



1	Organic coating on sulfate and soot particles in summer Arctic
2	atmosphere
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## 24 Abstract

Interaction of anthropogenic particles with radiation and clouds plays an important 25 role on Arctic climate change. Mixing state of aerosols is a key parameter to influence 26 aerosol-cloud and aerosol-radiation interaction. However, little is known on this 27 parameter in the Arctic, preventing an accurate representation of this information in 28 global models. Here we used transmission electron microscopy with 29 energy-dispersive X-ray spectrometry (TEM/EDS), scanning TEM, scanning electron 30 microscopy (SEM), nanoscale secondary ion mass spectrometry (NanoSIMS), and 31 atomic forces microscopy (AFM) to determine the size and mixing properties of 32 individual particles at 100nm - 10µm, with a particular focus on sulfate and 33 carbonaceous particles. We found that non-sea salt sulfate particles with size range at 34 100-2000 nm were commonly coated with organic matter (OM) in summer. 20% of 35 sulfate particles also had soot inclusions which only appeared in the organic coating. 36 The OM coating is estimated to contribute to 63% of the particle volume on average. 37 Theoretical optical calculations from the Mie code suggest that absorption cross 38 section of individual OM-coated particles significantly increased when assuming the 39 40 OM coating as light-absorbing brown carbon (BrC). The microscopic observations 41 suggest that OM modulates the mixing structure of fine Arctic sulfate particles, which 42 may determine their hygroscopicity and optical properties.

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### 44 1. Introduction

Surface temperatures are rising faster in the Arctic than the rest of globe (IPCC, 45 2007). Although increased human-induced emissions of long-lived greenhouse gases 46 47 are certainly one of the driving factors, air pollutants, such as aerosols and ozone, are also important contributors to climate change in the Arctic (Law and Stohl, 2007; 48 Shindell, 2007). It is well known that aerosols from northern mid-altitude continents 49 affect the sea ice albedo by altering the heat balance of the atmosphere and surface 50 (Hansen and Nazarenko, 2004; Jacob et al., 2010; Shindell, 2007). These aerosols in 51 Arctic atmosphere include sea salt, sulfate, particulate organic matter, and to a lesser 52 extent, ammonium, nitrate, black carbon (BC) (Hara et al., 2003; Quinn et al., 2007) 53 54 and mineral dust particles (Dagsson-Waldhauserova et al., 2013). Studies show black carbon (BC) in Arctic absorbs solar radiation in the atmosphere and when deposited 55 on snow (Iziomon et al., 2006; Koch and Hansen, 2005; Sand et al., 2013; Shindell, 56 57 2007).

BC, commonly called "soot" is derived from the combustion sources such as 58 diesel engines, residential solid fuel, and open burning (Bond et al., 2013). Some 59 60 studies investigated the possible sources of these BC particles, including nature gas flaring (Qi et al., 2017) and ship emissions in the Arctic (Browse et al., 2013; 61 Weinbruch et al., 2012) and emissions of biomass burning and fossil fuels in the 62 northern hemisphere (Winiger et al., 2016; Xu et al., 2017). For example, Winiger et 63 al.(2017) showed that 35% and 38% of the Arctic BC is from domestic activities and 64 transport with minor contributions from gas flaring (6%), power plants (9%), and 65 66 open fires (12%).

Recently, certain organic aerosols, referred to as brown carbon (BrC), have been recognized as an important light-absorbing carbonaceous aerosol after BC in the troposphere (Alexander et al., 2008; Andreae and Gelencser, 2006; Feng et al., 2013; Lack et al., 2012). BrC can be directly emitted from combustion sources or formed in the atmosphere via photo-chemical aging (Saleh et al., 2013; Updyke et al., 2012). More than 100 organic species were detected in the aerosols and polyacids were found to be the most abundant compound class, followed by phthalates, aromatic





acids, fatty acids, fatty alcohols, sugars/sugar alcohols, and n-alkanes (Fu et al., 2008).
Aging of secondary organic aerosols can significantly contribute to BrC during
atmospheric transport (Laskin et al., 2015). Feng et al.(2013) estimated that on
average, BrC accounted for 66% of total organic matter (OM) mass globally and its
light absorption is about 26% of BC.

BC and BrC are often internally mixed with other non-absorbing aerosols, such as 79 sulfate (Lack et al., 2012; Laskin et al., 2015). This internal mixing can enhance BC 80 absorption by a factor of up to two (Bond et al., 2013) and change the activity of 81 cloud condensation nuclei (CCN) in the Arctic atmosphere (Leck and Svensson, 2015; 82 Martin et al., 2011). Spatial and temporal variations of aerosol composition, size 83 distribution, and sources of Arctic aerosols were studied extensively in numerous 84 ground-based, ship, and airborne observations (Brock et al., 2011; Burkart et al., 2017; 85 Chang et al., 2011; Dall'Osto et al., 2017; Fu et al., 2008; Hara et al., 2003; Hegg et 86 87 al., 2010; Iziomon et al., 2006; Karl et al., 2013; Lathem et al., 2013; Leck and Bigg, 88 2008; Leck and Svensson, 2015; Moore et al., 2011; Raatikainen et al., 2015; Wöhrnschimmel et al., 2013; Winiger et al., 2017; Zangrando et al., 2013). A few 89 90 previous studies also looked at the mixing states of coarse aerosol particles in Arctic troposphere (Behrenfeldt et al., 2008; Chi et al., 2015; Geng et al., 2010; Hara et al., 91 92 2003; Leck and Svensson, 2015; Moroni et al., 2017; Raatikainen et al., 2015; Sierau 93 et al., 2014), but those of fine non-sea salt particles, including the most important short-lived climate forcers – BC and BrC (Feng et al., 2013; Fu et al., 2008; Kirpes et 94 al., 2018; Laskin et al., 2015; Leck and Svensson, 2015), are poorly characterized. 95 96 This lack of understanding prevents the validation of the simulation of BC and BrC aging and mixing state in current models under one of the major uncertainties 97 (Browse et al., 2013; Samset et al., 2014; Zanatta et al., 2018). 98

In this study, we analyzed 21 samples collected on 7 to 23 August, 2012 in the Arctic. We used transmission electron microscopy with energy-dispersive X-ray spectrometry (TEM/EDS), scanning TEM, scanning electron microscopy (SEM), nanoscale secondary ion mass spectrometry (NanoSIMS), and atomic forces microscopy (AFM) to determine the size and mixing properties of individual particles





- 104 on substrate, with a particular focus on sulfate and carbonaceous particles. The results
- are discussed in the context of aerosol-radiation and cloud interaction.
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## 107 2. Experimental section

### 108 2.1 Field campaign

The Svalbard archipelago includes all landmasses between 74 and 81 degrees North and 10 and 35 degrees East. The islands cover 63000 km<sup>2</sup>. Ny-Ålesund town is situated on the west coast of the largest island, Spitsbergen. Ny-Ålesund town is situated only 1200 km from the North Pole and represents a central platform for Arctic research. The sampling place represents remote Arctic conditions.

An individual particle sampler at Chinese Arctic Yellow River Station (78°552N, 115 11°56Æ) collected individual particles (Chi et al., 2015; Geng et al., 2010). The 116 sampling site is about 2 km far away from the ZEP station (Dall'Osto et al., 2017). 117 Two to three samples were regularly collected at 9:00, 16:00, 21:00 (local time) of 118 each day, with a total of 46 samples between 7 and 23 August, 2012. 21 samples were 119 analysed for TEM analysis (Table S1).

120 Aerosol particles were collected onto copper TEM grids coated with carbon film by a single-stage cascade impactor with a 0.5-mm-diameter jet nozzle and an air flow 121 rate of 1.5 l min<sup>-1</sup> (Genstar Electronic Technology, China). This sampler has a 122 collection efficiency of 50% at 200 nm and 31% at 100 nm aerodynamic diameter if 123 the density of the particles is 2 g cm<sup>-3</sup>. Sampling times varied from twenty minutes to 124 two hours in clean remote Arctic area. After collection, each sample was placed in a 125 126 sealed dry plastic tube and stored in a desiccator at  $20 \pm 3\%$  RH for analysis. Ambient laboratory conditions (17-23% RH and 19-21 °C) is effective at preserving 127 individual hygroscopic aerosol particles and reducing changes that would alter 128 samples and subsequent data interpretation (Laskina et al., 2015). The sample 129 information was list in Table S1 that shows details of sample collection at the Arctic 130 sampling site. 131

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#### 133 2.2 TEM measurement





134 Individual particle samples were examined by a JEOL JEM-2100 transmission electron microscopy operated at 200 kV with an energy-dispersive X-ray 135 spectrometry (TEM/EDS). TEM can observe the mixing structure of different aerosol 136 137 components within an individual particle on the substrate because electron beam transmit through the specimen to form an image. EDS spectra were acquired within a 138 maximum time of 30 s to minimize potential beam damage and collect particle X-ray 139 spectra with sufficient intensity. TEM grids are made of copper (Cu) and covered by a 140 carbon-reinforced substrate, so Cu is excluded from the quantitative analyses of the 141 particles. Because of the substrate contribution, C content in TEM grid coated by 142 carbon film is overestimated in EDS spectra of individual particles. 143

The distribution of aerosol particles on TEM grids was not uniform, with coarser 144 particles occurring near the center and finer particles occurring on the periphery. 145 Therefore, to ensure that the analyzed particles were representative, five areas were 146 147 chosen from the center and periphery of the sampling spot on each grid. Through a labor-intensive operation, 2002 aerosol particles with diameter < 10 µm in 21 samples 148 149 were analyzed by TEM/EDS. To check composition of individual particles, EDX was 150 manually used to obtain EDS spectra of individual particles. Because of the time-consuming in the experiment, we only checked elemental compositions of 20-30 151 particles. After the procedure, we can easily identify particle types (e.g., sulfate, soot, 152 OM, and sea salts) through paricle morphology in the samples (Chi et al., 2015). In 153 total, EDS spectra of 575 particles containing sulfate, soot, or OM were manually 154 selected and saved in the computer for elemental composition analysis. Copper (Cu) 155 156 was excluded from the analyses because the TEM grids are made of Cu. Elemental mapping and line profile of individual aerosol particles were obtained from the EDX 157 scanning operation mode of TEM (STEM) (Figure 1). Particles examined by TEM 158 were dry at the time of observation in the vacuum of the electron microscope. In our 159 study, the effects of water and other semi-volatile organics were not considered as 160 they evaporate in the vacuum. 161

iTEM software (Olympus soft imaging solutions GmbH, Germany) is the imageanalysis platform for electron microscopy. In this study, it was used to manually or





automatically obtain area, perimeter, and equivalent circle diameter (ECD) ofindividual particles through identifying boundary of every particle in TEM images.

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### 167 2.3 NanoSIMS measurement

Three samples listed in Table S1 were analyzed using a nanoscale secondary ion 168 mass spectrometry (NanoSIMS) 50L (CAMECA Instruments, Geneviers, France) 169 instrument after the TEM analysis. A micro-cesium source was used to generate Cs<sup>+</sup> 170 primary ions, with an impact energy of 16 kV for sample interrogation. The primary 171 beam was stepped across the sample to produce element specific, quantitative digital 172 images. The Cs<sup>+</sup> primary ion beam was used to obtain <sup>16</sup>O<sup>-</sup>, <sup>12</sup>C<sup>14</sup>N<sup>-</sup>, <sup>14</sup>N<sup>16</sup>O<sup>-</sup>, <sup>32</sup>S<sup>-</sup>, 173 <sup>35</sup>Cl<sup>-</sup>, and <sup>16</sup>O<sup>23</sup>Na<sup>-</sup> ions in this study. The NanoSIMS analysis can obtain ion mapping 174 of particles with nanometer spatial resolution over a broad range of particle sizes 175 (Figure S1). Because the substrate of TEM grid is carbon, CN is adopted to represent 176 177 organic matters in individual particles (Chi et al., 2015; Ghosal et al., 2014). S<sup>-</sup> is used 178 to infer the presence of sulfates in individual particles (Li et al., 2017). In the three samples, the NanoSIMS obtained ion mapping of 32 sulfate particles. 179

180

### 181 2.4 SEM and AFM measurement

Because TEM could not vertically observe OM coating and sulfate core, we 182 conducted one special experiment using a Zeiss ultra 55 scanning electron microscopy 183 (SEM) with EDS. TEM grids was mounted onto an aluminum SEM stub and directly 184 observed in secondary electron image mode. SEM analysis was operated at 10 kv of 185 186 extra high tension (EHT) and 9.7 mm of work distance (WD). Processes such as sample moving, analysis region selection and imaging were controlled by computer. 187 The specimen stage in SEM was tilted at the range of 0-75°, and then we vertically 188 observed thickness of OM coating and sulfate core on the substrate. To verify vertical 189 property of individual S-rich particles impacting on the substrate, we observed two 190 typical samples using the SEM (Table S1). 191

AFM images were acquired in air with a digital nanoscope IIIa instrument operating in the tapping mode, were used to observe surface morphology of individual





194 aerosol particles. The tapping AFM has a cantilever and conical tip of 10 nm radius. By using AFM, a general image of the particles is taken at 10  $\mu$ m full scan size, which 195 generally includes 1-2 particles depending on the exact location. In this study, we are 196 only interested in the sulfate-containing particles. AFM provides surface information 197 and morphology of 17 particles but no composition. Samples were firstly quickly 198 examined by the TEM under low magnification mode. In case, the operation roughly 199 identified S-containing particles and didn't damage the secondary sulfate particles 200 under the electron. Because TEM grids have coordinates letters, we can exactly find 201 202 the same particles on the substrate in AFM examined in TEM experiments. The procedures can exclude sea salt particles in the AFM image. As a result, the same 203 samples observed by TEM were then examined in AFM to obtain 3-D image of 204 205 secondary sulfate particles and their volume. Because individual particles collected in Arctic air were scattered on the substrate, we only obtained 17 effective data. After we 206 207 obtained AFM images of sulfate particles, the software can provide ECD and 208 equivalent sphere diameter (ESD) of the analyzed particles. Based on these data, we 209 estimate one good linear correlation between ESD and ECD of sulfate particles 210 impacting on the substrate. The value was further used to correct all the analyzed particles in TEM images (Chi et al., 2015). 211

# 212 2.5 Calculation of BrC optical properties

The refractive index used for the non-light-absorbing sulfate component was set to 213 m=1.55 (Seinfeld and Pandis, 2006). The refractive index of organic matter (as BrC) 214 is not known so we considered three scenarios: strongly absorbing (1.65-0.03i at 550 215 216 nm), moderately absorbing (1.65-0.003i at 550 nm), and non-absorbing OM (1.65 at 550 nm) (Feng et al., 2013). BHCOAT code by Bohren and Huffman (1983) was used 217 to calculate the optical properties, including scattering cross section (SCS), absorption 218 cross section (ACS), and single scattering albedo (SSA), assuming a core-shell 219 structure. We firstly calculated these parameters assuming a sulfate core and OM shell 220 structure only (ignoring some of the particles that contain soot core). We then 221 calculated the parameters considering those particles with soot cores and shell of 222 sulfate and OM mixture. The refractive index of BC is set to be 1.95-0.79i at 550 nm. 223





- 224 The refractive index of the shell was calculated from those of the OM and sulfate on
- basis of their volume fractions in individual particles (Bond et al., 2013).

# 226 2.6 Lagrangian particle dispersion model

- In order to determine the particle origins, the lagrangian particle dispersion model
  FLEXPART-WRF 3.1 (Brioude et al., 2013) was used. The FLEXPART-WRF model
  is using meteorological parameters from WRF dynamical simulation. The domain
  resolution is 50×50 km with 64 vertical levels.
- The FLEXPART-WRF simulations were launched in a backward mode over 10 231 days, with the Chinese Arctic Yellow River Station as an origin. For each simulation 232 (one per sample), 20000 pseudo-particles were released in a small volume around the 233 station position. Each single particle position evolution backward in time was 234 235 determined by Lagrangian dispersion calculation. Based on the TEM experiments, we found that there were more S-rich with OM coating particles in the samples collected 236 237 on August 11, 12, 14 and 15, 2012. Therefore, we did FLEXPART-WRF simulation of 238 these four days. The emission intensity in the Arctic area has been also shown in Figure S2. 239

240

# 241 **3. Results**

# 242 3.1 Composition and sources of aerosol particles

We summarized average elemental weight and frequency of individual arctic 243 particles derived from the TEM/EDX. The result shows that O, Na, S, and Cl in 244 individual particles are dominant elements (Figure S3). On basis of the composition 245 246 and morphology of individual particles, we classified the particles into four major groups: Na-rich (i.e. NaCl, Na<sub>2</sub>SO<sub>4</sub>, and NaNO<sub>3</sub>), S-rich (i.e. ammonium sulfate and 247 sulfuric acid), and carbonaceous (soot and organic matter (OM)). The classification 248 criteria of different particle types and their sources have been described in a separate 249 study (Li et al., 2016). S-rich particles representing secondary inorganic particles (e.g., 250  $SO_4^{2-}$ ,  $NO_3^{-}$ , and  $NH_4^{+}$ ) are transformed from gaseous  $SO_2$ ,  $NO_x$ , and  $NH_3$ . OM can be 251 divided into primary organic matter (POM) and secondary organic matter (SOM). 252 SOM is produced from the chemical oxidation of volatile organic compounds (VOCs) 253





and exhibits OM-coating on S-rich particles. Na-rich particles in the marine air are from sea spray and have typical near cubic shape. Soot particles, which contain C with minor O, appear as a chain-like aggregate of carbon-bearing spheres. Our previous study well characterized aging mechanism of sea salt particles in summer Arctic air (Chi et al., 2015). In this study, we focused on S-rich, soot, and OM particles as the major none-sea salt particle (NSS-particle) in the analyzed samples, which are approximately 29% by number of all analyzed particles (Figure S4)

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## **3.2 OM coating on sulfate particles**

TEM observations revealed a common core-shell mixing structure in fine sulfate 263 particles (Figure 1a). Elemental mapping of such internally mixed sulfate particles 264 showed C signals in the coating (C map, Figure 1b) and S and O signals in the center 265 (S and O map, Figure 1c, d). The elemental line profile of a sulfate particle also shows 266 267 sulfate core and C coating (Figure S5). Furthermore, ion maps of individual particles from the NanoSIMS further exhibited  ${}^{12}C^{14}N$  signals in the coating (red color in 268 Figure 1e, f) and  ${}^{32}S^{-}$  signals in the core (green color in Figure 1e, g). These results 269 270 provide strong evidence that the coating is organic matter (OM) and the core is sulfate. 271

272 A majority of the analyzed NSS-particles have a sulfate core and OM coating 273 (Figures 1 and 2); 20% of them also contain small soot inclusions but they only appeared in organic coating, rather than as the core mixed in sulfate (Figure 2b); In 274 275 the samples collected in 12, 15, and 17 August, we also observed a few chain-like soot 276 aggregates but with only 1.3% by number fraction in all analyzed particles (Figure S4, S6). Considering the remoteness of the sampling site, such soot particles are likely to 277 be of local origin, including shipping and flaring (Gilgen et al., 2017; Peters et al., 278 2011). 279

280 16% of the analyzed sulfate particles with satellite particles (Figure 2a) were 281 detected in 11 samples collected during 9-15 August. NanoSIMS analysis suggests 282 that these satellite particles have strong  ${}^{32}S^{-}$  (Figure 3a, c) and  ${}^{16}O^{-}$  signals (Figure 3d) 283 as well as weak  ${}^{12}C^{14}N^{-}$  signals (Figure 3a, b). The CN<sup>-</sup> signal in Figure 3b suggested





that the satellite particles also contain OM. Previous studies suggested that these satellite particles are acidic sulfate (Buseck and Posfai, 1999; Iwasaka et al., 1983). Our study shows that these acidic satellites not only contain sulfuric acid but also OM or organic acids. Indeed, polyacids in Arctic aerosol particles were found to be the most abundant compound class, followed by phthalates, aromatic acids, and fatty acids (Fu et al., 2008).

AFM was used to obtain 3D image of individual secondary particles impacting on 290 the substrate. Figure 4a shows that the secondary particles normally have smooth 291 surface which is different from uneven surface of the Arctic fresh and aged NaCl 292 particles (Chi et al., 2015). Furthermore, we observed particle thickness through 293 tilting the specimen stage up to 75° in SEM. Figure 4a-b both shows that the 294 295 secondary particles look like thin pancake sticking on the substrate. Furthermore, the sections of two secondary particles in the AFM images shows that the highest heights 296 297 of particles are only 0.15 (green line) and 0.26 (red line) of the corresponding 298 horizontal size (Figure 4a). As a result, S-rich core has a similar thickness as OM coating. Here we can conclude that shape of individual particles was modified when 299 300 they impacted on the substrate following the airflow. Therefore, the measured ECDs of individual particles in TEM images are much larger than the real particle diameter. 301 To calibrate the particle diameter, we obtained volume of dry particles on the substrate 302 and then calculated their equivalent sphere diameter (ESD) in the AFM images 303 (Figure 4c). ESD distribution of the secondary Arctic particles displayed a peak at 340 304 nm, ranging from 100 nm to 2000 nm (Figure 4d). The core particles, as sulfate or 305 306 soot, had a peak at 240 nm and 120 nm, respectively (Figure 4d). In the core-shell particles, we knew size in all the analyzed particles and further calculated volume of 307 sulfate, OM, and/or soot within individual particles. We can estimate that OM on 308 average accounted for 56% (11-100%) of the dry sulfate particle volume. Our result 309 shows that the OM volume increases following the particle size increase (Figure S7). 310

311

# 312 4. Discussion

313 4.1 Mixing mechanism of organic, soot, and sulfate





314 Lagrangian particle dispersion modeling using the FLEXPART-WRF 3.1 showed that air masses arriving at the sampling site during our field measurement periods 315 were likely originated from the Greenland and North America (Figure 5). Previous 316 317 studies reported that air masses from North America or Greenland during the summer contain higher concentration of black carbon, OM, and sulfate (Burkart et al., 2017; 318 Chang et al., 2011; Fu et al., 2008; Moore et al., 2011; Park et al., 2013). Indeed, there 319 is strong emission intensity of OC and SO2 around the Arctic area from emission 320 simulation as shown in Figure S2. However, Weinbruch et al. (2012) observed soot 321 particles when cruise ships were present in the area around Ny-Ålesund town. It is 322 possible that soot particles on the ground base are mainly sourced from the ship 323 emissions, although some of them may be transported long distance from out of Arctic 324 325 area in the free troposphere (Figure S2).

The sulfate core-OM shell structure observed in the Arctic summer atmosphere is 326 327 similar to those in the background or rural air in other places (Li et al., 2016; Moffet 328 et al., 2013). Based on the images from electron microscopies, we can infer that OM 329 coating thickness in the arctic atmosphere was comparable with them in rural places. 330 During the transports, organic coatings on sulfates were considered as the secondary organic aerosols and their mass increases following particle aging and growth (Li et 331 al., 2016; Moffet et al., 2013; Sierau et al., 2014). Normally, new particle formation 332 events can occur in up to 51% of the days during the summer months in the Arctic 333 atmosphere (Dall'Osto et al., 2017). On the other hand, the sulfate/OM particles with 334 soot inclusions are probably formed in a similar way as those found elsewhere (Li et 335 336 al., 2016) – e.g., soot particles may have acted as nuclei for secondary sulfate or organic uptake during their transports (Riemer et al., 2009). Similarly, Moffet et al. 337 (2013) found that soot inclusions occurred in OM coating when OM coating on 338 sulfates built up through photochemical activity and pollution buildup the Sacramento 339 urban plume aged. Beside the OM coating in the Arctic particles, Leck and Svensson 340 (2015) found some biogenic aerosols like gel-aggregate containing bacterium in 341 ultrafine particles. 342

343 TEM images show that most of the dry internally mixed sulfate particles display





344 sulfate core and OM coating on the substrate (Figures 1 and 2). According to liquid-liquid phase separation (LLPS) in individual particles addressed by You et 345 al.(2012), organic and inorganic species in these particles can keep LLPS under 90% 346 347 in the Arctic atmosphere. The work also reported that LLPS can occur in the atmosphere when the O:C ratio of the OM is roughly d 0.5. The result indicates that 348 the secondary OM in the coating might be not highly aged. Therefore, we speculate 349 that most of secondary OM formed in Arctic instead of the upwind North America. 350 Indeed, some studies reported that there are various sources of organic precursors 351 during the Arctic summertime, such as biogenic VOCs from ice melting and open 352 water (Dall'Osto et al., 2017) and anthropogenic VOCs from shipping emissions 353 (Gilgen et al., 2017). The dependence of OM volume on particle size (Figure S4) 354 355 suggests that the suspended sulfate particles are important surface for secondary OM 356 formation.

The thick OM coating in individual particles may influence IN and CCN activities of secondary sulfate particles (Lathem et al., 2013; Martin et al., 2011). For example, some studies found that an increase in organic mass fraction in particles of a certain size would lead to a suppression of the Arctic CCN activity (Leck and Svensson, 2015; Martin et al., 2011). Moreover, OM as particle surfaces can significantly influence ice nucleation (IN) efficiencies of sulfate particles (Wang et al., 2012).

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# 365 4.2 Potential impact of OM on optical properties of sulfate-containing particles

366 The internal mixing of soot, sulfate, and OM can change optical properties of individual particles in the atmosphere. Recent studies showed that BrC has been 367 detected in the OM in the polluted and clean air and even in upper troposphere 368 (Laskin et al., 2015; Wang et al., 2018). Feng et al. (2013) further calculated the 369 contribution up to 19% of the optical absorption of the strongly absorbing BrC in 370 global simulations which is after the absorption BC aerosols. Although we didn't 371 directly measure the optical absorption and BrC in the Arctic atmosphere, various 372 colored OM (e.g. nitrated/polycyclic aromatics and phenols), referred as BrC, were 373





detected in the Arctic atmosphere in different seasons (Fu et al., 2008; Wöhrnschimmel et al., 2013; Zangrando et al., 2013) and in surface ice or snowpack (Browse et al., 2013; Doherty et al., 2013; Hegg et al., 2010). We also noticed that the <sup>12</sup>C<sup>14</sup>N<sup>-</sup> signal generally occurred in all analyzed OM coating in sulfate particles (Figure 1e-f) which can represent nitrogen-containing OM in the coating (Herrmann et al., 2007). The nitrogen-containing OM indicates that the OM coating could contain certain amounts of secondary BrC (Laskin et al., 2015).

To better understand optical properties of OM coating in sulfate particles, we 381 assumed OM coating to be strongly absorbing (case 1), moderately absorbing (case 2) 382 or non-absorbing OM (case 3) with a refractive index of 1.65-0.03i, 1.65-0.003i, and 383 1.65 at 550 nm according to Feng et al. (2013). Based on the size measurements 384 shown in Figure 4, we can calculate volume of soot, sulfate, and OM within each 385 particle. We input volume of each component and the corresponding refractive index 386 387 into Mie code and then we calculated optical properties of each NSS-particle analyzed 388 in the samples. Based on optical data statistic of 575 particles, Figure 6 shows that the OM coating is strongly absorbing BrC (referred to case Abs1), as by Feng et al.(2013), 389 the average absorption cross section (ACS) of individual particles is estimated to be 390  $2.67 \times 10^{-14}$  m<sup>2</sup>. This value is 8.30 times higher than the aerosol ACS ( $3.22 \times 10^{-15}$  m<sup>2</sup>) 391 392 when assuming that the BrC is moderately absorbing (referred to case Abs2, Figure 393 6b). However, the scattering cross section (SCS) of individual particles only shows a small change (Figure 6a). Figure 6c also shows that the single scattering albedos 394 (SSAs) of individual particles are 0.92, 0.99, and 1 when assuming the BrC as 395 396 strongly, moderately and non-absorbing (cases SSA1 to SSA3). These results indicate whether we consider organic coating as BrC may have a significant influence on the 397 absorption properties of individual particles. If we further consider the absorption of 398 BC, the ACS is further enhanced by about 3.11 and 16.5 times on average for case 399 Abs1 and Abs2. Comparisons of optical absorptions in different cases shown in 400 Figure 6b indicate that BC in individual particles still play a role for particle optical 401 absorption. 402

403 Current climate models estimated the radiative force of Arctic BC (Sand et al.,





2013; Shindell, 2007; Winiger et al., 2017; Zanatta et al., 2018), but none specifically
considered optical properties of Arctic BrC. Our study well revealed OM coating on
sulfate and soot particles and this detail microphysical complexity of aerosol particles
will be useful to construct the atmospheric radiation and CCN/IN simulation in Arctic
atmospheric models in the future.

409

# 410 5 Summary

Different individual particle techniques, such as TEM/EDS, STEM, SEM, 411 NanoSIMS, and AFM, were applied to study S-rich, soot, and OM particles in the 412 Arctic air in summer. These particles accounted for approximately 29% (varied 10-35% 413 in different samples) by number of all analyzed particles in Arctic air. TEM 414 observations revealed a common core-shell mixing structure in fine internally mixed 415 sulfate particles. Ion maps of individual particles from the NanoSIMS further 416 exhibited  ${}^{12}C^{14}N^{-}$  signals in the coating and  ${}^{32}S^{-}$  signals in the core. These results 417 provide strong evidence that the coating is OM and the core is sulfate. 20% of them 418 also contain small soot inclusions but they only appeared in organic coating, rather 419 420 than as the core mixed in sulfate. AFM and SEM with tilted stage at  $75^{\circ}$  were used to observe vertical section of individual secondary particles impacting on the substrate. 421 We found the secondary particles look like thin pancake sticking on the substrate, 422 suggesting that the measured ECDs of individual particles in TEM images are much 423 larger than the real particle diameter. In this study, we calibrated the particle diameter 424 425 and calculated their ESD of individual particles. ESD distribution of the secondary 426 Arctic particles displayed a peak at 340 nm, ranging from 100 nm to 2000 nm. The core particles, as sulfate or soot, had a peak at 240 nm and 120 nm, respectively. 427 Furthermore, we can estimate that OM on average accounted for 63% of the dry 428 NSS-particle volume. Based on these data, Mie code was used to calculate optical 429 properties of internally mixed sulfate/OM particles when we considered OM as 430 non-absorbing, moderately absorbing BrC, and strongly absorbing BrC. 431

432





- 433 Author Contributions: WL and ZS designed the study. YZ and XS collected aerosol
- 434 particles. WL, HY, and JZ contributed laboratory experiments and data analysis. HY
- 435 and WL performed optical calculation and wrote part of first draft. PT and MD
- 436 provided the online measurement data of new particle formation and growth. JS and
- 437 XZ coordinated the field campaign. All authors commented and edited the paper.
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- 440
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### **Figures** Captions

Figure 1 TEM Observations of a secondary particle and NanoSIMS intensity threshold maps of an aerosol particle with sulfate core and OM coating. (a) Bright-field TEM image of an internally mixed particle; (b) elemental carbon (c) sulfur and (d) oxygen maps of the internally mixed particle shown in 1(a); (e) Overlay of  ${}^{12}C{}^{14}N{}^{-}$  and  ${}^{32}S{}^{-}$  ion maps in an internally mixed particle; (f)  $CN{}^{-}$  map (g)  $S{}^{-}$  (h)  $O{}^{-}$  secondary ion maps. Ion maps with a set of aerosol particles were shown in Figure S1.

**Figure 2 TEM images of individual particles containing sulfate, OM, and soot.** (a) Low magnification TEM image showing sulfates, sulfate with OM coating, and reacted NaCl particles. (b) an internally mixed particle of sulfate and soot with OM coating (c) a particle with sulfate core and OM coating.

## Figure 3 NanoSIMS intensity threshold maps of individual aerosol particles surrounded by

satellite particles. (e) Overlay of  ${}^{12}C^{14}N^{-}$  and  ${}^{32}S^{-}$  ion maps of individual particles. (f)  $CN^{-}$  (g)  $S^{-}$  (h)  $O^{-}$  maps. Four particles were indicated by white, pink, blue, and red arrows.

**Figure 4 Secondary particles on the substrate.** (a) 3-D AFM image of secondary sulfate particles. The colorful arrows represent particles surface properites of the particle section. (b) SEM image of S-rich with OM coating obtained from 75° tilt of the SEM specimen stage (c) The near linear relationships between ECD and ESD based on S-rich particles with thick OM coating by Atomic force microscopy. (d) Size distribution of individual particle with OM coating and sulfate cores based on the estimated ESD diameter from TEM image. Sizes of soot particles are equal to the equivalent circle diameter.

Figure 5 FLEXPART-WRF PES on August 11, 12, 14, and 15, 2012. Black square is showing the WRF domain used to initiate the FLEXPART-WRF simulation.

Figure 6 Box-and-whisker plots showing optical parameters of all analysed particles assuming sulfate core and BrC shell (not considering soot cores in the particles) versus soot-containing particles, i.e., sulfate+BrC+soot (20%) particles. For the sulfate+BrC+soot, we assume a soot core and sulfate+BrC shell. (a) Scattering cross section (b) Absorption cross section (c) Single scattering albedo. Top to bottom makers in the box-and-whisker represent max, 99%, 75%, mean, median, 25%, 1%, min values.





### Figures



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