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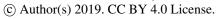


1	Light absorption property and potential source of particulate brown carbon in
2	the Pearl River Delta region of China
3	
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Abstract:

19 Brown carbon (BrC) is a type of light-absorbing component of organic aerosol (OA), covering 20 from near-ultraviolet (UV) to visible wavelength ranges, and thus may cause additional aerosol 21 radiative effect in the atmosphere. While high concentrations of OA have been observed in the Pearl River Delta (PRD) region of China, optical properties and the corresponding radiative 22 23 forcing of BrC in PRD are still not well understood. In this work, we conducted a set of comprehensive measurements of atmospheric particulate matters from 29 November 2014 to 5 24 25 January 2015 to investigate aerosol composition, optical properties, source origins and radiative forcing effects at a suburban station of Guangzhou. Particle absorption Ångström exponent 26 27 (AAE) was deduced and utilized to differentiate light absorption by BrC from black carbon 28 (BC). The results showed that the average absorption contributions of BrC were 25.9±9.0% at 29 370 nm, 19.7±7.9% at 470 nm, 14.1±6.9% at 520nm, 11.6±5.6% at 590nm and 7.7±4.4% at 30 660nm, respectively. A sensitivity analysis of the evaluation of absorption Ångström exponent 31 of BC (AAE<sub>BC</sub>) was conducted based on the Mie theory calculation, assuming that the BC-32 containing aerosol was internally mixed, with a core-shell configuration. The corresponding 33 uncertainty of BrC absorption contribution was acquired. We found that variations in the 34 imaginary refractive index (RI) of BC core can significantly affect the estimation of BrC 35 absorption contribution. However, BrC absorption contribution was relatively less sensitive to 36 the real part of RI of BC core and was least sensitive to the real part of RI of non-light absorbing 37 shell. BrC absorption was closely related to aerosol potassium cation content (K<sup>+</sup>), a common tracer of biomass burning emission, which was most likely associated with straw burning in the 38 39 rural area of western PRD. Diurnal variation of BrC absorption revealed that primary organic 40 aerosol had a larger BrC absorption capacity than secondary organic aerosol (SOA) had. Radiative transfer simulations showed that BrC absorption may cause 2.2±2.3 W m<sup>-2</sup> radiative 41 forcing at the top of atmosphere (TOA) and contribute 14.2±6.2% of the aerosol warming effect. 42 43 A chart was constructed to conveniently assess the BrC radiative forcing efficiency in the 44 studied area with reference to a certain aerosol single-scattering albedo (SSA) and BrC 45 absorption contribution at various wavelengths. Evidently, BrC radiative forcing efficiency was higher in shorter wavelength. 46

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**Keywords:** Brown carbon, Black carbon, Absorption Ångström exponent, Radiative forcing, Pearl River Delta.

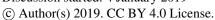
# 47 1 Introduction 48 BC and organic carbon (OC) are the dominant carbonaceous aerosol components, which are 49 mainly originated from anthropogenic activities and have attracted great environmental 50 concerns in the rapidly developing regions. Carbonaceous aerosols can not only exert adverse impacts on public health like other particulate matters, but also significantly affect terrestrial 51 52 radiation balance with enormous uncertainties. In previous studies, BC was often considered to 53 be the only light-absorbing species (Andreae and Gelencser, 2006) and OC was believed to be only able to scatter light, i.e., causing the cooling effect (Bond et al., 2011). Nevertheless, it has 54 been reported that some fraction of organic aerosols (OA) may also specifically contribute to 55 56 light absorption from near-UV to visible wavelength range and is termed as brown carbon (BrC) 57 (Kirchstetter et al., 2004). BrC optical properties are strongly affected by its chemical 58 compositions and physical structures, which are related to different BrC sources. BrC could originate not only from direct emissions, including smoldering biomass burning or any type of 59 incomplete fuel combustion process (Cheng et al., 2011; T. C. Bond et al., 1999), but also from 60 61 secondary organic aerosol formation process, such as aqueous phase reactions in acidic solutions (Desyaterik et al., 2013) or volatile organic compounds (VOC) oxidation (Laskin et 62 al., 2015; Sareen et al., 2010). In addition, BrC could possess complicated molecular 63 composition and intermix with other substances, such as BC, non-absorbing OA and other 64 65 inorganic materials, making it complicated to investigate BrC optical properties. 66 BC absorption is commonly assumed to be wavelength-independent. However, light absorption 67 property of BrC is believed to be wavelength-dependent, which can be represented by distinct 68 absorption Ångström exponent (AAE) values, i.e., the power exponent of light absorption 69 coefficient. A typical threshold AAE of BC (AAE<sub>BC</sub>) of 1.6 has been recommend to distinguish 70 BrC from BC (Lack and Cappa, 2010) and the AAE of BrC has been reported with a wider 71 range (2 to 7) (Hoffer et al., 2005). Based on the difference in wavelength dependence of light 72 absorption between BC and BrC, previous studies have applied the AAE method to segregate

light absorption by BrC through multi-wavelength optical measuring apparatus, such as 3-

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75 wavelength Aethalometer (Olson et al., 2015), and so on. Based on the AAE method, BrC absorption contribution has been estimated to be about 6 to 41 % of total aerosol light 76 77 absorption at short wavelengths, for instance, at 370 nm and 405 nm (Washenfelder et al., 2015). Unity AAE<sub>BC</sub> is commonly used from the  $\sim 300$  nm up to  $\sim 700$  nm (Moosmüller et al., 2011) 78 79 when evaluating BrC absorption contribution using the AAE method. However, it has been 80 reported that AAE<sub>BC</sub> can be influenced by the mixing state, BC core size and morphology (Lack and Cappa, 2010). The lensing effect of the coating shell may enhance BC light absorption, the 81 magnitude of which may also depend on wavelength and can alter the value of AAE<sub>BC</sub> (Liu et 82 83 al., 2018). Moreover, different values of AAE<sub>BC</sub> have been found in the NIR and UV ranges 84 (Wang et al., 2018). Therefore, using the default  $AAE_{BC} = 1$  may lead to uncertainty in BrC 85 absorption coefficient estimation. 86 Quantifying BrC optical absorption accurately is essential to interpret aerosol optical depth 87 (AOD) and the corresponding aerosol direct radiative forcing (DRF) on the atmosphere can 88 also be evaluated, if the SSA and extinction coefficient of aerosols are known. The estimation 89 of the DRF of BrC showed a distinct seasonal variation, indicating the influence from different 90 absorption properties of BrC (Arola et al., 2015). A global simulation study indicated that the 91 averaged warming effect at the TOA caused by the BrC absorption can be up to 0.11 W m<sup>-2</sup>, 92 corresponding to ~25% of that predicted from BC absorption only (Feng et al., 2013). 93 During the last three decades, rapid economic development has led to severe air pollution problems in the PRD region (Chan and Yao, 2008). With rapid increases in automobile 94 95 population and factories, high loadings of SOA have often been observed (Tan et al., 2016). 96 Biofuel usage may also play a significant role during the wintertime air pollution events in PRD, indicating that the contribution from BrC light absorption cannot be ignored (Wu et al., 2018). 97 98 Recently, BrC light absorption has been quantified by Qin et al. (2018) using the AAE method 99 in the PRD region. OA chemical composition was simultaneously measured by a high 100 resolution time-of-flight aerosol mass spectrometer and it was found that organic aerosol 101 originated from biomass burning possessed the most intense absorption capability and was 102 largely responsible for the BrC absorption. Qin et al. (2018) also suggested that correlations 103 between OA chemical compositions and BrC absorption were wavelength-dependent.

wavelength Photoacoustic Soot Spectrometer (PASS-3) (Lack and Langridge, 2013), multi-

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104 In this paper, we have applied the homologous AAE segregation method to quantify the fraction 105 of aerosol light absorption by BrC using measurements of a seven-wavelengths aethalometer. 106 The potential error incurred with this methodology has been determined using Mie theory 107 simulations, especially for various complex refractive indexes of BC core and the coating material. The correlation between BrC light absorption and water-soluble ions, used as the 108 109 source tracer, were employed to identify the potential BrC sources. An atmospheric radiative 110 transfer model also has been applied to evaluate BrC's impact on direct radiative forcing using surface-based aerosol optical properties and satellite-based surface-albedo data. The 111 magnitudes of aerosol radiative forcing at the top of the atmosphere due to BC and BrC were 112 113 also separately quantified. 114 2 Methodology

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#### 2.1 Sampling site

Field observation was conducted at the Panyu station (23°00.2360'N, 113°21.2920'E), which was one of the monitor sites of the Chinese Meteorological Administration (CMA) Atmospheric Watch Network (CAWNET) located on the summit of the Dazhengang Mountain (about 150 m above sea level) in Guangzhou, China. Fig. 1 shows the location of the Panyu site, which is situated at the center of PRD and is separated from the residential areas by at least 500 m. Some agricultural fields can be found to the west of the site. Although there were no significant pollution sources nearby, this suburban site was strongly affected by the pollutants transported from the urban area of Guangzhou and crop residual fires from the rural area of PRD. Field campaign was conducted from 29 November 2014 to 5 January 2015. During the measurement period, aerosol light scattering and extinction, BC concentration, particle number size distribution (PNSD), OC concentration, along with water-soluble ions concentration of PM<sub>2.5</sub> were continuously monitored.

# 2.2 Measurements and data analysis

All instruments were housed inside the 2<sup>nd</sup> floor measurement room of a ~5-m tall, 2-story building. Ambient sample was pulled in through the roof by a 2-m long, 12.7-mm OD stainless steel inlet and a PM<sub>2.5</sub> cyclone was also used. The metal tubing was thermally insulated and maintained at a constant temperature of ~25°C. A diffusion drier was also used in-line to dry the sample air relative humidity (RH) below 30% before further analyses.

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134 2.2.1 Measurements of relevant species A TSI-3936 scanning mobility particle sizer (SMPS) and a TSI-3321 aerodynamic particle sizer 135 (APS) were utilized for the measurement of PNSD of 10 to 500 nm in mobility diameter and 136 0.5 to 2.5 µm in aerodynamic diameter, respectively. The aerodynamic diameters of APS data 137 was converted into mobility diameter using a material density of 1.7 g cm<sup>-3</sup>. The detailed data 138 139 merging method has been described by Cheng et al. (2006). Furthermore, the pipe diffusion 140 loss of SMPS has been corrected using the empirical formula proposed by Kulkarni et al. (1996). An AE-33 aethalometer (Magee Scientific Inc.) was utilized for BC mass concentration 141 142 measurement, which was derived from the optical attenuation using a mass absorption cross section (MAC) of 7.77 m<sup>2</sup> g<sup>-1</sup> at 880 nm. The sensitivity of the AE-33 was about 0.03 µg m<sup>-3</sup> 143 144 for a 1-min time resolution and a 5.0 liters per minute (LPM) sample flow rate. Water-soluble ions (potassium (K<sup>+</sup>), calcium (Ca<sup>2+</sup>), magnesium (Mg<sup>2+</sup>), chloride (Cl<sup>-</sup>), sulfate 145 (SO<sub>4</sub><sup>2</sup>), nitrate (NO<sub>3</sub>), and ammonium (NH<sub>4</sub><sup>+</sup>)) were measured with a Monitor for AeRosols 146 147 and Gases in Air (MARGA) (Model ADI2080, Metrohm Inc.), an on-line analyzer for semi-148 continuous measurements of gases and water-soluble ions in aerosols (Li et al., 2010). The 149 MARGA was automatically calibrated with internal standard solutions during the field 150 measurement. The MARGA utilized its own PM2.5 sampling system provided by the 151 manufacturer. 152 OC mass concentration was measured by a Sunset online EC/OC analyzer (Model RT-4) with 153 a laser transmittance-based charring correction (Wu et al., 2018). The sample flow rate of the EC/OC analyzer was maintained at 8 LPM. For each measurement cycle of one hour, samples 154 155 were collected onto a quartz filter within the first 45 min and then was thermal-optically 156 analyzed during the remaining 15 min. Firstly, OC was completely volatized in oxygen-free helium with a stepwise ramped temperature (600 °C and 840 °C). In the second stage, the 157 temperature was reduced to 550 °C, and then EC and pyrolyzed carbon (PC) were combusted 158 159 in an oxidizing atmosphere (10% oxygen in helium), while the temperature was increased up to 870 °C step by step. The CO<sub>2</sub> converted from all the carbon components was then quantified 160 by a nondispersive infrared absorption CO<sub>2</sub> sensor (Lin et al., 2009). Internal calibration peak 161 made by 5% methane in helium was applied to quantified OC and EC. In order to correct the 162 163 PC converted from OC to EC, a tunable pulsed diode laser beam was used to monitor the laser

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164 transmittance through the quartz filter during the OC measurement stage (Bauer et al., 2012).

# 2.2.2 Measurements of optical properties

- Light extinction by aerosols at 532 nm was detected using a cavity ring-down aerosol extinction 166
- 167 spectrometer (CRDS) (Model XG-1000, Hexin Inc.) by measuring the decay times of laser
- intensity through the aerosol-containing sample and the filtered background air sample under 168
- the same condition. The extinction coefficient ( $\sigma_{ext}$ ) was calculated using the procedure 169
- 170 described by Khalizov et al. (2009).
- Aerosol total scattering  $(\sigma_{sp})$  was measured by a TSI-3563 integrating nephelometer at three 171
- 172 wavelengths (i.e., 450 nm, 550 nm, and 700 nm), which was calibrated with CO<sub>2</sub> following the
- 173 manual instruction. Particle free air was used to check the nephelometer background signal
- 174 once every two hours. The scattering coefficients at other wavelengths were extrapolated using
- 175 the following equations:

176 
$$SAE = -\frac{\ln(\sigma_{scat,\lambda_0}) - \ln(\sigma_{scat,550nm})}{\ln(\lambda_0) - \ln(550)}$$

$$\sigma_{scat}(\lambda) = \sigma_{scat}(550) \cdot (\frac{\lambda}{550})^{-SAE}$$
(2)

177 
$$\sigma_{scat}(\lambda) = \sigma_{scat}(550) \cdot \left(\frac{\lambda}{550}\right)^{-SAE} \tag{2}$$

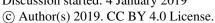
- 178 where  $\lambda_0$ =450 nm for wavelengths less than 550 nm and  $\lambda_0$ =700 nm for wavelengths more than
- 179 550 nm.
- 180 The aethalometer was also used for multi-wavelengths light absorption measurements in this
- 181 study. The seven-wavelengths aerosol light attenuation coefficients ( $\sigma_{ATN}$ ) were converted into
- 182 the aerosol light absorption coefficients ( $\sigma_{abs}$ ) using Eq. (3) (Coen et al., 2010), where k is the
- 183 parameter to account for the loading effect, ATN is the light attenuation through the filter with
- sample loading and  $C_{ref}$  is a fixed multiple scattering parameter. 184

$$\sigma_{abs} = \frac{\sigma_{ATN}}{(I - k \cdot ATN) \cdot C_{ref}}$$
 (3)

- Real-time k value was retrieved using the dual-spot loading correction algorithm developed by 186
- 187 Drinovec et al. (2015). The detailed formula of ATN can also be found in Drinovec et al. (2015).
- 188  $C_{ref}$  is considered a constant that strongly depends on the filter matrix effect. However, some
- 189 studies have suggested that C<sub>ref</sub> may vary with wavelength (Arnott et al., 2005; Segura et al., 2014).
- 190  $C_{ref}$  at 370 nm was expected to be about 12% and 18% less than  $C_{ref}$  at 532 nm for aerosol
- 191 component mainly from internal combustion engines and biomass burning, respectively
- 192 (Schmid et al., 2006). Different ambient observations also showed that  $C_{ref}$  may have regional

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- 193 specificity, even though they were all retrieved by the same methodology (Coen et al., 2010).
- 194 In this study,  $C_{ref}$ =3.29 was used in Eq. (3) at each wavelength and this value was derived from
- 195 the slope of  $\sigma_{ATN}$  measured by the aethalometer vs.  $\sigma_{abs}$ , deduced from the CRDS and
- 196 nephelometer measurements. This  $C_{ref}$  was also very similar to the  $C_{ref}$  of 3.48 determined from
- an inter-comparison study between a aethalometer and a photo-acoustic soot spectrometer 197
- 198 during a filed campaign conducted in the PRD region in 2004 (Wu et al., 2009).
- 199 The BC light absorption at certain wavelength was derived from the absorption coefficients  $\sigma_{abs}$
- according to the Beer-Lambert's Law and its variation between different pair of wavelengths 200
- (i.e.,  $\sigma_{abs,BC,\lambda}$ ) is denoted by the Absorption Ångström exponent (AAE) equation developed by 201
- 202 Ångström (1929):

$$\sigma_{abs,BC,\lambda_I} = \sigma_{abs,BC,\lambda_2} \times (\lambda_I/\lambda_2)^{-AAE_{BC}}$$
 (4)

- It has been suggested that the AAE of BC may vary between short- and long-wavelength ranges 204
- 205 (Lack and Cappa, 2010) and hence applying a wavelength-independent AAE<sub>BC</sub> may lead to
- 206 uncertainties in BC absorption calculation from one wavelength to another. In this work, the
- light absorptions of BC at various wavelengths were retrieved by a modified wavelength-207
- dependent AAE segregation method: 208

$$\sigma_{abs,BC,\lambda_{i+1}} = \sigma_{abs,\lambda_{l}} \times \left(\frac{\lambda_{l}}{\lambda_{2}}\right)^{AAE_{BC,\lambda_{l}-\lambda_{2}}} \times \dots \times \left(\frac{\lambda_{i}}{\lambda_{i+1}}\right)^{AAE_{BC,\lambda_{l}}} \lambda_{i}^{-1} + i \leq 5$$
(5)

- Here  $\sigma_{abs,BC,\lambda_i}$  (i=1, 2, 3, 4, 5, and 6) stands for the absorption coefficient due to BC alone at 210
- 211  $\lambda_i$ =880, 660, 590, 520, 470 and 370 nm, respectively.  $AAE_{BC, \lambda_i, \lambda_{i+1}}$  represents the AAE of BC
- 212 between a longer and a shorter wavelength and was calculated as:

213 
$$AAE_{BC,\lambda_i-\lambda_{i+1}} = -\frac{\ln(\sigma_{abs,BC,\lambda_i}) - \ln(\sigma_{abs,BC,\lambda_{i+1}})}{\ln(\lambda_i) - \ln(\lambda_{i+1})}$$
 (6)

- 214 Accordingly, BrC absorption at a certain wavelength  $\lambda$  ( $\sigma_{abs,BrC,\lambda}$ ) was the value of the total
- aerosol absorption ( $\sigma_{abs,\lambda}$ ) subtracting BC absorption ( $\sigma_{abs,BC,\lambda}$ ): 215

$$\sigma_{abs,BrC,\lambda} = \sigma_{abs,\lambda} - \sigma_{abs,BC,\lambda} \tag{7}$$

- 217 The data of light absorption at 880 nm ( $\sigma_{abs,BC,880}$ ) was selected to represent BC absorption,
- 218 which shall not be affected by BrC (Drinovec et al., 2015). It has been reported that dust-related
- 219 contribution of PM<sub>2.5</sub> was normally less than 5% in wintertime Guangzhou, therefore the
- influence from dust could be negligible in this study (Huang et al., 2014). 220

#### 221 2.2.3 Estimation of AAE<sub>BC</sub>

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- 222 Traditionally,  $AAE_{BC}$  was believed to be close to 1.0 (Bodhaine, 1995), which has been
- 223 commonly used for BC measurements (Olson et al., 2015). However, studies have
- 224 demonstrated that AAE<sub>BC</sub> can be affected by the refractive index of coating materials, mixing
- state, morphology, and BC core size (Liu et al., 2015). Moreover, different values of  $AAE_{BC}$
- have been found in the NIR and UV ranges (Wang et al., 2018). Therefore, using the default
- $AAE_{BC} = 1$  may lead to uncertainty in BrC absorption estimation. In order to obtain the correct
- $AAE_{BC}$ , a series of Mie theory calculations were conducted using a simplified core-shell model
- 229 (Bohren and Huffman, 1983; Wang et al., 2018). We used a modified BHCOAT code to
- 230 calculate aerosol optical properties of core-shell mixture at different wavelengths (Cheng et al.,
- 231 2006). In the Mie theory, a particle was taken as a perfect homogeneous sphere and its
- extinction and scattering efficiencies,  $Q_{ext,Mie,\lambda}$  and  $Q_{scat,Mie,\lambda}$  are expressed as (Mie, 1908;
- 233 Seinfeld and Pandis, 1998):

234 
$$Q_{ext,Mie,\lambda} = \frac{2}{\alpha^2} \sum_{n=1}^{\infty} [(2n+1)Re(a_n + b_n)]$$
 (8)

235 
$$Q_{scat,Mie,\lambda} = \frac{2}{\sigma^2} \sum_{n=1}^{\infty} [(2n+1)(|a_n|^2 + |b_n|^2)]$$
 (9)

- where  $\alpha = \pi D_p/\lambda$  is the size parameter;  $a_n$  and  $b_n$  are functions of the complex RI and  $\alpha$
- 237 in the Riccati-Bessel form. Re in Eq. (8) denotes that only the real part of RI is taken. The
- absorption efficiency  $(Q_{abs,Mie,\lambda})$  was thus the difference between extinction and scattering
- 239 efficiencies:

$$Q_{abs,Mie,\lambda} = Q_{ext,Mie,\lambda} - Q_{scat,Mie,\lambda}$$
 (10)

Then the absorption coefficient  $\sigma_{abs,Mie,\lambda}$  was obtained following (Bricaud and Morel, 1986):

$$\sigma_{abs,Mie,\lambda} = \int Q_{abs,Mie,\lambda} \cdot (\frac{\pi}{4} D_p^2) \cdot N(\log D_p) \cdot d \log D_p$$
 (11)

- where  $N(\log D_p)$  is the PNSD. A two-component parameterization of dry particles, i.e., the
- 244 BC core and the non (or less) light-absorbing species, was applied to calculate aerosol optical
- properties here (Wex et al., 2002). The PNSD of BC core was calculated as:

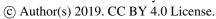
$$N(log D_p)_{core} = N(log D_p)_{measure} \cdot f_{BC}$$
 (12)

- where,  $N(logD_p)_{measure}$  is the measured PNSD;  $(N(logD_p)_{core})$  is the BC core PNSD;  $f_{BC}$  is the
- 248 BC's volume fraction of total particles and is calculated as:

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$$f_{BC} = \frac{M_{BC}}{\rho_{BC} \cdot \sum_{D_P} N(log D_P)_{measure} \cdot \frac{\sigma}{\delta} \cdot D_P^3}$$
(13)

- where,  $\rho_{BC}$  is the density of BC and is assumed to be 1.5 g cm<sup>-3</sup> (Ma et al., 2012);  $M_{BC}$  is the BC
- 251 mass concentration derived from the MAAP, which was obtained by an empirical formula from
- Aethalometer measured BC concentration ( $M_{BC,AE}$ ) proposed by Wu et al. (2009):

$$M_{BC} = 0.897 \cdot M_{BC, AE} - 0.062 \tag{14}$$

254 The diameter of the BC core was calculated as:

$$D_{core} = D_p \cdot (f_{BC})^{\frac{1}{3}} \tag{15}$$

- 256 The  $\sigma_{abs,BC,Mie,\lambda_i}$  of all six wavelengths were calculated through the Mie model, and then the
- 257 AAE<sub>BC</sub> of these five wavelengths were obtained using Eq. (6).

## 2.2.4 Atmospheric radiative transfer model

- 259 In this work, Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model was
- 260 employed to estimate the DRF of BrC absorption, i.e., its effects on the downward and upward
- 261 fluxes (F in W m<sup>-2</sup>) of solar radiations at TOA. SBDART is a software tool that can be used to
- 262 compute plane-parallel radiative transfer under both clear and cloudy conditions within the
- atmosphere. More details about this model have been described by Ricchiazzi et al. (1998).
- 264 Both ground measurements and remote sensing data were used in the simulation. The surface
- albedo was derived from 500 m resolution MODIS BRDF/Albedo Model Parameters product
- 266 (MCD43A3, daily). The MCD43A3 products are the total shortwave broadband black-sky
- 267 albedo ( $\alpha_{BSA}$ ) and white-sky albedo ( $\alpha_{WSA}$ ), while the actual surface albedo ( $\alpha$ ) was computed
- from a linear combination of  $\alpha_{WSA}$  and  $\alpha_{BSA}$ , weighted by the diffuse ratio  $(r_d)$  and direct ratio
- 269  $(1-r_d)$ , respectively:

$$\alpha = (1 - r_d) \cdot \alpha_{BSA} + r_d \cdot \alpha_{WSA} \tag{16}$$

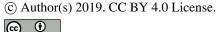
- $r_d$  was obtained from an exponential fit of Eq. (17) based on empirical observations (Roesch,
- 272 2004; Stokes and Schwartz, 1994):

$$r_d = 0.122 + 0.85e^{-4.8\mu_0} \tag{17}$$

- where  $\mu_0$  is the cosine of the zenith angle, calculated by the model for any specified date, time,
- and the latitude and longitude of the site. The surface-based aerosol optical properties, including
- aerosol light absorption coefficients of both BC and BrC, i.e., segregated from each other under
- 277 the assumption of unity AAE<sub>BC</sub>, along with nephelometer measured aerosol scattering

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coefficients, were used to calculate the SSA at different wavelengths according to Eq. (18),

$$SSA(\lambda) = \frac{\sigma_{scat, \lambda}}{\sigma_{abs, BrC, \lambda} + \sigma_{abs, BC, \lambda} + \sigma_{scat, \lambda}}$$
(18)

- 280 which was then used in the model calculation. Finally, the AOD and asymmetry factor (ASY)
- 281 at 440, 675 and 870 nm were derived from the Aerosol Robotic Network (AERONET)
- measurements at the Hong Kong Polytechnic University site (Holben et al., 1998), which is
- about 115 km to the southeast of Panyu site. The tropical atmospheric profile was used in the
- SBDART model based on the prevailing weather conditions in PRD. The aerosol DRF ( $\Delta F$ )
- was calculated as the difference between downward and upward radiation flux:

$$\Delta F = F \downarrow - F \uparrow \tag{19}$$

# 287 3 Results and discussion

## 288 3.1 Aerosol light absorption and uncertainty of BC and BrC optical segregation

- When the AAE<sub>BC</sub> was assumed to be unity, the campaign-averaged  $\sigma_{BrC}$  were respectively
- 290 18.0±14.1 Mm<sup>-1</sup> at 370 nm, 10.0±8.5 Mm<sup>-1</sup> at 470 nm, 6.0±5.5 Mm<sup>-1</sup> at 520 nm, 4.2±3.7 Mm<sup>-1</sup>
- at 590 nm, and 2.3±2.2 Mm<sup>-1</sup> at 660 nm. At the corresponding wavelengths, BrC absorption
- 292 contributed 25.9±9.0%, 19.7±7.9%, 14.1±6.9%, 11.6±5.6%, and 7.7±4.4% to the total aerosol
- absorption (see Fig. 2). Evidently, aerosol light absorption was predominantly due to BC,
- 294 however BrC also played a significant part, especially at shorter wavelengths. Table 1 shows
- 295 the inter-comparison of BrC light absorption in the near UV rang between this work and other
- 296 studies in the East Asia region. Clearly, the reported values range substantially and our result is
- toward the lower value end.
- 298 In reality AAE<sub>BC</sub> may vary significantly with the BC containing aerosol of different size, mixing
- state, and morphology (Lack and Langridge, 2013; Scarnato et al., 2013). In fact, some studies
- 300 showed that AAE of large size pure BC core may be less than 1.0 (Liu et al., 2018), and that
- 301 AAE of BC coated with non-absorbing shell may be larger than unity (Lack and Cappa, 2010).
- Theoretically, the magnitude of BC absorptions can be apparently affected by both parts of the
- 303 complex refractive indexes (RI) and thus AAE<sub>BC</sub> may also vary with RIs of both the BC core
- and coating shell. In fact, RI was also one of the least known properties of BC and other coating
- materials of negligibly absorbing capability. So far reported refractive index of BC core ( $\widetilde{m}_{core}$ )
- displays a wide range of variations (Liu et al., 2018). Typically, the real and imaginary parts of

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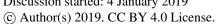


RI can vary from 1.5 to 2.0 and 0.5 to 1.1, respectively. In addition, the shell was assumed to be consisting of non-absorbing material, i.e., its imaginary RI was set to be close to zero  $(10^{-7})$ . The real part of the shell RI may vary from 1.35 to 1.6 due to the presence of OA (Redmond and Thompson, 2011; Zhang et al., 2018) and inorganic salts (Erlick et al., 2011). Hence, it is necessary to investigate the uncertainties associated with the variations in AAE<sub>BC</sub> and the corresponding BrC absorption contribution estimations by varying the RIs of both the BC core and the non-absorbing shell. Based on the core-shell configuration, a suite of Mie theory computation was performed for AAE<sub>BC</sub> with specific wavelength-independent complex refractive indexes (RI = a - bi). The results are presented in Table 2. Generally, for wavelengths toward the UV range, AAE<sub>BC</sub> deviated negatively from unity and the shorter the wavelength was the more was the deviation. For wavelengths toward the NIR range, the trend of AAE<sub>BC</sub> was just reversed. It is also clearly shown that for all wavelength ranges, AAE<sub>BC</sub> increased with increasing real RI of the BC core but anti-correlated with the imaginary RI of the BC core. For the extreme cases (Model run 19 and 20 in Table 2), the corresponding averaged BrC absorption contribution can be as high as 42.7±7.0% and as low as 14.3±11.4% at 370 nm. Therefore, the estimation of BrC absorption contribution can be significantly affected by the choice of AAE<sub>BC</sub>. However, for the cases typically encountered in the atmosphere, especially in the 500 to 600 nm range, AAE<sub>BC</sub> was very close to one. Figure 3 shows the impacts of RI on the evaluations of AAE<sub>BC</sub> and BrC absorption contribution, where the RI of BC core was set constant, i.e.,  $\widetilde{m}_{core}$ =1.80 - 0.54*i* and the real part of shell's RI varied from 1.35 to 1.6 at an interval of 0.05, with the imaginary part of shell's RI set at  $10^{-7}$ . As shown in Fig 3a, the calculated  $AAE_{BC}$  was higher than 1.0 at longer wavelength and lower than 1.0 at shorter wavelength (the red line in Fig. 3 denotes AAE<sub>BC</sub>=1). Even the shell material was assumed non-absorbing, variation in the real RI of the shell still led to changes of shell's refractivity and correspondingly altered its lensing effect, causing the fluctuation in calculated AAE<sub>BC</sub> values between 520 and 660 nm. In other wavelength intervals, the AAE<sub>BC</sub> increased with increasing real part of shell's RI. In Fig. 3b, under the same conditions as in Fig. 3a, average BrC absorption contributed 23.7%  $\sim 27.4\%$  at 370 nm, 13.1%  $\sim 17.7\%$  at 470 nm, 7.4%  $\sim 11.0\%$  at 520 nm, 4.8%  $\sim 8.2\%$  at 590 nm, and -0.1%  $\sim 4.2\%$  at 660 nm to the total aerosol absorption, respectively. Interestingly, the magnitude of BrC absorption contribution not only

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certain wavelength, which was most likely due to the absorption enhancement of BC core 338 339 caused by the increased leasing effects of the coating material. 340 The impacts of BC core on AAE<sub>BC</sub> and BrC absorption contribution are shown in Fig. 4, where the shell was assumed as non-absorbing (RI=1.55 - 10<sup>-7</sup>i) and the RIs were wavelength-341 independent. The left panels (Figs. 4a and 4b) were obtained by fixing the imaginary RI of BC 342 343 core to 0.54 but varying the real RIs from 1.6 to 2.0 with a step of 0.1; the right panels (Figs. 4c and 4d) were done with a constant real RI of BC cores (1.8) but a varying imaginary RI from 344 0.6 to 1.0 with an incremental of 0.1. As shown in Figs. 4a and 4c, the AAE<sub>BC</sub> at a certain 345 346 wavelength generally increased with increasing real RIs (Fig. 4a) but decreased with increasing 347 imaginary RI (Fig 4c). The AAE<sub>BC</sub> appeared to be more sensitive to the imaginary RI than the 348 real RI of BC core, for the fact that the imaginary RI was directly related to light-absorbing 349 properties of particles. In Fig. 4b, within the specified real RI (1.6 to 2.0) of BC core, BrC on 350 average contributed  $20.4\% \sim 28.7\%$  at 370 nm,  $10.1\% \sim 18.1\%$  at 470 nm,  $4.4\% \sim 11.8\%$  at 351 520 nm,  $2.0\% \sim 8.2\%$  at 590 nm, and  $-2.0\% \sim 3.3\%$  at 660 nm to the total aerosol absorption, 352 respectively. Similarly, in Fig. 4d, within these specific BC core's imaginary RI (0.4 to 1.0), 353 BrC on average contributed 19.9%  $\sim$  37.0 % at 370 nm, 10.7%  $\sim$  24.1% at 470 nm, 5.3%  $\sim$ 354 16.7% at 520 nm,  $3.2\% \sim 11.8\%$  at 590 nm, and  $0.01\% \sim 5.6\%$  at 660 nm to the total aerosol absorption, respectively. 355 Figure 4 demonstrated that the variation of the imaginary RI of BC core will cause the most 356 significant impact on the estimated BrC absorption contributions, indicating that the 357 358 morphology and structure of BC emitted from different sources will lead to a large uncertainty 359 in BrC estimation. At the same time, the influence arisen from varying real RI of BC core was relatively moderate. Nevertheless, Fig. 3 demonstrated that alteration of the real RI of the non-360 absorbing shell caused the least impact than that caused by the variations of the complex RI of 361 362 BC core. Please note that  $\sigma_{abs,BrC}$  was extrapolated with an assumed unity AAE<sub>BC</sub>, which was among a reasonable range at 370 nm but may be significantly overestimated within other longer 363 wavelengths according to Mie theory calculation results. Moreover, many studies demonstrated 364 that BrC showed a stronger light absorbance in the UV-visible wavelength range, where data at 365 370 nm were often chosen to represent BrC light absorption under the assumption of a 366

decreased with increasing wavelength, but also decreased with increasing real RI of the shell at

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both Fig. 3 and Fig. 4, negative BrC absorption contributions were obtained at longer 368 wavelengths, which were due to the uncertainties associated with the calculation and the 369 370 dominance (near 100%) of BC absorption at these wavelengths. We want to point out that most BC containing particles are often observed as fractal rather than 371 spherical in shape (Katrinak et al., 1993). Since the core-shell Mie model is under the 372 373 assumption that all particles are spherical, it may lead to potential uncertainty for the estimation of AAE<sub>BC</sub> and BrC absorption contributions. Moreover, during a closure study in the PRD 374 region Tan et al. (2016) have found that the actual BC mixing state was partially core-shell and 375 376 partially external mixing. During a test run with the assumption of external mixing, we found 377 that BrC contribution would become significantly higher. 378 3.2 Characteristics of BrC light absorption, water-soluble ion and OC concentration 379 Globally, BrC have been observed to be highly correlated with biomass and biofuel burning 380 emissions (Laskin et al., 2015). Since large quantity of sylvite is present in biomass burning 381 particles, K<sup>+</sup> abundancy has often been used as a biomass burning tracer (Levine, 1991). Figure 382 5 presents the time series of OC mass concentration, K<sup>+</sup> concentration, and BrC absorption from 383 29 November 2014 to 5 January 2015 at the Panyu site. The range of OC concentration obtained 384 from the OC/EC online analyzer was from 1.5 to 67.6 µg cm<sup>-3</sup> and the campaign average was 385  $12.1 \pm 7.8 \,\mu g \, \text{cm}^{-3}$ . The BrC absorption hourly mean data was between 0.2 and 70.8 Mm<sup>-1</sup> and 386 the campaign average was  $17.6 \pm 12.4 \text{ Mm}^{-1}$ . On the other hand, average K<sup>+</sup> concentration was  $1.0 \pm 0.7 \,\mu g \, cm^{-3}$  (ranging from 0 to 5.4  $\mu g \, cm^{-3}$ ). Clearly, similar trends among OC, K<sup>+</sup>, and 387 388 BrC absorption can be seen during this field campaign (Fig. 5). 389 In order to investigate the origins of these observed OC, K<sup>+</sup>, and BrC. Wind rose plots (as shown in Fig. 6) were generated for OC, K<sup>+</sup>, and BrC absorption, respectively. All three panels of Fig. 390 391 6 show consistently that the three substances were associated with the same wind pattern. For 392 the whole campaign period, the highest values of OC,  $K^+$ , and  $\sigma_{abs,BrC,370nm}$  were mostly 393 associated with southwesterly winds of relatively low wind speed (~2 m s<sup>-1</sup>). The relatively 394 higher OC and K<sup>+</sup> concentrations were highly related to the seasonal straw burning in the countryside of PRD located to the west of the Panyu station. On the contrary, OC and K<sup>+</sup> 395 396 concentrations during periods with easterly winds were substantially lower than those during

wavelength-independent unity AAE<sub>BC</sub> (Andreae and Gelencser, 2006; Olson et al., 2015). In

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westerly winds. The wind rose plot of  $\sigma_{abs,BrC,370nm}$  is shown in Fig. 6c. Similar to OC and K<sup>+</sup>,  $\sigma_{abs\,BrC.370mm}$  showed higher values in the weak (<2 m s<sup>-1</sup>) westerly wind and lower values from 398 399 the north and south, indicating that BrC absorption was likely attributed to local sources and 400 was accumulated under calm wind conditions. However, there was detectable difference among the three rose plots in the maximum concentration direction. The possible explanation was that 401 402 although biomass burning emission was believed to be the dominant primary source of OC, K<sup>+</sup>, 403 and BrC, their emission ratios were highly variable and may change with the types of biofuel, 404 burning conditions, and may even vary during different stages of burning (Burling et al., 2012). Although biomass burning emissions contain substantial light-absorbing BrC, further 405 406 atmospheric aging process may significantly reduce its light-absorbing capability (Satish et al., 407 2017). Moreover, secondary formation may also lead to BrC formation inside these primary 408 aerosols, such as humic-like substances formed through aqueous-phase reactions have been 409 suggested to be an important component of BrC (Andreae and Gelencser, 2006). 410 To further explore possible sources of BrC optical absorption, the diurnal variations of OC, K<sup>+</sup>,  $\sigma_{abs,BrC,370nm}$ , and  $\sigma_{abs,BrC,370nm}$  /OC values are plotted in Fig. 7. Diurnal variation of OC at the 411 412 Panyu site appeared to be dominated by the development of planetary boundary layer (PBL) 413 height, i.e., primary emissions were accumulating at night and were swiftly diluted by vertical 414 mixing in the morning. The slight increase of OC in the afternoon indicated that photochemistry 415 may still contribute weakly to the SOA formation. Figure 7b shows the diurnal variation of K<sup>+</sup>. 416 Unlike OC, K<sup>+</sup> shows a distinct peak around 6 AM, which was consistent with the breakfast 417 time and was most likely due to cooking activities using biofuel. It is still a common practice 418 to collect straw as biofuel in the local rural area, which can be visually spotted. The diurnal 419 profile of  $\sigma_{abs,BrC,370nm}$  (see Fig. 7c) shows the combined feature of OC and K<sup>+</sup>, since both primary and secondary processes will affect its intensity. The nighttime rising trend was most 420 421 likely attributed to straw burning activities in early winter in nearby rural area that continued 422 to accumulate within the shallow PBL (Jiang et al., 2013).  $\sigma_{abs,BrC,370nm}/OC$ , i.e., the mass 423 absorption coefficient of BrC (MAC<sub>BrC</sub>) (Fig. 7d), shows a relatively flat pattern with a 424 pronounced dip in the afternoon and higher values at nighttime, which was likely due to the enhanced primary emissions and stable stratification at nighttime. The declining trends during 425 426 the late morning and afternoon hours indicated that aging process and photochemical

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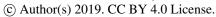
428 Furthermore, Fig. 8 shows the linear regression analysis results to evaluate the correlations between  $\sigma_{abs,BrC,370nm}$  and OC, K<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> concentrations, 429 respectively. The best correlations can be found between  $\sigma_{abs,BrC,370nm}$  and K<sup>+</sup> and OC 430  $(R^2=0.4889 \text{ and } 0.4872, \text{ respectively})$ , followed by NO<sub>3</sub>  $(R^2=0.3267)$  and NH<sub>4</sub>  $(R^2=0.3234)$ . 431 Both nitrogen oxides (NO<sub>x</sub>) and ammonia (NH<sub>3</sub>) can be found in biomass burning plumes 432 433 (Andreae and Merlet, 2001). Nitrate can be converted from NO<sub>x</sub> through atmospheric reactions 434 and NO<sub>x</sub> may originate from many combustion processes, such as biomass burning or any kind of fossil fuel usage (Elliott et al., 2009). Source apportionment analysis of OA and BrC 435 436 absorption in Beijing and Guangzhou illustrated that biomass burning organic aerosol (BBOA) 437 correlated well with BrC light absorption (Qin et al., 2018; Xie et al., 2018). Thus, the 438 significant correlation between BrC absorption and NO<sub>3</sub>/NH<sub>4</sub><sup>+</sup> reaffirmed that biomass burning 439 was the crucial emission source of BrC observed in this work. Although the geographic location 440 of observation site was situated in a coastal area and K<sup>+</sup> also could be found in sea salt (Pio et 441 al., 2008), it should be noted that the prevailing wind direction during winter was from the north 442 (see Fig. 3), which will drive marine air parcels away from the site. High concentrations of Ca<sup>2+</sup> 443 and Mg<sup>2+</sup> are often found in dust-related aerosols (Lee et al., 1999).  $\sigma_{abs,BrC,370nm}$  showed poor 444 correlations with both Ca2+ and Mg2+, indicating that dust-related aerosol components 445 contribute insignificantly to the total aerosol mass loading and thus dust may not affect the AAE 446 segregation method used in this work. Although sulfur dioxide (SO<sub>2</sub>) may also be emitted by biomass burning,  $SO_4^{2-}$  is often believed to be secondary in nature and the presents of other 447 448 intense SO<sub>2</sub> sources (e.g., automobile and industrial emissions) will further smear the correlation between BrC and SO<sub>4</sub><sup>2-</sup>. Sources of Cl<sup>-</sup> include both combustions and sea salt spray 449 (Waldman et al., 1991). Although the prevailing wintertime wind direction was from the north, 450 451 sea salt still can be carried to the site by weak sea breeze and thus Cl may not show considerable 452 correlation with BrC. 3.3 BrC radiative forcing efficiency 453 The radiative effects of aerosol scattering, BrC absorption, and BC absorption were investigated 454 by the SBDART model. For each investigated variable under cloud-free condition, we run the 455 model twice to calculate the DRF at TOA with and without the investigated variable. 456

production may reduce BrC's light-absorbing capacity (Qin et al., 2018).

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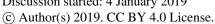


458 effect of the investigated variable. The results showed that the average radiative forcing at TOA by scattering, BrC absorption, and BC absorption were respectively -21.0±5.5 W m<sup>-2</sup>, 2.2±2.3 459 W m<sup>-2</sup>, and 11.3±5.0 W m<sup>-2</sup>. Furthermore, BrC absorption was attributed to 14.2±6.2% of the 460 warming effect caused by aerosol light-absorption, demonstrating that the nonnegligible role of 461 BrC in radiative forcing evaluation. 462 We also calculated the BrC radiative forcing efficiency (RFE) under various SSA (ranging from 463 0.7 to 0.99) at three wavelengths, i.e., 440 nm, 675 nm, and 870 nm. The RFE was denoted as 464 the radiative forcing normalized by the AOD. The average AOD and ASY at the three 465 wavelengths were respectively 0.370 and 0.697 at 440 nm, 0.214 and 0.635 at 675 nm, and 466 467 0.153 and 0.618 at 870 nm. A solar zenith angle of 55° and an average shortwave broadband 468 surface albedo (0.119) were used in the calculation. The results were plotted as a set of lookup 469 charts of RFE as a function of the surface BrC absorption contribution (see Fig. 9). 470 In general, for any wavelength RFE increased with increasing BrC absorption contribution for 471 a certain SSA, indicating BrC was a more efficient radiative forcing agent due to BrC's 472 preferential absorbance in shorter wavelength range. However, for a certain BrC absorption 473 contribution RFE increased with decreasing SSA, i.e., higher portion of light-absorbing aerosol 474 components can lead to more efficient radiative forcing. The trend among panels (a), (b), and (c) in Fig. 9 demonstrated that the effect of BrC absorption contribution on REF was 475 476 wavelength-dependent, i.e., BrC was a weaker radiative forcing agent at longer wavelength, which is also consistent with BrC's wavelength-dependent light-absorbing property. The black 477 478 stars in Fig. 9 denote the average SSA and BrC absorption contribution conditions during this campaign, i.e., 0.025 W m<sup>-2</sup> per unit AOD at 440 nm (Fig. 9a), 0.007 W m<sup>-2</sup> per unit AOD at 479 675 nm (Fig. 9b), and 0.0002 W m<sup>-2</sup> per unit AOD at 870 nm (Fig. 9c). These results suggested 480 that the average value of REF decreased distinctly from 440 nm to 870 nm, not only because 481 482 of the lower BrC absorption contribution, but also due to BrC REF's wavelength-dependence. It also should be noted that the simulations were based on SSA measured under dry conditions. 483 484 Under the typical ambient conditions of PRD, the SSA might be markedly enhanced by aerosol water uptake (Jung et al., 2009), and then, the BrC radiative forcing efficiency might be less. 485 486 Moreover, Fig. 9 is also served as a lookup table to conveniently assess the BrC radiative

Accordingly, the difference of  $\Delta F$  between the two simulations was considered as the radiative

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488 certain SSA. 4 Conclusion 489 490 In this work, light absorption due to BrC in the PRD region of China was quantitatively deduced during the winter season of 2014. The average BrC light absorption contribution ranged from 491 492  $7.7\pm4.4\%$  at 660 nm up to  $25.9\pm9.0\%$  at 370 nm, when AAE<sub>BC</sub> was set to unity. The uncertainty 493 in BrC absorption estimation associated with this assumption was further investigated. Using 494 the absorption coefficients of BC calculated according to the Mie theory and the observed total aerosol absorption coefficients, we have estimated the AAE<sub>BC</sub> and hence the BrC absorption 495 496 contribution for different core-shell RI configuration. The results showed that at 370 nm 497 variations of shell's real RI (1.35 to 1.6) may decrease BrC absorption contribution from 27.4% 498 to 23.7%; variations of core's real RI (1.6 to 2.0) may reduce BrC absorption contribution from 499 28.7% to 20.4%.; variations of core's imaginary RI (0.4 to 1.0) can cause BrC absorption 500 contribution to increase from 19.9% to 37.0%. These results indicated that the optical properties 501 of the BC core and shell material can significantly affect the accuracy of BrC absorption 502 contribution estimations. 503 Additionally, measurements of major water-soluble inorganic ions (including K<sup>+</sup>, NO<sub>3</sub><sup>-</sup>, and 504  $NH_4^+$ ) and particulate OC showed consistent features with  $\sigma_{abs,BrC,370nm}$ , implying that the BrC 505 was associated with the biomass-burning emissions from nearby rural area. Moreover, the 506 diurnal trend of  $\sigma_{abs,BrC,370nm}$ /OC indicated that primary biomass burning emissions can produce intense light-absorbing BrC, while the photochemical aging process may weaken BrC's light-507 508 absorbing capability. 509 Using a radiative transfer model (i.e., SBDART), we estimated the BrC effects on aerosol's radiative forcing. The average shortwave aerosol direct radiative forcing due to scattering, BrC 510 absorption, and BC absorption at TOA were evaluated to be -21.0±5.5 W m<sup>-2</sup>, 2.2±2.3 W m<sup>-2</sup>, 511 and 11.3±5.0 W m<sup>-2</sup>, respectively. BrC absorption accounted for 14.2±6.2% of the total 512 513 shortwave solar absorption warming effect at TOA, indicating that BrC might be an important 514 climate forcing agent, which was largely neglected in current climate models. To facilitate the estimation of climate effects of BrC, a set of look-up charts were constructed for the 515 investigated area based on the default tropical atmosphere profile, averaged surface albedo, 516

forcing efficiency at different wavelengths with different BrC absorption contribution for a

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517	averaged asymmetry factor, and surface-measured aerosol properties (BrC absorption							
518	contribution, SSA, and AOD). Therefore, the role of BrC radiative forcing efficiency at three							
519	wavelengths can be conveniently assessed for certain SSA and BrC absorption contribution.							
520								
521	Author contributions							
522	$HT, JZ, YM, and CC \ designed \ the \ experiments \ and \ ZL, LL, YQ, NW, FL, YL, and \ MC \ carried$							
523	out the field measurements and the data analysis. $ZL$ and $YQ$ performed Mie theory simulation.							
524	ZL, JZ, and HT prepared the manuscript with comments from all co-authors.							
525								
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530	University site of AERONET.							
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- 751 **Table 1.** Observational studies of the BrC light absorption coefficient and contribution in near
- 752 ultraviolet wavelength range in East Asia.

Periods	Location	λ (nm)	Mean BrC absorption coefficient	Mean BrC absorption contribution	Instrumentation	Reference
Nov.2014 – Jan.2015	Guangzhou (China)	370	18.3 Mm <sup>-1</sup>	25.9 %	Aethalometer AE-33	This study
Jan.2014 – Feb.2014; Sep.2014 – Oct.2014	Shenzhen (China)	405	3.0 Mm <sup>-1</sup> 1.4 Mm <sup>-1</sup>	11.7% (winter) 6.3% (fall)	PASS-3	Yuan et al. (2016)
Nov.2014	Heshan (China)	405	3.9 Mm <sup>-1</sup>	12.1%	PASS-3	Yuan et al. (2016)
Nov.2016- Dec.2016	Beijing (China)	370	106.4 Mm <sup>-1</sup> 93.8 Mm <sup>-1</sup>	46% (at ground) 48% (at 260m)	Aethalometer AE-33	Xie et al. (2018)
Jun.2013 – May.2016	Nanjing (China)	370	35.8 Mm <sup>-1</sup>	16.7%	Aethalometer AE-31	Wang et al. (2018)
Jan. 2012	Nagoya (Japan)	405	Not detected	11% (300℃) 17% (400℃)	Thermodenuder PASS-3	Nakayama et al. (2015)





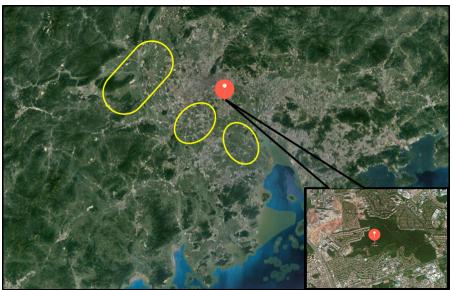
Table 2.  $AAE_{BC}$  estimation from core-shell Mie theory model.

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	Complex Refractive index				AAE				
Model Run	Core		Shell		$AAE_{BC}$				
Number	Real	Imaginary	Real	Imaginary	370-	470-	520-	590-	660-
Number	part	part	part	part	470nm	520nm	590nm	660nm	880nm
1	1.6	0.54i	1.55	10 <sup>-7</sup> i	0.76	0.95	0.92	1.00	1.13
2	1.7	0.54i	1.55	10 <sup>-7</sup> i	0.77	0.98	0.95	1.03	1.18
3	1.8	0.54i	1.55	10 <sup>-7</sup> i	0.79	1.01	0.98	1.06	1.22
4	1.9	0.54i	1.55	10 <sup>-7</sup> i	0.81	1.04	1.01	1.09	1.27
5	2	0.54i	1.55	10 <sup>-7</sup> i	0.83	1.07	1.04	1.11	1.32
6	1.8	0.4i	1.55	10 <sup>-7</sup> i	0.88	1.10	1.05	1.12	1.27
7	1.8	0.5i	1.55	10 <sup>-7</sup> i	0.81	1.04	1.00	1.08	1.24
8	1.8	0.6i	1.55	10 <sup>-7</sup> i	0.75	0.97	0.95	1.03	1.20
9	1.8	0.7i	1.55	10 <sup>-7</sup> i	0.70	0.92	0.90	0.99	1.16
10	1.8	0.8i	1.55	10 <sup>-7</sup> i	0.65	0.86	0.86	0.94	1.12
11	1.8	0.9i	1.55	10 <sup>-7</sup> i	0.60	0.81	0.82	0.90	1.08
12	1.8	1.0i	1.55	10 <sup>-7</sup> i	0.56	0.77	0.78	0.87	1.05
13	1.8	0.54i	1.35	10 <sup>-7</sup> i	0.81	0.90	0.99	1.09	1.10
14	1.8	0.54i	1.4	10 <sup>-7</sup> i	0.81	0.92	0.98	1.10	1.12
15	1.8	0.54i	1.45	10 <sup>-7</sup> i	0.80	0.94	0.97	1.10	1.15
16	1.8	0.54i	1.5	10 <sup>-7</sup> i	0.80	0.98	0.97	1.08	1.19
17	1.8	0.54i	1.55	10 <sup>-7</sup> i	0.79	1.01	0.98	1.06	1.22
18	1.8	0.54i	1.6	10 <sup>-7</sup> i	0.79	1.04	1.01	1.02	1.25
19	1.6	1.0i	1.35	10 <sup>-7</sup> i	0.50	0.62	0.70	0.79	0.88
20	2	0.4i	1.6	10 <sup>-7</sup> i	0.92	1.18	1.15	1.13	1.40







757 Figure 1. The location of Panyu station (CAWNET) in the PRD region (indicated by the red

dot). The plain areas within the yellow circles are the main rural areas of western PRD.

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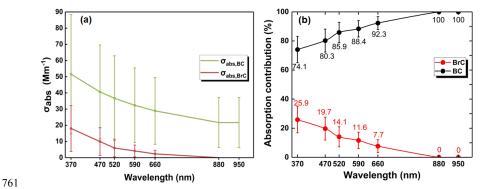


Figure 2. (a) BC and BrC particle average light absorption coefficient at different wavelengths; the whiskers represent the error bar of one standard deviation. (b) Contributions of BC and BrC to the total light absorption coefficient at different wavelengths; the whiskers represent the error bar of one standard deviation.

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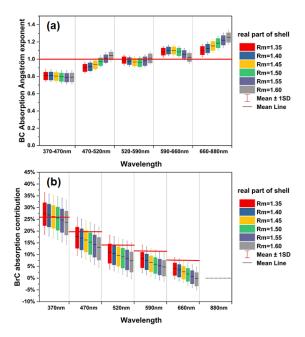


Figure 3. Influence of wavelength-independent refractive index of the non-absorbing shell on the (a) AAEs and (b) BrC absorption contribution with a constant BC core refractive index ( $\widetilde{m}_{core}$ =1.80-0.54i). The imaginary part of non-(or less-) absorbing shell was set to  $10^{-7}$ , while real part varied from 1.6 to 2.0. In each panel, the boundaries of the box represented the 75th and the 25th percentiles; the whiskers above and below each box indicate the error bar of one standard deviation; the black lines among the boxes denote the average values. In panel a, red line indicates where AAE<sub>BC</sub>=1. In panel b, the red lines indicate the BrC absorption contribution calculated with AAE<sub>BC</sub>=1 in each wavelength.





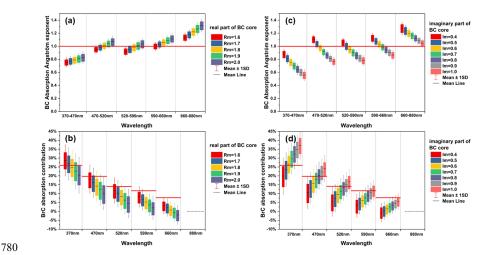


Figure 4. Influence of wavelength-independent refractive index of the BC core on the AAEs and BrC absorption with a constant shell refractive index ( $\widetilde{m}_{shell}=1.55-10^{-7}i$ ). A fixed BC imaginary part of 0.54 was used for panels a and b, while real RI varies from 1.6 to 2.0. A fixed BC real RI of 1.8 is used for panels c and d, while imaginary part varies from 0.4 to 1.0. In each panel, the boundaries of the box represent the 75th and the 25th percentiles; the whiskers above and below each box is the error bar of one standard deviation; the black lines among the boxes indicate the average values. In panels a and b, red line indicate where AAE<sub>BC</sub>=1. In panels c and d, the red lines indicate the BrC absorption contribution calculated with AAE<sub>BC</sub>=1 at each wavelength.

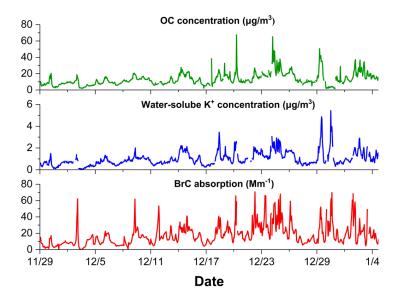
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792 Figure 5. Time series of OC aerosol mass concentration (green line), water-soluble K<sup>+</sup> mass 793 concentration (blue line), and BrC light absorption (red line).

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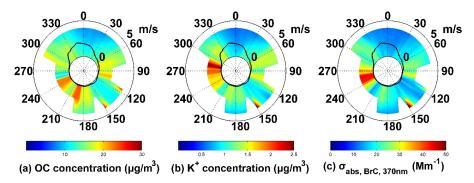


Figure 6. Wind rose plots of OC (a),  $K^+$  (b), and  $\sigma_{abs,BrC,370nm}$  (c). In each panel, the black solid lines denote the frequency of wind direction. The shaded contour represents the average values of corresponding species for that wind speed (radial length) and wind direction (transverse direction) in the polar coordinates.

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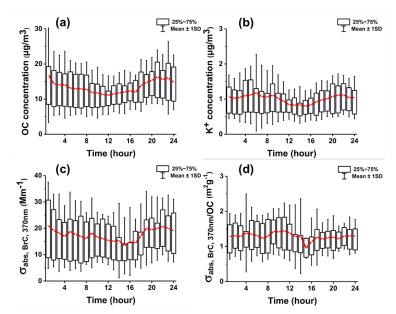


Figure 7. Box-whisker plots of diurnal trends of OC concentration (a), diurnal trends of water-soluble K+ concentration (b), diurnal trends of  $\sigma_{abs,BrC,370nm}$  (c), and diurnal trends of  $\sigma_{abs,BrC,370nm}$  (OC (d). Red traces represent the variation of average value. Upper and lower boundaries of the box represent the 75th and the 25th percentiles; the whiskers above and below each box represent the error bar of one standard deviation.





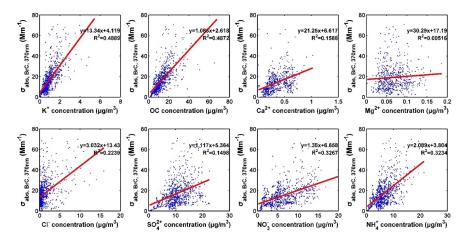


Figure 8. Correlations between the BrC absorption coefficients at 370 nm and OC, water-soluble  $K^+$ ,  $Ca^{2+}$ ,  $Mg^{2+}$ ,  $Cl^-$ ,  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$  aerosol concentration.





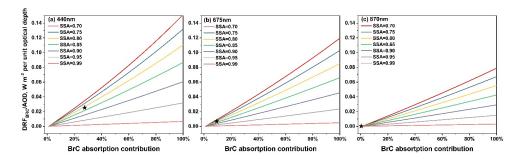


Figure 9. BrC radiative forcing efficiencies, defined as the BrC TOA direct radiative forcing divided by AOD, as a function of BrC to BC absorption ratio and *SSA* measured at surface. The average AOD of the three wavelengths, the average ASY of the three wavelengths, a solar zenith angle of 55°, and the average shortwave broadband surface albedo were used in the calculation. The black star corresponds to average *SSA* and BrC absorption contribution determined from this campaign.