

1 **New particle formation observed at King Sejong Station, Antarctic
2 Peninsula – Part 1: Physical characteristics and contribution to cloud
3 condensation nuclei**

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14
15 **Abstract**

16 The physical characteristics of aerosol particles during particle bursts observed at King Sejong
17 Station in Antarctic Peninsula from March 2009 to December 2016 were analyzed. This study focuses
18 on the seasonal variation in parameters related to particle formation such as the occurrence, formation
19 rate (FR) and growth rate (GR), condensation sink (CS), and source rate of condensable vapor. The
20 number concentrations during new particle formation (NPF) events varied from 1707 cm⁻³ to 83120
21 cm⁻³, with an average of 20649 ± 9290 cm⁻³, and the duration of the NPF events ranged from 0.6 h to
22 14.4 h, with a mean of 4.6 ± 1.5 h. The NPF event dominantly occurred during austral summer period
23 (~72%). The measured mean values of FR and GR of the aerosol particles were 2.79 ± 1.05 cm⁻³ s⁻¹
24 and 0.68 ± 0.27 nm h⁻¹, respectively showing enhanced rates in the summer season. The mean value
25 of FR at King Sejong Station was higher than that at other sites in Antarctica, at 0.002-0.3 cm⁻³ s⁻¹,
26 while those of growth rates was relatively similar results observed by precious studies, at 0.4~4.3 nm
27 h⁻¹. The derived average values of CS and source rate of condensable vapor were (6.04 ± 2.74) × 10⁻³
28 s⁻¹ and (5.19 ± 3.51) × 10⁴ cm⁻³ s⁻¹, respectively. The contribution of particle formation to cloud
29 condensation nuclei (CCN) concentration was also investigated. The CCN concentration during the

1 NPF period increased approximately 11% compared with the background concentration. In addition,
2 the effects of the origin and pathway of air masses on the characteristics of aerosol particles during a
3 NPF event were determined. The FRs were similar regardless of the origin and pathway, whereas the
4 GRs of particles originating from the Antarctic Peninsula and the Bellingshausen Sea, at 0.77 ± 0.25
5 nm h^{-1} and $0.76 \pm 0.30 \text{ nm h}^{-1}$, respectively, were higher than those of particles originating from the
6 Weddell Sea ($0.41 \pm 0.15 \text{ nm h}^{-1}$).

7

8 **1. Introduction**

9 Understanding the effect of atmospheric aerosol particles on climate change is an important issue
10 in atmospheric science. These particles are highly significant substances in the radiation transfer
11 process in the atmosphere, with direct effects through scattering and absorption of solar radiation and
12 indirect effects by acting as cloud condensation nuclei (CCN) for cloud droplets (Anttila et al., 2012).
13 These particles also influence the properties and life time of clouds (Twomey, 1977; Albrecht, 1989).
14 Although aerosol particles play an important role in global and regional climates, large uncertainties
15 remain owing to a lack of knowledge on their formation and physicochemical characteristics (Carslaw
16 et al., 2013; IPCC, 2013).

17 New particle formation (NPF) frequently occurs in the atmosphere and leads to enhancement of the
18 total number concentrations of aerosol particles due to high numbers of nucleation mode particles
19 (Spracklen et al., 2006; Dall’Osto et al., 2017). The modeling study of Pierce and Adams (2007)
20 indicates that **ultrafine particles of <100 nm in diameter** can contribute to maximum CCN generations
21 of 40% and 90% at the boundary layer and in the remote free troposphere, respectively. In order to
22 understand the characteristics of the NPF, studies have been conducted in various regions including
23 coastal, forest, mountainous, rural and urban sites (O’Dowd et al., 2002; Komppula et al., 2003;
24 Kulmala et al., 2004; Yoon et al., 2006; Park et al., 2009; Kim et al., 2011; Rose et al., 2015; Bianchi
25 et al., 2016; Kontkanen et al., 2017). In addition, studies on the NPF phenomenon have recently been

1 conducted at various sites in the polar regions (Asmi et al., 2010; Järvinen et al., 2013; Kyrö et al.,
2 2013; Park et al., 2004; Weller et al., 2015; Humphries et al., 2016; Nguyen et al., 2016; Willis et al.,
3 2016; Barbaro et al., 2017; Dall’Osto et al., 2017). A NPF event occurring in the period between
4 December 1998 and December 2000 at the South Pole was reported by Park et al. (2004). Kyrö et al.
5 (2013) showed that oxidized organics derived from the oxidation of biogenic precursors originating
6 from local melting ponds might have contributed to particle growth at the Finnish research station
7 Aboa (73.50°S , 13.42°W). Although CCN concentrations were indirectly estimated at Aboa, Asmi et
8 al. (2010) also showed and discussed hygroscopic growth factor and CCN activity. In addition, studies
9 on the NPF were conducted at the Concordia station, Dome C (75.10°S , 123.38°E ; Järvinen et al.,
10 2013) and at the coastal Antarctic station Neumayer (70.65°S , 8.25°W ; Weller et al., 2015). Although
11 studies on NPF events have been conducted at various stations in the Antarctica, no results are available
12 for the station in the Antarctic Peninsula. Also, the contribution of NPF to CCN concentration is not
13 well understood in this area. Furthermore, results of the general long-term characteristics of aerosol
14 particles during the period of NPF observation in Antarctica are rare compared with those in other
15 continents.

16 In the present study, the frequency of NPF events was determined on the basis of total aerosol
17 number concentration. We investigated the physical characteristics such as formation rate (FR) and
18 growth rate (GR), condensation sink (CS) and source of condensation vapor as well as the seasonality
19 of atmospheric aerosols during NPF events at King Sejong Station in the Antarctic Peninsula. The
20 effect of particle formation on CCN concentrations was also examined. Furthermore, the air mass back
21 trajectories were analyzed by using the Hybrid Single Particle Lagrangian Integrated Trajectory
22 (HYSPLIT) model to understand physical properties of NPF events depending on the origins and
23 pathway of the air masses.

24
25 **2. Methods**

1 **2.1. Site description and instrumentation**

2 The data analyzed in this study were obtained from March 2009 to December 2012 at the King
3 Sejong station in the Antarctic Peninsula (62.22°S, 58.78°W). Further details on the sampling site as
4 well as the instrumental specification and operation were introduced in the previous study (Kim et al.,
5 2017). In brief, two condensation particle counters (CPCs; TSI 3776 and TSI 3772) were used to
6 measure the total particle number concentrations. The aerosol size distributions of particles ranging
7 from 10 to 300 nm were measured every 3 minutes with a scanning mobility particle sizer (SMPS)
8 consisting of a differential mobility analyzer (DMA; HCT Inc., LDMA 4210) and a CPC (TSI 3772).
9 The flow rate of sheath air and aerosol flow of DMA were 10 L min^{-1} and 1 L min^{-1} , respectively. The
10 CCN concentrations were simultaneously measured by using a CCN counter (DMT CCN-100) with
11 five different supersaturation values (i.e. 0.2, 0.4, 0.6, 0.8 and 1.0%). The sampling duration was set
12 to be 5 minutes for each supersaturation value (except for 0.2%). For the 0.2% supersaturation value,
13 the CCN concentration was measured for 10 min because of stability after measurements at 1%
14 supersaturation value. In the present work, only results of CCN concentration for a 0.4%
15 supersaturation value were used. In addition, meteorological parameters including temperature,
16 relative humidity, wind speed, wind direction, pressure, and solar radiation intensity were continuously
17 monitored by using an automatic weather station (AWS; Vaisala HMP45 for measuring temperature
18 and relative humidity, WeatherTronics 2102 for measuring wind speed and direction, WeatherTronics
19 7100 for measuring pressure and Eppley Precision Spectral Pyranometer PSP for measuring solar
20 radiation intensity) system.

21 **2.2. Data analysis**

22 To ensure data quality, raw data were discarded if one of the following conditions was satisfied: (i)
23 wind direction between 355° and 55° (local pollution sector) (ii) concentration of black carbon higher
24 than 100 ng m^{-3} , (iii) wind speed less than 2 m s^{-1} and (iv) instrument malfunction based on the log-

1 book. If valid data for one day were less than 50% after discarding the raw data, such days were
2 excluded. The acquisition rate for each instrument is summarized in Table 1. Here, the acquisition rate
3 indicates the value of the analyzed days divided by the total measurement days. Because the acquisition
4 rate from the SMPS was lower than that of the CPC in this study, the value difference between the
5 concentrations of particles larger than 2.5 nm ($CN_{2.5}$) and 10 nm (CN_{10}) observed from two CPCs was
6 used to identify the NPF events.

7

8 **2.2.1. Definition of NPF events**

9 As mentioned in the previous section, the difference between $CN_{2.5}$ and CN_{10} concentrations were
10 used to define days for NPF events or non-NPF events (Yoon et al., 2006). The $CN_{2.5-10}$ represents the
11 number concentrations of newly formed particles produced from gas-to-particle conversion. The NPF
12 days were defined in this study according to the following conditions: (i) The $CN_{2.5-10}$ is higher than
13 500 cm^{-3} (ii) the $CN_{2.5-10}/CN_{10}$ ratio is higher than 10 and (iii) the NPF duration is longer than 30 min.
14 The $CN_{2.5-10}/CN_{10}$ ratio is the parameter used to distinguish between particles newly formed from gas-
15 to-particle conversion and background particles (Warren and Seinfeld, 1985; Humphries et al., 2015).
16 Humphries et al. (2016) also used the $CN_{2.5-10}/CN_{10}$ ratio to distinguish the NPF days during a 52 days'
17 voyage in the East Antarctic sea ice region because the number concentration data were more reliable
18 than the size distribution data.

19

20 **2.2.2. Classification of NPF events using SMPS data**

21 After identification of the NPF event days, classification of the NPF events was conducted by using
22 size distributions from a SMPS. The NPF events were classified into three types of A, B and C
23 according to the classification by Dal Maso et al. (2005) and Yli-Juuti et al. (2009) as shown in Fig. 1.
24 Type A describes days in which the formation and growth of particles were clear. Type B describes
25 days in which the formation occurred but growth was not clear. Type C describes days in which the
26 event occurrence was not distinct.

1

2 **2.2.3. Estimation of parameters for NPF characteristics**

3 On the basis of the average number concentration data with 1 min time resolution, the FR was
4 calculated for cases in which $\text{CN}_{2.5-10}/\text{CN}_{10}$ values and $\text{CN}_{2.5-10}$ concentrations sharply increased (Fig.
5 S1 in the Supplement). The FR of new particles ranging from 2.5 nm to 10 nm was determined
6 according to variation in the number concentrations of $\text{CN}_{2.5-10}$ based on the following equation (Dal
7 Maso et al., 2005):

8

9
$$FR = \frac{dN_{nuc}}{dt} + F_{coag} + F_{growth} \quad (1)$$

10

11 Here, N_{nuc} is the particle number concentrations of nucleation mode. In this study, the $\text{CN}_{2.5-10}$
12 concentrations obtained by two particle counters were used for the term N_{nuc} . F_{coag} is the particle loss
13 in accordance with coagulation, and F_{growth} represents the flux of particles growing from the nucleation
14 mode. Because the $\text{CN}_{2.5-10}$ concentrations were predominant in the total number concentration and
15 the particles rarely grew over the nucleation mode during the formation period, the F_{coag} and F_{growth}
16 terms in Eq. 1 were neglected in this study (Dal Maso et al., 2005; Shen et al., 2016).

17 The GRs were calculated by using the size distributions measured by a SMPS. Based on the hourly
18 mean aerosol size distribution data, the geometric mean diameter (GMD) of particles which is limited
19 to the size range of 10-25 nm was used. [Here, the GMD was calculated from log-normal fitting analysis](#)
20 ([Hinds, 1999](#)). According to these method, growth rate of particles ranging from 10-25 nm was
21 estimated regardless of the NPF event types (Fig. S2 in the Supplement). The GR was determined by
22 rate of change in the GMD by using the following equation (Kulmala et al., 2004; Dal Maso et al.,
23 2005):

24

25
$$GR = \frac{dD_p}{dt} \quad (2)$$

1 The CS is an important parameter governing the NPF because it indicates the loss rate in which
2 gaseous molecules condense onto pre-existing aerosols. It can be estimated from the size distribution
3 data according to the following equation (Dal Maso et al., 2005; Kulmala et al., 2005; Shen et al.,
4 2016):

$$5 \quad CS = 2\pi D \sum_{dp} \beta_m d_p N_{dp} \quad (3)$$

7 where D is the diffusion coefficient of the condensable vapor ($0.1 \text{ cm}^2 \text{ s}^{-1}$), β is the transitional regime
8 correction factor from Fuchs and Sutugin (1970), and d_p and N_{dp} are the particle size and number
9 concentration, respectively. It is assumed that condensable vapor is gaseous sulfuric acid which has
10 been reported to play an important role in the nucleation process (Dal Maso et al., 2005).

12 According to the GR and the CS, it is possible to estimate condensable vapor concentration, C_v (unit:
13 molecules cm^{-3}) and its source rate, Q (unit: molecules $\text{cm}^{-3} \text{ s}^{-1}$; Kulmala et al., 2001; Dal Maso, 2002),
14 assuming that the particle growth is caused by condensation of a low volatile vapor to the particle
15 surface. In the nucleation mode, the relationship between C_v and GR is estimated by the following
16 equation:

$$17 \quad 18 \quad C_v = A \times GR \quad (4)$$

19 where A is a constant, specifically $1.37 \times 10^7 \text{ h cm}^{-3}$ for a vapor with the molecular properties of sulfuric
20 acid. It assumed that C_v is constant during the growth process.

22 Assuming no other sink terms for the condensing vapor, source rate of condensable vapor is
23 estimated under the steady-state condition:

$$24 \quad 25 \quad Q = CS \times C_v \quad (5)$$

26
27 **2.3. Backward trajectory analysis**

1 To understand characteristics of NPF events depending on the origin and pathway of air masses, air
2 mass backward trajectory analysis was performed by using the HYSPLIT model (Stein et al., 2015;
3 <http://www.arl.noaa.gov/HYSPLIR.php>). The origin of air masses arriving at the observation site
4 during the NPF events (a total of 101 event days) was manually categorized into four cases by
5 analyzing 48-h backward trajectory data ending at height of 100, 500 and 1500 m above the ground
6 level. The results with similar air mass origins and pathways during the NPF event periods at three
7 different heights were used for the analysis in this study, as shown in Fig. 2. Accordingly, the air mass
8 was categorized into four cases according to its origin and pathway: two affected continents including
9 South America (Case I) and the Antarctic Peninsula (Case III) and two affected marine cases including
10 the Weddell (Case II) and Bellingshausen Sea (Case IV).

11
12 **3. Results and discussion**

13 **3.1 Characteristics of the NPF events**

14 **3.1.1 Occurrence frequency and FR of NPF events**
15 After data screening as mentioned in the previous section, 1655-days of data recorded during the
16 observation periods from March 2009 to December 2016 were analyzed. The data including valid data
17 were classified into two groups, NPF event days and non-event days, by using $\text{CN}_{2.5-10}$ concentrations
18 measured by two CPCs. The duration of the NPF ranged from 0.6 to 14.4 h, with a mean of 4.6 ± 1.5
19 h. Only 6.1% (101 days) of the results were defined as NPF events, whereas 93.9% (1554 days) were
20 classified as the non-NPF events (Table 2). This NPF frequency at King Sejong Station in the Antarctic
21 Peninsula is quite low compared with those in previous studies at other mid-latitude sites (Kulmala et
22 al., 2004; Dal Maso et al., 2005; Pierce et al., 2014; Rose et al., 2015); comparison with other sites in
23 the Antarctic is difficult owing to the lack of long-term observed results. In addition, the monthly
24 variation of the NPF frequency was compared as shown in Fig. 3. It is clear that the NPF number was
25 highest during the austral summer, from December to February, whereas non-events were observed in

1 the austral winter period from June to August. Approximately 72% of the NPF occurred during the
2 summer period, showing the highest value of 38% in January. The clear difference in the frequency of
3 the NPF events in austral summer and winter periods indicates that solar intensity and temperature
4 play important roles in the formation and growth of aerosol particles, along with precursor vapors
5 derived from marine biota activities in the Antarctica (Virkkula et al., 2009; Weller et al., 2015; Jang
6 et al., 2018).

7 The FR of particles ranging from 2.5 nm to 10 nm varied from 0.16 to $9.88 \text{ cm}^{-3} \text{ s}^{-1}$, with an average
8 of $2.79 \pm 1.05 \text{ cm}^{-3} \text{ s}^{-1}$. Fig.4(a) shows the monthly variations in the FR over whole observation periods.
9 The seasonal trend in the FR shows a pattern similar to that of the NPF events frequency. The FRs
10 were the highest during the austral summer (December-February, $3.20 \pm 1.09 \text{ cm}^{-3} \text{ s}^{-1}$). Those in the
11 austral autumn period (March-May, $1.71 \pm 0.56 \text{ cm}^{-3} \text{ s}^{-1}$) were similar to those of the spring period
12 (September-November, $1.71 \pm 0.79 \text{ cm}^{-3} \text{ s}^{-1}$). Although the FR was $0.20 \text{ cm}^{-3} \text{ s}^{-1}$ and air masses were
13 originated from South America (Case I) in May, only one NPF event occurred. In particular, the
14 monthly maximum FR in December and the minimum in October were $3.52 \text{ cm}^{-3} \text{ s}^{-1}$ and $0.84 \text{ cm}^{-3} \text{ s}^{-1}$,
15 respectively. The FR measured at various stations in the Antarctic and other continents are
16 summarized in Table 3. The average level of the FR observed in this study was more than 10 times
17 higher than that of other stations in Antarctica. Although it is difficult to directly explain the causes of
18 the higher FR, it is likely that the method used in this study to derive the FR influenced the results.
19 The FRs were estimated in the previous studies on the basis of the size distribution data with few
20 minute time resolution, whereas the FR in this study was calculated by using the variation in total
21 number concentration ($\text{CN}_{2.5-10}$) data with a time resolution of 1 s. Another possible reason is the
22 location. As shown in Table 3, the FR at a coastal region, specifically Mace Head located
23 approximately 500 m from the coast, is higher than that reported at other sites due to the high biological
24 activity of marine algae, which produce gaseous precursors from tidal zone and open oceans. Previous
25 modeling research showed that the dimethyl sulfide emission in the Antarctic Peninsula during the

1 austral summer period is higher than that in other regions in Antarctica (Yu and Luo, 2010). Thus, the
2 characteristics of the sampling site might have caused the FR to be higher than that at other site in
3 Antarctica. Besides, human activities should be one of the possible reasons of high aerosol FR and
4 concentrations. Although strict data filtering procedure was applied to the raw data-set to minimize the
5 effect of local contamination as mentioned in Section 2.2, previous study showed that BC
6 concentrations at King Sejong Station were higher than those at other stations in Antarctica (Kim et
7 al., 2017). In fact, other studies (Shirsat and Graf, 2009; Graf et al., 2010) also reported that there were
8 local pollution sources from tourist ships and emissions associated with scientific actives in Antarctic
9 Peninsula, especially during austral summer seasons. These periodic human activities around the
10 Antarctic Peninsula cannot be ruled out to be a potential factor to contribute the higher aerosol FR and
11 concentrations.

12

13 **3.1.2 Calculation of other parameters based on size distribution data**

14 On the basis of the size distribution results measured with a SMPS, NPF events were categorized
15 into three NPF types, as mentioned as Sect. 2.2.2. Type C was dominant, as shown in Table 4; among
16 all NPF event days, only two days (2.0%) were considered as Type A events. The GRs of nucleation
17 mode particles ranged between 0.02 nm h^{-1} and 3.09 nm h^{-1} , with a mean of $0.68 \pm 0.27 \text{ nm h}^{-1}$. Fig.
18 4(b) presents the monthly variation in the GR from March 2009 to December 2016. A seasonal trend
19 in the GR is apparent, in which the maximum occurred in the summer. The GR gradually began to
20 decrease in February and increase again in November, as shown in Fig. 4(b). The GR in January was
21 $0.76 \pm 0.26 \text{ nm h}^{-1}$, whereas that in November was $0.40 \pm 0.15 \text{ nm h}^{-1}$. The GRs in September and
22 October were not shown due to mechanical trouble of the instruments. The GR in this study is similar
23 to the values reported in previous studies conducted in Antarctica. For instance, Weller et al. (2015)
24 reported that the GR at the Neumayer station varied between 0.4 and 1.9 nm h^{-1} , with an average of
25 $0.90 \pm 0.46 \text{ nm h}^{-1}$. However, our results are lower than those reported by Järvinen et al. (2013), who

1 studied NPF events at Concordia station, Dome C from December 2007 to November 2009 and showed
2 a GR of 4.3 nm h^{-1} . This discrepancy is likely attributed to the number of analyzed days. In the present
3 study, we analyzed 86 of 101 NPF days, whereas the previous study analyzed 15 NPF days.

4 Fig. 4(c) shows a monthly variation in CS during NPF events. The CS varied from $0.02 \times 10^{-3} \text{ s}^{-1}$
5 to $25.66 \times 10^{-3} \text{ s}^{-1}$, with an average of $(6.04 \pm 2.74) \times 10^{-3} \text{ s}^{-1}$. The value was high in February ($(8.17 \pm$
6 $3.55) \times 10^{-3} \text{ s}^{-1}$) and a low in April ($(2.44 \pm 0.70) \times 10^{-3} \text{ s}^{-1}$), as shown in Fig. 4(c). The CS measured
7 in this study was approximately 5-10 times higher than that observed at the other Antarctic station.
8 Weller et al. (2015), who estimated the CS using light scattering data measured from Neumayer station,
9 indicated a CS value of about 10^{-3} s^{-1} . A median CS value of $4.0 \times 10^{-4} \text{ s}^{-1}$ in a 47-day observation period
10 at Aboa station was reported by Kyrö et al. (2013). Järvinen et al. (2013) also showed a CS value of
11 $1.8 \times 10^{-4} \text{ s}^{-1}$ using data of 15 days.

12 The monthly variation in the condensable vapor source rate during an NPF event is displayed in
13 Fig. 4(d). The source rates derived were between 0.03×10^3 and $3.74 \times 10^5 \text{ cm}^{-3} \text{ s}^{-1}$, with a mean source
14 rate of $(5.19 \pm 3.51) \times 10^4 \text{ cm}^{-3} \text{ s}^{-1}$. The source rate of condensable vapor was maximum during the
15 austral summer months. In particular, the maximum and minimum average values of the source rate
16 were $(6.40 \pm 3.43) \times 10^4 \text{ cm}^{-3} \text{ s}^{-1}$ in January and $(1.93 \pm 0.92) \times 10^4 \text{ cm}^{-3} \text{ s}^{-1}$ in November, respectively.
17 This source rate was higher than that measured at a coastal Antarctic station. Kulmala et al. (2005)
18 reported that the value of source rate varied from $0.9 \times 10^3 \text{ cm}^{-3} \text{ s}^{-1}$ to $2.0 \times 10^4 \text{ cm}^{-3} \text{ s}^{-1}$ at the Aboa station.

19 20 **3.3 CCN concentration during NPF events**

21 In this section, the contribution of particle formation to the variation in CCN concentration is
22 investigated. Although recent studies reported that number concentrations of climate-relevant particles
23 increased during NPF events (Pierce et al., 2014; Shen et al., 2016; Rose et al., 2017), the contribution
24 of NPF to CCN concentration was estimated by using an indirect method. The number concentrations
25 of particles larger than 50, 80 and 100 nm were estimated by using size distribution data. That value

1 was considered as potential CCN concentration at different supersaturation value. In the present study,
2 CCN concentrations at a supersaturation value of 0.4% were directly measured using CCN counter.
3 Hourly mean CCN concentrations were compared with CN concentrations measured by CPC and size
4 distribution results measured by SMPS (Fig S3 in the Supplement). Data for only 27 days, when all
5 the three data-set (CPC, CCN counter, and SMPS) were available, were analyzed. Fig. 5 shows
6 variation in $\text{CN}_{2.5-10}$ concentrations, CCN concentrations, and number concentrations as a function of
7 time elapsed after the NPF event. The zero in the x-axis means the start time of the NPF event. As
8 shown in Fig. 5a and b, the $\text{CN}_{2.5-10}$ concentrations sharply increased at NPF start time and the peak
9 concentration occurred 2 h afterward, whereas the CCN concentrations gradually increased for 8 h.
10 Indeed, the maximum CCN concentrations rose from $191.4 \pm 16.3 \text{ cm}^{-3}$ to $213.2 \pm 17.7 \text{ cm}^{-3}$ before and
11 after the NPF events, respectively, showing an increase of 11%. Fig 5b also shows variation of number
12 concentrations (N_{50} , N_{80} , and N_{100}) of particles larger than 50 nm, 80 nm, and 100 nm, respectively.
13 Number concentrations were calculated from aerosol size distribution data. Variation trends of the
14 number concentrations were similar to those of CCN concentrations, increasing approximately 15%
15 before and after the NPF events.

16
17 **3.4 Effects of air mass origin on NPF events**

18 The effects of air mass origin on the NPF characteristics were also investigated by 48-h air mass
19 back trajectory analysis. Each trajectory according to four cases can be shown in Fig. S4 in the
20 Supplement. The frequencies of NPF, FR, GR, CS, and the source rate of condensable vapor over the
21 whole observation period are listed in Table 5. Here, the analysis results of the NPF characteristics of
22 air masses originating from South America (Case I) are not shown owing to low frequencies. The air
23 masses originating from the sea (Case II and IV) were dominant during NPF event at King Sejong
24 Station. The FRs were analogous regardless of the air mass origin and pathway, while the GR of Case
25 III and Case IV was significantly higher than those of Case II. The lower GR should be related to the

1 CS and the source rate of condensable vapor. In the case of the air mass originating from the Weddell
2 Sea (Case II), the CS was higher than that of other cases, whereas the source rate of condensing vapor
3 was lowest. The higher CS and lower source rate might indicate a decline in condensing vapor and
4 hence a decrease in GR. Our results for the source rate of condensable vapor agree with those of a
5 previous study by Yu and Luo (2010), discussing the role of dimethyl sulfide (DMS) emission in the
6 NPF process in remote oceans. In their model study, the concentrations of DMS and sulfuric acid in
7 the Bellingshausen Sea and the Antarctic Peninsula area during the austral summer season were higher
8 than those in Weddell Sea region. In satellite-derived estimates of the biological activities, DMS
9 produced from phytoplankton was found to be more dominant in the Bellingshausen Sea than in the
10 Weddell Sea (Jang et al., 2018). Sulfuric acid is derived from oxidation of DMS emitted from oceans
11 (Virkkula et al., 2009). In this study, the condensable vapor was assumed to be sulfuric acid in the
12 source rate calculations, as mentioned in Sect. 2.2.3.

13 Fig. 6 shows a comparison of the NPF characteristics depending on the origin and pathway of the
14 air mass during the summer season. The mean CS value was high. However, in case of the air mass
15 originating from the Bellingshausen Sea (Case IV), the GR was relatively higher than the values of air
16 masses originated from other region. The mean value of this source rate for the air mass originating
17 from the Weddell Sea (Case II) was similar to that from the Antarctic Peninsula (Case III), while the
18 CS mean value was 1.7 times higher. This resulted in a low GR.

19 For air mass originating from the Bellingshausen Sea (Case IV), the seasonal properties of the
20 parameters related to the NPF events were analyzed. As shown in Fig. 7, the mean values of FR, GR
21 and the source rate of condensable vapor were highest during the austral summer periods. However,
22 mean values of CS were highest during the spring period.

23

24 **4. Summary**

25 In this study, the characteristics of NPF at King Sejong station in Antarctic Peninsula were

1 investigated using a data set of eight years from March 2009 to December 2016, of total particle
2 number concentrations and particle size distributions. The frequencies of NPF events and FR were
3 obtained by using the data of total number concentrations, whereas GR, CS and the source rate of
4 condensable vapor were calculated from the aerosol size distribution results. A low occurrence
5 frequency of NPF events, at 6%, was observed, and most of the NPF events occurred during the austral
6 summer. No NPF events were observed during the winter due to lower solar radiation and a lack of
7 precursors for particle formation. The mean values of the FR and GR were $2.79 \pm 1.05 \text{ cm}^{-3} \text{ s}^{-1}$ and
8 $0.68 \pm 0.27 \text{ nm h}^{-1}$, respectively. These results show that the FR at King Sejong Station was higher than
9 that at other Antarctica sites, whereas the GR was relatively similar to values reported in previous
10 studies conducted in the Antarctic. A possible reason for the lower GR can be attributed to the CS,
11 which was 5-10 times higher than that reported at other stations in Antarctica. This observation
12 suggests that condensable vapor contributed to growth of nucleated nanoparticles and may have
13 condensed onto pre-existing particles, hence decreasing the GR. According to 48-h backward
14 trajectory analysis, air masses originating from oceanic areas were dominant during the NPF events.
15 In order to investigate the contribution of the NPF events to variation in CCN concentrations at a
16 supersaturation value of 0.4%, the CCN concentrations were compared with the $\text{CN}_{2.5-10}$
17 concentrations as a function of time. The results showed that the CCN concentrations during and after
18 the NPF events increased approximately 11% compared with those measured before the event. This
19 study is the first to report the characteristics of NPF in the Antarctic Peninsula. However, further
20 research is needed to understand the chemical characteristics of aerosol particles and the chemical
21 composition of precursors during NPF events to fully understand the NPF for this region including
22 Arctic Permafrost area.

23

24 **Author contributions**

25 JK and YJY designed the study, YG, JHC, HJK, KTP, JP, and BYL analysed aerosol data. JK and

1 YJY prepared the manuscript with contributions from all co-authors.

2

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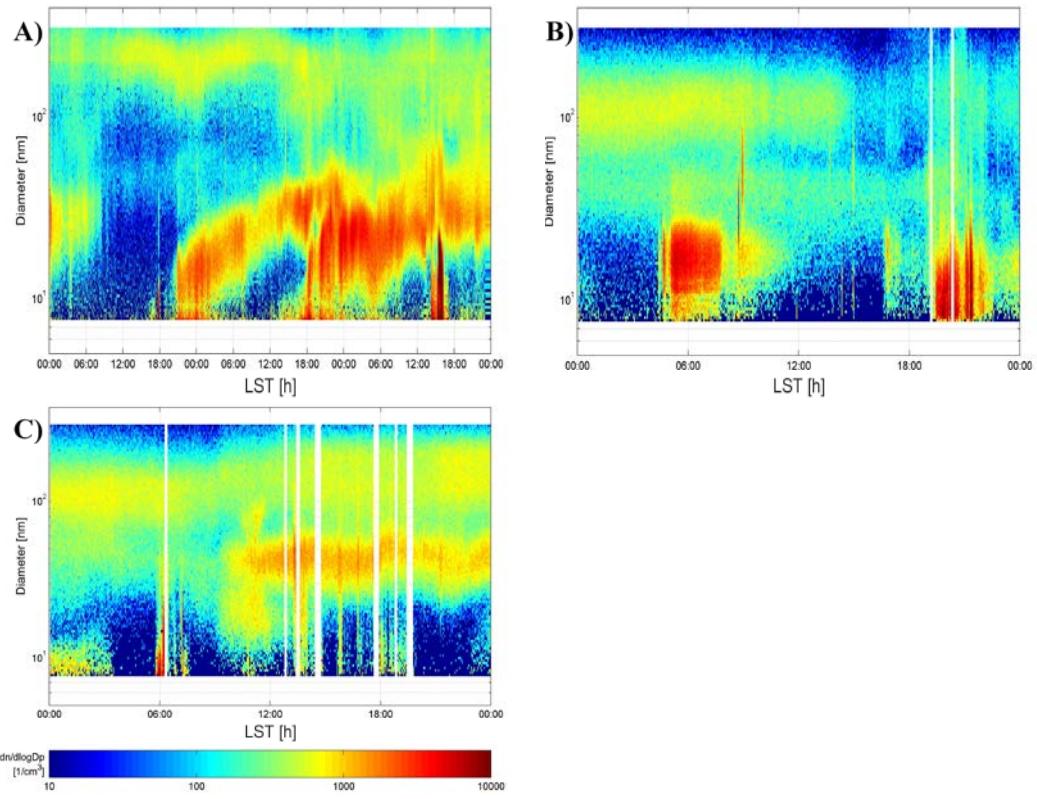
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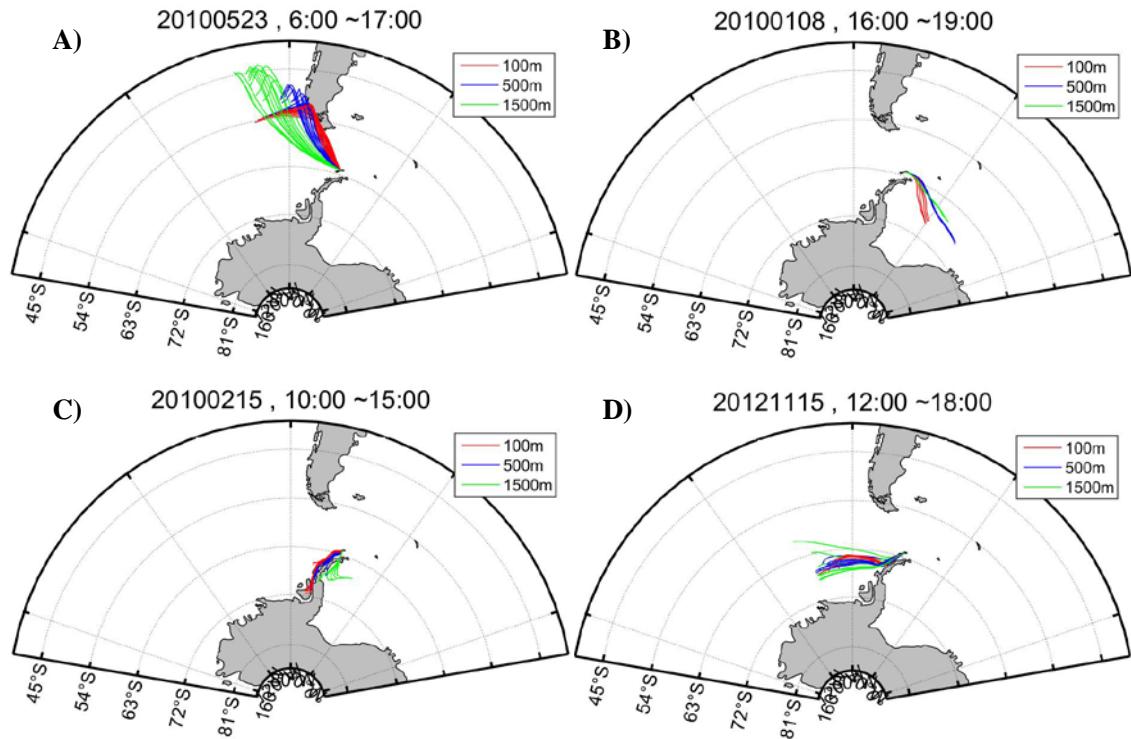
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4 Figure 1. Example of types of the NPF based on the SMPS data. (a) type A (18 January 2011-20 January 2011),
 5 (b) type B (13 January 2015) and (c) type C (9 January 2015). Type A is days when the formation and growth
 6 of nanoparticles should be clear. Type B is days when the formation occurred but growth was not clear. Type C
 7 is days when it cannot be said whether there is an event or not.

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4 Figure 2. Example of the four cases considering to the air mass origin and pathway: (a) South
 5 America, (b) Weddell Sea, (c) Antarctic Peninsula, and (d) Bellingshausen Sea. Typical 48-h air mass
 6 backward trajectories were analyzed, ending at heights of 100m (Red line), 500m (Blue line) and
 7 1500m (Green line) above the ground level of the sampling site.

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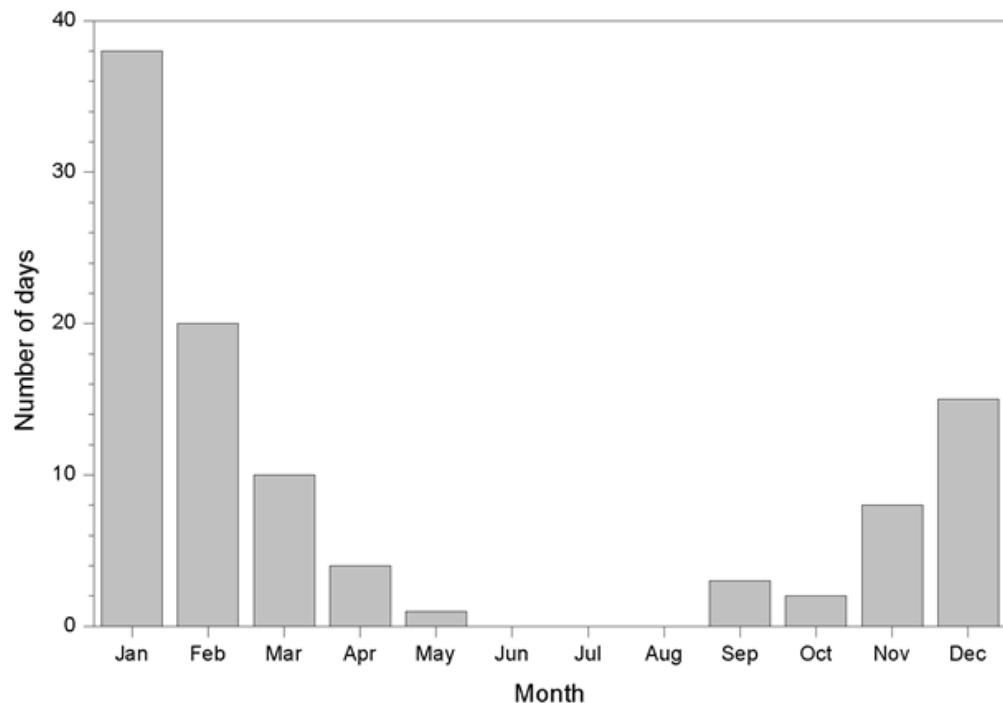
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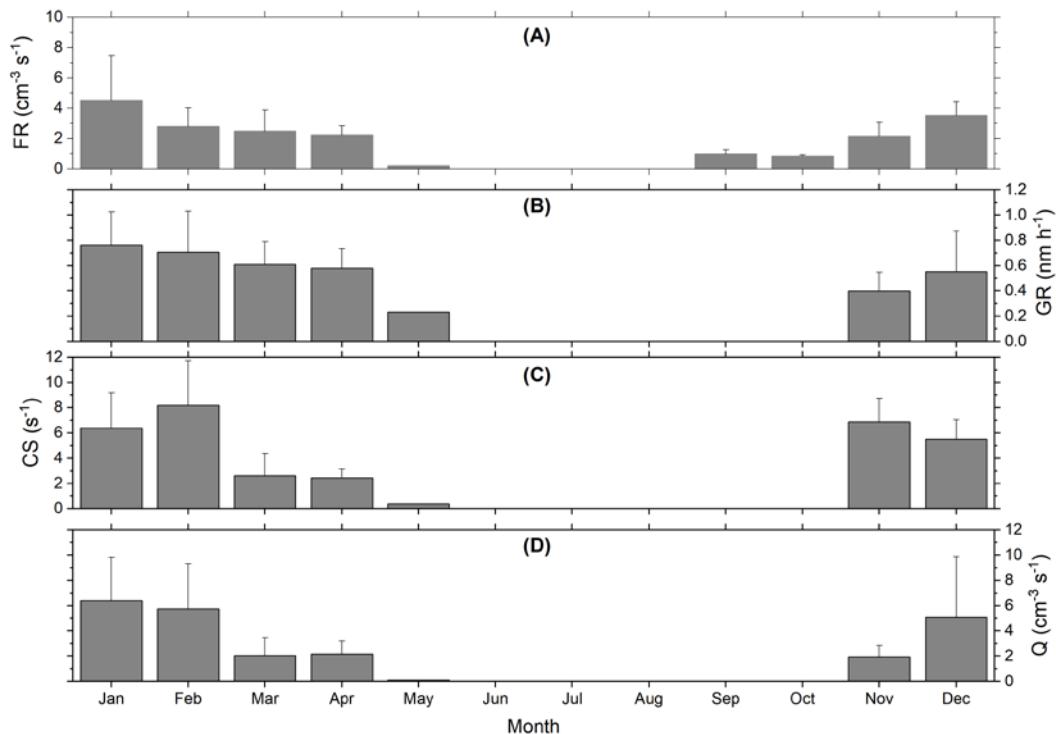


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5 Figure 3. Monthly variation in the number of NPF days between March 2009 and December 2016.

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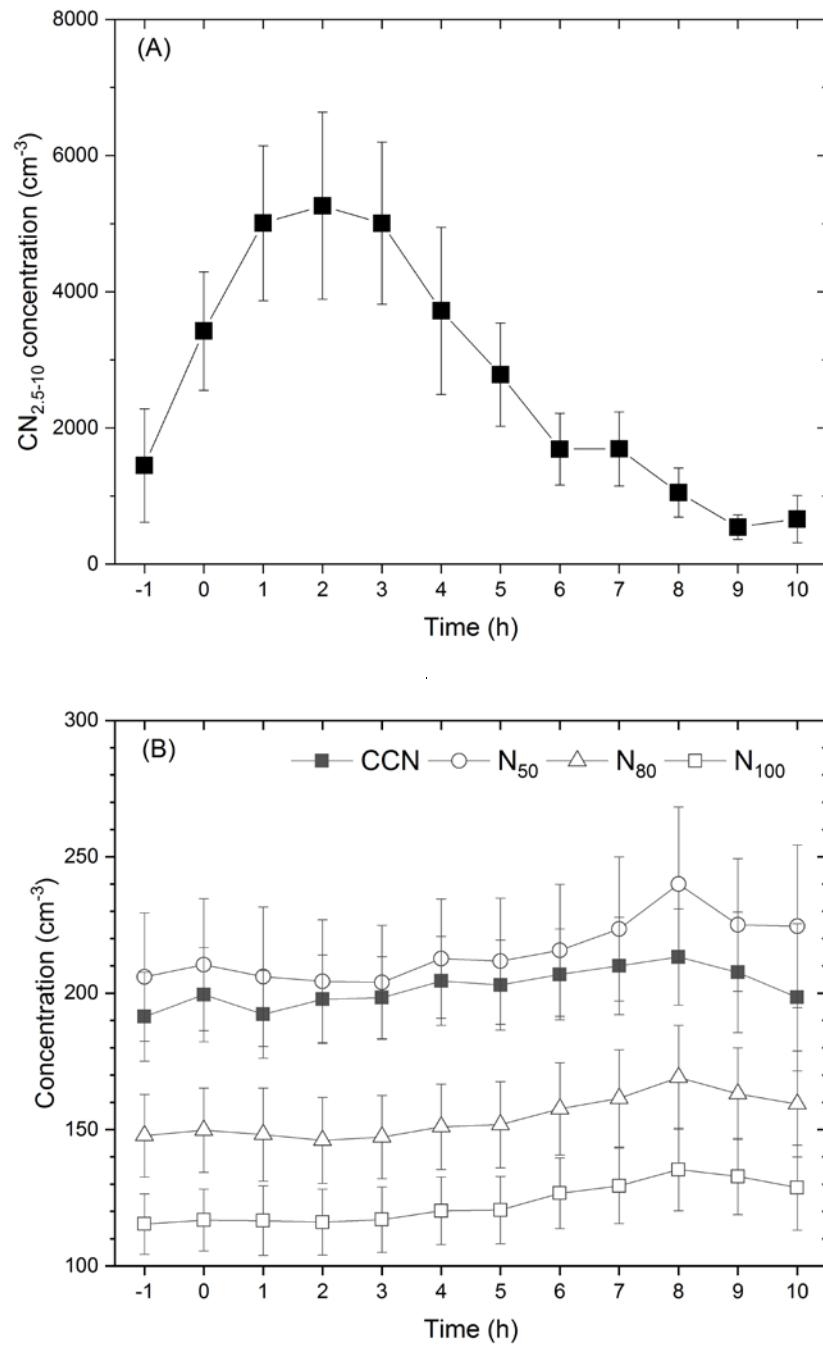
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5 Figure 4. Monthly variations of (a) the formation rates (FR), (b) the growth rates (GR) of nucleation
6 mode particles ranging from 10 nm to 25 nm, (c) the condensation sink (CS), and (d) the source rate
7 of condensable vapor (Q). The error bars represent a standard deviation. **No NPF events were observed**
8 **in June, July, and August. The GRs, CSs, and Q values in September and October were not shown due**
9 **to mechanical troubles of the instruments.**

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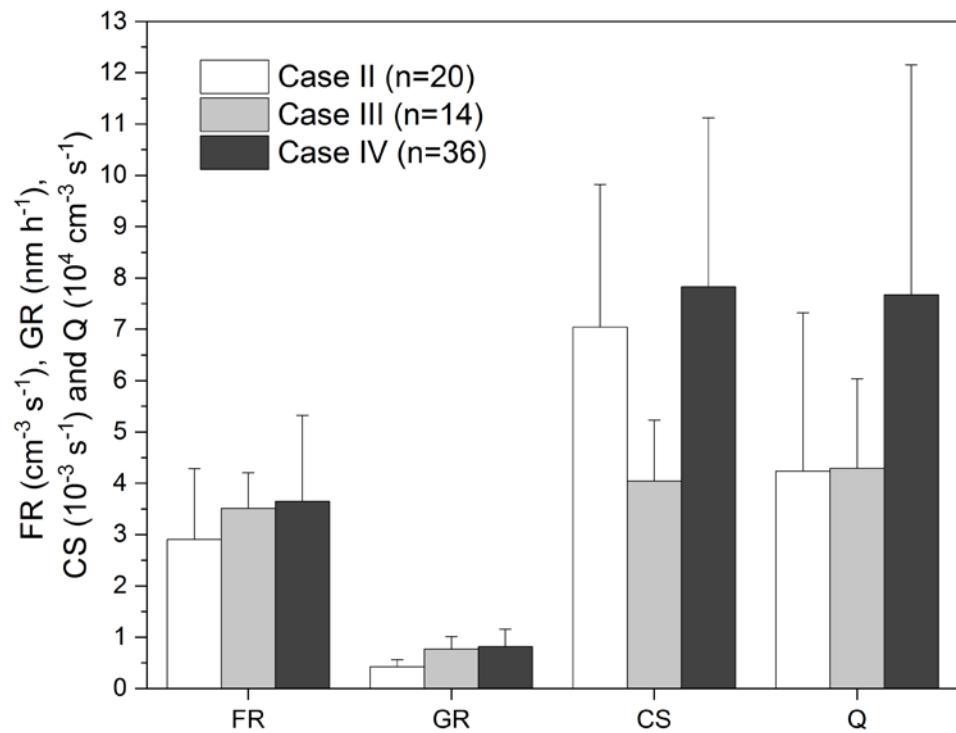
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6 Figure 5. Variation in (a) $\text{CN}_{2.5-10}$ concentrations measured using CPC and (b) CCN concentrations
7 measured with CCN counter and number concentrations calculated using SMPS data with time. N_{50} ,
8 N_{80} , and N_{100} represent number concentrations of particles larger than 50 nm, 80 nm, and 100 nm in
9 diameter, respectively. The zero in the x-axis indicates the start time of the NPF events.

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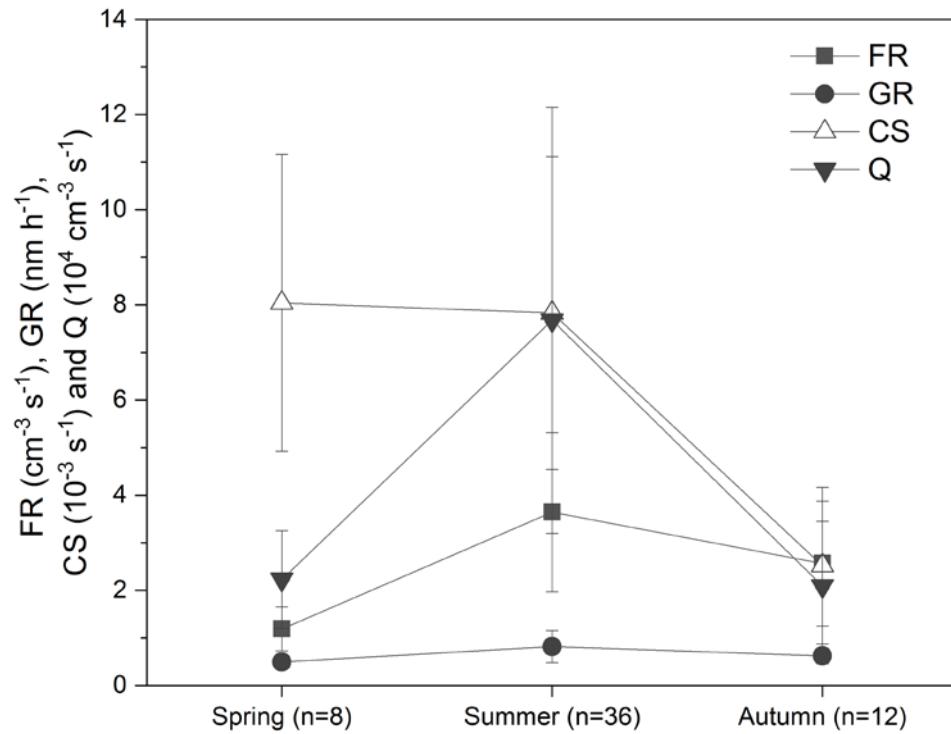
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5 Figure 6. Comparison of NPF characteristics including the formation rate (FR), growth rate (GR),
6 condensation sink (CS) and source rate of condensable vapors (Q) depending on the origins and
7 pathway of air masses during the astral summer period. The error bars represent standard deviation.

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5 Figure 7. Seasonal characteristics of parameters related to NPF events in which the air masses
6 originated from the Bellingshausen Sea. FR, GR, CS, and Q refer to formation rate, growth rate,
7 condensation sink, and source rate of condensing vapor, respectively. The error bars represent standard
8 deviation.

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2 Table 1. Summary of data acquisition rate for each instrument during the analysis periods

Measurement parameter	Instrument	Data acquisition rate(%)
Number concentration of particle larger than 2.5 nm	CPC (TSI 3776)	80.7
Number concentration of particle larger than 10 nm	CPC (TSI 3772)	79.5
Size distribution	SMPS	40.3
CCN concentrations	CCNC	36.4

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6 Table 2. Event statistics classified by using total concentration data obtained from two CPCs

	Days	Percentage of total days
NPF events	101	6.1
Non events	1554	93.9
Total	1655	

7

1 Table 3. Summary of the formation rates observed at different sampling site in Antarctica and in other continents. DMPS, SMPS, and CPC mean
 2 differential mobility particle sizer, scanning mobility particle sizer, and condensation particle counter, respectively.

3

Site	Period	Method	Formation rates ($\text{cm}^{-3} \text{ s}^{-1}$)		References
King Sejong (Antarctic Peninsula)	03/2009 ~ 12/2016	Two CPCs (TSI 3772 & TSI 3776)	$J_{2.5-10}$	2.79	This study
Syowa (Antarctica)	08/1978 ~ 12/1978		J_{10}	3.8×10^{-4}	Ito, 1993
Dome C (Antarctica)	12/2007 ~ 11/2009	DMPS	J_{10}	0.038	Järvinen et al., 2013
Aboa (Antarctica)	01/2010	DMPS	J_{10}	0.003 ~ 0.3	Kyrö et al., 2013
Neumayer (Antarctica)	20/01/2012 ~ 26/03/2012 01/02/2014 ~ 30/04/2014	SMPS	J_{3-25}	0.02 ~ 0.1	Weller et al., 2015
Värrö (Sub Arctic)	12/1997 ~ 07/2001	DMPS	J_{10}	0.38	Dal Maso, 2002
Hyttiälä (Rural)	1996 ~ 2003	DMPS	J_{3-25}	0.61	Dal Maso et al., 2005
Mace Head (Coastal)	1996 ~ 1997	Two CPCs (TSI 3022 & TSI 3025)	J_{3-10}	$10^2 \sim 10^4$	Grenfell et al., 1999
Jungfraujoch (Remote)	03/1997 ~ 05/1998	SMPS	J_{10}	0.14	Weingartner et al., 1999
Dresden area (Rural)	1996 ~ 1998	Two CPCs (UCPC & CPC)	J_{10}	110	Keil and Wendisch, 2001
Atlanta (Urban)	08/1998 ~ 08/1999	Nano-SMPS	J_3	10 ~ 15	Woo et al., 2001
Shangdianzi (Rural)	03/2008 ~ 12/2013	DMPS	J_3	6.3	Shen et al., 2016

1 Table 4. NPF event classification statistics using size distribution results. Type A refers to days in which
 2 the formation and growth of particles were clear. Type B refer to days in which the formation occurred
 3 but the growth was not clear. Type C refers to days in which the event occurrence was unclear.

	Days	Percentage of NPF days
Type A	2	2.0
Type B	37	36.6
Type C	62	61.4
Total	101	

4
 5 Table 5. Summary of NPF characteristic statics depending on the air mass origin. FR is the formation
 6 rate, GR is the growth rate, CS is the condensation sink, and Q is the source rate of condensable vapor.
 7 Case I, Case II, Case III, and Case IV refer to the origin and pathway of air masses from South America,
 8 the Weddell Sea, the Antarctic Peninsula, and the Bellingshausen Sea, respectively.

NPF days	FR	GR	CS	Q
	(cm ⁻³ s ⁻¹)	(nm h ⁻¹)	(10 ⁻³ s ⁻¹)	(10 ⁴ cm ⁻³ s ⁻¹)
Case I	3	2.57 ± 1.18	0.88 ± 0.33	4.49 ± 1.79
Case II	24	2.81 ± 1.29	0.41 ± 0.15	6.95 ± 2.65
Case III	16	3.10 ± 0.80	0.77 ± 0.25	4.19 ± 1.30
Case IV	56	3.08 ± 1.55	0.76 ± 0.30	6.79 ± 3.20
				6.20 ± 4.08

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