

Atmospheric Chemistry and Physics

13 February 2019

Dear Professor Jingkun Jiang,

On behalf of all the coauthors, I am very pleased to submit our revised manuscript (**No.:** acp-2018-1118; **title:** Water adsorption and hygroscopic growth of six anemophilous pollen species: the effect of temperature) to **Atmospheric Chemistry and Physics** for consideration of final publication.

We have addressed very carefully the second-round review, which is mostly minor/technical. We have uploaded our reply to the review as well as the revised manuscript with changes highlighted in red.

We would also like to thank you very much in advance for considering our manuscript for final publication.

Sincerely,

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Comments by referees are in blue.

Our replies are in black.

Changes to the manuscript are highlighted in red both here and in the revised manuscript.

The second-round review on “Tang et al., Water adsorption and hygroscopic growth of six anemophilous pollen species: the effect of temperature”. The questions I concerned in the first-round review were well addressed in the new version. I recommend that this manuscript can be accepted after addressing a major comment below:

**Reply:** We would like to thank the referee for providing the second-round review. We have addressed all the comments adequately in the revised manuscript, as detailed below.

The authors may carefully re-consider this conclusion “In-situ DRIFTS measurements suggested that water adsorption by pollen species was mainly contributed by OH groups of organic compounds they contained”. The description on “functional groups contribute to water adsorption” may not be reasonable. “OH group” may be “COOH group”. Can we say “COOH group” is a major contributor? Or, we can say “OH group” is kind of indicator for hygroscopicity of anemophilous pollen species? Please judge and weigh!

**Reply:** We cannot differentiate the relative contribution of C-OH and C(O)-OH groups to water adsorption by pollen samples, and in our work “OH group” is used as a general term to indicate the hygroscopicity of pollen species. In the revised manuscript ([page 12, line 224-225](#)) we have added one sentence to further clarify it: “Both C-OH and C(O)-OH groups can contribute to water adsorption by pollen samples, though their relative contribution cannot be resolved in our work.”

Figure 1: At RH=95%, the normalized mass looks like unstable and keeps increasing. Please make sure the description in the main text (Line 159-160) is identical to that shown in the Figure 1, especially for the mass at RH=95%.

**Reply:** We have checked the data, and indeed the normalized sample mass became stable at each RH. For the data shown in Figure 1, the time to reach the equilibrium at 95% RH was ~600 min; the mass seemed to be increasing during the entire period (~600 min) but actually became stable in the last 30 min).

Figure 3 and Table 2, RH<1% is taken in Figure 2, while, RH=0% is used in Table 1. RH=0% is unrealistic.

**Reply:** The referee is right. We checked the entire manuscript, and in the revised manuscript all the “0%” have been changed to “<1%” for RH.

Figure 4, the RH is presented as 0-0.95, while, in other places, the percentage is used. Please keep the same style throughout the text.

**Reply:** As suggested by the referee, we have updated this figure in the revised manuscript and the unit used in the revised manuscript for RH is always % (page 15-16).

1 **Water adsorption and hygroscopic growth of six anemophilous pollen species: the**  
2 **effect of temperature**

3  
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21  
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## 24 **Abstract**

25           Hygroscopicity largely affects environmental and climatic impacts of pollen grains, one  
26 important type of primary biological aerosol particles in the troposphere. However, our knowledge  
27 in pollen hygroscopicity is rather limited, and especially the effect of temperature has rarely been  
28 explored before. In this work three different techniques, including a vapor sorption analyzer,  
29 diffusion reflectance infrared Fourier transform spectroscopy (DRIFTS) and transmission Fourier  
30 transform infrared spectroscopy (transmission FTIR) were employed to characterize six  
31 anemophilous pollen species and to investigate their hygroscopic properties as a function of  
32 relative humidity (RH, up to 95%) and temperature (5 or 15, 25 and 37 °C). Substantial mass  
33 increase due to water uptake was observed for all the six pollen species, and at 25 °C the relative  
34 mass increase at 90% RH, when compared to that at <1% RH, ranged from ~30 to ~50%, varying  
35 with pollen species. It was found that the modified  $\kappa$ -Köhler equation can well approximate mass  
36 hygroscopic growth of all the six pollen species, and the single hygroscopicity parameter ( $\kappa$ ) was  
37 determined to be in the range of  $0.034\pm 0.001$  to  $0.061\pm 0.007$  at 25 °C. In-situ DRIFTS  
38 measurements suggested that water adsorption by pollen species was mainly contributed by OH  
39 groups of organic compounds they contained, and good correlations were indeed found between  
40 hygroscopicity of pollen species and the amount of OH groups, as determined using transmission  
41 FTIR. Increase in temperature would in general lead to decrease in hygroscopicity, except for  
42 pecan pollen. For example,  $\kappa$  values decreased from  $0.073\pm 0.006$  at 5 °C to  $0.061\pm 0.007$  at 25 °C  
43 and to  $0.057\pm 0.004$  at 37 °C for populus tremuloides pollen, and decreased from  $0.060\pm 0.001$  at  
44 15 °C to  $0.054\pm 0.001$  at 25 °C to  $0.050\pm 0.002$  at 37 °C for paper mulberry pollen.

45

## 46 **1 Introduction**

47 Primary biological aerosol particles (PBAPs), an important type of aerosol particles in the  
48 troposphere, are directly emitted from the biosphere and include pollen, fungal spores, bacteria,  
49 viruses, algae, and so on (Després et al., 2012; Fröhlich-Nowoisky et al., 2016). Emission and  
50 abundance of PBAPs are quite uncertain, and annual emission fluxes are estimated to be in the  
51 range of <10 to ~1000 Tg for total PBAPs and 47-84 Tg for pollen (Després et al., 2012). Pollen,  
52 and PBAPs in general, are of great concerns due to their various impacts on the Earth system (Sun  
53 and Ariya, 2006; Ariya et al., 2009; Georgakopoulos et al., 2009; Morris et al., 2011; Morris et al.,  
54 2014; Fröhlich-Nowoisky et al., 2016). For example, they can be allergenic, infectious or even  
55 toxic, affecting the health of human and other species in the ecological systems over different  
56 scales (Douwes et al., 2003; Reinmuth-Selzle et al., 2017; Shiraiwa et al., 2017). The geographical  
57 dispersion of anemophilous plants largely relies on pollen dispersal, which in turn depends on the  
58 emission, transport and deposition of pollen grains; therefore, pollen plays a key role in the  
59 evolution of many ecosystems (Womack et al., 2010; Fröhlich-Nowoisky et al., 2016). In addition,  
60 PBAPs can serve as giant cloud condensation nuclei (CCN) and ice nucleating particles (INPs),  
61 significantly impacting the formation and properties of clouds and thus radiative balance and  
62 precipitation (Möhler et al., 2007; Ariya et al., 2009; Pratt et al., 2009; Pope, 2010; Pummer et al.,  
63 2012; Gute and Abbatt, 2018). It has also been proposed that PBAPs may have significant impacts  
64 on chemical composition of aerosol particles via heterogeneous and multiphase chemistry  
65 (Deguillaume et al., 2008; Estillore et al., 2016; Reinmuth-Selzle et al., 2017; Shiraiwa et al., 2017).

66 Hygroscopicity is one of the most important physicochemical properties of pollen (as well  
67 as aerosol particles in general). Hygroscopicity largely impacts the transport and deposition of  
68 pollen grains (Sofiev et al., 2006), therefore affecting their lifetimes, abundance and

69 spatiotemporal distribution. In addition, hygroscopicity is closely linked to the ability of aerosol  
70 particles to serve as CCN and INPs (Petters and Kreidenweis, 2007; Kreidenweis and Asa-Awuku,  
71 2014; Laaksonen et al., 2016; Tang et al., 2016). Several previous studies have measured the  
72 hygroscopicity and CCN activities of pollen (Diehl et al., 2001; Pope, 2010; Griffiths et al., 2012;  
73 Lin et al., 2015; Steiner et al., 2015; Prisle et al., 2018) and other PBAPs such as bacteria (Pasanen  
74 et al., 1991; Reponen et al., 1996; Franc and DeMott, 1998; Ko et al., 2000; Lee et al., 2002; Bauer  
75 et al., 2003). For example, water uptake of eleven pollen species was studied using an analytical  
76 balance (Diehl et al., 2001), and the mass of pollen was found to be increased by 3-16% at 73%  
77 RH and by ~100-300% at 95% RH, compared to that at <1% RH. An electrodynamic balance was  
78 employed to investigate hygroscopic growth of eight types of pollen (Pope, 2010; Griffiths et al.,  
79 2012), and it was found that their hygroscopic growth can be approximated by the modified  $\kappa$ -  
80 Köhler equation, with single hygroscopicity parameters being around 0.1 (depending on the  
81 assumed pollen density, as discussed in Section 3.2).

82 Previous measurements were mostly carried out at or close to room temperature, and the  
83 effects of temperature on hygroscopic properties of pollen and other types of PBAPs are yet to be  
84 elucidated. To our knowledge, only one previous study (Bunderson and Levetin, 2015) explored  
85 the effect of temperature (4, 15 and 20 °C) on water uptake by *Juniperus ashei*, *Juniperus*  
86 *monosperma* and *Juniperus pinchotii* pollen. It is important to account for the temperature effects,  
87 because ambient temperatures range from below -70 to >30 °C in the troposphere. In particular,  
88 the altitude of 0.5-2.0 km to which pollen can be easily transported (Noh et al., 2013) may have  
89 temperatures close to or lower than the chilling temperatures for vegetative species (up to 16.5 °C)  
90 (Melke, 2015). Moreover, the temperature in the respiratory tract can reach up to 37 °C (the  
91 physiological temperature). In the work presented here, a vapor sorption analyzer (VSA) was

92 employed to investigate hygroscopic growth of pollen grains at different temperature (5 or 15, 25,  
93 and 37 °C), a range covering the chilling temperature to the physiological temperature. Water  
94 uptake by pollen was also examined using diffusion reflectance infrared Fourier transform  
95 spectroscopy at room temperature to complement the VSA results. Furthermore, transmission  
96 Fourier transformation infrared spectroscopy was used to characterize functional groups of dry  
97 pollen grains, in an attempt to seek potential links between chemical composition of pollen grains  
98 and their hygroscopic properties.

## 99 **2 Experimental sections**

100 Six pollen species, all from anemophilous plants, were investigated in this work, including  
101 populus tremuloides and populus deltoides (provided by Sigma Aldrich) as well as ragweed, corn,  
102 pecan and paper mulberry (provided by Polysciences, Inc.). The six pollen species were chosen in  
103 our work primarily because they were commercially available. Furthermore, these plants are also  
104 widely distributed in the globe. For example, corn is the most produced grain in the world  
105 (International-Grains-Council, 2019), and up to 50% of pollen-related allergic rhinitis cases in  
106 North America are caused by ragweed pollen (Taramarcaz et al., 2005).

### 107 **2.1 Fourier transformation infrared spectroscopy**

108 The adsorption of water by pollen species were studied using in-situ diffusion reflectance  
109 infrared Fourier transform spectroscopy (DRIFTS) at room temperature (~25 °C). This technique  
110 was described in details in our previous work (Ma et al., 2010), and similar setups have also been  
111 used by other groups to investigate adsorption of water by mineral dust (Joshi et al., 2017; Ibrahim  
112 et al., 2018). Infrared spectra were recorded using a Nicolet 6700 Fourier transformation infrared  
113 spectrometer (FTIR, Thermo Nicolet Instrument Corporation), equipped with an in-situ diffuse  
114 reflection chamber and a high-sensitivity mercury cadmium telluride (MCT) detector cooled by



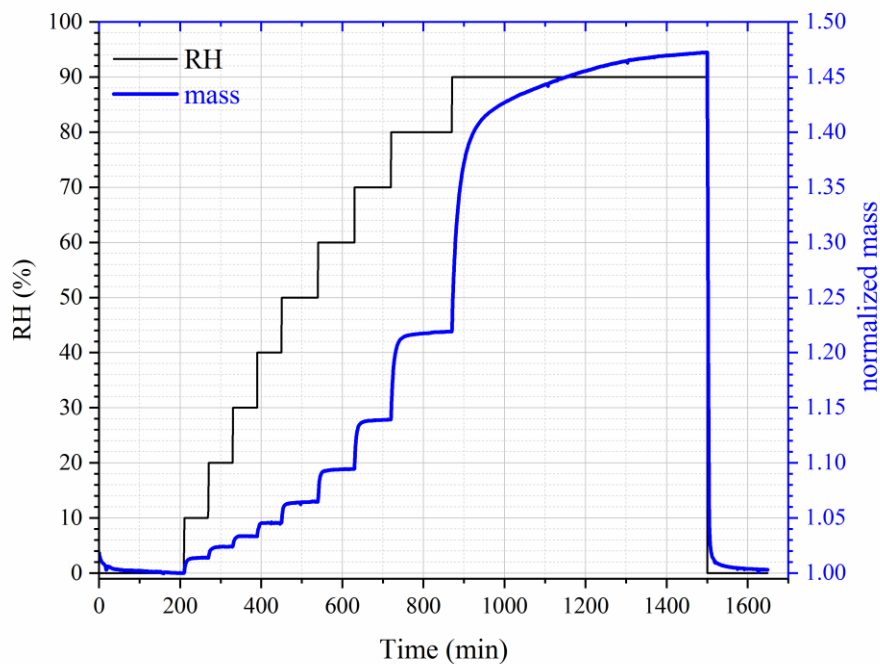
115 liquid nitrogen. A pollen sample (about 10 mg for each sample) under investigation was placed  
116 into a ceramic crucible which was located in the in-situ chamber. A dry air flow and a humidified  
117 air flow were first mixed and then delivered into the chamber, and the total flow rate was set to  
118 200 mL/min (standard condition). Relative humidity (RH) in the chamber could be adjusted by  
119 varying the flow rate ratio of the dry flow to the humidified flow, and was monitored online using  
120 a moisture meter (CENTER 314) with an absolute uncertainty of  $\pm 2\%$ . Prior to each experiment,  
121 the sample was flushed with dry air for 3 h at 25 °C, and the reference spectrum was recorded after  
122 the pretreatment. Infrared spectra were collected and analyzed using OMNIC 6.0 software (Nicolet  
123 Corp.). All the spectra reported here were recorded with a wavenumber resolution of  $4\text{ cm}^{-1}$ , and  
124 100 scans were averaged to produce a spectrum. Water adsorption was equilibrated for at least 30  
125 min at each RH to ensure that the equilibrium between water vapor and adsorbed water was  
126 reached.

127 Pollen samples used in this work were also characterized using transmission FTIR  
128 equipped with a deuterated triglycine sulfate detector (DTGS) detector. Pollen grains and KBr  
129 were mixed with a mass ratio of approximately 1:100 and ground in an agate mortar, and the  
130 mixture was then pressed into a clear disc. Transmission FTIR was employed to examine these  
131 discs, and a pure KBr disc was used as the reference. All the spectra, each of which was the average  
132 of 100 scans, were also recorded with a wavenumber resolution of  $4\text{ cm}^{-1}$ .

## 133 **2.2 Vapor sorption analyzer**

134 Hygroscopic growth of pollen grains was further investigated using a vapor sorption  
135 analyzer (Q5000 SA, TA Instruments, New Castle, DE, USA) described in our previous work (Gu  
136 et al., 2017; Guo et al., 2018; Jia et al., 2018). In brief, this instrument measured the sample mass  
137 as a function of RH under isothermal conditions. The instrument can be operated in the temperature

138 range of 5-85 °C with a temperature accuracy of  $\pm 0.1$  °C and in the RH range of 0-98 % with an  
139 absolute accuracy of  $\pm 1\%$ . RH in the humidity chamber was regulated by using two mass flow  
140 controllers to control the dry and humidified nitrogen flows very precisely. The accuracy in RH  
141 control was routinely checked by measuring the DRH values for a series of standard compounds,  
142 e.g., NaCl,  $(\text{NH}_4)_2\text{SO}_4$ , KCl, and etc., and the difference between the measured and theoretical  
143 DRH was always  $< 1\%$ . The mass measurement had a range of 0-100 mg and a sensitivity of  $\pm 0.01$   
144  $\mu\text{g}$ . The initial mass of each sample used in this work was in the range of 0.5-1 mg. For each of  
145 the first three types of pollen species (populus tremuloides, populus deltoides and ragweed pollen),  
146 three samples in total were investigated, and each sample was studied under isothermal conditions  
147 at 5, 25 and 37 °C. For each of the other three types of pollen species (corn, pecan and paper  
148 mulberry pollen), experiments were carried out at 15 °C instead of 5 °C, because the instrument  
149 could only be cooled down to 15 °C due to a technical problem after we finished experiments for  
150 the first three pollen species.



151

152 **Figure 1.** Change of RH (black curve, left y-axis) and normalized sample mass (blue curve, right  
153 y-axis) with time for a typical experiment in which hygroscopic growth of pollen grains was  
154 measured. In this figure a dataset for paper mulberry pollen at 25 °C is plotted as an example.

155  
156 For the first sample, at each temperature the sample was first dried at 0% RH (the actual  
157 RH was measured to be <1%); after that, RH was increased stepwise to 95% with an increment of  
158 5% per step and then switched back to <1% to dry the sample again. At each RH, the sample was  
159 equilibrated with the environment (i.e. until the sample mass became stable) before RH was  
160 changed to the next value, and the sample mass was considered to be stabilized when the mass  
161 change was <0.05% within 30 min. Such a measurement at one temperature could take several  
162 days. In order to reduce experimental time, the second and third samples were investigated in a  
163 similar way as the first sample, except that RH was increased stepwise to 90% with an increment  
164 of 10% per step. A typical experimental dataset is displayed in Figure 1 as an example to illustrate  
165 the change of RH and normalized sample mass with experimental time.

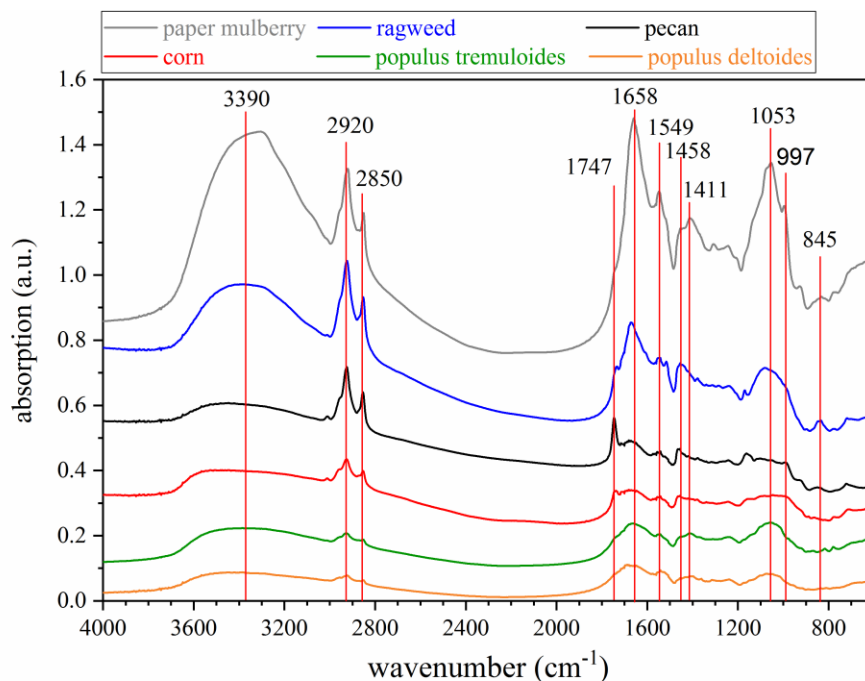
## 166 **3 Results and discussion**

### 167 **3.1 FTIR characterization of pollen samples**

#### 168 **3.1.1 Infrared spectra of dry pollen samples**

169 Figure 2 shows the transmission FTIR spectra of the six pollen species investigated in our  
170 work, and peak assignments can be found in Table 1. A broad band in the range of 3600-3000  $\text{cm}^{-1}$ ,  
171 attributed to O-H stretching vibration (Stuart, 2004; Pummer et al., 2013), and two sharp peaks at  
172 2920 and 2850  $\text{cm}^{-1}$ , attributed to C-H stretching (Eliason et al., 2003; Stuart, 2004; Pummer et al.,  
173 2013), were observed for all the pollen species. The two peaks at 1747 and 1658  $\text{cm}^{-1}$  were  
174 assigned to alkyl ester carbonyls (Pappas et al., 2003; Najera et al., 2009; Pummer et al., 2013),

175 and the two peaks at 1549 and 1458  $\text{cm}^{-1}$  (1411  $\text{cm}^{-1}$  for paper mulberry pollen) were assigned to  
176 C=C stretching and H-C-H deformation (Stuart, 2004; Pummer et al., 2013). In addition, the three  
177 peaks at 1053, 997 and 845  $\text{cm}^{-1}$  were assigned to C-O stretching, C-C stretching, and C-H out-of-  
178 plane bending, respectively (Stuart, 2004; Najera et al., 2009; Pummer et al., 2013).



179  
180 **Figure 2.** Transmission FTIR spectra of six pollen species investigated in this work.

181  
182 OH groups and C-H groups in organic compounds are generally considered to be  
183 hydrophilic and hydrophobic, and one may expect that the amount of OH groups (relative to that  
184 of C-H groups) that organic samples contain may affect their hygroscopicity. For example, it was  
185 found in many previous studies (Eliason et al., 2003; Asad et al., 2004; Hung et al., 2005; Najera  
186 et al., 2009) that heterogeneous reactions of organic materials with  $\text{O}_3$  and OH radicals would  
187 increase the IR absorption intensity for the O-H stretching mode and decrease the IR absorption  
188 intensity for the C-H stretching mode, meanwhile leading to the enhancement in their  
189 hygroscopicity. Therefore, in this work we use the intensity ratio of the O-H stretching vibration

190 band (3000-3600  $\text{cm}^{-1}$ ) to the C-H stretching mode (2920  $\text{cm}^{-1}$ ) to qualitatively represent the  
 191 amount of OH groups pollen samples contain. As shown in Figure 2, the six pollen species  
 192 examined in our work can be roughly classified into two catalogues: 1) for populus deltoides,  
 193 populus tremuloides and paper mulberry pollen, the O-H stretching vibration band is more  
 194 intensive than the C-H stretching mode, indicating that they contain relatively high levels of OH  
 195 groups; 2) for ragweed, pecan and corn pollen, the O-H stretching vibration band is less intensive  
 196 than the C-H stretching mode, indicating that they contain relatively low levels of OH groups. The  
 197 relation between the amount of OH groups that pollen species contain and their hygroscopicity  
 198 will be further discussed in Section 3.3.

199

200 **Table 1.** Vibrational mode assignment for six pollen species investigated in this work.

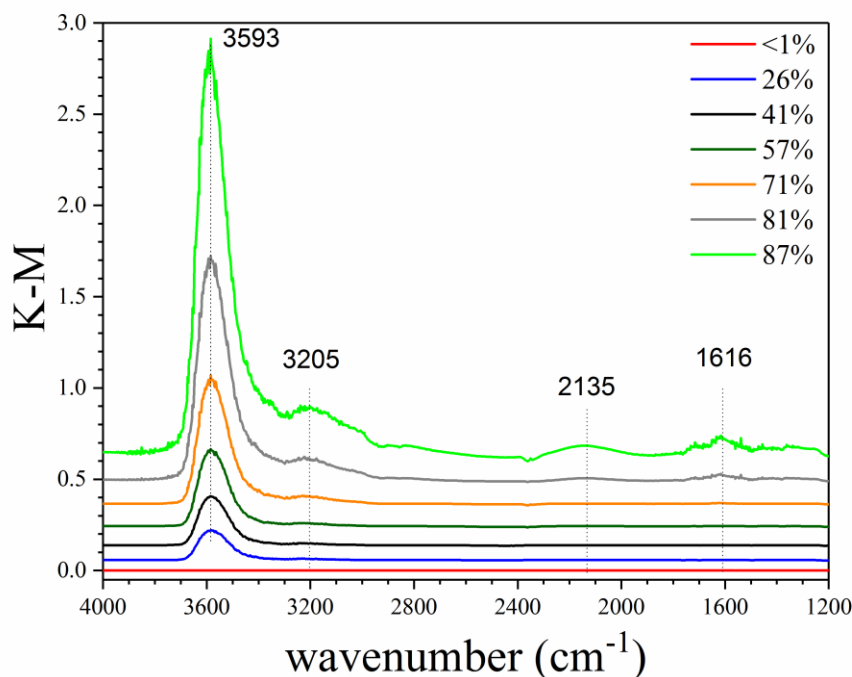
wavenumber ( $\text{cm}^{-1}$ )	vibrational mode
3600-3000	O-H stretching
2920 and 2820	C-H stretching
1747 and 1658	alkyl ester carbonyls
1549	C=C stretching
1458 and 1411	H-C-H deformation
1053	C-O stretching
997	C-C stretching
845	C-H out-of-plane bending

201

### 202 3.1.2 Infrared spectra of pollen samples at different RH

203 In-situ DRIFTS was employed to explore adsorption of water by pollen grains. Typical  
 204 spectra of populus deltoides pollen as a function of RH up to 87%, relative to that at <1% RH,  
 205 are displayed in Figure 3. DRIFTS spectra of other pollen samples at different RH can be found in  
 206 Figures S1-S5 in the supplement, and are very similar to those for populus deltoides pollen. As

207 evident from Figure 3, several IR peaks (e.g., 3593, 3205, 2135, and 1616  $\text{cm}^{-1}$ ) appeared in the  
 208 spectra at elevated RH, when compared with that at <1% RH, and their intensities increased with  
 209 increasing RH. The peaks at 3205, 2135 and 1616  $\text{cm}^{-1}$  can be assigned to the stretching,  
 210 association and bending modes of adsorbed water (Goodman et al., 2001; Schuttlefield et al.,  
 211 2007a; Ma et al., 2010; Hatch et al., 2011; Song and Boily, 2013; Yeşilbaş and Boily, 2016; Joshi  
 212 et al., 2017; Ibrahim et al., 2018).



213  
 214 **Figure 3.** In-situ DRIFTS spectra of populus deltoides pollen as a function of RH (<1, 26, 41, 57,  
 215 71, 81 and 87%) at 25 °C.

216  
 217 The peak at  $\sim 3600 \text{ cm}^{-1}$  was the most intensive one observed in the spectra, as shown in  
 218 Figure 3. For comparison, the IR peaks assigned to the stretching mode of adsorbed water on  
 219 mineral dust and NaCl appeared at lower wavenumbers, typically at around or lower than 3400  
 220  $\text{cm}^{-1}$  (Schuttlefield et al., 2007a; Ma et al., 2010; Tang et al., 2016; Ibrahim et al., 2018). As a  
 221 result, the peak at  $\sim 3600 \text{ cm}^{-1}$  may be assigned to the asymmetric stretching mode of water which

222 interacted with OH groups in pollen samples (Iwamoto et al., 2003). These results imply that water  
 223 adsorption by pollen samples could be mainly contributed by OH groups of organic compounds  
 224 they contained. Both C-OH and C(O)-OH groups can contribute to water adsorption by pollen  
 225 samples, though their relative contribution cannot be resolved in our work. In addition, other  
 226 factors, such as porosity and internal structure, may also be important for hygroscopic properties  
 227 of pollen grains. The intensities of IR peaks at  $\sim 3600\text{ cm}^{-1}$  were used to represent the amount of  
 228 water adsorbed by pollen samples. Table 2 summarizes integrated areas of IR peaks at  $3600\text{ cm}^{-1}$   
 229 as a function of RH for the six pollen species examined in our work, suggesting that the amount  
 230 of adsorbed water by pollen samples increased with RH.

231  
 232 **Table 2.** Integrated areas of IR peaks (at  $\sim 3600\text{ cm}^{-1}$ ) of adsorbed water as a function of RH for  
 233 the six pollen species investigated in this work. Wavenumber ranges used for integration are 3750-  
 234  $3300\text{ cm}^{-1}$  for populus deltoides pollen, 3750-3350  $\text{cm}^{-1}$  for populus tremuloides pollen, 3750-  
 235  $3400\text{ cm}^{-1}$  for ragweed pollen, 3750-3500  $\text{cm}^{-1}$  for corn pollen, 3750-3450  $\text{cm}^{-1}$  for pecan pollen,  
 236 and 3750-3300  $\text{cm}^{-1}$  for paper mulberry pollen.

RH (%)	peak area	RH (%)	peak area	RH (%)	peak area
populus deltoides		populus tremuloides		ragweed	
<1	0	<1	0	<1	0
26	22.7	24	5.5	26	10.1
41	36.9	41	16.4	42	18.9
57	57.4	56	35.4	50	24.5
71	93.6	70	66.5	56	30.2
79	137.6	78	91.2	69	49.7
81	164.7	87	156.9	88	104.6
87	293.1				
corn		pecan		paper mulberry	
<1	0	<1	0	<1	0

26	10.0	26	8.6	26	10.2
42	21.5	43	16.9	43	17.7
58	41.9	58	29.5	51	23.1
73	87.5	73	60.0	59	29.8
89	222.2	89	338.9	71	46.7
				86	105.1

237

## 238 **3.2 Mass hygroscopic growth**

### 239 **3.2.1 Hygroscopicity parameterizations**

240 The single hygroscopicity parameter,  $\kappa$ , is widely used to describe the hygroscopicity of  
 241 aerosol particles under both subsaturation and supersaturation (Petters and Kreidenweis, 2007).  
 242 When the Kelvin effect is negligible (this is valid for pollen grains which are typically  $>1 \mu\text{m}$ ), the  
 243 dependence of diameter-based growth factor (GF) on RH can be linked to  $\kappa$  via Eq. (1) (Petters  
 244 and Kreidenweis, 2007; Tang et al., 2016):

$$245 \quad RH = \frac{GF^3 - 1}{GF^3 - 1 + \kappa} \quad (1)$$

246 If we further assume that the particle is spherical, Eq. (1) can be transformed to Eq. (2):

$$247 \quad \frac{1}{RH} = 1 + \frac{\kappa}{GF^3 - 1} = 1 + \frac{\kappa}{\frac{V}{V_0} - 1} = 1 + \kappa \frac{V_0}{V - V_0} = 1 + \kappa \frac{V_0}{V_w} \quad (2)$$

248 where  $V$ ,  $V_0$  and  $V_w$  are the volumes of the particle at the given RH, the dry particle and water  
 249 associated with the particle at the given RH. In order for Eq. (2) to be valid, it is also assumed that  
 250 at a given RH,  $V$  is equal to the sum of  $V_0$  and  $V_w$ . Eq. (2) can be further transformed to Eqs. (3-4):

$$251 \quad \frac{1}{RH} = 1 + \kappa \frac{\rho_w}{\rho_p} \frac{m_0}{m_w} \quad (3)$$

$$252 \quad \frac{m_w}{m_0} = \kappa \cdot \frac{\rho_w}{\rho_p} / \left( \frac{1}{RH} - 1 \right) \quad (4)$$



253 where  $\rho_w$  and  $\rho_p$  are the density of water and the dry particle, and  $m_0$  and  $m_w$  are the mass of the  
254 dry particle and water associated with the particle at the given RH. Since the particle mass,  $m$ , is  
255 equal to the sum of  $m_0$  and  $m_w$ , Eq. (5) can be derived from Eq. (4):

$$256 \quad \frac{m}{m_0} = 1 + \kappa \frac{\rho_w}{\rho_p} / \left( \frac{1}{RH} - 1 \right) \quad (5)$$

257 Using an electrodynamic balance, Pope and co-workers (Pope, 2010; Griffiths et al., 2012)  
258 measured hygroscopic growth of eight types of pollen grains, and found that their mass change  
259 with RH can be approximated by Eq. (5). It should be noted that the original equation derived by  
260 Pope and co-workers (Pope, 2010; Griffiths et al., 2012) has a different format from but is  
261 essentially equivalent to Eq. (5). Eq. (5) relates mass growth experimentally measured in our work  
262 to the single hygroscopicity parameter ( $\kappa$ ), which has been widely used in atmospheric science to  
263 describe hygroscopic properties of aerosol particles under subsaturation as well as their CCN  
264 activities under supersaturation; nevertheless, a few assumptions are needed to derive Eq. (5), as  
265 discussed.

266 The Freundlich adsorption isotherm is another widely used equation to describe the change  
267 of sample mass with RH due to water uptake (Atkins, 1998; Skopp, 2009; Hatch et al., 2011; Tang  
268 et al., 2016):

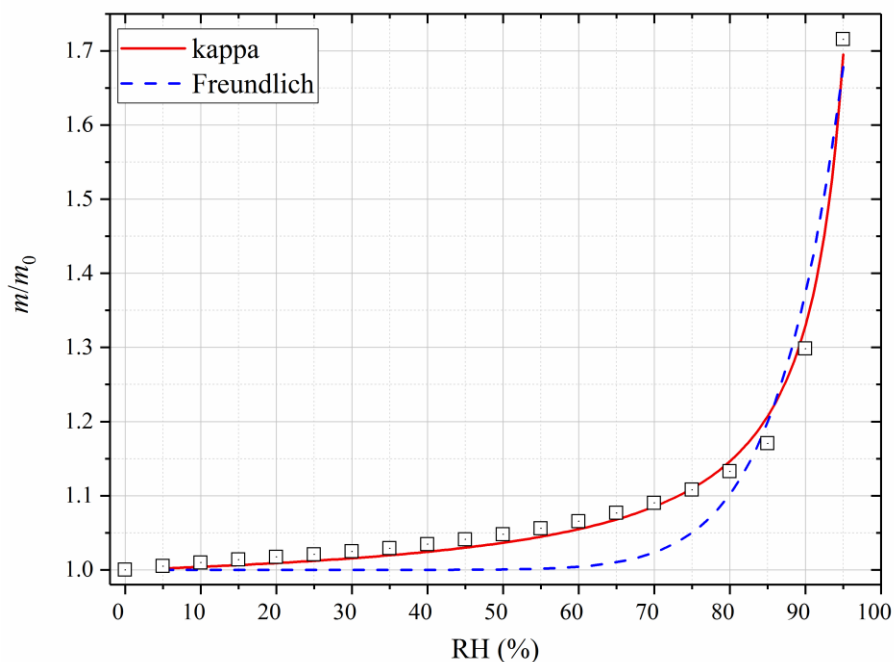
$$269 \quad \frac{m}{m_0} = 1 + A_f \cdot \sqrt[B_f]{RH} \quad (6)$$

270 where  $A_f$  and  $B_f$  are empirical Freundlich constants related to the adsorption capacity and strength.  
271 One advantage of the Freundlich adsorption isotherm is that it provides a direct relationship  
272 between RH and mass growth which was experimentally measured in our work, without any  
273 additional assumptions. In addition, the BET (Brunauer-Emmett-Teller) adsorption isotherm is  
274 also widely used to describe water adsorption by insoluble solid particles (Brunauer et al., 1938;  
275 Goodman et al., 2001; Henson, 2007; Ma et al., 2010; Tang et al., 2016; Joshi et al., 2017). While

276 the BET adsorption isotherm typically works well for water adsorption of a few monolayers, the  
277 mass of adsorbed water, as shown in Section 3.2.2, can reach up to 50% of the dry pollen mass at  
278 high RH; therefore, in this work we did not attempt to use the BET adsorption isotherm to describe  
279 water adsorption by pollen grains. Another reason that we did not attempt to use the BET  
280 adsorption isotherm is that the BET adsorption isotherm is mathematically more complex and  
281 requires the BET surface area to be known.

### 282 3.2.2 Mass hygroscopic growth at room temperature

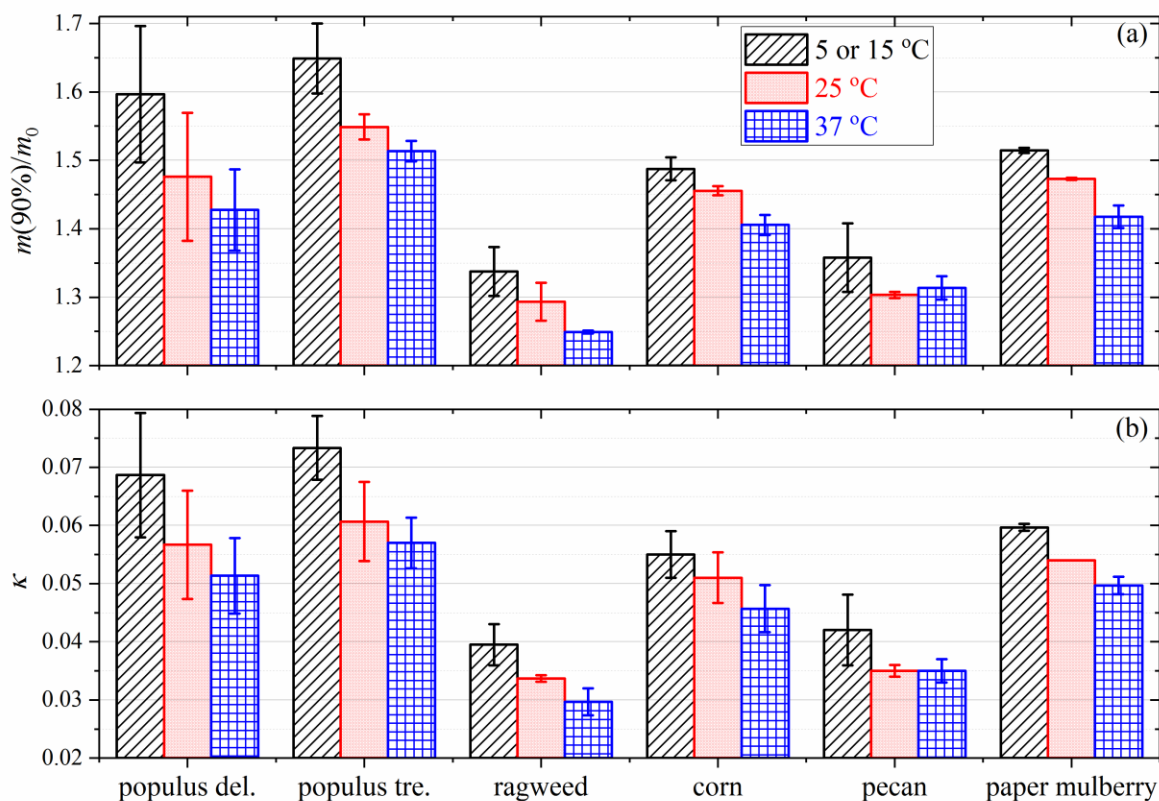
283 Figure 4 displays the sample mass (normalized to that at 0% RH) as a function of RH for  
284 pecan pollen at 25 °C. Significant increase in sample mass was observed at elevated RH due to  
285 uptake of water. Compared to that at <1% RH, the sample mass increased by  $(2.3\pm 0.3)\%$  at 30%  
286 RH,  $(6.4\pm 0.2)\%$  at 60% RH,  $(30.3\pm 0.4)\%$  at 90% RH, and up to ~72% at 95% RH. As shown by  
287 the data compiled in Tables S1-S3 in the supplement, substantial increases in sample mass were  
288 also observed for the other five types of pollen species at 25 °C (as well as 5 and 37 °C).



289

290 **Figure 4.** Measured change of sample mass (normalized to that at dry conditions, i.e.  $m/m_0$ ) of  
291 pecan pollen as a function of RH (0-95%) at 25 °C. The experimental data are fitted with the  
292 modified  $\kappa$ -Köhler equation (solid red curve) and the Freundlich adsorption isotherm (dashed blue  
293 curve).

294  
295 Hygroscopic properties exhibited considerable variations among different pollen species.  
296 Figure 5a compares the measured ratios of sample mass at 90% RH to that at <1% RH,  $m(90\%)/m_0$ ,  
297 for the six pollen species investigated in this work. We specifically discuss mass changes of pollen  
298 grains at 90% RH (relative to that at <1% RH) because aerosol hygroscopic growth at 90% RH  
299 was widely reported by laboratory and field studies (Kreidenweis and Asa-Awuku, 2014). As  
300 shown in Figure 5a,  $m(90\%)/m_0$  determined at 25 °C ranged from  $1.293\pm 0.028$  (ragweed pollen)  
301 to  $1.476\pm 0.094$  (populus deltoides pollen), i.e. the amount of water adsorbed/absorbed by the six  
302 different pollen species at 90% RH varied between ~30% to ~50% of the dry mass.



303  
 304 **Figure 5.** Measured ratios of sample mass at 90% RH to that at <1% RH (a) and derived  $\kappa$  values  
 305 (b) for six pollen species at different temperatures. The lowest temperatures were 5 °C for populus  
 306 deltoides (populus del.), populus tremuloides (populus tre.) and ragweed pollen, and 15 °C for corn,  
 307 pecan and paper mulberry pollen.

308  
 309 As shown in Figure 4, the increase of pecan pollen mass with RH at 25 °C could be  
 310 satisfactorily described by the modified  $\kappa$ -Köhler equation for the entire RH range (up to 95%).  
 311 On the contrary, the Freundlich adsorption isotherm significantly underestimated the sample mass  
 312 at low RH, although it represented the experimental data at high RH reasonably well. In addition,  
 313 we found that the modified  $\kappa$ -Köhler equation could also approximate the dependence of sample  
 314 mass on RH for all the six types of pollen species investigated in this work at different temperatures.

315 If we use Eq. (5) to fit  $m/m_0$  against RH,  $\kappa \cdot \rho_w/\rho_p$  can be derived. The bulk densities of dry pollen  
 316 grains were found to vary with species but typically fall into the range of 0.5-2 g cm<sup>-3</sup> (Harrington  
 317 and Metzger, 1963; Hirose and Osada, 2016), and for simplicity  $\rho_p$  was assumed to be 1 g cm<sup>-3</sup> in  
 318 this work (i.e.  $\rho_w/\rho_p$  is equal to 1). With the assumptions on dry particle density and also particle  
 319 sphericity,  $\kappa$  could then be derived from the measured RH-dependent sample mass at a given  
 320 temperature.

321 Table 3 summarizes the average  $\kappa$  values at different temperatures for the six pollen species  
 322 investigated in this work. At 25 °C, the  $\kappa$  values were found to increase from 0.034±0.001 for  
 323 ragweed pollen to 0.061±0.007 for populus tremuloides pollen, varied by almost a factor of 2. The  
 324  $\kappa$  values measured by Pope and co-workers (Pope, 2010; Griffiths et al., 2012) were approximately  
 325 in the range of 0.05-0.11 (assuming that  $\rho_w/\rho_p$  is equal to 1), in reasonably good agreement with  
 326 these reported in our work. It should be noted that in order to convert the measured mass growth  
 327 to diameter growth and  $\kappa$  values, one key assumption is particle sphericity; nevertheless, pollen  
 328 grains are known to be non-spherical and porous, and therefore our derived  $\kappa$  values might be  
 329 smaller than the actual values. For example, although the mass increase was substantial (around  
 330 30-50 % at 90% RH) for the six pollen species examined, their  $\kappa$  values at 25 °C were derived to  
 331 be in the range of 0.034-0.061, significantly smaller than those (0.1-0.2) for typical secondary  
 332 organic aerosols produced in smog chamber studies (Petters and Kreidenweis, 2007; Kreidenweis  
 333 and Asa-Awuku, 2014).

334  
 335 **Table 3.** Single hygroscopicity parameters ( $\kappa$ ) derived in this work for the six pollen species at  
 336 different temperatures. All the errors given in this work are standard deviations.

pollen type	$T$ (°C)	sample 1	sample 2	sample 3	average
	5	0.071±0.001	0.078±0.001	0.057±0.002	0.069±0.011

populus	25	0.054±0.001	0.067±0.002	0.049±0.002	0.057±0.009
deltoides	37	0.058±0.002	0.051±0.001	0.045±0.002	0.051±0.007
populus	5	0.068±0.001	0.073±0.001	0.079±0.001	0.073±0.006
tremuloides	25	0.053±0.002	0.063±0.002	0.066±0.002	0.061±0.007
	37	0.052±0.002	0.059±0.002	0.060±0.002	0.057±0.004
ragweed	5	0.042±0.001	0.037±0.002	--	0.040±0.004
	25	0.033±0.002	0.034±0.003	0.034±0.002	0.034±0.001
	37	0.027±0.001	0.031±0.002	0.031±0.002	0.030±0.002
corn	15	0.051±0.001	0.059±0.002	0.055±0.002	0.055±0.004
	25	0.046±0.002	0.053±0.002	0.054±0.002	0.051±0.004
	37	0.041±0.002	0.048±0.002	0.048±0.002	0.046±0.004
pecan	15	0.049±0.001	0.038±0.001	0.039±0.001	0.042±0.006
	25	0.036±0.001	0.034±0.001	0.035±0.001	0.035±0.001
	37	0.033±0.001	0.035±0.002	0.037±0.001	0.035±0.002
paper	15	0.059±0.002	0.060±0.002	0.060±0.002	0.060±0.001
mulberry	25	0.054±0.001	0.054±0.001	0.054±0.001	0.054±0.001
	37	0.048±0.002	0.050±0.002	0.051±0.002	0.050±0.002

337

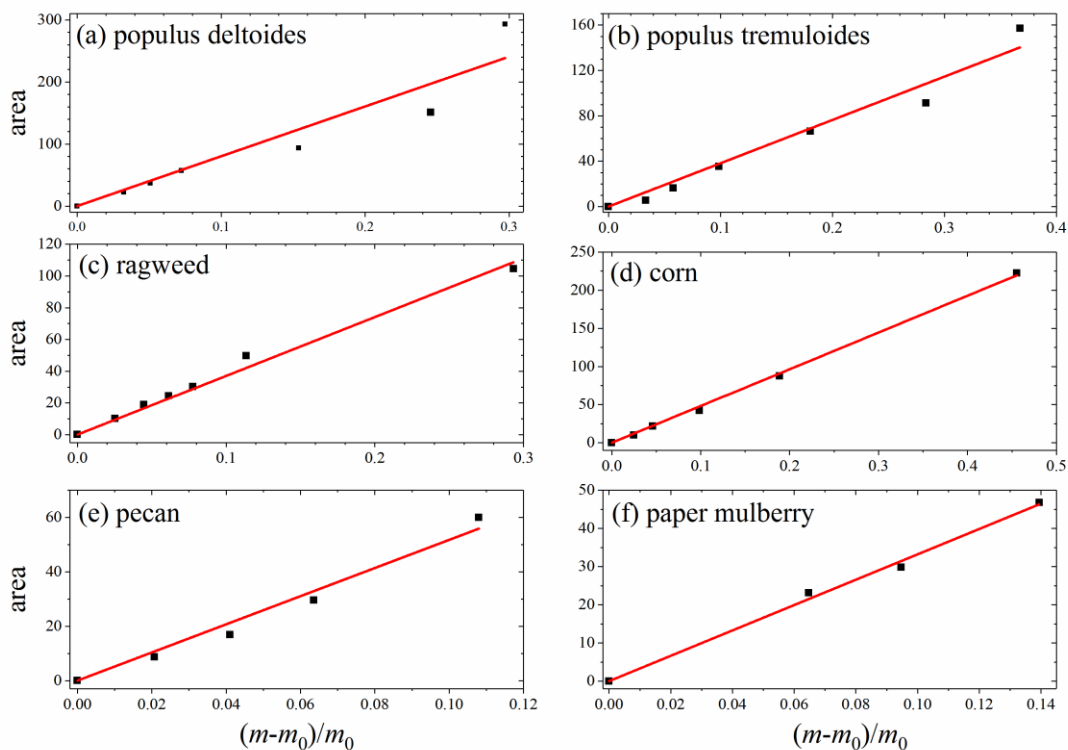
### 338 3.3 Discussion

#### 339 3.3.1 Reconciliation between IR and VSA results

340 Our in-situ DRIFTS measurements, as discussed in Section 3.1.2, suggested that water  
341 uptake by pollen samples was mainly contributed by OH groups of organic compounds they  
342 contained; therefore, one may expect that pollen species which contain higher levels of OH groups  
343 would exhibit higher hygroscopicity. Transmission FTIR characterization of pollen species  
344 (Section 3.1.1) showed that populus deltoides, populus tremuloides and paper mulberry pollen  
345 contained relatively high levels of OH groups, and indeed their hygroscopicity ( $\kappa$ : 0.053-0.054 at  
346 25 °C) was higher than the other three pollen species, as shown in Figure 5 and Table 3. For  
347 comparison, ragweed and pecan pollen contained relatively low levels of OH groups and  
348 correspondingly exhibited lower hygroscopicity ( $\kappa$ : 0.033-0.036 at 25 °C). Corn pollen appeared

349 to be an exception: it contained relatively low levels of OH groups but displayed medium  
350 hygroscopicity ( $\kappa$ :  $\sim 0.046$  at  $25\text{ }^\circ\text{C}$ ). As a result, our results may imply that in addition to chemical  
351 composition, other physicochemical properties, such as porosity and internal structure of pollen  
352 grains, could also play an important role in determining the hygroscopicity of pollen species. One  
353 clue came from environmental scanning electron microscopy observations (Pope, 2010), revealing  
354 that pollen grains started to swell internally before significant water uptake on the surface took  
355 place.

356 In our work two complementary techniques were employed to explore hygroscopic  
357 properties of pollen species. VSA measured the amount of water absorbed/adsorbed by pollen  
358 grains as a function of RH in a quantitative manner, whereas the intensities of IR peaks of adsorbed  
359 water at different RH, as characterized by DRIFTS, can be used semi-quantitatively to represent  
360 the amount of water associated with particles (Goodman et al., 2001; Schuttlefield et al., 2007b;  
361 Ma et al., 2010; Yeşilbaş and Boily, 2016; Joshi et al., 2017; Ibrahim et al., 2018). We compare  
362 our VSA results (i.e. the relative mass change due to water uptake) to the DRIFTS results (i.e.  
363 integrated area of IR peaks at  $\sim 3600\text{ cm}^{-1}$ ). As shown in Figure 6, good correlations between VSA  
364 and DRIFTS results are found for all the six pollen species, suggesting that DRIFTS can be used  
365 to represent the amount of adsorbed water, at least in a semi-quantitative manner.



366

367 **Figure 6.** Integrated areas of IR peaks at  $\sim 3600\text{ cm}^{-1}$  versus relative mass increase due to water  
 368 uptake,  $(m-m_0)/m_0$ , for six pollen species: (a) populus deltoides; (b) populus tremuloides; (c)  
 369 ragweed; (d) corn; (e) pecan; (f) paper mulberry.

370

### 371 3.3.2 Effect of temperature

372 Figure 5a shows the comparison of the measured ratios of sample mass at 90% RH to that  
 373 at  $<1\%$  RH,  $m(90\%)/m_0$ , at different temperatures for the six pollen species. It can be concluded  
 374 from Figure 5a that except for pecan pollen for which a small increase in  $m(90\%)/m_0$  occurred  
 375 when temperature increased from 25 to 37 °C, increase in temperature would lead to small but  
 376 nevertheless significant decrease in  $m(90\%)/m_0$ . For example,  $m(90\%)/m_0$  decreased from  
 377  $1.597\pm 0.100$  at 5 °C to  $1.476\pm 0.094$  at 25 °C and to  $1.427\pm 0.060$  at 37 °C for populus deltoides



378 pollen, and from  $1.338\pm 0.036$  at 5 °C to  $1.293\pm 0.028$  at 25 °C and to  $1.249\pm 0.002$  at 37 °C for  
379 ragweed pollen.

380 We further derived  $\kappa$  values at different temperatures for the six pollen species, and the  
381 results are plotted in Figure 5b and summarized in Table 3. Increase in temperature would lead to  
382 decrease in  $\kappa$  values, except for pecan pollen. For example,  $\kappa$  decreased from  $0.073\pm 0.006$  at 5 °C  
383 to  $0.057\pm 0.004$  at 37 °C for populus tremuloides pollen, and decreased from  $0.060\pm 0.001$  at 15 °C  
384 to  $0.050\pm 0.002$  at 37 °C for paper mulberry pollen.

#### 385 **4 Conclusion and implications**

386 Pollen grains are one of the most abundant types of primary biological aerosol particles in  
387 the troposphere and play important roles in many aspects of the Earth system. Hygroscopicity is  
388 among the most important physicochemical properties of pollen grains and largely affect their  
389 environmental, health and climatic impacts. However, our knowledge in their hygroscopicity is  
390 still quite limited, and especially the temperature effect has been rarely explored.

391 In this work we investigated hygroscopic properties of six types of pollen species as a  
392 function of RH (up to 95%) at 5 (or 15), 25 and 37 °C. Substantial increase in pollen mass was  
393 observed at elevated RH due to water uptake for all the six pollen species. Therefore, change in  
394 the mass of pollen grains and their aerodynamic properties at different RH should be taken into  
395 account to better understand their transport and deposition in the troposphere. It was found that the  
396 mass hygroscopic growth of pollen grains can be well approximated by the modified  $\kappa$ -Köhler  
397 equation. The derived  $\kappa$  values at 25 °C ranged from  $0.034\pm 0.001$  to  $0.061\pm 0.007$ , varying with  
398 pollen species. DRIFTS measurements indicated that water adsorption by pollen species were  
399 mainly contributed by OH groups of organic compounds contained by pollen grains, and indeed  
400 pollen species that contained lower levels of OH groups (relative to C-H groups, as determined

401 using transmission FTIR) showed lower hygroscopicity. One exception was corn pollen which  
402 contained low levels of OH group but exhibited medium hygroscopicity, suggesting that in  
403 addition to chemical composition, other physicochemical properties, such as porosity and internal  
404 structure, might also play an important role in determining the hygroscopicity of pollen grains.  
405 Due to their moderate hygroscopicity as well as large sizes, pollen grains can thus act as efficient  
406 giant CCN which may have significant impacts on cloud and precipitation (Johnson, 1982;  
407 Feingold et al., 1999; Yin et al., 2000; Posselt and Lohmann, 2008). It is worth noting that only  
408 six different pollen species were examined in our work, and hygroscopic properties of other pollen  
409 species commonly found in the troposphere should be further investigated.

410         The effect of temperature on the hygroscopicity of pollen grains was systematically  
411 investigated in this work. Increase in temperature (from 5 or 15 °C to 25 and 37 °C), a range  
412 covering chilling temperature to physiological temperature, led to small but detectable decrease in  
413 pollen hygroscopicity. For example,  $\kappa$  values were found to decrease from  $0.073\pm 0.006$  at 5 °C to  
414  $0.061\pm 0.007$  at 25 °C and to  $0.057\pm 0.004$  at 37 °C for populus tremuloides pollen, and decrease  
415 from  $0.060\pm 0.001$  at 15 °C to  $0.054\pm 0.001$  at 25 °C to  $0.050\pm 0.002$  at 37 °C for paper mulberry  
416 pollen. Our measurements at 37 °C (physiological temperature) provide very valuable parameters  
417 which can be used in numerical models to better understand the transport and deposition of pollen  
418 particles in the respiratory system and thus their impacts on human health (Yeh et al., 1996; Broday  
419 and Georgopoulos, 2001; Park and Wexler, 2008; Lambert et al., 2011; Longest and Holbrook,  
420 2012; Tong et al., 2014). Nevertheless, it should be noted that due to the short residence time in  
421 the respiratory system, pollen grains and other inhaled particles in general, may not reach  
422 equilibrium with water vapor in the respiratory tract.

423 Due to technical challenges, the lowest temperature we could reach in this work was 5 °C,  
424 in the range of normal chilling temperatures for vegetative species and also in the expected  
425 temperature range at the altitudes of 0.5-2.0 km to which pollen grains can be easily transported.  
426 Temperatures in the upper troposphere can be as low as below -70 °C, and it is yet to be explored  
427 whether further decrease in temperature to far below 0 °C will lead to large increase in pollen  
428 hygroscopicity. As a result, experimental measurements of pollen hygroscopicity at lower  
429 temperatures are warranted and would significantly help better understand the transport of pollen  
430 grains in the troposphere. Since water vapor has to be adsorbed or condensed on ice nucleating  
431 particles before heterogeneous ice nucleation can take place (Laaksonen et al., 2016), knowledge  
432 in hygroscopicity and water uptake at temperatures below 0 °C would provide fundamental insights  
433 into atmospheric ice nucleation, in which pollen grains may play an important role (Pratt et al.,  
434 2009; Prenni et al., 2009; Hoose et al., 2010; Pöschl et al., 2010; Murray et al., 2012; Creamean et  
435 al., 2013; Tang et al., 2018).

436

437 **Data availability.** All the data are available from Mingjin Tang (mingjintang@gig.ac.cn) up on  
438 request.

439 **Competing interests.** The authors declare that they have no conflict of interest.

440 **Author contribution.** MT, QM and YJL designed the research; WG, CZ, SL and XY did the  
441 measurements; MT, QM, YJL and RJH analyzed the results; MT, QM, YJL and RJH wrote the  
442 manuscript with contribution from all the co-authors.

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