## Responses to Comments by Reviewer #1, Reviewer #2 and Reviewer

#3

Ms. Ref. No.: acp-2018-1234
Title: High Time Resolution Source Apportionment of PM<sub>2.5</sub> in Beijing with Multiple Models
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Corresponding author: Mei Zheng (email: mzheng@pku.edu.cn)

We are grateful for the helpful comments by all the reviewers. Based on these comments, we have carefully revised the manuscript. The response to each comment is listed below. The original comments from reviewers are in *blue and italic*, our replies are in normal font and the tracked changes in the revised manuscript are in *red and italic*.

<u>Reviewer #1 Comment No.1</u>: I suggest the authors to reconstruct the hourly PM2.5 mass based on the online chemical components (e.g. ions, OC/EC, and elements). The convert factor of OC to OM should be related to the sources rather than 1.8 which obtained in the regional parks in USA. Thus, the relationship between OC and EC should be discussed firstly.

**Response to reviewer comment NO.1:** We agree with the reviewer that the convert factor of OC to OM (Roc) should be related to the sources. According to previous studies, R<sub>oc</sub> would be relatively higher for samples influenced by biomass burning event (Malm et al., 2007; Poirot and Husar., 2004; Turpin and Lim., 2001). For example, Turpin and Lim (2001) have reported Roc values ranging from 2.2 to 2.6 for samples with impacts from biomass burning. Poirot and Husar (2004) found good agreement between measured and reconstructed fine mass by applying a  $R_{oc}$  factor of 1.8 during a biomass burning event. Besides, R<sub>oc</sub> of urban aerosol tends to be lower than that of nonurban aerosol because nonurban areas are likely to have higher contributions of both biogenic and secondary anthropogenic sources than observed in urban areas (Turpin and Lim., 2001). Turpin and Lim (2001) recommend a factor of 1.6±0.2 for urban organic aerosols, a factor of  $2.1\pm0.2$  for nonurban organic aerosols. The R<sub>oc</sub> of 1.6 has also been used in studies of urban areas in China (Cao et al., 2007; Huang et al., 2017). According to the source apportionment results in our study, the contribution of biomass burning source was not very significant during the sampling period (9% on average, see Figure 3 in the manuscript), and the sampling site is more influenced by the urban

sources of Beijing. Therefore, we change the  $R_{oc}$  from 1.8 to 1.6 which is more fit for our case. The related description has been revised as follows (see Page 6, Line 151):

#### *Organic matter (OM) was calculated as OM = 1.6 \times OC (Turpin and Lim, 2001).*

After the revision of  $R_{oc}$ , we reconstructed the hourly  $PM_{2.5}$  mass based on the online chemical components (see Figure 1). The correlation between the measured and reconstructed  $PM_{2.5}$  is good with the slope close to 1.0 and the  $R^2$  over than 0.9 (p< 0.05, n=1099). Figure 1 has also been added in the supplementary materials as **Figure S6**.



Figure 1 Correlation of measured and reconstructed PM<sub>2.5</sub> mass

#### Reference

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Asheville, NC, 2004.

**Reviewer #1 Comment No.2**: I suggest the authors to present the data quality assurance and control in more detail in section 2.2. For example, will the reconstructed PM2.5 match the measured PM2.5? What are the relationships between the elements (such as S, K, Cl and Ca) measured by Xact 625 and their water soluble form (SO42-, K+, Cl- and Ca2+) measured by IGAC. Moreover, the EC concentrations obtained by Sunset are also suggested to compare with the BC concentrations measured by MAAP or AE-31 etc. There are quite important for the source apportionment.

**Response to reviewer comment NO.2:** According to *Response to reviewer comment NO.1*, the reconstructed and the measured  $PM_{2.5}$  mass agree well with the slope of 1.0 and the R<sup>2</sup> over than 0.9 (see Figure 1). About quality control, we have added more detailed information in the supplementary materials including the concentration of the internal standard (Pd) of Xact and the internal standard (LiBr) of IGAC. As shown in Figure 2 (**Figure S1** in the supplementary materials), for IGAC the internal standard (LiBr) was added continuously to each sample and analyzed by the IC system during the analysis to check the stability of the IGAC instrument. During the sampling period, the mean concentrations of Li<sup>+</sup> and Br<sup>-</sup> were within the range of three standard deviations, suggesting a stable condition of the IGAC. As shown in Figure 3 (**Figure S3** in the supplementary materials), for the Xact a Pd rod was used as automatic internal quality control to check the performance of the instrument on a daily basis and the mean concentration of Pb was within the range of three standard deviations during the sampling period.

We have collected offline samples simultaneously with online measurement at the same site and compared the online concentration of ion species measured by IGAC with those measured by offline ion chromatography (Zhang et al., under review). The results are shown in Figure 4. The correlation of  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $CI^{-}$  and  $Na^+$  between online and offline measurement is relatively good ( $R^2$ >0.8) while the correlation of  $Ca^{2+}$ ,  $K^+$  and  $Mg^{2+}$  is relatively poor. We also compared the online concentration of elemental species measured by Xact with those measured by offline ICP-MS and found better correlation ( $R^2$ >0.9) for most species such as K, Cr, Mn, Fe, Cu, Ni, Zn, As and Pb (Zhang et al., under review). Therefore, in our study, after quality check, we use 12 species measured by Xact while only use the  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $CI^-$  and  $Na^+$  concentration measured by IGAC for further discussion. Based on the comparison between online and offline measurements, K and Ca show better correlation than K<sup>+</sup> and  $Ca^{2+}$ , therefore, only K and Ca data by Xact are used in the analysis in this study.

During the sampling period, we also measured BC by AE33 at the same site but

we did not use MAAP. However, we used a Sunset OC/EC analyzer at the same time. The correlation of BC measured by AE33 and EC measured by Sunset OC/EC analyzer is shown in Figure 5. Relatively high correlation could be found between the two instruments with the slope of 1.0 and the  $R^2$  over than 0.9.



Figure 3. Concentration of the internal standard (Pd) of Xact



Figure 4. Correlation between online IGAC and offline method for ion species (Zhang et al., under review)



Figure 5. Correlation of BC measured by AE33 and EC measured by Sunset OC/EC analyzer

#### Reference

[1] Zhang, B. Y., Tian, Z., Yan, C. Q., Li, X. Y., Yu, J. T., Wang, S. X., Liu, B. X., Zheng, M.: Comparison of water-soluble inorganic ions and trace metals in PM<sub>2.5</sub> between online and offline measurements in Beijing during winter, under review. <u>**Reviewer #1 Comment NO.3**</u>: I suggest the authors to summarize the mass concentrations of chemical components in Table rather than in Figure for different episodes. It is important to judge the online data quality when compared to the previous studies in Beijing.

**<u>Response to reviewer comment NO.3</u>**: According to the reviewer's suggestions, the mass concentration of chemical components has been summarized in Table 1 and Table 2 as follows, and also added in the supplementary material as Table S1 and Table S4:

	Mean	Std.	Max	Min	<b>Detection limit</b>	BDL%
OC/EC			μg/m	3		%
OC	20.8	17.0	89.9	1.1	0.4	-
EC	5.6	4.4	23.1	0.2	0.1	4.3
SIA			μg/m	3		%
SO4 <sup>2-</sup>	23.5	20.8	95.8	0.04	0.04	0.21
NO <sub>3</sub> -	22.0	23.3	104.7	0.03	0.03	0.1
$\mathbf{NH}_{4}^{+}$	14.0	14.7	66.6	0.04	0.05	1.4
$Na^+$	0.39	0.32	1.89	0.02	0.04	8.5
Cl-	4.89	4.19	27.6	0.05	0.05	0.1
Metal		μg	/m <sup>3</sup>		ng/m <sup>3</sup>	%
K	1.49	1.17	5.28	0.10	2.366	-
Fe	0.769	0.541	2.22	0.015	0.759	-
Ca	0.384	0.277	2.08	0.001	0.902	-
Zn	0.286	0.261	1.85	0.005	0.231	-
Pb	0.107	0.091	0.469	0.004	0.218	-
Mn	0.058	0.046	0.210	0.001	0.283	-
Ba	0.035	0.023	0.160	0.002	0.945	-
Cu	0.027	0.024	0.171	0.002	0.267	-
As	0.022	0.021	0.084	0.000	0.114	17
Cr	0.010	0.010	0.110	0.000	0.288	11
Se	0.008	0.008	0.046	0.000	0.141	5.2
Ni	0.002	0.002	0.044	0.000	0.226	3.4

Table 1 Statistical summary of identified species of PM<sub>2.5</sub> in the entire sampling period

n=1099

\* BDL% refers to the percentage of data below the detection limit

\* The unit of the detection limit of each metal is  $ng/m^3$ 

\* '-' means that all data are above the detection limit

					Unit: µg m <sup>-3</sup>
Average	EP1	EP2	EP3	EP4	NH1
Conc.	n=102	n=95	n=117	n=131	n=78
PM <sub>2.5</sub>	97.7±70.7	143.8±119.1	115.3±108.6	241.8±115.5	18.8±20.7
OC	19.1±10.7	$24.7 \pm 18.7$	23.1±21.1	40.3±14.5	3.33±2.85
EC	4.1±2.7	7.1±5.1	5.6±4.3	11.0±3.7	0.9±0.6
<b>SO</b> <sub>4</sub> <sup>2-</sup>	18.6±10.9	25.1±20.4	23.4±19.7	53.3±19.2	4.43±3.89
NO <sub>3</sub> -	19.9±14.6	$18.9 \pm 16.0$	23.3±23.3	56.8±24.8	2.81±3.69
$\mathbf{NH}_{4}^{+}$	13.3±8.36	12.6±11.6	13.3±13.2	36.4±16.7	2.42±3.80
Na <sup>+</sup>	0.37±0.23	0.35±0.28	0.49±0.41	0.69±0.23	0.10±0.14
Cl	5.07±3.05	5.17±3.71	5.66±5.87	8.15±3.41	$0.97 \pm 1.10$
Κ	2.55±1.33	1.21±0.90	$1.23 \pm 1.08$	2.53±0.78	$0.410 \pm 0.410$
Fe	1.26±0.58	0.724±0.568	$0.641 \pm 0.480$	1.06±0.25	0.144±0.133
Ca	0.530±0.250	0.479±0.447	0.356±0.249	0.360±0.148	0.054±0.033
Zn	0.442±0.242	0.242±0.197	0.252±0.289	0.409±0.170	$0.060 \pm 0.076$
Pb	0.182±0.105	$0.087 \pm 0.071$	$0.090 \pm 0.084$	0.185±0.063	$0.024 \pm 0.028$
Mn	0.093±0.050	0.049±0.038	$0.049 \pm 0.045$	$0.082 \pm 0.021$	$0.011 \pm 0.012$
Ba	0.047±0.016	0.038±0.032	$0.032 \pm 0.022$	$0.048 \pm 0.012$	$0.006 \pm 0.005$
Cu	0.028±0.015	0.023±0.021	$0.028 \pm 0.026$	$0.042 \pm 0.017$	$0.007 \pm 0.009$
As	0.031±0.019	0.019±0.019	0.021±0.023	0.040±0.013	$0.003 \pm 0.006$
Cr	0.019±0.022	$0.007 \pm 0.009$	$0.008 \pm 0.011$	$0.014 \pm 0.010$	$0.001 \pm 0.001$
Se	0.012±0.007	$0.006 \pm 0.005$	$0.007 \pm 0.007$	$0.018 \pm 0.007$	$0.001 \pm 0.001$
Ni	$0.003 \pm 0.002$	0.002±0.001	$0.002 \pm 0.002$	0.004±0.004	$0.0006 \pm 0.0004$

 Table 2 Average concentration of PM2.5 and identified species in different haze and non-haze periods

**Reviewer #1 Comment NO.4**: I don't think the explanation for the six factors in section 3.2 is reasonable, and it is not suitable for PMF to resolve PM sources with too many episode cases. The uncertainties of source contributions would be huge. For example, the contributions of the dominant sources during the episode cases would be overestimated during the non- episode period.

**<u>Response to reviewer comment NO.4</u>**: This is a very helpful comment. We think that the reviewer may concern that the major source types might change from haze episodes

to non-haze periods and we agree that the uncertainties of PMF would be big if the main source types change during the sampling period. However, the sampling period of our study was within the heating period in winter. In Beijing, source types would change with season (e.g., more dust in spring), but within the same season, it does not show a significant change (Lv et al., 2016).

We agree that this is an important concern. To test this, we separate the data in haze episodes and non-haze periods into two different input files and apply PMF for source apportionment separately. The result based on haze episode data is represented by EP-PMF, the result based on non-haze period data is represented by NH-PMF and the previous PMF result based on all the input data is showed as PRE-PMF. The average source contributions in EP1, EP4 and NH1, NH2 calculated by EP-PMF, NH-PMF and PRE-PMF are shown in Figure 6. It could be seen that the source contributions in different haze episodes and non-haze periods did not change significantly based on different input of PMF.



Figure 6. The average source contributions in EP1, EP4 and NH1, NH2 calculated by EP-PMF, NH-PMF and PRE-PMF

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Lv, B., Zhang, B., and Bai, Y.: A systematic analysis of PM<sub>2.5</sub> in Beijing and its sources from 2000 to 2012, Atmos. Environ., 124, 98-108, 2016.

**Reviewer #1 Comment NO.5**: I also don't think the identified source profiles are

reasonable. Firstly, K can be also originated from dust. Why not use K+? More fraction of K was showed in the industrial source rather than biomass burning. Secondary, industrial sources should be explained in detail. Why only little fractions of OC and EC in this source profile? Thirdly, why large fraction of Cl- was found in traffic emission? Lastly, why large fraction of Ni was found in biomass burning source?

**Response to reviewer comment NO.5:** According to *Response to reviewer comment NO.2*, only the concentration of  $SO_4^{2^-}$ ,  $NO_3^-$ ,  $CI^-$  and  $Na^+$  measured by IGAC were used for further discussion in the manuscript after performing comparison between online and offline measurement data. We agree with the reviewer that  $K^+$  is a better indicator for biomass burning than K. However, besides poor agreement between online and offline data for  $K^+$ , a certain fraction (about 25%) of  $K^+$  measured by IGAC was below or close to its detection limit (0.05 µg/m<sup>3</sup>) during the sampling period. Therefore,  $K^+$  was not included in the input of PMF and K was used instead. There have been studies in which K was used as a tracer for biomass burning source when  $K^+$  was not available (Song et al., 2006; Yu et al., 2013; Zheng et al., 2014; Gao et al., 2016; Z kov á et al., 2016). The correlation of K<sup>+</sup> concentration with the biomass burning source identified by PMF model are shown in Figure 7. It could be seen that K<sup>+</sup> exhibited a relatively good correlation with the contribution of biomass burning source from PMF with K as input data, with R<sup>2</sup> over 0.6.

In our PMF results, industrial source and biomass burning source were the two major sources of K in Beijing, China. This conclusion could be supported by the local source profiles in China shown in Figure 8 (Dai et al., 2015; Cao et al., 2015; Ma et al., 2015; Wang et al., 2009; Wen et al., 2009; Wu et al., 2016; Zhang et al., 2012; Zheng et al., 2013). As shown in Figure 8(c), the average fraction of K in industrial source profiles (including coal-fired power plant and boiler, steel and iron plant in Beijing, Shanghai and Jilin) was similar with that in biomass burning source profiles (including wheat, corn and rice), which is about 8%. Moreover, in some industrial source profiles the fraction of K exceeded that in biomass burning source profiles. The potential reasons of K in industrial source including that coal was used in some power plants and the use of potassium feldspar in glass and fertilizer industry (Ma et al., 2010). Therefore, this might be the reason that K is found in industrial source identified by the PMF analysis. As shown in Figure 8(a) and (b), the fraction of OC and EC in industrial profiles were much lower than those in coal combustion source and biomass burning source, which might be attributed to the sufficient pretreatment process and higher combustion efficiency in industries (Wang et al., 2009; Zheng et al., 2013). Therefore, lower OC and EC are seen in industry factor of our PMF results. In secondary source profile, EC is low because it is mostly emitted from primary sources including traffic source. OC is relatively higher than EC due to the formation of secondary organic

carbon.

For the third question, we agree with the reviewer that Cl<sup>-</sup> has not been used as a specific tracer for traffic source in previous studies. In our study, large fraction of Cl<sup>-</sup> was observed in the profiles of coal combustion and biomass burning source which corresponded well with previous studies (Frigge et al., 2016; Lobert et al., 1999). However, as shown in Figure 9, we also have found relatively high fraction of Cl<sup>-</sup> in traffic source profiles in some previous studies. Except for the predominant species OC and EC, the fraction of Cl<sup>-</sup> is relatively high compared to other species, which might be attributed to the emission of rubber accessory (neoprene) of vehicles and the use of chlorine in the catalytic reforming of gasoline (Chen et al., 2015; Chow et al., 2004; Cui et al., 2016; Watson et al., 2001; Schauer et al., 1999; Veksha et al., 2018). Similar with Cl<sup>-</sup> in traffic source, Ni in biomass burning source has not been regarded as a specific tracer. However, during the process of biomass gasfication, Ni is commonly used as a catalyst (Corella et al., 1999; Sutton et al., 2001).

In conclusion, our PMF results are based on the commonly used tracers and are supported by previous source profiles. It is not uncommon to find that the factor resolved by PMF could not be exactly the same as the source profile from emission source testing. In the future, with more samples and more tracers such as organic markers, it will help to achieve a quite distinct factor and very close to specific emission source profile.



Figure 7. Time series and correlation of K<sup>+</sup> with the biomass burning source identified by PMF



Figure 8. Fraction of (a) OC, (b) EC and (c) K in different source profiles (Dai et al., 2015; Cao et al., 2015; Ma et al., 2015; Wang et al., 2009; Wang et al., 2016; Wen et al., 2009; Wu et al., 2016; Zhang et al., 2012; Zheng et al., 2013)



Figure 9. Source profiles of traffic sources in different country (USA1: Watson et al., 2001; USA2: California, Schauer et al., 1999; USA3: Colorado, Watson et al., 2001; USA4: Texas, Chow et al., 2004; Mexico: Watson et al., 2001; China1: Nanjing, Chen et al., 2015; China2: Pearl River Delta, Feng et al., 2013; China3: Shandong-gasoline, Cui et al., 2016; China4: Shandong-diesel, Cui et al., 2016; China5: Shandong-truck, Cui et al., 2016)

## Reference

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**<u>Reviewer #1 Comment NO.6</u>**: What are the relationships between the tracers of identified sources and sources mass concentrations?

**<u>Response to reviewer comment NO.6</u>**: According to the reviewer's suggestions, we have added the relationships between the tracers of identified sources and sources mass concentrations in the supplementary materials as **Figure S8**.  $SO_4^{2-}$  and  $NO_3^{-}$  were typical of the secondary source profiles (Gao et al., 2016; Peng et al., 2016). Mn and Zn were used as indicators for industrial source (Hu et al., 2015; Li et al., 2017). Ca and Ba were mainly emitted from dust source (Amato et al., 2013; Shen et al., 2016). The correlation of EC and NOx was analyzed with traffic source and As and Se were typical tracers for coal combustion (Vejahati et al., 2010).

Figure 10(a1), (b1), (c1), (d1) and (e1) shows the time series of source concentration and source tracers of secondary source, coal combustion source, industrial source, traffic source and dust source. Figure 10(a2), (a3) shows the scatterplot of secondary source with  $SO_4^{2-}$  and  $NO_3^{-}$ . Figure 10(b2), (b3) shows the scatterplot of coal combustion source with As and Se. Figure 10(c2), (c3) shows the

scatterplot of industrial source with Mn and Zn. Figure 10(d2), (d3) shows the scatterplot of traffic source with EC and NOx. Figure 10(e2), (e3) shows the scatterplot of dust source with Ca and Ba. It could be seen that secondary source concentration showed high correlation with  $SO_4^{2-}$  and  $NO_3^-$  concentration, with both  $R^2$  higher than 0.8. Coal combustion source concentration correlated well with As and Se concentration, with the  $R^2$  of 0.86 and 0.98, respectively. Industrial source concentration correlated well with Mn and Zn, with  $R^2$  over 0.85. As mentioned before, the correlation between biomass burning source and K<sup>+</sup> was good with  $R^2$  over 0.6. For traffic source, we investigated its correlation with EC and NOx, and found relatively high correlation with  $R^2$  of 0.70 and 0.51, respectively. Dust source concentration correlated well with the concentration of Ca and Ba, with  $R^2$  of 0.96 and 0.72, respectively. In summary, the tracers of identified sources and sources mass concentrations show good correlation in general, which help to verify the PMF results. We appreciate this helpful suggestion by the reviewer.



Figure 10. Relationships between the tracers of identified sources and sources mass concentrations (secondary for secondary source; coal for coal combustion source; industry for industrial source; traffic for traffic source; dust for dust source). (a1)-(e1) shows the time series of source concentration with source tracers. (a2)-(e2) and (a3)-

(e3) shows the scatterplot of source concentration with source tracers.

#### Reference

- Amato, F., Schaap, M., van der Gon, H. A. D., Pandolfi, M., Alastuey, A., Keuken, M., and Querol, X.: Short-term variability of mineral dust, metals and carbon emission from road dust resuspension, Atmos. Environ., 74, 134-140, 2013.
- [2] Gao, J., Peng, X., Chen, G., Xu, J., Shi, G.L., Zhang, Y.C., and Feng, Y.C.: Insights into the chemical characterization and sources of PM<sub>2.5</sub> in Beijing at a 1-h time resolution, Sci. Total Environ., 542, 162-171, 2016.
- [3] Hu, Y., Lin, J., Zhang, S., Kong, L., Fu, H., and Chen, J.: Identification of the typical metal particles among haze, fog, and clear episodes in the Beijing atmosphere, Sci. Total Environ., 511, 369-380, 2015.
- [4] Li, M., Zhang, Q., Kurokawa, J., Woo, J.H., He, K., Lu, Z., Ohara, T., Song, Y., Streets, D. G., and Carmichael, G. R.: MIX: a mosaic Asian anthropogenic emission inventory under the international collaboration framework of the MICS-Asia and HTAP, Atmos. Chem. Phys., 17, 2017.
- Peng, X., Shi, G.L., Gao, J., Liu, J.Y., HuangFu, Y.Q., Ma, T., Wang, H.T., Zhang, Y.C., Wang, H., and Li, H.: Characteristics and sensitivity analysis of multiple-time-resolved source patterns of PM<sub>2</sub>.
   <sup>5</sup> with real time data using Multilinear Engine 2, Atmos. Environ., 139, 113-121, 2016.
- [6] Shen, Z., Sun, J., Cao, J., Zhang, L., Zhang, Q., Lei, Y., Gao, J., Huang, R.J., Liu, S., and Huang, Y.: Chemical profiles of urban fugitive dust PM<sub>2.5</sub> samples in Northern Chinese cities, Sci. Total Environ., 569, 619-626, 2016.
- [7] Vejahati, F., Xu, Z., and Gupta, R.: Trace elements in coal: Associations with coal and minerals and their behavior during coal utilization–A review, Fuel, 89, 904-911, 2010.

## **<u>Reviewer #1 Comment NO.7</u>**: I don't think the discussions in 3.3 and 3.4 are necessary if the authors can't reply the above comments.

**Response to reviewer comment NO.7:** We have tried our best to reply the above comments and the detailed reply to each comment is listed above. Therefore, we would like to keep discussions in 3.3 and 3.4.

**Reviewer #1 Comment NO.8**: I suggest the authors to add more information about the spatial mass concentrations of PM2.5, PM10, SO2 and NO2 in Figure 7. Moreover, sources inventories used in this study would be suggested to add in the supplementary materials. The results resolved by the footprint and NAQPMS models should be discussed based on above information.

**Response to reviewer comment NO.8:** Based on the reviewer's suggestion, the spatial mass concentrations of  $PM_{2.5}$ , wind speed and wind direction during EP1 in Figure 7 are added to the supplementary materials as **Figure S9** for better understanding of the evolution of the haze episode. The source inventory used in NAQPMS is the MIX (http://www.meicmodel.org/dataset-mix.html) anthropogenic emission inventory with

the original resolution of 0.25 °(about 25 km at middle latitudes) and the year of 2010. It could be applied and downloaded on the website. The results of NAQPMS model are based on the MIX inventory while the footprint model do not include an inventory as its input.

<u>**Reviewer #2 General Comment**</u>: While PMF was the key model to apportion PM2.5 sources, further details about the optimum solution of PMF need to be discussed systematically, such as; the examination of the optimum factor solution, factor analysis, and the uncertainties associated with the estimation of each factor.

**Response to reviewer general comment:** Thanks for the reviewer's suggestion. We added the detailed information as to how to find the optimum factor solution and the uncertainty estimation by bootstrapping (BS), displacement (DISP), and bootstrapping with displacement (BS-DISP) in the manuscript and the supplementary materials.

To determine the optimal number of source factors, a string of effective test, in which factors number was from four to nine, was carried out. The resulting Q parameters were shown in Figure 11 (**Figure S4** in the supplementary materials). Obviously, there was a lowest  $Q_{Robust}$  value (13087) at six factors in moving from four to nine factors. Although  $Q_{expected}$  has been decreasing in the process,  $Q/Q_{expected}$  shared similar variation with  $Q_{Robust}$  showing the lowest value at six factors (1.3).

Uncertainty of PMF model is usually estimated by bootstrapping (BS), displacement (DISP), and bootstrapping with displacement (BS-DISP). Here, characteristics of factors nearby six, where Q<sub>Robust</sub> was relative lower, were explored. With five factors, three factors were mapped 100% of BS, while industry source and traffic source were mapped 92% and 94%, respectively of runs. There were no swaps with DISP, and 100% of the BS-DISP runs were successfully. At six factors, results were more stable with all factors mapped in BS in 100% (Table 3 below, also **Table S2** in the supplementary materials), no swaps occurred with DISP and all BS-DISP runs were successfully. However, the solution became less stable in moving from six to seven factors. The new sea salt factor was only mapped in BS in 87% and coal combustion factor was mapped in BS in 89%, traffic source factor was mapped in 93%, other factors were mapped in 100% of runs. No swaps were found in DISP. Therefore, based on the above analysis, six factors were found to be the optimal solution in this study.

Table 3 Percentage of BS factors assigned to each base case factor with a correlationthreshold of 0.6.

Boot Factor	Secondary	Industrial	Dust	Traffic	Coal	Biomass
1	100	0	0	0	0	0
2	0	100	0	0	0	0
3	0	0	100	0	0	0
4	0	0	0	100	0	0
5	0	0	0	0	100	0
6	0	0	0	0	0	100



Figure 11. The variation of Q parameters from four factors to ten factors

**Reviewer #2 General Comment**: Also, many of your comparisons with the previous study need to include more details, such as size fraction of PM, type of receptor model used and weather organic tracers were used or not, time resolution, which month, year, etc.

**<u>Response to reviewer general comment:</u>** According to the reviewer's suggestion, the detailed information including size fractions of previous studies has been added in the supplementary materials as follows:

	Sampling period					
	and time	Size fraction	Receptor model	Tracers		
	resolution					
Cara et al. 2016	July to August,	2.5.um	PCA; PMF;	In organia tragora		
Gao et al., 2010	2014; 1 hour	2.5 μπ	ME2	morganic tracers		
Dong at al 2016	July to August,	2.5.um	ME2	Inorganic tracers		
Pelig et al., 2010	2014; 1 hour	2.3 µm	MEZ			

Table 4. Previous studies about source apportionment of Beijing

Zhang et al.,	2000 2010: daily	2.5 µm	DME	Inorganic tracers
2013	2009-2010, daily	2.5 µm	1 1011	morganic tracers

### Reference

- Gao, J., Peng, X., Chen, G., Xu, J., Shi, G.L., Zhang, Y.C., and Feng, Y.C.: Insights into the chemical characterization and sources of PM<sub>2.5</sub> in Beijing at a 1-h time resolution, Sci. Total Environ., 542, 162-171, 2016.
- [2] Peng, X., Shi, G.L., Gao, J., Liu, J.Y., HuangFu, Y.Q., Ma, T., Wang, H.T., Zhang, Y.C., Wang, H., and Li, H.: Characteristics and sensitivity analysis of multiple-time-resolved source patterns of PM<sub>2.5</sub> with real time data using Multilinear Engine 2, Atmos. Environ., 139, 113-121, 2016.
- [3] Zhang, R., Jing, J., Tao, J., Hsu, S.-C., Wang, G., Cao, J., Lee, C. S. L., Zhu, L., Chen, Z., and Zhao, Y.: Chemical characterization and source apportionment of PM<sub>2.5</sub> in Beijing: seasonal perspective, Atmos. Chem. Phys., 13, 7053-7074, 2013.

Reviewer #2 Comment NO.1: Page 1, line 25: Subscript PM2.5 to PM2.5.

**<u>Response to reviewer comment NO.1</u>**: Based on the reviewer's comment, the word has been revised to "PM<sub>2.5</sub>".

**<u>Reviewer #2 Comment NO.2</u>**: *Page 1, line 26: Define "receptor model" and replace the name with the new sentence in the same line* 

**<u>Response to reviewer comment NO.2</u>**: The sentence has been revised as follows (Page 1, Line 26):

This study developed a combined method to investigate source types of  $PM_{2.5}$  and its source regions during winter 2016 in Beijing, which include the receptor model (Positive Matrix Factorization, PMF), footprint, and an air quality model. The PMF model was performed with high-time resolution measurements of trace elements, water soluble ions, organic carbon, and elemental carbon using online instruments during the wintertime campaign of the Air Pollution and Human Health-Beijing (APHH-Beijing) program in 2016.

<u>**Reviewer #2 Comment NO.3**</u>: Why you have chosen to report 11% of the dust contribution only? I recommend adding the percent contribution for the major sources or each analyzed footprint, systematically.

**<u>Response to reviewer comment NO.3</u>**: Yes, we agree that it is better to add the percent contribution of each major source. The sentence has been revised as follows (see Page

2, line 37):

The combination of PMF with footprint results revealed that the secondary source was mainly associated with southern footprints (53%). The northern footprint was characterized by a high dust source contribution (11%) while industrial source increased with the eastern footprint (10%).

**<u>Reviewer #2 Comment NO.4</u>**: Page 2, line 48: Insert a citation after "…life and human health".

**<u>Response to reviewer comment NO.4</u>**: A citation has been inserted in this sentence (see Page 2, line 48):

Presently, haze in China has the characteristics of high frequency and long duration on a regional scale, and has influenced public life and human health (*Xie et al., 2016*).

## Reference

 Xie, Y., Dai, H., Dong, H., Hanaoka, T., and Masui, T.: Economic impacts from PM<sub>2.5</sub> pollutionrelated health effects in China: a provincial-level analysis, Environ. Sci. Technol., 50, 4836-4843, 2016.

**Reviewer #2 Comment NO.5**: Page 2, line 51, Coal combustion can also considered as industrial source. Please be more specific here.

**Response to reviewer comment NO.5:** Thanks for the helpful suggestion. The coal combustion mentioned in this sentence is the residential coal combustion, which is not included in the industrial source. To be more specific and clear, the sentence has been revised as follows (see Page 2, line 51):

Previous studies have found that  $PM_{2.5}$  can be emitted from various sources, including residential coal combustion, biomass burning, traffic-related sources, industrial sources and dust (Watson et al., 2001; Gao et al., 2016).

**<u>Reviewer #2 Comment NO.6</u>**: Page 2, line 51: It sounds like there are many sources related to traffic. I suggest replace "traffic sources" with "traffic-related sources", after visiting the two papers you have cited here.

**<u>Response to reviewer comment NO.6</u>**: The sentence has been revised as follows (see Page 2, line 51):

Previous studies have found that  $PM_{2.5}$  can be emitted from various sources, including residential coal combustion, biomass burning, traffic-related sources, industrial sources and dust (Watson et al., 2001; Gao et al., 2016).

**Reviewer #2 Comment NO.7**: Page 3, line 62: "The model can: : :". Which model you are referring to? Please specify.

**<u>Response to reviewer comment NO.7:</u>** Sorry for the ambiguity. The sentence has been revised as follows (see Page 3, line 63):

*The receptor model* can identify and quantify the contribution of multiple source types based on in-situ measurements and specific source tracers.

**<u>Reviewer #2 Comment NO.8</u>**: *Page 3, line 77: Insert citation after ": : :in previous studies"*.

**Response to reviewer comment NO.8:** Three previous papers have been cited after "... in previous studies" as follows (see Page 3, line 72):

By conducting receptor models based on high-time resolution online measurement, the source types and source contributions in Beijing have been analyzed in previous studies (Gao et al., 2016; Peng et al., 2016; Song et al., 2006).

## Reference

- Gao, J., Peng, X., Chen, G., Xu, J., Shi, G.L., Zhang, Y.C., and Feng, Y.C.: Insights into the chemical characterization and sources of PM<sub>2.5</sub> in Beijing at a 1-h time resolution, Sci. Total Environ., 542, 162-171, 2016.
- [2] Peng, X., Shi, G.L., Gao, J., Liu, J.Y., HuangFu, Y.Q., Ma, T., Wang, H.T., Zhang, Y.C., Wang, H., and Li, H.: Characteristics and sensitivity analysis of multiple-time-resolved source patterns of PM<sub>2.5</sub> with real time data using Multilinear Engine 2, Atmos. Environ., 139, 113-121, 2016.
- [3] Song, Y., Zhang, Y., Xie, S., Zeng, L., Zheng, M., Salmon, L. G., Shao, M., and Slanina, S.: Source apportionment of PM<sub>2.5</sub> in Beijing by Positive Matrix Factorization, Atmos. Environ., 40, 1526-1537, 2006.

Reviewer #2 Comment NO.9: Page 5, line 106: "The room". Which room you are

### referring to?

**<u>Response to reviewer comment NO.9</u>**: "The room" refers to the sampling site and it has been revised as follows (see Page 5, line 108):

The sampling site is located on the sixth floor of a teaching building within PKU.

**Reviewer #2 Comment NO.10**: Page 6, line 130: Here you report that XRF was used to quantify metals. I see that you need to add an excel sheet or a table to the supplement that shows: measured concentrations, uncertainties of the measurements, and the detection limit. Also, it should include PM2.5, EC, OC, SIA. These data are important for the science community to replicate the PMF result. Also, in many places later you report the averages of a certain species without the standard deviation and/or the range of that average, which can also be extracted from the suggested table.

**Response to reviewer comment NO.10:** We agree with the reviewer about providing detailed information. Table 4 has been added in the supplementary materials as **Table S1** which shows the average concentration, standard deviation, detection limit and BLD% (the percentage of data below the detection limit) of each species, including OC, EC, SIA and metals. The table was presented as follows:

period									
	Mean	Std.	Max	Min	Detection limit	BDL%			
OC/EC			μg/m	3		%			
OC	20.8	17.0	89.9	1.1	0.4	-			
EC	5.6	4.4	23.1	0.2	0.1	4.3			
SIA			μg/m	3		%			
SO4 <sup>2-</sup>	23.5	20.8	95.8	0.04	0.04	0.21			
NO <sub>3</sub> -	22.0	23.3	104.7	0.03	0.03	0.1			
$\mathrm{NH}_{4^{+}}$	14.0	14.7	66.6	0.04	0.05	1.4			
$Na^+$	0.39	0.32	1.89	0.02	0.04	8.5			
Cl-	4.89	4.19	27.6	0.05	0.05	0.1			
Metal		μg	/m <sup>3</sup>		ng/m <sup>3</sup>	%			
Κ	1.49	1.17	5.28	0.10	2.366	-			
Fe	0.769	0.541	2.22	0.015	0.759	-			
Ca	0.384	0.277	2.08	0.001	0.902	-			
Zn	0.286	0.261	1.85	0.005	0.231	-			

## Table 4 Statistical summary of identified species of PM<sub>2.5</sub> in the entire sampling

Pb	0.107	0.091	0.469	0.004	0.218	-
Mn	0.058	0.046	0.210	0.001	0.283	-
Ba	0.035	0.023	0.160	0.002	0.945	-
Cu	0.027	0.024	0.171	0.002	0.267	-
As	0.022	0.021	0.084	0.000	0.114	17
Cr	0.010	0.010	0.110	0.000	0.288	11
Se	0.008	0.008	0.046	0.000	0.141	5.2
Ni	0.002	0.002	0.044	0.000	0.226	3.4

\* BDL% refers to the percentage of data below the detection limit

\* The unit of the detection limit of each metal is  $ng/m^3$ 

\* '-' means that all data are above the detection limit

## Reviewer #2 Comment NO.11: Page 8, line 181: Add a comma after "5 km".

**Response to reviewer comment NO.11:** A comma has been added after "5 km" (see Page 8, line 199).

## **Reviewer #2 Comment NO.12**: *Page 8, line 181: Add a space after "x", and before "2.5".*

**Response to reviewer comment NO.12:** A space has been added after "x" and before "2.5" (see Page 9, line 202).

**Reviewer #2 Comment NO.13**: Page 10, line 229-232: you have calculated the concentration of mineral species (Al, Si, Fe) based on Ca concentration, and the composition of urban soil. Dose this typical urban soil was affected by regional and local pollution? During summer or winter? During hazy or non-hazy effect? And what is the estimated uncertainty in this calculation (estimation).

**Response to reviewer comment NO.13:** The composition of urban soil is investigated by An et al (2016). In this study, 2692 topsoil samples were collected in the urban area of Beijing during 2011. The composition of soil was an annual average result and most of the urban areas of Beijing including our sampling site (PKU) was covered. Based on large amount of samples, the soil composition in this study could be considered as

typical urban soil composition of Beijing. Thus we choose it to calculate mineral species in our study. An et al., (2016) identified that local soil in Beijing were influenced by both local and regional sources including industrial, traffic, agricultural and biomass burning source.

Due to the spatial and temporal variability in soil dust sources, it is very difficult to characterize an appropriate aerosol soil composition for a specific site and the uncertainty might be large. The concentrations of Al, Si, Fe and Mg were calculated by the concentration of Ca and the composition of urban soils of Beijing: Al= 1.7Ca, Si= 7.3Ca, Fe=0.7Ca, Mg= 0.3Ca (An et al., 2016). Therefore, the uncertainty in this calculation could be roughly estimated as follows:

$$\left(\frac{U_{Al}}{C_{Al}}\right)^2 = \left(\frac{U_{Ca}}{C_{Ca}}\right)^2 + \left(\frac{U_{Al/Ca}}{R_{Al/Ca}}\right)^2 \tag{1}$$

$$\left(\frac{\mathbf{U}_{Si}}{\mathbf{C}_{Si}}\right)^2 = \left(\frac{\mathbf{U}_{Ca}}{\mathbf{C}_{Ca}}\right)^2 + \left(\frac{\mathbf{U}_{Si/Ca}}{\mathbf{R}_{Si/Ca}}\right)^2 \tag{2}$$

$$\left(\frac{U_{Fe}}{C_{Fe}}\right)^2 = \left(\frac{U_{Ca}}{C_{Ca}}\right)^2 + \left(\frac{U_{Fe/Ca}}{R_{Fe/Ca}}\right)^2 \tag{3}$$

$$\left(\frac{U_{Mg}}{C_{Mg}}\right)^2 = \left(\frac{U_{Ca}}{C_{Ca}}\right)^2 + \left(\frac{U_{Mg/Ca}}{R_{Mg/Ca}}\right)^2 \tag{4}$$

$$(U_{\text{mineral}})^2 = (U_{\text{Ca}})^2 + (U_{\text{Al}})^2 + (U_{\text{Si}})^2 + (U_{\text{Fe}})^2 + (U_{\text{Mg}})^2$$
(5)

where U refers to uncertainty, C refers to concentration and R refers to ratio.  $U_{Ca}$  is the MDL of Ca measured by Xact (0.9 ng/m<sup>3</sup>) and  $C_{Ca}$  is the average concentration of Ca.  $U_{Al} / U_{Ca}$  and  $R_{Al} / R_{Ca}$  (same with Si, Fe and Mg) are all calculated from An et al., 2016. Based on the above equations,  $U_{mineral}$  is 2.46 µg/m<sup>3</sup> and the average mineral concentration during the sampling period is  $3.28\pm2.46 \mu g/m^3$ .

#### Reference

 An, Y. L., Huang, Y., Liu, Q. J., Sun, C., Deng, K. W., Li, D., and Huang, D.: The distribution of surface soil elements and the pollution assessment of heavy metal elements in Beijing. Geological Bulletin of China, 35(12):2111-2120, 2016.

## **<u>Reviewer #2 Comment NO.14</u>**: *Page 10, line 230-232: Belong to the method section. Please move them.*

**<u>Response to reviewer comment NO.14</u>**: The sentence has been moved to Page 6, line 150 as follows:

Chemical closure has been done between the measured and reconstructed  $PM_{2.5}$ . Organic matter (OM) was calculated as  $OM= 1.6 \times OC$  (Turpin and Lim, 2001). Mineral species was calculated as Mineral= 1.89 Al +2.14 Si + 1.4 Ca + 1.43 Fe + 1.66 Mg (Zhang et al., 2003). The concentrations of Al, Si, Fe and Mg were calculated by the concentration of Ca and the composition of urban soils of Beijing: Al= 1.7Ca, Si=7.3Ca, Fe=0.7Ca, Mg=0.3Ca (An et al., 2016). Since the concentration of Al and Si were not directly measured by Xact, the calculated mineral component might be underestimated. "Others" were calculated by subtracting OM, EC, Mineral and secondary inorganic aerosol (SIA, including  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $NH_4^+$ ) concentration from total  $PM_{2.5}$  concentration. The correlation of measured and reconstructed  $PM_{2.5}$  mass could be seen in Fig. S6 with  $R^2=0.892$ .

## **<u>Reviewer #2 Comment NO.15</u>**: *Page 10, line 234: You have stated that Al and Si might be underestimated. Why? And by how much? Please provide supporting details.*

**<u>Response to reviewer comment NO.15</u>**: As Al and Si are not be directly measured by Xact, the mineral species (including Al, Si, Ca, Fe, Mg) might be underestimated with only Ca and Fe measured. However, we have already calculated the concentrations of Al, Si, Fe and Mg based on the concentration of Ca and the composition of urban soils of Beijing to compensate for the underestimation. Therefore, the sentence "Since the concentration of Al and Si were not directly measured by Xact, the calculated mineral component might be underestimated" is no longer correct here and has been deleted in the revised manuscript.

**Reviewer #2 Comment NO.16**: Page 10, line 241: Here you compare the average OC/EC ratio with Yan et al., 2015. Can you be more specific about the time resolution, duration, months, and/or any special pollution events.

**<u>Response to reviewer comment NO.16</u>**: Based on the reviewer's suggestion, we add some detailed information about the study of Yan et al., 2015. The time resolution of this study is 1 day (23.5 hours). The sampling period in winter is from January 11<sup>th</sup> to 18<sup>th</sup>, 2013. There was a haze episode from January 11<sup>th</sup> to 14<sup>th</sup>, with the highest PM<sub>2.5</sub> concentration of 500  $\mu$ g/m<sup>3</sup>. The PM<sub>2.5</sub> concentration decreased during January 15<sup>th</sup> to 18<sup>th</sup>, ranging from 50 ~ 200  $\mu$ g/m<sup>3</sup>. Generally, the air pollution during the whole sampling period in winter was severe, with the average PM<sub>2.5</sub> concentration of 209 ± 145  $\mu$ g/m<sup>3</sup>. Therefore, the OC/EC ratio was much higher than that in our study.

#### Reference

 Yan, C., Zheng, M., Sullivan, A. P., Bosch, C., Desyaterik, Y., Andersson, A., Li, X., Guo, X., Zhou, T., and Gustafsson, Ö.: Chemical characteristics and light-absorbing property of water-soluble organic carbon in Beijing: Biomass burning contributions, Atmos. Environ., 121, 4-12, 2015.

**Reviewer #2 Comment NO.17**: Page 10, line 242-243: Is the concentrations of SO42- $(23 \pm 20)$  ug/m3 significantly higher than that for NO3- $(22 \pm 23)$ ug/m3? For me they look the same, taking the high variation of the concentrations, as they are reported. Please check these comparisons here and in other places along the manuscript. Also, discuss what is the potential reason for this observation based on previous PM2.5 studies conducted in Beijing during winter.

**<u>Response to reviewer comment NO.17</u>**: We agree with the reviewer that the concentration level of  $SO_4^{2-}$  and  $NO_3^{-}$  is not significantly different. The sentence has been revised as follows (see Page 11, line 255):

 $SO_4^{2-}$  is the predominant ion in SIA with an average concentration of  $23.5\pm20.8 \ \mu g \ m^{-3}$ , which was similar with that of  $NO_3^{-1}$  (22.0±23.3  $\mu g \ m^{-3}$ ).

In previous studies, SIA has also been found to be predominant composition of  $PM_{2.5}$  in winter in Beijing, which could be attributed to large emission of gas precursors (SO<sub>2</sub> and NOx), rapid aqueous-phase production of SO<sub>4</sub><sup>2-</sup> and regional transport (Sun et al., 2014; Sun et al., 2016; Yang et al., 2015).

#### Reference

- Sun, Y., Chen, C., Zhang, Y., Xu, W., Zhou, L., Cheng, X., Zheng, H., Ji, D., Li, J., and Tang, X.: Rapid formation and evolution of an extreme haze episode in Northern China during winter 2015, Sci. Rep., 6, 27151, 2016.
- [2] Sun, Y., Qi, J., Wang, Z., Fu, P., Jie, L., Yang, T., and Yan, Y.: Investigation of the sources and evolution processes of severe haze pollution in Beijing in January 2013, J. Geophys. Res-Atmos., 119, 4380-4398, 2014.
- [3] Yang, Y., Liu, X., Yu, Q., Wang, J., An, J., Zhang, Y., and Fang, Z.: Formation mechanism of continuous extreme haze episodes in the megacity Beijing, China, in January 2013, Atmos. Res., 155, 192-203, 2015.

<u>**Reviewer #2 Comment NO.18**</u>: Page 10, line 246: Insert a citation(s) after "...in winter in Beijing"

**Response to reviewer comment NO.18:** A citation has been added after "...in winter in Beijing" as follows (see Page 11, line 258):

In general, the large contribution of SIA, OM as well as the high OC/EC ratio indicated the importance of secondary formation in winter in Beijing (Sun et al., 2016b), while the high concentration of species like  $SO_4^{2-}$  and K suggested a significant contribution of combustion sources including coal combustion and biomass burning to  $PM_{2.5}$  (Achad et al., 2018; Chen et al., 2017; Li et al., 2017).

#### Reference

[2] Sun, Y., Chen, C., Zhang, Y., Xu, W., Zhou, L., Cheng, X., Zheng, H., Ji, D., Li, J., and Tang, X.: Rapid formation and evolution of an extreme haze episode in Northern China during winter 2015, Sci. Rep., 6, 27151, 2016b.

**<u>Reviewer #2 Comment NO.19</u>**: Page 10, line 246-247: What type of combustion source are usually attributed to K and SO4, please be more specific and include a citation.

**<u>Response to reviewer comment NO.19</u>**: According to previous studies, coal combustion and biomass burning source are usually attributed to K and  $SO_4^{2-}$ , and the citations has been added as follows (see Page 11, line 260):

In general, the large contribution of SIA, OM as well as the high OC/EC ratio indicated the importance of secondary formation in winter in Beijing (Sun et al., 2016), while the high concentration of species like  $SO_4^{2-}$  and K suggested a significant contribution of combustion sources including coal combustion and biomass burning to  $PM_{2.5}$  (Achad et al., 2018; Chen et al., 2017; Li et al., 2017).

#### Reference

- [1] Achad, M., Caumo, S., de Castro Vasconcellos, P., Bajano, H., Gómez, D., and Smichowski, P.: Chemical markers of biomass burning: Determination of levoglucosan, and potassium in sizeclassified atmospheric aerosols collected in Buenos Aires, Argentina by different analytical techniques, Microchem. J., 139, 181-187, 2018.
- [2] Chen, S., Guo, Z., Guo, Z., Guo, Q., Zhang, Y., Zhu, B., and Zhang, H.: Sulfur isotopic fractionation and its implication: Sulfate formation in PM<sub>2.5</sub> and coal combustion under different conditions, Atmos. Res., 194, 142-149, 2017.
- [3] Li, H., Zhang, Q., Zhang, Q., Chen, C., Wang, L., Wei, Z., Zhou, S., Parworth, C., Zheng, B., and Canonaco, F.: Wintertime aerosol chemistry and haze evolution in an extremely polluted city of the North China Plain: significant contribution from coal and biomass combustion, Atmos. Chem. Phys.,

17, 4751-4768, 2017.

<u>**Reviewer #2 Comment NO.20**</u>: Page 10, line 249-250: ":::the contribution of sulfate increased significantly (up to 24%), compared to what? Please be more specific here. I think you want to say that sulfate is the major component when PM2.5< 50ug/m3.

**Response to reviewer comment NO.20:** The sentence has been revised as follows (see Page 11, line 263):

Under low PM<sub>2.5</sub> concentration (< 50  $\mu$ g m<sup>-3</sup>), SO<sub>4</sub><sup>2-</sup> was one of the major components of PM<sub>2.5</sub> with the contribution of around 24%.

**<u>Reviewer #2 Comment NO.21</u>**: Page 10, line 249-250: You are using SO42- and sulfate back and forth. Choose one term and stick with it.

**<u>Response to reviewer comment NO.21</u>**: We agree that it is very important to be consistent. We choose to use  $SO_4^{2-}$  throughout the manuscript and have replaced the term "sulfate" with " $SO_4^{2-}$ ".

**<u>Reviewer #2 Comment NO.22</u>**: Page 11, line 254-255: Insert citations after ": : :tracers of biomass burning and coal combustion", and after "tracers of dust sources".

**Response to reviewer comment NO.22:** According to the reviewer's suggestion, several citations have been added in this sentence as follows (see Page 11, line 268-271):

The proportion of K, Pb, As and Se, which were tracers of biomass burning and coal combustion (Achad et al., 2018; Chen et al., 2017; Vejahati et al., 2010), increased with PM<sub>2.5</sub> concentration. While the contribution of Ca, Ba, Fe, tracers of dust source (Amato et al., 2013; Shen et al., 2016), decreased with PM<sub>2.5</sub> concentration.

#### Reference

- [1] Achad, M., Caumo, S., de Castro Vasconcellos, P., Bajano, H., Gómez, D., and Smichowski, P.: Chemical markers of biomass burning: Determination of levoglucosan, and potassium in sizeclassified atmospheric aerosols collected in Buenos Aires, Argentina by different analytical techniques, Microchem. J., 139, 181-187, 2018.
- [2] Amato, F., Schaap, M., van der Gon, H. A. D., Pandolfi, M., Alastuey, A., Keuken, M., and Querol,

X.: Short-term variability of mineral dust, metals and carbon emission from road dust resuspension, Atmos. Environ., 74, 134-140, 2013.

- [3] Chen, S., Guo, Z., Guo, Z., Guo, Q., Zhang, Y., Zhu, B., and Zhang, H.: Sulfur isotopic fractionation and its implication: Sulfate formation in PM<sub>2.5</sub> and coal combustion under different conditions, Atmos. Res., 194, 142-149, 2017.
- Shen, Z., Sun, J., Cao, J., Zhang, L., Zhang, Q., Lei, Y., Gao, J., Huang, R.J., Liu, S., and Huang,
   Y.: Chemical profiles of urban fugitive dust PM<sub>2.5</sub> samples in Northern Chinese cities, Sci. Total Environ., 569, 619-626, 2016.
- [5] Vejahati, F., Xu, Z., and Gupta, R.: Trace elements in coal: Associations with coal and minerals and their behavior during coal utilization-A review, Fuel, 89, 904-911, 2010.

#### Reviewer #2 Comment NO.23: Page 11, line 270: Add a "comma" after Ba.

Response to reviewer comment NO.23: We have added a "comma" after Ba.

**<u>Reviewer #2 Comment NO.24</u>**: Page 12, line 277-278: Add an estimation for the of cooking sources to PM2.5 in Beijing during winter, based on studies utilized organic tracers during winter. And discuss weather it is a minor or major source.

**Response to reviewer comment NO.24:** In China, cooking source is believed to be an important source to organic carbon of  $PM_{2.5}$  (Sun et al., 2013; Zheng et al., 2005). In the study of Sun et al. (2013) the contribution of cooking source to organic aerosol during winter in Beijing was investigated with an Aerodyne Aerosol Chemical Speciation Monitor (ACSM). It was found that cooking source was a major source to OA, contributing to 19% on average. The contribution of cooking source to ambient  $PM_{2.5}$  is also important. Chafe et al. (2014) investigated the contribution of household cooking with solid fuels to ambient  $PM_{2.5}$ , and found the average contribution of 10% in East Asia. Based on previous studies, cooking source might be an important source to  $PM_{2.5}$  in China, but not a predominant source. The estimation by Chafe et al. (2014) has been added in the manuscript as follows (see Page 12, line 292):

In previous studies, cooking source could be one of the important sources of  $PM_{2.5}$ , contributing to about 10% on average in East Asia (Chafe et al., 2014; Sun et al., 2013), but in this study cooking source was not identified by PMF due to the lack of organic tracers.

#### Reference

[1] Chafe, Z. A., Michael, B., Zbigniew, K., Rita, V. D., Sumi, M., Shilpa, R., Keywan, R., Frank, D., and Smith, K. R.: Household cooking with solid fuels contributes to ambient PM<sub>2.5</sub> air pollution

and the burden of disease, Environ. Health Perspect., 122, 1314-1320, 2014.

- [2] Sun, Y., Wang, Z., Pingqing, F. U., Jiang, Q. I., Yang, T., Jie, L. I., and Xinlei, G. E.: The impact of relative humidity on aerosol composition and evolution processes during wintertime in Beijing, China, Atmos. Environ., 77, 927-934, 2013.
- [3] Zheng, M., Salmon, L. G., Schauer, J. J., Zeng, L., Kiang, C., Zhang, Y., and Cass, G. R.: Seasonal trends in PM<sub>2.5</sub> source contributions in Beijing, China, Atmos. Environ., 39, 3967-3976, 2005.

**<u>Reviewer #2 Comment NO.25</u>**: Page 12, line 287: Add a SD for the average value of 75 ug/m3. Or you can report the average PM2.5 during the four haze episodes > 98ug/m3 to be consistent with the table.

<u>**Response to reviewer comment NO.25:**</u> We took the suggestion by the reviewer. In the revised manuscript, we reported that the average  $PM_{2.5}$  during the four haze episodes were all above 97 µg m<sup>-3</sup> to ensure the consistency with the table. The revised sentence is shown below:

The average  $PM_{2.5}$  concentrations in four haze episodes were all above 97 µg m<sup>-3</sup> (see Table S4).

<u>**Reviewer #2 Comment NO.26**</u>: Page 14, line 348-349: Here you almost restated the previous paragraph (line341-345). I think it is not necessary.

**<u>Response to reviewer comment NO.26</u>**: Yes, we agree that this sentence is not necessary and has deleted it from the revised manuscript.

**<u>Reviewer #2 Comment NO.27</u>**: *Page 15, line 271: We can control precursors of secondary sources, but not the secondary sources. Please modify accordingly.* 

**<u>Response to reviewer comment NO.27</u>**: We have modified the sentence as follows (Page 16, line 386):

In the meantime, more control of biomass burning and precursors of secondary source in surrounding areas are also needed to mitigate air pollution in Beijing.

Reviewer #2 Comment NO.28: Page 20, line 523: Fix (PM2. 5). Extra space.

Response to reviewer comment NO.28: We have changed PM2.5 to PM2.5 in the

revised manuscript.

## **<u>Reviewer #2 Comment NO.29</u>**: Page 20, line 532: Capitalize the first word of the title only.

**Response to reviewer comment NO.29:** We have revised the citation as follows:

### Reference

[1] Yang, Y., Liu, X., Qu, Y., An, J., Jiang, R., Zhang, Y., Sun, Y., Wu, Z., Zhang, F., and Xu, W.: Characteristics and formation mechanism of continuous hazes in China: a case study in autumn of 2014 in the North China Plain, Atmos. Chem. Phys, 15, 8165-8178, 2015.

<u>**Reviewer #2 Comment NO.30**</u>: Page 22, line 569: Sulfate and nitrate (check technical comment #21).

**Response to reviewer comment NO.30:** We have replaced the term "sulfate" with " $SO_4^{2-}$ " and the term "nitrate" with " $NO_3^{-}$ ".

**<u>Reviewer #2 Comment NO.31</u>**: Page 24, Figure two: I suggest naming them a and b. Also, please explain the what the white bars represent?.

**Response to reviewer comment NO.31:** The white bars represent the frequency of  $PM_{2.5}$  concentration. Figure 2 has been revised as follows:





<u>**Reviewer #2 Comment NO.32**</u>: Page 26, Figure 4: The right side of the Y-axis shows more than 100%. These are % of what?

**<u>Response to reviewer comment NO.32</u>**: The right side of the Y-axis shows the concentration of different sources with the unit of  $\mu g/m^3$ , while the left side of the Y-axis shows the measured concentration of PM<sub>2.5</sub> with the unit of  $\mu g/m^3$ . The unit of Y-axis has been revised in Figure 4, Figure 5, Figure 6 and Figure 7.

**<u>Reviewer #2 Comment NO.33</u>**: Page 27, Figure 5: Move the boxes of PMF source identifiers to the left side of the figure and locate them under source apportionment results only. Also, it would be better if you rename these figures as a, and b.

**Response to reviewer comment NO.33:** Figure 5 has been revised according to the reviewer's suggestion.

**<u>Reviewer #2 Comment NO.34</u>**: Page 28, Figure 6: same comment as for (technical comment #32).

**Response to reviewer comment NO.34:** Figure 6 has been revised as mentioned in Reviewer #2 Comment NO.32.

Reviewer #2 Comment NO.35: Page 29, Figure 7: Check technical comment # 33.

**<u>Response to reviewer comment NO.35</u>**: Figure 7 has been revised according to the reviewer's suggestion.

**<u>Reviewer #2 Comment NO.36</u>**: *Page 30, Figure 30: Add r and p value for the correlations. And discuss in the text.* 

**<u>Response to reviewer comment NO.36</u>**: The r and p value for the correlations have been added in **Figure 8** as below. The p for correlations between secondary source and local contribution is 0.022 and the p for correlations between coal combustion and local contribution is 0.036. The p for correlations between biomass burning and local contribution exhibited a possible trend toward significance (p=0.052). The r and p values have also been added in the manuscript as follows (see Page 15, Line 376):

The results showed that for  $PM_{2.5}$  in Beijing, secondary source contribution decreased when local emission was more significant (p<0.05, r=0.4) while coal combustion, as a primary combustion source, showed an increasing trend along with local contribution estimated by NAQPMS (p<0.05, r=0.3).

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**Figure 8.** Correlations of local contribution by NAQPMS with the relative contribution by PMF of (a) secondary source, (b) coal combustion source and (c) biomass burning source.

**Reviewer #3 Comment NO.1**: Line 52- please add two or three more references for the PM2.5 source apportionment studies. For example you might add the following papers: Kotchenruther, R. a., 2016. Source apportionment of PM2.5 at multiple Northwest U.S.

sites: Assessing regional winter wood smoke impacts from residential wood combustion. Atmos. Environ. 142, 210–219.

Taghvaee, S., Sowlat, M.H., Mousavi, A., Hassanvand, M.S., Masud, Y., Naddafi, K., Sioutas, C., 2018. Source apportionment of ambient PM 2.5 in two locations in central Tehran using the Positive Matrix Factorization (PMF) model. Sci. Total Environ. 629, Zong, Z., Wang, X., Tian, C., Chen, Y., Qu, L., Ji, L., Zhi, G., Li, J., Zhang, G., 2016. Source apportionment of PM2.5 at a regional background site in North China using PMF linked with radiocarbon analysis: Insight into the contribution of biomass burning.Atmos. Chem. Phys. 16, 11249–11265.

**<u>Response to reviewer comment NO.1</u>**: Based on the reviewer's comment, the citations have been added in the sentence as follows (Page 1, line 52-53):

Previous studies have found that  $PM_{2.5}$  can be emitted from various sources, including residential coal combustion, biomass burning, traffic-related sources, industrial

sources and dust (Gao et al., 2016; Kotchenruther et al., 2016; Taghvaee et al., 2018; Watson et al., 2001; Zong et al., 2016).

## Reference

- Kotchenruther, R. A.: Source apportionment of PM<sub>2.5</sub> at multiple Northwest U.S. sites: Assessing regional winter wood smoke impacts from residential wood combustion, Atmos. Environ., 142, 210-219, 2016.
- [2] Taghvaee, S., Sowlat, M. H., Mousavi, A., Hassanvand, M. S., Yunesian, M., Naddafi, K., and Sioutas, C.: Source apportionment of ambient PM<sub>2.5</sub> in two locations in central Tehran using the Positive Matrix Factorization (PMF) model, Sci. Total Environ., 628-629, 672, 2018.
- [3] Zong, Z., Wang, X., Tian, C., Chen, Y., Qu, L., Ji, L., Zhi, G., Li, J., and Zhang, G.: Source apportionment of PM<sub>2.5</sub> at a regional background site in North China using PMF linked with radiocarbon analysis: Insight into the contribution of biomass burning. Atmos. Chem. Phys. 16, 11249–11265, 2016.

**Reviewer #3 Comment NO.2**: Line 106- I suggest you to add references for your claim that "the sampling site is representative of the Beijing urban area" (if applicable).

**<u>Response to reviewer comment NO.2</u>**: According to the reviewer's suggestion, we have added several references as follows (Page 5, line 108):

Situated in a mixed district of teaching, residential, and commercial areas, the sampling site is representative of the Beijing urban area (*Liu et al., 2018; Yan et al., 2015*).

## Reference

- Liu, Y., Yan, C., and Zheng, M.: Source apportionment of black carbon during winter in Beijing, Sci. Total Environ., 618, 531-541, 2018.
- Yan, C., Zheng, M., Sullivan, A. P., Bosch, C., Desyaterik, Y., Andersson, A., Li, X., Guo, X., Zhou, T., and Gustafsson, Ö.: Chemical characteristics and light-absorbing property of water-soluble organic carbon in Beijing: Biomass burning contributions, Atmos. Environ., 121, 4-12, 2015.

<u>Reviewer #3 Comment NO.3</u>: Line 111- You need to mention more details regarding the usage of Semi-continuous OC/EC Carbon Aerosol Analyzer (Sunset Laboratory Inc.) (e.g., thermal protocols used). Please also add references for the instrument.

<u>**Response to reviewer comment NO.3:**</u> More details about the Sunset OC/EC analyzer have been added as follows (Page 5, line 113):

The Sunset OCEC analyzer uses a modified NIOSH 5040 thermal-optical protocol as its default protocol, which produces a relatively reliable determination of OC, EC, and the OCEC split (Bauer et al., 2009). More detailed information could be found in Bauer et al., 2009.

#### Reference

 Bauer, J. J., Xiao-Ying, Y., Robert, C., Nels, L., and Carl, B.: Characterization of the Sunset Semicontinuous Carbon Aerosol Analyzer, Air Repair, 59, 826-833, 2009.

**Reviewer #3 Comment NO.4**: Line 142- You definitely need to present the average concentration of PM2.5 chemical components in a table for different episodes of your study. This table should also include the min, max, signal/ noise (S/N) ratio for your data as the important parameters in PMF analysis.

**<u>Response to reviewer comment NO.4</u>**: Detailed parameters of PM<sub>2.5</sub> chemical components for different episodes have been summarized in Table 4 and Table 5 (**Table S1 and Table S4** in the supplementary materials) as follows:

period								
	Mean	Std.	Max	Min	<b>Detection limit</b>	BDL%		
OC/EC			μg/m	3		%		
OC	20.8	17.0	89.9	1.1	0.4	-		
EC	5.6	4.4	23.1	0.2	0.1	4.3		
SIA			μg/m	3		%		
<b>SO</b> <sub>4</sub> <sup>2-</sup>	23.5	20.8	95.8	0.04	0.04	0.21		
NO <sub>3</sub> -	22.0	23.3	104.7	0.03	0.03	0.1		
$\mathrm{NH_{4}^{+}}$	14.0	14.7	66.6	0.04	0.05	1.4		
Na <sup>+</sup>	0.39	0.32	1.89	0.02	0.04	8.5		
Cl-	4.89	4.19	27.6	0.05	0.05	0.1		
Metal		μg	/m <sup>3</sup>		ng/m <sup>3</sup>	%		
K	1.49	1.17	5.28	0.10	2.366	-		
Fe	0.769	0.541	2.22	0.015	0.759	-		
Ca	0.384	0.277	2.08	0.001	0.902	-		
Zn	0.286	0.261	1.85	0.005	0.231	-		
Pb	0.107	0.091	0.469	0.004	0.218	-		
Mn	0.058	0.046	0.210	0.001	0.283	-		
Ba	0.035	0.023	0.160	0.002	0.945	-		
			<b>a</b>					

 Table 4 Statistical summary of identified species of PM2.5 in the entire sampling

 period

Cu	0.027	0.024	0.171	0.002	0.267	-
As	0.022	0.021	0.084	0.000	0.114	17
Cr	0.010	0.010	0.110	0.000	0.288	11
Se	0.008	0.008	0.046	0.000	0.141	5.2
Ni	0.002	0.002	0.044	0.000	0.226	3.4

\* BDL% refers to the percentage of data below the detection limit

\* The unit of the detection limit of each metal is  $ng/m^3$ 

\* '-' means that all data are above the detection limit

					Unit: µg m <sup>-3</sup>
Average	EP1	EP2	EP3	EP4	NH1
Conc.	n=102	n=95	n=117	n=131	n=78
PM <sub>2.5</sub>	97.7±70.7	143.8±119.1	$115.3 \pm 108.6$	241.8±115.5	18.8±20.7
OC	$19.1 \pm 10.7$	$24.7 \pm 18.7$	23.1±21.1	$40.3 \pm 14.5$	3.33±2.85
EC	4.1±2.7	7.1±5.1	5.6±4.3	11.0±3.7	0.9±0.6
$SO_4^{2-}$	18.6±10.9	25.1±20.4	$23.4 \pm 19.7$	53.3±19.2	4.43±3.89
NO <sub>3</sub> -	19.9±14.6	18.9±16.0	23.3±23.3	56.8±24.8	2.81±3.69
$NH_{4^{+}}$	13.3±8.36	12.6±11.6	13.3±13.2	36.4±16.7	2.42±3.80
$Na^+$	0.37±0.23	0.35±0.28	0.49±0.41	0.69±0.23	0.10±0.14
Cl	5.07±3.05	5.17±3.71	5.66±5.87	8.15±3.41	$0.97 \pm 1.10$
Κ	$2.55 \pm 1.33$	1.21±0.90	$1.23 \pm 1.08$	2.53±0.78	$0.410 \pm 0.410$
Fe	1.26±0.58	0.724±0.568	$0.641 \pm 0.480$	1.06±0.25	0.144±0.133
Ca	0.530±0.250	0.479±0.447	0.356±0.249	0.360±0.148	$0.054 \pm 0.033$
Zn	0.442±0.242	0.242±0.197	0.252±0.289	$0.409 \pm 0.170$	$0.060 \pm 0.076$
Pb	0.182±0.105	$0.087 \pm 0.071$	$0.090 \pm 0.084$	$0.185 \pm 0.063$	$0.024 \pm 0.028$
Mn	0.093±0.050	0.049±0.038	$0.049 \pm 0.045$	0.082±0.021	$0.011 \pm 0.012$
Ba	0.047±0.016	$0.038 \pm 0.032$	0.032±0.022	$0.048 \pm 0.012$	$0.006 \pm 0.005$
Cu	$0.028\pm0.015$	0.023±0.021	$0.028 \pm 0.026$	$0.042 \pm 0.017$	$0.007 \pm 0.009$
As	0.031±0.019	0.019±0.019	0.021±0.023	0.040±0.013	$0.003 \pm 0.006$
Cr	0.019±0.022	$0.007 \pm 0.009$	$0.008 \pm 0.011$	$0.014 \pm 0.010$	$0.001 \pm 0.001$
Se	$0.012 \pm 0.007$	$0.006 \pm 0.005$	$0.007 \pm 0.007$	$0.018 \pm 0.007$	$0.001 \pm 0.001$
Ni	$0.003 \pm 0.002$	0.002±0.001	$0.002 \pm 0.002$	$0.004 \pm 0.004$	$0.0006 \pm 0.0004$

# Table 5 Average concentration of PM2.5 and identified species in different haze and non-haze periods

**Reviewer #3 Comment NO.5**: Line 149- please add the (Norris et al., 2014; Paatero and Tapper, 1994; Paatero et al., 2014; Paatero, 1997) as the main references for PMF

model:

Paatero, P., 1997. Least Squares Formulation of Robust Non-negative Factor Analysis.pp. 23–35.

*Paatero, P., Tapper, U., 1994. Positive matrix factorization: a non-negative factor model with optimal utilization of error estimates of data values. Environmetrics 5, 111–126.* 

Paatero, P., Eberly, S., Brown, S.G., Norris, G.a., 2014. Methods for estimating uncertainty in factor analytic solutions. Atmos.Meas. Tech. 7:781–797. https://doi.org/10.5194/amt-7-781-2014.

Norris, G., Duvall, R., Brown, S., Bai, S., 2014. EPA Positive Matrix Factorization (PMF) 5.0 Fundamentals and User Guide.

**<u>Response to reviewer comment NO.5</u>**: The above references have been added to the introduction of PMF model as follows (Page 7, line 165):

Factor contributions and profiles were derived by minimizing the objective function Q in the PMF model, which was determined as follows (Norris et al., 2014; Paatero and Tapper, 1994; Paatero et al., 2014; Paatero, 1997):

#### Reference

- Norris, G., Duvall, R., Brown, S., and Bai, S.: EPA Positive Matrix Factorization (PMF) 5.0 Fundamentals and User Guide, 2014.
- [2] Paatero, P.: Least squares formulation of robust non-negative factor analysis, Chemometr. Intell. Lab., 37, 23-35, 1997.
- [3] Paatero, P., and Tapper, U.: Positive matrix factorization: A non-negative factor model with optimal utilization of error estimates of data values, Environmetrics, 5, 111-126, 2010.
- [4] Paatero, P., Eberly, S., Brown, S. G., and Norris, G. A.: Methods for estimating uncertainty in factor analytic solutions, Atmos. Meas. Tech., 7,3(2014-03-27), 6, 7593-7631, 2014.

<u>**Reviewer #3 Comment NO.6**</u>: Line 163- Please provide the *Q* robust values for different PMF solutions in an SI figure. This would be really helpful in showing why you picked the 6 factor solution as the optimal PMF resolved solution.

**<u>Response to reviewer comment NO.6</u>**: Thanks for the reviewer's suggestion. To determine the optimal number of source factors, a string of effective test, in which factors number was from four to nine, was carried out. The resulting Q parameters were shown in Figure 12 (**Figure S4** in the supplementary materials). Obviously, there was a lowest  $Q_{Robust}$  value (13087) at six factors in moving from four to nine factors. Although  $Q_{expected}$  has been decreasing in the process,  $Q/Q_{expected}$  shared similar

variation with Q<sub>Robust</sub> showing the lowest value at six factors (1.3).



Figure 12. The variation of Q parameters from four factors to ten factors

<u>**Reviewer #3 Comment NO.7**</u>: Line 166- In addition to briefly touching the results of your uncertainty analysis, you need to mention the uncertainty analysis results in detail (more discussions can be found in PMF source apportionment papers)

**Response to reviewer comment NO.7:** Uncertainty of PMF model is usually estimated by bootstrapping (BS), displacement (DISP), and bootstrapping with displacement (BS-DISP). Here, characteristics of factors nearby six, where Q<sub>Robust</sub> was relative lower, were explored. With five factors, three factors were mapped 100% of BS, while industry source and traffic source were mapped 92% and 94%, respectively of runs. There were no swaps with DISP, and 100% of the BS-DISP runs were successfully. At six factors, results were more stable with all factors mapped in BS in 100% (Table 6 below, also Table S2 in the supplementary materials), no swaps occurred with DISP and all BS-DISP runs were successfully. However, the solution became less stable in moving from six to seven factors. The new sea salt factor was only mapped in BS in 87% and coal combustion factor was mapped in 100% of runs. No swaps were found in DISP. Therefore, based on the above analysis, six factors were found to be the optimal solution in this study.

Table 6 Percentage of BS factors assigned to each base case factor with acorrelation threshold of 0.6.

Boot Factor	Secondary	Industrial	Dust	Traffic	Coal	Biomass
1	100	0	0	0	0	0

2	0	100	0	0	0	0
3	0	0	100	0	0	0
4	0	0	0	100	0	0
5	0	0	0	0	100	0
6	0	0	0	0	0	100

**Reviewer #3 Comment NO.8**: —Why the simulation period for footprint model, and NAQPMS model are not the same? For example, the footprint simulation was performed from 1-31 December while the NAQPMS model analysis was performed from 10th of November to 15th of December.

**Response to reviewer comment NO.8:** The simulation period for footprint model and NAQPMS model are not the same because each analysis was performed by different research group. Based on the input data availability, the footprint simulation was performed from 1-31 December while the NAQPMS model analysis was carried out from 10th of November to 15th of December. Therefore, in this study, we use the data from December 1<sup>st</sup> to 15<sup>th</sup> for the analysis when both NAQPMS and the footprint results are used.

**<u>Reviewer #3 Comment NO.9</u>**: *Line 247- Please add a couple of references for the following sentence:* 

In general, the large contribution of SIA, OM as well as the high OC/EC ratio indicated the importance of secondary formation in winter in Beijing, while the high concentration of species like SO42-and K suggested a significant contribution of combustion sources to PM2.5.

**Response to reviewer comment NO.9:** Several references have been added as follows (Page 11, line 260):

In general, the large contribution of SIA, OM as well as the high OC/EC ratio indicated the importance of secondary formation in winter in Beijing (Sun et al., 2016b), while the high concentration of species like  $SO_4^{2^-}$  and K suggested a significant contribution of combustion sources including coal combustion and biomass burning to  $PM_{2.5}$  (Achad et al., 2018; Chen et al., 2017; Li et al., 2017b).

#### Reference

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Chemical markers of biomass burning: Determination of levoglucosan, and potassium in sizeclassified atmospheric aerosols collected in Buenos Aires, Argentina by different analytical techniques, Microchem. J., 139, 181-187, 2018.

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**Reviewer #3 Comment NO.10**: Line 255- As a general comment, you need to add references while mentioning different chemical components as tracers of a specific source. For example, references are required for the fact that K is a tracer of biomass burning..

**Response to reviewer comment NO.10:** Several references have been added as follows (Page 11, line 269):

The proportion of K, Pb, As and Se, which were tracers of biomass burning and coal combustion (Achad et al., 2018; Chen et al., 2017; Vejahati et al., 2010), increased with PM<sub>2.5</sub> concentration. While the contribution of Ca, Ba, Fe, tracers of dust source (Amato et al., 2013; Shen et al., 2016), decreased with PM<sub>2.5</sub> concentration.

#### Reference

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[5] Vejahati, F., Xu, Z., and Gupta, R.: Trace elements in coal: Associations with coal and minerals and their behavior during coal utilization-A review, Fuel, 89, 904-911, 2010.

**Reviewer #3 Comment NO.11**: Line 260-275: Unfortunately, the source apportionment profiles are not distinguished well. For example, K as a tracer of biomass burning has higher percentage of contribution in Industrial sources rather than the biomass burning. In addition, we have significant loadings of Na+ and Ni (which are not tracers of biomass burning) in biomass burning profile. How do you justify your source profiles?

**<u>Response to reviewer comment NO.11</u>**: The detailed explanation of high fraction of K in industrial source and Ni in biomass burning source could be found in <u>Response to reviewer comment NO.5 (Page 9-13 in this response file)</u>. Also, in <u>Response to reviewer comment NO.5</u> and <u>Response to reviewer comment NO.6 (Page 13-16 in this response file)</u>, we identified factors and justify our results by comparing the source profiles from PMF results in this study with those of specific emission sources reported in previous studies, and by good correlations between the tracers of identified sources and sources mass concentrations.

## **Reviewer #3 Comment NO.12**: Line 335- How do you compensate the lack of data for regional and local contribution from the NAQPMS model for the EP4?

**Response to reviewer comment NO.12:** For better understanding of the evolution of EP4, the potential source contribution function (PSCF) model could be conducted to justify the result of the footprint model and compensate the lack of NAQPMS model results. The PSCF model was established by Malm et al. (1986). Total potential source contribution function (TPSCF) model was then developed based on this method by integrating air trajectories from different endpoint heights (Cheng et al., 1993). With combination of pollutant concentration (PM<sub>2.5</sub>) and air mass transport information, TPSCF model was used for analyzing the dominant transport pathways to a certain receptor site (Liu et al., 2017). More detailed information about TPSCF model could be found in Liu et al. (2017). The TPSCF result during EP4 is shown in Figure 13. With higher TPSCF value (in orange, pink and red), the potential contribution to PM<sub>2.5</sub> at the sampling site (Beijing) increased. From Figure 13, it could be seen that the high TPSCF value concentrated in the southwestern area to Beijing (mostly in Hebei province), indicating that regional transport contributed significantly to PM<sub>2.5</sub> in Beijing during EP4.



Figure 13. TPSCF results during EP4

### Reference

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- [2] Liu, Y., Yan, C., and Zheng, M.: Source apportionment of black carbon during winter in Beijing, Sci. Total Environ., 618, 531-541, 2017.
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**Reviewer #3 Comment NO.13**: Line 425- Authors should include the limitations of their research. Please add the limitations as a separate session.

**Response to reviewer comment NO.13:** We agree that this comment is very important. A new session has been added to the end of the manuscript (Page 17-18, line 417-431) as follows:

## 3.5 Future prospect

In this study, the high-time resolution online measurement was conducted by Xact, IGAC and the Sunset OCEC analyzer, which could measure inorganic species including water-soluble ions, elemental components, OC and EC. As a result, most of the tracers selected for PMF source apportionment were inorganic species. In previous studies based on online measurement, organic tracers are also not commonly used due to current technical difficulty in carrying out online and quantitative measurements of organic species with high-time resolution (Gao et al., 2016; Li et al., 2017e; Peng et al., 2016). However, some organic tracers are believed to be more specific for certain sources, such as levoglucosan for biomass burning, hopane and sterane for traffic source, and cholesterol for cooking source (Fraser et al., 2000; Yin et al., 2010; Zhao et al., 2015). Therefore, future online measurement of organic species could be conducted, which will be very helpful in identifying sources. Besides, vertical measurement of PM<sub>2.5</sub> is important for better understanding of sources and regional transport of PM<sub>2.5</sub> in Beijing. Li et al. (2017) found that the height of regional transport ranged from 200 to 700 m above ground level using the NAQPMS model. In the future, the integration of ambient measurement with the air quality model should be considered in a vertical level as well.

#### Reference

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