Anonymous Referee #1

Received and published: 12 February 2019

We are very grateful for the referee's critical comments and suggestions. The followings are our point-by-point responses to the comments. Our responses start with "R:".

The persistent snow cover in NEC is fairly sparse, thus the forcing by BC and other light-absorbing particles deposited into the surface has no significant sense from this view.

R: Based on the snow cover data (MYD10CM and MYD10C2) from MODIS products (https://modis.gsfc.nasa.gov/data/dataprod/mod10.php), snow depth data from Meteorological (CMC) (https://nsidc.org/data/NSIDC-Canadian Centre 0447/versions/1), and snow water equivalent data (GlobSnow-2) from European Space Agency (ESA) Global Snow Monitoring for Climate Research in January-February from 2003 to 2017, we found that the area with snow cover fraction of > 50% and snow duration period of > 30 days is ~75% and ~85% in January-February over Northeastern China (NEC), respectively (Figure S5a and b). This result is consistent with previous studies based on meteorological station data (Zhong et al., 2010) and satellite remote sensing data (Che et al., 2008). In addition, the area with snow depth of > 5 cm and snow water equivalent of > 20 mm is ~70% and ~70%, respectively (Figure S5c and d). Therefore, we note that the snow cover is really high in winter over NEC. We also added further descriptions of snow cover condition in manuscript in Page 14 Lines 14-21, Page 24 Lines 8-14 and in supplements.

On the other hand, local pollutant emissions in NEC have been confirmed some of the most intense in the world (Bond et al., 2004). Previous studies also noted that the typical BC concentrations in snow in NEC range from ~100 ng g⁻¹ to ~2000 ng g⁻¹ (Huang et al., 2011, Wang et al., 2013), which are much higher than those of ~10 ng g⁻¹ to ~100 ng g⁻¹ measured in the Arctic, North America and Europe (Doherty et al., 2010, 2014; Peltoniemi et al., 2015). Therefore, we indicate that estimating the radiative forcing by light-absorbing particles in seasonal snow in NEC is significant. Furthermore, we only selected the areas with high snow cover fraction in January-February as the study areas to keep the retrieved radiative forcing more plausible. As

a result, the study areas (the identified snow-covered areas in our study) are primarily within the three regions shown in Figure 4. Details could be found in the method section.

And the authors used a remote sensing method to try describing a more uncertainly-locating nature is a far-fetching measure. Therefore, they are strongly suggested to only use in-site measurements (incoming solar radiation, albedo, absorption and etc.) to quantify the absorption due to BC on the snow surface and estimate the forcing directly, instead of using an unprecise ruler to measure a farther object.

R: We agree with the referee's opinion that estimating the radiative forcing due to insnow light-absorbing particles based on the surface snow field campaigns are more precise than those of remote sensing retrievals or model simulations. However, the surface measurements of snow albedo and in-snow light-absorbing particles are very limited from the regional and global scales. Based on the previous studies, only several field campaigns have been performed in NEC (Wang X. et al., 2013; 2017; Wang Z. et al., 2014) and other areas such as the Arctic (e.g. Doherty et al., 2010) and North America (e.g. Doherty et al., 2014). Due to the large variations of the spatial and temporal distributions of radiative forcing, and the sparse sample sites, estimating the radiative forcing by light-absorbing particles in seasonal snow based on the surface snow field campaigns are limited (Dang et al., 2017). In addition, Zhao et al. (2014) indicated that the uncertainty in estimating the radiative forcing using model simulation is very high due to limited measurement data, which however could be possibly improved by combining remote sensing retrieved results.

Recently, the satellite remote sensing with the advantage of high spatial-temporal resolution has been confirmed as an accurate and useful tool to retrieve the radiative forcing by in-snow light-absorbing particles over high snow cover areas (Painter et al., 2012). We further used the in-situ measurement data obtained by previous studies to estimate the uncertainties and biases of the retrieved radiative forcing based on the satellite remote sensing. We found that the biases of MODIS retrieved radiative forcing in NEC compared with the surface measurements are within the acceptable range (Painter et al., 2012) (see Section 4.4 of the manuscript).

In addition, to validate the spatial pattern of MODIS retrieved I_{LAPs} and radiative forcing in NEC, we added multiple types of snowfall and BC deposition data. For example, we collected four types of snowfall data including the 126-station snowfall data of China in NEC, the observations-based snowfall data from the ERA-Interim reanalysis (http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/), the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2), and the National Centers for Environmental prediction (NCEP) Climate Prediction

(https://www.esrl.noaa.gov/psd/data/gridded/data.cpc.globalprecip.html) and two types of BC deposition data including reanalysis data from the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2) and the modelling data from the Coupled Model Intercomparison Project Phase 6 (CMIP6, the latest CMIP phase) including CESM2, CESM2-WACCM, and CNRM-ESM2-1 historical experiments (Eyring et al., 2016). The results showed that BC dry and wet deposition and snowfall could totally explain 81%-84% of the spatial variance of insnow light-absorbing particles in NEC based on different datasets, which also confirms the reasonability of the spatial patterns of retrieved I_{LAPs} and thus radiative forcing based on remote sensing in NEC. Detailed revisions please see the manuscript in Page 13-14, Page 31 Lines 9-18 and the supplements.

Above all, we note that satellite remote sensing could be used to retrieve the radiative forcing by in-snow light-absorbing particles in NEC, especially in highly polluted industrial areas, which is also consistent with the previous study by Warren et al. (2013).

Table S1. R^2 between MODIS retrieved I_{LAPs} versus fitted I_{LAPs_fit} using different datasets.

			R ²
BC Wet Deposition	BC Dry Deposition	Consultall Data	(MODIS Retrieved
Data	Data	Snowfall Data	I _{LAPs} Versus Fitted
			I _{LAPs_fit})
MERRA-2	MERRA-2	ERA-Interim	0.84 ^b
MERRA-2	MERRA-2	MERRA-2	0.82 ^b
MERRA-2	MERRA-2	CPC	0.82 ^b
CMIP6 ^a	CMIP6	ERA-Interim	0.84°
CMIP6	CMIP6	MERRA-2	0.83°
CMIP6	CMIP6	CPC	0.81°

a: CMIP6 data in this study is CIMP6 multi-model ensemble mean data including CESM2, CESM2-WACCM, and CNRM-ESM2-1 historical experiments from 2003 to 2014. So far, only the above three models in CMIP6 provide BC deposition data.

b: data used to fit $I_{\text{LAPs_fit}}$ is from 2003 to 2017.

c: data used to fit I_{LAPs_fit} is from 2003 to 2014, which is due to that the data of CMIP6 historical experiments is only updated to 2014.

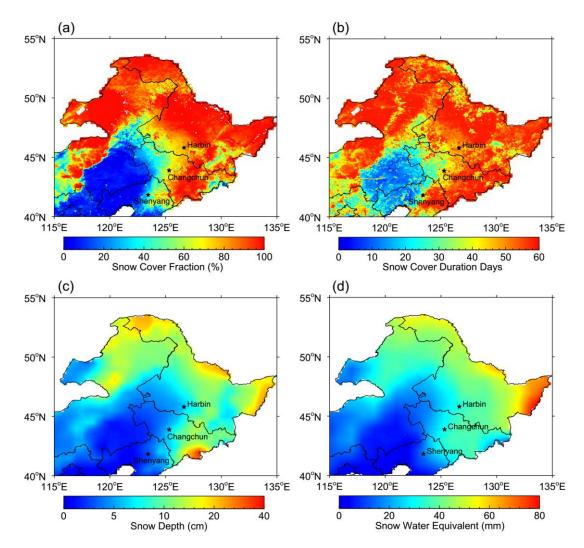


Figure S5. Spatial distribution of average (a) snow cover fraction, (b) snow cover duration days, (c) snow depth, and (d) snow water equivalent in January-February from 2003 to 2017. The data of snow cover fraction and duration days is from MODIS MYD10CM and MYD10C2, respectively. The method calculating the snow cover duration days is from Chen et al. (2015). Snow depth data is from Canadian Meteorological Centre (CMC). Snow water equivalent data is from European Space Agency (ESA) Global Snow Monitoring for Climate Research.

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Anonymous Referee #2

Received and published: 12 February 2019

We are very grateful for the referee's critical comments and suggestions. The followings are our point-by-point responses to the comments. Our responses start with "R:".

Summary This study uses remote observations (and models) to quantify the radiative forcing (RF) of light absorbing particles deposited in snow in Northeastern China. The authors use a combination of observations and models, including MODIS, SNICAR, SBDART, as well as ERA-Interim reanalysis and MIROC5 BC deposition simulations. Spatial variations in the RF are primarily attributed to light absorbing particles, and multiple linear regression shows BC deposition and snowfall explains the bulk of the spatial variation in light absorbing particles (based on an impurity index). Finally, the inferred RF is compared with in situ estimates. Overall, the authors combine a lot of data from various sources to construct the RF of light absorbing particles in snow. There are a lot of uncertainties! But the authors appear to do a good job at acknowledging these uncertainties, and quantify them when possible.

R: Thanks very much for your comments and suggestions, we have addressed all of the comments carefully as detailed below.

Comments

Why use ERA-Interim for snowfall data? Is it any good?

R: Actually, we collected four types of snowfall data, including the surface observational data from China Meteorological Administration (126 observation stations), the ERA-Interim reanalysis (http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/), the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2), and the National Centers for Environmental Prediction (NCEP) Climate Prediction Center (CPC) (https://www.esrl.noaa.gov/psd/data/gridded/data.cpc.globalprecip.html). Figure S1 shows the spatial distribution of the observational stations over Northeastern China.

We note that the observation stations are limited in our study areas, especially in WNEC and ENEC. Compared with the observed snowfall data, we also assessed the snowfall data from ERA-Interim reanalysis, MERRA-2 reanalysis, and CPC in NEC. We found that the ERA-Interim reanalysis data is more consistent with surface observations (Figure S2). Besides we examine the spatial distribution of the retrieved radiative forcing by using ERA-Interim snowfall data in this study, the results based on other types of snowfall data are also estimated. The R² of retrieved I_{LAPs} and fitted I_{LAPs_fit} based on the snowfall data from ERA-Interim reanalysis, MERRA-2 reanalysis, and CPC are 0.84, 0.82-0.83, and 0.81-0.82, which are really similar (Table S1). Therefore, we prefer to use ERA-Interim snowfall data in our study. We also added more details that why used ERA-Interim snowfall data in Section 2.4 in Page 13 Lines 20-22 and Page 14 Lines 1-13.

Why use MIROC5 for BC deposition data? What about the other CMIP5 models?

R: We have replaced MIROC5 BC deposition data with MERRA-2 BC deposition data in this study and added the description of MERRA-2 data in Section 2.3. In addition, we have added the discussions about using different BC deposition data in Section 4.3 in Page 12 Lines 14-22 and Page 13 Lines 1-9, and the results of comparisons between MODIS retrieved I_{LAPs} and fitted I_{LAPs_fit} using different BC deposition data are listed in Table S1 in the supplements.

To our knowledge, there is no surface measurement data for the spatial distribution of BC deposition in NEC. Therefore, we just collected reanalysis data of BC deposition from the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2) from 2003 to 2017 and the modelling data of BC deposition from the Coupled Model Intercomparison Project Phase 6 (CMIP6, the latest CMIP phase) including CESM2, CESM2-WACCM, and CNRM-ESM2-1 historical experiments from 2003 to 2014 (Eyring et al., 2016). So far, only the above three models in CMIP6 provide BC deposition data. In this study, we prefer to use MERRA-2 data, because MERRA-2 data is the latest atmospheric reanalysis of the modern satellite era produced by NASA's Global Modeling and Assimilation Office (GMAO) and assimilates aerosol observations and other observation types to provide a viable ongoing climate analysis. Both observable parameters and aerosol diagnostics have

widely potential applications ranging from air quality forecasting to aerosol-climate interactions (Bocquet et al., 2015; Randles et al., 2016, 2017).

Where does BC emission density come from?

R: BC emission density data is obtained from the research group at Peking University (http://inventory.pku.edu.cn/home.html, Wang et al., 2014). Please check more description of BC emission density in Section 2.3 in Page 13 Lines 10-15.

Why only year 2014, when the study spans 2003-2017?

R: BC emission density data used in this study is from 2003 to 2014, we have corrected the mistakes in the manuscript. Among all available BC emission density data, we prefer to use the data from Wang et al. (2014) after taking spatial and temporal resolution, data period, data quality and other factors into account. Although the BC emission density is only updated to 2014, which does not completely cover the study period of 2003-2017, the spatial patterns of BC emission density from 2003 to 2017 are similar. As a result, the BC emission density data used in this study from 2003 to 2014 is reasonable.

Are there not interannual variations in BC emissions? Or is this not important?

R: The major novelty of this study is to reveal the spatial distribution of MODIS retrieved radiative forcing in NEC, which is compared well with our surface measurements during the snow field campaigns. We note that the interannual variations of radiative forcing are also important, however, due to no more long-term datasets of surface measurements, the interannual variations could lead a large uncertainty due to several key factors, such as the large variations of snowfall each year, dry and wet deposition of LAPs in snow. As a result, we considered that the interannual variations of retrieved radiative forcing by using the remote sensing will be investigated in the future study based on more surface measurements to constrain the uncertainties. Therefore, we also didn't highlight the interannual variations of BC emission.

Several awkward/incomplete sentences exist. For example, L 15 P 26.

R: We have carefully corrected the awkward/incomplete sentences throughout the manuscript. The sentence in Page 26 Line 15 has been revised as "Previous studies have attempted to retrieve the radiative forcing by LAPs in snow by using remote sensing (e.g. Painter et al., 2012, 2013), however, attributing the spatial variations of radiative forcing by LAPs in snow is really sparse, and need to be further investigated.".

This paper uses a large number of data sets. It would be helpful to list these in figure captions, as a reminder of where the variable comes from.

R: We have updated the related information in figure captions as suggested.

The quoted RF represents a snap shot under clear sky conditions (and other caveats). I think this should be included in the abstract, since it puts the very large RF (~45 W/m^2) into context.

R: We have revised the "...to retrieve the radiative forcing by LAPs in snow (RF^{LAPs}_{MODIS})..." as "...to retrieve the instantaneous spectrally-integrated radiative forcing at the surface by LAPs in snow (RF^{LAPs}_{MODIS}) under clear-sky conditions at the time of MODIS Aqua overpass ...".

Figure 2. "density" repeated.

R: We have removed the repeated "density".

Figure 4. Dotted areas hard to see.

R: We have revised Figures 4, 6 and 10, and make the dots more clearly.

Table S1. R^2 between MODIS retrieved I_{LAPs} versus fitted I_{LAPs_fit} using different datasets.

			R ²
BC Wet Deposition	BC Dry Deposition	0 (.!! D(.	(MODIS Retrieved
Data	Data	Snowfall Data	I _{LAPs} Versus Fitted
			I _{LAPs_fit})
MERRA-2	MERRA-2	ERA-Interim	0.84 ^b
MERRA-2	MERRA-2	MERRA-2	0.82 ^b
MERRA-2	MERRA-2	CPC	0.82 ^b
CMIP6 ^a	CMIP6	ERA-Interim	0.84 ^c
CMIP6	CMIP6	MERRA-2	0.83 ^c
CMIP6	CMIP6	CPC	0.81°

a: CMIP6 data in this study is CIMP6 multi-model ensemble mean data including CESM2, CESM2-WACCM, and CNRM-ESM2-1 historical experiments from 2003 to 2014. So far, only the above three models in CMIP6 provide BC deposition data.

b: data used to fit I_{LAPs_fit} is from 2003 to 2017.

c: data used to fit I_{LAPs_fit} is from 2003 to 2014, which is due to that the data of CMIP6 historical experiments is only updated to 2014.

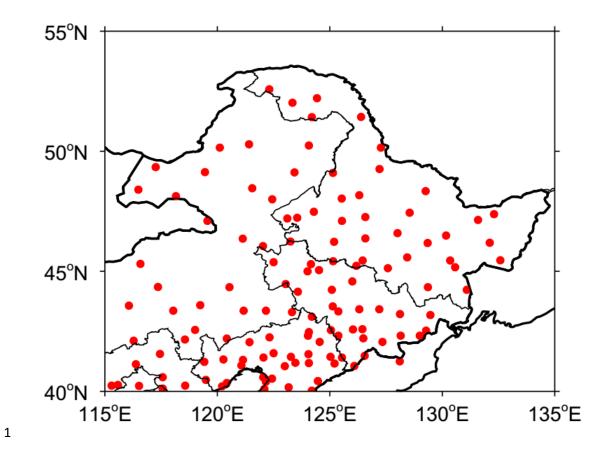


Figure S1. Spatial distribution of 126 meteorological observation stations in NEC.

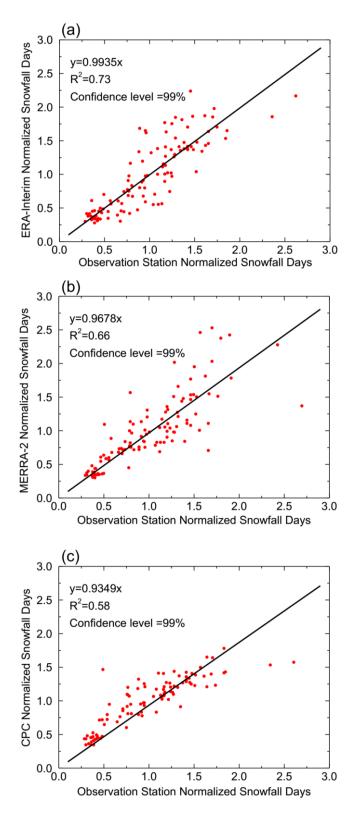


Figure S2. A comparison of 126-station normalized snowfall days versus (a) ERA-Interim, (b) MERRA-2, and (c) CPC normalized snowfall days.

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1	Radiative Forcing by Light Absorbing Particles (LAPs) in Snow in over Northeastern
2	China Retrieved from Satellite Observations
3	The Remote Sensing of Radiative Forcing by Light-Absorbing Particles (LAPs) in
4	Sseasonal Snow over Northeastern China
5	
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Abstract. Light-absorbing particles (LAPs) deposited on snow can decrease snow 1 albedo and affect climate through the snow-albedo radiative forcing. In this study, we 2 use MODIS observations combined with a snow albedo model (SNICAR) and a 3 radiative transfer model (SBDART) to retrieve the instantaneous spectrally-integrated 4 radiative forcing at the surface by LAPs in snow (RF_{MODIS}) under clear-sky conditions 5 at the time of MODIS Aqua overpass the radiative forcing by LAPs in snow (RF MODIS) 6 across across Northeastern China (NEC) in January-February from 2003 to 2017. 7 RF_{MODIS} presents distinct spatial variability, with the minimum (22.3 W m⁻²) in western 8 NEC and the maximum (64.6 W m⁻²) near industrial areas in central NEC. The regional 9 mean RF_{MODIS}^{LAPs} is ~45.1±6.8 W m⁻² in NEC. The positive (negative) uncertainties of 10 retrieved RF_{MODIS}^{LAPs} due to atmospheric correction range from 14% to 57% (-14% to -11 47%) and the uncertainty value basically decreased with the increased RF_{MODIS}. We 12 attribute the variations of radiative forcing based on remote sensing and find that the 13 spatial variance of \mbox{RF}_{MODIS}^{LAPs} in NEC is 74.6% due to LAPs, while 21.2% and 4.2% due 14 15 to snow grain size, and solar zenith angle. Furthermore, based on multiple linear 16 regression, the BC dry and wet deposition and snowfall could totally explain 8184% of the spatial variance of LAP contents, which confirms the reasonability of the spatial 17 patterns of retrieved RF_{MODIS} in NEC. We validate RF_{MODIS} using in situ radiative 18 forcing estimates. We find that the biases in RF_{MODIS} are negatively correlated with 19 LAP concentrations and range from ~5% to ~350% in NEC. 20

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22

1. Introduction

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Pure snow is the most strongly reflective natural substance at the surface of the Earth, 2 and seasonal snow covers more than 30% of the Earth's land area (Painter et al., 1998). 3 Therefore, snow cover has an important impact on the radiation balance of the Earth 4 (Cohen and Rind, 1991). When light-absorbing particles (LAPs), such as black carbon (BC), organic carbon (OC), and mineral dust deposited on snow, can effectively reduce 6 snow albedo (Hadley and Kirchstetter, 2012; He et al., 2017, 2018; Li et al., 2016; 7 Warren, 1982, 1984; Warren and Wiscombe, 1980) and enhance the absorption of solar 8 9 radiation (Dang et al., 2017; Kaspari et al., 2014; Liou et al., 2011, 2014; Painter et al., 2012b). Warren and Wiscombe (1980) indicated out that 10 ng g⁻¹ BC in old snow could 10 reduce the snow albedo by nearly 1% at 400 nm with the snow grain size of 1000 µm. 11 12 Based on model simulation, Jacobson (2004) pointed out that the snow albedo reduction caused by BC in snow and ice is <u>0.4</u>% in the global and 1% in the Northern Hemisphere. 13 14 LAPs in snow further contribute to alterations in snow morphology, accelerations in 15 snowmelt, and reductions in snow cover (Flanner et al., 2007, 2009; Painter et al., 2013a; 16 Xu et al., 2009). For example, Qian et al. (2009) simulated the deposition of BC on snow and its impact on snowpack and the hydrological cycle in the western United 17 States and the results showed that BC-induced snow albedo perturbations caused a 18 19 decrease of snow water equivalent by 2-50 mm over the mountains during late winter to early spring. 20 21 Several studies have estimated the radiative forcing by LAPs in snow based on model simulations, which has nonnegligible effects on local hydrological cycles (Painter et al., 22

2010; Qian et al., 2009; Yasunari et al., 2010) and regional and global climate (Bond et 1 al., 2013; Hansen and Nazarenko, 2004; He et al., 2014; Jacobson, 2002, 2004; 2 3 McConnell et al., 2007; Ramanathan and Carmichael, 2008; Yasunari et al., 2015). For example, in the Northern Hemisphere, Hansen and Nazarenko (2004) pointed out that 4 the radiative forcing of BC on snow and ice albedo is +0.3 W m⁻². In addition, the IPCC's AR5 (2013) indicated that the impact of BC in snow and ice accounted for a 6 global mean climate forcing of +0.04 W m⁻², but the confidence level is low. Bond et 7 al. (2013) estimated the climate forcing consisting of radiative forcing, rapid 8 9 adjustments, and the strong snow-albedo feedback due to BC-in-snow forcing and pointed that the best valuation of the climate forcing by BC in snow and sea ice is +0.13 10 W m⁻², although the 90% uncertainty bounds are from +0.04 W m⁻² to +0.33 W m⁻². 11 12 Nevertheless, recent studies reported that ample factors confuse the model simulation of BC-in-snow induced climate forcing, and the model-based estimate of the regional 13 and global radiative forcing caused by BC in snow and ice is still a challenge (Hansen 14 15 and Nazarenko, 2004; Bond et al., 2013; Pu et al., 2017). Much of northeastern China (NEC) is covered by contiguous seasonal snow in the 16 17 winter and early spring. Local pollutant emissions in this region are some of the most intense in the world (Bond et al., 2004), leading to considerable amounts of LAPs 18 deposited on snow (Bond et al., 2013). Several field campaigns have been conducted 19 to analyze LAPs concentrations in snow across NEC (Huang et al., 2011; Wang et al., 20 2014ba, 2015). Wang et al. (2013) conducted a large field campaign to measure LAPs 21 in seasonal snow in northern China from January to February 2010. They found that 22

BC is the dominant absorber compared with OC and dust in NEC and BC 1 concentrations in snow in this region range from 40 ng g⁻¹ to 4000 ng g⁻¹, which are 2 much higher than those measured in the Arctic, North America and Europe (Doherty et 3 al., 2010, 2014; Peltoniemi et al., 2015). Recently, Wang et al. (2017) compared 4 measured and simulated snow albedos and showed that LAPs can reduce the visible spectral albedo in NEC to 0.65, which indicated a significant impact of LAPs on snow 6 albedo reduction. Zhao et al. (2014) simulated the radiative forcing by LAPs in snow 7 over northern China using a coupled model; however, they noted that the uncertainties 8 9 of their results are non-negligible, due to the limited observations that are available. Remote sensing is considered to be a powerful tool for estimating snow physical 10 properties (e.g., Nolin and Dozier, 1993, 2000) and LAPs-induced snow albedo 11 12 reduction, which can provide valuable observational information for modeling studies to reduce modeling uncertainties. For instance, to estimate the influence of mineral dust 13 on snow albedo in the European Alps, Di Mauro et al. (2015) defined a new spectral 14 15 index, the Snow Darkening Index based on in situ measured snow spectral reflectance 16 and the Landsat 8 Operational Land Imager (OLI) data, they found that the Snow Darkening Index could effectively track the content of mineral dust in snow. In addition, 17 Di Mauro et al. (2017) characterized the impact of LAPs on ice and snow albedo of the 18 19 Vadret da Morteratsch, a large valley glacier in the Swiss Alps using satellite (EO-1 Hyperion) hyperspectral data. The results showed that the spatial distribution of both 20 21 narrow-band and broad-band indices retrieved from Hyperion was related to ice and snow impurities. In the Arctic, Dumont et al. (2014) developed an Impurity Index based 22

on satellite observations (MODIS C5 surface reflectance) to analyze the snow 1 darkening caused by the increased contents of LAPs in snow in Greenland. 2 3 Nevertheless, Polashenski et al. (2015) pointed out that the apparent snow albedo decline in Greenland observed from MODIS C5 surface reflectance (Dumont et al., 4 2014) has a significant contribution from the uncorrected Terra sensor degradation. In this study, in order to prevent the interference from the sensor degradation, we used the 6 latest version (version 6, C6) of MODIS data from Aqua sensor, which was verified to 7 not suffer from the influence of sensor degradation (Polashenski et al., 2015). Even 8 9 though these studies have confirmed the ability of remote sensing on assess the role of LAPs in snow on snow albedo reduction, however, they didn't quantitatively estimate 10 the radiative forcing caused by LAPs in snow, which is extremely important for 11 12 implying the impact of LAPs on regional and global climate. Recently, Painter et al. (2012a) have successfully used the MODIS Dust Radiative Forcing in Snow 13 (MODDRFS) model to retrieve surface radiative forcing by LAPs in snow cover from 14 15 Moderate Resolution Imaging Spectroradiometer (MODIS) surface reflectance data. They found that the instantaneous at-surface radiative forcing can beyond 250 W m⁻² 16 in the Hindu Kush-Himalaya area and falls in a range of 30-250 W m⁻² in the Upper 17 Colorado River Basin. Painter et al. (2013b) also provided and validated an algorithm 18 19 suite to quantitatively retrieve radiative forcing by LAPs in snow from Airborne Visible/Infrared Imaging Spectrometer (AVIRIS) data in the Senator Beck Basin Study 20 Area (SBBSA), SW Colorado, USA. The lowest radiative forcing was found on the 21 high north facing slopes while the highest on southeast facing slopes at the lowest 22

elevations. Seidel et al. (2016) analyzed the spatial and temporal distribution of 1 radiative forcing by LAPs in snow in the Sierra Nevada and Rocky Mountain from 2 imaging spectroscopy. Their results presented an increased radiative forcing from 20 3 W m⁻² up to 200 W m⁻² in the melting period. However, to date, no studies have 4 quantitatively attributed the contributions of each factor to the variations of radiative 5 forcing by LAPs in snow based on remote sensing. Moreover, no studies have estimated 6 7 the radiative forcing by LAPs in snow across NEC using remote sensing, even though the LAP content is much higher compared with those in Arctic, Europe and USA (Dang 8 9 et al., 2017). Although the We note that using isurfacen situ measurements toin estimateing the 10 radiative forcing by LAPs in snow by using surface measurements are more precise 11 12 than those using remote sensing -or model simulation. However. Hhowever from a regional or global perspective, the surface measurements -data-of snow albedo and 13 LAP content in snow areis very limited from the regional or global scales. According 14 to our knowledge, the number of sample sites is less than 50 over a wide NEC area of 15 ~1.5 million km² (Wang X. et al., 2013; 2017; Wang Z. et al., 2014c; Ren et al., 2017). 16 The very sparse measurement sites led to the poor spatial-temporal distribution of 17 estimated radiative forcing in NEC (Dang et al., 2017). On the other hand, remote 18 19 sensing technology has the advantage of high spatial-temporal resolution and has been successfully used to retrieve the radiative forcing by in-snow light-absorbing particles 20 in high snow cover areas (Painter et al., 2012a). In addition, previous study (Zhao et al., 21 2014) indicated that the uncertainty inof estimatinged radiative forcing using model 22

- simulationng is very high due to limited measurement data (Zhao et al., 2014), which
- 2 however could be possibly improved by combining remote sensing retrieved results.
- 3 Hence, estimating using satellite remote sensing technology to retrieve the radiative
- 4 forcing by in-snow light-absorbing particlesLAPs in snow retrieved by using the
- 5 <u>satellite remote sensing seems to be necessary.</u>
- 6 In this study, we attempt to retrieve the radiative forcing by LAPs in snow across NEC
- 7 using MODIS datasets combined with the Snow, Ice, and Aerosol Radiation (SNICAR)
- 8 model (Flanner et al., 2007, 2009) and the Santa Barbara DISORT Atmospheric
- 9 Radiative Transfer (SBDART) model (Ricchiazzi et al., 1998), and estimate the
- uncertainties of radiative forcing from atmospheric correction and qualify the fractional
- contribution of each factor to the spatial variance of RF_{MODIS}^{LAPs} . Then, we will investigate
- the reasonability of the spatial patterns of retrieved radiative forcing in NEC based on
- BC deposition and snowfall data. Finally, we quantitatively estimate the biases of
- MODIS retrieved radiative forcing using in situ radiative forcing estimates, which are
- based on field measurements.
- 16 2. Datasets
- 17 2.1. Remote Sensing Datasets
- The latest version (Collection 6) of MODIS surface reflectance data (MYD09GA),
- MODIS snow cover data (MYD10A1), and MODIS aerosol optical depth (AOD) data
- 20 (MYD04) are used in this study from 2003 to 2017 that cover the months of January
- 21 through February (https://modis.gsfc.nasa.gov/). The MOD09 product is divided into 7
- bands (band 1, 620-670 nm; band 2, 841-876 nm; band 3, 459-479 nm; band 4, 545-

565 nm; band 5, 1230-1250 nm; band 6, 1628-1652 nm; and band 7, 2105-2155 nm), 1 and has a spatial resolution of 500 m (Vermote, 2015). The MOD09 surface reflectance 2 is an estimate of the surface spectral reflectance for each band as it would have been 3 measured at ground level as if there were no atmospheric scattering or absorption. It 4 corrects for the effects of atmospheric gases and aerosols. The performance of the atmospheric correction algorithm suffers from the influence of view and solar zenith 6 angles and aerosol optical thickness; the accuracy of the algorithm is also affected by 7 the wavelengths of different bands. More details about the data product information and 8 9 band quality description of MOD09GA could be found in the MODIS Surface Reflectance User's Guide (https://modis.gsfc.nasa.gov/data/dataprod/mod09.php). 10 MODIS satellite data has been widely accepted in retrieval of snow cover and its 11 12 physical properties. (e.g. Scambos et al., 2007; Rittger et al., 2013). In addition, MODIS has three bands located in the visible bands (VIS) and radiometric range in the VIS over 13 snow surface has no saturation phenomenon, which provide the ability of detecting the 14 15 changes of reflectance in the VIS caused by LAPs in snow (Painter et al., 2012a). 16 2.2. Surface Measurement Datasets Wang et al. (2017) conducted a snow survey across NEC in January 2014. They 17 measured AOD using a Microtops II Sun photometer. The Microtops II Sun photometer 18 19 is a portable instrument and measures solar radiance in five spectral wave bands (340, 440, 675, 870, and 936 nm) from which it automatically derives aerosol optical depth 20 21 (AOD). When the Microtops II Sun photometer is well cleaned and well calibrated, its

AOD retrievals can be comparable with those of CIMEL Sun photometers used in the

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AERONET network, with uncertainties ranging from 0.01 to 0.02 (Ichoku et al., 2002). 1 The snow albedo and surface solar irradiance were measured using an Analytical 2 Spectral Devices (ASD) spectroradiometer. The Analytical Spectral Devices Inc. (ASD) 3 spectroradiometer has 3 nm spectral resolution on the visible/near infrared detector 4 (350–1050 nm, silicon photodiode array), and 10–12 nm resolution on the short wave infrared detectors (900-2500 nm, InGaAs). Measurements are made by standing 6 "down-sun" of the receptor, taking consecutive scans of downwelling and upwelling 7 radiation. Wuttke et al. (2006) indicated that the ASD spectroradiometer is considered 8 9 as the most mobile, capable, and rapid for measuring spectral albedo during short time periods, especially in very cold regions. The cosine error is less than 5% for solar zenith 10 angles below 85° at a wavelength of 320 nm. We use these datasets to validate the snow 11 12 grain size retrievals and the simulated surface solar irradiance values. Snow samples were collected at 46 sites in January and February 2010 across Northern 13 China (Wang et al., 2013) and at 13 sites in January 2014 across Northeastern China 14 15 (Wang et al., 2017). A detailed description of the procedures of snow collection and 16 filtration has been presented by previous studies (Doherty et al., 2010, 2014; Wang et al., 2013). Briefly, in order to keep the collected snow samples to be regionally 17 representative and minimize the influence from the local emission sources, sample 18 19 locations were usually chosen at least 1 km upwind away from the approach roads and railways and more than 50 km from cities and towns. In addition, efforts were made to 20 21 collect samples in open areas in order to prevent the contaminations from the detritus of bushes and trees. Generally, snow samples were collected within a vertical resolution 22

varied from ~2 cm to 10 cm and usually at typically vertical intervals of 5 cm from the top to the bottom throughout the snowpack depth at each site. In a case of a visibly distinct layering, such as newly fallen snow at surface layer or a melt layer, the snow at that layer was gathered individually. Right and left snow samples of two side-by-side vertical profiles were collected within each layer to make a comparison and average the snow sample pairs. All snow samples were maintained frozen to prevent the melting snow from influencing the LAPs content. Usually every 3 to 4 days, snow samples were filtered at temporary laboratories set up in hotels. Simply, snow samples were melted and filtered through Nuclepore filters of 0.4 µm pore size. The samples of "before" and "after" filtration were gathered and refrozen for the following chemical analysis, and the filters were used for optical analysis. An integrating sphere/integrating sandwich spectrophotometer (ISSW) was applied to analyze the filters and quantify the spectral light absorption by LAPs in snow. ISSW was firstly described by Grenfell et al. (2011), modified by Wang et al. (2013) and Doherty et al. (2014), and has been used by some previous studies (Dang and Hegg, 2014; 2014; Pu et al., 2017; Zhou et al., 2017). Schwarz et al. (2012) has confirmed the performance of ISSW in quantifying LAPs concentrations in snow by comparing with the Single Particle Soot Photometer (SP2) although both SP2 and ISSW may suffer from non-negligible uncertainties. Briefly, ISSW produces a diffuse radiation field when white light illumination is transmitted into an integrating sphere, then the diffuse radiation pass through the filter from below and is measured by a spectrometer. By measuring a sample filter and a blank filter, respectively, ISSW acquires the light

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- attenuation spectrum due to the loadings on sample filter (Grenfell et al., 2011).
- 2 Because of the design that the measured filter is sandwiched between two integrating
- 3 spheres, the light attenuation is nominally due to the absorption of LAPs on the filter
- and the influence of light scattering is negligible (Doherty et al., 2014). ISSW measures
- 5 the light attenuation from 400 nm to 700 nm benefited from the optimal signal-to-noise
- ratio, and then extends the full spectral to a range of 350 to 750 nm by extrapolation
- 7 (Pu et al., 2017). Calibration is done by measuring a set of fullerene (a synthetic BC,
- 8 Alfa Aesar, Inc., Ward Hill, MA, USA) filters with a range of known loadings. Then,
- 9 the light attenuation spectrum of the sample filter is transformed to an equivalent BC
- mass loading by against the standard filters. With the loaded area on the filter and the
- volume of filtered snow water, equivalent BC mass is converted to equivalent BC
- 12 concentration (BC_{equiv}). In this study, we will use BC_{equiv} on behalf of all LAPs to
- calculate the in situ radiative forcing.
- 14 2.3. BC Deposition and Emission data
- 15 BC deposition has important effects on the radiative forcing by LAPs in snow (Seidel
- et al., 2016). Higher BC deposition indicates that greater amounts of BC are deposited
- on snow, reducing the snow albedo. In addition, local BC emission density can also
- 18 imply the LAP content in snow. To our knowledge, there is no measurement data for
- 19 the spatial distribution of BC deposition in NEC. Therefore, we just collected reanalysis
- 20 data of BC deposition from the Modern-Era Retrospective Analysis for Research and
- 21 Applications, version 2 (MERRA-2) in January-February from 2003 to 2017 and the
- 22 modelling data of BC deposition from the Coupled Model Intercomparison Project

- 1 Phase 6 (CMIP6, the latest CMIP phase) including CESM2, CESM2-WACCM, and
- 2 CNRM-ESM2-1 historical experiments in January-February from 2003 to 2014 (Eyring
- et al., 2016). So far, only the above three models in CMIP6 provide the BC deposition
- data. In our study, we prefer to use MERRA-2 data, because MERRA-2this data is the
- 5 latest atmospheric reanalysis data-dataset —of the modern satellite era produced by
- 6 NASA's Global Modeling and Assimilation Office (GMAO) and assimilates aerosol
- 7 <u>observations and other observation types to provide a viable ongoing climate analysis.</u>
- 8 Its provided both observable parameters and aerosol diagnostics have widely potential
- 9 applications ranging from air quality forecasting to studies of aerosol-climate and
- 10 aerosol weather interactions (Bocquet et al., 2015; Randles et al., 2016, 2017). In
- addition, the period range of MERRA-2 BC deposition data satisfies our study period
- of 2003-20017 but the CMIP6 data is only updated to 2014. But nonetheless, wWe note
- that the results and conclusions based on different BC deposition data are similar (see
- 14 <u>Section 4.3).</u>
- 15 <u>LIn addition, local BC emission density can also imply the LAP content in snow.</u>
- Among the all available BC emission density data, we use the data from the research
- 17 group at Peking University (http://inventory.pku.edu.cn/home.html, Wang et al., 2014a)
- after taking spatial and temporal resolution, data period, data quality and other factors
- into account. The BC emission density data we used is in January-February from 2003
- 20 to 2014 because it is only updated to 2014.
- 21 <u>2.4. and Snow Parameter</u> –Data
- 22 Seidel et al. (2016) pointed out that snowfall can affect the radiative forcing by LAPs

in snow. A higher frequency of snowfall implies that greater amounts of fresh snow, 1 which has smaller snow grains than aged snow, are present at the surface, increasing 2 3 the snow albedo (Wang et al., 2014c). In this study, wWe collected four types of snowfall data in January-February from 2003 to 2017, including the surface 4 observational data from China Meteorological Administration (126 observation 5 stations), the ERA-Interim reanalysis (http://apps.ecmwf.int/datasets/data/interim-full-6 7 daily/levtype=sfc/), the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2), and the National Centers for Environmental 8 9 Prediction (NCEP) Climate Prediction Center 10 (https://www.esrl.noaa.gov/psd/data/gridded/data.cpc.globalprecip.html). Figure S1 shows the spatial distribution of the observational stations over Northeastern China. We note that 11 12 the observation stations are limited in our study areas. Compared with the observed snowfall data, we also assessed the snowfall data from ERA-Interim reanalysis, 13 MERRA-2 reanalysis, and CPC in NEC. We found that the ERA-Interim reanalysis 14 data is more consistent with surface observations (Figure S2)the spatial distribution of 15 126 meteorological observation stations in NECThe surface observed snowfall data is 16 17 more accurate than other types of snowfall data, however, the observation stations are 18 very limited of snowfall based on surfaces data . We surface observations. Therefore, we 19 prefer to use ERA-Interim for snowfall data in this study. But as with BC deposition data, the results and conclusions based on different snowfall data are similar (see 20 21 Section 4.3). BC deposition and snowfall both have important effects on the radiative forcing by 22

- LAPs in snow (Seidel et al., 2016). Higher BC deposition indicates that greater amounts 1 of BC are deposited on snow, reducing the snow albedo. A higher frequency of snowfall 2 3 implies that greater amounts of fresh snow, which has smaller snow grains than aged snow, are present at the surface, increasing the snow albedo (Wang et al., 2014b). To 4 briefly describe the snow cover condition in NEC in January-February, we collect 5 multiple types of snow parameter data including snow cover data (MYD10CM and 6 7 MYD10C2) from MODIS products (https://modis.gsfc.nasa.gov/data/dataprod/mod10.php), snow depth data from 8 9 Canadian Meteorological Centre (CMC) (https://nsidc.org/data/NSIDC-0447/versions/1), and snow water equivalent data (GlobSnow-2) from European Space 10 (ESA) Global Snow Monitoring for Climate 11 Agency 12 (http://www.globsnow.info/). Therefore, we examine the retrieved results based on the snowfall data in January February from 2003 to 2017 from the ERA Interim reanalysis 13 (http://apps.ecmwf.int/datasets/data/interim full daily/levtype=sfc/), and the BC dry and wet 14 15 deposition data of MIROC5 historical experiments from phase 5 of the Coupled Model 16 Intercomparison Project in January-February from 2003 to 2005 (CMIP5; Taylor et al., 17 2012).
- 18 3. Methods
- 19 3.1. Models
- 20 3.1.1. SNICAR model
- 21 Snow, Ice, and Aerosol Radiative (SNICAR) model is the most widely used multi-layer
- snow albedo model in the fields of atmospheric sciences. Flanner et al. (2007) has

presented a comprehensive description for SNICAR model. Here, we just briefly give 1 a summary of SNICAR. SNICAR simulates radiative transfer in snowpack based on 2 the theory of Wiscombe and Warren (1980) and the two-stream multilayer radiative 3 approximation of Toon et al (1989). The input optical parameters (mass extinction 4 coefficient, single scatter albedo, and asymmetry factors) of snow grains and LAPs are off-line calculated using Mie theory. In addition, the types of surface spectral 6 distribution (clear- or cloudy-sky) and incident radiation (direct or diffuse) can be 7 chosed by users, and users must specify the solar zenith angle if the incident flux is 8 9 direct. In general, users should input the parameters involving the type of surface spectral distribution and incident radiation, number of snow layers, snow thickness, 10 density, snow grain radius, and the type and concentration of LAPs in each snow layer, 11 12 the albedo of underlying ground, Following the previous study (Painter et al., 2012a), we assume one-layer semi-infinite snow to drive SNICAR model in this study. 13 3.1.2. SBDART model

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15 In this study, we use the Santa Barbara DISORT Atmospheric Radiative Transfer 16 (SBDART) model (Ricchiazzi et al., 1998) to simulate the surface solar irradiance. SBDART is one of the most widely used models to calculate the radiative transfer at 17 the Earth's surface and within the atmosphere in both clear and cloudy sky. SBDART 18 19 is a combination of a DISORT (Discrete Ordinate Radiative Transfer) radiative transfer module (Stamnes et al., 1988), low-resolution atmospheric transmission models, and 20 Mie theory. The radiative transfer equations for a plane-parallel, vertically 21 inhomogeneous, non-isothermal atmosphere numerically integrated in SBDART are 22

- based on DISORT and light scattering by water droplets and ice crystals results from
- 2 Mie theory. SBDART already considers all important processes that affect the
- 3 ultraviolet, visible, and infrared radiation fields. The key components of SBDART
- 4 include standard atmospheric models, cloud models, extraterrestrial source spectra, gas
- 5 absorption models, standard aerosol models, and surface models. SBDART is well
- 6 suitable for a widespread use in atmospheric radiation and remote sensing studies. More
- details about SBDART model could be found in the paper of Stamnes et al. (1988).
- 8 3.2. Retrieval Methods
- 9 In this study we use BC as a representative to describe the effect of LAPs on snow albedo. Figure 1a shows the spectral snow albedo from 300 to 1400 nm. Gray areas 10 show the typical spectral solar irradiance at the time of MODIS Aqua overpass (local 11 time of 1:30 PM) in January-February of NEC; the yellow column bars represent 12 MODIS bandpasses. We can see that when LAPs such as BC deposited on snow, can 13 effectively reduce snow albedo in the visible bands, which contain about half of total 14 solar radiation. For a snowpack with snow grains radius of 100-300 µm, 100 ng g⁻¹ BC 15 in snow (a typical BC concentration in snow of the remote clean areas in NEC) can 16 reduce snow albedo of ~0.05-0.08 at 500 nm; 1000 ng g⁻¹ BC in snow (a typical BC 17 concentration in snow of the polluted industrial areas in NEC) can reduce snow albedo 18 19 of ~0.12-0.2. On the other hand, the effects of BC decrease at longer wavelengths in the near infrared (NIR). Moreover, when wavelengths exceed 1150 nm, snow albedo is 20 dominated by the snow optical effective radius (R_{eff}) and is independent of LAPs. As 21 shown in Figure 1b, snow albedo reduction is not only dependent on LAPs in snow but 22

- also snow grains size and solar zenith angle (θ) . Generally, the reduction in snow albedo
- 2 caused by BC increases with BC concentration and R_{eff}, whereas it decreases with the
- solar zenith angle (θ). Based on these characteristics, we retrieve R_{eff}, the reduction in
- 4 snow albedo, and the radiative forcing by LAPs in this section.
- 5 3.2.1. Snow Cover
- 6 Three methods have been widely used in mapping snow-covered area using MODIS
- data. In the first method, "binary" maps, pixels are classified as either "snow-free" or
- 8 "snow-covered" (Hall et al., 1995). However, significant errors exist in such maps, as
- 9 pixels with a resolution of 500 m are not always completely covered by snow. The
- second method, the MODSCAG retrieval algorithm, is a fractional snow algorithm that
- is based on spectral mixture analysis (Painter et al., 2009). However, it cannot be
- applied in NEC, due to limited information on the spectral reflectances of the vegetation,
- soils and rock in this region. Therefore, we use the third method, which is based on the
- reflectances in the visible bands and the normalized difference snow index (NDSI):

$$NDSI = \frac{R_{band4} - R_{band6}}{R_{band4} + R_{band6}}$$
 (1)

- where R_{band4} and R_{band6} are the surface reflectances in bands 4 and 6. Following Negi
- and Kokhanovsky (2011), an area is determined to be snow-covered if the NDSI and
- the reflectance in band 4 both exceed 0.6. We note that the following analysis are only
- done over the defined snow covered areas and periods.
- 20 3.2.2. Retrieval of Snow Grain Size
- 21 Many methods have been used to retrieve snow grain size (e.g., Lyapustin et al., 2009;
- Nolin and Dozier, 1993). However, in NEC, the efficacy of most of these methods is

- limited, as the reflectances in bands 1-4 are seriously affected by LAPs in polluted snow
- 2 (Figure 1a), and the reflectances in bands 6-7 are not sensitive to $R_{\rm eff}$. Hence, $R_{\rm eff}$ is
- 3 retrieved at a wavelength of 1240 nm (the central wavelength of band 5) using SNICAR
- 4 (Wang et al., 2017).
- $\,\,$ We validate the retrieved $\,R_{eff}\,$ values using in situ measurements. The mean absolute
- 6 error (MAE) is 71 μm, which is slightly higher than that reported by Painter et al. (2009).
- 7 Nevertheless, the results are still credible because this study investigates a larger spatial
- 8 scale than the previous study.
- 9 3.2.3. Impurity Index
- To assess LAP contents in snow, we use the surface reflectances in bands 4-5 to derive
- 11 an impurity index (I_{LAPs}) :

$$I_{LAPs} = \frac{\ln \left(R_{band4} \right)}{\ln \left(R_{band5} \right)} \tag{2}$$

- 13 This quantity increases with the LAP content but is almost independent of $R_{\rm eff}$ and θ
- 14 (Figure 1c). Di Mauro et al. (2017) has successfully exhibited I_{LAPs} to assess the
- variations of LAP contents in the snow of the Morteratsch Glacier in the Swiss Alps.
- In this study, we didn't retrieve the concentrations of LAPs. Because such retrieval is
- 17 constrained by many unknown factors, such as size distribution, optical properties and
- the mixing state of LAPs (He et al., 2017, 2018; Painter et al., 2013a; Pu et al., 2017).
- 19 Therefore, the conversion from satellite spectra to ground concentrations of LAPs will
- 20 cause significant errors.
- 3.2.4. Retrieval of Radiative Forcing by LAPs in Snow
- 22 Instantaneous surface solar irradiance at the time of MODIS overpass in January-

- February is simulated using the SBDART model (Ricchiazzi et al., 1998) with MODIS
- 2 AOD data as inputs. Wang et al. (2017) has validated the MODIS AOD data using in
- 3 situ measurements in NEC. For the other inputs, the typical values for mid-latitude
- 4 winter provided by SBDART are used. As a result, the normalized mean bias (NMB)
- 5 is less than 2% (Figure S34).
- We estimate the instantaneous spectrally-integrated radiative forcing at the surface by
- 7 LAPs in snow (RF_{MODIS}^{LAPs}) under clear-sky conditions at the time of MODIS Aqua
- 8 overpass, which is a function of solar irradiance and the difference between the MODIS
- spectral reflectance and a simulated clean-snow ($R_{\lambda}^{clean-snow}$) reflectance (Miller et al.,
- 10 2016). $R_{\lambda}^{clean\text{-snow}}$ is simulated using SNICAR model based on the retrieved R_{eff} and
- MODIS derived solar zenith angle (θ) . On the other hand, for MODIS spectral
- 12 reflectance, because MODIS provides only discrete reflectances, we simulate a
- continuous spectral reflectance by fitting SNICAR to the MODIS data and derive the
- 14 fitting parameters by minimizing the RMSE (Painter et al., 2009):

15
$$RMSE = \left(\frac{1}{4} \sum_{\lambda = \text{band1}}^{\text{band4}} \left(R_{\lambda}^{\text{model}} - R_{\lambda}^{\text{MODIS}}\right)^{2}\right)^{1/2}$$
 (3)

- where RMSE is the root mean squared error; and R_{λ}^{model} and R_{λ}^{MODIS} represent the
- simulated and MODIS-derived reflectances at a wavelength λ . Thus, RF_{MODIS}^{LAPs} is
- 18 expressed as follows:

$$RF_{\text{MODIS}}^{\text{LAPs}} = \sum_{\lambda=300 \text{ nm}}^{1240 \text{ nm}} E_{\lambda} * D_{\lambda} * \Delta \lambda$$
 (4)

- where E_{λ} is the solar irradiance at a wavelength λ simulated by SBDART model; D_{λ}
- is the difference between the clean-snow ($R_{\lambda}^{clean-snow}$) and simulated reflectances (R_{λ}^{model})

- at a wavelength λ ; and $\Delta\lambda$ is 10 nm.
- 2 3.2.5. Uncertainties
- The uncertainties in radiative forcing retrievals are primarily due to terrain, liquid snow 3 water, snow patchiness, protrusion of vegetation and atmospheric correction. The study 4 areas are located on smooth plains, and the content of liquid snow water is limited in the study regions in January and February (Wang et al., 2013). Moreover, both 6 experimental and theoretical evidences show that the effect of liquid water in snow on 7 snow reflectance is small in the shortwave part of the spectrum but obvious at the 8 9 wavelengths of 0.95 µm and 1.15 µm (O'Brien and Munis, 1975; O'Brien and Koh, 1981; Wiscombe and Warren 1980), which are not included in MODIS bands used in 10 our study. As a result, the effect of liquid water in snow on the calculations of snow 11 grain size, I_{LAPs} and radiative forcing are limited. Therefore, the effects of terrain and 12 liquid snow water on MODIS retrievals could be negligible. 13 In our study, the snow-covered area is determined if the NDSI and the reflectance in 14 15 band 4 both exceed 0.6, which means that fractional snow cover (FSC) is larger than 16 0.87 according to the FSC equation (FSC= -0.01 + 1.45 *NDSI) from the MODIS Snow Products Collection 6 User Guide (http://nsidc.org/data/MYD10A1). In January and 17 18 February, snow depth is much high and reaches its maximum depth in NEC, snow 19 patchiness in high snow-covered areas is mostly due to the protrusion of vegetation according to the observations of field campaigns (Wang et al., 2013, 2014a2014b). So 20 that the MODIS derived surface reflectance $(R_{\lambda}^{\text{MODIS}})$ in a pixel of our study areas is 21 not snow reflectance, but a mixture of snow and vegetation reflectance. Therefore, we 22

- 1 need to correct the errors of snow reflectance caused by the protrusion of vegetation.
- 2 According to Painter et al. (2009), R_{λ}^{MODIS} could be expressed as:

$$R_{\lambda}^{MODIS} = \frac{E_{\lambda} * FSC * R_{snow}^{\lambda} + E_{\lambda} * (1 - FSC) * R_{vegetation}^{\lambda}}{E_{\lambda}}$$

$$=FSC*R_{\text{snow}}^{\lambda}+(1-FSC)*R_{\text{vegetation}}^{\lambda}$$
 (5)

- where R_{λ}^{MODIS} is MODIS derived surface reflectance at a wavelength λ , E_{λ} is solar
- 6 irradiance at a wavelength λ . FSC is the fractional snow cover, which could be derived
- according to the FSC equation. R_{snow}^{λ} and $R_{vegetation}^{\lambda}$ represent snow and vegetation
- reflectance, respectively, at a wavelength λ . $R_{vegetation}^{\lambda}$ is from the study of Siegmund
- and Menz (2005). Then $R_{\text{snow}}^{\lambda}$ could be expressed as:

$$R_{\text{snow}}^{\lambda} = \frac{(R_{\lambda}^{\text{MODIS}} - (1 - FSC) * R_{\text{vegetation}}^{\lambda})}{FSC}$$
 (6)

- Finally, the accuracy of MODIS surface reflectance (MYD09GA) due to atmospheric
- 12 correction is typically calculated based on the MODIS Surface Reflectance User's
- Guide (Collection 6, https://modis.gsfc.nasa.gov/data/dataprod/mod09.php) as follows:

$$\pm$$
 (0.005 + 0.05*reflectance)

- which is suitable under conditions that AOD is less than 5.0 and θ is less than 75°.
- 16 Therefore, we also estimate the uncertainty of MODIS retrievals from atmospheric
- 17 correction. Briefly, the MODIS derived snow reflectance ($R_{\text{snow, uncertainty}}^{\lambda}$), which takes
- into an account of the accuracy of the atmospheric correction, is expressed as:

$$R_{\text{snow, uncertainty}}^{\lambda} = R_{\text{snow}}^{\lambda} \pm (0.005 + 0.05 * R_{\text{snow}}^{\lambda})$$
 (7)

- then, the fractional uncertainty of MODIS retrieved snow grain size ($FU_{R_{eff}}$) could be
- 21 expressed as:

$$FU_{R_{eff}} = \frac{R_{eff, uncertainty} - R_{eff}}{R_{eff}}$$
(8)

where R_{eff, uncertainty} is the SNICAR simulated snow grain size using the snow

reflectance of $R_{snow,\,uncertainty}^{1240}$. Similar to snow grain size, the fractional uncertainty of

 $I_{LAPs} \ (FU_{I_{LAPs}})$ and $\,RF^{LAPs}_{MODIS} \ (FU_{RF})$ is:

$$FU_{I_{LAPs}} = \frac{I_{LAPs, uncertainty} - I_{LAPs}}{I_{LAPs}}$$
(9)

$$FU_{I_{LAPs}} = \frac{I_{LAPs, uncertainty} - I_{LAPs}}{I_{LAPs}}$$

$$FU_{RF} = \frac{RF_{MODIS, uncertainty}^{LAPs} - RF_{MODIS}^{LAPs}}{RF_{MODIS}^{LAPs}}$$
(10)

- We note that the positive and negative uncertainty is asymmetric due to the nonlinearity 9
- of SNICAR model. 10

3

- 3.2.6. Attribution of the Spatial Variance of Radiative Forcing by LAPs in Snow 11
- As discussed above, RF_{MODIS}^{LAPs} is dependent on I_{LAPs} , R_{eff} and θ , and could be 12
- expressed as: 13

$$RF_{\text{MODIS}}^{\text{LAPs}} = f(I_{\text{LAPs}}, R_{\text{eff}}, \theta)$$
(11)

- as a result, the spatial patterns of I_{LAPs} , R_{eff} and θ determine the spatial pattern of 15
- RF_{MODIS}^{LAPs} . Firstly, we keep R_{eff} and θ spatially constant with values of the spatial
- averages (\overline{R}_{eff} and $\overline{\theta}$). Therefore, the spatial pattern of radiative forcing is only 17
- dependent on the distribution of I_{LAPs} : 18

19
$$RF_{\text{MODIS}}^{\text{LAPs}}(I_{\text{LAPs}}) = f(I_{\text{LAPs}}, \overline{R_{\text{eff}}}, \overline{\theta})$$
 (12)

20 similarly, we could obtain another two equations:

21
$$RF_{MODIS}^{LAPs}(R_{eff}) = f(\overline{I_{LAPs}}, R_{eff}, \overline{\theta})$$
 (13)

22
$$RF_{MODIS}^{LAPs}(\theta) = f(\overline{I_{LAPs}}, \overline{R_{eff}}, \theta)$$
 (14)

Then RF_{MODIS}^{LAPs} is regressed-fitted with $RF_{MODIS}^{LAPs}(I_{LAPs})$, $RF_{MODIS}^{LAPs}(R_{eff})$ and $RF_{MODIS}^{LAPs}(\theta)$ 23

- using multiple linear regression, the $\frac{\text{regressed-fitted}}{\text{radiative forcing }}$ (RF $\frac{\text{LAPs}}{\text{RegressionFit}}$) is
- 2 expressed as:

$$RF_{\underline{\text{PitRegression}}}^{LAPs} = a + b * RF_{\underline{\text{MODIS}}}^{LAPs} (I_{LAPs}) + c * RF_{\underline{\text{MODIS}}}^{LAPs} (R_{eff}) + d * RF_{\underline{\text{MODIS}}}^{LAPs} (\theta)$$
(15)

- where a, b, c and d are regression coefficients. In our study, we find that RF_{RegressionFit}
- 5 could explained 99.9% of the variance of RF_{MODIS}^{LAPs} (Figure \$254). Therefore, we can
- attribute the variance of $RF_{\text{RegressionFit}}^{\text{LAPs}}$ instead of $RF_{\text{MODIS}}^{\text{LAPs}}$ to estimate the fractional
- 7 contribution of I_{LAPs} , R_{eff} and θ to radiative forcing. Equation 15 can be written as:

$$8 \qquad RF_{\frac{RegressionFit}{RegressionFit}}^{LAPs} - \overline{RF_{\frac{RegressionFit}{RegressionFit}}} = b*(RF_{\frac{LAPs}{MODIS}}^{LAPs}(I_{LAPs})-$$

9 $\overline{RF_{\text{MODIS}}^{\text{LAPs}}(I_{\text{LAPs}})}$)+c* $(RF_{\text{MODIS}}^{\text{LAPs}}(R_{\text{eff}})$ -

$$\overline{RF_{\text{MODIS}}^{\text{LAPs}}(R_{\text{eff}})}) + d*(RF_{\text{MODIS}}^{\text{LAPs}}(\theta) - \overline{RF_{\text{MODIS}}^{\text{LAPs}}(\theta)})$$
(16)

- where, $RF_{\text{RegressionFit}}^{\text{LAPs}}$ $\overline{RF_{\text{RegressionFit}}^{\text{LAPs}}}$ is radiative forcing anomaly ($RF_{\text{RegressionFit, anomaly}}^{\text{LAPs}}$). Then,
- 12 Equation 16 can be written as:

13
$$RF_{\text{RegressionFit, anomaly}}^{\text{LAPs}} = b*RF_{\text{MODIS, anomaly}}^{\text{LAPs}}(I_{\text{LAPs}}) + c*RF_{\text{MODIS, anomaly}}^{\text{LAPs}}(R_{\text{eff}}) +$$

14 $d*RF_{\text{MODIS, anomaly}}^{\text{LAPs}}(\theta)$ (17)

- according to Huang et al. (2016) and Huang and Yi (1991), the fractional contribution
- of I_{LAPs} to the variance of radiative forcing $(FC_{I_{LAPs}})$ can be expressed as:
- 17 $FC_{I_{I,APs}}$ =

18
$$\frac{1}{m} \sum_{i=1}^{m} \left(\frac{\left(b^* R F_{\text{MODIS, anomaly}}^{\text{LAPs}} (I_{\text{LAPs}})_{i}^{2} \right)^{2}}{\left(b^* R F_{\text{MODIS, anomaly}}^{\text{LAPs}} (I_{\text{LAPs}})_{i}^{2} + \left(c^* R F_{\text{MODIS, anomaly}}^{\text{LAPs}} (R_{\text{eff}})_{i}^{2} + \left(d^* R F_{\text{MODIS, anomaly}}^{\text{LAPs}} (\theta)_{i}^{2} \right)^{2}} \right)$$

$$19 (18)$$

- where, m is the length of the data series. Similarly, we can obtain $FC_{R_{eff}}$ and FC_{θ} .
- 21 3.2.7. Calculation of In situ Radiative Forcing by LAPs in Snow

- $1 \quad RF_{MODIS}^{LAPs}$ should be validated with measurements. However, due to the lack of radiative
- 2 forcing measurements in NEC, we estimate the in situ radiative forcing (RF_{in situ}) from
- measured BC_{equiv} values. Briefly, we use SNICAR to calculate the in situ reduction in
- 4 snow albedo from BC_{equiv} and MODIS retrieved R_{eff}. Then, the SBDART model is
- 5 used to estimate RF_{in situ}.
- 6 4. Results
- 7 In January-February, seasonal snow is widely covered inover Northeastern China. For
- 8 example, the area with snow cover fraction of > 50% and snow duration period of > 30
- 9 days is ~75% and ~8570%, respectively (Figure S54a and b), which is consistent with
- previous studies based on meteorological station data (Zhong et al., 2010) and satellite
- remote sensing data (Che et al., 2008). In addition, the area with snow depth of > 5 cm
- and snow water equivalent of > 20 mm is ~70% and ~70%, respectively (Figure S5+c
- 13 <u>and d).</u>

- 4.1. The spatial distribution of AOD and BC emission
- Northeastern China <u>usually</u> suffers from heavy local pollutant emissions with high
- aerosol mass concentrations in winter (Wiedensohler et al., 2009). Figure 2a shows the
- spatial distribution of AOD at 550 nm derived from MODIS in NEC. We can find that
- 19 AOD in the studying areas range from 0.08 to 0.65 and show strong spatial
- 20 inhomogeneity. The largest AOD values are found in industrial areas at the south
- central of NEC, where are the largest urban areas of NEC with the major cities of Harbin,
- 22 Changchun, and Shenyang. These areas are associated with the largest pollution

emission and anthropogenic activities in NEC (Wang et al., 2017). By comparison, the 1 MODIS-Aqua results show that the AOD in the west of NEC along the border of China 2 is smallest. Similar patterns of AOD were also found by Zhang et al. (2013) and Zhao 3 et al. (2014). Previous studies indicated that BC are the primary light-absorbing 4 particles in atmosphere (Cao et al., 2006) and seasonal snow (Wang et al., 2013). Figure 2b shows the spatial distribution of BC emission density in January-February of 2010 6 in NEC. The pattern of BC emission density is very comparable to AOD with the 7 highest values of $> 5*10^4$ g km⁻² month⁻¹ in south central NEC and the lowest values of 8 < 5*10² g km⁻² month⁻¹ in the remote areas of northwestern China. Both the results of 9 AOD and BC emission density imply that the seasonal snow in south central of NEC 10 suffers from abundant BC deposition and the radiative forcing by LAPs in snow is 11 likely to be highest in NEC. 12 4.2. The spatial distribution of snowfall frequency and land cover types 13 Snowfall is spatially varied in NEC and has a dominated effect on local fractional snow 14 15 cover, then defined snow-covered areas, where we retrieved the radiative forcing by LAPs in snow in our study. Figure 3a shows the normalized snowfall frequency in 16 January-February from 2003 to 2017. We can find that the highest snowfall frequency 17 occurred in northwestern and southeastern NEC, where are forest-covered areas (see 18 19 Figure 3b). In contrast, the areas from central to southwestern NEC present lowest snowfall frequency, which means that the fractional snow cover in these areas is likely 20

to be lower than other areas and unable to reach to the critical value that we used to

define the snow-covered areas. On the other hand, land cover types will also affect the

21

- local fractional snow cover. From Figure 3b, we can find that NEC presents a spatially
- 2 different land cover types, the main land cover types are grasslands, croplands and
- 3 evergreen needle leaf (forests). Grasslands and croplands are mainly located in
- 4 southwestern NEC and central NEC respectively, while forests are distributed in
- northern and southeastern NEC. In our study periods, grasslands and croplands have
- 6 limited influence on snow cover. However, in forest areas, even completed covered by
- 7 deep snow, forest will effectively affect the derived surface reflectance from MODIS-
- 8 Aqua satellite, then the determination of snow-covered areas (further discussions in
- 9 Section 5).
- 4.3. Radiative Forcing by LAPs in Snow
- Figure 4 shows the identified snow-covered areas, which are primarily concentrated
- between 40 °N and 50 °N. Consistent with our analysis above, the low snow-frequency
- areas of south central and southwestern NEC and forest-covered areas of northern and
- southeastern NEC are not identified as snow-covered areas. According to the
- 15 geographical distribution (Figure 4a), we separated the studied areas into three regions:
- western NEC (WNEC), central NEC (CNEC) and eastern NEC (ENEC).
- 17 The spatial distributions of I_{LAPs} , R_{eff} , and RF_{MODIS}^{LAPs} are displayed in Figure 4, and
- their statistics are presented in Figure 5. On average, I_{LAPs} is ~0.27±0.045; R_{eff} is
- 19 ~261 \pm 32 μm ; and RF $_{MODIS}^{LAPs}$ is ~45.1 \pm 6.8 W m $^{\text{-}2}$ in NEC. Regionally, RF $_{MODIS}^{LAPs}$ is
- 20 largest and shows an average of ~50.9±4.2 W m⁻² in CNEC, where is located in the
- 21 industrial areas and closed to the largest urban areas of NEC, therefore suffers from the
- 22 most serious pollutant emissions among these three regions. ENEC displays the second

largest radiative forcing with an average RF_{MODIS}^{LAPs} of ~45.7±4.5 W m⁻². The lowest 1 value of ~41.0±5.9 W m⁻² occurs in WNEC, where both AOD and BC emission density 2 3 are lowest compared with other two regions, which is not only due to the low local pollutant emissions but also because that the regional transport of this region in our 4 5 study period is mostly from the clean northwest and suffer from little influence of human activities (Wang et al., 201<u>5</u>4b). For the individual regions, RF_{MODIS} presents 6 an increase from north to south in CNEC that ranges from 40.4 to 64.6 W m⁻². In ENEC 7 an east-west gradient of RF_{MODIS}^{LAPs} is noted that ranges from 62.0 to 35.0 W m⁻². The 8 most distinct intra-regional difference is in WNEC, where RF_{MODIS} ranges from 22.3 9 W m⁻² to 55.5 W m⁻². Generally, the patterns are consistent with those of AOD and BC 10 emission density in NEC. Moreover, the spatial pattern of radiative forcing by LAPs in 11 snow in this study is comparable with the results by Zhao et al. (2014), who firstly 12 estimated the radiative forcing of LAPs in snow through WRF model and found that 13 the radiative forcing in industrial source regions such as southern CNEC is obviously 14 15 much higher than that in border regions such as WNEC, which primarily resulted from the spatial differences of LAP dry and wet deposition. Compared with the results from 16 other studies, Seidel et al. (2016) reported a radiative forcing of ~20 W m⁻² in the Sierra 17 Nevada in late February, which is lower than the result in NEC, eventhough the surface 18 solar irradiance in Sierra Nevada is higher. Painter et al. (2013b) reported an average 19 radiative forcing of 215±63 W m⁻² in the Senator Beck Basin Study Area (SBBSA), 20 SW Colorado, USA, which is approximately four times of our retrieved radiative 21 forcing near industrial areas in NEC. However, the snow grain size and the surface solar 22

irradiance in their study period is larger than that in our study by a factor of >2.5 and >4, 1 respectively. The results implied the abundant LAP content in snow of CNEC. The 2 regional and intra-regional patterns of variability in I_{LAPs} are quite similar to those of $RF_{\text{MODIS}}^{\text{LAPs}}$, which indicates the significant role of LAP content in determining the spatial distribution of radiative forcing; the average values of I_{LAPs} are $\sim 0.311 \pm 0.024$ in CNEC, ~0.307 ± 0.026 in ENEC, and ~0.238 ± 0.031 in WNEC. In contrast to $~I_{LAPs}~$ and $RF_{MODIS}^{LAPs},\ R_{eff}$ displays a smaller spatial variance and presents average values of ~285 7 $\pm 16 \mu m$, $\sim 281 \pm 15 \mu m$, and $\sim 239 \pm 29 \mu m$ in CNEC, ENCE and WNEC, respectively. 8 R_{eff} in WNEC is a little smaller compared with those in other two regions, which is 9 10 probably due to the higher snowfall frequency, because higher snowfall frequency indicates longer duration of fresh finer snow at surface (Wang et al., 2013; Seidel et al., 11 12 2016). Figure 6 shows the average uncertainties of I_{LAPs} , R_{eff} and RF_{MODIS}^{LAPs} due to 13 atmospheric correction in NEC in January-February from 2003 to 2017. The positive 14 (negative) uncertainties of retrieved I_{LAPs} and RF_{MODIS}^{LAPs} from atmospheric correction 15 are comparable and range from 9% to 43% (-10% to -47%) and 14% to 57% (-14% to 16 -47%), respectively. Both of I_{LAPs} and RF_{MODIS}^{LAPs} show larger uncertainties as their 17 values are smaller; the positive (negative) uncertainties of I_{LAPs} and RF_{MODIS} are 18 19 largest in WNEC and show averages of 21% (-24%) and 30% (-28%), while the lowest uncertainties of 13% (-15%) and 20% (-20%) for I_{LAPs} and RF_{MODIS}^{LAPs} are found in 20 21 CNEC. It is because that the uncertainty of snow albedo from atmospheric correction is almost similar in our study areas across the whole NEC region as discussed in Section 22

3.6, however the snow albedo reduction is smaller in clean snow and larger in polluted 1 snow, which results into a larger relative uncertainty of snow albedo reduction in clean 2 snow and a smaller relative uncertainty in polluted snow according to Equation 8. The 3 positive (negative) uncertainties of $R_{\rm eff}$ are smaller compared with $I_{\rm LAPs}$ and $RF^{LAPs}_{\text{MODIS}},$ and range from 14 to 18% (-12% to -16%), which is comparable with the errors 5 between MODIS retrieved and in situ measured snow grain size discussed in Section 6 3.2.2. Moreover, the uncertainties are spatially quite consistent for $R_{\rm eff}$, which is 7 different from I_{LAPs} and RF_{MODIS}^{LAPs} . We note that the positive and negative uncertainties 8 of all $I_{LAPs}\,,\ R_{eff},$ and RF_{MODIS}^{LAPs} are asymmetric, which are primarily due to the 9 nonlinear characteristics of the radiative transfer in SNICAR model (Painter et al., 10 2007). 11 As discussed in Section 3, the spatial distribution of RF_{MODIS}^{LAPs} depends on I_{LAPs} , R_{eff} 12 and θ . Previous studies have attempted to retrieve the radiative forcing by LAPs in snow 13 by using remote sensing Even though some studies have successfully retrieved the 14 radiative forcing by LAPs in snow using remote sensing (e.g. Painter et al., 2012a, 15 2013b), . However, however, attributing the spatial variations of radiative forcing by 16 LAPs in snow snow by using remote sensing is really sparse, and need to be further 17 investigatednone of them has quantitatively estimate what degree of certainty can the 18 19 variations of radiative forcing be attributed to LAPs in snow. Then Therefore, we would like to qualify the contribution of each factor to the spatial variance of RF_{MODIS}. 20 21 Combing sensitive test and the method of Huang and Yi (1991) as discussed in 3.2.6, we estimate the fractional contribution of I_{LAPs} , R_{eff} and θ to the spatial variance of 22

 RF_{MODIS}^{LAPs} in our study areas across NEC (Figure 7). We can find that the contributions from LAPs is largest with a value of 74.6%, while $R_{\rm eff}$ and θ make contributions of 3 21.2% and 4.2%, respectively in NEC. The result indicates that the LAP content in snow plays a dominant role in determining the spatial distribution of RF_{MODIS}^{LAPs} . Regionally, the contribution of LAPs in WNEC (62.1%) is smaller than those of 73.9% 5 and 83.4% in CNEC and ENEC, while Reff shows a higher contribution of 28.1% in 6 7 WNEC than those of 19.6% and 13.9% in CNEC and ENEC. The results point out a less important effect of LAPs but more important effect of R_{eff} on the spatial 8 distribution of $RF_{\text{MODIS}}^{\text{LAPs}}$ in WNEC compared with those in CNEC and ENEC. In 9 addition, the contribution of θ is smaller in ENCE (2.7%) than those of 9.8% and 6.5% 10 in WNEC and CNEC, which is primary due to the smallest altitude range of ENEC 11 12 among those three regions. Seidel et al. (2016) reported that the variations in LAP contents in snow are dominated 13 by LAP deposition and snowfall. Previous studies have also reported that BC is the 14 dominant LAP type in NEC (Wang et al., 2013). Zhao et al. (2014) simulated LAP 15 content and their radiative forcing in seasonal snow using WRF-Chem coupled with 16 17 SNICAR model and indicated that the radiative forcing by LAPs in snow in NEC is primarily due to BC. Therefore, to examine the spatial distributions of retrieved I_{LAPs} 18 and RF_{MODIS}, we display the distribution of snowfall (Figure 3a) and BC dry and wet 19 deposition (Figure 8). BC dry deposition is highest in the largest urban areas of NEC 20 21 with the major cities of Harbin, Changchun, and Shenyang, then decrease sharply outwards from the central of urban areas to remote areas (Figure 8a). Different from 22

BC dry deposition, which is dominated by BC concentrations in the atmosphere, BC 1 wet deposition is affected by both BC concentrations and precipitation and shows an 2 increase from northwest to southeastern. Generally, the spatial patterns of BC dry and 3 wet deposition are similar with I_{LAPs} and RF_{MODIS}^{LAPs} . For example, areas with higher BC dry and wet deposition such as industrial polluted NEC show higher $I_{\text{LAPs}}\,$ and 5 RF_{MODIS}^{LAPs} . Moreover, from Figure 9a-c, we can find that the correlations between I_{LAPs} 6 with BC dry and wet deposition and snowfall ($R^2 = \frac{0.650.81}{0.81}$, 0.7173, and 0.1914) are 7 significant at the 99% confidence level. The correlations of I_{LAPs} with BC dry and wet 8 9 deposition in WNEC is relatively lower than those in CNCE and ENEC, which is partly due to the effect of dust in this region (Wang et al., 2013; Zhao et al, 2014). Furthermore, 10 11 using the method of multiple linear regression, we fitted I_{LAPs} using BC dry and wet 12 deposition and snowfall data. Figure 9d shows the scatterplots of I_{LAPs} and fitted I_{LAPs_fit} . We can find that I_{LAPs_fit} is highly correlated with I_{LAPs} , and BC dry and wet 13 deposition and snowfall could totally explain 84% of the spatial variance of I_{LAPs} . 14 15 The result confirms the reasonability of the spatial patterns of retrieved ILAPs and thus RF_{MODIS} in NEC. The result confirms the reasonability of the spatial patterns of 16 retrieved I_{LAPs} and thus RF^{LAPs} in NEC.In-addition to MERRA-2 BC deposition 17 data and ERA-Interim snowfall data used in Figure 9, we also used other types of BC 18 deposition and snowfall data to fit I_{LAPs}. Table S1 shows the R² between MODIS 19 retrieved I_{LAPs} and fitted I_{LAPs_fit} based on different types of BC deposition and 20 snowfall datadatasets as discussed in Section 2.3 and 2.4. The values of R² are very 21 similar and in a range of 0.81-0.84, which further indicates that the spatial pattern of 22

- retrieved I_{LAPs} is plausible reasonable and independent of the data types used for
- 2 <u>validation.</u>
- 3 4.4. Comparisons of MODIS-Retrieved and In situ Estimated Radiative Forcing by
- 4 LAPs in Snow
- 5 Figure 10 shows the distribution of the sample sites and the measured BC_{equiv}
- 6 concentration in surface snow at each site. Circles and squares represent the snow
- samples collected in 2010 (Wang et al., 2013) and 2014 (Wang et al., 2017),
- 8 respectively. Generally, BC_{equiv} concentration ranges mostly from ~0.1 to ~3.0 μg g⁻¹
- 9 and shows an increase from northwest to southeastern. The highest BC_{equiv}
- 10 concentration are found in CNEC while lowest in WNEC. Figure 11a displays a
- comparison of MODIS retrieved radiative forcing (RF_{MODIS}^{LAPs}) and in situ radiative forcing
- 12 (RF_{in situ}) estimated based on measured BC_{equiv} concentration. In general, the mean
- absolute error (MAE) for $RF_{MODIS}^{LAP_S}$ against $RF_{in \, situ}^{estimated}$ is 15.3 W m⁻². The ratios of
- RF_{MODIS}^{LAPs} to $RF_{in\,situ}^{estimated}$ ($R_{in\,situ}^{MODIS}$) fall mainly in the range of 1-2. The errors indicate larger
- positive at lower $RF_{in \, situ}^{estimated}$ values, whereas smaller biases are noted at higher $RF_{in \, situ}^{estimated}$
- values. The results of this bias analysis are comparable with those reported by Painter
- et al. (2012a). Figure 11b shows a scatterplot of $R_{in \, situ}^{MODIS}$ versus BC_{equiv} . We can find
- that R_{in sim} and BC_{equiv} display a good correlation; the best-fitting equation is
- 19 $R_{in\; situ}^{MODIS} = 1.690*BC_{equiv}^{-0.522}$, and the R^2 is 0.89 (99% confidence level). This result
- 20 indicates that the biases in the RF^{LAPs}_{MODIS} retrievals are negatively correlated with the
- 21 LAP concentrations in NEC. Considering that the typical concentration of BC_{equiv} in
- clean snow in NEC is $0.15~\mu g~g^{-1}$, the bias in RF_{MODIS}^{LAPs} can be as high as 350% in some

areas, such as WNEC. In other areas with very polluted snow, such as southern CNEC 1 (where the BC_{equiv} values are typically 2.5 μg g⁻¹), the bias is ~5%. Thus, considering 2 the values reported by Wang et al. (2013, 2017), the biases in RF_{MODIS}^{LAPs} largely fall in 3 the range of ~5% to ~350% in NEC. Comparing Figure 11 with Figure 6, we find that 4 the biases in the RF_{MODIS} in polluted snow are comparable with the uncertainties of 5 RF_{MODIS} due to atmospheric corrections. However, in clean snow, the uncertainties 6 from atmospheric corrections could not sufficiently explain the biases in retrieved 7 RF_{MODIS}. There are three probable reasons: (a) for clean snow, retrieved radiative 8 9 forcing is very sensitive to MODIS derived surface snow reflectance (Equation 4), although we have corrected the errors of snow reflectance from the protrusion of 10 vegetation in our study areas of high snow cover fractions, the uncertainties from 11 12 fractional snow cover (FSC) calculation and the vegetation reflectance will effectively influence the corrections of snow reflectance (Equation 5); (b) Painter et al. (2012b) 13 validated the retrieved radiative forcing by LAPs in snow in the Upper Colorado River 14 15 Basin using in situ estimates based on radiation towers, and also found that the biases in the case of low radiative forcing could be up to several folds. They pointed out that 16 MODIS can not proceed a continuous spectral measurement of a continuously variable 17 forcing like that which LAPs afford to snow albedo due to the variably spaced and 18 19 discrete bands of MODIS, which prevents a more quantitative retrieval and thus results into a non-negligible uncertainty in radiative forcing retrieval; (c) We use the average 20 of MODIS retrieved radiative forcing in a pixel size of $0.05^{\circ} \times 0.05^{\circ}$ to compare with 21 the in situ radiative forcing calculated using observed BC_{equiv} concentration with the 22

- sample site located in the center of the pixel. Such a comparison may not be true in
- 2 some sites due to the inhomogeneous spatial distribution of snow and LAP contents,
- which will influence radiative forcing estimates, especially in clean snow (Zhao et al.
- 4 2014). Therefore, we note that the number of sample sites is still limited and more field
- 5 campaigns are needed to validate the accuracy of MODIS retrievals and then correct
- 6 the retrieved radiative forcing.
- 7 4.5. Limitations
- 8 The determination of snow-covered areas represents a limitation of the method used in
- 9 this study, which restricts our study to areas with high snow cover fractions; thus, we
- cannot estimate RF_{MODIS}^{LAPs} across the NEC as a whole. In the future, we will attempt to
- apply other satellite data with higher spatial resolution and use the spectral differences
- between different land cover types to distinguish the spectral reflectance of snow in
- mixed pixels. This These improvements will permit us to expand our work to areas with
- limited snow cover. Another limitation is that we retrieve only the instantaneous
- radiative forcing at the surface under clear-sky conditions at the time of MODIS
- overpass, and these measurements do not represent a time-integrated average over the
- studied period. However, the estimation of temporally resolved radiative forcing is
- much more difficult, given the significant effects of clouds, atmospheric components,
- 19 θ , and the time-varying snow reflectance.
- 20 5. Discussions
- In our study, we didn't retrieve the radiative forcing in the northern and southeastern
- parts of NEC. In those regions, snowfall is frequent, the percent of snow cover is very

high and snow is also very deep. For example, in the northern NEC, the averaged snow 1 depth is ~ 20 cm, and in the areas near Changbai Mountain of the southeastern NEC, 2 snow depth could be up to ~ 40 cm (Wang et al., 2013). However, due to the presence 3 of forest cover, the reflected radiation received by sensor aboard the satellite in those 4 areas is mostly due to trees. For example, Figure 12 shows the true color map of MODIS 5 in NEC at 23 January 2010, we can see that in the northern and southeastern parts of 6 NEC, the observed objects from MODIS are almost trees, not the snowpack under trees, 7 although snow is almost completed covered (Wang et al., 2013). Therefore, in those 8 9 forest areas, discussing the radiative forcing by LAPs in snow is extremely difficult due to the influence of trees. Bond et al. (2006) also indicated that LAPs in snow masked 10 by forests contributes little to radiative forcing. They further pointed out that model 11 representation of and forcing sensitivity to cover ranges of forests have not been 12 verified, and this is a boundless uncertainty in modeling radiative forcing by LAPs in 13 snow at present. However, most modeling studies which simulated the radiative forcing 14 by LAPs in snow didn't take trees into considerations and estimated the radiative 15 forcing over the whole boreal forest areas in the Northern Hemisphere. For example, 16 Flanner et al. (2007) applied SNICAR model coupled a general circulation model to 17 estimate the radiative forcing and response from BC in snow covered areas over the 18 19 whole Northern Hemisphere. Nevertheless, due to the presence of trees in the extensive boreal forest areas, the simulated radiative forcing is unreal as the incident radiation is 20 21 reflected by trees but not by the snowpack. Zhao et al. (2014) simulated BC and dust and their radiative forcing in seasonal snow in North China. They found that the 22

- radiative forcing by BC and dust is very high in the southeastern NEC, where are forest
- areas. But in fact, in those areas the simulated radiative forcing by LAPs is also unreal.
- 3 Therefore, we note that estimating the radiative forcing by LAPs in forest areas should
- 4 consider into the influence of trees.
- 5 6. Conclusions
- In this study, we retrieve I_{LAPs} , R_{eff} , and RF_{MODIS}^{LAPs} across NEC in January-February
- 7 from 2003 to 2017 using MODIS data, together with a snow albedo model (SNICAR)
- and a radiative transfer model (SBDART). On average, I_{LAP} is ~0.27±0.045, R_{eff} is
- $9~~\sim\!261\pm32~\mu m,$ and RF_{MODIS}^{LAPs} is $\sim\!45.1\pm6.8~W~m^{-2}$ in NEC. The distribution of RF_{MODIS}^{LAPs}
- presents distinct spatial differences; the lowest value is 22.3 W m⁻², which occurs in
- 11 remote western NEC, and the highest value is 64.6 W m⁻², which occurs near the
- industrial areas in central NEC. Both I_{LAPs} and RF_{MODIS}^{LAPs} show larger uncertainties
- from atmospheric correction as their values are smaller. We make a first attempt to
- 14 attribute the variations of radiative forcing based on remote sensing. The results point
- out that I_{LAPs} , R_{eff} and θ make fractional contributions of 74.6%, 21.2% and 4.2% to
- the spatial variance of RF_{MODIS}^{LAPs} in our study areas across NEC. The result confirms that
- the LAP content in snow plays a dominant role in determining the spatial distribution
- of RF_{MODIS}^{LAPs} . We also analyze the distribution of BC dry and wet deposition and snowfall,
- find that they could totally explained 84 % of the spatial variance of I_{LAPs} , which
- indicates the reasonability of the spatial patterns of I_{LAPs} and thus RF_{MODIS}^{LAPs} in NEC.
- 21 Finally, we validate the retrieved RF_{MODIS} values using in situ estimated radiative
- forcing (RF $_{\text{in situ}}^{\text{estimated}}$). The mean absolute error (MAE) of RF $_{\text{MODIS}}^{\text{LAPs}}$ against RF $_{\text{in situ}}^{\text{estimated}}$ is

- 1 15.3 W m^{-2} . The biases in the RF $_{\mathrm{MODIS}}^{\mathrm{LAPs}}$ retrievals display a negative correlation with
- $_{\rm 2}$ $\,$ the LAP concentrations in NEC. Considering typical concentrations of $BC_{\text{equiv}},$ which
- range from ~0.15 μg g⁻¹ to ~2.5 μg g⁻¹, the biases in RF^{LAPs}_{MODIS} fall primarily within the
- 4 range of ~5% to ~350% in NEC.

1 Acknowledgements

2 This research was supported by the National Key Research and Development Program 3 on Monitoring, Early Warning and Prevention of Major Natural Disaster (2018YFC1506005), the National Natural Science Foundation of China (41775144, 4 41675065, and 41875091), and the Fundamental Research Funds for the Central 5 Universities (lzujbky-2018-k02). This research was supported by the Foundation for 6 7 Innovative Research Groups of the National Natural Science Foundation of China (41521004), the National Natural Science Foundation of China under grant (41775144 8 9 and 41522505). The National Center for Atmospheric Research is sponsored by the National Science Foundation (USA). We thank M. Flanner for providing an executable 10 11 version of the SNICAR model and modifying it to accommodate our analysis. We thank C. Dang for her suggestions and comments to this study. MODIS data can be found at 12 https://modis.gsfc.nasa.gov/. Snowfall data can be found from China Meteorological 13 14 Administration, http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/, 15 https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/, and https://www.esrl.noaa.gov/psd/data/gridded/data.cpc.globalprecip.htmlat-16 http://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/. BC deposition data 17 found at https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/ and 18 can be http://www.ipcchttps://pcmdi.llnl.gov/CMIP6/-19 20 data.org/sim/gcm_monthly/AR5/Reference-Archive.html. Surface measurement datasets are from [Wang, X., et al. (2013). Black carbon and other light-absorbing 21 impurities in snow across Northern China. Journal of Geophysical Research: 22 Atmospheres, 118(3), 1471-1492. https://doi.org/10.1029/2012JD018291] and [Wang, 23 X., et al. (2017). Observations and model simulations of snow albedo reduction in 24 seasonal snow due to insoluble light-absorbing particles during 2014 Chinese survey. 25 Atmospheric Chemistry and Physics, 17(3), 2279-2296. https://doi.org/10.5194/acp-26 17-2279-2017]. 27

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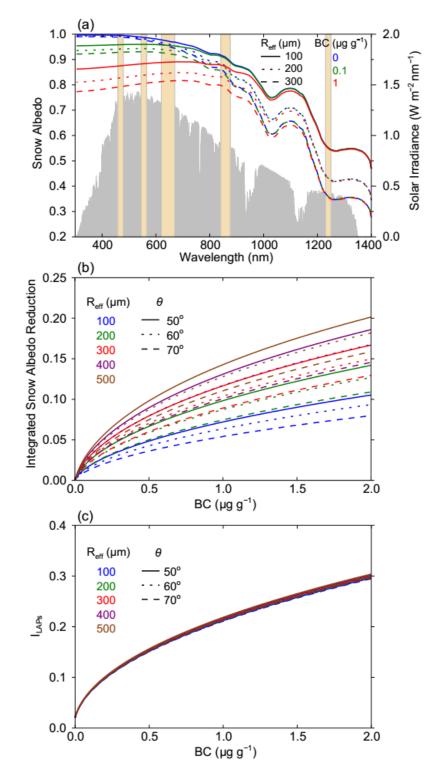


Figure 1. (a) The spectral albedo of snow with different R_{eff} values and BC contents simulated using SNICAR. The column bars represent MODIS bands, and the gray areas represent the typical solar irradiance in winter in NEC. (b) The reduction in the 300-1240 nm spectral-weighted integrated snow albedo as a function of BC for different R_{eff} values and solar zenith angles (θ) simulated using SNICAR. (c) The variations in the impurity index (I_{LAPs}) with BC content simulated using SNICAR.

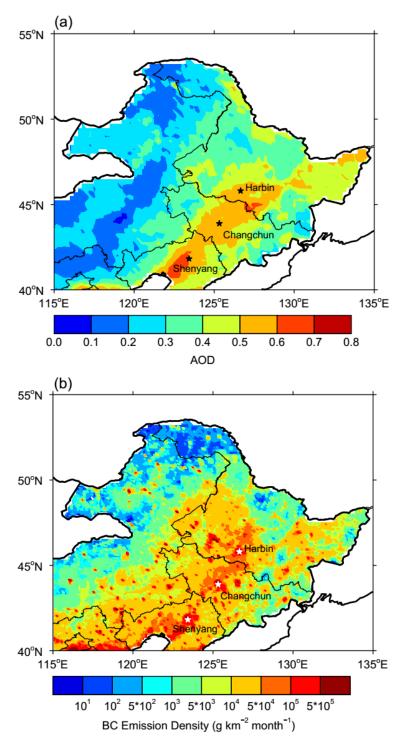
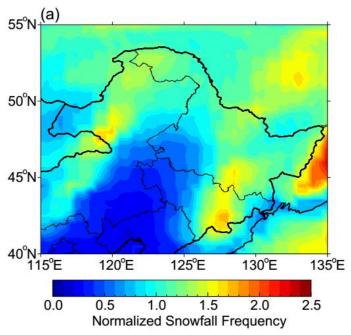
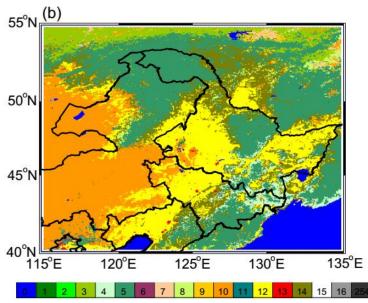


Figure 2. Spatial distribution of (a) MODIS AOD at 550 nm and (b) BC emission density density in January-February in NEC. AOD data is from 2003 to 2017 and BC emission density data is from the research group at Peking University (http://inventory.pku.edu.cn/home.html) from 2003 to 2014 due to that it is only updated to 2014 from 2014. The major cities in NEC are also shown in this figure.





0 Water

1

- 5 Mixed Forest
- 10 Grasslands 15 Snow and Ice
- 1 Evergreen Needleleaf 6 Closed Shrublands 2 Evergreen Broadleaf 7 Open Shrublands
- 11 Permanent Wetlands 16 Bare or Sparesly Vegetate 254 Unclassified
- 3 Deciduous Needleleaf 8 Woody Savannas
- 12 Croplands 13 Urban and Built-up
- 4 Deciduous Broadleaf 9 Savannas

14 Cropland Mosaics

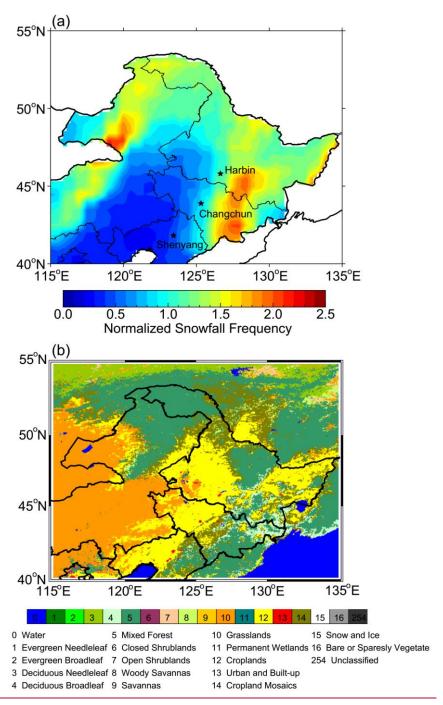
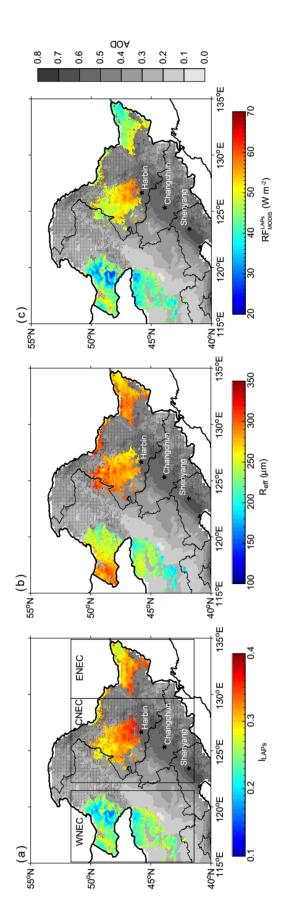
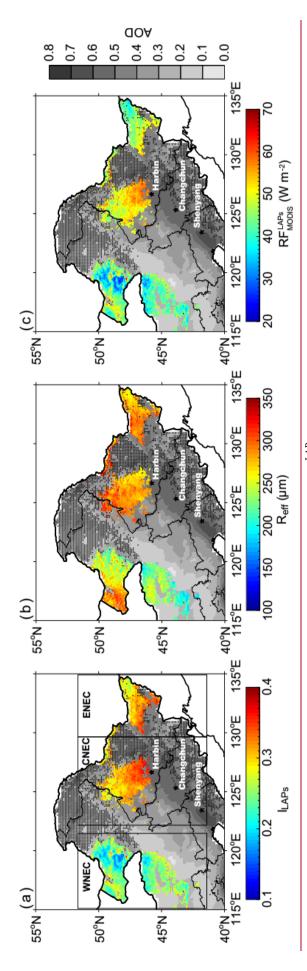


Figure 3. Spatial distribution of (a) the normalized snowfall frequency in January-February from 2003 to 2017 and (b) the different land cover types based on MODIS data in NEC._

5 Snowfall data is from the ERA-Interim reanalysis. The major cities in NEC are also

6 shown in this figure.





shows the spatial distribution of MODIS AOD values. The dotted areas are covered by forests. The major cities in NEC are also shown in this figure. According to the geographical distribution, we separate the study area into three regions, western NEC (WNEC), central NEC (CNEC) and Figure 4. The spatial distributions of average (a) I_{LAPs}, (b) R_{eff}, and (c) RF^{LAPs}_{MODIS} in NEC in January-February from 2003-2017. The background eastern NEC (ENEC)

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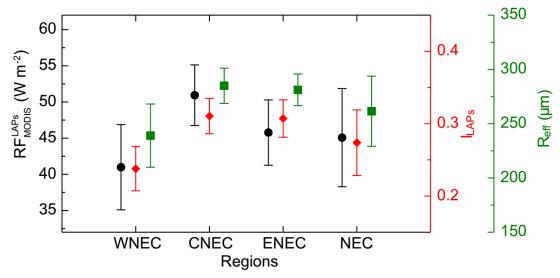
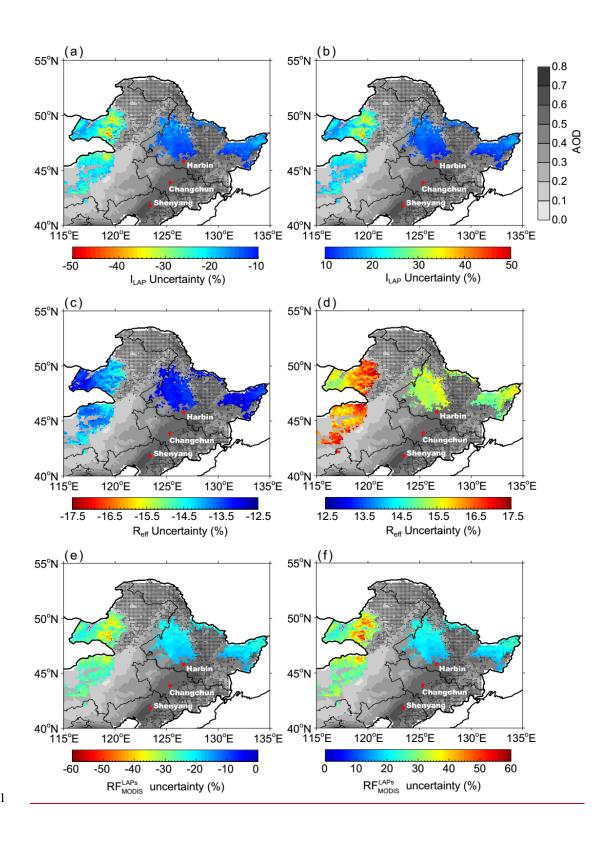


Figure 5. Statistics of average RF_{MODIS}^{LAPs} , I_{LAPs} , and R_{eff} in NEC in January-February from 2003 to 2017.



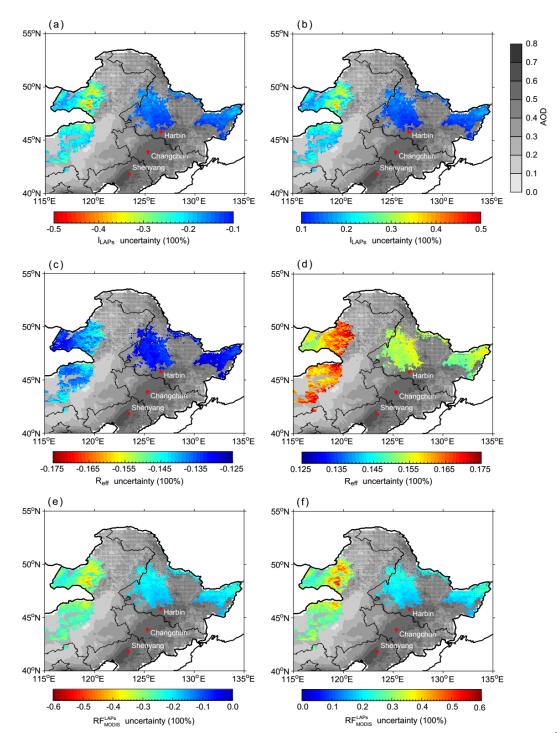


Figure 6. (a) Negative and (b) positive uncertainty of average I_{LAPs} in NEC in January-February from 2003 to 2017. (c) and (d) are similar to (a) and (b), but for R_{MODIS}^{LAPs} . The background shows the spatial distribution of MODIS AOD values. The dotted areas are covered by forests. The major cities in NEC are also shown in this figure.

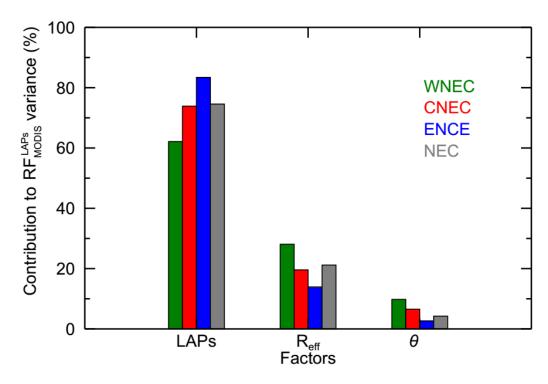
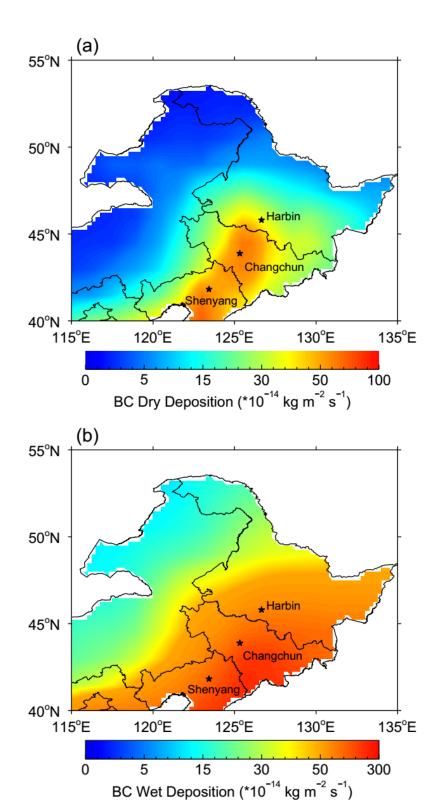


Figure 7. Fractional contribution of average I_{LAPs} , R_{eff} , and solar zenith angle (θ) to the spatial variance of RF_{MODIS}^{LAPs} in January-February from 2003-2017.



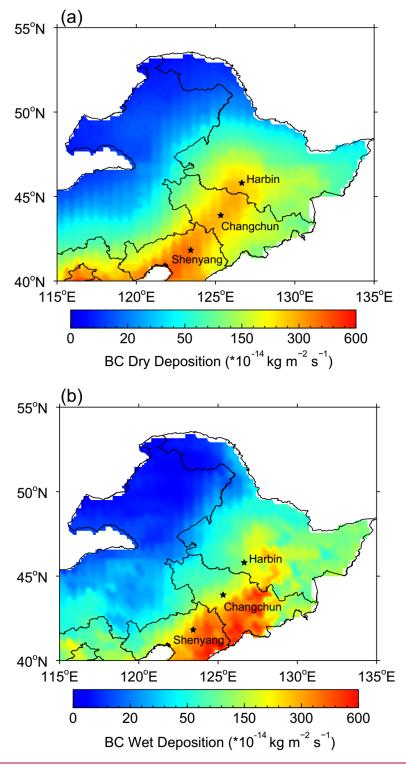


Figure 8. Spatial distribution of average (a) dry and (b) wet deposition of BC in NEC in January-February from 2003 to 2017in January-February from 2003 to 2005. BC deposition data is from MERRA-2 reanalysis. BC deposition data is only updated to 2005. The major cities in NEC are also shown in this figure.

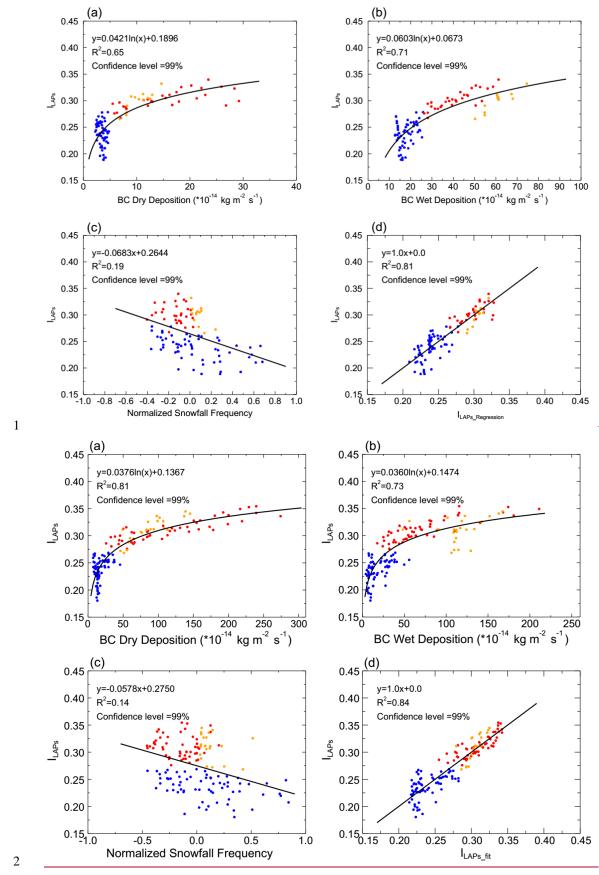


Figure 9. Scatterplots of I_{LAPs} versus (a) BC dry deposition, (b) BC wet deposition, (c) normalized snowfall frequency, and (d) fitted I_{LAPs} (I_{LAPs} fit)Scatterplots of (a)

- 1 versus BC dry deposition, (b) versus BC wet deposition, (c) versus normalized
- 2 snowfall frequency, and (d) versus regressed (), which is regressed fitted with BC
- 3 dry and wet deposition and snowfall frequency using multiple linear regression. BC
- 4 deposition data is from MERRA-2 reanalysis and snowfall data is from ERA-Interim
- 5 reanalysis in January-February from 2003 to 2017. We note that all data in this figure is
- from January-February of 2003-2005 due to that BC deposition data is only updated to
- 7 2005.

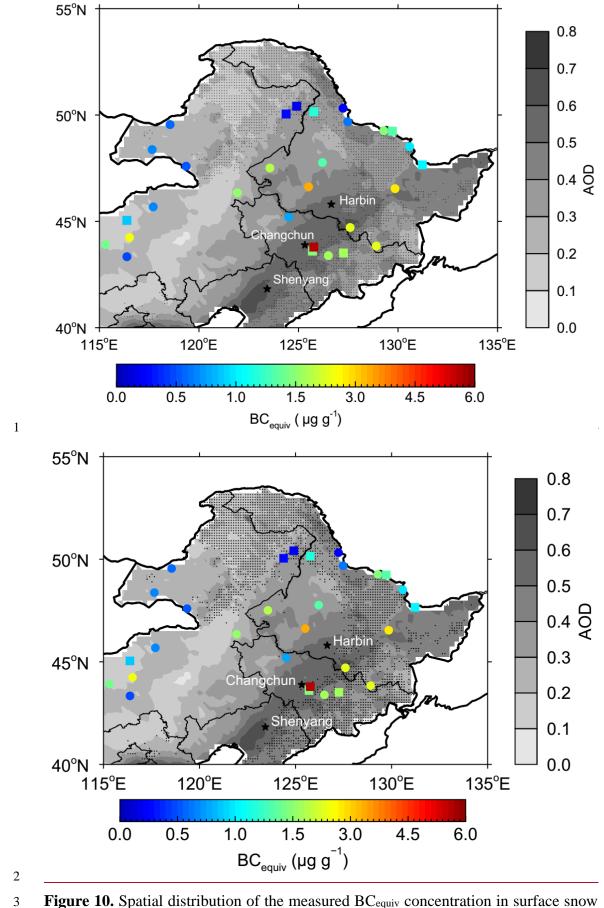


Figure 10. Spatial distribution of the measured BC_{equiv} concentration in surface snow

- in NEC. Circles and squares represent the snow samples collected in 2010 (Wang et a.,
- 2 2013) and 2014 (Wang et a., 2017), respectively.

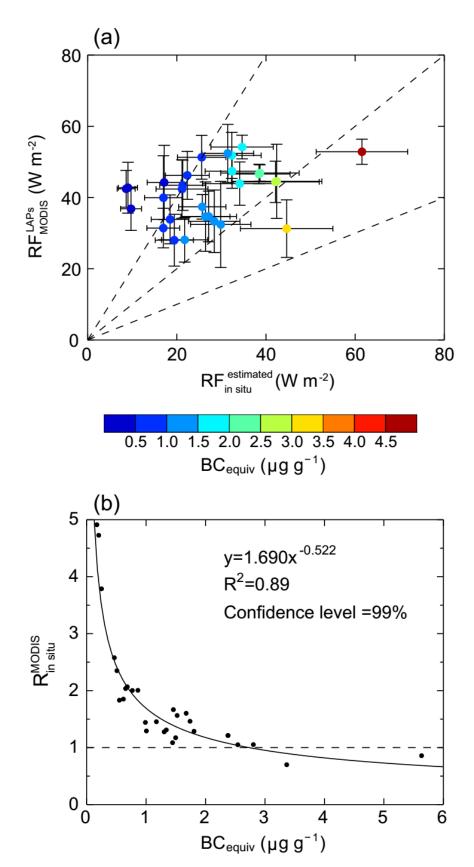


Figure 11. Scatterplots of (a) RF_{MODIS}^{LAPs} versus $RF_{in \, situ}^{estimated}$ and (b) $R_{in \, situ}^{MODIS}$ versus BC_{equiv} .

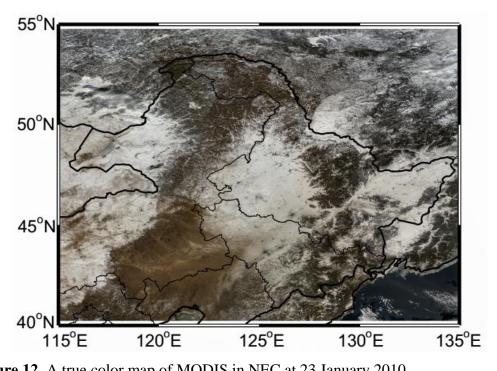


Figure 12. A true color map of MODIS in NEC at 23 January 2010.