1	Measuring Light Absorption by Primary Organic Aerosols: Optical Artifacts in
2	Traditional Solvent Extraction-Based Methods
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11	Abstract
12	Recent studies have shown that organic aerosol (OA) could have a non-trivial role in atmospheric
13	light absorption at shorter visible wavelengths. Good estimates of OA absorption are therefore
14	necessary to accurately calculate radiative forcing due to these aerosols in climate models. One of
15	the common techniques used to measure OA light absorption is the solvent extraction technique
16	from filter samples which involves the use of a spectrophotometer to measure bulk absorbance by
17	the solvent-soluble organic fraction of particulate matter. Measured solvent phase absorbance is
18	subsequently converted to particle-phase absorption coefficient using scaling factors. The
19	conventional view is to apply a correction factor of 2 to absorption coefficients obtained from

biases due to incomplete extraction of OC by solvents and size-dependent absorption properties of
OA. The range for these biases along with their potential dependence on burn conditions is an

solvent-extracted OA based on Mie calculations. The appropriate scaling factors are a function of

23 unexplored area of research.

Here, we performed a comprehensive laboratory study involving three solvents (water, methanol, 24 and acetone) to investigate the bias in absorption coefficients obtained from the solvent extraction-25 26 based photometry techniques as compared to in-situ particle phase absorption for primary OA emitted from biomass burning. We correlated the bias with OC/TC mass ratio and single scattering 27 albedo (SSA) and observed that the conventionally used correction factor of 2 for water and 28 29 methanol-extracted OA might not be extensible to all systems and suggest caution while using such correction factors to estimate particle-phase OA absorption coefficients. Furthermore, a linear 30 31 correlation between SSA and OC/TC ratio was also established. Finally, from the spectroscopic data, we analyzed the differences in Absorption Ångström Exponents (AÅE) obtained from 32 solution- and particulate-phase measurements. We noted that AÅE from solvent phase 33 measurements could deviate significantly from their OA counterparts. 34

#### 35 1 Introduction

Carbonaceous aerosols constitute a major short-lived climate pollutant, and even though they have 36 been studied extensively in recent years, estimates of their contribution to shortwave radiative 37 forcing remains highly uncertain (IPCC, 2013). Based on their thermal-refractory properties, 38 39 carbonaceous aerosols are categorized as elemental carbon (EC) or organic carbon (OC) (Chow et al., 2007b; Bond et al., 2013), and the sum of OC and EC is referred to as total carbon (TC). When 40 41 defined optically, the refractory EC component is approximately referred to as black carbon (BC) 42 (Chow et al., 2007b; Bond et al., 2013); BC aerosol constitute the strongest of the light absorbing aerosol components in the atmosphere (Ramanathan and Carmichael, 2008; Andreae and 43 Gelencsér, 2006; IPCC, 2013). While BC absorbs strongly in the visible spectrum, the contribution 44 45 of OC towards absorption has largely been neglected, even though many studies have demonstrated significant OC absorption at lower visible wavelengths (Yang et al., 2009; Chen and 46

Bond, 2010; Chakrabarty et al., 2010; Kirchstetter 2012). The atmospheric mass of OC can be 312 times larger than that of BC (Husain et al., 2007; Zhang et al., 2008) which warrants its inclusion
as an atmospheric light absorber. Only recently have global modeling studies started incorporating
radiative forcing by organic aerosol (OA) absorption (Wang et al., 2014; Saleh et al., 2015; Lin et
al., 2014; Wang et al., 2018). Thus, having accurate estimates for OA absorption is necessary to
help improve climate models.

A convenient and prevalent methodology of measuring OA absorption is based on collecting 53 aerosol particles on a filter substrate followed by extracting the organic compounds into a solvent. 54 This analytical method is used in many studies as it ideally excludes any interference from EC and 55 56 primarily provides the absorption spectra of extracted OC (Mo et al., 2017; Chen and Bond, 2010; Liu et al., 2013). The absorbance of organic chromophores in the solvent extract is measured using 57 an ultraviolet-visible (UV-Vis) spectrophotometer and measured absorbance values can be 58 59 converted to corresponding solvent phase absorption coefficients (b<sub>abs.sol</sub>). However, this 60 methodology has limitations as it is unable to represent size-dependent absorption properties of the extracted OA (Liu et al., 2013; Washenfelder at al., 2015; Moosmüller et al., 2011). To correct 61 62 for this limitation, the complex refractive index (RI) of OC is estimated by assuming the real part 63 and calculating the imaginary part for extracted OC using babs, sol and dissolved OC concentration, the complex RI is then used along with a number size distribution as inputs to Mie theory for 64 calculating the particle-phase absorption coefficient for dissolved OC. In addition to discrepancies 65 between particle and solvent phase optical properties, the method suffers from biases due to 66 67 incomplete extraction of organics by different solvents (Chen and Bond, 2010; Liu et al., 2013) which lead to differences in values of babs.sol obtained from different solvents. The significance and 68 extent of this bias varies based on the OC extraction efficiency of a given solvent and would be 69

negligible for solvents extracting 100% of organic chromophores. A combination of inefficient 70 71 organic carbon extraction and the methods inability to measure size-dependent OA absorption 72 properties can result in significant errors to optical properties obtained using this method. Despite the low OC extraction efficiency of water (Chen and Bond, 2010) and large potential for errors, 73 past studies have used light absorption by water soluble organic carbon (WSOC) as a surrogate for 74 75 OA optical properties (Bosch et al., 2014; Kirillova et al., 2014a; Kirillova et al., 2014b). However, the use of water as an OA surrogate is decreasing with more recent studies using methanol to 76 77 extract OC (Cheng et al., 2016; Shen at al., 2017; Xie et al., 2017). While methanol has a higher 78 OC extraction efficiency than water (Chen and Bond, 2010), its efficiency is limited ranging from 85-98% (Cheng et al., 2016; Xie et al., 2017) which can lead to misrepresentation of OA optical 79 properties if the unextracted fraction correspond to extremely low volatility organic carbon 80 (ELVOCs) or similar organic chromophores which have large light absorption efficiencies (Saleh 81 et al., 2014), underscoring the need for a more complete extraction protocol. In addition to 82 83 problems with incomplete OC extraction, previous studies have attempted to correct for sizedependent biases using absorption coefficients determined with Mie theory and provided a narrow 84 range of solvent-dependent scaling factors from 2 for water extracts to 1.8 for methanol extracts, 85 86 all corresponding to a mean particle diameter of 0.5 µm (Liu et al., 2013; Liu et al., 2016; Washenfelder et al., 2015). Sun et al. (2007) performed theoretical calculations and postulated a 87 88 correction range of 0.69 - 0.75 for OC particles with diameters much smaller than the wavelength 89 of light. These correction factors while applicable to these individual systems, might not be 90 extensible to aerosol emissions from other combustion events. However, many studies have used 91 scaling factors from such studies on absorption coefficients obtained from solvent phase optical 92 measurements despite potential differences in system dependent biases for each experiment (Kim

et al., 2016; Zhang et al., 2017; Wang et al., 2018). To the authors knowledge, no attempts have
been made to explicitly study or quantify these biases with varying aerosol intrinsic properties,
such as the EC/OC ratios, and single scattering albedo (SSA), even though these properties have
shown to be well correlated with OA optical properties (Zhang et al., 2013; Saleh at al., 2014;
Bergstrom et al., 2007).

98 In-situ measurement of particulate-phase absorption coefficient is commonly and accurately accomplished using a photoacoustic spectrometer (PAS) (Lack et al., 2006; Arnott et al., 2005; 99 100 Arnott et al., 2003). However, on its own, a single-wavelength PAS cannot distinguish between 101 absorption by OC and BC aerosol and it typically measures the total particle-phase absorption 102 coefficient (b<sub>abs,tot</sub>) of the aerosol population in the cell (Moosmüller et al., 2009). One can make use of a multi-wavelength PAS using which the OA absorption coefficient (babs.OA) could be 103 separated out from that of BC absorption, based on the difference in BC and OA Absorption 104 Ångström Exponent (AÅE) (Washenfelder et al., 2015; Arola et al., 2011; Kirchstetter and 105 Thatcher; 2012). The AÅE for pure BC is well-constrained at 1 in the visible and near-infrared 106 wavelengths (Moosmüller et al., 2009). The value of b<sub>abs,OA</sub> is calculated as the difference between 107 b<sub>abs.tot</sub> and the BC absorption coefficient. A possible technique to measure the bias between particle 108 109 and solvent phase organic absorption (babs,OA/babs,sol) can thus be established by carrying out simultaneous measurements of solution- and particle-phase absorption properties during a study. 110 111 Determining b<sub>abs,OA</sub> using this method gives large errors when BC absorption coefficient is large or comparable to babs, tot as babs. OA would be a small number obtained by the subtraction of two large 112 113 numbers limiting the use of this technique for relatively low EC/OC ratios.

Here, we burnt a range of different biomass fuels under different combustion conditions and the resulting aerosol emissions were passed through various in-situ instruments while simultaneously

being collected on quartz-fiber filters. The particle phase absorption coefficient was obtained using 116 integrated photoacoustic-nephelometer spectrometers (IPNs) at wavelengths 375, 405 and 1047 117 nm. Organics collected on quartz-fiber filters were extracted in water, acetone, and methanol, and 118 corresponding babs, sol values were calculated. These values were compared with corresponding 119 b<sub>abs,OA</sub>, and the change in b<sub>abs,OA</sub>/b<sub>abs,sol</sub> with varying single scattering albedo (SSA) values and 120 121 OC/TC ratios was examined. SSA was parametrized with the OC/TC ratios with trends similar to those observed by Pokhrel et al., (2016). AÅE from spectroscopic data for solution and particle 122 phase measurements were compared, and the Mie Theory based correction factor was also 123 investigated for a few samples. 124

125 **2 Methods:** 

126 **2.1 Sample generation and collection** 

Fig. 1 is a schematic diagram of our experimental setup, which consists of a sealed 21 m<sup>3</sup> stainless-127 steel combustion chamber housing a fan for mixing and recirculation (Sumlin et al., 2018b). 128 Aerosol samples were generated by burning several types of biomass including pine, fir, grass, 129 sage, and cattle dung (details are provided in the Supplementary Information). During a chamber 130 131 burn, 10-50 g of a given biomass was placed in a stainless-steel pan and ignited by a butane lighter. The chamber exhaust was kept closed for the duration of a given experiment. The biomass bed 132 was either allowed to burn to completion or it was prematurely extinguished and brought to a 133 134 smoldering phase by extinguishing the flame beneath a lid. Different combustion conditions were used to generate samples with varying properties: OC/TC ratios ranged from 0.55-1, and SSA 135 136 values ranged from 0.56-0.98 for wavelengths of 375, 405, and 1047 nm.

For one set of experiments, the particles were directly sampled from the chamber; in another set, 137 the sampling was done from a hood placed over the burning biomass. A diffusion dryer removed 138 139 excess water from the sample stream, and the gas-phase organics were removed by a pair of activated parallel-plate semi-volatile organic carbon (SVOC) denuders. The gas-phase organics 140 were stripped to reduce artifacts produced by the adsorption of organic vapors on the quartz filters. 141 142 The aerosols were finally sent to a 208-liter stainless-steel barrel, from which they were continuously sampled by the three IPNs. Some phase repartitioning of condensed SVOC into the 143 144 vapor phase may take place post the denuders in our holding tank and would introduce a positive bias to our filter-based measurements. The experiments were conducted in two sets, the first set 145 included a scanning mobility particle sizer (SMPS, TSI, Inc.) and size measurements from this 146 instrument were used in Mie Theory calculations detailed in Section 2.3. The SMPS was not used 147 in the second set of experiments due to problems with aerosol flows in the system. However, the 148 SMPS data from the first set of experiments gave us an estimate of the range over which the size 149 150 distributions varied and was used to obtain the geometric mean of the size distribution. The realtime absorption and scattering coefficients were measured by the IPNs, and samples were 151 simultaneously collected on quartz fiber filters once a steady state signal was achieved. The 152 153 absorption and scattering coefficients were used to calculate the SSA, which is simply the 154 scattering coefficient divided by the extinction coefficient. Radiative forcing calculations for 155 absorbing OC require good estimates of OC absorption at different SSA values (Lin et al, 2014; 156 Feng et al, 2013; Chakrabarty et al, 2010) underscoring the need to study OA absorption biases as a function of SSA. The particles were passed through the filter samplers at a flowrate of 5 lmin<sup>-1</sup>, 157 158 with sampling times ranging from 2-15 minutes. Two or more filters were collected for a given 159 steady state condition. One of these filters was used to determine the OC and EC fractions of the

deposited particles, and the other filters were used for the extraction experiments. The only exception to this case is one sample of emissions from dung combustion where the resultant aerosol was assumed to be purely organic based on a purely smoldering combustion phase and by comparing with optical properties from previous experiments.

# 164 2.2 Analytical Techniques

#### 165 **2.2.1** Absorption by solvent extracted OC

166 Quartz filters (Pallflex Tissuquartz, 47 mm diameter) collected during sampling were split into 167 four quarters, and each quarter was extracted using either deionized water, acetone, hexane, or methanol. The absorption by hexane extracts were low and prone to errors, so data for its extracts 168 169 were not analyzed. The filters were placed in 3-5 ml of the solvent for 24 hours. The filter was not 170 sonicated to reduce artifacts from mechanical dislodging of BC particles (Phillips and Smith, 2017). The solvent volumes were measured both before and after the extraction and the differences 171 between the two measurements were within 8%. The extracts were then passed through syringe 172 filters with 0.22 µm pores to remove any impurities introduced by the extraction process. 173

The light absorbance of the extracts was measured using a UV-Vis spectrophotometer (Varian Inc., Cary 50) at wavelengths from 300 nm to 800 nm. To compare the absorbance (A( $\lambda$ )) of chromophores in the solution with the absorption coefficient of the particles in the atmosphere, all absorbance values were converted to solution-phase absorption coefficients at given wavelengths (b<sub>abs,sol</sub>( $\lambda$ )) (Liu et al., 2013):

179 
$$b_{abs,sol}(\lambda) = (A(\lambda) - A(700)) \frac{V_l}{V_a * l} . \ln(10),$$
 (1)

180 where  $V_l$  is the volume of solvent the filter was extracted into,  $V_a$  is the volume of air that passed 181 over the given filter area, and *l* is the optical path length that the beam traveled through the cuvette 182 (1 cm). The absorbance at a given wavelength is normalized to the absorbance at 700 nm to account 183 for any signal drift within the instrument. The resulting absorption coefficient (m<sup>-1</sup>) was multiplied 184 by ln(10) to convert from log base 10 (provided by the UV-Vis spectrophotometer) to natural log.

# 185 2.2.2 Absorption by BC and OC in particle phase

To estimate the BC absorption at 375 nm and 405 nm, the absorption data from the IPN operated in the infrared regime at a wavelength of 1047 nm was converted to equivalent BC particulate absorption at the near UV wavelengths, using a BC absorption Ångström exponent ( $AÅE_{BC}$ ) value of 1 (Kirchstetter et al., 2004; Andreae and Gelencsér, 2006). The assumption here being that all the absorption at 1047 nm could be attributed to BC aerosol (Bahadur et al., 2012). The BC light absorption coefficient at shorter wavelengths ( $b_{abs,BC}(\lambda_1)$ ) was calculated by:

192 
$$b_{abs,BC}(\lambda_1) = b_{abs,tot}(1047) \cdot \left(\frac{\lambda_1}{1047}\right)^{-A\mathring{A}E_{BC}},$$
 (2)

where  $\lambda_1$  is the wavelength at which the absorption will be calculated and AÅE is defined for a pair of wavelengths  $\lambda_1$  and  $\lambda_2$  as the exponent in a power law expressing the ratio of the absorption coefficients as follows (Moosmüller et al., 2009):

196 
$$A\mathring{A}E(\lambda_1\lambda_2) = \frac{\ln\left[\frac{b_{abs}(\lambda_1)}{b_{abs}(\lambda_2)}\right]}{\ln\left[\frac{\lambda_2}{\lambda_1}\right]}$$
(3)

197 AÅE is an optical descriptor of the inherent material property. For BC particles, typical values of 198 AÅE  $\approx$ 1, while for OC particles AÅE > 4 (Moosmüller et al., 2009). The value of b<sub>abs,BC</sub> at 375nm 199 and 405nm was then subtracted from b<sub>abs,tot</sub> at those wavelengths to calculate b<sub>abs,OA</sub>. The ratio 200  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  was calculated to represent the scaling bias between the bulk solvent phase 201 absorption coefficient and OA absorption coefficient.

202 The organic and elemental carbon compositions of the filters were measured with a thermal-optical 203 OC/EC analyzer (Sunset Laboratory, Tigard, OR) using the Interagency Monitoring of Protected Visual Environments (IMPROVE)-A Thermal/Optical Reflectance (TOR) analysis method (Chow 204 205 et al., 2007a). The OC/TC ratios were assumed to be constant for a given steady state IPN reading, which allowed us to relate the absorption data to the OC/TC data. The assumption was tested by 206 performing EC/OC analysis of two filters collected during a given steady state for a burn. The 207 OC/TC ratio remained unchanged or within experimental error for the burns and results for the 208 EC/OC analysis of tested filters are provided in Table S1 and S2 of the Supplementary Information. 209

# 210 2.2.3 Uncertainty using Monte Carlo simulations

The uncertainties due to error propagation were evaluated using a Monte Carlo approach. The true 211 measurement value was assumed to possess a Gaussian probability distribution with mean and 212 standard deviation values corresponding to measured values and instrument specifications. 213 214 Calculations were performed by randomly selecting values based on the probability distribution 215 for the different variables and corresponding values for babs, OA/babs, sol were estimated. A total of N 216 = 10000 iterations was performed for each data point and each simulation was rerun 100 times till the b<sub>abs.OA</sub>/b<sub>abs.sol</sub> value converged for the calculations. The propagated error due to the uncertainty 217 218 in all variables was then calculated as the standard deviation of babs, OA/babs, sol values obtained over all the simulations. A pseudocode for the Monte Carlo simulation is detailed in the Supplementary 219 220 Information along with Table S3 which denotes typical mean and standard deviation values used 221 for variables with uncertainties.

#### 222 **2.3** Mie Theory Calculations

A commonly used method to correct for differences between the chromophore absorption in solution and aerosol particle absorption is by using Mie Theory (Liu et al., 2013; Washenfelder et al., 2015). The imaginary part (k) of the complex refractive index m = n + ik can be determined from bulk solution phase absorption data and converted to equivalent OA absorption using Mie Theory along with assumptions regarding the shape of the particles and the real part of the particles complex refractive index.

To find *k*, the mass absorption efficiency  $(\alpha/\rho)$  was determined using the absorbance data and the OC mass concentration in the solution (Liu et al., 2013):

231 
$$\frac{\alpha(\lambda)}{\rho} = \frac{b_{abs,sol}(\lambda)}{M},$$
(4)

where  $b_{abs,sol}(\lambda)$  is the solvent-phase absorption coefficient determined in Eq. (1), and M is the mass concentration of OC in the solution. In the given study, the mass concentration was measured for some of the water extracts using a total organic carbon (TOC) analyzer (Shimadzu, TOC-L). The corresponding water-soluble organic carbon (WSOC) was then used to estimate  $\alpha/\rho$  of the solution. The calculated  $\alpha/\rho$  was then used to determine *k* for the WSOC by (Chen and Bond 2010):

237 
$$k(\lambda) = \frac{\rho \cdot \lambda \cdot \left(\frac{\alpha(\lambda)}{\rho}\right)}{4\pi},$$
 (5)

where  $\lambda$  is the light wavelength at which *k* needs to be calculated, and  $\rho$  is the density of the dissolved organic compounds. A  $\rho$  value of 1.6 (Alexander et al., 2008) was used to calculate the *k* values, and was also used in all subsequent calculations using density. A Mie based inversion algorithm was used to extract the real part of the refractive index (*n*) using data from the SMPS

and IPN (Sumlin et al., 2018a). A sensitivity analysis was performed by varying the n value from 242 1.4 to 2, and the change in Mie calculated absorption was within 18%. The size distribution for the 243 244 WSOC was estimated assuming the same geometric mean and standard deviation as that of the original aerosol, but with number concentrations calculated based on the extracted mass. 245 Calculations for the number concentration are provided in the Supplementary Information. After 246 247 the size distribution and complex refractive index were determined, they were used to calculate the absorption coefficient based on Mie Theory, which was then compared to b<sub>abs.sol</sub> to verify the 248 traditional Mie based scaling factors for converting from solution to particle phase absorption. 249

## 250 3 Results and discussion

# 251 **3.1** Absorption bias correlated with Single Scattering Albedo

252 Fig. 2 shows the trends in  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  for primary organic aerosol emissions with varying SSA. The error bars are estimated from the results of the Monte Carlo simulation and account for 253 254 uncertainties in IPN measurements, UV-Vis spectrophotometer measurements, filter sampling flowrates, BC AÅE based uncertainties and extract volume measurements. Measured SSA for pure 255 256 fractal BC aggregates have values between 0.1-0.4 (Schnaiter et al., 2003; Bond et al., 2013) depending on the size of the BC monomers (Sorensen 2001), and due to this particularly low SSA 257 of BC compared to OC, an increase in the BC content of the aerosol composition would lead to 258 decreasing SSA. This relationship is explored further in Section 3.2 and 3.3. Fig 2. indicates that 259 260 the light absorbed by methanol and acetone extracts were almost identical and would imply that the amount and type of OC extracted by the two solvents were similar, as seen in other studies as 261 262 well (Chen and Bond, 2010; Wang et al., 2014). The reason for observed differences in the bias between water and methanol extracts are discussed further in Section 3.3. The differences between 263

the mean values of  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  at 375 and 405 nm were less than or close to the errors associated with them, hence any trends with wavelength were not explored.

The value of  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  approached a constant in the measured range of data. A power law 266  $(y = k_0 + k_1 x^{k_2})$  was used to fit the points in Fig. 2, and the corresponding fit parameters, along 267 268 with root mean square error (RMSE) values, are listed in Table 1. The fit was performed using the curve fitting tool in MATLAB and the RMSE values were calculated in Microsoft Excel. The 269 power law fits were deficient in capturing the true behavior of the bias with SSA but performed 270 271 better than corresponding mean values and step function curves. The parametrizations presented in this section are representative of laboratory-based biomass burning (BB) aerosol emissions in 272 273 this study and are provided to mathematically visualize trends in the data. These parametrizations might not be extensible to other emissions and should not be used for determining OA absorption 274 bias in other systems. There were large errors associated with the bias for SSA values smaller than 275 0.7 at 375 nm and smaller than 0.825 at 405 nm. These large uncertainties at lower SSA are a result 276 of increasing BC mass fractions at these SSA values. BC absorption coefficients increase with 277 larger EC concentrations which result in significant errors while extrapolating BC absorption from 278 longer wavelengths due to uncertainties is BC AÅE. The large uncertainties at lower SSA values 279 indicate that the method described here is best suited to determine  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  for particles 280 with relatively higher SSA values. 281

282 **3.2** SSA parametrized with OC/TC

A linear relationship between the SSA and the EC/TC ratio was observed by Pokhrel et al. (2016). To replicate the linear trends observed by Pokhrel et al., we studied the correlation between SSA and OC/TC ratio (which is simply the EC/TC ratio subtracted from 1). Fig. 3 shows the variation in SSA with change in the OC/TC ratio of the aerosol. The OC/TC ratio was determined using the

IMPROVE-A TOR protocol with a thermal optical EC/OC analyzer at Sunset laboratories. The 287 data was parametrized using an orthogonal distance regression (ODR) to account for errors in the 288 289 OC/TC ratio and resulting fits along with data points are plotted in Fig. 3. ODR is different from a standard linear regression as it accounts for errors in both the independent and dependent 290 variables by minimizing least square errors perpendicular to the regression lines rather than vertical 291 292 errors as in standard linear regression. The ODR fits are linear with RMSE values of 0.04 and 0.02 293 for wavelengths 375 nm and 405 nm respectively. In Fig. 3, the points corresponding to high 294 OC/TC ratios are associated with SSA values that are close to 1, because pure OC aerosols are 295 predominantly light-scattering. The fit yielded SSA values of 0.89 and 0.96 at 375 and 405 nm respectively for pure OA indicating that the fits represent a spectral dependence of absorption 296 which is characteristic of brown carbon optical properties because the SSA values for pure OC are 297 below 1 at both wavelengths and SSA at 375 nm is lower than that at 405 nm. (Chakrabarty et al. 298 2010). 299

300 A linear relation between the SSA and the EC/TC ratio (which is simply the OC/TC ratio subtracted from 1) was also observed by Pokhrel et al. (2016). However, when the data from that 301 study were converted to OC/TC values for comparison, it was noted that the slopes and intercepts 302 303 of the resulting fits were different from those observed in this study. Table 2 has a list of the slope and intercept of fits for comparable wavelengths in both studies, along with the RMSE for our fit. 304 A likely reason for dissimilar slopes and intercepts between the two studies could be due to 305 discrepancies in EC/OC ratios obtained using the same temperature protocol. Inter-comparison 306 307 studies have shown that different labs using the same sample with identical thermal protocols may produce different results (Panteliadis et al., 2015). The instrument bias could be such that obtained 308 OC/TC ratios would have a proportional offset between different instruments leading to similar 309

linear trends but with different slopes which might be the case here. Another plausible reason for 310 the discrepancy could be positive artifacts in EC/OC analysis due to gas phase SVOCs being 311 312 adsorbed on the quartz surface because of phase portioning of these compounds in the holding tank. This reason seems less likely due relatively small sampling times for the aerosols. To assess 313 the performance of our parametrizations, we compared our fit to data obtained by Liu et al. (2014) 314 315 at 405 nm for BB aerosol. Data from the plots was extracted using Web Plot Digitizer (Rohatgi 2010) and is plotted with our fit in Fig. 4. We observed that our fits predicted SSA well at OC/TC 316 317 ratios > 0.7 with a RMSE value of 0.06 compared to 0.08 by Pokhrel et al. (2016) but predictions were worse for 405 nm at lower OC/TC ratios as is also evident from the relatively high SSA value 318 of 0.39 for BC obtained using our parametrization at OC/TC ratio of 0. Generally, OC/TC ratios 319 are greater than 0.7 for laboratory and field BB (Xie et al., 2019; Akagi et al., 2011; Zhou et al., 320 2017; Xie et al., 2017) which reduces concerns about underperformance of our fits for 405 nm at 321 low OC/TC ratios. It would be appropriate to use these parametrizations to determine a reasonable 322 323 range for SSA values rather than use them as a surrogate to determine actual SSA for a given BB aerosol plume. A modification of Fig. 4 which compares the linear fits by Liu et al. (2014) and 324 Pokhrel et al. (2016) with our parametrizations in provided in the Supplementary Information. 325

Despite the difference between our fits and those by Pokhrel et al. (2016), a useful conclusion from Fig. 3 is that the OC/TC ratio determined using the IMPROVE-A protocol and SSA of BB aerosol have a linear dependence. This dependence, however, has high variations at OC/TC ratios very close to 1, where fuel type and burn conditions dictate the composition and absorption properties (Chen and Bond, 2010; Budisulistiorini et al., 2017) of organics released and hence a larger range of SSA values exist at those OC/TC ratios. Further studies need to be conducted using more fuels with a variety of distinct size distributions and burn conditions to determine the validity and exactparameters for the fit.

#### 334 **3.3** Absorption bias correlated with OC/TC ratio

Fig. 5 depicts the variation in  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  for primary OA with different OC/TC ratios. 335 Because the OC/TC ratio and the SSA are well correlated, we expect to see a similar trend for Fig. 336 5 as in Fig. 2. Similar to Fig. 2, the bias in Fig. 5 increases with decreasing OC/TC ratio and 337 approaches a constant for the three solvents. A power law like the one in Fig. 2 was fit to the data 338 339 in Fig. 5. The fit parameters for the different solvents at the two wavelengths, along with the RMSE value for each fit, are presented in Table 3. We reiterate that the parametrization for 340  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  as a function of OC/TC ratio depicted here is applicable to our system and 341 342 should not be used to calculate the bias in other systems. The exclusivity of depicted fit parameters to our system excuses their relatively poor RMSE while representing the bias with OC/TC ratio. 343 The parametrizations are provided to represent some quantitative measure to the data rather than 344 just analyze the trends qualitatively. The large error bars from the Monte Carlo simulations at high 345 EC fractions are mainly due to uncertainties associated with the BC AÅE. At lower OC/TC ratios, 346 the contribution of BC absorption to total particle-phase absorption coefficient is more 347 pronounced, leading to high uncertainties while extrapolating the coefficient to shorter 348 wavelengths. It is apparent from Fig. 5 that these errors in the bias are more prominent at OC/TC 349 350 ratios below 0.75. The burns with relatively high EC fractions are not representative of typical 351 laboratory or field BB. Typical laboratory BB have OC/TC ratios > 0.7 (Xie et al., 2017; Akagi et al., 2011; Pokhrel et al., 2016; Xie et al., 2019) and > 0.9 for field BB (Aurell et al., 2015; Zhou et 352 353 al., 2017; Xie et al., 2017). Thus, data presented in Fig. 5 with relatively large errors and EC fractions > 0.25 are not representative of typical BB in either laboratory or field settings which 354

may warrant their exclusion from most analysis. We have still included these data points in our
plots and Tables but have excluded their use in data analysis due to the high errors associated with
them.

358 In Fig. 5, the difference in magnitude of the bias between methanol/acetone extracts and water extracts increase as EC fraction of the aerosol increases. An increase in the emissions of ELVOCs 359 360 with increasing EC/OC ratios was observed by Saleh et al. (2014) and we hypothesize that these ELVOCs which have high mass absorption efficiencies (Saleh et al., 2014; Di Lorenzo and Young 361 2016) could have a lower solubility in water than methanol or acetone which would explain the 362 increasing difference in b<sub>abs,OA</sub>/b<sub>abs,sol</sub> values between water and methanol/acetone extracts. Some 363 of the generated ELVOCs might be insoluble in methanol and acetone as well which would lead 364 to the observed increase in the OA absorption bias with decreasing OC fraction of the aerosol. 365

# 366 **3.4** Variations in AÅE with solvents and OC/TC ratios

The AÅE values, for organics extracted in different solvents and those obtained from b<sub>abs,OA</sub> are 367 compared in Table 4. The AÅE values along with the errors for OA measurements were calculated 368 between  $\lambda = 375$  and 405 nm using the Monte Carlo simulation. The AÅE for OA extracts were 369 calculated based on babs.sol and corresponding errors were propagated based on uncertainties in the 370 UV-Vis measurements. Consistent with previous studies (Chen and Bond, 2010; Zhang et al., 371 2013; Liu et al., 2013), the AÅE values of water extracts were larger than the AÅE of acetone and 372 methanol extracts. Experiments by Zhang et al., (2013) observed that polycyclic aromatic 373 374 hydrocarbons (PAHs) absorbed light at longer wavelengths close to the visible region. Organic compounds such as methanol have a higher extraction efficiency for these compounds than water 375 leading to higher absorption by methanol extracts at longer wavelengths which results in lower 376 AÅE (Zhang et al., 2013). 377

The AÅE calculated for OA ranged from  $6.87 \pm 1.73$  to  $15.57 \pm 0.57$  (excluding data with OC/TC 378 > 0.75) which are slightly larger than AÅE values reported by most studies (Pokhrel et al., 2016; 379 ; Lewis et al., 2008). However, these studies report AÅE values in the visible range, which might 380 be lower than aerosol AÅE values in the UV range as observed by Chen and Bond (2010) for OA 381 extracts. The range of AÅE observed for water, acetone and methanol extracts were similar to 382 those observed by Chen and Bond (2010). A t-test for data presented in Table 4 shows that AÅE 383 values for OA were greater than their solution phase counterparts for both methanol (N = 17, p =384 0.0007) and acetone (N = 17, p = 0.0002). The difference in AÅE of OA and water extracts were 385 statistically insignificant (N = 17, p = 0.25), but these differences were statistically significant at 386 OC/TC ratios  $\ge 0.9$  (N = 12, p < 0.05) where uncertainties due to BC absorption are lower. The 387 reason for these differences could be a combination of artifacts due to inefficient extraction of 388 389 organics absorbing light at lower wavelengths and the absence of size dependent absorption in the solvent phase which might not capture effects of enhanced particle phase absorption at lower 390 wavelengths. These bulk solvent measurements of AÅE suggest that they might not be 391 representative of spectral dependence of OC in the particle phase, and future studies and models 392 should be cautious while using AÅE data from solvent-phase measurements to be representative 393 394 of the particle phase.

# 395 **3.4 Mie Calculations**

The absorption coefficient determined from the bulk solvent absorbance using Eq. (1) was compared to absorption coefficients calculated using Mie theory for three samples of smoldering sage. The EC/OC analysis (IMPROVE-A protocol) determined that these samples consisted purely of OC, and because the SMPS measurements and TOC analysis were only performed on the first set of samples, the three samples of sage were considered optimum for the Mie calculations.

The Mie based scaling factors for converting solution phase absorption coefficients to particulate 401 402 absorption for the three samples are presented in Table 5. The Mie calculated scaling factors at 403 375 nm and 405 nm are close to 2 as observed in previous studies (Liu et al., 2013; Washenfelder et al., 2015). The values for these scaling factor vary from 1.99 to 2.05 at 375 nm and 2.15 to 2.29 404 405 at 405 nm. However, it is important to note that these scaling factors are not representative of 406 actual biases for determining OA absorption from solution phase as observed in Table 5. Thus, Mie based correction factor of 2 cannot be used for all conditions, as corroborated by observations 407 from Fig. 2 and Fig. 5. We advise researchers to avoid using such scaling factors for determining 408 409 OA absorption without exact knowledge of OC extraction efficiencies and particle size distributions. 410

## 411 **4** Conclusions

Under controlled laboratory conditions, we determined artifacts associated with optical properties 412 of the solvent phase as compared to particle phase counterparts for primary OA emissions from 413 biomass combustion. We combusted a range of different wildland fuels under different combustion 414 415 conditions, generating a span of different SSA and OC/TC values. The SSA values ranged from 416 0.55 to 0.87 at 375 nm, and from 0.69 to 0.95 at 405 nm, the OC/TC values ranged from 0.55 to 1. We observed an increasing difference in b<sub>abs,OA</sub>/b<sub>abs,sol</sub> for water and methanol extracts with 417 418 increasing EC fraction of the aerosol. The decrease in water extracted absorption with decreasing 419 OC/TC ratios was hypothesized to occur due to a decrease in extraction of ELVOC or similar compounds with high mass absorption efficiencies by water. We also demonstrated that the SSA 420 421 and OC/TC ratios can be well parametrized with a linear fit that captures the effects of brown 422 carbon aerosol. We analyzed the validity of the conventionally used scaling factor of 2 for determining OA absorption coefficients from water extracts of organics and noted that, while the 423

factor is reproducible, its use can misrepresent OA absorption coefficients. We recommend that future studies not use such scaling factors without knowledge of the OC extraction efficiency and particle size distributions as these scaling factors might not be extensible to organic aerosol emissions from all combustion processes. A comprehensive technique which improves extraction efficiency with accurate knowledge of particle size distributions is necessary to determine correct scaling relations.

For future experiments, a better technique to quantify BC absorption at lower wavelengths, such 430 431 as a thermodenuder to strip off all OC, or a single particle soot photometer along with core-shell 432 Mie calculations can be used to determine BC absorption to decrease uncertainties for BC absorption observed during experiments using this technique. Zhang et al. (2013) observed lower 433 AÅE for WSOC from a particle into liquid sampler (PILS) than for methanol extracts. The 434 hypothesis was that the highly dilute environment in PILS increased dissolution of organics in 435 436 water. This suggests that extraction of organics can be increased by heavily diluting the samples. 437 This can be combined with highly accurate spectrometers similar to the technique used by Hecobian et al. (2010) to reduce some of the biases due to incomplete OA extraction. 438

# 439 Author Contributions

440 RKC conceived of this study and designed the experiments. SB and WMH collected the fuels for 441 the experiments and performed EC/OC analysis on the sampled filters. NJS and AP carried out the 442 experiments and analysed the data. NJS analysed the data and prepared the manuscript with input 443 from all co-authors.

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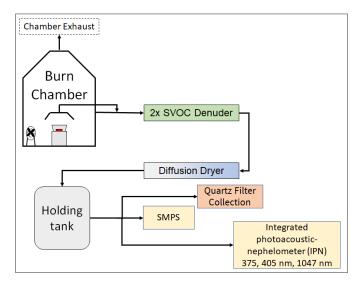
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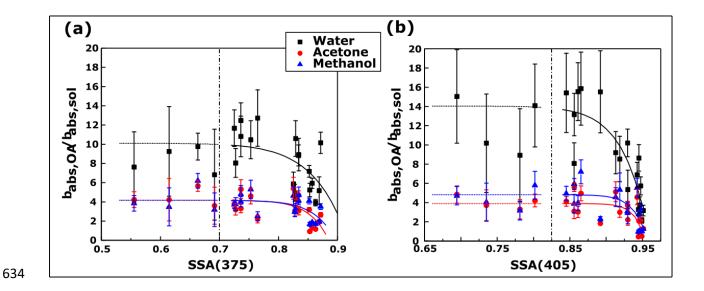
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# **Figures and Tables:**



**Fig. 1:** A schematic representing the experimental setup. The aerosol emissions were either

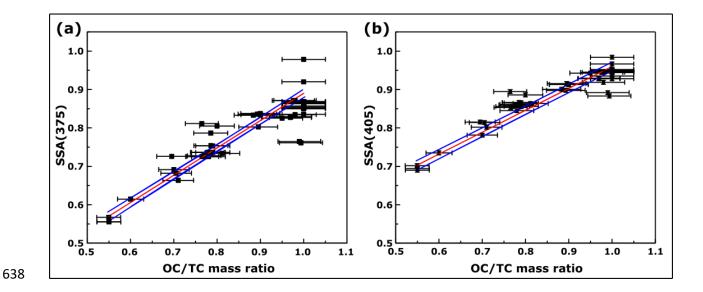
sampled directly from the chamber wall or through a hood placed directly above the combustingbiomass.



**Fig. 2**: Variation in  $b_{abs,OA}/b_{abs,sol}$  with change in the SSA at (a) 375 nm and (b) 405 nm (N = 21).

636 The error bars represent one standard deviation from the mean. The perforated lines separate points

at lower SSA, which have high errors due to uncertainties in BC AÅE, from the data at high SSA.



**Fig. 3:** SSA at (a) 375 nm and (b) 405 nm as a function of the OC/TC ratio (N=49). The solid red lines are ODR fits to the data and the solid blue lines represent the 95% confidence intervals. The

641 errors in OC/TC ratios were determined by the quadrature sum of uncertainties from EC/OC

analysis and the error in SSA were negligible

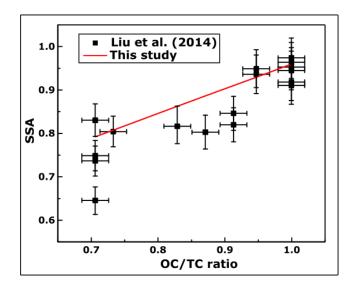
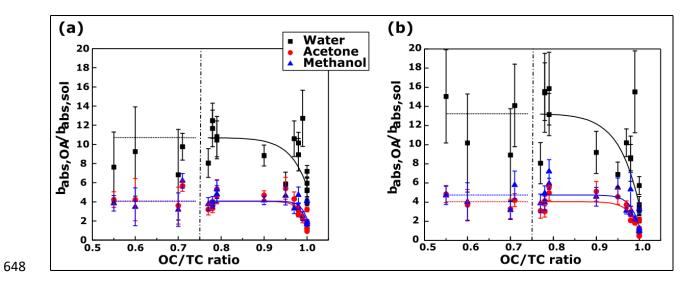


Fig. 4: Measured SSA values by Liu et al. (2014) for controlled laboratory combustion
experiments plotted with the solid red line representing the ODR parametrization determined in
this study.



**Fig. 5:** The values of  $b_{abs,OA}/b_{abs,sol}$  plotted with the OC/TC ratio, instead of the SSA, as in Fig. 2.

	Wavelength	Solvent Fit Parameters					
	(nm)		k <sub>0</sub>	$\mathbf{k}_1$	k <sub>2</sub>	RMSE	
	375	Water	10.1 (±2.1)	-39.83 (±177.1)	16.09 (±31.3)	2.21	
		Acetone	4.17 (±0.8)	-117.4 (±36.9)	27.46 (±37.5)	1.12	
b <sub>abs,OA</sub> b <sub>abs,sol</sub>		Methanol	4.16 (±0.77)	-69.12 (±451.6)	25.76 (±45.4)	1.06	
	405	Water	14.04 (±4.2)	-42.37 (±70.5)	27.42 (±35.5)	2.6	
		Acetone	3.89 (±1.12)	-95.6 (±609.9)	68.3 (±121.8)	1.3	
		Methanol	4.82 (±1.4)	-49.05 (±250.3)	53.07 (±98)	1.48	

650 Table 1: Fit coefficients for  $b_{abs,OA}(\lambda)/b_{abs,sol}(\lambda)$  as a function of SSA  $(y = k0 + k1 (SSA)^{k_2})$  for

tested solvents and the fuels analyzed in this study along with the RMSE value for each fit.

Table 2. ODR regression coefficients along with errors in brackets for plots of SSA v/s OC/TC ratios (y = m (OC/TC) + c) for the different biomass fuels used in this study, and parameters for ODR fit from Pokhrel et al. (2016) for 405 nm, along with RMSE values for our fits.

	Wavelength (nm)	m	с	RMSE
This study	375	0.71 (±0.04)	0.18 (±0.03)	0.04
	405	0.57 (± 0.02)	0.39 (± 0.02)	0.02
Pokhrel	405	1.07 (±0.04)	-0.13 (±0.04)	~~

Table 3: Fit parameters for ratios of the absorption coefficient of organics in the particle phase to the absorption coefficient of the solvent phase, as a function of the OC/TC ratio ( $y = k_0 + k_1(OC/TC)^{k_2}$ ) for the fuels analyzed in this study, along with the RMSE value for each fit.

	Wavelength	Solvent	Fit Parameters			
	(nm)		k <sub>0</sub>	$\mathbf{k}_1$	k <sub>2</sub>	RMSE
	375	Water	10.7 (±1.83)	-5.05 (±2.54)	24.96 (±36.2)	2.01
		Acetone	4.05 (±0.77)	-2.37 (±1.21)	57.98 (±86.21)	0.98
b <sub>abs,OA</sub> b <sub>abs,bulk</sub>		Methanol	4.08 (±0.7)	-1.82 (±1.11)	71.54 (±139.6)	0.9
	405	Water	13.24 (±2.41)	-9.46 (±3.15)	20.81 (±20.94)	2.47
		Acetone	4.05 (±0.85)	-3.08 (±1.29)	43.29 (±49.62)	1.04
		Methanol	4.75 (±1.07)	-3.24 (±1.65)	49.02 (±69.46)	1.33

<b>D</b> 1	OC/TC ratio				
Fuel		OA	Water	Acetone	Methanol
Dung	1	$13.74\pm2.27$	$8.00\pm2.02$	$5.29 \pm 1.43$	5.21 ± 1.29
	1	$15.32\pm2.36$	$8.95\pm2.04$	$5.85\pm0.44$	$7.75\pm0.64$
	1	$15.57\pm0.57$	$7.48 \pm 1.84$	$4.62\pm0.31$	$4.5\pm0.91$
	1	$14.93\pm2.73$	$8.55 \pm 1.19$	$5.25\pm0.2$	$6.8 \pm 0.39$
Sage	1	$13.93 \pm 1.91$	$10.87 \pm 1.19$	$8.62\pm0.69$	$8.8 \pm 1.12$
	1	$10.65 \pm 1.47$	$10.71 \pm 4.54$	$6.29\pm3.2$	$7.3 \pm 2.9$
	0.97	$10.58\pm2.41$	$9.88 \pm 1.42$	$5.2\pm0.79$	$5.8\pm0.69$
	0.79	$7.36\pm2.88$	$12.28\pm2.4$	$8.62\pm0.76$	9.17 ± 1.2
	0.79	$8.21\pm2.40$	$10.58\pm2.15$	$8.73\pm0.83$	$8.3 \pm 1.3$
	0.71	$10.36 \pm 1.41$	$7.5\pm3.11$	$6.29 \pm 1.71$	$6.4 \pm 2.11$
	0.55	$9.94 \pm 4.21$	$6.48 \pm 4.77$	$3.84 \pm 1.97$	$3.6 \pm 2.8$
Grass	0.99	$10.05\pm2.43$	$12.08\pm4.56$	$7.84\pm0.93$	$7.5 \pm 1.23$
	0.78	$9.92\pm3.11$	$10.15\pm2.25$	$8.47\pm0.47$	$9.6\pm0.62$
	0.78	$6.87 \pm 1.73$	$9.7\pm3.75$	$7.49\pm0.81$	$7.3 \pm 1.6$
	0.77	$8.99 \pm 4.04$	$8.21 \pm 1.58$	$8.12\pm0.62$	$8.4\pm0.92$
Pine	0.98	$11.82 \pm 1.04$	$9.36\pm2$	$8.55\pm0.75$	$8.1\pm1.08$
	0.98	$8.68 \pm 1.89$	$9.59\pm3.39$	$8.36 \pm 1.83$	$8.6 \pm 1.53$
	0.95	$14.20\pm3.53$	$16.44 \pm 1.34$	$11.81\pm0.91$	$12.8 \pm 1.27$
	0.9	$8.24\pm2.36$	$9.09\pm2.33$	$8.75 \pm 1.63$	$8.7\pm1.97$
	0.7	$15.96\pm10.87$	$9.93\pm3.13$	$6.33 \pm 2.24$	$5.83\pm2.08$
	0.6	$17.41 \pm 10.81$	$6.36\pm3.31$	$5.2\pm2.81$	$5.4 \pm 2.91$

661Table 4: The AÅE of OA from various fuels extracted in water, acetone, and methanol, along with662the AÅE calculated for  $b_{abs,OA}$ .

	Coomotrio	Geometric	Mie based So	caling Factor	IPN based bias	
Fuel	Geometric mean (in nm)	standard deviation	375 nm	405 nm	375 nm	405 nm
Sage	397	1.3	$2.04\pm0.38$	$2.27 \pm 0.41$	$2.6\pm0.61$	$1.64 \pm 0.55$
	271	1.32	$2.05\pm0.38$	$2.29\pm0.41$	$2.8\pm0.57$	$1.87 \pm 0.32$
	159	1.59	$1.99 \pm 0.36$	$2.15 \pm 0.39$	$2.81\pm0.52$	$1.83 \pm 0.37$

Table 5: Correction factors for bulk solution absorption to particle phase absorption, based on MieTheory calculations.