

1 Response to Editor

2
3 **OPTIONS:**

4 (C) You make the changes I recommend below (or argue convincingly against them) and I then
5 accept the paper on the basis that I am confident that Referee 2's concerns have been
6 addressed. The changes I have marked with * are those that I regard as essential.

7
8 Many thanks for your helpful and detailed comments.

9 We have revised the manuscript according to option (C) following your recommendation.

10
11 We revised the SVD analysis in Fig. 6 of the previous version to objectively show the existence
12 of different modes of variability in meridional circulation and their relationship with Hadley
13 cells. Based on this result, we rewrote the section 3.2 'Ascending branch in Hadley circulation'.
14 We have also added several references to ITCZ and tropical expansion studies with potential
15 mechanisms in the Introduction. We hope this can clarify your questions.

16
17 (4) You have also included a completely new section 3.5 on the 'Stepwise seasonal transition'.
18 This is an interesting topic, but this material has not been considered by either of the referees.
19 So if you want to include this material then I think the only way forward is to treat this version
20 of your paper as a new submission and start the refereeing process again.

21
22 Section 3.5 'Stepwise seasonal transition' was removed.

23
24 Because there are many comments on the same subjects– i) ITCZ, ii) Ascending branch, and iii)
25 Changes from 1999, we have responded to them as a group.

26
27 **i) ITCZ**

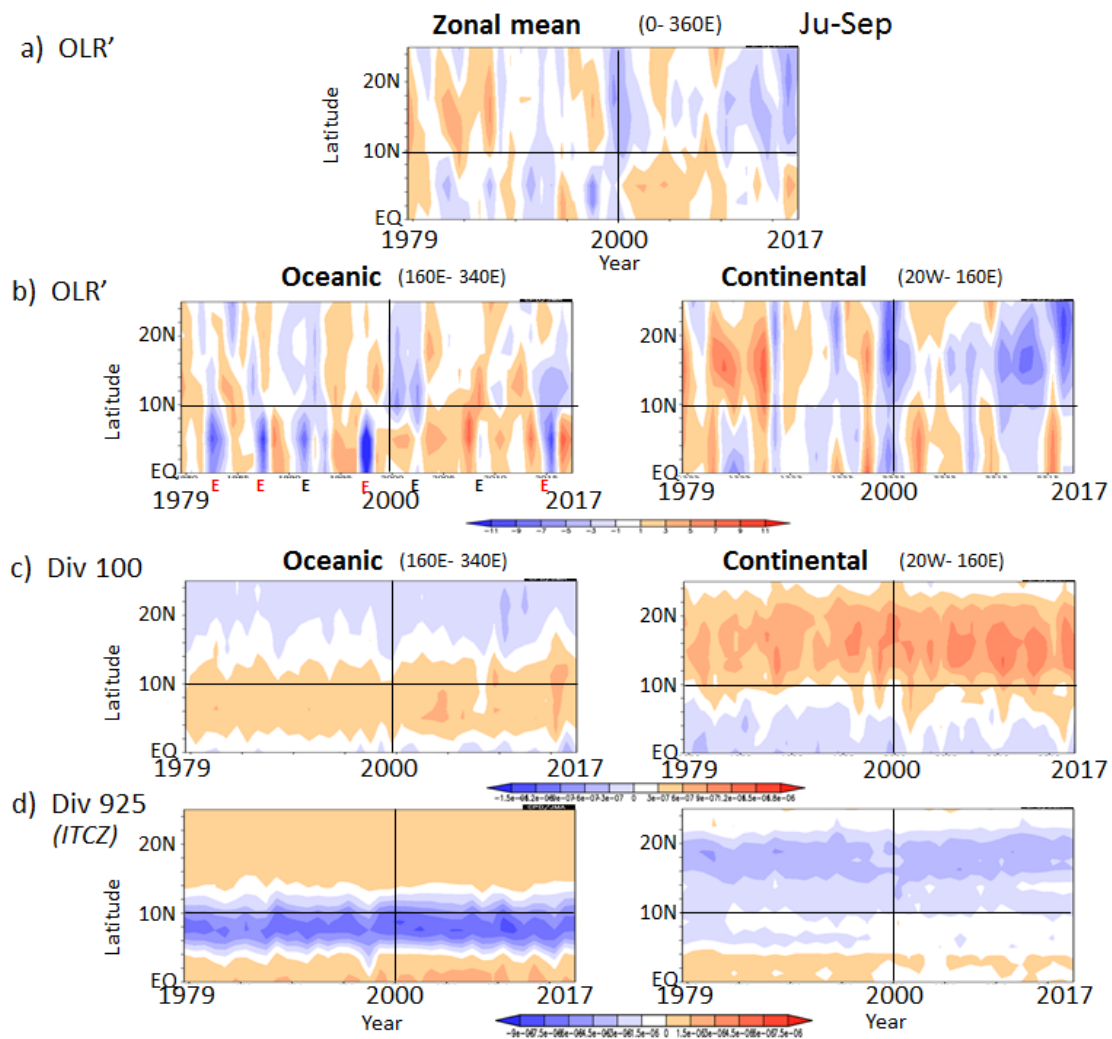
28 (1) You have spent some time in your responses arguing that what the referee identifies as
29 work on 'change in ITCZ locations' is only of tangential relevance to your work. (You have also
30 very briefly mentioned this point in the revised paper at the end of Section 4 — and — minor
31 point — your citation is incorrect.) Your line is basically that the ICTZ is all to do with the
32 'shallow branch' in your Figure 1 and that what you are discussing is to do with the 'deep
33 branch'.

34 But then looking through the paper there are many cases where you discuss quantities such as
35 OLR, omega at 300hPa, SST — so I'm not convinced that you can separate the ICTZ from what
36 you are discussing. You might argue that the ICTZ is a primarily oceanic feature whereas you
37 are emphasising changes over land, i.e. that changes in convection over land in summer can
38 somehow be considered to be independent of changes in convection over the ocean, but to
39 me this seems highly speculative.

40
41 -Change in location of ITCZ

42 We have assumed that the shift in the ITCZ is not particularly relevant for the present study
43 because clear changes in the location of the ITCZ were not observed during the study period, as

44 illustrated in Fig. R 1 below. Anomalous negative OLR in the zonal mean field appear to have
 45 shifted northward around 1999 (Fig. R1 a) because convective activity north of 10° N
 46 strengthened over the continental sector, while that over the oceanic sector south of 10° N didn't
 47 increase (Fig. R1 b). Enhanced convective activity over the continental sector occurred in
 48 association with an increase in upper-level divergence at around 15° N without a latitudinal shift
 49 (Fig. R1 c). The ITCZ depicted in the 925hPa divergence field (Fig. R1 d) shows practically no
 50 change in intensity or the latitude of maximum divergence in both sectors. This suggests that the
 51 phenomenon we are studying here (Fig. R1 b,c) is not directly related to the meridional shift of
 52 the ITCZ on this time scale.
 53



54
 55 Fig. R1 (a) Latitude-time sections of anomalous zonal mean OLR. (b) Same as (a), except for
 56 (left) oceanic (160° E-340° E) sector, and (right) continental (20° W - 160° E) sector. (c) Same
 57 as (b), except for horizontal divergence at 100 hPa. (d) Same as (c), except for divergence at 925
 58 hPa.

59

60 *p12 l1-3: 'A poleward shift in the convective zone occurred because of enhanced convective
 61 activity in the deep ascending branch of the Hadley circulation over the continental sector.'

62 The first sentence is unclear — are you suggesting that the shift occurred as a result of
63 enhanced convective activity in the deep ascending branch ...', i.e. there are two separate
64 phenomena here and one causes the other. To me it seems to be very difficult to separate the
65 shift on the one hand and the enhanced convective activity on the other — aren't they
66 different aspects of a single phenomenon. If you want to claim that there is cause and effect
67 then you need to be much clearer on how to separate the two things. Once again, you need to
68 be very clear about what exactly you mean by the 'deep ascending branch of the Hadley
69 circulation over the continental sector' — Fig 1 is helpful here but it is not enough — it needs
70 to be reinforced by clear text. Also is your separation of the tropical circulation into (i) shallow
71 branch of HC and (ii) deep branch of HC, or is the second part 'the deep branch of the HC over
72 the continental sector'? If one uses Fig 1a as a basis of the separation then it is not at all clear
73 what part can be assigned to the continental sector and what to the oceanic sector.

74

75 As stated in the above, a poleward shift in the convective zone appears in zonal mean field due
76 to enhanced convective activity over the continental sector. According to the comment, the
77 sentence was modified as follows. (p11| 27)

78

79 'In the present study, a poleward shift in the convective zone corresponds to enhanced
80 convective activity in the deep ascending branch in the summertime Hadley circulation, located
81 at a higher latitude (around 15° N) than the main ascending branch over the ocean (around 7.5°
82 N)'

83

84 *p12 l2: 'This phenomenon studied here is different from the shift of the ITCZ over the oceanic
85 sector due to perturbations in the atmospheric energy balance such as discussed by Schneider
86 et al. (2017).' — again this is not at all obvious and I see the only way forward without you
87 doing more detailed work on this is to be clear that the study reported in this paper is based
88 on the hypothesis that changes in the 'deep branch of the HC over the continental sector' or
89 whatever can be considered as independent of the shift in the ITCZ studied by many other
90 authors — Schneider (2017) being an example and Byrne et al (2018) being another — which
91 you see as primarily relevant to the oceanic sector. You must remind the reader explicitly of
92 this hypothesis here, at the end of the paper

93

94 According to the comments, the following sentences are added in the text. (p11| 27)

95

96 'In the present study, a poleward shift in the convective zone corresponds to enhanced
97 convective activity in the deep ascending branch in the summertime Hadley circulation, located
98 at a higher latitude (around 15° N) than the main ascending branch over the ocean (around 7.5°
99 N). For the purposes of this paper we assume that the changes that we discuss are independent
100 from those associated with tropical expansion. This paper is based on the hypothesis that recent
101 increase in the convective activity in deep branch of the Hadley circulation over the continental
102 sector can be considered as independent of the shift in the ITCZ studied by many other authors
103 such as Schneider (2017) and Byrne et al. (2018) which are primarily relevant to the oceanic

104 sector. It should also be noted that the expansion rates of the tropics should be much smaller
105 than those reported in past studies (Staten et al., 2018).'

106

107 Staten P.W., Lu J., Grise K.M., Davis S.M, and Birner T.: Re-examining tropical expansion, Nature climate change,
108 8, 768-775, DOI:10.1038/s41558-018-0246-2, 2018.

109

110 (2) The same applies to a lesser extent to 'Hadley Cell widening' or 'tropical expansion'. You
111 assert in Section 1 that this is, again, distinct from what you are discussing. But your argument
112 is that the 'expansion' is 'due to changes in the positions of jet streams and storm tracks'. The
113 expansion might be manifested by those changes, but I don't believe that anyone has clearly
114 ruled out that the changes in say, tropical convection, are not part of the cause.

115

116 Hu and Fu (2007) demonstrated a widening of the tropics in boreal summer by using OLR data.
117 A northward shift is observed in the northern edge of the tropics around 42° N, but the latitude
118 of the ascending branch remains unchanged at ~7.5° N. This is an example of case where the
119 expansion of the tropics is not necessarily associated with the shift in the ascending branch of
120 the HC.

121

122 Hu, Y., and Fu, Q.: Observed poleward expansion of the Hadley circulation since 1979, Atmos. Chem. Phys. 7,
123 5229–5236, 2007.

124

125 We also have added the following sentence for better explanation (p3 | 5).

126 'Among the studies on tropical expansion those on the role of the tropical SST (Allen et al.,
127 2018; Amaya et al., 2018) may be relevant to the present study. However, as will be shown later,
128 a decadal ocean variability such as PDO may not be a fundamental factor which produces recent
129 decadal trend in boreal summer. '

130

131 *p2 | 31: See comment (2) above. This paragraph is at one level OK — you say that you are
132 looking at different quantities to those being considered by those interested in tropical
133 expansion — but I recommend that you an additional comment (which could be in your final
134 section) along the lines of 'For the purposes of this paper we assume that the changes that we
135 discuss are independent from those associated with tropical expansion' — i.e. an explicit
136 statement that your arguments for a role for the stratosphere should not be interpreted as
137 applying to tropical expansion (because if they did you would have to give more detail on the
138 various mechanisms that have been proposed for that).

139

140 According to the comment, the following sentence was added. (p. 3| 8)

141 'For the purposes of this paper we assume that the changes that we discuss are independent
142 from those associated with tropical expansion.'

143

144 ii) Ascending branch

145 (3) You have included a new section 3.1 'Deep branch of the Hadley circulation' primarily, it
146 seems to me, to counter Referee 2's recommendation that there is more discussion of the
147 relation between your work and other previous work on shifts in the ITCZ/broadening of the
148 tropics. But while this section puts on record that there is considerable variation in the

149 properties of the tropospheric circulation with height, and that, for example, there is no single
150 pattern of geographical variation for all physical quantities, it doesn't convincing make the case,
151 to me, that what you are describing can be regarded as completely independent of, say, the
152 shift in the ITCZ and therefore that all previous discussion can be ignored.

153

154 -> Concerning to the shift of the ITCZ, please see the response for "change in ITCZ" in the
155 above.

156

157 To be specific — you are essentially looking at Fig 1a and, pretty arbitrarily in my view,
158 deciding that it can be decomposed into two parts, one composed of streamlines that reach
159 above 100hPa and the other of streamlines that do not, that can be regarded as separate
160 entities. This seems particularly difficult to justify for the narrow upwelling part of the
161 circulation.

162

163 We revised the SVD analysis in Fig. 6 of the previous version to objectively extract the different
164 modes of variability. A new SVD analysis (Fig. R2 and R3, shown in the manuscript as Figures
165 3 and 4) clearly indicates that two modes of variability have different vertical structures: SVD 2
166 represents the variability associated with the deep ascending branch of the HC extending into
167 the stratosphere, while SVD 1 and 3 are those related with changes in the ascending branch of
168 Hadley cells confined within the troposphere (Fig. R2). Correlation maps with the time
169 coefficients (Fig. R3) show that SVD 1 and 3 are ENSO-related year-to-year variations over the
170 Pacific Ocean that modulate the Pacific ITCZ. SVD2 showing increasing trend, however,
171 associated with the variability of deep convection over the African-Asian sector. This clearly
172 shows the contrasting vertical structure of ascending branch of Hadley cell over the continent
173 and the ocean. A more detailed description is added in section 3.2 of the new revised manuscript.

174

175 We do not intend to ignore previous works on the shift in the ITCZ, but the focus of the present
176 study is different: this study focuses on the vertical structure and strengthening of the upwelling
177 in the TTL rather than a shift in the tropical convergence zone at the surface. Because the deep
178 ascending branch of the Hadley cell is located near the northern edge of the main upwelling
179 region, enhanced convective activity in the deep ascending branch of the Hadley cell manifests
180 as a poleward shift in convective activity.

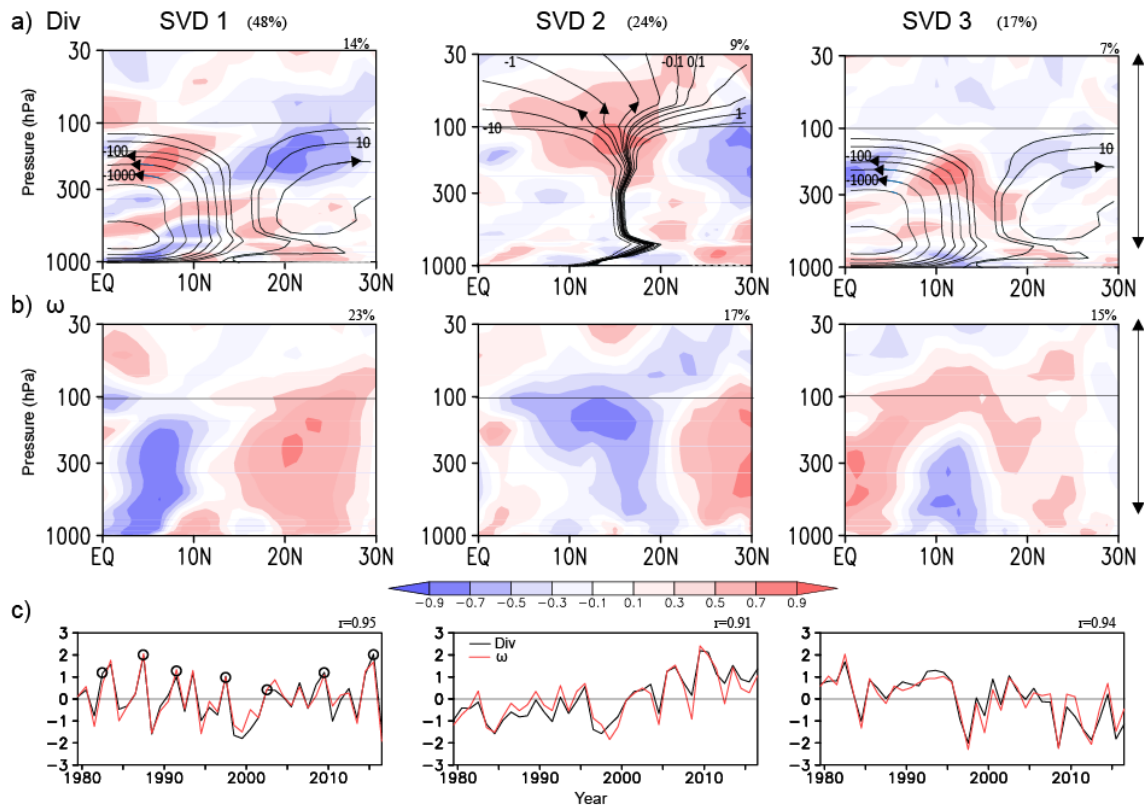
181

182 We also added references of ITCZ studies and presented potential mechanisms in Introduction.

183

184

185



186

187

188 Fig. R2: Singular value decomposition (SVD) analysis of the zonal-mean anomalous horizontal

189 divergence and anomalous pressure vertical velocity in the tropics (30° S–30° N) during JAS

190 from 1979 to 2016: From left to right, SVD 1, SVD 2 and SVD 3. (a) Heterogeneous correlation

191 map of horizontal divergence. (b) same as (a), except for pressure vertical velocity map. (c)

192 Time coefficients. Arrows indicate the levels used in the SVD calculations. Stream lines in (b)

193 indicate mass stream function of the climatological residual mean meridional circulation

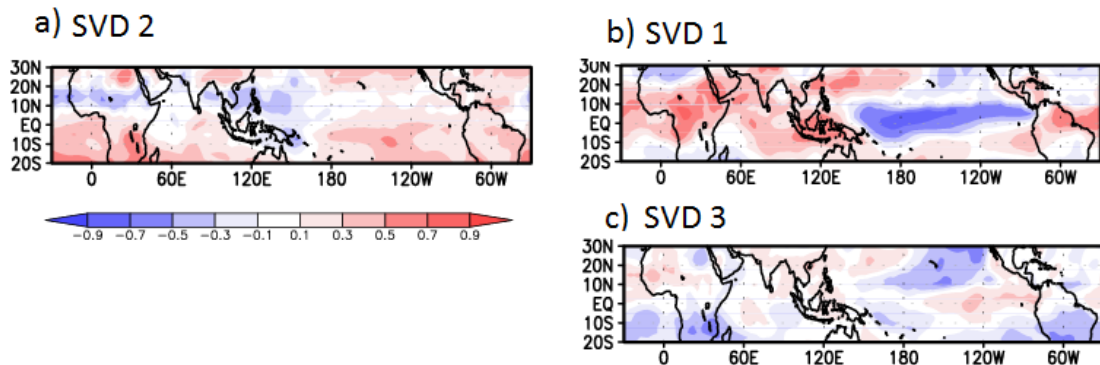
194 (Stream lines are plotted with logarithmic scaling: $\pm 0.1, 0.2, 0.5, 1, 2, 5, 10, 20, 50, 100 \dots \times$ 195 $10^{10} \text{ kg s}^{-1}$). Open circles in (c) indicate El Niño event.

196

197

198

Correlation with OLR



197

198 Fig. R3: Correlation coefficient between OLR at each grid, and time series of (a) SVD 2, (b)

199 SVD 1, and (c) SVD 3.

200

201

202 *p1 l15:

203 'deep ascending branch' — I don't believe this is a standard term, so you need to define it
204 carefully. See comments above, particularly(C), and further detailed comments below.
205 Amongst the various problems I see with this term a relatively minor one is that the terms
206 'shallow' and 'deep' are very likely to be confused with the shallow and deep branches of the
207 Brewer-Dobson circulation. (These terms seem to have become widely used.)

208

209 To avoid confusion with 'shallow and deep branch' of B-D circulation, only 'deep ascending
210 branch of HC' is used in the revised version.

211

212 To define the 'deep ascending branch', we added the following sentences (p 4|30). 'It should be
213 noted that the climatological mean stream lines around 15°N are connected to the stratosphere
214 by crossing the tropopause (100 hPa) in boreal summer (see e.g., Fig 3 of Seviour et al., 2012).
215 In the present study, we call this part of the ascending branch of the Hadley circulation that
216 penetrates the lower stratosphere as the 'deep ascending branch.'

217

218 *p4 l28:

219 'Because the upwelling of the deep branch is driven by convective activity' — unless you are
220 defining the 'deep branch' in this way — in which I can't see how you can identify the deep
221 branch from any of the information in Fig 1 (since none of this information tells you what
222 drives what) — this statement is pure speculation. I think that the only acceptable way to
223 proceed is for you to define carefully what you mean by 'deep branch' — by certain features
224 appearing in observational fields, rather than being caused by something — and then to say
225 that your working hypothesis is that the deep branch can be considered independently of
226 other aspects of the tropical tropospheric circulation (such as the ITCZ over oceans).

227

228 According to the suggestion the following phrase is included in the text. (p7 |20)

229 'The present analysis suggests that the variability of deep ascending zone represented by SVD 2
230 is an linearly independent mode from that related to the oceanic ITCZ, represented by SVD 1
231 and 3. However, the climate system is highly nonlinear. Therefore, changes in the deep
232 ascending branch of the Hadley circulation such as those represented in SVD2, could modulate
233 the ITCZ over the oceanic sector through induced changes in cross-equatorial winds as
234 suggested in Fig. 2.

235

236 *p4 l31:

237 Please refer to explicit Figure/sub-Figure numbers e.g. Fig 1b, rather than 'left panel' etc. One
238 reason for that is that you are making the quite strong and at first sight surprising statement
239 that θ_e at 925hPa is part of the 'deep branch' rather than the 'shallow branch'. You
240 should note this explicitly in the text as a further aspect of your working hypothesis.

241

242 That sentence was included to point out that large moist static energy near the surface is a
243 necessary condition for producing strong convective instability. However, because Fig. 1 was

244 based on previously non-submitted material both the Figure and its associated discussion were
 245 removed from the current revised version according to the option (C).

246

247 *p6 l25: ‘corresponding to the deep ascending branch of ...’ — change to ‘corresponding to
 248 what we have identified as the deep ascending branch of’ — to make it absolutely clear that
 249 this statement depends on your working hypothesis. The same applies to p6 l16 — ‘which
 250 according to our hypothesis correspond to the locations ...’

251

252 (p8| 3)

253 Modified as suggested: ‘which according to our hypothesis correspond to the location of the
 254 deep ascending branch in summertime Hadley circulation ’

255

256 p6 l16

257 The same applies to p6 l16 — ‘which according to our hypothesis correspond to the locations
 258 ...’

259

260 According to the suggestion, the sentence was modified as follows. (p7| 26)

261 ‘According to our hypothesis the former corresponds to the variability in oceanic ITCZ
 262 constituting the main ascending branch of the Hadley cells, and the latter is related to the deep
 263 ascending branches of the Hadley circulation connected to the stratosphere.’

264

265

266 **iii) Changes from 1999 to present**

267

268 *p1 l13:

269 Large changes in tropical circulation, in particular those related to the summer monsoon and
 270 cooling of the sea surface in the equatorial eastern Pacific, were noted from the mid-to-late
 271 1990s.’—needs clarification — change to —‘Large changes in tropical circulation from the mid-
 272 to-late 1990s to the present, in particular changes related to the summer monsoon and cooling
 273 of the sea surface in the equatorial eastern Pacific, are noted.’

274

275 Changed as indicated. (p1|13)

276 ‘Large changes in tropical circulation from the mid-to-late 1990s to the present, in particular
 277 changes related to the summer monsoon and cooling of the sea surface in the equatorial eastern
 278 Pacific, are noted.’

279

280 *p1 l13 bis:

281 [The above comment:, and several other of my comments, are based on the my interpretation
 282 that the ‘recent trends’ you are describing are represented by a change from the mid-late
 283 1990s to the present. But this is never completely clear — and if I have misinterpreted what
 284 you mean that is by itself good reason for you to clarify what you mean by terms like ‘trend’
 285 and ‘anomaly’ whenever you use them.]

286

287 In the Introduction, the terms “trend” and “anomaly” have been used with their most general
 288 meaning, that is, “*trend*” as prevailing tendency or direction of changes (or developments) and
 289 “*anomaly*” as a deviation from the “normal” or expected behavior. At this point (i.e., in the
 290 Introduction) we feel it is unnecessary to define a specific time frame for the trends or
 291 anomalies as we are formulating the problem we want to address in light of previous studies.
 292 Following your remark, we have clarified these terms specifically in our study by adding the
 293 following sentences in Section 2: (p4|14)

294

295 'The exact starting dates of the recent decadal change is difficult to determine on the one hand
 296 due to the interannual variability (such as the El Niño events), and variable dependent
 297 characteristics of change. For example, while some variables show a stepwise transition, others
 298 show a more gradual change. In the present study, we define the recent decadal change as the
 299 1999-2016 mean anomalies from the 30-year (1981-2010) mean unless otherwise specified. By
 300 selecting the year 1999 as the starting year, we excluded the extremely large 1997/98 El Niño
 301 event from the recent period.'

302

303 *p1 l26:

304 'Large changes in tropical circulation occurred from the mid-to-late 1990s.' — needs
 305 clarification — change to — 'Large changes in tropical circulation occurred from the mid-to-
 306 late 1990s to the present.'

307

308 (p1 | 27) Changed as suggested.

309

310 *p5 l9:

311 I see now that this is where you define what you mean by terms such as trend and anomaly —
 312 i.e. you are looking specifically at the difference between the 1999-2016 mean and the 1981-
 313 2010 mean. You need to make this absolutely clear much earlier in the paper — e.g. even in
 314 the first line of the paper when you talk about changes 'from the mid to late 1990s'. Then here
 315 you should confirm that you will be using the term 'anomaly' or 'anomalous ...' to mean
 316 precisely this difference.

317

318 We have now put it explicitly at an earlier point, in Section 2 (p4| 14) as mentioned above.

319

320 *p2 l16:

321 'the anomalous tropical circulation of the 1990s' — this comes back to the question of what
 322 you mean by 'trends' or 'anomalous circulation'. The phrase you use here sounds as if you
 323 mean an anomaly that was present in the 1990s, but has since disappeared — but I don't
 324 believe that you mean that. Please clarify.

325

326 The sentence was modified as follows.(p2 | 19)

327 'the anomalous tropical circulation from the mid-to-late 1990s did not terminate with the hiatus
 328 around 2013, but still persists today'

329

330 p11 l4: I still find this use of ‘changes from the middle to the end of the 1990s’ unnecessarily
 331 mysterious. It sounds as though you mean change from 1995 to 2000, but surely you don’t. In
 332 practice you must, given the quantities that you have been showing, mean the difference
 333 between 1999-2016 relative to 1981-2010 (or surely equivalently — difference between 1999-
 334 2016 on the one hand and 1981-1998 on the other). It would be much better if you could find
 335 a clear simple term for this — and use it consistently.

336

337 We have rewritten the introductory sentences of Section 4 (p11| 6) in order to avoid confusion:
 338 ‘the observed 1999-2016 decadal SST cooling’

339

340 **Others**

341 p1 l27: Personally I find it a bit weird to describe a ‘slowdown, or hiatus, of global warming’ as
 342 a ‘large change in tropical circulation’.

343

344 We could consider the change in tropical circulation as one aspect of the phenomenon related to
 345 the hiatus.

346

347 The sentence has been rewritten as (p 1| 28): ‘Such a decrease in the tropical east Pacific sea
 348 surface temperature (SST) has been associated with a slowdown, or hiatus, of global warming’

349

350 p2 l3: My reading of Evan and Camargo (2011) is that they are very careful NOT to say that
 351 there is a long-term systematic change over the period that they consider. (They use terms like
 352 ‘interannual variation’.) The Wang et al (2012) paper is a brief Comment on another paper, and
 353 is disputed by the authors of that paper.

354

355 The references were removed.

356

357 p2 l6: ‘Indeed, recent numerical model studies show that cooling of the tropopause impacts
 358 the intensity of tropical storms as well as SSTs’

359 — change to (at least according to my interpretation) — ‘Indeed, recent numerical model
 360 studies show that cooling of the tropopause, as well as SSTs, impacts the intensity of tropical
 361 storms’

362

363 (p 2| 8) Changed as suggested.

364

365 *p2 l28: ‘In this paper, we show that the fundamental cause of the recent decadal trend in the
 366 tropics from the mid-to-late 1990s is not the PDO, but rather a strengthening of the deep
 367 ascending branch of the summertime Hadley circulation extending into the stratosphere.’ —
 368 this statement is MUCH too strong. You surely don’t show that this is true — you suggest that
 369 it is true and provide some circumstantial evident to support your suggestion.

370

371 Modified as indicated (p2| 31): ‘In this paper, we suggest that’

372

373 p4 l1: Include a very brief description (perhaps just a few words) of the criteria being used for
374 an 'overshooting cloud'.

375

376 The following sentence was added for a description of the overshooting cloud (p4 | 4).

377 "In the case of extreme deep convection, strong updraft further penetrates beyond the level of
378 neutral buoyancy and overshoots into the tropical tropopause layer (TTL)....."

379

380 *p4 l9-14: This is new material that has not been considered by the referees and should be
381 removed if you want to follow routes (B) or (C) above.

382

383 Related Figures and text were removed.

384

385 *p5 l19: 'Thus, the primary cause ...' — you can only use 'Thus' if the following statement
386 follows logically from the preceding statement. I don't see how your statement about the
387 cause of cold tongues etc can possibly follow logically from the previous sentence — I guess
388 that it is something that has been proposed/demonstrated by Xie and others. So 'Thus' should
389 be removed.

390

391 Removed.

392

393 *p5 l21: 'Therefore, changes in the zonal-mean meridional circulation ... can affect eastern
394 Pacific SSTs.' — again I don't follow the logic at all — and I think that it is this sort of statement
395 that troubled referee 2. The simplest change would be to remove this sentence, or you could
396 say 'We suggest in this paper that ...'. Anything else needs much clearer supporting argument.

397

398 Modified (p5| 11) as 'We suggest in this paper that changes in the meridional circulation can
399 similarly affect equatorial eastern Pacific SSTs by modulating the cross-equatorial winds, as
400 depicted in Figure 2.'

401

402 p5 l30: I'm not very clear about the logic here either, but neither of the referees seemed
403 particularly worried about this.

404

405 According to the comment, the sentence was modified as follows. (p5| 20)

406 'This suggests that SST cooling west of South America is not driven solely by the PDO, but is
407 related to a stronger cross-equatorial winds that we hypothesize is connected to an enhanced
408 convective activity over African-Asian sector.'

409

410 *p6 l1: 'The impact of the recent decadal variation ...' — this makes it sound as though what
411 you are showing is caused by decadal variation — but, at the level of the discussion in this
412 paper, this is simply an aspect of decadal variation. So please modify appropriately.

413

414 Modified as follows. (p5| 23)

415 'The atmospheric circulation and SST changes associated with the convective activity are
416 depicted in Fig. 2'.

417

418 *p8 l8-20: There seems to be confusion between Fig 7 and Fig 8 in this paragraph.

419

420 Corrected

421

422 *p9 l19: 'Results of the above analyses are summarised in Fig 10(left).' — change to make it
423 very clear that you are advancing a hypothesis. It certainly isn't the case that the results you
424 present lead inevitably to the mechanism depicted in Fig 10. E.g. you could say 'On the basis of
425 the results presented in the previous sections we suggest the mechanism depicted in Fig 10.'
426 Then you need to include a sentence or two explaining the mechanism that Fig 10 is supposed
427 to depict — the Fig alone is not enough.

428

429 We added the following sentences in Section 3.4 (p 10| 11).

430 (a) Cooling in the lower stratosphere adds to the global warming in the troposphere. (b)
431 Decrease of the lower stratospheric temperature produced favourable conditions for the
432 development of extreme deep convection. Stratospheric cooling effect is felt by convections
433 reaching the TTL, primarily over the continental sector. (c) Enhancement of extreme deep
434 convection off-equatorial region produces stronger cross-equatorial flow near the surface. (d)
435 Strengthening of surface winds cools the ocean by increased evaporation.

436

437 p9 l32: 'This time evolution tentatively suggests a causality among the variables' — that's fine,
438 but I recommend including a sentence spelling out what causality you are inferring (to make
439 sure the reader is clear about that).

440

441 To clarify, the following sentence was added. (p10|33)

442 'That is, change in Pacific SST occurred following a change in cross-equatorial winds, increased
443 upwelling in the TTL, and stratospheric cooling in early summer '

444

445 *p10 l4-32: As noted previously, this section (and Figs 11-13) should to be removed unless you
446 want to go straight to option A. (But note that on l6 you have referred to Fig 10, but surely you
447 mean Fig 11.)

448

449 Stepwise transition section 3.5 and Figs 11-13 were removed.

450

451 *p11 l11: 'Nevertheless, time lags introduced in selection of variables from summer to autumn
452 demonstrate that the processes are related, ...' — you say 'are related', but earlier you have
453 said that these time correlations suggested a causal relationship and you should use the term
454 'causal' again here (if you mean it). But 'demonstrate' is too strong — you should be clear that
455 you (i.e. the authors) are suggesting a causal relationship on the basis of these correlations,
456 but also that you accept that (much) more evidence is needed to demonstrate this conclusively.

457

458 The word 'demonstrate' was changed to 'suggest'.

459

460 Others comments:

461 I can't find any reference in the text to Fig 5a. If it is not mentioned in the text (i.e. it is not part
462 of the arguments made in the paper then it doesn't seem necessary to include it).

463

464 It is indicated in 3 lines before together with Fig. 4b as 'Figs 4a and 5a', but for the clarity it is
465 added at the end of the sentence as ' located in the NH near 5° N–10° N throughout the
466 year (Fig 6a)'. (p8| 1)

467

468 Many of the Figures are very small. Of course if reading a paper online the Figures can be
469 magnified, but it should be easy to read text and Figures together. I recommend that most of
470 the Figures are enlarged so that the entire Figure (i.e. all the panels as displayed) fill most of
471 the width of the page.

472

473 Figures magnified.

474

475 -----

476 Texts had been removed in new revised version.

477

478 *p7 l13: 'In addition to changes in the troposphere, Abalos et al (2015) identified ...' — this
479 makes it sound as if Abalos et al identified changes in the troposphere.

480

481 *p7 l14: 'Because the upwelling in the deep ascending ..., it is expected ... may exist.' — make it
482 clear that it is you that expect this — there are almost certainly many other people who do
483 NOT expect it — i.e. it would be better if this sentence was re-written along the lines of 'we
484 suggest that'.

485

486 p7 l31: 'These results suggest a stronger connection ...' — why 'stronger' — stronger than
487 what? More importantly you should add an explicit qualifier that causality cannot be deduced
488 from this analysis alone — you have used 'connection between' which is good, but the explicit
489 qualifier is needed too.

490

491 *p7 l32: 'This is consistent with results from ...' — this is too strong a statement. Both of these
492 papers (which are certainly interesting) were about variations on SSW-type timescales, not on
493 interannual variability. Also the Eguchi et al study is very preliminary in the sense that only one
494 simulation is carried out when really an ensemble is needed and the Kodera et al study is
495 largely (but not exclusively) about changes in the TTL rather than through the depth of the
496 troposphere. So the sentence needs to be moderated appropriately.