



1 **Inter-comparison of O<sub>3</sub> formation and radical chemistry in the past decade at a suburban site in**  
2 **Hong Kong**

3 Xufei Liu<sup>1,#</sup>, Xiaopu Lyu<sup>1,#</sup>, Yu Wang<sup>1</sup>, Fei Jiang<sup>2</sup>, Hai Guo<sup>1,\*</sup>

4 <sup>1</sup> Air Quality Studies, Department of Civil and Environmental Engineering, The Hong Kong  
5 Polytechnic University, Hong Kong, China

6 <sup>2</sup> Jiangsu Provincial Key Laboratory of Geographic Information Science and Technology,  
7 International Institute for Earth System Science, Nanjing University, Nanjing, China

8 \*Corresponding author. [ceguohai@polyu.edu.hk](mailto:ceguohai@polyu.edu.hk)

9 # Both authors made equal contribution.

10 **Abstract**

11 Hong Kong, as one of the densely populated metropolises in East Asia, has been suffering  
12 from severe photochemical smog in the past decades, though the observed nitrogen oxides  
13 (NO<sub>x</sub>) and total volatile organic compounds (TVOCs) were significantly reduced. This study,  
14 based on the observation data in the autumns of 2007, 2013 and 2016, investigated the  
15 photochemical ozone (O<sub>3</sub>) formation and radical chemistry during the three sampling periods  
16 in Hong Kong with the aid of a Photochemical Box Model incorporating the Master  
17 Chemical Mechanism (PBM-MCM). Neither the observed O<sub>3</sub> nor the simulated locally  
18 produced O<sub>3</sub> changed significantly ( $p=0.11$  and  $0.99$ , respectively) from 2007 to 2013;  
19 however, both of which decreased ( $p<0.05$ ) from the VOC sampling days in 2013 to those in  
20 2016 at a rate of  $-5.04\pm 0.05$  and  $-4.35\pm 0.10$  ppbv yr<sup>-1</sup>, respectively. The regionally  
21 transported O<sub>3</sub> showed an increase (rate =  $1.62\pm 0.39$  ppbv yr<sup>-1</sup>,  $p<0.05$ ) during 2007-2013,  
22 but slight decrease ( $p=0.09$ ) from 2013 to 2016. The mitigation of autumn O<sub>3</sub> pollution in this  
23 region was further confirmed by the continuous monitoring data, which has never been  
24 reported in previous studies. Benefited from the air pollution control measures taken in Hong  
25 Kong, the local O<sub>3</sub> production rate decreased remarkably ( $p<0.05$ ) from 2007 to 2016, along



26 with the lowering of recycling rate of hydroxyl radical (OH). Specifically, VOCs emitted  
27 from the source of liquefied petroleum gas (LPG) usage and gasoline evaporation decreased  
28 in this decade at a rate of  $-2.61 \pm 0.03$  ppbv yr<sup>-1</sup>, leading to a reduction of the O<sub>3</sub> production  
29 rate from  $0.51 \pm 0.11$  ppbv h<sup>-1</sup> in 2007 to  $0.10 \pm 0.02$  ppbv h<sup>-1</sup> in 2016. In addition, solvent  
30 usage made decreasing contributions to both VOCs (rate =  $-2.29 \pm 0.03$  ppbv yr<sup>-1</sup>) and local O<sub>3</sub>  
31 production rate ( $1.22 \pm 0.17$  and  $0.14 \pm 0.05$  ppbv h<sup>-1</sup> in 2007 and 2016, respectively) in the  
32 same period. All the rates reported here were for the VOC sampling days in the three  
33 sampling campaigns. It is noteworthy that meteorological changes also play important roles  
34 in the inter-annual variations of the observed O<sub>3</sub> and the simulated O<sub>3</sub> production rates.  
35 Evaluations with more data in longer periods are therefore recommended. The analyses on  
36 the decadal changes of the local and regional photochemistry in Hong Kong in this study may  
37 be a reference for combating China's national-wide O<sub>3</sub> pollution in near future.

38 **Keywords:** Ozone formation; Volatile organic compounds; Radical chemistry; Source  
39 apportionment; Control measures

40

## 41 1 Introduction

42 Ground-level ozone (O<sub>3</sub>) is one of the most representative air pollutants in photochemical  
43 smog, produced through photochemical reactions between volatile organic compounds  
44 (VOCs) and nitrogen oxides (NO<sub>x</sub>) in presence of sunlight (NRC, 1992; Jacob et al., 1999;  
45 Guo et al., 2017). It is well documented that O<sub>3</sub> is harmful to human health (Bell et al., 2004),  
46 crops (Wang et al., 2005) and natural ecosystems (Ashmore, 2005). Through the last 30 years,  
47 extensive efforts have been made by the local and federal governments to alleviate the  
48 tropospheric O<sub>3</sub> pollution around the world (NRC, 1992; NARSTO, 2000; Wang et al., 2017a;  
49 Wang et al., 2018a). Effectiveness has gradually shown in some countries/regions, such as



50 Switzerland, Germany, Ireland and eastern North America (Lefohn et al., 2010; Cui et al.,  
51 2011; Derwent et al., 2013; Parrish et al., 2014; Lin et al., 2017). In contrast, the O<sub>3</sub> levels in  
52 many places are still increasing or not decreasing at the expected rates, particularly in East  
53 Asia (Ding et al., 2008; Xu et al., 2008; Parrish et al., 2014; Xue et al., 2014a; Wang et al.,  
54 2017a).

55 Hong Kong, as one of the densely populated metropolises in East Asia, has been suffering  
56 from severe photochemical smog in the past decades, though the locally-emitted NO<sub>x</sub> and  
57 total VOCs (TVOCs) were significantly reduced (Xue et al., 2014a; Ou et al., 2015; Lyu et al.,  
58 2016a; Wang et al., 2017a). On one hand, this indicates the non-linear relationship between  
59 O<sub>3</sub> and its precursors. On the other hand, in addition to local O<sub>3</sub> formation, the observed O<sub>3</sub> in  
60 Hong Kong is also influenced by the regional transport due to the proximity of the highly  
61 industrialized Pearl River Delta (PRD) region. Earlier studies revealed that the local O<sub>3</sub>  
62 production is typically limited by VOCs in urban and some suburban areas in Hong Kong  
63 (Zhang et al., 2007; Ling et al., 2014; Wang et al., 2017b). Namely, cutting VOCs emissions  
64 will reduce O<sub>3</sub> production, while the reduction of NO<sub>x</sub> may cause an O<sub>3</sub> increment (Cheng et  
65 al., 2010, 2013; Guo et al., 2011; Wang et al., 2017a). Previous studies also documented that  
66 photochemical O<sub>3</sub> formation is dependent upon the ratios between TVOCs and NO<sub>x</sub> (Sillman,  
67 1999; Guo et al., 2013; Ling et al., 2013), reactivity of VOC species (Zhang et al., 2007; Liu  
68 et al., 2008; Cheng et al., 2010) and the composition of NO<sub>x</sub> (*i.e.* relative abundances of NO<sub>2</sub>  
69 and NO) (Richter et al., 2005; Xu et al., 2008; Wang et al., 2018a). Moreover, located in the  
70 subtropical region, Hong Kong has relatively high temperature and strong solar radiation,  
71 which are favourable for local O<sub>3</sub> formation. For regional transport, studies (Wang et al.,  
72 2001; Ding et al., 2004; Wang et al., 2017b) indicated that O<sub>3</sub> was generally built up in Hong  
73 Kong under the northerly winds, whereas it was often driven down by the sea breeze from  
74 South China Sea (SCS) and by the southwest monsoon in warm seasons. The contribution of



75 regional transport to O<sub>3</sub> in Hong Kong even reached 70% under the dominance of tropical  
76 cyclone (Huang et al., 2005), a typical synoptic condition conducive to severe O<sub>3</sub> pollution in  
77 the Northern Hemisphere (So and Wang, 2003; Huang et al., 2005; Lam et al., 2005). To  
78 improve the air quality in Hong Kong, a series of control measures aiming at restriction of  
79 VOC emissions have been implemented by Hong Kong government since 2007, which  
80 effectively reduced the concentrations of some VOCs, such as propane and *i/n*-butanes  
81 emitted from taxis and public light buses fuelled by liquefied petroleum gas (LPG) (Lyu et al.,  
82 2016b), the aromatics mainly attributable to solvent usage, and the alkenes in association  
83 with diesel exhaust (Lyu et al., 2017a). As a result, Xue et al. (2014a) and Wang et al.  
84 (2017a) found that the locally produced O<sub>3</sub> decreased. However, the regional and super-  
85 regional transport of O<sub>3</sub> and its precursors from PRD and eastern China to Hong Kong had  
86 offset the decrease of the local O<sub>3</sub> production, resulting in an overall increase of the observed  
87 O<sub>3</sub> in Hong Kong from 2005 to 2013.

88 Despite many previous studies (Xue et al., 2014a, 2016; Ou et al., 2015; Lyu et al., 2016a;  
89 Wang et al., 2017a; Wang et al., 2018a), the inter-annual variations of the O<sub>3</sub> formation  
90 regimes and radical chemistry have yet been fully understood in Hong Kong. Additionally,  
91 the online measurement data used in previous long-term O<sub>3</sub> study might hamper the exact  
92 understanding of the local O<sub>3</sub> formation mechanisms, due to the unavailability of many  
93 reactive VOCs, such as formaldehyde. Besides, the trends of the local production and  
94 regional transport of O<sub>3</sub> were only updated to 2013 in previous studies (Xue et al., 2014a;  
95 Wang et al., 2017a). In fact, many measures were taken to reduce air pollutants' emissions in  
96 the latest years in Hong Kong and PRD. For examples, nearly 75% of the old catalytic  
97 converters on LPG-fuelled vehicles were renewed during September 2013 - May 2014. A  
98 program to eliminate the pre-Euro IV diesel vehicles or to upgrade their emission standards to  
99 Euro IV was initiated in March 2014 and is still ongoing till 2019 at its third phase. In PRD,



100 the second stage of the clean air controlling program was implemented in 2013 - 2015  
101 (DGEPPD, 2013). In 2014, the Guangdong provincial government has launched an Action  
102 Plan for Air Pollution Prevention and Control (MEE PRC, 2014), putting the emphases on the  
103 emission control of traffics, coal-fired power plants and industrial sources. Investigations on  
104 the post-2013 variations of the local O<sub>3</sub> production in Hong Kong and the regional impacts  
105 provide a good opportunity for us to examine the effectiveness of these local and regional  
106 measures.

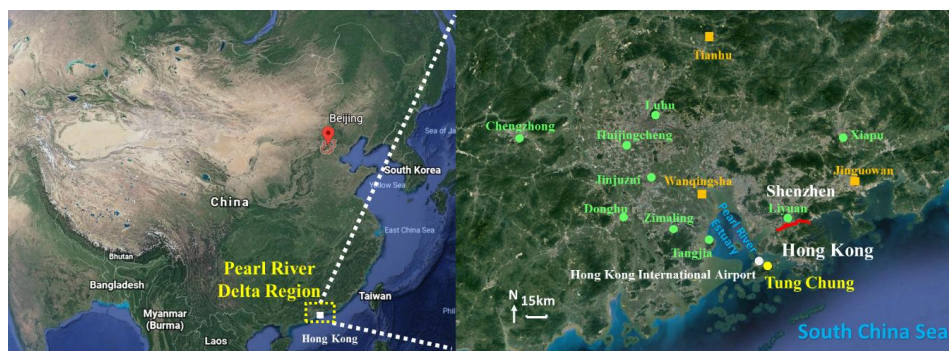
107 The objectives of this study were to re-examine the O<sub>3</sub> trend in the pre-2013 and trace the O<sub>3</sub>  
108 evolution in the post-2013 in Hong Kong, and to explore the underlying mechanisms for the  
109 variations of O<sub>3</sub> formation and radical chemistry. With the aid of a photochemical box model,  
110 the locally-produced and regionally-transported O<sub>3</sub>, as well as their variation trends, were  
111 determined. Under the assumption that the local O<sub>3</sub> production in these years was changed  
112 due to a series of control measures in Hong Kong, we also aimed to evaluate the actual  
113 effectiveness of these control measures. China is suffering from severe O<sub>3</sub> pollution, almost  
114 second to none over the world. While O<sub>3</sub> began to decrease in most areas of North America  
115 and Europe, China's O<sub>3</sub> pollution was even aggravated in recent years. A series of air  
116 pollution control strategies have been implemented in China, though most of them were not  
117 specifically designed for O<sub>3</sub> abatement. Investigations on O<sub>3</sub> trends and the potential causes  
118 in Hong Kong would provide a good example of assessing the evolution of O<sub>3</sub> pollution and  
119 the effects of artificial interventions in China. In addition, the changes in the regional  
120 contribution to O<sub>3</sub> in Hong Kong determined in this study would throw light upon the  
121 variations of O<sub>3</sub> in China, particularly in South China. It is expected that this study would  
122 have some inspiration to the O<sub>3</sub> pollution control in other cities and regions in China.

## 123 **2 Methodolgy**



124 **2.1 Sampling site**

125 Hong Kong is located on the southern coast of China with Guangdong province to the north  
126 and Pearl River Estuary (PRE) to the northwest. The sampling site (22.29N, 113.94E), Tung  
127 Chung (TC), was in a newly-developed suburban area in western Hong Kong, with a  
128 population of ~77,400 in 2016 (CSD, 2011, 2018). The urban centre of Hong Kong is ~20 km  
129 northeast of TC. Hong Kong is dominated by the subtropical oceanic monsoon climate.  
130 During warm seasons, the prevailing winds mainly come from SCS at a relatively low speed  
131 (southwest winds). In cold seasons, the east and northeast winds are predominant. Generally,  
132 the sampling site receives relatively polluted air masses from mainland China, *i.e.* PRD  
133 region, Yangtze River Delta region and even North China between October and March, when  
134 high O<sub>3</sub> levels are often observed (Wang et al., 2009). Therefore, the samplings were mainly  
135 conducted in October and November in this study, except for 4 out of 45 sampling days in  
136 September. The sampling site was close to a highway linking to the Hong Kong International  
137 Airport (HKIA), and the HKIA was around 3 km to the north of the site. In addition, the local  
138 emissions from residential activities may modulate the air quality at this site. Figure 1 shows  
139 the locations of the sampling site (TC) and the 12 air quality monitoring stations in PRD,  
140 which witnessed the evolution of air quality in PRD over the last decade and is used to  
141 demonstrate the variations of regional O<sub>3</sub> in this study. More detailed description of the site  
142 can be found in our previous studies (Jiang et al., 2010; Cheng et al., 2010; Ling et al., 2013;  
143 Ou et al., 2015).



144

145 Figure 1. Location of the sampling site (yellow circle) and the surrounding environment. The  
146 red line in the right panel shows the border between Hong Kong and Shenzhen, Guangdong.  
147 The three regional and nine urban air quality monitoring stations in PRD are symbolized by  
148 orange blocks and green circles, respectively.

## 149 2.2 Continuous measurements of trace gases and collection of VOC/OVOC samples

150 Trace gases ( $\text{SO}_2$ ,  $\text{CO}$ ,  $\text{NO}$ ,  $\text{NO}_2$  and  $\text{O}_3$ ) and meteorological conditions were continuously  
151 measured at TC site for three autumn periods in 2007, 2013 and 2016 (see Table S1 for the  
152 specific sampling periods), including 25  $\text{O}_3$  episode days with the maximum hourly average  
153  $\text{O}_3$  exceeding 100 ppbv (Level II of China National Ambient Air Quality Standard) and 185  
154 non-episode days. VOC and OVOC samples were selectively collected on 8, 19 and 18 days  
155 in 2007, 2013 and 2016, respectively (see Table S1 for the specific sampling dates). The three  
156 sampling periods were used as representatives of the autumns in the three years in this study,  
157 and the rationality will be discussed in section 3.1.

158 Trace gases were continuously measured at the TC air quality monitoring station operated by  
159 the Hong Kong Environmental Protection Department (HKEPD), ~0.8 km to our sampling  
160 site. The instruments were the same as those used in the US air quality monitoring program  
161 (HKEPD, 2017a). Table S2 summarizes the instruments, analysis techniques, detection limits  
162 and the time resolutions for measurements of the trace gases. The high resolution data were



163 collected and averaged into the hourly averages. All the analysers except O<sub>3</sub> analyser were  
164 zeroed daily by analysing scrubbed ambient air and calibrated every two weeks by a span gas  
165 mixture with a NIST (National Institute of Standards and Technology) traceable standard,  
166 while the O<sub>3</sub> analyser was calibrated using a transfer standard (Thermo Environmental  
167 Instruments (TEI) 49PS) every two weeks. Details about the quality assurance and control  
168 procedures can be found in Ling et al. (2016a). The meteorological parameters, including  
169 temperature, relative humidity, pressure, wind speed, wind direction, precipitation and solar  
170 radiation, were also continuously monitored by a mini weather station (Vantage Pro TM &  
171 Vantage Pro 2 Plus TM Weather Stations, Davis Instruments) during the sampling periods.  
172 Data were integrated into 30-minute averages by a built-in program in the weather station.  
173 The collection and analysis of VOCs and OVOCs were detailed in our previous studies (Guo  
174 et al., 2009; Wang et al., 2018b). Briefly, pre-cleaned and evacuated 2 L electropolished  
175 stainless-steel canisters were used to collect VOC samples. On O<sub>3</sub> episode days, one-hour  
176 sample was collected in each hour during the daytime (07:00-19:00 LT), generating 13  
177 samples per day, while 5-7 one-hour samples were collected every other hour on non-O<sub>3</sub>  
178 episode days from 07:00 to 19:00 LT in the 2013 and 2016 sampling campaigns. However,  
179 12 one-hour samples were collected on each VOC sampling day between 07:00 and 18:00 in  
180 2007, regardless of O<sub>3</sub> episodes or non-episodes. The O<sub>3</sub> episode days were predicted prior to  
181 sampling based on weather forecast and numerical simulation of O<sub>3</sub>. Overall, the O<sub>3</sub> episodes  
182 were usually associated with high temperature, strong solar radiation, low humidity, and  
183 weak or northerly winds. A total of 414 canister samples, including 96 samples in 2007, 146  
184 samples in 2013 and 172 samples in 2016, were collected and analysed during the three  
185 sampling periods (Table S1).

186 In addition to VOC samples, OVOC samples were also collected on the same days as those  
187 for the collection of VOCs. Dinitrophenylhydrazine (DNPH)-silica cartridges (Waters Sep-





188 Pak DNPH-Silica, Milford, MA) were used to collect the OVOC samples. An ozone scrubber  
189 (Sep-Pak; Waters Corporation, Milford, MA) was connected in front of the DNPH cartridge  
190 to prevent interference of ozone. The ozone scrubber was replaced every two OVOC samples.  
191 For each OVOC sample, air was drawn to pass the O<sub>3</sub> scrubber and the cartridge for 2 hours  
192 (2.5 hours in 2007 sampling campaign) at a flow rate of 0.5 L min<sup>-1</sup>, which was controlled by  
193 a rotameter. During the sampling periods in 2013 and 2016, 5-7 OVOC samples were  
194 collected every two hours from 06:00-20:00 LT on both O<sub>3</sub> episode and non-episode days. In  
195 2007, only 2 samples were collected on non-O<sub>3</sub> episode days at 10:30-13:00 and 13:00-15:30,  
196 and 4 samples between 08:00 and 18:00 on O<sub>3</sub> episode days. In total, 275 OVOC samples (28  
197 in 2007, 124 in 2013 and 124 in 2016) were collected and analysed in the three sampling  
198 campaigns (Table S1).

## 199 **2.3 Chemical analysis**

### 200 2.3.1 Analysis of VOCs

201 The concentrations of 48 speciated non-methane hydrocarbons (NMHCs) in the canisters  
202 were determined with an Entech Model 7100 Preconcentrator (Entech Instruments Inc.,  
203 California, USA) coupling with a gas chromatography-mass selective detector (Model 5973N,  
204 Agilent Technologies, USA), a flame ionization detector, and an electron capture detector  
205 (GC-MSD/FID/ECD). The NMHCs were analysed in Donald Blake's laboratory at  
206 University of California, Irvine (UCI) for the samples collected in 2007, in Guangzhou  
207 Institute of Geochemistry (GIG), Chinese Academy of Sciences for the samples collected in  
208 2013 and in The Hong Kong Polytechnic University (HKPolyU) for the samples collected in  
209 2016. It should be noted that the GC-MSD/FID/ECD system in the latter two institutes was  
210 the same as that at UCI, and inter-comparisons were performed regularly among the three  
211 institutes, which showed reasonably good agreements (Ling et al., 2014; Wang et al., 2018b;



212 Zeng et al., 2018). Detailed information about the analysis procedures and quality assurance  
213 and control can be found in Colman et al. (2001) and Simpson et al. (2010). Table S3  
214 summarizes the limits of detection (LoDs), precisions and accuracies of the VOC analyses in  
215 the three institutes.

216 The OVOC samples were stored in a refrigerator at 4 °C after sampling. For analyses of  
217 OVOCs, the cartridges were eluted slowly with 2 ml of acetonitrile into a 2-ml volumetric  
218 flask. A high-performance liquid chromatography (HPLC) system (Perkin Elmer Series 2000,  
219 MA, USA) coupled with an ultraviolet (UV) detector operating at 360 nm was used for  
220 analysis. The instrument was calibrated using standards of 5 gradient concentrations covering  
221 the concentrations of interest for different OVOCs in ambient air. Good linear relationships  
222 ( $R^2 > 0.999$ ) between the standard concentrations and responses of the instrument were  
223 obtained for the 16 analysed OVOC species. The built-in computerized programs of quality  
224 control systems such as auto-linearization and auto-calibration were used to guarantee the  
225 data quality. Detailed information about the analysis and quality control of OVOC samples  
226 was provided in Cheng et al. (2014), Cui et al. (2016) and Ling et al. (2016b). Due to the low  
227 detection rate of many OVOCs, this study only focused on formaldehyde, acetaldehyde,  
228 acetone and propionaldehyde, which had relatively high concentrations.

## 229 **2.4 Model description**

### 230 **2.4.1 Positive matrix factorization (PMF)**

231 PMF is a receptor model that has been extensively used for source apportionment of airborne  
232 particulate matters and VOCs (Lee et al., 1999; Brown et al., 2007). In this study, US EPA  
233 PMF 5.0 model (US EPA, 2017) was applied to identify the sources of O<sub>3</sub> precursors,  
234 according to Equation (1) (Paatero, 1997; Ling et al., 2014).



235 
$$x_{ij} = \sum_{k=1}^p g_{ik} f_{kj} + e_{ij} \quad \text{Equation (1)}$$

236 where  $x_{ij}$  is the measured concentration of  $j$ th species in  $i$ th sample,  $g_{ik}$  represents the  
237 contribution of  $k$ th source to  $i$ th sample,  $f_{kj}$  denotes the fraction of  $j$ th species in  $k$ th source,  
238 and  $e_{ij}$  is the residual for  $j$ th species in  $i$ th sample.  $p$  stands for the total number of  
239 independent sources (Paatero, 2000a, b).

240 The uncertainties of the concentrations applied to PMF were set in the same way as Polissar  
241 et al. (1998) and Reff et al. (2007). Values below or equal to the LoD were replaced by half  
242 of the LoDs and the uncertainties for these values were set as 5/6 of the corresponding LoDs.  
243 For the values greater than LoDs, the uncertainties were calculated as [(Error Fraction  $\times$   
244 concentration) $^2$  + (LoD) $^2$ ] $^{1/2}$  where 10% was assigned as the error fraction. Missing values  
245 (mainly due to maintenance or malfunction of the instruments) were replaced by the  
246 geometric mean of the measured values and their accompanying uncertainties were set as  
247 four times the geometric mean value. More details about the settings of the uncertainty were  
248 provided in Norris et al. (2008) and Zhang et al. (2012).

249 The model was run for 20 times with a random seed, and tests with different number of  
250 factors were conducted. The optimum solution was finally determined based on both a good  
251 fit to the observed data and the most reasonable and interpretable results according to the  
252 knowledge on the sources of O<sub>3</sub> precursors in Hong Kong (Ling et al., 2011, 2014; Ou et al.,  
253 2015).

#### 254 **2.4.2 Observation-based model (OBM)**

255 A photochemical box model coupled with the Master Chemical Mechanism (PBM-MCM)  
256 was used to simulate the photochemical O<sub>3</sub> formation on the VOC sampling days. In this  
257 study, MCM v3.2, a near explicit chemical mechanism consisting of 5,900 species and



258 16,500 reactions which fully describes the homogeneous gas phase reactions in the  
259 atmosphere (Jenkin et al., 1997, 2003; Saunders et al., 2003), was used. The observation data  
260 of temperature, relative humidity, O<sub>3</sub>, SO<sub>2</sub>, CO, NO, NO<sub>2</sub> and 52 C<sub>2</sub>-C<sub>10</sub> VOCs/OVOCs were  
261 input into the model. Specifically, the 52 VOCs/OVOCs included 19 alkanes, 16 alkenes, 13  
262 aromatics and 4 OVOCs, as shown in Table S4, where the statistics of the mixing ratios of  
263 VOCs/OVOCs are also presented. Nitrous acid (HONO) was not monitored in this study. The  
264 average diurnal cycle of HONO mixing ratios measured at the same site in autumn in 2011  
265 (Xu et al., 2015) was input into the model to roughly represent its role in O<sub>3</sub> formation and  
266 atmospheric radical chemistry. Due to the data limitation, the trends of HONO at TC in the  
267 three sampling campaigns were not traceable. However, the measurements at a background  
268 site in Hong Kong indicated comparable levels of HONO ( $p > 0.1$ ) between the autumn in  
269 2012 and in 2018 (unpublished data). Therefore, adopting the HONO measured in 2011 as  
270 the inputs of the simulations in the three sampling campaigns was likely a plausible  
271 assumption, despite some uncertainties. The model was also tailored to the real situations in  
272 Hong Kong. Specifically, the height of the planetary boundary layer was allowed to vary  
273 from 300 m at night to 1400 m at noon. The photolysis rates were calculated according to the  
274 measured solar radiations by the Tropospheric Ultraviolet and Visible Radiation model  
275 (Madronich and Flocke, 1999; Wang et al., 2017a), with the detailed method described in  
276 Lyu et al. (2017b). In addition to the chemical processes, the exchange between the lower  
277 troposphere and free troposphere, and dry deposition were also considered in the model. The  
278 concentrations of air pollutants in the free troposphere were set according to the observations  
279 at a mountainous site in Hong Kong (Lam et al., 2013). The dry deposition rates were  
280 adopted from the previous studies (Saunders et al., 2003; Lam et al., 2013). The other  
281 physical processes were not included in the model, which might lead to insufficient  
282 description of the transport. However, since the model was constrained to the observations



283 which included the transported air pollutants, the regional transport was partially considered.  
284 Besides, the observations at 07:00 on each day were used to initiate each day's modelling,  
285 through which the effect of regional transport before the daytime modelling was also  
286 considered. We admit that the PBM-MCM cannot perfectly reproduce the real atmospheric  
287 processes. However, it performed well in describing the in-situ photochemistry in previous  
288 studies (Lam et al., 2013; Ling et al., 2014; Lyu et al., 2017b; Wang et al., 2017a). Actually,  
289 the deficiency of PBM-MCM in consideration of the atmospheric dynamics enabled us to  
290 assess the contributions of regional transport to O<sub>3</sub> in Hong Kong, based on the differences  
291 between the observed and simulated O<sub>3</sub> (Wang et al., 2017a).

## 292 **2.5 Simulation scenarios**

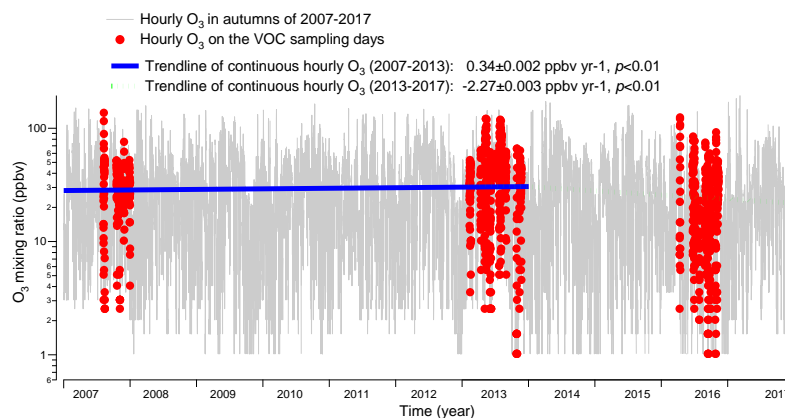
293 Two scenarios of model simulation were performed in this study, *i.e.*, Scenario A and  
294 Scenario B. The scenario A simulated the O<sub>3</sub> photochemistry in the whole air, which was  
295 constrained by the observed concentrations of all the O<sub>3</sub> precursors. The model simulations in  
296 scenario B (including six assumed sub-scenarios) were constrained by the concentrations of  
297 O<sub>3</sub> precursors with those contributed by individual sources being subtracted from the  
298 observed concentrations. Text S1 elaborates the set-up of these scenarios. The simulated O<sub>3</sub>  
299 in scenario A was regarded as the locally produced O<sub>3</sub>, as the observed O<sub>3</sub> concentrations  
300 were not input to constrain the model. Bearing in mind that the regional effects cannot be  
301 completely eliminated in this approach, due to the impacts of regional air on the observed  
302 concentrations of O<sub>3</sub> precursors. The differences between the scenario A and scenarios B  
303 reflected the contributions of the individual sources to the simulated O<sub>3</sub> production rate.

## 304 **3 Results and discussion**



### 305 3.1 Observation overview

306 Figure 2 shows the hourly mixing ratios of O<sub>3</sub> observed at TC in the autumns of 2007-2017  
307 with the data on VOC sampling days being highlighted in red. It was found that the autumn  
308 O<sub>3</sub> increased significantly from 2007 to 2013 ( $p < 0.01$ ), with a rate of  $0.34 \pm 0.002$  ppbv yr<sup>-1</sup>.  
309 This was consistent with Wang et al. (2017a) who reported an overall increase rate of autumn  
310 O<sub>3</sub> of  $0.67 \pm 0.07$  ppbv yr<sup>-1</sup> at the same site for the period of 2005-2013. On one hand, the  
311 discrepancy in O<sub>3</sub> increasing rates might be due to the different statistics used to draw the  
312 rates, *i.e.* hourly values in this study and monthly averages in Wang et al. (2017a). On the  
313 other hand, the autumn O<sub>3</sub> increased substantially from  $23.9 \pm 0.97$  ppbv in 2005 to  $30.2 \pm 0.97$   
314 ppbv in 2007, much quicker than the increase between 2007 and 2013. Without the inclusion  
315 of the period of 2005-2007 might be another reason of the less O<sub>3</sub> enhancement calculated  
316 here. In contrast to the increased autumn O<sub>3</sub> during 2007-2013, the autumn O<sub>3</sub> decreased  
317 obviously from 2013 to 2017 ( $p < 0.01$ ), at a rate of  $-2.27 \pm 0.003$  ppbv yr<sup>-1</sup>, indicating a  
318 fundamental alleviation of O<sub>3</sub> pollution in Hong Kong in the latest 5 years. Overall, a  
319 statistically significant decreasing trend (rate =  $-0.44 \pm 0.001$  ppbv yr<sup>-1</sup>) was observed for the  
320 autumn O<sub>3</sub> at TC through 2007 to 2017 ( $p < 0.05$ ). The average O<sub>3</sub> on VOC sampling days in  
321 the three sampling campaigns also followed the same pattern, which increased from  
322  $32.8 \pm 2.6$  ppbv in 2007 to  $36.9 \pm 2.3$  ppbv in 2013, while decreased to  $24.4 \pm 1.9$  ppbv in 2016.  
323 Further, we investigated the number of O<sub>3</sub> episode days in the autumns of the three VOC  
324 sampling years (see Figure S1) and identified 15 (16.5% of the autumn days, same below)  
325 and 16 (17.6%) O<sub>3</sub> episode days in 2007 and 2013, respectively. However, there was only 5  
326 (5.5%) O<sub>3</sub> episode days in the autumn of 2016. Similarly, the O<sub>3</sub> episode days accounted for  
327 12.5%, 26.3% and 5.6% of the 2007, 2013 and 2016 sampling campaigns, respectively.  
328 Therefore, the increase of O<sub>3</sub> from 2007 to 2013 and the decrease in the following years  
329 could be represented by O<sub>3</sub> observed in the three sampling periods.



330

331 Figure 2. Long-term trends of the observed O<sub>3</sub> at TC from 2007 to 2017. Hourly O<sub>3</sub> values on  
332 the VOC sampling days in the autumns of 2007, 2013 and 2016 are marked in red.

333

334 Table 1 and Table S5 present the observed O<sub>3</sub>, CO, NO, NO<sub>2</sub>, SO<sub>2</sub> and TVOCs, as well as the  
335 meteorological conditions averaged on the VOC sampling days in 2007, 2013 and 2016,  
336 respectively. From 2007 to 2013, the TVOCs decreased by nearly a half, which was expected  
337 to result in the reduction of O<sub>3</sub> in view of the VOC-limited regime of O<sub>3</sub> formation at TC  
338 (Cheng et al., 2010; Wang et al., 2017a). However, the increases of CO and the notable  
339 decrease of NO in 2013 could enhance the O<sub>3</sub> production. The higher O<sub>3</sub> in 2013 indicated  
340 that this effect overrode the reduction of TVOCs in influencing the O<sub>3</sub> production. In  
341 particular, the decrease of NO meant the reduced NO titration to O<sub>3</sub>, which has been  
342 recognized as a primary reason of O<sub>3</sub> increase in VOC-limited regime (Chou et al., 2006;  
343 Wang et al., 2018b). From 2013 to 2016, the decrease of O<sub>3</sub> was accompanied by the  
344 reductions of TVOCs and NO<sub>2</sub>, though CO remained increasing at the same time. NO<sub>2</sub>, as a  
345 direct source of O<sub>3</sub> through photolysis, plays important role in modulating the O<sub>3</sub> variation.  
346 Though the causes of NO<sub>2</sub> reduction are unknown to us, it might be one of the critical factors  
347 contributing to the decline of O<sub>3</sub> in Hong Kong in recent years. On the contrary, the increase



348 of CO was also confirmed by the continuous monitoring data at TC, with a rate of  
349  $33.9 \pm 0.7$  ppbv yr<sup>-1</sup> between 2013 and 2016. In fact, the consistent increasing trend ( $p < 0.05$ )  
350 was also observed at the roadside sites in Hong Kong (not shown here). While the causes of  
351 CO increase in Hong Kong may be complicated, the increased vehicle emission is a plausible  
352 explanation. Studies (Johnson, 2008; Yao et al., 2008) revealed that while the new engine  
353 technologies performed well in reducing NO<sub>x</sub> emission, they might lead to the increased  
354 emission of CO, with the application of lower air-to-fuel ratio and engine temperature.

355 In addition, studies have confirmed that continental anticyclones and tropical cyclones are  
356 conducive to severe O<sub>3</sub> pollution in Hong Kong, because these synoptic systems are often  
357 accompanied with northerly winds, high temperature, strong solar radiation, and relatively  
358 high pressure in Hong Kong (Ding et al., 2004; Huang et al., 2005; Jiang et al., 2015).  
359 Table S6 summarizes number of O<sub>3</sub> episode days with tropical cyclone, continental  
360 anticyclone and low pressure trough in the autumns of 2007, 2013 and 2016. In autumn 2007,  
361 8, 8 and 1 O<sub>3</sub> episode day(s) were found to be related to the tropical cyclone, continental  
362 anticyclone and low-pressure trough, respectively, with 2 O<sub>3</sub> episode days under the  
363 combined influence of tropical cyclone and continental anticyclone. There were also 11 and 5  
364 O<sub>3</sub> episode days in association with tropical cyclone and continental anticyclone in autumn  
365 2013, respectively (Wang et al., 2018b). However, 4 of the 5 episode days found in autumn  
366 2016 were associated with tropical cyclone, with the other one relative to low-pressure trough.  
367 Therefore, the lower O<sub>3</sub> and less O<sub>3</sub> episode days in 2016 were also benefited from the  
368 meteorological conditions.

369 Table 1. Mixing ratios of the measured trace gases and TVOCs averaged on the selective 45  
370 VOC sampling days in 2007, 2013 and 2016.

---

2007	2013	2016
------	------	------

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Unit: ppbv	Mean ± 95% C.I.	Max.	Mean ± 95% C.I.	Max.	Mean ± 95% C.I.	Max.
O <sub>3</sub>	32.8±2.6	137.0	36.9±2.2	121.2	24.4±1.9	124.9
CO	456.3±19.8	847.0	585.0±11.9	1047.9	691.8±9.5	1074.7
NO	17.2±3.2	124.7	10.9±1.3	98.6	11.3±1.4	94.6
NO <sub>2</sub>	27.7±2.1	69.6	31.5±1.4	80.8	22.0±1.1	103.2
SO <sub>2</sub>	6.9±0.4	21.8	7.0±0.2	18.0	3.0±0.1	10.7
TVOCs	49.7±4.4	111.1	25.1±1.4	68.0	21.1±1.4	71.9

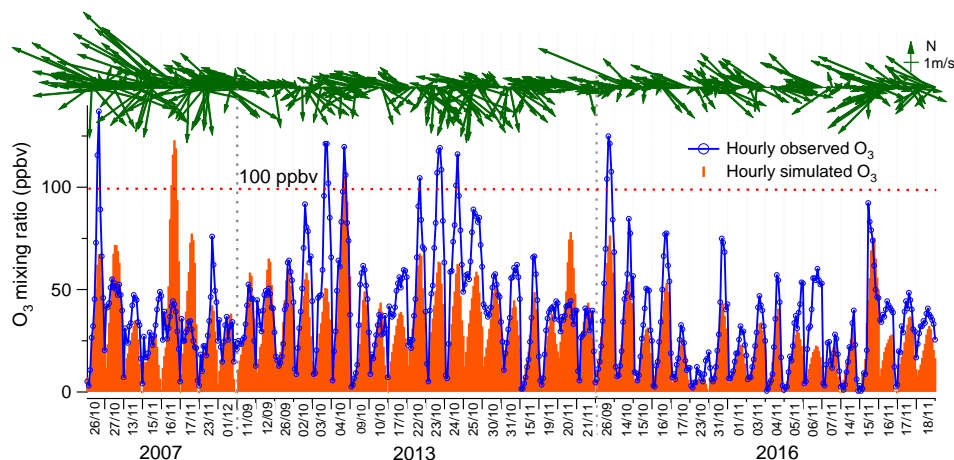
## 371 3.2 Model simulation of O<sub>3</sub>

### 372 3.2.1 Model validation

373 Figure 3 compares the simulated O<sub>3</sub> in scenario A and the observed O<sub>3</sub> on the VOC sampling  
374 days. Overall, both the magnitudes and the temporal patterns of the observed O<sub>3</sub> were  
375 reasonably reproduced, though the mean of the simulated O<sub>3</sub> (30.0±1.7 ppbv) was slightly  
376 lower than the observed average (38.1±2.0 ppbv). To quantitatively evaluate the model  
377 performance, the index of agreement (IOA) was used to examine the goodness of fit between  
378 simulated and observed O<sub>3</sub>. Within the range of 0-1, higher IOA represents better agreement  
379 between the simulated and observed values (Willmott, 1982). In this study, the overall IOA  
380 for the three sampling periods was 0.68, within the range of IOA (0.67-0.89) accepted by the  
381 previous studies (Wang et al., 2015; Lyu et al., 2015, 2016a, c; Wang et al., 2017a, 2018a).  
382 Good correlations (R<sup>2</sup>=0.61) were also shown between the simulated and observed hourly O<sub>3</sub>.  
383 Bearing in mind the deficiencies of the box model in describing the atmospheric dynamics,  
384 we believed that the modelling results were acceptable, but special attention and explanation  
385 to the discrepancies between the simulated and observed O<sub>3</sub> was needed.



386 It was found that the discrepancies were most likely caused by the transport processes, *i.e.*,  
387 vertical and horizontal transport, which were not fully represented in the PBM-MCM model  
388 (George et al., 2013; Lakey et al., 2015; Wang et al., 2017a). For example, the simulated O<sub>3</sub>  
389 (maximum: 122.6 ppbv) was much higher than the observed O<sub>3</sub> (maximum: 44.3 ppbv) on  
390 November 16, 2007, when the strong southeast winds (wind direction: 90°-180°) with the  
391 highest wind speed of 5.3 m s<sup>-1</sup> prevailed in Hong Kong. The south sector winds from SCS  
392 might dilute the locally produced O<sub>3</sub> and the O<sub>3</sub> precursors/intermediates (such as the radicals)  
393 which were not constrained by the observations. The same circumstances were also observed  
394 on October 27, November 17, 2007 and September 11-12, November 20, 2013, with  
395 southeast winds dominated (74.4%) during the daytime (Figure 3). For those days with the  
396 simulated O<sub>3</sub> lower than the observed O<sub>3</sub>, *i.e.* October 3, 22-25, 2013 and November 6, 2016,  
397 69.3% of the winds during the daytime came from the north (wind directions: 0-90° and  
398 270°-360°), which might transport the air masses laden with O<sub>3</sub> and/or O<sub>3</sub>  
399 precursors/intermediates not constrained to the observations from inland PRD to the sampling  
400 site. The observed O<sub>3</sub> mixing ratios are plotted against the wind fields in Figure S2. It is  
401 obvious that O<sub>3</sub> were higher under the north winds, while lower in the south wind sectors,  
402 confirming the effects of dilution and regional transport of the south and north winds on O<sub>3</sub>  
403 pollution in Hong Kong, respectively.



404

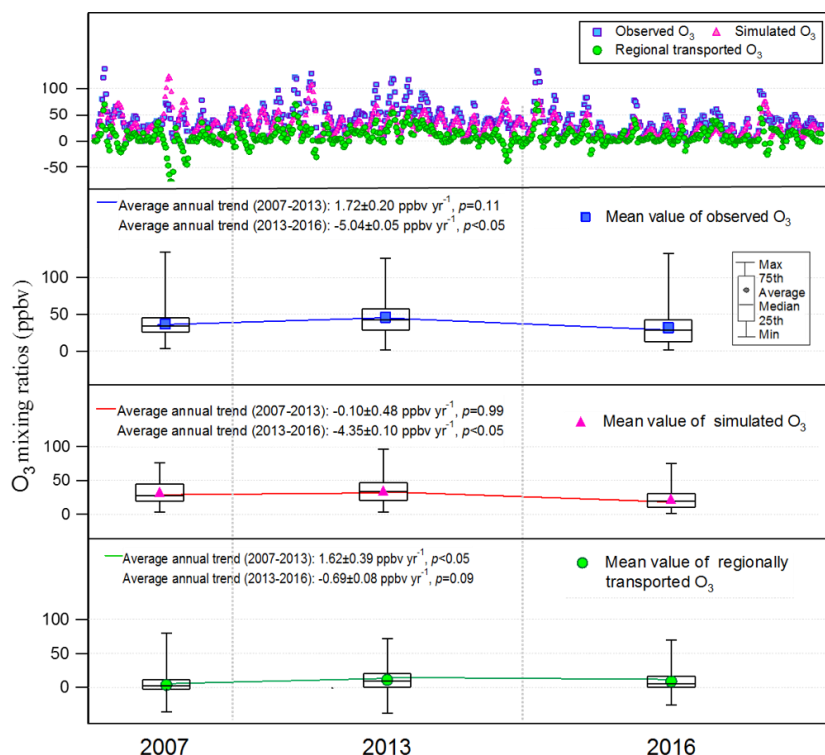
405 Figure 3. Hourly mixing ratio of the simulated and observed O<sub>3</sub> at TC during the VOC  
406 sampling periods in 2007, 2013 and 2016. The arrows represent the hourly wind sectors  
407 monitored at the sampling site.

### 408 3.2.2 Inter-annual variations of the locally produced and regional transported O<sub>3</sub>

409 As discussed in section 2.5, the simulated O<sub>3</sub> in scenario A could be regarded as the locally  
410 produced O<sub>3</sub>. Therefore, the differences between the observed O<sub>3</sub> and O<sub>3</sub> simulated in  
411 scenario A were treated as the regionally transported O<sub>3</sub> (Wang et al., 2017a). It is  
412 noteworthy that some negative values were generated with this method, corresponding to the  
413 dilution of the south winds to the locally produced O<sub>3</sub> as elaborated in section 3.2.1. Figure 4  
414 shows the hourly mixing ratios of the observed, local and regional O<sub>3</sub> at TC in daytime hours  
415 (07:00-19:00 LT) of the three sampling campaigns. Overall, the observed O<sub>3</sub> was mainly  
416 (78.3±2.4%) contributed by the local photochemical production, with regional transport only  
417 accounting for 21.7±2.4% of the observed daily maximum O<sub>3</sub>. However, regional transport  
418 was responsible for as high as 40.9±39.2% of the observed daily maximum O<sub>3</sub> in Hong Kong  
419 on the O<sub>3</sub> episode days when northerly winds prevailed, indicating the heavy O<sub>3</sub> burden  
420 superimposed by regional air masses from PRD. From 2007 to 2013, both the observed and



421 simulated locally-produced O<sub>3</sub> remained statistically unchanged ( $p>0.1$ ), in contrast to the  
422 increase of regional O<sub>3</sub> at a rate of  $1.62\pm 0.39$  ppbv yr<sup>-1</sup> ( $p<0.05$ ), similar to that  
423 ( $1.09\pm 0.21$  ppbv yr<sup>-1</sup>) reported by Wang et al. (2017a) in the autumns of 2005-2013. However,  
424 the decrease of the locally produced O<sub>3</sub> in the same period as that simulated by Wang et al.  
425 (2017a) was not seen here according to the simulated O<sub>3</sub> in the 2007 and 2013 sampling  
426 campaigns. This discrepancy was likely caused by the limited samples in this study, no  
427 OVOCs considered in Wang et al. (2017a) and/or the inexactly same study periods between  
428 the two studies. Instead, we found that the locally produced O<sub>3</sub> showed a significant decline  
429 at a rate of  $-4.35\pm 0.10$  ppbv yr<sup>-1</sup> during 2013-2016 ( $p<0.05$ ), when the regionally transported  
430 O<sub>3</sub> also decreased ( $10.9\pm 2.0$  and  $8.9\pm 1.8$  ppbv in the 2013 and 2016 sampling campaign,  
431 respectively). As a result, the increase of the observed O<sub>3</sub> from 2007 to 2013 was reversed by  
432 the decrease between 2013 and 2016, leading to an overall decreasing trend of the observed  
433 O<sub>3</sub> during 2007-2016 (rate =  $-0.54\pm 0.15$  ppbv yr<sup>-1</sup>,  $p<0.05$ ).



434

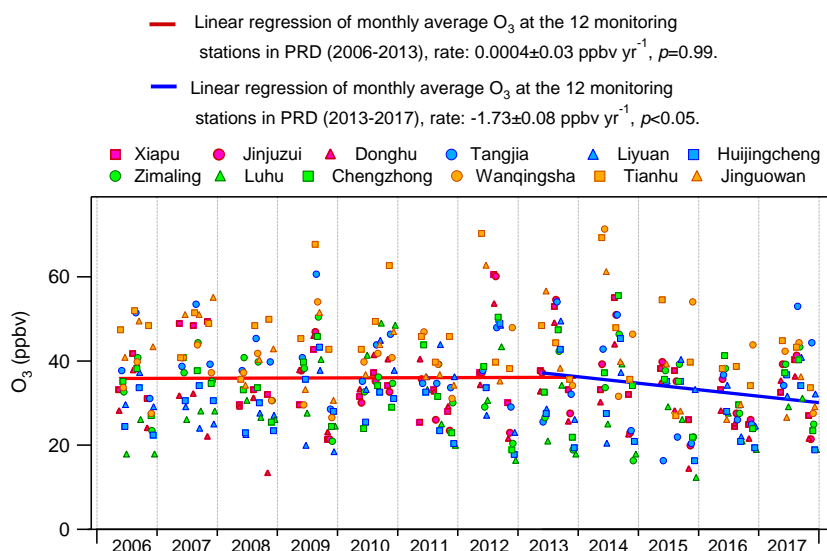
435 Figure 4. Hourly values (first panel) and the throughout-campaign statistical results (second  
436 to fourth panels) of the observed, simulated (locally-produced) and regional  $O_3$  mixing ratios  
437 in daytime hours (07:00 – 19:00 LT) in the three sampling campaigns.

438

439 The significant alleviation of  $O_3$  pollution in Hong Kong from 2013 to 2016 might be related  
440 to the measures taken to control the emissions of  $O_3$  precursors in Hong Kong and in  
441 mainland China. The effectiveness of the actions launched by Hong Kong government in  $O_3$   
442 abatement was fully demonstrated in previous studies (Xue et al., 2014a; Lyu et al., 2017a;  
443 Wang et al., 2017a), and would be further evaluated in this study (section 3.4). Besides, the  
444 emission controls in mainland China might contribute to the decrease of  $O_3$  in this period. For  
445 example, the China's  $NO_x$  emissions for the first time showed a decreasing trend from 2013,  
446 benefited from the implementation of the China's Clean Air Action Plan (Zheng et al., 2018).



447 Furthermore, we looked into the monthly average  $O_3$  observed at the 12 air quality  
448 monitoring stations across the inland PRD, including three regional monitoring stations, *i.e.*  
449 Tianhu, Wanqingsha and Jinguowan, and nine urban monitoring stations, *i.e.* Xiapu, Jinjuzui,  
450 Donghu, Tangjia, Liyuan, Huijingcheng, Zimaling, Luhu and Chengzhong  
451 ([https://www.epd.gov.hk/epd/sc\\_chi/resources\\_pub/publications/m\\_report.html](https://www.epd.gov.hk/epd/sc_chi/resources_pub/publications/m_report.html)). As shown  
452 in Figure 5,  $O_3$  at these stations remained relatively stable ( $p=0.99$ ) during 2006-2013, which  
453 however showed a contrastively decreasing trend at a rate of  $-1.73 \pm 0.08$  ppbv  $yr^{-1}$  from 2013  
454 to 2016. This corroborated our modelling results that the regional contribution to  $O_3$  in Hong  
455 Kong ceased increasing or even began to decrease since 2013. Though the substantial  
456 decrease of  $NO_x$  was a plausible reason for the alleviated regional  $O_3$  pollution, analyses of  
457 the causes are out of the scope of this study. In addition to the reduced local formation and  
458 regional transport of  $O_3$ , the more favourable meteorological conditions in 2016 might be  
459 another reason of the  $O_3$  decrease, as discussed in section 3.1.



460

461 Figure 5. Trends of the observed monthly average  $O_3$  at the 12 air quality monitoring stations  
462 in inland PRD.



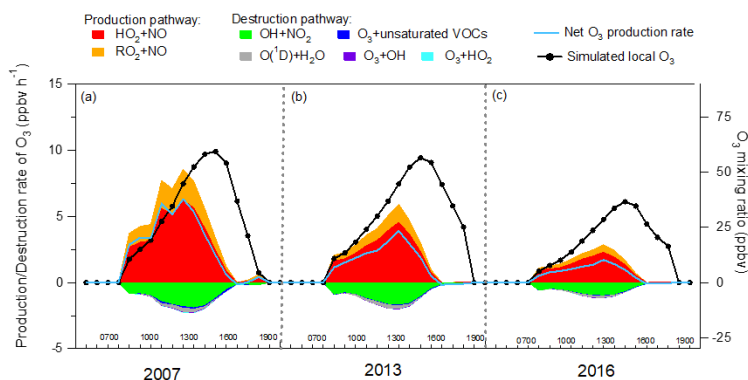
### 463 3.3 Local production and destruction pathways of O<sub>3</sub> and OH radical

#### 464 3.3.1 In-situ net O<sub>3</sub> production

465 Figure 6 shows the average diurnal profiles of the simulated O<sub>3</sub> production and destruction  
466 pathways during the three sampling campaigns. Also shown are the average diurnal cycles of  
467 the simulated O<sub>3</sub>. The shift of the peaks between the net O<sub>3</sub> production rate and the simulated  
468 O<sub>3</sub> was due to the accumulation of the newly generated O<sub>3</sub> over time in the model, which was  
469 also true in the real situations. The reactions between NO<sub>2</sub> and O<sub>3</sub>, leading to the formation of  
470 NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>, in addition to dry deposition and aloft exchange, were the main depletions of  
471 the simulated O<sub>3</sub> in the late afternoon. Consistent with previous studies (Kanaya et al., 2009;  
472 Liu et al., 2012; Xue et al., 2014b), these pathways were not included in the calculation of the  
473 net O<sub>3</sub> production rate, because we mainly focused on the photochemical processes in the  
474 hours when O<sub>3</sub> was accumulated. It was found that the reaction between HO<sub>2</sub> with NO  
475 dominated the O<sub>3</sub> production rates in all the cases, with an average rate of 3.0±0.6 ppbv h<sup>-1</sup>  
476 (72.6±15.1%, percentage of the total O<sub>3</sub> production rate, same below), 2.1±0.3 ppbv h<sup>-1</sup>  
477 (77.8±9.9%) and 1.1±0.2 ppbv h<sup>-1</sup> (80.7±11.6%) in the 2007, 2013 and 2016 sampling  
478 campaigns, respectively. In addition, the sum of the reaction rates between RO<sub>2</sub> radicals and  
479 NO contributed 1.1±0.2 ppbv h<sup>-1</sup> (27.4±5.3%), 0.6±0.1 ppbv h<sup>-1</sup> (22.2±3.0%) and  
480 0.3±0.04 ppbv h<sup>-1</sup> (19.3±3.1%) to the O<sub>3</sub> production rate in 2007, 2013 and 2016, respectively.  
481 The formation of HNO<sub>3</sub> through the reaction between OH and NO<sub>2</sub> served as the main  
482 scavenger pathway of O<sub>3</sub>, as NO<sub>2</sub> would be photolyzed and produce O<sub>3</sub> otherwise. On  
483 average, O<sub>3</sub> was consumed in this way at a rate of -1.0±0.1 ppbv h<sup>-1</sup> (82.6±12.0%, percentage  
484 of the total O<sub>3</sub> destruction rate, same below), -0.9±0.1 ppbv h<sup>-1</sup> (83.1±7.7%)  
485 and -0.5±0.05 ppbv h<sup>-1</sup> (83.4±8.4%) in 2007, 2013 and 2016, respectively. The photolysis of  
486 O<sub>3</sub> was the second contributor to O<sub>3</sub> destruction, with an average contribution of -0.09±0.01  
487 ppbv h<sup>-1</sup> (10.5±1.1%) for the three sampling periods. Besides, the ozonolysis of unsaturated



488 VOCs and the reactions between  $O_3$  and radicals (OH and  $HO_2$ ) were responsible for  $4.1 \pm 0.5\%$   
489 and  $2.3 \pm 0.3\%$  of the total destruction rate of the locally produced  $O_3$ , respectively.  
490 Overall, the net local  $O_3$  production rate decreased from  $3.0 \pm 0.7$  ppbv  $h^{-1}$  in 2007, to  
491  $1.6 \pm 0.3$  ppbv  $h^{-1}$  in 2013, till  $0.8 \pm 0.2$  ppbv  $h^{-1}$  in 2016, corresponding to the decline of the  
492 locally produced  $O_3$  through 2007 to 2016 (Section 3.2.2).



493

494 Figure 6. Average diurnal profiles of the local  $O_3$  production and destruction rates in the  
495 sampling campaigns of (a) 2007, (b) 2013 and (c) 2016.

### 496 3.3.2 Recycling of OH radical

497 As one of the most important radicals in the atmosphere, OH initiates the oxidation of VOCs,  
498 leading to  $O_3$  formation. Figure 7 presents the average diurnal profiles of the simulated OH  
499 and the formation and loss pathways dominating the recycling of OH during the three  
500 sampling periods. According to the simulation by PBM-MCM model, the average OH  
501 concentration was  $(1.4 \pm 0.3) \times 10^6$  molecules  $cm^{-3}$  in the 2007 sampling campaign, which  
502 significantly decreased to  $(1.3 \pm 0.2) \times 10^6$  molecules  $cm^{-3}$  in the 2013 sampling campaign  
503 ( $p < 0.05$ ) and further decreased to  $(0.9 \pm 0.1) \times 10^6$  molecules  $cm^{-3}$  in 2016 ( $p < 0.05$ ).

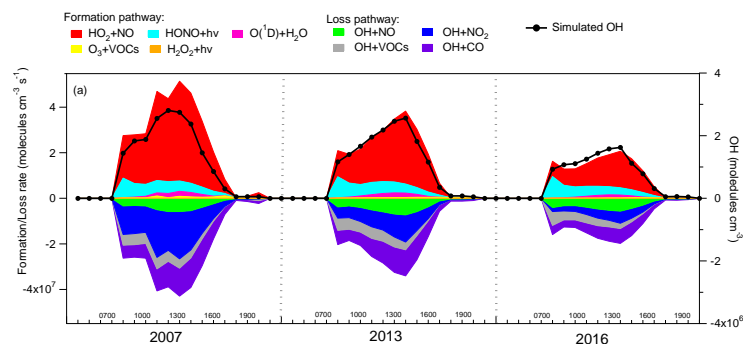
504 As expected, the formation and loss rates of OH were basically balanced in all the cases. OH  
505 was mainly formed from the reaction of  $HO_2+NO$ , which accounted for  $58.2 \pm 2.3\%$  of the





506 total OH production rate over the three sampling campaigns. The photolysis of HONO and  
507 O<sub>3</sub> made comparable but much lower contributions (19.2±1.4% and 19.3±2.9%, respectively)  
508 to the production of OH, with the rest attributable to the ozonolysis of unsaturated VOCs  
509 (2.8±0.2%) and the photolysis of H<sub>2</sub>O<sub>2</sub> (0.2±0.01%). On the contrary, OH was mainly  
510 depleted by the reactions with NO<sub>2</sub> (39.2±1.1%), VOCs (25.3±0.9%), CO (21.0±0.6%) and  
511 NO (14.1±1.1%).

512 Consistent with the variations of the local O<sub>3</sub> production, both the local formation and loss  
513 rates of OH decreased through 2007 to 2016 ( $p < 0.05$ ), with much more obvious reductions in  
514 the later phase (2013-2016). On one hand, the continuous reduction of VOCs resulted in  
515 lower HO<sub>2</sub> and RO<sub>2</sub> concentrations (Figure S3), hence the lower production rate of OH  
516 through the reaction of HO<sub>2</sub>+NO. At the same time, the destruction rates of OH also  
517 decreased due to the reductions of OH and the O<sub>3</sub> precursors, except for CO (Figure 7 and  
518 Table 1). The decreases of the OH production and destruction rates indicated that the  
519 propagation of the reaction cycles, namely the recycling of OH, became slower from 2007 to  
520 2016. This also explained why the locally produced O<sub>3</sub> decreased in these ten years, since O<sub>3</sub>  
521 is formed with the consumption and recycling of OH radical.



522

523 Figure 7. Average diurnal cycles of the OH formation and loss rates during the sampling  
524 periods in (a) 2007, (b) 2013 and (c) 2016.



## 525 **3.4 Source contributions to the production of O<sub>3</sub> and radicals**

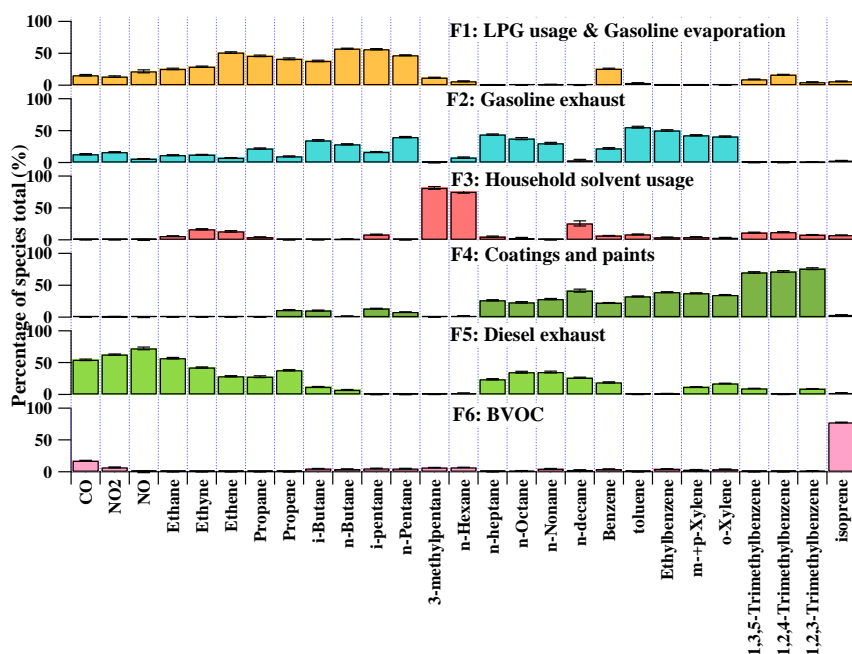
### 526 **3.4.1 Source apportionment**

527 To resolve the sources of O<sub>3</sub> precursors, 27 species, including CO, NO, NO<sub>2</sub>, 12 alkanes, 4  
528 alkenes and 8 aromatics, were applied to PMF for source apportionment. These species were  
529 either of high abundances or typical tracers of VOC sources in Hong Kong. Source  
530 apportionment was conducted for a total of 414 samples covering the three sampling periods,  
531 so that the uncertainty of the source apportionment results could be reduced, compared to  
532 separate source apportionments for each of the three sampling periods. Figure 8 shows the  
533 average profiles of the six sources resolved by PMF. The modelling errors were estimated  
534 with the bootstrap method integrated in PMF (Brown et al., 2015).

535 Factor 1 was assigned as the combination of LPG usage and gasoline evaporation, in view of  
536 the high loadings of C<sub>2</sub>-C<sub>5</sub> hydrocarbons. Specifically, propane and *i*-*n*-butanes are the main  
537 components of LPG in Hong Kong, and gasoline evaporation generally contains large  
538 quantities of *i*-*n*-pentanes, in particularly *i*-pentane (Guo et al., 2013; Lyu et al., 2017a).  
539 Factor 2 was characterized by moderate to high percentages of *i*-*n*-pentanes and TEX  
540 (toluene, ethylbenzene and xylenes). These species are commonly seen in gasoline exhausts.  
541 Therefore, we defined this factor as gasoline exhausts. Both the third and fourth factors  
542 indicated solvent-related emissions. While Factor 3 likely represented household solvent  
543 usage, due to the dominance of hexane and hexane isomer (3-methylpentane) (Ling and Guo,  
544 2014; Ou et al., 2015), Factor 4 was more related to emissions from coatings and paints, in  
545 view of the dominance of the aromatics (Ling and Guo, 2014). Factor 5 was distinguished by  
546 the high concentrations of ethane, ethene, ethyne and benzene, together with the relatively  
547 heavy (C<sub>7</sub>-C<sub>10</sub>) alkanes, which are typical species in diesel exhausts (Schauer et al., 1999;  
548 Kashdan et al., 2008; Sahoo et al., 2011). Therefore, this factor was designated as diesel



549 exhausts. The last factor denoted for biogenic emissions (BVOCs), due to the exclusive  
550 dominance of isoprene (Guenther, 2006).



551

552 Figure 8. Average profiles of the O<sub>3</sub> precursor sources at TC in the three sampling  
553 campaigns. The uncertainties were estimated with the bootstrap method in PMF.

554

555 Figure S4 presents the total mixing ratio of VOCs emitted from each individual source  
556 extracted from PMF during the three sampling periods in Hong Kong. The VOC emissions  
557 from LPG usage and gasoline evaporation decreased significantly ( $p < 0.05$ ) at a rate of -  
558  $2.61 \pm 0.03$  ppbv yr<sup>-1</sup> from 2007 to 2016. However, the VOCs in association with gasoline  
559 exhausts experienced an increase (rate =  $1.32 \pm 0.02$  ppbv yr<sup>-1</sup>,  $p < 0.05$ ) in these years,  
560 indicating that the reduction of VOC emissions from LPG usage and gasoline evaporation  
561 was not attributable to the change in emissions of gasoline-fuelled vehicles. Insight into the  
562 mixing ratios of propane and *i/n*-butanes (LPG tracers) in this source revealed a significant



563 decline from  $3.51 \pm 0.52$  ppbv in the 2007 sampling campaign to  $1.27 \pm 0.11$  ppbv in the 2016  
564 sampling campaign. Therefore, the reduction of VOC emissions from LPG usage was most  
565 likely the reason of the decrease of VOCs allocated to the source of LPG usage and gasoline  
566 evaporation. In fact, it was confirmed by our previous studies (Lyu et al., 2016b; Yao et al.,  
567 2019) that the replacement of catalytic converters on LPG-fuelled vehicles during September  
568 2013-May 2014 effectively reduced the VOC emissions from LPG-fuelled vehicles in Hong  
569 Kong. In addition, the variations in LPG usage in inland PRD, where LPG was extensively  
570 used as vehicular and domestic fuels (Liu et al., 2008), might also contribute to the emission  
571 reduction of VOCs, in view of the decrease of LPG tracers in this source from 2007  
572 ( $3.51 \pm 0.52$  ppbv) to 2013 ( $2.04 \pm 0.27$  ppbv), when no control was performed against LPG  
573 fuelled vehicle emissions in Hong Kong. The VOCs emitted from solvent usage (including  
574 the household solvent, coatings and paints) also decreased significantly ( $p < 0.05$ ) from 2007  
575 to 2016, likely benefiting from the actions taken to restrict the VOC contents in solvent  
576 products starting from 2007 (phase I) and 2010 (phase II) in Hong Kong (Lyu et al., 2017a).  
577 VOCs attributable to diesel exhausts decreased ( $p < 0.05$ ) from the 2007 ( $2.6 \pm 0.3$  ppbv) to  
578 2013 sampling campaign ( $2.0 \pm 0.2$  ppbv), which however were unchanged between 2013 and  
579 2016 ( $2.2 \pm 0.2$  ppbv). In fact, a subsidy program has been implemented in Hong Kong since  
580 2007 to progressively eliminate the pre-Euro IV diesel vehicles or to upgrade their emission  
581 standards to Euro IV (HKEPD, 2017b), and the effectiveness of this program in VOC  
582 reductions till 2013 was confirmed by Lyu et al. (2017a) with the online measurement data at  
583 the same site. However, while the phase III of this program (2014-2019) is still ongoing, the  
584 VOCs emitted from diesel vehicles remained stable between the 2013 and 2016 sampling  
585 campaigns. This undesirable result might be due to the fact that the actions were mainly  
586 targeted at the pre-Euro, Euro I and Euro II diesel vehicles before 2013, whereas the phase III  
587 of the program initiated in 2014 focused on the Euro III vehicles (HKEPD, 2017b, 2018).



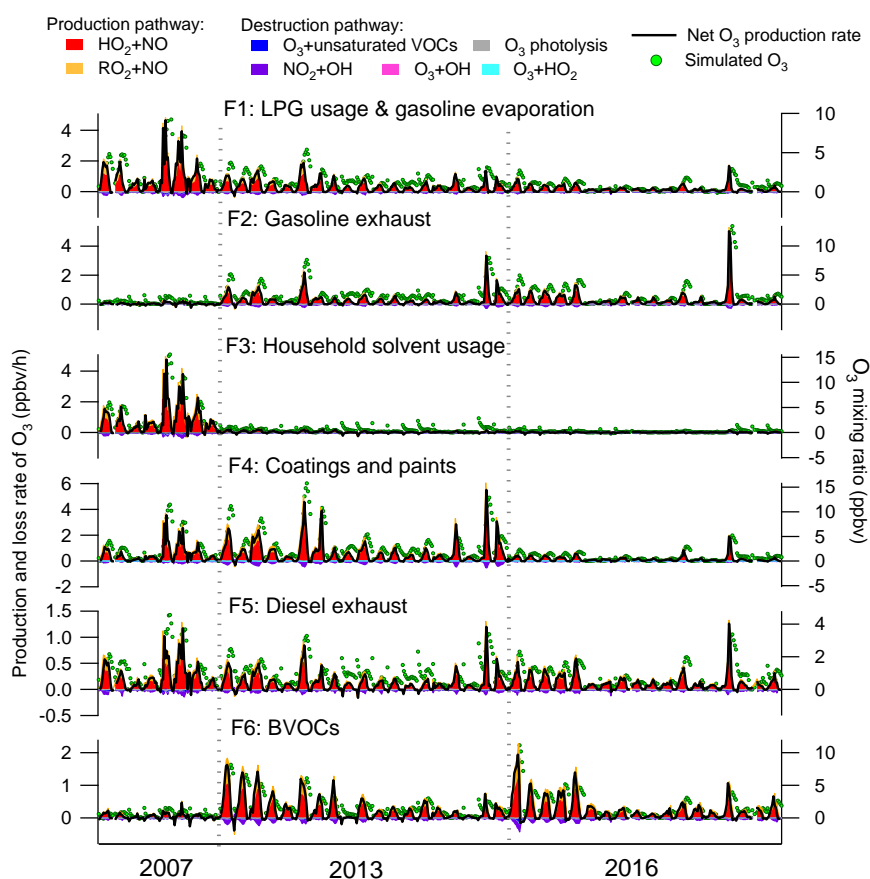
588 Since the former were vehicles with higher emissions, it is not unreasonable that reduction of  
589 VOCs was more discernible between 2007 and 2013. Further, the effectiveness of the phase  
590 III program might be somewhat offset by the wearing-out of the pre-existing vehicles and the  
591 increase of diesel vehicle populations (Competition Commission, 2017). Further evaluation  
592 with more data in a longer period is recommended. At last, the increase of BVOCs from 2007  
593 to 2013 but comparable levels between 2013 and 2016 seemed to be related to the lower  
594 ( $p < 0.05$ ) temperature in the 2007 sampling campaign (Table S5).

#### 595 **3.4.2 Source contributions to O<sub>3</sub> production**

596 Figure 9 presents the contributions of VOCs emitted from individual sources to the  
597 production and destruction rates of O<sub>3</sub>, as well as the simulated contributions to the O<sub>3</sub>  
598 mixing ratios. NO<sub>x</sub> was not included in these analyses, because of its relatively high  
599 uncertainties in source apportionment results due to the short lifetimes. Consistent with the  
600 O<sub>3</sub> production and destruction in the whole air, the pathway of HO<sub>2</sub>+NO dominated over the  
601 reactions between RO<sub>2</sub> and NO in O<sub>3</sub> production for all the individual sources. The  
602 destruction of O<sub>3</sub> was mainly driven by NO<sub>2</sub> reacting with OH. For the net O<sub>3</sub> production rate,  
603 VOCs attributable to the coatings and paints made the largest contribution ( $0.38 \pm 0.05$  ppbv h<sup>-1</sup>)  
604 <sup>1</sup>), followed by gasoline exhausts ( $0.22 \pm 0.03$  ppbv h<sup>-1</sup>), LPG and gasoline evaporation  
605 ( $0.21 \pm 0.03$  ppbv h<sup>-1</sup>), BVOCs ( $0.19 \pm 0.03$  ppbv h<sup>-1</sup>), household solvent usage ( $0.15 \pm 0.04$  ppbv  
606 h<sup>-1</sup>) and diesel exhausts ( $0.13 \pm 0.01$  ppbv h<sup>-1</sup>). Despite some peak shifts for the reasons  
607 illustrated in section 3.3.1, the O<sub>3</sub> mixing ratios elevated by the individual sources followed  
608 the same pattern as the net O<sub>3</sub> production rates, with the highest O<sub>3</sub> enhancement ( $1.92 \pm 0.21$   
609 ppbv) by the source of coatings and paints and the lowest increase by household solvent  
610 usage ( $0.86 \pm 0.06$  ppbv) and diesel exhausts ( $0.83 \pm 0.06$  ppbv). The contributions of source-  
611 specific VOCs to O<sub>3</sub> production, particularly the importance of solvent usage in O<sub>3</sub> formation  
612 in Hong Kong, were generally in line with previous studies (Ling and Guo, 2014; Ou et al.,



613 2015). This was actually expected according to the reactivity of major VOCs in each source.  
614 For example, the TEX in the source of coatings and paints (Figure 8) have been identified to  
615 be of high O<sub>3</sub> formation potentials (Lau et al., 2010; Ling et al., 2011, 2013). However, the  
616 PBM-MCM model simulations enabled us to quantitatively evaluate the contributions of  
617 VOC sources to O<sub>3</sub> production rates.



618

619 Figure 9. Contributions of VOCs in individual sources to the production and destruction rates  
620 of O<sub>3</sub> and to the O<sub>3</sub> mixing ratios in the three sampling campaigns.

621 From a historical perspective, we found that the contribution of LPG usage and gasoline  
622 evaporation to O<sub>3</sub> production significantly decreased ( $p < 0.05$ ) from 2007 to 2016 sampling



623 campaign (2007:  $0.51 \pm 0.11$  ppbv  $\text{h}^{-1}$ ; 2013:  $0.20 \pm 0.03$  ppbv  $\text{h}^{-1}$ ; 2016:  $0.10 \pm 0.02$  ppbv  $\text{h}^{-1}$ ),  
624 which coincided with the variations of VOCs emitted from LPG-fuelled vehicles as discussed  
625 above. Gasoline exhaust contributed much less ( $p < 0.05$ ) to the net  $\text{O}_3$  production rate in 2007  
626 ( $0.02 \pm 0.01$  ppbv  $\text{h}^{-1}$ ), than those in 2013 ( $0.26 \pm 0.05$  ppbv  $\text{h}^{-1}$ ) and 2016 ( $0.27 \pm 0.07$  ppbv  $\text{h}^{-1}$ ),  
627 in line with the variations of VOCs emitted from this source. The reductions of VOC  
628 emissions from solvents also resulted in the consistent decrease of the net  $\text{O}_3$  production rate  
629 from  $1.22 \pm 0.17$  ppbv  $\text{h}^{-1}$  in the 2007 to  $0.14 \pm 0.05$  ppbv  $\text{h}^{-1}$  in the 2016 sampling campaign.  
630 The  $\text{O}_3$  production rates contributed by VOCs in diesel exhausts were reduced from 2007  
631 ( $0.21 \pm 0.05$  ppbv  $\text{h}^{-1}$ ) to 2013 ( $0.11 \pm 0.02$  ppbv  $\text{h}^{-1}$ ) and remained unchanged thereafter (2016:  
632  $0.11 \pm 0.02$  ppbv/h). The  $\text{O}_3$  production rate traceable to BVOCs showed a significant increase  
633 from 2007 ( $0.04 \pm 0.02$  ppbv  $\text{h}^{-1}$ ) to 2016 ( $0.22 \pm 0.04$  ppbv  $\text{h}^{-1}$ ), since the mixing ratios of  
634 BVOCs significantly increased ( $p < 0.05$ ) in these years. It is noteworthy that the changes in  
635 meteorological conditions in these three sampling campaigns might also partially account for  
636 the variations in the source contributions to  $\text{O}_3$  production. For example, the 2013 sampling  
637 campaign was characterized by the relatively higher temperature and lowest relative humidity  
638 among the three sampling periods, which favoured  $\text{O}_3$  formation in 2013 (Table S5). Besides,  
639 due to limited samples in this study, we recommend further assessments with more data in  
640 longer periods to be carried out in future study.

#### 641 **4 Conclusions**

642 Photochemical pollution with high and increasing concentrations of  $\text{O}_3$  has been an important  
643 environmental issue in South China. With the observation data of  $\text{O}_3$  and its precursors at a  
644 suburban site in Hong Kong, downwind of South China, this study analysed the inter-annual  
645 variations of  $\text{O}_3$  and its photochemistry, as well as the contributions of VOC sources to the  
646 local  $\text{O}_3$  production rates in 2007, 2013 and 2016. To our knowledge, this is the first time that  
647 a substantial alleviation of  $\text{O}_3$  pollution in this region was identified between 2013 and 2016,



648 in contrast to the repeatedly confirmed O<sub>3</sub> increase before 2013. In addition to the changes in  
649 meteorological conditions among the three sampling campaigns, the termination of the rise in  
650 regionally transported O<sub>3</sub> and the decrease of the local O<sub>3</sub> production rate contributed to the  
651 decline of O<sub>3</sub> in the later period. The emission reductions (particularly for NO<sub>x</sub>) in mainland  
652 China starting from 2013, the year when the China's Clean Air Act Plan was launched, might  
653 more or less play a role in ceasing the increase of regional O<sub>3</sub>. In Hong Kong, the  
654 replacement of catalytic converters and the constraints of VOC contents in solvent products  
655 led to the reductions of VOC emissions from LPG-fuelled vehicles and solvent usage,  
656 respectively. As a result, the local O<sub>3</sub> production rate and the recycling rate of OH radical  
657 decreased substantially from 2013 to 2016. Though the variations in meteorological  
658 conditions and the limited sample size might somewhat introduce uncertainties to the  
659 conclusions drawn from the present study, it is plausible that the local and regional  
660 interventions were effective on the control of O<sub>3</sub> pollution in Hong Kong. Nevertheless,  
661 studies with more data in longer periods should be conducted, not only in Hong Kong but  
662 also in mainland China where O<sub>3</sub> is still increasing in most of the territories.

### 663 **Author contribution**

664 Hai Guo and Fei Jiang initiated and designed the experiments, and Xufei Liu and Xiaopu Lyu  
665 carried them out. Xiaopu Lyu and Yu Wang developed the model code and performed the  
666 simulations. Xufei Liu and Xiaopu Lyu prepared the manuscript and Hai Guo finalized the  
667 manuscript with contributions from all co-authors.

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