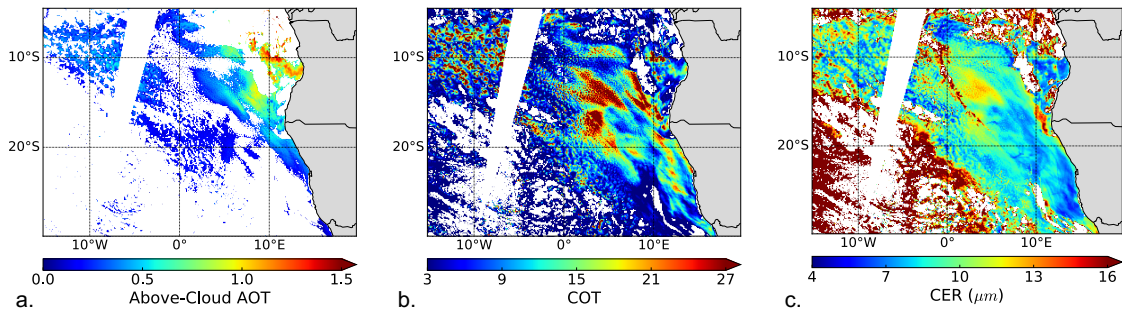
893
 894 **Figure 4:** Normalized size distribution (a) and SSA (b) measured above clouds during flight
 895 C050 of the CLARIFY-2017 campaign (black). The grey shade area represents the PCASP
 896 measurement and calibration uncertainties. Blue lines represent the fitted aerosol model, the
 897 orange lines correspond to the aged aerosol size distribution from SAFARI (Haywood et al.,
 898 2003), and the dashed lines shows the contribution of each mode. CLARIFY-2017 aerosol
 899 model: $[r_{\text{fine}}, \sigma_{\text{fine}}, N_{\text{fine}}; r_{\text{coarse}}, \sigma_{\text{coarse}}, N_{\text{coarse}}] = [0.12\mu\text{m}, 1.42, 0.9996; 0.62\mu\text{m}, 2.23, 0.0004]$,
 900 refractive index = $1.51 - 0.029i$. SAFARI aged aerosol model: $[r_1, \sigma_1, N_1; r_2, \sigma_2, N_2; r_3, \sigma_3, N_3]$
 901 = $[0.12\mu\text{m}, 1.30, 0.996; 0.26\mu\text{m}, 1.50, 0.0033; 0.80\mu\text{m}, 1.90, 0.0007]$.
 902

Aerosol model				
Size distribution	Bimodal lognormal distribution			
	$r_{\text{fine}} = 0.12 \mu\text{m}$	$\sigma_{\text{fine}} = 1.42$	$N_{\text{fine}} = 0.9996$	
	$r_{\text{coarse}} = 0.62 \mu\text{m}$	$\sigma_{\text{coarse}} = 2.23$	$N_{\text{coarse}} = 0.0004$	
Refractive index	$1.51 - 0.029i$			
Wavelength	$0.55 \mu\text{m}^*$	$0.64 \mu\text{m}$	$0.81 \mu\text{m}$	$1.64 \mu\text{m}$
SSA	0.852	0.839	0.804	0.643
g	0.649	0.612	0.538	0.468
Cloud model				
Size distribution	Gamma law			
	r_{eff} from 4 to 60 μm		$v_{\text{eff}} = 0.06$	

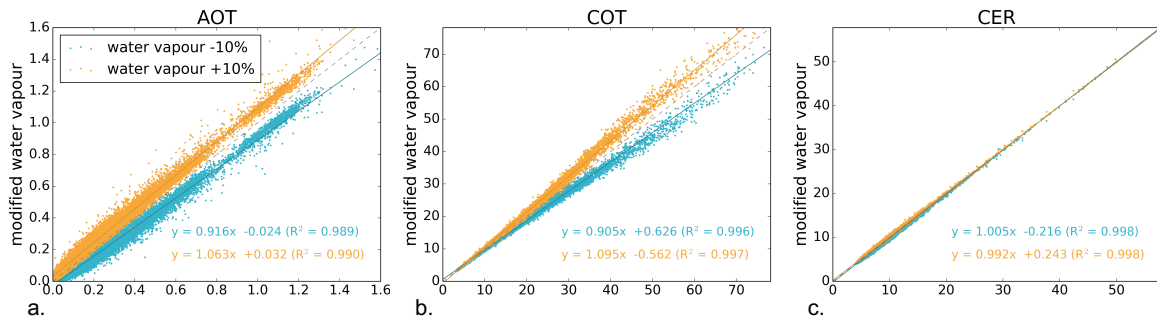
903 **Table 1:** Aerosol and cloud properties used to compute the radiances LUT of the SEVIRI
 904 retrieval. (* Note that $0.55\mu\text{m}$ does not correspond to a SEVIRI channel.)
 905



906
 907 **Figure 5:** RGB composite (a), Above cloud AOT at 0.55 μm (b) and cloud properties (c and
 908 d) retrieved from SEVIRI measurements on the 28 August 2017 at 10:12 UTC over the SEAO.
 909

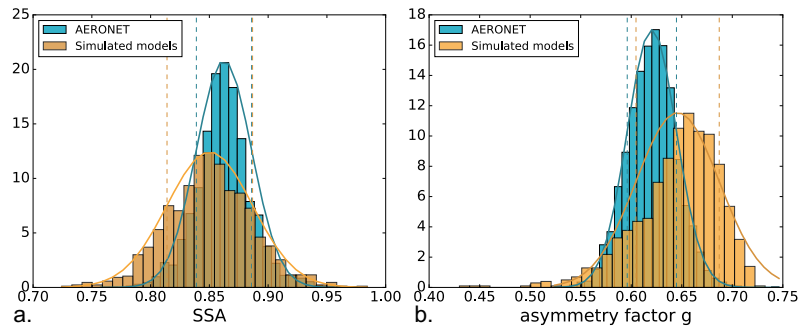


910
 911 **Figure 6:** Above cloud AOT at 0.55 μm (a) and cloud properties (b and c) retrieved from
 912 MODIS-Terra with the MOD06ACAERO algorithm (Meyer et al., 2015) on the 28 August
 913 2017.
 914



915
 916 **Figure 7:** Uncertainty in the retrieved above-cloud AOT (a), COT (b) and CER(c) due to an
 917 error of +10% in orange and -10% in blue on the specific humidity profile compare to the
 918 original forecast for 28 August 2017 at 10:12 UTC.
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Figure 8: Histograms of the SSA (a) and asymmetry factor g (b) at $0.55 \mu\text{m}$ simulated from a range of size distribution and refractive index (orange) and retrieved by AERONET (blue) over the Southern Africa. Dashed lines represent the mean \pm the standard deviation.

Model	SSA	g	r_{fine}	σ_{fine}	refr. index
CLARIFY	0.852	0.649	0.12	1.42	1.51-0.029i
$SSA_{\text{CLARIFY}} - \sigma_{\text{SSA}}$	0.812	0.648	0.12	1.42	1.51-0.037i
$SSA_{\text{CLARIFY}} + \sigma_{\text{SSA}}$	0.891	0.649	0.12	1.42	1.52-0.021i
$g_{\text{CLARIFY}} - \sigma_g$	0.852	0.603	0.12	1.30	1.53-0.027i
$g_{\text{CLARIFY}} + \sigma_g$	0.851	0.686	0.12	1.51	1.50-0.030i
$SSA_{\text{CLARIFY}} - \sigma_{\text{SSA}}, g_{\text{CLARIFY}} - \sigma_g$	0.813	0.604	0.11	1.37	1.52-0.034i
$SSA_{\text{CLARIFY}} + \sigma_{\text{SSA}}, g_{\text{CLARIFY}} + \sigma_g$	0.886	0.687	0.13	1.50	1.49-0.022i
$SSA_{\text{CLARIFY}} - \sigma_{\text{SSA}}, g_{\text{CLARIFY}} + \sigma_g$	0.814	0.684	0.12	1.51	1.50-0.041i
$SSA_{\text{CLARIFY}} + \sigma_{\text{SSA}}, g_{\text{CLARIFY}} - \sigma_g$	0.884	0.602	0.11	1.36	1.49-0.017i

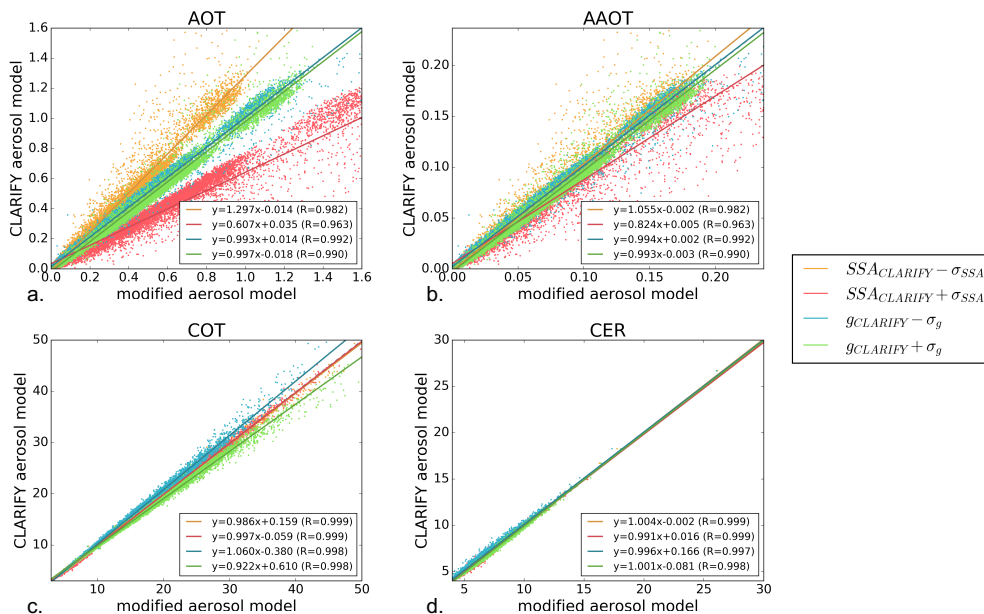
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Table 2: Aerosol properties used to test the sensitivity of the SEVIRI to the aerosol model. SSA and g are given at $0.55 \mu\text{m}$.



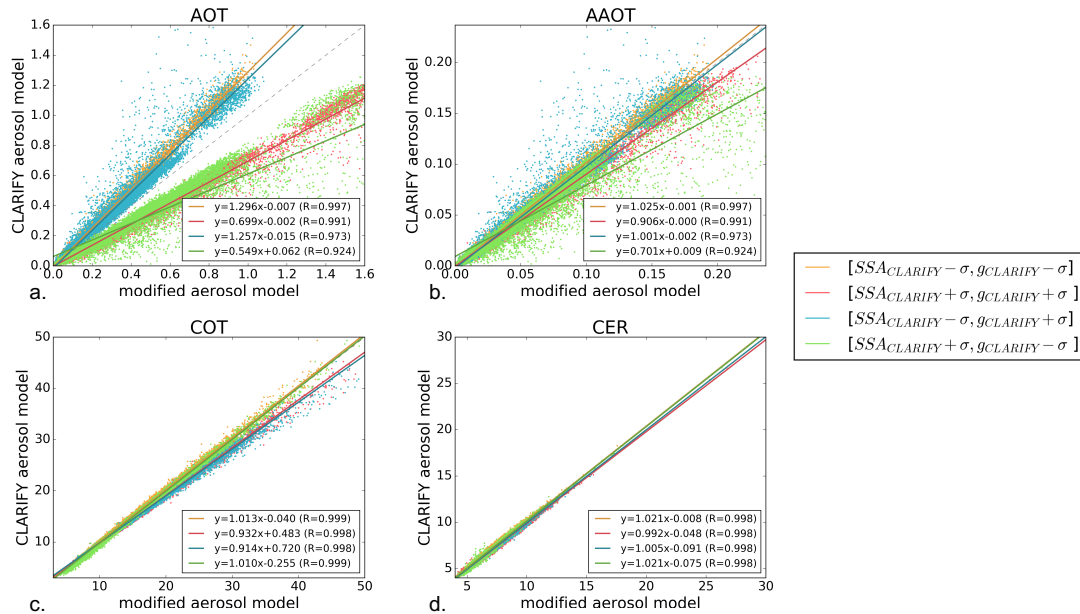
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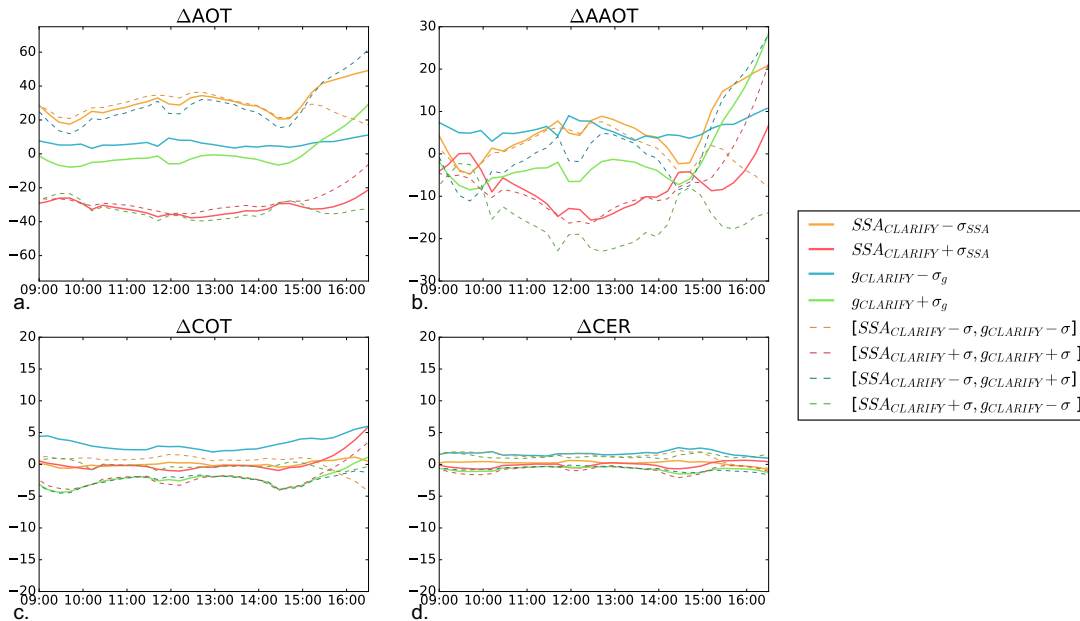
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Figure 9: Impact of the assumption on the SSA and the asymmetry factor g on the retrieved aerosol and cloud properties. AOT, AAOT, COT and CER obtained for 28 August 2017 at

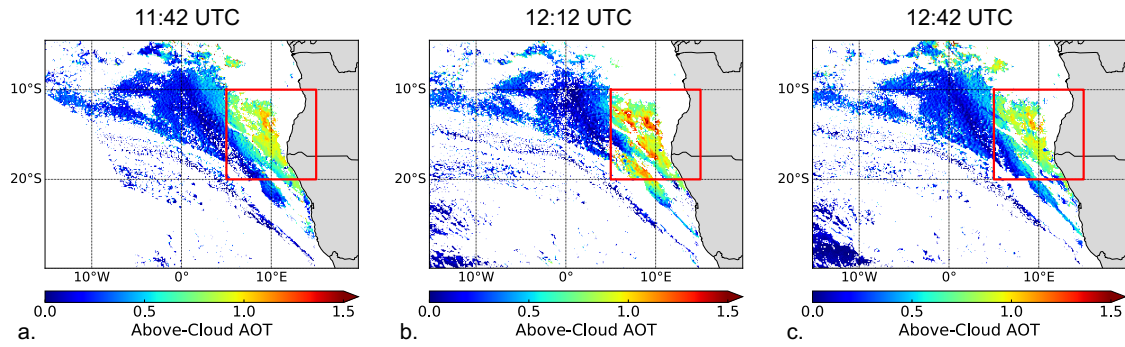
934 10:12 UTC with the CLARIFY-2017 model are plotted against the properties retrieved with
 935 the modified aerosol models.
 936



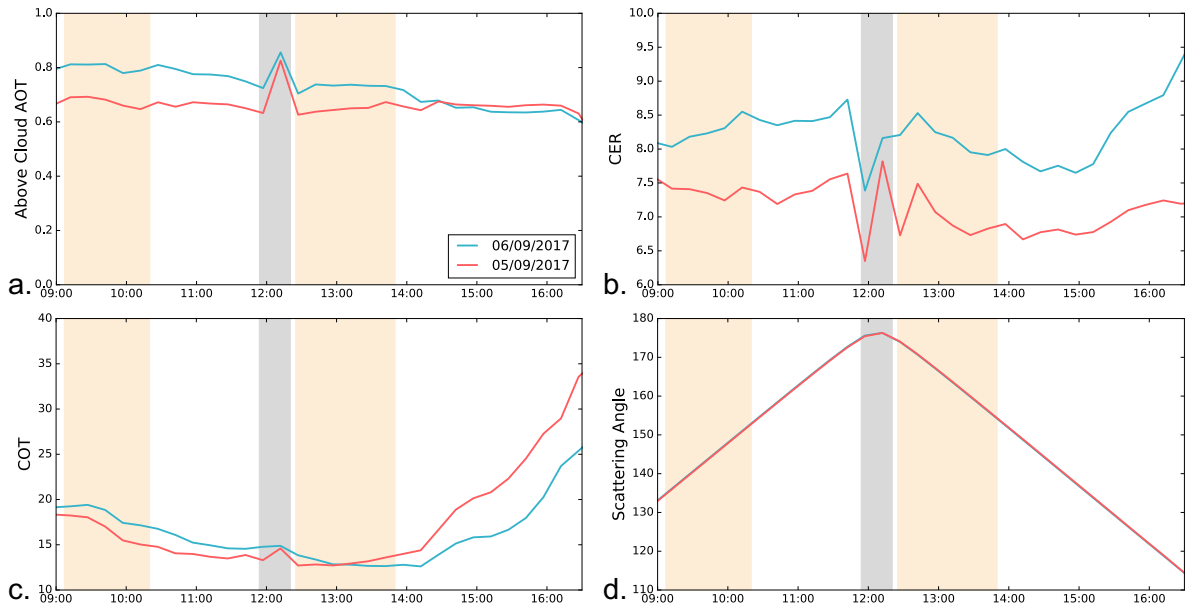
937
 938 **Figure 10:** Similar to Figure 9 for the combined impact of g and the SSA.
 939



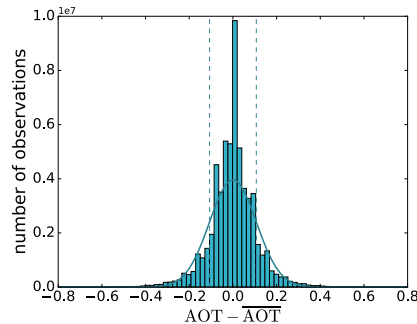
940
 941 **Figure 11:** Time series (UTC) of the difference Δ (in %) of the above-cloud AOT (a), AAOT
 942 (b), COT (c), CER (d) retrieved with the CLARIFY model and the modified aerosol models
 943 for the 28 August 2017.
 944



945
 946 **Figure 12:** Above-cloud AOT retrieved the 05 September 2017 at 11:42, 12:12 and 12:42
 947 UTC. The red square represents the area over which the SEVIRI products have been averaged.
 948



949
 950 **Figure 13:** Time series (UTC) of the above-cloud AOT (a), COT (b), CER(c) and scattering
 951 angle(d) averaged between 20°S and 10°S, and 5°E and 15°E for the 5th and 6th September
 952 2017. The grey area represents scattering angles larger than 175° and the orange areas show
 953 the typical overpass times of MODIS Aqua and Terra over the region.
 954



955
 956 **Figure 14:** Histogram of the difference between AOT retrieved at $t=0$ and the running mean
 957 calculated between $t-15$ and $t+15$ minutes from 01 September to 12 September 2017.
 958 Observations within the glory region have been removed. Dashed lines represent the mean +/-
 959 the standard deviation.