

Dear Editor,

According to the reviewers' comments and suggestions, we have made major revision to our manuscript. The main changes in the manuscript are as follows:

- 1) As suggested by one reviewer, we add two new inversion experiments, one using surface observations and another using global CO₂ trend.
- 2) To further investigate the difference of posterior flux from GOSAT and OCO-2 inversions, we add evaluations of two satellite XCO₂ retrievals against TCCON XCO₂ retrievals and the analysis of mismatches from two inversions.
- 3) As suggested by two reviewers, we have changed the title to "Terrestrial ecosystem carbon fluxes estimated using GOSAT and OCO-2 XCO₂ retrievals."
- 4) In Section 3, we add three sub-sections to describe inversion setting of satellite data based, in-situ measurements and benchmark inversions, respectively.
- 5) We rewrite the most part of the Section 4. We update all the subsections with results from two new inversions. We add more analysis and discussions on probable reasons for the differences of inverted carbon fluxes between the two satellite-based inversions. We update Table 1 and 2 with results from two added inversions; we redraw the Figure 4 to add results from in situ and benchmark inversions; we update Table 2 with results from two new inversions; we add statistics of model-data mismatches into Table 3; we add Table 4 to present the comparison results of satellite retrievals against TCCON retrievals; we replace Figure 6 and 8 in the old manuscript with Table 6 to present the updated evaluation results using both flask observations and TCCON retrievals; we redraw the Figure 9 in the old version of manuscript and rename it as Figure 7 to present the biases of posterior XCO₂ from four inversions at 13 TCCON sites.
- 6) In Section 5, based on the new results and analysis, we rewrite this whole section to summarize our findings and give our revised conclusions.

The point-by-point response to the reviews and the detailed changes are listed in the attachments. Many thanks to you and the referees for the time and effort you expend on this paper.

Best Regards,

Sincerely yours,

Fei Jiang

Referee #1:

We would like to thank the anonymous referee for his/her comprehensive review and valuable suggestions. These suggestions help us to present our results more clearly. In response, we have made changes according to the referee's suggestions and replied to all comments point by point. All the page and line number for corrections are referred to the revised manuscript, while the page and line number from original reviews are kept intact.

Referee: General comments. The authors make contribution to an under-explored topic of understanding differences between the global fluxes estimates based on GOSAT and OCO-2 satellite observations of atmospheric carbon dioxide. By applying same inverse modeling system, same prior fluxes and inverse modeling setup, and using retrievals made with a very similar algorithm, they constructed a good base for comparing performance of the GOSAT and OCO-2 data in application to a problem of quantifying regional carbon fluxes. The study has a potential to contribute to an important problem of understanding the global carbon cycle response to 2015 El-Nino climate anomaly, by providing the alternative views to the phenomenon from the 3 independent observing systems. Although most of important work is already done in this study, more analysis and possibly more extra model runs are required to arrive at robust conclusions, as there is still inconsistency between global annual terrestrial flux estimates made with different observing systems, that should be addressed and elaborated. The study should benefit from giving authors extra time for making necessary revisions.

Response: We appreciate the referee's suggestion on conducting more model runs and addressing the inconsistency between global annual terrestrial flux estimates from different observing systems. During this revision, we have run two more inversions based on the comments from another referee. In the revised manuscript, in order to make the comparison between satellites based inversions and in situ observations based inversion more consistent, we remove the comparison with CT2016 results, and conduct an inversion using in situ measurements so that we don't have to deal with the differences in transport models and inversion settings between this study and CT2016,

which might compound the comparisons. We also conduct a poor man's inversion to a benchmark (Chevalier et al., 2009), against which more robust evaluation of posterior flux from other three inversions can be made.

To investigate the inconsistency between global annual terrestrial flux estimates from different observing systems, we add evaluations for the satellite XCO₂ retrievals using TCCON retrievals and simulated CO₂ field as a reference. We found that even with bias-correction applied, both GOSAT and OCO-2 XCO₂ retrievals still have relatively large biases. The distinctive differences between GOSAT and OCO-2 data could result in quite different annual global terrestrial land flux estimates.

We have updated the manuscript with new experiments results and more detailed analysis in the revised paper.

Specific comments.

Line 1: Title can be simplified to “Terrestrial ecosystem carbon fluxes estimated using GOSAT and OCO-2 retrievals.”

Response: Thanks for this suggestion. The title has been simplified to the suggested one. See lines 1-2 in the revised manuscript.

Line 66: OCO-2 observations have lower random noise compared to GOSAT, but it is not related to vertical difference in sensitivity. Generally, SWIR observations by both sensors have flat sensitivity to CO₂ from the surface to the upper troposphere, thus citing sizable difference between GOSAT and OCO-2 in sensitivity to lower troposphere concentrations requires elaboration, giving more details. Suggest to replace “higher sensitivity near the surface” by “higher sensitivity to column CO₂”.

Response: Many thanks for this suggestion. “higher sensitivity near the surface” has been replaced by “higher sensitivity to column CO₂” as suggested. See line 66 of the revised manuscript.

Line 171: It is useful to elaborate on application of scaling factors to carbon flux – are those factors applied to total carbon flux in each grid or separately by each optimized

component.

Response: We have added “The scaling factors are applied to each carbon flux components to be optimized monthly in each model grid point.” in the first paragraph of Section 2.3.3. See lines 175-176 of the revised manuscript.

Line 237-240: The differences between net flux for 2015 should be related to different atmospheric CO₂ growth rate between ground based (also used used in CT2016), GOSAT and OCO-2 observations. Suggest to add those atmospheric CO₂ growth rate estimates to comparison, along with inversion-optimized posterior growth rate for all experiments. If the difference between observed ground-based, GOSAT and OCO-2 growth rates is not as much as appears in the inversion results, the inversion setup should be adjusted to provide sufficient constraint on fluxes, so that the growth rate in the inversion optimized simulation matches the observed growth rate.

Response: The differences of net flux are indeed related to the different atmospheric CO₂ growth rate between different observing system. However, as shown by Figure 1 in the manuscript, satellite retrievals are unevenly distributed spatially and temporally, which makes it difficult to estimate global atmospheric CO₂ growth rate accurately. We have evaluated the uncertainties of the two satellite retrievals using TCCON retrievals, which might better explain the differences of inverted net flux.

For details, please refer to Lines 379 to 392, pages 20-21 in the revised manuscript.

Technical corrections

Line 26: Suggest to spell out CT2016 as Carbontracker 2016.

Response: As suggested by another referee, to make the comparisons between different observing systems more consistent, we have replaced CT2016 results with estimates from in-situ observations using our inversion method.

Line 43: Need to add “et al.” to Chevallier 2007

Response: We have added “et al.” to that reference. See line 44 in the revised manuscript.

Line 54: Note that in Takagi et al 2011 only flux uncertainty and uncertainty reduction are estimated, not the fluxes themselves.

Response: Thanks. We have removed “Takagi et al., 2011” from the reference. See line 55 in the revised manuscript.

Line 82: Reference to GEOS-Chem adjoint is needed here.

Response: The reference “Henze et al., 2007” has been added. See line 82 in the revised manuscript.

Line 105: Replace “Before used” to “Before being used” or “Before using”

Response: We have changed “Before used” to “Before being used”. See lines 106-107, page 5 in the revised manuscript.

Line 212: Add period in Single et al, 2011

Response: We have done the revision. See line 214 of the revised manuscript

Line 219: Uncertainty is assigned to ocean flux, while it was stated that only terrestrial fluxes are not optimized on

Response: Actually, ocean flux has also been optimized in our inversions. We have added “Both terrestrial ecosystem CO₂ exchanges and ocean flux are optimized in our inversions” in Lines 211-212 of the revised manuscript.

Line 209-210: Need to make the text consistent.

Response: Yes, we have made it consistent. we have added “Both terrestrial ecosystem CO₂ exchanges and ocean flux are optimized in our inversions” in Lines 211-212 of the revised manuscript.

Line 256: Change ‘piori’ to ‘prior’ here and further in the text.

Response: Thanks for pointing out this error. We have checked the manuscript and changed all inappropriate “piori” to “prior”.

Line 275: Reference is missing.

Response: The missing reference “Gurney et al., 2002” has been added. See line 293, page 14 in the revised manuscript.

Line 523: Suggest correcting “Bron” to “Breon”

Response: We have corrected “Bron” to “Breon”. See line 538, page 27 in the revised manuscript.

Reference:

Chevallier, F., et al. (2010), CO₂ surface fluxes at grid point scale estimated from a global 21 year reanalysis of atmospheric measurements, *J. Geophys. Res.*, 115, D21307, doi:10.1029/2010JD013887.

Referee #2:

We would like to thank the anonymous referee #2 for his/her comprehensive review and valuable suggestions. These suggestions help us to present our results more clearly. In response, we have made changes according to the referee's suggestions and replied to all comments point by point. All the page and line number for corrections are referred to the revised manuscript, while the page and line number from original reviews are kept intact.

Referee: This manuscript presents the results from a numerical experiment in which two inverse estimates of land carbon uptake were made, driven by two different satellite retrievals. One of them results from OCO-2 spectra, while the other one comes from GOSAT. The inverse system is based on GEOS-CHEM and a 4D-VAR method, and spans the year 2015 completely. Posterior fluxes are evaluated by comparing against CT2016 fluxes, and against a set of flask observations, as well as TCCON XCO₂ retrievals. The authors conclude that the inversion brings fluxes in closer agreement with all three of these, and differences between the two flux estimates are discussed in the text. Overall, the manuscript is easy to read and organized logically, and sufficient information is presented to allow the reader to appreciate the results.

What is missing from the current manuscript mostly is scientific depth. The experiment conducted is relatively straightforward, and the text at many points falls into long repetitions of numbers presented already in figures and tables. The differences are highlighted, but what drives these differences, what they imply for the use of these satellite data, and what to learn from the comparisons remains unclear. This does not invalidate the substantial effort, but it brings into question whether a publication like this should be considered scientific literature, or a technical report. I will leave this for the editor to judge.

But even for a technical report, I find the manuscript as presented currently incomplete. The demonstration of smaller biases relative to TCCON and flask observations, and the incidental agreement with CT2016, or GCP, or a set of Asian

inversions, brings me to hypothesize that the improvements are not due to the use of the spatially explicit satellite data, but simply a manifestation of a better global total land sink compared to the prior. This can be tested using the poor-man's-inversion first described by Chevallier et al., (2010), in which a global residual land sink (for example that from GCP) is projected onto the land biosphere following the pattern of Net Primary Production. This benchmark is more difficult to beat than a prior from CT2016, as it inherently is globally unbiased and follows patterns of vegetation activity. Improvements beyond those in a poor-man's-inversion due to the use of satellite data would imply that spatial patterns can indeed be estimated from such satellite data, and thus make this manuscript worth reading. Finally, the use of CT2016 as benchmark for a non-satellite inversion seems illogical to me, and should be replaced by a flask-only inversion using the same system as used for the other inversions.

Without these two additions, I feel that this manuscript is not ready for publication in ACP, either as a technical report or as a scientific paper. A long list of further remarks, and points that require further explanation and discussion comes inside the annotated PDF that accompanies this review.

Response: We accept the referee's comments on the lack of in depth analysis and discussions of the two satellite based inversions in the older manuscript. We are grateful to the referee for suggesting the addition of one inversion using surface observations and another benchmark inversion using global CO₂ trend as baseline, which are instrumental in improving our manuscript to its current level. During the revision, we add two inversions as suggested. An evaluation for the two satellite XCO₂ retrievals against TCCON XCO₂ retrievals and an examination of mismatches from both GOSAT and OCO-2 inversions are performed to better understand the uncertainties of the two satellite retrievals. We add more discussions on probable reasons for the differences of inverted carbon fluxes between the two satellite-based inversions. We have updated the manuscript with new inversion results, rewritten the conclusions and made the necessary modifications accordingly.

Main changes in the revised manuscripts: In Section 3, we add subsection 3.1

Inversions using satellite XCO₂ retrievals (see Lines 225-231) to describe two satellite-based inversions; subsection 3.2 Inversion using in situ measurements (see Lines 232-241) to describe in situ inversion setting; subsection 3.3 Benchmark inversion (see Lines 242-258) to describe poor man's inversion setting.

In section 4, we rewrite the whole subsection 4.1 (see Lines 260-272) to discuss the global carbon flux updated with results from two added inversions. We also update Table 1 (see Lines 273-275) with results from in situ and benchmark inversions.

In section 4.2, we rephrase the comparison between inverted regional carbon flux from OCO-2 and GOSAT inversions (see Lines 291-312); we added the comparisons of inverted regional flux between all four inversions (see Lines 319-326); we reorganize the discussion on the relationship between the changes of fluxes and satellite data amount for GOSAT and OCO-2 inversions (see Lines 327-352); we redraw the Figure 4 (see Lines 313-315) to add results from in situ and benchmark inversions; we update Table 2 (see Lines 316-317) with results from two new inversions; we add analysis of model-data mismatches from two satellite data based inversions and the discussion on the difference of mismatches being the possible reason for the differences in the inverted flux (see Lines 363-377); we add an evaluation of satellite retrievals against TCCON retrievals and discussions for the impact of uncertainties of satellite retrievals on the inversions (see Lines 379-392); we add statistics of model-data mismatches from GOSAT and OCO-2 inversions into Table 3 (see Lines 354-357); and we add Table 4 (see Lines 392-393), which presents the comparison results of satellite retrievals against TCCON retrievals.

In section 4.3.1 Flask observations (see Lines 394-430), with the addition of the two new inversions and different flask observation dataset adopted, to present new evaluation results, we rewrite this whole subsection to show the uncertainties of posterior mixing ratios from 4 inversions and to discuss the improvement of posterior flux by different inversions; we replace Figure 6 and 8 in the old manuscript with Table 6 (see Lines 464-466) to present the evaluation results using both flask observations and TCCON retrievals; we rewrite the most part of subsection 4.3.2 TCCON

observations (see Lines 435-463) to update the evaluation results and give a more detailed discussion on the improvement of posterior XCO₂ by four inversions than the old version of manuscript; we redraw the Figure 9 in the old version of manuscript and rename it as Figure 7 (see Lines 466-468) to present the biases of posterior XCO₂ from four inversions at 13 TCCON sites.

In Section 5 Summary and Conclusions (see Lines 471-498): based on the results and analysis, we rewrite this whole section to summarize our findings and give our revised conclusions.

Line 1: This title is not very accessible to a wider public, who likely will not know what an "inverted terrestrial ecosystem carbon flux" is.

Response: Thank you for this comment. As suggested by referee #1, we have changed the title to "Terrestrial ecosystem carbon fluxes estimated using GOSAT and OCO-2 XCO₂ retrievals."

Line 11: Please mention right away the retrieval versions used, as this has a huge impact on inversion results.

Response: We have added the retrieval versions of "Version b7.3" (see Line 13 and 105)

Line 20-21: Given that the total is larger, this would be quite obvious

Response: A stronger total global land sink from GOSAT inversion doesn't necessarily mean that carbon sink of most regions are stronger than carbon sink of corresponding regions estimated from OCO-2 inversion. For instance, several regions with very large carbon sink might dominate the global total, even with weak carbon sink or weak source in several regions, we may still have a very large global total sink. So this sentence "Regionally, in most regions, the land sinks inferred from GOSAT data are also stronger than those from OCO-2 data." is meaning and we keep it in the manuscript (see Lines 22-23).

Line 24: increased and decreased relative to the prior fluxes, which makes it important to know what these are to appreciate the sentence

Response: This sentence is not well organized and causes ambiguity. We have revised this sentence to “In temperate regions, the prior land sinks are significantly increased, while in tropical regions the prior land sinks are decreased.” (see Lines 25-26)

Line 24: it is hard to see how a conclusion on carbon fluxes can be drawn based on atmospheric CO₂ columns and flasks. To believe the qualification "improved" I would need to see an independent comparison to fluxes. Also here, the term "improved" asks for a statement on what the baseline was that you compared to

Response: We agree with the referee that it is hard to draw conclusion on flux based CO₂ observations. It is an indirect way to evaluate the quality of inverted flux. However, with the lack of direct measurements of flux, there are not much options. Therefore, we follow referee’s suggestions to run in situ and benchmark inversions and the comparison with these two inversions can help us to evaluate the quality of inverted flux in satellite-based inversions. We have revised the last sentence of the abstract to “Evaluations using flask and TCCON observations and the comparisons with in situ and benchmark inversions suggest that GOSAT data, can effectively improve the carbon flux estimates in the northern hemisphere.” (see Lines 29-31)

Line 41: It is important to stress that these studies were all theoretical, and made many assumptions on the errors and their structure to show the potential of satellite observations. Studies done since then using actual retrieved XCO₂ have not confirmed the idea that fluxes can be improved (yet)

Response: Thanks for referee for pointing out this. We have revised the sentence to “Studies have shown that, theoretically, satellite observations, though with lower precision than in-situ measurements, can improve the carbon flux estimates”. (see Lines 42-43)

Line 45: This description is incorrect: these sensors can sense light at wavelengths affected by atmospheric mixing ratios of CO₂, but they never measure concentrations.

Response: Yes, you are right. We have replaced “measure” with “retrieve” (see Line 46).

Line 64: I would not say that the community is looking for consensus. Efforts are underway to determine the true fluxes through more direct measurements at the surface.

Response: We agree with the referee’s comment. We have revised that sentence to “efforts to improve the accuracy of Europe carbon sink estimate are still ongoing”. (see Lines 64-65)

Line 75: I am not sure that this is a question of interest to the community. The qualification of which one is better will not drive ongoing work on satellite-based inversions, it is the availability of different datasets over different time periods. In the end, we will use anything that helps us understand the carbon cycle better, and we will discard everything that does not.

Response: We agree with the referee that the availability of different datasets over different time periods is important. Nonetheless, before we put all those datasets into one inversion system, we need to know the merits and demerits of every dataset relative to each other. For instance, as added in the revised manuscript, compared with the GOSAT retrievals, OCO-2 retrievals exhibit a relative large positive bias and consequently, give rise to weak posterior land sink. Thus, when we perform the synergy use of two satellite retrievals to constraint carbon flux, the large bias of one satellite retrievals will degrade the improvement by another satellite retrievals with small biases.

Line 81: Abstract said July 2014, please check

Response: We have made the correction in the abstract. It is “Oct, 2014” (see Line 81).

Line 81: to = through

Response: We have changed “to” to “through” (see Line 81).

Line 83-84: Delete “We analyze the differences of inverted terrestrial ecosystem carbon flux between using two XCO₂ data.”

Response: We have deleted that sentence. (see Line 83)

Line 119: Please note here the near lack of any data >60N, which would cover the Boreal regions that are later discussed

Response: We have added sentence “Due to the cloud contamination, there are few retrievals in a large portion of tropical land. In northern high latitude area, especially in boreal regions, due to the low solar zenith angle, available satellite retrievals are very sparse.” into Section 2.1 to describe the lack of data in northern high latitude.” (see Lines 122-124)

Line 131: This suggests many more were available but you decided to limit it to 47? Why??

Response: Thank you for this question. Using “chosen” might cause confusion here. Actually, at the time of doing experiment, we downloaded all available dataset from World Data Center for Greenhouse Gases (WDCGG) website. We picked out all flask sites. There were only 47 flask sites with available observations over the year of 2015. Now that we added inversion using in-situ data from Obspack, we replaced flask observations from WDCGG with those from Obspack. We got 56 flask sites with valid observations for 2015. However, there are 4 sites, namely, HUN, HPB, SGP, and TAP, where the standard deviations of first guess mismatch are greater than 5 ppm. So we wouldn't use those 4 sites for evaluation and end up with 52 flask sites. We have updated the relevant text on using flask observations in the manuscript. (see Lines 129-137, 395-405)

Line 143: Is this part of the products you downloaded? If so, then there is no need to mention it here. If not, how was the bias correction done by your team?

Response: Yes, it is part of the products we downloaded. In addition, this sentence doesn't provide much information and is repetition of statement made elsewhere. We have deleted that sentence. (see Lines 151-152)

Line 173: Is this term part of the summation?? Please add extra brackets if not

Response: It is not part of the summation. We have added extra brackets in the

equation.
$$J(c) = \frac{1}{2} \sum_{i=1}^N (XCO_{2,i}^m - XCO_{2,i}^{obs}) S_{obs,i}^{-1} (XCO_{2,i}^m - XCO_{2,i}^{obs}) + \left(\frac{1}{2} (c - c_a) S_c^{-1} (c - c_a) \right)$$
 (see Line 178)

Line 186-187: These come from the ACOS data product I presume?

Response: Yes. We have added the sentence “These last four quantities are provided from ACOS Version 7.3 Level 2 Lite products.” (see Lines 192-193) to give the origin of products.

Line 193, 200,202,203,205,206: I am confused about the fossil fuel fluxes you used. There is a reference to CDIAC here, but it also says that you used the CT2016 fluxes, which according to their website are not the same as CDIAC. Finally, you mention a number of other sources of FF-CO₂ from shipping, airplanes, and oxidation. Your tables however simply quote the CT2016 totals it seems. So what was done??

Response: Yes, the fossil fuel fluxes from CT2016 is not the same as CDIAC, but the average of CDIAC and ODIAC products. We have made the correction in the revised manuscript (Lines 202-205). GEOS-Chem CO₂ emission module added shipping emission since that part is not included in the CIDAC product. Aviation and oxidation are still part of total fossil fuel emission from CIDAC. GEOS-Chem just deducts from the CIDAC the part of aviation and oxidation and spread them into the level they should be released. Thus the total fossil fuel emission is still kept the same as prescribed.

Line 209: A citation or other proof is needed. I actually have not so much faith in

these fluxes compared to more pCO₂ driven estimates such as from Rodenbeck.

Response: Yes, it is inappropriate to state that optimized ocean flux from CT2016 represents a more realistic state of ocean flux. However, in this study, first, we focus on the inversion of terrestrial carbon flux. Second, for all four inversion experiments, we use the same ocean flux. Therefore, the choice of ocean flux should not have much impact on the issues we are investigating in this study. We have rewritten the description of the prior flux in the manuscript. (see Lines 209-210)

Line 214: What are the number of degrees of freedom in the matrices? Likely to be less than a few hundred

Response: For one month, the number of degrees of freedom is around 470.

Line 217: Please justify this choice, and the difference between lat and lon scales. To me these scales seem very long given the large data density inherent to a satellite inversion. Why can this not be smaller? What would be the effect on the inversion?

Response: The difference between latitude and longitude are just for convenience of applying off-diagonal covariance matrix, which is difficult to provide in the GEOS-Chem adjoint model efficiently (Single et al., 2011). The scale length of 500 km is similar to what Chevallier et al. (2010) has used in their study. Though satellite retrievals have better spatial coverage than in-situ measurements, they are not dense enough and not evenly distributed. For instance, there are a few thousand retrievals per month in temperate regions but very sparse retrievals in northern high latitude and tropical regions for assimilation. The longer scale length should extend constraints over regions which are not well sampled by satellites.

Line 220-222: I do not understand this sentence. What are the five and three numbers quoted?

Response: The three and five are errors of scaling factors for land and ocean flux respectively. We have corrected that sentence as “the uncertainty of scaling factor for the prior land and ocean fluxes in each month at the grid cell level are assigned to 3

and 5,” (see Lines 223-224).

Line 225: I do not see how this procedure accounts for correlated errors in the grid-based retrievals?

Response: Satellite XCO₂ retrievals are pixel values at instrument viewing resolution and are not grid-based retrievals. Within the model cell box with the size of 2.5x2 degree, there are many pixels which are correlated to some extent. The averaging of those pixels should account for correlated errors.

Line 231: Why did you not also perform an inversion against the surface network data only, to complement the satellite based effort? Now you need to compare your fluxes against CT2016 all the time, when you could have simply created your own. Then you also would not have had to deal with the difference in transport models that now confounds the comparison.

Response: We have performed an inversion using surface network data and need not to compare against CT2016. A subsection is added to describe in situ inversion setting in the revised manuscript. (see Lines 233-241)

Line 254: The CT2016 website suggests that this number should be -2.62 PgC/yr. What creates the difference?

Response: We have noticed the difference between what we got and the number from CT2016 website. We checked our calculation very carefully. Since we run GEOS-Chem model at a resolution of 2.5x2 degree, we regridded the CT2016 carbon flux at 1x1 degree resolution into our model resolution. The global totals are computed from carbon fluxes at 2.5x2 degree resolution. The regridding procedure we used might cause the difference of our number from CT2016 value. Since we have removed the CT2016 results from our comparison in the revised manuscript (see Lines 274-275), we don't need recheck the calculation again.

Line 254: The CT2016 website has this as 5.51 PgC/yr it seems...?

Response: The same reason as mentioned above. (see Lines 274-275)

Line 254: It is difficult to compare atmospheric growth rates directly with flux conversions, as the assumption of instantaneous mixing is that one makes is likely to be false at such short time scales. Please mention this in the text, because now the number of 6.23 looks "better" while it is simply a different metric (that happens to be close to the XCO₂ based estimates).

Response: Now that we have conducted benchmark inversion, we can use benchmark result as a standard and have removed GCP estimate in the revised paper. (see Lines 274-275)

Line 275: Lack references

Response: We have added the missing reference “Gurney et al., 2002”. (see Line 293)

Line 278-321: Starting from here, the text becomes a very long description of the numbers that are summarized already in the Table. The words written here do not add anything to add, and the numbers provided are simply copies of the information that is displayed. It can be removed fully from line 278 through line 321.

Response: We agree with referee that the description is rather long. However, detailed comparison of regional flux is important for us to understand the impact of satellite retrievals on inversions. Just checking the values in those tables might not be enough. So we will keep the description of comparison and have made this long description more concise. (see Lines 291-312)

Line 322: delete “basically”

Response: We have deleted it. (see Line 335)

Line 324: This sounds like an interesting observation: changes in fluxes are largest there where the coverage is least?!

Response: What we want to point out is that the changes in fluxes are largest there

where the coverage is the best. The region with least coverage is Northern Boreal Land where the inverted flux has the smallest change relative to the prior flux. (see Lines 334-337)

Line 333: changed from the prior you mean, or do you mean different between two inversions?

Response: We mean more carbon flux is changed relative to the prior. We have added “relative to the prior flux” to that sentence. (see Line 346)

Line 340: This sounds quite speculative, yet the statement is also quite obvious. The challenge is to quantify, through your experiments, what the sensitivity to sensor accuracy and spatial resolution is.

Response: We agree with the referee that this statement is speculative and obvious. However, based the results we have from our experiments, we are unable to draw any conclusions on the sensitivity to sensor accuracy and the spatial resolution of those two sensors. Thus we have deleted this statement in the revised manuscript. (see Lines 351-352)

Line 343: delete “basically”

Response: We have removed the paragraph containing “basically” as pointed out in the first response “**Main changes in the revised manuscripts**”.

Line344: delete “CT2016”

Response: We have removed the paragraph containing “CT2016” in the section presenting comparison between inversions as pointed out in the paragraphs of “**Main changes in the revised manuscripts**” in the first response.

Line 350: In my opinion this debate is not so intense lately, and the community overall does not believe the large sink to be realistic. And instead of simply remarking on it, your framework could be used to shed some more light on the situation. This would require a flask-based inversion to compare the two satellite-based ones again though.

Response: We have performed an in-situ observation-based inversion to compare with our results using satellite retrievals. The carbon sink in Europe estimated from in-situ inversion is close to the estimates from the two satellite inversions. We are still working on the discrepancy of Europe carbon estimate between our in-situ inversion and other in-situ based inversions. In the manuscript, since we have removed CT2016 results related comparison. Thus, most part of this section is rewritten as listed in “**Main changes in the revised manuscripts**”.

Line 364: probably better to use "suggest", as there is no conclusion possible based on this evidence discussed.

Response: The paragraph using “indicate” has been rewritten.

Line 389: What is quite interesting to discuss is the difference in global land uptake: the total would suggest quite different global growth rates in atmospheric CO₂, a metric that we know quite well.

Response: We have added more discussions on the difference of different global land uptake estimate. (see Lines 260-276)

Line 408: The fact that these do not improve suggests that it is mostly the new global balance that is improved in the inversions. Hence my request for a benchmark against a poor man's inversion

Response: After we conducted in situ inversion and benchmark inversion, we redid the evaluation using flask observations from ObsPack product and found that the little improvement in standard deviations are mainly due to the use of 4 sites, namely, HPA, HUN, SGP and TAP, since our transport model is unable to capture the CO₂ levels and variations of these 4 sites. At these 4 sites, the standard deviations of mismatch are larger than 5 ppm. So we exclude these 4 sites from our evaluation. The updated result show improvement in the reduction of both bias and standard deviation. In addition, the addition of benchmark inversion did allow us to better evaluate our satellite data based inversions. We have updated the relevant text in the revised manuscript (see Lines 395-410).

Line 419: For a bias, this is quite large.

Response: Yes, we agree with the referee that it is a quite large bias. In the updated manuscript, we have revised the statement and pointed out that the limited improvement is made by OCO-2 retrievals. (see Lines 436-466)

Line 422-423: I am not sure if the evidence agrees with the conclusion presented. First of all, the evidence concerns mixing ratio biases while the conclusion is on fluxes. Second, the reduction of the bias could just as easily have been obtained in the simple "null experiment" that I proposed earlier: take the global growth rate, subtract 2 PgC/yr of ocean fluxes, and spread the remaining flux across the vegetated land weighted by NPP. That land flux transported should be the baseline to beat for an inversion (not the prior flux). See Chevallier et al., (2010, JGR) for more details.

Response: We can evaluate the quality of inverted carbon flux indirectly by comparing the posterior CO₂ mixing ratio against observations. The reduction of bias of simulated mixing ratio with posterior flux should be a prerequisite for an inversion. We agree with the referee that it is not enough to simply use mixing ratio biases to draw conclusion on the improvement of posterior flux. Therefore, we have performed the poor-man's inversion as a benchmark to evaluate our satellite retrievals-based inversions.

Line 454: It seems that in OCO-2, also the pattern of the bias is conserved and thus little spatial information on the fluxes was derived.

Response: Yes, the biases of simulated XCO₂ from OCO-2 inversion remain relatively large. As analyzed and discussed in the revised manuscript, there are relatively large positive biases between OCO-2 and TCCON retrievals, and quite small mismatches between prior simulated and OCO-2 XCO₂, thus consequently leading to small adjustments to the prior flux. (see Lines 415-430, 436-466)

Line 458-459: I think you mean the other way around Change the sentence to “,

using the GEOS-Chem 4D-Var data assimilation system.”(472-473)

Response: We have changed the sentence to “using the GEOS-Chem 4D-Var data assimilation system.” (see Lines 472-473)

Line 464: This is confusing. The land net flux is a positive number if one includes fossil fuels and biomass burning, and now it becomes larger in the inversions? I think you described the opposite in the text: the land sink increased from the prior and thus a smaller net land flux when all components are included.

Response: It should be “excluding fossil fuels and biomass burning”. We have replaced including with excluding. (see Line 478)

Line 467-468: This is not a good representation of the data: the growth rate is a measured quantity in the atmosphere, and should be compared to the simulated mixing ratios at a set of background sites if one wants to comment on its realism. For the global total fluxes, one can compare them to GCP which is also a flux estimate. But please do not refer to a GCP global growth rate and mention it to be actually fluxes, this is incorrect.

Response: We now benchmark two satellite data based inversion with the poor man’s inversion and no longer use GCP estimate as a standard. We have removed GCP related statement in the revised manuscript.

Line 470: delete “basically”

Response: We have deleted it. (see Line 483)

Line 470: Based on what metric do you qualify this as significant? Is the change outside the uncertainty specified? If the significance was not evaluated, then do not use this qualification.

Response: Use “significantly”, we just tended to emphasize the large adjustment of priori flux made at northern and southern temperate regions in GOSAT inversion.

This wording may cause confusion. So we change it to “largely”. (see Line 484)

Line 480: "carbon fluxes of OCO-2 and GOSAT": this does not exist, these instruments measure radiances. Please rephrase more accurately.

Response: We have revised to “carbon fluxes estimated from OCO-2 and GOSAT XCO₂ retrievals”. (see Line 491)

Line 480: Again, based on what metric?

Response: With added inversion experiments, we can compare the simulated CO₂ field with posterior flux from four inversions against surface observations. The reduction of biases and standard deviations at global and regional scale could be taken as metric to do the evaluation. In the revised paper, we have added more detailed analysis and discussion of those statistics. (see Lines 406-430, 436-463)

Line 480: Again, I would bet that the poor man's inversion also causes such an improvement and it does not rely on these instruments at all...

Response: The poor man’s inversion does cause similar improvement in reducing bias. However, there is litter improvement in the standard deviation of mismatch. As shown in the revised manuscript, GOSAT inversion shows evident improvement over benchmark. (see Line 406-430, 436-463)

Line 484: This statement seems not true for GOSAT, for which biases seem to be equally large or even larger after the inversion.

Response: This statement is not clearly and may denotes improvement in Southern Hemisphere. Actually, we had hoped to point out the increase of biases by GOSAT inversion. In southern hemisphere, for GOSAT, the biases are indeed equally large or even larger after the inversion. We have corrected that sentence “biases are elevated to a certain extent to” to “biases are slightly increased”. (Line 495)

Reference:

Chevallier, F., Feng, L., Bösch, H., Palmer, P. I., and Rayner, P. J.: On the impact of

transport model errors for the estimation of CO₂ surface fluxes from GOSAT observations, *Geophys. Res. Lett.*, 37, L21803, <https://doi.org/10.1029/2010GL044652>, 2010.

Singh, K., Jardak, M., Sandu, A., Bowman, K., Lee, M., and Jones, D.: Construction of non-diagonal background error covariance matrices for global chemical data assimilation, *Geosci. Model Dev.*, 4, 299-316, <https://doi.org/10.5194/gmd-4-299-2011>, 2011.

1 **Terrestrial ecosystem carbon flux estimated using GOSAT and OCO-2 XCO₂ re-** 2 **trievals**

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9

10 **Abstract**

11 In this study, both the Greenhouse Gases Observing Satellite (GOSAT) and the Orbiting Car-
12 bon Observatory 2 (OCO-2) XCO₂ retrievals produced by NASA Atmospheric CO₂ Observations
13 from Space (ACOS) project (Version b7.3), are assimilated within the GEOS-Chem 4D-Var assimi-
14 lation framework to constrain the terrestrial ecosystem carbon flux during Oct 1, 2014 to Dec 31,
15 2015. For the comparison, one inversion using in-situ CO₂ observations, and another for benchmark,
16 using global atmospheric CO₂ growth rate, are also conducted. The estimated global and regional
17 carbon fluxes for 2015 are shown and discussed. CO₂ observations from surface flask sites and XCO₂
18 retrievals from TCCON sites are used to evaluate the simulated concentrations with the posterior
19 carbon fluxes. The results show that globally, the terrestrial ecosystem carbon sink (excluding bio-
20 mass burning emissions) estimated from GOSAT data is stronger than that inferred from OCO-2 data,
21 and the annual atmospheric CO₂ growth rate estimated from GOSAT data is more consistent with the
22 benchmark inversion. Regionally, in most regions, the land sinks inferred from GOSAT data are also
23 stronger than those from OCO-2 data. Compared with the prior fluxes, the carbon fluxes in northern
24 temperate regions change the most, followed by tropical and southern temperate regions, and the
25 smallest changes occur in boreal regions. In temperate regions, the prior land sinks are significantly

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26 increased, while in tropical regions the prior land sinks are decreased. The different changes in dif-
27 ferent regions are mainly related to the spatial coverage, the data amount, and the biases of these two
28 satellites XCO₂ retrievals. Evaluations using flask and TCCON observations and the comparisons
29 with in situ and benchmark inversions suggest that GOSAT data, can effectively improve the carbon
30 flux estimates in the northern hemisphere.

31 **Keywords:** Terrestrial ecosystem carbon flux, inversion, GOSAT, OCO-2, GEOS-Chem

32

33 1. Introduction

34 Atmospheric inverse modeling is an effective method for quantifying surface carbon fluxes at
35 global and regional scales using the gradient of CO₂ measurements. Inversion studies based on in-
36 situ CO₂ observations agree well on global carbon budget estimates but differ greatly on regional
37 carbon flux estimates and the partitioning of land and ocean fluxes as well, mainly due to the sparse-
38 ness of observations in tropics, southern hemisphere oceans and the majority of continental interiors
39 such as those in South America, Africa, and Boreal Asia (Peylin et al., 2013). Satellite observations
40 offer an attractive means to constrain atmospheric inversions with their extensive spatial coverage
41 over remote regions. Studies have shown that, theoretically, satellite observations, though with lower
42 precision than in-situ measurements, can improve the carbon flux estimates (Rayner and O'Brien,
43 2001; Pak and Prather, 2001; Houweling et al., 2004; Baker et al., 2006; Chevallier et al., 2007; Miller
44 et al., 2007; Kadyrov et al., 2009; Hungershofer et al., 2010).

45 Satellite sensors designed specifically to retrieve atmospheric CO₂ concentrations, have been in
46 operation in recent years. The Greenhouse Gases Observing Satellite (GOSAT) (Kuze et al., 2009),
47 being the first satellite mission dedicated to observing CO₂ from space, was launched in 2009. The
48 National Aeronautics and Space Administration (NASA) launched the Orbiting Carbon Observa-
49 tory 2 (OCO-2) satellite in 2014 (Crisp et al., 2017; Eldering et al., 2017). China's first CO₂ moni-

50 toring satellite (TanSat) was launched in 2016 (Wang et al., 2017; Yang et al., 2017). These satel-
51 lites measure near-infrared sunlight reflected from the surface in CO₂ spectral bands and the O₂ A-
52 band to retrieve column-averaged dry-air mole fractions of CO₂ (XCO₂), aiming to improving the
53 estimation of spatial and temporal distributions of carbon sinks and sources. A number of inversions
54 have utilized GOSAT XCO₂ retrievals to infer surface carbon fluxes (Basu et al., 2013; Maksyutov
55 et al., 2013; Saeki et al., 2013; Chevallier et al., 2014; Deng et al., 2014; Houweling et al., 2015;
56 Deng et al, 2016). Although large uncertainty reductions were achieved for regions which are un-
57 der-sampled by in-situ observations, these studies didn't give robust regional carbon flux estimates.
58 There are large spreads in regional flux estimates in some regions among these inversions. Further-
59 more, regional flux distributions inferred from GOSAT XCO₂ data are significantly different from
60 those inferred from in-situ observations. For instance, several studies using GOSAT retrievals re-
61 ported a larger than expected carbon sink in Europe (Basu et al., 2013; Chevallier et al., 2014; Deng
62 et al., 2014; Houweling et al., 2015). The validity of this large Europe carbon sink derived from
63 GOSAT retrievals is in intense debate and efforts to improve the accuracy of Europe carbon sink
64 estimate are still ongoing (Reuter et al., 2014; Feng et al., 2016; Reuter et al., 2017).

65 Compared with GOSAT, OCO-2 has a higher sensitivity to column CO₂, much finer footprints
66 and more extended spatial coverage, and thus has the potential to better constrain the surface carbon
67 flux inversion (Eldering et al., 2017). Studies have used OCO-2 XCO₂ data to estimate carbon flux
68 anomalies during recent El Nino events (Chatterjee et al., 2017; Patra et al., 2017; Heymann et al.,
69 2017; Liu et al., 2017). Nassar et al. (2017) applied OCO-2 XCO₂ data to infer emissions from large
70 power plants. Miller et al. (2018) evaluated the potential of OCO-2 XCO₂ data in constraining re-
71 gional biospheric CO₂ fluxes and found that in the current state of development, OCO-2 observa-
72 tions can only provide a reliable constraint on CO₂ budget at continental and hemispheric scales. At
73 present, it is still not clear whether with the improved monitoring capabilities, current OCO-2 ob-
74 servations have a greater potential than GOSAT observations for estimating CO₂ flux at regional or

75 finer scale. It is therefore important to investigate how current OCO-2 XCO₂ data differ from GO-
76 SAT XCO₂ data in constraining carbon budget.

77 In this study, we evaluate the performance of GOSAT and OCO-2 XCO₂ data in constraining
78 terrestrial ecosystem carbon flux. GOSAT and OCO-2 XCO₂ retrievals produced by the NASA At-
79 mospheric CO₂ Observations from Space (ACOS) team are applied to infer monthly terrestrial eco-
80 system carbon sinks and sources from Oct, 2014 through December, 2015, using a 4D-Var scheme
81 based on the GEOS-Chem Adjoint model (Henze et al., 2007). To evaluate the performance of satel-
82 lite XCO₂ data based inversions, we conduct two additional inversions using in situ measurements
83 and the global CO₂ trend, respectively. For simplicity, four inversions are referred as OCO-2 inver-
84 sion, GOSAT inversion, in situ inversion and benchmark inversion, respectively. Inversion results are
85 also evaluated against surface flask CO₂ observations and Total Carbon Column Observing Network
86 (TCCON) XCO₂ retrievals. This paper is organized as follows. Section 2 briefly introduces GOSAT
87 and OCO-2 XCO₂ retrievals and the inversion methodology and settings. Results and discussions are
88 presented in Section 3, and Conclusions are given in Section 4.

89

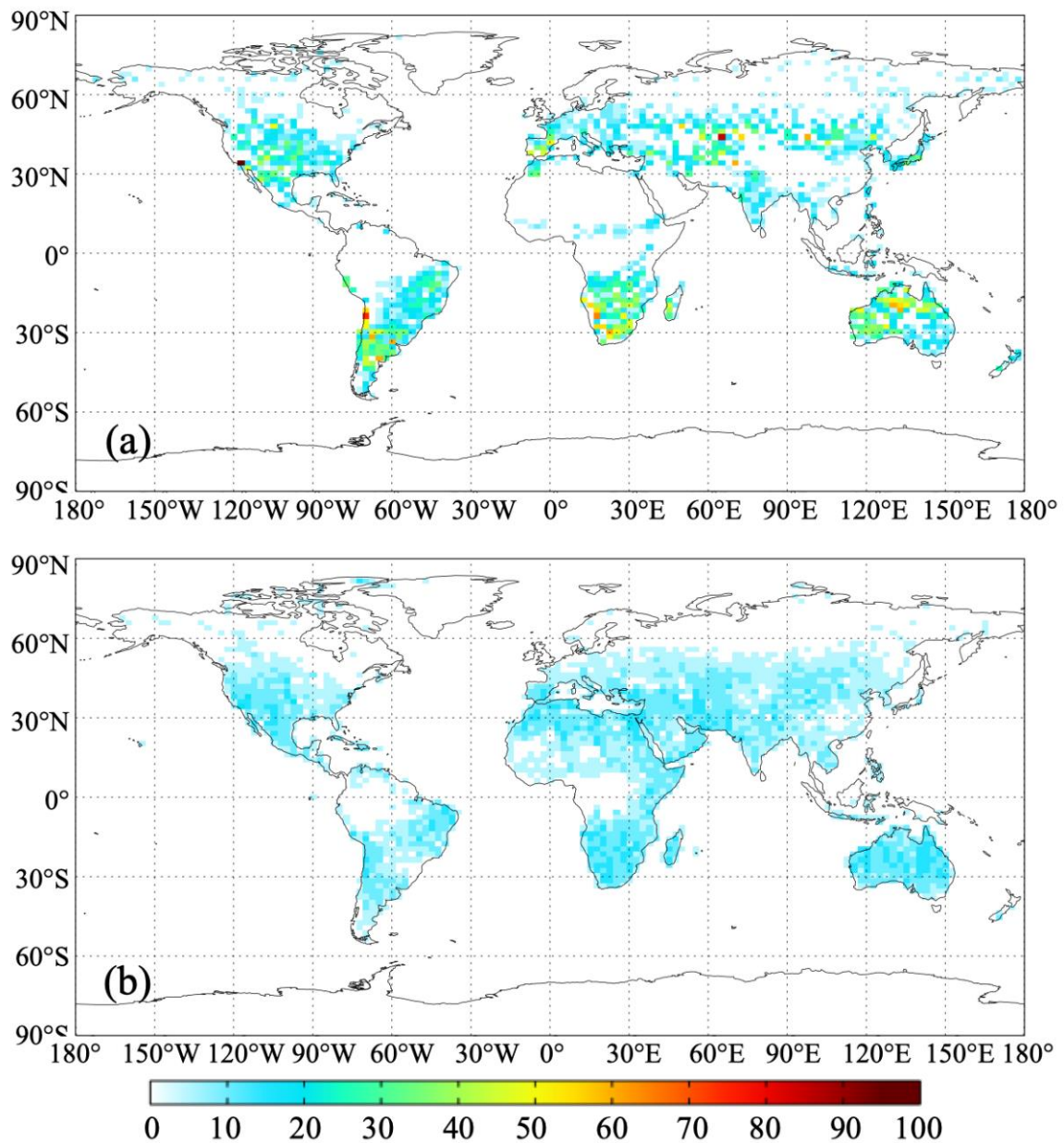
90 **2. Data and Method**

91 2.1 GOSAT and OCO-2 XCO₂ retrievals

92 Developed jointly by the National Institute for Environmental Studies (NIES), the Japanese
93 Space Agency (JAXA) and the Ministry of the Environment (MOE) of Japan, GOSAT was de-
94 signed to retrieve total column abundances of CO₂ and CH₄. The satellite flies at a 666 km altitude
95 in a sun-synchronous orbit with 98° inclination that crosses the equator at 12:49 local time. It co-
96 vers the whole globe in three days and has a footprint of 10.5 km² at nadir. OCO-2 is NASA's first
97 mission dedicated to retrieving atmospheric CO₂ concentration. It flies at 705 km altitude in a sun-
98 synchronous orbit with an overpass time at approximately 13:30 local time and a repeat cycle of 16
99 days. Its grating spectrometer measures reflected sunlight in three near-infrared regions (0.765, 1.61

100 and 2.06 μm) to retrieve XCO₂. OCO-2 has a footprint of 1.29×2.25 km² at nadir and acquires eight
101 cross-track footprints creating a swath width of 10.3 km.

102 Both GOSAT and OCO-2 XCO₂ products were created using the same retrieval algorithm,
103 which is based on a Bayesian optimal estimation approach (Roggers et al., 2000; O Dell et al.,
104 2011). The GOSAT and OCO-2 XCO₂ data used in this study are Version 7.3 Level 2 Lite products
105 at the pixel level. The XCO₂ data from lite products are bias-corrected (Wunch et al., 2011). Before
106 **being used** in our inversion system, the data are processed in three steps. First, the retrievals for the
107 glint soundings over oceans have relatively larger uncertainty, thus the data over oceans are not
108 used in our inversions (Wunch et al., 2017). Second, in order to achieve the most extensive spatial
109 coverage with the assurance of using best quality data available, the XCO₂ data are filtered with two
110 parameters, namely warn_levels and xco2_quality_flag, which are provided along with the XCO₂
111 data. All data with xco2_quality_flag not equaling 0 are removed, the rest are divided into three
112 groups according the value of warn_levels, namely group 1, group 2 and group 3. In group 1, the
113 warn_levels are less than 8, in group 2, the warn_levels are greater than 9 and less than 12, and in
114 group 3, those are greater than 13. Group 1 has the best data quality, followed by group 2, and
115 group 3 is the worst. Third, the pixel data are averaged within the grid cell of 2°×2.5°, which is the
116 resolution of the global atmospheric transport model used in this study. In each grid of 2°×2.5°,
117 only the groups of best data quality are selected and then averaged. The other variables like column
118 averaging kernel, retrieval error and so on which are provided along with the XCO₂ product are also
119 dealt with the same method. Figures 1a and 1b show the coverages and data amount of GOSAT
120 and OCO-2 XCO₂ data during the study period after processing. **The filtered GOSAT and OCO-2**
121 **retrievals are not evenly distributed spatially. Due to the cloud contamination, there are few retriev-**
122 **als in a large portion of tropical land. In northern high latitude area, especially in boreal regions,**
123 **due to the low soar zenith angle, available satellite retrievals are very sparse.**



124

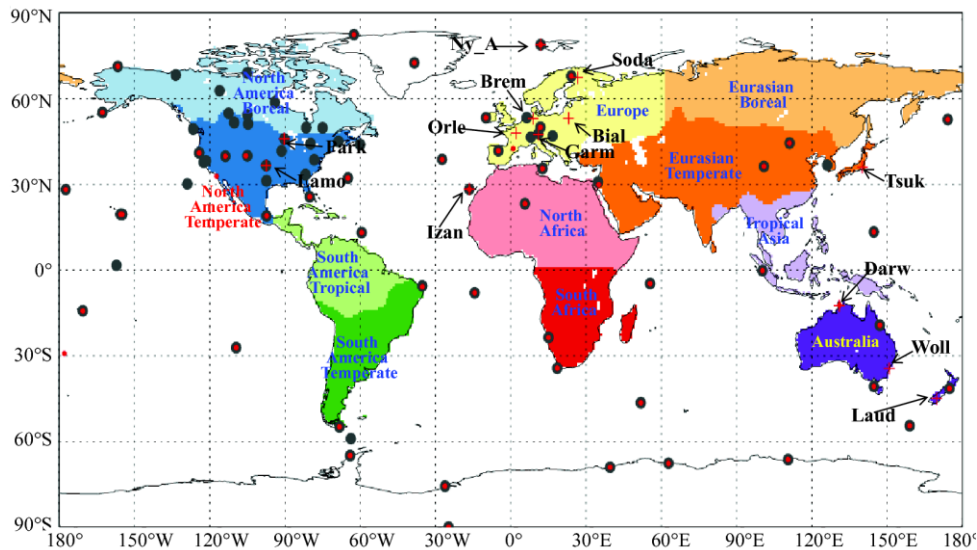
125 **Figure 1.** Data amount of each grid cell (2°x2.5°) of ACOS XCO₂ used in this study (a, GOSAT; b,
 126 OCO-2)

127 **2.2 Surface observations and TCCON XCO₂ retrievals**

128 Surface CO₂ observations are from the obspack_co2_1_CARBONTRACKER_CT2016_2017-
 129 02-06 product (ObsPackCT2016) (CarbonTracker Team, 2017), which was the observation data
 130 used in CarbonTracker 2016 (Peters et al., 2007, with updates documented at <http://carbon-tracker.noaa.gov>). It is a subset of the Observation Package (ObsPack) Data Product (ObsPack,
 131 2016), and contains a collection of discrete and quasi-continuous measurements at surface, tower
 132

133 and ship sites contributed by national and universities laboratories around the world. In this study,
 134 in situ measurements from 78 sites provided by this product are used for inversion. Among these 78
 135 sites, there are 56 flask sites, of which 52 sites are selected to evaluate the posterior CO₂ concentra-
 136 tions (selection criteria given in Section 4.3.1).

137 TCCON is a network of ground-based Fourier Transform Spectrometers that measure direct
 138 near-infrared solar absorption spectra. Column-averaged abundances of atmospheric constituents
 139 including CO₂, CH₄, N₂O, HF, CO, H₂O, and HDO are retrieved through these spectra. We use
 140 XCO₂ retrievals from 13 stations from TCCON GGG2014 dataset (Blumenstock et al., 2017;
 141 Deutscher et al., 2017; Griffith et al., 2017a, b; Kivi et al., 2017; Morino et al., 2017; Notholt et al.,
 142 2017a, b; Sherlock et al., 2017; Sussmann and Rettinger, 2017; Warneke et al., 2017; Wennberg et
 143 al., 2017a, b). The locations of in situ sites and 13 TCCON stations are shown in Figure 2.



144 **Figure 2.** Distributions of the observation sites used in this study. Gray solid circles are surface
 145 sites used in the in situ inversion, red points and red cross marks are surface flask and TCCON sites
 146 used for evaluations, respectively, the shaded shows the 11 TRANSCom regions
 147

148 2.3 GEOS-Chem 4DVAR assimilation framework

149 2.3.1 GEOS-Chem model

150 GEOS-Chem model (<http://geos-chem.org>) is a global three-dimensional chemistry transport

151 model (CTM), which is driven by assimilated meteorological data from the Goddard Earth Observ-
152 ing System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO) (Rienecker et
153 al., 2008). The original CO₂ simulation in the GEOS-Chem model was developed by Suntharalin-
154 gam et al. (2004) and accounts for CO₂ fluxes from fossil fuel combustion and cement production,
155 biomass burning, terrestrial ecosystem exchange, ocean exchange and biofuel burning. Nassar et al.
156 (2010) updated the CO₂ simulation with improved inventories. In addition to the inventories in ear-
157 lier version, the new CO₂ fluxes includes CO₂ emissions from international shipping, aviation (3D)
158 and the chemical production of CO₂ from CO oxidation throughout the troposphere. In most other
159 models, the oxidation of CO was treated as direct surface CO₂ emissions. The details of the CO₂
160 simulation and the CO₂ sinks/sources inventories could be found in Nassar et al. (2010). The ver-
161 sion of GEOS-Chem model used in this study is v8-02-01.

162 **2.3.2 GEOS-Chem adjoint model**

163 An adjoint model is used to calculate the gradient of a response function of one model scalar
164 (or cost function) with respect to a set of model parameters. The adjoint of the GEOS-Chem model
165 was first developed for inverse modeling of aerosol (or their precursors) and gas emissions (Henze
166 et al., 2007). It has been implemented to constrain sources of species such as CO, CH₄, and O₃ with
167 satellite observations (Kopacz et al., 2009, 2010; Jiang et al., 2011; Wecht et al., 2012; Parrington et
168 al., 2012). Several studies have successfully used this adjoint model to constraint carbon sources
169 and sinks with surface flask measurements of CO₂ mixing ratio and space-based XCO₂ retrievals
170 (Deng et al., 2014; Liu et al., 2014; Deng et al., 2016; Liu et al., 2017).

171 **2.3.3 Inversion method**

172 In the GEOS-Chem inverse modeling framework, the 4D-Var data assimilation technique is
173 employed for combining observations and simulations to seek a best optimal estimation of the state
174 of a system. **The scaling factors are applied to the carbon flux components to be optimized monthly**
175 **in each model grid point.** This approach seeks the scaling factors of the carbon flux that minimize

176 the cost function, J , given by:

$$177 \quad J(c) = \frac{1}{2} \sum_{i=1}^N (XCO_{2,i}^m - XCO_{2,i}^{obs}) S_{obs,i}^{-1} (XCO_{2,i}^m - XCO_{2,i}^{obs}) + \left(\frac{1}{2} (c - c_a) S_c^{-1} (c - c_a) \right)$$

178 where N is total number of satellite XCO_2 observations; XCO_2^m and XCO_2^{obs} are modeled and ob-
179 served total column averaged dry air mole fraction of CO_2 respectively; c_a is the **prior** scaling factor
180 of the carbon flux, which is typically set as unity; S_{obs} is the model-data mismatch error covariance
181 matrix; S_c is the scaling factor error covariance matrix. The gradients of the cost function with re-
182 spect to scaling factors calculated with the adjoint model are supplied to an optimization routine
183 (the L-BFGS-B optimization routine; Byrd et al., 1995; Zhu et al., 1994), and the minimum of the
184 cost function is sought iteratively.

185 For the modeled CO_2 column to be comparable with the satellite XCO_2 retrievals, the modeled
186 CO_2 concentration profile should be first mapped into the satellite retrieval levels and then convo-
187 luted with retrieval averaging kernels. The modeled XCO_2 is computed by:

$$188 \quad XCO_2^m = XCO_2^a + \sum_j h_j a_j (A(x) - y_{a,j})$$

189 where j denotes retrieval level, x is the modeled CO_2 profile; $A(x)$ is a mapping matrix; XCO_2^a is **prior**
190 XCO_2 , h_j is pressure weighting function, a_j is the satellite column averaging kernel and y_a is the **prior**
191 CO_2 profile for retrieval. **These last four quantities are provided from ACOS Version 7.3 Level 2 Lite**
192 **products.**

193 **3. Inversion settings**

194 In this study, the GEOS-Chem model was run in a horizontal resolution of $2^\circ \times 2.5^\circ$ for 47 verti-
195 cal layers. **Four inversions, using GOSAT data, OCO-2 data, in-situ measurements and global at-**
196 **mospheric CO_2 trend, are** conducted from Oct 1, 2014 to December 31, 2015, respectively. The
197 **posterior** dry air mole fraction of CO_2 on Oct 1, 2014 from CT2016 product **is** taken as the initial

198 concentration. The first three months are taken as the spin-up period. The prior carbon fluxes used
199 in this study include fossil fuel CO₂ emissions, biomass burning CO₂ emissions, terrestrial ecosys-
200 tem carbon exchange and CO₂ flux exchange over the sea surface. Fossil fuel emissions are ob-
201 tained from CT2016, which is an average of Carbon Dioxide Information Analysis Center (CDIAC)
202 product (Andres et al., 2011) and Open-source Data Inventory of Anthropogenic CO₂ (ODIAC)
203 emission product (Oda and Maksyutov, 2011). The biomass burning CO₂ emissions are also taken
204 from CT2016, which are the average of the Global Fire Emissions Database version 4.1 (GFEDv4)
205 (van der Werf et al., 2010; Giglio et al., 2013) and the Global Fire Emission Database from NASA
206 Carbon Monitoring System (GFED_CMS). The 3-hourly terrestrial ecosystem carbon exchanges
207 are from the Carnegie-Ames-Stanford Approach (CASA) model GFED4.1 simulation (Potter et al.,
208 1993; van der Werf et al., 2010). CO₂ exchanges over the ocean surface are from the posterior air-
209 sea CO₂ flux of CT2016. It is noted that the fossil fuel emissions and the biomass burning emissions
210 in our inversions are kept intact. Both terrestrial ecosystem CO₂ exchanges and ocean flux are opti-
211 mized in our inversions.

212 An efficient computational procedure for constructing non-diagonal scaling factor error covari-
213 ance matrix which accounts for the spatial correlation of errors is implemented (Single et al., 2011).
214 The construction is based on the assumption of exponential decay of error correlations. Other than
215 forming covariance matrix explicitly, multiple-dimensional correlations are represented by tensor
216 products of one-dimensional correlation matrices along longitude and latitudinal directions. For the
217 two inversions, the scale lengths assigned along longitudinal and latitudinal directions are 500 km
218 and 400 km for terrestrial ecosystem exchange and 1000 km and 800 km for ocean exchange, re-
219 spectively. No correlations between different types of fluxes are assumed. The temporal correla-
220 tions are also neglected. Global annual uncertainty of 100% and 40% are assigned for terrestrial
221 ecosystem and ocean CO₂ exchanges, respectively (Deng and Chen, 2011). Accordingly, the uncer-
222 tainty of scaling factor for the prior land and ocean fluxes in each month at the grid cell level are

223 assigned to 3 and 5, respectively.

224 3.1 Inversions using satellite XCO₂ retrievals

225 The observation error covariance matrix is constructed using the retrieval errors, which are pro-
226 vided along with the ACOS XCO₂ data. Observation errors are assumed to be uncorrelated at model
227 grid level. To account for the correlated observation errors, as shown in section 2.1, the pixel level
228 retrieval errors are filtered and averaged to the model grid level, and then inflated by a factor of 1.9
229 to ensure the chi-square testing of χ^2 value to be close to 1 (Tarantola, 2004; Chevallier et al.,
230 2007).

231 3.2 Inversion using in situ measurements

232 As described in section 2.2, surface CO₂ observations from 78 sites including flask samples
233 and by quasi-continuous analyzer are adopted in this inversion. These data are selected from data
234 collection of the ObsPackCT2016. The observation uncertainties of the 78 sites are also obtained
235 from this product, which account for both the measurement and representative errors (Peters et al.,
236 2007, with updates documented at <http://carbontracker.noaa.gov>). An examination for the differ-
237 ences between observations and forward model simulation was conducted (data not shown), and the
238 results shows that observation uncertainties from CT2016 represents well with the model-data mis-
239 match errors of GEOS-Chem model. In addition, we neglect correlations between observations and
240 assume a diagonal observation error covariance matrix.

241 3.3 Benchmark inversion

242 A baseline inversion, which was introduced by Chevallier et al. (2009) as a Poor Man's
243 method, is implemented to evaluate satellite retrievals and in situ measurements based inversions.
244 Usually, the posteriori fluxes are evaluated by the improvement on the simulated CO₂ mixing ratios.
245 Since the global CO₂ trend can be accurately estimated from marine sites, it is important to assess
246 whether the inverted flux can capture more information than this trend. In this baseline inversion,
247 the ocean flux is kept identical to the prior ones. The poor man's inverted land flux F_{pm} at location

248 (x, y) and at time t is defined as:

$$249 \quad F_{pm}(x, y, t) = F_{prior}(x, y, t) + k \times \sigma(x, y, t)$$

250 where F_{prior} is the prior flux, σ is the uncertainty of the prior flux, k is a coefficient. Here k is de-
251 termined by trial and error so that the mean annual global total of the poor man's fluxes equals the
252 mean global total given by the annual global CO₂ growth rate from the Global Monitoring Division
253 (GMD) of NOAA/Earth System Research Laboratory (ESRL) (Ed Dlugokencky and Pieter Tans,
254 NOAA/ESRL, www.esrl.noaa.gov/gmd/ccgg/trends/). The annual global CO₂ growth rate is 2.96
255 ppm in 2015, which is converted to 6.28 PgC yr⁻¹ for the poor man's global total by multiply by a
256 factor of 2.123 PgC ppm⁻¹. In practice, this method distributes the land carbon sink according to the
257 gross carbon fluxes from the vegetation.

258 **4. Results and Discussions**

259 **4.1 Global carbon budget**

260 Table 1 presents the inverted global carbon budgets in 2015 from four inversions. The global
261 land sinks inferred by GOSAT and OCO-2 XCO₂ retrievals are -3.48 and -2.94 PgC yr⁻¹, respec-
262 tively, which are both larger than the prior value, and lower than the estimate from the in-situ inver-
263 sion. The global net flux from the benchmark inversion is inferred from the global annual CO₂
264 growth rate, which represents relatively accurately the net carbon flux added into atmosphere. It
265 could be found that the global net flux from GOSAT inversion is the closest to the benchmark inver-
266 sion estimate, while that from OCO-2 inversion is higher and the in situ inversion estimate is lower
267 than the benchmark estimate. The differences of ocean fluxes among a priori and two inversions are
268 small since we don't assimilate XCO₂ data over ocean. Therefore, the differences for the global net
269 fluxes among the different experiments are similar to those of the global land sinks, indicating that
270 GOSAT experiment has the best estimates for the land and ocean carbon uptakes, while those from
271 in situ inversion are overestimated, and from OCO-2 inversion might be underestimated.

272 **Table 1.** Global carbon budgets estimated by the OCO-2 and GOSAT inversions in this study as well

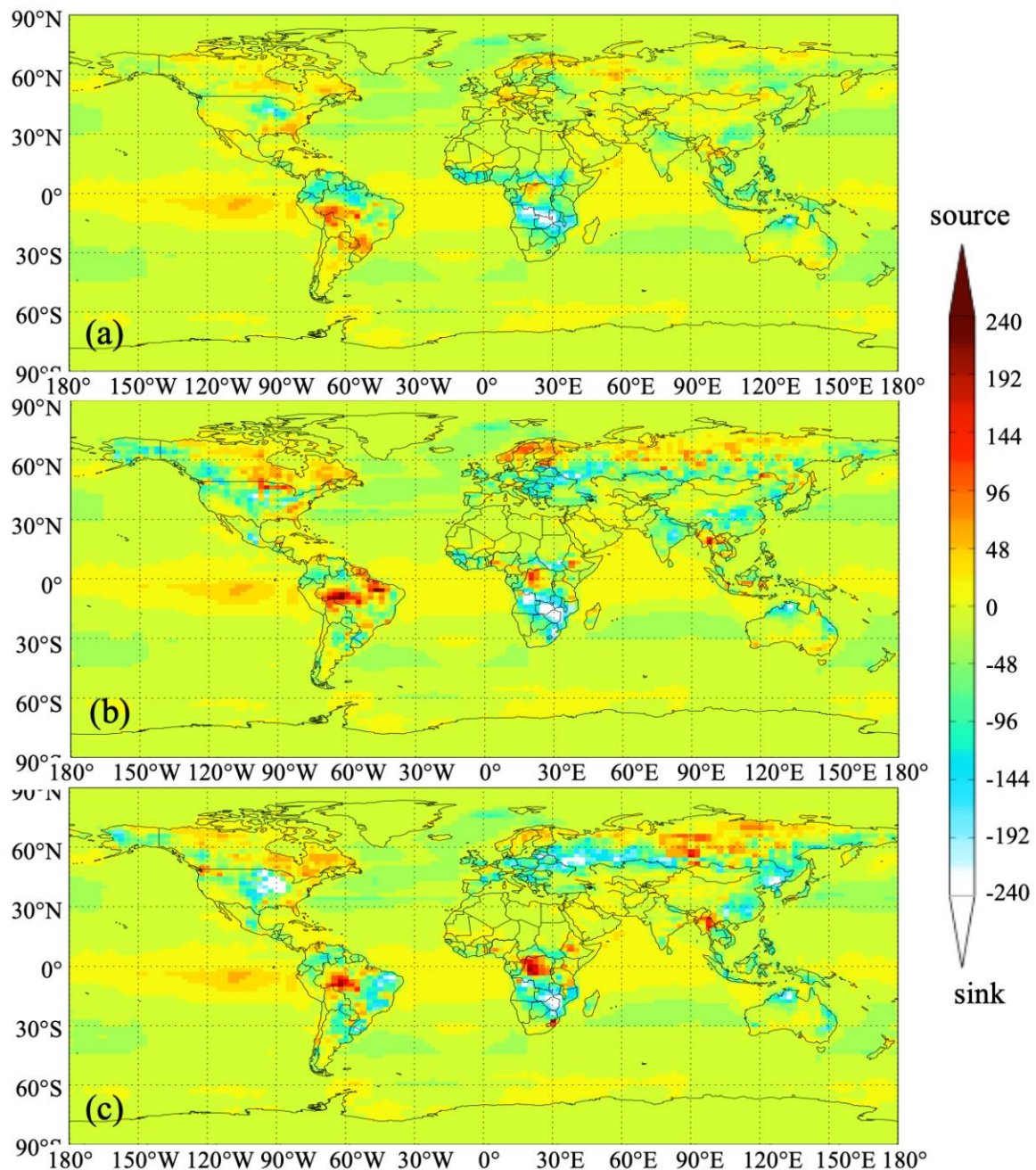
273 as those from the prior fluxes, In situ and benchmark inversions (PgC yr⁻¹)

	Prior	OCO-2	GOSAT	In situ	Benchmark
Fossil fuel and industry	9.84	9.84	9.84	9.84	9.84
Biomass burning emissions	2.20	2.20	2.20	2.20	2.20
Land sink	-2.50	-2.94	-3.48	-3.63	-3.35
Ocean sink	-2.41	-2.44	-2.45	-2.41	-2.41
Global net flux	7.13	6.66	6.11	6.00	6.28

274

275 4.2 Regional carbon flux

276 Figure 3 shows the distributions of annual land and ocean carbon fluxes (excluding fossil fuel
277 and biomass burning carbon emissions, same thereafter) of the prior and the estimates using GOSAT
278 and OCO-2 data. It could be found that compared with the prior fluxes, the carbon sinks in Central
279 America, south and northeast China, east and central Europe, south Russia and east Brazil are obvi-
280 ously increased in GOSAT inversion. Except for east Brazil, the land sinks in those areas in OCO-2
281 inversion are also increased, but much weaker than those in GOSAT inversion, and in east Brazil, it
282 turns to a significant carbon source. In contrast, in east and central Canada, north Russia, north Eu-
283 rope, west Indo-China Peninsula, north Democratic Republic of the Congo and west Brazil, their
284 carbon sources are significantly increased in both GOSAT and OCO-2 inversions. In east and central
285 Canada, north Europe and west Brazil, there are much stronger carbon sources in OCO-2 inversion.



286

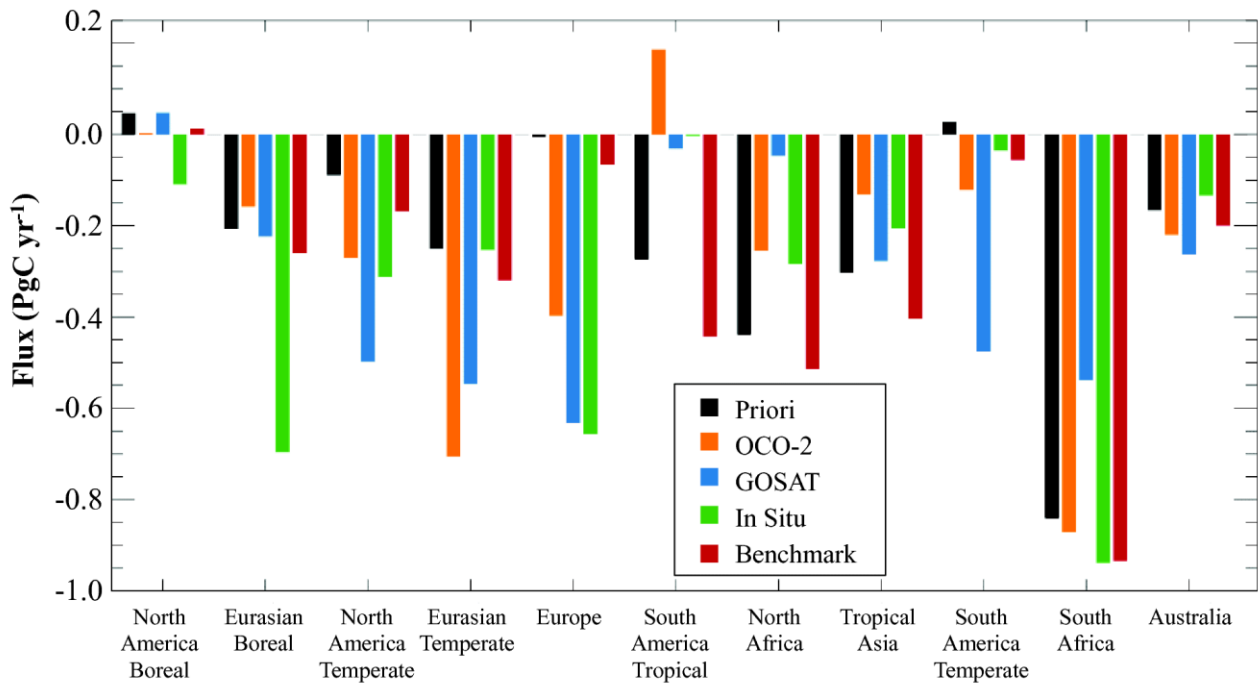
287 **Figure 3.** Distributions of annual land and ocean carbon fluxes a) **prior** flux and **posterior** fluxes
 288 based on (b) OCO-2 and (c) GOSAT data ($\text{gC m}^{-2}\text{yr}^{-1}$)

289

290 To better investigate the differences between GOSAT and OCO-2 inversions as well as their
 291 differences with the prior fluxes and **two other inversions**, we aggregate the prior and inferred land
 292 fluxes into 11 TRANSCOM land regions (Gurney et al., 2002) as shown in Figure 2. Figure 4 shows
 293 aggregated annual land surface fluxes from the prior **and four inversions** for the 11 land regions.
 294 Clearly, in most regions, the land sinks inverted based on GOSAT data are stronger than those inferred

295 from OCO-2 data, especially in the Temperate and Tropical Lands. For example, in South America
296 Temperate, the estimated land sink based on GOSAT data is about 4 times as large as the OCO-2
297 inversions; in North America Temperate and Tropical Asia, the carbon sinks of GOSAT experiment
298 is about twice that of the OCO-2 inversions; and in South America Tropical, the OCO-2 inversion
299 result is a carbon source of 0.19 PgC yr^{-1} , while GOSAT inversion gives a weak sink of -0.05 Pg C
300 yr^{-1} . The total sinks of the Temperate/Tropical Lands optimized using GOSAT and OCO-2 XCO_2
301 retrievals are $-2.95/-0.36$ and $-2.59/-0.20 \text{ Pg C yr}^{-1}$, respectively (Table 2). In Northern Boreal Land,
302 the total carbon sinks inverted with GOSAT and OCO-2 data are comparable. However, the two XCO_2
303 data have opposite performances in two northern boreal regions, namely in Eurasian Boreal, the in-
304 verted land sink with GOSAT is stronger than that with OCO-2; while in North America Boreal, it is
305 the opposite.

306 For different continents (Table 2), in Asia and Australia, their carbon sinks inverted from GOSAT
307 and OCO-2 data are comparable. In North America, South America and Europe, the land sinks in
308 GOSAT inversion are much stronger than those in OCO-2 inversion. Especially in South America,
309 the GOSAT inversion result is a strong carbon sink ($-0.51 \text{ Pg C yr}^{-1}$), while in OCO-2 inversion, it is
310 a weak carbon source ($0.06 \text{ Pg C yr}^{-1}$). Conversely, in Africa, the land sink estimated with GOSAT
311 data is much weaker than those from OCO-2 data, the former ($-0.59 \text{ Pg C yr}^{-1}$) being only about the
312 half of the latter ($-1.13 \text{ Pg C yr}^{-1}$).



313

314

Figure 4. Aggregated annual land fluxes of the 11 TRANSCOM land regions

315

316

Table 2. The prior and posterior fluxes in six continents and boreal, temperate and tropical lands

Regions	Prior	OCO-2	GOSAT	In situ	Benchmark
North America	-0.04	-0.27	-0.45	-0.42	-0.15
South America	-0.25	0.06	-0.51	-0.04	-0.50
Europe	-0.01	-0.40	-0.63	-0.66	-0.07
Asia	-0.76	-0.99	-1.05	-1.16	-0.98
Africa	-1.28	-1.13	-0.58	-1.22	-1.45
Australia	-0.17	-0.22	-0.26	-0.13	-0.20
Northern Boreal Land	-0.16	-0.16	-0.18	-0.81	-0.25
Northern Temperate Land	-0.35	-1.37	-1.68	-1.22	-0.55
Tropical Land	-1.01	-0.20	-0.36	-0.49	-1.36
Southern Temperate Land	-0.98	-1.21	-1.28	-1.11	-1.20

317

318

319

Compared with the in situ and benchmark inversions, in the boreal regions, the land sinks esti-

320

mated using in situ observations are much stronger than those of OCO-2 and GOSAT inversions, but

321 close to the benchmark results. In the temperate lands, except for South Africa, the GOSAT results
322 are much stronger than those of the in situ and benchmark experiments, especially in South America
323 Temperate, GOSAT inversion shows a strong carbon sink, while in situ experiment shows a weak
324 source and benchmark experiment shows a weak sink. On the contrary, in the tropical regions, the
325 land sinks inferred from both OCO-2 and GOSAT experiments are weaker than the in situ and bench-
326 mark inversions.

327 Compared with the prior fluxes, the inferred land fluxes in Northern Temperate regions have
328 the largest changes, followed by those in Tropical regions and Southern Temperate lands, while in
329 boreal regions, the changes are the smallest. As shown in Table 3, for different TRANSCOM regions
330 and different XCO₂ used, the changes of carbon fluxes have large differences. Since the same setup
331 used in these two inversions and the same algorithm adopted for retrieving XCO₂ from GOSAT and
332 OCO-2 measurements, the different impacts of XCO₂ data on land sinks may be related to the spatial
333 coverage and the amount of data in these two XCO₂ datasets. As shown in Figure 1, in different
334 latitude zones, the spatial coverage and the data amount of GOSAT and OCO-2 have large differences.
335 Statistics show that the amount of data is largest in northern temperate land, followed by southern
336 temperate land and tropical land, and least in northern boreal regions, corresponding to the magnitude
337 of changes of carbon fluxes in these zones. For one specific zone, the different impacts of these two
338 XCO₂ datasets may be also related to their data amount. For example, in northern temperate land,
339 GOSAT has more XCO₂ data than OCO-2. Accordingly, the change of carbon flux caused by GOSAT
340 is larger than that caused by OCO-2. Conversely, in Tropical Land, OCO-2 has more data than GO-
341 SAT, and as shown before it has more significant impact on the land sink. This relationship could also
342 be found in each TRANSCOM region. Figure 5 gives a relationship between the XCO₂ data amount
343 ratios of GOSAT to OCO-2 and the land sinks absolute change ratios caused by GOSAT to OCO-2
344 for 11 TRANSCOM land regions. Obviously, except for North and South Africa, there is a significant
345 linear correlation ($R=0.95$) between these two ratios, suggesting that with more XCO₂ data, the more

346 carbon flux relative to the prior flux is changed. In North Africa, we find that OCO-2 has better spatial
 347 coverage and more data than GOSAT, as shown in Figure 1. Although the differences mainly occur
 348 in the Sahara where the carbon flux is very weak, but near the equatorial region where the carbon
 349 flux is large, OCO-2 still has more data than GOSAT. In southern Africa, both XCO₂ have good
 350 spatial coverage, the amount of GOSAT data is about 1.5 times that of OCO-2, but the changes in the
 351 carbon flux caused by GOSAT is about 10 times that of OCO-2. The large ratio of carbon change is
 352 mainly due to the relatively small carbon change from OCO-2 inversion.

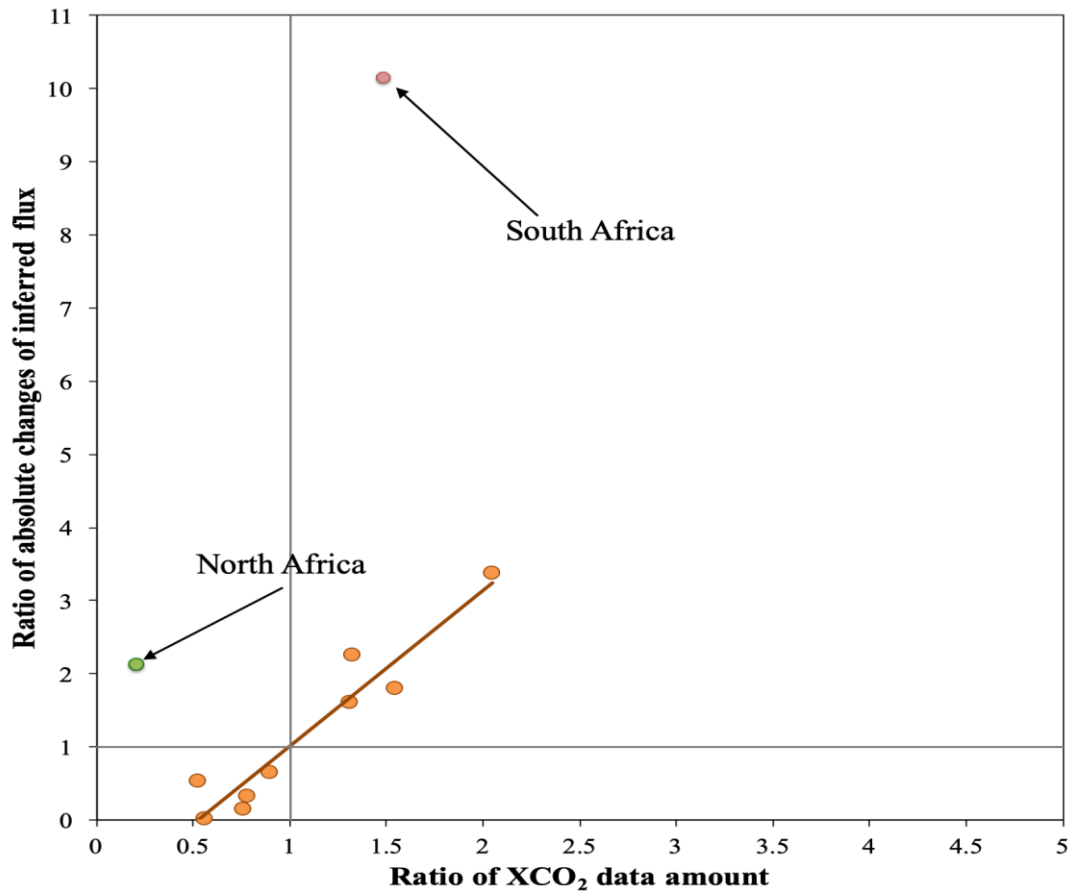
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354 **Table 3.** Differences between the inferred and the prior carbon fluxes, the data amount of XCO₂ and
 355 the deviations between the modeled with prior flux and satellite retrieved XCO₂ in different regions

Region	Flux changed (Pg C yr ⁻¹)*		XCO ₂ data amount		Deviations (ppm)**	
	OCO-2	GOSAT	OCO-2	GOSAT	OCO-2	GOSAT
North America Boreal	-0.05	0	1143	639	0.6	1.41
North America Temperate	-0.18	-0.41	2390	3163	0.52	0.93
South America Tropical	0.46	0.24	800	421	-0.89	0.43
South America Temperate	-0.15	-0.5	1711	3500	0.02	0.54
North Africa	0.19	0.39	3208	674	0.12	-0.19
South Africa	-0.03	0.3	2057	3060	0.17	0.33
Eurasian Boreal	0.05	-0.02	1714	1339	0.47	1.5
Eurasian Temperate	-0.46	-0.3	5323	4782	0.46	0.82
Tropical Asia	0.17	0.03	726	550	-0.43	0.34
Australia	-0.05	-0.1	2011	3110	0.18	0.67
Europe	-0.39	-0.63	1604	2106	0.28	1.35
Global land	-0.44	-0.98	22687	23344	0.22	0.79
Northern Boreal Land	0.005	-0.02	2857	1978	0.52	1.47
Northern Temperate Land	-1.03	-1.33	9317	10051	0.45	0.96
Tropical Land	0.82	0.66	4734	1645	-0.08	0.13
Southern Temperate Land	-0.23	-0.3	5779	9670	0.11	0.6

356 * Differences between posterior and prior flux

357 ** Deviations between the modeled with prior flux and satellite retrieved XCO₂



358

359 **Figure 5.** Scatter plot for the ratio of GOSAT to OCO-2 XCO₂ data amount versus the ratio of abso-
 360 lute changes of the land sinks caused by GOSAT to OCO-2 in the 11 TRANSCOM land regions
 361

362 In addition to the data amount, the deviations between the simulated CO₂ concentrations using
 363 prior fluxes and the satellite retrievals should be another reason to explain the performances of
 364 OCO-2 and GOSAT retrievals in different regions. Usually, a large model-data mismatch will im-
 365 pose strong constraint on the prior flux in inversions. Therefore, we compare the mismatches in
 366 OCO-2 and GOSAT inversions. The results are grouped global land and into the 11 TRANSCOM
 367 land regions, as shown in Table 3. The global land mean difference between modeled XCO₂ and the
 368 OCO-2 and GOSAT retrievals are 0.22 and 0.79 ppm, respectively, indicating that the GOSAT re-
 369 trieval would have stronger constraint on the prior fluxes. In most TRANSCOM regions except
 370 North Africa, the mismatches in GOSAT inversion are positive and larger than those of OCO-2 in-
 371 version. In Tropic Asia and South America Tropic, the sizable negative mismatches in OCO-2 in-
 372 version could account for a weak inverted carbon sink and an inverted carbon source in these two

373 regions, while in North Africa, the negative mismatch in GOSAT inversion may explain why a ra-
 374 ther weak sink is inverted for this region. The difference of mismatch between OCO-2 and GO-
 375 SAT inversions exhibits rather large spread, ranging from 0.16 to 1.33 pm, indicating the biases of
 376 two satellite XCO₂ retrievals differ greatly.

377 **Table 4.** Statistics of the OCO-2 and GOSAT retrievals uncertainties against the TCCON retrievals

	OCO-2			GOSAT		
	Bias/ppm	Stdev/ppm	N. of Obs.	Bias/ppm	Stdev/ppm	N. of Obs.
Bial	0.91	1.47	21	0.06	1.35	29
Darw	0.75	0.85	43	-0.41	1.62	44
Garm	-0.10	2.97	14	0.73	2.02	35
Lamo	0.04	1.09	56	-0.91	1.39	82
Laud	0.59	1.38	18	-0.79	1.70	30
Orle	1.49	1.18	24	-0.51	1.38	39
Park	0.50	1.26	29	-0.58	1.52	38
Soda	1.91	1.89	7	-0.54	2.58	9
Tsuk	0.93	1.95	16	-0.47	1.11	38
Woll	0.34	1.07	27	-0.36	1.56	45
All	0.60	1.45	255	-0.42	1.59	389

378

379 Moreover, the uncertainties of OCO-2 and GOSAT retrievals may be another reason for the dif-
 380 ferent performances in these two inversion experiments. We use TCCON retrievals to evaluate the
 381 uncertainties of OCO-2 and GOSAT XCO₂ retrievals. For satellite retrievals falling in the model
 382 grid box where TCCON sites are located, the closest TCCON retrievals in time or within two hours
 383 of satellite overpass time are chosen for comparison. We follow the procedures in Appendix A of
 384 Wunch et al. (2011) to do both prior profile and averaging kernel corrections. Table 4 shows the bi-
 385 ases and standard deviations grouped globally and at 10 TCCON sites where both OCO-2 and GO-
 386 SAT retrievals are available for comparison. The locations of these 10 sites are shown in Figure 2.
 387 Overall, GOSAT retrievals (-0.46 ppm) have lower bias than OCO-2 retrievals (0.6 ppm). At most

388 sites except Garm, OCO-2 retrievals have positive biases, while GOSAT retrievals tend to have
389 negative bias except at Bial and Garm sites. It also could be found that the spread of GOSAT data
390 biases are small, falling in the range of -0.36 to -0.58 ppm at most sites, while the spread of OCO₂
391 data biases is relatively large, with biases greater than 0.7 ppm at more than half of sites, in the
392 range of 0.34 to 0.59 ppm at 3 sites.

393 **4.3 Evaluation for the inversion results**

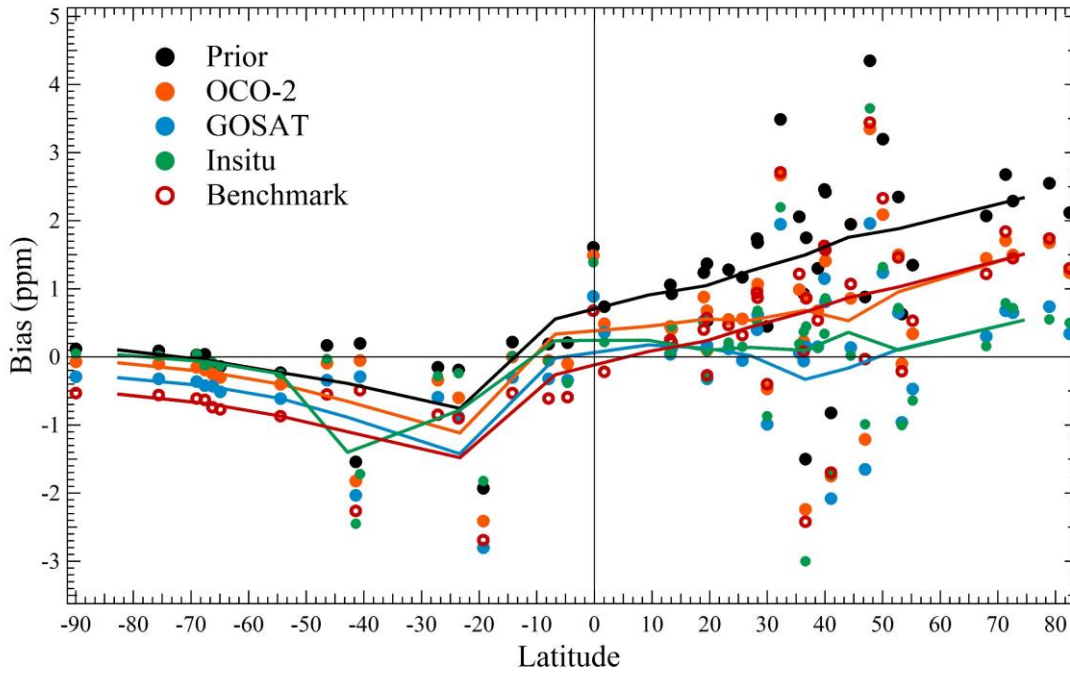
394 **4.3.1 Flask observations**

395 As shown in section 2.2, Flask observations from 52 sites are used to evaluate the inversion
396 results. Actually, there are much more flask observations in the dataset. When there are more than
397 one flask dataset for one site, we give priority to that from NOAA/ESL or that with more consistent
398 records. There are 56 sites with available flask observations for evaluation. In addition, during the
399 evaluations, we find that GEOS-Chem model is unable to capture the variations of CO₂ mixing ratios
400 at HPB, HUN, SGP and TAP sites, where the standard deviations of the deviations between the ob-
401 served and modeled mixing ratio are larger than 5 ppm. Therefore, we exclude these four sites and
402 use the rest 52 flask sites (shown in Figure 2) to evaluate the posterior mixing ratios. The GEOS-
403 Chem model is driven with the prior flux and the four posterior fluxes to obtain the prior and posterior
404 CO₂ mixing ratios. The simulated CO₂ mixing ratios are sampled at each observation site and within
405 half an hour of observation time.

406 Table 5 shows a summary of comparisons of the simulated CO₂ mixing ratios against the flask
407 measurements. The mean difference between the prior CO₂ mixing ratio and the flask measurements
408 is 0.93 ppm, with a standard deviation of 2.3 ppm. All four inversions show improvement in posterior
409 concentrations with reductions of biases. Not surprisingly, in situ inversion, using surface observa-
410 tions, shows the best improvement in posterior CO₂ mixing ratio with the largest reduction of bias
411 and standard deviation. GOSAT inversion achieve almost the same reductions of standard deviation
412 as in situ inversion. OCO-2 inversion gives larger bias and standard deviation than in situ and GOSAT

413 **inversions. Benchmark inversion effectively reduces the bias but with little improvement in the re-**
414 **duction of standard deviations.**

415 Figure 7 shows the biases at each observation site in different latitudes. It could be found that
416 the biases between the simulations and the observations in the northern hemisphere are significantly
417 larger than those in southern hemisphere since the carbon flux distribution of the northern hemisphere
418 is more complex than that of the southern hemisphere. When the prior flux is used, almost all sites in
419 the northern hemisphere have significant positive deviations, with an average of 1.7 ppm, while in
420 the southern hemisphere, the deviations are very small, with an average bias of only 0.08 ppm; when
421 using the posteriori flux **from OCO-2 inversion, the deviations in most northern hemisphere sites are**
422 **slightly reduced, with an average deviation of 0.85 ppm,** while in the southern hemisphere, at most
423 sites, the biases increase by variable amounts, with a mean of -0.13 ppm; when using the posterior
424 flux **from GOSAT inversion,** the deviations are **significantly** reduced to -0.04 ppm in the northern
425 hemisphere but further increased to -0.55 ppm in the southern hemisphere. **In situ inversion shows**
426 **similar improvement in Northern Hemisphere as GOSAT inversion does, but also with litter improve-**
427 **ment in Southern Hemisphere. Though benchmark inversion effectively reduces the global bias, it**
428 **shows limited improvement in the reduction of biases at most sites. These suggest that GOSAT and**
429 **in situ inversions can effectively improve the carbon fluxes estimate in the northern hemisphere, but**
430 **overestimate the land sinks in the southern hemisphere, especially for GOSAT inversion.**



431

432 **Figure 6.** Biases of the simulated CO₂ mixing ratios against the flask measurements in different lat-
 433 itudes (positive/negative biases represent modeled concentration being greater/less than the ob-
 434 served, the different color lines are the smooth of the corresponding marks)

435 **4.3.2 TCCON observations**

436 We also use ground XCO₂ observations from 13 TCCON sites (Figure 2) to evaluate our inver-
 437 sion results. The simulated CO₂ concentrations at 47 vertical levels are mapped into 71 TCCON
 438 levels. Following the approach of Wunch et al. (2011), using prior profiles and the averaging kernel
 439 from the TCCON dataset, we calculated the modeled XCO₂ values at 13 TCCON sites. [Table 5](#)
 440 shows the comparison of modeled XCO₂ with TCCON observations. The mean difference between
 441 prior XCO₂ and TCCON retrievals is 1.16 ppm, with a standard deviation of 1.3 ppm. **GOSAT in-**
 442 **version performs the best with the largest reductions of bias and standard deviations. Though OCO-**
 443 **2 inversion shows improvement in the reduction of standard deviation, it gives a relative large bias**
 444 **for posterior XCO₂. In situ inversion has the same reduction of standard deviation as GOSAT inver-**
 445 **sion. Benchmark inversion reduces the bias to 0.49 ppm and gives slight improvement in reducing**
 446 **standard deviation of posterior XCO₂.**

447 Figure 7 shows the bias at each TCCON site. Obviously, the biases at all TCCON sites are pos-
448 itive when using the prior fluxes, ranging between 0.3 and 2.6 ppm. The biases at the sites in the
449 northern temperate and boreal areas are all above 1.5 ppm except for the Lamont site. In Northern
450 Hemisphere, GOSAT, in situ and benchmark inversions significantly reduce the biases at most sites
451 except Izan, Lamo and Park. However, the biases at those sites remain relatively large. Since GO-
452 SAT and in situ inversions show evident improvement at flask sites in Northern Hemisphere, the
453 remaining large biases at TCCON sites may not be due to the underestimate of Northern Land sink
454 but the uncertainty of TCCON retrievals. At Lamo and Park sites in North America, GOSAT inver-
455 sion gives negative bias, suggesting it may overestimate the carbon sink for North America Tem-
456 perate. At Izan, the biases of posterior concentrations are up to -0.5 ppm from in situ and bench-
457 mark inversions, indicating the overestimate of the carbon sink in North Africa by two inversions.
458 For two of the three TCCON sites in the southern hemisphere, the biases are changed to negative
459 values when using the posteriori fluxes from GOSAT data, further indicating the overestimation of
460 carbon sinks by GOSAT data in the southern hemisphere.

461 **Table 5.** Statistics of the model-data mismatch errors at the 52 surface flask sites and the 13 TCCON
462 sites

	Flask		TCCON	
	Bias	Stdev	Bias	Stdev
Prior	0.93	2.30	1.16	1.30
OCO-2	0.33	2.15	0.80	1.08
GOSAT	-0.19	2.05	0.22	1.04
In situ	-0.03	2.04	0.38	1.04
Benchmark	0.14	2.28	0.49	1.25

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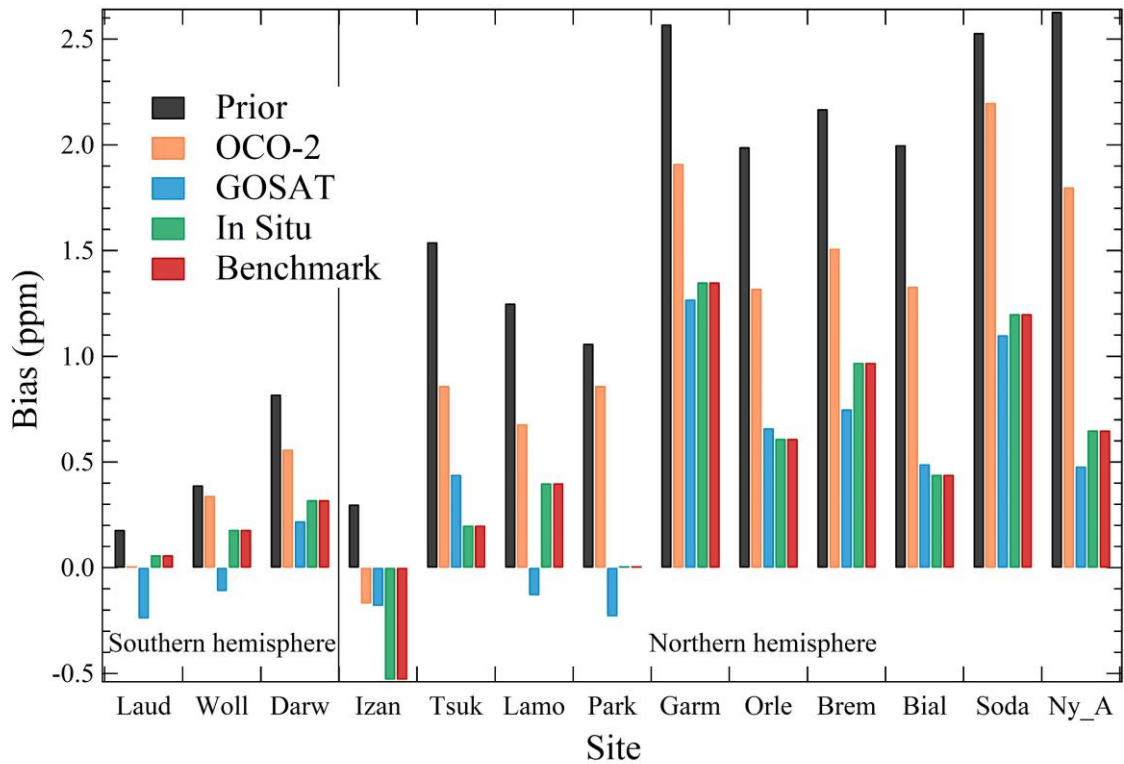


Figure 7. The biases between the modeled and observed XCO₂ at the 13 TCCON sites

5. Summary and Conclusions

In this study, we use both GOSAT and OCO-2 XCO₂ retrievals to constrain terrestrial ecosystem carbon fluxes from Oct 1, 2014 to Dec 31, 2015, using the GEOS-Chem 4D-Var data assimilation system. In addition, one inversion using in situ measurements and another inversion as a benchmark, are also conducted. The posterior carbon fluxes estimated from these four inversions at both global and regional scales during Jan 1 to Dec 31, 2015 are shown and discussed. We evaluate the posterior carbon fluxes by comparing the posterior CO₂ mixing ratios against observations from 52 surface flask sites and 13 TCCON sites.

Globally, the terrestrial ecosystem carbon sink (excluding biomass burning emissions) estimated from GOSAT data is stronger than that inferred from OCO-2 data and weaker than that from in situ inversion, but closest to the benchmark inversion estimate. Regionally, in most regions, the land sinks inferred from GOSAT data are also stronger than those from OCO-2 data. Compared with

479 the in situ inversion, GOSAT inversions have weaker sinks in Boreal and most Tropical lands, and
480 much stronger ones in Temperate lands. Compared with the prior fluxes, the inferred land sinks are
481 largely increased in the temperate regions, and decreased in tropical regions. There are largest changes
482 of the prior fluxes in Northern Temperate regions, followed by Tropical and Southern Temperate
483 regions, and the weakest in boreal regions. The different impact of XCO₂ on the carbon fluxes in
484 different regions is mainly related to the spatial coverage and the amount of XCO₂ data. Generally, a
485 larger amount of XCO₂ data in a region is corresponding to a larger change in the inverted carbon
486 flux in the same region. The different biases of the two XCO₂ retrievals may also give rise to their
487 different inversion performances.

488 Evaluations of the inversions using CO₂ concentrations from flask measurements and TCCON
489 retrievals show that both posterior carbon fluxes estimated from OCO-2 and GOSAT retrievals **could**
490 **improve** the modeling of atmospheric CO₂ concentrations, and **overall**, the simulated CO₂ concentra-
491 tions with GOSAT posterior fluxes are much closer to the observations than those with OCO-2 **esti-**
492 **mates**. Compared with bench inversion, both GOSAT and in situ inversions show evident improve-
493 ment with the similar reductions of both biases and standard deviations of posterior concentrations,
494 while OCO-2 inversion displays limited improvement over benchmark inversion. Generally, the pos-
495 terior biases from GOAST inversion are significantly reduced in the northern hemisphere and are
496 **slightly increased in the southern hemisphere**. These suggest that GOSAT data can effectively im-
497 prove the carbon fluxes estimate in the northern hemisphere.

498 The OCO-2 and GOSAT XCO₂ retrievals used in this study are bias-corrected products. Never-
499 theless, there still exists apparent biases and the differences between these two satellites data are
500 distinctive. The more reliable constraints on carbon flux call for the further reduction of satellite
501 retrieval errors. These indicate that we should interpret carbon flux inferred from the current satellites
502 XCO₂ retrievals with great cautions in understanding global carbon cycle.

503

504 **Author contributions**

505 FJ and HW designed the research, HW conducted inverse modeling, HW and FJ conducted data anal-
506 ysis and wrote the paper, JW, WJ and JC participated in the discussion of the results and provided
507 input on the paper for revision before submission.

508 **Competing interests**

509 The authors declare that they have no conflict of interest.

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515

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