# A study of volatility by composition, heating, and dilution measurements of secondary organic aerosol from 1,3,5trimethylbenzene

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- 10 Abstract. Studies of the volatility distribution of secondary organic aerosol (SOA) from aromatic compounds are limited compared with SOA from biogenic monoterpenes. In this study, the volatility distribution was investigated by composition, heating, and dilution measurements for SOA formed from the photooxidation of 1,3,5-trimethylbenzene in the presence of NO<sub>x</sub>. Composition studies revealed that highly oxygenated monomers (C<sub>9</sub>H<sub>14</sub>O<sub>x</sub>, x = 4–7) and dimers (C<sub>18</sub>H<sub>26</sub>O<sub>x</sub>, x = 8–12) are the major products in SOA particles. Highly oxygenated molecules (HOMs) with 5 or more oxygens were formed during
- 15 photochemical aging, whereas dimers degraded during photochemical aging. HOMs with 5 or more oxygens may be produced from the photooxidation of phenol-type gaseous products, whereas dimers in the particle phase may be photolyzed to smaller molecules during photochemical aging. The results of composition, heating, and dilution measurements showed that fresh SOA formed from 1,3,5-trimethylbenzene photooxidation includes low-volatility compounds with < 1  $\mu$ g·m<sup>-3</sup> saturation concentrations, which are attributed to highly oxygenated monomers and dimers. Similar results were reported for
- 20  $\alpha$ -pinene SOA in previous studies. Low-volatility compounds with < 1  $\mu$ g·m<sup>-3</sup> saturation concentrations are not included in the volatility distributions employed in the standard VBS approach. Improvements in the organic aerosol model will be necessary for study of anthropogenic SOA as well as biogenic SOA.

#### **1** Introduction

Secondary organic aerosol (SOA) is a major component of atmospheric fine particles (Zhang et al., 2007), affecting climate
(IPCC, 2013) and human health (Dockery et al., 1993; Shiraiwa et al., 2017). Aromatic hydrocarbons are major sources of
SOA in urban air (Hays et al., 2015; Wu and Xie, 2018). SOA formed from the photooxidation of aromatic hydrocarbons is
predicted to have a 33% contribution to the global SOA production by atmospheric model calculations (Kelly et al., 2018).
Volatility basis-set (VBS) models have improved underestimation of atmospheric organic aerosol levels by accounting for
the decrease in organic aerosol volatility with photochemical aging (Robinson et al., 2007). Morino et al. (2015) reported

that a VBS model still underestimates organic aerosol concentrations in urban environments, although predictions in remote environments show better agreement with observations. The results reported by Morino et al. suggest that current VBS models, as well as emission inventory, still include uncertainties. The volatility distribution of SOA, a key property in the prediction of particle levels in VBS models, has been investigated by several experimental techniques. Lane et al. (2008)

- 5 used laboratory SOA yield data (e.g., Izumi and Fukuyama, 1990; Odum et al., 1997; Ng et al., 2007) to evaluate the volatility distributions for standard VBS models. The yield curve analysis for laboratory data assumes gas-phase single-step oxidation of VOCs during laboratory experiments and gas-particle equilibrium. If these assumptions are not applicable, the volatility distributions obtained from yield curve analysis may not be accurate.
- Particle volatility distribution can also be studied by heating measurements (Baltensperger et al., 2005; Kolesar et al., 2015;
  Docherty et al., 2018). Heating measurements provide volatilities at high temperatures. The enthalpy of vaporization is needed to determine the volatility distribution at ambient temperatures. Furthermore, thermal decomposition may affect the results obtained by this method. Another technique used to study volatility distribution is the dilution method, which has been successfully applied to the volatility studies of diesel exhaust particles (Robinson et al., 2007; Fujitani et al., 2012). This technique is also used for SOA formed from the α-pinene ozonolysis (e.g., Grieshop et al., 2007; Saleh et al., 2013), but
- 15 to the best of our knowledge, SOA from aromatic hydrocarbons has not been investigated by this method. Saleh et al. reported that the equilibration timescales for dilution of  $\alpha$ -pinene SOA particles were several tens of min. Data from dilution measurements may be affected by long equilibration timescales due to low particle number concentrations and/or low mass accommodation coefficients.

Chemical analysis can also provide the product volatility distribution as well as formation mechanisms of products. Mass

- 20 spectrometry combined with a soft ionization method, such as electrospray ionization (ESI) and chemical ionization, can identify a wide range of oxygenated organic molecules. These techniques identified small organic acids, highly oxygenated molecules (HOMs), and oligomers present in SOA particles from aromatic hydrocarbons (Kalberer et al., 2004; Fisseha et al., 2004; Sato et al., 2012; Praplan et al., 2014; Molteni et al., 2018). HOMs are formed through the auto-oxidation mechanisms, which include the intramolecular hydrogen abstraction of organic peroxy radicals (Zhang et al., 2017), but it is still unclear
- 25 whether or not suggested intramolecular reaction occurs for the peroxy radicals from aromatic hydrocarbons. Oligomers may be produced by acid-catalyzed oligomerization (Kalberer et al., 2004) and/or gas-phase bimolecular reactions of peroxy radicals (Molteni et al., 2018). Recent studies on the parameterization of saturation concentration (Shiraiwa et al., 2014; Li et al., 2016) and the sensitivity of ESI mass spectrometry (Kruve et al., 2013; Heinritzi et al., 2016) are helpful in the evaluation of SOA volatility using mass analysis data.
- 30 In this study, the photooxidation of 1,3,5-trimethylbenzene (TMB) in the presence of  $NO_x$  was investigated to evaluate the volatility distribution of SOA. Although the volatility distribution of  $\alpha$ -pinene SOA has been investigated in several laboratories (Sato et al., 2018 and references therein), the number of studies addressing the volatility of SOA from aromatic hydrocarbons is limited. Products formed from the photooxidation of TMB were further exposed to OH radicals to study the effect of photochemical aging. The volatility distribution of SOA was evaluated by composition, heating, and dilution

measurements. This work aims to evaluate the volatility distribution of SOA from a typical anthropogenic VOC and discuss SOA formation processes for the improvement of organic aerosol modeling.

## 2 Experimental

#### 2.1 Chamber experiment

- 5 A 6-m<sup>3</sup> Teflon-coated stainless-steel chamber (Sato et al., 2007; 2018) was used for all experiments. Figure S1 shows a schematic diagram of the chamber system and analytical instruments. We listed initial concentrations together with SOA mass concentrations and mean sizes observed immediately before the sampling for composition or dilution study (Table S1). Prior to each experiment, the chamber was filled with dry purified air (1 atm, relative humidity < 1%). The temperature of the chamber was controlled at 298 ± 1 K. Required amounts of TMB, NO, and methyl nitrite were added to the purified air</p>
- 10 in the chamber. A small amount (~0.01 ppm) of methyl nitrite was added because it was used as an OH radical source for the initiation of photooxidation. The reaction mixture in the chamber was irradiated by light from 19 xenon lamps (1 kW each) through Pyrex filters. In normal-photooxidation experiments (i.e., runs other than run 2), composition, heating, and dilution measurements of SOA particles were conducted after 240-min irradiation. In an aging experiment (run 2), ~1 ppmv methyl nitrite was added at 239 and 299 min to expose photooxidation products to OH radicals. The concentrations of TMB, NO,
- 15 and methylglyoxal (MEGLY) were measured every 6 min by an FT-IR spectrometer (Nexus 670, Thermo Fisher Scientific, USA), with a 221.5-m optical path. For dilution measurements, ~20 ppmv CO was added to the reaction mixture as a dilution marker. The CO levels before and after dilution were measured using a CO monitor (Model 48i-TLE, Thermo Fisher Scientific, USA).

We investigated SOA formation under dry conditions only because the chamber facility was designed for dry use (Akimoto

- 20 et al., 1979). Present experiments with dry air will simulate a dry urban atmosphere, in which aqueous-phase chemistry during SOA formation will be suppressed (Kamens et al., 2011; Zhou et al., 2011). In the present study, proton transfer reaction-mass spectrometer (PTR-MS) and dilution measurements required the SOA mass concentrations to be >100  $\mu$ g·m<sup>-3</sup> in order to obtain sufficient signals (Table S1). In order to form the desired amounts of SOA, we set the initial TMB concentrations to ppm levels. We note that a TMB concentration higher than ambient levels may induce RO<sub>2</sub> + RO<sub>2</sub>
- 25 reactions in comparison to ambient conditions, although we observed no experimental evidence of the enhancement of these reactions.

SOA mass concentration was measured every 3 min using an aerosol mass spectrometer (AMS) (H-ToF-AMS, Aerodyne Research, USA) (Aiken et al., 2008). The heating measurements were started immediately after sampling for composition or dilution study. A thermal denuder (TD) equipped with a bypass line (Aerodyne Research, USA) (Faulhaber et al., 2009) was

30 combined with the AMS instrument for heating measurements. During each cycle of heating measurement, we used the bypass for first 9 min to obtain the reference data and then used the TD for 15 min to obtain the data for a specific temperature. Particle size distribution was observed every 3 min using a scanning mobility particle sizer (SMPS) (Model 3034, TSI, USA). The effective particle density was measured using a combination of a differential mobility analyzer (DMA) (Sibata Scientific Technology, Japan), an aerosol particle mass analyzer (APM) (Model 3600, Kanomax, Japan), and a condensation particle counter (CPC) (Model 3772, TSI, USA). The effective density of SOA was determined to be  $1.40 \pm 0.28 \text{ g} \cdot \text{cm}^{-3}$ , which is close to the values found in the literature:  $1.35-1.40 \text{ g} \cdot \text{cm}^{-3}$  (Alfarra et al., 2006).

## 5 2.2 LC/MS analysis

Chemical composition analysis was conducted using positive-mode ESI liquid chromatography/time-of-flight mass spectrometry (LC/MS) (Agilent Technologies, UK). The mass calibration and lock-mass correction were conducted using G1969-85000 and G1969-85001 tuning mixtures (Agilent Technologies, UK), respectively. A mass resolution of the mass spectrometer (full width at half maximum) was >20,000. SOA particles were collected on a Fluoropore Teflon filter (Sumitomo Electric Industries, Japan; 47 mm $\varphi$ , pore size: 1 µm) at 16.7 L·min<sup>-1</sup> for 30 min. The filter sampling was

- 10 (Sumitomo Electric Industries, Japan; 47 mm $\varphi$ , pore size: 1 µm) at 16.7 L·min<sup>-1</sup> for 30 min. The filter sampling was performed at irradiation times of 272 and 411 min in normal photooxidation (run 1) and aging experiments (run 2), respectively. After sampling, the filter sample was sonicated in 5-mL methanol for 30–38 min. The filter extract was concentrated to near dryness under ~1 L·min<sup>-1</sup> stream of nitrogen. A 1-mL formic acid-methanol-water solution (v/v/v = 0.05/100/99.95) was added to the concentrated extract to obtain the analytical sample. A 10-µL aliquot of the analytical
- 15 sample was injected into the LC/MS instrument and separated with an octadecyl silica gel column (Inertsil ODS-3, GL Science, Japan;  $0.5 \ \mu\text{m} \times 3.0 \ \text{mm} \times 150 \ \text{mm}$ ). A formic acid-water solution ( $0.05\% \ \text{v/v}$ ) and methanol were used as mobile phases. The methanol fraction during each analysis was set at 10% ( $0 \ \text{min}$ ), 90% ( $30 \ \text{min}$ ), 90% ( $40 \ \text{min}$ ), 10% ( $45 \ \text{min}$ ), and 10% ( $60 \ \text{min}$ ). In our previous study (Sato et al., 2007), recovery of malic acid with a saturation concentration of 157  $\ \mu\text{g} \cdot \text{m}^{-3}$  was determined to be > 90%, suggesting that evaporation loss during pre-treatment is negligible for molecules with saturated
- 20 concentrations of 157  $\mu$ g m<sup>-3</sup> or less.

#### 2.3 PTR-MS measurements

Gas and particle products were measured using a PTR-MS (PTR-QMS 500, Ionicon Analytik, Austria) (Lindinger et al., 1998) to determine the saturation concentration of each product (Inomata et al., 2014). To measure the products in the gas phase, online measurements were taken from the filtered chamber air at 240 and 359 min, in normal photooxidation (run 1)

- and aging experiments (run 2), respectively. Afterwards, particles were collected on another Fluoropore Teflon filter at 16.7  $L \cdot min^{-1}$  for 30–50 min to measure products in the particle phase. The sample filter was placed in a filter holder, which was then heated at 368 K under a stream of nitrogen. The gases evaporating from the filter were measured using the PTR-MS. The saturation concentration was calculated from the gas-particle ratio determined by the PTR-MS for each *m/z*, assuming gas-particle equilibrium. The procedure used for calculating the saturation concentration is described in Section S1 of the
- 30 supplementary material.

#### 2.4 Dilution measurement

Another 6-m<sup>3</sup> FEP film bag was used as an external dilution chamber (EDC) in runs 3, 4, 5, and 6. The temperature of the laboratory was controlled at  $298 \pm 1$  K. Prior to each dilution experiment, the EDC was filled with dry purified air (relative humidity < 1%). A necessary amount of reaction chamber air was injected into the EDC using a dilution ejector (FPS-4000,

- 5 Dekati Ltd., Finland) at ~240 min of irradiation time. The dilution ratio (DR) was set to 20–86. Dry filtered air was used as the carrier of the dilution ejector. The particle size distribution, particle density, and CO concentration in the EDC were monitored after the SOA dilution. The required duration for gas transfer from the reaction chamber to the EDC was 13 min or less. During gas transfer, CO might decrease due to either dilution effects and/or to the reaction with OH radicals. We ignored the reaction of CO in the EDC because the EDC was not irradiated. However, before gas transfer was complete, a
- 10 portion of diluted gas remained in the irradiated reaction chamber; the decrease in this portion might lead to an overestimation of the DR. Even though we assume a maximum level of OH radicals (10<sup>7</sup> molecules · cm<sup>-3</sup>), CO decreases by only ≤2% due to the reaction with OH radicals for ≤13 min. Thus we ignored the overestimation of the DR due to the reaction of CO with OH radicals. We conducted dilution experiments under flow conditions using the dilution ejector in run 7. We confirmed that negligible evaporation occurred immediately after dilution, in accordance with the previous results
- 15 reported for  $\alpha$ -pinene SOA (Grieshop et al., 2007).

### **3** Results and discussion

### 3.1 Time series

Figure 1 shows a time series of (a) TMB and MEGLY concentrations observed by FT-IR, (b) SOA mass concentration observed by AMS, and (c) O/C ratio observed for SOA by AMS during normal photooxidation (run 1) and aging

- 20 experiments (run 2). The MEGLY concentration increased with decreasing the TMB concentration in runs 1 and 2. Methyl nitrite was added at irradiation times of 239 and 299 min in run 2. The first injection of methyl nitrite was aimed to complete the photooxidation of remaining TMB, whereas the second injection was aimed to ensure that the photooxidation of gaseous secondary products is promoted. The total OH exposures were determined to be  $5.0 \times 10^{10}$  and  $6.2 \times 10^{10}$  molecule cm<sup>-3</sup>s<sup>-1</sup> for the first (239–299 min) and second exposures (299–359 min), respectively. The total OH exposures were calculated by
- 25 integrating the OH concentrations over irradiation time. The OH concentration at each irradiation time was calculated assuming the steady state between OH formation from the methyl nitrite photolysis and OH reactions with TMB, MEGLY, formic acid, and NO<sub>2</sub>:

 $[OH]_{ss} = k_1 [methyl nitrite] / (k_2 [TMB] + k_3 [MEGLY] + k_4 [formic acid] + k_5 [NO_2]),$ 

where we used present FT-IR data for the concentrations and literature values for the rate coefficients. If we assume that the daytime OH radical concentration is  $10^6$ , the first and second exposures corresponded to 14- and 17-hr photooxidation under

ambient conditions. The TMB that remained after the first addition of methyl nitrite was entirely consumed within 1 hr. The

concentration of MEGLY slightly increased after the first addition of methyl nitrite due to formation from the remaining TMB and then decreased by the photo-degradation of MEGLY. The decreasing rate of MEGLY increased after the second addition of methyl nitrite, suggesting that the concentration of OH radicals increased due to the second addition of methyl nitrite.

- 5 SOA particles became detectable at an irradiation time of 55–58 min in runs 1 and 2. Afterwards, the SOA mass concentration increased with time, reaching to ~189  $\mu$ g·m<sup>-3</sup> at 239 min of irradiation time for both runs. After the first addition of methyl nitrite in run 2, the mass concentration of SOA increased to 259  $\mu$ g·m<sup>-3</sup>. SOA, forming after the first addition of methyl nitrite, could be formed from the photooxidation of TMB as well as gaseous secondary products. The mass concentration of SOA then decreased with increasing time, with a decreasing rate of 5.8 ± 0.1 × 10<sup>-5</sup> s<sup>-1</sup>, which was
- higher than the wall deposition loss rate for ~300-nm ammonium sulfate particles of  $1.7 \pm 0.3 \times 10^{-5} \text{ s}^{-1}$ . The decrease in mass concentration was not only due to particle wall loss but also to other processes. No great change in the decreasing rate was observed when methyl nitrite was added for the second time.

In the early stages of SOA formation, the O/C ratio decreased with increasing time, probably because new particle formation from HOMs was followed by condensation of less oxygenated compounds from the gas phase. After ~120 min of irradiation

- 15 time, the O/C ratio increased because of photochemical aging. After the first addition of methyl nitrite in run 2, the O/C ratio decreased, likely because of formation of fresh SOA from the photooxidation of the remaining TMB or an increase in the mass concentration followed by the condensation of less oxygenated compounds. The O/C ratio reached a minimum at 256 min and then again increased with time. The O/C ratio increased continuously after the second addition of methyl nitrite. Filter sampling of SOA for LC/MS analysis took place at irradiation times of 272 and 411 min in runs 1 and 2, respectively.
- 20 The O/C ratio observed at the start time of filter sampling in run 2, 0.50, was higher than that observed at the start time of filter sampling in run 1, 0.46, indicating that the filter sample collected in the aging experiment must be more highly oxygenated than that collected in the normal photooxidation experiment.

#### 3.2 LC/MS analysis

Figure 2 shows the mass spectra observed using the positive-mode ESI mass spectrometer for SOA samples collected in (a)

25 run 1, and (b) run 2. These results were obtained by direct infusion of SOA samples to the ESI mass spectrometer. Strong signals measured by the positive-mode ESI analysis were identified as sodium-attached product ions. No sodium salt was added to the mobile phase or analytical sample. Species that do not generate stable positive ions through protonation were ionized by clustering with Na<sup>+</sup> cations that are naturally present in the solvent chemicals and glassware (Kruve et al., 2013; Zhang et al., 2017). The signal intensities of the sodium-attached ions were confirmed to have a linear relationship with the

amount of injected sample.

Two series of mass signals with a regular mass difference of 16 were measured in the regions m/z 209–257 and m/z 393–457. The signals observed in the region m/z 209–257 were suggested to be C<sub>9</sub>H<sub>14</sub>O<sub>x</sub> (x = 4–7) molecules clustered with Na<sup>+</sup>. The C<sub>9</sub>H<sub>14</sub>O<sub>x</sub> products are HOMs with the same number of carbons as TMB and two more hydrogens than TMB. The highest peak of m/z 271 was also observed in the analysis of blank samples, suggesting that this peak had a contribution from contaminants or solvents. Monomers detected in a previous online study, C<sub>9</sub>H<sub>14</sub>O<sub>5-11</sub> (Molteni et al., 2018), are similar to those detected in our present offline analysis, but monomers detected in the previous study have slightly more oxygens than those detected in this study. A small portion of HOMs may decompose during the present offline analysis.

- 5 The signals observed in the region m/z 393–457 were suggested to be  $C_{18}H_{26}O_x$  (x = 8–12) molecules clustered with Na<sup>+</sup>. The  $C_{18}H_{26}O_x$  products have twice as many carbons as TMB. These products are, thus, attributed to dimeric products. Similar products were observed between the samples collected in runs 1 and 2. Largely, monomer signals observed in run 2 were higher than for run 1, whereas dimer signals observed in run 2 were lower than for run 1.
- Figure S2 shows the extracted-ion chromatograms (EICs) observed for (a) C<sub>9</sub>H<sub>14</sub>O<sub>4</sub>Na<sup>+</sup>, (b) C<sub>9</sub>H<sub>14</sub>O<sub>5</sub>Na<sup>+</sup>, and (c)
  C<sub>9</sub>H<sub>14</sub>O<sub>6</sub>Na<sup>+</sup> by positive-mode LC/MS. We measured EICs using LC columns because mass signals observed without the column may be interfered from solvent and/or contaminant signals. Contaminant signals were checked from measurements with blank samples, and these contaminant signals were ignored from calculations of product signal intensity. Here, a blank sample was prepared by extracting a new Teflon filter. The method of extraction was similar to that used for the SOA samples. The chromatograms observed for C<sub>9</sub>H<sub>14</sub>O<sub>4</sub>Na<sup>+</sup>, C<sub>9</sub>H<sub>14</sub>O<sub>5</sub>Na<sup>+</sup>, and C<sub>9</sub>H<sub>14</sub>O<sub>6</sub>Na<sup>+</sup> included at least nine, eight, and
- 15 eleven chromatographic peaks, respectively, suggesting that each HOM product has a number of structural isomers. The total peak area observed for the  $C_9H_{14}O_4$  products in run 2 was lower than that observed in run 1, whereas the total peak areas observed for  $C_9H_{14}O_5$  and  $C_9H_{14}O_6$  products in run 2 were higher than those observed in run 1. Note that we collected similar amounts of SOA between runs 1 and 2 (i.e., 122 and 114 µg for runs 1 and 2, respectively). referred

## **3.3 Saturation concentration calculations**

Table S2 summarizes measured mass-to-charge ratio, suggested ion formula, calculated molecular weight, measured total intensity of EIC peaks, calculated O/C ratio, and predicted saturation concentrations for products existing in SOA. The saturation concentration of each product  $(\log_{10} C^*)$  was calculated by using Eq (1), which predicts  $\log_{10} C^*$  as a function of the number of carbon, nitrogen, and oxygen atoms included in a referred molecule (Li et al., 2016):

$$\log_{10} C^* = (n_{\rm C}^0 - n_{\rm C})b_{\rm C} - n_{\rm O}b_{\rm O} - 2n_{\rm C}n_{\rm O}/(n_{\rm C} + n_{\rm O}) b_{\rm CO} - n_{\rm N}b_{\rm N_{\rm O}}$$
(1)

- 25 where  $n_{\rm C}$  is number of carbon;  $n_{\rm O}$  is number of oxygen;  $n_{\rm N}$  is number of nitrogen; and  $n_{\rm C}^0$ ,  $b_{\rm C}$ ,  $b_{\rm O}$ , and  $b_{\rm N}$  are coefficients determined by fitting. Two different sets of coefficients were used in this study. One was a set of coefficients determined by fitting to  $\log_{10} C^*$  data calculated by a SPARC calculator (Hilal et al., 2003) for CHO and CHNO products formed from the photooxidation of TMB. The  $n_{\rm C}^0$ ,  $b_{\rm C}$ ,  $b_{\rm O}$ , and  $b_{\rm N}$  values determined were 41.53, 0.202, 0.8805, -0.05239, and -1.715, respectively. The root mean squared error (RMSE) of predicted  $\log_{10} C^*$  was determined to 1.91, which corresponds to the
- 30 uncertainty of the predicted  $\log_{10} C^*$ . The other set of parameters is that described by Li et al. (2016), who fitted the equation to the  $\log_{10} C^*$  results calculated for over 30,000 compounds.

Figure S3 shows a molecular corridor plotted for products from the photooxidation of TMB, where a molecular corridor is a plot of molecular weight as a function of the saturation concentration (Shiraiwa et al., 2014). The saturation concentrations were calculated by a SPARC calculator (Hilal et al., 2003) for products reported by previous experimental studies (Smith et al., 1999; Kalberer et al., 2004; Fisseha et al., 2004; Sato et al., 2012; Praplan et al., 2014) and Master Chemical Mechanism

- 5 version 3.3.1 (MCM v3.3.1) (Jenkin et al., 2003). The SPARC calculator predicts vapor pressures accounting for the chemical structure, induction, resonance, and field effects. Table S3 shows SMILES codes, saturation concentrations determined by the SPARC calculator, and molecular weights for products from the TMB photooxidation. The saturation concentrations calculated for TMB photooxidation products ranged from -7.99 to 9.86. The saturation concentrations were also determined experimentally from the results of present PTR-MS measurements. Results obtained for *m/z*  $\ge$  150 by PTR-
- 10 MS were only plotted in the molecular corridor because the signals observed for m/z < 150 were interfered with by fragmentations from compounds with higher molecular weights. Saturation concentrations calculated by the SPARC calculator showed agreement with those determined by present PTR-MS observations.

#### 3.4 Volatility distributions based on LC/MS data

- Figure 3 shows volatility versus carbon number mapping obtained from LC/MS results for particle-phase products in (a) run 1 and (b) run 2 and the volatility distribution determined for the sum of gas- and particle-phase products in (c) run 1 and (d) run 2. The size of the circle represents the normalized total EIC peak intensity. The volatility versus carbon number mapping shows that low-volatility compounds with  $\log_{10} C^* < 0$  are and dimers. The color of circle represents the O/C ratio of each product. The O/C ratios of products were determined to be 0.24–0.89. The saturation concentration was predicted by the multivariable equation adapted to TMB oxidation products. Nine-carbon compounds (e.g., C<sub>9</sub>H<sub>14</sub>O<sub>5</sub>, C<sub>9</sub>H<sub>14</sub>O<sub>6</sub>, C<sub>9</sub>H<sub>16</sub>O<sub>6</sub>, and
- 20 C<sub>9</sub>H<sub>13</sub>NO<sub>8</sub>) and eighteen-carbon compounds (e.g., C<sub>18</sub>H<sub>24</sub>O<sub>8</sub>, C<sub>18</sub>H<sub>26</sub>O<sub>8</sub>, C<sub>8</sub>H<sub>26</sub>O<sub>9</sub>, and C<sub>18</sub>H<sub>27</sub>NO<sub>12</sub>) are dominant monomer and dimer products, respectively. As discussed previously, signal intensities of dimers decreased during photochemical aging, whereas those of monomers with 5 or more oxygens increased during photochemical aging. The weighted O/C average determined in the aging experiment, 0.57, was higher than the normal photooxidation experiment, 0.53, showing a qualitative agreement with the results of the O/C ratio measured by AMS. According to the results of LC/MS, the weighted
- O/C average increased, probably because monomers with 5 or more oxygens are formed during photochemical aging. The volatility distributions with 10 bins were calculated for the gas- and particle-phase products, using the results of LC/MS analysis. The total EIC peak intensities were corrected assuming that the transmission efficiency for time-of-flight mass spectrometry is proportional to  $m/z^{1/2}$  (Heinritzi et al., 2016). We assumed that the corrected signal intensity is proportional to the mass concentration in the particle phase. We divided the region between  $\log_{10} C^* = -6.5$  and 3.5 into 10 bins. The mass
- fraction in the particle phase was calculated for each bin by summing the corrected intensities among the products existing in the referred bin. The mass fraction of the gas phase was calculated assuming gas-particle partitioning. The compound of  $\log_{10} C^* = 3$  existed predominantly in the gas phase, whereas less volatility compounds existed predominantly in the particle phase under present particle mass conditions. The volatility distributions determined from LC/MS data were bimodal. Two
  - 8

components of each volatility distribution were attributed to monomer and dimer products. The mass fractions between  $\log_{10} C^* = 0$  and 2 increased during photochemical aging due to the increase in monomers with 5 or more oxygens, whereas those between  $\log_{10} C^* = -3$  and -1 decreased during photochemical aging, due to the decrease in dimers. The weighted averages of  $\log_{10} C^*$  were close between the normal photooxidation (1.15) and aging experiments (1.16). We note that the

- 5 sensitivity of ESI mass spectrometry is compound specific, thus the calculated distribution includes the uncertainties that result from compound specific sensitivities. In this regard, however sodium adduct formation during ESI can ionize a wide range of oxygenated organic compounds, including carbonyls, peroxides, and alcohols (Kruve et al., 2013; Zhang et al.,2017).
- Figures 4a–4c compare the volatility distributions determined from LC/MS data employing different methods. Figure 4a 10 shows the volatility distribution determined by predicting saturation concentrations (using the equation adapted to TMB photooxidation products) and correcting intensities accounting for transmission efficiencies. The volatility distribution was also calculated without correcting intensities to check the effect of the intensity corrections (Figure 4b). Similar bimodal volatility distributions were obtained even if the signal intensities were not corrected. The average  $\log_{10} C^*$  values determined without accounting for intensity corrections for normal photooxidation and aging experiments were 0.80 and
- 15 0.83, which were slightly lower than those determined with intensity corrections. The method used for saturation concentration predictions was also checked. Figure 4c shows volatility distributions determined employing the equation proposed by Li et al. (2016) unaltered. The average  $\log_{10} C^*$  values determined for normal photooxidation and aging experiments were 0.34 and 0.39, respectively, suggesting that the results obtained employing the method of Li et al. were slightly lower than those determined from the equation adapted to TMB photooxidation products. Even though we take into
- 20 account uncertainties for the saturation concentrations, all three results determined from LC/MS data suggest that low-volatility products with  $\log_{10} C^* < 0$  exist in SOA. As shown in Figure 4f, these low-volatility compounds are not included in the volatility distribution determined by conventional yield curve analysis (Lane et al., 2008).

#### 3.5. Reaction mechanism

Figure 5 shows mechanisms suggested for the formation and photochemical aging of abundant products. In this study, we

- 25 found the C<sub>9</sub>H<sub>14</sub>O<sub>4-7</sub> monomers and the C<sub>18</sub>H<sub>26</sub>O<sub>8-12</sub> dimers as major products. The photooxidation of TMB is mainly initiated by the addition of OH radicals to the aromatic ring. The produced adduct reacts with oxygen molecule to form peroxy radical, which undergoes a bridging reaction followed by oxygen molecule addition to form C<sub>9</sub>H<sub>13</sub>O<sub>5</sub> peroxy radical (TM135BPRO2), according to MCM mechanism. TM135BPRO2 peroxy radical can undergo an auto-oxidation process (Wang et al., 2017), namely, intramolecular hydrogen abstraction and the following oxygen addition occur to form C<sub>9</sub>H<sub>13</sub>O<sub>7</sub>
- 30 peroxy radical. Molteni et al. (2018) suggested the RO<sub>2</sub> + HO<sub>2</sub> → ROOH + O<sub>2</sub> and RO<sub>2</sub> + RO<sub>2</sub> → ROOR + O<sub>2</sub> reactions for the formation processes of monomer products (e.g., TM135BPOOH of MCM mechanism) and dimer products, respectively, where RO<sub>2</sub> is a highly oxygenated peroxy radical. Highly oxygenated monomers and dimers may also be formed from ring-

opening RO<sub>2</sub> radicals (Monteni et al., 2018), which are formed from the following reactions of bicyclic RO<sub>2</sub> radicals (i.e.,  $C_9H_{13}O_5$  and  $C_9H_{13}O_7$ ).

The mechanisms suggested by Molteni et al. can explain the number of hydrogen atoms in  $C_{18}H_{26}O_x$  dimers because monomer peroxy radicals have the structure,  $C_9H_{13}O_x$ . For example, the bimolecular reaction between TM135BPRO2

- 5  $(C_9H_{13}O_5)$  radicals leads to the formation of  $C_{18}H_{26}O_8$  dimer +  $O_2$ . The RO<sub>2</sub> + RO<sub>2</sub> reactions also have another product pathway to form a combination of carbonyl and alcohol monomers (e.g., formation of TM135BP2OH + TM135BPOH in MCM mechanism). The O/C ratios of the  $C_{18}H_{26}O_{8-12}$  dimers, 0.44–0.67, were similar to those of the  $C_9H_{14}O_{4-7}$  monomers, 0.44–0.78. The mechanisms suggested by Molteni et al. are also consistent with the results of the O/C ratios, because in this mechanism, the O/C ratios of monomers and dimers are determined by those of abundant RO<sub>2</sub> radicals present during
- 10 photooxidation.
  - If we assume ROOR-type structures for dimers, the dimers existing in particle phase could be photolyzed during photochemical aging to form RO + RO. RO radicals, e.g., TM135BPRO in the MCM mechanism, may decompose to smaller fragments, methylglyoxal and C6 counter product. These smaller fragments existing in the particle phase may evaporate because their volatilities are higher than the original ROOR dimers or RO radicals. The volatility distributions determined
- from LC/MS data showed that dimers comprise 25–49% of the total product mass. The gas-phase  $RO_2 + RO_2$  reactions may not fully explain the mass ratio of dimer products, because the rate constant for the  $RO_2 + RO_2 \rightarrow (RO_2)_2 + O_2$  reactions, 3.0  $\times 10^{-14}$  cm<sup>3</sup>·molecule<sup>-1</sup> s<sup>-1</sup> (Atkinson et al., 1989), was lower than that for  $RO_2 + HO_2 \rightarrow ROOH + O_2$  reactions, 5.6  $\times 10^{-12}$  cm<sup>3</sup>·molecule<sup>-1</sup> s<sup>-1</sup> (DeMore et al., 1997). These results suggest that other dimer formation processes cannot be excluded. The probable additional formation processes for dimers are acid-catalyzed particle-phase reactions (Kalberer et al., 2004) or
- 20 gas-phase reactions of organic compounds with Criegee intermediates. Criegee intermediates may be produced during photooxidation because ozone can react with unsaturated photooxidation products (Sato et al., 2004). Present LC/MS results show that  $C_9H_{14}O_5$  and  $C_9H_{14}O_6$  products are produced during photochemical aging. These 5- and 6-oxygen monomers are produced by the photooxidation of gaseous phenol-type compounds, formed from TMB photooxidation (Nakao et al., 2011). The compound 2-Hydroxy-1,3,5-trimethylbenzene (TM135BZOL of MCM mechanism) is formed as a primary product of
- 25 TMB photooxidation. According to the MCM mechanism, this phenol-type product reacts with OH radicals to form TM135OLO2 peroxy radical. This peroxy radical reacts with RO<sub>2</sub> to form TM135OLOH or reacts with HO<sub>2</sub> to form TM135OLOOH, suggesting that C<sub>9</sub>H<sub>14</sub>O<sub>5</sub> and C<sub>9</sub>H<sub>14</sub>O<sub>6</sub> products are formed from the photooxidation of TM135BZOL during photochemical aging. Peroxy radical, TM135OLO2, may also react with NO<sub>2</sub> to produce peroxy nitrate, C<sub>9</sub>H<sub>13</sub>NO<sub>8</sub>.

#### 3.6. TD-AMS measurements

30 Figure 6 shows the mass fraction remaining (MFR) observed for SOA as a function of TD temperature (thermogram). Results obtained for SOA in normal photooxidation experiments are averages among runs 1, 3, 4, 5, and 6. Error bars quoted for results of the normal photooxidation experiments are standard deviations. The MFR results observed between 50 and 150 °C in the aging experiment were significantly higher than those from normal photooxidation experiments, suggesting that the SOA volatility observed in the aging experiment was significantly lower than the SOA volatility from normal photooxidation experiments. The thermogram observed for SOA, formed from  $\alpha$ -pinene ozonolysis without photochemical aging in our previous study (Sato et al., 2018), was similar to that observed for SOA from TMB in the aging experiment in this study, suggesting that the volatility of fresh SOA from TMB is slightly higher than that for fresh SOA from  $\alpha$ -pinene.

- 5 The thermograms measured for SOA formed from TMB photooxidation in the previous study, employing the volatility tandem differential mobility analyzer from Baltensperger et al. (2005), are very similar to those observed in this study. Baltensperger et al. also reported that SOA volatility decreased during photochemical aging, in accordance with the present results.
- Figure 4d shows the volatility distribution determined from the results of heating measurements. Thermogram data were 10 converted into volatility distributions using an empirical method developed by Faulhaber et al. (2009). Faulhaber et al. measured the relationship between the saturation concentration and the TD temperature at which MFR becomes 0.5 for several kinds of single-compound particles. We used the results of the calibration curve from Faulhaber et al. directly, as the TD in our study has a residence time ( $\sim$ 13 s at 298 K) close to that used for their TD ( $\sim$ 15 s 298 K). Furthermore, we confirmed that their calibration curve agreed with our results obtained for pinonic acid particles (Sato et al., 2018). The
- volatility distributions determined from TD-AMS data included low-volatility products with  $\log_{10} C^* < 0$ , showing 15 agreement with results obtained from LC/MS data. The weighted averages of  $\log_{10} C^*$  determined from TD-AMS data for normal photooxidation and aging experiments were 1.44 and 0.65, respectively, which were higher than those determined from the LC/MS results. The mass fractions determined between  $\log_{10} C^* = -4$  and 0 for aging experiments were higher than those determined for normal photooxidation experiments because monomer products with 5 or more oxygens are formed
- during photochemical aging. The mass fractions of  $\log 10 \text{ C}^* < -6$  determined from TD-AMS data were lower than those 20 determined from LC/MS data, probably due to thermal decomposition of dimers.

#### 3.7. Dilution measurements

Figure 7 shows the volume fraction remaining (VFR) observed for SOA as a function of time after dilution. The number concentration and mean size of SOA particles decreased with increasing time during dilution measurements. We assumed

- that the decrease in the number concentration resulted from particle wall loss, and the decrease in the mean size resulted 25 from particle evaporation. To remove the influence of wall loss, VFR was determined by  $r^3/r_0^3$ , where r is the geometrical mean radius of particles and  $r_0$  is the geometrical mean radius immediately before dilution. We observed the time series of VFR at the DRs of 20, 40, 63, and 86. Although evaporation is assumed to occur instantaneously in gas-particle equilibrium partitioning models, the VFR of SOA particles decreased very slowly. VFR reached equilibrium more than 2 h after dilution, and in some cases, it did not reach equilibrium at all within the observation duration.
- 30

The equilibration timescale ( $\tau$ ) for gas-particle equilibrium could be expressed as a function of the particle size, the particle number concentration, and the mass accommodation coefficient (Saleh et al.; 2013). Figure S4 shows plots of effective saturation ratios as a function of  $t/\tau$ . Here,  $\tau$  was calculated by assuming a mass accommodation coefficient ( $\alpha$ ) of 0.7, as shown in Eq. (2):

$$\tau = (1 + 0.3773 Kn + 1.33 Kn(1 + Kn) / \alpha) / (2\pi D(1 + Kn) dN) .$$
<sup>(2)</sup>

In this expression, Kn is the Knudsen number, D is the gas-phase diffusion coefficient, d is the particle geometric mean diameter, and N is the particle number concentration. An effective saturation ratio (SR<sub>eff</sub>) in Figure S4 is defined as the ratio of the total vapor concentration in the gas phase to the vapor concentration at equilibrium, as expressed by Eq. (3):

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$$SR_{eff} = \frac{\sum C_{g,i}}{C_{sat,eff}} = \frac{\sum C_{g,i}}{\sum x_i \gamma_i C_{sat,i}}$$
(3)

where  $C_{\text{sat,eff}}$  is the effective saturation concentration;  $x_i$  is mole fraction;  $\gamma_i$  is activity coefficient; and  $C_{\text{sat,}i}$  is saturation concentration of component *i*. The time variation of SR<sub>eff</sub> is approximately a first order variation if the change in the particle mass concentration after dilution is less than several tens of percent, as expressed by Eq. (4):

$$SR_{eff} = 1 - e^{-t/\tau}$$
<sup>(4)</sup>

The experimental results obtained after scaling with calculated equilibration timescales could be approximated with a single curve denoted by Eq. (4), suggesting that calculated equilibration timescales consistently explain experimental results. Considering experimental uncertainties, we concluded that the equilibration timescales of DR = 19.6, 40.3, 63.4, and 86.1

- are  $68 \pm 17$ ,  $174 \pm 40$ ,  $275 \pm 65$ , and  $399 \pm 94$  min, respectively. The current results of a 68–399 min equilibration timescale was similar to or higher than our previous results reported for  $\alpha$ -pinene SOA, due to similar or lower number concentrations (32–212 cm<sup>-3</sup>) during present dilution experiments. In addition, according to experimental uncertainties, we concluded that the mass accommodation coefficient is  $0.7 \pm 0.3$ . The mass accommodation coefficient includes all resistance to gas-particle partitioning other than gas phase diffusion, for example, surface accommodation and diffusion limitations in the particle
- 20 phase (Saleh et al., 2013). The existence of low-volatility materials in SOA, kinetic inhibition, or some combined effect may explain an accommodation coefficient of less than unity. The mass accommodation coefficient determined for SOA from TMB was higher than that estimated for  $\alpha$ -pinene SOA in our previous study (0.1). Composition and heating measurements show that the volatility distributions are similar between TMB and  $\alpha$ -pinene SOA, suggesting that these SOA may have similar mass accommodation coefficients. Unfortunately, a reason for this discrepancy was not elucidated from available
- 25 experimental results. Chemical processes, which are not considered in eq. 3 of Saleh et al., e.g., the thermal decomposition of highly oxygenated oligomers, may affect the results of SOA from TMB.

Figure 4e shows the volatility distribution determined from the results of dilution measurements. We determined the volatility distributions from dilution data, assuming gas-particle equilibrium. The normalized SOA yields determined for the dilution data are plotted as a function of mass concentration. The data obtained before and 3 h after dilution were fitted by eq.

2 in Grieshop et al. (2007) to determine the volatility distribution (Figure S5). The errors quoted for the volatility distribution were uncertainties in the fitting analysis. The volatility distribution determined from dilution data also shows that low-volatility products with  $\log_{10} C^* < 0$  are present, contradicting the volatility distributions predicted from yield curve analysis. The products between  $\log_{10} C^* = -2$  and 0 were more highly abundant than the products between  $\log_{10} C^* = 1$  and 3. This

- 5 tendency is different from the results obtained by composition and heating measurements, which show that the product with  $\log_{10} C^* = 3$  was the most abundant. The products between  $\log_{10} C^* = -2$  and 0 were overestimated because the observation durations for higher DR conditions may be slightly shorter than the equilibration timescales, or the referred volatility region,  $\log_{10} C^* \ge -2$ , may not cover the entire region of product volatilities. If the latter reason is the case, products with  $\log_{10} C^* < -2$  are attributed to the bin with the lowest referred volatility ( $\log_{10} C^* = -2$ ). It is difficult to determine the mass fractions for
- 10 low-volatility regions because of the difficulties in dilution measurements at  $< 0.1 \ \mu g \cdot m^{-3}$ , such as large uncertainties for measured particle size and long equilibration timescales.

## 3.8. Implications of data from different methods

There are not only statistical uncertainties for volatility distribution obtained from each technique but also systematic uncertainties among composition, heating, and dilution measurements. The volatility distributions obtained from composition analysis will contain systematic errors from sensitivity and saturation concentration parameterizations. In addition, composition analysis may lose information for products with low sensitivities, although positive-mode ESI analysis can detect major known products. The results of heating measurements involve interference from thermal decomposition and the uncertainties from the parameterizations for the enthalpies of vaporization. The volatility distribution obtained from dilution measurements also include large uncertainties under high DR conditions, as discussed in the previous paragraph.

- 20 Despite the probable uncertainties in composition, heating, and dilution measurements, the present results of all three methods suggest that TMB photooxidation products include low-volatility compounds with  $\log_{10} C^* < 0$ , which are not predicted from yield curve analysis. In the standard VBS approach, the product volatility distributions determined by yield curve analysis are employed (e.g., Robinson et al., 2007; Lane et al., 2008). Currently, a limited number of non-standard treatments are available only for  $\alpha$ -pinene SOA; e.g., Trump and Donahue (2014) took into account dimer formation in the
- 25 particle phase, and Yli-Juuti et al. (2017) employed the product volatility distribution determined from dilution data. Further improvement in the atmospheric organic aerosol model will be necessary not only for study of biogenic SOA but also for anthropogenic SOA.

## **4** Conclusions

In this study, we investigated the volatility of SOA formed from TMB photooxidation by composition, heating, and dilution 30 measurements. To our knowledge, this is the first study to determine the volatility distributions from composition and dilution measurements of SOA formed from the photooxidation of aromatic hydrocarbons. Results of the present composition study showed that  $C_9H_{14}O_x$  monomers (x = 4–7) and  $C_{18}H_{26}O_x$  dimers (x = 8–12) are major products in SOA particles. These results are consistently explained by previously proposed mechanisms in which highly oxygenated RO<sub>2</sub> radicals are generated by auto-oxidation mechanisms, and monomer and dimer products are formed by the RO<sub>2</sub> + HO<sub>2</sub> and RO<sub>2</sub> + RO<sub>2</sub> reactions, respectively. Dimer formation processes from particle-phase reactions or gas-phase reactions of

- 5 Criegee intermediates cannot be excluded because the rate constants for the  $RO_2 + RO_2$  reactions in the gas phase are lower than those for the  $RO_2 + HO_2$  reactions. Monomer products with 5 or more oxygens were newly formed, and dimer products degraded during photochemical aging. Monomer products with 5 or more oxygens may be produced from the photooxidation of phenol-type gaseous products during photochemical aging, and dimer products in the particle phase may undergo photolysis during photochemical aging.
- 10 The present results of composition, heating, and dilution measurements suggest that TMB photooxidation products include compounds with volatilities less than those predicted from conventional SOA yield curve analysis. In the standard VBS approach, the product volatility distributions are determined by SOA yield curve analysis, and the distributions employed in the standard VBS approach do not include low-volatility products. A limited number of non-standard treatments, accounting for the formation of low-volatility products, are available, but non-standard treatments are examined only for α-pinene
- 15 ozonolysis. Further improvement of the atmospheric simulation model will be necessary not only for the study of biogenic SOA formation but also for anthropogenic SOA formation. The decrease in the SOA volatility due to photochemical aging was observed by heating measurements, in accordance with previous results of heating measurements (Baltensperger et al., 2005). However, no clear change in SOA volatility was observed by composition measurements. Furthermore, the volatility distribution of SOA was only studied under dry conditions in the present study. Further studies would be necessary to
- 20 understand the effects of photochemical aging and relative humidity on SOA volatility.

## Data availability

All data used in this work can be found on the Figshare database (https://doi.org/10.6084/m9.figshare.7592861.v3).

#### Author contributions

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KS and TI designed and performed chamber experiments, TD-AMS measurements, and LC/MS analysis. YF, AF, and YK designed and carried out dilution measurements. SI and HT designed and carried out PTR-MS measurements. YM, KT, and SS contributed to data interpretations from the viewpoint of atmospheric modelling. TH, AS, and AT gave technical support for TD-AMS measurements and also contributed to data interpretations.

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Figure 1. Time series of (a) TMB and methylglyoxal (MEGLY) concentrations observed by FT-IR, (b) SOA mass concentration observed by AMS, and (c) O/C ratio observed for organic aerosol by AMS during normal photooxidation (run 5) and aging experiments (run 2). Methyl nitrite was added in run 2 at the irradiation times indicated by vertical dotted straight lines.



Figure 2. Mass spectra observed using positive-mode electrospray ionization time-of-flight mass spectrometry for TMB SOA samples collected in (a) normal photooxidation (run 1) and (b) aging experiments (run 2). These results were obtained by direct infusion of the SOA samples to the ESI mass spectrometer.



Figure 3. Volatility versus carbon number mapping obtained from LC/MS results for particulate products in (a) normal photooxidation (run 1) and (b) aging experiments (run 2), and the volatility distribution determined for the sum of gaseous and particulate products in (c) normal photooxidation (run 1) and (d) aging experiments (run 2).



Figure 4. Volatility distributions determined for products from TMB using (a) LC/MS results, (b) LC/MS results obtained without intensity correction, (c) LC/MS results obtained using equation by Li et al. for saturation concentration calculations, (d) TD-AMS results, (e) dilution results, and (f) results of previous yield curve analysis.

Photochemical aging (gas phase)



Figure 5. Suggested mechanism for the formation and photochemical aging of abundant products. Shaded products may exist predominantly in the particle phase.



Figure 6. Mass fraction remaining (MFR) for SOA formed from the photooxidation of TMB as a function of thermal denuder temperature (thermogram).

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Figure 7. Volume fraction remaining (VFR) for SOA particles formed from the photooxidation of TMB as a function of time after dilution.