

Dear Editor,

According to the reviewers' comments and suggestions, we have made major revision to our manuscript. The main changes in the manuscript are as follows:

- 1) As suggested by one reviewer, we reorganized the section 4, we present the evaluation results first and then followed by the flux analysis and discussions.
- 2) For better clarity, we rewritten the abstract, we removed the statement on the differences between posterior fluxes from satellites and prior fluxes and focus more on the comparisons between satellites and in situ inversion.
- 3) We removed the comparisons between satellites inversions and poor-man inversion on regional carbon flux, but added more analysis about the comparisons between the satellites and in situ inversions.
- 4) We changed the statemen of "benchmark inversion" to "poor-man inversion", and made clear the purpose and the calculations of doing poor-man inversion.
- 5) We made the conclusion clear that GOSAT data can effectively improve the carbon flux estimates in Northern Hemisphere and its performance is close to in situ data, while OCO-2 data, with the specific version used in this study, shows only slight improvement.
- 6) We also checked errors and typos carefully and made the necessary corrections.

The point-by-point response to the reviews and the detailed changes are listed in the attachments. Many thanks to you and the referees for the time and effort you expend on this paper.

Best Regards,

Sincerely yours,

Fei Jiang

**Referee #1:**

We thank the anonymous referee for his/her valuable comments and constructive suggestions. We have made changes according to the referee's suggestions and replied to all comments point by point. All the page and line number for corrections are referred to the revised manuscript, while the page and line number from original reviews are kept intact.

**Referee:** General comments.

The aim of the study is to provide a comparison of simulated CO<sub>2</sub> concentrations estimated with inverse model using OCO-2 and GOSAT retrievals for the year 2015. The questions of interest to broader audience are differences in the amplitudes of respective flux corrections and their spatial distributions, as well as how well the optimized simulations agree with the observed concentrations by surface flask and TCCON networks. Authors discuss the spatial variability of the satellite data biases with respect to TCCON data and the biases in inverse model estimated concentrations with respect to surface flask and TCCON data. Based on comparison of the estimated fluxes to benchmark inversion and posterior fits to ground-based observational data not used in inversion, authors conclude that use of GOSAT data in inversion results in better fit to observations than OCO-2 data. The manuscript is been resubmitted and was substantially revised with respect to earlier version. Presentation of the material is clear and has improved over initial submission, so manuscript can be accepted after minor revisions. The text should also be checked for orthographical errors.

**Response:** We appreciate the referee's insightful comments. We checked for orthographical errors carefully and made the necessary corrections.

## Detailed comments

L74 Authors consider if "current OCO-2 observations have a greater potential than GOSAT ...". It would be useful to note what would be the reasons affecting usefulness of OCO-2 or GOSAT? Did they mean spatially and temporally varying biases?

**Response:** Yes, spatially and temporally varying biases affect usefulness of satellite

retrievals greatly. As pointed out by Chevalier et al. (2007), biases of a few tenths of one ppm in XCO<sub>2</sub> could bias subcontinental flux estimates by several tenths of gigaton of carbon. Spatial coverage also affects the usefulness of satellite data, especially in regions with frequent clouds, where OCO-2 is anticipated to perform better. In the revised manuscript, we have changed that sentence to “it is still not clear whether with the improved monitoring capabilities and better spatial coverage, current OCO-2 observations have a greater potential than GOSAT observations for estimating CO<sub>2</sub> flux at regional or finer scale, since except spatial coverage, the biases also affect the usefulness of satellite retrievals greatly.”. See lines 77-80, pages 3-4.

L454 Although results of the analysis support the conclusions, it should be noted that the study period of 2015 is characterized by strong El-Nino and the spatial distribution of fluxes typical for more common non El-Nino years, appears disturbed in the El-Nino year.

**Response:** Thanks for the referee for calling our attentions to the influence of El-Nino event. We understand the importance of evaluating performance of two satellite data in both El-Nino and non El-Nino years. However, the availability of overlap of OCO-2 and GOSAT data from ACOS for only 20 month prevents us from doing multi-year inversions.

L456 The uncertainty/bias in TCCON retrievals is cited, but evidence for the bias is not shown/discussed. Suggest to add some reference(s) on TCCON biases.

**Response:** TCCON retrievals are subject to air-mass dependent and air-mass independent biases. The correction factors are applied to the column-averaged mole fractions. The air-mass dependent correction factor is determined from the symmetric component of the diurnal variation. The air-mass-independent correction factor is determined by comparisons with in situ profiles measured over TCCON sites from aircraft or balloon payloads. The TCCON biases are usually evaluated by aircraft or balloon profile observations. However, the comprehensive evaluation of TCCON biases are still hindered from the lack of enough profile data. We have added two

references of Wunch et al. (2010) and Messerschmidt et al. (2011) in the revised manuscript in line 336, page 15.

L490 Analysis of the posterior fit to surface flask observations in Southern and North hemispheres indicates there are biases in GOSAT and OCO-2, and those are changing in different directions. Comparison to TCCON show the retrieval bias difference between GOSAT and OCO-2 are in order of 1 ppm. It is worth noting that, while observed mean difference with TCCON calls for correction of the GOSAT and OCO-2 data, based on mean deviation from TCCON, it was not done in this study, as opposed to some other inverse modeling studies.

**Response:** The bias difference up to 1 ppm between GOSAT and OCO-2 retrievals against TCCON retrievals does seem rather large. However, due to limited number of collocated satellite retrievals, the sample size for computing bias is relatively small. Therefore, the large bias difference should be treated as a relative value. As shown in Table 4, when comparing to the same prior CO<sub>2</sub> mixing ratios, the difference of overall mismatches between GOSAT and OCO-2 data is 0.57 ppm, suggesting the bias difference might not be as large as shown by comparison with TCCON data. As described in Section 2, GOSAT and OCO-2 retrievals used in our inversions are already bias-corrected. The remaining biases of satellite retrievals suggest that the bias-correction scheme implemented need to be improved. However, due to the short time period of our inversions, the sparseness of TCCON sites and the lack of profile data, it is really difficult to figure out an appropriate way to further reduce satellite retrievals bias. The following sentences have been added in the revised manuscript to point out the deficiency of statistics, see lines 487-493, page 25.

“...It should be noted that due to the limited number of collocated satellite retrievals, the real bias difference might not be up to 1 ppm. As shown in Table 4, the difference of overall mismatches between GOSAT and OCO-2 data is 0.57 ppm. These indicate that although both OCO-2 and GO-SAT products were bias-corrected using TCCON retrievals, the uncertainties of OCO-2 and GO-SAT retrievals are still very large, especially for OCO-2 retrieval, resulting the worse performance of OCO-2 retrieval,

which also suggest that the bias-correction scheme implemented may need to be improved.”

Suggested technical corrections

L68 Suggest to change “constrain the surface carbon flux inversion” to “constrain the surface carbon fluxes”

**Response:** We have changed “constrain the surface carbon flux inversion” to “constrain the surface carbon fluxes”. See lines 70-71, page 3.

L250 Fprior mistyped

**Response:** We have corrected “Fpiror” to “Fprior” in the revised manuscript. See line 259, page 12.

L256 suggest changing “by multiply by” to “by multiplying by”

**Response:** We have changed “by multiply by” to “multiplying by” in the revised manuscript. See lines 268-269, page 12.

L276 ‘In situ’ to ‘in situ’

**Response:** We have change “In situ” to “in situ”. See line 363, page 17

L377 abbreviated TCCON station names (such as ‘Bial’) should be explained somewhere in the text.

**Response:** We have added the full name of TCCON stations in section 2.2 in the revised manuscript. See lines 149-151, page 7.

L392 in “0.34 to0.59” space is missing

**Response:** We have added space. See line 485, page 25.

L408 in “0.93ppm” space is missing

**Response:** We have added space. See line 286, page 13.

L415 Instead of Figure 7, Figure 6 should be referred here, as comparisons to TCCON is on Line 447.

**Response:** We have corrected “Figure 7” to “Figure 4” since according to another referee’s comments, the section 4 has been reorganized in the revised manuscript, and Figure 6 is renamed to Figure 4. See lines 345-346, page 16.

**Referee #2:**

We thank the anonymous referee for his/her valuable comments and constructive suggestions. We have made changes according to the referee's suggestions and replied to all comments point by point. All the page and line number for corrections are referred to the revised manuscript, while the page and line number from original reviews are kept intact.

**Referee:** I was pleased to receive the response of the authors to my comments, and I appreciate the extra effort that was done to address my concerns. I think the manuscript has improved substantially in this revision, but not yet enough for publication in ACP. The manuscript needs quite a few small modifications of errors, typos, and mistakes in at least one figure. It can also profit from rewriting and reordering parts of the text. And before publication can proceed, the authors need to consider the terms of use of the ObsPack data that they downloaded, as currently they did not comply with them. Please find below my additional comments on the new manuscript presented.

**Response:** We are very grateful to the referee's insightful comments and really appreciate his/her patience while we were working on the manuscript. In the revised manuscript, we have reorganized the section 4. We present the evaluation results first and then followed by the flux analysis. For better clarity, we rewrite the abstract, part of section 4 and conclusions as well. We corrected the mistake in the Figure 4. We also checked errors and typos carefully and made the necessary corrections. We will explain how we follow the terms of use of the ObsPack data in detail.

Main comments:

The use of the ObsPack instead of the flasks is in principle a good idea. I do not understand why the authors decided to download a carbontracker obspack though, as the website that explains this product ([https://www.esrl.noaa.gov/gmd/ccgg/obspack/release\\_notes.html#obspack\\_co2\\_1\\_CARBONTRACKER](https://www.esrl.noaa.gov/gmd/ccgg/obspack/release_notes.html#obspack_co2_1_CARBONTRACKER)) explicitly suggests not to use these files as primary source of data for inversions, but instead to get the latest real obspack from the website. It also reminds

the user explicitly to comply with the terms of use of these data in a study, which means that (1) all data providers need to be contacted before publication to explain the use of their data and to agree on the way to acknowledge them, (2) a citation to the dataset through its DOI must be included in the text. I repeated this Fair Use Statement in my comments below. Without complying with these rules, the current manuscript should not be published.

**Response:** Many Thanks for this comment and suggestion. The reason for using CarbonTracker Obspack other than the full ObsPack data is just for convenience. Before we decided to use CarbonTracker ObsPack data, we did read the release note carefully and understand the suggestions for not using those data as primary source for inversions. The latest real ObsPack data contain much more measurements than those used by CarbonTacker. We don't have much experience with assimilating in situ measurements. It is a lot of works to filter out the measurements not suitable for assimilation. It is also not an easy task for us to figure out the appropriate observation uncertainties. Therefore, in order to finish the in situ inversion and complete the revision of the manuscript on time, we chose to use CarbonTracker Obspack data to do the inversion.

We did follow the terms of use of OpsPack data closely. After we downloaded the ObsPack data, we read the terms of use carefully and emailed to all ObsPack PIs to acknowledge the use of data and inquire the proper citation of ObsPack data. We got replies from Dr. Andres Schmidt and Dr. Andy Jacobson and they agreed to let us use the data. All the other PIs didn't reply to us and so we assumed no objections from them for using the data. A copy of email we sent and the replies from Dr. Andres Schmidt and Dr. Andy Jacobson are listed as follows.

(1) Email we sent to all data providers on Apr 7, 2019:



---

**acknowledgement of using obspack\_co2\_1 CARBONTRACKER\_CT2016\_2017-02-06 data**

发件人 : wanghm<wanghm@nju.edu.cn>

时 间 : 2019年4月7日(星期天) 凌晨0:23

收件人 : bev.law<bev.law@oregonstate.edu>; Casper.Labuschagne<Casper.Labuschagne@weathersa.co.za>;  
h.a.scheeren<h.a.scheeren@rug.nl>; swofsy<swofsy@seas.harvard.edu>; vandenbult<vandenbult@ecm.nl>;  
colm.sweeney<colm.sweeney@noaa.gov>; leuenberger<leuenberger@climate.unibe.ch>;  
wpaplowsky<wpaplowsky@ucsd.edu>; john.mund<john.mund@noaa.gov>; haszpra.l<haszpra.l@met.hu>;  
pshepson<pshepson@purdue.edu>; lukasz.chmura<lukasz.chmura@fis.agh.edu.pl>; necki<necki@agh.edu.pl>;  
Gordon.Brailsford<Gordon.Brailsford@niwa.co.nz>; vandinther<vandinther@ecm.nl>;  
frank.meinhardt<frank.meinhardt@uba.de>; josep-anton.morgui<josep-anton.morgui@ic3.cat>;  
Paul.Steele<Paul.Steele@csiro.au>; anna.karion<anna.karion@noaa.gov>;  
marc.delmotte<marc.delmotte@lsce.ipsi.fr>; huilin.chen<huilin.chen@rug.nl>; e.gloor<e.gloor@leeds.ac.uk>;  
scpipper<scpipper@ucsd.edu>; Doug.Worthy<Doug.Worthy@ec.gc.ca>; kenneth.c.aikin<kenneth.c.aikin@noaa.gov>;  
arlyn.andrews<arlyn.andrews@noaa.gov>; pieter.tans<pieter.tans@noaa.gov>; jeff.peischl<jeff.peischl@noaa.gov>;  
Paul.Krummel<Paul.Krummel@csiro.au>; francesco.apadula<francesco.apadula@rse-web.it>;  
accoc<accoc@ucsd.edu>; lvgatti<lvgatti@ipen.br>; Ray.Langenfelds<Ray.Langenfelds@csiro.au>;  
ingrid.vanderlaan<ingrid.vanderlaan@wur.nl>; am12721<am12721@bristol.ac.uk>;  
Marcel.vanderSchoot<Marcel.vanderSchoot@csiro.au>; petri.keronen<petri.keronen@helsinki.fi>;  
roger.curcoll<roger.curcoll@ic3.cat>; sjwalker<sjwalker@ucsd.edu>; zimnoch<zimnoch@agh.edu.pl>;  
olli.peltola<olli.peltola@helsinki.fi>; meelis.molder<meelis.molder@nateko.lu.se>;  
s.odoherty<s.odoherty@bristol.ac.uk>; MSTorn<MSTorn@lbl.gov>; SCBiraud<SCBiraud@lbl.gov>;  
abollenbacher<abollenbacher@ucsd.edu>; mon<mon@m.tohoku.ac.jp>; ghg\_obs<ghg\_obs@met.kishou.go.jp>;  
janne.levula<janne.levula@helsinki.fi>; Michal.Heliasz<Michal.Heliasz@cec.lu.se>;  
Eric.J.Hintsa<Eric.J.Hintsa@noaa.gov>; h.a.j.meijer<h.a.j.meijer@rug.nl>;  
michal.galkowski<michal.galkowski@agh.edu.pl>; kenneth.schuldt<kenneth.schuldt@noaa.gov>;  
ingeborg.levin<ingeborg.levin@iup.uni-heidelberg.de>; tuula.aalto<tuula.aalto@fmi.fi>; clm<clm@nilu.no>;  
thomas.b.ryerson<thomas.b.ryerson@noaa.gov>; oh<oh@nilu.no>;  
samuel.hammer<samuel.hammer@iup.uni-heidelberg.de>; henssen<henssen@ecm.nl>;  
ed.dlugokencky<ed.dlugokencky@noaa.gov>; frumau<frumau@ecm.nl>; andrew.uea<andrew.uea@gmail.com>;  
marcus.schumacher<marcus.schumacher@dwd.de>; kirk.w.thoning<kirk.w.thoning@noaa.gov>;  
kathryn.mckain<kathryn.mckain@noaa.gov>; MLFischer<MLFischer@lbl.gov>;  
Sylvia.Nichol<Sylvia.Nichol@niwa.co.nz>; g.forster<g.forster@uea.ac.uk>; fred.moore<fred.moore@noaa.gov>;  
aoki<aoki@m.tohoku.ac.jp>; alex.vermeulen<alex.vermeulen@nateko.lu.se>;  
martin.steinbacher<martin.steinbacher@empa.ch>; stephens<stephens@ucar.edu>;  
pasi.kolari<pasi.kolari@helsinki.fi>; nakazawa<nakazawa@m.tohoku.ac.jp>; agomezp<agomezp@aemet.es>;  
James.W.Elkins<James.W.Elkins@noaa.gov>; safshar<safshar@ucsd.edu>; rkeeling<rkeeling@ucsd.edu>;  
john.b.miller<john.b.miller@noaa.gov>; j.turnbull<j.turnbull@gns.cri.nz>; juha.hatakka<juha.hatakka@fmi.fi>;  
yniwa<yniwa@mri-jma.go.jp>; ysawa<ysawa@mri-jma.go.jp>; tmachida<tmachida@nies.go.jp>;  
hmatsued<hmatsued@mri-jma.go.jp>; andy.jacobson<andy.jacobson@noaa.gov>

---

Dear Obspack data providers:

We are preparing a manuscript already posted as discussion paper in ACP, titled "Differences of the inverted terrestrial ecosystem carbon flux between using GOSAT and OCO-2 XCO<sub>2</sub> retrievals"(acp-2018-1175). It is currently under the review stage. Upon the request of reviewers, we need to use in-situ data from "obspace\_co2\_1 CARBONTRACKER\_CT2016\_2017-02-06" dataset to do the inversion of surface carbon flux and also to evaluate our inversion results from satellite retrievals. Since it is our first time to use OBSPACK data, please let us know how we can follow the data use policy properly. We would like to know how to cite this dataset since the citation is not sent to us. Do we need to provide reference for every dataset? If so, would data providers please kindly send us the reference of your dataset? If there is any concern on our use of this dataset, please just let us know. Many thanks!

Best regards,

Hengmao Wang  
Associate Professor  
International Institute of Earth System Science  
Nanjing University

(2) The reply from Dr. Andy Jacobson on Apr 7, 2019

---

**Re: acknowledgement of using obspack\_co2\_1\_CARBONTRACKER\_CT2016\_2017-02-06 data**

发件人 : Andy Jacobson<andy.jacobson@noaa.gov>

时 间 : 2019年4月7日(星期天) 凌晨1:22

收件人 : wanghm<wanghm@nju.edu.cn>; bev.law<bev.law@oregonstate.edu>;  
Casper.Labuschagne<Casper.Labuschagne@weathersa.co.za>; h.a.scheeren<h.a.scheeren@rug.nl>;  
swofsy<swofsy@seas.harvard.edu>; vandenbult<vandenbult@ecm.nl>; colm.sweeney<colm.sweeney@noaa.gov>;  
leuenberger<leuenberger@climate.unibe.ch>; wpaplowsky<wpaplowsky@ucsd.edu>;  
john.mund<john.mund@noaa.gov>; haszpra.l<haszpra.l@met.hu>; pshepson<pshepson@purdue.edu>;  
lukasz.chmura<lukasz.chmura@fis.agh.edu.pl>; necki<necki@agh.edu.pl>;  
Gordon.Brailsford<Gordon.Brailsford@niwa.co.nz>; vandinther<vandinther@ecm.nl>;  
frank.meinhardt<frank.meinhardt@uba.de>; josep-anton.morgui<josep-anton.morgui@ic3.cat>;  
Paul.Steele<Paul.Steele@csiro.au>; anna.karion<anna.karion@noaa.gov>;  
marc.delmotte<marc.delmotte@lsce.ipsl.fr>; huilin.chen<huilin.chen@rug.nl>; e.gloor<e.gloor@leeds.ac.uk>;  
scpiper<scpiper@ucsd.edu>; Doug.Worthy<Doug.Worthy@ec.gc.ca>; kenneth.c.aikin<kenneth.c.aikin@noaa.gov>;  
arlyn.andrews<arlyn.andrews@noaa.gov>; pieter.tans<pieter.tans@noaa.gov>; jeff.peischl<jeff.peischl@noaa.gov>;  
Paul.Krummel<Paul.Krummel@csiro.au>; francesco.apadula<francesco.apadula@rse-web.it>;  
accox<accox@ucsd.edu>; lvgatti<lvgatti@ipen.br>; Ray.Langenfolds<Ray.Langenfolds@csiro.au>;  
ingrid.vanderlaan<ingrid.vanderlaan@wur.nl>; am12721<am12721@bristol.ac.uk>;  
Marcel.vanderSchoot<Marcel.vanderSchoot@csiro.au>; petri.keronen<petri.keronen@helsinki.fi>;  
roger.curcoll<roger.curcoll@ic3.cat>; sjwalker<sjwalker@ucsd.edu>; zimnoch<zimnoch@agh.edu.pl>;  
ollie.peltola<ollie.peltola@helsinki.fi>; meelis.molder<meelis.molder@nateko.lu.se>;  
s.odoherty<s.odoherty@bristol.ac.uk>; MSTorn<MSTorn@lbl.gov>; SCBiraud<SCBiraud@lbl.gov>;  
Michel.Ramonet<Michel.Ramonet@lsce.ipsl.fr>; Andres.Schmidt<Andres.Schmidt@oregonstate.edu>;  
abollenbacher<abollenbacher@ucsd.edu>; mon<mon@m.tohoku.ac.jp>; ghg\_obs<ghg\_obs@met.kishou.go.jp>;  
janne.levula<janne.levula@helsinki.fi>; Michal.Heliasz<Michal.Heliasz@cec.lu.se>;  
Eric.J.Hintsa<Eric.J.Hintsa@noaa.gov>; h.a.j.meijer<h.a.j.meijer@rug.nl>;  
michal.galkowski<michal.galkowski@agh.edu.pl>; kenneth.schuldt<kenneth.schuldt@noaa.gov>;  
ingeborg.levin<ingeborg.levin@iup.uni-heidelberg.de>; tuula.aalto<tuula.aalto@fmi.fi>; cfm<cfm@nilu.no>;  
thomas.b.ryerson<thomas.b.ryerson@noaa.gov>; oh<oh@nilu.no>;  
samuel.hammer<samuel.hammer@iup.uni-heidelberg.de>; hensel<hensel@ecm.nl>;  
ed.dlugokencky<ed.dlugokencky@noaa.gov>; frumau<frumau@ecm.nl>; andrew.uea<andrew.uea@gmail.com>;  
marcus.schumacher<marcus.schumacher@dwd.de>; kirk.w.thoning<kirk.w.thoning@noaa.gov>;  
kathryn.mckain<kathryn.mckain@noaa.gov>; MLFischer<MLFischer@lbl.gov>;  
Sylvia.Nichol<Sylvia.Nichol@niwa.co.nz>; g.forster<g.forster@uea.ac.uk>; fred.moore<fred.moore@noaa.gov>;  
aoki<aoki@m.tohoku.ac.jp>; alex.vermeulen<alex.vermeulen@nateko.lu.se>;  
martin.steinbacher<martin.steinbacher@empa.ch>; stephens<stephens@ucar.edu>;  
pasi.kolari<pasi.kolari@helsinki.fi>; nakazawa<nakazawa@m.tohoku.ac.jp>; agomezp<agomezp@aemet.es>;  
James.W.Elkins<James.W.Elkins@noaa.gov>; safshar<safshar@ucsd.edu>; rkeeling<rkeeling@ucsd.edu>;  
john.b.miller<john.b.miller@noaa.gov>; j.turnbull<j.turnbull@gns.cri.nz>; juha.hatakka<juha.hatakka@fmi.fi>;  
yniwa<yniwa@mri-jma.go.jp>; ysawa<ysawa@mri-jma.go.jp>; tmachida<tmachida@nies.go.jp>;  
hmatsued<hmatsued@mri-jma.go.jp>

---

Dear Dr Wang,

Apologies to all for cross-posting.

You can read about the usage terms for all of our ObsPack products at <https://www.esrl.noaa.gov/gmd/ccgg/obspace/>. At that site, you will find explicit instructions for how to cite the products and how to acknowledge data providers who have contributed measurements to the products. If you have further questions along those lines, please send them to me and Ken Schuldt (kenneth.schuldt@noaa.gov).

One important aspect of the terms of use for ObsPack products is that you may need to invite data providers to be coauthors on your paper. This depends on how the measurements are used in your paper, and is a conversation you need to have with each data provider. Thank you for starting this process.

The CarbonTracker ObsPack is not the one I would recommend for inversion studies or evaluation. Instead, the GLOBALVIEW+ CO2 ObsPack, currently at version 4.2, is the preferred product for finding the measurements

you need. You can use a CarbonTracker ObsPack (although you should instead use the more recent CT2017 one), but that is an indirect way of gaining access to the measurements. GLOBALVIEW+, on the other hand, is produced specifically for the purposes you cite.

I have read your manuscript and I do not need to be a coauthor on it. I agree that your OCO-2 and GOSAT results will be easier to interpret if you also have an inversion using in situ CO2 measurements using your system. You should definitely consider comparing your results to the more recent CT2017 instead of CT2016, as our system has been significantly improved. I ask that you follow our usage terms laid out at <https://www.esrl.noaa.gov/gmd/ccgg/carbontracker/citation.php>, which in this case would involve an edit on line 26 to correctly identify "NOAA's CarbonTracker, version CT2016", and to add our requested acknowledgments text to your acknowledgments section.

Best Regards,

Andy Jacobson

On 4/6/19 10:23 AM, wanghm wrote:  
> Dear Obspack data providers:  
>

### (3) The reply from Dr. Andres Schmidt on Apr 8, 2019

---

**using obspack\_co2\_1 CARBONTRACKER\_CT2016\_2017-02-06 data**

发件人: Andres Schmidt<andres.schmidt.osu@gmail.com>

时 间: 2019年4月8日(星期一) 上午10:16

收件人: wanghm<wanghm@nju.edu.cn>

抄 送: andres.schmidt<andres.schmidt@oregonstate.edu>; andres.schmidt<andres.schmidt@geo.rwth-aachen.de>

---

Dear Hengmao Wang,

as far as I am concerned I am happy to see that the data from the carbontracker/obspace sites I am associated with ([andres.schmidt@oregonstate.edu](mailto:andres.schmidt@oregonstate.edu)) are being used in your manuscript.

Sincerely,

Andres Schmidt  
Dept. of Forest Ecosystems & Society  
Oregon State University  
Covallus, OR, USA  
(now at RWTH Aachen [andres.schmidt@geo.rwth-aachen.de](mailto:andres.schmidt@geo.rwth-aachen.de))

We are preparing a manuscript already posted as discussion paper in ACP, titled "Differences of the inverted terrestrial ecosystem carbon flux between using GOSAT and OCO-2 XCO2 retrievals"(acp-2018-1175). It is currently under the review stage. Upon the request of reviewers, we need to use in-situ data from "obspace\_co2\_1 CARBONTRACKER\_CT2016\_2017-02-06" dataset to do the inversion of surface carbon flux and also to evaluate our inversion results from satellite retrievals. Since it is our first time to use OBSPACE data, please let us know how we can follow the data use policy properly. We would like to know how to cite this dataset since the citation is not sent to us. Do we need to provide reference for every dataset? If so, would data providers please kindly send us the reference of your dataset? If there is any concern on our use of this dataset, please just let us know. Many thanks!

Best regards,

Hengmao Wang  
Associate Professor  
International Institute of Earth System Science  
Nanjing University

Although I like the introduction of the poor-man's inversion, the description in the methods section seems incorrect to me, and I find the way it is integrated into the study not very strong. This comes from the choice to use it as an extra inverse solution from the beginning, and to discuss its flux results alongside that of the other inversions. But



the poor-man's inversion can only be used to look at the global total flux (which it matches by design), and to look at the distribution of CO<sub>2</sub> mixing ratios and XCO<sub>2</sub> values across the globe. This it should follow reasonably well, thus setting a benchmark to beat for real inverse solutions. Currently, the label "benchmark" is used throughout the text including that of "benchmark inversion" which is confusing: the flux result of this poor-man's method is the one thing one should \*not\* put much emphasis on, especially not below the global total scale. It is therefore also no use to show its regional flux solution in Table 2 and in Fig 4, nor be discussed in Section 4.2 in my opinion.

**Response:** Thank you for this comment and suggestion. The poor-man inversion conducted in this study was exactly according to the Chevallier's approach. The description of the method was combined from the descriptions of Chevallier et al. (2009) and Chevallier et al. (2010). The difference between Chevallier's approach and ours is that to be consistent with our three other inversions, we set prior flux uncertainty proportional to prior flux in poor-man inversion, while in Chevallier et al. (2010), it was set proportional to the heterotrophic respiration flux of ORCHIDEE, and in Chevallier et al. (2009), it was set prior flux uncertainty proportional to the gross carbon fluxes.

However, we agree with the referee that the way of integrating poor-man inversion into this study is not strong. In the revised manuscript, we have changed all "benchmark inversion" to "poor-man inversion", removed poor-man inversion results from Table 2 and Fig 4, and removed the comparisons and discussions of regional carbon fluxes of poor-man inversion result in Section 4.2 in the revised manuscript. It should be noted that since section 4 was reorganized, now, Table 2 and Fig 4 are renamed to Table 3 and Fig 6, and Section 4.2 is renamed as Section 4.3 in the revised manuscript.

It is a bit awkward that the reader is first learning a lot about GoSAT to OCO-2 flux differences and how their regional budgets differ in great detail in Section 4.2, but only later in Section 4.3 learns that the OCO-2 inversion is not very trustworthy and is not able to reproduce the atmospheric XCO<sub>2</sub> and surface CO<sub>2</sub> better than the poor-man's inversion (which can be called a benchmark in this context). So in fact, all I read earlier

becomes then in a sense irrelevant. Please consider bringing the assessment of the quality of the inversions forward in the manuscript, so that the flux analysis that comes afterwards can focus more on the relevant part of the study (GoSAT and in-situ inverse results). OCO-2 can then be still discussed, but only to indicate whether GoSAT satellite results are corroborated or not by OCO-2.

**Response:** Thanks for the referee's suggestion. In the revised manuscript, we have reorganized Section 4 and present the assessment of the quality of the inversions first in Section 4.1, and the flux analysis on Global budget and regional fluxes afterward in Section 4.2 and Section 4.3. We also add more analysis about the comparisons between the satellites and in situ inversions as follows, which is shown in lines 409-420, pages 20-21 in the revised manuscript.

“Compared with the in situ inversion, in the boreal regions, the land sinks estimated from GOSAT and OCO-2 inversions are much weaker than those from in situ inversion, especially in the Eurasian Boreal, the land sink estimated by in situ inversion is more than two times larger than the estimates of GOSAT and OCO-2 inversions. In the tropical land, the total land sinks inferred from both GOSAT and OCO-2 inversions are weaker than those from the in situ inversion, but in different regions, the situations are different. In the Temperate lands, except for Europe and south Africa, the land sinks from GOSAT and OCO-2 inversions are much stronger than those from the in situ inversion. For example, in South America Temperate, GOSAT inversion shows a strong carbon sink, while in situ inversion shows a weak source. For different continents, in North America, Asia, Europe, the carbon sinks inferred from GOSAT inversion are comparable to those from in situ inversion, while in South America and Africa, the carbon sinks inferred from OCO-2 inversion are much closer to the in situ inversions.”

Abstract: I think that the text does not summarize so well the main findings anymore, and should be rewritten. The main message should focus on the posterior fluxes compared to the in-situ inversion, and not comparing the two satellites to the prior. Then, one can highlight that the main difference on the largest scale is the latitudinal

distribution of land sinks, with the satellites suggesting a smaller Boreal and Tropical sink, combined with larger temperate sinks in both the NH and SH. However, OCO-2 and GoSAT generally do not agree on which continent contains the smaller or larger sinks. Also, the comparison of the simulated surface mixing ratios and XCO<sub>2</sub> columns shows that only GoSAT and the in-situ inversion perform better than a poor-man's solution that closes the annual global mass balance of CO<sub>2</sub>. This puts the usefulness of the OCO-2 retrieval product used here into question.

**Response:** Many thanks for this suggestion. We have rewritten the abstract. In the revised manuscript, we removed the statement on the differences between posterior fluxes from satellites and prior fluxes and focus more on the comparisons between satellites and in situ inversion. We highlight the following conclusions:

- (1) the terrestrial ecosystem carbon sink (excluding biomass burning emissions) estimated from GOSAT data is stronger than that inferred from OCO-2 data and weaker than the in situ inversion, and matches the poor-man inversion to be the best.
- (2) Regionally, in most regions, the land sinks inferred from GOSAT data are also stronger than those from OCO-2 data, and in North America, Asia, Europe, the carbon sinks inferred from GOSAT inversion are comparable to those from in situ inversion. For the latitudinal distribution of land sinks, the satellites-based inversions suggest a smaller bo-real and tropical sink, but larger temperate sinks in both Northern and Southern Hemispheres than the in situ inversion. However, OCO-2 and GOSAT generally do not agree on which continent contains the smaller or larger sinks.
- (3) Evaluations using flask and TCCON observations and the comparisons with in situ and poor-man inversions suggest that only GOSAT and the in situ inversions perform better than a poor-man's solution. GOSAT data can effectively improve the carbon flux estimates in Northern Hemisphere, while OCO-2 data, with the specific version used in this study, shows only slight improvement.

For details, please refer to lines 15-17, lines 20-22, lines 24-32, pages 1-2.

List of remarks:

page 1, line 15 “benchmark inversion”: I would refer to the latter as a poor-man’s inversion in which only the global CO<sub>2</sub> growth rate is projected onto the land biosphere, to be used as a benchmark for the simulated atmospheric CO<sub>2</sub> distributions of the real inversions.

**Response:** We have changed “benchmark inversion” to “poor-man inversion” and rephrase the sentence as “One inversion for the comparison, using in situ CO<sub>2</sub> observations, and another inversion as a benchmark for the simulated atmospheric CO<sub>2</sub> distributions of the real inversions, using global atmospheric CO<sub>2</sub> trend and referred as poor-man inversion, are also conducted.”

For details, please refer to lines 15-17, page 1.

page 1, line 22: “more consistent with ...” simply say that the GoSAT-based inversion seems to best capture the observed global CO<sub>2</sub> growth rate.

**Response:** We have rephrased that sentence as “...estimated from GOSAT data is stronger than that inferred from OCO-2 data, weaker than the in situ inversion, and matches the poor man inversion to be the best.” See lines 21-22, page 1.

Page 2, line 29: it is worth to say explicitly that the OCO-2 retrieval you used here seems unfit for inverse modeling, but that later versions seem to perform better (Chevallier et al., 2019, ACPD). I also urge the authors to focus their future efforts on the later retrieval products from OCO-2.

**Response:** We have added one sentence “OCO-2 data, with the specific version used in this study, show only slight improvement” (see lines 31-32, page 2) to point out the poor performance of OCO-2 product used in this study. We also mention in the end of conclusion section that the improved performance of newer version of OCO-2 product. “... It also should be noted that though the OCO-2 XCO<sub>2</sub> retrievals of version b7.3 used in this study perform worse than GOSAT data and in situ measurements in our inversions, one recent study has shown that the newer version of OCO-2 data has a much better performance in constraining carbon flux (Chevallier et al., 2019). With constantly improved retrieval algorithm and bias-correction scheme, more robust

estimate of carbon flux from satellite XCO<sub>2</sub> retrievals could be achieved.”

For details, see lines 31-32, page 2, and lines 528-533, page 26.

Page 4, line 84: please do not use “benchmark inversion” to label this flux product, but explain the purpose of this approach better.

**Response:** We have changed all “benchmark inversion” to “poor-man inversion” and given more explanation on the purpose of using poor-man inversion.

“For comparisons, one inversion based on in situ measurements is conducted, and another simple one, which uses the global CO<sub>2</sub> trend as a benchmark for the simulated atmospheric CO<sub>2</sub> distributions of the real inversion, is also implemented.”

See lines 86-88, page 4.

Page 6, line 128: This is where my main comment comes into play. The Fair Use Statement given in the readme file of the Obspack you downloaded was:

```
# ObsPack Fair Use Statement
```

```
#
```

```
# This cooperative data product is made freely available to the scientific community and is intended to stimulate and support carbon cycle modeling studies. We rely on the ethics and integrity of the user to assure that each contributing national and university laboratory receives fair credit for their work. Fair credit will depend on the nature of the work and the requirements of the institutions involved.
```

```
# Your use of this data product implies an agreement to contact each contributing laboratory for data sets used to discuss the nature of the work and the appropriate level of acknowledgement. If this product is essential to the work, or if an important result or conclusion depends on this product, co-authorship may be appropriate. This should be discussed with the appropriate data providers at an early stage in the work. Contacting the data providers is not optional; if you use this data product, you must contact the applicable data providers. To help you meet your obligation, the data product includes an e-mail distribution list of all data providers.
```



# This data product must be obtained directly from the ObsPack Data Portal at [www.esrl.noaa.gov/gmd/ccgg/obspack/](http://www.esrl.noaa.gov/gmd/ccgg/obspack/) and may not be re-distributed. In addition to the conditions of fair use as stated above, users must also include the ObsPack product citation in any publication or presentation using the product. The required citation is included in every data product and in the automated e-mail sent to the user during product download.

**Response:** As answered in the major comments part, we paid close attention to the fair use of data and followed the terms of use of data as required.

Page 7, line 147: insert “area” between shaded and shows

**Response:** We have inserted “area”. See line 156, page 8.

Page 9, line 196: This is yet another reference “CO<sub>2</sub> trend” to the poor-man’s inversion.

Pleas try to introduce it better, and use it consistently please.

**Response:** We have rephrased the sentence as follow: “Three inversions, using GOSAT data, OCO-2 data, and in-situ measurements, are conducted from Oct 1, 2014 to December 31, 2015, respectively. Poor-man inversion, based on global atmospheric CO<sub>2</sub> trend and using poor-man’s method (Chevallier et al, 2009, 2010), is also conducted.” in the revised manuscript. See lines 204-207, page 10.

Page 11, line 232: descripted = described

**Response:** We have corrected “descripted” to “described”. See Line 242, page 11.

Page 12, line 249: I do not understand this formula and I wonder if a mistake was made. piror = prior (typo). But why do you add something proportional to the prior flux uncertainty, instead of proportional to GPP? And why do you need trial-and-error to determine the scaling factor k? This is not the same approach as taken by Chevallier, whom you cite for this approach.

**Response:** Thank you for this comment.

(1) Yes, “piror” is a typo, we have corrected “piror” to “prior”. See line 259, page 12

in the revised manuscript.

(2) The poor-man inversion conducted in this study was exactly according to the Chevallier's approach. In the introduction of this method, we combined the descriptions from Chevallier et al. (2009) and Chevallier et al. (2010).

In the page 4 of Chevallier et al. (2009), the method is described as follows:

“...The ocean fluxes are kept identical, to the prior ones. Over land, the inverted fluxes  $x_{pm}$  are defined as

$$x_{pm} = x_b - k\sigma$$

where  $k$  is a unique scaling factor and  $\sigma$  is the vector made of the prior error standard deviations, i.e., the square root of the diagonal of  $\mathbf{B}$ . Here  $k$  was chosen by trial and error so that the mean global total of the  $x_{pm}$  fluxes equals the mean global total of fluxes inverted from the surface measurements over the 3-year period. A value of 1/55 was found. This simple approach aims at matching the mean global growth rate of CO<sub>2</sub>, which is too large with our prior fluxes over land (see the end of section 2.1.2), without any spatial or temporal information from the observations. In practice, it distributes the land carbon sink according to the gross carbon fluxes from the vegetation.”.

In the page 8 of Chevallier et al. (2010), it was described as follow:

“...In this baseline (which is slightly simplified here), the ocean fluxes are kept identical to the prior ones. Over land the poor man's flux  $F_{pm}$  at location  $(x, y)$  and at time  $t$  is defined as

$$F_{pm}(x, y, t) = F_{prior}(x, y, t) + k(year) \times \sigma(x, y, t)$$

$F_{prior}(x, y, t)$  is the prior flux at the same time and location.  $\sigma(x, y, t)$  is its uncertainty, that is, the standard deviation of the prior error described in section 2.1.  $k(year)$  is a coefficient that varies as a function of the year only.  $k$  is chosen here so that the mean annual global totals of the poor man's fluxes equal the mean global totals given by the annual global CO<sub>2</sub> growth rate from the *GLOBALVIEW-CO<sub>2</sub>* [2009] product multiplied by a conversion factor (2.12 GtC a<sup>-1</sup> per ppm [Denman et al., 2007, Table 7.1]), In practice, this simple approach distributes the land carbon sink according to the

heterotrophic respiration fluxes from the vegetation without any spatial information from the atmospheric observations or any temporal information within any given year.”.

In these two papers,  $\sigma$  was explicitly defined as prior flux uncertainty. The difference between their approach and ours is that to be consistent with our three other inversions, we set prior flux uncertainty proportional to prior flux in poor-man inversion, while in Chevallier et al. (2010), it was set proportional to the heterotrophic respiration flux of ORCHIDEE, and in Chevallier et al. (2009), it was set prior flux uncertainty proportional to the gross carbon fluxes.

For the calculation of the coefficient of  $k$ , we agree the referee that we don't need to do trial-and-error to determine it,  $k$  can be solved directly from the formula as

$$k = (\sum F_{pm} - \sum F_{prior}) / \sum \sigma \quad (1)$$

Where  $\sum F_{pm}$  equals the global totals given by the observed annual global CO<sub>2</sub> growth rate. During the calculation, since on different time scale, the  $\sigma$  is different and the global annual uncertainty is not simply the summation of each grid per hour, we calculated several times and got different coefficient of  $k$  for different time scale. That is why we said that we did trial-and-error to determine  $k$ . However, anyway, we found that whatever did we calculate on monthly or annual time scale, the final  $F_{pm}$  distributed on each grid and each three hours are the same. Therefore, the statement of “ $k$  is determined by trial-and-error” is indeed improper. We have changed this statement in the revised manuscript. For details, please refer to lines 260-265, page 12.

Chevallier, F., Engelen, R. J., Carouge, C., Conway, T. J., Peylin, P., Pickett - Heaps, C., Ramonet, M., Rayner, P. J., and Xueref-Remy, I.: AIRS - based versus flask - based estimation of carbon surface fluxes, *J. Geophys. Res.*, 114, D20303, doi:10.1029/2009JD012311, 2009.

Chevallier, F., Ciais P., Conway T.J., Aalto T., Anderson B.E., Bousquet P., Brunke E.G., Ciattaglia L., Esaki Y., Fröhlich M., Gomez A., Gomez-Pelaez A.J., Haszpra L., Krummel P.B., Langenfelds R.L., Leuenberger M., Machida T., Maignan F., Matsueda H., Morguá J.A., Mukai H., Nakazawa T., Peylin P., Ramonet M., Rivier L., Sawa Y., Schmidt M., Steele L.P., Vay S.A., Vermeulen A.T., Wofsy S., and Worthy D.: CO<sub>2</sub> surface fluxes at grid point scale estimated from a global 21 year reanalysis of atmospheric measurements, *J. Geophys. Res.*, 115, D21307, 2010.

Page 12, line 260: “inverted global carbon budgets” please remove “inverted”

Response: We have removed “inverted”. See line 351, page 16.

Page 12, line 263: “benchmark inversion” please rewrite

Response: We have replaced “benchmark inversion” with “poor-man inversion”. See line 355, page 17.

Page 16, line 316: In my opinion the benchmark inversion is not very useful here, as its regional flux simply reflects global GPP and not a piece of information derived from the data like in the actual inversions. I suggest to remove it here, and in Fig 4.

Response: Thank you for this suggestion. We have removed results of poor-man inversion in Table 2 and Fig 4 which are now renamed as Table 3 and Fig 6 in the revised manuscript. See lines 404-407, page 20.

Page 17, line 321: “close to the benchmark result”: by writing this, you suggest to the reader that it is a good thing for the inversions to be close to the benchmark. But for continental fluxes this is not true at all, and this is why I think this gives the wrong message when put into the figure/text/table.

**Response:** Thank you for this comment. We have removed the comparison of regional carbon fluxes with “benchmark inversion” in the revised manuscript. Seen lines 409-420, pages 20-21.

Page 20, line 380: Why is this section here, and not part of Section 4.3 where once again a comparison to TCCON is presented? And why are the other two results (in situ and benchmark) not shown? I think it would help to group these results together.

Response: This paragraph only gives comparisons between TCCON XCO<sub>2</sub> retrieval and GOSAT and OCO-2 XCO<sub>2</sub> retrievals. The aim of these comparisons is to show the uncertainties of OCO-2 and GOSAT retrievals, so as to explain the reason for the different performances of OCO-2 and GOSAT retrievals in the inversions. At the

beginning of that paragraph, we have emphasized this objective using the sentences of “Moreover, the uncertainties of OCO-2 and GOSAT retrievals may be another reason for the different performances in these two inversion experiments. We use TCCON retrieval to evaluate the uncertainties of OCO-2 and GOSAT XCO<sub>2</sub> retrievals.” (See lines 473-475, page 24). We found that although both OCO-2 and GOSAT products were bias-corrected using TCCON retrieval, there are larger mismatches between OCO-2 and TCCON than those between GOSAT and TCCON, and the mismatches among different sites of GOSAT are more consistent than OCO-2, indicating that the uncertainties of OCO-2 products are larger than GOSAT ones, resulting worse performance of OCO-2 retrieval than that of GOSAT retrieval. The objective of the paragraph is different from those in section 4.3.2, which shows the evaluation of posterior XCO<sub>2</sub> against TCCON data. Therefore, we still don’t combine this paragraph with section 4.3.1 in the revised manuscript. However, in order to make it clear, we reorganized part of that paragraph as follows:

“...At most sites except Garm, OCO-2 retrievals have positive biases, while GOSAT retrievals tend to have negative bias except at Bial and Garm sites. It also could be found that the spread of GOSAT data biases are small, falling in the range of -0.36 to -0.58 ppm at most sites, while the spread of OCO-2 data biases is relatively large, with biases greater than 0.7 ppm at more than half of sites, and in the range of 0.34 to 0.59 ppm only at 3 sites. Overall, GOSAT retrievals (-0.46 ppm) have lower bias than OCO-2 retrievals (0.6 ppm) and the difference between two retrievals is relatively large. It should be noted that due to the limited number of collocated satellite retrievals, the real bias difference might not be up to 1 ppm. As shown in Table 4, the difference of overall mis-matches between GOSAT and OCO-2 data is 0.57 ppm. These indicate that although both OCO-2 and GOSAT products were bias-corrected using TCCON retrievals, the uncertainties of OCO-2 and GOSAT retrievals are still very large, especially for OCO-2 retrieval, resulting the worse performance of OCO-2 retrieval, , which also suggest that the bias-correction scheme implemented may need to be improved.”

See lines 481-493, pages 24-25.

Page 21, line 392, space missing and typo in “to0.59 pm”

**Response:** We have added space and corrected the typo. See line 485, page 25.

Page 21, line 409: Please make clear that this is not surprising because part of these evaluation data were used in the inversion in that case

**Response:** Thank you for this suggestion. We have rewritten the sentence as follows:

“Not surprisingly, in situ inversion, using surface observations which include all the flask measurements used for evaluation, shows the best improvement in posterior CO<sub>2</sub> mixing ratio with”

See line 288, page 13.

Page 22, line 426: litter = little

**Response:** We have changed “litter” to “little”. See line 306, page 14.

Page 23, line 436: “ground XCO<sub>2</sub> observations”, please simply write “We use data from 13 TCCON sites to...”

**Response:** We have rewritten the sentence as “We also use data from 13 TCCON sites to...”. See line 317, page 14.

Page 23, line 436: Please make clear that also here the comparison is not fully independent: the TCCON data were used in the bias correction scheme of at least OCO-2 (I don’t know about GoSAT but I suspect the same there).

**Response:** Yes, TCCON data were also used in the bias correction scheme of GOSAT product. We have added a sentence here to point out that the comparison is not fully independent.

“It should be noted that the comparisons of posterior XCO<sub>2</sub> from GOSAT and OCO-2 inversions with TCCON data are not fully independent since the TCCON data were used in the bias-correction scheme of both GOSAT and OCO-2 products (Wunch et al.,

2011).”

See Line 320-323, page 15.

Page 23, line 437: into = onto

**Response:** We have changed “into” to “onto”. See line 318, page 14.

Page 24, line 461: The fact that only the in-situ inversion beats the benchmark on all 4 numbers should be mentioned in the text.

**Response:** Thank you for this suggestion. We have mentioned it as follows, and see lines 339-342, page 15 of the revised manuscript.

“...Overall, it also could be found from Table 1 that only in situ inversion beats the poor-man inversion on all 4 statistics, followed by GOSAT inversion, which beats the poor-man on 3 statistics, indicating that in situ measurements have the best performance in the inversion, and GOSAT retrieval have similar performance as in situ data.”

Page 25, Figure 7: There seems to be an error in the figure: the bars for benchmark and in-situ are exactly the same for all sites. Please check and fix this.

**Response:** Thank you very much! Yes, we made an error in this figure. We have redrawn this figure, in the revised manuscript, it has been renamed as Figure 4. See line 348, page 16.

Page 26, line 490: I would not say that OCO-2 could improve the modeling of CO<sub>2</sub> concentrations: your poor-man’s inversion shows that you can achieve better results by simply scaling your fluxes to match the global growth rate of CO<sub>2</sub>.

**Response:** We have rephrased the statement as follow:

“Evaluations of the inversions using CO<sub>2</sub> concentrations from flask measurements and TCCON retrievals show that the simulated CO<sub>2</sub> concentrations with GOSAT posterior fluxes are much closer to the observations than those with OCO-2 estimates....”

For details, see Line 515-523, page 27.

Page 26, line 492: “bench inversion” incorrect

**Response:** We have replaced “bench inversion” with “poor-man inversion”. See line 520, page 27.

Page 26, line 495 “GOAST” typo

**Response:** We have corrected “GOAST” to “GOSAT”. See line 520, page 27.



# 1 Terrestrial ecosystem carbon flux estimated using GOSAT and OCO-2 XCO<sub>2</sub> re- 2 trievals

3 Hengmao Wang<sup>1</sup>, Fei Jiang<sup>1,2\*</sup>, Jun Wang<sup>1</sup>, Weimin Ju<sup>1</sup>, Jing M. Chen<sup>1,3</sup>

4 *1 Jiangsu Provincial Key Laboratory of Geographic Information Science and Technology, International Institute for*  
5 *Earth System Science, Nanjing University, Nanjing, 210023, China*

6 *2 Jiangsu Center for Collaborative Innovation in Geographical Information Resource Development and Application,*  
7 *Nanjing, 210023, China*

8 *3, Department of Geography, University of Toronto, Toronto, Ontario M5S3G3, Canada*

9

## 10 Abstract

11 In this study, both the Greenhouse Gases Observing Satellite (GOSAT) and the Orbiting Car-  
12 bon Observatory 2 (OCO-2) XCO<sub>2</sub> retrievals produced by NASA Atmospheric CO<sub>2</sub> Observations  
13 from Space (ACOS) project (Version b7.3), are assimilated within the GEOS-Chem 4D-Var assimi-  
14 lation framework to constrain the terrestrial ecosystem carbon flux during Oct 1, 2014 to Dec 31,  
15 2015. **One inversion for the comparison**, using in situ CO<sub>2</sub> observations, **and another inversion as a**  
16 **benchmark for the simulated atmospheric CO<sub>2</sub> distributions of the real inversions**, using global at-  
17 mospheric CO<sub>2</sub> trend and referred as **poor-man inversion**, are also conducted. The estimated global  
18 and regional carbon fluxes for 2015 are shown and discussed. CO<sub>2</sub> observations from surface flask  
19 sites and XCO<sub>2</sub> retrievals from TCCON sites are used to evaluate the simulated concentrations with  
20 the posterior carbon fluxes. Globally, the terrestrial ecosystem carbon sink (excluding biomass  
21 burning emissions) estimated from GOSAT data is stronger than that inferred from OCO-2 data,  
22 **weaker than the in situ inversion, and matches the poor-man inversion to be the best**. Regionally, in  
23 most regions, the land sinks inferred from GOSAT data are also stronger than those from OCO-2  
24 data, and **in North America, Asia, Europe, the carbon sinks inferred from GOSAT inversion are**  
25 **comparable to those from in situ inversion. For the latitudinal distribution of land sinks, the satel-**  
26 **lites-based inversions suggest a smaller boreal and tropical sink, but larger temperate sinks in both**  
27 **Northern and Southern Hemispheres than the in situ inversion. However, OCO-2 and GOSAT gen-**

---

\* Corresponding author: Tel.: +86-25-83597077; Fax: +86-25-83592288; E-mail address: jiangf@nju.edu.cn

28 erally do not agree on which continent contains the smaller or larger sinks. Evaluations using flask  
29 and TCCON observations and the comparisons with in situ and **poor-man** inversions suggest that  
30 **only GOSAT and the in situ inversions perform better than a poor-man's solution**. GOSAT data can  
31 effectively improve the carbon flux estimates in Northern Hemisphere, while OCO-2 data, **with the**  
32 **specific version used in this study, shows only slight improvement**. The differences of inferred land  
33 fluxes between GOSAT and OCO-2 inversions in different regions are mainly related to the spatial  
34 coverage, the data amount, and the biases of these two satellites XCO<sub>2</sub> retrievals.

35 **Keywords:** Terrestrial ecosystem carbon flux, inversion, GOSAT, OCO-2, GEOS-Chem

36

## 37 **1. Introduction**

38 Atmospheric inverse modeling is an effective method for quantifying surface carbon fluxes at  
39 global and regional scales using the gradient of CO<sub>2</sub> measurements. Inversion studies based on **in**  
40 **situ** CO<sub>2</sub> observations agree well on global carbon budget estimates but differ greatly on regional  
41 carbon flux estimates and the partitioning of land and ocean fluxes as well, mainly due to the  
42 sparseness of observations in tropics, southern hemisphere oceans and the majority of continental  
43 interiors such as those in South America, Africa, and Boreal Asia (Peylin et al., 2013). Satellite ob-  
44 servations offer an attractive means to constrain atmospheric inversions with their extensive spatial  
45 coverage over remote regions. Studies have shown that, theoretically, satellite observations, though  
46 with lower precision than **in situ** measurements, can improve the carbon flux estimates (Rayner and  
47 O'Brien, 2001; Pak and Prather, 2001; Houweling et al., 2004; Baker et al., 2006; Chevallier et al.,  
48 2007; Miller et al., 2007; Kadyrov et al., 2009; Hungershofer et al., 2010).

49 Satellite sensors designed specifically to retrieve atmospheric CO<sub>2</sub> concentrations, have been in  
50 operation in recent years. The Greenhouse Gases Observing Satellite (GOSAT) (Kuze et al., 2009),  
51 being the first satellite mission dedicated to observing CO<sub>2</sub> from space, was launched in 2009. The  
52 National Aeronautics and Space Administration (NASA) launched the Orbiting Carbon Observato-

53 ry 2 (OCO-2) satellite in 2014 (Crisp et al., 2017; Eldering et al., 2017). China's first CO<sub>2</sub> monitor-  
54 ing satellite (TanSat) was launched in 2016 (Wang et al., 2017; Yang et al., 2017). These satellites  
55 measure near-infrared sunlight reflected from the surface in CO<sub>2</sub> spectral bands and the O<sub>2</sub> A-band  
56 to retrieve column-averaged dry-air mole fractions of CO<sub>2</sub> (XCO<sub>2</sub>), aiming to improving the estima-  
57 tion of spatial and temporal distributions of carbon sinks and sources. A number of inversions have  
58 utilized GOSAT XCO<sub>2</sub> retrievals to infer surface carbon fluxes (Basu et al., 2013; Maksyutov et al.,  
59 2013; Saeki et al., 2013; Chevallier et al., 2014; Deng et al., 2014; Houweling et al., 2015; Deng et  
60 al, 2016). Although large uncertainty reductions were achieved for regions which are under-  
61 sampled by **in situ** observations, these studies didn't give robust regional carbon flux estimates.  
62 There are large spreads in regional flux estimates in some regions among these inversions. Fur-  
63 thermore, regional flux distributions inferred from GOSAT XCO<sub>2</sub> data are significantly different  
64 from those inferred from **in situ** observations. For instance, several studies using GOSAT retrievals  
65 reported a larger than expected carbon sink in Europe (Basu et al., 2013; Chevallier et al., 2014;  
66 Deng et al., 2014; Houweling et al., 2015). The validity of this large Europe carbon sink derived  
67 from GOSAT retrievals is in intense debate and efforts to improve the accuracy of Europe carbon  
68 sink estimate are still ongoing (Reuter et al., 2014; Feng et al., 2016; Reuter et al., 2017).

69 Compared with GOSAT, OCO-2 has a higher sensitivity to column CO<sub>2</sub>, much finer footprints  
70 and more extended spatial coverage, and thus has the potential to better **constrain the surface carbon**  
71 **fluxes** (Eldering et al., 2017). Studies have used OCO-2 XCO<sub>2</sub> data to estimate carbon flux anoma-  
72 lies during recent El Nino events (Chatterjee et al., 2017; Patra et al., 2017; Heymann et al., 2017;  
73 Liu et al., 2017). Nassar et al. (2017) applied OCO-2 XCO<sub>2</sub> data to infer emissions from large pow-  
74 er plants. Miller et al. (2018) evaluated the potential of OCO-2 XCO<sub>2</sub> data in constraining regional  
75 biospheric CO<sub>2</sub> fluxes and found that in the current state of development, OCO-2 observations can  
76 only provide a reliable constraint on CO<sub>2</sub> budget at continental and hemispheric scales. At present,  
77 it is still not clear **whether with the improved monitoring capabilities and better spatial coverage,**

88 current OCO-2 observations have a greater potential than GOSAT observations for estimating CO<sub>2</sub>  
89 flux at regional or finer scale, since except spatial coverage, the biases also affect the usefulness of  
90 satellite retrievals greatly. It is therefore important to investigate how current OCO-2 XCO<sub>2</sub> data  
91 differ from GOSAT XCO<sub>2</sub> data in constraining carbon budget.

92 In this study, we evaluate the performance of GOSAT and OCO-2 XCO<sub>2</sub> data in constraining  
93 terrestrial ecosystem carbon flux. GOSAT and OCO-2 XCO<sub>2</sub> retrievals produced by the NASA At-  
94 mospheric CO<sub>2</sub> Observations from Space (ACOS) team are applied to infer monthly terrestrial eco-  
95 system carbon sinks and sources from Oct, 2014 through December, 2015, using a 4D-Var scheme  
96 based on the GEOS-Chem Adjoint model (Henze et al., 2007). For comparisons, one inversion  
97 based on in situ measurements is conducted, and another simple one, which uses the global CO<sub>2</sub>  
98 trend as a benchmark for the simulated atmospheric CO<sub>2</sub> distributions of the real inversion, is also  
99 implemented. For simplicity, four inversions are referred as OCO-2 inversion, GOSAT inversion,  
100 in situ inversion and poor-man inversion, respectively. Inversion results are evaluated against sur-  
101 face flask CO<sub>2</sub> observations and Total Carbon Column Observing Network (TCCON) XCO<sub>2</sub> re-  
102 trievals. This paper is organized as follows. Section 2 briefly introduces GOSAT and OCO-2 XCO<sub>2</sub>  
103 retrievals, surface observations and the inversion methodology. Inversion settings are described in  
104 Section 3. Results and discussions are presented in Section 4, and Conclusions are given in Section  
105 5.

## 106 2. Data and Method

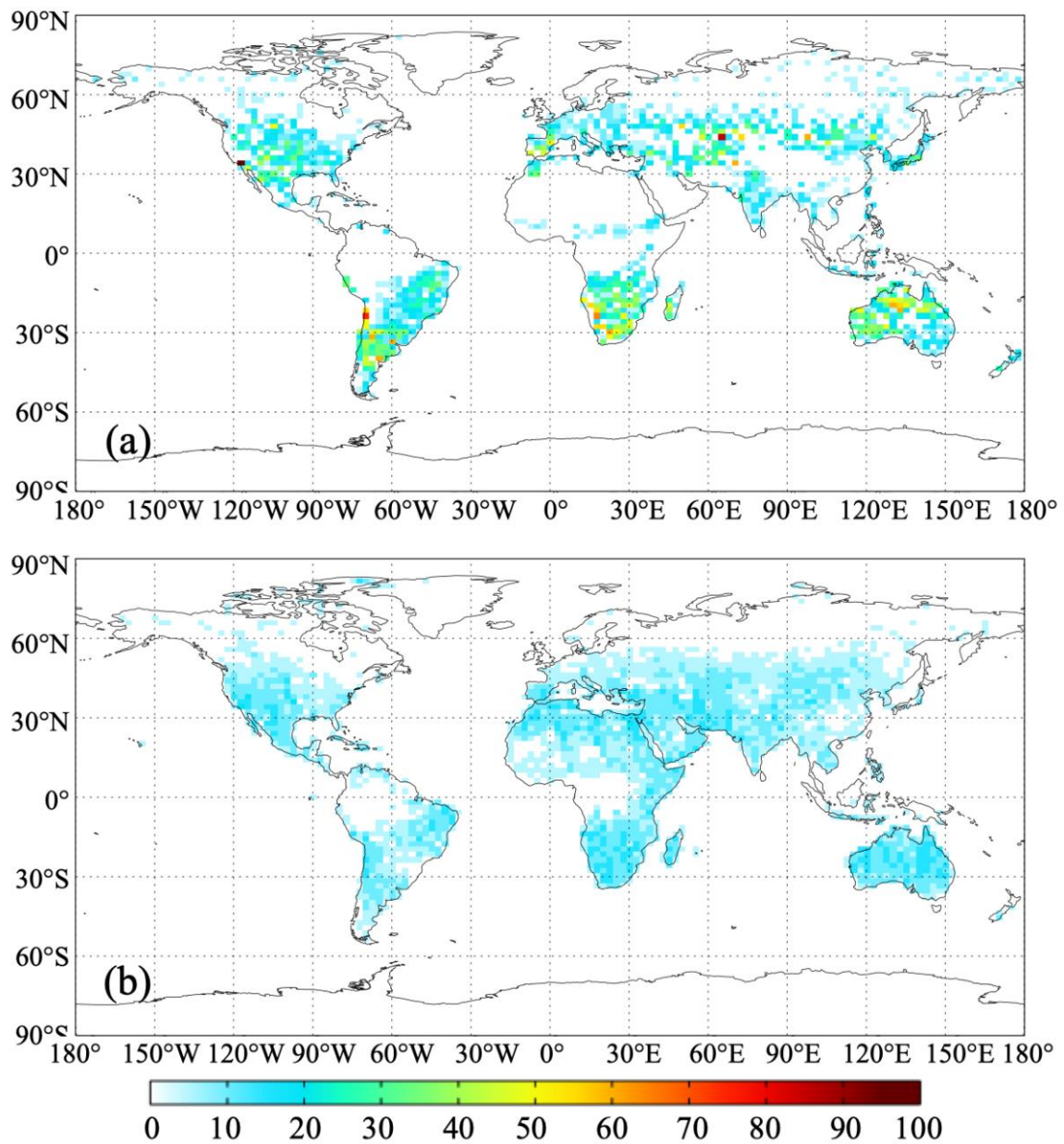
### 107 2.1 GOSAT and OCO-2 XCO<sub>2</sub> retrievals

108 Developed jointly by the National Institute for Environmental Studies (NIES), the Japanese  
109 Space Agency (JAXA) and the Ministry of the Environment (MOE) of Japan, GOSAT was de-  
110 signed to retrieve total column abundances of CO<sub>2</sub> and CH<sub>4</sub>. The satellite flies at a 666 km altitude  
111 in a sun-synchronous orbit with 98° inclination that crosses the equator at 12:49 local time. It co-  
112 vers the whole globe in three days and has a footprint of 10.5 km<sup>2</sup> at nadir. OCO-2 is NASA's first

103 mission dedicated to retrieving atmospheric CO<sub>2</sub> concentration. It flies at 705 km altitude in a sun-  
104 synchronous orbit with an overpass time at approximately 13:30 local time and a repeat cycle of 16  
105 days. Its grating spectrometer measures reflected sunlight in three near-infrared regions (0.765, 1.61  
106 and 2.06 μm) to retrieve XCO<sub>2</sub>. OCO-2 has a footprint of 1.29×2.25 km<sup>2</sup> at nadir and acquires eight  
107 cross-track footprints creating a swath width of 10.3 km.

108 Both GOSAT and OCO-2 XCO<sub>2</sub> products were created using the same retrieval algorithm,  
109 which is based on a Bayesian optimal estimation approach (Roggers et al., 2000; O Dell et al.,  
110 2011). The GOSAT and OCO-2 XCO<sub>2</sub> data used in this study are Version 7.3 Level 2 Lite products  
111 at the pixel level. The XCO<sub>2</sub> data from lite products are bias-corrected (Wunch et al., 2011). Before  
112 being used in our inversion system, the data are processed in three steps. First, the retrievals for the  
113 glint soundings over oceans have relatively larger uncertainty, thus the data over oceans are not  
114 used in our inversions (Wunch et al., 2017). Second, in order to achieve the most extensive spatial  
115 coverage with the assurance of using best quality data available, the XCO<sub>2</sub> data are filtered with two  
116 parameters, namely warn\_levels and xco2\_quality\_flag, which are provided along with the XCO<sub>2</sub>  
117 data. All data with xco2\_quality\_flag not equaling 0 are removed, the rest are divided into three  
118 groups according the value of warn\_levels, namely group 1, group 2 and group 3. In group 1, the  
119 warn\_levels are less than 8, in group 2, the warn\_levels are greater than 9 and less than 12, and in  
120 group 3, those are greater than 13. Group 1 has the best data quality, followed by group 2, and  
121 group 3 is the worst. Third, the pixel data are averaged within the grid cell of 2°×2.5°, which is the  
122 resolution of the global atmospheric transport model used in this study. In each grid of 2°×2.5°, on-  
123 ly the groups of best data quality are selected and then averaged. The other variables like column  
124 averaging kernel, retrieval error and so on which are provided along with the XCO<sub>2</sub> product are also  
125 dealt with the same method. Figures 1a and 1b show the coverages and data amount of GOSAT  
126 and OCO-2 XCO<sub>2</sub> data during the study period after processing. The filtered GOSAT and OCO-2  
127 retrievals are not evenly distributed spatially. Due to the cloud contamination, there are few retriev-

128 als in a large portion of tropical land. In northern high latitude area, especially in boreal regions,  
129 due to the low solar zenith angle, available satellite retrievals are very sparse.



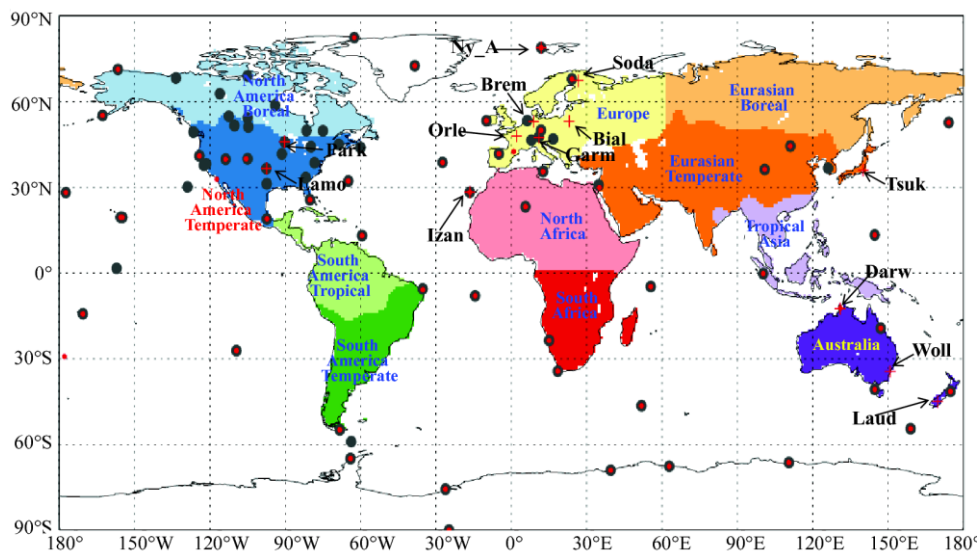
130  
131 **Figure 1.** Data amount of each grid cell (2°x2.5°) of ACOS XCO<sub>2</sub> used in this study (a, GOSAT; b,  
132 OCO-2)

## 133 2.2 Surface observations and TCCON XCO<sub>2</sub> retrievals

134 Surface CO<sub>2</sub> observations are from the obspack\_co2\_1 CARBONTRACKER\_CT2016\_2017-  
135 02-06 product (ObsPackCT2016) (CarbonTracker Team, 2017), which was the observation data  
136 used in CarbonTracker 2016 (Peters et al., 2007, with updates documented at

137 <http://carbontracker.noaa.gov>). It is a subset of the Observation Package (ObsPack) Data Product  
 138 (ObsPack, 2016), and contains a collection of discrete and quasi-continuous measurements at sur-  
 139 face, tower and ship sites contributed by national and universities laboratories around the world. In  
 140 this study, in situ measurements from 78 sites provided by this product are used for inversion.  
 141 Among these 78 sites, there are 56 flask sites, of which 52 sites are selected to evaluate the posteri-  
 142 or CO<sub>2</sub> concentrations (selection criteria given in Section 4.1.1).

143 TCCON is a network of ground-based Fourier Transform Spectrometers that measure direct  
 144 near-infrared solar absorption spectra. Column-averaged abundances of atmospheric constituents  
 145 including CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, HF, CO, H<sub>2</sub>O, and HDO are retrieved through these spectra. We use  
 146 XCO<sub>2</sub> retrievals from 13 stations from TCCON GGG2014 dataset (Blumenstock et al., 2017;  
 147 Deutscher et al., 2017; Griffith et al., 2017a, b; Kivi et al., 2017; Morino et al., 2017; Notholt et al.,  
 148 2017a, b; Sherlock et al., 2017; Sussmann and Rettinger, 2017; Warneke et al., 2017; Wennberg et  
 149 al., 2017a, b). The names of the 13 stations are Bialystok (Bial), Bremen (Brem), Orleans (Orle),  
 150 Garmisch (Garm), Darwin (Darw), Izana (Izan), Ny Alesund (Ny\_A), Lamont (Lamo), Lauder  
 151 (Laud), Park Falls (Park), Sodankyla (Soda), Tsukuba (Tsuk), and Wollongong (Woll). The loca-  
 152 tions of in situ sites and 13 TCCON stations are shown in Figure 2.



153 **Figure 2.** Distributions of the observation sites used in this study. Gray solid circles are surface  
 154 sites used in the in situ inversion, red points and red cross marks are surface flask and TCCON sites  
 155



156 used for evaluations, respectively, the shaded **area** shows the 11 TRANSCOM regions

## 157 **2.3 GEOS-Chem 4DVAR assimilation framework**

### 158 2.3.1 GEOS-Chem model

159 GEOS-Chem model (<http://geos-chem.org>) is a global three-dimensional chemistry transport  
160 model (CTM), which is driven by assimilated meteorological data from the Goddard Earth Observ-  
161 ing System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO) (Rienecker et  
162 al., 2008). The original CO<sub>2</sub> simulation in the GEOS-Chem model was developed by Suntharalin-  
163 gam et al. (2004) and accounts for CO<sub>2</sub> fluxes from fossil fuel combustion and cement production,  
164 biomass burning, terrestrial ecosystem exchange, ocean exchange and biofuel burning. Nassar et al.  
165 (2010) updated the CO<sub>2</sub> simulation with improved inventories. In addition to the inventories in ear-  
166 lier version, the new CO<sub>2</sub> fluxes includes CO<sub>2</sub> emissions from international shipping, aviation (3D)  
167 and the chemical production of CO<sub>2</sub> from CO oxidation throughout the troposphere. In most other  
168 models, the oxidation of CO was treated as direct surface CO<sub>2</sub> emissions. The details of the CO<sub>2</sub>  
169 simulation and the CO<sub>2</sub> sinks/sources inventories could be found in Nassar et al. (2010). The ver-  
170 sion of GEOS-Chem model used in this study is v8-02-01.

### 171 2.3.2 GEOS-Chem adjoint model

172 An adjoint model is used to calculate the gradient of a response function of one model scalar  
173 (or cost function) with respect to a set of model parameters. The adjoint of the GEOS-Chem model  
174 was first developed for inverse modeling of aerosol (or their precursors) and gas emissions (Henze  
175 et al., 2007). It has been implemented to constrain sources of species such as CO, CH<sub>4</sub>, and O<sub>3</sub> with  
176 satellite observations (Kopacz et al., 2009, 2010; Jiang et al., 2011; Wecht et al., 2012; Parrington et  
177 al., 2012). Several studies have successfully used this adjoint model to constraint carbon sources  
178 and sinks with surface flask measurements of CO<sub>2</sub> mixing ratio and space-based XCO<sub>2</sub> retrievals  
179 (Deng et al., 2014; Liu et al., 2014; Deng et al., 2016; Liu et al., 2017).

### 180 2.3.3 Inversion method



181 In the GEOS-Chem inverse modeling framework, the 4D-Var data assimilation technique is  
 182 employed for combining observations and simulations to seek a best optimal estimation of the state  
 183 of a system. The scaling factors are applied to the carbon flux components to be optimized monthly  
 184 in each model grid point. This approach seeks the scaling factors of the carbon flux that minimize  
 185 the cost function,  $J$ , given by:

$$186 \quad J(c) = \frac{1}{2} \sum_{i=1}^N (XCO_{2,i}^m - XCO_{2,i}^{obs}) S_{obs,i}^{-1} (XCO_{2,i}^m - XCO_{2,i}^{obs}) + \left( \frac{1}{2} (c - c_a) S_c^{-1} (c - c_a) \right) \quad (1)$$

187 where  $N$  is total number of satellite  $XCO_2$  observations;  $XCO_2^m$  and  $XCO_2^{obs}$  are modeled and ob-  
 188 served total column averaged dry air mole fraction of  $CO_2$  respectively;  $c_a$  is the prior scaling factor  
 189 of the carbon flux, which is typically set as unity;  $S_{obs}$  is the model-data mismatch error covariance  
 190 matrix;  $S_c$  is the scaling factor error covariance matrix. The gradients of the cost function with re-  
 191 spect to scaling factors calculated with the adjoint model are supplied to an optimization routine  
 192 (the L-BFGS-B optimization routine; Byrd et al., 1995; Zhu et al., 1994), and the minimum of the  
 193 cost function is sought iteratively.

194 For the modeled  $CO_2$  column to be comparable with the satellite  $XCO_2$  retrievals, the modeled  
 195  $CO_2$  concentration profile should be first mapped into the satellite retrieval levels and then convo-  
 196 luted with retrieval averaging kernels. The modeled  $XCO_2$  is computed by:

$$197 \quad XCO_2^m = XCO_2^a + \sum_j h_j a_j (A(x) - y_{a,j}) \quad (2)$$

198 where  $j$  denotes retrieval level,  $x$  is the modeled  $CO_2$  profile;  $A(x)$  is a mapping matrix;  $XCO_2^a$  is  
 199 prior  $XCO_2$ ,  $h_j$  is pressure weighting function,  $a_j$  is the satellite column averaging kernel and  $y_a$  is  
 200 the prior  $CO_2$  profile for retrieval. These last four quantities are provided from ACOS Version 7.3  
 201 Level 2 Lite products.

### 202 **3. Inversion settings**

203 In this study, the GEOS-Chem model was run in a horizontal resolution of  $2^\circ \times 2.5^\circ$  for 47 verti-

204 cal layers. Three inversions, using GOSAT data, OCO-2 data, and in situ measurements, are con-  
205 ducted from Oct 1, 2014 to December 31, 2015, respectively. Poor-man inversion, based on global  
206 atmospheric CO<sub>2</sub> trend and using poor-man's method (Chevallier et al, 2009, 2010), is also con-  
207 ducted. The posterior dry air mole fraction of CO<sub>2</sub> on Oct 1, 2014 from CT2016 product is taken as  
208 the initial concentration. The first three months are taken as the spin-up period. The prior carbon  
209 fluxes used in this study include fossil fuel CO<sub>2</sub> emissions, biomass burning CO<sub>2</sub> emissions, terres-  
210 trial ecosystem carbon exchange and CO<sub>2</sub> flux exchange over the sea surface. Fossil fuel emissions  
211 are obtained from CT2016, which is an average of Carbon Dioxide Information Analysis Center  
212 (CDIAC) product (Andres et al., 2011) and Open-source Data Inventory of Anthropogenic CO<sub>2</sub>  
213 (ODIAC) emission product (Oda and Maksyutov, 2011). The biomass burning CO<sub>2</sub> emissions are  
214 also taken from CT2016, which are the average of the Global Fire Emissions Database version 4.1  
215 (GFEDv4) (van der Werf et al., 2010; Giglio et al., 2013) and the Global Fire Emission Database  
216 from NASA Carbon Monitoring System (GFED\_CMS). The 3-hourly terrestrial ecosystem carbon  
217 exchanges are from the Carnegie-Ames-Stanford Approach (CASA) model GFED4.1 simulation  
218 (Potter et al., 1993; van der Werf et al., 2010). CO<sub>2</sub> exchanges over the ocean surface are from the  
219 posterior air-sea CO<sub>2</sub> flux of CT2016. It is noted that the fossil fuel emissions and the biomass burn-  
220 ing emissions in our inversions are kept intact. Both terrestrial ecosystem CO<sub>2</sub> exchanges and ocean  
221 flux are optimized in our inversions.

222 An efficient computational procedure for constructing non-diagonal scaling factor error covari-  
223 ance matrix which accounts for the spatial correlation of errors is implemented (Single et al., 2011).  
224 The construction is based on the assumption of exponential decay of error correlations. Other than  
225 forming covariance matrix explicitly, multiple-dimensional correlations are represented by tensor  
226 products of one-dimensional correlation matrices along longitude and latitudinal directions. For the  
227 two inversions, the scale lengths assigned along longitudinal and latitudinal directions are 500 km  
228 and 400 km for terrestrial ecosystem exchange and 1000 km and 800 km for ocean exchange, re-

229 spectively. No correlations between different types of fluxes are assumed. The temporal correla-  
230 tions are also neglected. Global annual uncertainty of 100% and 40% are assigned for terrestrial  
231 ecosystem and ocean CO<sub>2</sub> exchanges, respectively (Deng and Chen, 2011). Accordingly, the uncer-  
232 tainty of scaling factor for the prior land and ocean fluxes in each month at the grid cell level are  
233 assigned to 3 and 5, respectively.

### 234 **3.1 Inversions using satellite XCO<sub>2</sub> retrievals**

235 The observation error covariance matrix is constructed using the retrieval errors, which are  
236 provided along with the ACOS XCO<sub>2</sub> data. Observation errors are assumed to be uncorrelated at  
237 model grid level. To account for the correlated observation errors, as shown in section 2.1, the pixel  
238 level retrieval errors are filtered and averaged to the model grid level, and then inflated by a factor  
239 of 1.9 to ensure the chi-square testing of  $\chi^2$  value to be close to 1 (Tarantola, 2004; Chevallier et  
240 al., 2007).

### 241 **3.2 Inversion using in situ measurements**

242 As **described** in section 2.2, surface CO<sub>2</sub> observations from 78 sites including flask samples and  
243 by quasi-continuous analyzer are adopted in this inversion. These data are selected from data collec-  
244 tion of the ObsPackCT2016. The observation uncertainties of the 78 sites are also obtained from  
245 this product, which account for both the measurement and representative errors (Peters et al., 2007,  
246 with updates documented at <http://carbontracker.noaa.gov>). An examination for the differences be-  
247 tween observations and forward model simulation was conducted (data not shown), and the results  
248 shows that observation uncertainties from CT2016 represents well with the model-data mismatch  
249 errors of GEOS-Chem model. In addition, we neglect correlations between observations and as-  
250 sume a diagonal observation error covariance matrix.

### 251 **3.3 Poor-man inversion**

252 A baseline inversion, which was introduced by Chevallier et al. (2009, 2010) as a poor-man's  
253 method, is implemented to evaluate satellite retrievals and in situ measurements based inversions.

254 Usually, the posteriori fluxes are evaluated by the improvement on the simulated CO<sub>2</sub> mixing ratios.  
255 Since the global CO<sub>2</sub> trend can be accurately estimated from marine sites, it is important to assess  
256 whether the inverted flux can capture more information than this trend. In this baseline inversion,  
257 the ocean flux is kept identical to the prior ones. The poor-man's inverted land flux  $F_{pm}$  at location  
258  $(x, y)$  and at time  $t$  is defined as:

$$259 \quad F_{pm}(x, y, t) = F_{prior}(x, y, t) + k \times \sigma(x, y, t) \quad (3)$$

260 where  $F_{prior}$  is the prior flux,  $\sigma$  is the uncertainty of the prior flux,  $k$  is a coefficient, it can be solved  
261 directly from the formula (3) as

$$262 \quad k = (\sum F_{pm}(x, y, t) - \sum F_{prior}(x, y, t)) / \sum \sigma(x, y, t) \quad (4)$$

263 where  $\sum F_{pm}(x, y, t)$  equals the global total land flux, which can be calculated from the observed  
264 annual global CO<sub>2</sub> growth rate, global annual fossil fuel and biomass burning emissions, and ocean  
265 flux. In this study, the observed annual global CO<sub>2</sub> growth rate is from the Global Monitoring Divi-  
266 sion (GMD) of NOAA/Earth System Research Laboratory (ESRL) (Ed Dlugokencky and Pieter  
267 Tans, NOAA/ESRL, [www.esrl.noaa.gov/gmd/ccgg/trends/](http://www.esrl.noaa.gov/gmd/ccgg/trends/)). The annual global CO<sub>2</sub> growth rate is  
268 2.96 ppm in 2015, which is converted to 6.28 PgC yr<sup>-1</sup> for the poor-man's global total by multiply-  
269 ing by a factor of 2.123 PgC ppm<sup>-1</sup>.

## 270 4. Results and Discussions

### 271 4.1 Evaluation for the inversion results

#### 272 4.1.1 Flask observations

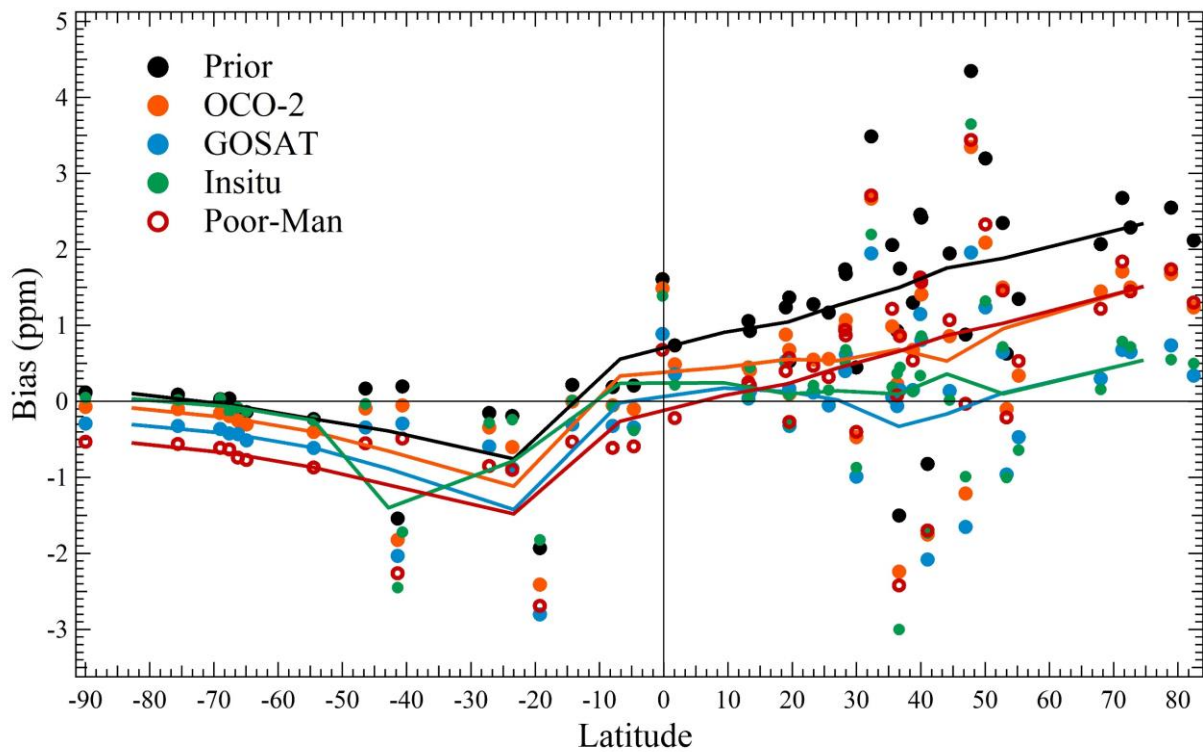
273 As shown in section 2.2, Flask observations from 52 sites are used to evaluate the inversion re-  
274 sults. Actually, there are much more flask observations in the dataset. When there are more than one  
275 flask dataset for one site, we give priority to that from NOAA/ESL or that with more consistent  
276 records. There are 56 sites with available flask observations for evaluation. In addition, during the  
277 evaluations, we find that GEOS-Chem model is unable to capture the variations of CO<sub>2</sub> mixing rati-

278 os at HPB, HUN, SGP and TAP sites, where the standard deviations of the deviations between the  
279 observed and modeled mixing ratio are larger than 5 ppm. Therefore, we exclude these four sites  
280 and use the rest 52 flask sites (shown in Figure 2) to evaluate the posterior mixing ratios. The GE-  
281 OS-Chem model is driven with the prior flux and the four posterior fluxes to obtain the prior and  
282 posterior CO<sub>2</sub> mixing ratios. The simulated CO<sub>2</sub> mixing ratios are sampled at each observation site  
283 and within half an hour of observation time.

284 Table 1 shows a summary of comparisons of the simulated CO<sub>2</sub> mixing ratios against the flask  
285 measurements. The mean difference between the prior CO<sub>2</sub> mixing ratio and the flask measure-  
286 ments is 0.93 ppm, with a standard deviation of 2.3 ppm. All four inversions show improvement in  
287 posterior concentrations with reductions of biases. Not surprisingly, in situ inversion, using surface  
288 observations which include all the flask measurements used for evaluation, shows the best im-  
289 provement in posterior CO<sub>2</sub> mixing ratio with the largest reduction of bias and standard deviation.  
290 GOSAT inversion achieves almost the same reductions of standard deviation as in situ inversion.  
291 OCO-2 inversion gives larger bias and standard deviation than in situ and GOSAT inversions.  
292 Poor-man inversion effectively reduces the bias but with little improvement in the reduction of  
293 standard deviations.

294 Figure 3 shows the biases at each observation site in different latitudes. It could be found that  
295 the biases between the simulations and the observations in the northern hemisphere are significantly  
296 larger than those in southern hemisphere since the carbon flux distribution of the northern hemi-  
297 sphere is more complex than that of the southern hemisphere. When the prior flux is used, almost  
298 all sites in the northern hemisphere have significant positive deviations, with an average of 1.7 ppm,  
299 while in the southern hemisphere, the deviations are very small, with an average bias of only -0.08  
300 ppm; when using the posteriori flux from OCO-2 inversion, the deviations in most northern hemi-  
301 sphere sites are slightly reduced, with an average deviation of 0.85 ppm, while in the southern hemi-  
302 sphere, at most sites, the biases increase by variable amounts, with a mean of -0.13 ppm; when us-

303 ing the posterior flux from GOSAT inversion, the deviations are significantly reduced to 0.04 ppm  
 304 in the northern hemisphere but further increased to -0.55 ppm in the southern hemisphere. In situ  
 305 inversion shows similar improvement in Northern Hemisphere as GOSAT inversion does, but also  
 306 with **little** improvement in Southern Hemisphere. Though **poor-man inversion** effectively reduces  
 307 the global bias, it shows **largest negative biases in Southern Hemisphere and moderate positive bi-**  
 308 **ases (close to OCO-2 inversions) in Northern Hemisphere, indicating that the improvements of**  
 309 **poor-man inversion for posterior concentrations are very limited.** These suggest that GOSAT and in  
 310 situ inversions can effectively improve the carbon fluxes estimate in the northern hemisphere, but  
 311 overestimate the land sinks in the southern hemisphere.



312  
 313 **Figure 3.** Biases of the simulated CO<sub>2</sub> mixing ratios against the flask measurements in different  
 314 latitudes (positive/negative biases represent modeled concentration being greater/less than the ob-  
 315 served, the different color lines are the smooth of the corresponding marks)

#### 316 4.1.2 TCCON observations

317 **We also use data from 13 TCCON sites** (Figure 2) to evaluate our inversion results. The simu-  
 318 lated CO<sub>2</sub> concentrations at 47 vertical levels are mapped **onto** 71 TCCON levels. Following the

319 approach of Wunch et al. (2011), using prior profiles and the averaging kernel from the TCCON  
320 dataset, we calculated the modeled XCO<sub>2</sub> values at 13 TCCON sites. It should be noted that the  
321 comparisons of posterior XCO<sub>2</sub> from GOSAT and OCO-2 inversions with TCCON data are not fully  
322 independent since the TCCON data were used in the bias-correction scheme of both GOSAT and  
323 OCO-2 products (Wunch et al., 2011). Table 1 also shows the comparison of modeled XCO<sub>2</sub> with  
324 TCCON observations. The mean difference between prior XCO<sub>2</sub> and TCCON retrievals is 1.16  
325 ppm, with a standard deviation of 1.3 ppm. GOSAT inversion performs the best with the largest re-  
326 ductions of bias and standard deviation. Though OCO-2 inversion shows improvement in the reduc-  
327 tion of standard deviation, it gives a relatively large bias for posterior XCO<sub>2</sub>. In situ inversion has  
328 the same reduction of standard deviation as GOSAT inversion. Poor-man inversion reduces the bias  
329 to 0.49 ppm and gives slight improvement in reducing standard deviation of posterior XCO<sub>2</sub>.

330 Figure 4 shows the bias at each TCCON site. Obviously, the biases at all TCCON sites are posi-  
331 tive when using the prior fluxes, ranging between 0.3 and 2.6 ppm. The biases at the sites in the  
332 northern temperate and boreal areas are all above 1.5 ppm except for the Lamo site. GOSAT and in  
333 situ inversions significantly reduce the biases at most sites. However, in Northern Hemisphere, the  
334 biases at those sites remain relatively large. Since GOSAT and in situ inversions show evident im-  
335 provement at flask sites in Northern Hemisphere, the remaining large biases at TCCON sites may  
336 be also related to the biases of TCCON retrievals (Wunch et al, 2010; Messerschmidt et al, 2011).  
337 OCO-2 and poor-man inversions show slight improvement in the reduction of biases at most sites  
338 and rather large biases still remain.

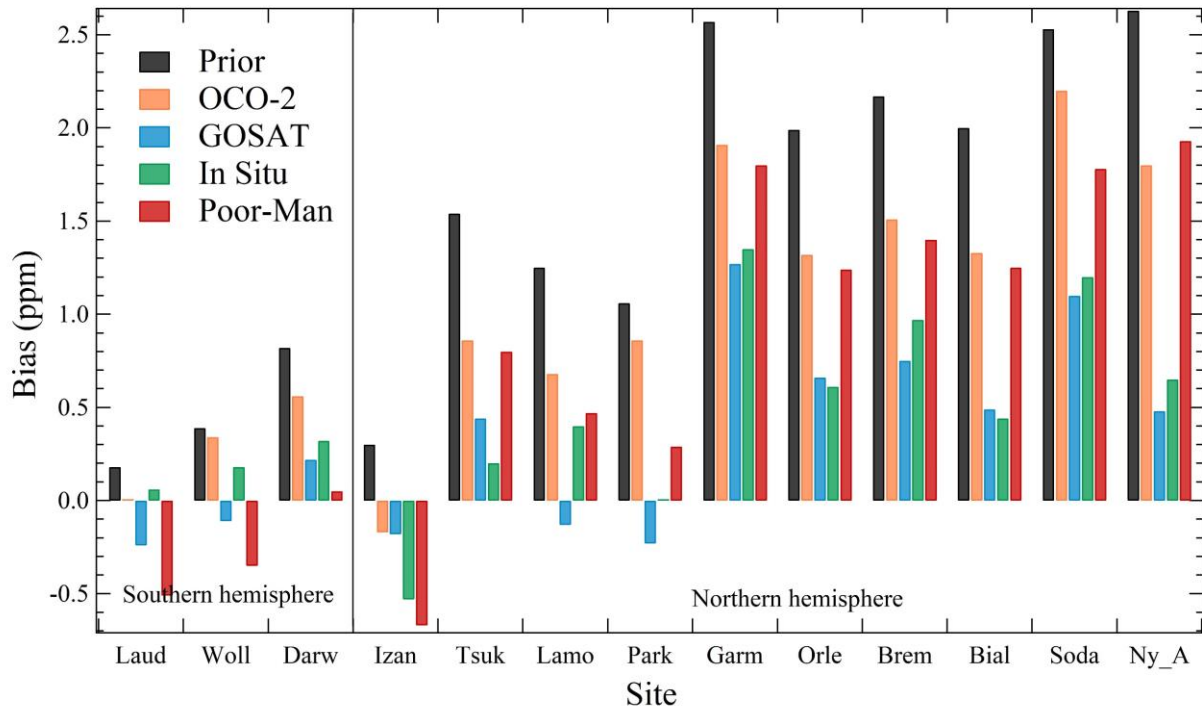
339 Overall, it also could be found from Table 1 that only in situ inversion beats the poor-man in-  
340 version on all 4 statistics, followed by GOSAT inversion, which beats the poor-man on 3 statistics,  
341 indicating that in situ measurements have the best performance among all inversions, and GOSAT  
342 retrieval have similar performance as in situ data.

343

344 **Table 1.** Statistics of the model-data mismatch errors at the 52 surface flask sites and the 13  
 345 TCCON sites (ppm)

	Flask		TCCON	
	Bias	Stdev	Bias	Stdev
Prior	0.93	2.30	1.16	1.30
OCO-2	0.33	2.15	0.80	1.08
GOSAT	-0.19	2.05	0.22	1.04
In situ	-0.03	2.04	0.38	1.04
Poor-man	0.14	2.28	0.49	1.25

346



347

348 **Figure 4.** The biases between the modeled and observed XCO<sub>2</sub> at the 13 TCCON sites

349

## 350 4.2 Global carbon budget

351 Table 2 presents the **global carbon budgets** in 2015 from four inversions. The global land sinks  
 352 inferred by GOSAT and OCO-2 XCO<sub>2</sub> retrievals are -3.48 and -2.94 PgC yr<sup>-1</sup>, respectively, which  
 353 are both larger than the prior value, and lower than the estimate from the in situ inversion. The dif-  
 354 ferences of ocean fluxes among a priori and two inversions are small since we don't assimilate



355 XCO<sub>2</sub> data over ocean. The global net flux from the **poor-man inversion** is inferred from the global  
 356 annual CO<sub>2</sub> growth rate, which represents relatively accurately the net carbon flux added into at-  
 357 mosphere. It could be found that the global net flux from GOSAT inversion is the closest to the  
 358 **poor-man inversion** estimate, while that from OCO-2 inversion is higher and the in situ inversion  
 359 estimate is lower than the **poor-man estimate**, indicating that GOSAT inversion has the best esti-  
 360 mates for the land and ocean carbon uptakes, while those from in situ inversion are overestimated,  
 361 and those from OCO-2 inversion might be underestimated.

362 **Table 2.** Global carbon budgets estimated by the OCO-2 and GOSAT inversions in this study as  
 363 well as those from the prior fluxes, **in situ** and **poor-man inversions** (PgC yr<sup>-1</sup>)

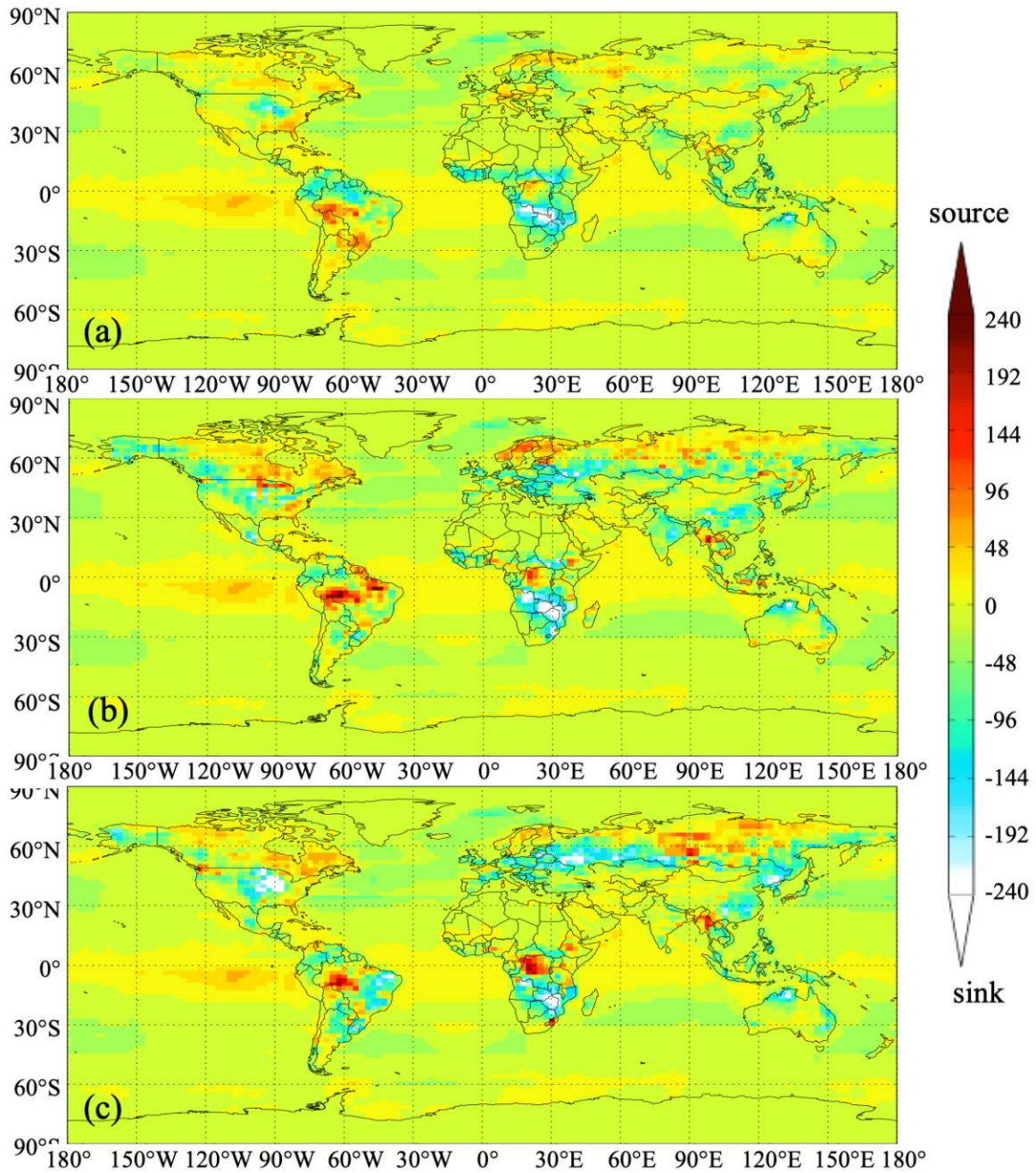
	Prior	OCO-2	GOSAT	In situ	<b>Poor-man</b>
Fossil fuel and industry	9.84	9.84	9.84	9.84	9.84
Biomass burning emissions	2.20	2.20	2.20	2.20	2.20
Land sink	-2.50	-2.94	-3.48	-3.63	-3.35
Ocean sink	-2.41	-2.44	-2.45	-2.41	-2.41
Global net flux	7.13	6.66	6.11	6.00	6.28

364

### 365 **4.3 Regional carbon flux**

366 Figure 5 shows the distributions of annual land and ocean carbon fluxes (excluding fossil fuel  
 367 and biomass burning carbon emissions, same thereafter) of the prior and the estimates using GO-  
 368 SAT and OCO-2 data. It could be found that compared with the prior fluxes, the carbon sinks in  
 369 Central America, south and northeast China, east and central Europe, south Russia and east Brazil  
 370 are obviously increased in GOSAT inversion. Except for east Brazil, the land sinks in those areas in  
 371 OCO-2 inversion are also increased, but much weaker than those in GOSAT inversion, and in east  
 372 Brazil, it turns to a significant carbon source. In contrast, in east and central Canada, north Russia,  
 373 north Europe, west Indo-China Peninsula, north Democratic Republic of the Congo and west Brazil,  
 374 their carbon sources are significantly increased in both GOSAT and OCO-2 inversions. In east and  
 375 central Canada, north Europe and west Brazil, there are much stronger carbon sources in OCO-2

376 inversion.



377

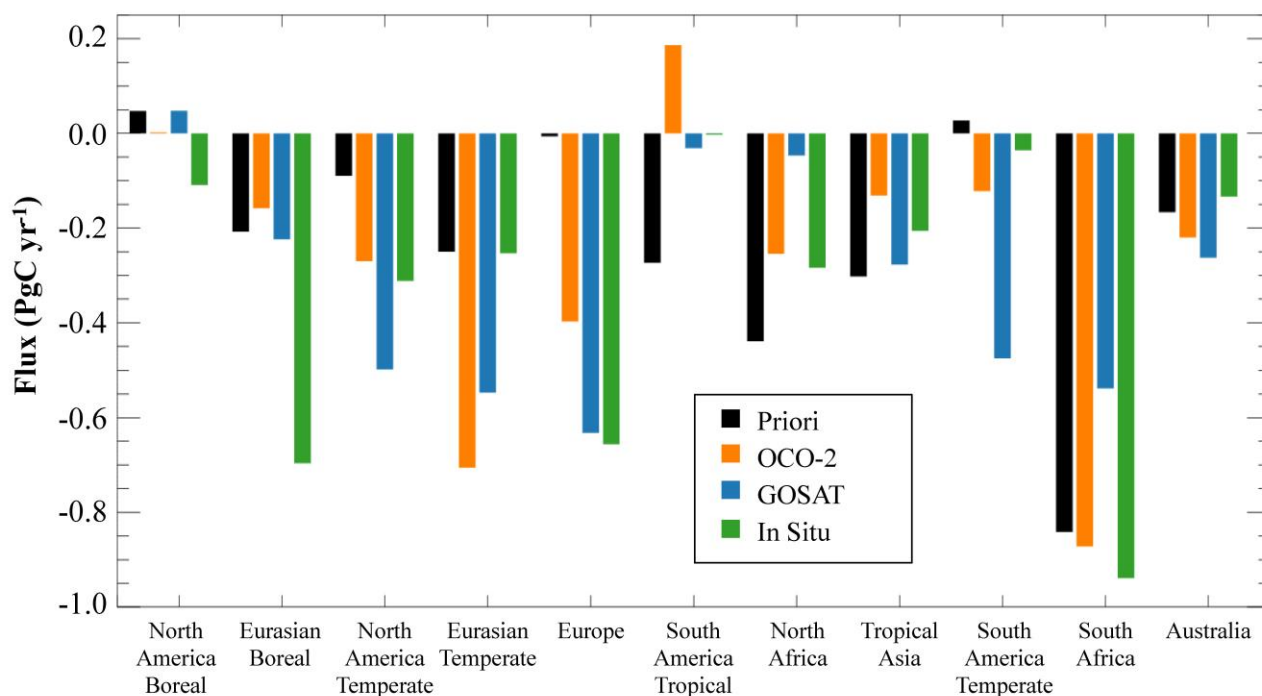
378 **Figure 5.** Distributions of annual land and ocean carbon fluxes a) prior flux and posterior fluxes  
379 based on (b) OCO-2 and (c) GOSAT data ( $\text{gC m}^{-2}\text{yr}^{-1}$ )  
380

381

382 To better investigate the differences between GOSAT and OCO-2 inversions as well as their  
383 differences with two other inversions, we aggregate the prior and inferred land fluxes into 11  
384 TRANSCOM land regions (Gurney et al., 2002) as shown in Figure 2. Figure 6 shows aggregated  
annual land surface fluxes from the prior and inversions for the 11 land regions. Clearly, in most

385 regions, the land sinks inverted based on GOSAT data are stronger than those inferred from OCO-2  
386 data, especially in the Temperate and Tropical Lands. For example, in South America Temperate,  
387 the estimated land sink based on GOSAT data is about 4 times as large as the OCO-2 inversions; in  
388 North America Temperate and Tropical Asia, the carbon sinks of GOSAT experiment is about twice  
389 that of the OCO-2 inversions; and in South America Tropical, the OCO-2 inversion result is a car-  
390 bon source of  $0.19 \text{ PgC yr}^{-1}$ , while GOSAT inversion gives a weak sink of  $-0.05 \text{ Pg C yr}^{-1}$ . The total  
391 sinks of the Temperate/Tropical Lands optimized using GOSAT and OCO-2  $\text{XCO}_2$  retrievals are -  
392  $2.95/-0.36$  and  $-2.59/-0.20 \text{ Pg C yr}^{-1}$ , respectively (Table 3). In Northern Boreal Land, the total car-  
393 bon sinks inverted with GOSAT and OCO-2 data are comparable. However, the two  $\text{XCO}_2$  data  
394 have opposite performances in two northern boreal regions, namely in Eurasian Boreal, the inverted  
395 land sink with GOSAT is stronger than that with OCO-2; while in North America Boreal, it is the  
396 opposite.

397 For different continents (Table 3), in Asia and Australia, their carbon sinks inverted from GO-  
398 SAT and OCO-2 data are comparable. In North America, South America and Europe, the land sinks  
399 in GOSAT inversion are much stronger than those in OCO-2 inversion. Especially in South Ameri-  
400 ca, the GOSAT inversion result is a strong carbon sink ( $-0.51 \text{ Pg C yr}^{-1}$ ), while in OCO-2 inversion,  
401 it is a weak carbon source ( $0.06 \text{ Pg C yr}^{-1}$ ). Conversely, in Africa, the land sink estimated with GO-  
402 SAT data is much weaker than those from OCO-2 data, the former ( $-0.59 \text{ Pg C yr}^{-1}$ ) being only  
403 about the half of the latter ( $-1.13 \text{ Pg C yr}^{-1}$ ).



404

405

**Figure 6.** Aggregated annual land fluxes of the 11 TRANSCOM land regions

406

407

**Table 3.** The prior and posterior fluxes in six continents and boreal, temperate and tropical lands (PgC yr<sup>-1</sup>)

Regions	Prior	OCO-2	GOSAT	In situ
North America	-0.04	-0.27	-0.45	-0.42
South America	-0.25	0.06	-0.51	-0.04
Europe	-0.01	-0.40	-0.63	-0.66
Asia	-0.76	-0.99	-1.05	-1.16
Africa	-1.28	-1.13	-0.58	-1.22
Australia	-0.17	-0.22	-0.26	-0.13
Northern Boreal Land	-0.16	-0.16	-0.18	-0.81
Northern Temperate Land	-0.35	-1.37	-1.68	-1.22
Tropical Land	-1.01	-0.20	-0.36	-0.49
Southern Temperate Land	-0.98	-1.21	-1.28	-1.11

408

409

410

411

Compared with the in situ inversion, in the boreal regions, the land sinks estimated from GOSAT and OCO-2 inversions are much weaker than those from in situ inversion, especially in the Eurasian Boreal, the land sink estimated by in situ inversion is more than two times larger than the

412 estimates of GOSAT and OCO-2 inversions. In the tropical land, the total land sinks inferred from  
413 both GOSAT and OCO-2 inversions are weaker than those from the in situ inversion, but in differ-  
414 ent regions, the situations are different. In the Temperate lands, except for Europe and south Africa,  
415 the land sinks from GOSAT and OCO-2 inversions are much stronger than those from the in situ  
416 inversion. For example, in South America Temperate, GOSAT inversion shows a strong carbon  
417 sink, while in situ inversion shows a weak source. For different continents, in North America, Asia,  
418 Europe, the carbon sinks inferred from GOSAT inversion are comparable to those from in situ in-  
419 version, while in South America and Africa, the carbon sinks inferred from OCO-2 inversion are  
420 much closer to the in situ inversion.

421 Compared with the prior fluxes, the inferred land fluxes in Northern Temperate regions have  
422 the largest changes, followed by those in Tropical regions and Southern Temperate lands, while in  
423 boreal regions, the changes are the smallest. As shown in Table 4, for different TRANSCOM re-  
424 gions and different XCO<sub>2</sub> used, the changes of carbon fluxes have large differences. Since the same  
425 setup used in these two inversions and the same algorithm adopted for retrieving XCO<sub>2</sub> from GO-  
426 SAT and OCO-2 measurements, the different impacts of XCO<sub>2</sub> data on land sinks may be related to  
427 the spatial coverage and the amount of data in these two XCO<sub>2</sub> datasets. As shown in Figure 1, in  
428 different latitude zones, the spatial coverage and the data amount of GOSAT and OCO-2 have large  
429 differences. Statistics show that the amount of data is largest in northern temperate land, followed  
430 by southern temperate land and tropical land, and least in northern boreal regions, corresponding to  
431 the magnitude of changes of carbon fluxes in these zones. For one specific zone, the different im-  
432 pacts of these two XCO<sub>2</sub> datasets may be also related to their data amount. For example, in northern  
433 temperate land, GOSAT has more XCO<sub>2</sub> data than OCO-2. Accordingly, the change of carbon flux  
434 caused by GOSAT is larger than that caused by OCO-2. Conversely, in Tropical Land, OCO-2 has  
435 more data than GOSAT, and as shown before it has more significant impact on the land sink. This  
436 relationship could also be found in each TRANSCOM region. Figure 5 gives a relationship between

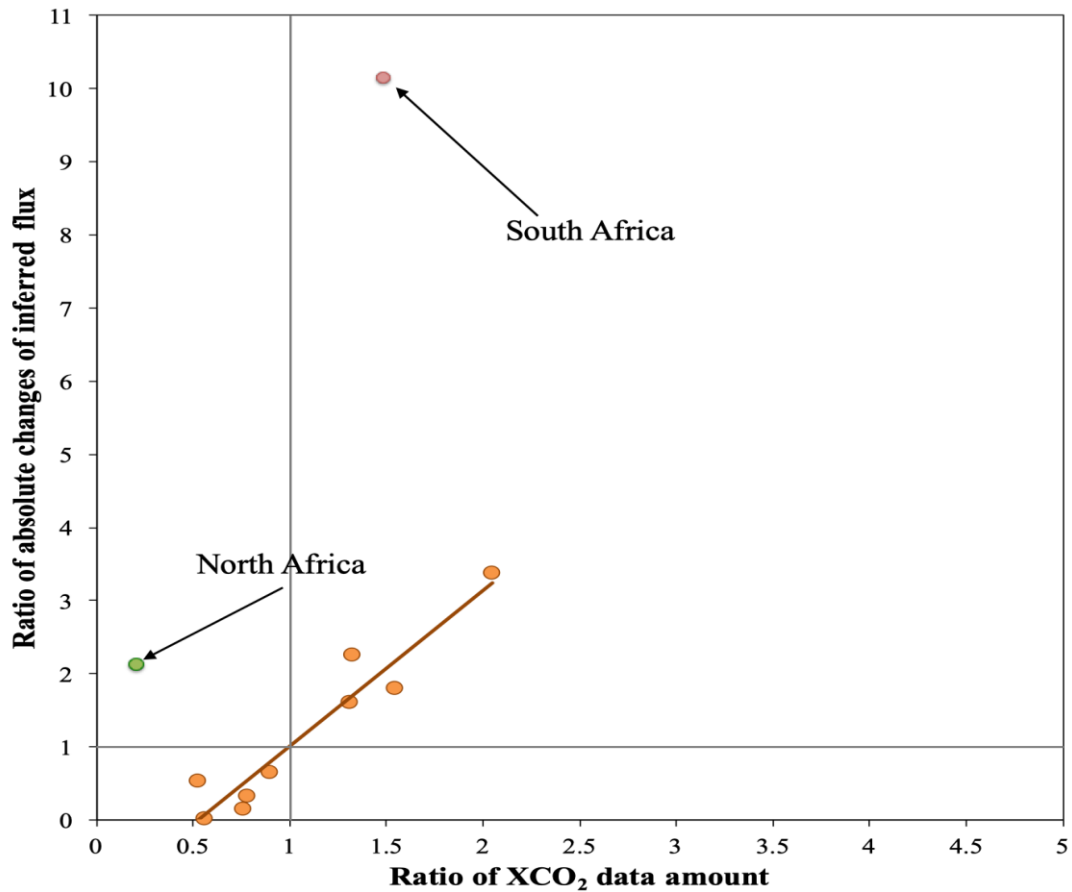
437 the XCO<sub>2</sub> data amount ratios of GOSAT to OCO-2 and the land sinks absolute change ratios caused  
438 by GOSAT to OCO-2 for 11 TRANSCOM land regions. Obviously, except for North and South Af-  
439 rica, there is a significant linear correlation ( $R=0.95$ ) between these two ratios, suggesting that with  
440 more XCO<sub>2</sub> data, the more carbon flux relative to the prior flux is changed. In North Africa, we find  
441 that OCO-2 has better spatial coverage and more data than GOSAT, as shown in Figure 1. Although  
442 the differences mainly occur in the Sahara where the carbon flux is very weak, but near the equato-  
443 rial region where the carbon flux is large, OCO-2 still has more data than GOSAT. In southern Af-  
444 rica, both XCO<sub>2</sub> have good spatial coverage, the amount of GOSAT data is about 1.5 times that of  
445 OCO-2, but the changes in the carbon flux caused by GOSAT is about 10 times that of OCO-2. The  
446 large ratio of carbon change is mainly due to the relatively small carbon change from OCO-2 inver-  
447 sion.

448 **Table 4.** Differences between the inferred and the prior carbon fluxes, the data amount of XCO<sub>2</sub> and  
449 the deviations between the modeled with prior flux and satellite retrieved XCO<sub>2</sub> in different regions

Region	Flux changed (Pg C yr <sup>-1</sup> )*		XCO <sub>2</sub> data amount		Deviations (ppm)**	
	OCO-2	GOSAT	OCO-2	GOSAT	OCO-2	GOSAT
North America Boreal	-0.05	0	1143	639	0.6	1.41
North America Temperate	-0.18	-0.41	2390	3163	0.52	0.93
South America Tropical	0.46	0.24	800	421	-0.89	0.43
South America Temperate	-0.15	-0.5	1711	3500	0.02	0.54
North Africa	0.19	0.39	3208	674	0.12	-0.19
South Africa	-0.03	0.3	2057	3060	0.17	0.33
Eurasian Boreal	0.05	-0.02	1714	1339	0.47	1.5
Eurasian Temperate	-0.46	-0.3	5323	4782	0.46	0.82
Tropical Asia	0.17	0.03	726	550	-0.43	0.34
Australia	-0.05	-0.1	2011	3110	0.18	0.67
Europe	-0.39	-0.63	1604	2106	0.28	1.35
Global land	-0.44	-0.98	22687	23344	0.22	0.79
Northern Boreal Land	0.005	-0.02	2857	1978	0.52	1.47
Northern Temperate Land	-1.03	-1.33	9317	10051	0.45	0.96
Tropical Land	0.82	0.66	4734	1645	-0.08	0.13
Southern Temperate Land	-0.23	-0.3	5779	9670	0.11	0.6

450 \* Differences between posterior and prior flux

451 \*\* Deviations between the modeled XCO<sub>2</sub> with prior flux and satellite retrieved XCO<sub>2</sub>



452

453 **Figure 7.** Scatter plot for the ratio of GOSAT to OCO-2 XCO<sub>2</sub> data amount versus the ratio of abso-  
 454 lute changes of the land sinks caused by GOSAT to OCO-2 in the 11 TRANSCOM land regions

455

456 In addition to the data amount, the mismatches between the simulated CO<sub>2</sub> concentrations using  
 457 prior fluxes and the satellite retrievals could be used to examine the performances of OCO-2 and  
 458 GOSAT retrievals in different regions. Usually, a large model-data mismatch will impose strong  
 459 constraint on the prior flux in inversions. Therefore, we compare the mismatches in OCO-2 and  
 460 GOSAT inversions. The results are grouped global land and into the 11 TRANSCOM land regions,  
 461 as shown in Table 4. The global land mean difference between modeled XCO<sub>2</sub> and the OCO-2 and  
 462 GOSAT retrievals are 0.22 and 0.79 ppm, respectively, indicating that the GOSAT retrieval would  
 463 have stronger constraint on the prior fluxes. In most TRANSCOM regions except North Africa, the  
 464 mismatches in GOSAT inversion are positive and larger than those of OCO-2 inversion. In Tropic  
 465 Asia and South America Tropic, the sizable negative mismatches in OCO-2 inversion could account  
 466 for a weak inverted carbon sink and an inverted carbon source in these two regions, while in North



467 Africa, the negative mismatch in GOSAT inversion may explain why a rather weak sink is inverted  
 468 for this region. The difference of mismatch between OCO-2 and GOSAT inversions exhibits rather  
 469 large spread, ranging from 0.16 to 1.33 ppm, indicating the biases of two satellite XCO<sub>2</sub> retrievals  
 470 differ greatly.

471 **Table 5.** Statistics of the OCO-2 and GOSAT retrievals uncertainties against the TCCON retrievals

	OCO-2			GOSAT		
	Bias (ppm)	Stdev (ppm)	N. of Obs.	Bias (ppm)	Stdev (ppm)	N. of Obs.
Bial	0.91	1.47	21	0.06	1.35	29
Darw	0.75	0.85	43	-0.41	1.62	44
Garm	-0.10	2.97	14	0.73	2.02	35
Lamo	0.04	1.09	56	-0.91	1.39	82
Laud	0.59	1.38	18	-0.79	1.70	30
Orle	1.49	1.18	24	-0.51	1.38	39
Park	0.50	1.26	29	-0.58	1.52	38
Soda	1.91	1.89	7	-0.54	2.58	9
Tsuk	0.93	1.95	16	-0.47	1.11	38
Woll	0.34	1.07	27	-0.36	1.56	45
All	0.60	1.45	255	-0.42	1.59	389

472

473 Moreover, the uncertainties of OCO-2 and GOSAT retrievals may be another reason for the dif-  
 474 ferent performances in these two inversion experiments. We use TCCON retrieval to evaluate the  
 475 uncertainties of OCO-2 and GOSAT XCO<sub>2</sub> retrievals. For satellite retrievals falling in the model  
 476 grid box where TCCON sites are located, the closest TCCON retrievals in time or within two hours  
 477 of satellite overpass time are chosen for comparison. We follow the procedures in Appendix A of  
 478 Wunch et al. (2011) to do both prior profile and averaging kernel corrections. Table 5 shows the bi-  
 479 ases and standard deviations grouped globally and at 10 TCCON sites where both OCO-2 and GO-  
 480 SAT retrievals are available for comparison. The locations of these 10 sites are shown in Figure 2.  
 481 **At most sites except Garm, OCO-2 retrievals have positive biases, while GOSAT retrievals tend to**  
 482 **have negative bias except at Bial and Garm sites. It also could be found that the spread of GOSAT**  
 483 **data biases are small, falling in the range of -0.36 to -0.58 ppm at most sites, while the spread of**



484 OCO-2 data biases is relatively large, with biases greater than 0.7 ppm at more than half of sites,  
485 and in the range of 0.34 to 0.59 ppm only at 3 sites. Overall, GOSAT retrievals (-0.46 ppm) have  
486 lower bias than OCO-2 retrievals (0.6 ppm) and the difference between two retrievals is relatively  
487 large. It should be noted that due to the limited number of collocated satellite retrievals, the real bias  
488 difference might not be up to 1 ppm. As shown in Table 4, the difference of overall mismatches be-  
489 tween GOSAT and OCO-2 data is 0.57 ppm. These indicate that although both OCO-2 and GOSAT  
490 products were bias-corrected using TCCON retrievals, the uncertainties of OCO-2 and GOSAT re-  
491 trievals are still very large, especially for OCO-2 retrieval, resulting the worse performance of  
492 OCO-2 retrieval, which also suggest that the bias-correction scheme implemented may need to be  
493 improved.

## 494 **5. Summary and Conclusions**

495 In this study, we use both GOSAT and OCO-2 XCO<sub>2</sub> retrievals to constrain terrestrial ecosys-  
496 tem carbon fluxes from Oct 1, 2014 to Dec 31, 2015, using the GEOS-Chem 4D-Var data assimila-  
497 tion system. In addition, one inversion using in situ measurements and another inversion as a base-  
498 line, are also conducted. The posterior carbon fluxes estimated from these four inversions at both  
499 global and regional scales during Jan 1 to Dec 31, 2015 are shown and discussed. We evaluate the  
500 posterior carbon fluxes by comparing the posterior CO<sub>2</sub> mixing ratios against observations from 52  
501 surface flask sites and 13 TCCON sites.

502 Globally, the terrestrial ecosystem carbon sink (excluding biomass burning emissions) esti-  
503 mated from GOSAT data is stronger than that inferred from OCO-2 data and weaker than that from  
504 in situ inversion, but closest to the **poor-man inversion** estimate. Regionally, in most regions, the  
505 land sinks inferred from GOSAT data are also stronger than those from OCO-2 data. Compared  
506 with the in situ inversion, GOSAT inversions have weaker sinks in Boreal and most Tropical lands,  
507 and much stronger ones in Temperate lands. Compared with the prior fluxes, the inferred land sinks  
508 are largely increased in the temperate regions, and decreased in tropical regions. There are largest

509 changes of the prior fluxes in Northern Temperate regions, followed by Tropical and Southern Tem-  
510 perate regions, and the weakest in boreal regions. The different impact of XCO<sub>2</sub> on the carbon flux-  
511 es in different regions is mainly related to the spatial coverage and the amount of XCO<sub>2</sub> data. Gen-  
512 erally, a larger amount of XCO<sub>2</sub> data in a region is corresponding to a larger change in the inverted  
513 carbon flux in the same region. The different biases of the two XCO<sub>2</sub> retrievals may also give rise  
514 to their different inversion performances.

515 Evaluations of the inversions using CO<sub>2</sub> concentrations from flask measurements and TCCON  
516 retrievals show that the simulated CO<sub>2</sub> concentrations with GOSAT posterior fluxes are much closer  
517 to the observations than those with OCO-2 estimates. Compared with poor-man inversion, both  
518 GOSAT and in situ inversions show evident improvement with the similar reductions of both biases  
519 and standard deviations of posterior concentrations, while OCO-2 inversion only displays slight im-  
520 provement over poor-man inversion. Generally, the posterior biases from GOSAT inversion are sig-  
521 nificantly reduced in the northern hemisphere and are slightly increased in the southern hemisphere.  
522 These suggest that GOSAT data can effectively improve the carbon fluxes estimate in the northern  
523 hemisphere.

524 The GOSAT and OCO-2 XCO<sub>2</sub> retrievals used in this study are bias-corrected products. Never-  
525 theless, there still exists apparent biases and the differences between these two satellites data are  
526 obvious. The more reliable constraints on carbon flux call for the further reduction of satellite re-  
527 trieval errors. These indicate that we should interpret carbon flux inferred from the current satellites  
528 XCO<sub>2</sub> retrievals with great cautions in understanding global carbon cycle. It also should be noted  
529 that though the OCO-2 XCO<sub>2</sub> retrievals of version b7.3 used in this study perform worse than GO-  
530 SAT data and in situ measurements in our inversions, one recent study has shown that the newer  
531 version of OCO-2 data has a much better performance in constraining carbon flux (Chevallier et al.,  
532 2019). With constantly improved retrieval algorithm and bias-correction scheme, more robust esti-  
533 mate of carbon flux from satellite XCO<sub>2</sub> retrievals could be achieved.

534 **Author contributions**

535 FJ and HW designed the research, HW conducted inverse modeling, HW and FJ conducted data  
536 analysis and wrote the paper, JW, WJ and JC participated in the discussion of the results and pro-  
537 vided input on the paper for revision before submission.

538 **Competing interests**

539 The authors declare that they have no conflict of interest.

540 **Acknowledgements**

541 This work is supported by the National Key R&D Program of China (Grant No: 2016YFA0600204), Nation-  
542 al Natural Science Foundation of China (Grant No: 41571452), and the Fundamental Research Funds for the  
543 Central Universities (Grant No: 090414380021). CarbonTracker CT2016 results provided by NOAA ESRL,  
544 Boulder, Colorado, USA from the website at <http://carbontracker.noaa.gov>.

545

546 **References**

- 547 Andres, R. J., Gregg, J. S., Losey, L., Marland, G. and Boden, T. A.: Monthly, global emissions of carbon  
548 dioxide from fossil fuel consumption. *Tellus B*, 63(3), 309–327, [https://doi.org/10.1111/j.1600-](https://doi.org/10.1111/j.1600-0889.2011.00530.x)  
549 [0889.2011.00530.x](https://doi.org/10.1111/j.1600-0889.2011.00530.x), 2011.
- 550 Baker, D. F., Bösch, H., Doney, S. C., O’Brien, D., and Schimel, D. S.: Carbon source/sink information pro-  
551 vided by column CO<sub>2</sub> measurements from the Orbiting Carbon Observatory, *Atmos. Chem. Phys.*, 10,  
552 4145–4165, [https://doi.org/10.5194/acp-10-](https://doi.org/10.5194/acp-10-4145-2010) 4145-2010, 2010.
- 553 Basu, S., Guerlet, S., Butz, A., Houweling, S., Hasekamp, O., Aben, I., Krummel, P., Steele, P., Langenfelds,  
554 R., Torn, M., Biraud, S., Stephens, B., Andrews, A., and Worthy, D.: Global CO<sub>2</sub> fluxes estimated from  
555 GOSAT retrievals of total column CO<sub>2</sub>, *Atmos. Chem. Phys.*, 13, 8695–8717,  
556 [https://doi.org/10.5194/acp-](https://doi.org/10.5194/acp-13-8695-2013) 13-8695-2013, 2013.
- 557 Basu, S., Krol, M., Butz, A., Clerbaux, C., Sawa, Y., Machida, T., Matsueda, H., Frankenberg, C., Hasekamp,  
558 O. P., and Aben, I.: The seasonal variation of the CO<sub>2</sub> flux over Tropical Asia estimated from GOSAT,  
559 CONTRAIL, and IASI, *Geophys. Res. Lett.*, 41, 1809–1815, <https://doi.org/10.1002/2013GL059105>,  
560 2014.
- 561 Blumenstock, T., Hase, F., Schneider, M., García, O.E., and Sepúlveda, E.: TCCON data from Izana, Tene-  
562 rife, Spain, Release GGG2014R1. TCCON data archive, hosted by CaltechDATA, California Institute of  
563 Technology, Pasadena, CA, U.S.A. <https://doi.org/10.14291/tccon.ggg2014.izana01.R1>, 2017.
- 564 Byrd, R. H., Nocedal, J. and Schnabel, R. B.: Representations of Quasi-Newton Matrices and their use in  
565 Limited Memory Methods. *Math Program.* 63(4), 129–156. <https://doi.org/10.1007/BF01582063>, 1994.
- 566 CarbonTracker Team; (2017): Simulated observations of atmospheric carbon dioxide from CarbonTracker  
567 release CT2016 (obspack\_co2\_1\_CARBONTRACKER\_CT2016\_2017-02-06); NOAA Earth System Re-  
568 search Laboratory, Global Monitoring Division. <http://dx.doi.org/10.15138/G3G599>"

- 569 Chatterjee, A., Gierach, M. M., Sutton, A. J., Feely, R. A., Crisp, D., Eldering, A., Gunson, M. R., O'Dell, C.  
570 W., Stephens, B. B., and Schimel, D. S.: Influence of El Niño on atmospheric CO<sub>2</sub> over the tropical Pacific  
571 Ocean: Findings from NASA's OCO-2 mission, *Science*, 358, eaam5776,  
572 <https://doi.org/10.1126/science.aam5776>, 2017.
- 573 Chevallier, F., Breon, F.-M., and Rayner, P. J.: Contribution of the Orbiting Carbon Observatory to the esti-  
574 mation of CO<sub>2</sub> sources and sinks: Theoretical study in a variational data assimilation framework, *J. Geophys. Res.-Atmos.*, 112, d09307, <https://doi.org/10.1029/2006JD007375>, 2007.
- 576 Chevallier, F., R. J. Engelen, C. Carouge, T. J. Conway, P. Peylin, C. Pickett-Heaps, M. Ramonet, P. J.  
577 Rayner, and I. Xueref-Remy (2009), AIRS-based versus flask-based estimation of carbon surface fluxes,  
578 *J. Geophys. Res.*, 114, D20303, doi:10.1029/2009JD012311.
- 579 Chevallier, F., Ciais P., Conway T.J., Aalto T., Anderson B.E., Bousquet P., Brunke E.G., Ciattaglia L., Esaki  
580 Y., Fröhlich M., Gomez A., Gomez-Pelaez A.J., Haszpra L., Krummel P.B., Langenfelds R.L., Leuen-  
581 berger M., Machida T., Maignan F., Matsueda H., Morguá J.A., Mukai H., Nakazawa T., Peylin P., Ra-  
582 monet M., Rivier L., Sawa Y., Schmidt M., Steele L.P., Vay S.A., Vermeulen A.T., Wofsy S., and Worthy  
583 D.: CO<sub>2</sub> surface fluxes at grid point scale estimated from a global 21 year reanalysis of atmospheric  
584 measurements, *J. Geophys. Res.*, 115, D21307, 2010.
- 585 Chevallier, F., Palmer, P. I., Feng, L., Boesch, H., O'Dell, C. W., and Bousquet, P.: Toward robust and con-  
586 sistent regional CO<sub>2</sub> flux estimates from in situ and spaceborne measurements of atmospheric CO<sub>2</sub>, *Geophys. Res. Lett.*, 41, 1065–1070, <https://doi.org/10.1002/2013GL058772>, 2014.
- 588 Chevallier, F., Remaud, M., O'Dell, C. W., Baker, D., Peylin, P., and Cozic, A.: Objective evaluation of sur-  
589 face- and satellite-driven CO<sub>2</sub> atmospheric inversions, *Atmos. Chem. Phys. Discuss.*,  
590 <https://doi.org/10.5194/acp-2019-213>, in review, 2019.
- 591 Conway, T. J., Tans, P. P., Waterman, L. S., Thoning, K. W., Kitzis, D. R., Masarie, K. A., and Zhang, N.:  
592 Evidence for interannual variability of the carbon cycle from the National Oceanic and Atmospheric Ad-  
593 ministration/Climate Monitoring and Diagnostics Laboratory Global Air Sampling Network, *J. Geophys. Res.*, 99, 22831–22855, <https://doi.org/10.1029/94JD01951>, 1994.
- 595 Crisp, D., Pollock, H. R., Rosenberg, R., Chapsky, L., Lee, R. A. M., Oyafuso, F. A., Frankenberg, C.,  
596 O'Dell, C. W., Bruegge, C. J., Doran, G. B., Eldering, A., Fisher, B. M., Fu, D., Gunson, M. R., Man-  
597 drake, L., Osterman, G. B., Schwandner, F. M., Sun, K., Taylor, T. E., Wennberg, P. O., and Wunch, D.:  
598 The on-orbit performance of the Orbiting Carbon Observatory-2 (OCO-2) instrument and its radiometri-  
599 cally calibrated products, *Atmos. Meas. Tech.*, 10, 59–81, <https://doi.org/10.5194/amt-10-59-2017>, 2017.
- 600 Deng, F. and Chen, J. M.: Recent global CO<sub>2</sub> flux inferred from atmospheric CO<sub>2</sub> observations and its re-  
601 gional analyses, *Biogeo- sciences*, 8, 3263–3281, <https://doi.org/10.5194/bg-8-3263-2011>, 2011.
- 602 Deng, F., Jones, D. B. A., Henze, D. K., Bousserrez, N., Bowman, K. W., Fisher, J. B., Nassar, R., O'Dell, C.,  
603 Wunch, D., Wennberg, P. O., Kort, E. A., Wofsy, S. C., Blumenstock, T., Deutscher, N. M., Griffith, D. W.  
604 T., Hase, F., Heikkinen, P., Sherlock, V., Strong, K., Sussmann, R., and Warneke, T.: Inferring regional  
605 sources and sinks of atmospheric CO<sub>2</sub> from GOSAT XCO<sub>2</sub> data, *Atmos. Chem. Phys.*, 14, 3703-3727,  
606 <https://doi.org/10.5194/acp-14-3703-2014>, 2014.
- 607 Deng, F., Jones, D. B. A., O'Dell, C. W., Nassar, R., and Parazoo, N. C.: Combining GOSAT XCO<sub>2</sub> observa-  
608 tions over land and ocean to improve regional CO<sub>2</sub> flux estimates, *J. Geophys. Res. Atmos.*, 121, 1896–  
609 1913, <https://doi.org/10.1002/2015JD024157>, 2016.
- 610 Deutscher, N., Notholt, J., Messerschmidt, J., Weinzierl, C., Warneke, T., Petri, C., Grupe, P., and Katrynski,  
611 K.: TCCON data from Bialystok, Poland, Release GGG2014R1. TCCON data archive, hosted by Cal-  
612 techDATA, California Institute of Technology, Pasadena, CA, U.S.A.  
613 <http://doi.org/10.14291/tcon.ggg2014.bialystok01.R1/1183984>, 2017.
- 614 Eldering, A., Boland, S., Solish, B., Crisp, D., Kahn, P., and Gunson, M.: High precision atmospheric CO<sub>2</sub>  
615 measurements from space: The design and implementation of OCO-2, in: 2012 IEEE Aerospace Confer-  
616 ence, 1–10, <https://doi.org/10.1109/AERO.2012.6187176>, 2012.
- 617 Eldering, A., O'Dell, C. W., Wennberg, P. O., Crisp, D., Gunson, M. R., Viatte, C., Avis, C., Braverman, A.,

618 Castano, R., Chang, A., Chapsky, L., Cheng, C., Connor, B., Dang, L., Doran, G., Fisher, B., Franken-  
619 berg, C., Fu, D., Granat, R., Hobbs, J., Lee, R. A. M., Mandrake, L., McDuffie, J., Miller, C. E., Myers,  
620 V., Natraj, V., O'Brien, D., Osterman, G. B., Oyafuso, F., Payne, V. H., Pollock, H. R., Polonsky, I.,  
621 Roehl, C. M., Rosenberg, R., Schwandner, F., Smyth, M., Tang, V., Taylor, T. E., To, C., Wunch, D., and  
622 Yoshimizu, J.: The Orbiting Carbon Observatory-2: first 18 months of science data products, *Atmos.*  
623 *Meas. Tech.*, 10, 549–563, <https://doi.org/10.5194/amt-10-549-2017>, 2017a.

624 Eldering, A., Wennberg, P. O., Crisp, D., Schimel, D. S., Gunson, M. R., Chatterjee, A., Liu, J., Schwand-  
625 ner, F. M., Sun, Y., O'Dell, C. W., Frankenberg, C., Taylor, T., Fisher, B., Osterman, G. B., Wunch, D.,  
626 Hakkarainen, J., Tamminen, J., and Weir, B.: The Orbiting Carbon Observatory-2 early science investiga-  
627 tions of regional carbon dioxide fluxes, *Science*, 358, eaam5745,  
628 <https://doi.org/10.1126/science.aam5745>, 2017b.

629 Feng, L., Palmer, P. I., Parker, R. J., Deutscher, N. M., Feist, D. G., Kivi, R., Morino, I., and Sussmann, R.:  
630 Estimates of European uptake of CO<sub>2</sub> inferred from GOSAT XCO<sub>2</sub> retrievals: Sensitivity to measurement  
631 bias inside and outside Europe. *Atmos. Chem. Phys.*, 16, 1289–1302, [https://doi.org/10.5194/acp-16-](https://doi.org/10.5194/acp-16-1289-2016)  
632 1289-2016, 2016.

633 Giglio, L., Randerson, J. T., and van der Werf, G. R.: Analysis of daily, monthly, and annual burned area us-  
634 ing the fourth-generation global fire emissions database (GFED4) *J. Geophys. Res. Biogeosci.*, 118, 317–  
635 328, <https://doi.org/10.1002/jgrg.20042>, 2013.

636 Griffith, D. W. T., Deutscher, N., Velazco, V. A., Wennberg, P. O., Yavin, Y., Keppel Aleks, G.,  
637 Washenfelder, R., Toon, G. C., Blavier, J.-F., Murphy, C., Jones, N., Kettlewell, G., Connor,  
638 B., Macatangay, R., Roehl, C., Ryzcek, M., Glowacki, J., Culgan, T., and Bryant, G.: TCCON  
639 data from Darwin, Australia, Release GGG2014R0. TCCON data archive, hosted by Cal-  
640 techDATA, California Institute of Technology, Pasadena, CA, U.S.A.  
641 <http://doi.org/10.14291/tccon.ggg2014.darwin01.R0/1149290>, 2017a.

642 Griffith, D. W. T., Velazco, V. A., Deutscher, N., Murphy, C., Jones, N., Wilson, S., Macatangay,  
643 R., Kettlewell, G., Buchholz, R. R., and Riegenbach, M.: TCCON data from Wollongong,  
644 Australia, Release GGG2014R0. TCCON data archive, hosted by CaltechDATA, California  
645 Institute of Technology, Pasadena, CA, U.S.A.  
646 <https://doi.org/10.14291/tccon.ggg2014.wollongong01.R0/1149291>, 2017b.

647 Gurney, K. R., Law, R. M., Denning, A. S., Rayner, P. J., Baker, D., Bousquet, P., Bruhwiler, L.,  
648 Chen, Y.-H., Ciais, P., Fan, S., Fung, I. Y., Gloor, M., Heimann, M., Higuchi, K., John, J.,  
649 Maki, T., Maksyutov, S., Masarie, K., Peylin, P., Prather, M., Pak, B. C., Randerson, J., Sar-  
650 miento, J., Taguchi, S., Takahashi, T., and Yuen, C.-W.: Towards robust regional estimates of  
651 CO<sub>2</sub> sources and sinks using atmospheric transport models, *Nature*, 415, 626–630, 2002.

652 Henze, D. K., Hakami, A. and Seinfeld, J. H.: Development of the adjoint of GEOS-Chem, *Atmos. Chem.*  
653 *Phys.*, 7, 2413–2433, 2007.

654 Heymann, J., Reuter, M., Buchwitz, M., Schneising, O., Bovensmann, H., Burrows, J. P., Massart, S., Kai-  
655 ser, J. W., and Crisp, D.: CO<sub>2</sub> emission of Indonesian fires in 2015 estimated from satellite-derived at-  
656 mospheric CO<sub>2</sub> concentrations, *Geophys. Res. Lett.*, 44, 1537–1544,  
657 <https://doi.org/10.1002/2016GL072042>, 2017.

658 Houweling, S., Breon, F.-M., Aben, I., Rodenbeck, C., Gloor, M., Heimann, M., and Ciais, P.: Inverse mod-  
659 eling of CO<sub>2</sub> sources and sinks using satellite data: a synthetic inter-comparison of measurement tech-  
660 niques and their performance as a function of space and time, *Atmos. Chem. Phys.*, 4, 523–538,  
661 <https://doi.org/10.5194/acp-4-523-2004>, 2004.

662 Houweling, S., Aben, I., Breon, F.-M., Chevallier, F., Deutscher, N., Engelen, R., Gerbig, C., Griffith, D.,  
663 Hungershofer, K., Macatangay, R., Marshall, J., Notholt, J., Peters, W., and Serrar, S.: The importance of  
664 transport model uncertainties for the estimation of CO<sub>2</sub> sources and sinks using satellite measurements,  
665 *Atmos. Chem. Phys.*, 10, 9981–9992, <https://doi.org/10.5194/acp-10-9981-2010>, 2010.

666 Houweling, S., Baker, D., Basu, S., Boesch, H., Butz, A., Chevallier, F., Deng, F., Dlugokencky, E. J., Feng,  
667 L., Ganshin, A., Hasekamp, O., Jones, D., Maksyutov, S., Marshall, J., Oda, T., O'Dell, C. W.,

- 668 Oshchepkov, S., Palmer, P. I., Peylin, P., Poussi, Z., Reum, F., Takagi, H., Yoshida, Y., and Zhuravlev, R.:  
669 An intercomparison of inverse models for estimating sources and sinks of CO<sub>2</sub> using GOSAT measure-  
670 ments, *J. Geophys. Res.-Atmos.*, 120, 5253–5266, <https://doi.org/10.1002/2014JD022962>, 2015.
- 671 Hungerschofer, K., Breon, F.-M., Peylin, P., Chevallier, F., Rayner, P., Klonecki, A., Houweling, S., and Mar-  
672 shall, J.: Evaluation of various observing systems for the global monitoring of CO<sub>2</sub> surface fluxes, *Atmos.*  
673 *Chem. Phys.*, 10, 10503–10520, <https://doi.org/10.5194/acp-10-10503-2010>, 2010.
- 674 Jiang, Z., Jones, D. B. A., Kopacz, M., Liu, J., Henze, D. K., and Heald, C.: Quantifying the impact of model  
675 errors on top-down estimates of carbon monoxide emissions using satellite observations, *J. Geophys.*  
676 *Res.*, 116, D15306, <https://doi.org/10.1029/2010JD015282>, 2011.
- 677 Kivi, R., Heikkinen, P., and Kyro, E.: TCCON data from Sodankyla, Finland, Release  
678 GGG2014R0. TCCON data archive, hosted by CaltechDATA, California Institute of Tech-  
679 nology, Pasadena, CA, U.S.A.  
680 <https://doi.org/10.14291/tccon.ggg2014.sodankyla01.R0/1149280>, 2017.
- 681 Kopacz, M., Jacob, D. J., Henze, D. K., Heald, C. L., Streets, D. G., and Zhang, Q.: A comparison of analyti-  
682 cal and adjoint Bayesian inversion methods for constraining Asian sources of CO using satellite  
683 (MOPITT) measurements of CO columns, *J. Geophys. Res.*, 114, D04305,  
684 <https://doi.org/10.1029/2007JD009264>, 2009.
- 685 Kopacz, M., Jacob, D. J., Fisher, J. A., Logan, J. A., Zhang, L., Megretskaya, I. A., Yantosca, R. M., Singh, K.,  
686 Henze, D. K., Burrows, J. P., Buchwitz, M., Khlystova, I., McMillan, W. W., Gille, J. C., Edwards, D. P.,  
687 Eldering, A., Thouret, V., and Nedelec, P.: Global estimates of CO sources with high resolution by adjoint  
688 inversion of multiple satellite datasets (MOPITT, AIRS, SCIAMACHY, TES), *Atmos. Chem. Phys.*, 10,  
689 855-876, 2010.
- 690 Kuze, A., Suto, H., Nakajima, M., and Hamazaki, T.: Thermal and near infrared sensor for carbon observa-  
691 tion Fourier-transform spectrometer on the Greenhouse Gases Observing Satellite for greenhouse gases  
692 monitoring. *Appl. Opt.*, 48, 6716, <https://doi.org/10.1364/AO.48.006716>, 2009.
- 693 Liu, J., Bowman, K. W., Lee, M., Henze, D. K., Boussez, N., Brix, H., Collatz, G. J., Menemenlis, D., Ott,  
694 L., Pawson, S., Jones, D., and Nassar, R.: Carbon monitoring system flux estimation and attribution: im-  
695 pact of ACOS-GOSAT XCO<sub>2</sub> sampling on the inference of terrestrial biospheric sources and sinks, *Tellus*  
696 *B*, 66, 22486, <https://doi.org/10.3402/tellusb.v66.22486>, 2014.
- 697 Liu, J., Bowman, K. W., Schimel, D. S., Parazoo, N. C., Jiang, Z., Lee, M., Bloom, A. A., Wunch, D., Frank-  
698 enberg, C., Sun, Y., O'Dell, C. W., Gurney, K. R., Menemenlis, D., Gierach, M., Crisp, D., and Eldering,  
699 A.: Contrasting carbon cycle responses of the tropical continents to the 2015–2016 El Niño, *Science*, 358,  
700 eam5690, <https://doi.org/10.1126/science.aam5690>, 2017.
- 701 Maksyutov, S., Takagi, H., Valsala, V. K., Saito, M., Oda, T., Saeki, T., Belikov, D. A., Saito, R., Ito, A., Yo-  
702 shida, Y., Morino, I., Uchino, O., Andres, R. J., and Yokota, T.: Regional CO<sub>2</sub> flux estimates for 2009–  
703 2010 based on GOSAT and ground- based CO<sub>2</sub> observations, *Atmos. Chem. Phys.*, 13, 9351–9373,  
704 <https://doi.org/10.5194/acp-13-9351-2013>, 2013.
- 705 Messerschmidt, J., Geibel, M. C., Blumenstock, T., Chen, H., Deutscher, N. M., Engel, A., Feist, D. G.,  
706 Gerbig, C., Gisi, M., Hase, F., Katrynski, K., Kolle, O., Lavrič, J. V., Notholt, J., Palm, M., Ramonet, M.,  
707 Rettinger, M., Schmidt, M., Sussmann, R., Toon, G. C., Truong, F., Warneke, T., Wennberg, P. O., Wunch,  
708 D., and Xueref-Remy, I.: Calibration of TCCON column-averaged CO<sub>2</sub>: the first aircraft campaign over  
709 European TCCON sites, *Atmos. Chem. Phys.*, 11, 10765-10777, [https://doi.org/10.5194/acp-11-10765-](https://doi.org/10.5194/acp-11-10765-2011)  
710 [2011](https://doi.org/10.5194/acp-11-10765-2011), 2011.
- 711 Miller, C. E., Crisp, D., DeCola, P. L., Olsen, S. C., Randerson, J. T., Michalak, A. M., Alkhaled, A., Rayner,  
712 P., Jacob, D. J., Suntharalingam, P., Jones, D. B. A., Denning, A. S., Nicholls, M. E., Doney, S. C., Paw-  
713 son, S., Boesch, H., Connor, B. J., Fung, I. Y., O'Brien, D., Salawitch, R. J., Sander, S. P., Sen, B., Tans,  
714 P., Toon, G. C., Wennberg, P. O., Wofsy, S. C., Yung, Y. L., and Law, R. M.: Precision requirements for  
715 space-based XCO<sub>2</sub> data, *J. Geophys. Res.*, 112, D10314, <https://doi.org/10.1029/2006JD007659>, 2007.
- 716 Miller, S. M., Michalak, A. M., Yadav, V., and Tadić, J. M.: Characterizing biospheric carbon balance using

717 CO<sub>2</sub> observations from the OCO-2 satellite, *Atmos. Chem. Phys.*, 18, 6785-6799,  
718 <https://doi.org/10.5194/acp-18-6785-2018>, 2018.

719 Morino, I., Matsuzaki, T., and Shishime, A.: TCCON data from Tsukuba, Ibaraki, Japan, 125HR,  
720 Release GGG2014R2. TCCON data archive, hosted by CaltechDATA, California Institute of  
721 Technology, Pasadena, CA, U.S.A. <http://doi.org/10.14291/tcon.ggg2014.tsukuba02.R2>,  
722 2017.

723 Nassar, R., Jones, D. B. A., Suntharalingam, P., Chen, J. M., Andres, R. J., Wecht, K. J., Yantosca, R. M.,  
724 Kulawik, S. S., Bowman, K. W., Worden, J. R., Machida, T., and Matsueda, H.: Modeling global atmos-  
725 pheric CO<sub>2</sub> with improved emission inventories and CO<sub>2</sub> production from the oxidation of other carbon  
726 species, *Geosci. Model Dev.*, 3, 689–716, <https://doi.org/10.5194/gmd-3-689-2010>, 2010.

727 Nassar, R., Hill, T. G., McLinden, C. A., Wunch, D., Jones, D. B. A., and Crisp, D.: Quantifying CO<sub>2</sub> emis-  
728 sions From Individual Power Plants from Space, *Geophys. Res. Lett.*, 44, 10045– 10053,  
729 <https://doi.org/10.1002/2017GL074702>, 2017.

730 Notholt, J., Petri, C., Warneke, T., Deutscher, N., Buschmann, M., Weinzierl, C., Macatangay,  
731 R., and Grupe, P.: TCCON data from Bremen, Germany, Release GGG2014R0. TCCON data  
732 archive, hosted by CaltechDATA, California Institute of Technology, Pasadena, CA, U.S.A.  
733 <https://doi.org/10.14291/tcon.ggg2014.bremen01.R0/1149275>, 2017a.

734 Notholt, J., Schrems, O., Warneke, T., Deutscher, N., Weinzierl, C., Palm, M., Buschmann, M.,  
735 and AWI-PEV Station Engineers: TCCON data from Ny Alesund, Spitzbergen, Norway, Re-  
736 lease GGG2014R0. TCCON data archive, hosted by CaltechDATA, California Institute of  
737 Technology, Pasadena, CA, U.S.A.  
738 <https://doi.org/10.14291/tcon.ggg2014.nyalesund01.R0/1149278>, 2017b.

739 ObsPack: Cooperative Global Atmospheric Data Integration Project, Multi-laboratory compilation of atmospheric  
740 carbon dioxide data for the period 1957–2015, *obspace\_co2\_1\_GLOBALVIEWplus\_v2.1\_2016-09-02*, NO-  
741 AA Earth System Research Laboratory, Global Monitoring Division, <https://doi.org/10.15138/G3059Z>, 2016.

742 O'Dell, C., Connor, B., Bösch, H., O'Brien, D., Frankenberg, C., Castano, R., Christi, M., Eldering, D., Fish-  
743 er, B., Gunson, M., McDuffie, J., Miller, C. E., Natraj, V., Oyafuso, F., Polonsky, I., Smyth, M., Taylor, T.,  
744 Toon, G., Wennberg, P., and Wunch, D.: The ACOS CO<sub>2</sub> retrieval algorithm – Part 1: Description and val-  
745 idation against synthetic observations, *Atmos. Meas. Tech.*, 5, 99-121, [https://doi.org/10.5194/amt-5-99-](https://doi.org/10.5194/amt-5-99-2012)  
746 2012, 2012.

747 Oda, T. and Maksyutov, S. 2011. A very high-resolution (1 km x 1 km) global fossil fuel CO<sub>2</sub> emission in-  
748 ventory derived using a point source database and satellite observations of nighttime lights. *Atmos. Chem.*  
749 *Phys.* 11, 543 - 556.

750 Park, B. C. and Prather, M. J.: CO<sub>2</sub> source inversions using satellite observations of the upper troposphere,  
751 *Geophys. Res. Lett.*, 28, 4571–4574, <https://doi.org/10.1029/2001GL013604>, 2001.

752 Parrington, M., Palmer, P. I., Henze, D. K., Tarasick, D. W., Hyer, E. J., Owen, R. C., Clerbaux, C., Bowman,  
753 K. W., Deeter, M. N., Barratt, E. M., Coheur, P.-F., Hurtmans, D., George, M., and Worden, J. R.: The in-  
754 fluence of boreal biomass burning emissions on the distribution of tropospheric ozone over North Ameri-  
755 ca and the North Atlantic during 2010, *Atmos. Chem. Phys.*, 12, 2077-2098, 2012.

756 Patra, P. K., Crisp, D., Kaiser, J. W., Wunch, D., Saeki, T., Ichii, K., Sekiya, T., Wennberg, P. O., Feist, D. G.,  
757 Pollard, D. F., Griffith, D. W. T., Velazco, V. A., De Maziere, M., Sha, M. K., Roehl, C., Chatterjee, A.,  
758 and Ishijima, K.: The Orbiting Carbon Observa- tory (OCO-2) tracks 2–3 peta-gram increase in carbon  
759 release to the atmosphere during the 2014–2016 El Niño, *Sci. Rep.-UK*, 7, 13567,  
760 <https://doi.org/10.1038/s41598-017-13459-0>, 2017.

761 Peters, W., Jacobson, A. R., Sweeney, C., Andrews, A. E., Conway, T. J., Masarie, K., Miller, J. B., Bruh-  
762 wiler, L. M. P., P'etron, G., Hirsch, A. I., Worthy, D. E. J., Werf, G. R. V. D., Randerson, J. T., Wennberg,  
763 P. O., Krol, M. C., and Tans, P. P.: An atmospheric perspective on North American carbon dioxide ex-  
764 change: CarbonTracker, *P. Natl. Acad. Sci.*, 104, 18925–18930, 2007..

765 Peylin, P., Law, R. M., Gurney, K. R., Chevallier, F., Jacobson, A. R., Maki, T., Niwa, Y., Patra, P. K., Peters,



766 W., Rayner, P. J., Rödenbeck, C., van der Laan-Luijkx, I. T., and Zhang, X.: Global atmospheric carbon  
767 budget: results from an ensemble of atmospheric CO<sub>2</sub> inversions, *Biogeosciences*, 10, 6699–6720,  
768 <https://doi.org/10.5194/bg-10-6699-2013>, 2013.

769 Potter, C. S., Randerson, J. T., Field, C. B., Matson, P. A., Vitousek, P. M., Mooney, H. A., and Klooster, S.  
770 A.: Terrestrial ecosystem production: A process model based on global satellite and surface data, *Global*  
771 *Biogeochem. Cycles*, 7(4), 811–841, <https://doi.org/10.1029/93GB02725>, 1993.

772 Rayner, P. J. and O'Brien, D. M.: The utility of remotely sensed CO<sub>2</sub> concentration data in surface source  
773 inversions, *Geophys. Res. Lett.*, 28, 175–178, <https://doi.org/10.1029/2000GL011912>, 2001.

774 Reuter, M., Buchwitz, M., Hilker, M., Heymann, J., Schneising, O., Pillai, D., Bovensmann, H., Burrows, J.  
775 P., Bösch, H., Parker, R., Butz, A., Hasekamp, O., O'Dell, C. W., Yoshida, Y., Gerbig, C., Nehrkorn, T.,  
776 Deutscher, N. M., Warneke, T., Notholt, J., Hase, F., Kivi, R., Sussmann, R., Machida, T., Matsueda, H.,  
777 and Sawa, Y.: Satellite-inferred European carbon sink larger than expected, *Atmos. Chem. Phys.*, 14,  
778 13739-13753, <https://doi.org/10.5194/acp-14-13739-2014>, 2014.

779 Reuter, M., Buchwitz, M., Hilker, M., Heymann, J., Bovensmann, H., Burrows, J. P., Houweling, S., Liu, Y.  
780 Y., Nassar, R., Chevallier, F., Ciais, P., Marshall, J., and Reichstein, M.: How Much CO<sub>2</sub> Is Taken Up by  
781 the European Terrestrial Biosphere?. *Bull. Amer. Meteor. Soc.*, 98, 665–671,  
782 <https://doi.org/10.1175/BAMS-D-15-00310.1>, 2017.

783 Rienecker, M. M., Suarez, M. J., Todling, R., Bacmeister, J., Takacs, L. and co-authors: The GEOS-5 Data  
784 Assimilation System-Documentation of versions 5.0.1 and 5.1.0, and 5.2.0 NASA Tech. Rep. Series on  
785 Global Modeling and Data Assimilation, NASA/TM-2008-104606, Vol. 27, 92 pp, 2008.

786 Rodgers, C. D.: *Inverse Methods for Atmospheric Sounding: Theory and Practice*, World Scientific Publish-  
787 ing Co Inc, Singapore, chapter 2, 2000.

788 Saeki, T., Maksyutov, S., Saito, M., Valsala, V., Oda, T., Andres, R. J., Belikov, D., Tans, P., Dlugokencky,  
789 E., Yoshida, Y., Morino, I., Uchino, O., and Yokota, T.: Inverse modeling of CO<sub>2</sub> fluxes using GOSAT data  
790 and multi-year ground-based observations, *SOLA*, 9, 45–50, <https://doi.org/10.2151/sola.2013-011>,  
791 2013.

792 Sherlock, V., Connor, B., Robinson, J., Shiona, H., Smale, D., and Pollard, D.: TCCON data from  
793 Lauder, New Zealand, 125HR, Release GGG2014R0. TCCON data archive, hosted by Cal-  
794 techDATA, California Institute of Technology, Pasadena, CA, U.S.A.  
795 <https://doi.org/10.14291/tcon.ggg2014.lauder02.R0/1149298>, 2017.

796 Singh, K., Jardak, M., Sandu, A., Bowman, K., Lee, M., and Jones, D.: Construction of non-diagonal back-  
797 ground error covariance matrices for global chemical data assimilation, *Geosci. Model Dev.*, 4, 299-316,  
798 <https://doi.org/10.5194/gmd-4-299-2011>, 2011.

799 Suntharalingam, P., Jacob, D. J., Palmer, P. I., Logan, J. A., Yantosca, R. M. and co-authors: Improved quan-  
800 tification of Chinese carbon fluxes using CO<sub>2</sub>/CO correlations in Asian outflow. *J. Geophys. Res.* 109,  
801 D18S18, <https://doi.org/10.1029/2003JD004362>, 2004.

802 Sussmann, R., and Rettinger, M.: TCCON data from Garmisch, Germany, Release GGG2014R2.  
803 TCCON data archive, hosted by CaltechDATA, California Institute of Technology, Pasadena,  
804 CA, U.S.A. <https://doi.org/10.14291/tcon.ggg2014.garmisch01.R2>, 2017.

805 Tarantola, A.: *Inverse Problem Theory and Methods for Model Parameter Estimation*, Soc. Industr. App.l  
806 Math., Philadelphia, PA, USA, 2004.

807 van der Werf, G. R., Randerson, J. T., Giglio, L., Collatz, G. J., Mu, M., Kasibhatla, P. S., Morton, D. C.,  
808 DeFries, R. S., Jin, Y., and van Leeuwen, T. T.: Global fire emissions and the contribution of deforesta-  
809 tion, savanna, forest, agricultural, and peat fires (1997–2009), *Atmos. Chem. Phys.*, 10, 11707–11735,  
810 <https://doi.org/10.5194/acp-10-11707-2010>, 2010.

811 Wang, X., Guo, Z., Huang, Y. P., Fan, H. J., and Li, W. B.: A cloud detection scheme for the Chinese carbon  
812 dioxide observation satellite (TANSAT). *Adv. Atmos. Sci.*, 34(1), 16–25, [https://doi.org/10.1007/s00376-](https://doi.org/10.1007/s00376-016-6033-y)  
813 016-6033-y, 2017.



814 Warneke, T., Messerschmidt, J., Notholt, J., Weinzierl, C., Deutscher, N., Petri, C., Grupe, P.,  
815 Vuillemin, C., Truong, F., Schmidt, M., Ramonet, M., and Parmentier, E.: TCCON data from  
816 Orleans, France, Release GGG2014R0. TCCON data archive, hosted by CaltechDATA, Cali-  
817 fornia Institute of Technology, Pasadena, CA, U.S.A.  
818 <https://doi.org/10.14291/tcon.ggg2014.orleans01.R0/1149276>, 2017.

819 Wennberg, P. O., Roehl, C., Wunch, D., Toon, G. C., Blavier, J.-F., Washenfelder, R., Keppel-Aleks, G., Al-  
820 len, N., and Ayers, J.: TCCON data from Park Falls, Wisconsin, USA, Release GGG2014R1. TCCON da-  
821 ta archive, hosted by CaltechDATA, California Institute of Technology, Pasadena, CA, U.S.A.  
822 <http://doi.org/10.14291/tcon.ggg2014.parkfalls01.R1>, 2017.

823 Wennberg, P. O., Wunch, D., Roehl, C., Blavier, J.-F., Toon, G. C., Allen, N., Dowell, P., Teske, K., Martin,  
824 C., and Martin, J.: TCCON data from Lamont, Oklahoma, USA, Release GGG2014R1. TCCON data ar-  
825 chive, hosted by CaltechDATA, California Institute of Technology, Pasadena, CA, U.S.A.  
826 <https://doi.org/10.14291/tcon.ggg2014.lamont01.R1/1255070>, 2017. Wilkerson, J. T., Jacobson, M. Z.,  
827 Malwitz, A., Balasubramanian, S., Wayson, R., Fleming, G., Naiman, A. D., and Lele, S. K.: Analysis of  
828 emission data from global commercial aviation: 2004 and 2006, *Atmos. Chem. Phys.*, 10, 6391-6408,  
829 <https://doi.org/10.5194/acp-10-6391-2010>, 2010.

830 Wunch, D., Toon, G. C., Wennberg, P. O., Wofsy, S. C., Stephens, B. B., Fischer, M. L., Uchino, O., Abshire,  
831 J. B., Bernath, P., Biraud, S. C., Blavier, J.-F. L., Boone, C., Bowman, K. P., Browell, E. V., Campos, T.,  
832 Connor, B. J., Daube, B. C., Deutscher, N. M., Diao, M., Elkins, J. W., Gerbig, C., Gottlieb, E., Griffith,  
833 D. W. T., Hurst, D. F., Jimenez, R., Keppel-Aleks, G., Kort, E. A., Macatangay, R., Machida, T.,  
834 Matsueda, H., Moore, F., Morino, I., Park, S., Robinson, J., Roehl, C. M., Sawa, Y., Sherlock, V.,  
835 Sweeney, C., Tanaka, T., and Zondlo, M. A.: Calibration of the Total Carbon Column Observing Network  
836 using aircraft profile data, *Atmos. Meas. Tech.*, 3, 1351-1362, doi:10.5194/amt-3-1351-2010, 2010.

837 Wunch, D., Wennberg, P. O., Toon, G. C., Connor, B. J., Fisher, B., Osterman, G. B., Frankenberg, C., Man-  
838 drake, L., O'Dell, C., Ahonen, P., Biraud, S. C., Castano, R., Cressie, N., Crisp, D., Deutscher, N. M.,  
839 Eldering, A., Fisher, M. L., Griffith, D. W. T., Gunson, M., Heikkinen, P., Keppel-Aleks, G., Kyrö, E.,  
840 Lindenmaier, R., Macatangay, R., Mendonca, J., Messerschmidt, J., Miller, C. E., Morino, I., Notholt, J.,  
841 Oyafuso, F. A., Rettinger, M., Robinson, J., Roehl, C. M., Salawitch, R. J., Sherlock, V., Strong, K.,  
842 Sussmann, R., Tanaka, T., Thompson, D. R., Uchino, O., Warneke, T., and Wofsy, S. C.: A method for  
843 evaluating bias in global measurements of CO<sub>2</sub> total columns from space, *Atmos. Chem. Phys.*, 11,  
844 12317–12337, <https://doi.org/10.5194/acp-11-12317-2011>, 2011.

845 Wunch, D., Wennberg, P. O., Osterman, G., Fisher, B., Naylor, B., Roehl, C. M., O'Dell, C., Mandrake, L.,  
846 Viatte, C., Kiel, M., Griffith, D. W. T., Deutscher, N. M., Velazco, V. A., Notholt, J., Warneke, T., Petri,  
847 C., De Maziere, M., Sha, M. K., Sussmann, R., Rettinger, M., Pollard, D., Robinson, J., Morino, I., Uchi-  
848 no, O., Hase, F., Blumenstock, T., Feist, D. G., Arnold, S. G., Strong, K., Mendonca, J., Kivi, R., Heik-  
849 kinen, P., Iraci, L., Podolske, J., Hillyard, P. W., Kawakami, S., Dubey, M. K., Parker, H. A., Sepulveda,  
850 E., García, O. E., Te, Y., Jeseck, P., Gunson, M. R., Crisp, D., and Eldering, A.: Comparisons of the Orbit-  
851 ing Carbon Observatory-2 (OCO-2) XCO<sub>2</sub> measurements with TCCON, *Atmos. Meas. Tech.*, 10, 2209–  
852 2238, <https://doi.org/10.5194/amt-10-2209-2017>, 2017.

853 Yang, D. X., Liu, Y., Cai, Z. N., Chen, X., Yao, L., and Lu, D. R.: First global carbon dioxide maps produced  
854 from TanSat measurements. *Adv. Atmos. Sci.*, 35(6), 621–623, [https://doi.org/10.1007/s00376-018-7312-](https://doi.org/10.1007/s00376-018-7312-6)  
855 6, 2018.

856 Zhu, C., Byrd, R. H., Lu, P. and Nocedal, J.: L-BFGS-B: algorithm 778: L-BFGS-B, FORTRAN routines for  
857 large scale bound constrained optimization. *ACM Trans. Math. Softw.* 23(4), 550\_560.  
858 <https://doi.org/10.1145/279232.279236>, 1997.

859  
860