Response to reviewer #2

We thank the reviewer for the careful reading of our manuscript. Below we address each of the reviewer's comments and indicate the requested changes to the manuscript. The reviewer's comments are marked in blue, our response in black, and the changes to the manuscript/SI material text are indicated in red.

Buchholz et al propose a study investigating the mechanisms controlling the evaporation of biogenic-derived secondary organic aerosol (SOA) formed from the photooxidation of alpha-pinene. Two mass spectrometers were used to retrieve the chemical composition of the SOA throughout the evaporation processes. The size distribution of the particle was also characterized. Overall this study is very well constrained and the results well presented. However, I have a few

10 characterized. Overall this study is very well constrained and the results well presented. However, I have a few comments/concerns, mainly regarding the FIGAERO data, that should be at least discussed in the manuscript.

Comment 1

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My main concern is the absence of blank measurements with the FIGAERO. As it has been initially discussed by Lopez-Hilfiker et al. and later in other studies, performing blank measurements is crucial to validate the chemical information obtained

15 using a FIGAERO. The authors should discuss this point and explain how they made sure that their results were not impacted by the background of the instrument. To me, this discussion is important since the mass collected onto the filters was particularly low. In addition the authors should clarify the following points: Was the FIGAERO sampling the gas phase coming from the lab, the PAM chamber or clean air during the aerosol sampling time? This is important to know as it can significantly impact the background of the instrument.

20 Response

During particle collection, the gas phase inlet of CIMS was connected to purified compressed air. We made sure that after sampling high concentrations (i.e., measuring directly from PAM) the signal had returned to background values before a thermogram was measured. The gas phase inlet of CIMS was capped overnight, and the particle phase inlet was without sampling flow open to ambient air via the sampling line (stainless-steel and Tygon® silicon tubing, 4 mm inner diameter).

- 25 We agree that blank filter measurements are indeed important to fully validate the chemical composition information from FIGAERO-CIMS measurements. However, for the analysis and interpretation we present in our paper, the filter and instrument backgrounds were small compared to particle-phase signals (up to 180 °C desorption temperature) and had little impact on the resulting thermograms, and none on the overall conclusions. This is because most of the background signal appeared at desorption temperatures above 180 °C and showed a steady increase with temperature while the prominent ions in the
- 30 difference spectra appeared at lower desorption temperature and had distinct peak shapes. To illustrate the impact of subtracting

the first blank of the day (i.e., the upper limit of instrument background) on the analysis, we calculated the difference spectra for the high-O:C case after this subtraction (Figure R 3a and b) and compared them with those calculated from the data with no background removal (Figure R 3c and d and Figure 3c and d in the manuscript). The values in the difference spectra changed due to background removal by less than $1 \cdot 10^{-4}$ for 90% of the identified ions. The pattern of increase/decrease due to

5 evaporation or humidification did not change. Instead the magnitude of change (e.g. an ion has an increased contribution after humidification) is even larger when the background is removed. Thus, the overall interpretation of the data is not impacted by accounting for the filter background measurements. Due to the varying availability and quality of the blank measurements, we decided to keep the data without background removal in the manuscript.

We will include the following paragraphs and figures discussing the filter blank measurements in the SI material and make a reference to it in the main text (page 5 line 30):

The raw data was averaged to provide average mass spectra spaced by 20 s, and baseline correction was applied before fitting the high-resolution mass spectral data. Details about the magnitude and impact of the instrument background (filter blank measurements) on our analysis are discussed in the SI material (Section 1.2).

15 1.2 FIGAERO-CIMS instrument background

One to two filter blank measurements were performed in the morning of each experiment day. To illustrate the results of the blank measurements, we show the non-normalised integrated mass spectra for all conducted blank measurements for the low-OCC case in Figure S6a and b. The non-normalised total thermograms (Figure S6c) clearly show that even for the lowest collected mass (RH80%, RTC case, light blue line) the total signal is still much higher overall than the corresponding blank

20 measurements (purple and pink lines in Figure S6c). Another example is presented in Figure S7: the non-normalised integrated mass spectra for the high-O:C cases. On that day, only one blank measurement was performed. It is apparent that a few ions are clearly elevated in this blank measurement, but generally the ion abundances observed during measurements are much higher than those in the background spectra.

We have categorised background signals in the FIGAERO-CIMS measurements into two types: 1) compounds being emitted

- 25 from the filter/set-up during the desorption, especially at the highest desorption temperatures, and 2) compounds accumulated on the filter from ambient air while in "idle" position (no flow through filter but inlet open to room air). Type 1 compounds should be relatively constant throughout an experiment day, but the abundance of type 2 compounds will depend on how long the filter has been in the idle position and will be removed with each heating cycle (including the 1-2 initial blank measurements). The first filter blank measurement in the morning was conducted after 10 14 h of idle time overnight with
- 30 the second blank following within a few minutes after the first one. During the following experiments of the day, there were typically 1 2 h between the end of desorption of one sample and the collection of the next. Thus, the first blank should be considered as an upper limit of contamination/background (both with type 1 and 2 compounds) while the second one may be seen as the lower limit for type 1 compounds (and a second measure of the upper limit for type 2 compounds). As the lengths of idle times were so different, the morning filter blank measurements are not fully representative of the situation throughout

the day. Therefore, subtracting the available blank measurements from the corresponding experiment data was deemed to be impractical, especially in those cases where only one blank measurement was available, as for some ion signals the blank subtraction would lead to negative signal values, which are unphysical. However, we carefully compared the difference spectra for uncorrected data (Figure 3c and d) and data which had the maximum background subtracted (panels a and b). When

- 5 removing the estimated upper limit of instrument background, the overall patterns in the difference spectra stay the same. 90% of the ions exhibit a change of less than $1 \cdot 10^{-4}$ for the values in the difference spectra. For some ions, the increases/decreases due to humidification/evaporation become even more prominent. This finding combined with the fact that the quality and availability of blank measurements varied between SOA types, we decided to show the uncorrected difference spectra in the main manuscript depicting the minimum change to be expected due to humidification and/or evaporation.
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Comment 2

page 6, lines 15-17: Some information regarding the declustering strength should be provided (e.g., 173/127). Why did the authors make this choice rather than reducing the declustering and be more sensitive to a wider variety of compounds?

Response

- 15 We had switched the ionisation of the CIMS from acetate to iodide just before these measurements. Using acetate as reagent ions, we had found it most useful to use fairly strongly declustering settings as has become common practice for that technique (Brophy and Farmer, 2016). When we made the switch to iodide as reagent ion, unfortunately we did not change the voltage settings to lower declustering strength (as is typical practice for iodide-CIMS). This oversight only became apparent when we conducted the detailed analysis of the high-resolution data after the measurements had finished.
- We are not aware of general marker compounds for a measure of declustering strength for iodide CIMS, and we have been unable to conclude what method the reviewer had in mind when referring to the ratio of 173 (m/z of formic acid iodide cluster) to 127 (m/z of iodide). The ratio of analyte-iodide clusters and declustered signals (assuming deprotonation) strongly depends on the individual ion. There are even examples, where for one identified sum formula pair (e.g., $C_4H_5O_4^-$ and $[C_4H_6O_4 I]^-$, see Figure R 4 (a)) the ratio changes between low-, med- and high-O:C experiments. This suggests that there may be more complex
- 25 declustering and/or fragmentation reactions taking place depending on the molecular structure of the parent molecule. This makes it near impossible to derive a general measure of declustering strength. We could however list the voltage settings of the CIMS ion guidance elements in the SI material if the reviewer insists.

The reviewer is correct in implying that our settings not only made our CIMS measurements more strongly declustering than necessary, but also less sensitive overall than necessary. However, the scientific results of our study do not rely on high or

30 accurate (quantitative) sensitivity overall. And any signal that we may have lost in noise due to potentially low sensitivity would by default be small contributors to the CIMS mass spectra and thermograms.

Comment 3

page 7, lines 1-5: If the gas phase was sampled during the PAM chamber experiments it should have been possible to observe the larger formation of small carbonyls. Please clarify.

Response

- 5 As we did not use an O₃ scrubber for the gas phase measurements with CIMS, the ppm level O₃ concentrations coming from the PAM severely depleted the primary ion (I⁻), making the analysis of the gas phase data challenging. As the O₃ concentrations were 6.4, 16, and 22 ppm for low-, medium-, and high-O⁻C settings, comparison of signal strength of individual ions between the different settings are thus problematic for the gas-phase data analysis. However, we want to emphasize that all FIGAERO-CIMS measurements (particle-phase) presented in the manuscript were conducted with monodisperse samples, i.e., samples
- were collected after the dilution of the gas phase in the NanoDMAs. Hence, the problems caused by high O_3 concentrations had no effect on the results and analysis presented in the manuscript.

Comment 4

page 7, lines 5-10: How do the thermogram look like for those small compounds? Are the thermograms consistent with SVOC or (E)LVOC?

15 Response

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We plotted the thermograms of four typical low M_w ions in Figure R 4. They all show distinct peaks typically associated with single (or few, similar) evaporating compounds (in contrast to the wide tails at high temperatures usually expected for ongoing thermal decomposition from multiple sources). Only one example shows an increase at high desorption temperatures (Figure R 4 (d)) indicating that this part of the signal stems most likely from thermal decomposition of larger compounds. But this behaviour is the exception and not the rule.

- For any single compound's thermogram, the temperature of peak desorption (T_{max}) relates to its volatility. We calibrated the connection between p_{sat} (and C*) and FIGAERO temperature of maximum desorption similar to Bannan et al. (2019), and indicate that relationship by coloured areas corresponding to volatility classes defined by the C_{sat}^* ranges in Donahue et al. (2012) in Figure R 4. With this classification, these low M_w ions have T_{max} values in the range of SVOC and LVOC (Table
- R1). Using the parameterisation from Li et al. (2016), we calculated the $log10(C_{sat}^*)$ from the elemental composition for these ions (see Table R1). These values would categorise three of them as IVOCs ($log10(C_{sat}^*) > 2$) and C₄H₄O₆ as SVOC. Even taking into account the uncertainty of such parameterisations, the difference between $log10(C_{sat}^*)$ values from composition parameterisation and T_{max} measurements is large and indicates that these are indeed thermal decomposition products despite their narrow peak shape (the most likely exception being C₄H₄O₆).

Comment 5

page 7, lines 15-20: The observations made by the authors are not consistent with a recent modeling study performed by DeRieux et al (https://doi.org/10.5194/acp-18-6331-2018) and not well constrained. The authors mentioned that the slower evaporation under dry conditions is due to diffusion limitation. While the LWC and alpha-pinene-derived SOA viscosity at

5 40% and 80% can be anticipated to be significantly different (i.e., at RH 0% 10E+8Pa s, 40% 10E+6 Pa s and 80% 10E+2) the evaporation rates are similar. That's confusing and it should be discussed and the results better constrained (estimation of the LWC, viscosity,...) in the paper.

Response

Our results are not inconsistent with the study by DeRieux et al. (2018), as our results don't imply that the viscosity at RH40%

- 10 and RH80% would be the same. As discussed in Yli-Juuti et al., (2017), lower diffusivity at RH40% compared to RH80% does not necessarily manifest in slower evaporation rates. As long as the viscosity is low enough for our system below approximately 10⁵ Pa s (Yli-Juuti et al., 2017) the limiting process is evaporation of the molecules from the particle surface, i.e. the vapour pressure of the molecules and gas phase equilibration time scales. So our results do not disagree with the notion of an increasing viscosity with decreasing RH, as shown e.g. by DeRieux et al. (2018), but rather suggest that at RH40% the
- 15 viscosity is still low enough for particle phase diffusion not to significantly limit the evaporation, while at the dry conditions the particle phase diffusivity is a limiting process.

When the evaporation curves are investigated in detailed, it can be seen that the evaporation is actually a bit faster at RH40% than at RH80%. This is due to the Raoult effect (i.e. higher LWC at higher RH), as discussed in Yli-Juuti et al. (2017), and also the SI of this manuscript. This effect of particles being more diluted at higher RH is included in our modelling.

- 20 Yli-Juuti et al. (2017) showed that the observed evaporation behaviour under dry conditions could only be reproduced if the particle viscosity was allowed to change with evaporation, i.e. with particle composition. As this is the case also for the experiments in this study, our data indicates that the viscosity of α -pinene SOA strongly depends on the exact chemical composition of the particles (e.g. how much semi-volatile compounds are left) which is also in consistent with DeRieux et al. (2018).
- 25 As the focus of this paper is not on the modelling results, we will add the following paragraph to the description of the modelling in the SI material and add the KM-GAP model evapogram curves to Figure S4. To validate our assumption of liquid like behaviour at RH40%, we calculated the evaporation curve at RH40% using the starting VBS distribution derived from the RH80% case both with the LLEVAP (assuming liquid-like behaviour) and with the KM-GAP model (assuming mass transfer limitations, applying viscosity derived from dry case). The curves are shown in
- 30 Figure S4. In the medium- and high-O:C cases, the LLEVAP curve (dashed line) clearly represents the measured data points. In the low-O:C case, LLEVAP represents the early stages of evaporation better while the later part is closer to the KM-GAP

curve. In summary, the assumption of liquid like behaviour for RH40% is valid, i.e., the viscosity at RH40% is still low enough for particle phase diffusion not to significantly limit the evaporation.

Comment 6

page 8, lines 3-7: How do the O:C ratios evolve as a function of evaporation? Does it increase or decrease? The authors should

5 be able to track these changes.

Response

Generally, the mass concentration was very low (<0.5 μ g/m³) for the long evaporation measurements, and thus the quality of AMS O:C ratio data was very poor. Longer measurement times were not an option as that would have increased the necessary dilution and depleted the particle concentration in the RTC even faster. For some of the high concentration experiments (for FIGAERO

10 sampling), mass loadings were high enough to derive reliable O:C ratios (Table R1: Molecular mass, T_{max} and $log10(C_{sat}^*)$ derived from composition parameterisation (Li et al., 2016) and calibration of peak desorption temperature. T_{max} values are for the mediumcase.

sum formula	Mw g mol ⁻¹	log10(C [*] _{sat}) composition	T _{max} °C	$\frac{\log 10(\mathcal{C}^*_{sat})}{\mathrm{T}_{\max}}$
C ₄ H ₆ O ₄	118.03	4.85	72	-0.7
C ₃ H ₄ O ₄	104.01	4.41	44	0.8
C4H4O6	148.00	1.26	60	-0.1
C2H4O2	60.02	7.5	52	0.4

Table R2). In those cases, no clear trends were visible for changes in O:C values with evaporation or humidification (changes

15 of less than 0.08).

Average O:C ratios from FIGAERO measurements are given in Table 1 in the manuscript. Again, no clear trend was observed with evaporation. This is mentioned later in the manuscript (page 9, lines 6-7). But we will strengthen that statement in the main text at page 9 line 9.

During the evaporation the initial O.C changed very little (Table 1). This is consistent with earlier observations reported by

20 Yli-Juuti et al. (2017) who interpreted this as evidence for the presence of low volatility oligomers in the particles. These should have very similar O:C ratios to the corresponding monomers.

Comment 7

page 8, lines 17-20: Could it be possible that sampling wet air (i.e., 80%) leads to larger adsorption of gaseous compounds onto the filter (i.e., positive artifact)?

Response

In principle, it is true that the adsorption of gaseous compounds may be enhanced under wet conditions. However, in our study the concentrations of gaseous compounds were very low as we were not sampling the aerosol (gas and particle phase). Instead, we separated the particles from the original gas phase during the size selection in the NanoDMAs. During evaporation in the

5 RTC, the stainless-steel walls present a large enough sink for the evaporating vapours so that there is no build-up in the gas phase for the RTC samples. We showed in previous characterisation experiments for the study reported in Yli-Juuti et al. (2017) that even an increase of particle concentration by an order of magnitude did not change the evaporation behaviour, which is a clear sign that the instantaneous wall loss assumption for evaporating vapours is reasonable.

Comment 8

10 page 9, lines 18-20: The authors should compare some of their results with the recent work published by Riva et al. 2019 (doi.org/10.1038/s41612-018-0058-0). In this earlier study, the authors have shown that particle phase processes lead to the formation of oligomers that further decompose into C7-C9 compounds. How do the average DBE value change?

Response

We carefully studied the work by Riva et al. (2019). They show very interesting findings about α -pinene SOA produced from

- 15 ozonolysis reactions with and without seed present. Unfortunately, they do not state O:C (or OSc) values for their SOA. As we show in our study, the initial O:C ratio has a large influence on the composition, evaporation and thermal desorption behaviour. Also, all our SOA was produced via a combination of photooxidation and ozonolysis without any seed. Nonetheless, we calculated the DBE changes as the reviewer suggested and depict them in Figure R 6 in the same fashion as in Supplement Figure 8 in Riva et al. (2019). In the low-O:C case, the C7-9 compounds with increased contribution after
- 20 evaporation have higher DBE values (green colours in Figure R 6a), but no such clear trend can be found for medium- or high-O:C SOA particles (panels c and e). When comparing high-O:C dry and wet particles, we see a shift towards lower DBE values in the wet case. This again highlights that different processes occur in the high-O:C case when particles are wetted. We would like to point out that Riva et al. (2019) observed the strongest increase of "other oligomeric" compounds (HMW, C7-C10 compounds with high DBE) in the dry ABS seed case, when HSO₄⁻ and H⁺ ions are available in the particles. It is
- 25 known that under these conditions organosulphates (i.e. sulphate esters) can be formed (Liggio and Li, 2006; Surratt et al., 2007). Dimers with a sulphate ester link are also possible. This group of compounds is known to be thermally instable (Hu et al., 2011) and thus would mostly be detected as the corresponding decomposition products in FIGAERO-CIMS. But these compounds are highly unlikely to form in our study as no seed was used and no significant amounts of sulphate was detected in the AMS.

We will cite Riva et al. (2019) in the manuscript text (page 12, line 27) as an example for particle phase processes that can form low volatile but thermally instable compounds. However, we believe that different chemical processes are at work here, and thus the resulting chemical compounds are most likely also very different.

Our data suggests that the degree of thermal decomposition in FIGAERO-CIMS and its impact on derived volatility most

5 likely depends on the initial composition of the SOA and may be changed by the presence of particulate water. Another recent study has shown that chemical composition changes induced by the presence of acidic inorganic seeds may also produce low volatile, but thermally instable compounds, which can only be detected as their decomposition products with FIGAERO-CIMS (Riva et al., 2019). This highlights the benefit of isothermal methods for studying SOA particle volatility.

10 References

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Tables

sum formula	M _w g mol ⁻¹	$log10(C_{sat}^*)$ composition	T _{max} °C	$\frac{\log 10(\mathcal{C}^*_{sat})}{\mathrm{T}_{\max}}$
C4H6O4	118.03	4.85	72	-0.7
C3H4O4	104.01	4.41	44	0.8
C4H4O6	148.00	1.26	60	-0.1
$C_2H_4O_2$	60.02	7.5	52	0.4

Table R1: Molecular mass, T_{max} and $log10(C_{sat}^*)$ derived from composition parameterisation (Li et al., 2016) and calibration of peak desorption temperature. T_{max} values are for the medium-O:C case.

5 Table R2: Average O:C values and mass concentration measured with AMS for monodisperse SOA. Values in *italics* indicate that the AMS mass concentration was well below 0.5 μg m⁻³ and derived values should be used carefully.

OH exposure	sampling c	ondition	mass / µg m ⁻³	O: C
low	dry	fresh	3.37	0.54
		RTC	0.33	0.53
	RH80%	fresh	3.29	0.53
		RTC	0.22	0.53
medium	dry	fresh	4.45	0.69
		RTC	0.72	0.68
	RH80%	fresh	5.96	0.67
		RTC	0.5	0.61
high	dry	fresh	2.49	0.96
		RTC	0.46	0.92
	RH80%	fresh	3.3	0.97
		RTC	0.3	0.89

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Figure R 1: Non-normalised integrated mass spectra of all filter blank measurements for low-O:C (panels (a) and (b)) and nonnormalised total thermograms (c) for filter blanks and measurements after evaporation in the RTC (orange: dry, light blue: RH80%). The colour code is the same in all three panels.



Figure R 2: Non-normalised integrated mass spectra for high-O:C cases with highest (a) and lowest (b) mass loading on the FIGAERO filter. Panel (c) shows the first filter blank measurement in the morning of the same day (i.e. maximum background).



Figure R 3: Changes in normalised spectra for high-O:C -cases with background subtraction (top panels: a, b) and no background subtraction (bottom panels: c, d). Left column (a, b): changes due to evaporation under dry conditions, right column (b, d): changes between dry and wet conditions. Colour indicates number of C atoms in the identified ions (black corresponds to C-number of larger

5 then 10). Mass spectra were normalised by total signal and then the difference calculated. Note that panels (c) and (d) are identical to those in Figure 3 in the main manuscript.



Figure R 4: Non-normalised ion thermograms for four low M_w ions for fresh SOA of all O:C cases. For each ion, thermograms are given for the I⁻ cluster (dashed lines) and the corresponding deprotonated ion (solid lines). Background colour indicates volatility classes according to Donahue et al. (2012) and our peak desorption temperature (T_{max}) calibrations.



Figure R 5: Measured and modelled evapogram data. Dashed lines indicated model results using KM-GAP (assuming mass transport limitations in the particles), solid lines are results from LLEVAP (liquid like behaviour).



Figure R 6: Changes in DBE during dry evaporation (left) and humidification (right) for all O:C cases. Red colours indicate that signal was higher for the fresh dry particles.

Insights into the O:C dependent mechanisms controlling the evaporation of α -pinene secondary organic aerosol particles

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Abstract

5

The volatility of oxidation products of volatile organic compounds (VOCs) in the atmosphere is a key factor to determine if

- 15 they partition into the particle phase contributing to secondary organic aerosol (SOA) mass. Thus, linking volatility and measured particle composition will provide insights into SOA formation and its fate in the atmosphere. We produced α -pinene SOA with three different oxidation levels (characterised by average oxygen to carbon ratio, O:C = 0.53, 0.69, and 0.96) in an oxidation flow reactor. We investigated the particle volatility by isothermal evaporation in clean air as a function of relative humidity (RH < 2%, 40%, and 80%) and used a filter-based thermal desorption method to gain volatility and chemical
- 20 composition information.

We observed reduced particle evaporation for particles with increasing O:C ratio, indicating that particles become more resilient to evaporation with oxidative aging. Particle evaporation was increased in the presence of water vapour and presumably particulate water, at the same time the resistance of the residual particles to thermal desorption was increased as well. For SOA with O:C = 0.96, the unexpectedly large increase of mean thermal desorption temperature and changes in the

25 thermogram shapes under wet conditions (80% RH) were an indication of aqueous phase chemistry. For the lower O:C cases, some water induced composition changes were observed. However, the enhanced evaporation under wet conditions could be explained by the reduction of particle viscosity from the semi-solid to liquid-like range and the observed higher desorption temperature of the residual particles is a direct consequence of the increased removal of high volatility and remaining of low volatility compounds.

30 1 Introduction

Secondary organic aerosol (SOA) accounts for a major fraction of the global atmospheric aerosol burden (Hallquist et al., 2009; Jimenez et al., 2009). Understanding the mechanism of formation and properties of SOA is therefore of utmost

importance to estimate its effects on climate, air quality and human health. SOA – by definition – is formed when low volatility oxidation products of volatile organic compounds (VOCs) deposit onto existing particles or form new particles. While the scientific community has made significant improvements in characterising the precursors and gas phase oxidation products at the molecular level with new measurement techniques (e.g. Ehn et al., 2014; Lopez-Hilfiker et al., 2014; Mohr et al., 2017),

- 5 the particle phase is still proving to be complicated. There are technical challenges as chemical composition analysis techniques typically operate with liquid or gaseous samples, and thus aerosol particles samples need to be extracted or desorbed which can introduce artefacts. In addition, there are a multitude of compounds in aerosol particles (Goldstein and Galbally, 2007), and the particle phase is far from static once it is formed. Many different chemical processes can occur in the particle phase, especially if water is present, e.g., larger molecules may be formed by polymerisation reactions or there may be oxidation with
- 10 oxidants taken up from the gas phase (Herrmann et al., 2015; Kroll and Seinfeld, 2008). In addition, aerosol particles interact with the gas phase constantly. Compounds will partition between gas and particle phase depending e.g. on their vapour pressures and concentrations in the different phases (Donahue et al., 2006).

Gas-particle partitioning has been described in detail and applied to model SOA formation (Pankow, 1994a, 1994b; Pankow et al., 2001). Donahue et al. (2006) bypassed the issue of having to define the phase diagram for each compound in the SOA system by introducing the volatility basis set (VBS) framework which utilises the effective saturation concentration (C*) to group the compounds into volatility classes (or bins). This framework can then be used to follow changes in partitioning, and thus bulk volatility, with time and to predict SOA formation, e.g. in global modelling (e.g. Bergström et al., 2012; Jimenez et al., 2009). More comprehensive schemes have since been developed linking volatility with other properties, e.g., oxidation level represented by elemental oxygen-to-carbon (O:C) ratio (Donahue et al., 2011, 2012) or a certain functionality (Krapf et al., 2016).

In principle, VBS distributions can be derived from any type of volatility measurements. Besides the SOA yield studies, the most common approaches are to measure the evaporation of SOA particles at elevated temperatures (typically 30 – 300 °C) or by removing the gas phase around the particles through isothermal dilution. Thermal methods include measurements of particle mass loss after passing through a thermodenuder (An et al., 2007; Cappa and Wilson, 2011; Huffman et al., 2008; Kolesar et

- 25 al., 2015) and methods where particles are collected and then desorbed with a heated carrier gas flow (e.g. the filter inlet for gases and aerosols sampling unit, FIGAERO, Lopez-Hilfiker et al., 2014). Typically, the remaining SOA mass or volume fraction is determined, or the changes in chemical composition with temperature are monitored. With any thermal method, there is the potential of thermal decomposition at elevated temperatures prior to detection and some studies report strong indication of decomposition and particle phase reactions (Hall IV and Johnston, 2012; Kolesar et al., 2015; Lopez-Hilfiker et al., 2014).
- 30 al., 2015; Stark et al., 2017). This may lead to an overestimation of the (semi-)volatile fraction of the SOA (Lopez-Hilfiker et al., 2015; Schobesberger et al., 2018). This issue may be constrained by combining isothermal dilution and thermodenuder measurements (Grieshop et al., 2009; Louvaris et al., 2017).

Vaden et al. (2011) proposed an isothermal dilution method utilising a differential mobility analyser (DMA) for particle size selection coupled to an evaporation/residence time chamber at room temperature with active carbon as an absorber for the

evaporating vapours. This method avoids issues associated with thermal decomposition in thermodenuders and wall loss artefacts in smog chamber experiments. It enables studying evaporation times of up to two days. With this method, it was shown that dry particles evaporate much slower than expected from SOA yield experiments (Vaden et al., 2011). Combining this type of data with detailed process modelling suggested that mass transport limitations in the most likely semi-solid, and

- 5 thus highly viscous, dry particles were responsible for the observed slow evaporation (Yli-Juuti et al., 2017). In this context, particulate water can play a crucial role as it can act as a plasticiser, reducing particle viscosity and thus enhance evaporation (Wilson et al., 2015; Yli-Juuti et al., 2017). Aqueous phase chemical reactions may also have an impact on SOA volatility, enhancing formation of larger molecules or, conversely, hydrolysing especially peroxy compounds. To distinguish between the physical (viscosity decrease) and chemical (aqueous phase reactions) role of particulate water in particle evaporation, it is
- 10 necessary to investigate the chemical composition of SOA particles during isothermal evaporation at ambient temperatures under a range of RH conditions. Although the thermal desorption in FIGAERO-CIMS may cause decomposition as described above, it still can be useful for this purpose by combining measurements of fresh SOA particles and of particles that have been left to evaporate for long times. Whereas D'Ambro et al. (2018) left the collected SOA particles on the FIGAERO filter in a clean nitrogen flow for up to 24 h, we utilised our residence time chamber (RTC) to probe the particle phase composition after
- 15 different evaporation times.

In this study we investigated the volatility of SOA particles formed from α -pinene at different oxidation levels with a combination of isothermal evaporation and thermal desorption. In addition, we monitored the evolution of the chemical composition of the residual particle after evaporation using FIGAERO-CIMS. Special focus was on the role of particulate water on the evaporation behaviour to determine whether water simply affected the rate transfer through the particles or also induced chemical reactions in the particles. Understanding particle phase processes is crucial to improving our ability to model SOA formation and to predict its behaviour in the atmosphere.

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2 Methods

2.1 Measurements

The experiments were conducted in the same fashion as described in Yli-Juuti et al., 2017 with the main difference that here
SOA was formed in a potential aerosol mass reactor (PAM, Aerodyne Research Inc., Kang et al., 2007; Lambe et al., 2011) through ozonolysis and photooxidation of α-pinene instead of pure ozonolysis. A schematic of the experimental setup is shown in Figure S1 in the Supplement Information (SI material). α-Pinene vapour was introduced to the PAM reactor by flowing nitrogen over a vial of α-pinene (≥ 98% purity, Sigma Aldrich) placed in a diffusion source resulting in a mixing ratio of 190 parts per billion by volume (ppb). The α-pinene mixing ratio input to the PAM reactor was 190 ppb as monitored with a high-resolution time-of-flight proton transfer reaction mass spectrometer (PTR-MS, Ionicon model 8000). Concentrations of α-pinene were checked periodically at the outlet of the PAM reactor and were < 1 ppb for all settings (i.e., the precursor reacted completely). The PAM reactor was operated at a constant temperature of 27 °C and RH of 40%. Hydroxyl radicals (OH) were

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formed from ozone (O_3) photolysis and reaction of the generated $O(^1D)$ with water vapour inside the PAM reactor. The O_3 concentration and the irradiation level in the PAM reactor were varied to create SOA with a range of effective oxidation ages (see Table 1 and Table S1). The polydisperse SOA was characterised with a scanning mobility particle sizer (SMPS, TSI Inc., model 3082+3775) and a high resolution time-of-flight aerosol mass spectrometer (AMS, Aerodyne Research Inc., DeCarlo et al., 2006).

5 et al., 20

To conduct the isothermal evaporation measurements, two nano differential mobility analysers (NanoDMA, TSI Inc., model 3085) were used in parallel to select a narrow distribution of monodisperse particles (mobility diameter 80 nm). Two NanoDMAs were utilised to double the available sample flow without compromising on the sheath to sample flow ratio. Each NanoDMA was operated with an open loop sheath flow, and the residence time inside the NanoDMA was ≤ 0.3 s, which limits

- 10 the diffusional mixing of gas phase compounds into the selected sample flow. This led to a high dilution of the gas phase compounds (by more than one order of magnitude) and resulted in a sudden shift from the gas-particle equilibrium at the NanoDMA outlet, which initiated the particle evaporation. Due to some length of tubing always required for sampling, the shortest particle evaporation time, i.e. the minimum time between exiting the NanoDMA and reaching subsequent measurement devices, was 2 s. Evaporation times of up to 160 s were achieved by simply adding tubing to the sample line
- 15 and/or reducing the sample flow. Size and composition of the size selected particles were monitored using an SMPS, an AMS, and a filter inlet for gases and aerosols sampling unit (FIGAERO, Aerodyne Research Inc., Lopez-Hilfiker et al., 2014) in combination with a chemical ionisation mass spectrometer (CIMS, Aerodyne Research Inc., Lee et al., 2014) using iodide as reagent ion. The overall RH during the evaporation experiment was controlled with the sheath flow of the NanoDMAs at three different levels: dry (RH < 2%), RH40%, and RH80%. For RH40% and RH80%, the sheath flow was humidified with a Nafion humidifier (Permapure, model PD-200T).</p>
- Longer evaporation times, ranging from 0.5 to 11 h, were achieved by passing the sample flow into a 100 L cylindricallyshaped stainless-steel chamber (Residence Time Chamber, RTC), which had an inlet at the top and an outlet at the bottom. Our earlier study showed that the stainless-steel walls of the evaporation chamber act as nearly perfect sinks for the compounds evaporating from the particles (Yli-Juuti et al., 2017). Before each evaporation experiment, the nanoDMAs, connected tubing,
- and RTC were flushed for at least 12 h with clean air at the RH of the next experiment. This ensured that all parts of the system had adjusted to the new conditions. RTC experiments were initiated by adding monodisperse SOA through the top inlet for 20 min while displacing clean air, which exited via the bottom outlet. At the end of filling the RTC, the average particle number concentration was 200 - 1500 cm⁻³, and the average particle mass concentration was $0.1 - 0.6 \,\mu$ g/m³. Then the chamber was closed off and periodically opened again to sample for 9 to 15 min intervals with the SMPS and AMS. Clean air with the same
- 30 RH was admitted into the chamber during sampling intervals to maintain constant pressure and humidity, with corresponding dilution factors of typically 1.2 or less. As we base our analysis on changes in measured particle size, and not on the change in total particle mass, the particle losses and further dilution only limit the number of times it is possible to sample from the RTC. The size selection unit, the RTC, and all particle phase measurement instruments were located in a temperature-controlled room (21 °C) to minimise the effect of ambient temperature fluctuations on particle evaporation and on RH. For the

RH80% experiments, the closed loop sheath flow of the SMPS was also humidified to ensure that the RH stayed within +/- 2% between size selection, evaporation and size measurements.

For FIGAERO-CIMS measurements, the above-described procedure had to be adjusted to accommodate the need for higher particle mass loadings: (1) "fresh" samples were collected directly after size selection for 20 or 30 min; (2) RTC fill times

- 5 were increased from 20 to 75 min; and (3) the FIGAERO-CIMS sampled the remaining SOA particles in the RTC once after 3 to 4 hours of evaporation (data labelled "RTC" in the following). The upper limit of collected mass was estimated from the particle mass concentration and sampling time. Collected particulate material was 140 - 260 ng for "fresh" and 20 - 70 ng for RTC samples (Table S3). Due to the collection time needed, the "fresh" filter sample contained particles which had been on the filter for 0 to 20 or 30 min. As the particle evaporation starts when the gas phase is diluted in the NanoDMAs and no new
- 10 particle/gas phase equilibrium can be reached, the evaporation continues while particles are being deposited on the FIGAERO filter. Thus, some volatile compounds may have already evaporated before the thermal desorption begins and cannot be captured with this method.

2.2 Data analysis

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The SMPS data was inverted with the Aerosol Instrument Manager software (TSI). To check the selected sizes of the NanoDMAs, ammonium sulphate particles, which are non-volatile at room temperature, were sampled for each sheath flow setting. This actual measured size was then used as "set" size. The Evaporation Factor (EF) was calculated as the ratio of the measured sizes (D_{meas}) and the set sizes (D_{set}):

$$EF = \frac{D_{meas}}{D_{set}}$$
(1)

Assuming spherical particles, the Volume Fraction Remaining (VFR) can be calculated as:

$$VFR = EF^3 \tag{2}$$

In the following, the evolution of VFR as a function of the residence time in the RTC will be called "evapogram". The high resolution AMS data was analysed with the SQUIRREL (version 1.59D) and PIKA toolkits (version 1.19, DeCarlo et al., 2006). The improved parameterisation from Canagaratna et al. (2015) was used to perform the elemental analysis which yields average oxygen-to-carbon and hydrogen-to-carbon ratios for the sampled SOA (O:C and H:C ratios). The average carbon oxidation state, OSc, was calculated following the approximation in Kroll et al. (2011):

$$\overline{OSc} = \mathbf{2} \cdot \overline{O:C} - \overline{H:C}$$
(3)

Raw data from FIGAERO desorption temperature scans was processed using tofTools, a MATLAB-based software package developed for analysing ToF-CIMS data (Junninen et al., 2010). The raw data was averaged to provide average mass spectra spaced by 20 s, and baseline correction was applied before fitting the high-resolution mass spectral data. Details about the magnitude and impact of the instrument background (filter blank measurements) on our analysis are discussed in the SI material (Section 1.2). The average O:C and H:C ratios, and composition were calculated as signal weighted sums from the elemental composition defined by the sum formulas. Details are given in the SI material (Section 1.3).

Thermal desorption of a FIGAERO filter sample via a nitrogen gas flow heated from 25 to 210 °C yields thermograms, i.e., the total or selected ion count rate vs. desorption temperature. The sum over all ions (except the reagent ions) will be referred to as "total thermogram". Where noted, we normalised the thermograms with the time-integral of the respective total thermogram to help compare thermogram shapes. We characterise the thermograms mainly by the temperature of peak

- 5 desorption (= thermogram maximum, T_{max}), as is common practice (Huang et al., 2018; Lopez-Hilfiker et al., 2014). We also use the median desorption temperature (T_{median}), i.e., the temperature dividing the thermogram into two equal areas. This value may reflect the overall desorption characteristics better than T_{max} , because thermograms (individual or total) may feature poorly defined peaks and contain large fractions of signal at temperatures very different from (typically higher than) T_{max} . Integrated normalised FIGAERO mass spectra were obtained by calculating the time-integral of each ion's count rate over the full
- 10 desorption cycle, and then normalising to the sum over all non-reagent ions. The above described normalisation procedures were designed to account for differences between experiments in the amount of particle mass collected on the filter, and it allows us to directly compare the thermogram shapes and the relative contributions of certain ions between different experiments while not affecting T_{max} and T_{median}.
- In the CIMS instrument, the major class of ions were clusters of iodide (I^{-}) and organic compounds (M) in the sample flow, 15 detected as $[M+I]^{-}$. In this study, the voltage settings in the CIMS' ion guidance elements led to a relatively high level of ion declustering, which included the formation of ions not containing iodide and with an odd number of hydrogen atoms likely dominated by $[M+I]^{-1}$ ions that lost HI resulting in $[M-H]^{-1}$ and by other fragmentation processes described in the SI section. These "declustered ions" accounted for 15 - 25% of the total ion signal (see SI material section 1.1 for further information). We analysed the data treating the declustered and adduct ions separately. However, for plotting the integrated spectra of all 20 observed species, all ions were included, and it was assumed that deprotonation to form [M-H]⁻ was the only declustering reaction. The observed ion formulas were converted into neutral compound formulas by adding the mass of H⁺ for [M-H]⁻ or

3 **Results and Discussion**

3.1 **SOA chemical composition**

subtracting the mass of I⁻ for [M+I]⁻.

- 25 For the low, medium and high OH exposure in the PAM reactor, the O:C ratios derived from AMS data of size selected α pinene SOA were 0.53, 0.69, and 0.96, respectively. These O:C values are representative for fresh and aged ambient SOA in monoterpene rich environments (Aiken et al., 2008; Ng et al., 2010; Ortega et al., 2016). From here on we refer to these three cases as low-, medium-, or high-O:C experiments. Overall, FIGAERO and AMS measurements show the same trends in the O:C ratios, however the AMS derived values show a larger difference in O:C ratios between the low and high OH exposures 30 (see Table 1). With increasing overall O:C, the FIGAERO-<u>CIMS</u> mass spectra show an increasing fraction of monomers (defined as compounds with 10 or fewer C atoms, i.e., compounds derivable from a single monoterpene precursor, roughly corresponding to masses < 300 Da) as shown in Figure S2. In the high-O:C case, there is a strong increase in the contribution
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of smaller molecules with high O:C (see Figure S2 and Figure S5c), due to the dominance of fragmentation reactions at high OH exposure (Lambe et al., 2012; Palm et al., 2016). It was not expected to find such a large contribution of low molecular weight (MW) compounds such as $C_3H_4O_4$ at 104 Da (detected mostly as $[C_3H_4O_4 + I]^-$) or $C_4H_6O_4$ at 118 Da (detected mostly as $C_4H_5O_4^-$) in the particle phase. Given that the majority of compounds of this size should be too volatile to stay in the particle

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phase, a likely cause for the appearance of these low-MW compounds is thermal decomposition of higher MW compounds during the desorption from the FIGAERO filter. Then the increase of low-MW compounds at higher O:C indicates that particulate organics become overall more susceptible to thermal decomposition when SOA is formed under higher OH exposure in the PAM reactor. However, at this point we cannot determine if the increased detection of these low-MW compounds is driven by a higher degree of fragmentation reactions at high OH exposure in PAM or the thermal decomposition of higher MW compounds in the FIGAERO.

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Table 1: Average oxidation state and average molecular formula derived from FIGAERO-CIMS and AMS measurements.

OH exposure	Instrument			O: C	H:C	OSc	average composition
low	AMS			0.53	1.53	-0.46	
	FIGAERO	dry	fresh	0.66	1.62	-0.30	$C_{9.7} \ H_{16.0} \ O_{5.8}$
			RTC	0.68	1.63	-0.28	C10.8 H17.9 O6.8
		RH80%	fresh	0.68	1.62	-0.25	C10.2 H16.8 O6.5
			RTC	0.71	1.61	-0.20	C10.6 H17.4 O6.8
medium	AMS			0.69	1.42	-0.05	
	FIGAERO	dry	fresh	0.75	1.52	-0.03	C _{9.0} H _{14.0} O _{6.3}
			RTC	0.74	1.53	-0.04	C _{9.3} H _{14.4} O _{6.4}
		RH80%	fresh	0.76	1.52	0.00	C _{9.0} H _{14.0} O _{6.4}
			RTC	0.77	1.55	-0.02	C _{9.8} H 15.5 O _{6.9}
high	AMS			0.96	1.26	0.63	
	FIGAERO	dry	fresh	0.84	1.46	0.23	C _{8.2} H _{12.3} O _{6.3}
			RTC	0.83	1.47	0.19	$C_{8.4} H_{12.7} O_{6.3}$
		RH80%	fresh	0.85	1.43	0.27	$C_{8.0} H_{11.7} O_{6.1}$
			RTC	0.84	1.46	0.22	C _{8.5} H _{12.8} O _{6.2}

3.2 Linking isothermal evaporation and thermal desorption in FIGAERO

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Plots showing the VFR of α -pinene SOA as a function of residence time (i.e., "evapograms") are presented in Fig. 1a - c for the three O:C cases. The dependence of the evaporation rate on RH follows the trends reported in earlier studies: at dry conditions, the evaporation is substantially slower than at RH40% and RH80% conditions, for all oxidation levels (Vaden et al., 2011; Wilson et al., 2015; Yli-Juuti et al., 2017). In the studies of Wilson et al (2015) and Yli-Juuti et al (2017), the slower evaporation under dry conditions was related to increased diffusional limitations, due to higher viscosity than under humid conditions. We note that the evaporation rate at RH40% is higher than at RH80% (Figure 1a - c). This observation is explained by the solution or Raoult effect, i.e., the decrease of the equilibrium vapour pressure over more dilute humidified particles, as demonstrated by the evaporation modelling presented in the supplemental SI material (Section 1.4 and Figure S4c) and in the

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study of Yli-Juuti et al (2017). This indicates that diffusion limitations do not play a major role in aerosol evaporation at room temperature when the RH is at atmospherically relevant levels.

The dependence of the isothermal evaporation rate on the oxidation level is reported for the first time in this study. As the O:C ratio of the produced SOA is increasing, the overall rate of evaporation decreases. After 6 h of evaporation, SOA particle

5 volume decreased by only 10% under dry and ~ 40% under RH40% conditions in the high-O:C case. For the low-O:C case, the corresponding numbers are 40% (dry) and 60% (RH40%). These trends suggest that the more highly oxygenated SOA is less volatile, as expected from thermodenuder measurements (e.g., Donahue et al., 2012).

In Figure 1d-f, we show FIGAERO total thermograms (signal-weighted sum of the thermograms for all individual compositions) measured at different time periods during isothermal evaporation at dry and RH80% conditions. The FIGAERO

- 10 filter sampling periods of each thermogram are marked by coloured boxes in the evapograms in Figure 1a-c. Fresh SOA thermograms were shifted to higher temperatures with increasing O:C, both at dry and RH80% conditions. For the low-, medium-, and high-O:C cases, the peak evaporation temperatures, T_{max}, were 50, 60, and 71 °C under dry conditions and 61, 70, and 92 °C at RH80%. These shifts are in line with our isothermal evaporation measurements suggesting a decreasing vapour pressure of SOA compounds with increasing O:C, and are also consistent with a larger role of thermal decomposition
- 15 during desorption, as indicated by the increased contribution of small highly oxidised molecules discussed above. When examining the T_{max} of fresh SOA in more detail, it can be seen that at a fixed O:C ratio $T_{max}(RH80\%) > T_{max}(dry)$. This trend can be explained with the evapogram measurements: the particles evaporate more quickly at higher humidity as seen in the evapograms, hence a larger fraction of higher volatility compounds is already lost during the 20 or 30 min period of FIGAERO filter collection before the thermal desorption. Thus, the collected residual particles are less volatile, characterised
- by a higher T_{max} . When sampling from the RTC after longer evaporation time, the VFR is even lower (i.e., a larger volume fraction has been lost due to evaporation). Correspondingly, the thermogram peak is shifted further toward higher temperatures in all studied O:C cases, again indicating an increasing fraction of lower volatility compounds in the residual particles. Only for the high-O:C case, also an absolute increase in the amount of material desorbing at > 150 °C is observed when comparing the fresh SOA at RH80% and dry conditions (see non-normalised thermograms in Figure S3). Because the estimated
- 25 organic mass loadings on the filter were comparable, this indicates that when the high-O:C particles, generated in the PAM at RH40%, are exposed to elevated RH (RH80%), compounds with high desorption (and/or decomposition) temperatures are formed in the particle phase. We will corroborate this suggestion below.

In Figure 2, VFR is plotted as a function of T_{median} . The figure visualizes two phenomena: Generally, T_{median} and VFR are positively correlated with O:C ratio. As laid out above, this observation is explained by the negative relationship of O:C and

30 volatility. At the same time, however, for a certain O:C ratio, VFR and T_{median} are negatively correlated. As mentioned above, this can be explained by the properties of the residual particles after a certain period of evaporation. We will explore this further now, together with the possibility of water-induced particle phase reactions.

In low- and medium-O:C cases, the trends of the VFR vs T_{median} behaviour are comparable, and the increase in T_{median} is clearly associated with the decreasing VFR, regardless of the RH and hence water content of the particles. The behaviour in the high-

O:C case is different and cannot be explained by the evaporation of higher volatility material alone. For the high-O:C cases, T_{median} of fresh SOA increases from 86 to 104 °C between the dry and RH80% case despite change of only 9% in VFR. Combining this observation with the fact that there is an absolute increase in material desorbed > 150 °C suggests that in the high-O:C cases the particle phase water alters the SOA particle composition, resulting in an increased resistance to thermal desorption or decomposition (i.e. large change in T_{median}) even if the particles lost only a small volume fraction due to the isothermal evaporation (i.e. small change in VFR). We note that these composition changes are not clearly visible in the average O:C or OSc values (see Table 1) and we will elaborate on possible reactions in section 3.4.

3.3 Residual particle composition during evaporation under dry and humid conditions

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During the evaporation the initial OC changed very little (Table 1). This is consistent with earlier observations reported by 10 Yli-Juuti et al. (2017) who interpreted this as evidence for the presence of low volatility oligomers in the particles. These should have very similar O:C ratios to the corresponding monomers. To examine detailed changes in particle composition along the isothermal evaporation at dry conditions, we show the difference between the normalised integrated FIGAERO-CIMS mass spectra measured at the beginning (fresh) and after 3 to 4 h of isothermal evaporation (RTC) in Figure 3 (panels (a) and (c) for the low- and high-O:C case, respectively). To investigate the changes in composition due to the humidification, 15 panels (b) and (d) in Figure 3 show the differences between FIGAERO-CIMS mass spectra measured at dry and RH80% conditions in the beginning (fresh SOA) of the isothermal evaporation. As the low-O:C SOA particles evaporate (Figure 3a), a clear decrease in the fractional contribution of low-MW compounds (< 300 Da, ~monomers) is observed, whereas the contribution of compounds with MW > 300 Da (predominantly dimers) increases. Correspondingly, the contribution of compounds with C > 10 increase with evaporation while that of C < 7 decreases. The relative contributions of intermediate 20 masses are more likely to increase during evaporation, if they contain more oxygen atoms (Figure S5a). It is not possible to differentiate if these C7 - C9 compounds are really remaining in the particles or if they are simply thermal decomposition products of the more abundant dimers. However, this suggests that lighter and/or less oxidised molecules are indeed lost more readily during isothermal evaporation in the RTC, than the heavier dimers and more oxidised compounds, which are expected to have very low vapour pressure (Mohr et al., 2017). The more detailed investigation of changes in the mass spectra (Figure 25 S5a & b, and c & d) shows some indications of particle phase water driven chemical transformation both for low- and medium-O:C, but the differences are not as clear as in the high-O:C case (Fig. S5e and f). It should be noted that in the low-O:C case the molecules affected by particle phase water account for approximately 10% of the total signal. Therefore overall, the enhanced evaporation during FIGAERO filter collection under wet conditions is very similar to the evaporation happening under dry conditions in the RTC, and the water driven chemistry plays only a minor role in low-O.C case. This points towards 30 particulate water mainly reducing the viscosity and thus accelerating the mass transport in the particles as described in Yli-Juuti et al. (2017). In the high-O:C case (Figure 3c), there is also a relative decrease in masses < 300 Da with isothermal evaporation under dry conditions, but the overall picture is less clear, consistent with very little changes in VFR and in the sum thermogram shape in this case (high-O:C, dry; Figure 1c and d). Conversely, humidifying fresh high-O:C SOA particles leads to an increase in masses < 200 Da (Figure 3d), which is a very different behaviour compared to the low-O:C cases (Figure 3a and b) or to the isothermal evaporation of high-O:C SOA particles at dry conditions (Figure 3c). Again, this suggests changes in particle composition upon humidification in the high-O:C case. The mass fraction of compounds showing water driven chemical transformation makes up approximately 30% of the signal in high-O:C case. This should be taken into account when process level modelling of systems representative-comparable to the high-O:C case is considered.

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To gain a better understanding of these compositional changes related to humidification, we more closely examined the individual desorption thermograms of a few major ions (Figure 4): $C_4H_3O_6^-$ (a), $C_5H_5O_6^-$ (b), $[C_{10}H_{14}O_6+I]^-$ (c), which show an increase when the particles are humidified, and $[C_{10}H_{16}O_7+I]^-$ (d), which exhibits a net decrease in the high-O:C RH80% case. In the low-O:C case, only small shifts $(0 - 6 \,^{\circ}C)$ of T_{max} are observed for all four ions when RH is increased. This and 10 the changes in the thermogram shape are consistent with the behaviour observed for the total thermograms, described and explained above. In the high-O:C case, only a small shift in T_{max} is visible for $[C_{10}H_{16}O_7+I]^-$ as well (Figure 4d), but for the other ions (Figure 4a-c), we see a clear shift of the thermograms, with T_{max} increasing for the humidified case from 63 °C to 84 °C, 67 °C to 84 °C, and 70.5°C to 95.5°C for $C_4H_3O_5$, $C_5H_5O_6$, and $[C_{10}H_{14}O_6+I]^-$ respectively. This behaviour is unique for the high-O:C case. The collected organic mass loading on the FIGAERO filter was comparable (within 20%) for dry and 15 RH80% conditions. Thus, the apparent shift of T_{max} for $[C_{10}H_{16}O_7+I]^-$ (Figure 4d) could be explained by volatile material (with lower T_{max}) leaving the particles during evaporation in the same way as in the low-O:C case. But for the ions in Figure 4a – c, new material with higher T_{max} has clearly been formed. This must be triggered by the presence of larger amounts of water under RH80% conditions, via either of two scenarios: a) there are two different isomers dominating the thermogram for the respective composition at dry vs. RH80% conditions and the desorption temperatures of these isomers differ considerably, b) 20 the individual thermograms are dominated by the evaporation of monomers at dry conditions (or the decomposition of relatively unstable larger compounds into the observed compositions) but by thermal decomposition of (more stable) larger compounds at RH80% conditions. Isomers with higher T_{max} may be formed and at the same time the isomers with lower T_{max} are lost, either through reactions or through more rapid evaporation/decomposition than in the dry case. Alternatively, other low volatility material is formed that thermally decomposes into the observed compounds. With this data set, we cannot 25 exclude either of these two explanations, but the very broad shape of the RH80% thermogram for $[C_{10}H_{14}O_6+I]^-$ at high temperatures (see Figure 4c) is an indication that at least for this ion the mechanism including thermal decomposition is more

3.4 Possible aqueous phase processes

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likely.

The FIGAERO-CIMS combined composition and thermogram measurements provided insights into the chemical composition of the residual SOA particles after humidification and/or evaporation. The observed changes in the high-O:C RH80% case can only be explained by the formation of low volatility compounds in the particle phase and removal of the corresponding higher volatility compound at the same elemental composition. Thus, we briefly consider possible processes that can explain

formation of these less volatile and/or thermally more stable compounds in highly oxidised SOA at 80%RH in the sections below.

Liquid water can have several effects on particle chemical composition. First, water may initiate hydration and hydrolysis reactions. Second, water may catalyse reactions between organics (e.g. Dong et al., 2018; Kaur and Vikas, 2017). Third, water

5 reduces SOA viscosity (Hosny et al., 2016; Renbaum-Wolff et al., 2013), thereby reducing diffusional limitations to particlephase reactions. Whereas hydrolysis generally reduces the average molecular weight of the reactants, other processes in principle enable formation of higher-MW, but thermally labile products. Water may also enhance the uptake of O₃ from the gas phase (Berkemeier et al., 2016; Gallimore et al., 2011), but as the average O:C ratio did not change with increased RH, any oxidising reaction can be excluded.

10 **3.4.1** Homolysis of peroxy bonds (O-O) in organic peroxides (ROOH)

Organic hydro peroxides (ROOH) species are known to occur in SOA (Sanchez and Myers, 2000) and have been observed to decompose in the particle phase on timescales < 1 h under dark and wet (~40% RH) conditions (Krapf et al., 2016). Liquid-water-induced homolysis of the O-O bond in ROOH yields alkoxy (RO•) radicals that may initiate oligomerization reactions (Tong et al. 2016). Under dry conditions organic (hydro-)peroxides (ROOH) may be more stable and persist in the particle

15 phase but decompose upon desorption and be detected as RO or R fragments in FIGAERO-CIMS. The oligomers (RO-OR) formed in the RH80% case will most likely have a lower volatility than the educts, but they may still be prone to decompose upon desorption due to their relatively weak O-O bond. Thus, they would also be detected as RO or R fragments but at a higher apparent desorption T than the monomers.

3.4.2 Addition/accretion reactions

As generally no significant change in the O:C ratio was observed, only non-oxidative oligomerisation ("accretion") reactions such as aldol addition (aldehyde + aldehyde/ketone, (hemi)acetal formation (aldehyde/ketone + alcohol), peroxy(hemi)acetal formation (aldehyde/ketone + peroxide) and esterification (alcohol + (per)acid) are likely (Herrmann et al., 2015; Kroll and Seinfeld, 2008). All these reactions have reaction pathways which are enhanced by the presence of an acid catalyst in the aqueous phase. Thus, the complete lack of water in dry particles may sufficiently prevent these reactions preserving the monomer educts. To explain our results, there would have to be an additional factor in the high-O:C case, e.g. a much higher fraction of organic peroxides and peroxy acids that form peroxy hemiacetals instead of the more stable hemiacetals. These peroxy hemiacetals would then most likely decompose at a higher temperature than the desorption temperature of the educts, but be detected as low-MW compounds, while hemiacetals may be stable enough to be detected without decomposition.

4 Summary and Conclusions

30 We present the first study linking the oxidative age of α -pinene SOA, quantified by the O:C ratio, and isothermal evaporation, for a wide range of RH (< 2% to 80% RH). By utilising an RTC at room temperature and FIGAERO-CIMS as a thermal

desorption technique, we were able to determine SOA volatility independent of artefacts due to thermal decomposition. At the same time, the thermal desorption data gave insights into the possible particle-phase chemistry during evaporation especially under wet conditions. It has to be kept in mind though that the particles measured with FIGAERO-CIMS are always the residual particles after minutes to hours of isothermal evaporation either during filter collection or in the RTC.

- 5 We found a strong correlation between increased oxidation level of the initial particles and lower particle volatility expressed by less isothermal evaporation and higher T_{max} values in FIGAERO-CIMS thermograms. This suggests that atmospheric particles become more resistant to evaporation as they age over time, possibly increasing their lifetime in the atmosphere. This also means that the oxidation level needs to be kept in mind when investigating aerosol volatility in chamber or flow tube experiments. For example, for deriving VBS distributions from smog chamber yield experiments, care has to be taken that the oxidation level stays in the same range for all SOA mass loadings.
- Increasing RH enhances particle evaporation as described in previous studies (Wilson et al., 2015; Yli-Juuti et al., 2017) while the T_{max} values of the residual particles was also increased. The observed changes under wet conditions in the low- and medium-O:C cases could be explained with the lowering of the particle phase viscosity alone, but there were some indications for water induced changes in the chemical composition. However, the compounds exhibiting these changes accounted for
- 15 approximately 10% of the detected mass, and thus these changes are minor compared to the shift in composition due to evaporation. In the high-O:C case, strong evidence for aqueous phase reactions were found with approx. 30% of the mass being affected. Further evidence for different processes happening in the high-O:C case was found in the relationship between the isothermal evaporation (as VFR) and thermal desorption (as T_{median}). It was similar for the low- and medium-O:C cases and independent of the RH. For the high-O:C case, VFR changed very little during the isothermal evaporation at RH80% while
- 20 a large increase in T_{median} was observed. We attribute this different behaviour to the overall different chemical composition and most likely much higher concentration of organic peroxides (ROOH) in the high-O:C case. We hypothesize that water-induced (1) homo- and heterolytic breaking of weaker O-O bonds present in ROOH and/or (2) formation of peroxy hemiacetals may form thermally labile oligomers with enhanced yields in high-O:C SOA at RH80%. To further verify this explanation, direct measurements of the organic peroxide concentration for the different O:C cases would be needed but were not part of this
- 25 study.

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Our data suggests that the degree of thermal decomposition in FIGAERO-CIMS and its impact on derived volatility most likely depends on the initial composition of the SOA and may be changed by the presence of particulate water. Another recent study has shown that chemical composition changes induced by the presence of acidic inorganic seeds may also produce low volatile, but thermally instable compounds, which can only be detected as their decomposition products with FIGAERO-CIMS

The SOA particles studied here had O:C ratios comparable with atmospheric SOA (typically in the 0.3 – 1.0 range, e.g., Aiken et al., 2008; Ng et al., 2010; Ortega et al., 2013), but especially the high-O:C case was probably not fully representative of atmospheric SOA as extremely high O_3 and OH radical exposure levels were applied in the PAM reactor. This may have led to a larger degree of fragmentation of the precursor molecules than expected in the atmosphere, i.e., the particle phase is

(Riva et al., 2019). This highlights the benefit of isothermal methods for studying SOA particle volatility.

dominated by C_{4-6} compounds instead of the expected C_{8-10} compounds and their oligomers (Lambe et al., 2012). Some of the suggested aqueous phase reactions may be more likely for short carbon chain compounds (e.g. glyoxal like chemistry). Also, we can only speculate that there was a larger fraction of peroxy compounds in the particles in the high-O:C case as we had no direct measurement. However, recent studies with ambient SOA have shown that these particles can contain large amounts of environmental persistent radicals and are prone to form C- and O-centred organic radicals when wetted, which can start oligomerisation reactions in the particle phase (Arangio et al., 2016). So, although we formed the high-O:C SOA in a PAM

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environmental persistent radicals and are prone to form C- and O-centred organic radicals when wetted, which can start oligomerisation reactions in the particle phase (Arangio et al., 2016). So, although we formed the high-O:C SOA in a PAM reactor under extreme conditions, the produced particle and their behaviour allowed us to study processes which are most likely atmospherically relevant. Hence, our study substantially increases the understanding of complicated and inadequately studied particle phase processes and the results highlights the importance of water driven chemistry in SOA.

10 **5** Author contribution

AV, TY-J, and AB designed the study, AB, ATL, AY, ZL, CF, EK, LH, CM, and SN performed the measurements, AB, AY, ZL, EL, LH, WH, CM, DR, SN, TY-J, SS, and AV participated in data analysis and/or interpretation, O-PT., OL, and TY-J. performed the model calculations, AB, AV, and SS wrote the manuscript.

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Figure 1: Evapograms (left column: a-c) and total thermograms (right column: d-f) for low-O:C (top row), medium-O:C (middle row) and high-O:C (bottom row). Coloured boxes in evapograms indicate FIGAERO sampling time. Thermograms are normalised with total signal area.



Figure 2: Average VFR during FIGAERO sampling vs median desorption temperature (T_{median}) for all experiments. Colours indicate O:C ratios. Measurements under dry conditions are marked with squares, those under RH80% with diamonds. Error bars indicate minimum and maximum VFR observed during sampling time.



Figure 3: Changes in normalised spectra for low-O:C (top panels: a, b) and high-O:C (bottom panels: c, d). Left column (a, b): changes due to evaporation under dry conditions, right column (b, d): changes between dry and wet conditions. Colour indicates number of C atoms in the identified ions (black corresponds to C-number of larger then 10). Mass spectra were normalised by total signal and then the difference calculated.



Figure 4: Nont-normalised thermograms for four single ions for low- and high-O:C cases. Ions in panels (a), (b), and (c) show a net increase in the RH80% case while the ion in panel (d) had a net decrease in the high-O:C ratio case. Note that the amount of SOA mass collected on the FIGAERO filter was 5 – 20% higher in the RH80% cases and is also different between the O:C cases.

Supplement material

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1.1 FIGAERO-CIMS declustering

As described in section 2.2, we observed a considerable amount of declustered ions in the FIGAERO-CIMS mass spectra. We speculate that a possible declustering process was the removal of HI from $[M+I]^-$ adducts, leaving behind $[M-H]^-$ to be detected, e.g., carboxylate anions following the deprotonation of the corresponding carboxylic acids. However, it is also possible that other decomposition reactions occurred, such as decomposition of peroxyacid-iodide adducts into carboxylate anions ($[M-OH]^-$, Lee et al., 2014)), decarboxylation $[M - H - CO_2]^-$ and/or dehydration of carboxylic acids and alcohols $[M - H - H_2O]^-$ (e.g. Canagaratna et al., 2015; Stark et al., 2017), or cleavage of weak organic peroxide bonds (Iyer et al., 2016; Schobesberger et al., 2018). In general, declustered ions were observed at relatively lower average desorption temperatures. Either the respective parent compounds are, on average, more volatile than compounds observed as $[M+I]^-$, or higher desorption temperatures induce decomposition processes that forestall potential CIMS-induced decomposition. Clearly, dedicated studies are warranted to gain a mechanistic understanding of the combination of thermally induced (in the FIGAERO) and collision-induced (in the CIMS) dissociation of ion clusters and/or molecules.

1.2 FIGAERO-CIMS instrument background

One to two filter blank measurements were performed in the morning of each experiment day. To illustrate the results of the blank measurements, we show the non-normalised integrated mass spectra for all conducted blank measurements for the low-O:C case in Figure S6a and b. The non-normalised total thermograms (Figure S6c) clearly show that even for the lowest collected mass (RH80%, RTC case, light blue line) the total signal is still much higher overall than the corresponding blank measurements (purple and pink lines in Figure S6c). Another example is presented in Figure S7: the non-normalised integrated mass spectra for the high-O:C cases. On that day, only one blank measurement was performed. It is apparent that a few ions are clearly elevated in this blank measurement, but generally the ion abundances observed during measurements are much higher than those in the background spectra.

We have categorised background signals in the FIGAERO-CIMS measurements into two types: 1) compounds being emitted from the filter/set-up during the desorption, especially at the highest desorption temperatures, and 2) compounds accumulated

- 25 on the filter from ambient air while in "idle" position (no flow through filter but inlet open to room air). Type 1 compounds should be relatively constant throughout an experiment day, but the abundance of type 2 compounds will depend on how long the filter has been in the idle position and will be removed with each heating cycle (including the 1-2 initial blank measurements). The first filter blank measurement in the morning was conducted after 10 14 h of idle time overnight with the second blank following within a few minutes after the first one. During the following experiments of the day, there were
- 30 typically 1 2 h between the end of desorption of one sample and the collection of the next. Thus, the first blank should be considered as an upper limit of contamination/background (both with type 1 and 2 compounds) while the second one may be

seen as the lower limit for type 1 compounds (and a second measure of the upper limit for type 2 compounds). As the lengths of idle times were so different, the morning filter blank measurements are not fully representative of the situation throughout the day. Therefore, subtracting the available blank measurements from the corresponding experiment data was deemed to be impractical, especially in those cases where only one blank measurement was available, as for some ion signals the blank

- 5 subtraction would lead to negative signal values, which are unphysical. However, we carefully compared the difference spectra for uncorrected data (Figure 3c and d) and data which had the maximum background subtracted (panels a and b). When removing the estimated upper limit of instrument background, the overall patterns in the difference spectra stay the same. 90% of the ions exhibit a change of less than $1 \cdot 10^{-4}$ for the values in the difference spectra. For some ions, the increases/decreases due to humidification/evaporation become even more prominent. This finding combined with the fact that the quality and
- 10 <u>availability of blank measurements varied between SOA types, we decided to show the uncorrected difference spectra in the</u> main manuscript depicting the minimum change to be expected due to humidification and/or evaporation.

1.21.3 FIGAERO-CIMS average values

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For the FIGAERO data, the average composition and elemental ratios were derived from the identified sum formula for each ion. The average composition (average number of C, H, and O atoms) was calculated as the signal weighted sum:

composition =
$$\sum_{i} (C_i \cdot f_i), \sum_{i} (H_i \cdot f_i), \sum_{i} (O_i \cdot f_i)$$
 (S1)

With C_i , H_i , and O_i being the number of C, H, and O atoms in the sum formula for each ion *i* and f_i the normalised signal of the ion *i*, i.e., the count rate of ion *i* normalising to the sum over all non-reagent ions.

For each identified sum formula, the O:C and H:C ratios were calculated. The average O:C and H:C ratios were calculated as the signal weighted sum of these:

$$\overline{O:C} = \sum_{i} ((O:C)_{i} \cdot f_{i})$$
(S2)

$$\overline{H:C} = \sum_{i} ((H:C)_{i} \cdot f_{i})$$
(S3)

with $(O:C)_i$ (or $(H:C)_i$) being the O:C (or H:C) ratio calculated from the sum formula of each ion *i* and f_i the normalised signal of the ion *i*. Note that this is not the same as the ratio of O and C in the average composition.

25 **1.31.4** Modelling of evaporation

The evaporation inside the RTC was modelled with two different process models. The models were used together with an optimization algorithm to investigate if the difference in evaporation between 80% and 40% RH can be explained by the solution effect (Raoults law). In both models the particle composition was presented with a one-dimensional VBS (Donahue et al., 2006) with 6 compounds or 'bins' spanning from $10^{-3} \,\mu g \, m^{-3}$ to $10^2 \,\mu g \, m^{-3}$ with a decade difference between two adjacent

bins. The evaporation at RH40% and RH80% was modelled with a liquid-like evaporation model (LLEVAP; Yli-Juuti et al. (2017)) where the particles are assumed to behave like well-mixed liquids. Thus, the limiting step in evaporation is the transport of mass between particle and gas phases. The evaporation under dry conditions was modelled with a slightly modified version of the kinetic multi-layer model for gas-particle interactions in aerosols and clouds (KM-GAP, Shiraiwa et al., 2012; Yli-Juuti

et al., 2017). In KM-GAP, the viscosity in each layer of the particle was expressed with a mixing rule (O'Meara et al.,

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2016):

 $\log_{10}(\eta_i) = \sum_{i=1}^{N} X_{mole,i,i} \log_{10}(b_i)$ (S4)

where η_j is the viscosity in the *j*th layer, X_{mole,i,j} is the molar fraction of the *i*th compound in *j*th layer, and b_i is a coefficient that describes how much compound *i* affects the viscosity. The particle phase diffusion coefficients were calculated from the viscosity with the Stokes-Einstein equation. Both models assume ideal solution and calculate the water uptake based on

- continuous equilibrium between gas and particle phase (Yli-Juuti et al., 2017). In all simulation cases, the molar masses of each bin were set to 200 g mol⁻¹, particle phase densities to 1200 kg m⁻³, and gas phase diffusion coefficients to 0.05 cm² s⁻¹. The two process models were coupled to a global optimization algorithm Monte Carlo Genetic Algorithm (MCGA, Berkemeier et al., 2017). In the optimization process, the free parameters, i.e. the parameters that the MCGA can vary, were the mole
- 15 fraction of each VBS bin when the particles enter the residence time chamber and the coefficients b_i in Eq. S4. The MCGA was set to seek for a set of free parameters that minimizes the mean-squared-error between the measured and simulated evapograms. For each O:C case, the parameters were optimized simultaneously to evaporation data at RH80% and dry conditions. This yields the initial particle composition in term of the VBS bins assuming that the difference between evaporation rates is controlled by the low particle phase diffusivity in dry conditions. This initial composition is expected to
- be the same for all humidity conditions due to the experimental procedure. The initial composition was then used in the LLEVAP to simulate evaporation at 40% RH. The resulting evapogram curves are shown in Figure S4 for all experiments. To validate our assumption of liquid like behaviour at RH40%, we calculated the evaporation curve at RH40% using the starting VBS distribution derived from the RH80% case both with the LLEVAP (assuming liquid-like behaviour) and with the KM-GAP model (assuming mass transfer limitations, applying viscosity derived from dry case). The curves are shown in Figure S4. In the medium- and high-O:C cases, the LLEVAP curve (dashed line) clearly represents the measured data points.
- In the low-O:C case, LLEVAP represents the early stages of evaporation better while the later part is closer to the KM-GAP curve. In summary, the assumption of liquid like behaviour for RH40% is valid, i.e., the viscosity at RH40% is still low enough for particle phase diffusion not to significantly limit the evaporation.

1.4<u>1.5</u> SI References

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1.5<u>1.6</u> SI Tables

	low	medium	high
T / °C	27	27	27
RH / %	40	40	40
[VOC] / ppb	190	190	190
[O3] _{inlet} / ppm	6.6	25	25
[O ₃] _{outlet} / ppm	6.4	22.2	16
OH exposure / cm ⁻³ s	2.54e11	6.85e11	2.45e12
photochemical age / days	2.0	5.3	18.9

Table S1: Parameters in the PAM for different experiment settings.

Table S2: FIGAERO sampling and desorption parameters.

	fresh	RTC
sampling time / min	20 or 30	20
sampling flow / lpm	2	10
collected mass / ng	140 - 300	20 - 70
desorption time / min	ramp: 15	ramp: 15
	soak at 200 C: 15	soak at 200 C: 15
temperature ramp / °C min ⁻¹	12	12

Table S3: Estimated collected sample mass on FIGAERO filter

OH exposure	condition	sample mass / ng		
		fresh	RTC	
low	dry	178	33	
	RH80%	186	22	
medium	dry	239	72	
	RH80%	258	50	
high	dry	138	46	
	RH80%	172	30	

Figure S1: Schematic diagram of the experimental setup used for measuring the rate and extent of evaporation from SOA particles.

Figure S2: Normalised integrated mass spectra for fresh, dry SOA from low-, medium- and high-O:C cases. Signal is normalised to total signal and colour-coded with the number of C atoms per molecule. Black indicates 11 or more C atoms, which means that these ions stem from the combination of at least two organic molecules (dimers). The contribution of the clustered iodide ions is removed from the plotted ion mass. The ions C₄H₃O₄·, C₅H₅O₆·, [C₁₀H₁₄O₆+I]⁻, and [C₁₀H₁₆O₇+I]⁻, which are analysed in more detail in section 3.3 in the main text, are marked for the high-O:C case.

Figure S3: Non-normalised total thermograms for the high-O:C case. RTC refers to particles stored in the RTC for 3 - 4 h before being analysed by FIGAERO-CIMS.

Figure S4: Measured (circles) and modelled (lines) evapograms for all experiment cases. Dashed lines indicated model results using KM-GAP (assuming mass transport limitations in the particles), solid lines are results from LLEVAP (liquid like behaviour).

Figure S5: Individual O:C ratios of the detected molecules in normalised integrated mass spectra for dry, fresh SOA particles in low-, medium- and high-O:C cases. All ions with the same O:C ratio for a given carbon chain length were added up. Symbol size indicates signal strength for the dry, fresh SOA case, and colour code illustrates the changes due to isothermal evaporation under dry conditions (panels (a), (c), and (e)) and between fresh SOA under dry and RH80% conditions (panels (b), (d), and (f)). Red colours indicate higher contributions in the fresh, dry case while blue colours indicate a net increase with evaporation or humidification.

Figure S6: Non-normalised integrated mass spectra of all filter blank measurements for low-O:C (panels (a) and (b)) and nonnormalised total thermograms (c) for filter blanks and measurements after evaporation in the RTC. The colour code is the same in all three panels.

Figure S7: Non-normalised integrated mass spectra for highest (a) and lowest (b) mass loading on the FIGAERO filter in the high-O:C cases. Panel (c) shows the first filter blank measurement in the morning of that experiment day (i.e. maximum background).