



Technical Note: The Role of Evolving Surface Tension in the Formation of Cloud Droplets

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Abstract. The role of surface tension (σ) in cloud droplet activation has long been ambiguous. Recent studies have reported observations attributed to the effects of an evolving surface tension in the activation process. However, adoption of a surface-mediated activation mechanism has been slow and many studies continue to neglect the composition-dependence of aerosol/droplet surface tension, using instead a value equal to the surface tension of pure water (σ_w). In this technical note, we clearly describe the fundamental role of surface tension in the activation of multicomponent aerosol particles into cloud droplets. It is demonstrated that the effects of surface tension in the activation process depend primarily on the evolution of surface tension with droplet size, typically varying in the range $0.5\sigma_w \lesssim \sigma \leq \sigma_w$ due to the partitioning of organic species with a high surface affinity. We go on to report some recent laboratory observations that exhibit behavior that may be associated with surface tension effects, and propose a measurement coordinate that will allow surface tension effects to be better identified using standard atmospheric measurement techniques. However, interpreting observations using theory based on surface film and liquid-liquid phase separation models remains a challenge. Our findings highlight the need for experimental measurements that better reveal the role of composition-dependent surface tensions, critical for advancing predictive theories and parameterizations of cloud droplet activation.

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1 Introduction

The formation of a cloud involves a complex series of steps as nanometer sized aerosol particles, termed cloud condensation nuclei (CCN), grow by condensation of water vapor to become supermicron-sized cloud droplets, in a process known as CCN activation. Activation depends on physicochemical properties of the aerosol, such as hygroscopicity and surface tension, as well as atmospheric conditions, such as temperature and humidity. To accurately predict cloud formation and properties, these factors must be included in modelling schemes. However, due to computational limitations, approximations and simplifications are needed, which often obscures the underlying physics and may limit the accuracy of predictions. A key challenge is in the development of a simple model that captures the basic processes involved in CCN activation, while allowing complicating factors such as surface tension variability, solubility and phase separation to be included in a physically representative manner. In this note, we focus on the role of surface tension, and discuss the limitations of current approximations in light of recently published works that reveal how it is primarily the evolution of surface tension that impacts the activation process.

In recent publications, the role of surface tension in the activation of aerosol particles to cloud droplets has been reexamined (Ovadnevaite et al., 2017; Ruehl et al., 2016). These studies show that the evolution of surface tension can have a large effect during the activation process compared to when surface tension is assumed to be a static parameter. It is well established that surface tension is a factor in activation, and that dissolved species can suppress surface tension (Li et al., 1998). Traditionally, however, surface tension has been reduced to a fixed term in the Köhler equation (Abdul-Razzak and Ghan, 2000; Facchini et al., 1999; Petters and Kreidenweis, 2007), and is usually given a temperature-independent value equal to that of pure water at 25 °C. This is because for any decrease in surface tension due to bulk–surface partitioning and surface adsorption, it is assumed that there is an increase in the solution water activity because adsorbed material, previously acting as a hygroscopic solute, is removed from the droplet (bulk) solution (Fuentes et al., 2011; Prisle et al., 2008; Sorjamaa et al., 2004). Thus, the effects approximately cancel out in the calculation of a droplet’s equilibrium saturation ratio via the Köhler equation and so are often neglected.



Furthermore, it has been shown in some cases that there is insufficient material in a droplet at the sizes approaching activation to sustain a surface tension depression (Asa-Awuku et al., 2009; Prisle et al., 2010). The lack of experimental evidence to the contrary has led to the adoption of these assumptions in popular single-parameters models, such as κ -Köhler theory, that reduce the complexity of the activation process
55 (Petters and Kreidenweis, 2007). These parameterizations provide a compact and useful means of relating key observables, such as the critical supersaturation and activation diameter, to hygroscopicity and allow a general comparison between systems with arbitrary compositions. The κ -Köhler framework has also been adapted to account for surface tension effects (Petters and Kreidenweis, 2013). However, a single parameter implementation cannot account for the full effects of an evolving surface tension and, by omitting the
60 microphysical processes associated with bulk–surface partitioning, the presence and magnitudes of any surface effects are often difficult to ascertain.

In the works of Ruehl and coworkers (Ruehl et al., 2016; Ruehl and Wilson, 2014), Forestieri and coworkers (Forestieri et al., 2018) and Ovadnevaite and coworkers (Ovadnevaite et al., 2017), laboratory and observation-based measurements, respectively, combined with a partitioning model have revealed key
65 signatures of surface tension lowering in the activation process due to non-surfactant organic compounds. Notably, that a modification of the Köhler curve can result in lower critical supersaturations and vastly different droplet sizes at activation compared to the expectation when assuming a constant surface tension. Dynamic factors may also play a role, as discussed by Nozière and coworkers (Nozière et al., 2014) who have shown that surface tension can vary over time due to slow changes in the bulk-surface partitioning of
70 material, leading to a time-dependence in the role of surface tension. This may be especially important for droplets that initially contain micelles or oligomers that exhibit slow breakdown kinetics and diffusion. Furthermore, surface partitioning may be influenced by non-surface active components in the system, such as the presence of inorganic material (Asa-Awuku et al., 2008; Boyer et al., 2016; Boyer and Dutcher, 2017; Petters and Petters, 2016; Wang et al., 2014). Other factors that have been shown to influence the shape of
75 Köhler curves are: (1) solute dissolution, encompassing both water-solubility and solubility kinetics (Asa-



Awuku and Nenes, 2007; McFiggans et al., 2006; Petters and Kreidenweis, 2013), (2) liquid–liquid phase separation (i.e. limited liquid–liquid solubility) (Rastak et al., 2017; Renbaum-Wolff et al., 2016), and (3) the dynamic condensation (or gas–particle partitioning) of organic vapors (Topping et al., 2013; Topping and McFiggans, 2012). While measured cloud droplet number concentrations in the atmosphere have been
80 explained with simple parameterizations that neglect surface effects (Nguyen et al., 2017; Petters et al., 2016), there are many observations that cannot be explained in such simple terms (Collins et al., 2016; Good et al., 2010; Ovadnevaite et al., 2011; Yakobi-Hancock et al., 2014). In order to gain a robust and predictive understanding of CCN activation, a molecular-level theory must be developed and adopted by the atmospheric chemistry community.

85 In this technical note, we offer a perspective on the role of surface tension in the activation process, drawing on recent studies and interpretations of cloud droplet activation measurements (e.g. (Forestieri et al., 2018; Ovadnevaite et al., 2017; Ruehl et al., 2016; Ruehl and Wilson, 2014)). We go on to discuss how surface tension may be considered in the activation process, and finally present some new data highlighting potential indicators of surface tension effects in measurements of critical supersaturation. Our aim is to
90 provide a platform for discussion and help foster a molecular-based interpretation of the role of organic material in the activation of aerosol to cloud droplets.

2 Clarifying how Surface Tension Alters Cloud Droplet Activation

On a fundamental level, the influence of surface tension on droplet activation is straightforward and was discussed in the late 1990's for aerosol particles containing surfactants (Li et al., 1998). Unfortunately, the
95 simplicity of the role of surface tension in CCN activation has been lost in the complex descriptions of surface and phase-partitioning models, limiting the broader application of the insights gained from recent experimental results. For context, we begin our discussion with Köhler theory (Köhler, 1936), which describes the thermodynamic conditions required for CCN activation based on two contributions that control the equilibrium (saturation) vapor pressure of water above a liquid surface. The classic Köhler
100 equation is often written as (Petters and Kreidenweis, 2007):



$$S_d = \frac{p_{w,d}(D)}{p_w^0} = a_w \exp \left[\frac{4 M_w \sigma}{RT \rho_w D} \right], \quad (1)$$

where S_d is the equilibrium saturation ratio of water in the vapor phase surrounding a droplet surface, $p_{w,d}(D)$ is the equilibrium partial pressure of water vapor above a droplet (subscript d) of diameter D and a certain chemical composition, p_w^0 is the pressure of water above a flat, macroscopic surface of pure liquid water at temperature T , a_w is the mole-fraction-based water activity of the droplet solution, M_w is the molecular mass of water, σ is the surface tension of the particle (at air-liquid interface), R is the ideal gas constant, and ρ_w is the density of liquid water at T . The water activity contribution, known as the solute or Raoult effect, describes a lowering of the equilibrium water vapor pressure above a liquid surface due to the presence of dissolved (hygroscopic) species that reduce the water activity to a value below 1. The second contribution, known as the Kelvin effect, describes an increase in the equilibrium water vapor pressure above a microscopic curved surface and is dependent upon the surface area-to-volume ratio of the droplet (a size effect) and the surface tension, i.e. the gas-liquid interfacial energy per unit area of surface. The latter term arises from the energy associated with creating and maintaining a certain surface area and, thus, is reduced when the surface tension is lowered or when the droplet size increases, leading to a smaller surface-to-volume ratio. The magnitude of the Kelvin effect scales with the inverse of the droplet radius and is sometimes referred to as the “curvature effect”. The combined contributions from the Raoult and Kelvin effects in Köhler theory define a thermodynamic barrier to droplet growth. It is important to note that the Köhler equation describes the specific saturation ratio S_d in thermodynamic equilibrium with a certain solution droplet of interest; however, the value of S_d may differ from that of the environmental saturation ratio, S_{env} , present in the air parcel containing the droplet, since S_{env} is established by an interplay of moist thermodynamic processes. The environmental saturation ratio is defined by $S_{\text{env}} = \frac{p_w}{p_w^0}$, where p_w is the partial pressure of water in air at a specific location and time, irrespective of the presence or absence of aerosols and cloud droplets. The global maximum in a Köhler curve marks the point of activation for a certain CCN, as shown in Figure 1A. For conditions of $S_d \leq S_{\text{env}}$, e.g. in a rising,



125 adiabatically expanding air parcel, aqueous CCN equilibrate relatively quickly to their environmental conditions such that $S_d = S_{env}$ is maintained (stable growth/evaporation). However, when S_{env} exceeds the global maximum in S_d for a certain CCN, such an equilibration becomes unattainable and net condensation of water prevails, leading to so-called unstable condensational growth for as long as $S_{env} > S_d$ holds (while S_d varies according to the pertaining Köhler curve).

130 A Köhler curve shows the relationship between the droplet's equilibrium water vapor saturation ratio and the wet droplet size. The wet droplet size, or more specifically the chemical composition (solute concentration), solubility and non-ideal mixing determines the water activity, while the size and surface tension determine the Kelvin effect. Since the solute concentration changes with the droplet size, e.g. during net growth conditions when the environmental saturation ratio in an air parcel increases and water vapor
135 condenses, the water activity term varies accordingly, typically in a non-linear manner. The Kelvin term should also change with droplet size, both due to the changing surface-to-volume ratio of the droplet and changes in surface tension as a result of changes in solute concentration and related surface composition. In most scenarios, only the diameter change is accounted for while the surface tension is assumed to remain constant, usually with the value for pure water ($\sigma = \sigma_w \approx 72 \text{ mN m}^{-1}$ at 298 K). This oversimplifies the
140 problem, especially when organic solutes are present that adsorb at the surface of the growing droplet. In experimental studies where only the critical supersaturation or critical dry diameter are measured, this assumption can lead to errors, as the complexity of the system may not allow for such simplified treatments to yield sufficiently accurate representations of a real-world problem. In such cases, one must consider how the changing size of the droplet (or, again, more specifically the solution composition) results in changes
145 in bulk-surface partitioning and ultimately surface tension.

Recent work has shown that a rigorous account of bulk–surface partitioning leads to complex Köhler curves whose shapes are often difficult to interpret (Ruehl et al., 2016). These shapes can be more easily understood by considering a very simple example, shown in Figure 1B. Here, a series of fixed surface tension (*iso*- σ) Köhler curves with values ranging from 72 mN m^{-1} to 30 mN m^{-1} are shown, using the same 50 nm particle



150 (of ammonium sulfate) from Figure 1A. Distinct schematic dependences of the surface tension on the droplet size are imposed for the purpose of illustration, shown in the lower panel of Figure 1B. At each point along these dependences, the saturation ratio will be determined by the position on the Köhler curve corresponding to that specific surface tension. This means that instead of following a single trajectory along an *iso- σ* curve, the system is better envisioned as traversing across these curves, producing a very different

155 final shape to the Köhler curve than would normally be expected. In the case of a linear dependence of σ on D (black curve), the Köhler curve cuts across the *iso- σ* lines until σ reaches the value of pure water. In this case, this coincides with reaching the maximum in the droplet's saturation ratio and thus reflects the activation point. In the case shown in red, activation occurs prior to the surface tension returning to the value of pure water. In the case shown in green, activation follows a pseudo two-step process, where initially

160 a large increase in size occurs for a small increase in supersaturation, and thus the droplet may appear to be activated, while in this case true activation occurs at the point corresponding to the intersection with the Köhler curve of $\sigma = \sigma_w$. It is important to note that it is not necessarily the magnitude of the suppression of surface tension that drives unusual activation behavior, but the dependence of the surface tension on concentration, which is dictated by the droplet size and the thermodynamics of the system.

165 It is apparent from these examples that an evolving surface tension may introduce discontinuities in the activation process that arise due to the phase behavior of monolayer systems. The most obvious discontinuity is where the surface tension returns to the value of pure water. Following this point, the system will follow the *iso- σ* curve corresponding to pure water surface tension (under continued and sufficient supersaturation conditions). Depending on the exact relationship of surface tension and droplet size, this

170 point can mark the activation barrier of the system, as is the case in Figure 1B for the black and green curves. This also seemingly supports previous assertions that surface tension does not impact activation, since it is generally argued that at the point of activation the droplet is sufficiently dilute and essentially exhibits a surface tension like pure water. While that is the case in this example, the trajectory of the activation process is significantly altered by the surface tension history of the droplet. In other words, for



175 the systems described by the black or green curves in Fig. 1B, the surface tension at the point of CCN
activation is that of pure water, yet if one would assume the surface tension of the droplet to be constantly
that of pure water at any size prior to activation, the critical supersaturation, SS_{crit} , would be significantly
higher and the critical (wet) activation diameter, D_{crit} , substantially smaller (see the Köhler curve for $\sigma =$
 σ_w). Clearly, an evolving surface tension prior to activation can matter in such systems and knowing the
180 surface tension only at the point of activation is insufficient to determine the critical properties at activation,
as well as whether such a droplet of given dry diameter activates for a given environmental peak
supersaturation. The second discontinuity occurs when the surface tension is at its minimum value,
corresponding to a compressed monolayer film at high solute concentration and small droplet size. Again,
in this region the system follows an *iso- σ* curve before the droplet size grows to the point where the film
185 begins to expand and the surface tension starts increasing, as encountered in typical pressure-area isotherms.

3 Surface Tension Evolution During Activation

A major consequence of an evolving surface tension is that the droplet size at activation is larger, and the
actual critical supersaturation depends on how surface tension varies in the droplet as it grows. These effects
were directly measured using a thermal gradient chamber (TGC) (Roberts and Nenes, 2005; Ruehl et al.,
190 2016). In the 2016 work, mixed ammonium sulfate and organic aerosols were introduced into the TGC at
various supersaturations (up to and including the activation supersaturation). The size of the aerosol
particles was measured under these conditions, allowing a direct measurement of the stable equilibrium
branch of the Köhler curve (i.e. the size up to the point of activation). It was shown that for highly soluble
and surface inactive solutes such as ammonium sulfate and sucrose, the data exhibit the behavior expected
195 when assuming an *iso- σ* Köhler curve. However, for the case when organic acids, spanning a range of
water-solubilities, were coated on ammonium sulfate particles of specific dry sizes, the measurements
clearly show modifications to the Köhler curve in comparison to an *iso- σ* Köhler curve, which were
explained by changes in surface tension corresponding to bulk-surface partitioning as predicted by a
compressed film model. Without these observations, assuming $\sigma = \sigma_w$, one could attribute the critical



200 supersaturation to a single, apparent κ value that describes the hygroscopic effect of the mixture of solutes
in the absence of any surface tension constraints (Petters and Kreidenweis, 2007). Low solubility and low
hygroscopicity species should exhibit very small κ values. However, in the case of suberic acid, for
example, the CCN activation data of Ruehl et al. would require a κ value of approximately 0.5 for the
organic component, assuming a fixed surface tension equal to that of water. This κ value is unphysically
205 large considering that the molar volume ratio suggests a value of ~ 0.13 for suberic acid when assuming full
solubility, the surface tension of pure water and ideal mixing with water. A different prediction, accounting
for limited solubility and assuming a constant surface tension using the value of the saturated aqueous
solution, estimates its value as $\kappa \approx 0.003$ (Kuwata et al., 2013). Moreover, the apparent κ value derived
from CCN activation data of pure suberic acid particles indicates a value of ~ 0.001 (Kuwata et al., 2013).
210 Invoking a surface tension model here is the only way to make physical sense of these observations,
allowing even low solubility and non-hygroscopic solutes to contribute significantly to the activation
efficiency in mixed droplets. The modelling approaches of Ruehl and coworkers (Ruehl et al., 2016) and
Ovadnevaite and coworkers (Ovadnevaite et al., 2017) use Köhler theory with either a bulk–surface
partitioning model (compressed film model) or an equilibrium gas–particle partitioning and liquid–liquid
215 phase separation (LLPS) model with variable surface tension. Both studies also employed simplified
organic film models, in which the assumption is made that all organic material resides in a water-free
surface film, as options for comparison with the more sophisticated approaches.

A bulk–surface partitioning model is comprised of two components: a two-dimensional equation of state
that relates the surface tension to the surface concentration, and a corresponding isotherm that relates the
220 surface and bulk solution concentrations. In the work of Ruehl et al., the compressed film (Jura and Harkins,
1946) and Szyszkowski-Langmuir equations of states were compared. The latter has been used in several
studies exploring bulk-surface partitioning in organic aerosol (Prisle et al., 2010; Sorjamaa et al., 2004;
Topping et al., 2007). The compressed film model reproduced the experimental observations, capturing the
complex shapes of the measured Köhler curves. The Szyszkowski-Langmuir method was unable to explain



225 several of the observations, attributed partly to the lack of a two-dimensional phase transition between a
film state and a non-film state, which is a unique feature of the compressed film model. Earlier work by
Ruehl and coworkers successfully used a van der Waals equation of state to model the behavior of organic
and inorganic mixed droplets at high relative humidity (Ruehl and Wilson, 2014), demonstrating the effect
of surface tension following the onset of film formation once sufficient organic material was present. While
230 we know the factors that contribute to the equation of state and isotherm for well controlled systems the
enormous complexity of atmospheric aerosol presents a significant challenge in developing and utilizing a
predictive general model or theoretical framework.

The equilibrium gas–particle partitioning and liquid–liquid phase separation model is based on the Aerosol
Inorganic-Organic Mixtures Functional groups Activity Coefficients (AIOMFAC) model (Zuend et al.,
235 2008, 2011), coupled to a relatively simple, phase composition- and morphology-specific surface tension
model. This approach, used by Ovadnevaite and coworkers (Ovadnevaite et al., 2017), shows promise due
to its ability to predict the existence of a surface tension activation effect consistent with CCN observations
taken in marine air containing a nascent ultrafine aerosol size mode. In that study, enhanced CCN activity
of ultrafine particles was observed for aerosols consisting of organic material mixed with inorganic salts
240 and acids in North Atlantic marine air masses, which could not be explained when accounting for
hygroscopicity or solubility alone (when assuming surface tension of water). While the LLPS-based model
and the compressed film model of Ruehl et al. employ different principles and descriptions to account for
the surface composition, both agree that gradual surface tension changes dominate the CCN activation
process. Interestingly, while the observations of Ruehl et al. showed activation occurring when the surface
245 tension returns to its maximum value (i.e. that of pure water), the phase separation model predicted
activation prior to the surface tension returning to its maximum (for ultrafine particles). As discussed by
Ovadnevaite et al. (2017), this difference in the surface tension value reached at the point of CCN activation
depends in some cases on the size range of the (dry) particles considered (for the same dry composition).
Ovadnevaite et al. show that for particles of larger dry diameters (e.g. 175 nm for the case of their aerosol



250 model system), CCN activation is predicted to occur at a point where the particle's surface tension has reached the value of pure water (see the Supplementary Information of that study). Hence, both observations are consistent with the picture developed in Figure 1B and the details depend on the particle size range and functional form describing the change in surface tension of the system considered. Moreover, it is important to recognize that – regardless of whether the surface tension is equivalent to or lower than that of pure water
255 at the CCN activation point – a CCN exhibiting an evolving, lowered surface tension while approaching the activation point during hygroscopic growth will activate at a lower supersaturation than a CCN of constant surface tension equivalent to the pure-water value, since the former activates at a larger diameter, as is evident from the examples of the red and green Köhler curves shown in Fig. 1B. This predicted size effect indicates that it is not generally valid to assume that all activating CCN will have a surface tension
260 equivalent to or close to that of pure water – nor is it appropriate to use a single measurement of the surface tension of a multicomponent CCN of known dry composition at its activation size (only) to determine its Köhler curve. Furthermore, these model predictions also suggest that measurements of the surface tension of larger CCN particles (e.g. > 150 nm dry diameter) may not allow for conclusions about the surface tension of much smaller CCN, e.g. of 50 nm dry diameter.

265 **4 Identifying Surface Tension Effects from Critical Supersaturation**

Although the LLPS-based and compressed film model of CCN activation have had some success in capturing surface tension effects, there remain substantial challenges in developing a generalized theory. One challenge is that CCN techniques do not measure surface tension directly but instead observe the effects of changes in surface tension, which may often be attributed to other factors. In order to identify surface
270 tension effects using these techniques, experiments must be performed to maximize the scope of surface tension effects while minimizing changes in other variables that might influence observations. Here, we propose new experiments, using a model system as an example, which allows surface tension effects to be identified in the absence of other complicating factors.



Using a Cloud Condensation Nucleus Counter (CCNC, Droplet Measurement Technology), we measured
275 the supersaturation required to activate mixed suberic acid and ammonium sulfate particles. Suberic acid
was chosen to represent low solubility oxygenated organic material typical of atmospheric secondary
organic aerosol. It is not a traditional surfactant, but its role in suppressing surface tension and modifying
the shape of the Kohler curve has been previously identified (Ruehl et al., 2016). Ammonium sulfate
particles were generated using an atomizer and dried using silica gel and a Nafion drier with dry N₂ counter
280 flow. The size distribution was measured and a size-selected seed was introduced into a flow tube
containing suberic acid and housed within a furnace oven. The temperature was set to volatilize the organic
material and allow it to condense onto the seed particles up to a desired thickness. The coated particles were
size-selected again and introduced into a particle counter and a Cloud Condensation Nucleus Counter
(CCNC; Droplet Measurement Technologies) at a concentration of around 2000 cm⁻³. The activated fraction
285 was measured as a function of saturation ratio and the critical supersaturation was determined from the half-
rise times of a sigmoid fit to the data. A range of dry particle sizes and organic volume fractions (f_{org}) were
selected for measurements under humidified conditions at room temperature (~ 20 °C). Notably, the coated
particle (i.e. total size) of the aerosol in its dry state was kept constant across a dataset spanning a range of
organic volume fractions. The CCNC was calibrated with ammonium sulfate particles at room temperature
290 at regular intervals, although typically the calibration remained stable during continued usage.

The organic volume fraction (f_{org}) was varied while maintaining fixed dry particle sizes, ensuring that any
surface tension effect would not be masked by changes in the overall size, in contrast to other studies that
allow both coated particle size and organic volume fraction to vary simultaneously (eg. (Hings et al., 2008;
Nguyen et al., 2017)). Figure 2A shows the critical supersaturation as a function of f_{org} for 100 nm (dry
295 diameter) mixed ammonium sulfate and suberic acid particles. Remarkably, despite the much lower
hygroscopicity of suberic acid relative to ammonium sulfate, there is very little increase in the required
supersaturation as the organic volume fraction increases (while the ammonium sulfate volume fraction is
reduced accordingly). In fact, considering a fixed surface tension of pure water, a κ_{org} value of 0.35 for the



organic fraction is required to explain these data (using $\kappa = 0.62$ for ammonium sulfate). This κ_{org} value is
300 lower than that reported by Ruehl et al. (where $\kappa_{\text{org}} = 0.5$), although those measurements were performed
on 150 nm particles at $f_{\text{org}} = 0.963$. If we apply the compressed film model (using the parameters established
in (Ruehl et al., 2016) for 150 nm particles at $f_{\text{org}} = 0.963$) to predict the critical supersaturation as a function
of f_{org} , we obtain a dependence that shows a peak SS_{crit} at $f_{\text{org}} = 0.4$ (Figure 2), followed by a decrease
towards higher f_{org} . This shape is consistent with the lower value of SS_{crit} reported by Ruehl et al. at $f_{\text{org}} =$
305 0.963. Although it does not reproduce the data exactly, it does more closely predict the observations across
the full range of composition. If we use the ideal molar volume derived κ_{org} value of 0.131 and a constant
surface tension of $\sigma = \sigma_w$, the bulk solubility prediction significantly overestimates SS_{crit} for $f_{\text{org}} > 0.5$. It
must be noted here that without prior knowledge that suberic acid is inherently of very low hygroscopicity
and exhibits low water-solubility, the prediction using $\kappa_{\text{org}} = 0.35$ could be mistaken as the correct answer.
310 However, a κ_{org} value of this magnitude is unphysical when considering the original definition of κ . One
could ignore the physical meaning of κ and simply use it as an all-encompassing parameter to describe
activation efficiency. In this case, the generality of the parameter to interpret observations in different
conditions is lost. For example, Figure 2B shows the same system of ammonium sulfate and suberic acid,
this time using 40 nm dry diameter particles. In this case, the required supersaturation decreases with
315 increasing organic fraction, suggesting that suberic acid is more hygroscopic than ammonium sulfate
(requiring $\kappa_{\text{org}} = 0.72$). For the ultrafine aerosol size reported here, the compressed film model does a worse
job at predicting the behavior, suggesting that it too suffers from a lack of generality in its applicability.
What is clear, however, is that a specific suberic acid hygroscopicity alone cannot explain the observations
across a range of particle sizes and compositions.

320 These observations are obscured when the data is reported with a fixed inorganic seed size with an
increasing organic fraction achieved through an increase in the coated diameter. The data as a function of
 f_{org} with a fixed total diameter was used to plot SS_{crit} as a function of f_{org} with a fixed inorganic seed size,
shown in Figure 2C with an arbitrarily chosen inorganic seed size of 33 nm dry diameter. The value of SS_{crit}



for coated diameters 40, 50 and 100 nm was found by linear interpolation of the data in Figures 2A and 2B
325 (and the 50 nm case in Figure 3). The data is compared against a bulk κ -Köhler prediction, which exhibits
a similar trend, although with a slightly smaller slope when $\kappa_{\text{org}} = 0.15$. These data are brought to agreement
using $\kappa_{\text{org}} = 0.4$. However, we have already shown that for the 40 nm case, a value of κ_{org} greater than that
of AS is required to explain the data as a function of f_{org} with a fixed dry diameter. Specifically, in Fig. 2C,
the organic volume fractions are distinctly different for the three points shown: $f_{\text{org}} = 0.40, 0.70, \text{ and } 0.96$
330 for the 40, 50, and 100 nm coated diameter cases, respectively. Thus, there is a clear loss of information
simply due to the choice of experimental procedure or data presentation.

For both sets of measurements, we also applied three model predictions based on the Aerosol Inorganic-
Organic Mixtures Functional groups Activity Coefficients (AIOMFAC) (Zuend et al., 2008, 2011) model
with LLPS and a phase-specific surface tension mixing rule considered. The full equilibrium calculation,
335 labeled as AIOMFAC-EQUIL in Figure 2, considers the potential existence of a bulk liquid-liquid
equilibrium, resulting in two liquid phases of distinct compositions yet each containing some amounts of
all three components. The chemical compositions affect the surface tensions of the individual phases and,
using a core-shell morphology assumption and minimum phase (film) thickness, that of the overall droplet.
For the calculations performed, suberic acid is assumed to be in a liquid state at high water activity. This
340 model predicts an LLPS for the aqueous suberic acid + ammonium sulfate system, but only up to a certain
water activity level < 0.99 , beyond which a single liquid phase is the stable state. The upper limit of LLPS
predicted increases with the fraction of suberic acid in the system: LLPS onset $a_w \approx 0.942$ for $f_{\text{org}} = 0.27$ to
 $a_w \approx 0.983$ for $f_{\text{org}} = 0.88$. This results in the absence of LLPS at supersaturated conditions prior to CCN
activation for both dry particle diameters considered. Therefore, the AIOMFAC-EQUIL prediction does
345 not lead to a significant surface tension reduction here, which explains why the predicted SS_{crit} in Fig. 2 is
similar to that of an iso- σ κ -Köhler model with $\kappa_{\text{org}} \approx 0.13$. The two AIOMFAC-CLLPS variants represent
simplified model calculations in which the assumption is made that dissolved aqueous electrolytes and
organics always reside in separate phases regardless of water content. In the variant labeled AIOMFAC-



350 CLLPS (w/ org film), all organic material is assumed to reside in a water-free organic shell-phase (an organic film) at the surface of the aqueous droplet. This assumption leads to a maximum possible surface tension lowering up to relatively large droplet sizes (for intermediate to high f_{org}), yet a reduced solute effect, especially for high f_{org} . The variant labelled AIOMFAC-CLLPS (w/o org film) in Fig. 2 differs by allowing water to partition to the organic-rich shell phase (in equilibrium with the target water activity), which may affect the surface tension of that phase. Due to a significant water uptake by suberic acid, 355 predicted to occur for $a_w > 0.99$, the resulting surface tension prior to and near activation is that of pure water and the SS_{crit} prediction resembles that of the AIOMFAC-EQUIL case. Physical parameters used in these simulations are presented in Table 1 and a schematic representation of these cases is shown in Figure A1. A comparison of predicted Köhler curves from these model variants is shown in Fig. A2 for the case of $f_{org} = 0.58$. The AIOMFAC-based predictions of critical dry diameters and SS_{crit} are listed in Table 2 360 for a range of dry diameters. A comparison of the different models with experimental data in Fig. 2 indicate that for $f_{org} > 0.3$, the simplified organic film model variant AIOMFAC-CLLPS (w/ org film) offers the best agreement with the measurements. This hints at a significant suppression of surface tension by suberic acid, which is likely highly enriched at the droplet surface.

However, neither of the models fully captures the observed behavior at all f_{org} and size regimes. As discussed 365 by Ovadnevaite and coworkers (Ovadnevaite et al., 2017), the AIOMFAC-EQUIL and AIOMFAC-CLLPS (w/ org film) calculations may provide upper and lower bounds on the prediction of SS_{crit} for a given system, which is roughly in agreement with the data in Fig. 2. In reality, it is likely that some portion of suberic acid dissolves into the aqueous droplet bulk at high relative humidity, itself contributing to the water uptake of the droplet, as predicted by AIOMFAC-EQUIL, while a significant organic enrichment prevails at the 370 surface, lowering the surface tension and consequently SS_{crit} . Such behavior could explain the data and the increasing model-measurement deviations towards higher f_{org} . Improvements of the AIOMFAC-based models with more sophisticated bulk–surface partitioning treatments in individual liquid phases seem to offer a way forward to address some of the observed shortcomings in future work. At this point, it remains



intriguing that the simplified organic film model provides the best description of these experimental data,
375 even though its restrictive assumptions about phase separation and organic water content seem to make it a
less physically realistic model variant.

These types of experiment also expose further factors that influence CCN activation, possibly through
modification to surface partitioning, such as the role of inorganic ions. We performed additional
measurements using different inorganic seed particles coated with suberic acid and observed vastly different
380 behavior across three different salts (ammonium sulfate, sodium iodide and sodium carbonate), shown in
Figure 3 for 50 nm dry diameter particles. We see for ammonium sulfate the same qualitative behavior as
for the other two sizes already discussed; in contrast, the responses of the systems containing the other salts
(all with suberic acid as the organic component) are very different. Sodium carbonate exhibits an increase
in the required critical supersaturation across the range of compositions; a trend that could reasonably be
385 predicted without invoking surface tension effects. The trend with sodium iodide is more complex and
appears to show a sharp discontinuity near $f_{\text{org}} = 0.5$, which was highly reproducible across multiple repeat
experiments over multiple days. These salts were chosen to span the range of the Hofmeister series, which
describes the propensity of inorganic ions to salt in or salt out organic molecules (proteins in particular).
Sulfate and carbonate are the best salting-out ions, while iodide has a relatively weak salting-out effect due
390 to its own surface propensity (Dos Santos et al., 2010). It is interesting to note the differences between
carbonate and sulfate, despite their similar position on the Hofmeister scale. The role of the cation is
generally considered to be much smaller than that of the anion, thus these differences are non-trivial. These
results serve to further highlight a key conclusion of this work – that we currently lack a robust molecular
model that is capable of describing and therefore accurately predicting CCN hygroscopicity and activation
395 even in a relatively simple model system. We hope to prompt further discussions and experimental studies
to explore these observations and bulk/surface composition effects on surface tension and CCN activation
in more detail.

5 Summary and Conclusions



Surface tension effects can lead to significant differences from classic, hygroscopicity mixing rule
400 mechanisms for CCN activation. While it has already been made clear that the activation diameter can be
significantly different from that determined by an *iso- σ* Köhler curve, in this work we reveal the potential
for more subtle changes in CCN activity (both increases and decreases relative to pure ammonium sulfate
particles) as a result of the organics-influenced surface tension evolution during droplet growth. These
changes were captured by measuring particles at a fixed diameter with a range of organic volume fractions.
405 Ultimately, to derive an accurate picture of CCN activity across the relevant ranges of chemical
compositions and size distributions, the effects of surface tension variability must be taken into account. It
should be noted, however, that there are many situations where using simple mixing rules with inferred
values for κ_{org} can lead to sufficiently accurate predictions without the need for more complex analyses or
simulations. It is therefore of key importance to constrain the conditions under which simple approaches
410 are justified – and to know when they may be inappropriate. Taking the activation model based on Köhler
theory forward will require a more rigorous interrogation of the role of co-solutes in partitioning, and
ultimately an assessment of its effect in real-world simulations of cloud formation.

In the meantime, it is important for environmental scientists to recognize the conditions in which surface
effects may be influencing cloud droplet formation, e.g., low solubility or insoluble organics mixed with
415 inorganic salts, high-RH phase separation, small particle sizes with critical supersaturations close to the
peak supersaturations experienced in clouds, etc. We suggest, if possible, that experimental data be explored
as a function of organic volume fraction while keeping the overall dry particle size the same, as from our
laboratory experiments this dependence shows the most clear indicator of an unexplained size effect that
may be attributed to bulk–surface partitioning. In experiments where both size and composition vary, the
420 contribution from each is less clear and the effect of the organic component due to bulk–surface partitioning
could be hidden. Further fundamental laboratory and modeling studies being performed will allow for in-
depth testing and refinements of the proposed models and mechanisms that describe bulk–surface



partitioning and surface tension, ultimately reaching towards a robust and universal mechanism that allows both hygroscopicity and surface tension effects to be coupled into a practical framework.

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430 05CH11231.



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575 **Table 1: Value of physical parameters used in AIOMFAC calculations. Density of mixtures were calculated as a linear (additive) combination of the apparent molar volumes of the contributions of water, ammonium sulfate (AS), and suberic acid.**

| Calculation parameter | Value | Unit |
|---|--------|--------------------|
| Temperature, T | 293.15 | K |
| Pure comp. surface tension water (at T) (Vargaftik et al., 1983) | 72.75 | mJ m ⁻² |
| Pure comp. surface tension Suberic Acid (at T) * | 35.00 | mJ m ⁻² |
| Pure comp. surface tension AS (at T) ** | 72.75 | mJ m ⁻² |
| Density of pure water (liq.) at T | 997 | kg m ⁻³ |
| Density of pure suberic acid (liq.) at T | 1220 | kg m ⁻³ |
| Density of pure AS (liq.) at T (Clegg and Wexler, 2011) | 1550 | kg m ⁻³ |
| Density of pure AS (solid) at T (Clegg and Wexler, 2011) | 1770 | kg m ⁻³ |
| Minimum shell phase thickness, $\delta_{\beta, \min}$ | 0.3 | nm |

*Value taken from measurements for of adipic acid (Riipinen et al., 2007) on the basis of structural similarity to suberic acid. **Assumption of no influence on droplet surface tension compared to water here
 580 (since highly dilute).

Table 2: Critical supersaturation SS_{crit} (%) for given dry diameters ($D_{\text{dry, crit}}$) and organic volume fractions (f_{org}) in dry particle at $T = 293.15$ K; predicted by the different AIOMFAC-based models.

AIOMFAC-EQUIL; with liquid–liquid phase separation considered when predicted

| Mixture | f_{org} | Dry diameter [nm] (of overall particle) | | | | | | | | | | | |
|--------------|------------------|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| | | 30 | 35 | 40 | 45 | 50 | 60 | 80 | 100 | 120 | 140 | 160 | 200 |
| AS | 0.00 | 1.005 | 0.788 | 0.639 | 0.532 | 0.451 | 0.339 | 0.217 | 0.154 | 0.116 | 0.091 | 0.074 | 0.053 |
| Suberic + AS | 0.27 | 1.135 | 0.893 | 0.726 | 0.605 | 0.513 | 0.387 | 0.248 | 0.176 | 0.133 | 0.105 | 0.085 | 0.061 |
| Suberic + AS | 0.40 | 1.214 | 0.957 | 0.779 | 0.649 | 0.552 | 0.416 | 0.267 | 0.190 | 0.143 | 0.113 | 0.092 | 0.066 |
| Suberic + AS | 0.58 | 1.350 | 1.068 | 0.871 | 0.727 | 0.619 | 0.468 | 0.301 | 0.214 | 0.162 | 0.128 | 0.105 | 0.074 |
| Suberic + AS | 0.75 | 1.532 | 1.214 | 0.993 | 0.831 | 0.708 | 0.537 | 0.347 | 0.247 | 0.187 | 0.148 | 0.121 | 0.086 |
| Suberic + AS | 0.88 | 1.751 | 1.389 | 1.136 | 0.951 | 0.811 | 0.616 | 0.399 | 0.285 | 0.216 | 0.172 | 0.140 | 0.100 |

AIOMFAC-CLLPS (w/ org film); organic phase assumed water-free

| | | | | | | | | | | | | | |
|--------------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Suberic + AS | 0.27 | 0.972 | 0.766 | 0.624 | 0.520 | 0.442 | 0.334 | 0.215 | 0.153 | 0.116 | 0.091 | 0.075 | 0.053 |
| Suberic + AS | 0.40 | 0.961 | 0.759 | 0.618 | 0.516 | 0.439 | 0.333 | 0.214 | 0.153 | 0.116 | 0.092 | 0.075 | 0.053 |
| Suberic + AS | 0.58 | 0.950 | 0.751 | 0.613 | 0.513 | 0.437 | 0.331 | 0.214 | 0.153 | 0.116 | 0.092 | 0.075 | 0.054 |
| Suberic + AS | 0.75 | 0.944 | 0.747 | 0.611 | 0.511 | 0.436 | 0.331 | 0.214 | 0.153 | 0.116 | 0.092 | 0.076 | 0.054 |
| Suberic + AS | 0.88 | 0.987 | 0.781 | 0.637 | 0.532 | 0.453 | 0.342 | 0.220 | 0.156 | 0.118 | 0.093 | 0.076 | 0.054 |

AIOMFAC-CLLPS (w/o org film); water uptake by organic-rich phase considered

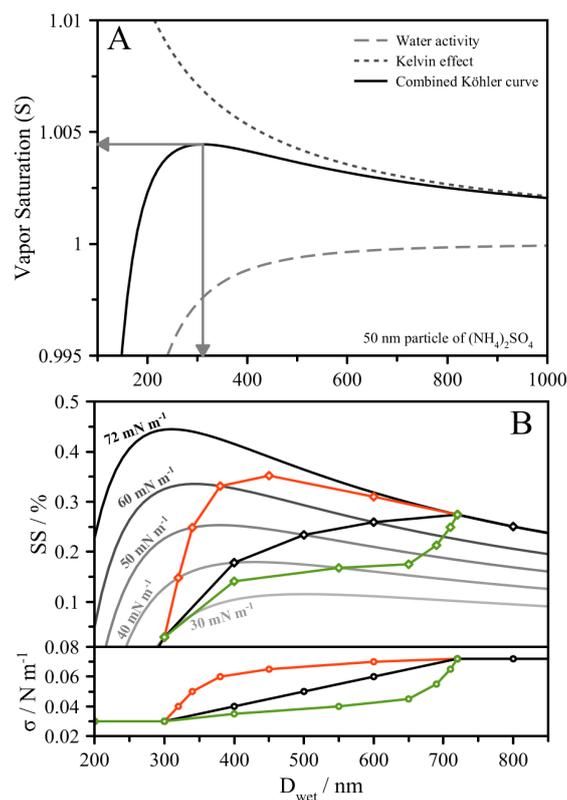
| | | | | | | | | | | | | | |
|--------------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Suberic + AS | 0.27 | 1.108 | 0.878 | 0.716 | 0.598 | 0.509 | 0.385 | 0.247 | 0.176 | 0.133 | 0.105 | 0.085 | 0.061 |
| Suberic + AS | 0.40 | 1.197 | 0.948 | 0.774 | 0.646 | 0.550 | 0.416 | 0.267 | 0.190 | 0.144 | 0.113 | 0.092 | 0.066 |
| Suberic + AS | 0.58 | 1.357 | 1.075 | 0.877 | 0.733 | 0.624 | 0.472 | 0.303 | 0.216 | 0.163 | 0.129 | 0.105 | 0.075 |
| Suberic + AS | 0.75 | 1.579 | 1.246 | 1.017 | 0.849 | 0.723 | 0.547 | 0.352 | 0.250 | 0.189 | 0.150 | 0.122 | 0.087 |
| Suberic + AS | 0.88 | 1.999 | 1.592 | 1.303 | 1.089 | 0.926 | 0.697 | 0.443 | 0.301 | 0.220 | 0.174 | 0.142 | 0.101 |

590

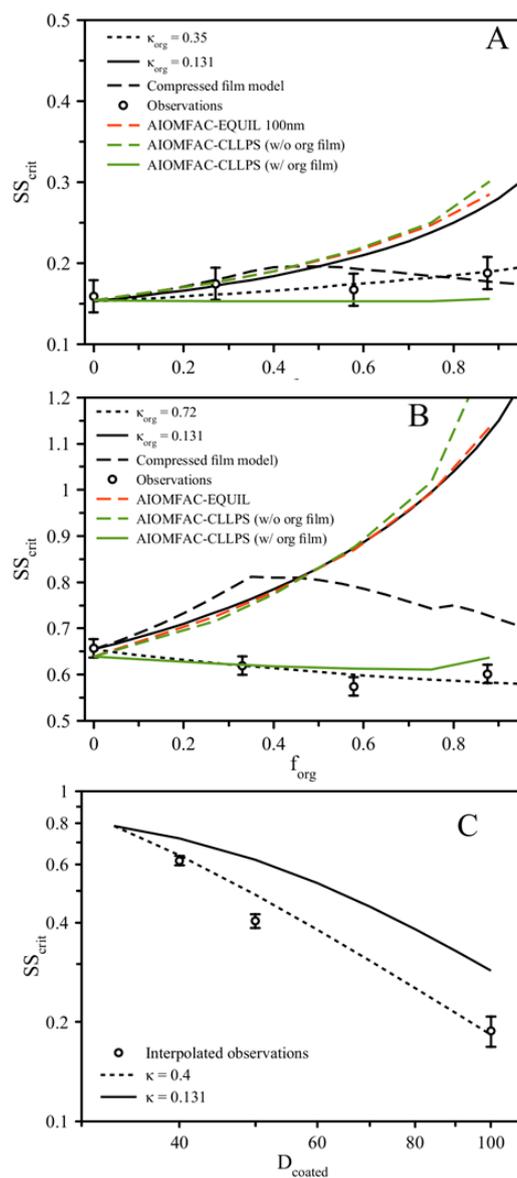


Table 3: Experimentally measured critical supersaturation for given dry diameter, inorganic particle core, and organic volume fraction.

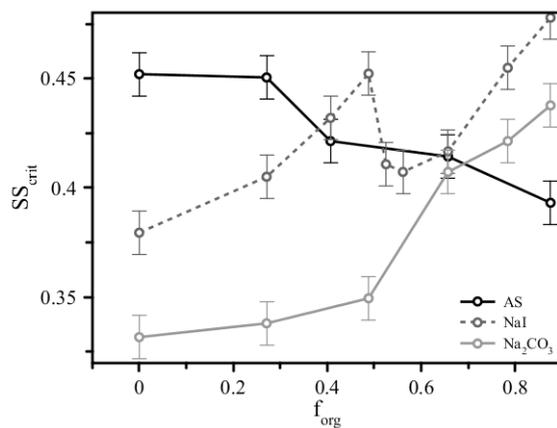
| $D_{\text{dry}} / \text{nm}$ | Inorganic | f_{org} | $SS_{\text{crit}} (\%)$ |
|------------------------------|------------------------------|------------------|-------------------------|
| 100 | $(\text{NH}_4)_2\text{SO}_4$ | 0.00 | 0.16 |
| | | 0.27 | 0.17 |
| | | 0.58 | 0.17 |
| | | 0.88 | 0.19 |
| 40 | $(\text{NH}_4)_2\text{SO}_4$ | 0.00 | 0.66 |
| | | 0.33 | 0.62 |
| | | 0.58 | 0.57 |
| | | 0.88 | 0.60 |
| 50 | $(\text{NH}_4)_2\text{SO}_4$ | 0.00 | 0.45 |
| | | 0.27 | 0.45 |
| | | 0.41 | 0.42 |
| | | 0.66 | 0.41 |
| | | 0.88 | 0.39 |
| 50 | NaI | 0.00 | 0.38 |
| | | 0.27 | 0.41 |
| | | 0.41 | 0.43 |
| | | 0.49 | 0.45 |
| | | 0.53 | 0.41 |
| | | 0.56 | 0.41 |
| | | 0.66 | 0.42 |
| | | 0.78 | 0.45 |
| 0.88 | 0.48 | | |
| 50 | Na_2CO_3 | 0.00 | 0.33 |
| | | 0.27 | 0.34 |
| | | 0.49 | 0.35 |
| | | 0.66 | 0.41 |
| | | 0.78 | 0.42 |
| 0.88 | 0.44 | | |



595 Figure 1: (A) Köhler curve construction from the combination of the water activity term and the Kelvin effect, shown here
 for 50 nm particles of ammonium sulfate. The arrows indicate the critical supersaturation (SS_{crit}) and the critical wet
 activation diameter (D_{crit}). (B) Köhler curves (NB. $SS = (S-1) \times 100\%$) of varying fixed surface tension values for 50 nm
 (dry diameter) particles with water activity treated as an ammonium sulfate solution. A schematic linear dependence of
 surface tension on droplet diameter is shown in black, and the Köhler curve construction that takes into account the change
 600 in surface tension is shown in bold and with diamond symbols. Additional surface tension dependencies are shown in red,
 which exhibits activation at $\sigma < \sigma_w$, and in green, which shows a dramatic increase in the critical wet diameter.



605 **Figure 2:** (A) Measured critical supersaturation (SS_{crit}) of size-selected ammonium sulfate particles coated with suberic acid (points) to a set dry diameter of 100 nm at $T \approx 293$ K. The curves show predictions from the compressed film model of Ruehl et al., a simple κ -Köhler model with constant surface tension, and model variants from the AIOMFAC-based framework (see text). (B) Analogous to (A) but for particles of ammonium sulfate coated to 40 nm dry diameter by suberic acid. (C) Using the data from panels A and B, SS_{crit} is shown as a function of coated diameter with a fixed inorganic seed of 33 nm (points). The lines indicate the κ -Köhler model with constant surface tension using different but constant values of κ_{org} .

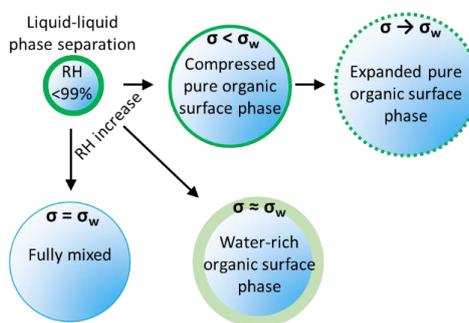


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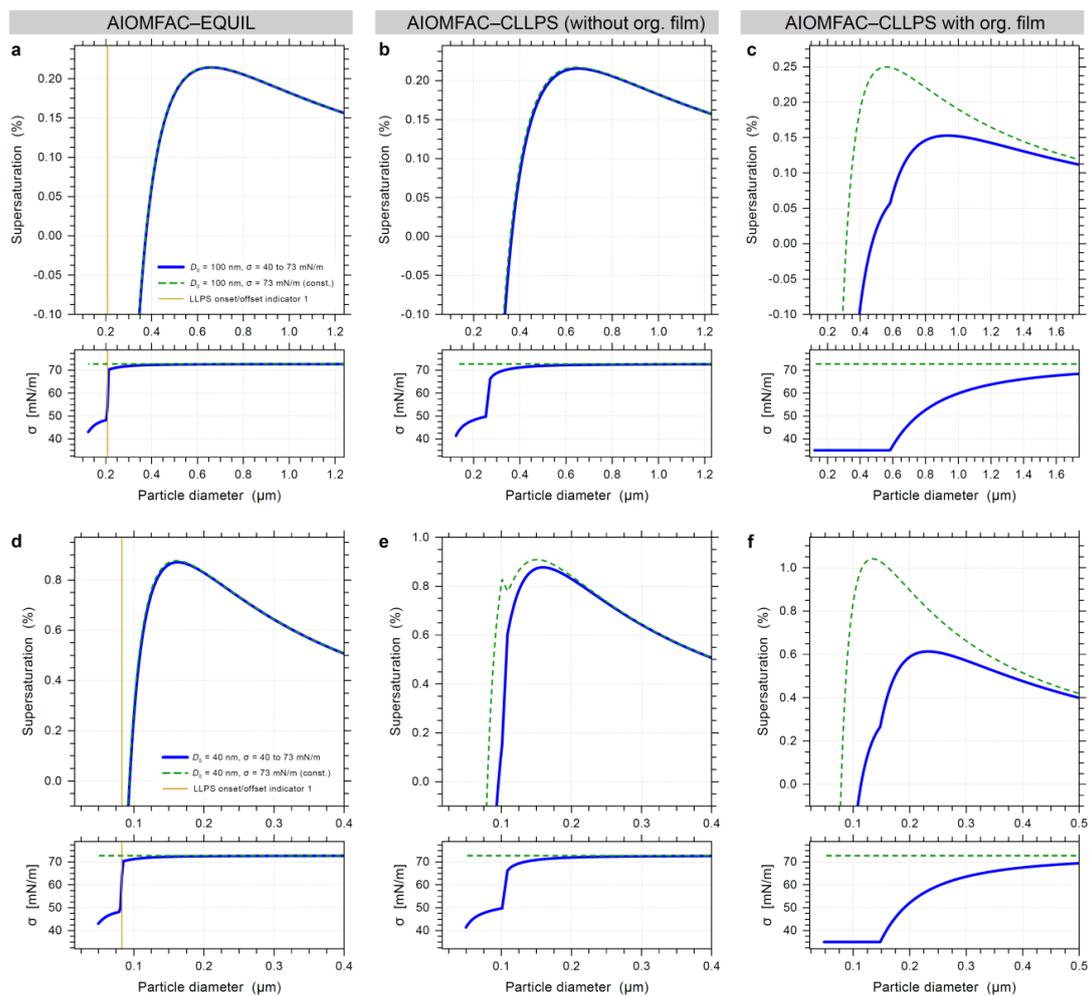
Figure 3: The measured critical supersaturation for 50 nm dry diameter particles comprised of different salts (ammonium sulfate, sodium iodide and sodium carbonate) and variable volume fractions of suberic acid (f_{org}) at $T \approx 293$ K.



615 **Appendix**



620 **Figure A1: Schematic representation of the three model variants. AIOMFAC-EQUIL treats the droplets as a fully mixed single phase, with the surface tension of the wet droplet sharply approaching σ_w . The phase separated variants considered a surface phase that is water-rich, with surface tension close to σ_w , and a surface phase that excludes water, behaving as an organic surface film, with $\sigma < \sigma_w$. The output from these scenarios are shown in Figure A2.**



625 **Figure A2:** Predicted Köhler curves and associated droplet surface tensions for 100 nm (a, b, c) and 40 nm (d, e, f) dry diameter particles of ammonium sulfate with suberic acid at $f_{org} = 0.58$ using the AIOMFAC models described in the main text. The AIOMFAC-CLLPS with org. film gives the closest predictions to the experimental observations and predicts similar shaped curves to those measured experimentally by (Ruehl et al., 2016).