Dear Editor,

We thank the two referees for taking their time to review our manuscript. Below, we address point-by-point the referee comments and highlight the modifications to the manuscript. The comments are in regular text, the answers are in blue font and the modifications to the manuscript are in italic typeset.

Anonymous referee #1

Daellenbach et al. propose a comprehensive characterization of the molecular composition of aerosols sampled at an urban site in Central Europe (Zurich, Switzerland). Chemical composition is retrieved using an ultra-high resolution mass spectrometry (Orbitrap) and further compare with aerosols sampled during wood burning emissions from Alpine valleys and chamber investigations of wood smoke. Finally, samples from the boreal forest were also used to evaluate the influence of biogenic emission in aerosol formation in Zurich. The results presented in this work are interesting and provide important information on source apportionment of aerosol in Central Europe. The comparison lab and field data is particularly valuable. Overall, the interpretation and the results are well sustained. Therefore, I think the paper should be publishable after some comments are addressed.

We thank the reviewer for the positive feedback and will answer the comments point-by-point below.

General comments:

page 2, lines 31-33: the authors mentioned that ESI coupled to a UHR-MS is a promising technique. It is now an established technique and cannot be classified as promising. Indeed many studies in atmospheric sciences and analytical chemistry have demonstrated the capabilities of the UHR-MS including the Orbitrap technology (commercialized by Thermo 15 years ago).

We reformulated the sentence to:

"The use of soft ionization techniques, such as electrospray ionization coupled to ultra-high-resolution mass spectrometry (ESI-UHR-MS) is a powerful technique that may help bridging such existing gaps (Nizkorodov et al., 2011)."

page 3, 1-3: Another major limitation of any offline technique compare to the AMS is the time resolution, which is worth mentioning.

We added this information, on page 3, line 10-12:

"However, the typical number of samples analyzed remains very limited with low temporal resolution in comparison to online measurement techniques."

page 3, 27-30: How many samples were analyzed? Different sizes (e.g., PM10, PM1,...) were chemically characterized and compared. However, the authors never mentioned the influence of the size, how would that impact the interpretation?

We performed the following Orbitrap analyses:

- 1) 15 PM10 filter samples from Zurich, Switzerland (24h sampling time), covering the entire year of 2013 (Daellenbach et al., 2017).
- 2) 1 composite of 4 PM10 filter samples from Magadino, Switzerland (24h sampling time), highly influenced by biomass burning for wintertime residential heating.
- 3) 1 composite of 4 PM10 filter samples from S. Vittore, Switzerland (24h sampling time), highly influenced by biomass burning for wintertime residential heating.
- 4) 1 composite of 16 PM10 filter samples of fresh biomass burning emissions from laboratory experiments (30mins sampling time)
- 5) 1 composite of 11 PM10 filter samples of 10h equivalent atmospherically aged biomass burning emissions from laboratory experiments (30mins sampling time)
- 6) 1 composite of 15 PM10 filter samples of 30h equivalent atmospherically aged biomass burning emissions from laboratory experiments (30mins sampling time)

Additionally, we included 2 average spectra from Hyytiälä (PM1, summer 2011: 12h sampling time, summer 2014: 48 to 64h sampling time) representing biogenic SOA published in Kourtchev et al. (2016). Biogenic SOA is mostly in PM1 (Vlachou et al., 2018), thus comparing PM1 samples from Hyytiälä to PM10 samples from Zurich doesn't affect the conclusions related to biogenic SOA.

We added the following information in the new version of the manuscript:

"

The field samples at the 3 sites in Switzerland were daily collected in 2013 using a High Volume samplers (500 I min⁻¹, quartz-fiber filter, 14.7 cm filter diameter). Zurich is located on the northern Swiss plateau and the site is classified as urban background. The sites in Magadino and S. Vittore are in Alpine valleys in Southern Switzerland. While the 15 samples collected in Zurich were analyzed individually, the 4 samples from Magadino and 4 samples from S. Vittore were grouped and analyzed as composites (10.12.2013, 14.12.2013, 18.12.2013, 22.12.2013).

Samples from smog chamber experiments were analyzed to examine the composition of wood burning emissions from the stable flaming phase and their evolution with aging (Bruns et al., 2016; 2017). During these experiments, fresh emissions were first injected into a 6 m³ Teflon smog chamber (Platt et al., 2013; Bruns et al., 2016, 2017). After 30 min of mixing, particles were sampled onto quartz-fiber filters (UV-lights off, sampling time 30 mins at ~30 l/min). Then, emissions were photochemically aged in the smog chamber, by injecting HONO at a flow rate of 1-2 l/min, which generates OH radicals upon photolysis. Samples were collected before aging (fresh) and at equivalent atmospheric aging times of 10 and 30 h (determined by the Barmet et al. (2012) method, assuming a winter time OH concentration of 10⁶ molec cm⁻³). All samples were grouped and measured as three composites: fresh wood burning emissions (16 samples), 10h equivalent atmospheric aging (15 samples).

Further, we included 2 average spectra from the SMEAR-II station (Station for Measuring Ecosystem Atmosphere Relations, Hari and Kulmala 2005) at Hyytiälä (PM1, summer 2011: 12h sampling time, summer 2014: 48 to 64h sampling time, both quartz-fiber filters) previously published in Kourtchev et al. (2016). The

SMEAR-II station (Station for Measuring Ecosystem Atmosphere Relations, Hari and Kulmala 2005) at Hyytiälä is a rural background site in Finland, strongly influenced by biogenic SOA (vegetation dominated by Scots pine and Norway spruce). The PM₁ aerosol was collected between 16 and 25 August 2011 and between 7 July and 4 August 2014 using a low volume sampler (35 I min⁻¹). Since biogenic SOA is mostly in PM1 (Vlachou et al., 2018), comparing PM1 samples from Hyytiälä to PM10 samples from Zurich does not affect the conclusions related to biogenic SOA.

In addition, we collected samples from Frauenfeld (PM_{10}), Payerne (PM_{10}), Bern (PM_{10}), Zurich ($PM_{2.5}$) from the same year to complement the dataset with further chemical analyses (Section 2.3).

page 4, analytical procedure: The authors decided to use the Orbitrap in negative mode. Why didn't they explore the positive mode as well? As recently highlighted by e.g., Lin et al. (Anal Chem, 2018 10.1021/acs.analchem.8b02177) the positive mode can provide additional valuable information. The positive mode is generally less selective than the negative mode. Therefore for a global screening, both modes should be used.

With the specific interest of this study in SOA sources and the large contribution of acids to SOA, we opted for analyzing the aerosol in the negative mode. However, in the light of recent publications we agree with the reviewer and will in future studies use both positive and negative mode analyses. We have added the following information:

"Recent results show that the positive mode ESI, which is less selective, can provide additional valuable information, especially regarding fresh emissions (Lin et al., 2018). Here, to compare with previous results and as the main aim is to characterize SOA, we have focused on the negative mode ESI analysis."

page 4, lines 20-21. While replicate/triplicate measurements were performed the authors never mentioned the variability of their measurements. Screening analysis might bring large variability. Therefore, the authors should provide some statistical analysis in order to better validate their results/findings.

We added an assessment of the variability among the replicate measurements in the supplementary information and mention it in the main text:

Text added in manuscript:

"

"Two or three replicate measurements were conducted for each extract (variability assessed in Fig. S9), and field blank extracts were analyzed in the same way."

Text added in the supplementary information:

variability among replicate measurements

In order to estimate the relative error we performed replicate measurements of all samples (here computed as: $(x_{i,\max} - x_{i,\min}) I x_{i,\max}$), with $x_{i,\max}$, $x_{i,\min}$, $x_{i,avg}$ being the maximum, minimum, and average peak (i)

intensity measured for a respective sample. While the relative error varies considerably for a constant median peak intensity, overall typically the relative error of peaks ranges between 8 and 27%.



Figure S9: relative error as a function of the average signal intensity displayed for all samples and peaks.

page 7, lines 21-23: As it is presented it is hard to see any correlation. Please provide the r or r2 for the different species to support the discussion (e.g., a table showing all the r2 should be added). The authors mentioned that they measured the concentration of CO. How does CO correlate with other anthropogenic pollutants?

In the present study we analyzed 15 samples from Zurich from a larger study with 91 samples from the same site. This paragraph introduces the bigger picture and the correlations are presented in Daellenbach et al. (2017). We now state the correlations and cite the publication more explicitly:

"WOOA correlated with anthropogenically-influenced inorganic ions like NH_4^+ and was for this reason interpreted as being formed from anthropogenic VOC emissions. SOOA in contrast showed a positive nonlinear relation to temperature, consistent with the temperature driven enhancement of biogenic terpene emissions (Daellenbach et al., 2017, for entire dataset from Zurich R_p^2 (WOOA, NH_4^+ ,n=90)=0.79, R_s (SOOA,temp,n=91)=0.65)."

page 8, lines 4-15: It was already acknowledged by the authors that the relative contribution of a compound cannot be directly linked to its concentration(lines 1-3, page 3). However, it would be worth mentioning this point in this paragraph as it is an important aspect. Indeed, nitroaromatics are highly sensitive using ESI (-) but their large contribution to the MS doesn't imply that they are the most abundant species.

We have added the following content to the manuscript:

"We present the average summer (T>11°C, 18.04.2013, 12.05.2013, 05.06.2013, 29.06.2013, 23.07.2013, 16.08.2013, 09.09.2013, 03.10.2013, 27.10.2013) and winter (T<6°C, 12.01.2013, 05.02.2013, 01.03.2013,

25.03.2013, 20.11.2013, 14.12.2013) spectra from (-)ESI-UHR-MS at the urban background site in Zurich (mass spectral signature and van Krevelen diagrams in Fig. 2). We note that peak intensities, x_i, are not directly linked to concentrations and only relative differences can be interpreted. The summer and winter average spectra exhibited a strong seasonal difference. During summer, peaks related to compounds only containing carbon, hydrogen and oxygen (CHO) dominated the spectrum. The majority of these compounds had a ratio H/C around 1.5 and O/C between 0.4 and 1.4 (Fig. 2). These compounds were either absent or had a much lower intensity during winter. "

page 9, lines 18-19: Are the ratios (e.g., H/C or O/C) weighted by the area of the individual peak?

Yes they are weighted by the peak intensity. We would like to refer to section 2.2.3 in the manuscript where we describe the computation of the properties in detail:

All properties, molar ratios, and chemical formulae presented in this manuscript refer to neutral molecules. Literature data was additionally also filtered with criterion (2) for comparability. Bulk elemental ratios (H/C, O/C, N/C and S/C) and the number of carbons of the organic aerosol were computed as follows (Nizkorodov et al., 2011, Bateman et al., 2012):

 $(O/C)_{bulk} = \sum_{i} x_i * N_{O,i} / \sum_{i} x_i * N_{C,i}$ (2) $(H/C)_{bulk} = \sum_{i} x_i * N_{H,i} / \sum_{i} x_i * N_{C,i}$ (3) $(N/C)_{bulk} = \sum_{i} x_i * N_{N,i} / \sum_{i} x_i * N_{C,i}$ (4) $(S/C)_{bulk} = \sum_{i} x_i * N_{S,i} / \sum_{i} x_i * N_{C,i}$ (5) $C_{bulk} = \sum_{i} x_i * N_{C,i} / \sum_{i} x_i$ (6)

page 9, line 22: Accretion products imply aerosol processes (i.e., IUPAC definition). However, the chemistry describes by Berndt et al is a gas phase process. In addition, it is unlikely that these compounds arise from isoprene-RO2 + monoterpene-RO2 as isoprene concentration is very low in the Boreal forest and contributes overall to a small fraction of the OH and O3 reactivities (e.g., Hakola et al., 2012).

We adapted the paragraph in question to:

"…

The C13-C16 compounds are thought to consist mainly of sesquiterpene oxidation products, but may also be produced through reactions of monoterpene and isoprene RO_2 radicals (Berndt et al., 2018), which is less probable in the boreal forest due to the low isoprene concentrations.

page 10, lines 1-5: Those products were also formed from the oxidation of isoprene (e.g., Surratt's group). Please check the literature and provide some information on the concentration of isoprene within the studied areas.

We added that also isoprene can form such small compounds and refer to section 3.4.2 where we studied the isoprene/monoterpene emission ratios in the region around Hyytiälä and Zurich (Fig. S2):

"Some of these compounds were related to OH radical induced atmospheric aging of monoterpene SOA, especially at high NOx conditions, in ambient as well as in laboratory experiments (Zhang et al., 2018; Mutzel et al., 2015) but could also originate from other biogenic precursors such as isoprene (see section 3.4.2). "

We highlight the information on page 10, L25-27:

Modelled biogenic emissions showed a higher isoprene (ISO, C_5H_8) to monoterpene (MT, $C_{10}H_{16}$) ratio in Switzerland than in Finland (Fig. S2, Jiang et al., 2018). The higher ISO/MT ratio in BVOC emissions in Zurich could contribute to the higher C3-C7 CHO compound contribution at this site (see above, Fig. 4, 5, 7).

"



Supplementary Figure S9:

Figure S2: biogenic emissions of isoprene (ISO), monoterpene (MT), and sesquiterpenes (SQT) displayed as ratios SQT/MT and ISO/MT for the area (approx. 450 km x 450 km) surrounding Zurich, Switzerland, and Hyytiälä, Finland, calculated for summer 2011 using the MEGAN biogenic emission model (Jiang et al., 2018)

page 10, 3.4.2: The discussion of this paragraph is not consistent with the previous section. For instance, as it is written the authors suggest that the C4 & C5 compounds are formed from the aging of monoterpenederived SOA but in the paragraph 3.4.2 they mention that the isoprene emissions are larger in Zurich than in Hyytiala, implying that isoprene chemistry plays a bigger role in Zurich. Please clarify and make the discussion more consistent.

As detailed in the manuscript C4-C5 can be enhanced in Zurich compared to Hyytiälä for different reasons: 1) higher NOx concentrations (Zurich>Hyytiälä) lead to enhanced fragmentation of monoterpenes (detailed in 3.4.4), and 2) higher isoprene/monoterpene emission ratio in Zurich than Hyytiälä (detailed in section 3.4.2).

We refer to the discussion in the manuscript.

Section 3.4.1, page 10, L16-21:

Meanwhile, small molecules such as $C_4H_6O_5$ (possibly related to malonic acid) and $C_5H_8O_5$ (possibly related to hydroxyglutaric acid) exhibited a higher fractional contribution in Zurich during summer than in Hyytiälä 2014 (Fig. 4, 6, 7, 8). Some of these compounds were related to OH radical induced atmospheric aging of monoterpene SOA, especially at high NOx conditions, in ambient as well as in laboratory experiments (Zhang et al., 2018; Mutzel et al., 2015) but could also originate from other biogenic precursors such as isoprene (see section 3.4.2). In the following, we will discuss the possible reasons for the differences.

Section 3.4.2, page 10, L27-28:

The higher ISO/MT ratio in BVOC emissions in Zurich could contribute to the higher C3-C7 CHO compound contribution at this site (see above, Fig. 4, 5, 7).

Section 3.4.3, page 11, L20-24:

The increase in the proportion of smaller compounds (C3-C7) occurs despite their increasingly higher evaporation rates. This could be related to a higher fraction of 1st generation products residing in the gasphase where they are prone to further oxidation, possibly also promoting fragmentation. Since the average temperature in Zurich during summer is 17°C (average $T_{max}=21°C$) this would partially explain the enhancement of the fraction of lower molecular weight compounds (C3-C7) compared to Hyytiälä.

Section 3.4.4, page 11, L26-31:

While laboratory monoterpene experiments show an important influence of functionalized monomeric oxidation products, ambient measurements have revealed an enhancement of fragmentation over functionalized products with increasing NOx concentrations (Zhang et al., 2018). Fragmentation products of RO_2 + NO reactions and subsequent autooxidation could explain such observation. Since we observe a similar behavior (Fig. 7b) in this study, the higher (C3-C7)/(C8-C12) ratio in summertime Zurich than in Hyytiälä can be related to enhanced NOx concentrations at the urban site (NOx summertime Zurich: 15 ppb, Hyytiälä: 0.5 ppb).

page 13, lines 33-34: Based on the molecular signature of this group, can the authors propose a potential source? Could it be the VCP recently highlighted by McDonald et al. (2018, science)?

The question refers to the unexplained compound class characterized by carbon numbers between 9 and 12 and H/C between 1.5 and 2.0. Volatile chemical products, VCP, might contribute to the observed unexplained compound class. However, in absence of VCP laboratory aging experiments to compare our ambient SOA signatures to, we are unable to hypothesize on the origin of these compounds.

Figure 3b: Why is the dendrogram not symmetric? For instance, hyytiala 2011 vs hyytiala 2014 is different than hyytiala 2014 vs hyytiala 2011. It should not be like that, or should it be (if so, please explain)? In addition, the axes are not consistent compare to Figure 3a. Please revise Figure 3b to be consistent with Figure 3a.

Figure 3a presents a 2D clustergram and is sorted in both dimension and figure 3b only in one dimension, a 1D clustergram. The cells in Figure represent the fraction of peaks that a certain spectrum has in common with the sample indicated on the right axis of the figure.

Given 2 samples i and ii, the correlation R is the same for R(i,i) and R(ii,i). The fraction of peaks that a sample *i* has in common with *ii* (number of peaks *k*) $k(i \cap ii)/k(i)$ is not the same as $k(ii \cap i)/k(ii)$. Therefore, only a 1D analysis can be performed.

We added more information in Section 2.4:

....

In approach B, we computed the number of peaks k that a sample i had in common with another sample ii normalized to the total number of peaks detected in sample i ($k(i \cap ii)/k(i)$)

"

We now added more information to the figure caption apply the same sorting also to the other dimension for easier readability.



Figure 3: a) correlation matrix of mass spectra sorted by hierarchical cluster analysis also depicting the similarity as dendrograms, b) number of common peaks of a sample with the sample indicated on the y-axis normalized to the total number of peaks of the respective sample sorted by hierarchical cluster analysis also depicting the similarity as dendrograms.

"

Figure 5 (and S3) is hard to read. Please make all the graphs bigger. Another option would be to split the figure and have one figure for biogenic conditions with Zurich summer, Hyytiala 2011/2014 and possibly Zurich winter. Another figure will include wood burning experiments and episodes as well as Zurich winter.

The figures cannot be enlarged within the panel but we agree with the reviewer that the subpanels need to be well visible. This figure should be a full page figure in the final manuscript (we adapted the manuscript in this sense).



Figure 5: Probability density functions (pdf) and contributions of different molecule families to H/C for all molecules (neutral composition based on (-)ESIultra-high resolution mass spectra), molecules with 5 to 8 carbon atoms, and 9 to 12 carbon atoms for the ambient samples collected in Zurich, Magadino, S. Vittore, and wood burning smog chamber experiments. The area of the histograms is proportional to the percentage of the total signal explained for each data set.

Figure 7a is really hard to read and does not bring much information, as it is. It can be one separate figure and once again split between biogenic and wood burning SOA.

For a better readability we split Figure 7a from 7b, c, and d:



Figure 7: Ultra-high-resolution mass spectra of CHO compounds integrated to unit-mass resolution in the negative mode for the organic aerosol for Zurich during summer (T>11°C) and winter (T<6°C) 2013 (PM₁₀, OA-weighted average), for the Hyytiälä during campaigns in 2011 and 2014, during wood burning episodes in S. Vittore and Magadino and laboratory wood burning experiments (fresh emissions, 10h and 30h atmospherically aged),



Figure 8: impact of NOx on fraction of (a) C3-C7 and (b) C17-C22 relative to C8-C12 compounds, c) ratio of pinic acid to MBTCA from LC-MS as a function of temperature.

Figure 9 (and S4) doesn't include Zurich winter. Why?

Equivalent figures are presented in Fig. 2 and Fig. S5.

technical comments:

page 1, line 19: define OA page 2, line 22: Marseilles should be Marseille

We corrected the mistake.

Anonymous Referee #2

General comments

The authors present an analysis of Orbitrap ultra-high resolution mass spectra from organic aerosol (OA) filter samples collected over the course of 2013 in Zurich, Switzerland. These spectra are also compared to specific source spectra from laboratory wood burning experiments, and from ambient locations that are clearly influenced by a specific source (wood burning, biogenic emissions). The results show that summer OA in Zurich is dominated by compounds of biogenic origin, whereas winter OA is dominated by compounds from wood burning emissions, and confirm the importance of non-fossil organic carbon for OA mass loadings in Central Europe. This is a well and clearly written paper. Whereas the main message may not be completely new (it has been shown before by the same group that winter OA in Zurich is dominated by wood burning, and summer OA by biogenic secondary OA - the authors also refer to these studies), it is still of importance to corroborate it, as it has implications for air quality policy making. The ultra-high resolution spectra provide a new layer of information on the molecular composition of OA at this location. In addition to confirming the importance of wood burning and biogenic emissions for this location, it would also be interesting to use the detailed molecular information for improved estimates of OA health effects and/or physicochemical properties influencing climate effects.

I recommend this paper to be published after the following comments have been taken care of:

We thank the reviewer for the positive feedback and will answer the comments point-by-point below.

Specific comments

P. 1, I. 26: I suggest to add also the O/C ratio and average carbon number.

We added this information to the abstract:

"

Samples from Zurich during summer are similar to those collected in Hyytiälä, predominantly impacted by oxygenated compounds with an H/C ratio of 1.5, indicating the importance of biogenic precursors for SOA formation at this location (summertime Zurich: carbon number 7.6, O/C 0.74, Hyytiälä: carbon number 10.5 O/C 0.57).

"

P. 3, I. 20 – 30: In Zurich, Magadino, and San Vittore, PM10 was measured, in Hyytiälä, PM1. The authors should explain if, and how these differences in size cut influence the measured chemical composition. The sampling time for the filters in Switzerland was 24 hours; what was the sampling time in Hyytiälä?

A similar comment was raised by referee 1, we have copied below our response to referee 1:

We performed the following analyses:

1) 15 PM10 filter samples from Zurich, Switzerland (24h sampling time), covering the entire year of 2013 (Daellenbach et al., 2018).

- 2) 1 composite of 4 PM10 filter samples from Magadino, Switzerland (24h sampling time), highly influenced by biomass burning for wintertime residential heating.
- 3) 1 composite of 4 PM10 filter samples from S. Vittore, Switzerland (24h sampling time), highly influenced by biomass burning for wintertime residential heating.
- 4) 1 composite of 16 PM10 filter samples of fresh biomass burning emissions from laboratory experiments (30mins sampling time)
- 5) 1 composite of 11 PM10 filter samples of 10h equivalent atmospherically aged biomass burning emissions from laboratory experiments (30mins sampling time)
- 6) 1 composite of 15 PM10 filter samples of 30h equivalent atmospherically aged biomass burning emissions from laboratory experiments (30mins sampling time)

Additionally, we included 2 average spectra from Hyytiälä (PM1, summer 2011: 12h sampling time, summer 2014: 48 to 64h sampling time) representing biogenic SOA published in Kourtchev et al. (2016). Biogenic SOA is mostly in PM1 (Vlachou et al., 2018), thus comparing PM1 samples from Hyytiälä to PM10 samples from Zurich doesn't affect the conclusions related to biogenic SOA.

We added the following information in the new version of the manuscript:

"

The field samples at the 3 sites in Switzerland were daily collected in 2013 using a High Volume samplers (500 l min⁻¹, quartz-fiber filter, 14.7 cm filter diameter). Zurich is located on the northern Swiss plateau and the site is classified as urban background. The sites in Magadino and S. Vittore are in Alpine valleys in Southern Switzerland. While the 15 samples collected in Zurich were analyzed individually, the 4 samples from Magadino and 4 samples from S. Vittore were grouped and analyzed as composites (10.12.2013, 14.12.2013, 18.12.2013, 22.12.2013).

Samples from smog chamber experiments were analyzed to examine the composition of wood burning emissions from the stable flaming phase and their evolution with aging (Bruns et al., 2016; 2017). During these experiments, fresh emissions were first injected into a 6 m³ Teflon smog chamber (Platt et al., 2013; Bruns et al., 2016, 2017). After 30 min of mixing, particles were sampled onto quartz-fiber filters (UV-lights off, sampling time 30 mins at ~30 l/min). Then, emissions were photochemically aged in the smog chamber, by injecting HONO at a flow rate of 1-2 l/min, which generates OH radicals upon photolysis. Samples were collected before aging (fresh) and at equivalent atmospheric aging times of 10 and 30 h (determined by the Barmet et al. (2012) method, assuming a winter time OH concentration of 10⁶ molec cm⁻³). All samples were grouped and measured as three composites: fresh wood burning emissions (16 samples), 10h equivalent atmospheric aging (15 samples).

Further, we included 2 average spectra from the SMEAR-II station (Station for Measuring Ecosystem Atmosphere Relations, Hari and Kulmala 2005) at Hyytiälä (PM1, summer 2011: 12h sampling time, summer 2014: 48 to 64h sampling time, both quartz-fiber filters) previously published in Kourtchev et al. (2016). The SMEAR-II station (Station for Measuring Ecosystem Atmosphere Relations, Hari and Kulmala 2005) at Hyytiälä is a rural background site in Finland, strongly influenced by biogenic SOA (vegetation dominated by Scots pine and Norway spruce). The PM₁ aerosol was collected between 16 and 25 August 2011 and between 7 July and

4 August 2014 using a low volume sampler (35 I min⁻¹). Since biogenic SOA is mostly in PM1 (Vlachou et al., 2018), comparing PM1 samples from Hyytiälä to PM10 samples from Zurich does not affect the conclusions related to biogenic SOA.

In addition, we collected samples from Frauenfeld (PM_{10}), Payerne (PM_{10}), Bern (PM_{10}), Zurich ($PM_{2.5}$) from the same year to complement the dataset with further chemical analyses (Section 2.3).

"

P. 3, I. 29 – 30: Why would temperature be the only parameter that can vary between years? Do the authors assume e.g. emissions to be the same, and why?

We removed this sentence.

P. 4, I. 4: What kind of filters were used in the smog chamber experiments?

We used quartz fiber samples as for all other sites/experiments. This information is now added to the manuscript:

"…

Samples from smog chamber experiments were analyzed to examine the composition of wood burning emissions from the stable flaming phase and their evolution with aging (Bruns et al., 2016; 2017). During these experiments, fresh emissions were first injected into a 6 m³ Teflon smog chamber (Platt et al., 2013; Bruns et al., 2016, 2017). After 30 min of mixing, particles were sampled onto quartz-fiber filters (UV-lights off, sampling time 30 mins at ~30 l/min). Then, emissions were photochemically aged in the smog chamber, by injecting HONO at a flow rate of 1-2 l/min, which generates OH radicals upon photolysis. Samples were collected before aging (fresh) and at equivalent atmospheric aging times of 10 and 30 h (determined by the Barmet et al. (2012) method, assuming a winter time OH concentration of 10⁶ molec cm⁻³). All samples were grouped and measured as three composites: fresh wood burning emissions (16 samples), 10h equivalent atmospheric aging (15 samples).

..."

P. 4, I. 15: The authors should motivate their choice for using negative mode only.

A similar comment was raised by referee 1, we have copied below our response to referee 1:

With the specific interest of this study in SOA's sources and the large contribution of acids to SOA, we opted for analyzing the aerosol in the negative mode. However, in the light of recent publications we agree with the reviewer and will in future studies use both positive and negative mode analyses. We have added the following information:

"Recent results show that the positive mode ESI, which is less selective, can provide additional valuable information, especially regarding fresh emissions (Lin et). Here, to compare with previous results and as the main aim is to characterize SOA, we have focused on the negative mode ESI analysis."

P. 4, I. 18: Would it be possible for the differences in vaporizer temperature to produce artefacts? Please elaborate.

We added the following in formation to the supplementary information:

11

We investigated the possibility of artefacts induced by differences in vaporizer temperature by measuring 2 samples at the two used vaporizer temperatures (230°C-254°C). We found that differences induced by the temperature change were not statistically higher than our repeatability and were clearly lower than differences observed between samples. This suggests that temperature induced fragmentation artefacts are not a major driver of the observed chemical composition. The comparison between the two settings is shown in Figure S8.



Figure S8: Comparison of mass spectra recorded with 2 different instrumental settings vaporizer temperature 230°C and 254°C) for (a) a sample representing wood burning smoke (30h atmospherically aged) and (b) a sample representing wood burning smoke (10h) aged); (c) a comparison of the 2 samples measured with the same settings (vaporizer temperature 230°C). Measurements are displayed as black dots, 1:1 line as solid grey line, 1:2 and 2:1 line as strikethrough line.

P. 7, I. 21: I realize this paragraph summarizes previous, already published studies, and is therefore not up for discussion here. However, it is not fully clear to me how WOOA and BBOA (Figure 1) are connected to the results presented here. WOOA is interpreted as being formed from anthropogenic VOC emissions – however, I am assuming emissions are similar between summer and winter. With the biogenic emissions becoming more important in the summer months I can see how the relative contributions WOOA become much less important in summer (Figure 1). What about the absolute concentrations, however?

Anthropogenic VOC emissions are from different sources in winter than summer. A strong additional wintertime source is residential heating such as wood burning.

Absolute concentrations of WOOA are much lower in summer than winter (Daellenbach et al., 2017.)

And if OA is really dominated by Wood Burning in winter, as the Orbitrap spectra suggest, then a large fraction of WOOA must be from Wood Burning as well. How come it correlates with NH4+?

 NH_{4^+} is a tracer for regionally transported aged emission from continental Europe (Zotter et al., 2014). NH_3 acts as neutralizing base for organic acids which explains the observed correlation between NH_{4^+} and WOOA.

On p. 15, I. 5 - 7 the authors state that " a good correlation was observed between the relative signal contribution of the compounds with H/C between 0.7 and 1.1 and the relative contribution of the sum of BBOA and WOOA to OA" – does this refer to a correlation of time series?

Yes, here we compare time series, this information is now also added in the maintext at both instances:

"In Zurich, a good correlation was observed between the time series of the relative signal contribution of compounds with H/C between 0.7 and 1.1 and the relative contribution of the sum of BBOA and WOOA to OA (R^2 =0.59, p<0.001), with lower correlations with either BBOA alone (R^2 =0.29, p=0.02) or WOOA alone (R^2 =0.37, p<0.01)."

" In Zurich, a good correlation was observed between the time series of the the relative signal contribution of the compounds with H/C between 1.2 and 1.7 and the relative contribution of SOOA to OA (R^2 =0.57, p<0.01)."

P. 7, I. 28 / Figure 2: What are the 9 / 6 summer / winter samples? Please give more details.

We state now explicitly which samples belong to which category:

"

n

We present the average summer (T>11°C, 18.04.2013, 12.05.2013, 05.06.2013, 29.06.2013, 23.07.2013, 16.08.2013, 09.09.2013, 03.10.2013, 27.10.2013) and winter (T<6°C,12.01.2013, 05.02.2013, 01.03.2013, 25.03.2013, 20.11.2013, 14.12.2013) spectra from (-)ESI-UHR-MS at the urban background site in Zurich (mass spectral signature and van Krevelen diagrams in Fig. 2). We note that peak intensities, x_i , are not directly linked to concentrations and only relative differences can be interpreted. The summer and winter average spectra exhibited a strong seasonal difference. During summer, peaks related to compounds only containing carbon, hydrogen and oxygen (CHO) dominated the spectrum. The majority of these compounds had a ratio H/C around 1.5 and O/C between 0.4 and 1.4 (Fig. 2). These compounds were either absent or had a much lower intensity during winter.

How does a potential mass closure look like?

n

Since we do not quantify the Orbitrap measurements and only look at peak intensities, we cannot attempt a mass closure.

What are the compounds in the "white parts" of Figure 11?

We couldn't relate these compounds neither to wood burning emissions nor to biogenic SOA. A large contribution stems from compounds that contain sulfur and/or nitrogen (see Figure S7). In Hyytiälä SO₂ and NO_x levels are considerably lower than in Zurich and we didn't add SO₂ during the smog chamber experiments which might contribute to this observation.

Meteorology (inversion episodes) play a major role as well in Zurich, especially during winter. How is that taken into account in the present study?

Thermal inversion is indeed important for the absolute concentrations of the pollutants in winter, but potentially to a lesser extent for the composition, driven by the exposure to oxidants and the emission composition. The latter is the focus of the paper. We do not mean that inversion does not occur in Zurich, but that atmospheric inversion, coupled with high emissions and the valley topography at Magadino results in higher concentrations at this location.

P. 8, I. 31 – 34, p. 9, I. 1 -3: It would have been interesting to compare to spectra not from the boreal forest, but from the temperate broadleaf forest dominating Central Europe. Would it be possible for the authors to add such a comparison?

We agree with the reviewer that a comparison to biogenic SOA from similar vegetation as around Zurich would be ideal. However, we could not find an environment representing biogenic SOA better than Hyytiälä. Most places in central Europe are far less remote and more strongly impacted by anthropogenic VOC emissions. Therefore, we opted to compare to Hyytiälä.

P. 10, I. 16 – 19, p. 11, I. 4 - 8: Such ratios are highly dependent on plant species. Given the differences in biome between Hyytiälä and Zurich, I highly question if such statements can be made without further proof.

We agree with the reviewer that differences in biome between Hyytiälä and Zurich are important for SQT/MT, ISO/MT emission ratios and the contribution of C3-C7 compounds relative to larger compounds in biogenic SOA. For that reason, we examine the differences in ISO/MT and SQT/MT emission ratios in the regions around Hyytiälä and Zurich (Fig. S2) showing that ISO/MT is clearly enhanced in Zurich compared to Hyytiälä which reflects differences in biome (pinus silvestris in Hyytiälä: ISO/MT=0 μ g g⁻¹h⁻¹/5 μ g g⁻¹h⁻¹, picea abies in Switzerland: ISO/MT=1 μ g g⁻¹h⁻¹/2.5 μ g g⁻¹h⁻¹, Steinbrecher et al., 2009). However, as shown by Zhao et al. (2017) also biotic stress can impact the emission ratios of trees and as shown by Kourtchev et al. (2016) temperature seems to be important for the contribution of C3-C7 compounds to biogenic SOA. Therefore, we think it is important to point out these possibilities too.

We refer to the section in the maintext where we discuss differences in ISO/MT and SQT/MT emission ratios in Hyytiälä and Zurich:

The composition of BVOC emissions depends on various parameters such as vegetation type and temperature. While many BVOCs lead to the formation of oxidation products characterized by H/C \sim 1.5, C _{bulk}

depends on the size of the carbon backbone of the initially emitted precursor and the degree of accretion. Thus, the composition of the BVOC emissions has an impact on C_{bulk} . Modelled biogenic emissions showed a higher isoprene (ISO, C_5H_8) to monoterpene (MT, $C_{10}H_{16}$) ratio in Switzerland than in Finland (Fig. S2, Jiang et al., 2018). The higher ISO/MT ratio in BVOC emissions in Zurich could contribute to the higher C3-C7 CHO compound contribution at this site (see above, Fig. 4, 5, 7, 8). SQT/MT did not show a clear difference between Finland and Switzerland and is therefore not expected to be the reason for the observed enhanced abundance of C13-C17 compounds in Hyytiälä compared to summertime Zurich (however, see the NOx discussion in the next section).

We adapted the main text so that it is clear that we speak about the composition of biogenic SOA not biogenic vapors on page 11, L19-24:

"

Kourtchev et al. (2016) observed an increasing fraction of smaller molecules (C3-C7) to the total observed signal from biogenic SOA at higher temperatures. The increase in the proportion of smaller compounds (C3-C7) occurs despite their increasingly higher evaporation rates. This could be related to a higher fraction of 1st generation products residing in the gas-phase where they are prone to further oxidation, possibly also promoting fragmentation. Since the average temperature in Zurich during summer is 17°C (average $T_{max}=21$ °C) this would partially explain the enhancement of the fraction of lower molecular weight compounds (C3-C7) compared to Hyytiälä.

"

P. 14, I. 6 – 11: It was shown earlier that wood burning OA in Zurich is mostly of regional origin, especially during inversion episodes (Mohr et al., ACP, 2011), which is in agreement with its longer lifetime compared to the Alpine valleys shown here. Since meteorology, and especially inversion episodes, play such an important role for winter air quality in Zurich, periods when there was such an episode should be marked in e.g. Figure 1.

For our case, the OA concentrations during winter remain moderate and are not especially higher than the rest of year (see figure 1). Therefore, we cannot really identify extreme inversion episodes in Zurich during winter. As mentioned earlier, the focus of the study is not to identify the effect of meteorology on the particle composition, but rather identify the chemical composition and the main sources of SOA during winter and summer.

P. 28, Figure 1: What is the reason for the increase in NOx emissions in the winter months, and the very high fraction of SC-OA in November?

The relative contribution of SCOA is high, though the absolute OA concentration is low. Overall, SC-OA is not especially high.

Technical corrections

n

P. 5, equation 1: Define N

This information is now added to the paragraph:

Molecular assignments have to be consistent with a neutral formula with a positive integer double bond equivalent, (DBE, for any chemical formula $C_{N_c}H_{N_H}O_{N_O}N_{N_N}S_{N_S}$, N_c , N_H , N_o , N_N , and N_s represent the number of carbon, hydrogen, oxygen, nitrogen, and sulfur atoms):

P. 11, 10: Influence on what? – Sentence should be rephrased.

We adapted this sentence to:

"…

While laboratory monoterpene experiments show a large contribution of functionalized monomeric oxidation products to SOA, ambient measurements have revealed an enhancement of fragmentation over functionalized products with increasing NOx concentrations (Zhang et al., 2018).

...″

P. 28, Fig. 1: It would improve readability of the graph if the sample days were just

labelled "Jan, Feb. . . ", and the dates were added in a table.

Since we have several samples for certain month, we unfortunately cannot call the samples by month only. Therefore, we have to keep the figure as it currently is.

P. 32, Figure 5: This figure is hard to read and could potentially be left out.

This figure shows what chemical components drive the variability in Figure 4 (not only H/C but also carbon number). Thus we would like to keep it in the manuscript.

P. 33, Figure 7a: What are the grey lines?

We agree that the lines confuse the reader and thus we removed them from the figure.

References:

Steinbrecher et al., Intra- and inter-annual variability of VOC emissions from natural and semi-natural vegetation in Europe and neighbouring countries, Atmos. Environ., 43, 1380-1391, doi: 10.1016/j.atmosenv.2008.09.072, 2009.

Impact of anthropogenic and biogenic sources on the seasonal variation of the molecular composition of urban organic aerosols: a field and laboratory study using ultra-high resolution mass spectrometry

5 Kaspar R. Daellenbach^{1,*}, Ivan Kourtchev², Alexander L. Vogel^{1,**}, Emily A. Bruns¹, Jianhui Jiang¹, Tuukka Petäjä³, Jean-Luc Jaffrezo⁴, Sebnem Aksoyoglu¹, Markus Kalberer^{2,5}, Urs Baltensperger¹, Imad El Haddad¹, André S. H. Prévôt¹

¹Laboratory of Atmospheric Chemistry, Paul Scherrer Institute (PSI), 5232 Villigen-PSI, Switzerland ²Department of Chemistry, University of Cambridge, Cambridge, U. K.

- ³Institute for Atmospheric and Earth System Research / Physics, Faculty of Science, University of Helsinki, Helsinki, Finland
 ⁴Université Grenoble Alpes, CNRS, IRD, Grenoble INP, IGE, Grenoble, France
 ⁵Department of Environmental Sciences, University of Basel, Basel, Switzerland
 *now at: Institute for Atmospheric and Earth System Research / Physics, Faculty of Science, University of Helsinki, Helsinki, Finland
- 15 **now at: Institute for Atmospheric and Environmental Sciences, Goethe University, Frankfurt am Main, Germany

Correspondence to: André S. H. Prévôt (andre.prevot@psi.ch) and Markus Kalberer (markus.kalberer@unibas.ch)

Abstract.

- 20 This study presents the molecular composition of OA using ultra-high resolution mass spectrometry (Orbitrap) at an urban site in Central Europe (Zurich, Switzerland). Specific source spectra were also analysed, including samples representative of wood burning emissions from Alpine valleys during wood burning pollution episodes and chamber investigations of wood smoke as well as samples from Hyytiälä strongly influenced by biogenic secondary organic aerosol. While samples collected during winter in Alpine valleys have a molecular composition remarkably similar to fresh laboratory wood burning emissions, winter
- 25 samples from Zurich are influenced by more aged wood burning emissions. In addition, other organic aerosol emission or formation pathways seem to be important at the latter location in winter. Samples from Zurich during summer are similar to those collected in Hyytiälä, predominantly impacted by oxygenated compounds with an H/C ratio of 1.5, indicating the importance of biogenic precursors for SOA formation at this location (summertime Zurich: carbon number 7.6, O/C 0.74, Hyytiälä: carbon number 10.5 O/C 0.57). We could explain the strong seasonality of the molecular composition at a typical
- 30 European site by primary and aged wood burning emissions and biogenic secondary organic aerosol formation during winter and summer, respectively. Results presented here likely explain the seasonally rather constant predominance of non-fossil organic carbon at European locations.

1 Introduction

Aerosols affect earth's climate, ecosystems, and human health. A main contributor to the aerosol mass is the organic aerosol (OA). OA can be directly emitted as primary particles (POA) or produced by oxidation and subsequent condensation of volatile organic compounds (VOC) (secondary OA, SOA). Sources of both POA and SOA can be natural such as plant debris,

5 resuspension and biogenic VOC oxidation, or anthropogenic from traffic, cooking, and residential heating using wood or fossil fuels. The resulting SOA is typically a highly complex mixture of unknown compounds, the chemical characterization of which requires comprehensive analytical strategies (e.g., Noziere et al., 2015).

Field-deployments of the aerosol mass spectrometer (AMS, Canagaratna et al., 2007) at several European stations have revealed a large impact of primary wood burning emissions on OA (e.g. between 11-59% in Switzerland), while primary 10 traffic emissions have a smaller contribution (4-14%) (Lanz et al., 2010; Gilardoni et al., 2011; Daellenbach et al., 2017). The results are consistent with radiocarbon measurements (Zotter et al., 2014a), showing that during extreme winter pollution episodes non-fossil organic carbon (OCnf) may account for up to 97% of OC at Alpine valley sites (Magadino: 83%, S. Vittore: 97%) and 74% at an urban background site in Zurich and are associated with levoglucosan, a pyrolysis product of cellulose. Similar results were obtained in French Alpine valleys such as in Chamonix (Bonvalot et al., 2016). Based on AMS 15 measurements, a large fraction of OA, in addition to primary wood burning emissions, is found to be SOA from unknown origins. Radiocarbon analyses suggest that a large fraction of SOA is also non-fossil, potentially arising from biomass smoke aging (Vlachou et al., 2018). Recent smog chamber studies were capable of clearly showing the significant SOA formation rates during the aging of wood burning emissions, which could be largely attributed to phenolic derivatives together with 20 benzene and naphthalene (Bruns et al., 2016). During summer the dominant fraction of OA was reported to be SOA (Bozzetti et al., 2016; 2017a; 2017b; Canonaco et al., 2015; Daellenbach et al., 2017; Lanz et al., 2010; Reyes-Villegas et al., 2016; Schlag et al., 2016), at many European sites, even in large industrialized cities such as Marseille, France (El Haddad et al., 2011). Based on radiocarbon analyses, it is hypothesized that summertime SOA in Central Europe is largely produced from the oxidation of biogenic precursors (Vlachou et al., 2018; Bonvalot et al., 2016; Zotter et al., 2014a). While this is found to 25 be the case in Europe, a strong contribution of fossil fuel emissions to SOA has been observed in the Los Angeles basin (Zotter et al., 2014b; Platt at el., 2017).

Despite these recent advancements of OA source apportionment using the AMS and radiocarbon analysis, direct links between observed SOA and its precursors are still missing. This remaining gap is strongly related with the extensive molecular fragmentation in the AMS caused by the use of electron ionization, which hinders retrieving detailed information on the chemical nature of winter and summertime SOA fractions. The use of soft ionization techniques, such as electrospray ionization coupled to ultra-high-resolution mass spectrometry (ESI-UHR-MS) is a powerful technique that may help bridging such existing gaps (Nizkorodov et al., 2011). The technique provides with minimal fragmentation the exact mass of molecular ions, thus allowing the determination of the SOA molecular composition. The disadvantage of the technique is the relatively strong variability in the ionization efficiency of different compounds; i.e. the relative contribution of a compound cannot be directly linked to its concentration without using an authentic standard (Huffmann et al., 2012; Kruve et al., 2017; Noziere et al., 2015). Despite this, laboratory studies using ESI-UHR-MS have deepened our understanding of the formation of SOA from various

- 5 sources such as isoprene, monoterpenes and vehicular exhaust (Bateman et al., 2009; Kourtchev et al., 2015; Mutzel et al., 2015; Nguyen et al., 2010, 2011; Romonosky et al., 2017; Walser et al., 2008). Studies focusing on the ESI-UHR-MS analysis of aerosol samples collected in field campaigns qualitatively revealed the influence of different sources at various sites (Dzepina et al., 2015; Kourtchev et al., 2013, 2014b; Lin et al., 2012; O'Brien et al., 2013; 2014; Rincón et al., 2012; Roach et al., 2010; Tao et al., 2014; Tong et al., 2016; Wang et al., 2017). However, the typical number of samples analyzed remains
- 10 very limited with low temporal resolution in comparison to online measurement techniques. As a result, only limited knowledge is currently available on the changes of OA molecular characteristics throughout the seasons and especially during winter.

In this study, we examine the seasonal variability of the OA chemical composition at a molecular level, at an urban background site in Central Europe (Zurich, Switzerland). In order to elucidate the influence of different sources on SOA chemical compositions, samples from Zurich are compared to those collected during wood burning episodes and wood burning smog chamber experiments, as well as samples dominated by biogenic SOA.

2 Experiments & Methods

2.1 Aerosol sample collection

20 The field samples at the 3 sites in Switzerland were daily collected in 2013 using a High Volume samplers (500 l min⁻¹, quartz-fiber filter, 14.7 cm filter diameter). Zurich is located on the northern Swiss plateau and the site is classified as urban background. The sites in Magadino and S. Vittore are in Alpine valleys in Southern Switzerland. While the 15 samples collected in Zurich were analyzed individually, the 4 samples from Magadino and 4 samples from S. Vittore were grouped and analyzed as composites (10.12.2013, 14.12.2013, 18.12.2013, 22.12.2013).

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Samples from smog chamber experiments were analyzed to examine the composition of wood burning emissions from the stable flaming phase and their evolution with aging (Bruns et al., 2016; 2017). During these experiments, fresh emissions were first injected into a 6 m³ Teflon smog chamber (Platt et al., 2013; Bruns et al., 2016, 2017). After 30 min of mixing, particles were sampled onto quartz-fiber filters (UV-lights off, sampling time 30 mins at ~30 l/min). Then, emissions were photochemically aged in the smog chamber, by injecting HONO at a flow rate of 1-2 l/min, which generates OH radicals upon photolysis. Samples were collected before aging (fresh) and at equivalent atmospheric aging times of 10 and 30 h (determined by the Barmet et al. (2012) method, assuming a winter time OH concentration of 10^6 molec cm⁻³). All samples were grouped

and measured as three composites: fresh wood burning emissions (16 samples), 10h equivalent atmospheric aging (11 samples) and 30h equivalent atmospheric aging (15 samples).

Further, we included 2 average spectra from the SMEAR-II station (Station for Measuring Ecosystem Atmosphere Relations,

- 5 Hari and Kulmala 2005) at Hyytiälä (PM1, summer 2011: 12h sampling time, summer 2014: 48 to 64h sampling time, both quartz-fiber filters) previously published in Kourtchev et al. (2016). The SMEAR-II station (Station for Measuring Ecosystem Atmosphere Relations, Hari and Kulmala 2005) at Hyytiälä is a rural background site in Finland, strongly influenced by biogenic SOA (vegetation dominated by Scots pine and Norway spruce). The PM₁ aerosol was collected between 16 and 25 August 2011 and between 7 July and 4 August 2014 using a low volume sampler (35 1 min⁻¹). Since biogenic SOA is mostly
- 10 in PM1 (Vlachou et al., 2018), comparing PM1 samples from Hyytiälä to PM10 samples from Zurich does not affect the conclusions related to biogenic SOA.

In addition, we collected samples from Frauenfeld (PM_{10}), Payerne (PM_{10}), Bern (PM_{10}), Zurich ($PM_{2.5}$) from the same year to complement the dataset with further chemical analyses (Section 2.3).

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2.2 Direct-injection (-)ESI-UHR-MS

2.2.1 Analytical procedure

For each sample, a part of the quartz fiber filter was extracted three times with 5 mL of methanol (Optima® grade, Fisher Scientific) under ultrasonic agitation in an ice chilled bath for 30 min. The three extracts were combined, filtered through a Teflon® filter (0.2 µm) and reduced by volume to approximately 200 µL under a gentle stream of nitrogen. Extracts of field blanks were prepared analogously.

Recent results show that the positive mode ESI, which is less selective, can provide additional valuable information, especially regarding fresh emissions (Lin et al., 2018). Here, to compare with previous results and as the main aim is to characterize

- SOA, we have focused on the negative mode ESI analysis. The aerosol extracts were analyzed using an ultra-high resolution LTQ Orbitrap Velos mass spectrometer in the negative mode (Thermo Fisher, Bremen, Germany) equipped with a TriVersa Nanomate robotic nanoflow chip-based ESI source (Advion Biosciences, Ithaca NY, USA). The analyses were conducted with an ionization voltage of 1.51 kV, a vaporizer temperature of 230°C for the Zurich samples and 254°C for the other samples, and a capillary temperature of 200°C (only small impact on measurements, see Fig. S8). The Orbitrap MS instrument was
- 30 calibrated using an Ultramark 1621 solution (Sigma-Aldrich, UK). The accuracy of the m/z calibration was <2 ppm. The mass resolution of the instrument was 100'000 at m/z 400. Two or three replicate measurements were conducted for each extract (variability assessed in Fig. S9), and field blank extracts were analyzed in the same way.

2.2.2 Data analysis

For each replicate measurement, approximately 38 mass spectral scans were averaged into 1 mass spectrum per measurement (representing 1 min measurement time). The gathered mass spectral information was processed with Xcalibur 2.1 (Thermo Scientific). In a first step, peaks exhibiting a signal below instrumental noise level were removed. We estimated the

- 5 instrumental noise level as the 99.9th percentile of the signal recorded in regions of the mass spectrum where no signal is expected. In a second step, for all remaining peaks possible molecular compositions were assigned to the signals using a tolerance level within ±5 ppm and the following constraints: ${}^{12}C \le 100$, ${}^{13}C \le 1$, ${}^{1}H \le 200$, ${}^{16}O \le 50$, ${}^{14}N \le 5$, ${}^{32}S \le 2$ and ${}^{34}S \le 1$. Among all the assigned molecular compositions, only molecular assignments in agreement with the following criteria described in detail in Kourtchev et al. (2013) were further considered.
- 10

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- 1. Molecular assignments of a peak have to be within a defined mass difference from the measured mass (m/z). The tolerated difference between the molecular assignments and the measured mass of a peak was assessed based on the respective difference for nine known compounds for every analyzed sample (on average 0.5 ppm).
- Molecular assignments have to be in agreement with the following elemental ratios: i) O/C≤1.5, ii) 0.3≤H/C≤2.5, iii) 0≤N/C≤0.5, iv) 0≤S/C≤0.2.
- 3. Molecular assignments have to be consistent with a neutral formula with a positive integer double bond equivalent, (DBE, for any chemical formula $C_{N_C}H_{N_H}O_{N_O}N_{N_N}S_{N_S}$, N_C , N_H , N_O , N_N , and N_S represent the number of carbon, hydrogen, oxygen, nitrogen, and sulfur atoms):

20
$$DBE = 1 - \frac{N_H}{2} + \frac{N_N}{2} + N_C$$
 (1)

- 4. Nitrogen containing compounds have to be in agreement with the nitrogen rule.
- 5. Formulae including either or both ¹³C and ³⁴S were only further considered in the presence of a counterpart including only ¹²C and ³²S, respectively.
- 6. Only peaks were further considered if their intensity in the sample was at least 3 times larger than in the blank.

2.2.3 Computation of bulk properties

All properties, molar ratios, and chemical formulae presented in this manuscript refer to neutral molecules. Literature data was additionally also filtered with criterion (2) for comparability. Bulk elemental ratios (H/C, O/C, N/C and S/C) and the number of carbons of the organic aerosol were computed as follows (Nizkorodov et al., 2011, Bateman et al., 2012):

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$$(O/C)_{bulk} = \sum_{i} x_i * N_{O,i} / \sum_{i} x_i * N_{C,i}$$
(2)

$$(H/C)_{bulk} = \sum_{i} x_{i} * N_{H,i} / \sum_{i} x_{i} * N_{C,i}$$
(3)

$$(N/C)_{bulk} = \sum_{i} x_{i} * N_{N,i} / \sum_{i} x_{i} * N_{C,i}$$
(4)

$$(S/C)_{bulk} = \sum_{i} x_i * N_{S,i} / \sum_{i} x_i * N_{C,i}$$
(5)

$$C_{bulk} = \sum_{i} x_i * N_{C,i} / \sum_{i} x_i \tag{6}$$

5 O/C, H/C, N/C, S/C, and carbon number probability distribution functions (pdf) are weighing the number of O, H, N, S, C atoms in a compound i ($N_{O,i}$, $N_{H,i}$, $N_{S,i}$, $N_{C,i}$,) by the respective peak intensity x_i such that the probability p of, e.g., an H/C of h is defined as:

$$p(H/C = h) = \sum_{i} x_{i|H/C=h} / \sum_{i} x_{i}$$
(7)

10

The compounds' aromaticity can be estimated by different parameterizations (e.g., aromaticity index, AI, and aromaticity equivalent, X_c). X_c (Yassine et al., 2014) has the advantage over AI (Koch and Dittmar, 2006) that (poly-)aromatic compounds with significant alkylation are accurately classified. Therefore, we apply the widely used aromaticity equivalent (X_c) in this study, expressed as follows:

$$X_{c} = \frac{3*(DBE - (m*N_{O} + n*N_{S})) - 2}{DBE - (m*N_{O} + n*N_{S})}$$
(8)

Here, DBE is the double bond equivalent (Eq. 1), N_0 , N_s are the number of oxygen and sulfur atoms present in the molecule, respectively, and *m* and *n* are the number of oxygen and sulfur atoms involved in π -bonds, respectively (both assumed to be

20 0.5, Yassine et al., 2014). For molecular formulae with an odd number of sulfur or oxygen, the sum $(m * N_0 + n * N_S)$ was rounded down to the closest integer, and for compounds with $DBE \le m * 0 + n * S X_c$ was set to 0 (Yassine et al., 2014). Compounds with $X_c < 2.5$ were considered non-aromatic, $X_c \ge 2.5$ aromatic, and $X_c \ge 2.7143$ condensed aromatic (Yassine et al., 2014).

2.3 Other chemical analyses

- 25 The chemical analyses were complemented with other filter-based analyses. Organic and elemental carbon (OC, EC) concentrations were determined for Zurich, Magadino, S. Vittore and Hyytiälä by a thermo-optical transmission (TOT) method with a Sunset OC/EC analyzer (Birch and Cary, 1996), following the EUSAAR-2 thermal-optical transmission protocol (Cavalli et al., 2010). Water-soluble inorganic ions (K⁺, Na⁺, Mg²⁺, Ca²⁺, NH₄⁺; and SO₄²⁻, NO₃⁻, Cl⁻) were measured by ion chromatography for Zurich, Magadino and S. Vittore (Piazzalunga et al., 2013 and Jaffrezo et al., 1998). Pinic acid and 3-
- 30 MBTCA were quantified for the ambient filter samples from Switzerland, with analysis by liquid-chromatography mass spectrometry (LC-MS, (-)ESI- LCQ-Fleet, Thermos-Fisher), with chromatographic separation performed on a Synergi 4µm Fusion – RP 80A (Phenomenex) with a water – acetonitrile – formic acid eluent. The calibration was performed with authentic

standards. On-line measurements of gas-phase compounds (NOx by chemiluminescence, SO₂ by fluorescence/absorption) were performed and meteorological parameters were recorded at selected sites (for Hyytiälä no SO₂). For the ambient samples from Switzerland, OA source apportionment contributions (presented in Daellenbach et al., 2017) were determined using an offline application of the HR-ToF-AMS, according to the protocol presented in Daellenbach et al. (2016). During the laboratory

5 wood burning experiments, the aerosol was monitored online by an HR-ToF AMS (Aerodyne, Canagaratna et al., 2007; Bruns et al., 2016).

2.4 Hierarchical clustering analysis

Similarities in the mass spectral signatures were examined by hierarchical cluster analysis (HCA, Bar-Joseph et al., 2001) using the average linkage method. For a robust interpretation, we performed this analysis following two approaches, once considering the intensity of the peaks (approach A) and once based on the presence/absence of a peak (approach B). In approach A, we computed the Pearson correlation coefficient (*R*) between the mass spectral profiles of the samples in question and performed HCA based on the correlation matrix. In approach B, we computed the number of peaks $\frac{k}{k}$ that a sample *i* had in common with another sample *ii* normalized to the total number of peaks detected in sample $i \frac{(k(i \cap ii)/k(i))}{k}$.

15 3 Results

3.1 Previous knowledge on aerosol composition and sources

The bulk aerosol composition for the different sites and periods is summarized in Tab. 1. On average, OC and EC loadings in Zurich were similar for the winter and summer samples. During the wood burning pollution episode the concentrations were strongly elevated at the Alpine valley sites Magadino and S. Vittore compared to the ones observed in Zurich. NH₄⁺, NO₃⁻, and SO₄²⁻ concentrations exhibited a strong seasonality in Zurich, with higher concentrations during winter. The same is true for NOx and SO₂, while higher O₃ concentrations were observed during summer. While O₃ concentrations at the remote site in Hyytiälä are comparable to Zurich, NOx and CO are strongly enhanced in Zurich highlighting the anthropogenic influence at this location. The temperatures recorded in Zurich summer (17°C) and winter (2°C) were not significantly different than those recorded during summer in Hyytiälä (between 15 and 20°C for the measurement periods) and in Magadino (1.5°C)
25 during wood burning episodes, respectively.

In earlier work, source apportionment analysis for the same samples (Daellenbach et al., 2017) quantified the contributions of POA from traffic (hydrocarbon-like OA, HOA), cooking (COA), biomass burning from residential heating (BBOA) as well as SOA (results for samples used in this study presented in Fig. 1). These results showed that SOA was a main contributor to

30 OA in the PM_{10} fraction in Zurich throughout the year. We further distinguished SOA in two seasonal components termed winter OOA, WOOA, and summer OOA, SOOA, which dominate SOA in winter and summer respectively. WOOA correlated

with anthropogenically-influenced inorganic ions like NH_{4^+} and was for this reason interpreted as being formed from anthropogenic VOC emissions. SOOA in contrast showed a positive non-linear relation to temperature, consistent with the temperature driven enhancement of biogenic terpene emissions (Daellenbach et al., 2017, for entire dataset from Zurich R_p^2 (WOOA, NH_{4^+} ,n=90)=0.79, R_s (SOOA,temp,n=91)=0.65)... Therefore, we have hypothesized that summer SOA is formed

5 from biogenic VOC emissions (Daellenbach et al., 2017, Vlachou et al., 2018), consistent with previous ¹⁴C measurements at the same and other sites in Switzerland (Zotter et al., 2014a; Vlachou et al., 2018).

3.2 Seasonal differences in the OA chemical composition in Zurich

We present the average summer (T>11°C, 18.04.2013, 12.05.2013, 05.06.2013, 29.06.2013, 23.07.2013, 16.08.2013, 09.09.2013, 03.10.2013, 27.10.2013) and winter (T<6°C, 12.01.2013, 05.02.2013, 01.03.2013, 25.03.2013, 20.11.2013, 14.12.2013) spectra from (-)ESI-UHR-MS at the urban background site in Zurich (mass spectral signature and van Krevelen diagrams in Fig. 2). We note that peak intensities, x_i , are not directly linked to concentrations and only relative differences can be interpreted. The summer and winter average spectra exhibited a strong seasonal difference. During summer, peaks related to compounds only containing carbon, hydrogen and oxygen (CHO) dominated the spectrum. The majority of these compounds had a ratio H/C around 1.5 and O/C between 0.4 and 1.4 (Fig. 2). These compounds were either absent or had a much lower

15 intensity during winter.

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During winter, compounds also containing nitrogen (CHON) dominated the signal (Fig. 2). The largest intensity was assigned to $C_6H_5O_4N$ (possibly nitrocatechol), followed by $C_7H_7O_4N$ (possibly methylnitrocatechol). Some of these compounds were also present in summer with much lower contributions. Such compounds are formed through the oxidation of aromatic VOCs

- in the presence of NOx (Forstner et al., 1997; Jang and Kamens, 2001; Hamilton et al., 2005; Sato et al., 2012; Irei et al., 2015). In earlier work, these compounds were observed at urban and rural locations and were mainly associated with biomass burning activities (Kitanovski et al., 2012; Iinuma et al., 2010; Claeys et al., 2012; Kourtchev et al., 2013; 2014b; Zhang et al., 2013; Mohr et al., 2013). The same peaks (C₅H₆O₄N and C₇H₇O₄N) were also abundant in measurements in the Pearl River Delta during harvesting period (Lin et al., 2012). We did not observe nitrophenols (C₆H₅NO₃, C₇H₇NO₃, C₈H₉NO₃) which
 were previously reported for measurements with the (-)ESI-Orbitrap in a road tunnel and related to vehicular emissions (Tong et al., 2016). However, nitrophenols were also reported to be influenced by biomass burning (Mohr et al., 2013). Some aromatic CHO compounds (generic formula C₁₁H₉O₅) found during winter were either not detected or were only present at much lower
 - concentrations during summer.
- 30 Both during summer and winter, CHOS and CHONS compounds characterized by elevated H/C between 1.5 and 2 were observed, with little seasonal variability in (S/C)_{bulk} (0.03). A prominent CHONS compound observed both during summer and winter was C₁₀H₁₇O₇NS. In early studies, this peak was linked to biogenic emissions and recent publications observed this peak in bush fire plumes in Australia, and linked it to outgassing of BVOC during combustion (Iinuma et al., 2015).

Additionally, this compound showed a high abundance during the harvest season in the Pearl River Delta (Lin et al., 2012) underlining possible additional links to human activities.

3.3 Comparison between Zurich and source samples

- Hierarchical cluster analysis using R^2 (approach A) as a measure of similarity of the recorded (-)ESI-UHR mass spectral profiles could distinguish the samples from summer and winter into two groups. On the one hand, the analysis revealed that the winter-time Zurich mass spectral signatures were similar to those recorded in Magadino and S. Vittore during wood burning episodes as well as to laboratory wood burning emissions (Fig. 3a). The spectra in S. Vittore and Magadino were most similar to the fresh laboratory wood burning emissions, suggesting the prevalence of primary wood burning emissions during these pollution episodes. The wintertime Zurich spectrum was more similar to aged laboratory wood burning emissions in the chamber under atmospherically relevant conditions (representing 10 and 30 h atmospheric aging). On the other hand, the
- summertime Zurich spectrum was most similar to that from Hyytiälä during summer (Kourtchev et al., 2014a; 2016). Since Hyytiälä is strongly influenced by biogenic SOA (e. g., Kourtchev et al., 2014a; 2016), the similarity between the mass spectral signatures for summertime Hyytiälä and summertime Zurich suggests that biogenic SOA has also a dominant influence at the urban background location in Zurich. However, the correlation between summertime Zurich and Hyytiälä was smaller than
- 15 the ones between wintertime Zurich, Magadino and S. Vittore, indicating differences either in the SOA precursor emission patterns or in the SOA formation pathways.

As for any chemical ionization mass spectrometry, the spectral fingerprints are influenced by the variable relative ionization efficiencies of the different compounds. Therefore, we also performed hierarchical cluster analysis based on the normalized number of common peaks (approach B, Fig. 3b). Results confirmed the similarity of samples dominated by wood burning emissions (laboratory wood burning experiments, S. Vittore and Magadino), clearly distinguished from other samples. Among the wood burning dominated samples, fresh laboratory wood burning emissions were most similar to S. Vittore and to a lesser degree to Magadino. In addition, the analysis indicates a high number of peaks with relatively low intensity in common to the samples from Zurich during summer and winter. As will be discussed in more detail in the following sections these peaks comprise CHONS compounds. Overall, the appearance of peaks related with biogenic SOA and wood burning emissions in summer and winter, respectively dominate the observed variability between the spectra. Therefore, samples from summer and winter will be discussed separately in the following.

3.4 Biogenic SOA: Zurich and Hyytiälä

3.4.1 Bulk chemical composition

30 In order to understand the spectral profiles recorded in summertime Zurich, we start by describing similar spectra from Hyytiälä. The largest fraction of signal in Hyytiälä can be attributed to CHO compounds with H/C ~1.5 and C_{bulk} 10.5 (H/C

1.48, C_{bulk} 9.7 for 2011 and H/C 1.50, C_{bulk} 11.3 for 2014, Fig 4, 5, Tab. 2), characteristic of biogenic emissions. Compounds with 8 to 12 carbons (C8-C12), thought to arise from monoterpene oxidation, dominate the signals (Fig. 5, 6, 7). Additionally, CHO compounds with 13-16 carbons and 17-22 carbons significantly contribute to the signal. The C13-C16 compounds are thought to consist mainly of sesquiterpene oxidation products, but may also be produced through reactions of monoterpene

- 5 and isoprene RO₂ radicals (Berndt et al., 2018), which is less probable in the boreal forest due to the low isoprene concentrations. Meanwhile, the latter class (C17-C22) of compounds is thought to consist mainly of dimeric oxidation products (e.g. Kristensen et al. 2016; Berndt et al., 2018; Frege et al., 2018). Larger oxidation products (C>22), were detected but to a much lower extent.
- 10 The OA bulk composition in summertime Zurich was similar to that in Hyytiälä, especially in the C8-C12 range, and at both locations CHO compounds dominated the signal (Fig. 4, 5, 6). The (H/C)_{bulk} was 1.49 for Zurich samples during summertime, which is similar to those for samples from Hyytiälä and to the oxidation products of biogenic VOCs (e.g. α-pinene: pinic acid: C₉H₁₄O₄, 3-methyl-1,2,3-butanetricarboxylic acid (MBTCA, C₈H₁₂O₆). However, compared to Hyytiälä, signals in Zurich were clearly shifted toward smaller molecules (C_{bulk} 7.6, Fig. 4, 6, 7, 8, Tab. 2). While a high fractional contribution to the signal of C13-C16 and C17-C22 compounds was observed in Hyytiälä 2014, these compounds contributed much less in Zurich
- (Fig. 5, 6, 7). Meanwhile, small molecules such as C₄H₆O₅ (possibly related to malonic acid) and C₅H₈O₅ (possibly related to hydroxyglutaric acid) exhibited a higher fractional contribution in Zurich during summer than in Hyytiälä 2014 (Fig. 4, 6, 7, 8). Some of these compounds were related to OH radical induced atmospheric aging of monoterpene SOA, especially at high NOx conditions, in ambient as well as in laboratory experiments (Zhang et al., 2018; Mutzel et al., 2015) but could also
- 20 originate from other biogenic precursors such as isoprene (see section 3.4.2).. In the following, we will discuss the possible reasons for the differences.

3.4.2 CHO and BVOC composition

The composition of BVOC emissions depends on various parameters such as vegetation type and temperature. While many BVOCs lead to the formation of oxidation products characterized by H/C ~1.5, C_{bulk} depends on the size of the carbon backbone of the initially emitted precursor and the degree of accretion. Thus, the composition of the BVOC emissions has an impact on C_{bulk}. Modelled biogenic emissions showed a higher isoprene (ISO, C₅H₈) to monoterpene (MT, C₁₀H₁₆) ratio in Switzerland than in Finland (Fig. S2, Jiang et al., 2018). The higher ISO/MT ratio in BVOC emissions in Zurich could contribute to the higher C3-C7 CHO compound contribution at this site (see above, Fig. 4, 5, 7, 8).. SQT/MT did not show a clear difference between Finland and Switzerland and is therefore not expected to be the reason for the observed enhanced abundance of C13-C12 and the observed enhanced abundance of C13-C12 and the site of the composition of t

30 C17 compounds in Hyytiälä compared to summertime Zurich (however, see the NOx discussion in the next section). In addition, increased temperatures lead to higher BVOC emissions, and may induce some effects on the ratios of ISO/MT (mainly driven by photosynthetic activity) and of SQT/MT (Zhao et al., 2017). Biotic stress acting on plants may influence the SQT/MT emission ratio as well: Zhao et al. (2017) reported ratios of 0.15 for unstressed and 3.5 for stressed plants at 22-25°C.

These various effects could contribute to the variability in the abundance of C13-C17 CHO compounds observed between Zurich (17°C, average $T_{max}=21^{\circ}$ C) and Hyytiälä in 2011 (15°C, average $T_{max}=18^{\circ}$ C) and 2014 (20°C, average $T_{max}=24^{\circ}$ C).

3.4.3 CHO and temperature

In 2014, higher daily average temperatures (20°C, average T_{max}=24°C) were recorded compared to 2011 (15°C, average

- 5 T_{max}=18°C). The mass spectral signature recorded in Hyytiälä in 2011 showed distinct differences to the observations made in 2014 at the same place and was also less similar to summertime Zurich (Fig. 7). In 2011 (lower T), C8-C12 compounds dominated the signal while larger compounds (C13-C16 and C17-C22) contributed significantly to the signal in 2014 (Fig. 5). As highlighted by Kourtchev et al. (2016) the higher contributions of dimeric and trimeric BVOC oxidation products in 2014 could be related to higher precursor and SOA mass which is in agreement with laboratory experiments presented in the same
- 10 study. Temperature differences affect not only the emissions from the biosphere, but also the ratio between particle and gasphase concentration of compounds as a function of their volatility. This could lead to an enhancement of less volatile dimeric compared to more volatile monomeric BVOC oxidation products at higher T (Fig. 8, Hyytiälä 2014 vs 2011). Temperature affects also the particle phase contribution of 1st (more volatile, such as pinic acid) and 2nd (less volatile, such as MBTCA) generation gas-phase oxidation products (Zhang et al., 2010; Vogel et al., 2013; Müller et al., 2012; Donahue et al., 2012).
- 15 This is consistent with the observed enhancement of MBTCA compared to pinic acid with rising temperatures is consistent (Fig. 8). This phenomenon partially explains the variability in the observed composition of monomeric BVOC oxidation products.

Kourtchev et al. (2016) observed an increasing fraction of smaller molecules (C3-C7) to the total observed signal from biogenic SOA at higher temperatures. The increase in the proportion of smaller compounds (C3-C7) occurs despite their increasingly higher evaporation rates. This could be related to a higher fraction of 1st generation products residing in the gas-phase where they are prone to further oxidation, possibly also promoting fragmentation. Since the average temperature in Zurich during summer is 17°C (average $T_{max}=21$ °C) this would partially explain the enhancement of the fraction of lower molecular weight compounds (C3-C7) compared to Hyytiälä.

25 **3.4.4 CHO and NOx**

While laboratory monoterpene experiments show a large contribution of functionalized monomeric oxidation products to SOA, ambient measurements have revealed an enhancement of fragmentation over functionalized products with increasing NOx concentrations (Zhang et al., 2018). Fragmentation products of RO_2 + NO reactions and subsequent autooxidation could explain such observation. Since we observe a similar behavior (Fig. 8) in this study, the higher (C3-C7)/(C8-C12) ratio in

30 summertime Zurich than in Hyytiälä can be related to enhanced NOx concentrations at the urban site (NOx summertime Zurich: 15 ppb, Hyytiälä: 0.5 ppb).

Dimeric monoterpene oxidation products (C17-C22) are mainly formed through $RO_2 + RO_2$ reactions, while in the presence of NOx this reaction pathway is suppressed by radical termination reactions between RO₂ and NO (Kristensen et al., 2016, Lehtipalo et al., 2018). This effect explains the considerable contribution of C17-C22 compounds in Hyytiälä, while they are largely absent in summertime Zurich (Fig. 5, 7, 8). Overall the enhanced NOx concentrations inhibit the formation of such dimeric C17-C22 compounds leading to the smaller C_{bulk} in summertime Zurich than in Hyytiälä.

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3.4.5 CHON, CHOS, CHONS

A fraction of the signal is related to compounds also consisting of nitrogen and/or sulfur (CHON, CHOS, and CHON) in summertime Zurich (32%) and Hyvtiälä (19%, 2011: 15%, 2014: 23%). Both in in Hyvtiälä and summertime Zurich CHOS compounds contribute to the signal (Zurich: 14%, Hyytiälä 2011: 6%, 2014: 22%), while the summertime Zurich SO₂ 10 concentration (average 0.4 ppb) exceeds a typical Hyytiälä concentration (June-July-August Q75=0.15 ppb, during sampling periods not available). Such compounds were also detected in wintertime Zurich. This group of compounds exhibits a similar composition at both sites (H/C range 1.3-1.9, C5-C8 and C9-C12, though also some larger compounds were found in Hyytiälä 2014 as expected with the enhanced contribution of dimers). CHON compounds are enhanced in summertime Zurich (CHON: 13%) compared to Hyytiälä (CHON: 4%, 2011: 7%, 2014: 1%) but contribute clearly less than in wintertime Zurich. The 15 CHON compounds have a similar H/C (0.8-0.9) at both sites but a higher C_{bulk} in Hyvtiälä (C9-C12) than in summertime Zurich (C5-C8). CHONS compounds are observed in summertime Zurich (4%) and cover an H/C range similar to CHOS compounds (1.4-1.9) but the signal can almost uniquely be explained by compounds with C9-C12 (most prominently $C_{10}H_{17}O_7NS$). These compounds are largely absent in Hyytiälä (1%) which might be explained by elevated NOx concentrations in Zurich.

20 3.5 Wood burning emissions: laboratory experiments, ambient pollution episodes, and winter-time pollution

3.5.1 Chemical composition

Wood burning is an important wintertime source of OA in Central Europe (Herich et al., 2014; Lanz et al., 2010; Crippa et al., 2014; Zotter et al., 2014a; Daellenbach et al., 2017). In the following, filters from laboratory wood burning experiments were used as a reference for understanding the influence of such emissions on wintertime pollution at different sites (Fig. 9, 10).

- 25 During laboratory wood burning experiments, aromatic CHON compounds with H/C between 0.8 and 1.0 and 5 to 8 carbons (C5-C8) contribute a large fraction of the signal (43%, Fig 4, 5). Additionally, CHO compounds, not present in summer samples, with C8-C12 and H/C 0.8-1.0 contribute significantly to fresh emissions (56%). Since the contribution of CHON to biomass burning aerosol increases at lest initially during aging (65-78% for aged and 43% for fresh emissions), the relative contribution of CHO decreases (22-34% for aged and 56% for fresh emissions) (Fig. 5). The composition during wood burning
- 30 episodes in Alpine valleys is similar to primary wood burning emissions sampled in the laboratory (CHON: 47-58%, CHO: 48-41%, Fig. 5). In wintertime Zurich, the chemical composition is also characterized by a large contribution of CHON (43%)

and CHO (35%) compounds to the signal but smaller than at the Alpine valley sites (Fig. 5). However, additionally also CHOS (12%) and CHONS (10%) compounds contribute to the signal in wintertime Zurich, which is neither the case in the laboratory wood burning experiments nor wood burning episodes in the Alpine valleys (Fig. 5, 10). The composition of the laboratory wood burning emissions, wood burning episodes in Alpine valleys and wintertime Zurich are clearly distinguishable from

biogenic SOA by a higher contribution of CHON compounds (43-58%) as well as a lower bulk H/C (0.93-1.24) and C_{bulk} (7.2-8.7) – than for biogenic SOA (CHON: 1-4%, H/C: 1.48-1.50, C_{bulk}: 9.7-11.3) (Fig. 4, 5).

During the laboratory wood burning experiments, compounds with H/C of 0.8-1.0 and 5-8 carbons dominate the CHON family. In fact, only a few compounds such as nitrocatechols and similar compounds ($C_6H_5O_4N$, $C_7H_7O_4N$, $C_8H_9O_4N$) contribute to

- 10 the signal. Compounds detected in a road traffic tunnel which were related to vehicular emissions (C₇H₇O₃N and C₈H₉O₃N, Tong et al., 2016) were also detected in the primary wood burning emissions but with much lower intensities than C₇H₇O₄N and C₈H₉O₄N. While SOA formation from vehicular emissions might proceed via the oxidation of aromatic species, the most important precursors in biomass smoke are oxygenated aromatics such as phenol, cresol, and catechol (Harrison et al., 2005; Platt et al., 2013; Bruns, et al., 2015; 2016; 2017, Schauer et al., 2001 and 2002). While CHON compounds were already
- 15 present in the fresh emissions and thus were directly emitted, the increasing (N/C)_{bulk} indicates a strong additional secondary formation of such compounds (Fig. 4, 5, 9). In Magadino, S. Vittore and wintertime Zurich similar CHON compounds dominated the signal. Their composition suggests that the biomass burning emissions observed in wintertime Zurich were further processed than in Magadino and S. Vittore. During summer these compounds exhibited a much smaller contribution in Zurich (see more detail in Section 3.4, and Fig. 5).

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The CHO compounds observed in the fresh wood burning emissions during the laboratory experiments were characterized by a lower H/C (0.7 to 1.0) than biogenic SOA (1.5) (Fig. 5, 10). Anhydrous sugars with high H/C such as levoglucosan (C₆H₁₀O₅, H/C 1.67, O/C 0.83), mannosan and galactosan directly emitted from cellulose pyrolysis during biomass burning were detected in the laboratory wood burning emissions as well as in Magadino and S. Vittore but contributed only little to the signal. A considerable amount of the CHO compounds in wood burning emissions could be considered aromatic (X_c \geq 2.5) or even

- condensed aromatic ($X_c \ge 2.7143$, Fig. S4). These compounds are consistent with products from lignin pyrolysis (Fig. S4, Bertrand et al., 2017, 2018) and contributed significantly to the CHO signal recorded for primary wood burning emissions but less for aged emissions (57%, 49%, 44% of CHO aromatic, 5%, 3%, 3% condensed aromatic, 38%, 48%, 53% non-aromatic for fresh, 10 h, and 30 h atmospherically aged emissions, respectively). The ambient wood burning pollution showed a similar
- 30 distribution of the CHO signal as aged laboratory wood burning emissions (Magadino: 46% aromatic, 6% condensed aromatic, 48% non-aromatic, S. Vittore: 44% aromatic, 4% condensed aromatic, 52% non-aromatic) and the detailed chemical composition was similar to the fresh laboratory wood burning emissions (Fig. S4). With proceeding aging during the wood combustion experiments, more oxygenated compounds dominated the signal during the wood combustion experiments (center at H/C of 1.0 and O/C of 0.5, Fig. 10, S4). These values are consistent with aqueous SOA from syringol/guaiacol/phenol

formed through the reaction with hydroxyl radicals and excited states of organic compounds (Yu et al., 2014). Since wood burning is a known emitter of such compounds, it seems probable that the aged wood burning emissions consisted of oxidation products of phenolic compounds (Schauer et al., 2001; Bruns et al., 2016; 2017). In wintertime Zurich, the CHO composition shows largely common features with aged laboratory wood burning emissions (Fig. 10, S4). However, in wintertime Zurich

- 5 the contribution of smaller CHO compounds (C3-C7) was higher (Fig. 5, 7, S3) and the contribution of aromatic compounds to the total CHO signal was clearly lower (22% aromatic, 2% condensed aromatic, 76% non-aromatic) than for the laboratory wood burning emissions (Fig. S3, S4, S5, S6). This indicates that additional processes leading to fragmentation play a role in the urban environment as already observed in summer. During summer the influence of aromatic compounds on the CHO signal was negligible (Zurich summer: cond. arom: 0%, arom: 3%, non-aromatic: 97%).
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In wintertime Zurich, CHOS and CHONS compounds contributed significantly to the signal as opposed to the laboratory wood burning experiments and Alpine valleys. These compounds had between 9 and 12 carbons and were characterized by an H/C between 1.5 and 2.0. During the laboratory wood burning experiments (aging initialized with HONO) no SO₂ was added during photochemical aging which could explain the absence of these CHOS and CHONS compounds. On the other hand, a compound

15 group with similar H/C and C_{bulk} was also found in summertime Zurich but not in biogenic SOA. Thus they can neither be linked to biogenic SOA nor wood burning emissions. The presence of this compound group indicates the importance of additional sources and/or processes in the formation of urban SOA.

3.5.2 Atmospheric aging of wood burning OA

Nitrocatechol ($C_6H_5O_4N$) and methylnitrocatechol ($C_7H_7O_4N$) are two molecules commonly studied in ambient aerosol 20 samples and used as markers for wood burning SOA (Inuma et al., 2010). These compounds were large contributors to the signal during the laboratory wood burning experiments as well as in wintertime Zurich, Magadino and S. Vittore (Fig. 5, 9). Additionally, in the laboratory experiments the signal ratio of $C_6H_5O_4N$ to $C_7H_7O_4N$ increased with atmospheric aging (Fig. 9, H/C 0.8 and 1.0). Based on this observation, we conclude that the wood burning emissions analyzed in S. Vittore and Magadino are fresher than the ones in wintertime Zurich. In the following, we directly link the signal ratio C₆H₅O₄N/C₇H₇O₄N 25 to the atmospheric age of wood burning emissions (Fig. 11). We derived a relation between the aging time in the smog chamber (10 and 30 h equivalent atmospheric aging) and the $C_6H_5O_4N/C_7H_7O_4N$ intensity ratio. Thereby, we estimated that in winter Zurich the wood burning emissions were on average aged for 9.8 h (range 5.9-13.1h). In contrast, at the Alpine valley sites the wood burning emissions were only aged between 0.3 (S. Vittore, range 0-4.4h) and 1.0 h (Magadino, range 0-4.8h). In a next step, we approximated the contribution of POA to OA during the smog chamber experiment using the OA (AMS) and BC 30 (aethalometer) measurements and assuming a constant POA/BC ratio during the measurement time yielding a POA/OA of 0.22 after 10h atmospheric aging and of 0.14 after 30h. With this parametrization and the approximated aging time of the wood burning emissions for the ambient samples we estimated the contribution of primary wood burning OA (wbPOA) to the total estimate by linear regression, range 18-36% based on uncertainty of estimated atmospheric aging time) but much higher in Magadino 90%, range 44-100%) and S. Vittore (100%, range 47-100%). Based on the offline AMS PMF, we use BBOA as an estimate of wbPOA and WOOA as an upper limit of wbSOA. At the Alpine valley sites, the influence of BBOA to the sum of BBOA and WOOA was higher than in winter-time Zurich (S. Vittore: 100% BBOA, Magadino. 90% BBOA, and winter-time Zurich 27% BBOA). These results are consistent with the findings in this study.

3.6 Temporal behavior

The relative contribution of compounds with H/C between 1.2 and 1.7 (characteristic range of BVOC SOA, Fig. 12) explained largely the signal in Hyytiälä (approximately 75%) and contributed only little to the winter-time Alpine valley samples and laboratory wood burning experiments (bulk composition in Fig. S7). The relative contribution of this compound class showed

a seasonal behavior similar to the local temperature in Zurich (R^2 =0.75, p<10⁻⁴). The higher the temperature the larger was the contribution of small compounds (C3-C7). Additionally, also some CHON, CHOS and CHONS molecules were part of this compound class which suggests a biogenic origin of these compounds. In Zurich, a good correlation was observed between the time series of the the relative signal contribution of the compounds with H/C between 1.2 and 1.7 and the relative contribution of SOOA to OA (R^2 =0.57, p<0.01).

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On the other hand, a large part of the signal of the winter-time Alpine valley samples and the laboratory wood burning samples could be explained by a compound class characterized with H/C between 0.7 and 1.1 (characteristic range of wood burning emissions, Fig. 12). The largest contribution to the relative signal of this group was from CHON molecules. In Zurich, the relative contribution of this compound class to the signal had a seasonal pattern consistent with the residential heating behavior. In Zurich, a good correlation was observed between the time series of the relative signal contribution of compounds with H/C

In Zurich, a good correlation was observed between the time series of the relative signal contribution of compounds with H/C between 0.7 and 1.1 and the relative contribution of the sum of BBOA and WOOA to OA (R^2 =0.59, p<0.001), with lower correlations with either BBOA alone (R^2 =0.29, p=0.02) or WOOA alone (R^2 =0.37, p<0.01).

4 Conclusions

This work links seasonal variability in OA composition based on negative ESI-Orbitrap data from an urban background site
in Central Europe (Zurich, Switzerland) to wood burning emissions in winter and to biogenic SOA in summer. The mass spectral signatures observed for laboratory wood burning emissions were dominated by CHON compounds (C₆H₅O₄N, C₇H₇O₄N). The influence of CHON increased during aerosol aging while the relative contribution of CHO compounds decreased as the CHO content became less aromatic (with the aromatic fraction of the CHO signal accounting to 62% in the fresh aerosol and 47% in 30h aged aerosol). Signatures from winter-time pollution episodes at two Alpine valley sites could be explained by the laboratory experiments. Winter-time Zurich signatures were also dominated by CHON compounds but the

CHO fraction showed some differences to the laboratory wood burning experiments and ambient wood burning pollution

episodes (less aromatic and higher contribution of C3-C7 compounds in Zurich). Additionally, in Zurich a considerable influence of CHOS and CHONS was observed throughout the year which suggests that additional sources and/or processes are important in Zurich. The summer-time signature from Zurich was dominated by CHO compounds and showed a similar mass spectral signature as biogenic SOA observed in the boreal forest (Hyytiälä, Finland). C3-C7 compounds contributed a

- 5 larger relative fraction to the signal in Zurich than in the boreal forest. While compounds related to sesquiterpenes (C13-C16) and dimers of α -pinene oxidation products (C17-C22) were prominent in the boreal forest, they were largely absent in Zurich during summer. These observations may be explained by differences in oxidant concentrations (mostly NOx) and in the composition of biogenic VOC emissions.
- 10 Data availability: The data are available upon request from the corresponding author.

Author contribution: Conceptualization: KRD, IEH, ASHP, IK, MK formulated the study. Investigation and data curation: KRD, IK performed the Orbitrap analyses, JLJ performed quantified MBTCA and pinic acid, EAB performed the smog chamber experiments, TP curated data related to Hyytiälä, JJ and SA performed the model calculations. Formal analysis, methodology, visualisation: KRD, IEH designed and performed the statistical analysis and data visualisations. Validation:

- 15 methodology, visualisation: KRD, IEH designed and performed the statistical analysis and data visualisations. Validation: KRD, IEH, IK, ALV. Software, methodology: KRD, IEH, IK. Writing: KRD wrote the original draft, which was reviewed, commented and edited by all the authors. Funding acquisition, resources, project administration, supervision: ASHP, UB, MK supported and supervised the research.
- 20 Competing interests. The authors declare that they have no conflict of interest.

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Figure 1: Concentrations of particulate and gaseous species (upper and middle panels) and relative source contributions to the organic aerosol as determined by offline AMS in Zurich (lower panel): traffic (HOA), cooking (COA), and wood burning POA (BBOA), as well as a factor explaining sulfur-containing organic fragments (SC-OA), and SOA categories dominant in summer (SOOA) and winter (WOOA).



Figure 2: a) Average summer ($T>11^{\circ}$ C, OA mass-weighted, 9 samples) and winter ($T<6^{\circ}$ C, OA mass-weighted, 6 samples) ultra-high-resolution mass spectra integrated to unit-mass resolution in the negative mode for the organic aerosol in PM₁₀ sampled in Zurich during the year 2013 (weighed average with OA concentration from offline AMS analysis). The signal at

- 5 a nominal mass is separated by ion family (CHO, CHON, CHOS, CHONS, other). Peak assignments of dominant ions of selected UMR peaks are labelled as neutral compounds. In the absence of signal, the mass spectra are only plotted until m/z450 not 650. b) Van Krevelen diagrams (neutral composition) of average summer (T>11°C, OA mass-weighted, 9 samples) and winter (T<6°C, OA mass-weighted, 6 samples) ultra-high-resolution mass spectra in the negative mode for the organic aerosol in PM₁₀ sampled in Zurich during the year 2013 (weighed average with OA concentration from offline AMS analysis
- 10). Peaks are displayed as circles with their size reflecting log(intensity) and the color-code the molecular composition of the compound.



Figure 3: a) correlation matrix of mass spectra sorted by hierarchical cluster analysis also depicting the similarity as dendrograms, b) number of common peaks of a sample with the sample indicated on the y-axis normalized to the total number of peaks of the respective sample sorted by hierarchical cluster analysis also depicting the similarity as dendrograms.



Figure 4: Probability density functions (pdf) of bulk properties of organic aerosol (neutral composition based on (-)ESI-ultrahigh resolution mass spectra) for different emission and aging conditions: number of carbon, H/C, O/C, N/C, S/C of ambient samples from Zurich in winter and summer, wood burning episodes at the Alpine valley sites Magadino and S. Vittore, and laboratory wood burning experiments (fresh emissions and after simulated atmospheric aging of 10 and 30h) as well as from

the boreal forest in Hyytiälä, Finland (Kourtchev et al., 2014a, 2016). The carbon number is binned in ranges of rounded masses, H/C and O/C in ranges of 0.1, N/C and S/C in ranges of 0.025

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Figure 5: Probability density functions (pdf) and contributions of different molecule families to H/C for all molecules (neutral composition based on (-)ESI-ultra-high resolution mass spectra), molecules with 5 to 8 carbon atoms, and 9 to 12 carbon atoms for the ambient samples collected in Zurich, Magadino, S. Vittore, and wood burning smog chamber experiments. The area of the histograms is proportional to the percentage of the

5 total signal explained for each data set.



Figure 6: a) Average summer (T>11°C) ultra-high-resolution mass spectra integrated to unit-mass resolution in the negative mode for the organic aerosol in PM_{10} sampled in Zurich during the year 2013 (weighed average with OA concentration from offline AMS analysis) compared to the equivalent spectrum from Hyytiälä 2014. The signal at a nominal mass is separated by

5 ion family (CHO, CHON, CHOS, CHONS, other). Peak assignments of dominant ions of selected UMR peaks are labelled as neutral compounds. b) Van Krevelen diagram of negative ion mode spectra (neutral composition) of Hyytiälä 2014 sample peaks are displayed as circles with their size reflecting log(intensity) and the color-code the molecular composition of the compound (data from Kourtchev et al., 2016).



Figure 7: Ultra-high-resolution mass spectra of CHO compounds integrated to unit-mass resolution in the negative mode for the organic aerosol for Zurich during summer (T>11°C) and winter (T<6°C) 2013 (PM₁₀, OA-weighted average), for the Hyytiälä during campaigns in 2011 and 2014, during wood burning episodes in S. Vittore and Magadino and laboratory wood

5 burning experiments (fresh emissions, 10h and 30h atmospherically aged),



Figure 8: impact of NOx on fraction of (a) C3-C7 and (b) C17-C22 relative to C8-C12 compounds, c) ratio of pinic acid to MBTCA from LC-MS as a function of temperature.



Figure 9: (-)ESI-ultra-high resolution mass spectra integrated to unit-mass resolution of wood burning laboratory experiments (a: primary emissions, b: emissions 10 hours atmospheric aging, c: emissions 30 hours atmospheric aging), and from winter wood burning episodes at Alpine valley sites (d: Magadino, e: S. Vittore). The signal at a nominal mass is separated by ion

5 family (CHON, CHOS, CHONS, other) and the signal of CHO compounds by aromaticity (non-aromatic: $X_c>2.5$, aromatic: $2.5 \le X_c < 2.7143$, condensed aromatic: $X_c \ge 2.7143$). Peak assignments of dominant ions of selected UMR peaks are labelled as neutral compounds.



Figure 10: Van Krevelen diagrams of negative ion mode spectra (neutral composition) of smog chamber wood burning experiments (fresh emissions, after 10h of simulated atmospheric aging, and after 30h of simulated atmospheric aging) and of wood burning episodes at the Alpine valley sites Magadino and S. Vittore. Peaks are displayed as circles with their size reflecting log(intensity) and the color code the melagular composition of the compound

5 reflecting log(intensity) and the color-code the molecular composition of the compound.



Figure 11: a) atmospheric aging parameterized as a function of the ratio of the fraction of total signal related to $C_6H_5O_4N$ ($f_{C6H5O4N}$) and $C_7H_7O_4N$ ($f_{C7H7O4N}$), $f_{C6H5O4N}/f_{C7H7O4N}$ for the wood burning smog chamber experiments and estimated atmospheric aging time of the wood burning emissions for the ambient analysis in Zurich (winter) and Magadino and S. Vittore.

5 b) Fraction of primary wood burning emissions to total wood burning OA (wbPOA/wbOA) parameterized as a function of the atmospheric aging time of the wood burning smog chamber experiments and estimated wbPOA/wbOA for the ambient analysis in Zurich (winter), Magadino, and S. Vittore.



Figure 12: relative contributions of different compound classses to signal of compounds with $0.7 \le H/C \le 1.1$ as a surrogate for wood burning emissions and of compounds with $1.2 \le H/C \le 1.7$ as a surrogate for biogenic SOA.

sample			particulate phase						gas phase				
		temp	PM	OC	EC	NH4 ⁺	K ⁺	NO ₃ .	SO4 ²⁻	NOx	O ₃	SO ₂	СО
		°C	μg/m ³					ppb			ppm		
Ambient	Magadino	1.2	40.7	11.4	3.9	1.1	0.9	0.21	1.23	51	2	1.59	-
winter-time	(PM ₁₀)												
wood	S. Vittore	1.8	67.2	23.4	3.2	1.0	3.9	1.26	1.22	71	-	-	1.10
burning	(PM ₁₀)												
episode													
Ambient,	Zurich,	2.8	23.4	3.0	0.6	2.9	0.3	6.9	3.4	31	14	0.85	0.34
Urban	winter												
	(PM ₁₀)												
	Zurich,	17	14.1	2.7	0.6	0.7	0.1	1.1	1.8	15	32	0.4	0.20
	summer												
	(PM ₁₀)												
Ambient,	Hyytiälä,	15	3.6	2.7	<0.1	-	-	-	-	0.6	20	-	0.1
remote	summer 2011												
	(PM ₁)												
	Hyytiälä,	20	6.5	3.6	0.1	-	-	-	-	0.4	31	-	2
	Summer 2014												
	(PM ₁)												

Table 1: Concentrations of particulate and gaseous species and temperature.

Table 2: Bulk properties of organic aerosol.

san	nple	C _{bulk}	(H/C) _{bulk}	(O/C) _{bulk}	$(N/C)_{bulk}$	(S/C) _{bulk}
Laboratory	Primary	8.3	0.99	0.50	0.057	0.0012
wood burning	10h aged	7.2	0.93	0.61	0.114	0.0002
	30h aged	7.6	0.94	0.61	0.091	0.0012
Ambient wood	Magadino	8.7	1.13	0.59	0.058	0.0065
burning	S. Vittore	8.1	1.09	0.61	0.072	0.0019
episode						
Ambient, urban	Zurich winter	7.8	1.24	0.74	0.076	0.0288
	Zurich summer	7.6	1.49	0.77	0.029	0.0253
Ambient,	Hyytiälä (2011)	9.7	1.48	0.50	0.025	0.0086
remote	Hyytiälä (2014)	11.3	1.50	0.63	0.001	0.0197