



1 **Tropical Pacific Climate Variability under Solar Geoengineering: Impacts on ENSO**
2 **Extremes**

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14 **Abstract**

15 Many modelling studies suggest that the El Niño Southern Oscillation (ENSO), in interaction
16 with the tropical Pacific background climate, will change under rising atmospheric
17 greenhouse gas concentrations. Solar geoengineering (reducing the solar flux from outer
18 space) has been proposed as a means to counteract anthropogenic greenhouse-induced
19 changes in climate. Effectiveness of solar geoengineering is uncertain. Robust results are
20 particularly difficult to obtain for ENSO because existing geoengineering simulations are too
21 short (typically ~50 years) to detect statistically significant changes in the highly variable
22 tropical Pacific background climate. We here present results from a 1000-year sunshade
23 geoengineering simulation, G1, carried out with the coupled atmosphere-ocean general
24 circulation model HadCM3L. In agreement with previous studies, reducing the shortwave
25 solar flux more than compensates the warming in the tropical Pacific that develops in the
26 4×CO₂ scenario: we observe an overcooling of 0.3°C (5 %) and 0.23-mm day⁻¹ (5 %)
27 reduction in mean rainfall relative to preindustrial conditions in the G1 simulation. This is
28 due to the different latitudinal distributions of the shortwave (solar) and longwave (CO₂)
29 forcings. The location of the Intertropical Convergence Zone (ITCZ) located north of equator
30 in the tropical Pacific, which moved 7.5° southwards under 4×CO₂, is also restored to its
31 preindustrial location. However, other aspects of the tropical Pacific mean climate are not
32 reset as effectively. Relative to preindustrial conditions, in G1 the zonal wind stress, zonal
33 sea surface temperature (SST) gradient, and meridional SST gradient are reduced by 10 %, 11
34 %, and 9 %, respectively, and the Pacific Walker Circulation (PWC) is consistently
35 weakened. The overall amplitude of ENSO strengthens by 5-8 %, but there is a 65 %
36 reduction in the asymmetry between cold and warm events: cold events intensify more than
37 warm events. Importantly, the frequency of extreme El Niño and La Niña events increases by
38 44 % and 32 %, respectively, while the total number of El Niño events increases by 12 %.
39 Paradoxically, while the number of total and extreme events increase, the most extreme El
40 Niño events also become weaker relative to preindustrial state while the La Niña events
41 become stronger. That is, extreme El Niño events in G1 become less extreme than in
42 preindustrial conditions, but extreme El Niño events become more frequent. In contrast,



1 extreme La Niña events become stronger in G1. This is in agreement with the general
2 overcooling of the tropical Pacific in G1 relative to preindustrial conditions, which depict a
3 shift towards generally more La Niña-like conditions.

4 **1 Introduction**

5 Since the industrial revolution the increasing concentrations of Greenhouse Gases (GHGs)
6 are mainly responsible for higher global surface temperatures (Stocker 2013). Higher
7 temperatures in turn, and more generally a rapidly changing climate, can have adverse effects
8 on humans, plants, and animals through changes in various ecosystems, rising sea levels,
9 melting glaciers, and could significantly impact the frequency and intensity of extreme
10 weather events (Moore et al., 2015).

11 Various strategies, principally a reduction in emissions of GHGs and enhancing the carbon
12 sinks (Pachauri et al. 2014), have been proposed to mitigate anthropogenic climate change.
13 Another group of strategies involving the intentional modification of Earth's radiation
14 balance on a global scale, known as geoengineering or climate engineering, have been
15 proposed to overcome the negative consequences of human-induced GHGs (Crutzen 2006;
16 Wigley 2006; Curry et al., 2014). For any serious consideration of such geoengineering
17 strategies, it is essential to understand their potential benefits and perils. The principal route
18 to study potential impacts of geoengineering on various components of Earth's climate
19 system (e.g., atmosphere, ocean, cryosphere etc.) is employing state-of-the-art coupled
20 atmosphere-ocean general circulation models (AOGCMs).

21 In this context, Kravitz et al. (2011) proposed the Geo-engineering Model Intercomparison
22 Project (GeoMIP) which originally consisted of a set of four experiments (viz. G1, G2, G3,
23 and G4). These experiments are designed to understand the effects of geoengineering on the
24 regional and global climate by balancing the annual mean global radiative forcing at the top
25 of the Earth's atmosphere, approximately offsetting global mean surface warming. These
26 experiments are collectively called Solar Radiation Management (SRM) or solar
27 geoengineering (Kravitz et al., 2013a). In the G1 experiment, atmospheric CO₂ is
28 instantaneously quadrupled but the global GHGs-induced longwave radiative effects are
29 offset by a simultaneous reduction in the shortwave Total Solar Irradiance, TSI, (Kravitz et
30 al., 2011). In terms of radiative forcing, quadrupling of CO₂ is similar to year 2100 in the
31 RCP8.5 emission scenario (Representative Concentration Pathway with a radiative forcing of
32 8.5 W m⁻² by the year 2100; Schmidt et al., 2012). In this paper we focus on G1 experiment
33 to investigate how effectively solar geoengineering could mitigate the effects of large
34 changes in atmospheric CO₂ on tropical Pacific climate?

35 The El Niño Southern Oscillation (ENSO) is an important coupled ocean-atmosphere mode
36 of interannual variability in the tropical Pacific (Park et al., 2009; Vecchi and Wittenberg
37 2010) which affects both regional and global climate (see Ropelewski and Halpert 1987;
38 Bove et al, 1998; Malik et al., 2017). ENSO oscillates between a warm, El Niño, and a cold,
39 La Niña, phase every 2-7-years (Santoso et al., 2017). As diagnosed from Sea Surface
40 Temperature (SST) indices in state-of-the-art AOGCMs, there is no consensus about change



1 in frequency of ENSO events in a warming climate (Vega-Westhoff and Sriver 2017; Yang et
2 al., 2018). However, Cai et al. (2014 and 2015b) showed evidence of a doubling of El Niño
3 and La Niña events in the Coupled Model Intercomparison Project (CMIP) phases 3 (A2
4 scenario) and 5 (RCP8.5) by investigating a performance-based subset of models using
5 rainfall-based ENSO indices instead of SST-based indices. Similarly, Wang et al. (2017) also
6 observed doubling of extreme El Niño events, relative to preindustrial level, in the RCP2.6
7 transient scenario a century after stabilization of global mean temperature. While models
8 agree that the frequency will increase, the response of the amplitude of ENSO is less clear.
9 Chen et al. (2017), analyzing 20 CMIP5 models (RCP8.5), found both strengthening (in 6
10 models) and weakening (in 8 models) of ENSO amplitude. In summary, changes in ENSO
11 characteristics such as amplitude, and ENSO extremes are projected in a warming climate
12 (e.g., Cai et al., 2014 and 2015b; Kim et al., 2014; Wang et al., 2018). In the present work we
13 investigate the potential of solar geoengineering to mitigate changes in the amplitude and in
14 the frequency of extreme ENSO events, essentially asking if decreasing the downwelling
15 shortwave radiative flux can balance the GHGs-induced longwave effects on ENSO.

16 Increasing GHGs have distinct effects on the tropical Pacific mean climate. In CMIP3 and
17 CMIP5 simulations, the equatorial tropical Pacific consistently shows an El Niño-like mean-
18 response to increased GHG forcing (van Oldenborgh et al., 2005; Collins et al., 2010; Vecchi
19 and Wittenberg et al., 2010; Huang and Ying et al., 2015; Luo et al., 2015). Models also
20 show more warming on than off the equatorial tropical Pacific (Liu et al., 2005; Collins et
21 al., 2010; Cai et al., 2015a). Consistent with these warming patterns, studies typically found
22 a weakening of Pacific Walker Circulation (PWC), zonal SST gradient (ZSSTG), zonal wind
23 stress, and a shoaling of the equatorial tropical Pacific thermocline (see van Oldenborgh et
24 al., 2005; Latif et al., 2009; Park et al., 2009; Yeh et al., 2009; Collins et al., 2010; Kim et
25 al., 2014; Cai et al., 2015a; Zhou et al., 2015; Vega-Westhoff and Sriver 2017; Wang et al.,
26 2017). Changes in the mean state of the tropical Pacific can bring about variations in ENSO
27 properties such as amplitude, frequency, and spatial pattern (Collins et al 2010; Vecchi and
28 Wittenberg 2010; Cai et al., 2015a).

29
30 Since increasing GHG forcing affects circulation patterns related to tropical Pacific and the
31 mean state of tropical Pacific Ocean, a detailed investigation is required to know if the region
32 returns to preindustrial conditions in the solar geoengineering scenario. Guo et al. (2018)
33 found no statistically significant change in the intensity of Walker Circulation relative to
34 preindustrial conditions in the G1 experiment in GeoMIP models, and Gabriel and Robock
35 (2015) similarly found no statistically significant change in frequency and amplitude of
36 ENSO events under both global warming and geoengineering scenarios in the 6 GeoMIP
37 models that captured ENSO variability best. However, this could be attributed to the short
38 length (50 years) of the GeoMIP simulations used in the analyses, meaning that longer
39 simulations are needed to detect any possible subtle changes in a statistically significant
40 manner. Guo et al. (2018) concluded that 60 or more years of model simulations are required
41 to detect changes in the PWC, while Vecchi et al. (2006) and Vecchi and Soden (2007) argue
42 that 130 years are required to detect any robust change in the PWC (Gabriel and Robock
43 2015). According to Stevenson et al. (2010), 250 years are required to detect changes in
44 ENSO variability with a statistical significance of 90 %. Long-term geoengineering



1 simulations are clearly necessary to detect changes in extreme weather events and modes of
2 internal climate variability.

3 We here examine the impacts of geoengineering on tropical Pacific mean climate and ENSO
4 variability in 1000-year simulations of HadCM3L (Cox et al., 2000), the same model used by
5 Cao et al. (2016) and Cai et al. (2014). Cai et al. (2014) had performed 33 perturbed physics
6 experiments with HadCM3L and found that the frequency of El Niño events increases under
7 global warming scenario, consistent with other 9 CMIP3 and 11 CMIP5 simulations. Using
8 HadCM3L, we investigate the extent to which decreasing the downward shortwave radiative
9 flux by solar geoengineering can mitigate or minimize the changes in frequency of extreme
10 ENSO events and amplitude that are triggered by increasing greenhouse forcing. We
11 acknowledge that some of our results are necessarily model-dependent, but by using a much
12 longer simulations than used previously, our results provide statistical robustness for the
13 given model system. Specifically, we ask (1) if solar geoengineering can mitigate the changes
14 in mean tropical Pacific climate found in previous GHG warming studies, and even bring it
15 back to the preindustrial conditions, (2) if ENSO frequency and amplitude are different under
16 G1 conditions than under preindustrial simulations, and (3) if the G1 experiment reduces the
17 doubling in frequency of extreme ENSO events, as observed by Cai et al. (2014 and 2015b)
18 under increased GHG forcing, relative to the preindustrial state.

19 Section 2 describes the data and statistical methods used to detect changes in tropical Pacific
20 and ENSO variability. Section 3 evaluates the response of a list of metrics used to understand
21 how the mean state and ENSO variability are affected in the different experiments
22 (preindustrial, 4xCO₂, G1). Finally Sect. 4 presents discussion and conclusion.

23 **2 Data and methods**

24 **2.1 Climate model**

25 HadCM3L has a horizontal resolution of 3.75° × 2.5° (~T42) with 19 (L19) atmospheric and
26 20 (L20) ocean levels. Land surface processes are simulated by the MOSES-2 module
27 (Essery and Clark 2003; Cao et al., 2016). HadCM3L does not include an interactive
28 atmospheric chemistry scheme and thus does not consider the potential effects of ozone
29 changes on ENSO amplitudes and surface warming under 4xCO₂ (e.g., Nowack et al., 2015;
30 2017, 2018) or G1 (e.g., Nowack et al., 2016). Instead we use a preindustrial background
31 ozone climatology, prescribed on pressure levels.

32 HadCM3L is capable of reproducing present-day ENSO periodicity, teleconnection patterns,
33 and amplitude (Collins et al., 2001). There is a non-linear relationship between tropical
34 Pacific SST and rainfall (Ham 2017) which can be diagnosed by Niño3 region (5°N-5°S;
35 150°W-90°W) rainfall skewness. During extreme El Niño events, the northern part of tropical
36 Pacific Intertropical Convergence Zone (ITCZ) moves equatorward, causing significant
37 increases in rainfall (> 5 mm day⁻¹) over the eastern equatorial Pacific that biases (skews) the
38 statistical distribution of rainfall in the Niño3 region. Thus, for studying extreme ENSO
39 events the model should be capable of simulating Niño3 rainfall above 5 mm day⁻¹ and Niño3
40 rainfall skewness of greater than 1 (see our Sect. 3.2.2, and Cai et al., 2014 and 2015b). With



1 a Niño3 rainfall skewness of 2.06 for the preindustrial control, HadCM3L fulfills this
2 criterion.

3 **2.2 Simulations and observational data**

4 To achieve a quasi-equilibrium preindustrial climate state, the model was spun up for 3000
5 years with constant CO₂ concentrations (280 ppm; parts per million) and TSI (1365 W m⁻²).
6 Then, three 1000-yr long experiments were carried out, starting from this preindustrial
7 climate state. These experiments are: (1) the preindustrial control (piControl) experiment with
8 constant values of CO₂ (280 ppm) and TSI (1365 W m⁻²), (2) a quadrupled CO₂ (4×CO₂)
9 experiment in which CO₂ is suddenly increased to 1120 ppm, and (3) a sun-shade
10 geoengineering (G1) experiment where the radiative effects of the instantaneously
11 quadrupled CO₂ are offset by simultaneously reducing TSI (by 4 %). All experiments follow
12 the GeoMIP protocol (see Kravitz et al., 2011); the only difference being that simulations
13 were run for 1000 years (Cao et al., 2016).

14 Next to the simulations, we also use observational datasets: we employ the monthly SST
15 dataset from HadISST (Rayner et al., 2003) and the rainfall data from the Global
16 Precipitation Climatology Project (GPCP; Adler et al., 2003) over the period 1979-2017 to
17 obtain observational constraints and to identify the rainfall threshold to be used for defining
18 extreme El Niño events in climate model simulations.

19 **2.3 Definitions and statistical tests**

20 We analyze changes in the tropical Pacific (25° N-25° S; 90° E-60° W) mean climate. We
21 present mean climatologies for SSTs, rainfall, ITCZ, vertical velocity averaged between 500
22 and 100 hPa (Omega 500-100), PWC, zonal wind stress, zonal and meridional SST
23 ggradients (ZSSTG and MSSTG), and thermocline depth. The difference of mean
24 climatology of all these variables simulated under 4×CO₂, and G1 is calculated relative to the
25 piControl. The statistical significance of climatological mean values and their difference with
26 piControl is tested using non-parametric Wilcoxon Signed rank and Wilcoxon rank sum tests
27 (Hollander and Wolfe 1999; Gibbons and Chakraborti 2011), respectively. All analyses are
28 performed on re-gridded (2° longitude × 2.5° latitude) HadCM3L output from model years 11
29 to 1000. The first 10 years are skipped to remove the initially large atmospheric transient
30 effects stemming from instantaneously increasing CO₂ (see Kravitz et al., 2013b; Hong et al.,
31 2017). Since ENSO events peak in boreal winter (December-January-February; DJF; Cai et
32 al., 2014, Gabriel and Robock 2015; Santoso et al., 2017) the entire analysis is performed for
33 DJF. Accordingly, we also analyze mean state changes in the tropical Pacific during boreal
34 winter.

35 Both rainfall and SST-based ENSO indices are used in the present study. Niño3 (5° N-5° S;
36 150° W-90° W), Niño4 (5° N-5° S; 160° E-150° W), and Niño3.4 (5° N-5° S; 170° W-120° W)
37 indices are defined by averaging SST over corresponding ENSO regions. Normalized ENSO
38 anomalies (i.e. the ENSO indices) are calculated relative to piControl mean and standard
39 deviation (s.d.) and are quadratically detrended before analysis. The Niño3 index is chosen
40 for studying the characteristics of extreme El Niño events, since during an extreme El Niño



1 event, following the highest SSTs, convective activity moves towards the eastern Pacific and
2 the ITCZ moves over the Niño3 region resulting in anomalous rainfall (Cai et al., 2014).
3 Similarly to Cai et al. 2014, events with Niño3 rainfall greater than 5 mm day^{-1} are
4 considered extreme El Niño events, whereas events with Niño3 SST index greater than 0.5
5 s.d. and Niño3 rainfall less than 5 mm day^{-1} are defined as moderate. The Niño4 index is
6 chosen for studying the characteristics of extreme La Niña events, since maximum cold
7 temperatures occur in this region (Cai et al., 2015a, 2015b). La Niña extreme ($\text{Niño4} < -1.75$
8 s.d.), moderate ($-1 > \text{Niño4} > -1.75$), and weak ($-0.5 > \text{Niño4} > -1$) events are defined
9 following Cai et al. (2015b). These definitions classify the 1988 and 1998 La Niñas in
10 observations as extreme events (see Cai et al., 2015b) and HadCM3L can reproduce such
11 extreme anomalies (see Sect. 3.2.3), which allows us to study changes in their number and
12 magnitude for our model system. Following Cai et al. (2014), the statistical significance of
13 the change in frequency of ENSO events is tested using a bootstrap method with 10,000
14 realizations. The piControl time series is sampled 10,000 times, allowing for resampling. We
15 then find the s.d. of events in 10,000 realizations. If the difference of events between
16 piControl and G1 is larger than 2 s.d. the change in frequency is considered statistically
17 significant. The same method is used for testing the statistical significance of change in
18 amplitude, ZSSTG, meridional SST gradient (MSSTG), and ENSO amplitude asymmetry.
19 All comparisons of $4\times\text{CO}_2$ and G1 are made relative to piControl.

20 **3 Results**

21 **3.1 Changes in the tropical Pacific mean state**

22 In this section, we analyse several important changes in the tropical Pacific mean state under
23 $4\times\text{CO}_2$ and G1 relative to the preindustrial simulation. In particular, we look into meridional
24 and zonal SST changes, corresponding surface wind responses and also coupled changes in
25 the thermocline depth. We also show that this leads to significant differences in the
26 precipitation climatology among the simulations. Finally, we find consistent differences in
27 the Walker Circulation, as for example evident from changes in the vertical velocities in the
28 tropical West Pacific upwelling region. All these differences are important not just as general
29 climatic features but are additionally linked to changes in ENSO extremes discussed in detail
30 in Sect. 3.2.

31 **3.1.1 Sea surface temperature**

32 The tropical Pacific SSTs are spatially asymmetric along the equator. The western equatorial
33 Pacific (warm pool) is warmer on average than the eastern equatorial Pacific (cold tongue)
34 (Vecchi and Wittenberg 2010). In HadCM3L, the piControl simulation depicts this SST
35 asymmetry between the western and eastern equatorial Pacific well (Fig. 1a). Under $4\times\text{CO}_2$
36 this SST zonal asymmetry is significantly reduced (Fig. 1b), and the tropical Pacific
37 resembles a persistent El Niño-like warming state (e.g., Meehl and Washington 1996; Boer et
38 al., 2004) on top of a general background level of warming. The solar dimming in G1 offsets
39 the warming observed under $4\times\text{CO}_2$ and brings the tropical Pacific mean SSTs close to the
40 preindustrial state (Fig. 1c). The South Pacific Convergence Zone (SPCZ), where the highest



1 SSTs of the warm pool occur (Cai et al., 2015a; red line in Fig. 1a), moves towards the
2 equator under $4\times\text{CO}_2$ (red line, Fig. 1b), but returns to approximately its preindustrial position
3 in G1 (Fig. 1c).

4 The tropical Pacific is $3.90\text{ }^\circ\text{C}$ warmer than piControl in $4\times\text{CO}_2$ but $0.30\text{ }^\circ\text{C}$ colder in G1,
5 with the difference being significant at the 99 % confidence level (hereafter “cl”, see Fig. 1d-
6 e, Table S1). The Pacific cold tongue warms more rapidly than the Pacific Warm Pool under
7 $4\times\text{CO}_2$. In contrast, in G1 a more rapid cooling occurs in the Pacific Warm Pool and the
8 SPCZ than in the cold tongue. The Pacific Warm Pool is $\sim 0.4\text{-}0.6\text{ }^\circ\text{C}$ colder in G1 than in
9 piControl whereas the East Pacific cools less ($\sim 0.2\text{ }^\circ\text{C}$ in the Niño3 region).

10 Our SST results under $4\times\text{CO}_2$ agree with previous studies (Liu et al., 2005; van Oldenborgh
11 et al., 2005; Collins et al., 2010; Vecchi and Wittenberg et al., 2010; Cai et al., 2015a; Huang
12 and Ying et al., 2015; Luo et al., 2015; Kohyama et al., 2017; Nowack et al., 2017) that
13 indicated an El Niño like mean state response to increased GHG concentration scenarios in
14 the tropical Pacific. Overcooling of the tropics (and as such the tropical Pacific) is also a
15 robust signal in G1 simulations, even short ones, simply due to the different meridional
16 distribution of shortwave and longwave forcing (Govindasamy and Caldeira 2000; Lunt et al.,
17 2008; Kravitz et al., 2013b; Curry et al., 2014; Nowack et al., 2016). The results presented
18 here based on a long simulation not only confirm previously published results but also
19 statistically demonstrate that under G1, the warm pool and SPCZ cools faster than the cold
20 tongue.

21 3.1.2 Precipitation

22 In the tropical Pacific there are three dominant bands of rainfall activity: one in the western
23 Pacific Warm Pool, one in the SPCZ, and the last one is part of the ITCZ situated over 8°N
24 and 150°W - 90°W . The eastern equatorial Pacific is relatively dry compared with these three
25 rainy bands. In piControl, HadCM3L simulates well these rainfall spatial patterns, with
26 maxima of $\sim 6\text{-}8$, $\sim 12\text{-}14$, and $\sim 8\text{-}10\text{ mm day}^{-1}$ over the Pacific Warm Pool, the SPCZ, and the
27 northern part of the ITCZ, respectively (Fig. 2a). Under $4\times\text{CO}_2$, the rainfall spatial pattern
28 changes significantly. The ITCZ moves equatorward and the SPCZ becomes zonally oriented
29 (green line, Fig. 2b). The rainfall asymmetry between the western and eastern equatorial
30 Pacific decreases under $4\times\text{CO}_2$. Precipitations migrate from the western Pacific to the Niño3
31 region, with maximum rainfall at $\sim 145^\circ\text{W}$. The reduced zonal asymmetry in rainfall between
32 western and eastern Pacific is effectively restored to preindustrial state in G1 (Fig. 2c).

33 A statistically significant (99 % cl) overall precipitation increase of 0.21 mm day^{-1} (+5 %) is
34 observed over the tropical Pacific under $4\times\text{CO}_2$ (Fig. 2d). In contrast, the mean rainfall in G1
35 decreases by 0.23 mm day^{-1} (-5 %; Fig. 2e), consistent with the simulated decrease of
36 temperature ($-0.30\text{ }^\circ\text{C}$) over the tropical Pacific. However, there is a strong regional structure:
37 under $4\times\text{CO}_2$, rainfall decreases to a maximum of $\sim 3\text{ mm day}^{-1}$ over parts of the Pacific
38 Warm Pool and off-equatorial regions, whereas a significant increase of $\sim 15\text{-}18\text{ mm day}^{-1}$ is
39 observed over the Niño3 region. In G1 rainfall decreases over the Pacific Warm Pool, SPCZ,



1 and ITCZ regions, whereas rainfall increases significantly over most parts of central and
2 eastern equatorial Pacific, with a maximum ($\sim 1.5\text{-}2\text{ mm day}^{-1}$) centered at $\sim 150^\circ\text{W}$ (Fig. 2e).

3 The position of the ITCZ over the tropical Pacific ($25^\circ\text{N}\text{-}25^\circ\text{S}$; $90^\circ\text{E}\text{-}60^\circ\text{W}$) is calculated by
4 finding the latitude of maximum rainfall (green lines, Fig. 2a-c). In piControl, the median
5 position of this maximum ITCZ (from $154^\circ\text{W}\text{-}82^\circ\text{W}$) north of the equator is 7.5°N , 0° , and
6 7.5°N under piControl, $4\times\text{CO}_2$ and G1, respectively. Under $4\times\text{CO}_2$, the ITCZ moves 7.5°
7 southward (Fig. S1). Thus, under $4\times\text{CO}_2$, the ITCZ mean position moves over the equator and
8 is positioned within the Niño3 region. G1 restores the ITCZ and SPCZ to their preindustrial
9 orientations but differences in the magnitude of rainfall persist over these regions, as well as
10 over the Pacific Warm Pool (Fig. 2a, c, e). That is, while the problem of reduced rainfall
11 asymmetry between the western and eastern Pacific in $4\times\text{CO}_2$ is largely resolved in G1, the
12 tropical Pacific is overall wetter under $4\times\text{CO}_2$ but drier in G1.

13 3.1.3 Zonal wind stress

14 Changes in zonal wind stress are directly dependent on and interacting with ENSO amplitude
15 (Guilyardi 2006), ENSO period (Zelle et al., 2005; Capotondi et al., 2006), and ZSSTG (Hu
16 and Fedorov 2016). A positive feedback loop between zonal wind stress, SST, and
17 thermocline depth influences the development of ENSO (Philip and van Oldenborgh 2006).
18 A decrease in the strength of the trade winds is concurrent with a flattening of the
19 thermocline, a reduction of upwelling in the eastern Pacific and increased SST in the eastern
20 relative to the western equatorial Pacific, thus resulting in further weakening of the trade
21 winds (Collins et al., 2010).

22 We use the zonal wind stress index, Westerly Wind Bursts (WWBs), and Easterly Wind
23 Bursts (EWBs) to study the wind stress over the tropical Pacific. The zonal wind stress index
24 is defined as the wind stress averaged over the equatorial tropical Pacific ($5^\circ\text{N}\text{-}5^\circ\text{S}$; $120^\circ\text{E}\text{-}$
25 80°W), whereas selecting only the positive (negative) values of the wind stress over the same
26 region defines the WWBs (EWBs) (Hu and Fedorov 2016). In the present study, the zonal
27 wind stress is significantly reduced over most parts of the tropical Pacific, especially over the
28 Niño3 region in both $4\times\text{CO}_2$ and G1 (Fig. 3a-e), in agreement with the altered zonal SST
29 gradients in both scenarios (Fig. 1). The zonal wind stress weakens by 31 % and 10 % in
30 $4\times\text{CO}_2$ and G1 (99 % cl) (Fig. 4a). The weakening in $4\times\text{CO}_2$ is compensated to some degree
31 in G1 but the wind field does not recover completely to its preindustrial state. We also
32 observe significant weakening of zonal wind stress over the Niño3 region both under $4\times\text{CO}_2$
33 and G1.

34 The strength of WWBs increases by 13 % under G1 relative to piControl (99 % cl), while the
35 EWBs decrease in strength by 7 %. The strong WWBs are more closely linked to positive
36 SST anomalies than negative SST anomalies (Cai et al., 2015a) and thus likely to result in an
37 increase in frequency of extreme El Niño events (Hu and Fedorov 2016) in G1. The strength
38 of both the WWBs and EWBs are reduced (99 % cl) under $4\times\text{CO}_2$, by 33 % and 28 %,
39 respectively.



1 These findings in the $4\times\text{CO}_2$ simulation agree with Philip and van Oldenborgh (2006), who,
2 in several climate models, found up to 40 % reduction in zonal wind stress in the 23rd
3 century.

4 **3.1.4 Zonal and meridional sea surface temperature gradients**

5 The ZSSTG between western and eastern equatorial Pacific is one of its characteristic
6 features. The ZSSTG is weak during an El Niño and strong during La Niña events (Latif et
7 al., 2009). The ZSSTG is calculated as the difference between SST in the western Pacific
8 Warm Pool (5°N - 5°S ; 100°E - 126°E) and eastern equatorial Pacific (Niño3 region: 5°N - 5°S ;
9 160°E - 150°W). The zonal SST gradient is reduced in $4\times\text{CO}_2$ and also in G1 (Fig. 4b) but the
10 reduction relative to piControl is less in G1 (11 %) than in $4\times\text{CO}_2$ (62 %).

11 The reduced zonal SST asymmetry in $4\times\text{CO}_2$ and G1 is consistent with the weakening of the
12 trade winds and zonal wind stress as noted in Sect. 3.1.3. The weakening of trade winds can
13 result in reduced upwelling in the eastern equatorial Pacific, and east to west surface currents
14 (Collins et al., 2010), leading to an increase in El Niño events.

15 MSSTG is calculated as the SST averaged over the off-equatorial region (5°N - 10°N ; 150°
16 W - 90°W) minus SST averaged over the equatorial region (2.5°N - 2.5°S ; 150°W - 90°W) (Cai
17 et al., 2014). Reversal of sign or weakening of the MSSTG has been observed during extreme
18 El Niño events as the ITCZ moves over the equator. Overall there is a change in sign and
19 reduction of MSSTG both in $4\times\text{CO}_2$ (\sim -111 %), and G1 (\sim -9 %) (99 % cl, Fig. S2 and Table
20 S2). The decrease in strength of MSSTG is an indication that extreme El Niño events increase
21 (Cai et al., 2014) under solar geoengineering.

22 Wang et al. (2017) observed a weakening of the MSSTG in a multi-model ensemble under
23 RCP2.6, however they did not find any evidence of change in the ZSSTG in RCP2.6 and
24 RCP8.5.

25 **3.1.5 Thermocline depth**

26 Previous studies (Vecchi and Soden 2007; Yeh et al., 2009) showed shoaling as well as
27 reduction in the east-west tilt of the equatorial Pacific thermocline under increased GHG
28 scenarios. A decrease in thermocline depth and slope is a dynamical response to reduced
29 zonal wind stress. Shoaling of the equatorial Pacific thermocline can result in positive SST
30 anomalies in the eastern equatorial Pacific and that can affect formation of El Niño (Collins
31 et al., 2010).

32 Thermocline depth here is defined as the depth of 20°C (for piControl and G1) and 24°C (for
33 $4\times\text{CO}_2$) isotherms averaged between 5°N and 5°S , following Phillip and van Oldenborgh
34 (2006). Due to surface warming in GHG scenarios, the 20°C isotherm deepens (Yang and
35 Wang et al., 2009) and this must be compensated by using a warmer isotherm (24°C) as a
36 metric in the $4\times\text{CO}_2$ case.

37 In $4\times\text{CO}_2$, the tropical Pacific thermocline depth (24°C isotherm) shoals by 22 % (Fig. 4c),
38 as expected from similar experiments (Vecchi and Soden 2007; Yeh et al., 2009). However,



1 there is no statistically significant change in the mean thermocline depth in G1. Sun shading
2 completely offsets shoaling of the thermocline depth which is characteristic of GHG warming
3 scenarios.

4 **3.1.6 Vertical velocity and Walker circulation**

5 Under normal conditions, in the tropical Pacific, there is strong atmospheric upwelling over
6 the western equatorial Pacific, SPCZ, and that part of the ITCZ located north of the equatorial
7 tropical Pacific, whereas the relatively cold and dry eastern Pacific is dominated by
8 atmospheric downwelling. This process, as simulated in HadCM3L, can be clearly seen in
9 maps of Omega500-100 in Fig. 5a. The region of ascent over the SPCZ and ITCZ moves
10 equatorward in $4\times\text{CO}_2$ (Fig 5b), consistent with the increase in SST and precipitation over the
11 equatorial region (Fig. 1d and 2d). In $4\times\text{CO}_2$, the convective center also moves towards the
12 Niño3 region centered at $\sim 150^\circ\text{W}$. These changes are largely offset in G1, which indicates
13 that decreasing the downward shortwave flux can largely steer these atmospheric changes
14 back to preindustrial state (Fig. 5c).

15 While spatial patterns in atmospheric divergence and convergence can be corrected in G1
16 (Fig. 5c), important differences remain. These are mostly associated with the magnitude of
17 atmospheric convection. Specifically, a significant decrease in strength of upwelling is
18 observed over the warm pool, while an increase is seen in the central Pacific and the eastern
19 part of Niño3 region (Fig. 5d-e); this happens both for $4\times\text{CO}_2$ and G1. The downwelling
20 becomes weaker (i.e. less positive in Fig. 5e) in G1 over most parts of the eastern equatorial
21 Pacific and over South America.

22 These changes are consistent with changes in the spatial extent and strength of the tropical
23 PWC in $4\times\text{CO}_2$ and G1 (Fig. 6a-c). In $4\times\text{CO}_2$, the time-averaged western branch of the PWC
24 extends further eastward and becomes broader, in agreement with the changes in Omega500-
25 100 described above, while the eastern branch of the PWC is squeezed. The PWC reverts
26 back to preindustrial spatial patterns in G1 (Fig. 6c).

27 Significant (90 % cl) changes occur in the strength of the PWC in $4\times\text{CO}_2$ and G1 relative to
28 piControl (Fig. 6d-e). Both the western and eastern branches of the PWC become weaker in
29 strength, whereas the vertical velocity strengthens in the central Pacific. Thus, G1 offsets the
30 changes in spatial pattern of PWC occurring under increased GHG forcing but fails to
31 completely compensate changes in strength.

32 **3.2 ENSO amplitude and frequency**

33 In Sect. 3.1 we noted significant changes in the tropical Pacific mean state, such as
34 weakening of zonal and meridional SST gradients, zonal wind stress, and PWC. These
35 changes can affect the ENSO variability. In this section, we discuss various metrics used to
36 characterise ENSO variability and show how they change in $4\times\text{CO}_2$ and G1. Specifically, we
37 investigate the amplitude of ENSO, changes in amplitude asymmetry between El Niño and
38 La Niña events, and ENSO frequency.

39



1 3.2.1 ENSO amplitude

2 Three ENSO indices (Niño3, Niño4, and Niño3.4) are used to characterize changes in ENSO.
3 All three indices are necessary because extreme warm and cold events are not simply mirror
4 images of each other (Cai et al., 2015b). ENSO amplitude is defined as the standard deviation
5 of SST anomalies in a given ENSO region (e.g., Philip and van Oldenborgh 2006; Nowack et
6 al., 2017). The maximum amplitude of warm events is defined as the maximum positive
7 ENSO anomaly during the entire time series analysed (Gabriel and Robock 2015). Cold
8 events are defined similarly, but using the maximum negative ENSO anomaly.

9 In $4\times\text{CO}_2$, all ENSO indices show a decrease (47-64 %), whereas in G1, Niño3 and Niño3.4
10 indices show an increase (5-8 %) in amplitude at 99 % cl (Table 1). Further, in $4\times\text{CO}_2$, all
11 ENSO indices show a decrease in the maximum amplitude of warm (30-57 %) and cold (19-
12 36 %) events (Table 2-3). In G1, Niño4 and Niño3.4 indices indicate a decrease (7-11 %) in
13 maximum amplitude of warm events but only the Niño4 index indicates an increase (20 %) in
14 maximum amplitude of cold events. Thus, owing to an overall strengthening of ENSO
15 amplitude, and strengthening (weakening) of the maximum amplitude of cold (warm) events,
16 our simulations imply that significant changes in ENSO events under solar geoengineering
17 could occur despite global mean surface temperatures being very similar in G1 and
18 preindustrial conditions.

19 In general, the El Niño events are stronger than La Niña events, and amplitudes of El Niño
20 and La Niña events are asymmetric (An and Jin 2004; Schopf and Burgman 2006; Ohba and
21 Ueda 2009; Ham 2017). In the present study, the strengthening (weakening) of maximum
22 amplitude of cold (warm) events indicates that asymmetry of cold and warm event's
23 amplitude would change in both $4\times\text{CO}_2$ and G1. The relative strength of ENSO warm and
24 cold events can be measured by the skewness of SST over the ENSO regions (Vega-Westhoff
25 and Sriver 2017). Following Ham (2017), we investigate the asymmetry of amplitude of El
26 Niño and La Niña events by comparing the skewness of detrended Niño3 SST anomalies in
27 piControl with $4\times\text{CO}_2$ and G1.

28 We find that, relative to piControl, the Niño3 SST skewness is reduced (at 99 % cl) by 190
29 %, in $4\times\text{CO}_2$ and by 65 % in G1 (Table 4). This is further illustrated in maps showing
30 differences in skewness between $4\times\text{CO}_2$ and G1 with piControl (Fig. S3). Over the eastern
31 equatorial Pacific, the SSTs are transformed from positively to negatively skewed under
32 $4\times\text{CO}_2$ (Fig. S3). In G1, the skewness of SSTs is reduced over the entire equatorial Pacific.
33 Thus, due to the concurrent strengthening of the maximum amplitude of cold events and
34 weakening of warm events, and reduction in asymmetry of SST skewness, the intensity of
35 cold events is predicted to increase compared to warm events under solar geoengineering.

36 Vega-Westhoff and Sriver (2017) also found a decrease in strength of ENSO amplitude in the
37 RCP8.5 scenario in the Community Earth System Model (CESM). Our results also agree with
38 Ham (2017) who found a 40 % reduction in ENSO amplitude asymmetry using several
39 CMIP5 models in the RCP4.5 scenario.

40



1 3.2.2 El Niño frequency

2 We chose a threshold value of rainfall for defining extreme El Niño events based on the work
3 of Cai et al., (2014), who chose averaged DJF Niño3 total rainfall exceeding 5 mm day^{-1} for
4 this threshold based on observations. Cai et al., (2017) pointed out that the trend in Niño3
5 rainfall is contributed by two main factors: (1) the change in mean state of the tropical Pacific
6 and (2) the change in frequency of extreme El Niño events. In studying the extremes only, the
7 trend contributed by mean state changes should be subtracted from the raw time series.
8 Hence, we fit a quadratic trend to the time series of rainfall data from which all extreme El
9 Niño events (DJF total rainfall $> 5 \text{ mm day}^{-1}$) have been excluded and then subtract this trend
10 from the raw Niño3 rainfall time series.

11 Using the detrended time series, 8 moderate and 2 extreme El Niño events can be identified
12 from the historical record between 1979 and 2017 (Fig. 7a). The MSSTG is negative during
13 the 1982 and 1997 extreme events. The identification of extreme events is slightly sensitive
14 to the choice of threshold. For example, a threshold of detrended Niño3 total rainfall of 5 mm
15 day^{-1} does not recognize 2015 as an extreme El Niño year, since it has weak positive MSSTG.
16 We repeat the same method with averaged DJF Niño3 rainfall anomalies greater the 3- and 4-
17 mm day^{-1} . Rainfall anomaly of 3 mm day^{-1} identifies 2015 as an extreme El Niño year
18 whereas 4 mm day^{-1} does not (Fig. 7b). Since, a threshold of total rainfall $> 5 \text{ mm day}^{-1}$ does
19 not recognize El Niño events having weak positive MSSTG as extreme El Niño events, we
20 use all three threshold values (total rainfall $> 5 \text{ mm day}^{-1}$; and 3 and 4 mm day^{-1} rainfall
21 anomaly) for detecting any change in extreme El Niño events under solar geoengineering
22 experiment. Note that under piControl, total rainfall of 5 mm day^{-1} is $\sim 95^{\text{th}}$ percentile,
23 whereas 4- (3-) mm day^{-1} anomaly is $\sim 94^{\text{th}}$ ($\sim 90^{\text{th}}$) percentile in detrended Niño3 rainfall time
24 series.

25 Since there exists a nonlinear relationship between SST and Niño3 rainfall, for this method to
26 be applicable the Niño3 rainfall skewness should be at least +1 (see Cai et al., 2014). Further,
27 the skewness criterion is used to avoid climate models simulating overly wet or dry
28 conditions over the Niño3 region (Cai et al., 2017). HadCM3L simulates skewness of 2.06, -
29 0.07, and 1.55 for piControl, $4\times\text{CO}_2$, and G1, respectively. The reduced skewness of Niño3
30 rainfall under GHG forced climate indicates that nonlinear relationship between Niño3
31 rainfall and MSSTG completely breakdowns under $4\times\text{CO}_2$. Below, we only focus our
32 analysis on G1 for studying the changes in ENSO extremes.

33 With detrended Niño3 total rainfall exceeding 5 mm day^{-1} as an extreme, a statistically
34 significant (99 % cl) increase of 44 % in extreme El Niño events is observed under G1 (65
35 events) relative to piControl (45 events) (Fig. 7c-d). Thus, an extreme El Niño event
36 occurring every $\sim 22\text{-yr}$ under preindustrial conditions occurs every $\sim 15\text{-yr}$ under solar
37 geoengineered conditions. The moderate El Niño events increase by 7 % under G1, however,
38 the change is not statistically significant. A statistically significant (95 %) increase of 12 % in
39 frequency of total number of El Niño events (number of extreme plus moderate events) is
40 also observed with number of events increasing from 300 in piControl to 337 in G1. Thus, an



1 El Niño event occurring every ~ 3.3 -yr under preindustrial conditions occurs every ~ 2.9 -yr
2 under solar geoengineered conditions.

3 Results similar to those with 5 mm day^{-1} are found when using detrended Niño3 rainfall
4 anomaly of 3 and 4 mm day^{-1} as definition thresholds for extreme El Niño events.
5 Specifically, the number of extreme events increase by $\sim 40\%$ and $\sim 42\%$ for Niño3 rainfall
6 anomaly thresholds of 3 and 4 mm day^{-1} respectively, and the frequency of total (extreme
7 plus moderate) events increase by $\sim 12\%$ in both cases in G1 relative to piControl (Fig. S4a-
8 d).

9 No statistically significant changes in the number of extreme El Niño events is detected when
10 using ENSO indices based on SST. However, all SST based ENSO indices (Niño3, Niño4,
11 and Niño3.4) indicate statistically significant increase of $\sim 12\%$ in frequency of total
12 (extreme plus moderate) number of El Niño events (ENSO index > 0.5 s.d.) (Table S4).

13 There is no evidence of changes in the frequency of central Pacific El Niño (El Niño Modoki)
14 comparative to the frequency of eastern Pacific El Niño (canonical El Niño) in G1 relative to
15 piControl (not shown).

16 We note that under solar geoengineered climate, more weak and reversed MSSTG events
17 occur relative to piControl (Fig. S2). More frequent reversals of MSSTG result into more
18 frequent establishment of strong convection in the eastern equatorial Pacific. According to
19 Cai et al. (2014), more frequent convection over the eastern tropical Pacific increases the
20 sensitivity of rainfall by 25 % to positive SST anomalies. Further, in Sect. 3.1.3 we observed
21 that WWBs (EWBs) are 13 % (7 %) stronger (weaker) than in piControl which also favors a
22 higher frequency of El Niño events. Thus, we conclude that changes in the tropical Pacific
23 mean state, in particular weakening of temperature gradients (MSSTG and ZSSTG), changes
24 in zonal wind stress, and convection over the tropical Pacific (and consistent weakening of
25 the PWC) are the possible causes of increased frequency of extreme El Niño events under
26 G1.

27 3.2.3 La Niña frequency

28 During La Niña events, the ZSSTG, the PWC and atmospheric convection in the western
29 Pacific are stronger than normal. Here, we present plots of Niño4 vs ZSSTG for piControl
30 and G1 (Fig. 7e-f). We observe a statistically significant (95 % cl) increase in extreme La
31 Niña events in the G1 experiment. The number of extreme La Niña events increases by 32 %
32 (61 events) in G1 relative to piControl (46 events). Thus, an extreme La Niña event occurring
33 every ~ 22 -yr in piControl occurs every ~ 16 -yr in G1. The other two ENSO indices (Niño3
34 and Niño3.4) also show statistically significant increases in extreme La Niña events in G1
35 (Table S5). The Niño3 (Niño3.4) shows $\sim 400\%$ ($\sim 138\%$) increase in extreme La Niña,
36 meaning an extreme event occurring every ~ 124 (~ 62) years over the Niño3 (Niño 3.4)
37 region in piControl occurs every ~ 25 (~ 26) years in G1. Increased number of extreme El
38 Niño events results in more heat discharge events causing cooling, hence providing
39 conducive conditions for increased occurrence of La Niña events (Cai et al., 2015a, 2015b).



1 **3.3 Spatial characteristics of ENSO**

2 Sect. 3.2 showed that the maximum amplitude of cold (warm) events is strengthened
3 (weakened) and that the amplitude asymmetry between warm and cold events is significantly
4 reduced in G1 relative to piControl. Here we provide process-based evidence for the
5 strengthening (weakening) of extreme La Niña (El Niño) events in G1 relative to piControl.
6 Using composite analysis, we show that for extreme La Niña (El Niño) events the PWC, SST
7 and rainfall anomalies are strengthened in G1 relative to piControl. The composite anomalies
8 are shown for the extreme and the total (extreme plus moderate) number of La Niña (El Niño)
9 events in piControl and G1. We also calculate differences of composite anomalies between
10 G1 and piControl (G1-piControl) to detect any significant change in ENSO characteristics
11 under solar geoengineering.

12 **3.3.1 El Niño composites**

13 The spatial pattern of composite SST anomalies for extreme and total number of El Niño
14 events in G1 is very similar to that of piControl with stronger warm anomalies in the eastern
15 equatorial Pacific than in the off-equatorial and western Pacific region (Fig. 8a-d). However,
16 the extreme El Niño composite difference (G1-piControl) indicates that warm anomalies over
17 western, central, and eastern equatorial Pacific are weaker in G1 (Fig. 8e). The composite
18 difference of total El Niño events also indicates statistically significant (90 % cl) weak warm
19 anomalies over western and, central equatorial Pacific in G1 (Fig. 8f). Thus, in general, El
20 Niño events tend to be weaker in G1 than in piControl.

21 The spatial pattern of composite rainfall anomalies for extreme and total El Niño events is
22 alike both in G1 and piControl with peak positive rainfall anomalies centering at $\sim 145^\circ$ W
23 and $\sim 160^\circ$ W, respectively (Fig. 9a-d). However, during extreme El Niño events, in
24 accordance with weak warm SST anomalies over western, central, and eastern equatorial
25 Pacific (Fig. 8e), the positive rainfall anomalies are also weaker (Fig. 9e). The composite
26 difference for total El Niño events also indicates weaker positive rainfall anomalies over the
27 central Pacific (Fig. 9f).

28 During El Niño events, the PWC reverses in sign and direction with stronger atmospheric
29 upwelling over the eastern Pacific and downwelling over the western Pacific. The spatial
30 patterns of PWC for the extreme and total number of El Niño events are similar both under
31 G1 and piControl (Fig. 10a-d). During extreme (total number of) El Niño events the
32 upwelling is centered at $\sim 145^\circ$ W ($\sim 160^\circ$ W) both in G1 and piControl. In G1 relative to
33 piControl, the atmospheric upwelling (downwelling) becomes weak over eastern (western)
34 equatorial Pacific during extreme El Niño events (Fig. 10e) which agrees with reduced SST
35 and rainfall anomalies over these regions (see Fig. 8e and 9e). For total El Niño events, in
36 contrast to extreme El Niño events, the deep convection between 600 and 200 hPa over
37 eastern Pacific is strengthened under G1 relative to piControl whereas the atmospheric
38 downwelling becomes weak over western Pacific (Fig. 10f). Thus, during extreme El Niño
39 events the PWC is weaker in G1 than in piControl.



1 We conclude that extreme El Niño events are more frequent but slightly less powerful in a
2 solar geoengineered climate than in preindustrial conditions. We further confirm this with a
3 histogram of detrended Niño3 SST anomalies (Fig. S5a). Though more frequent positive
4 Niño3 SST anomalies occur under G1 (between 1.5 and 2.5 °C) the mean Niño3 SST
5 anomaly is weaker in G1 (2.38 °C) than in piControl (2.16 °C) at 99 % cl. Thus, the strength
6 of extreme El Niño events is reduced by ~9 % in G1 compared to piControl. However, no
7 statistically significant shift in histograms of Niño3 SST anomalies is detected for the total
8 number of El Niño events (Fig. S5b).

9 3.3.2 La Niña composites

10 The composite spatial patterns of SST, and the rainfall anomalies, for extreme and total
11 number of La Niña events are similar under G1 and piControl (Fig 11a-d and Fig. 12a-d).
12 During extreme La Niña events, the negative SST anomalies are stronger and more stretched
13 towards western Pacific than that for the total La Niña events. The peak negative rainfall
14 anomalies occur in the Niño4 region for both for extreme and total La Niña events
15 composites. The composite differences of SSTs (Fig. 11e-f) and rainfall (Fig. 12e-f)
16 anomalies for both extreme and total La Niña events show that negative SST and rainfall
17 anomalies are stronger in G1 than in piControl. Thus, under G1 the extreme and total events
18 are stronger than in piControl. These composite differences also show that during extreme
19 and total La Niña events, the western Pacific and western coast of south America is warmer
20 and wetter under G1 compared to piControl.

21 The PWC is strengthened during La Niña events. The spatial pattern of composite PWC for
22 extreme and total La Niña events is similar both under G1 and piControl (Fig. 13a-d).
23 However, the composite differences indicate that PWC is stronger in G1 than in piControl
24 both for extreme and total La Niña events (Fig. 13e-f) consistent with stronger negative SST
25 and rainfall anomalies in the eastern and central equatorial Pacific.

26 We note that stronger negative SST anomalies occur over the eastern equatorial Pacific under
27 G1 compared to piControl indicating an overall increase in strength of La Niña events in
28 solar geoengineered climate (Fig. 11e-f). We further confirm this with histograms of
29 detrended Niño3 SST anomalies for extreme and total number of La Niña events based on the
30 Niño4 SST index (Fig. S5c-d). Figures S5c-d clearly show that under G1 compared to
31 piControl stronger negative SST anomalies occur over eastern equatorial Pacific during the
32 extreme (piControl: -1.45 °C; G1: -1.68 °C) and the total number of La Niña events
33 (piControl: -1.03 °C; G1: -1.22 °C). Thus, we conclude that the strength of extreme (total
34 number of) La Niña events is increased by ~16 % (~18 %) in G1 compared to piControl.

35 4 Discussion and conclusions

36 In this paper we have analysed the impact of increased GHG forcing (4×CO₂) and solar
37 geoengineering (G1) on the tropical Pacific mean climate and ENSO extremes. Previous solar
38 geoengineering studies did not show any statistically significant change in the PWC (e.g.,
39 Guo et al., 2018) or ENSO frequency and amplitude (e.g., Gabriel and Robock 2015).
40 However, those results were strongly limited by the length of the respective GeoMIP



1 simulations, which made changes difficult to detect given the high climate tropical Pacific
2 climate variability. This problem was overcome here by using long (1000 years) climate
3 model simulations, carried out with HadCM3L. The longer record makes it possible to detect
4 small changes between the preindustrial and G1 scenarios within the chosen model system.

5 We find that manipulating the downward shortwave flux through solar geoengineering can
6 compensate some of the greenhouse-induced changes in the tropical Pacific but not all.
7 Importantly, manipulating the downward shortwave flux cannot correct one of the climate
8 system's most dominant mode of variability, ENSO, back to preindustrial conditions.
9 Specifically, we find that:

- 10 1. The warming over the tropical Pacific under increased GHG forcing ($4\times\text{CO}_2$) is
11 overcompensated under solar sunshade (G1) geoengineering resulting, by design, in a
12 cooling of $0.3\text{ }^\circ\text{C}$. This overcooling is more pronounced in the western tropical Pacific
13 and SPCZ than in the eastern Pacific under the G1 scenario. This shows that even in
14 an ideal situation, where shading is applied as soon as GHG forcing is introduced, the
15 climate will experience changes in regional gradients. The implication is that solar
16 engineering experiments could benefit from applying spatially variable shadings to
17 redress some of the changes induced by the GHGs.
- 18 2. The reduced SST and rainfall asymmetry, between the warm pool and the cold
19 tongue, observed under $4\times\text{CO}_2$, is mostly corrected in G1, but regionally important
20 differences remain relative to preindustrial conditions. The tropical Pacific is 5 %
21 wetter in $4\times\text{CO}_2$ whereas 5 % drier in G1 relative to piControl. Solar geoengineering
22 results in decreased rainfall over the warm pool, SPCZ, and ITCZ and an increase
23 over the central and eastern equatorial Pacific.
- 24 3. The preindustrial median position of ITCZ north of the equator (154°W - 82°W ; 7.5°
25 N) changes significantly under $4\times\text{CO}_2$ and moves over the equator (154°W - 82°W ;
26 0°). G1 restores the ITCZ to its preindustrial position (154°W - 82°W ; 7.5°N).
- 27 4. The increased GHG forcing results in 31 % reduction in zonal wind stress over the
28 tropical Pacific. G1 fails to completely compensate this reduction, and results in
29 weakening the zonal wind stress by 10 % with 13 % (7 %) increase (decrease) in
30 WWBs (EWBs), thus providing more conducive conditions for El Niño extremes.
- 31 5. Under solar geoengineering, both ZSSTG and MSSTG are reduced by 11 % and 9 %,
32 respectively. More frequent reversal of MSSTG occurs in G1 relative to piControl.
- 33 6. In $4\times\text{CO}_2$, the thermocline shoals by 22 % over the tropical Pacific, however G1
34 completely recovers it to its preindustrial orientation.
- 35 7. The PWC becomes weaker both under $4\times\text{CO}_2$ and G1 scenarios.
- 36 8. The increased GHG forcing results in weakening of ENSO amplitude by 30-57 %
37 whereas solar geoengineering strengthens it by 5-8 % relative to preindustrial. The
38 maximum amplitude of warm (cold) events is reduced (increased) under G1.
- 39 9. The ENSO amplitude asymmetry between warm and cold events is reduced under G1
40 relative to piControl.
- 41 10. The frequency of extreme El Niño events increases by 44 % in G1 relative to
42 piControl. Hence, an extreme El Niño event occurring every ~ 22 -yr under



- 1 preindustrial conditions occurs every ~15-yr under solar geoengineered conditions.
2 Further, the frequency of total number (extreme plus moderate) of El Niño events also
3 increases by 12 %. Thus, an El Niño event occurring every ~3.3-yr under preindustrial
4 conditions occurs every ~2.9-yr under solar geoengineered climate. The reason for
5 occurrence of more extreme El Niño events under G1 is more frequent reversals of
6 MSSTG compared to piControl.
- 7 11. The frequency of extreme La Niña events increases by 32 % under G1 relative to
8 piControl. Thus, an extreme La Niña event occurring every ~22-yr in piControl
9 occurs every ~16-yr in G1.
- 10 12. The extreme El Niño events are ~9 % weaker whereas all La Niña events are ~18 %
11 stronger under G1 compared to piControl.

12 **Author contribution.** Long Cao developed the model code and performed the simulations.
13 Abdul Malik formulated the research questions, defined the methodology with the help of all
14 co-authors, and performed the scientific analysis. Abdul Malik prepared the manuscript with
15 contributions from all co-authors.

16 **Competing interests.** The authors declare that they have no conflict of interest.

17 **Data availability.** Data are available upon request from Long Cao (longcao@zju.edu.cn).
18

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25

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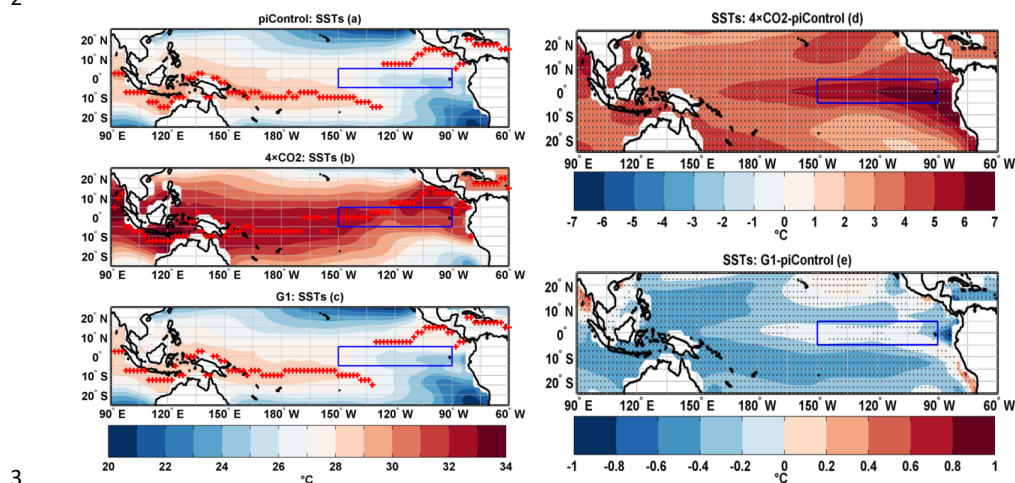
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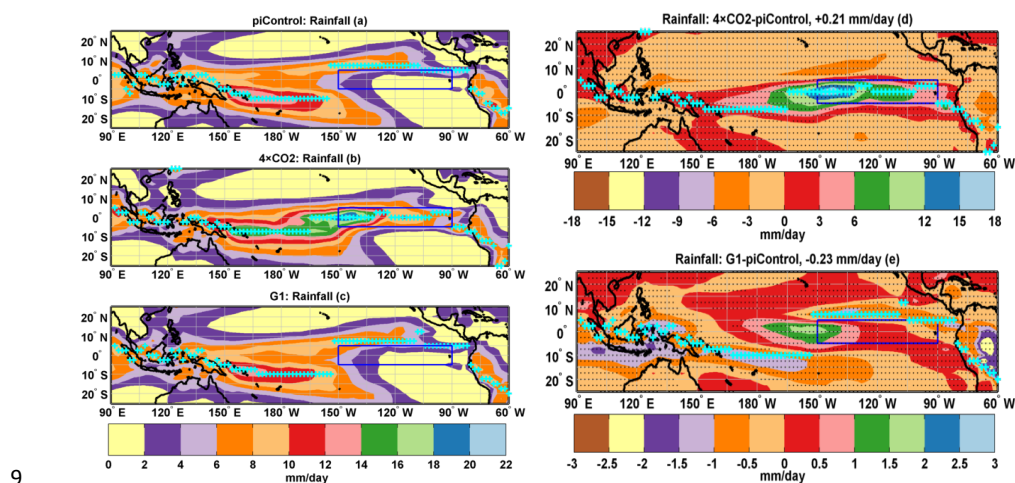
1 **Figures and Figure Captions**

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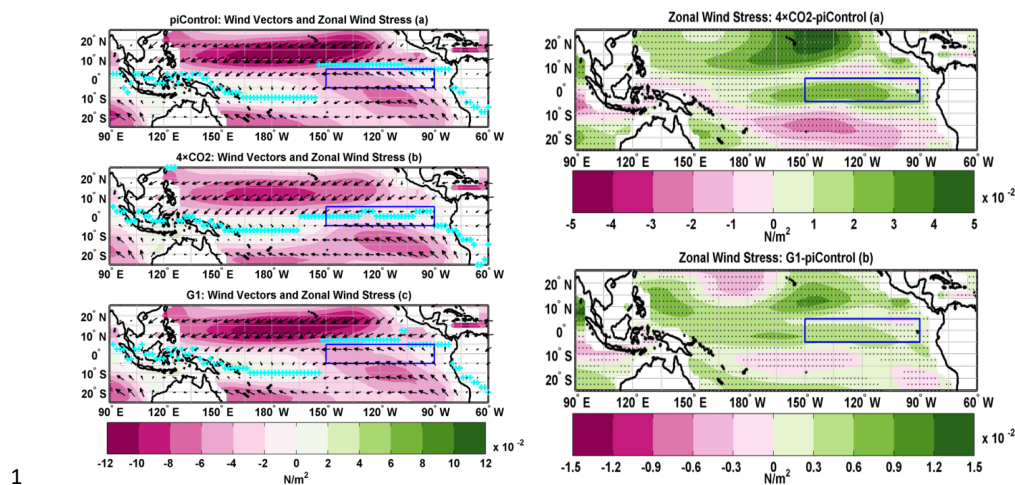
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4 **Figure 1.** Tropical Pacific SST mean DJF climatology (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d)
 5 difference $4\times\text{CO}_2$ -piControl and (e) difference G1-piControl. The red plus sign in a-e
 6 indicates latitudes with maximum SSTs. Stipples indicate grid points where difference is
 7 statistically significant at 90 % cl using non-parametric Wilcoxon rank sum test. The box in
 8 the eastern Pacific identifies the Niño3 region.



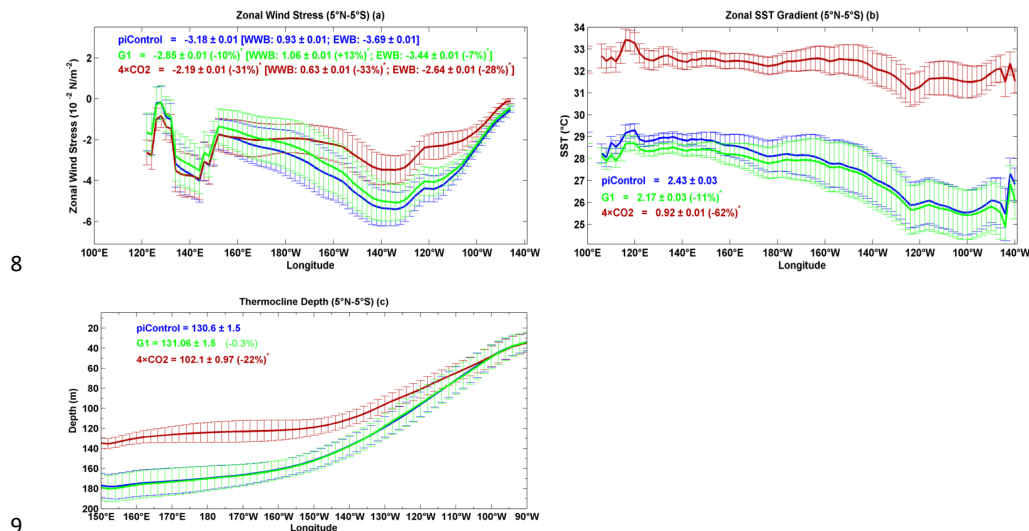
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10 **Figure 2.** Tropical Pacific rainfall mean DJF climatology (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d)
 11 difference: $4\times\text{CO}_2$ -piControl; the cyan plus sign indicate position of ITCZ under $4\times\text{CO}_2$ and
 12 (e) difference: G1-piControl; the cyan plus sign indicate position of ITCZ under G1. In a-c
 13 the cyan plus indicate position of ITCZ for corresponding experiment. Stipples indicate grid
 14 points where difference is statistically significant at 90 % cl using non-parametric Wilcoxon
 15 rank sum test.



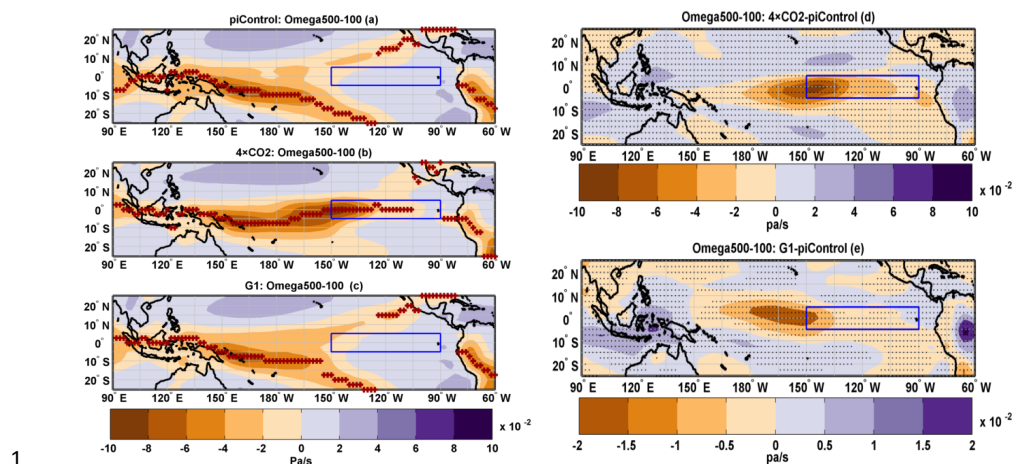
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 2 **Figure 3.** Tropical Pacific zonal wind stress mean DJF climatology (a) piControl (b) $4\times\text{CO}_2$
 3 (c) G1 (d) difference: $4\times\text{CO}_2$ -piControl and (e) difference: G1-piControl. Black arrows
 4 indicate direction of 10 m wind. The cyan plus sign in a-e indicates latitudes with maximum
 5 rainfall. Stipples indicate grid points where difference is statistically significant at 90 % cl
 6 using non-parametric Wilcoxon rank sum test.

7



10 **Figure 4.** DJF mean climatology of (a) zonal wind stress (b) zonal SST gradient, and (c)
 11 thermocline depth. Error bars indicate ± 1 s.d. calculated over the simulated period. Numbers
 12 with asterisk indicate that the percentage change is statistically significant at 99 % cl.

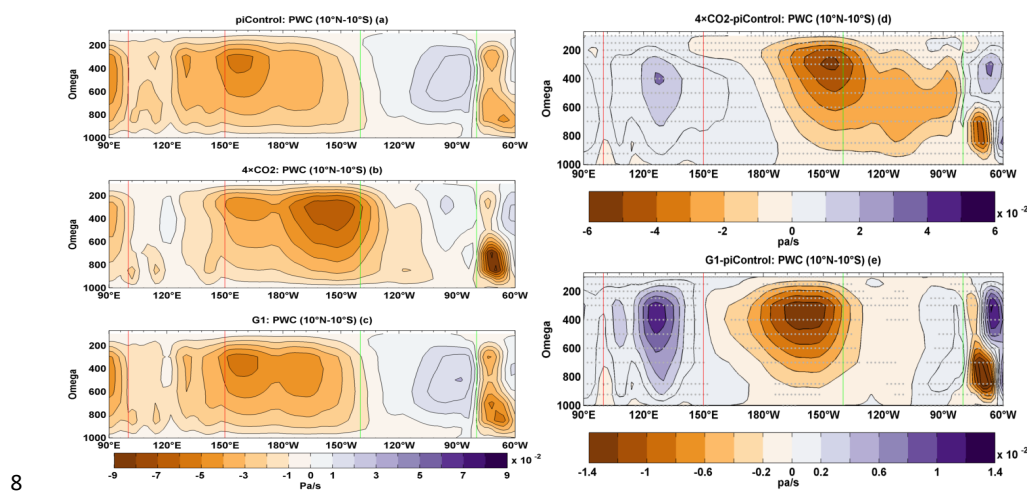
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2 **Figure 5.** Tropical Pacific mean DJF climatology of vertical velocity averaged between 500-
 3 and 100-hPa (Omega500-100) (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d) difference: $4\times\text{CO}_2$ -
 4 piControl and (e) difference: G1-piControl. In a-c the brown plus sign indicate latitudes
 5 where maximum upwelling occurs. Stipples indicate grid points where difference is
 6 statistically significant at 90 % cl using non-parametric Wilcoxon rank sum test.

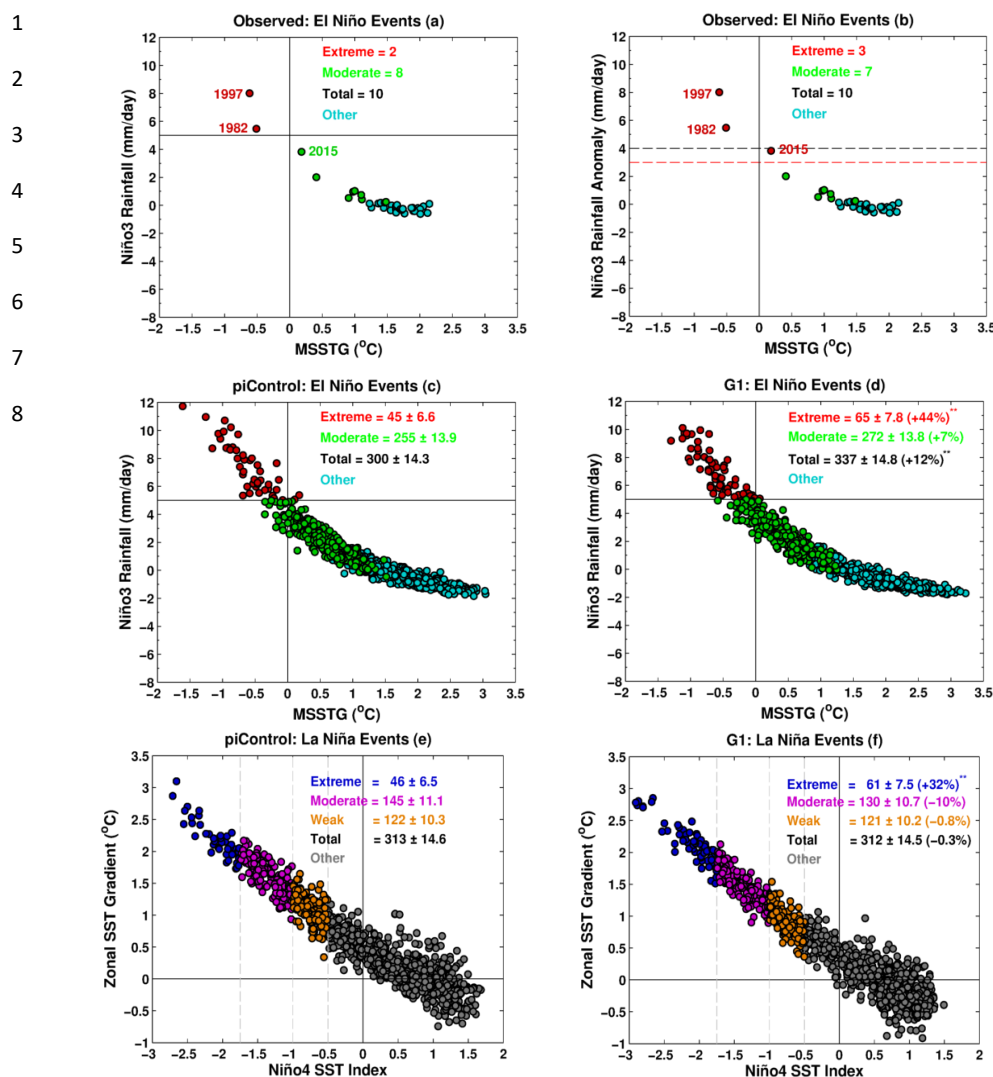
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9 **Figure 6.** Mean DJF climatology of tropical Pacific Walker Circulation averaged over 90°E -
 10 60°W and 10°N - 10°S (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d) difference: $4\times\text{CO}_2$ -piControl and
 11 (e) difference: G1-piControl. Green (red) vertical lines show longitudinal spread of eastern
 12 (western) Pacific. Stipples indicate grid points where difference is statistically significant at
 13 90 % cl using non-parametric Wilcoxon rank sum test.

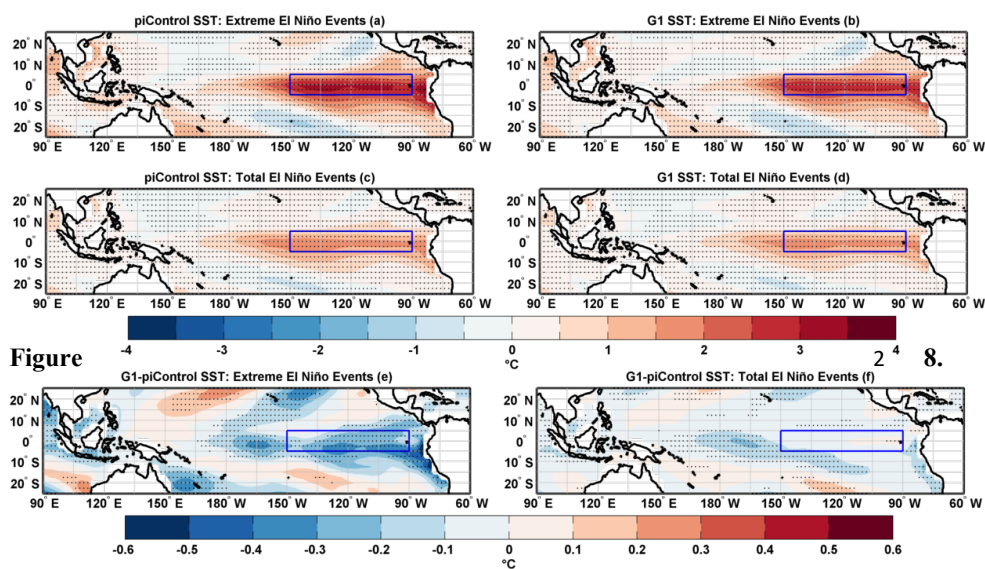
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9 **Figure 7.** Observed relationship between MSSTG and Niño3 rainfall when extreme El Niño
 10 is defined with (a) Niño3 total rainfall $> 5 \text{ mm day}^{-1}$ (b) Niño3 rainfall anomaly > 3 or 4 mm day^{-1} .
 11 Simulated relationship between MSSTG and Niño3 rainfall for (c) piControl (d) G1.
 12 Simulated relationship between Niño4 and ZSSTG for (e) piControl and (f) G1. In b the solid
 13 black horizontal line indicates threshold value of 5 mm day^{-1} . In a, c and d the dashed red
 14 (black) horizontal line indicates threshold anomaly of 3 (4) mm day^{-1} . See text for definition
 15 of extreme, moderate, weak, and total El Niño (La Niña) events. The asterisk indicates that
 16 the change in frequency is statistically significant at 95 % cl. Numbers with \pm symbol
 17 indicate s.d. calculated with 10,000 bootstrap realizations.



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5 **Figure 8.** Composites of SST anomalies for extreme El Niño events in (a) piControl (b) G1.
6 Composites of SST anomalies for total number of El Niño events in (c) piControl (d) G1.
7 Composite differences (G1-piControl) of SST anomalies for (e) extreme El Niño events and
8 (f) total number of El Niño events. Stipples indicate grid points with statistical significance at
9 90 % cl using non-parametric Wilcoxon rank sum test. The box in the eastern Pacific
10 identifies the Niño3 region.

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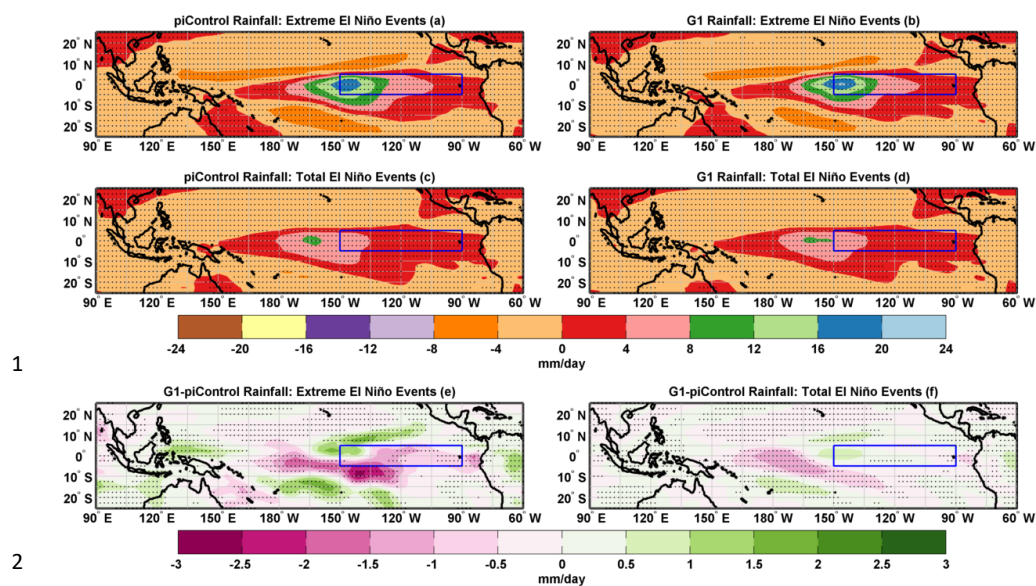
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3 **Figure 9.** Composites of rainfall anomalies for extreme El Niño events in (a) piControl (b)
4 G1. Composites of rainfall anomalies for total number of El Niño events in (c) piControl (d)
5 G1. Composite differences (G1-piControl) of rainfall anomalies for (e) extreme El Niño
6 events and (f) total number of El Niño events. Stipples indicate grid points with statistical
7 significance at 90 % cl using non-parametric Wilcoxon rank sum test. The box in the eastern
8 Pacific identifies the Niño3 region.

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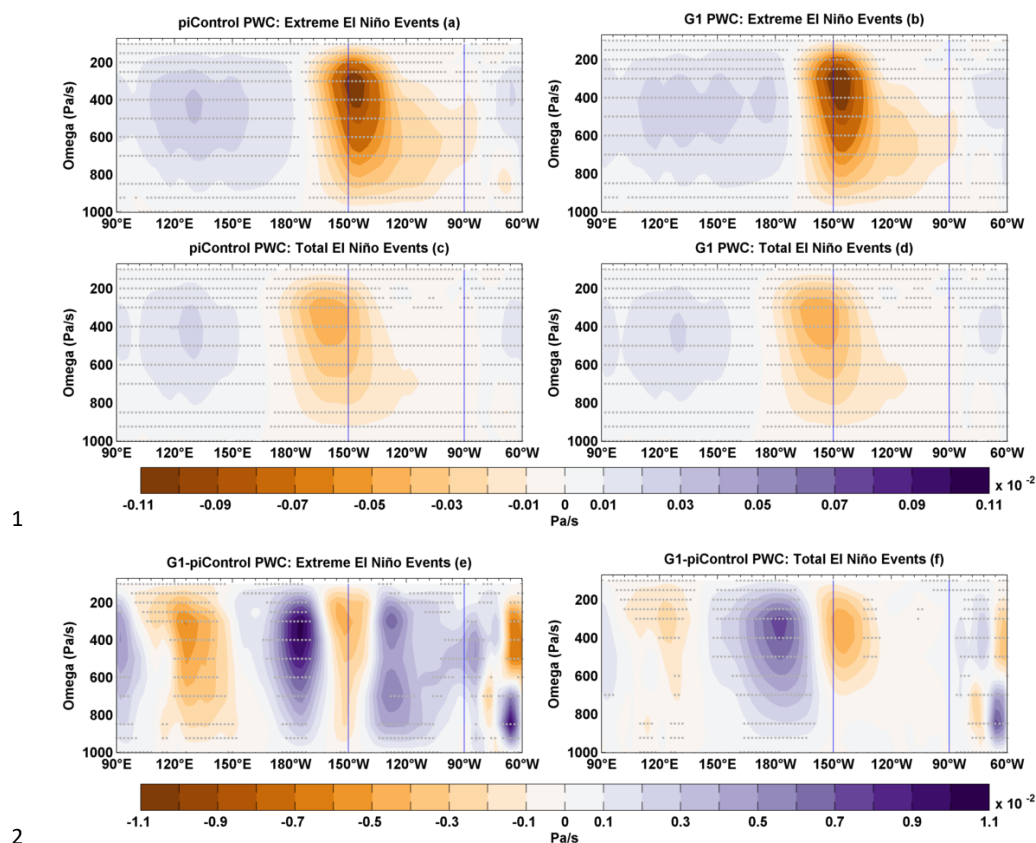
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3 **Figure 10.** Composites of PWC anomalies for extreme El Niño events in (a) piControl (b)
4 G1. Composites of PWC anomalies for total number of El Niño events in (c) piControl (d)
5 G1. Composite differences (G1-piControl) of PWC for (e) extreme El Niño events and (f)
6 total number of El Niño events. Stipples indicate grid points with statistical significance at 90
7 % cl using non-parametric Wilcoxon rank sum test. The box indicates the Niño4 region.

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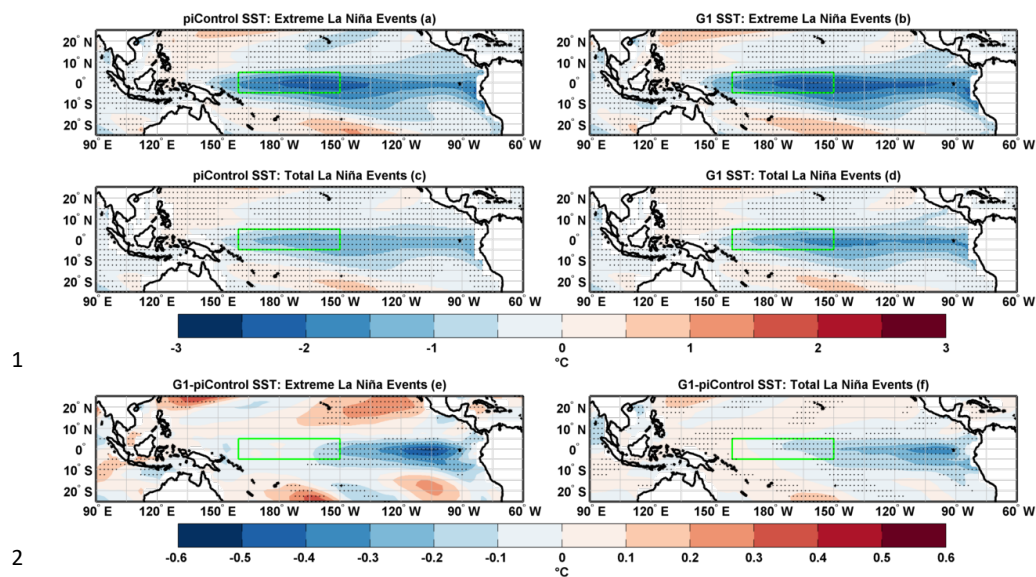
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3 **Figure 11.** Composites of SST anomalies for extreme La Niña events in (a) piControl (b) G1.
4 Composites of SST for total number of La Niña events in (c) piControl (d) G1. Composite
5 differences (G1-piControl) of SST for (e) extreme La Niña events and (f) total number of La
6 Niña events. Stipples indicate grid points with statistical significance at 90 % cl using non-
7 parametric Wilcoxon rank sum test. The box indicates the Niño4 region.

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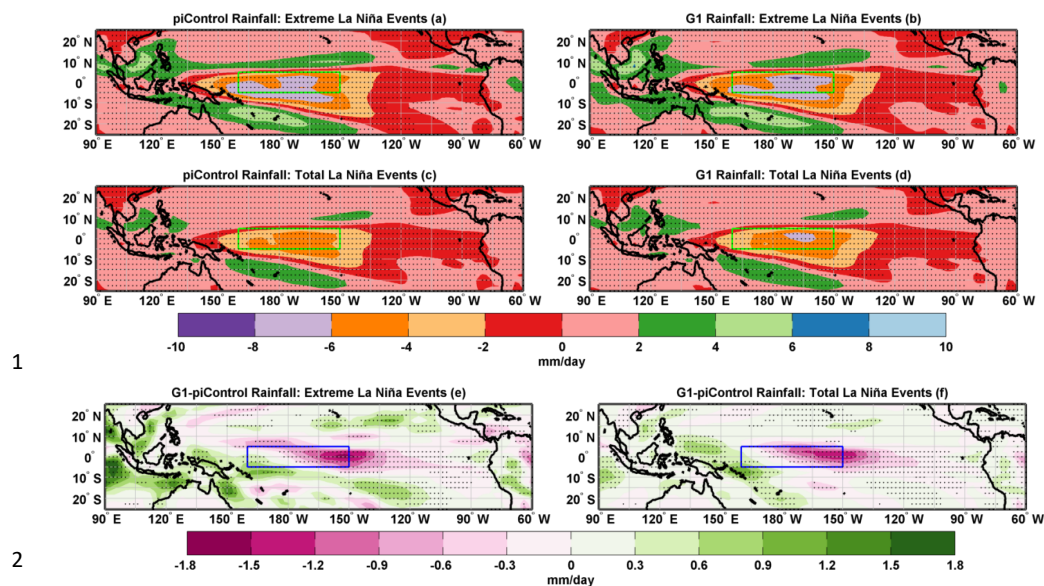
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3 **Figure 12.** Composites of rainfall anomalies for extreme La Niña events in (a) piControl (b)
4 G1. Composites of rainfall anomalies for total number of La Niña events in (c) piControl (d)
5 G1. Composite differences (G1-piControl) of rainfall for (e) extreme La Niña events and (f)
6 total number of La Niña events. Stipples indicate grid points with statistical significance at 90
7 % cl using non-parametric Wilcoxon rank sum test. The box indicates the Niño4 region.

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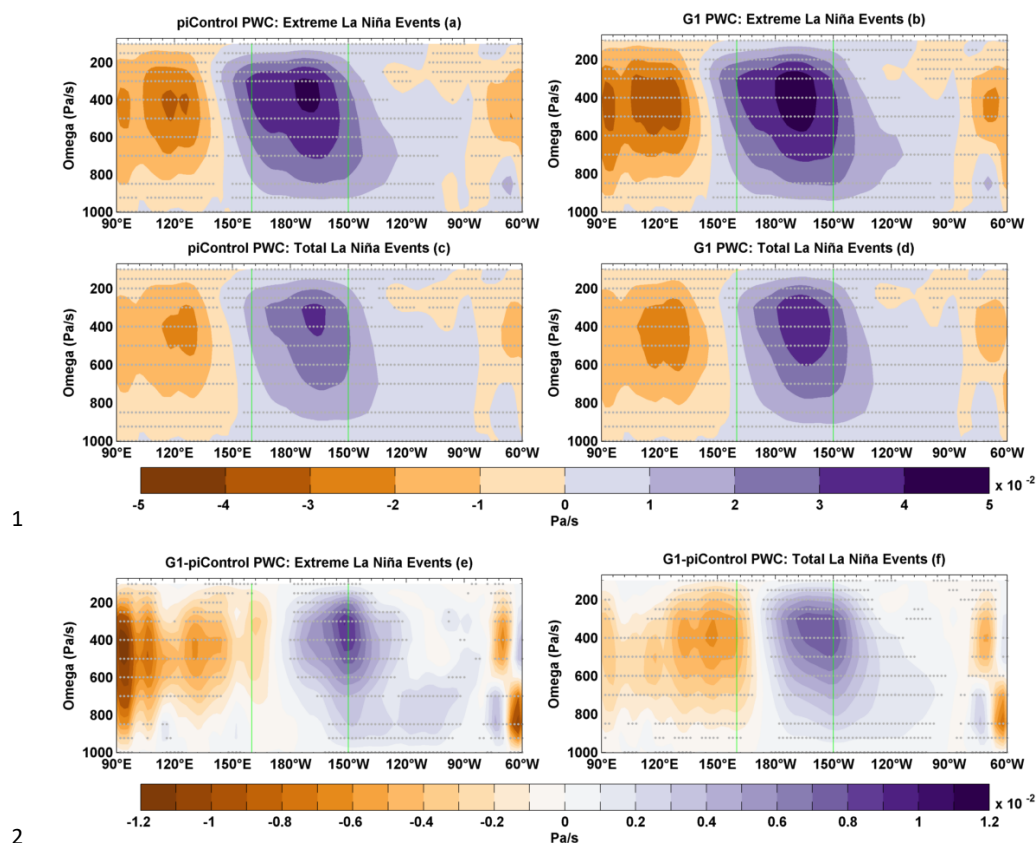


Figure 13. Composites of PWC anomalies for extreme La Niña events in (a) piControl (b) G1. Composites of PWC for total number of La Niña events in (c) piControl (d) G1. Composite differences (G1-piControl) of PWC anomalies for (e) extreme La Niña events and (f) total number of La Niña events. Stipples indicate grid points with statistical significance at 90 % cl using non-parametric Wilcoxon rank sum test.

1 **Tables and Table Captions**2 **Table 1.** ENSO amplitude

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	1.04 (0.78) [1.04]		0.0213 (0.0132) [0.0176]	
4×CO ₂	0.55 (0.28) [0.49]	-0.49 (-0.50) [-0.55]		-47* (-64*) [-55*]
G1	1.13 (0.79) [1.09]	0.09 (0.01) [0.05]		+8* (+1) [+5**]

3 Key: Niño3 (Niño4) [Niño3.4]; *99 % cl; **95 % cl

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5 **Table 2.** Maximum amplitude of warm events

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	2.97 (1.32) [2.34]		0.0687 (0.0159) [0.0367]	
4×CO ₂	1.29 (0.92) [1.08]	-1.68 (-0.40) [-1.26]		-57* (-30*) [-54*]
G1	2.85 (1.17) [2.18]	-0.12 (-0.15) [-0.16]		-4 (-11*) [-7*]

6 Key: Niño3 (Niño4) [Niño3.4]; *99 % cl; **95 % cl

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8 **Table 3.** Maximum amplitude of cold events

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	-2.31 (-2.13) [-2.42]		0.1439 (0.0459) [0.1452]	
4×CO ₂	-1.86 (-1.37) [-1.91]	0.45 (0.76) [0.51]		-19* (-36*) [-21*]
G1	-2.26 (-2.55) [-2.62]	0.05 (-0.42) [-0.20]		-2 (+20*) [+8]

9 Key: Niño3 (Niño4) [Niño3.4]; *99 % cl; **95 % cl

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11 **Table 4.** Niño3 SST skewness

Experiment	Skewness	Difference w.r.t. piControl	Std. Dev. 10,000 Realizations	~ Change w.r.t. piControl (%)
piControl	0.52*		0.0542	
4×CO ₂	-0.47*	-0.99		-190*
G1	0.18*	-0.34		-65*

12 Key: *99 % cl; **95 % cl

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