

1 **Tropical Pacific Climate Variability under Solar Geoengineering: Impacts on ENSO**
2 **Extremes**

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17 **Abstract**

18 Many modelling studies suggest that the El Niño Southern Oscillation (ENSO), in interaction
19 with the tropical Pacific background climate, will change with rising atmospheric greenhouse
20 gas concentrations. Solar geoengineering (reducing the solar flux from outer space) has been
21 proposed as a means to counteract anthropogenic climate change. However, the effectiveness
22 of solar geoengineering concerning a variety of aspects of Earth's climate is uncertain. Robust
23 results are particularly challenging to obtain for ENSO because existing geoengineering
24 simulations are too short (typically ~50-yrs) to detect statistically significant changes in the
25 highly variable tropical Pacific background climate. We here present results from a 1000-year
26 long solar geoengineering simulation, G1, carried out with the coupled atmosphere-ocean
27 general circulation model HadCM3L. In agreement with previous studies, reducing the solar
28 irradiance (4 %) to offset global mean surface warming in the model more than compensates
29 the warming in the tropical Pacific that develops in the 4×CO₂ scenario. We see an
30 overcooling of 0.3°C and a 0.23-mm day⁻¹ (5 %) reduction in mean rainfall over tropical
31 Pacific relative to preindustrial conditions in the G1 simulation, owing to the different
32 latitudinal distributions of the shortwave (solar) and longwave (CO₂) forcings. The location
33 of the Intertropical Convergence Zone (ITCZ) in the tropical Pacific, which moved 7.5°
34 southwards under 4×CO₂, is restored to its preindustrial position. However, other aspects of
35 the tropical Pacific mean climate are not reset as effectively. Relative to preindustrial
36 conditions, in G1 the time-averaged zonal wind stress, zonal sea surface temperature (SST)
37 gradient, and meridional SST gradient are each statistically significantly reduced by around
38 10 %, and the Pacific Walker Circulation (PWC) is consistently weakened resulting in
39 conditions conducive to increased frequency of El Niño events. The overall amplitude of
40 ENSO strengthens by 9-10 % in G1, but there is a 65 % reduction in the asymmetry between
41 cold and warm events: cold events intensify more than warm events. Notably, the frequency
42 of extreme El Niño and La Niña events increases by ca. 60 % and 30 %, respectively, while
43 the total number of El Niño events increases by around 10 %. All of these changes are

1 statistically significant either at 95 or 99 % confidence level. Somewhat paradoxically, while
2 the number of total and extreme events increases, the extreme El Niño events become weaker
3 relative to the preindustrial state while the extreme La Niña events become even stronger.
4 That is, such extreme El Niño events in G1 become less intense than under preindustrial
5 conditions, but also more frequent. In contrast, extreme La Niña events become stronger in
6 G1, which is in agreement with the general overcooling of the tropical Pacific in G1 relative
7 to preindustrial conditions.

8 **1 Introduction and Background**

9 Since the industrial revolution, anthropogenic emissions of Greenhouse Gases (GHGs) have
10 led to globally increasing surface temperatures (Stocker 2013). Higher temperatures, in turn,
11 and more generally a rapidly changing climate, can have adverse effects on humans, plants,
12 and animals through changes in various ecosystems, rising sea levels, melting glaciers, and
13 could significantly impact the frequency and intensity of extreme weather events (Moore et
14 al., 2015). Various strategies, principally a reduction of GHG emissions and enhancements of
15 carbon dioxide sinks (Pachauri et al., 2014), have been proposed to mitigate anthropogenic
16 climate change. Another group of strategies involves the intentional modification of Earth's
17 radiation balance on a global scale, known as solar geoengineering (Crutzen 2006; Wigley
18 2006; Curry et al., 2014). For any serious consideration of such geoengineering strategies, it
19 is essential to understand their potential perils as well as benefits. One route to study the
20 potential impacts of geoengineering on various components of Earth's climate system (e.g.,
21 atmosphere, ocean, cryosphere, etc.) is through employing state-of-the-art coupled
22 atmosphere-ocean general circulation models (AOGCMs).

23 In this context, Kravitz et al. (2011) proposed the Geoengineering Model Intercomparison
24 Project (GeoMIP), which initially consisted of a set of four experiments (viz. G1, G2, G3,
25 and G4). These experiments are designed to investigate the effects of geoengineering on the
26 regional and global climate when it is implemented to offset the annual mean global radiative
27 forcing at the top of the Earth's atmosphere introduced by GHGs. These experiments are
28 collectively called Solar Radiation Management (SRM) or solar geoengineering (Kravitz et
29 al., 2013a). In the G1 experiment, atmospheric CO₂ is instantaneously quadrupled, but the
30 global GHG-induced longwave radiative effects are offset by a simultaneous reduction in the
31 shortwave Total Solar Irradiance, TSI, (Kravitz et al., 2011). In terms of radiative forcing, the
32 quadrupling of CO₂ is similar to the year 2100 in the RCP8.5 emission scenario
33 (Representative Concentration Pathway with a radiative forcing of 8.5 W m⁻² by the year
34 2100; Schmidt et al., 2012). In this paper, we focus on the G1 experiment to investigate how
35 effectively solar geoengineering could mitigate the effects of substantial changes in
36 atmospheric CO₂ on the tropical Pacific climate.

37 The El Niño Southern Oscillation (ENSO) is an important coupled ocean-atmosphere mode
38 of interannual variability in the tropical Pacific (Park et al., 2009; Vecchi and Wittenberg
39 2010), which affects both regional and global climate (see Ropelewski and Halpert 1987;
40 Bove et al., 1998; Malik et al., 2017). ENSO oscillates between a warm, El Niño, and a cold,
41 La Niña, phase every 2-7-year (Santoso et al., 2017). As diagnosed from Sea Surface

1 Temperature (SST) indices in state-of-the-art AOGCMs, there was no intermodel consensus
2 about change in frequency of ENSO events and amplitude in a warming climate (Vega-
3 Westhoff and Sriver 2017; Yang et al., 2018) until Cai et al. (2018) used SST indices based
4 on Principal Component Analysis (PCA). However, before that, Cai et al. (2014 and 2015b)
5 also showed evidence of a doubling of El Niño and La Niña events in the Coupled Model
6 Intercomparison Project (CMIP) phases 3 (A2 scenario) and 5 (RCP8.5) by investigating a
7 performance-based subset of models using rainfall-based ENSO indices instead of SST-based
8 indices. Similarly, Wang et al. (2017) also reported a doubling of extreme El Niño events,
9 relative to the preindustrial level, in the RCP2.6 transient scenario a century after stabilization
10 of global mean temperature. Chen et al. (2017), analyzing 20 CMIP5 models (RCP8.5), found
11 both strengthening (in 6 models) and weakening (in 8 models) of ENSO amplitude. However,
12 Cai et al. (2018) later found robust evidence of a consistent increase in El Niño amplitude in
13 the subset of CMIP5 climate models, which were capable of reproducing both eastern and
14 central Pacific ENSO modes. In summary, changes in ENSO characteristics such as
15 amplitude and ENSO extremes are projected in a warming climate (e.g., Cai et al., 2014,
16 2015b, 2018; Kim et al., 2014; Wang et al., 2018).

17 Increasing GHGs have distinct effects on the tropical Pacific mean climate. In CMIP3 and
18 CMIP5 simulations, the equatorial tropical Pacific consistently shows a significant mean
19 warming response to increased GHG forcing (van Oldenborgh et al., 2005; Collins et al.,
20 2010; Vecchi and Wittenberg 2010; Huang and Ying 2015; Luo et al., 2015). CMIP 3 and
21 CMIP5 models generally show more warming on than off-equatorial tropical Pacific (Liu et
22 al., 2005; Collins et al., 2010; Cai et al., 2015a). Consistent with these warming patterns,
23 studies typically found a weakening of zonal SST gradient (ZSSTG), Pacific Walker
24 Circulation (PWC), zonal wind stress, and a shoaling of the equatorial tropical Pacific
25 thermocline (see van Oldenborgh et al., 2005; Latif et al., 2009; Park et al., 2009; Yeh et al.,
26 2009; Collins et al., 2010; Kim et al., 2014; Cai et al., 2015a; Zhou et al., 2015; Coats and
27 Karnauskas 2017; Vega-Westhoff and Sriver 2017). Changes in the mean state of the tropical
28 Pacific can bring about variations in ENSO properties such as amplitude, frequency, and
29 spatial pattern (Collins et al., 2010; Vecchi and Wittenberg 2010; Cai et al., 2015a).

30 We note that a previous study by Guo et al. (2018) found no statistically significant change in
31 the intensity of Walker Circulation in GeoMIP models when comparing preindustrial
32 simulations to the G1 experiment. Similarly, Gabriel and Robock (2015) found no
33 statistically significant change in frequency and amplitude of ENSO events under both global
34 warming and geoengineering scenarios in 6 GeoMIP models that captured ENSO variability
35 best. However, these authors themselves highlighted the length of their simulations (~50
36 years) as a key constraint for their studies. They suggested that long term simulations (>50
37 years) would be required to detect possible ENSO changes. Guo et al. (2018) concluded that
38 60 or more years of model simulations are required to detect changes in the PWC, while
39 Vecchi et al. (2006) and Vecchi and Soden (2007) argue that 130-yr are necessary to identify
40 any robust change in the PWC (Gabriel and Robock 2015). Similarly, Stevenson et al. (2010)
41 estimated that 250 years are needed to detect changes in ENSO variability with a statistical
42 significance of 90 %. Here we aim to address this gap in the literature and establish a baseline

1 for future studies through the analysis of long-term (1000 year) simulations of a single
2 climate model.

3 Here, we employ three 1000-year long climate model simulations (preindustrial forcing,
4 abrupt-4xCO₂ forcing, and G1) to estimate the efficacy of solar geoengineering in resetting
5 the tropical Pacific circulation. Specifically, we investigate: (1) if solar geoengineering can
6 mitigate the changes in mean tropical Pacific climate found in previous GHG warming
7 studies, and even bring it back to the preindustrial conditions; (2) if ENSO frequency and
8 amplitude are different under G1 conditions than under preindustrial simulations; and (3) if
9 the G1 experiment reduces the increase in the frequency of extreme ENSO events, as shown
10 by Cai et al. (2014, 2015b and 2018), under increased GHG forcing, relative to the
11 preindustrial state. For this purpose, we are primarily interested in the more subtle differences
12 in climate between G1 and preindustrial conditions, but also consider the profound changes
13 under 4xCO₂ where, by design, the global mean surface temperature is much higher, and thus
14 many other climate aspects vastly differ from the other two scenarios.

15 Section 2 describes the climate model HadCM3L, the data and the statistical methods used to
16 detect changes in tropical Pacific and ENSO variability. The same section also evaluates the
17 capability of HadCM3L to model ENSO. Section 3 evaluates the response of a list of metrics
18 used to understand how the mean state and ENSO variability are affected in different
19 experiments (preindustrial, 4xCO₂, G1). Section 4 elaborates on the mechanism of ENSO
20 variability under GHG forcing and solar geoengineering for the given model system. Finally,
21 Section 5 presents the discussion and conclusions.

22 **2 Data and methods**

23 **2.1 Climate model**

24 HadCM3L (Cox et al., 2000) has a horizontal resolution of 2.5° latitude × 3.75° longitude
25 (~T42) with 19 (L19) atmospheric and 20 (L20) ocean levels. HadCM3L stems from the
26 family of HadCM3 climate models; the only difference is lower ocean resolution (HadCM3:
27 1.25° × 1.25°; Valdes et al., 2017). In HadCM3L, land surface processes are simulated by the
28 MOSES-2 module (Essery and Clark 2003; Cao et al., 2016). HadCM3L does not include an
29 interactive atmospheric chemistry scheme and thus does not consider effects of ozone
30 changes on ENSO amplitude and surface warming under 4xCO₂ (e.g., Nowack et al., 2015;
31 2017, 2018) or G1 (e.g., Nowack et al., 2016). Instead, we use preindustrial background
32 ozone climatology, prescribed on pressure levels. In section 2.4, we evaluate the ability of
33 HadCM3L to model ENSO. We acknowledge that some of our results will necessarily be
34 model-dependent, and underline the need for similar studies with other climate models. Still,
35 by using much longer simulations than used previously, our results provide statistical
36 robustness for the given model system.

37 **2.2 Simulations and observational data**

38 Here, we use HadCM3L simulations carried out by Cao et al. (2016). To achieve a quasi-
39 equilibrium preindustrial climate state, the model was spun up for 3000 years with constant

1 CO₂ concentrations (280 ppmv; parts per million by volume) and TSI (1365 W m⁻²). Then,
2 three 1000-year long experiments were carried out, starting from this preindustrial climate
3 state. These experiments are: (1) the preindustrial control (piControl) experiment with
4 constant values of CO₂ (280 ppmv) and TSI (1365W m⁻²); (2) a quadrupled CO₂ (4×CO₂)
5 experiment in which CO₂ is suddenly increased to 1120 ppmv; and (3) sunshade
6 geoengineering (G1) experiment where the radiative effects of the instantaneously
7 quadrupled CO₂ are offset by simultaneously reducing TSI (by 4 %). All experiments follow
8 the GeoMIP protocol (see Kravitz et al., 2011); the only difference being that simulations
9 were run for 1000 years (see Cao et al., 2016) instead of 50 years as in GeoMIP.

10 The monthly SST dataset from HadISST (1° latitude × 1° longitude; Rayner et al., 2003) and
11 the rainfall data from the Global Precipitation Climatology Project (GPCP; Adler et al., 2003)
12 version 2.3 (2.5° latitude × 2.5° longitude) over the period 1979-2017 are used to provide
13 observational constraints and to identify the rainfall threshold to be used for defining extreme
14 El Niño events. Further, we use ERA5 reanalysis data (Copernicus Climate Change Service
15 (C3S), 2017) covering years 1979-2019 to evaluate the capability of HadCM3L to simulate
16 ENSO variability. ERA5 has a horizontal resolution of 0.25° latitude × 0.25° longitude.
17 Specifically, we use monthly mean surface latent heat flux (lh), sensible heat flux (sh), net
18 shortwave radiation flux (sw), net longwave radiation flux (lw), ocean temperature, and zonal
19 and meridional components of wind stress.

20 **2.3 Definitions and statistical tests**

21 We analyze changes in the tropical Pacific (25° N-25° S; 90° E-60° W) mean climate. We
22 present climatologies for SSTs, rainfall, Intertropical Convergence Zone (ITCZ), vertical
23 velocity averaged between 500 and 100 hPa (Omega500-100), PWC, zonal wind stress, zonal
24 and meridional SST gradients (ZSSTG and MSSTG, respectively), and thermocline depth.
25 We calculate mean climatological differences for all these variables simulated under 4×CO₂
26 and G1 relative to piControl and assess their statistical significance using non-parametric
27 Wilcoxon signed-rank and Wilcoxon rank-sum tests (Hollander and Wolfe 1999; Gibbons
28 and Chakraborti 2011). All analyses are performed on re-gridded (2° longitude × 2.5°
29 latitude) HadCM3L output for model years 11 to 1000 unless otherwise stated. The first 10
30 years are skipped to remove the initially significant atmospheric transient effects stemming
31 from instantaneously increasing CO₂ (see Kravitz et al., 2013b; Hong et al., 2017). Since
32 ENSO events peak in boreal winter (December-January-February; DJF; Cai et al., 2014;
33 Gabriel and Robock 2015; Santoso et al., 2017), the entire analysis is performed for DJF,
34 unless otherwise stated. Accordingly, we also analyze mean state changes in the tropical
35 Pacific during boreal winter.

36 Both rainfall and SST-based ENSO indices are used in the present study. Niño3 (5° N-5° S;
37 150° W-90° W) and Niño4 (5° N-5° S; 160° E-150° W) indices are defined by averaging SST
38 over corresponding ENSO regions. Normalized ENSO anomalies (i.e., the ENSO indices) are
39 calculated relative to piControl mean and standard deviation (s.d.) and are quadratically
40 detrended before analysis. The Niño3 index is chosen for studying the characteristics of
41 extreme El Niño events since during an extreme El Niño event, following the highest SSTs,

1 convective activity moves towards the eastern Pacific, and the ITCZ moves over the Niño3
2 region resulting in rainfall higher than 5mm day^{-1} (Cai et al., 2014). Similar to Cai et al. 2014,
3 events with Niño3 rainfall greater than 5mm day^{-1} are considered extreme El Niño events,
4 whereas events with Niño3 SST index greater than 0.5 s.d. and Niño3 rainfall less than 5mm
5 day^{-1} are defined as moderate events unless otherwise stated. The Niño4 index is chosen for
6 studying the characteristics of extreme La Niña events since maximum cold temperatures
7 occur in this region (Cai et al., 2015a, 2015b). La Niña extreme ($\text{Niño4} < -1.75\text{ s.d.}$),
8 moderate ($-1 > \text{Niño4} > -1.75$), and weak ($-0.5 > \text{Niño4} > -1$) events are defined following
9 Cai et al. (2015b). These definitions classify the 1988 and 1998 La Niñas in observations as
10 extreme events (see Cai et al., 2015b), and HadCM3L can reproduce such extreme anomalies
11 (see Sect. 3.2), which allows us to study changes in their number and magnitude.

12 To understand the mechanisms responsible for changes in ENSO variability, we have
13 calculated ENSO feedbacks (e.g., Bjerkness (BJ) and heat flux (hf) feedbacks) and ocean
14 stratification. BJ feedback is an equatorial zonal wind stress dynamic response to equatorial
15 SST anomalies. It is positive feedback that maintains the ZSSTG (Lloyd et al., 2011). Here,
16 we calculate the BJ feedback by point-wise linear regression (Bellenger et al., 2014) of the
17 zonal wind stress anomalies over the entire equatorial Pacific ($5^\circ\text{ N}-5^\circ\text{ S}; 120^\circ\text{ E}-80^\circ\text{ W}$; Kim
18 et al., 2011; Ferret et al., 2019) onto the eastern equatorial Pacific ($5^\circ\text{ N}-5^\circ\text{ S}; 180^\circ\text{ W}-80^\circ\text{ W}$;
19 Kim et al., 2011; Ferret et al., 2019) SST anomalies. We then define the BJ feedback as the
20 mean regression coefficient (Bellenger et al., 2014) over the eastern equatorial Pacific region.
21 The hf feedback is a regression coefficient calculated by point-wise linearly regressing the
22 net surface heat flux (sum of sw, lw, lh, and sh) anomalies into the ocean onto the SST
23 anomalies over the eastern equatorial Pacific ($5^\circ\text{ N}-5^\circ\text{ S}; 180^\circ\text{ W}-80^\circ\text{ W}$; Kim and Jin 2011a).
24 This regression coefficient is also termed as a thermal damping coefficient (Kim and Jin
25 2011a). It is a negative feedback in which an initial positive SST anomaly causes a reduced
26 surface net heat flux into the ocean, thus lessening the initial SST anomaly (Lloyd et al.,
27 2011). Ocean stratification is defined as the difference in the volumetric average of ocean
28 temperatures over the upper 67 m, and the temperature of a single ocean layer at 95 m, both
29 spatially averaged over the region, $5^\circ\text{ N}-5^\circ\text{ S}; 150^\circ\text{ E}-140^\circ\text{ W}$, where strong zonal wind stress
30 anomalies also occur (see Fig. 4a and Fig. S1; Cai et al., 2018).

31 Following Cai et al. (2014), the statistical significance of the change in the frequency of
32 ENSO events is tested using a bootstrap method with 10,000 realizations for the piControl
33 data. We then find the s.d. of events over these 10,000 realizations. If the difference of events
34 of piControl with $4\times\text{CO}_2$ and G1 is larger than 2 s.d., the change in frequency is considered
35 statistically significant. The same method is used for testing the statistical significance of a
36 change in ENSO amplitude, ZSSTG, MSSTG, ENSO amplitude asymmetry, ENSO
37 feedbacks, and ocean stratification. All changes in $4\times\text{CO}_2$ and G1 are described relative to
38 piControl.

39 **2.4 ENSO representation in HadCM3L**

40 Before employing HadCM3L for studying ENSO variability under $4\times\text{CO}_2$, and G1, we
41 evaluate its piControl simulation against present-day observational data. There is a non-linear

1 relationship between tropical Pacific SST and rainfall (Ham 2017), which can be diagnosed
2 by Niño3 region rainfall skewness (Cai et al., 2014). Skewness is a measure of asymmetry
3 around the mean of the distribution (see eq. S1). Positive skewness means that in given data
4 distribution, the tail of the distribution is spread out towards high positive values, and vice
5 versa (Ghandi et al., 2016). The skewness criterion is used to exclude climate models
6 simulating overly wet or dry conditions over the Niño3 region (Cai et al., 2017). During
7 extreme El Niño events, the ITCZ moves equatorward, causing significant increases in
8 rainfall ($> 5 \text{ mm day}^{-1}$) over the eastern equatorial Pacific that skews the statistical
9 distribution of rainfall in the Niño3 region. Thus, for studying extreme ENSO events, the
10 model should be capable of simulating Niño3 rainfall above 5 mm day^{-1} and Niño3 rainfall
11 skewness of greater than 1 over the entire simulated period (see our Sect. 3.2.2, and Cai et al.,
12 2014 and 2015b). With a Niño3 rainfall skewness of 2.06 for piControl, HadCM3L fulfils
13 this criterion.

14 In addition, we evaluate the ENSO modelled by HadCM3L following a principal component
15 (PC) approach suggested by Cai et al. (2018). Considering distinct eastern and central Pacific
16 ENSO regimes based on Empirical Orthogonal Function (EOF) analysis, they found that
17 climate models capable of reproducing present-day ENSO diversity show a robust increase in
18 eastern Pacific ENSO amplitude in a greenhouse warming scenario. Specifically, the
19 approach assumes that any ENSO event can be represented by performing EOF analysis on
20 monthly SST anomalies and combining the first two principal patterns (Cai et al., 2018). The
21 first two PCs time series, PC1 and PC2, show a non-linear relationship in observational
22 datasets (Fig. S1m). Climate models that do not show such a non-linear relationship cannot
23 satisfactorily reproduce ENSO diversity, and hence are not sufficiently skilful for studying
24 ENSO properties (Cai et al., 2018). Here, we perform EOF analysis on quadratically
25 detrended monthly SST and wind stress anomalies of ERA5 and piControl over a consistent
26 period of 41-year. We evaluate HadCM3L's ability to simulate two distinct ENSO regimes
27 and the non-linear relationship between the first two PCs, i.e., $PC2(t) = \alpha[PC1(t)]^2 +$
28 $\beta[PC1(t)]^2 + \gamma$ (Fig. S1). From ERA5, $\alpha = -0.36$ (statistically significant at 99 % confidence
29 level, hereafter “cl”) whereas in piControl $\alpha = -0.31$ (99 % cl), which is same as the mean $\alpha =$
30 -0.31 value calculated by Cai et al. (2018) averaged over five reanalysis datasets. The 1st and
31 2nd EOF patterns of monthly SST and wind stress anomalies of piControl (Fig. S1 b, e) are
32 comparable with that of ERA5 (Fig. S1 a, d). EOF1 of piControl shows slightly stronger
33 warm anomalies in the eastern equatorial Pacific, whereas negative anomalies over the
34 western Pacific are slightly weaker compared to ERA5. In EOF1, the stronger wind stress
35 anomalies occur to the west of the Niño3 region, which is a characteristic feature during the
36 eastern Pacific El Niño events (see Kim and Jin 2011a). Compared to ERA5, the spatial
37 pattern of warm eastern Pacific anomalies is slightly stretched westwards, and wind stress
38 anomalies are relatively stronger over the equator and South Pacific Convergence Zone
39 (SPCZ). The 2nd EOF, in both ERA5 and piControl, shows warm SST anomalies over the
40 equatorial central Pacific Niño4 region. The variance distributions for ERA5 and HadCM3L
41 match well for EOF1 (ERA5: 82 %, piControl: 90 %) whereas a large difference exist for
42 EOF2 (ERA5: 18 %, piControl: 10 %).

1 The PCA is also useful for evaluating how well HadCM3L represents certain types of ENSO
2 events. Eastern and central Pacific ENSO events can be described by an E-Index ($(PC1-$
3 $PC2)/\sqrt{2}$), which emphasizes maximum warm anomalies in the eastern Pacific region, and a
4 C-Index $(PC1+PC2)/\sqrt{2}$) respectively, which focuses on maximum warm anomalies in the
5 central Pacific (Cai et al., 2018). Here, we show the eastern Pacific (EP) Pattern (Fig. S1 g, h)
6 and central Pacific (CP) pattern (Fig. S1 j, k) by linear regression of mean DJF E- and C-
7 Index, respectively, onto mean DJF SST and wind stress anomalies. We find that model's EP
8 and CP patterns agree reasonably well with that of ERA5. HadCM3L underestimates the E-
9 index skewness (1.16) whereas overestimates the C-Index skewness (-0.89) compared to
10 ERA5 (2.08 and -0.58 respectively) averaged over DJF. HadCM3L's performance averaged
11 over the entire simulated period of piControl is also consistent with ERA5 (Fig. S1; α : -0.32,
12 EOF1: 64 %, EOF2, 8%, E-index skewness: 1.30, C-index skewness: -0.42). In general, in
13 HadCM3L, the contrast between the E- and C-index skewness over the entire simulated
14 period is sufficient enough to differentiate relatively strong warm (cold) events in the eastern
15 (central) equatorial Pacific compared to the central (eastern) equatorial Pacific. Finally, we
16 also evaluated the hf and BJ feedbacks which, for piControl, are very similar to those of
17 ERA5 (Table S5-6).

18 We conclude that HadCM3L has a reasonable skill for studying long-term ENSO variability
19 and its response to solar geoengineering. However, we also highlight the need for and hope to
20 motivate future modelling studies that will help identify model dependencies in the ENSO
21 response.

22 **3 Results**

23 **3.1 Changes in the tropical Pacific mean state**

24 In this section, we analyze several significant changes in the tropical Pacific mean state under
25 $4\times CO_2$ and G1. In particular, we look into meridional and zonal SST changes, corresponding
26 surface wind responses, and coupled variations in the thermocline depth. Our analysis reveals
27 that this leads to significant changes in the precipitation climatology among the simulations.
28 Finally, we find consistent effects on the PWC. All these results are important not just as
29 general climatic features but also because they are mechanistically linked to changes in
30 ENSO extremes discussed in detail in Sect. 3.2.

31 **3.1.1 Sea surface temperature**

32 Tropical Pacific SSTs are spatially asymmetric along the equator. The western equatorial
33 Pacific (warm pool) is warmer on average than the eastern equatorial Pacific (cold tongue)
34 (Vecchi and Wittenberg 2010). The piControl simulation (Fig. 1a) reproduces the SST
35 asymmetry between the western and eastern equatorial Pacific well (cf. Fig 1a in Vecchi and
36 Wittenberg 2010). Under $4\times CO_2$, the SST zonal asymmetry is significantly reduced (Fig. 1b),
37 and the entire equatorial tropical Pacific shows a warming state (e.g., Meehl and Washington
38 1996; Boer et al., 2004). The solar dimming in G1 largely offsets the warming seen under
39 $4\times CO_2$ and brings the tropical Pacific mean SSTs close to the preindustrial state (Fig. 1c).
40 The SPCZ, where the highest SSTs of the warm pool occur (Cai et al., 2015a; blue line in

1 Fig. 1a), moves towards the equator under 4xCO₂ (blue line, Fig. 1b), but returns to
2 approximately its preindustrial position in G1 (Fig. 1c).

3 The tropical Pacific is 3.90 °C warmer in 4xCO₂ but 0.30 °C colder in G1, with both
4 differences being significant at the 99 % cl (see Fig. 1d-e, Table S1). The Pacific cold tongue
5 warms more rapidly than the Pacific Warm Pool under 4xCO₂. In contrast, in G1, a stronger
6 cooling occurs in the Pacific Warm Pool and the SPCZ than in the cold tongue region. The
7 Pacific Warm Pool is ~0.4-0.6 °C colder in G1, whereas the east Pacific cools less (~-0.2 °C
8 in the Niño3 region), indicating a change in SST asymmetry under G1.

9 Our SST results under 4xCO₂ qualitatively agree with previous studies (Liu et al., 2005; van
10 Oldenborgh et al., 2005; Collins et al., 2010; Vecchi and Wittenberg et al., 2010; Cai et al.,
11 2015a; Huang and Ying et al., 2015; Luo et al., 2015; Kohyama et al., 2017; Nowack et al.,
12 2017). Overcooling of the tropics (and as such, the tropical Pacific) is a robust signal in G1
13 simulations, even short ones, simply due to the different meridional distribution of shortwave
14 and longwave forcing (Govindasamy and Caldeira 2000; Lunt et al., 2008; Kravitz et al.,
15 2013b; Curry et al., 2014; Nowack et al., 2016). The results presented here based on a long
16 simulation not only corroborate previously published findings but also statistically
17 demonstrate that under G1, the Warm Pool and SPCZ cool faster than the cold tongue.

18 **3.1.2 Precipitation**

19 In the tropical Pacific, there are three dominant bands of rainfall activity: one in the western
20 Pacific Warm Pool, one in the SPCZ, and the last one along the ITCZ situated at around 8° N
21 and 150° W-90° W. Further, the eastern equatorial Pacific is relatively dry compared with
22 these three rainy bands (cf. Fig. 2a Sun et al. 2020). Under piControl, HadCM3L simulates
23 these spatial rainfall patterns well, with maxima of ~6-8, ~12-14, and ~8-10 mm day⁻¹ over
24 the Pacific Warm Pool, the SPCZ, and the ITCZ, respectively (Fig. 2a). Under 4xCO₂, the
25 spatial rainfall pattern changes significantly. The ITCZ moves equatorward, and the SPCZ
26 becomes zonally oriented (blue line, Fig. 2b). The rainfall asymmetry between the western
27 and eastern equatorial Pacific decreases under 4xCO₂. Precipitation migrates from the west
28 Pacific to the Niño3 region, with maximum rainfall at ~145° W. The reduced zonal
29 asymmetry in the rainfall between western and eastern Pacific is effectively restored to the
30 preindustrial state in G1 (Fig. 2c).

31 A statistically significant (99 % cl) overall precipitation increase of 0.21 mm day⁻¹ (+5 %) is
32 seen over the tropical Pacific under 4xCO₂ (Fig. 2d). In contrast, the mean rainfall in G1
33 decreases by 0.23 mm day⁻¹ (-5 %; Fig. 2e), consistent with the simulated reduction in
34 temperature (-0.30 °C) over the tropical Pacific. However, there is a strong regional structure:
35 under 4xCO₂, rainfall decreases to a maximum of ~3 mm day⁻¹ over parts of the Pacific
36 Warm Pool and off-equatorial regions, whereas a significant increase of ~15-18 mm day⁻¹
37 develops over the Niño3 region. An overall increase in mean rainfall under the GHG
38 warming scenario has also been reported in many previous studies (e.g., Watanabe et al.,
39 2012; Chung et al., 2014; Power et al., 2013; Nowack et al., 2016). Under G1, rainfall
40 decreases over the Pacific Warm Pool, SPCZ, and ITCZ regions. In contrast, rainfall

1 increases significantly over most parts of central and eastern equatorial Pacific, with a
2 maximum ($\sim 1.5\text{-}2 \text{ mm day}^{-1}$) centred at $\sim 150^\circ \text{ W}$ (Fig. 2e). Kravitz et al. (2013b) reported a
3 decrease of 0.2 mm day^{-1} over the tropical regions. Under G1, the magnitude of the lapse rate
4 decreases, resulting in increased atmospheric stability and hence suppressed convection,
5 which leads to an overall reduction of rainfall over the tropics (Bala et al., 2008; Kravitz et
6 al., 2013b).

7 The position of the ITCZ over the tropical Pacific ($25^\circ \text{ N}\text{-}25^\circ \text{ S}$; $90^\circ \text{ E}\text{-}60^\circ \text{ W}$) is calculated by
8 finding the latitude of maximum rainfall (blue lines, Fig. 2a-e). The median position of this
9 maximum ITCZ (from $154^\circ \text{ W}\text{-}82^\circ \text{ W}$) is 7.5° N , 0° , and 7.5° N under piControl, $4\times\text{CO}_2$, and
10 G1, respectively. Thus, under $4\times\text{CO}_2$, the ITCZ mean position shifts over the equator and is
11 positioned within the Niño3 region. G1 restores the ITCZ and SPCZ to their preindustrial
12 orientations. Still, differences in the magnitude of rainfall persist over these regions, as well
13 as over the Pacific Warm Pool (Fig. 2a, c, e). That is, while the relative additional rainfall
14 asymmetry between the western and eastern Pacific in $4\times\text{CO}_2$ is mostly resolved in G1, the
15 tropical Pacific is overall wetter under $4\times\text{CO}_2$ but drier in G1.

16 **3.1.3 Zonal wind stress**

17 Changes in zonal wind stress are directly dependent on and interact with ENSO amplitude
18 (Guilyardi 2006), ENSO period (Zelle et al., 2005; Capotondi et al., 2006), and ZSSTG (Hu
19 and Fedorov 2016). A positive feedback loop between zonal wind stress, SST, and
20 thermocline depth influences the evolution of ENSO (Philip and van Oldenborgh 2006). A
21 decrease in the strength of the trade winds is concurrent with a flattening of the thermocline,
22 a reduction of upwelling in the eastern Pacific, and increased SST in the eastern relative to
23 the western equatorial Pacific, thus resulting in further weakening of the trade winds (Collins
24 et al., 2010). We use the zonal wind stress index, Westerly Wind Bursts (WWBs), and
25 Easterly Wind Bursts (EWBs) to study the wind stress over the tropical Pacific. The zonal
26 wind stress index is defined as the wind stress averaged over the equatorial tropical Pacific
27 ($5^\circ \text{ N}\text{-}5^\circ \text{ S}$; $120^\circ \text{ E}\text{-}80^\circ \text{ W}$), whereas selecting only the positive (negative) values of the wind
28 stress over the same region defines the WWBs (EWBs) (Hu and Fedorov 2016).

29 We find that the zonal wind stress is significantly reduced over most parts of the tropical
30 Pacific, especially over the Niño3 region in both $4\times\text{CO}_2$ and G1 (Fig. 3a-e), in agreement
31 with the reduced zonal SST gradients in both scenarios (Fig. 1). The zonal wind stress
32 weakens by 31 % and 10 % in $4\times\text{CO}_2$ and G1 (statistically significant at 99 % cl; Fig. 4a),
33 respectively. We also see a considerable weakening of zonal wind stress over the Niño3
34 region, both under $4\times\text{CO}_2$ and G1. The strength of WWBs increases by 13 % under G1
35 relative to piControl (99 % cl), while the EWBs decrease in strength by 7 % (99 % cl). In
36 comparison, the strength of both the WWBs and EWBs is reduced (99 % cl) under $4\times\text{CO}_2$, by
37 33 % and 28 %, respectively. The strong WWBs are more closely linked to positive SST
38 anomalies than negative SST anomalies (Cai et al., 2015a) and thus are likely to increase the
39 frequency of extreme El Niño events (Hu and Fedorov 2016) in G1, which is important with
40 regards to the mechanistic interpretation of the ENSO changes below.

1 **3.1.4 Zonal and meridional sea surface temperature gradients**

2 The ZSSTG between western and eastern equatorial Pacific is one of the characteristic
3 features of the equatorial tropical Pacific. The ZSSTG is weak during an El Niño and strong
4 during La Niña events (Latif et al., 2009). The ZSSTG is calculated as the difference between
5 SST in the western Pacific Warm Pool (5° N-5° S; 100° E-126° E) and eastern equatorial
6 Pacific (Niño3 region: 5° N-5° S; 160° E-150° W). The zonal SST gradient is reduced both in
7 4xCO₂ and G1 (Fig. 4b, 99 % cl), but the reduction is smaller in G1 (11 %) than in 4xCO₂
8 (62 %). The reduced zonal SST asymmetry in 4xCO₂ and G1 is consistent with the
9 weakening of the trade winds and zonal wind stress, as noted in Sect. 3.1.3. The weakening
10 of trade winds can result in reduced upwelling in the eastern equatorial Pacific, and east to
11 west surface currents (Collins et al., 2010), leading to an increase in El Niño events. Our
12 results under 4xCO₂ are in agreement with Coats and Karnauskas (2017), who using several
13 climate models found a weakening of the ZSSTG under the RCP8.5 scenario.

14 MSSTG is calculated as the SST averaged over the off-equatorial region (5° N-10° N; 150°
15 W-90° W) minus SST averaged over the equatorial region (2.5° N-2.5° S; 150° W-90° W) (Cai
16 et al., 2014). Reversal of sign or weakening of the MSSTG has been observed during extreme
17 El Niño events, as the ITCZ moves over the equator (e.g., Cai et al., 2014). Overall there is a
18 change in sign and reduction of MSSTG in 4xCO₂ (~-111 %, 99 % cl) and only decrease in
19 G1 (~-9 %, 99 % cl) (Fig. S3, and Table S2). The decrease in strength of MSSTG is an
20 indication that extreme El Niño events are expected to increase (Cai et al., 2014) under solar
21 geoengineering. The weakening of the MSSTG is qualitatively in agreement with previous
22 studies under increased GHG forcings (e.g., Cai et al., 2014; Wang et al., 2017).

23 **3.1.5 Thermocline**

24 Previous studies (e.g., Vecchi and Soden 2007; Yeh et al., 2009) revealed shoaling as well as
25 a reduction in the east-west tilt of the equatorial Pacific thermocline under increased GHG
26 scenarios. A decrease in thermocline depth and slope is a dynamical response to reduced
27 zonal wind stress. Shoaling of the equatorial Pacific thermocline can result in positive SST
28 anomalies in the eastern tropical Pacific, which in turn can affect the formation of El Niño
29 (Collins et al., 2010).

30 Thermocline depth here is defined as the depth of the 20 °C (for piControl and G1), and 24 °C
31 (for 4xCO₂) isotherms averaged between 5° N and 5° S, following Phillip and van
32 Oldenborgh (2006). Due to surface warming in GHG scenarios, the 20 °C isotherm deepens
33 (Yang and Wang et al., 2009), and this must be compensated by using a warmer isotherm (24
34 °C) as a metric in the 4xCO₂ case.

35 In 4xCO₂, the tropical Pacific thermocline depth (24 °C isotherm) shoals by 22 % (99 % cl,
36 Fig. 4c), as expected from similar experiments (Vecchi and Soden 2007; Yeh et al., 2009).
37 However, there is no statistically significant change in the mean thermocline depth in G1. In
38 4xCO₂, most likely the weakened easterlies (as noticed in Sect. 3.1.3; e.g., Yeh et al., 2009,
39 Wang et al., 2017) and greater ocean temperature stratification due to increased surface
40 warming (see Sect. 4 and Cai et al., 2018) lead to a significant shoaling of the thermocline

1 across the western and central equatorial Pacific. In contrast, relatively little change takes
2 place between 130° W and 90° W. In a CMIP3 multimodel (SRESA1B scenario) ensemble,
3 Yeh et al. (2009) found a more profound deepening of the thermocline in this part of the
4 eastern equatorial Pacific; however, for example, Nowack et al. (2017) did not find such
5 changes under 4xCO₂ (cf. their Fig. S9). One possible explanation for this behaviour is the
6 competing effects of upper-ocean warming (which deepens the thermocline) and the
7 weakening of westerly zonal wind stress, causing thermocline shoaling (see Kim et al.
8 2011a).

9 **3.1.6 Vertical velocity and Walker circulation**

10 Under normal conditions, there is strong atmospheric upwelling over the western equatorial
11 Pacific, SPCZ, and ITCZ. In contrast, the relatively cold and dry eastern Pacific is dominated
12 by atmospheric downwelling. This process, as simulated in HadCM3L, can be seen in maps
13 of Omega500-100 (Fig. 5a). The region of ascent over the SPCZ and ITCZ moves
14 equatorward in 4xCO₂ (Fig 5b), consistent with the increase in SST and precipitation over the
15 equatorial region (Fig. 1d and 2d). The convective centre also moves towards the Niño3
16 region and centres at ~150°W. While these changes in spatial patterns of atmospheric
17 divergence and convergence are found to be corrected for G1 (Fig. 5c), significant
18 differences in the strength of the atmospheric circulation remain, which in turn are coupled to
19 the aforementioned changes in atmospheric stability. Specifically, both for 4xCO₂ and G1,
20 upwelling decreases over the Warm Pool, but increases in the central Pacific and the eastern
21 part of the Niño3 region (Fig. 5d-e). This picture is consistent with changes in the spatial
22 extent and a weakening of the tropical PWC (Fig. 6a-c). In 4xCO₂, the weakening and
23 shifting of circulation patterns are consistent with multimodel results reported by Bayr et al.
24 (2014) under GHG forcing. While mitigated, the PWC weakening found in G1 remains
25 highly statistically significant (99 % cl; Fig. 6d-e).

26 **3.2 ENSO amplitude and frequency**

27 In Sect. 3.1, we described a variety of coupled, and highly significant changes in the tropical
28 Pacific mean state, such as the weakening of zonal and meridional SST gradients, zonal wind
29 stress, and PWC. It is well-known that such changes can affect ENSO variability. This
30 section discusses various metrics used to characterize ENSO variability and unfolds how they
31 change in 4xCO₂ and G1. Specifically, we investigate the amplitude of ENSO, changes in
32 amplitude asymmetry between El Niño and La Niña events, and ENSO frequency.

33 **3.2.1 ENSO amplitude**

34 To characterize changes in ENSO, this study uses two separate indices for two different
35 regions, because extreme warm and cold events are not mirror images of each other (Cai et
36 al., 2015b). The Niño3 (Niño4) index is employed for studying characteristics of El Niño (La
37 Niña) events in the eastern (central) Pacific region. ENSO amplitude is defined as the
38 standard deviation of SST anomalies in a given ENSO region (e.g., Philip and van
39 Oldenborgh 2006; Nowack et al., 2017). The maximum amplitude of warm events is defined
40 as the maximum positive ENSO anomaly during the entire time series analysed (Gabriel and

1 Robock 2015). Cold events are defined similarly, but using the maximum negative ENSO
2 anomaly.

3 In $4\times\text{CO}_2$, both eastern and central Pacific ENSO amplitudes undergo a statistically
4 significant decrease (47 and 64 %, respectively, at 99 % cl, Table 1-2). The maximum
5 amplitude of warm events in the eastern Pacific and cold events in the central Pacific are also
6 significantly reduced (57 % and 36 % at 99 % cl, respectively; Table 3-4). Previous studies
7 found that climate models produced mixed responses (both increases and decreases in
8 amplitude) in terms of how ENSO amplitude change with global warming (see Latif et al.
9 2009; Collins et al. 2010; Vega-Westhoff and Sriviver 2017). However, Cai et al. (2018) found
10 an intermodel consensus, for models capable of reproducing ENSO diversity, for
11 strengthening of ENSO amplitude under A2, RCP4.5, and RPC8.5 transient scenarios. In
12 contrast, in G1, the eastern Pacific ENSO amplitude gets strengthened (9 % at 99 % cl), and
13 no statistically significant change is noticed in the central Pacific ENSO amplitude.

14 Further, the maximum amplitude of cold events is strengthened in the central Pacific (20 % at
15 99 % cl), but no statistically significant change occurs in the eastern Pacific. A validation of
16 these changes in ENSO amplitude using the E- and C-indices, as these indices represent SST
17 anomalies similar to those of Niño3 and Niño4 index (Cai et al. 2015a), yields indeed very
18 similar results (see Table 1-4). Thus, our simulations imply that significant changes can occur
19 in ENSO events under solar geoengineering. Mechanistically, it is self-evident that these
20 changes might be linked to the tropical Pacific SST overcooling of ca. 0.30 °C and the
21 substantial SST gradient changes under G1 relative to piControl.

22 However, the use of standard deviations to define ENSO amplitude is suboptimal, because
23 amplitudes of El Niño and La Niña events are asymmetric, i.e., in general, El Niño events are
24 stronger than La Niña events (An and Jin 2004; Schopf and Burgman 2006; Ohba and Ueda
25 2009; Ham 2017). The relative strength of ENSO warm and cold events can be measured by
26 the skewness of SST over the ENSO regions (Vega-Westhoff and Sriviver 2017). Following
27 Ham (2017), we investigate the asymmetry in the amplitude of El Niño and La Niña events
28 by comparing the skewness of detrended Niño3 SST anomalies in piControl with $4\times\text{CO}_2$ and
29 G1.

30 We find that, relative to piControl, the Niño3 SST skewness is reduced both in $4\times\text{CO}_2$ (190 %
31 at 99 % cl) and G1 (65 % at 99 % cl) (Table 5). The E-Index also indicates reduced skewness
32 under both $4\times\text{CO}_2$ (85 %) and G1 (28 %) at 99 % cl. The reduced skewness is further
33 illustrated in maps showing differences in skewness between $4\times\text{CO}_2$ and G1 with piControl
34 (Fig. S4). Over the eastern equatorial Pacific, the SSTs are transformed from positively to
35 negatively skewed under $4\times\text{CO}_2$ (Fig. S4b). Our results qualitatively agree with Ham (2017),
36 who found a 40 % reduction in ENSO amplitude asymmetry using several CMIP5 models in
37 the RCP4.5 scenario. In G1 (Fig. S4e), the skewness of SSTs is reduced over the eastern
38 equatorial Pacific, whereas it strengthens over the central equatorial Pacific region (at 99 %
39 cl). The strengthening of skewness over the central equatorial Pacific is also consistent with
40 increased C-Index skewness (66 % at 99 % cl) under G1 relative to piControl. Thus, due to
41 the concurrent strengthening of the maximum amplitude of cold events and reduction in the

1 asymmetry of SST skewness, the intensity of cold events is predicted to increase compared to
2 warm events under solar geoengineering.

3 **3.2.2 El Niño frequency**

4 To study changes in El Niño frequency, we first need to define what constitutes an El Niño
5 event. We here define extreme El Niño events as episodes when monthly-mean DJF Niño3
6 total rainfall exceeds 5 mm day^{-1} , following the threshold definition by Cai et al. (2014).
7 However, as pointed out by Cai et al. (2017), trends in Niño3 rainfall are mainly driven by
8 two factors: (1) the change in the mean state of the tropical Pacific and (2) the change in
9 frequency of extreme El Niño events. Therefore, since we want to focus on the changes in the
10 extremes, we need to remove contribution (1) from the raw Niño3 time series. We, therefore,
11 fit a quadratic polynomial to the time series of rainfall data from which all extreme El Niño
12 events (DJF total rainfall $> 5 \text{ mm day}^{-1}$) have been excluded and then subtract this trend from
13 the raw Niño3 rainfall time series. Linearly detrending the rainfall time series produces
14 similar results. Note that under piControl (observations), total rainfall of 5 mm day^{-1} is $\sim 85^{\text{th}}$
15 ($\sim 93^{\text{rd}}$) percentile in detrended Niño3 rainfall time series. Wang et al. (2020) termed events
16 with rainfall $> 5 \text{ mm day}^{-1}$ as extreme convective El Niño events.

17 With detrended Niño3 total rainfall exceeding 5 mm day^{-1} as an extreme, three extreme and
18 seven moderate El Niño events can be identified from the historical record between 1979 and
19 2017 (Fig. 7a). A statistically significant increase of 526 % (99 % cl) in extreme El Niño
20 events can be seen under $4\times\text{CO}_2$ (939 events) relative to piControl (150 events) (Fig. 7b-c).
21 The geoengineering of climate (G1) largely offsets the increase in extreme El Niño frequency
22 under $4\times\text{CO}_2$ (Fig. 7d), however, compared to piControl, still a 17 % increase in extremes
23 and a 12 % increase in the total number of El Niño events (moderate plus extreme) can be
24 seen at 95 % cl. Thus, an El Niño event occurring every $\sim 3.3\text{-yr}$ under preindustrial
25 conditions occurs every $\sim 2.9\text{-yr}$ under solar geoengineered conditions.

26 A threshold of detrended Niño3 total rainfall of 5 mm day^{-1} recognizes events as extremes
27 even when the MSSTG is positive and stronger, especially under $4\times\text{CO}_2$, which plausibly
28 means that ITCZ might not shift over the equator for strong convection to occur during such
29 extremes. The El Niño event of 2015 is a typical example of such events. We test our results
30 with a more strict criterion by choosing only those events as extremes, which have
31 characteristics similar to that of 1982 and 1997 El Niño events (i.e., Niño3 rainfall $> 5 \text{ mm}$
32 day^{-1} and MSSTG < 0). We declare events having characteristics similar to that of the 2015
33 event as moderate El Niño events (Fig. S5). Based on this method, we find a robust increase
34 in the number of extreme El Niño events both in $4\times\text{CO}_2$ (924 %) and G1 (61 %) at 99 % cl.
35 We also performed the same analysis by linearly detrending the rainfall time series and find
36 similar results (Fig. S6).

37 An alternative approach to quantifying extreme El Niño events is based on Niño3 SST index
38 $> 1.75 \text{ s.d.}$ as an extreme event threshold (Cai et al., 2014). We note that using this definition,
39 no statistically significant change in the number of extreme El Niño events is detected in G1
40 (61 events), whereas they reduced from 57 in piControl to zero events in $4\times\text{CO}_2$ highlighting

1 the dependency of specific results on the precise definition of El Niño events used. However,
2 relative to piControl, Niño3 SST index indicates a statistically significant increase (decrease)
3 of 12 % (46 %) in the frequency of the total number of El Niño events (Niño3 SST index >
4 0.5 s.d.) (Table S3) in G1 (4×CO₂). Further, we examine the change in extreme El Niño
5 events using E-Index > 1.5 s.d. (see Cai et al., 2018) as threshold. The SST based E-Index
6 identifies 79, 147, and 93 extreme El Niño events in piControl, 4×CO₂, and G1, respectively.
7 Thus using E-Index, extreme El Niño events increase by 86 % (99 % cl) and 17 % (missing
8 95 % cl by three events) in 4×CO₂ and G1, respectively. Based on the E-index definition, we
9 also see a statistically significant increase in the total number of El Niño events in 4×CO₂
10 (88%) and G1 (12 %) (Table S3). Note that Wang et al. (2020) showed that extreme
11 convective events can still happen even if the E-index is not greater than 5 mm day⁻¹ (cf.
12 Figure 2 in Wang et al. 2020).

13 We highlight that both in 4×CO₂ and solar geoengineered climate, more weak and reversed
14 MSSTG events occur relative to piControl (Fig. S3). More frequent reversals of MSSTG
15 result in a more frequent establishment of strong convection in the eastern equatorial Pacific.
16 According to Cai et al. (2014), more frequent convection over the eastern tropical Pacific
17 increases the sensitivity of rainfall by 25 % to positive SST anomalies. Further, in Sect. 3.1.3,
18 we found that WWBs (EWBs) are 13 % (7 %) stronger (weaker) than in piControl, which
19 also favours a higher frequency of El Niño events in G1. Thus, we conclude that changes in
20 the tropical Pacific mean state; in particular weakening of temperature gradients (MSSTG
21 and ZSSTG), changes in zonal wind stress, and convection over the tropical Pacific (and
22 consistent weakening of the PWC) are the plausible causes of increased frequency of extreme
23 El Niño events under G1.

24 3.2.3 La Niña frequency

25 During La Niña events, the ZSSTG, the PWC, and atmospheric convection in the western
26 Pacific are stronger than on average. Here, we present plots of Niño4 vs ZSSTG for
27 piControl, 4×CO₂, and G1 (Fig. 8a-c). In 4×CO₂, extreme La Nina events are reduced to zero
28 relative to piControl, and a statistically significant (99 % cl) decrease occurs in moderate,
29 weak, and total number (sum of extreme, moderate and weak events) of La Niña events. We
30 find a statistically significant (95 % cl) increase in extreme La Niña events in G1. The
31 number of extreme La Niña events increases by 32 % (61 events) in G1 relative to piControl
32 (46 events). Thus, an extreme La Niña event occurs every ~22 years in piControl and every
33 ~16 years in G1.

34 The increased number of extreme El Niño events provides a possible mechanism for
35 increased frequency of La Niña events, as they result in more heat discharge events causing
36 cooling, hence providing conducive conditions for increased occurrence of La Niña events
37 (Cai et al., 2015a, 2015b). In addition, the ocean becomes 4% more stratified under G1
38 relative to piControl (Fig. 15e, Table S7). The increased vertical ocean stratification in the
39 central equatorial Pacific steers cooling in the Niño4 region and, hence, can cause more
40 frequent strong positive ZSSTG anomalies (Fig. S9c and S10b) resulting in an increased
41 number of extreme La Niña events (see also Cai et al., 2015b).

1 **3.3 Spatial characteristics of ENSO**

2 In Sect. 3.2, we showed that overall and maximum ENSO event amplitudes generally
3 strengthened under G1, while the amplitude asymmetry between warm and cold events is
4 significantly reduced. In this section, we present composite anomalies, i.e. the average
5 patterns of all El Niño and La Niña events. These composites provide process-based evidence
6 for the strengthening (weakening) of extreme La Niña (El Niño) events in G1. We show that
7 the PWC, SST, and composite rainfall anomalies are strengthened for extreme La Niña
8 events, while they are weakened for extreme El Niño events under G1. For composite
9 analysis, extreme El Niño events are selected with Niño3 rainfall $> 5 \text{ mm day}^{-1}$ and MSSTG
10 < 0 (Fig. S5) because it gives a more robust estimate as all events show a reversal of MSSTG
11 and more vigorous convection.

12 **3.3.1 Weakening of extreme El Niño events in G1**

13 The broad spatial patterns of composite SST (Fig. 9), rainfall (Fig. 10), and PWC (Fig. 11)
14 anomalies for the extreme and total number of El Niño events in G1 are very similar to those
15 of piControl. During extreme El Niño events, in G1, we find reduced SST (Fig. 9e) and
16 rainfall anomalies (Fig. 10e) over the eastern and western equatorial Pacific with a consistent
17 weakening of the eastern and western branch of PWC (Fig. 11e). We also note reduced SST
18 (Fig. 9f) and rainfall (Fig. 10f) anomalies over the western Pacific in agreement with a
19 weakening of western branch of PWC (Fig. 11f) for the total number of El Niño events in G1.
20 Thus, in general, extreme El Niño events tend to be weaker in G1 than in piControl. We
21 conclude that, in our simulations, extreme El Niño events are more frequent but slightly less
22 intense in a solar geoengineered climate than in preindustrial conditions. We further confirm
23 this with a histogram of detrended Niño3 SST anomalies (Fig. S7a). Though more frequent
24 positive Niño3 SST anomalies occur under G1 (between 1 and 3 °C), the mean Niño3 SST
25 anomaly is weaker in G1 (1.95 °C) than in piControl (2.23 °C) at 99 % cl. Thus, the strength
26 of extreme El Niño events is reduced by ~12 % in G1 compared to piControl. However, no
27 statistically significant shift in histograms of Niño3 SST anomalies is detected for the total
28 number of El Niño events (Fig. S7b).

29 **3.3.2 Strengthening of La Niña events in G1**

30 The broad spatial patterns of composite SST (Fig 12a-d), rainfall (Fig. 13a-d) and PWC (14a-
31 d) anomalies for the extreme and total number of La Niña events are similar under G1 and
32 piControl. During the extreme and total number of La Niña events, the negative SST and
33 rainfall anomalies, and both east and west branch of PWC are strengthened indicating an
34 overall intensification of La Niña events in G1 relative piControl. We note that most of the
35 stronger negative SST anomalies occur over the eastern equatorial Pacific. We confirm
36 strengthening of La Niña events by plotting histograms of detrended Niño3 SST anomalies
37 for the extreme (piControl: -1.45 °C; G1: -1.68 °C) and the total number of La Niña events
38 (piControl: -1.03 °C; G1: -1.22 °C) based on the Niño4 SST index (Fig. S7c-d). Thus, we
39 conclude that the strength of extreme (total number of) La Niña events is increased by ~16 %
40 (~18 %) in G1 compared to piControl.

1 **4 Mechanisms behind the changes in ENSO variability**

2 **4.1 Under greenhouse gas forcing**

3 The reduced ENSO amplitude under $4\times\text{CO}_2$ is mainly caused by stronger hf and weaker BJ
4 feedback relative to piControl (Fig. 15a-b, and Table S5-6). More rapid warming over the
5 eastern than western equatorial Pacific regions reduces the SST asymmetry between western
6 and eastern Pacific (Fig. 1d), resulting in the weakening of ZSSTG (Fig. 4b) that significantly
7 weakens the zonal winds stress (Fig. 4a) and hence PWC (Fig. 6b, d, see Bayr et al., 2014).
8 The overall reduction of zonal wind stress reduces the BJ feedback, which, in turn, can
9 weaken the ENSO amplitude. Climate models show an inverse relationship between hf
10 feedback and ENSO amplitude (Lloyd et al., 2009, 2011; Kim and Jin 2011b). The increased
11 hf feedback might be the result of enhanced clouds due to strengthened convection (Fig. 5b,
12 d) and stronger evaporative cooling in response to enhanced SSTs under $4\times\text{CO}_2$ (Knutson
13 and Manabe 1994; Kim and Jin 2011b). Kim and Jin (2011a, b) found intermodel consensus
14 on the strengthening of hf feedback in CMIP3 models under enhanced GHG warming
15 scenario (Ferret and Collins 2019). Further, we see increased ocean stratification under
16 $4\times\text{CO}_2$ (Fig. 15d and Table S7). A more stratified ocean is associated with an increase in both
17 the El Niño events and amplitude in the eastern Pacific (Wang et al. 2020). It can also modify
18 the balance between feedback processes (Dewitte et al., 2013). Enhanced stratification may
19 also cause negative temperature anomalies in the central to the western Pacific through
20 changes in thermocline tilt (Dewitte et al., 2013). Since the overall ENSO amplitude
21 decreases in our $4\times\text{CO}_2$ simulation, we, thus, conclude that the ocean stratification
22 mechanisms cannot be the dominant factor here, but that hf and BJ feedbacks must more than
23 cancel out the effect of ocean stratification on ENSO amplitude.

24 The increased frequency of extreme El Niño events under $4\times\text{CO}_2$ is due to change in the
25 mean position of the ITCZ (Fig. S2), causing frequent reversals of MSSTG (Fig. S3), and
26 eastward extension of the western branch of PWC (Fig. 6), which both result in increased
27 rainfall over the eastern Pacific (see Wang et al. 2020). This is due to greater east equatorial
28 than off-equatorial Pacific warming (see Cai et al. 2020), which shifts the mean position of
29 ITCZ towards the equator (Fig. S2). Simultaneously more rapid warming of the eastern than
30 western equatorial Pacific reduces the ZSSTG, and hence zonal wind stress, as also evident
31 from the weakening and shift of the PWC (Fig. 6) and increased instances of negative ZSSTG
32 anomalies (Fig. S9). Ultimately, this leads to more frequent vigorous convection over the
33 Niño3 region (Fig. 5d), and enhanced rainfall (Fig. 2d, S8). Therefore, despite the weakening
34 of the ENSO amplitude under $4\times\text{CO}_2$, rapid warming of the eastern equatorial Pacific causes
35 frequent reversals of meridional and zonal SST gradients, resulting in an increased frequency
36 of extreme El Niño events (see also Cai et al., 2014; Wang et al., 2020).

37 We note that under GHG forcing, HadCM3L does not simulate an increase in the frequency
38 of extreme La Niña events as found by Cai et al. (2015b) using CMIP5 models. However, it
39 does show an increase in the total number of La Niña events (Table S4). In a multimodel
40 ensemble mean, Cai et al. (2015b) found that the western Pacific warms more rapidly than
41 the central Pacific under increased GHG forcing, resulting in strengthening of the zonal SST

1 gradient between these two regions. Strengthening of this zonal SST gradient and increased
2 vertical upper ocean stratification provide conducive conditions for increased frequency of
3 extreme La Niña events (Cai et al., 2015b). One reason why we do not see an increase in the
4 frequency of central Pacific extreme La Niña events might be that HadCM3L does not
5 simulate more rapid warming of the western Pacific compared to the central Pacific as
6 noticed by Cai et al. (2015b) (compare our Fig. 1d with Fig. 3b in Cai et al., 2015b), hence, as
7 stronger zonal SST gradient does not develop, across the equatorial Pacific, as needed for
8 extreme La Niña events to occur (see Fig. S9a, c and S10).

9 **4.2 Under solar geoengineering**

10 G1 over cools the upper ocean layers, whereas the GHG-induced warming in the lower ocean
11 layers is not entirely offset, thus increasing ocean stratification (Fig. 15). The increased
12 stratification boosts atmosphere-ocean coupling (see Cai et al., 2018), which favours
13 enhanced westerly wind bursts (Fig. 4a) (e.g., Capotondi et al., 2018) to generate stronger
14 SST anomalies over the eastern Pacific (Wang et al. 2020). The larger cooling of the western
15 Pacific than the eastern Pacific can also enhance westerly wind bursts reinforcing the BJ
16 feedback and hence SST anomalies in the eastern Pacific. We conclude that increased ocean
17 stratification, along with stronger BJ feedback, is the most likely mechanism behind the
18 overall strengthening of ENSO amplitude under G1.

19 The increased frequency of extreme El Niño events under G1 can be linked to the changes in
20 MSSTG and ZSSTG (see Cai et al., 2014, and Fig. S3, S9). The eastern off-equatorial Pacific
21 cools more than the eastern equatorial regions, providing relatively more conducive
22 conditions for convection to occur through a shift of ITCZ over to the Niño3 region (Fig. 1e).
23 At the same time, the larger cooling of the western equatorial Pacific than of the eastern
24 equatorial Pacific reduces the ZSSTG and convective activity over the western Pacific, which
25 leads to a weakening of the western branch of PWC (Fig. 6e). Hence we see reduced rainfall
26 over the western Pacific and enhanced rainfall from the Niño3 to the central Pacific region
27 (Fig 2e). These mean state changes, strengthening of convection between $\sim 140^\circ$ W and $\sim 150^\circ$
28 E, and more reversals of the MSSTG and ZSSTG (Fig. S3) result in an increased number of
29 extreme El Niño events in G1 than in piControl (Fig. 7).

30 **5 Discussion and conclusions**

31 In this paper, we have analyzed the impact of abruptly increased GHG forcing ($4\times\text{CO}_2$), and
32 solar geoengineering (G1), on the tropical Pacific mean climate and ENSO extremes.
33 Previous solar geoengineering studies did not show any statistically significant change in the
34 PWC (e.g., Guo et al., 2018) or ENSO frequency and amplitude (e.g., Gabriel and Robock
35 2015). However, those results were strongly limited by the length of the respective
36 simulations, which made changes challenging to detect, given the high tropical Pacific
37 climate variability. This limitation has been overcome here by using long (1000-year) climate
38 model simulations, carried out with HadCM3L. The longer record makes it possible to detect
39 even relatively small changes between the preindustrial and G1 scenarios within the chosen
40 model system.

1 To conclude, solar geoengineering can compensate many of the GHG-induced changes in the
2 tropical Pacific, but, importantly, not all of them. In particular, controlling the downward
3 shortwave flux cannot correct one of the climate system's most dominant modes of
4 variability, i.e., ENSO, wholly back to preindustrial conditions. The ENSO feedbacks
5 (Bjerkness and heat flux) and more stratified ocean temperatures may induce ENSO to
6 behave differently under G1 than under piControl and 4×CO₂. Different meridional
7 distributions of shortwave and longwave forcings (e.g., Nowack et al., 2016) resulting in the
8 surface ocean overcooling, and residual warming of the deep ocean are the plausible reasons
9 for the solar geoengineered climate not reverting entirely to the preindustrial state. However,
10 we note that this is a single model study, and more studies are needed to show the robustness
11 and model-dependence of any results discussed here, e.g. using long-term multimodel
12 ensembles from GeoMIP6 (Kravitz et al., 2015), once the data are released. The long-term
13 Stratospheric Aerosol Geoengineering Large Ensemble (GLENS; Tilmes et al., 2018) data
14 can also be explored to investigate ENSO variability under geoengineering. We summarize
15 our key findings as follows:

- 16 1. The warming over the tropical Pacific under increased GHG forcing (4×CO₂) is
17 overcompensated under solar sunshade geoengineering (G1), resulting, by design, in
18 tropical mean overcooling of approximately 0.3 °C. This overcooling is more
19 pronounced in the western tropical Pacific and SPCZ than in the eastern Pacific under
20 the G1 scenario.
- 21 2. The reduced SST and rainfall asymmetry between the warm pool and the cold tongue,
22 seen under 4×CO₂, is mostly corrected in G1, but regionally important differences
23 remain relative to preindustrial conditions. The tropical Pacific is 5 % wetter in
24 4×CO₂, whereas it is 5 % drier in G1 relative to piControl. In particular, solar
25 geoengineering results in decreased rainfall over the warm pool, SPCZ, and ITCZ and
26 increased rainfall over the central and eastern equatorial Pacific.
- 27 3. The preindustrial median position of ITCZ (154° W-82° W; 7.5° N) changes
28 significantly under 4×CO₂ and moves over the equator (154° W-82° W; 0°). G1
29 restores the ITCZ to its preindustrial position (154° W-82° W; 7.5° N).
- 30 4. The increased GHG forcing results in 31 % reduction in zonal wind stress over the
31 tropical Pacific. G1 fails to compensate this reduction entirely and results in
32 weakening the zonal wind stress by 10 % with a 13 % (7 %) increase (decrease) in
33 WWBs (EWBs), thus providing more conducive conditions for El Niño extremes.
- 34 5. Under solar geoengineering, both ZSSTG and MSSTG are reduced by 11 % and 9 %,
35 respectively. More frequent reversal of MSSTG occurs in G1 relative to piControl.
- 36 6. In 4×CO₂, the thermocline flattens over the tropical Pacific, and G1 recovers its
37 preindustrial condition.
- 38 7. The PWC becomes weaker both under 4×CO₂ and G1 scenarios.
- 39 8. The increased GHG forcing results in a weakening of ENSO amplitude, whereas solar
40 geoengineering strengthens it relative to preindustrial climate. The maximum
41 amplitude of cold events is enhanced under G1.

- 1 9. The reduced ENSO amplitude under $4\times\text{CO}_2$ is mainly due to enhanced hf feedback,
2 whereas the increase under G1 is mainly caused by enhanced BJ feedback and ocean
3 stratification.
- 4 10. The ENSO amplitude asymmetry between warm and cold events is reduced under G1
5 relative to piControl.
- 6 11. The frequency of extreme El Niño events increases by 61 % in G1 relative to
7 piControl. Further, the frequency of the total number of El Niño events also increases
8 by 12 %. Thus, an El Niño event occurring every ~ 3.3 -yr under preindustrial
9 conditions occurs every ~ 2.9 -yr under solar geoengineered climate. The reason for the
10 occurrence of more extreme El Niño events under G1 is more frequent reversals of
11 MSSTG compared to piControl.
- 12 12. The frequency of extreme La Niña events increases by 32 % under G1 relative to
13 piControl. Thus, an extreme La Niña event occurring every ~ 22 -yr in piControl
14 occurs every ~ 16 -yr in G1.

15 **Author contribution.** Long Cao developed the model code and performed the simulations.
16 Abdul Malik formulated the research questions, defined the methodology with the help of all
17 co-authors, and performed the scientific analysis. Abdul Malik prepared the manuscript with
18 contributions from all co-authors.

19 **Competing interests.** The authors declare that they have no conflict of interest.

20 **Data availability.** Data are available upon request from Long Cao (longcao@zju.edu.cn).

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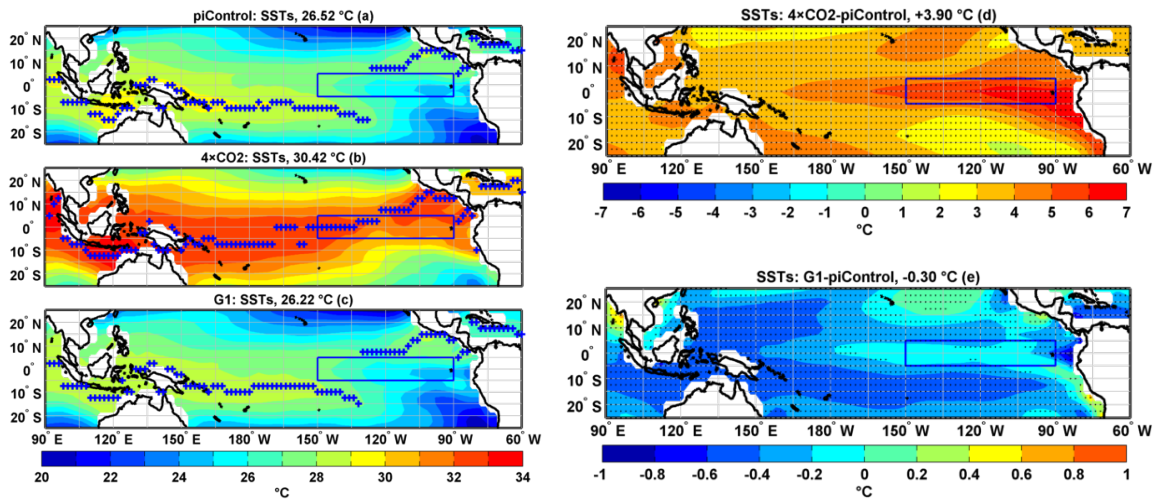
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1 **Figures and Figure Captions**

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4 **Figure 1.** Tropical Pacific SST mean DJF climatology (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d)
 5 difference $4\times\text{CO}_2$ -piControl and (e) difference G1-piControl. The blue plus sign in a-c
 6 indicates latitudes with maximum SSTs. Stipples indicate grid points where the difference is
 7 statistically significant at 99 % cl using a non-parametric Wilcoxon rank-sum test. The box in
 8 the eastern Pacific identifies the Niño3 region. The numbers in a-c represent a mean
 9 temperature in the corresponding simulation, and numbers in d-e represent an area-averaged
 10 difference of piControl with $4\times\text{CO}_2$ and G1, respectively, in the tropical Pacific region (25°
 11 N- 25° S; 90° E- 60° W).

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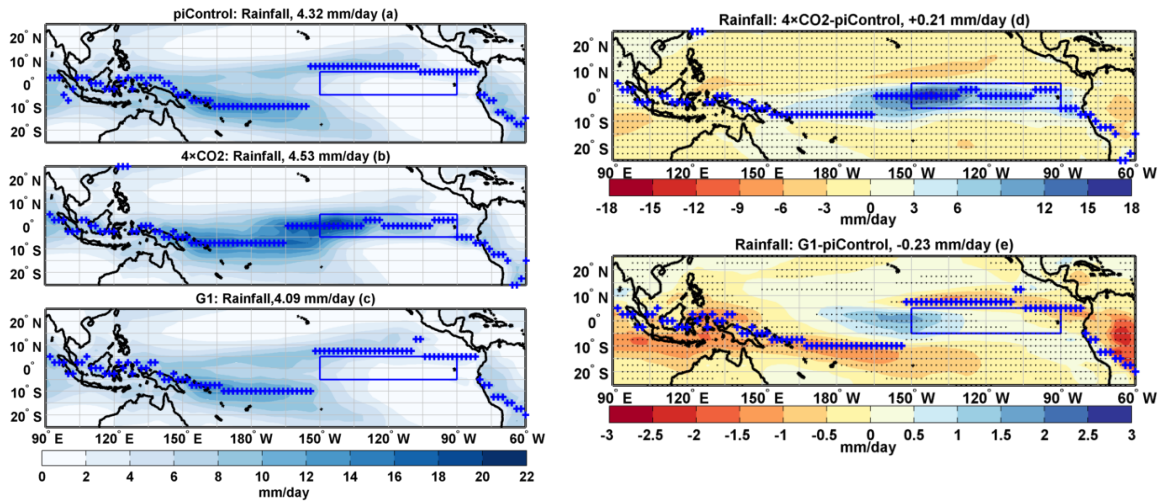
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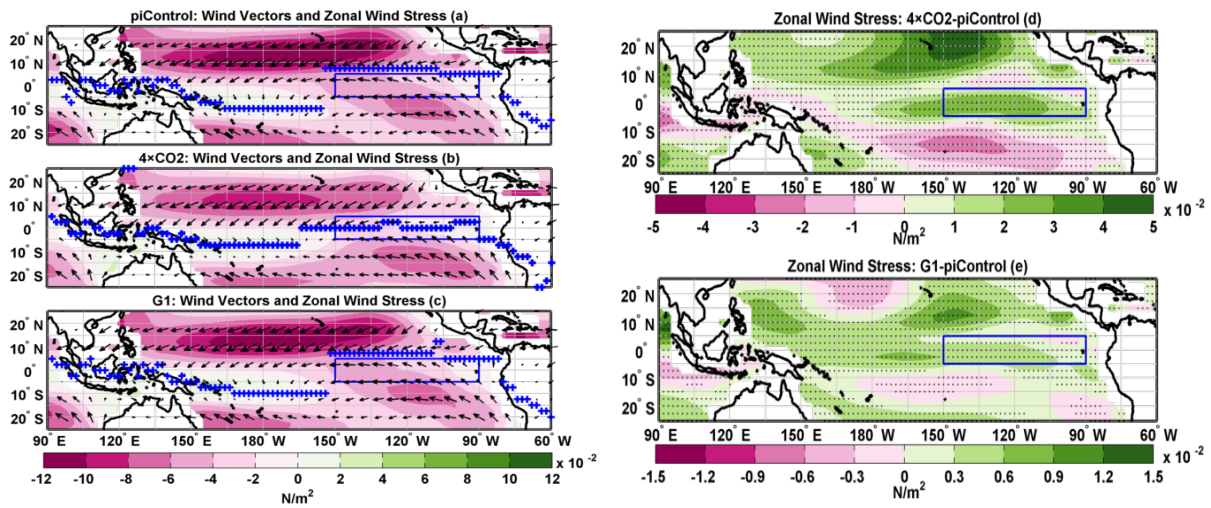
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Figure 2. Tropical Pacific rainfall mean DJF climatology (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d) difference: $4\times\text{CO}_2$ -piControl; the blue plus signs indicate the position of ITCZ under $4\times\text{CO}_2$ and (e) difference: G1-piControl; the blue plus signs indicate the position of ITCZ under G1. In a-c, the blue plus signs indicate the position of ITCZ for the corresponding experiment. Stipples indicate grid points where the difference is statistically significant at 99 % cl using a non-parametric Wilcoxon rank-sum test. The numbers in a-c represent mean rainfall in the corresponding simulation, and numbers in d-e represent an area-averaged difference of piControl with $4\times\text{CO}_2$ and G1, respectively, in the tropical Pacific region (25°N - 25°S ; 90°E - 60°W).

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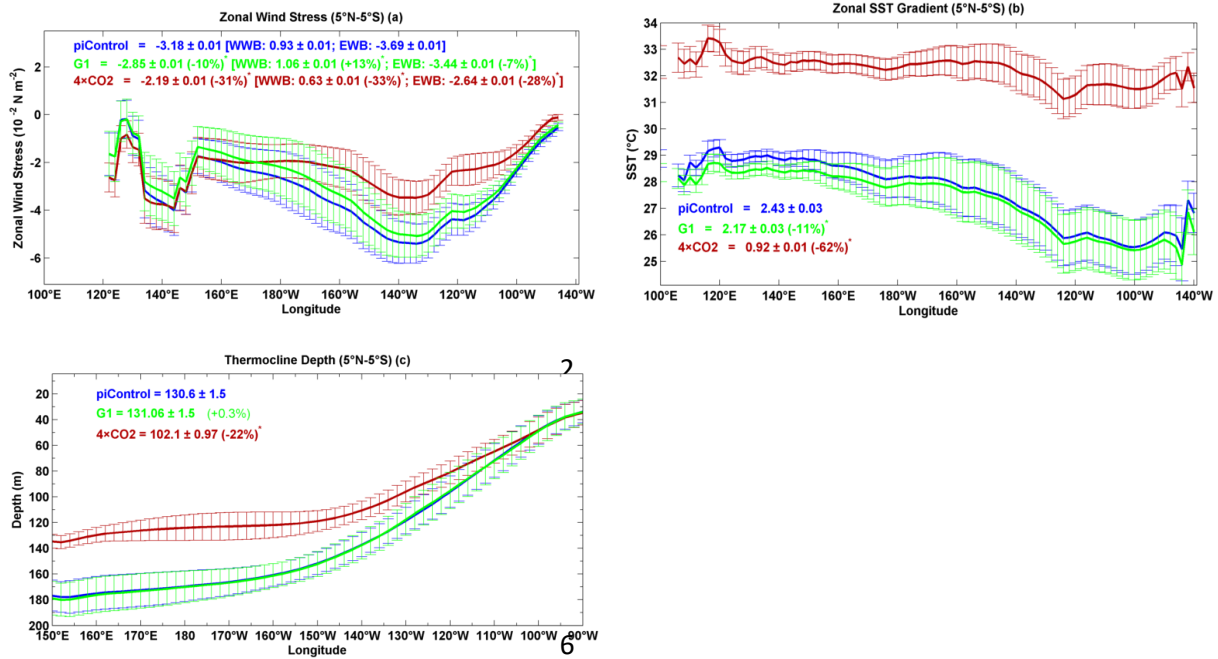
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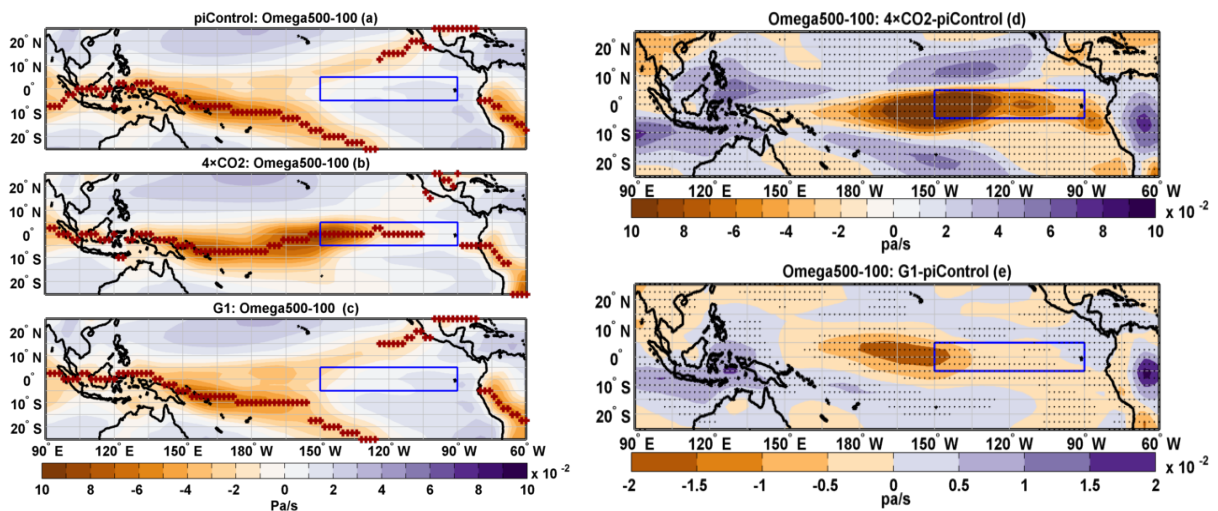
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Figure 3. Tropical Pacific zonal wind stress mean DJF climatology (a) piControl (b) $4\times\text{CO}_2$ (c) G1 (d) difference: $4\times\text{CO}_2$ -piControl and (e) difference: G1-piControl. Black arrows indicate the direction of 10 m wind. The blue plus sign in a-c indicates latitudes with maximum rainfall. Stipples indicate grid points where the difference is statistically significant at 99 % cl using a non-parametric Wilcoxon rank-sum test.

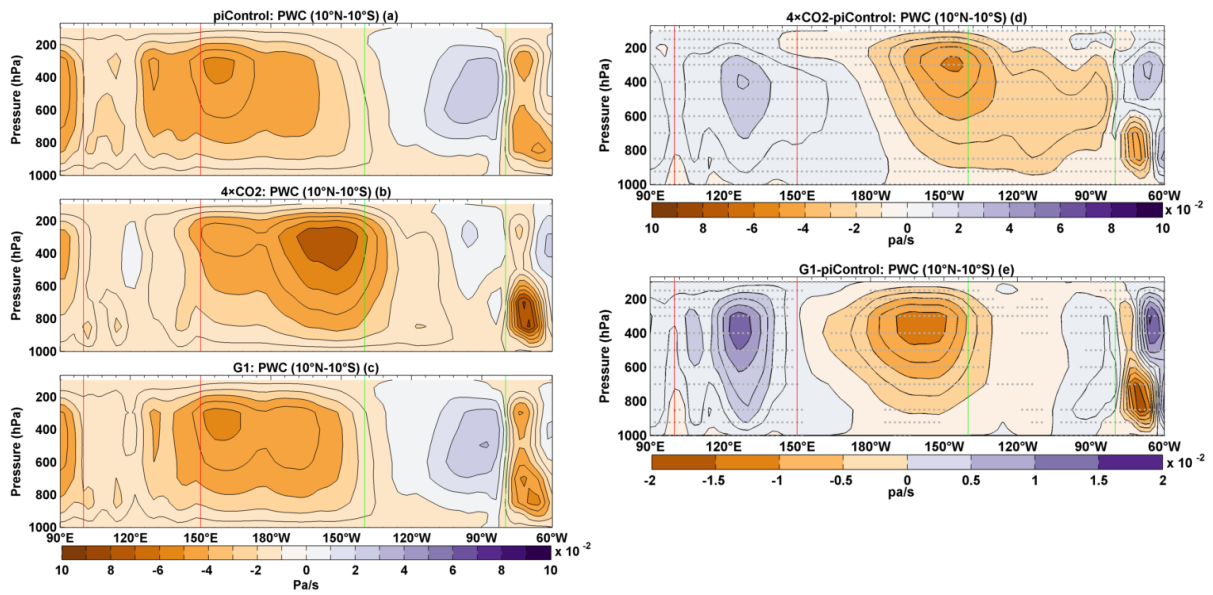


7 **Figure 4.** DJF mean climatology of (a) zonal wind stress, (b) zonal SST gradient, and (c)
 8 thermocline depth. Error bars indicate ± 1 s.d. calculated over the simulated period. Numbers
 9 with an asterisk indicate that the percentage change is statistically significant at 99 % cl.



11 **Figure 5.** Tropical Pacific mean DJF climatology of vertical velocity averaged between 500-
 12 and 100-hPa (Omega500-100) (a) piControl (b) 4xCO₂ (c) G1 (d) difference: 4xCO₂-
 13 piControl and (e) difference: G1-piControl. In a-c, the brown plus sign indicates latitudes
 14 where maximum upwelling occurs. Stipples indicate grid points where the difference is
 15 statistically significant at 99 % cl using a non-parametric Wilcoxon rank-sum test.
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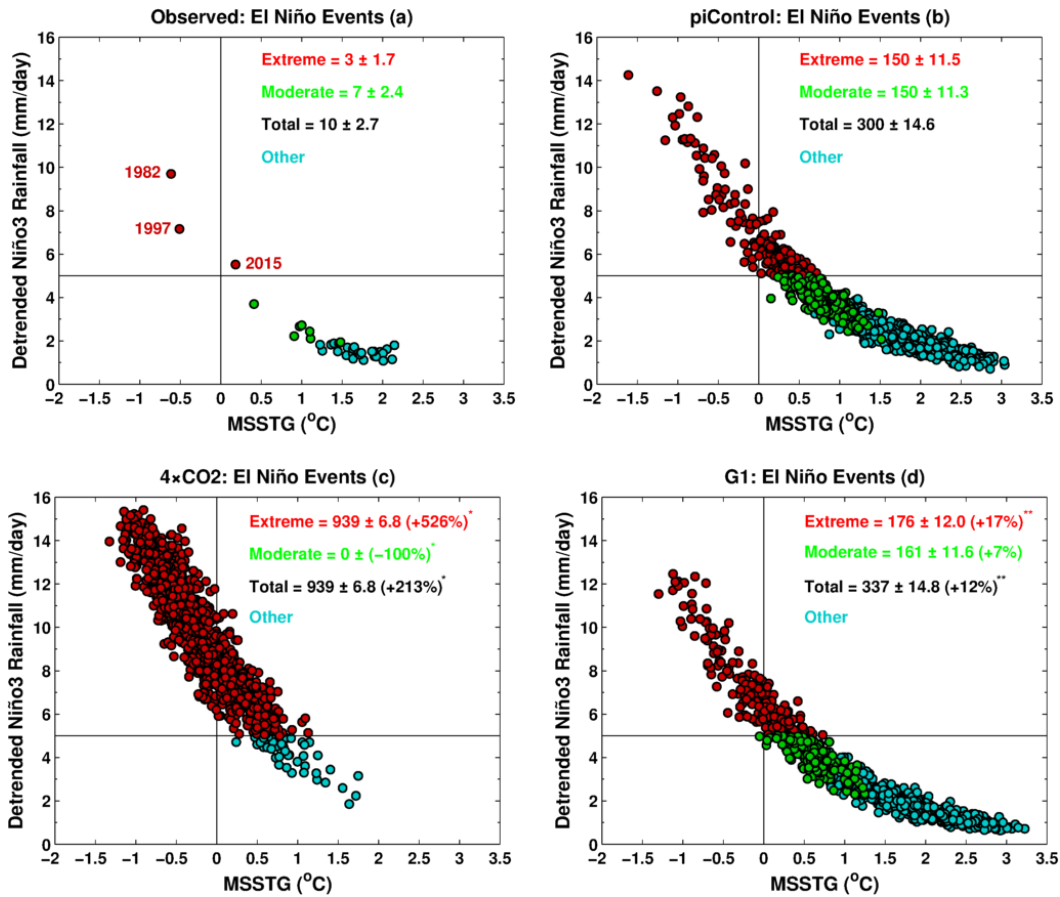
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Figure 6. Mean DJF climatology of tropical Pacific Walker Circulation averaged over 90° E-60° W and 10° N-10° S (a) piControl (b) 4×CO₂ (c) G1 (d) difference: 4×CO₂-piControl and (e) difference: G1-piControl. Green (red) vertical lines show the longitudinal spread of the eastern (western) Pacific. Stipples indicate grid points where the difference is statistically significant at 99 % cl using a non-parametric Wilcoxon rank-sum test.



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3 **Figure 7.** Relationship between MSSTG and Niño3 rainfall for (a) observations (b) piControl
 4 (c) 4×CO₂, and (d) G1. A solid black horizontal line indicates a threshold value of 5 mm day⁻¹.
 5 See text for the definition of extreme, moderate, and total El Niño events. A single (double)
 6 asterisk indicates that the change in frequency, relative to piControl, is statistically significant
 7 at 99 % (95 %) cl. Numbers with a ± symbol indicate s.d. calculated with 10,000 bootstrap
 8 realizations. Following Cai et al. (2014), a non-ENSO related trend has been removed from
 9 the rainfall time series.

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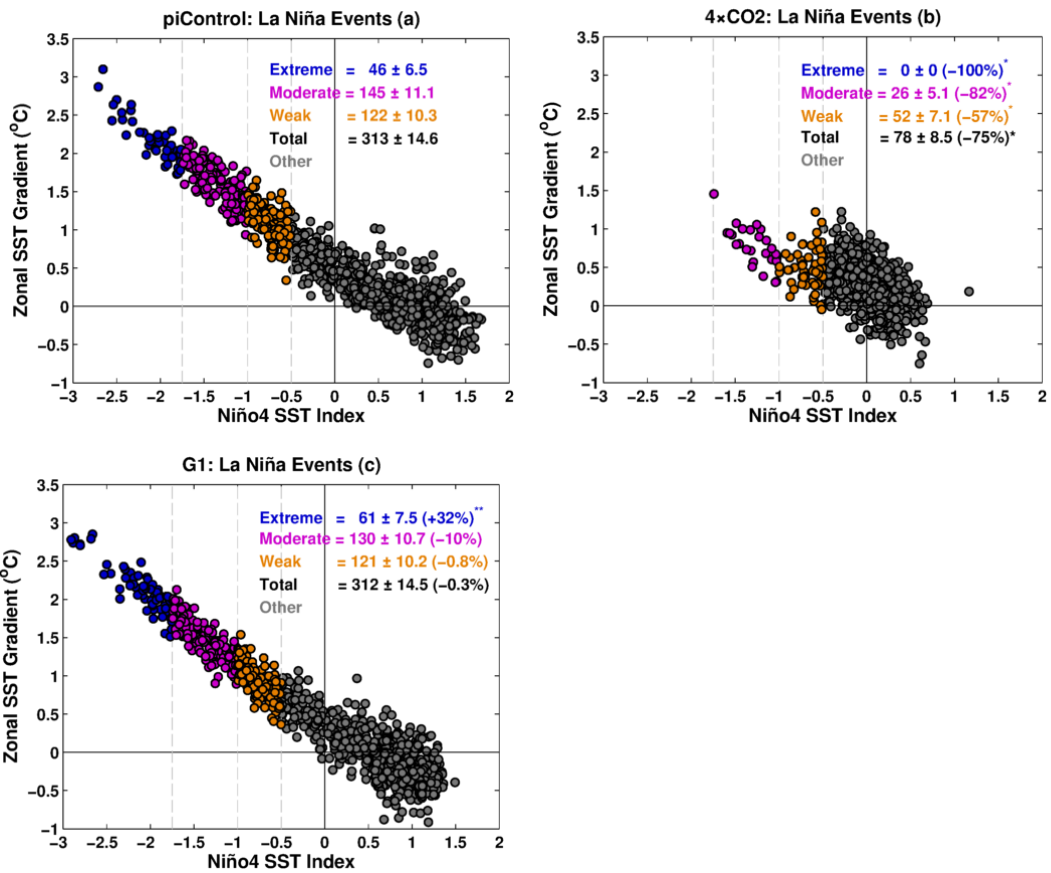
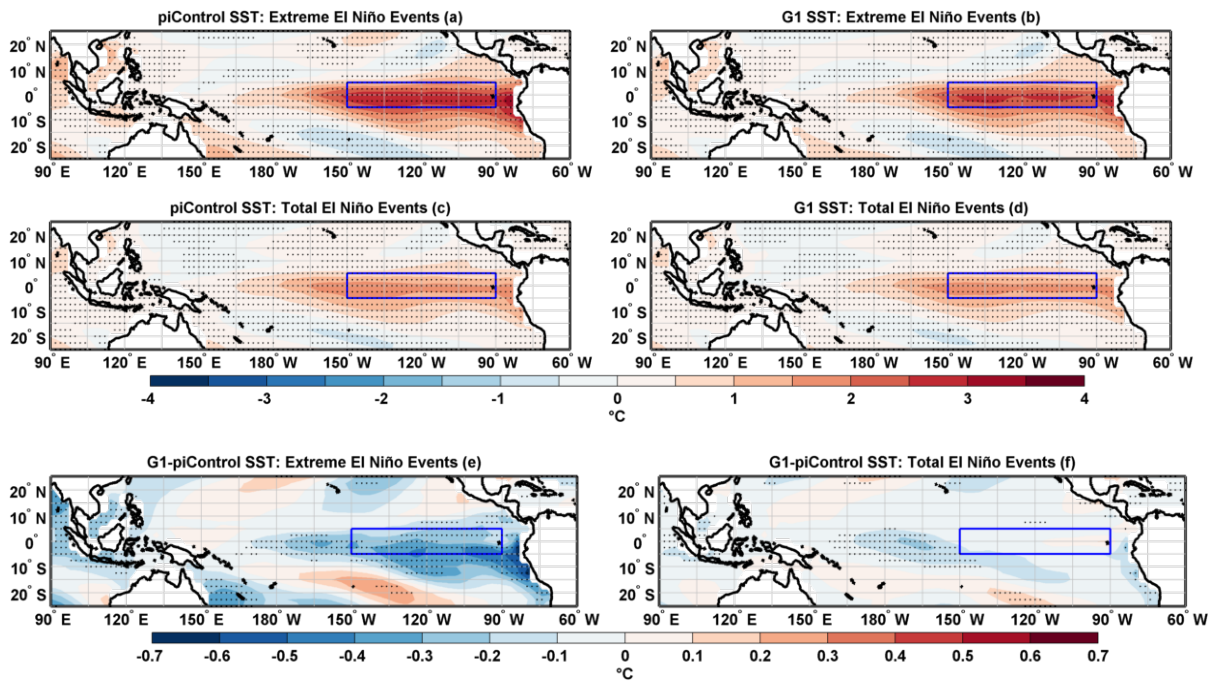


Figure 8. Relationship between ZSSTG and Niño4 SST index for (a) piControl (b) 4xCO₂ and (c) G1. Dashed grey vertical lines indicate threshold values of -1.75, -1, and -0.5 s.d. See text for the definition of extreme, moderate, weak, and total La Niña events. A single (double) asterisk indicates that the change in frequency is statistically significant at 99 % (95 %) cl. Numbers with a ± symbol indicate s.d. calculated with 10,000 bootstrap realizations.



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3 **Figure 9.** Composites of SST anomalies for extreme El Niño events in (a) piControl and (b)
 4 G1. Composites of SST anomalies for the total number of El Niño events in (c) piControl and
 5 (d) G1. Composite differences (G1-piControl) of SST anomalies for (e) extreme El Niño
 6 events and (f) total number of El Niño events. Stipples indicate grid points with statistical
 7 significance at 99 % cl using a non-parametric Wilcoxon rank-sum test. The blue box in the
 8 eastern Pacific identifies the Niño3 region.

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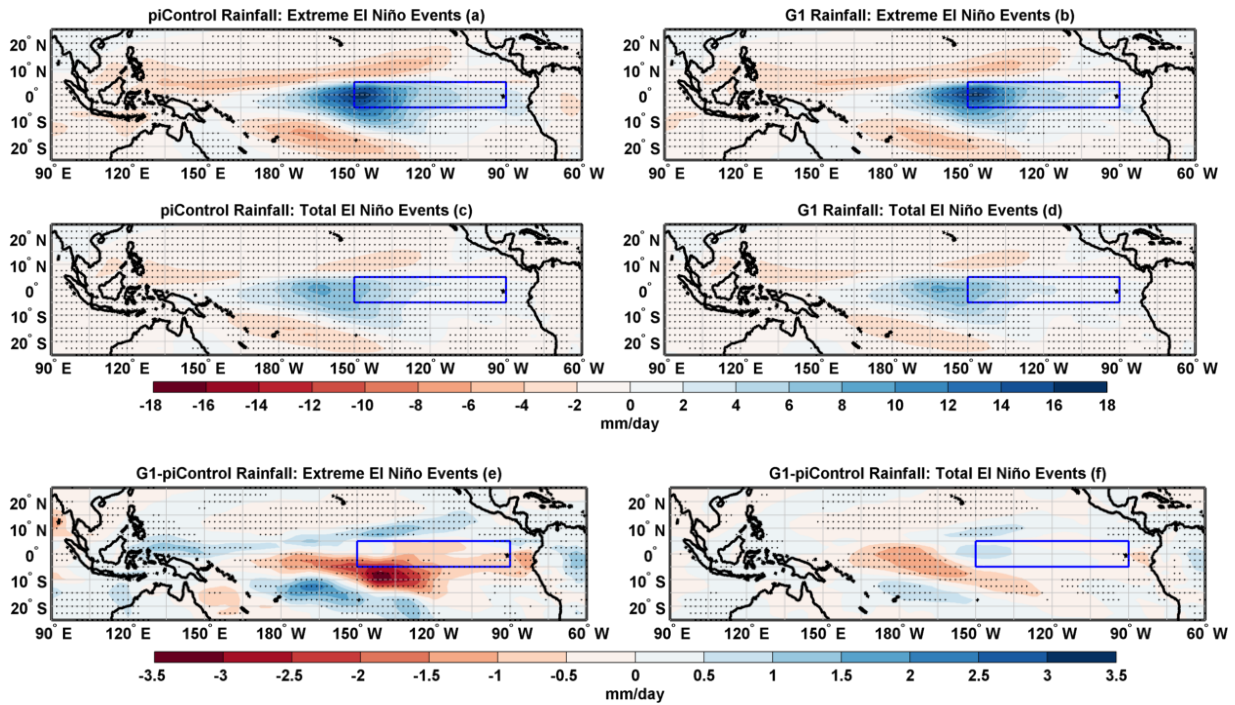
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3 **Figure 10.** Composites of rainfall anomalies for extreme El Niño events in (a) piControl and
 4 (b) G1. Composites of rainfall anomalies for the total number of El Niño events in (c)
 5 piControl and (d) G1. Composite differences (G1-piControl) of rainfall anomalies for (e)
 6 extreme El Niño events and (f) total number of El Niño events. Stipples in a-d and f (e)
 7 indicate grid points with statistical significance at 99 (95) % cl using a non-parametric
 8 Wilcoxon rank-sum test. The blue box in the eastern Pacific identifies the Niño3 region.

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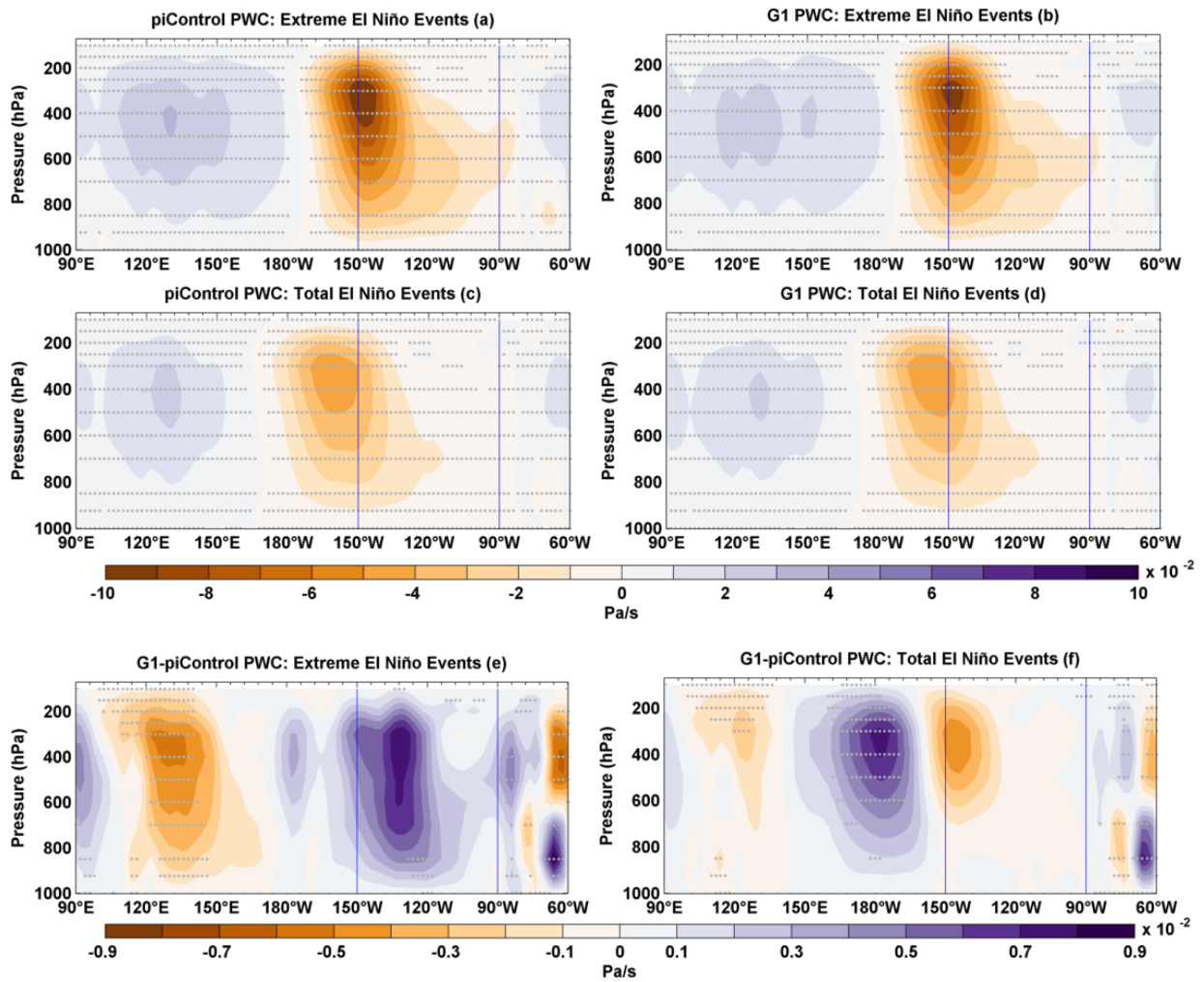
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3 **Figure 11.** Composites of PWC anomalies for extreme El Niño events in (a) piControl and
 4 (b) G1. Composites of PWC anomalies for the total number of El Niño events in (c)
 5 piControl and (d) G1. Composite differences (G1-piControl) of PWC for (e) extreme El Niño
 6 events and (f) total number of El Niño events. Stipples indicate grid points with statistical
 7 significance at 99 % cl using a non-parametric Wilcoxon rank-sum test. The blue vertical
 8 lines indicate the Niño3 region.

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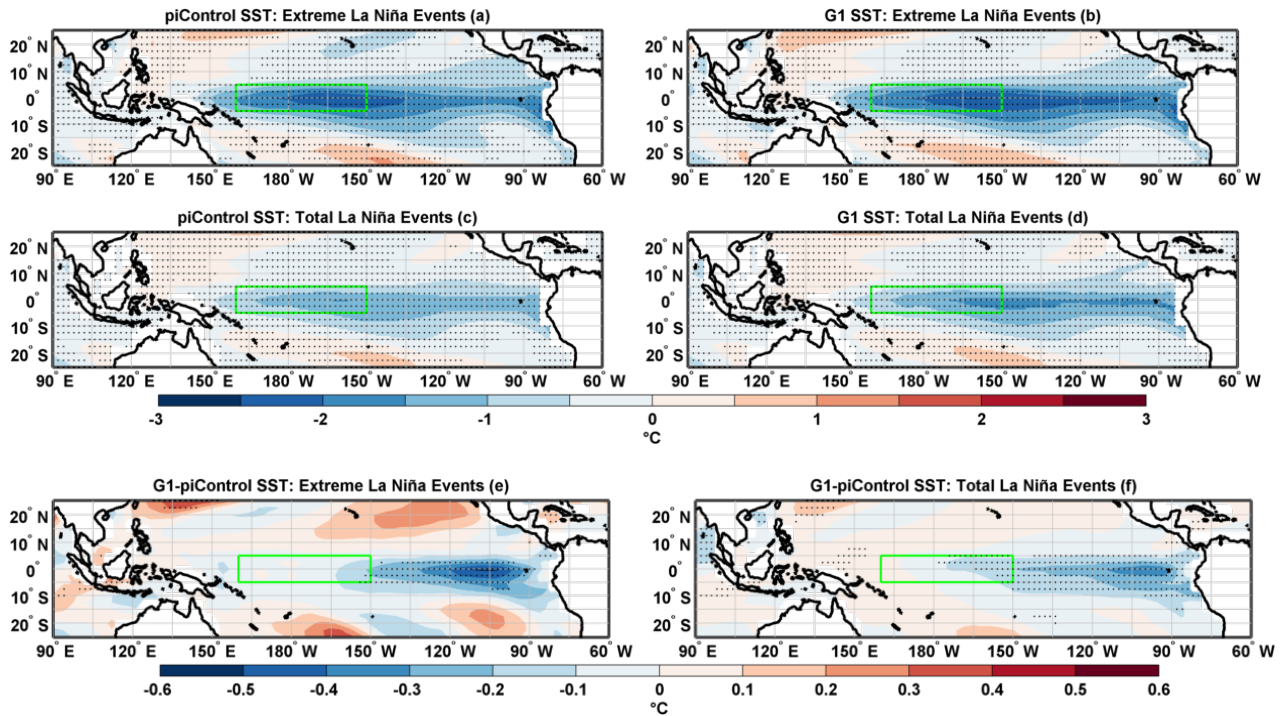
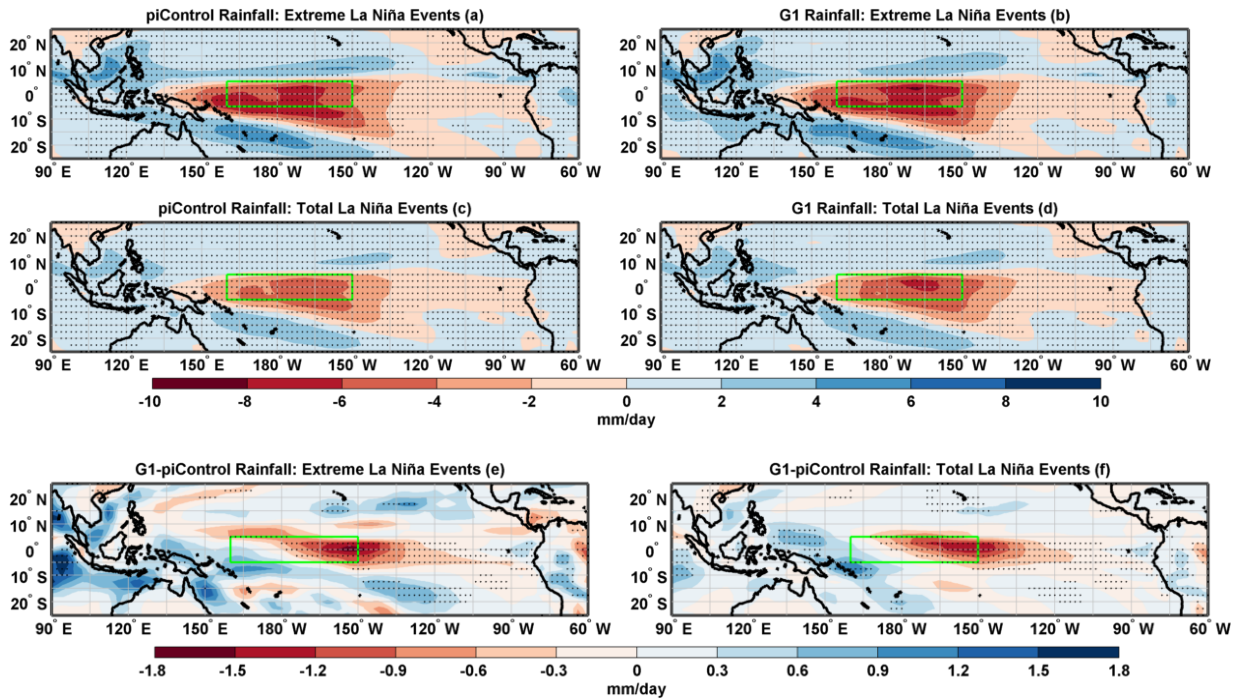


Figure 12. Composites of SST anomalies for extreme La Niña events in (a) piControl and (b) G1. Composites of SST for the total number of La Niña events in (c) piControl and (d) G1. Composite differences (G1-piControl) of SST for (e) extreme La Niña events and (f) the total number of La Niña events. Stipples indicate grid points with statistical significance at 99 % cl using a non-parametric Wilcoxon rank-sum test. The green box indicates the Niño4 region.



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3 **Figure 13.** Composites of rainfall anomalies for extreme La Niña events in (a) piControl and
 4 (b) G1. Composites of rainfall anomalies for the total number of La Niña events in (c)
 5 piControl and (d) G1. Composite differences (G1-piControl) of rainfall for (e) extreme La
 6 Niña events and (f) the total number of La Niña events. Stipples indicate grid points with
 7 statistical significance at 99 % cl using a non-parametric Wilcoxon rank-sum test. The green
 8 box indicates the Niño4 region.

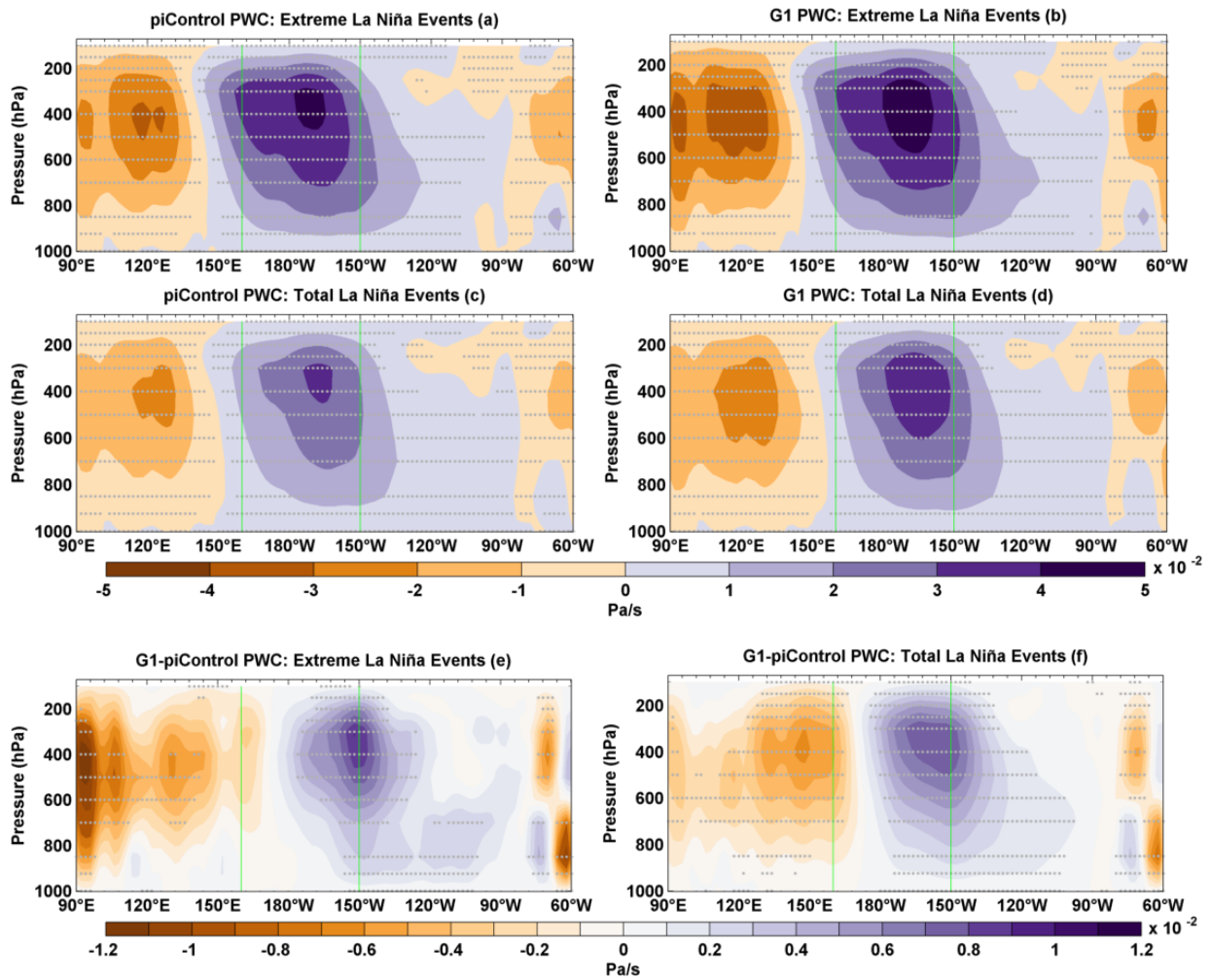
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3 **Figure 14.** Composites of PWC anomalies for extreme La Niña events in (a) piControl and
 4 (b) G1. Composites of PWC for the total number of La Niña events in (c) piControl and (d)
 5 G1. Composite differences (G1-piControl) of PWC anomalies for (e) extreme La Niña events
 6 and (f) the total number of La Niña events. Stipples indicate grid points with statistical
 7 significance at 99 % cl using a non-parametric Wilcoxon rank-sum test. The green vertical
 8 lines indicate the Niño4 region.

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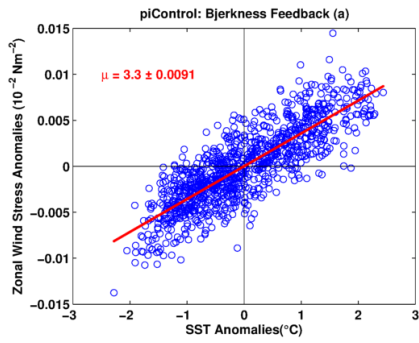
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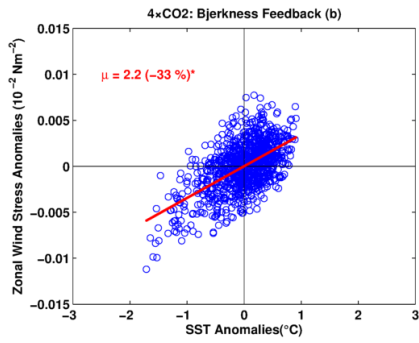
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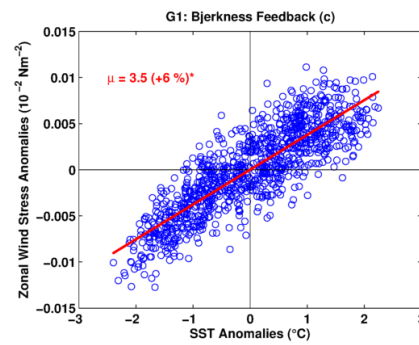
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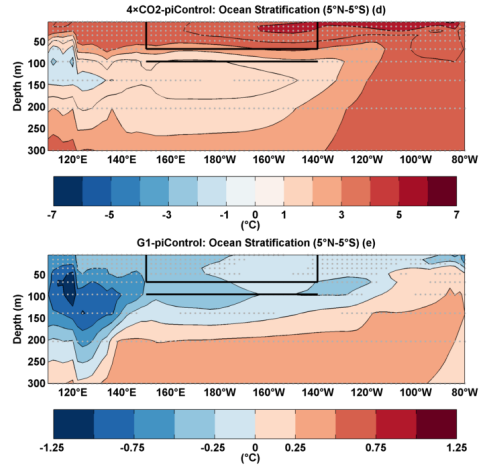
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4 **Figure 15.** BJ feedback (μ ; $10^{-2} \text{ Nm}^{-2}/^{\circ}\text{C}$) for (a) piControl (b) $4\times\text{CO}_2$, and (c) G1. The value
 5 with \pm sign indicates s.d. of μ after 10,000 bootstrap realizations. An asterisk indicates
 6 statistical significance at 99 % c.l. Mean change in ocean temperature, (d) $4\times\text{CO}_2$ -piControl,
 7 and (e) G1-piControl. The black box shows the area averaging region for upper ocean
 8 temperature, and the black line shows the lower layer used for calculation of stratification as
 9 a difference of upper and lower layer. Stipples indicate grid points with statistical
 10 significance at 99 % c.l. using a non-parametric Wilcoxon rank-sum test.

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1 **Tables and Table Captions**

2 **Table 1.** Eastern Pacific ENSO amplitude

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	1.04 [1.03]		0.0213 [0.03]	
4×CO ₂	0.55 [0.85]	-0.49 [-0.18]		-47* [-17*]
G1	1.13 [1.13]	0.09 [0.1]		+9* [+10**]

3 Key: Niño3 [E-Index]; *99 % cl; **95 % cl

4

5 **Table 2.** Central Pacific ENSO amplitude

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	(0.78) [0.85]		(0.0132) [0.0167]	
4×CO ₂	(0.28) [0.53]	(-0.50) [-0.32]		(-64*) [-38*]
G1	(0.79) [0.83]	(0.01) [0.03]		(+1) [-3]

6 Key: (Niño4) [C-Index]; *99 % cl; **95 % cl

7

8 **Table 3.** Maximum amplitude of warm events

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	2.97 [4.59]		0.0687 [0.2342]	
4×CO ₂	1.29 [3.65]	-1.68 [-0.94]		-57* [-21*]
G1	2.85 [4.33]	-0.12 [-0.26]		-4 [-6]

9 Key: Niño3 [E-Index]; *99 % cl; **95 % cl

10

11 **Table 4.** Maximum amplitude of cold events

Experiment	Amplitude (°C)	Difference w.r.t. piControl (°C)	Std. Dev. 10,000 Realizations (°C)	~ Change w.r.t. piControl (%)
piControl	(-2.13) [-2.47]		(0.0459) [0.1452]	
4×CO ₂	(-1.37) [-2.17]	(-0.76) [-0.30]		(-36*) [-12*]
G1	(-2.55) [-2.90]	(0.42) [0.43]		(+20*) [+17*]

12 Key: (Niño4) [C-Index]; *99 % cl; **95 % cl

13

14 **Table 5.** Niño3 SST skewness

Experiment	Skewness	Difference w.r.t. piControl	Std. Dev. 10,000 Realizations	~ Change w.r.t. piControl (%)
piControl	0.52*		0.0542	
4×CO ₂	-0.47*	-0.99		-190*
G1	0.18*	-0.34		-65*

15 Key: *99 % cl; **95 % cl