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# Photochemical Production of Ozone and Emissions of NO<sub>x</sub> and CH<sub>4</sub> in the San Joaquin Valley

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Abstract. Midday summertime flight data collected in the atmospheric boundary layer (ABL) of California's San Joaquin Valley (SJV) are used to investigate the scalar budgets of NO<sub>x</sub>, O<sub>3</sub>, and CH<sub>4</sub> in order to quantify the individual processes that control near surface concentrations yet are difficult to constrain from surface measurements alone: most importantly, horizontal advection and entrainment mixing from above. The setting is a large mountain-valley system with a small aspect ratio where topography and persistent temperature inversions impose strong restraints on ABL ventilation. In conjunction with the observed time rate of change this airborne budgeting technique enables us to deduce net photochemical ozone production rates and emission fluxes of NO<sub>x</sub> and CH<sub>4</sub>. Measured NO<sub>x</sub> emissions from our principal flight domain were 216 (± 33) metric tons/ day averaged over six flights in July and August, which is nearly double the California government's NO<sub>x</sub> inventory for the surrounding three county region. We consider several possibilities for this discrepancy including the influence of wildfires, the temporal bias of the airborne sampling, instrumental interferences, and the recent hypothesis presented by Almaraz et al. (2018) of localized high soil NO emissions from intensive agricultural application of nitrogen fertilizers in the region and find the latter to be the most likely explanation. The methane emission average was 438 Gigagrams/ year (± 143), which exceeds an emissions inventory for the region by almost a factor of two as well. Measured ABL ozone during the six afternoon flights averaged 74 ppb ( $\sigma$ =9.8 ppb). The average mid-afternoon ozone rise of 2.8 ppb/h was found to be comprised of -0.8 ppb/h due to horizontal advection of lower O<sub>3</sub> levels upwind, -2.2 ppb/h from dry deposition loss, -0.5 ppb/h from dilution by entrainment mixing, and 6.7 ppb/h net in-situ photochemical production. The O<sub>3</sub> production rates exhibited a dependence on NO<sub>2</sub> concentrations ( $r^2 = 0.35$ ), and no discernible dependence on methane concentrations ( $r^2 \sim 0.02$ ) which are correlated with many of the dominant VOC's in the region, suggesting that the ozone

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chemistry was predominantly NO<sub>x</sub>-limited on these flight days. Additionally, in order to determine the heterogeneity of the

different scalars autocorrelation lengths were calculated for potential temperature (18 km), water vapor (18 km), ozone (30

km), methane (27 km), and NO<sub>x</sub> (28 km). The spatially diffuse pattern of CH<sub>4</sub> and NO<sub>x</sub> seem to imply a preponderance of

broad areal sources rather than localized emissions from cities and/or highway traffic within the SJV.

1 Introduction

The setting for this research is the San Joaquin Valley (SJV) (see Figure 1) which is the southern end of California's Central

Valley, one of the largest valleys by area in the world. The SJV is a complex mesoscale environment where the surrounding

topography limits the low-level flow in the valley and renders vertical mixing of partiular importance to atmospheric

boundary layer (ABL) ventilation similar to the Po Valley of Italy (Maurizi et al., 2013). Estimates of the coverage of

mountainous terrain on the Earth's land surface varies anywhere from ~25% - 70% (Grab, 2000; Noppel and Fiedler,

2002; Rotach et al., 2014), depending on the subjective criterion used, and thus orographically induced mesoscale

circulations are of paramount importance in understanding the earth-atmosphere exchange (EAE) over much of the

continental land area. Horizontal inhomogeneities in the Earth's land surface affect the adjacent ABL in a variety of ways

leading to pronounced changes in the EAE involving sea-breezes (Miller et al., 2003), internal boundary layers (Garratt,

1990), and orographic effects (Rotach et al., 2015). Additionally, valleys are popular areas for human inhabitation due to

lowland access, access to river waterways, and fertile soils for agriculture (Christopher Small and Joel E. Cohen, 2004).

The SJV is well known for its persistent air quality challenges (Lagarias & Sylte, 1991; Cox et al., 2013). As of 2013 the

Valley is a non-attainment site for the state and federal 8-hour standard for O<sub>3</sub>, a status that is only going to be aggravated by

the recent reduction in the federal 8h standard to 70 ppbv (US EPA). Moreover, the majority of the SJV, especially its

southern end, has been designated non-attainment for PM2.5 for the state and federal standards (California Air Resources

Board (CARB)) since 2013. The need to understand and find solutions to these air quality issues has been the catalyst of

numerous studies, including major multi-researcher field campaigns. In 1990, the San Joaquin Valley Air Quality Study

(SJVAQS) was the largest study of its kind in the U.S. up to that point when the SJV was considered the nation's second

worst overall air quality problem (Lagarias and Sylte, 1991). In 2000 the Central California Ozone Study (CCOS), a multi-

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Discussion started: 23 January 2019

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year program of meteorological and air quality monitoring, emission inventory development, and air quality simulation

modelling, held its intensive observation period. And in 2010 the California Research at the Nexus of Air Quality and

Climate Change Study (CALNEX) (Ryerson et al., 2013) was conducted across Southern California and the SJV. These

traditional studies tended to focus on ground-based atmospheric chemistry observations in the SJV measuring as many

different components of the oxidation chemical mechanism as possible in one location, for example at a "supersite" in

Fresno, CA (Watson et al., 2000). However, a prominent meteorological process that can strongly influence surface

concentrations is mesoscale advection by the horizontal wind flow, and due to the complexity of the surface wind field in

complex terrain and the heterogeneity of surface sources this process's contribution to local air quality problems is difficult

to account for in these types of studies. Furthermore, in studies that deploy airborne platforms, the flight data tends to be

limited in duration or overextended in sampling domain and/or altogether uncoordinated with the surface sites.

Another essential process influencing the air quality at surface sites is mixing at the top of the ABL, or entrainment.

Entrainment, the dynamical process whereby a turbulent mixed layer incorporates adjacent fluid that is laminar or much less

turbulent, predominantly drives the daytime ABL growth, and is generally a diluting process when considering trace gases

with surface sources (or precursors.) Local ABL air affected by surface emissions is diluted with background, less-turbulent,

and typically warmer and dryer air in which pollutant concentrations remain relatively low (Stull, 1988). This classical

image is complicated, however, when polluted air is transported locally, regionally, and/or synoptically to the atmosphere

above the ABL before being entrained.

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Wheeler et al. (2010) investigated ozone events that occurred during CCOS and found that the tendency of photochemical

models to underestimate peak ozone was likely due to an under-representation of emissions, particularly from wildfires, as

well as regional recirculation and transport of ozone and/or ozone precursors aloft across the model's boundaries. Polluted

ABL air can also be vertically recirculated in complex terrain by slope venting along valley sidewalls only to be

reincorporated into the valley boundary layer via entrainment (Fast et al., 2012; Leukauf et al., 2016; Henne et al., 2004).

Moreover, a growing body of evidence is suggesting that distal air pollution can represent a significant source of local air

quality degradation in the Western U.S. as a result of entraining air masses that have been transported across the Pacific

(Parrish et al., 2010; Huang et al., 2010; Lin et al., 2012; Pfister et al., 2011; Ewing et al., 2010).

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Discussion started: 23 January 2019

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Atmospheric § Chemistry

In addition to issues of long-range transport, mesoscale dynamics, and turbulent mixing there are outstanding questions

about the chemistry and sources of pollutants in the SJV. Pusede and Cohen (2012) suggested the existence of a temperature

dependent VOC in the SJV and their results indicated that the trend in ozone exceedance days, at least over the past dozen

years or so, was due to a transition to  $NO_x$ -limited photochemistry and ongoing  $NO_x$  reduction strategies in the region. Even

with a well-accepted theory of ozone chemistry discrepancies still exist between measured and modelled ozone from

regional air quality models (Brune et al., 2016). That study found ozone production rates from HO<sub>2</sub> around the morning rush

hour to be double modeled rates when NO typically reached its highest diurnal levels, and measured HO<sub>2</sub> in instances of the

very highest observed NO was seen to rise to more than ten times the modelled values. Another study from the same CalNex

surface data set posited that an unknown temperature-dependent VOC, quite possibly of agricultural origins, dominates OH

reactivity at high temperatures when O<sub>3</sub> problems are most likely (Pusede et al., 2014). Agricultural sources of NO<sub>x</sub>, an

important precursor for ozone production and a dangerous pollutant in and of itself, were estimated using three independent

methods in the study of Almaraz et al. (2018) suggesting that California's croplands may account for 20-51% of the state's

overall NO<sub>x</sub> emissions while current CARB inventories assume that the contribution from soils is insignificant.

The purpose of this study is to employ in-situ aircraft data, including meteorological and chemical data, collected

predominately during the summer of 2016 in the SJV for an integrated study of ozone, NO<sub>x</sub>, and methane employing a scalar

budget technique. The individual terms of the scalar budgets are calculated which are responsible for the observed overall

time rates of change in the ABL, enabling a relative comparison of each individual process. This method includes treatments

of both horizontal advection and entrainment mixing - essential processes not well captured in modelling or ground-site

studies.

2 Geophysical Setting and The Buffer Layer

In the southern SJV prevailing northwesterly surface winds (parallel with the valley axis) slow down as they converge

against a topographical cul-de-sac leading to stagnation. The SJV has a long and deep geography, running approximately

400 km (Stockton to Arvin) bounded at over 3 km on its north eastern flank (Southern Sierras), ~1 km to its southwest

(Diablo and Temblor Ranges), and ~2 km at its terminus (San Emigdio and Tehachapi Mountains, see Figure 1). The surface

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Discussion started: 23 January 2019

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Chemistry

Atmospheric §

airflow in the SJV comes through gaps and cols in the Pacific Coast Range, predominately around the San Francisco Bay

Area near the Carquinez Strait bringing fresh emissions of NO<sub>x</sub> and VOC precursors from those urban areas. These

precursors generate ozone concentrations that typically increase as the air mass moves southward, often reaching its

maximum in the southern end of the valley near Bakersfield (Cox, 2013). This north to south gradient can be seen in Figure

2 from four observation stations in the SJV showing the annual pattern of the probability of ozone exceedances from 10

years of CARB data. However, the horizontal distribution of ozone is not always so straightforward and different

'background' meteorological conditions can distort this general pattern (Jin et al., 2011).

Elevated temperature inversions above the SJV in the summer, which are present almost every day of the year (Iacobellis et

al., 2009), constrain vertical air motions and impede the venting of air pollution. These inversions coupled with the

topographic isolation of the SJV air along the valley floor make the valley's air quality strongly dependent, not only on local

emissions, but also on the nature of the entrainment mixing. The air above the ABL in the SJV is unique and not purely

background air of the free troposphere (FT) as in most flat terrain. A three-layer conceptual system has been presented in

Trousdell et al. (2018a) for the SJV comprised of: the ABL, a buffer layer, and the FT. This buffer layer is a mixture of

'background' air masses aloft flowing over the Coast Range mountains, with a Froude number of order 0.1, that stagnate

against the Sierra Mountains, and SJV boundary layer air transported vertically along the valley sidewalls on its transit up

the valley. The vertical extent of the buffer layer begins atop the ABL, which across the region in the afternoons during the

summer average around 700 m, up to roughly 2000 m (AGL). Trousdell et al. (in preparation) approximated the residence

time within this buffer layer to be about one week based on analysis of WRF model output.

3 Methods

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3.1 WRF Model Configuration

The Weather Research and Forecasting (WRF) model version 3.8.1 was used in hindcast to provide vertical velocities

essential to the study but not measured by the aircraft. The model was configured using two, two-way nested domains using

initialized at 12 and 4-kilometer resolution. Much of the coarser domain covers the Western United States, while the finer

resolution domain is centered around California and Nevada. This model configuration features fifty terrain following

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Discussion started: 23 January 2019

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vertical levels, with thirty levels being located below five kilometers in height, and an increased resolution near boundary

layer heights within the SJV. The Moderate Resolution Imaging Spectroradiometer (MODIS) dataset was used for land

usage categories. The North American Regional Reanalysis (NARR) data set was used to initialize model runs, and new

initial conditions were introduced every 3 hours. In addition, four-dimensional data assimilation (FDDA) was utilized in the

coarse domain for wind speeds in every vertical level, and temperature/water vapor within the lowest vertical level and

above the planetary boundary layer. FDDA used the National Centers for Environmental Prediction (NCEP) Administrative

Data Processing (ADP) Global Surface Observational Weather Data (ds461.0) and Upper Air Observational Weather Data

(ds351.0), both of which are at 6-hour temporal resolution, to nudge model runs.

3.2 Aircraft Instrumentation

Aircraft data was collected by a Mooney Bravo and Mooney Ovation, which are fixed-wing single engine airplanes operated

by Scientific Aviation Inc. The wings are modified to sample air through inlets, which flow to the on-board analysers.

Temperature and relative humidity data were collected by a Visalia HMP60 Humidity and Temperature Probe, ozone was

measured with a dual beam ozone absorption monitor (2B Technologies Model 205). A Picarro 2301f cavity ring down

spectrometer operated in its precision mode at 1 Hz measured CH<sub>4</sub>. The Picarro has an approximate 10s lag time (Conley

et al., 2017). The stainless steel(3.175 mm) tubing for the Picarro has an outer diameter of 1/8 in. with a flow rate of about

0.2 slpm. The total length of the tubing which collects the ambient air is roughly 5 m long and exits out of a backward facing

aluminum inlet mounted below the right wing of the aircraft.

NO was measured by chemiluminescence (ECO PHYSICS Model CLD 88). A blue light LED photolytic converter (model

42i BLC2-395 manufactured by Air Quality Design, Inc.) was used to selectively convert NO2 to NO for alternating

measurements of  $NO_x$  (= $NO+NO_2$ ). The instrument was cycled through the states of NO and total  $NO_x$  every 20 seconds.

Calibrations were performed by O<sub>3</sub> titration with a NIST traceable NO standard (Scott-Marrin, Inc.) certified to within 5%.

Full calibrations were performed before and after the entire flight series, with zero and span checks run routinely before and

after each flight. Additionally, every 10 minutes the sample flow and the instrument's generated ozone was redirected

through a pre-reaction chamber for a 40 second period where the NO+O<sub>3</sub> reaction and subsequent chemiluminescence was

allowed to take place before the detection cell, thereby tracking any matrix interferences that may add to the usual

Manuscript under review for journal Atmos. Chem. Phys.

Discussion started: 23 January 2019

23 January 2019

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Atmospheric Chemistry And Physics

chemiluminescence in flight. These background signals interpolated between the 10 minute intervals were then subtracted

from the continuous measurements. The interpolated NO2 signal was noted to decay approximately exponentially after

powering up, which sometimes affected the first 15-30 minutes of flight. The presumed artifact was successfully replicated

in the lab with a constant NO2 concentration, and was removed by exponential detrending (See Supplement). Winds are

measured on the aircraft using a Duel-Hemisphere Global Positioning System combined with direct airspeed measurements,

as described in Conley et al. (2014).

3.3 Flight Strategies

The flights specifically target that time of the day when the ABL is actively growing, but has passed the original rapid

growth phase through the neutrally stable residual layer. The main data set we use here is from the six flights sponsored by

the US EPA (labelled EPA in Figure 1) that were conducted between Fresno and Visalia in the afternoons of 26-28 July and

4-6 August, 2016 from 1100 to 1500 PST with an approximate altitude range from the surface up to 4 km. The aircraft flight

legs where aligned with the valley axis in order to capture the advection of the measured tracers. The flight domain was

between Fresno and Visalia and focused on the lowest few kilometers of the atmosphere during the California Baseline

Ozone Study (CABOTS; from now on referred to as the CABOTS flights). The flight days were selected in coordination

with a crew from NOAA operating a Tunable Optical Profiler for Aerosol and Ozone (TOPAZ) lidar in Visalia, California.

Periodically the plane would make vertical profiles in order to diagnosis the ABL top, its growth, and vertical profiles of the

measured scalars.

The other fifteen flights we flown as a part of a residual layer ozone study (from now on referred to as RLO flights) and the

flight pattern was different from the previous six mentioned. The flights were shorter in time duration and did not cover the

cross-valley dimension significantly. The flights consisted of direct transects from Fresno to Bakersfield and back with

approximately six vertical profiles over about two and a half hours between 1230 and 1500 PST. The flights still offer

valuable information for the study.

3.4 Scalar Budgeting Technique

The quantification and categorizing of the essential processes determining the surface concentrations of these pollutants can

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Discussion started: 23 January 2019

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be executed by targeted flight campaigns. Doing so is an invaluable service to the air quality community, especially modelers interested in checking their models on a process basis. After quantifying the individual terms of the budget equations, their relative importance can be weighted to provide a better understanding of the leading causes and factors affecting surface concentrations. Outlined in the seminal work of Lenschow et al. (1981) are original applications of the scalar budgeting techniques used by Warner and Telford (1965) and Lenschow (1970) to help validate the newly developing technique of eddy covariance for measuring sensible heat fluxes by aircraft. Lenschow et al. (1981) proceed to describe the effectiveness of well-designed aircraft ABL studies in determining the net source or sink (in their case for ozone) given the careful measurement of the other dynamically controlled terms. The technique can be generalized to any scalar budget (i.e. ozone, NO<sub>x</sub>, water vapor, DMS, SO<sub>2</sub>) to enable the calculation of important residuals including source or sink terms for nonconserved species (Bandy et al., 2011; Conley et al., 2009; Faloona et al., 2009; Kawa and Pearson, 1989). For a more in depth discussion of the airborne budgeting technique and specifics for the budgets of methane and ozone in the SJV see Trousdell et al. (2016). The calculation of our emission estimates necessitates that we find an effective area of the ground that encompasses all the sources that have influenced the ABL air mass we sample. For each of the six flights we simply drew a polygon enclosing the latitude and longitude coordinates of the aircraft sampling within a time dependent ABL whose height was parameterized using a linear equation derived from our ABL height estimates from the approximately six vertical profiles made during each flight. Given the relatively weak daytime surface winds in the SJV ABL this approach is justified and a conservative twenty-percent error has been included in the error analysis for it. The average area of this polygon was  $5,200 \text{ km}^2 (\sigma = 940 \text{ km}^2)$ . Total flight time in the SJV was twenty-two hours with eight hours in the ABL.

## 3.4.1 NO<sub>x</sub> Budget

Calculating the budget for NO<sub>x</sub> requires closing out the following equation:

$$\frac{\partial [NO_x]}{\partial t} = \frac{F_0}{z_i} + \frac{w_e \Delta [NO_x]}{z_i} - \frac{\overline{[NO_x]}}{\tau_{NOx}} - U \frac{\partial [NO_x]}{\partial x},\tag{1}$$

The budget terms are (in order from left to right): a storage term( $\frac{\partial NO_x}{\partial t}$ ), the difference between the surface flux( $F_0$ ) and entrainment flux which is comprised of the entrainment velocity( $w_e$ ) and the jump in NO<sub>x</sub> concentration( $\Delta[NO_x]$ ) across the entrainment zone divided by ABL height  $(z_i)$ , the chemical loss term which is the mean NO<sub>x</sub> concentration([NO<sub>x</sub>]) divided

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Discussion started: 23 January 2019

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Atmospheric Ş

by the photochemical lifetime of  $NO_x(\tau_{NOx})$  and horizontal advection( $-U\frac{\partial NO_x}{\partial x}$ ). Unlike our other budgets calculating  $NO_x$ 

requires the chemical loss term because of its short chemical lifetime. The oxidation rate of NOx is principally controlled in

the daytime by reaction with OH. Therefore, the rate constant  $k_{NO2+OH}$  was estimated from the equation and data presented

for termolecular reactions given by JPL in their chemical kinetics publication 15-10 (Burkholder, 2015), with an average

temperature and pressure taken from our flight data (average effective first order reaction rate, k<sub>NO2+OH</sub>[M] ~ 1.0x10<sup>-11</sup>

cm<sup>3</sup>/molec/s.) The median midday peak OH we chose to use in our calculation was observed in a different study to be

approximately 6-8x106 molec cm<sup>-3</sup> in the San Joaquin Valley (Brune et al., 2016), with a flight time average of about 6 x106,

which yields an average afternoon NO<sub>x</sub> photochemical lifetime,  $\tau_{NO_x}$ , of ~4.6 (±0.08) hours for the six flights.

4 Results and Discussion

4.1 Budgets

In a companion paper Trousdell et al., (in preparation) measured the surface sensible heat flux for our flight region via three

independent methods. The first being a turbulence analysis of the horizontal ABL winds using mixed-layer similarity

considerations, the second a scalar budget analysis for potential temperature in the ABL, and finally the output of the land

surface parameterization of the WRF model. The results support each other and afford us extra assurance in the budgeting

15 technique.

Supporting information from 15 additional flights in the SJV are presented for a project focused on studying ozone over the

diurnal cycle, with a focus on residual layer ozone, and used for some of the analysis (hereupon referred to as 'RLO' flights).

In general, we expect the EPA flights to yield better results because they were longer and more geographically focused

targeting a complete midday budget of the scalars. Nevertheless, we performed the same analysis on the midday RLO flights

and there do appear to be significant differences between the two domains (see Figure 1) when looking at the averaged

quantities between the campaigns. For example, the entrainment rates for the entire region down to the southern end of the

SJV at Bakersfield are nearly %50 larger than those around Fresno/Visalia. This is an interesting finding and one that is

consistent with generally deeper boundary layers found in the southern end of the SJV as pointed out in previous studies

(Bianco et al., 2011; Trousdell et al., 2016).

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Discussion started: 23 January 2019

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4.1.1 NO<sub>x</sub> Emissions

NO<sub>x</sub> ABL data was filtered by eliminating data greater than one standard deviation above the mean before being analysed in

order to remove the skewness from the distributions. This was done to eliminate the spikes which were consistently found

throughout the end of the flights, with their possible source being fire smoke entrained in the late afternoon. Because the

spikes were only encountered in the later afternoon their influence was particularly troublesome in estimates of the secular

trend in NO<sub>x</sub>. In four cases, simply removing the spikes from the ABL data set permitted a reasonable estimate of the

afternoon trend, but on two flights we resorted to using data from the CARB monitoring network

(https://www.arb.ca.gov/adam/hourly/hourly1.php). The trend established was the average of three station trends (from

11:00-16:00 PST) throughout the region (Fresno-Garland, Visalia-N. Church St., and Hanford-S. Irwin St.). The estimates

from the surface network and aircraft were very comparable for the other four flights where both were measured (averages of

-0.38 vs. -0.34 ppb/hr, respectively.)

Results from the NO<sub>x</sub> budgeting are shown in Table 1. The average -0.36 ppb/hr secular trend of NO<sub>x</sub> is largely determined

on average by chemical loss -1.4 ppb/hr and emission 1.3 ppb/hr. Advection on average is not significant but on any given

day (for instance, 07/29) it can be large, which has been found to be the case elsewhere for other scalars and geophysical

settings (Conley et al., 2009; Conley et al., 2011; Faloona et al., 2009). The relative role of entrainment changes from day to

day as well, when compared to the average chemical loss it is about fifteen percent but on two of the flights (07/27 and 28) it

is almost double that relative magnitude.

Measured emissions for the flight region were averaged and converted to metric tons/ day giving an estimate of 216 metric

tons/day (± 33, standard error, see error analysis section). The California Air Resources Board's (CARB) total NO<sub>x</sub>

inventory, representative of the summer based on CARB's CEPAM 2016 SIP - Standard Emission Tool (available at:

https://www.arb.ca.gov/app/emsinv/fcemssumcat/fcemssumcat/2016.php, 2018b) is 103.7 metric tons/day for the three

surrounding counties: Tulare, Fresno, and Kings in SJV. The combined size of these three counties is about six times the

flight region area. Thus we would expect the airborne sampling domain to be a subset of the three-county region; however,

since ~86% of the NO<sub>x</sub> sources in the CARB inventory are mobile for these counties and our sampling occurred in the

vicinity of each county's major population center (Visalia, Fresno, and Hanford) and one of the SJV's main traffic arteries

Manuscript under review for journal Atmos. Chem. Phys.

Discussion started: 23 January 2019

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(CA state highway 99), it may be reasonable to expect the countywide NO<sub>x</sub> emissions to be mostly sampled by the flights.

Calculated NO<sub>x</sub> chemical lifetimes averaged out to be 4.62 hours ( $\sigma$ = 0.08) for all flights, based on the Jet Propulsion

Laboratory rate constant data for nitrogen dioxide's reaction with the hydroxyl radical to form nitric acid. We discuss several

possible explanations for the discrepancy in our emission estimate and those of the CARB inventory in the following

sections: soil NO<sub>x</sub> emissions from fertilized agriculture in the region, wildfire effluent impacts on the airborne

measurements, the bias due to the daytime sampling times, and possible chemical interferences to the measurement.

4.1.1.1 Soil NO<sub>x</sub> Emissions from Agriculture

CARB currently considers mobile sources to make up 86.3% of the total NO<sub>x</sub> emissions and that agriculture contributions

are negligibly small. Nonetheless, agriculture represents the largest source of nitrogen to the state in the form of synthetic

fertilizers (32%) and animal feed (12%) with about half of what is being applied to crops being lost to the environment

(Tomich, 2016). Parrish et al. (2017) studied the temporal change in the ozone design values for California air basins over

the past three decades and three heavily agricultural regions stuck out: San Joaquin Valley, Salton Sea (containing the

Imperial Valley), and North Central Coast (containing the Salinas Valley.) Parrish et al. (2017) went on to fit the trends of

the air basins to that of the South Coast Air Basin in their mathematical model in order to optimize their parameters but in

doing so had to leave out the data in the SJV before 2000. From 1980 to 2000 the trend more or less plateaus for the SJV,

and since 2000 the trend is anomalously slow in the Salinas Valley and has an uncommonly high offset in the Salton Sea.

The authors go on to suggest that this may be explained by agricultural emissions, and/or from some unspecified

temperature-dependent VOC with a possible connection to agricultural practices, as proposed by Pusede and Cohen (2012).

While the average NO<sub>x</sub> surface concentration decreased in the SJV by about 9.3% over the years 2005-2008, the Sacramento,

San Francisco and South Coast regions saw a range of 22.6 to 30% decrease (Russell et al., 2010). In addition, the modelling

estimates of Almaraz et al. (2018) show concentrated regions in the SJV (Figure 3), Salton Sea air basin, and the Salinas

Valley with the greatest magnitude NO<sub>x</sub> emissions from soils for the state. In their model for soil NO<sub>x</sub> temperature was

tracked as well as water filled pore space and nitrogen availability. Following a sensitivity analysis they found temperature

to be one of the primary factors influencing soil NO<sub>x</sub> emissions in the presence of excessive application of fertilizers where

soil microbial communities increase the availability of nitrogen. We found a weak correlation between our emission

estimates and the ABL potential temperature, which should be a little cooler than the surface air temperature so we consider

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Discussion started: 23 January 2019

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it as a decent proxy for soil temperature, of R<sup>2</sup>=0.18. Looking at the number of violations of the maximum 8-hour daily

average O<sub>3</sub> (MDA8) standard for the counties of the SJV (data provided by CARB) over the past decade indicates no

observable trends outside of the two counties Kern and Tulare that contain some of the larger urban centers: Bakersfield,

Visalia, and Hanford (Figure 4). While the SJV air basin as a whole may be showing slight decreases in MDA8 O<sub>3</sub> standard

violations much of its rural areas are not. In a satellite study Russell et al. (2010) point out that changes to the spatial extent

of NO<sub>2</sub> in the SJV are slower than other regions of the state. Other regions with stronger urban influences show significant

shrinkage of the average NO<sub>2</sub> cloud around major urban centers while the SJV is largely an amorphous cloud of NO<sub>2</sub> in their

satellite images. With that said two other counties with major urban centers: Fresno County with the city of Fresno, and San

Joaquin County with Stockton are not showing decreasing trends in the max 8-hour daily ozone yearly trend since 2006.

Pusede and Cohen (2012) present satellite data from 2007 to 2010 which shows a significant NO<sub>x</sub> cloud around the Stockton

area and to a lesser extent one around Fresno, although the SJV as a whole has a lot of homogeneity.

**4.1.1.2** Potential Influence from Wildfires

Important to note that throughout the course of the EPA flights the Soberanes fire was burning along the Big Sur coast of

California. The fire started on July 22, 2016 and lasted until October of the same year. From the NASA MODIS satellite

clear images can be seen of the fire smoke being advected out and above the valley ABL on some days. We found greater

variability towards the end of the flights in the ABL NO<sub>x</sub> data, which could possibly be explained by the entrainment of fire

smoke as the ABL reaches its maximum in height. Amongst the myriad of chemical emissions from wildland forest fires is

NO<sub>x</sub> (Urbanski et al., 2009) and globally Jaegle et al. (2005) estimate that biomass burning contributes ~14% of surface

[NO<sub>x</sub>]. Singh et al. (2012) sampled numerous fire plumes throughout California during 2008 and found very little NO<sub>x</sub> (<0.5

ppb) near the source of the fires but that the plumes could later acquire NO<sub>x</sub> by mixing with other air masses containing

higher NO<sub>x</sub> levels. When the fire plumes they measured mixed with substantially polluted urban air ozone formation rates

were found to be at their highest all across California in comparison to purely urban or rural air. Elevated levels of reactive

nitrogen oxides (NO<sub>v</sub>) have been observed in smoke from biomass burning which contain reservoir species for NO<sub>x</sub> like

peroxyacetyl nitrate (PAN) which can later release NO<sub>x</sub> relevant to O<sub>3</sub> formation (Dreessen et al., 2016).

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January 2010

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Taking CARB data from their Fresno-Garland surface site which falls within our flight region we looked at CO, PM2.5 and

NO<sub>x</sub> for the six EPA flight days. While we found a strong positive correlation between CO and PM2.5 we found no

correlation between CO and NO<sub>x</sub>. In a longer time series representative of the Soberanes fire (07/22/16-10/12/16) a small,

positive yet weak correlation between CO and NO<sub>x</sub> can be seen (see Figure 5). Because the leading term of chemical loss is

directly proportional to NO<sub>2</sub> concentration in the NO<sub>x</sub> budget equation (Eq. 1), a sensitivity test was run to see how changes

in the NO<sub>2</sub> concentration affect the emission estimates. A change in 1 ppb of NO2 on average changes the emission by 35

metric tons/day. Nevertheless, even though there was likely some influence of the fire on the regional NOx levels, the

contribution entered the ABL through entrainment, which in principle is accounted for in the budgeting method by changes

in the average jump across the ABL top  $(\Delta NO_x)$ .

Diurnal CARB surface data from the SJV was compared to NO<sub>x</sub> measurements from the RLO flight data below 100 m and at

night we see fairly good agreement between the two. During our afternoon ABL flights, however, there appears to be a

positive bias in the flight data (Figure 6). This may be because the airborne data below 100 m (AGL) altitude was only able

to be collected during the low approaches and takeoff and landings at the airports: Bakersfield, Delano, Fresno and Visalia.

4.1.1.3 Potential Bias because of the Measurement Period

15 Considering a typical diurnal cycle of NO<sub>x</sub> emissions in a region with a large urban influence, particularly traffic, our

emission rate during the period of measurement can be roughly estimated to be about a factor 1.4 greater than the average

emission rate over the entire diurnal cycle. This is based on work by de Foy (2018) who estimated diurnal profiles of

emissions based on a model which took into consideration the meteorological impacts to their concentration measurements

in the Chicago area (see their fig. 9 modelled data). Russell et al. (2010) reported a 27% decrease in NO<sub>2</sub> concentrations on

the weekend for Fresno and Bakersfield. Five of our six flights were on weekdays and the last flight was a Saturday so our

sampling is slightly biased toward weekdays. Assuming an average decrease of NO<sub>x</sub> emissions on weekends to 0.73 the

weekday rate, our average emission rate will be a factor of 1.13 (=6.46/5.73) higher than inventories, which average over 5

weekdays and 2 weekend days. Taken together, the timing of the flights relative to the inventory's average summer emission

rate could lead to a positive bias in our measurements of 59%.

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Discussion started: 23 January 2019

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4.1.1.4 Possible Chemical Interference in NO<sub>x</sub> Measurements

The photolytic converter setup was developed specifically to avoid the problem of converting nitrogen containing species

other than NO2, which has been well established for standard CL NOx monitors employing a heated molybdenum catalyst

(Dunlea et al., 2007). Reed et al. (2016) studied interferences in the photolytic NO<sub>2</sub> instruments and found PAN to be the

most significant due to thermal decomposition in the lamp chamber, reporting that in their instrumental set up ~5% of the

PAN is dissociated. Although this is likely a very small contribution in the our study, where average ABL temperatures were

~305 K, there is a very real possibility of some compounds that decompose to NO<sub>2</sub> (e.g., peroxynitrates, RO<sub>2</sub>NO<sub>2</sub>, or

possibly even alkyl nitrates, RONO<sub>2</sub>) being present in large quantities in the wildfire plumes (Alvarado et al., 2010; Akagi et

al., 2011) that we encountered over the valley on some days. The short-lived spikes discussed earlier were removed from

our analysis, and even when included they did not significantly affect the reported average NO<sub>x</sub> concentrations.

Consequently, these transient interferences should not impact our estimates of regional NO<sub>x</sub> emission rates. However, the

time-dependent interference that was removed as discussed in the appendix, could be the result of some wildfire effluent

compound coating the inside of the photolysis cell and contributing on the aggregate to the average NO<sub>x</sub> measurements.

Inspection of the collection of all NO<sub>x</sub> interferences observed in the field and post-field calibrations and zero tests, which

were removed in the final data analysis, show that the largest impacts were around 2 ppbv NO<sub>x</sub> in magnitude. Using the

sensitivity to emission estimates we calculated and discussed above, the very largest imaginable uncorrected interference in

our NO<sub>x</sub> measurements could give rise to an overestimate in our emissions of ~70 tons/day, reducing our result by about

32%.

4.1.1.5 The Leighton Ratio

Here we use an adjusted Leighton ratio (LR) to try and estimate the possible range of interference to our measured NO2. The

LR is unity when NO<sub>x</sub> and ozone chemistry is in photostationary state (PSS) and there is no net ozone photochemical

production. The ratio deviates above unity when some other chemical process produces NO<sub>2</sub>, i.e. reactions involving peroxy

radicals, instead of the primary pathway of NO reacting with O<sub>3</sub>. This is usually associated with areas where NO<sub>x</sub>

concentrations are not too high like heavily polluted urban centers where there are greater sinks for peroxy radicals and the

lose pathway of [OH] with NO2 is significant (Cantrell et al., 1993; Volz-Thomas et al., 2003). Deviations below unity

indicate strong local NO emissions or rapid changes in J<sub>NO2</sub> so that PSS has not been reached (Ma et al., 2017). Equation 5

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Discussion started: 23 January 2019

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from Griffin et al. (2007) defines a modified LR ( $\phi_1$ ) where it is assumed that peroxy radicals (RO<sub>x</sub>) alone are responsible for deviations seen in the LR:

$$\phi_1 = \frac{j_{NO_2[NO_2]}}{k_1[NO][O_3] + k_2[NO][RO_x]'} \tag{2}$$

Forcing the ratio to be unity we can solve for NO<sub>2</sub>: reaction rates come from JPL kinetics data, the photolysis rate is from the NCAR Quick-TUV calculator (available at: http://cprm.acom.ucar.edu/Models/TUV/Interactive TUV/, 2018c), [NO] and  $[O_3]$  are from our flight data and  $[RO_x]$  is taken from measurements made near Bakersfield presented in Brune et al. (2016), taken as their [HO<sub>2</sub>\*] which includes some amount of [RO<sub>2</sub>] interference ([HO<sub>2</sub>\*]= 15 pptv during our flight time). Between the EPA flights and the calculated NO<sub>2</sub> there is a correlation of R<sup>2</sup>=0.50 and a difference in mean NO<sub>2</sub> of about 0.7 ppbv (6.6 for EPA flights and 5.9 ppbv calculated). This can be considered a conservative estimate for possible NO<sub>2</sub> measurement interference because the choice for [RO<sub>x</sub>] is on the lower end of a range of possible values. Griffith et al. (2016) reported maximum measured values of HO<sub>2</sub>\* from about 3 to 40 pptv from Pasadena, Ca in the summer of 2010 with a corresponding NO<sub>2</sub> range of about 14-6 ppbv (approximate values are taken from the time frame of our flights for comparison). From before the sensitivity of our emission estimate to changes in NO<sub>2</sub> is 35 tons/day for every 1 ppb change to NO<sub>2</sub> therefore possible chemical interference accounts for 24.5 tons/day or a systematic error of +%11.

From our EPA flights we found a range of LR values from 1-3.3 with an average of 1.87. For measurement conditions similar to ours (predominately rural) the reported values for LR are between 1 and 3 (Cantrell et al., 1993; Volz-Thomas et al., 2003; Mannschreck et al., 2004), therefore we feel that the NO<sub>2</sub> measurements reported herein are not likely to be subject to interferences much greater than ~10%.

## 4.1.2 Ozone Photochemical Production

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The ABL averaged ozone was 74 ppb ( $\sigma$ =9.8 ppb) from our flight data which is close to the summertime average for that region. Looking at the CARB surface sites from Fresno, Tulare, and Kings counties within and close to our flight region and averaging over the flight hours (12-16PST) and for the summer months (JJA) the average ozone concentration was 70 ppb ( $\sigma$ =13 ppb). The averaged ozone photochemical production was 6.3 ppb/hr ( $\pm$  3.3) compared to rates found for the southern SJV to be between 4.1 and 14.2 ppb h<sup>-1</sup> in summer (Trousdell et al., 2016). Kleinman et al. (2002) modelled

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Discussion started: 23 January 2019

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ozone production rates using observed data for five major U.S. metropolitan areas and found median values ranging from 3.5 to 11.3 ppb/h.

The ozone budget breakdown is shown in Table 2. The VOC chemistry in the SJV is dependent on temperature, at moderate temperatures it is VOC-limited while at higher temperatures less so based on work by Pusede and Cohen (2012). They speculate that the temperature independent part of the organic reactivity in the southern SJV has been decreasing over the past years in response to emission regulations and is what led to the sharp decrease in ozone exceedances from the mid-90's until 2010 (Pusede et al., 2014). Also they propose that NO<sub>x</sub> regulations will be the most effective to reduce ozone production in the future and as NO<sub>x</sub> levels decrease the temperature dependent aspect of ozone chemistry will be diminished because at higher temperatures it becomes more and more NO<sub>x</sub>-limited. Trousdell et al. (2016) also argued that the ozone production in their study from 11 flights south of Bakersfield in 2013/2014 was NO<sub>x</sub>-limited based on their estimates of the VOC:NO<sub>x</sub> ratio derived from their airborne measurements of CH<sub>4</sub> as a VOC proxy, and the surface network observation of NO<sub>x</sub>. Another study by Brune et al. (2016), which arose out of Calnex-SJV, suggests that ozone production continues to increase as the NO concentration increases beyond about 1ppb in contrast to the weekend effect, however; high values of NO mostly occurred in the early morning before the time frame of the Pusede et al. (2014) study (10-14 PST). The weekend effect is a phenomenon where ozone production goes up on weekends as NO<sub>x</sub> emissions decrease with less motor-vehicle traffic on the roads, particularly heavy duty diesel trucks. Marr and Harley (2002) using an air quality model with the support of an emissions inventory to four days in August 1990 reported a 30% reduction of NO<sub>x</sub> emissions on the weekend for Central California but percent differences based on these reductions to the ozone concentrations differed throughout the modelling domain with slight decreases in the areas of the SJV included in the model. Russell et al. (2010) stated a 27% decrease in NO<sub>x</sub> emissions on weekends for Fresno and Bakersfield major cities in the SJV from satellite data taken from the summers of 2005-2008. When we included the 15 additional flights we found photochemical production rates of 7.2 ( $\pm$  4.0) ppb/hr on weekdays (total of 15 flights) and 7.8 ( $\pm$ 2.4) ppb/h on the weekends (total of 6 flights), and a correlation with NO<sub>x</sub> concentrations ( $r^2 = 0.35$ , Figure 7) suggesting NO<sub>x</sub>-limited conditions. The average NO<sub>x</sub> concentrations where  $8.45(\pm 2.03)$ ppb weekday and 9.02(± 2.08) ppb weekend. No significant weekend effect was observed in this data set but one possible

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Discussion started: 23 January 2019

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cause is that of the weekend flight days only two were Sundays where the most significant NO<sub>x</sub> reductions are seen and Saturday acts more like a transition day (Russell et al., 2010).

Assuming that RO<sub>x</sub> is responsible for positive deviations from PSS we can, in principle, relate our ozone photochemical production rates to expected RO<sub>x</sub> levels. Assuming that net ozone photochemical production is solely due to RO<sub>x</sub> and making the simplifying assumptions that RO<sub>2</sub> is approximately equal to HO<sub>2</sub> (Mihelcic et al., 2003) and their reaction rates with NO are similar:

$$P(O_3) = k_{NO+HO2} * [RO_x][NO], \tag{3}$$

The reaction rate is for the reaction of NO with HO<sub>2</sub> (Burkholder, 2015). A similar approach is found in Mihelcic et al. (2003), who used it for calculating what they saw as an upper limit for  $P(O_3)$ , as well as Ma et al. (2017). Therefore applying our own calculated production rates added to an estimated photochemical loss of 1ppb/hr(due to photolysis and OH production, and similar to the values Pusede et al. (2014) reported from their observations 0.7- 1.4 ppb/hr) to get a gross production rate we expect the values for RO<sub>x</sub> to be a lower limit. Our results indicate a range of values 2.4-19.4 ppty with an average of 10.2 pptv. Brune et al. (2016) show afternoon values of about 8 pptv HO<sub>2</sub> and 15 pptv HO<sub>2</sub>\*(including some RO<sub>2</sub> interference) in the SJV which is consistent with our findings representing a wide regional average. Our measurements are distinct from those made at the Bakersfield supersite during CalNex which is at the heart of the urban plume.

Next looking at our modified LR,  $\phi_l$ , and using our measured concentrations with the JPL rate constants and solving for RO<sub>x</sub> we find an average value of 154 pptv. Assuming that this value is off by a factor of 3 as found by (Mannschreck et al., 2004) this suggests an approximate average range for RO<sub>x</sub> during our measurement period of 9-50 ppty, and is consistent with several past studies (Cantrell et al., 1993; Hauglustaine et al., 1996; Volz-Thomas et al., 2003; Handisides et al., 2003)) that found deviations in the LR cannot be explained solely by the reaction of RO<sub>x</sub> with NO.

# 4.1.2.1 Full Diurnal Budget of Ozone

Data from the SJV (Trousdell et al. (2016)) indicate that O<sub>3</sub> production generally increases as you progress southward in the SSJV, as expected because of the predominant wind direction in the valley and the gradual accumulation of ozone precursors as the air mass moves southward (Cox, 2013). Like our budget equations the prognostic equations of a State Implementation

Manuscript under review for journal Atmos. Chem. Phys.

Discussion started: 23 January 2019

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Plan (SIP) model track the different rate/derivative terms which sum to the total time derivative of any scalar of interest like

ozone. Thus it is important to know how these change over time, so here we present a diurnal analysis for our flight dates

(Figure 8). Ozone data is taken from eight CARB sites within our flight region, and our average photochemical production

rate is extrapolated across the daytime hours by scaling the average observed value during the flight interval throughout the

rest of the day based on the time series of  $J(O^1D)$  from the NCAR Quick-TUV calculator. The areas under the curves

represent the total [O<sub>3</sub>]; therefore, it can be seen that the contributions from photochemistry and mixing down from the RL

(fumigation) are approximately comparable. Very similar 50-50 split contributions from these two terms have been presented

by past studies (Kleinman et al., 1994;Lin, 2008;Neu et al., 1994). However, it should be noted that the fumigation in this

case is coming from the buffer layer which is the result of accumulated photochemical production from the region over the

past few days and not simply "clean" FT air.

Now, we present an analysis of the average diurnal cycle of  $dO_3/dt$  and dO/dt ( $O_x = NO_2 + O_3$ , used because in the morning

NO<sub>2</sub> quickly photolyzes to form O3) (Figure 9) for the SSJV based on our RLO aircraft observations. Average trends are

taken across each ~2 hr flight as well as estimated in between flights totalling eight estimates each day. Data is binned into 3

altitude layers: the lower boundary layer 0-200 m (within the nocturnal boundary layer when it is present), the upper

boundary layer 200-600 m (mainly the RL when it is present and within the afternoon ABL), and the buffer layer 600-2000

m. Looking at the near surface data from the RLO flights and Figure 8 we see similarity: a peak rise (dominated by

fumigation from the RL) around 9 PST, a zero crossing around 15 PST, and a max loss at 19 PST. The exact timing of these

events may differ by about an hour or so, and we observed this discrepancy between diurnal profiles from different CARB

sites in the SJV (data not shown). Looking at the difference between the Bakersfield and Fresno sites we see a peak time

delayed by about an hour at Fresno but an hour earlier max loss time. To get a better sense of the peak loss rate, we compare

the same results from the d[O<sub>x</sub>]/dt (Figure 9), which shows that the near surface drop in O<sub>3</sub> right after sunset is not simply

due to titration with rush hour NO emissions because a very comparable loss is observed in O<sub>x</sub> which is conserved under

titration. Given the absence of photochemistry and entrainment at dusk, we conclude that that large loss of O<sub>3</sub> must be

occurring due to dry deposition in a severely shrunken mixed layer. A more extensive analysis is needed to understand the

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Discussion started: 23 January 2019

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variations in diurnal profiles of ozone production and loss across the SJV, but we propose it can be an instructive exercise to focus on the time derivatives as we have done here.

#### 4.1.3 Methane Emissions

region and season.

The methane emission average after conversion to more commonly reported units was 438 gigagrams/ year (± 143, standard error) or 50 Mg/h (± 15.5) which is approximately one-half the size of an estimate by Cui et al. (2017) that used inverse modelling from flight data with a CALGEM prior and found about 80 Mg/h (± 17) for a region they labelled D1 in which our flights took place. Their D1 region contained: Kern, Tulare, Madera, Fresno, and Kings counties totaling about 58 billion square meters. The area used for calculating our emission here is the same area used in the NO<sub>x</sub> calculation. Our flight region was about one-tenth the size of the D1 region, but the highest emission rates found in the Cui et al. (2017) study came from the region between Hanover and Visalia, which our flights focused on. See Figure 10 which shows our flight tracks overlaying the CALGEM emission inventories across the SJV. See Table 3 for a budget breakdown of methane and surface emissions can be seen there in ppb/hr. A region of the SJV approximately 3.5 billion square meters was probed in a previous campaign from June through September 2013 and in June 2014 focused on the southern end of the SJV, particularly Bakersfield, reporting a measurement of 170 gigagrams/ year (±125) (Trousdell et al., 2016). Jeong et al. (2016), similar to Cui et al. (2017), based on their CALGEM prior model found that 86% of the methane in the SJV is from dairies. Our flight area included one of two extremely dense areas of dairy operations in the Valley focused around the intersection of three counties: Kings, Tulare and Fresno. Looking at the CALGEM inventory for our flight areas we found an average source apportionment for dairies to be 88%. From Trousdell et al. (2016) CALGEM emission inventories were scaled to the 2013 total CH<sub>4</sub> emission estimate for California of 41.1 TgCO2eq provided by CARB and then compared to in-situ data and found 3.6 and 2.4 for the two regions studied (Fresno wintertime and Bakersfield summertime, respectively.) Our current study found an overestimate by a factor of 2.2 for the EPA flights. The site in Trousdell et al. (2016) with a value of 3.6 is dominated by emissions from petroleum operations per CALGEM at 54% and took place during the winter while the other value mentioned come from regions dominated by dairy operations during summer. Cui et al. (2017) reported a ratio between their model inversion and CALGEM of 1.8, comparable to the value reported here and taking place in a similar

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Discussion started: 23 January 2019

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# 4.3 Autocorrelation Length Scales

Autocorrelation lengths or integral length scales represent the distance over which a variable maintains a significant level of correlation with itself, or the minimum distance for which the variable becomes statistically independent (Tortell, 2005). Qualitatively we think of this as the "patchiness" of the scalar field and for our purposes in the horizontal, across-valley dimensions. First correlation coefficients were calculated as a function of distance by using a spatial autocorrelation technique called Moran's I:

$$CC = \frac{N}{W} \frac{\sum_{i} \sum_{j} w_{ij} (x_i - \bar{x}) (x_j - \bar{x})}{\sum_{i} (x_i - \bar{x})^2}, \tag{4}$$

Where wij is a weight matrix which is either zero or one if the points of a pair (i,j) are a certain distance from each other, N is the number of pairs that fall into that distance category, W is the total number of pairs in the data set, and x represents the scalar. For our purposes, this means that all pairs of distinct scalar measurements in our domain are created and then binned into discrete bins based on distance between the two points that make up the pair. Then for each distance category a correlation coefficient is calculated, and the bin width was 1000 m. All data was selected to be within a time dependent ABL and then corrected to a common time, height stamp within the ABL to remove biasing from temporal and vertical trends before the autocorrelation was run. The length scale was selected as the first crossing of the zero-correlation line. The results averaged over the flights are: potential temperature (18 km), water vapor (18 km), ozone (30 km), methane (27 km), and NO<sub>x</sub> (28 km). The spatially diffuse pattern of CH<sub>4</sub>, NO<sub>x</sub> seem to imply a preponderance of broad areal sources rather than localized emissions from cities and/or highway traffic. This result for NO<sub>x</sub> points to the findings from Russell et al. (2010) and Pusede and Cohen (2012) previously mentioned which show a lot of broad scale homogeneity for NO<sub>x</sub> concentrations in the SJV.

#### 20 4.4 Error Analysis

The error for each derivative term in our multilinear regressions is a root mean square(RMS) error. The entrainment fluxes are comprised of the entrainment velocity and a scalar delta term. The delta term error was assigned to be 1.0 ppb for NO<sub>x</sub> and 50 ppb for methane because the term itself is estimated by eye from many vertical profiles. The entrainment velocity contains: derivatives of ABL height, whose errors were previously mentioned, and a term from the WRF model (subsidence,

Manuscript under review for journal Atmos. Chem. Phys.

Discussion started: 23 January 2019

23 Ianuary 2010

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Atmospheric On Chemistry And Physics

or vertical velocity), which we have estimated as a conservative 0.5 cm s<sup>-1</sup> as the model does not report error estimates, and

the horizontal wind at ABL height assigned an error of 0.1 ms<sup>-1</sup> based on the measurement capabilities of the instrument. The

same error for horizontal winds near ABL height applies to the ABL horizontal winds used in calculating the advection

terms. The NO<sub>x</sub> equation has in it a chemical loss term with an error from the uncertainty estimate equation for termolecular

reactions given by JPL in their chemical kinetics publication 15-10, and the error in averaged ABL NO<sub>2</sub>, employed in the

chemical loss term, was taken as one standard deviation of all the measurements. Estimated emission terms are residual

terms within the respective budget equations. Their errors are calculated by adding the relative errors of all the other terms in

the budget in quadrature. The regional area used to scale up the emission flux was assigned an error of 20 percent. The error

in our average emission rates for NOx and CH4 for all of the flights is a standard error of the mean (the standard deviation of

the estimates divided by  $\sqrt{6}$ .) We believe that the errors in our emission estimate on any given flight day are likely larger

than any actual day-to-day variability, so that the repeated flight dates amount to multiple measurements of a value that is

approximately constant, therefore it is appropriate to treat the reported error of regional emissions as the standard deviation

of the mean.

**5** Conclusion

15 Using 6 days of flight data covering the period of ABL growth during the afternoon we have captured emissions estimates

for NOx and CH4, and photochemical production of ozone while employing a budget which exposes the key processes

affecting their ABL concentrations. Of particular interest are the advection terms which are very difficult to obtain in

ground-based studies, and entrainment which is often not treated explicitly in models as it is fundamentally a turbulent, sub-

grid process. Our emissions estimate for NO<sub>x</sub> suggest, like other previous studies, that agriculture in the SJV maybe a greater

source of NO<sub>x</sub> than previously thought and may be contributing to the delayed decrease in O<sub>3</sub> surface concentrations

compared to other air basins in California. After exploring possible explanations for NO<sub>x</sub> emissions larger than previously

expected, including; a potential 59% due to the timing of the flights, and possible chemical interference accounting for 2 ppb

to our average NO2 we present 66 tons/day as the lowest conceivable estimate possible after combining all of our

conservative error estimates. With that our result is still significant because our study region accounts for some fraction of

Manuscript under review for journal Atmos. Chem. Phys.

Discussion started: 23 January 2019

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Atmospheric §

Chemistry

Discussions

and Physics

as it offers a potential avenue for further air quality remediation from more efficient fertilizer usage in the valley. Emissions

the respective source region of the inventory estimate. Therefore more work needs to be done to investigate soil NO<sub>x</sub> in SJV

estimates from CALGEM for methane are under predicted by about one-half the actual for the SJV which is in close

agreement to other studies. Calculations of autocorrelation lengths for NO<sub>x</sub>, CH<sub>4</sub>, water vapor, etc. will be employable in

future satellite studies which are continually trying to improve and test their resolution in the ABL.

**Author Contribution** 

Justin Trousdell participated in the conceptualization, formal analysis, visualization, and writing of the manuscript. Dani

Caputi and Jeanelle Smoot participated in data analysis and visualizations for the work. Ian Faloona took part in

conceptualization, funding acquisition, resources, methodologies, oversight of the project and writing. Stephen Conley was

responsible for flying the aircraft and collecting the in-situ data.

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							chem				lifetime						Regional E0	
	d/dt	+/-	advec	+/-	ent	+/-	loss	+/-	E0	+/-	(hour)	+/-	Δ	<nox></nox>	<no></no>	<no2></no2>	(tons/day)	+/-
7/27/16	-0.29	0.04	0.01	0.03	-0.5	0.4	-1.5	1.1	1.7	1.1	4.68	1.46	-1.5	9.0	1.9	7.1	274	187
7/28/16	-0.09	0.07	-0.09	0.03	-0.5	0.4	-1.4	0.9	1.9	1.0	4.68	1.45	-1.5	8.5	2.1	6.1	301	166
7/29/16	-0.44	0.07	0.30	0.05	-0.2	0.1	-1.8	2.1	1.2	2.1	4.69	1.47	-1.5	9.6	1.4	5.9	182	324
8/4/16	-0.73	0.01	0.04	0.01	-0.3	0.2	-1.1	0.7	0.6	0.7	4.60	1.40	-1.5	5.5	0.5	4.9	115	132
8/5/16	-0.83	0.03	-0.01	0.01	-0.2	0.1	-1.5	1.0	0.9	1.0	4.51	1.36	-1.5	7.8	0.8	6.1	137	160
8/6/16	0.22	0.06	-0.02	0.01	-0.1	0.1	-1.3	0.8	1.7	0.9	4.55	1.37	-1.5	7.8	1.8	5.4	286	155
Average	-0.36	0.05	0.04	0.02	-0.3	0.2	-1.4	1.1	1.3	1.1	4.62	1.42	-1.5	8.0	1.4	6.6	216	187
σ	0.4		0.1		0.2		0.2		0.5		0.08			1.4	0.6	8.0	81	
Std. Error																	33	

 $\textbf{Table 1} \ \text{NOx budgets. All averages are calculated using ABL data only. The units are ppb/hr for budget terms and ppb for averages and the scalar delta.}$ 

Manuscript under review for journal Atmos. Chem. Phys.

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	d/dt	+/-	advec	+/-	Dep	+/-	ent flux	+/-	Δ	Vd (m/s)	<03>	photochem	+/
7/27/16	1.24	0.12	-0.84	0.18	-2.98	1.59	-1.74	0.58	-5	-0.005	89.61	6.80	1.71
7/28/16	6.06	0.19	-2.35	0.13	-2.47	1.32	1.04	0.48	3	-0.005	70.16	9.83	1.43
7/29/16	-0.79	0.13	0.18	0.10	-2.81	1.52	-0.55	0.33	-5	-0.005	76.88	2.40	1.56
8/4/16	0.95	0.05	-0.48	0.09	-2.11	1.11	-0.99	0.32	-5	-0.005	75.70	4.53	1.16
8/5/16	6.45	0.05	-1.61	0.09	-2.11	1.13	-0.51	0.28	-5	-0.005	59.94	10.69	1.17
8/6/16	3.00	0.05	0.04	0.05	-2.33	1.24	-0.47	0.29	-5	-0.005	70.87	5.77	1.28
Average	2.78	0.11	-1.02	0.12	-2.50	1.33	-0.55	0.40	-3.4		74.46	6.85	1.41
σ	3.27		0.98		0.40		1.02		3.6		10.79	3.49	
Std. Error												1.43	

Table 2  $O_3$  budgets. All averages are calculated using ABL data only. The units are ppb/hr for budget terms and ppb for averages and the scalar delta.

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									emission				
	d/dt	+/-	advec	+/-	ent flux	+/-	E0	+/-	(109grams/yr)	+/-	Δ	<ch<sub>4&gt;</ch<sub>	+/-
													_
7/27/16	-40.50	1.89	-3.37	2.20	-69.43	22.12	32.29	23.98	487	362	-200	2170	2
7/28/16	-7.96	0.91	-6.90	0.70	-69.54	24.60	68.48	31.19	982	447	-200	2027	2
7/29/16	-10.53	1.32	9.30	0.92	-22.11	12.81	2.29	12.92	31	177	-200	2021	2
8/4/16	-5.69	0.58	-3.41	0.21	-39.66	12.66	37.38	15.82	686	290	-200	2022	2
8/5/16	-5.31	0.45	-0.03	0.24	-20.51	10.77	15.23	11.60	223	170	-200	1993	2
8/6/16	-3.08	0.49	1.65	0.26	-18.86	11.56	14.13	12.19	220	190	-200	1996	2
Average	-12.18	0.94	-0.46	0.76	-40.02	15.76	28.30	17.95	438	273	-200	2038	
σ	14.10		5.63		24.02		23.50	7.94	352			66	
Std.													
Error									143.56				

Table 3 CH4 budgets. All derivative terms, the scalar delta, and the average concentration are in ppb.

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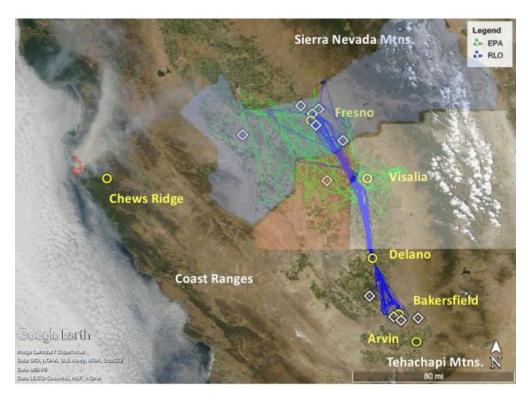


Figure 1 Aerial look at the San Joaquin Valley. Yellow circles are important sites which are labelled. The green lines are flight tracks from the EPA flights and the blue are from the RLO flights. White diamonds are CARB surface stations. Active fires on the ground can be seen as small red outlines to the Northwest of the Chews Ridge site (available at: https://worldview.earthdata.nasa.gov/, 2018a) from July 27, 2016. Major mountain ranges are labelled in white. The three shaded regions are at top in blue is Fresno County, bottom left in red is Kings County, and bottom right in white is Tulare County.

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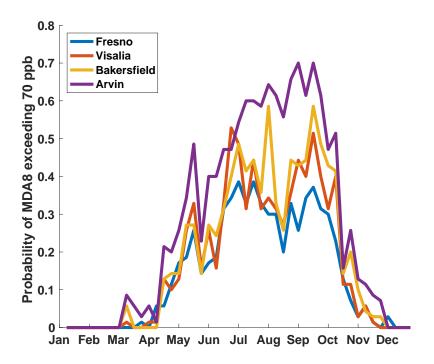


Figure 2 The probability of an MDA8 exceeding 70 ppb is shown for an annual cycle at four sites in the SJV, from north to south: Fresno, Visalia, Bakersfield, and Arvin.

5

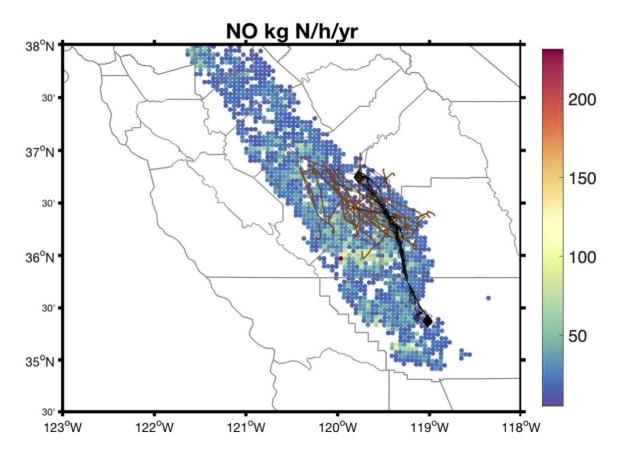
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5 Figure 3 Soil NO emissions in kg N per hectare per year for the SJV with flight tracks (data from Almaraz et al. (2018)). Significant sources for soil NO show up in the middle SJV in Kings County.

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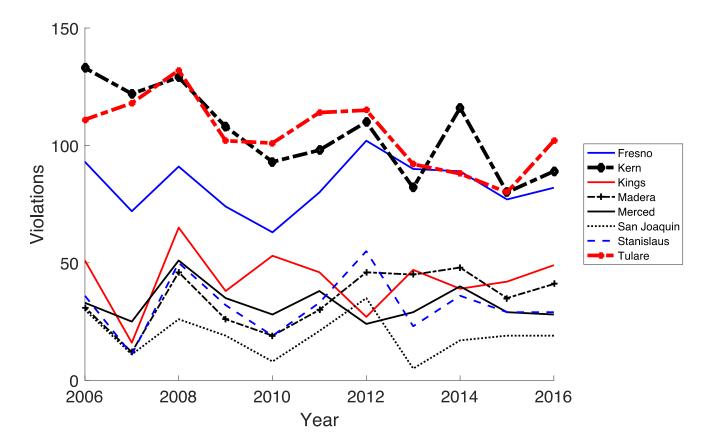


Figure 4 Tulare and Kern counties show signs of a downward trend for ozone violations, while the other counties of the SJV, which are largely rural, don't show a clear downward trend. Los Angeles County is shown as a reference for comparison with the South Coast Basin. Data provided by California Air Resources Board.

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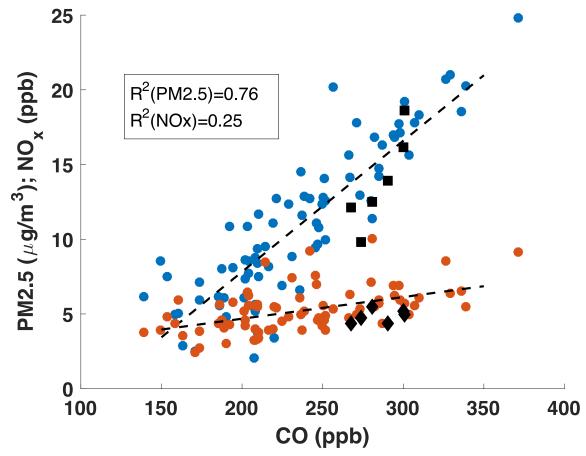


Figure 5 Data from CARB for Fresno-Garland and Clovis sites during Soberanes fire (07/22/16-10/12/16). Here we see a correlation between CO and PM2.5 (blue dots) but a weaker correlation between CO and NOx (orange dots). The black squares (PM2.5) and diamonds (NOx) are the six EPA flight dates.

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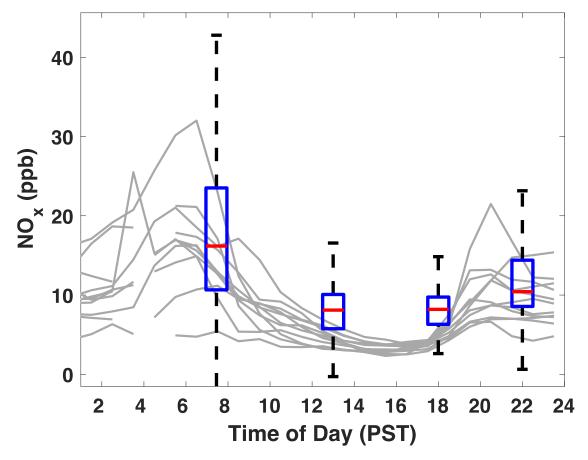


Figure 6 Box and whisker plots for flight data from RLO flights, and the grey lines are data from 11 CARB surface sites (see Figure 1). Statistics for box and whisker(lower adjacent, 25<sup>th</sup> percentile, median, 75<sup>th</sup> percentile, upper adjacent); sunrise (-2. 10.7, 16.2, 23.5, 42.7), afternoon (-0.3, 5.7, 8.1, 10.1, 16.5), evening (2.6, 6.3, 8.2, 9.7, 15), night (0.6, 8.6, 10.4, 14.4, 23.1).

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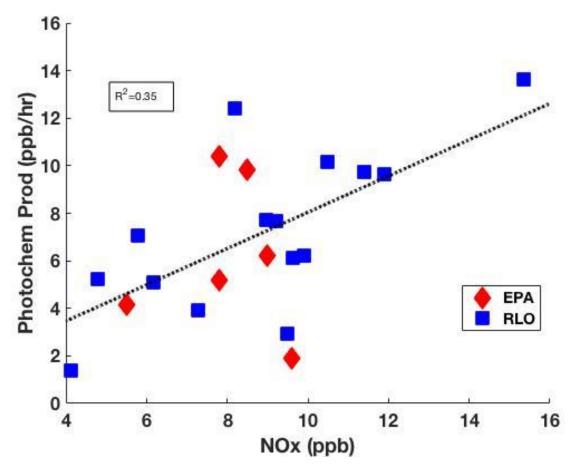


Figure 7 Correlation between photochemical production and NOx improved after including the additional 15 RLO flights. The correlation suggests that the flight region is in the NOx-limited regime.

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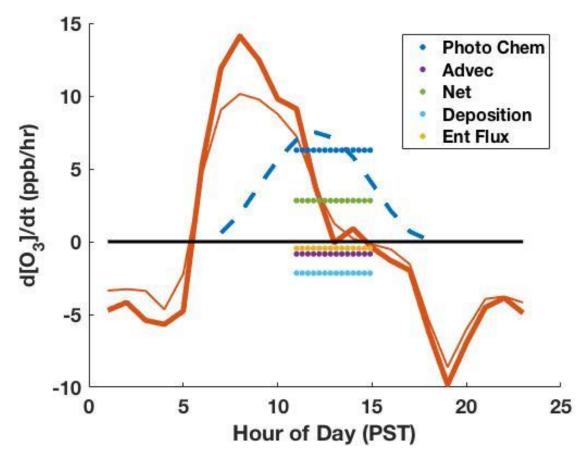


Figure 8 Thick orange line comes from CARB data from seven sites in the vicinity of the EPA flights (see Figure 1) for June, July, and August. The thinner orange line is from the six flight days themselves. Horizontal dotted lines show each respective averaged ozone budget term over the flight hours and the EPA flights. The rapid increase in ozone levels in the early morning correspond to when the ABL entrains residual layer air. The graphic helps to visualize the breakdown of the O<sub>3</sub> budget during the flight hours in comparison to the time rate of change of O<sub>3</sub> observed by local surface stations.

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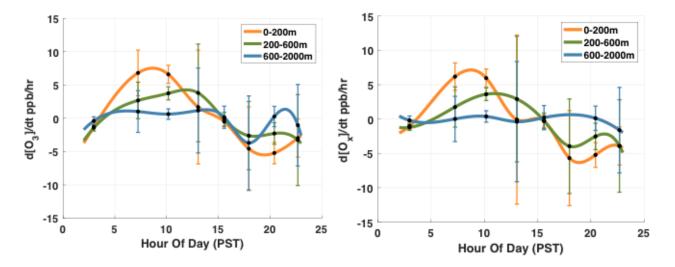


Figure 9 Signals are cubic polynomial interpolations between averaged data points from the RLO flights over each 2 hour measurement period. The figure to the left shows the time rate of change of  $O_3$  and the right figure the rate of change of  $O_x$  over a diurnal cycle. Data is binned into 3 altitude layers: the lower boundary layer 0-200 m (within the nocturnal boundary layer when it is present), the upper boundary layer 200-600 m (mainly the RL when it is present and within the afternoon ABL), and the buffer layer 600-2000 m. Vertical bars about each point represent one standard deviation.

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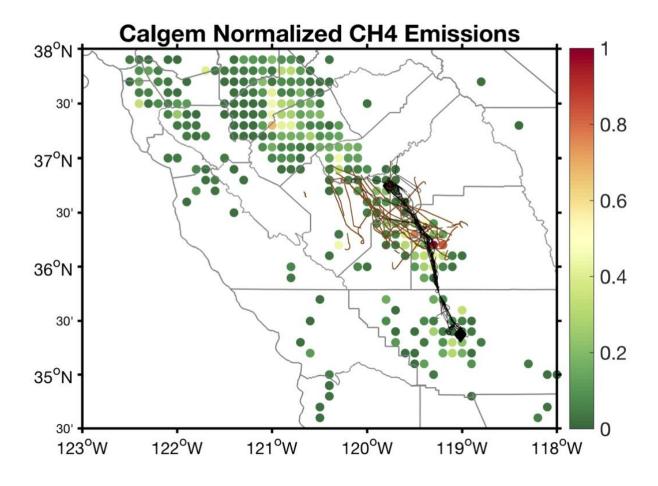


Figure 10 Normalized CALGEM inventory emissions to provide a sense of the distribution and relative magnitude of methane sources with plotted flight tracks. Compilation of EPA flights in brown and RLO in black. At the northern end and southern end of RLO flights are black diamonds: Fresno and Bakersfield respectively.

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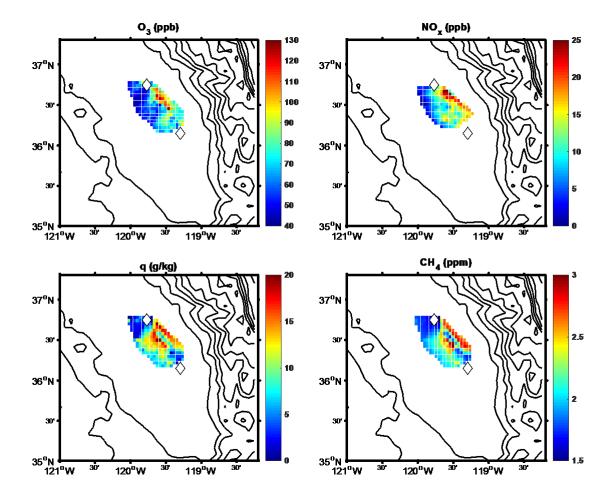


Figure 11 "Scalar Patchiness" plots derived from ABL flight data corrected to a common time and height stamp with linear interpolation between data points within the flight domain. White diamonds are Fresno at the north end and Visalia at the southern end. Data taken from July 27, 2016.

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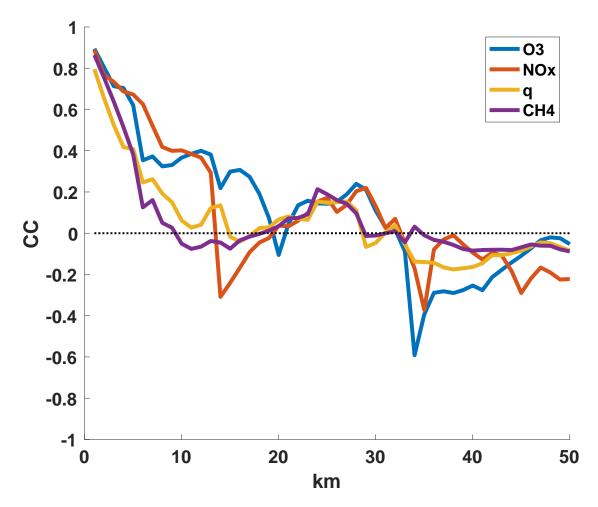


Figure 12 Spatial correlation plot corresponding to the patchiness plot from July 27, 2016. The y-axis shows the correlation coefficient (CC) and the x-axis shows the distance in kilometers for which the data has been correlated. The legend for the scalar correlations is located in the northeast corner of the figure (q= water vapor).