# Simulation of the radiative effect of haze on urban hydrological cycle using reanalysis data in Beijing

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Abstract. Although, increased aerosol concentration modifies local air temperatures and boundary layer structure in urban areas, little is known about its effects on the urban hydrological cycle. Changes in the hydrological cycle modify surface runoff and flooding. Furthermore, as runoff commonly transports pollutants to soil and water, any changes impact urban soil and aquatic environments. To explore the radiative effect of haze on changes in the urban surface water balance in Beijing, different haze levels are modelled using the Surface Urban Energy and Water Balance Scheme (SUEWS), forced by reanalysis data. The pollution levels are classified using aerosol optical depth observations. The secondary aims are to examine the usability of global reanalysis dataset in highly polluted environment and the SUEWS model performance.

We show that the reanalysis data do not include the attenuating effect of haze on incoming solar radiation and develop a correction method. Using these corrected data, SUEWS simulates measured eddy covariance heat fluxes well. Both surface runoff and drainage increase with severe haze levels particularly with low precipitation rates: runoff from 0.06 to 0.18 mm day<sup>-1</sup> and drainage from 0.43 to 0.62 mm day<sup>-1</sup> during fairly clean to extremely polluted conditions, respectively. Considering all precipitation events, runoff rates are higher during extremely polluted conditions than cleaner conditions, but as the cleanest conditions have high precipitation rates, they induce the largest runoff. Thus, the haze radiative effect is unlikely to modify flash flooding likelihood. However, flushing pollutants from surfaces may increase pollutant loads in urban water bodies.

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#### 1 Introduction

In recent decades rapid economic development and acceleration of urbanization and industrialization has led to many environmental problems in China, such as increased atmospheric aerosol concentrations, water pollution, and soil contamination (e.g., Kulmala, 2015; Liao et al., 2015; Shao et al., 2006; Sun et al., 2014; Xia et al., 2011). As a consequence of urbanization and

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industrialization, northeast China is one of the most populated and polluted areas in the world (HEI International Scientific Oversight Committee, 2010). With continuing urbanization, serious water shortages and deterioration of aquatic environment are becoming essential concerns for municipal hydrological authorities (Li et al., 2015; Sun et al., 2014). As urbanization increases the extent of impervious surfaces, enhancing the likelihood of surface floods from surface runoff (e.g., Rodriguez et al., 2003), understanding the local urban hydrological cycle, potential increase in surface flooding and pollutant loads to urban water bodies are important.

Increased aerosol concentration modifies local urban climate by decreasing solar radiation received at the surface and thus decreasing near surface air temperatures (Ding et al., 2013; Wang et al., 2014), turbulent heat fluxes and boundary layer heights but increases incoming longwave radiation emissions from the polluted atmosphere (Miao et al., 2009; Petäjä et al., 2016; Tang et al., 2016). Increased absorption of radiation by the polluted atmosphere changes the vertical temperature profile leading to more stable conditions (Petäjä et al., 2016). With reduced turbulence and mixing, the boundary layer height is lower (Petäjä et al., 2016) which increases the near surface pollutant concentrations. With less energy available at the surface, because of the attenuated incoming solar radiation, evaporation may be reduced modifying other water balance terms. In addition, haze can increase the condensation nuclei and therefore precipitation. The higher surface runoff rates due to the modified water balance may increase pollutant loads in urban water bodies, by flushing pollutants from contaminated surfaces. However, the linkage between increased aerosol concentrations and urban hydrological cycle has not yet been studied at the local scale despite its potential contribution to deterioration of the urban aquatic environment. This may be because of lack of high resolution meteorological and/or hydrological observations for detailed analyses and modelling from regions with heavy air pollution episodes. Global reanalysis products could provide the essential variables to enable modelling where needed observations are unavailable or have too coarse temporal resolution (Kokkonen et al., 2018b). A number of reanalysis products are available but to our knowledge none have been properly evaluated in highly polluted urban environments. Poor air quality is a result of several factors including pollutant emissions, atmospheric transport, atmospheric chemistry and meteorological conditions. Therefore the accuracy of meteorological variables in reanalysis products is essential to be able to study correctly the effects of pollutants to the local hydrological cycle.

The aims of this study are (1) to evaluate the 2006 to 2009 WATCH Forcing Data ERA-Interim (WFDEI, Weedon et al., 2014) reanalysis data using observations in highly polluted Beijing, China (Sect. 3.1), (2) to evaluate the urban land surface model Surface Urban Energy and Water Balance Scheme (SUEWS, Järvi et al., 2011; Ward et al., 2017) using eddy covariance flux measurements of latent and sensible heat for 2006–2009 (Sect. 3.2), and (3) to simulate how increased aerosol concentrations modify the local urban hydrological cycle for the period 2001–2013 using SUEWS (Sect. 3.3). Aerosol optical depth observations are used to classify the pollution levels for the assessment of the impact of radiative effect of haze on the local urban water balance in Beijing for 2001–2013. The broader impacts of changes in water balance on local aquatic environment are discussed in Sect. 4.

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In this study the changes in the hydrological cycle are assumed to be caused mainly by the changes in the surface energy balance due to the attenuated incoming solar radiation and the changes in the precipitation rates provided by the reanalysis

**Table 1.** Overall model parameter values used at model runs in Beijing. See Table A1 for notation and Järvi et al. (2011, 2014); Ward et al. (2016) for data sources.

$\alpha_s^{\min}$	0.18	$a_{1,\{\mathrm{wd,we}\}}$	$0.0099~{ m W}~{ m m}^{-2}~{ m K}^{-1}~({ m p}^{-1}~{ m ha}^{-1})^{-1}$	G3	0.13	$S_2$	0 mm
$lpha_s^{ m max}$	0.85	$a_{2,\{\mathrm{wd,we}\}}$	$0.0102~{ m W}~{ m m}^{-2}~{ m K}^{-1}~({ m p}^{-1}~{ m ha}^{-1})^{-1}$	G4	0.7	$S_{ m pipe}$	100 mm
$\epsilon_s$	0.99	$b_{0,a}$	-19.19 mm	G5	30 °C	SDD	-450
$ ho_s^{\min}$	$100  {\rm kg}  {\rm m}^{-3}$	$b_{1,a}$	$2.22~\mathrm{mm}~\mathrm{K}^{-1}$	G6	$0.05~{\rm mm}^{-1}$	$T_{air}^{ m initial}$	-2.7 °C
$ ho_s^{ m max}$	$400~{\rm kg~m^{-3}}$	$b_{2,a}$	$0.78~\mathrm{mm}~\mathrm{d}^{-1}$	GDD	300	$T_{\mathrm{BaseGDD}}$	5°C
$ au_a$	0.006	$b_{0,m}$	-5.76 mm	$I_w$	0 mm	$T_{\rm BaseSDD}$	11 °C
$ au_f$	0.0367	$b_{1,m}$	$0.67 \ {\rm mm} \ {\rm K}^{-1}$	$K\downarrow_m$	$1200~{ m W}~{ m m}^{-2}$	$T_{\mathrm{BaseQF}}$	18.2 °C
$a_1$	0.25	$b_{2,m}$	$0.24~\mathrm{mm}~\mathrm{d}^{-1}$	$K_s$	$0.0005~{\rm mm~s^{-1}}$	$T_{\mathrm{lim}}$	2.2 °C
$a_2$	0.6	$C_{\min}^R$	0.05 mm	$r_s^{\max}$	9999 s ${ m m}^{-1}$	$T_H$	55 °C
$a_3$	-30	$C_{\max}^R$	0.2 mm	$\mathrm{res}_{\mathrm{cap}}$	10 mm	$T_L$	-10 °C
$a_r$	$0.0016~{\rm mm}~{\rm W}^{-1}~{\rm h}^{-1}$	DaysSinceRain	28	${\rm res}_{\rm drain}$	$0.25~{\rm mm}~{\rm h}^{-1}$	$T_{ m step}$	300 s
$a_t$	$0.07~{\rm mm}~^{\circ}{\rm C}^{-1}~{\rm h}^{-1}$	G1	3.5	$R_C$	1.0 mm		
$a_{0,\{\mathrm{wd,we}\}}$	$0.308~{ m W}~{ m m}^{-2}({ m p}^{-1}~{ m ha}^{-1})^{-1}$	G2	$200 \ {\rm W} \ {\rm m}^{-2}$	$S_1$	5.56		

data, which further modifies the water balance. The effect of for example vapour pressure deficit and changes in soil water storage (i.e. availability of water) are not discussed.

# 2 Hydrological modelling

The hydrological modelling is conducted using the Surface Urban Energy and Water Balance Scheme (SUEWS, Järvi et al., 2011) version V2017b (Ward et al., 2017, 2018). SUEWS is an urban land surface model that simulates the surface energy and water balances at the local (neighbourhood) scale. In SUEWS, the urban surface is separated into seven hydrologically connected surface types (buildings, paved surfaces, grass, evergreen trees/shrub, deciduous trees/shrubs and water) with a single soil layer below (excluding the water surface). For each surface type, evaporation is calculated using the Penman-Monteith equation (Monteith, 1965; Penman, 1948) modified for urban environments (Grimmond and Oke, 1991), and runoff from a running water balance (Grimmond and Oke, 1991; Järvi et al., 2011). SUEWS has been optimized to run with minimum amount of model forcing data and includes sub-models for net all-wave radiation, irrigation and anthropogenic heat flux. The meteorological input variables needed are wind speed, relative humidity, air temperature, pressure, precipitation, and incoming solar radiation. The overall parameters used in the model runs are given in Table 1. The performance of SUEWS and sensitivity to input variables and parameterisation has been extensively evaluated in the past studies in different climates and for multiple variables (e.g., Alexander et al., 2015; Ao et al., 2016, 2018; Demuzere et al., 2017; Järvi et al., 2011, 2014, 2017; Karsisto et al., 2016; Kokkonen et al., 2018a, b; Ward et al., 2016, 2018).

The study area is a 1 km radius circle around the 325 m high Institute of Atmospheric Physics (IAP) meteorological measurement tower (39.97° N, 116.37° E) located in the north-western part of Beijing, China, at the Haidian district (Liu et al., 2012). This circle approximates the source area of the eddy covariance (EC) measurements at height 47 m (Liu et al., 2012) used to

evaluate SUEWS model performance. This area is densely built (70 % of impervious surfaces) urban area (Local Climate Zone (LCZ) 1; Stewart and Oke, 2012) with only 29 % of vegetated surfaces and 1 % of open water. The surface cover fractions for the study area are calculated from aerial photographs using GIS-software (ArcGIS 10.1) and digitalized using two freely available base maps: OpenStreetMap (OpenStreetMap contributors, 2015) and World Imagery with 1 m spatial resolution (Esri, 2009), following the methods in Murto (2017). The OpenStreetMap has substantial gaps especially at the southern part of the study area. Therefore two sources of spatial data are used, which enables evaluation of the existing data at the areas where the two sources could be compared. With the available imagery, separation to evergreen and deciduous trees and shrubs needed by the model is not possible using GIS-methods. Therefore these fractions (15 % and 85 % of fraction of vegetation, respectively) together with mean tree height (8 m) are estimated based on the common tree species to be found in Haidian district (Ma and Liu, 2003). The mean building height is 19.1 m (Miao et al., 2012).

The population density is estimated from 1 km gridded population dataset for 2010 (Fu et al., 2014). The grid population densities are weighted by their areal fractions within the study area. There has been no further urbanization at the study site (Cheng et al., 2018) and therefore population density and surface characteristics are assumed to stay constant throughout the study period.

The WFDEI (Weedon et al., 2014) meteorological forcing data are derived for hydrological modelling purposes from ERA-Interim (Dee et al., 2011) reanalysis product via sequential interpolation to half-degree resolution with 3 h temporal resolution. Bias correction with quantile mapping (BCQM) is applied to downscale the daily precipitation totals (Kokkonen et al., 2018b). The 5 min time-step calculations are disaggregated in a nonlinear manner to provide realistic precipitation patterns from coarse input data (Ward et al., 2018) (Table A3). The air temperature (Tair) and pressure are adjusted to simulation height using environmental lapse rate (Γ = -6.5 K km<sup>-1</sup>) and the hypsometric equation (Kokkonen et al., 2018b; Weedon et al., 2010). The WFDEI data are downscaled from 3 h to 5 min temporal resolution of the model time-step within the model (Ward et al., 2017).

The WFDEI reanalysis data in Beijing are evaluated for 2006–2009 using observed meteorological variables, including

hourly  $T_{air}$ , relative humidity (RH) and incoming solar radiation ( $K\downarrow$ ) measured on the IAP tower at 47 m level (Liu et al., 2012) (Table 2) and daily precipitation (P) 10 km southwest of the tower (Menne et al., 2012a, b). The same years are used to evaluate SUEWS against the IAP EC measurements from the same 47 m level. The 47 m level of IAP tower is in the roughness sublayer for wind directions mainly from southwest and northwest (Miao et al., 2012). Therefore the wind directions with buildings over 50 m high (314–3°, 40–45°, 112–128°, 160–243°) are filtered out from the EC observations (34 % of the data). SUEWS is run for 2000 to 2013, with the first year as a spin-up period, leaving years 2001–2013 for the analysis. The hydrological cycle is analysed during the thermal summer (Apr–Sep) as the winter time in Beijing is extremely dry. For example in 2013 the precipitation occurred between Oct–Mar covers only 6 % (33.7 mm) of the annual precipitation (Beijing Municipal Bureau of Statistics, 2016). Due to difference in behaviour in summer and winter months, the two periods should be analysed separately and this would leave insufficient amount of data for statistical analysis in winter months. The polluted and non-polluted days in the studied years are separated based on aerosol optical depth (AOD, 440 nm) obtained from AERONET station (Che et al., 2009; Holben et al., 1998) located at the study site.

**Table 2.** Instruments used on the 325 m IAP tower (47 m level; Liu et al. (2012)).

Physical quantity	Instrument	Model
Three-dimensional wind velocity	Three-dimensional sonic anemometer	CSAT-3
H <sub>2</sub> O density	Infrared gas analyser	LI-7500
Incoming solar radiation	Radiometer	CNR1
Temperature	Thermometer	Developed by the Institute of Atmospheric Physics
Humidity	Hygrometer	Developed by the Institute of Atmospheric Physics
Wind speed and direction	Cup anemometers and vanes	Developed by the Institute of Atmospheric Physics

#### 2.1 Statistical methods

The pollution levels are obtained by dividing the AOD observations from the whole study period (2001–2013) into four quantiles (i.e. roughly equal amount of data in all of the air quality classes), i.e. extremely polluted air (AOD>1), polluted air (0.438–1), low pollution (0.203–0.438) and fairly clean air (<0.203).

The hydrological analysis is made stratifying the results by different pollution levels described above and different percentiles of daily precipitation from the study period (2001–2013). The hydrological components are divided into four ranges of daily precipitation percentiles (0–25, 0–50, 0–75, 0–100) including dry days. The further statistical analysis of the results of P (stratified already by different percentiles) includes only wet days, but the other variables analysed include also the dry days.

Box plots (Fig. 4, 7 and A2) give the median and the interquartile range (IQR) with whiskers of 1.5 IQR. The box plots have notches which indicate the 95 % confidence levels.

The linear correlations among different variables in Tables 3 and 5 are analysed using common statistical tools, including root mean square error (RMSE), RMSE normalised with standard deviation of observations (nRMSE), mean bias error (MBE), MBE normalised with mean of observations (nMBE), mean absolute error (MAE), MAE normalised with mean of observations (nMAE) and Pearson's correlation coefficient (r). The regression lines have been calculated for scatter plots (Fig. 1 and A1) after applying the Lowess smoothing (Cleveland, 1979, 1981). The performance of model runs and WFDEI variables are evaluated using a Taylor (2001) diagram (Fig. 5).

#### 3 Results

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## 3.1 Evaluation of WFDEI data in polluted urban environment

Although, extensive evaluation of WFDEI data have been undertaken (e.g., Weedon et al., 2014) polluted urban areas have so far been neglected. Here WFDEI P,  $K\downarrow$ , RH and  $T_{air}$  (here after the subscript WF indicates WFDEI variables), the most important input variables for hydrological modelling with SUEWS (Alexander et al., 2015; Kokkonen et al., 2018b; Ward and Grimmond, 2017) are evaluated.

Haze is known to attenuate  $K\downarrow$  in highly polluted environments but this attenuation is not properly accounted for in the WFDEI data (Fig. 1 and 2, Table 3) because sometimes haze may be from local emissions and because of secondary nucleation. Overestimation of hourly  $K\downarrow_{WF}$  against the observed values increases with the level of pollution (nMBE: -0.01, 0.00, 0.08, 0.28; fairly clean, low pollution, polluted and extremely polluted air, respectively; see Sect. 2.1 for details). Thus hourly  $K\downarrow_{WF}$  is corrected using observations between 2006–2009 from the 325 m IAP measurement tower separately for thermal summer (Apr–Sept) and winter (Oct–Mar) due to slightly different behaviour (Fig. 1 and A1). First a Lowess smoothing is applied to observed  $K\downarrow$  normalized with the clear sky radiation (determined from  $I_{SC} \times \cos \theta_z$ , where  $I_{SC}$  is the solar constant (1367 W m<sup>-2</sup>) and  $\theta_z$  is the solar zenith angle) as a function of AOD. Second, regression coefficients for different times of day are determined (Fig. 1). Before corrections are applied to WFDEI data, the  $K\downarrow_{WF}$  is downscaled from 3 h to 1 h temporal resolution (Kokkonen et al., 2018b). The corrections are made by fitting the hourly  $K\downarrow_{WF}$  data for the whole study period (2001–2013) using regression coefficients when AOD observations are available (N=20462). The developed correction increases substantially the  $K\downarrow_{WF}$  accuracy during haze events bringing the more polluted levels closer to the cleaner levels (nMBE: -0.01, 0.00, -0.03, -0.03 from clean to extremely polluted conditions; before correction nMBE: -0.01, 0.00, 0.08, 0.28).

The height corrected  $T_{air,WF}$  (Kokkonen et al., 2018b) correlates with observations well (r>0.93) and the nMBE is low (up to 0.26; Table 3). The nMBE of RH<sub>WF</sub> is also low (from -0.11 to 0.11) and the correlation coefficient reasonably good (>0.68). Thus, these reanalysis variables are assumed to already include the effect of haze.

The WFDEI precipitation is higher than observed for days with  $<11 \text{ mm day}^{-1}$  of precipitation, but too low for higher (>11 mm day<sup>-1</sup>) daily rainfall rates (Fig. 3). After the BCQM correction (Kokkonen et al., 2018b) the correspondence with observations is generally improved (Fig. 3) as found previously in Vancouver and London (Kokkonen et al., 2018b). However,  $P_{WF}$  statistics (Table 3) during extremely polluted and polluted levels become slightly poorer (r: from 0.42 to 0.38 and 0.73 to 0.68; nRMSE: from 0.95 to 1.06 and 0.85 to 1.33, respectively) whereas mainly improving with low pollution and fairly clean pollution levels (r: from 0.49 to 0.55 and 0.66 to 0.67; nRMSE: from 0.95 to 1.00 and 0.80 to 0.77, respectively). It is expected that the correction affects mostly cleaner conditions since most of the larger daily totals of precipitation occur during low pollution and fairly clean air (Fig. 4).

The corrected  $K\downarrow_{WF}$  and other meteorological variables correspond well with observations in all air quality levels except for  $P_{WF}$  which still has substantial biases even after the correction (Fig. 5, Table 3).

### 3.1.1 Meteorological conditions during haze

Haze events in Beijing typically occur with southerly winds, which brings warm and humid air masses from the south (e.g., Cai et al., 2017; Chen and Wang, 2015; Wu et al., 2017). In addition, the wind speeds are typically slower ( $< 2 \text{ m s}^{-1}$ ) during haze events (Zheng et al., 2015, 2016). The highly polluted industrial areas are located south of Beijing, so southerly winds transport pollutants from these areas (Zhang et al., 2014; Zhao et al., 2013; Zheng et al., 2015). These meteorological conditions are also favorable to haze formation from local emissions and secondary nucleation (Kulmala et al., 2016; Yao et al., 2018). Due to wet deposition of aerosols the high precipitation rates commonly occur on less polluted days (Ouyang et al., 2015).

**Table 3.** Comparison for 2006–2009 of WFDEI meteorological variables with observations, stratified by pollution levels (extremely polluted air (AOD>1), polluted air (0.438–1), low pollution (0.203–0.438), fairly clean air (<0.203) (see Sect. 2.1 for details). Data are hourly: relative humidity (RH, %), air temperature ( $T_{air}$ , °C), and incoming solar radiation ( $K\downarrow$ , W m<sup>-2</sup>), and daily: precipitation (P, mm day<sup>-1</sup>). Superscript uc indicates uncorrected variables. For explanation of the statistical methods see Sect. 2.1.

	Variable	Level of pollution	N	r	RMSE	nRMSE	MBE	nMBE	MAE	nMAE
WFDEI	$\mathrm{RH}_{WF}$	Extreme	1557	0.72	13.73	0.82	-5.44	-0.11	10.83	0.23
		Polluted	1748	0.72	13.00	0.75	-2.36	-0.06	9.90	0.26
		Low	1523	0.74	11.45	0.70	0.23	0.01	8.34	0.28
		Clean	1290	0.68	10.21	0.81	3.05	0.11	7.84	0.29
	$T_{air,WF}$	Extreme	1557	0.94	5.63	0.56	4.25	0.25	4.58	0.27
		Polluted	1748	0.93	5.88	0.54	3.94	0.25	4.48	0.29
		Low	1523	0.95	4.90	0.43	3.21	0.23	3.90	0.28
		Clean	1290	0.97	4.47	0.37	2.96	0.26	3.65	0.33
	$P_{WF}$	Extreme	70	0.38	13.23	1.06	-2.88	-0.37	7.05	0.90
		Polluted	69	0.68	16.20	1.33	3.10	0.42	8.39	1.14
		Low	53	0.55	10.35	1.00	-1.64	-0.18	6.32	0.71
		Clean	47	0.67	10.19	0.77	-2.81	-0.33	5.82	0.69
	$P_{WF}^{uc}$	Extreme	82	0.42	11.15	0.95	-2.37	-0.33	5.94	0.83
		Polluted	80	0.73	9.78	0.85	1.34	0.20	6.10	0.92
		Low	62	0.49	10.08	0.95	-2.95	-0.33	6.20	0.68
		Clean	54	0.66	10.04	0.80	-2.32	-0.31	5.19	0.70
	$K\downarrow_{WF}$	Extreme	1557	0.94	70.08	0.33	8.63	-0.03	44.46	0.13
		Polluted	1748	0.96	68.86	0.27	13.23	-0.03	40.98	0.10
		Low	1523	0.96	72.83	0.27	0.57	0.00	40.82	0.09
		Clean	1290	0.96	75.24	0.28	-5.44	-0.01	43.08	0.11
	$K\downarrow^{uc}_{WF}$	Extreme	1557	0.90	132.88	0.63	93.28	0.28	108.95	0.32
		Polluted	1748	0.93	98.08	0.39	32.65	0.08	73.94	0.19
		Low	1523	0.93	100.29	0.38	-1.54	0.00	73.21	0.17
		Clean	1290	0.94	97.42	0.36	-5.60	-0.01	72.45	0.19

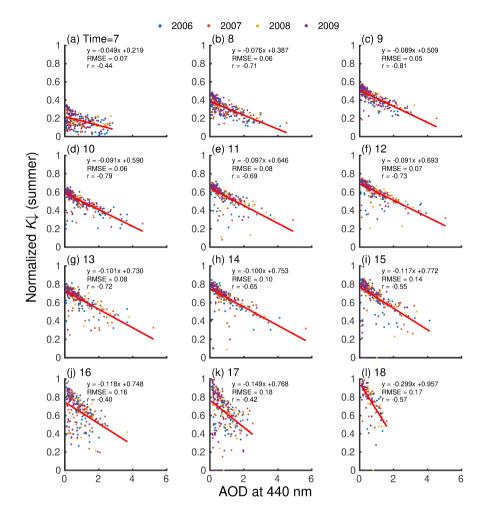
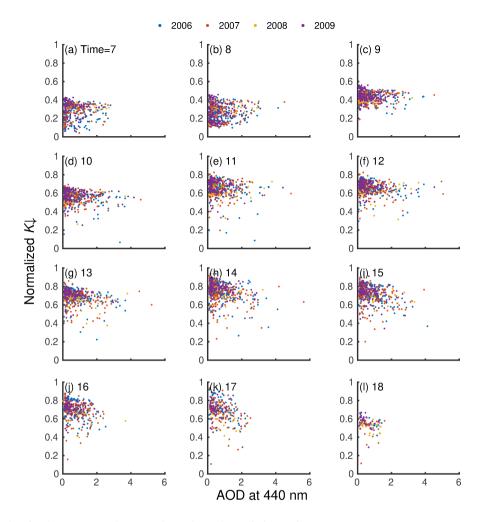


Figure 1. Observed hourly incoming solar radiation  $(K\downarrow)$  normalized with clear sky radiation  $(I_{SC} \times \cos \theta_z)$  against aerosol optical depth (AOD) observations (Holben et al., 1998) for different hours of day (a–l) for thermal summer months (Apr–Sept) at 325 m IAP meteorological tower (47 m level; Liu et al. (2012)). Winter months in Fig. A1. A linear regression (red line) is fit after Lowess smoothing made for the scatter. For statistics see Sect. 2.1.

Meteorological conditions during haze events are well represented in the corrected WFDEI dataset. Figure 6 shows the average daily meteorological conditions of all the days when AOD >1 (N=568, day 0), five days before and five days after for different WFDEI meteorological variables in 2001–2013. When AOD increases in the extremely polluted conditions (from 0.99 in day -5 to 1.82 in day 0), RH $_{WF}$  and  $T_{air,WF}$  also increase (from 55.1 % and 22.5°C in day -5 to 56.0 % and 24.0°C in day 0) and  $U_{WF}$  and  $P_{WF}$  decrease (from 1.8 m s $^{-1}$  and 2.5 mm day $^{-1}$  in day -5 to 1.8 m s $^{-1}$  and 1.0 mm day $^{-1}$  in day 0). The correct description of meteorological conditions during haze events makes the study of water balance during different pollution levels possible using the WFDEI data.

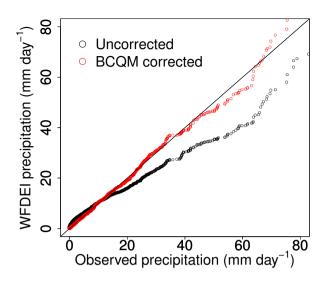


**Figure 2.** As Fig. 1, but for the uncorrected WFDEI incoming solar radiation  $(K\downarrow)$ .

#### 3.2 Evaluation of SUEWS model in polluted urban environment

SUEWS model performance is relatively independent of haze levels as its effects on local meteorological conditions are included in the model input variables P,  $K\downarrow$ ,  $T_{air}$  and RH. As the incoming longwave radiation ( $L\downarrow$ ) emitted by the sky is calculated from  $T_{air}$  and RH, which have positive correlation with level of pollution in Beijing (e.g., Cai et al., 2017; Chen and Wang, 2015; Wu et al., 2017), the positive correlation of  $L\downarrow$  and air quality is reproduced by SUEWS (Figure 7b). Therefore, the model performance does not significantly decrease with increasing AOD (Fig. 5, Table 5), even though there are substantial differences in uncertainties of  $P_{WF}$  between the different air quality conditions. Surface runoff, which is most sensitive to precipitation, is analysed using normalized values and therefore the uncertainties in  $P_{WF}$  are not crucial to the conclusions.

After the above corrections are made to the WFDEI data, the model performance is improved (Table 5) and SUEWS simulates  $Q_E$  well (r>0.73, nRMSE: 0.58 to 0.81 from clean to extremely polluted conditions) and the results during different air



**Figure 3.** Quantile-quantile plot of uncorrected WFDEI precipitation and WFDEI precipitation bias corrected using quantile mapping (BCQM; Kokkonen et al. (2018b)) versus observed precipitation (1980–2012).

quality levels are generally comparable to each other (Fig. 5, Table 5). Also the modelled sensible heat flux  $(Q_H)$  is reasonably good (r>0.74), nRMSE: 0.83 to 1.33 from clean to extremely polluted conditions) with overestimating slightly the daytime values. Similar overestimation has been observed with other urban local scale models used in Beijing (e.g., Liang et al., 2018) and relates likely to the overestimated anthropogenic heat flux  $(Q_F)$  or underestimated storage heat flux  $(\Delta Q_S)$  values that cannot be easily measured. Detailed hydrological analysis on the effect of haze can be made using SUEWS forced by WFDEI data in highly polluted Beijing as, the performance of the model is similar to the results in cleaner cities of Vancouver, Los Angeles, London and Swindon (e.g., Järvi et al., 2011; Kokkonen et al., 2018b; Ward et al., 2016).

#### 3.3 The radiative effect of haze on surface water balance

Comparison of  $K\downarrow$  for different pollutant levels finds that haze attenuates  $K\downarrow_{WF}$  by 167 W m<sup>-2</sup> (medians of midday  $K\downarrow_{WF}$  of fairly clean conditions and extremely polluted conditions; Fig. 7). This reduces the surface energy availability and sensible heat fluxes (Kajino et al., 2017). In addition,  $K\downarrow$  absorbed by the heavily polluted layer changes the vertical temperature profile leading to an increased stability, which reduces turbulence and mixing and therefore also the boundary layer height (Petäjä et al., 2016). With less energy available at the surface, evaporation decreases by 0.42 mm day<sup>-1</sup> (daily median of fairly clean compared to extremely polluted conditions, Fig. 4). Thus with the same precipitation rate more water would be stored at the surface or in the soil or directed to surface runoff, especially during the smaller precipitation intensities associated with more polluted levels (Fig. A2). The drainage is decreased by 0.19 mm day<sup>-1</sup> and the runoff coefficient ( $C_R = R/[P+I]$ , where I is irrigation) is increased by 0.047 based on the same comparison of daily medians for the 0–25 percentiles daily precipitation

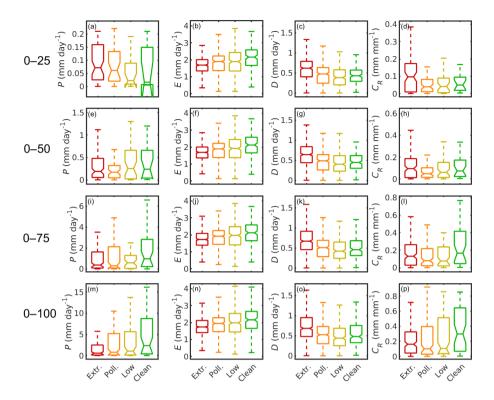


Figure 4. Box plots of daily precipitation (P), evapotranspiration (E), drainage (D) and runoff coefficient  $(C_R)$  stratified by different pollution levels (extremely polluted air, polluted air, low pollution, fairly clean air; see Sect. 2.1 for details) and daily precipitation percentiles (rows) from low (0-25) to all precipitation events (0-100) for 2001-2013. The notches indicate the 95 % confidence levels. Outliers are not shown. The amount of data used for each box is shown in Table 4. For statistics see Sect. 2.1.

(Fig. 4). This is because for the most polluted days with the lowest precipitation (0–25 percentiles) P is slightly larger (0.07 mm day<sup>-1</sup>) and E is the lowest (1.69 mm day<sup>-1</sup>) resulting in  $C_R$  being largest (median 0.097) whereas the cleaner conditions are substantially lower (0.039–0.049) (Fig. 4). As higher daily precipitation percentiles are included, the higher amount of P during the fairly clean conditions starts to dominate. Even though the median  $C_R$  during extremely polluted conditions is higher than during other polluted levels (polluted and low pollution conditions) in all of the precipitation classes,  $C_R$  during fairly clean air starts to be equal with the extreme haze conditions during days with precipitation of 0–75 percentiles ( $C_R$ : 0.13, 0.08, 0.08, 0.17 for extremely polluted, polluted, low pollution and fairly clean air, respectively) and exceeds extreme haze conditions when all the percentiles of precipitation are included (0.16, 0.10, 0.10, 0.30 from extremely polluted to fairly clean conditions).

**Table 4.** Number of days of data (N) in each Fig. 4 box plot stratified by pollution levels (extremely polluted air, polluted air, low pollution, fairly clean air; see Sect. 2.1 for details) and daily precipitation percentiles (columns) from low (0-25) to all precipitation events (0-100). For explanation of the statistical methods see Sect. 2.1.

		Precipitation percentiles				
Variable	Level of pollution	0–25	0-50	0–75	0-100	
P	Extreme	65	110	152	175	
	Polluted	36	62	90	113	
	Low	21	42	55	70	
	Clean	8	19	33	46	
E	Extreme	458	503	545	568	
	Polluted	386	412	440	463	
	Low	280	301	314	329	
	Clean	148	159	173	186	
D	Extreme	457	502	544	567	
	Polluted	377	403	431	454	
	Low	270	291	304	319	
	Clean	145	156	170	183	
$C_R$	Extreme	94	132	174	197	
	Polluted	68	87	115	138	
	Low	41	60	73	88	
	Clean	17	27	40	52	

#### 4 Discussion of broader impacts

Beijing urban top soil is heavily polluted in gardens, roadsides and residential areas in Beijing (e.g., Chen et al., 2005; Xia et al., 2011). Two-thirds of Beijing's water supply is from groundwater which is often contaminated by surface pollution sources (Sun et al., 2014). Decreased evaporation with poorer air quality increases surface drainage (0.70, 0.54, 0.44, 0.50 mm day<sup>-1</sup> from extremely polluted to fairly clean conditions) (Fig. 4) potentially causing more infiltration to groundwater from surfaces on occasions where higher atmospheric deposition has occurred. Hence, potentially making water quality poorer.

The increase in surface runoff during high haze conditions is quite small (Fig. 4) and may not contribute significantly to urban flooding. However, the poorest surface runoff water quality is associated with first flush of runoff (Deletic and Maksimovic, 1998; Gupta and Saul, 1996; Yufen et al., 2008), thus the days with increased runoff may have poorer water quality. The irrigation of urban green areas might have also similar effect by flushing the pollutants regularly from the surfaces. The flush of pollutants from contaminated surfaces to urban water bodies as surface runoff from vegetated and impervious surfaces in

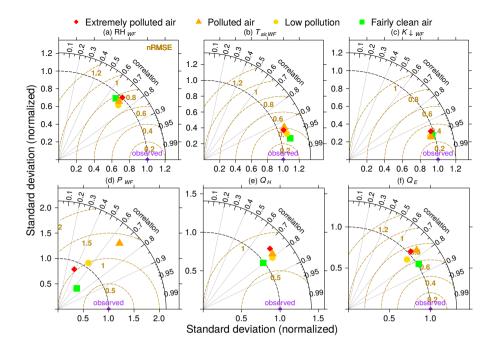


Figure 5. Taylor diagram (Taylor, 2001) for hourly (a) relative humidity (RH<sub>WF</sub>), (b) air temperature ( $T_{air,WF}$ ), (c) incoming solar radiation ( $K\downarrow_{WF}$ ) and daily (d) precipitation ( $P_{WF}$ ) with corrected WFDEI data assessed with IAP observations stratified by air quality (Sect. 2.1), and hourly modelled (e) sensible heat flux ( $Q_H$ ) and (f) latent heat flux ( $Q_E$ ) against eddy covariance IAP observations from 47 m height for 2006–2009. The radial axis is normalized standard deviation, angular axis is correlation coefficient and brown dashed lines indicate normalized root-mean square error (nRMSE). See Sect. 2.1 for statistics explanation. Note scales differ between plots.

Beijing has been shown to include significantly more pollutants than rain water (Yufen et al., 2008). Therefore, the increase in runoff and drainage due to radiative effect of haze will increase the pollutant loads in already deteriorated urban surface waters (Sun et al., 2014) and groundwater.

#### 5 Conclusions

In this study the radiative effect of haze on local scale hydrological cycle is examined for the period 2001–2013. The hydrological modelling is conducted using Surface Urban Energy and Water Balance Scheme (SUEWS) forced with WATCH WFDEI reanalysis data. The representativeness of WFDEI reanalysis data in highly polluted urban environment (Beijing) is assessed with meteorological observations from 325 m IAP tower from 47 m level. In addition, the SUEWS performance is evaluated against eddy covariance observations of latent and sensible heat fluxes from the same height of IAP tower. The results are stratified by air quality based on observations of aerosol optical depth.

The effects of haze are well accounted for in the original WFDEI meteorological variables except for incoming solar radiation and precipitation. After the correction, daily precipitation totals are generally improved, but there are still substantial differences

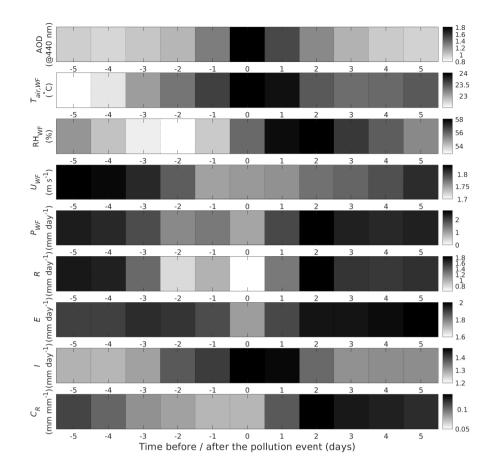


Figure 6. Average meteorological conditions of the pollution event days (AOD > 1, day 0), five days before (days -5 to -1) and five days after (days 1 to 5) in 2001–2013. Daily averages (N = 568) of aerosol optical depth (AOD), air temperature ( $T_{air,WF}$ ), relative humidity (RH<sub>WF</sub>), wind speed ( $U_{WF}$ ) and daily cumulative values of observed precipitation ( $P_{WF}$ ) and modelled surface runoff ( $P_{WF}$ ), irrigation ( $P_{WF}$ ) and runoff coefficient ( $P_{WF}$ ).

in the performance between the different air quality levels. After correcting the WFDEI incoming solar radiation with new developed haze correction, it compares well to observations across pollution levels (r > 0.94, nRMSE <0.33). Evaluations of SUEWS using eddy covariance observations of evaporation in Beijing concludes the model performance is good (r: > 0.68 and >0.73; nRMSE: <0.93 and <0.81 using uncorrected and corrected WFDEI forcing data, respectively). Similarly SUEWS performance of sensible heat flux is rather good (r: > 0.69 and >0.74; nRMSE: <2.02 and <1.33 using uncorrected and corrected WFDEI forcing data, respectively). Therefore the local urban water balance can be modelled despite substantial biases in WFDEI precipitation data.

Detailed analyses of water balance terms finds that attenuated incoming solar radiation due to increased atmospheric aerosol concentrations decrease the daily median evapotranspiration from  $2.16 \text{ mm day}^{-1}$  during fairly clean conditions to 1.74 mm day  $^{-1}$  during extremely polluted conditions. This leads to an increased runoff coefficient (from 0.049 to 0.097 during fairly

**Table 5.** Comparison for 2006–2009 of model results with observations, stratified by pollution levels (extremely polluted air (AOD>1), polluted air (0.438–1), low pollution (0.203–0.438), fairly clean air (<0.203) (see Sect. 2.1 for details). Data are hourly: sensible heat flux ( $Q_H$ , W m<sup>-2</sup>) and latent heat flux ( $Q_E$ , W m<sup>-2</sup>). Superscript uc indicates uncorrected variables. For explanation of the statistical methods see Sect. 2.1.

	Variable	Level of pollution	N	r	RMSE	nRMSE	MBE	nMBE	MAE	nMAE
Model results	$Q_H$	Extreme	848	0.74	62.27	1.33	49.76	1.03	52.58	1.09
		Polluted	990	0.78	69.01	1.23	55.80	1.06	59.14	1.13
		Low	896	0.80	73.87	1.05	56.71	0.77	62.09	0.84
		Clean	837	0.79	57.80	0.83	36.32	0.48	47.34	0.62
	$Q_H^{uc}$	Extreme	848	0.69	94.85	2.02	82.37	1.70	84.75	1.75
		Polluted	990	0.72	84.36	1.51	69.52	1.32	74.31	1.42
		Low	896	0.75	83.29	1.19	65.48	0.88	71.56	0.97
		Clean	837	0.74	63.88	0.91	41.17	0.54	52.67	0.69
	$Q_E$	Extreme	850	0.73	38.10	0.81	15.36	0.38	27.27	0.67
		Polluted	995	0.76	41.65	0.76	11.07	0.23	27.66	0.58
		Low	915	0.76	36.80	0.67	-3.30	-0.06	24.39	0.46
		Clean	883	0.84	33.97	0.58	-6.47	-0.10	23.62	0.38
	$Q_E^{uc}$	Extreme	850	0.68	43.56	0.93	18.86	0.46	31.66	0.78
		Polluted	995	0.71	44.74	0.82	10.20	0.21	29.47	0.62
		Low	915	0.74	38.50	0.70	-7.32	-0.14	26.42	0.49
		Clean	883	0.83	35.43	0.60	-9.90	-0.16	25.53	0.41

clean and extremely polluted conditions, respectively) especially during smaller precipitation totals (days with precipitation totals of 25th percentile). When all precipitation events are included the higher precipitation levels during fairly clean conditions induce highest runoff coefficients (0.30), even though the runoff coefficient during the extremely polluted conditions (0.16) is higher than during other air quality levels (0.10 in both polluted and low pollution conditions). Also soil infiltration is increased due to decreased evapotranspiration: drainage from 0.48 mm day<sup>-1</sup> during fairly clean conditions to 0.68 mm day<sup>-1</sup> during extremely polluted conditions.

This study is the first to examine the radiative effects of haze on local scale urban hydrological cycle. The increased surface runoff and soil infiltration are expected to lead to increased pollutant loads washed from polluted surfaces and top layers of soils into urban surface waters and groundwater which are already poor in the Beijing region. The evaluation of WFDEI reanalysis data gives first results of the representativeness of an reanalysis dataset in a highly polluted urban area. Also other reanalysis datasets should be carefully evaluated and make the needed corrections prior to use in polluted urban areas.

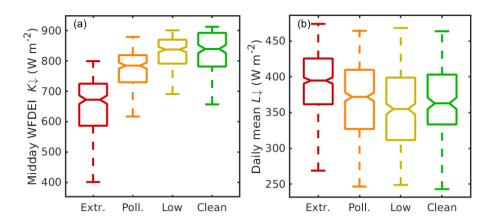


Figure 7. Box plots of (a) midday WFDEI incoming solar radiation ( $K\downarrow_{WF}$ ) and (b) modelled daily mean incoming longwave radiation ( $L\downarrow$ ) stratified by different pollution levels (extremely polluted air, polluted air, low pollution, fairly clean air) for 2001–2013. See Sect. 2.1 for details.

Code and data availability. For SUEWS manual and software, visit: http://suews-docs.readthedocs.io. WATCH WFDEI data can be acquired from ftp://rfdata:forceDATA@ftp.iiasa.ac.at and AOD data from https://aeronet.gsfc.nasa.gov/.

# Appendix A

**Table A1.** Notations used in Tables 1 and A2. Details and sources of the values in Järvi et al. (2011, 2014).

Variable	Description	Variable	Description
$\alpha_i$	Effective surface albedo	$G_{1-6}$	Parameters related to surface conductance
$\alpha_s$	Effective snow albedo	GDD	Growing degree days
$\alpha_s^{min}$	Minimum snow albedo	$g_{i,\max}$	Maximum conductance (m s <sup>-1</sup> )
$\alpha_s^{max}$	Maximum snow albedo	$I_w$	Additional water to water surface type (mm)
$\epsilon_i$	Effective surface emissivity	$K\downarrow_m$	Maximum incoming solar radiation used in $g$
$\epsilon_s$	Effective surface emissivity		calculation
$ ho_s^{min}$	Minimum snow density (kg m <sup>-3</sup> )	$LAI_{\mathrm{max}}$	Maximum LAI of surface type $i \text{ (m}^2 \text{ m}^{-2})$
$ ho_s^{max}$	Maximum snow density (kg m <sup>-3</sup> )	$\text{LAI}_{\min}$	Minimum LAI of surface type $i \text{ (m}^2 \text{ m}^{-2})$
$ au_a$	Cold snow time constant for snow albedo aging	$r_s^{max}$	Maximum surface resistance (s m <sup>-1</sup> )
$ au_f$	warm snow time constant for snow albedo aging	$res_{cap}$	Surface water capacity in LUMPS (mm)
$a_{0,\{\mathrm{wd,we}\}}$	Parameter defining the base $Q_F$ per capita (W	$res_{\rm drain}$	Drainage rate of water bucket in LUMPS (mr
	$m^{-2}$ (capita <sup>-1</sup> ha <sup>-1</sup> ) <sup>-1</sup> )		$h^{-1}$ )
$a_{1,\{\mathrm{wd,we}\}}$	Parameter defining the base CDD per capita (W	$R_C$	Limit when surface is totally covered with water
	$m^{-2} K^{-1} (capita^{-1} ha^{-1})^{-1})$		in LUMPS (mm)
$a_{2,\{\mathrm{wd,we}\}}$	Parameter defining the base HDD per capita (W	$S_{1,2}$	Parameters related to surface conductance
	$m^{-2} K^{-1} (capita^{-1} ha^{-1})^{-1})$	$S_i$	State of the snow-free surface (mm)
$a_{1,2,3}$	Constants in the calculation of the snow heat	$S_{\mathrm{soil},i}$	Soil state (mm)
	storage	$S_{ m pipe}$	Maximum depth capacity of pipes (mm)
$a_r$	Radiation melt factor (mm $W^{-1} h^{-1}$ )	SDD	Senescence degree days
$a_t$	Temperature melt factor (mm $^{\circ}C^{-1}$ h $^{-1}$ )	$\mathrm{SWE}_{\mathrm{max},i}$	Snow water equivalent when surface type $i$
b	Empirical coefficient in the calculation of		fully covered with snow (mm)
	drainage	$SWE_{\mathrm{lim}}$	Limit of the snow water equivalent for snow re
$b_{0a,1a,2a}$	Parameters for automatic irrigation (mm, mm		moval (mm)
	$K^{-1}$ , mm $d^{-1}$ )	$T_{air}^{ m initial}$	Initial air temperature (°C)
$b_{0m,1m,2m}$	Parameters for automatic irrigation (mm, mm	$T_{ m BaseGDD}$	Base temperature for leaf growth (°C)
	$K^{-1}$ , mm $d^{-1}$ )	$T_{ m BaseSDD}$	Base temperature for senescence (°C)
$C_i$	Interception state of <i>i</i> th surface (mm)	$T_{ m BaseQF}$	Base temperature for $Q_F$ (°C)
$C_{soil,i}$	Soil water storage (mm)	$T_{ m lim}$	Temperature limit for the liquid precipitation
$C_{min}^R$	Minimum retention capacity (mm)		and snow (°C)
$C_{max}^R$	Maximum retention capacity (mm)	$T_H,T_L$	Parameters related to calculation of $g_s$ (°C)
$D_{0,i}$	Drainage rate (mm)	$T_{ m step}$	Time step for water balance calculation (s)
DaysSinceRain	Days since rain before the simulation period	$z_{soil}$	Depth of the soil layer (mm)
-	(from WATCH data of previous year)		

**Table A2.** Model parameters used in SUEWS for different surfaces: buildings (bldgs), paved (pav), evergreen vegetation (everg), deciduous vegetation (dec), grass and water. Initial conditions assume there is no snow on the ground and leaf area index of each vegetation type is at their minimum value. See Table A1 for notation and Järvi et al. (2011, 2014) for data sources.

	Units	Bldgs	Pav	Everg	Dec	Grass	Water
$\overline{S_i}$	mm	0.25	0.48	1.3	0.3-0.8	1.9	0.5
$S_{\mathrm{soil},i}$	mm	150	150	150	150	150	-
$D_{0,i}$	mm	10	10	0.013	0.013	10	-
b	_	3	3	1.71	1.71	0.013	-
$C_i$	mm	0	0	0	0	0	0
$C_{\mathrm{soil},i}$	mm	30	70	130	130	130	-
$lpha_i$	_	0.15	0.12	0.1	0.16	0.19	0.1
$\epsilon_i$	_	0.95	0.91	0.98	0.98	0.93	0.95
$g_{i,\max}$	$\rm mm\;s^{-1}$	_	_	7.4	11.7	40	-
$LAI_{\mathrm{max}}$	$\mathrm{m}^2~\mathrm{m}^{-2}$	_	_	5.1	5.5	5.9	-
$LAI_{\min}$	$\mathrm{m}^2~\mathrm{m}^{-2}$	_	_	4.0	1.0	1.6	-
$\mathrm{SWE}_{\mathrm{max},i}$	mm	190	190	190	190	190	-
$\mathrm{SWE}_{\mathrm{lim}}$	mm	40	100	_	_	_	-
$z_{soil}$	mm	349	349	349	349	349	_

**Table A3.** Disaggregation for precipitation parameters (see details from Ward et al. (2017, 2018)). Rainfall is evenly distributed among RainAmongN subintervals in a rainy interval for different intensity bins. The number of subintervals over which to distribute rainfall in each interval is given in MultRainAmongN for three intensity bins. Upper limit for each intensity bin to apply MultRainAmongN is given in MultRainAmongNUpperI.

Resolution of input	3 h		
Disaggregation method	102		
RainAmongN	36		
MultRainAmongN	15	24	36
MultRainAmongNUpperI	1.5	6.0	150.0

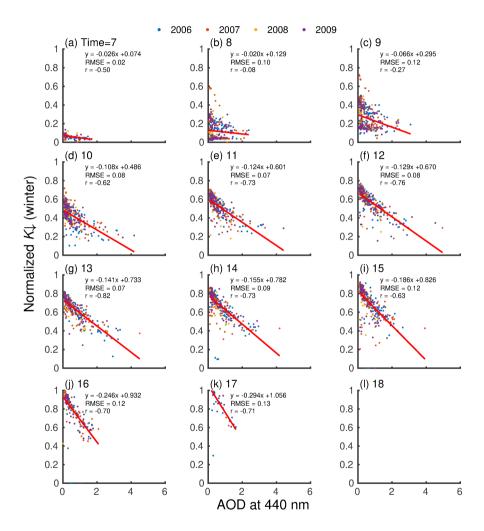
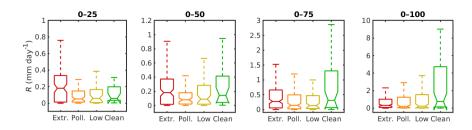


Figure A1. As Fig. 1, but for the thermal winter months (Oct–Mar).



**Figure A2.** Daily cumulative runoff (*R*) stratified by different pollution levels (extremely polluted air, polluted air, low pollution, fairly clean air; see Sect. 2.1 for details) and daily precipitation percentiles from low (0–25) to all precipitation events (0–100) for 2001–2013. The notches indicate 95 % confidence levels. Outliers are not shown. For statistics see Sect. 2.1. See also Fig. 4.

Author contributions. TVK and LJ conceived this study; TVK was responsible for the atmospheric and hydrological analyses; SM was responsible for the GIS analysis; HL was responsible for the meteorological measurements. All authors contributed in writing the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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