

Comments by referees are in blue.

Our replies are in black.

Changes to the manuscript are highlighted in red both in here and in the revised manuscript.

Reply to Ref #1

Tang et al. investigated the hygroscopic growth of six pollen species and its temperature dependence. This study measured water uptake and growth factor by pollen grains using a vapor sorption analyzer and characterize pollen grains using FTIR. A hygroscopic parameter (k) was calculated from the measurements. The subject of this manuscript is within the scope of this journal. There are some minor issues that the authors may want to address before it can be accepted for publication.

Reply: We would like to thank Ref #1 for his/her insightful and detailed comments, which have largely helped us improve our manuscript. We have addressed all the comments adequately in the revised manuscript, as detailed below.

1. P5, L98-100, are these pollen species atmospheric relevant? Justification of using these pollen species needs further discussion.

Reply: These pollen species have been chosen in our work primarily because of their commercial availability; nevertheless, these plants are widely distributed in the globe and some of the pollen species, such as ragweed pollen, are well-known due to their impacts on human health. In the revised manuscript (page 5, line 101-105) we have added a few sentences to further justify why these pollen species were chosen in our work: “The six pollen species were chosen in our work primarily because they were commercially available. Furthermore, these plants are also widely distributed in the globe. For example, corn is the most produced grain in the world (International-Grains-Council, 2019), and up to 50% of pollen-related allergic rhinitis cases in North America are caused by ragweed pollen (Taramarcaz et al., 2005).”

2. P6, L114, what is the uncertainty of this moisture meter?

Reply: The sensor has an absolute uncertainty of $\pm 2\%$. In the revised manuscript (page 6, line 118-119) the sentence has been changed to “...and was monitored online using a moisture meter (CENTER 314) with an absolute uncertainty of $\pm 2\%$.”

3. P6, L130-132, which kind of temperature and humidity sensors that can achieve such high accuracy (± 0.1 K and 1% RH) at this temperature and RH range?

Reply: The temperature was monitored using a thermocouple, which could achieve a temperature accuracy of ± 0.1 K easily. The high accuracy of RH control was achieved by using mass flow controllers to precisely control the flow rates of the dry and humidified nitrogen flows used to regulate RH in the humidity chamber; the accuracy of RH control was routinely checked by measuring the DRH of standard compounds, and the difference in measured and theoretical DRH was always $<1\%$. In the revised manuscript (page 7, line 138-142) we have added a few sentences to explain how high accuracy in RH control was achieved: “RH in the humidity chamber was regulated by using two mass flow controllers to control the dry and humidified nitrogen flows very precisely. The accuracy in RH control was routinely checked by measuring the DRH values for a series of standard compounds, e.g., NaCl, $(\text{NH}_4)_2\text{SO}_4$, KCl, and etc., and the difference between the measured and theoretical DRH was always $<1\%$.”

4. P7, L137-138, Although it may be fine, I do not think this is an excuse that left the other temperature out. One can simply conduct a few more experiments for the missing points.

Reply: We agree with the referee, and would like to carry out measurements at 5°C for the other three pollen species. However, unfortunately due to a technical problem, the lowest temperature our instrument could reach was 15°C since the technical problem occurred. In the revised manuscript (page 7, line 146-149) the following change has been implemented for further clarification: “For each of the other three types of pollen species (corn, pecan and paper mulberry pollen), experiments were carried out at 15°C instead of 5°C , because the instrument could only be cooled down to 15°C due to a technical problem after we finished experiments for the first three pollen species.”

5. P8, L157-165, it is suggested to list these peak assignments in a table.

Reply: As suggested, we have included a table in the revised manuscript (Table 1, page 10) to summarize these peak assignments, and also made corresponding changes to text in Section 3.1.1 (page 8, line 168-169).

6. P9, L169-173, please justify the use of such ratio as a qualitative representation of OH groups. Have any other studies been using such proxy?

Reply: As stated in our original manuscript, one may expect that the amount of OH groups (relative to that of C-H groups) that pollen samples contain may affect their hygroscopicity. In addition, a number of previous studies found that heterogeneous aging of organic materials would lead to increase in hygroscopicity; in addition, they also found that the IR absorption intensity for

the O-H stretching mode would increase and the IR absorption intensity for the C-H stretching mode would decrease upon heterogeneous oxidation. Therefore, we used the intensity ratio of the O-H stretching vibration band to the C-H stretching mode to represent the amount of OH groups in a qualitative manner (not quantitatively, however) and explored if there was any correlation between this intensity ratio and measured hygroscopicity. In the revised manuscript (page 9-10, line 181-190) we have expanded our discussion to provide further justification: “OH groups and C-H groups in organic compounds are generally considered to be hydrophilic and hydrophobic, and one may expect that the amount of OH groups (relative to that of C-H groups) that organic samples contain may affect their hygroscopicity. For example, it was found in many previous studies (Eliaison et al., 2003; Asad et al., 2004; Hung et al., 2005; Najera et al., 2009) that heterogeneous reactions of organic materials with O₃ and OH radicals would increase the IR absorption intensity for the O-H stretching mode and decrease the IR absorption intensity for the C-H stretching mode, meanwhile leading to the enhancement in their hygroscopicity. Therefore, in this work we use the intensity ratio of the O-H stretching vibration band (3000-3600 cm⁻¹) to the C-H stretching mode (2920 cm⁻¹) to qualitatively represent the amount of OH groups pollen samples contain.”

7. P11, L201-203, It only indicates that there is a correlation between water adsorption and OH groups in pollen samples. As discussed in L302-316, there may be other factors contribute to the water uptake. It is suggested to revise these related statements.

Reply: As suggested, in the revised manuscript (page 12, line 221-224) we have revised our discussion: “These results imply that water adsorption by pollen samples could be mainly contributed by OH groups of organic compounds they contained; in addition, other factors, such as porosity and internal structure, may also be important for hygroscopic properties of pollen grains.”

8. P12, L215, k parameter is just a fitting from the data. As for now there is no physical meaning for such equation. It is not really a theory.

Reply: We agree with the referee. In the revised manuscript (page 13, line 236) the title of Section 3.2.1 has been from “Theories” to “Hygroscopicity parameterizations”, and throughout the revised manuscript (e.g., page 1, line 34) “κ-Köhler equation” has been changed to “κ-Köhler equation”.

9, P16, L279-L284, As mentioned above, the k value is obtained from the fitting of these data points, of course, this should fit it well, otherwise k value is wrong. As for Freundlich approach, what are the A and B values? To compare these two different approaches, further discuss is needed.

Reply: We respect but do not quite agree with this comment, and would like to clarify it here. In our work we attempted to use both the κ -Köhler equation and the Freundlich adsorption isotherm to fit our experimental data. For the experimental data shown in Figure 4, if fitted with the κ -Köhler equation, the best fitting gave a $\kappa \cdot \rho_w / \rho_p$ value of 0.036 ± 0.001 , and as shown in Figure 4, the κ -Köhler equation fitted the experimental data very well; if fitted with the Freundlich adsorption isotherm, the best fitting gave an A value of 1.19 and a B value of 0.091, and as shown in Figure 4, the Freundlich adsorption isotherm failed to fit the experimental data. This is why we have stated in our original manuscript that the κ -Köhler equation described our experimental data very well but the Freundlich adsorption isotherm did not.

10, P17, L286-289, If use density of 1 g/cm³, that means k values may be 2 times higher or lower when considering range of 0.5 -2 g/cm³. This is a huge uncertainty. That mean you cannot really compare k values for different species unless they have very similar density.

Reply: As pointed out by the referee, our derived κ values have large uncertainties, mainly due to large uncertainties in pollen density. However, as shown in Eq. (5), at a given RH mass hygroscopic growth factors, m/m_0 , depend on $\kappa \cdot \rho_w / \rho_p$ rather than κ ; to compare the hygroscopicity of different pollen species, we should compare $\kappa \cdot \rho_w / \rho_p$ values rather than κ values; in our work to make the comparison mathematically simpler, we assume a pollen density of 1 g cm⁻³ and thus $\kappa \cdot \rho_w / \rho_p$ is equal to κ . As a result, when we compare hygroscopicity of the six pollen species examined in our work, we do not need to assume that they have very similar density.

11, P18, L298, It is not clear what does “All the errors are statistical only.” mean.

Reply: We actually mean that all the errors given here are standard deviations. In the revised manuscript (page 18, line 333) we have changed this sentence to “All the errors given in this work are standard deviations.”

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Reply to Ref #2

The hygroscopicity of pollen species is not well-recognized. The authors investigated six different type of pollen particles using two methods. This work provides valuable dataset for hygroscopicity study community. I have two major comments, which should be addressed and implemented in the revised manuscript. Afterwards, I would like to review another round.

Reply: We would like to thank Ref #2 for his/her insightful and detailed comments, which have largely helped us improve our manuscript. We have addressed all the comments adequately in the revised manuscript, as detailed below.

(1) In 3.2.1 Theories, the authors assumed the pollen grains are spherical, then, build the link between kappa and mass hygroscopic growth. While, the pollen gains may not the case and are porous in real world. Assuming a spherical particle could lead to a big bias, for example, higher increase in mass, but, smaller hygroscopic growth in diameter. Actually, the mass growth is significant, but the kappa is very small value compared the atmospheric secondary organic aerosols. The authors only mentioned in line 362-364 that porosity and internal structure, might play an important role in determining the hygroscopicity of pollen grains. But no any discussion in theory part. A detail discussion on the non-spherical situation and its effects on the relationship between kappa and mass growth should be given.

Reply: We agree with the referee, and as suggested, in the revised manuscript (page 17-18, line 323-330) when we discuss κ values of pollen species we have added a few sentences to further discuss the particle sphericity assumption and its implications for the derived κ value: “It should be noted that in order to convert the measured mass growth to diameter growth and κ values, one key assumption is particle sphericity; nevertheless, pollen grains are known to be non-spherical and porous, and therefore our derived κ values might be smaller than the actual values. For example, although the mass increase was substantial (around 30-50 % at 90% RH) for the six pollen species examined, their κ values at 25 °C were derived to be in the range of 0.034-0.061, significantly smaller than those (0.1-0.2) for typical secondary organic aerosols produced in smog chamber studies (Petters and Kreidenweis, 2007; Kreidenweis and Asa-Awuku, 2014).”

(2) For the kappa theory proposed by Petters, 2007, the particles being studied should be assume as solution. Differently, Freundlich adsorption isotherm is water adsorption by materials. The principles between two theories are quite different. The authors may clarify the purpose by using two different theories to fit the observed curve. Which method is more suitable to explain the water uptake of pollen?

Reply: First of all, as discussed in Section 3.2.2 in the original manuscript, it was concluded in our work that the modified κ -Köhler equation is more suitable to explain water uptake by pollen because when compared to the Freundlich adsorption isotherm, it fits the experimental data much better.

Furthermore, in Section 3.1.1 of the revised manuscript, we have explained further why we attempted to use these two different equations/theories to fit the experimental data, as detailed below.

We tried to use the modified κ -Köhler equation because it relates our measured mass growth to the single hygroscopicity parameter. In the revised manuscript (page 14, line 258-262) we have added a few sentences to provide further explanation: “Eq. (5) relates mass growth experimentally measured in our work to the single hygroscopicity parameter (κ), which has been widely used in atmospheric science to describe hygroscopic properties of aerosol particles under subsaturation as well as their CCN activities under supersaturation; nevertheless, a few assumptions are needed to derive Eq. (5), as discussed.”

We also tried to use the Freundlich adsorption isotherm to fit our data because it provides a direct relationship between RH and our measured mass growth, without any additional assumptions. In the revised manuscript (page 14, line 258-262) we have added one sentence to provide further explanation: “One advantage of the Freundlich adsorption isotherm is that it provides a direct relationship between RH and mass growth which was experimentally measured in our work, without any additional assumptions.”

1 **Water adsorption and hygroscopic growth of six anemophilous pollen species: the**
2 **effect of temperature**

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4 Mingjin Tang,^{1,5,6,*} Wenjun Gu,^{1,5} Qingxin Ma,^{2,5,6,*} Yong Jie Li,³ Cheng Zhong,^{2,5} Sheng Li,^{1,5}
5 Xin Yin,^{1,5} Ru-Jin Huang,⁴ Hong He,^{2,5,6} Xinming Wang^{1,5,6}

6
7 ¹ State Key Laboratory of Organic Geochemistry and Guangdong Key Laboratory of
8 Environmental Protection and Resources Utilization, Guangzhou Institute of Geochemistry,
9 Chinese Academy of Sciences, Guangzhou 510640, China

10 ² State Key Joint Laboratory of Environment Simulation and Pollution Control, Research Center
11 for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing 100085, China

12 ³ Department of Civil and Environmental Engineering, Faculty of Science and Technology,
13 University of Macau, Avenida da Universidade, Taipa, Macau, China

14 ⁴ Key Laboratory of Aerosol Chemistry and Physics, State Key Laboratory of Loess and
15 Quaternary Geology, Institute of Earth and Environment, Chinese Academy of Sciences, Xi'an
16 710061, China

17 ⁵ University of Chinese Academy of Sciences, Beijing 100049, China

18 ⁶ Center for Excellence in Regional Atmospheric Environment, Institute of Urban Environment,
19 Chinese Academy of Sciences, Xiamen 361021, China

20

21 * Correspondence: Mingjin Tang (mingjintang@gig.ac.cn), Qingxin Ma (qxma@rcees.ac.cn)

22

23 **Abstract**

24 Hygroscopicity largely affects environmental and climatic impacts of pollen grains, one
25 important type of primary biological aerosol particles in the troposphere. However, our knowledge
26 in pollen hygroscopicity is rather limited, and especially the effect of temperature has rarely been
27 explored before. In this work three different techniques, including a vapor sorption analyzer,
28 diffusion reflectance infrared Fourier transform spectroscopy (DRIFTS) and transmission Fourier
29 transform infrared spectroscopy (transmission FTIR) were employed to characterize six
30 anemophilous pollen species and to investigate their hygroscopic properties as a function of
31 relative humidity (RH, up to 95%) and temperature (5 or 15, 25 and 37 °C). Substantial mass
32 increase due to water uptake was observed for all the six pollen species, and at 25 °C the relative
33 mass increase at 90% RH, when compared to that at <1% RH, ranged from ~30 to ~50%, varying
34 with pollen species. **It was found that the** modified κ -Köhler **equation** can well approximate mass
35 hygroscopic growth of all the six pollen species, and the single hygroscopicity parameter (κ) was
36 determined to be in the range of 0.034 ± 0.001 to 0.061 ± 0.007 at 25 °C. In-situ DRIFTS
37 measurements suggested that water adsorption by pollen species was mainly contributed by OH
38 groups of organic compounds they contained, and good correlations were indeed found between
39 hygroscopicity of pollen species and the amount of OH groups, as determined using transmission
40 FTIR. Increase in temperature would in general lead to decrease in hygroscopicity, except for
41 pecan pollen. For example, κ values decreased from 0.073 ± 0.006 at 5 °C to 0.061 ± 0.007 at 25 °C
42 and to 0.057 ± 0.004 at 37 °C for populus tremuloides pollen, and decreased from 0.060 ± 0.001 at
43 15 °C to 0.054 ± 0.001 at 25 °C to 0.050 ± 0.002 at 37 °C for paper mulberry pollen.

44

45 **1 Introduction**

46 Primary biological aerosol particles (PBAPs), an important type of aerosol particles in the
47 troposphere, are directly emitted from the biosphere and include pollen, fungal spores, bacteria,
48 viruses, algae, and so on (Després et al., 2012; Fröhlich-Nowoisky et al., 2016). Emission and
49 abundance of PBAPs are quite uncertain, and annual emission fluxes are estimated to be in the
50 range of <10 to ~1000 Tg for total PBAPs and 47-84 Tg for pollen (Després et al., 2012). Pollen,
51 and PBAPs in general, are of great concerns due to their various impacts on the Earth system (Sun
52 and Ariya, 2006; Ariya et al., 2009; Georgakopoulos et al., 2009; Morris et al., 2011; Morris et al.,
53 2014; Fröhlich-Nowoisky et al., 2016). For example, they can be allergenic, infectious or even
54 toxic, affecting the health of human and other species in the ecological systems over different
55 scales (Douwes et al., 2003; Reinmuth-Selzle et al., 2017; Shiraiwa et al., 2017). The geographical
56 dispersion of anemophilous plants largely relies on pollen dispersal, which in turn depends on the
57 emission, transport and deposition of pollen grains; therefore, pollen plays a key role in the
58 evolution of many ecosystems (Womack et al., 2010; Fröhlich-Nowoisky et al., 2016). In addition,
59 PBAPs can serve as giant cloud condensation nuclei (CCN) and ice nucleating particles (INPs),
60 significantly impacting the formation and properties of clouds and thus radiative balance and
61 precipitation (Möhler et al., 2007; Ariya et al., 2009; Pratt et al., 2009; Pope, 2010; Pummer et al.,
62 2012; Gute and Abbatt, 2018). It has also been proposed that PBAPs may have significant impacts
63 on chemical composition of aerosol particles via heterogeneous and multiphase chemistry
64 (Deguillaume et al., 2008; Estillore et al., 2016; Reinmuth-Selzle et al., 2017; Shiraiwa et al., 2017).

65 Hygroscopicity is one of the most important physicochemical properties of pollen (as well
66 as aerosol particles in general). Hygroscopicity largely impacts the transport and deposition of
67 pollen grains (Sofiev et al., 2006), therefore affecting their lifetimes, abundance and

68 spatiotemporal distribution. In addition, hygroscopicity is closely linked to the ability of aerosol
69 particles to serve as CCN and INPs (Petters and Kreidenweis, 2007; Kreidenweis and Asa-Awuku,
70 2014; Laaksonen et al., 2016; Tang et al., 2016). Several previous studies have measured the
71 hygroscopicity and CCN activities of pollen (Diehl et al., 2001; Pope, 2010; Griffiths et al., 2012;
72 Lin et al., 2015; Steiner et al., 2015; Prisle et al., 2018) and other PBAPs such as bacteria (Pasanen
73 et al., 1991; Reponen et al., 1996; Franc and DeMott, 1998; Ko et al., 2000; Lee et al., 2002; Bauer
74 et al., 2003). For example, water uptake of eleven pollen species was studied using an analytical
75 balance (Diehl et al., 2001), and the mass of pollen was found to be increased by 3-16% at 73%
76 RH and by ~100-300% at 95% RH, compared to that at 0% RH. An electrodynamic balance was
77 employed to investigate hygroscopic growth of eight types of pollen (Pope, 2010; Griffiths et al.,
78 2012), and it was found that their hygroscopic growth can be approximated by the modified κ -
79 Köhler **equation**, with single hygroscopicity parameters being around 0.1 (depending on the
80 assumed pollen density, **as discussed in Section 3.2**).

81 Previous measurements were mostly carried out at or close to room temperature, and the
82 effects of temperature on hygroscopic properties of pollen and other types of PBAPs are yet to be
83 elucidated. To our knowledge, only one previous study (Bunderson and Levetin, 2015) explored
84 the effect of temperature (4, 15 and 20 °C) on water uptake by *Juniperus ashei*, *Juniperus*
85 *monosperma* and *Juniperus pinchotii* pollen. It is important to account for the temperature effects,
86 because ambient temperatures range from below -70 to >30 °C **in the troposphere**. In particular,
87 the altitude of 0.5-2.0 km to which pollen can be easily transported (Noh et al., 2013) may have
88 temperatures close to or lower than the chilling temperatures for vegetative species (up to 16.5 °C)
89 (Melke, 2015). Moreover, the temperature in the respiratory tract can reach up to of 37 °C (the
90 physiological temperature). In the work presented here, a vapor sorption analyzer (VSA) was

91 employed to investigate hygroscopic growth of pollen grains at different temperature (5 or 15, 25,
92 and 37 °C), a range covering the chilling temperature to the physiological temperature. Water
93 uptake by pollen was also examined using diffusion reflectance infrared Fourier transform
94 spectroscopy at room temperature to complement the VSA results. Furthermore, transmission
95 Fourier transformation infrared spectroscopy was used to characterize functional groups of dry
96 pollen grains, in an attempt to seek potential links between chemical composition of pollen grains
97 and their hygroscopic properties.

98 **2 Experimental sections**

99 Six pollen species, all from anemophilous plants, were investigated in this work, including
100 populus tremuloides and populus deltoides (provided by Sigma Aldrich) as well as ragweed, corn,
101 pecan and paper mulberry (provided by Polysciences, Inc.). **The six pollen species were chosen in
102 our work primarily because they were commercially available. Furthermore, these plants are also
103 widely distributed in the globe. For example, corn is the most produced grain in the world
104 (International-Grains-Council, 2019), and up to 50% of pollen-related allergic rhinitis cases in
105 North America are caused by ragweed pollen (Taramarcaz et al., 2005).**

106 **2.1 Fourier transformation infrared spectroscopy**

107 The adsorption of water by pollen species were studied using in-situ diffusion reflectance
108 infrared Fourier transform spectroscopy (DRIFTS) at room temperature (~25 °C). This technique
109 was described in details in our previous work (Ma et al., 2010), and similar setups have also been
110 used by other groups to investigate adsorption of water by mineral dust (Joshi et al., 2017; Ibrahim
111 et al., 2018). Infrared spectra were recorded using a Nicolet 6700 Fourier transformation infrared
112 spectrometer (FTIR, Thermo Nicolet Instrument Corporation), equipped with an in-situ diffuse
113 reflection chamber and a high-sensitivity mercury cadmium telluride (MCT) detector cooled by

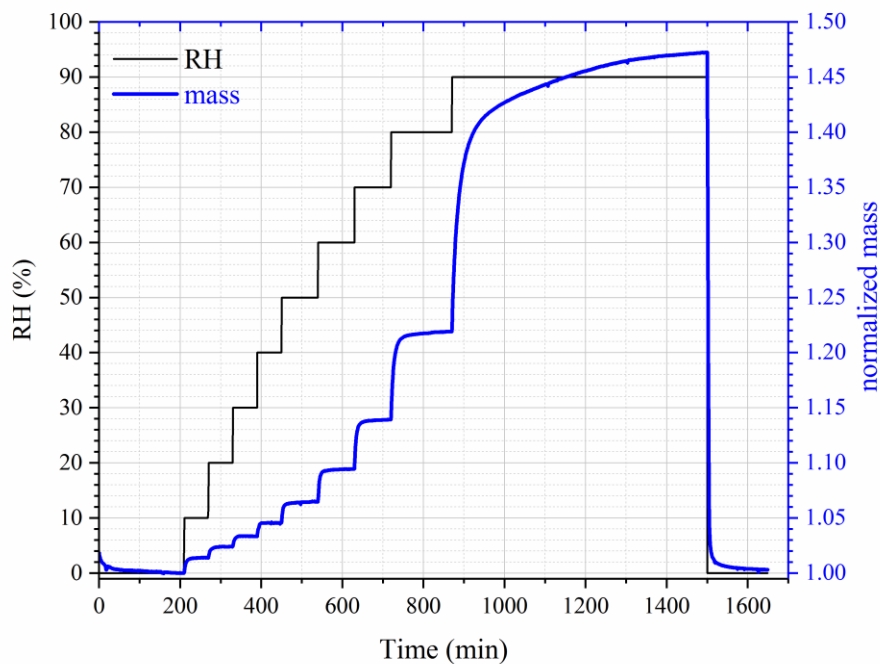
114 liquid nitrogen. A pollen sample (about 10 mg for each sample) under investigation was placed
115 into a ceramic crucible which was located in the in-situ chamber. A dry air flow and a humidified
116 air flow were first mixed and then delivered into the chamber, and the total flow rate was set to
117 200 mL/min (standard condition). Relative humidity (RH) in the chamber could be adjusted by
118 varying the flow rate ratio of the dry flow to the humidified flow, and was monitored online using
119 a moisture meter (CENTER 314) with an absolute uncertainty of $\pm 2\%$. Prior to each experiment,
120 the sample was flushed with dry air for 3 h at 25 °C, and the reference spectrum was recorded after
121 the pretreatment. Infrared spectra were collected and analyzed using OMNIC 6.0 software (Nicolet
122 Corp.). All the spectra reported here were recorded with a wavenumber resolution of 4 cm^{-1} , and
123 100 scans were averaged to produce a spectrum. Water adsorption was equilibrated for at least 30
124 min at each RH to ensure that the equilibrium between water vapor and adsorbed water was
125 reached.

126 Pollen samples used in this work were also characterized using transmission FTIR
127 equipped with a deuterated triglycine sulfate detector (DTGS) detector. Pollen grains and KBr
128 were mixed with a mass ratio of approximately 1:100 and ground in an agate mortar, and the
129 mixture was then pressed into a clear disc. Transmission FTIR was employed to examine these
130 discs, and a pure KBr disc was used as the reference. All the spectra, each of which was the average
131 of 100 scans, were also recorded with a wavenumber resolution of 4 cm^{-1} .

132 **2.2 Vapor sorption analyzer**

133 Hygroscopic growth of pollen grains was further investigated using a vapor sorption
134 analyzer (Q5000 SA, TA Instruments, New Castle, DE, USA) described in our previous work (Gu
135 et al., 2017; Guo et al., 2018; Jia et al., 2018). In brief, this instrument measured the sample mass
136 as a function of RH under isothermal conditions. The instrument can be operated in the temperature

137 range of 5-85 °C with a temperature accuracy of ± 0.1 °C and in the RH range of 0-98 % with an
138 absolute accuracy of $\pm 1\%$. RH in the humidity chamber was regulated by using two mass flow
139 controllers to control the dry and humidified nitrogen flows very precisely. The accuracy in RH
140 control was routinely checked by measuring the DRH values for a series of standard compounds,
141 e.g., NaCl, $(\text{NH}_4)_2\text{SO}_4$, KCl, and etc., and the difference between the measured and theoretical
142 DRH was always $< 1\%$. The mass measurement had a range of 0-100 mg and a sensitivity of ± 0.01
143 μg . The initial mass of each sample used in this work was in the range of 0.5-1 mg. For each of
144 the first three types of pollen species (populus tremuloides, populus deltoides and ragweed pollen),
145 three samples in total were investigated, and each sample was studied under isothermal conditions
146 at 5, 25 and 37 °C. For each of the other three types of pollen species (corn, pecan and paper
147 mulberry pollen), experiments were carried out at 15 °C instead of 5 °C, because the instrument
148 could only be cooled down to 15 °C due to a technical problem after we finished experiments for
149 the first three pollen species.



150

151 **Figure 1.** Change of RH (black curve, left y-axis) and normalized sample mass (blue curve, right
152 y-axis) with time for a typical experiment in which hygroscopic growth of pollen grains was
153 measured. In this figure a dataset for paper mulberry pollen at 25 °C is plotted as an example.
154

155 For the first sample, at each temperature the sample was first dried at 0% RH (the actual
156 RH was measured to be <1%); after that, RH was increased stepwise to 95% with an increment of
157 5% per step and then switched back to <1% to dry the sample again. At each RH, the sample was
158 equilibrated with the environment (i.e. until the sample mass became stable) before RH was
159 changed to the next value, and the sample mass was considered to be stabilized when the mass
160 change was <0.05% within 30 min. Such a measurement at one temperature could take several
161 days. In order to reduce experimental time, the second and third samples were investigated in a
162 similar way as the first sample, except that RH was increased stepwise to 90% with an increment
163 of 10% per step. A typical experimental dataset is displayed in Figure 1 as an example to illustrate
164 the change of RH and normalized sample mass with experimental time.

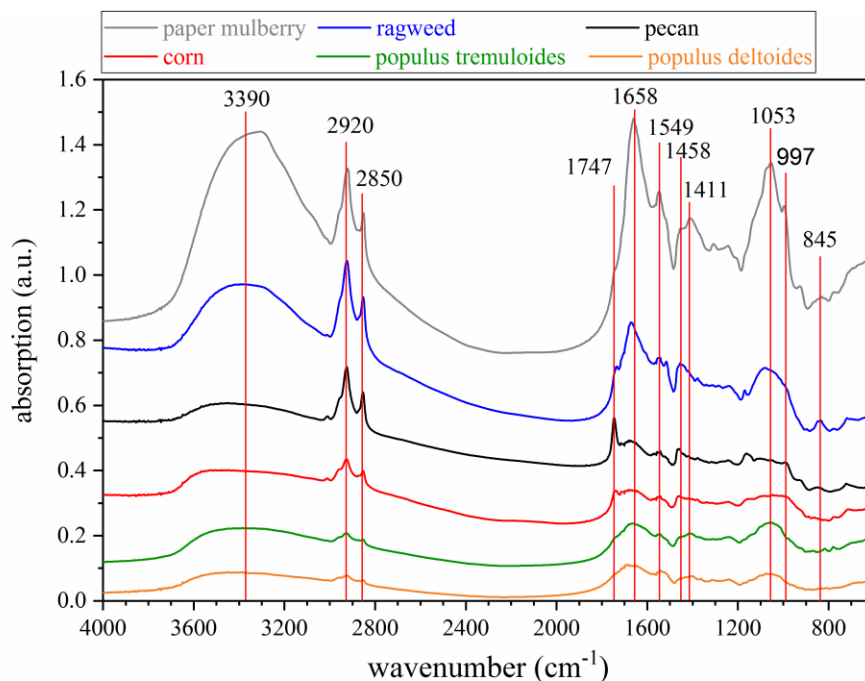
165 **3 Results and discussion**

166 **3.1 FTIR characterization of pollen samples**

167 **3.1.1 Infrared spectra of dry pollen samples**

168 Figure 2 shows the transmission FTIR spectra of the six pollen species investigated in our
169 work, and peak assignments can be found in Table 1. A broad band in the range of 3600-3000 cm⁻¹,
170 attributed to O-H stretching vibration (Stuart, 2004; Pummer et al., 2013), and two sharp peaks at
171 2920 and 2850 cm⁻¹, attributed to C-H stretching (Eliason et al., 2003; Stuart, 2004; Pummer et al.,
172 2013), were observed for all the pollen species. The two peaks at 1747 and 1658 cm⁻¹ were
173 assigned to alkyl ester carbonyls (Pappas et al., 2003; Najera et al., 2009; Pummer et al., 2013),

174 and the two peaks at 1549 and 1458 cm^{-1} (1411 cm^{-1} for paper mulberry pollen) were assigned to
175 C=C stretching and H-C-H deformation (Stuart, 2004; Pummer et al., 2013). In addition, the three
176 peaks at 1053, 997 and 845 cm^{-1} were assigned to C-O stretching, C-C stretching, and C-H out-of-
177 plane bending, respectively (Stuart, 2004; Najera et al., 2009; Pummer et al., 2013).



178
179 **Figure 2.** Transmission FTIR spectra of six pollen species investigated in this work.

180
181 OH groups and C-H groups in organic compounds are generally considered to be
182 hydrophilic and hydrophobic, and one may expect that the amount of OH groups (relative to that
183 of C-H groups) that organic samples contain may affect their hygroscopicity. For example, it was
184 found in many previous studies (Eliason et al., 2003; Asad et al., 2004; Hung et al., 2005; Najera
185 et al., 2009) that heterogeneous reactions of organic materials with O_3 and OH radicals would
186 increase the IR absorption intensity for the O-H stretching mode and decrease the IR absorption
187 intensity for the C-H stretching mode, meanwhile leading to the enhancement in their
188 hygroscopicity. Therefore, in this work we use the intensity ratio of the O-H stretching vibration

189 band (3000-3600 cm^{-1}) to the C-H stretching mode (2920 cm^{-1}) to qualitatively represent the
 190 amount of OH groups pollen samples contain. As shown in Figure 2, the six pollen species
 191 examined in our work can be roughly classified into two catalogues: 1) for populus deltoides,
 192 populus tremuloides and paper mulberry pollen, the O-H stretching vibration band is more
 193 intensive than the C-H stretching mode, indicating that they contain relatively high levels of OH
 194 groups; 2) for ragweed, pecan and corn pollen, the O-H stretching vibration band is less intensive
 195 than the C-H stretching mode, indicating that they contain relatively low levels of OH groups. The
 196 relation between the amount of OH groups that pollen species contain and their hygroscopicity
 197 will be further discussed in Section 3.3.

198

199 **Table 1.** Vibrational mode assignment for six pollen species investigated in this work.

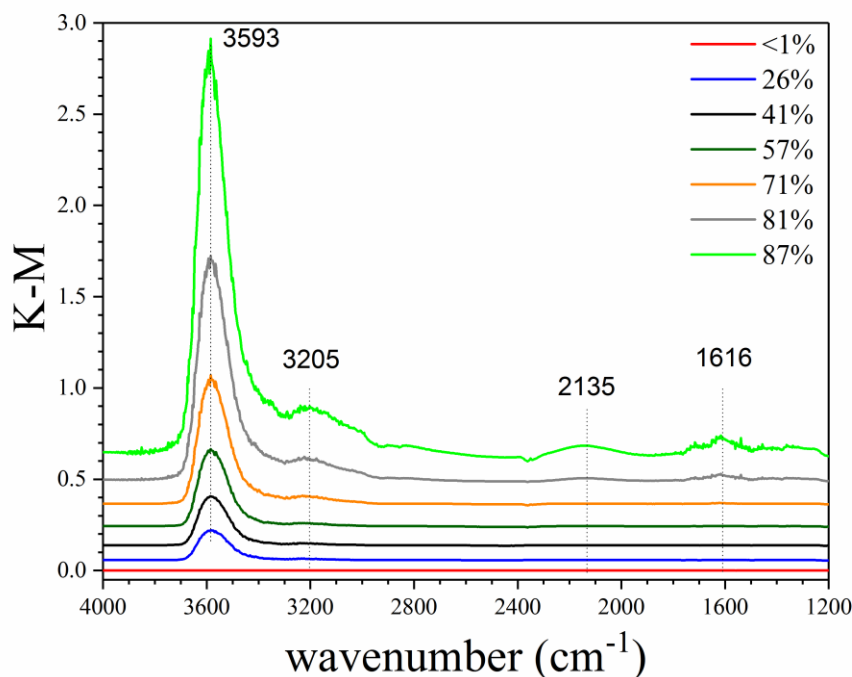
wavenumber (cm^{-1})	vibrational mode
3600-3000	O-H stretching
2920 and 2820	C-H stretching
1747 and 1658	alkyl ester carbonyls
1549	C=C stretching
1458 and 1411	H-C-H deformation
1053	C-O stretching
997	C-C stretching
845	C-H out-of-plane bending

200

201 3.1.2 Infrared spectra of pollen samples at different RH

202 In-situ DRIFTS was employed to explore adsorption of water by pollen grains. Typical
 203 spectra of populus deltoides pollen as a function of RH up to 87%, relative to that at <1% RH,
 204 are displayed in Figure 3. DRIFTS spectra of other pollen samples at different RH can be found in
 205 Figures S1-S5 in the supplement, and are very similar to those for populus deltoides pollen. As

206 evident from Figure 3, several IR peaks (e.g., 3593, 3205, 2135, and 1616 cm^{-1}) appeared in the
 207 spectra at elevated RH, when compared with that at <1% RH, and their intensities increased with
 208 increasing RH. The peaks at 3205, 2135 and 1616 cm^{-1} can be assigned to the stretching,
 209 association and bending modes of adsorbed water (Goodman et al., 2001; Schuttlefield et al.,
 210 2007a; Ma et al., 2010; Hatch et al., 2011; Song and Boily, 2013; Yeşilbaş and Boily, 2016; Joshi
 211 et al., 2017; Ibrahim et al., 2018).



212
 213 **Figure 3.** In-situ DRIFTS spectra of populus deltoides pollen as a function of RH (<1, 26, 41, 57,
 214 71, 81 and 87%) at 25 °C.

215
 216 The peak at $\sim 3600 \text{ cm}^{-1}$ was the most intensive one observed in the spectra, as shown in
 217 Figure 3. For comparison, the IR peaks assigned to the stretching mode of adsorbed water on
 218 mineral dust and NaCl appeared at lower wavenumbers, typically at around or lower than 3400
 219 cm^{-1} (Schuttlefield et al., 2007a; Ma et al., 2010; Tang et al., 2016; Ibrahim et al., 2018). As a
 220 result, the peak at $\sim 3600 \text{ cm}^{-1}$ may be assigned to the asymmetric stretching mode of water which

221 interacted with OH groups in pollen samples (Iwamoto et al., 2003). These results imply that water
 222 adsorption by pollen samples **could be** mainly contributed by OH groups of organic compounds
 223 they contained; **in addition, other factors, such as porosity and internal structure, may also be**
 224 **important for hygroscopic properties of pollen grains.** The intensities of IR peaks at $\sim 3600\text{ cm}^{-1}$
 225 were used to represent the amount of water adsorbed by pollen samples. Table 2 summarizes
 226 integrated areas of IR peaks at 3600 cm^{-1} as a function of RH for the six pollen species examined
 227 in our work, suggesting that the amount of adsorbed water by pollen samples increased with RH.

228
 229 **Table 2.** Integrated areas of IR peaks (at $\sim 3600\text{ cm}^{-1}$) of adsorbed water as a function of RH for
 230 the six pollen species investigated in this work. Wavenumber ranges used for integration are 3750-
 231 3300 cm^{-1} for populus deltoides pollen, 3750-3350 cm^{-1} for populus tremuloides pollen, 3750-
 232 3400 cm^{-1} for ragweed pollen, 3750-3500 cm^{-1} for corn pollen, 3750-3450 cm^{-1} for pecan pollen,
 233 and 3750-3300 cm^{-1} for paper mulberry pollen.

RH (%)	peak area	RH (%)	peak area	RH (%)	peak area
populus deltoides		populus tremuloides		ragweed	
0	0	0	0	0	0
26	22.7	24	5.5	26	10.1
41	36.9	41	16.4	42	18.9
57	57.4	56	35.4	50	24.5
71	93.6	70	66.5	56	30.2
79	137.6	78	91.2	69	49.7
81	164.7	87	156.9	88	104.6
87	293.1				
corn		pecan		paper mulberry	
0	0	0	0	0	0
26	10.0	26	8.6	26	10.2
42	21.5	43	16.9	43	17.7
58	41.9	58	29.5	51	23.1

73	87.5	73	60.0	59	29.8
89	222.2	89	338.9	71	46.7
				86	105.1

234

235 3.2 Mass hygroscopic growth

236 3.2.1 Hygroscopicity parameterizations

237 The single hygroscopicity parameter, κ , is widely used to describe the hygroscopicity of
 238 aerosol particles under both subsaturation and supersaturation (Petters and Kreidenweis, 2007).
 239 When the Kelvin effect is negligible (this is valid for pollen grains which are typically $>1 \mu\text{m}$), the
 240 dependence of diameter-based growth factor (GF) on RH can be linked to κ via Eq. (1) (Petters
 241 and Kreidenweis, 2007; Tang et al., 2016):

$$242 \quad RH = \frac{GF^3 - 1}{GF^3 - 1 + \kappa} \quad (1)$$

243 If we further assume that the particle is spherical, Eq. (1) can be transformed to Eq. (2):

$$244 \quad \frac{1}{RH} = 1 + \frac{\kappa}{GF^3 - 1} = 1 + \frac{\kappa}{\frac{V}{V_0} - 1} = 1 + \kappa \frac{V_0}{V - V_0} = 1 + \kappa \frac{V_0}{V_w} \quad (2)$$

245 where V , V_0 and V_w are the volumes of the particle at the given RH, the dry particle and water
 246 associated with the particle at the given RH. In order for Eq. (2) to be valid, it is also assumed that
 247 at a given RH, V is equal to the sum of V_0 and V_w . Eq. (2) can be further transformed to Eqs. (3-4):

$$248 \quad \frac{1}{RH} = 1 + \kappa \frac{\rho_w m_0}{\rho_p m_w} \quad (3)$$

$$249 \quad \frac{m_w}{m_0} = \kappa \cdot \frac{\rho_w}{\rho_p} / \left(\frac{1}{RH} - 1 \right) \quad (4)$$

250 where ρ_w and ρ_p are the density of water and the dry particle, and m_0 and m_w are the mass of the
 251 dry particle and water associated with the particle at the given RH. Since the particle mass, m , is
 252 equal to the sum of m_0 and m_w , Eq. (5) can be derived from Eq. (4):

253
$$\frac{m}{m_0} = 1 + \kappa \frac{\rho_w}{\rho_p} / \left(\frac{1}{RH} - 1 \right) \quad (5)$$

254 Using an electrodynamic balance, Pope and co-workers (Pope, 2010; Griffiths et al., 2012)
255 measured hygroscopic growth of eight types of pollen grains, and found that their mass change
256 with RH can be approximated by Eq. (5). It should be noted that the original equation derived by
257 Pope and co-workers (Pope, 2010; Griffiths et al., 2012) has a different format from but is
258 essentially equivalent to Eq. (5). Eq. (5) relates mass growth experimentally measured in our work
259 to the single hygroscopicity parameter (κ), which has been widely used in atmospheric science to
260 describe hygroscopic properties of aerosol particles under subsaturation as well as their CCN
261 activities under supersaturation; nevertheless, a few assumptions are needed to derive Eq. (5), as
262 discussed.

263 The Freundlich adsorption isotherm is another widely used equation to describe the change
264 of sample mass with RH due to water uptake (Atkins, 1998; Skopp, 2009; Hatch et al., 2011; Tang
265 et al., 2016):

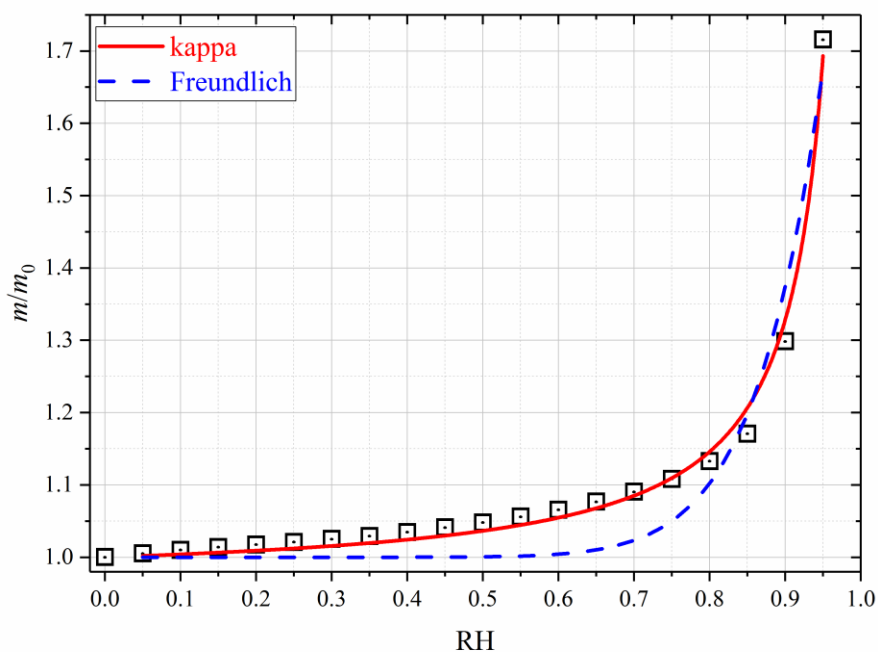
266
$$\frac{m}{m_0} = 1 + A_f \cdot \sqrt[B_f]{RH} \quad (6)$$

267 where A_f and B_f are empirical Freundlich constants related to the adsorption capacity and strength.
268 One advantage of the Freundlich adsorption isotherm is that it provides a direct relationship
269 between RH and mass growth which was experimentally measured in our work, without any
270 additional assumptions. In addition, the BET (Brunauer-Emmett-Teller) adsorption isotherm is
271 also widely used to describe water adsorption by insoluble solid particles (Brunauer et al., 1938;
272 Goodman et al., 2001; Henson, 2007; Ma et al., 2010; Tang et al., 2016; Joshi et al., 2017). While
273 the BET adsorption isotherm typically works well for water adsorption of a few monolayers, the
274 mass of adsorbed water, as shown in Section 3.2.2, can reach up to 50% of the dry pollen mass at
275 high RH; therefore, in this work we did not attempt to use the BET adsorption isotherm to describe

276 water adsorption by pollen grains. Another reason that we did not attempt to use the BET
277 adsorption isotherm is that the BET adsorption isotherm is mathematically more complex and
278 requires the BET surface area to be known.

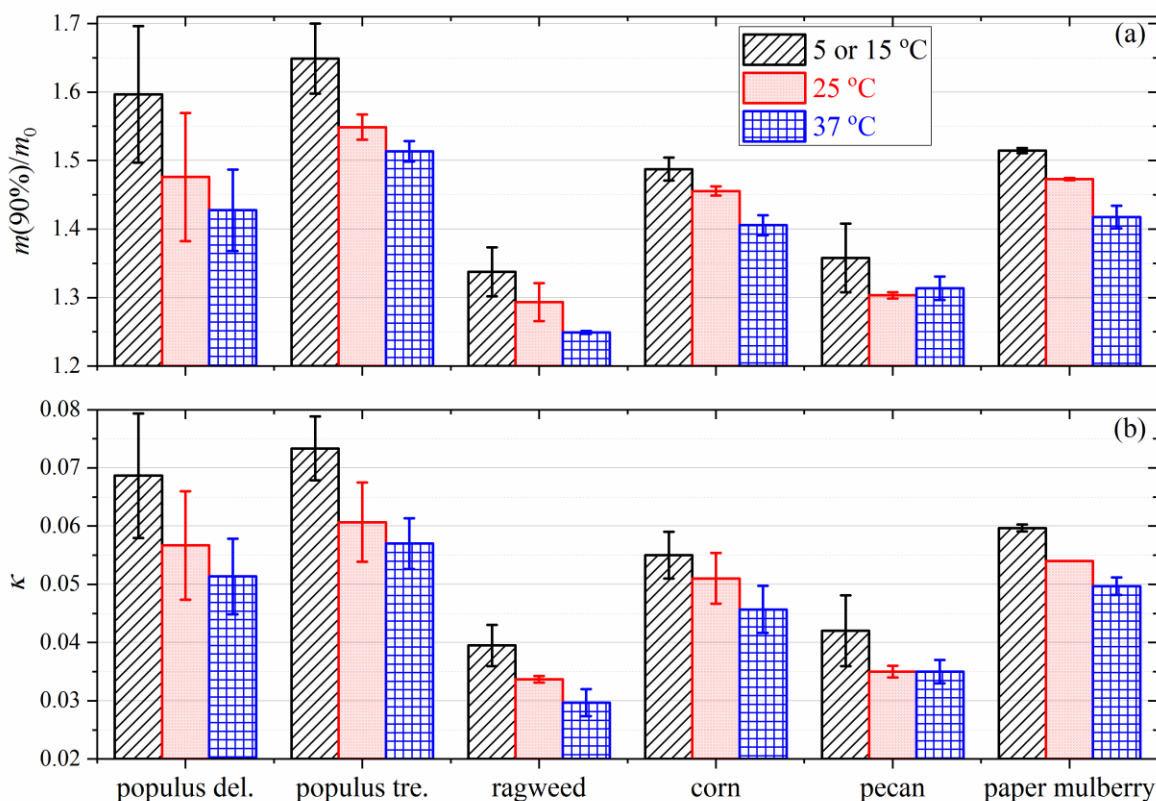
279 3.2.2 Mass hygroscopic growth at room temperature

280 Figure 4 displays the sample mass (normalized to that at 0% RH) as a function of RH for
281 pecan pollen at 25 °C. Significant increase in sample mass was observed at elevated RH due to
282 uptake of water. Compared to that at 0% RH, the sample mass increased by $(2.3\pm 0.3)\%$ at 30%
283 RH, $(6.4\pm 0.2)\%$ at 60% RH, $(30.3\pm 0.4)\%$ at 90% RH, and up to $\sim 72\%$ at 95% RH. As shown by
284 the data compiled in Tables S1-S3 in the supplement, substantial increases in sample mass were
285 also observed for the other five types of pollen species at 25 °C (as well as 5 and 37 °C).



286
287 **Figure 4.** Measured change of sample mass (normalized to that at dry conditions, i.e. m/m_0) of
288 pecan pollen as a function of RH (0-0.95) at 25 °C. The experimental data are fitted with the
289 modified κ -Köhler equation (solid red curve) and the Freundlich adsorption isotherm (dashed blue
290 curve).

291
 292 Hygroscopic properties exhibited considerable variations among different pollen species.
 293 Figure 5a compares the measured ratios of sample mass at 90% RH to that at 0% RH, $m(90\%)/m_0$,
 294 for the six pollen species investigated in this work. We specifically discuss mass changes of pollen
 295 grains at 90% RH (relative to that at 0% RH) because aerosol hygroscopic growth at 90% RH was
 296 widely reported by laboratory and field studies (Kreidenweis and Asa-Awuku, 2014). As shown
 297 in Figure 5a, $m(90\%)/m_0$ determined at 25 °C ranged from 1.293 ± 0.028 (ragweed pollen) to
 298 1.476 ± 0.094 (populus deltoides pollen), i.e. the amount of water adsorbed/absorbed by the six
 299 different pollen species at 90% RH varied between ~30% to ~50% of the dry mass.



300
 301 **Figure 5.** Measured ratios of sample mass at 90% RH to that at 0% RH (a) and derived κ values
 302 (b) for six pollen species at different temperatures. The lowest temperatures were 5 °C for populus

303 deltooides (populus del.), populus tremuloides (populus tre.) and ragweed pollen, and 15 °C for corn,
304 pecan and paper mulberry pollen.

305
306 As shown in Figure 4, the increase of pecan pollen mass with RH at 25 °C could be
307 satisfactorily described by the modified κ -Köhler equation for the entire RH range (up to 95%).
308 On the contrary, the Freundlich adsorption isotherm significantly underestimated the sample mass
309 at low RH, although it represented the experimental data at high RH reasonably well. In addition,
310 we found that the modified κ -Köhler equation could also approximate the dependence of sample
311 mass on RH for all the six types of pollen species investigated in this work at different temperatures.
312 If we use Eq. (5) to fit m/m_0 against RH, $\kappa \cdot \rho_w / \rho_p$ can be derived. The bulk densities of dry pollen
313 grains were found to vary with species but typically fall into the range of 0.5-2 g cm⁻³ (Harrington
314 and Metzger, 1963; Hirose and Osada, 2016), and for simplicity ρ_p was assumed to be 1 g cm⁻³ in
315 this work (i.e. ρ_w / ρ_p is equal to 1). With the assumptions on dry particle density and also particle
316 sphericity, κ could then be derived from the measured RH-dependent sample mass at a given
317 temperature.

318 Table 3 summarizes the average κ values at different temperatures for the six pollen species
319 investigated in this work. At 25 °C, the κ values were found to increase from 0.034±0.001 for
320 ragweed pollen to 0.061±0.007 for populus tremuloides pollen, varied by almost a factor of 2. The
321 κ values measured by Pope and co-workers (Pope, 2010; Griffiths et al., 2012) were approximately
322 in the range of 0.05-0.11 (assuming that ρ_w / ρ_p is equal to 1), in reasonably good agreement with
323 these reported in our work. It should be noted that in order to convert the measured mass growth
324 to diameter growth and κ values, one key assumption is particle sphericity; nevertheless, pollen
325 grains are known to be non-spherical and porous, and therefore our derived κ values might be

326 smaller than the actual values. For example, although the mass increase was substantial (around
 327 30-50 % at 90% RH) for the six pollen species examined, their κ values at 25 °C were derived to
 328 be in the range of 0.034-0.061, significantly smaller than those (0.1-0.2) for typical secondary
 329 organic aerosols produced in smog chamber studies (Petters and Kreidenweis, 2007; Kreidenweis
 330 and Asa-Awuku, 2014).

331
 332 **Table 3.** Single hygroscopicity parameters (κ) derived in this work for the six pollen species at
 333 different temperatures. All the errors given in this work are standard deviations.

pollen type	T (°C)	sample 1	sample 2	sample 3	average
populus	5	0.071±0.001	0.078±0.001	0.057±0.002	0.069±0.011
deltoides	25	0.054±0.001	0.067±0.002	0.049±0.002	0.057±0.009
	37	0.058±0.002	0.051±0.001	0.045±0.002	0.051±0.007
populus	5	0.068±0.001	0.073±0.001	0.079±0.001	0.073±0.006
tremuloides	25	0.053±0.002	0.063±0.002	0.066±0.002	0.061±0.007
	37	0.052±0.002	0.059±0.002	0.060±0.002	0.057±0.004
ragweed	5	0.042±0.001	0.037±0.002	--	0.040±0.004
	25	0.033±0.002	0.034±0.003	0.034±0.002	0.034±0.001
	37	0.027±0.001	0.031±0.002	0.031±0.002	0.030±0.002
corn	15	0.051±0.001	0.059±0.002	0.055±0.002	0.055±0.004
	25	0.046±0.002	0.053±0.002	0.054±0.002	0.051±0.004
	37	0.041±0.002	0.048±0.002	0.048±0.002	0.046±0.004
pecan	15	0.049±0.001	0.038±0.001	0.039±0.001	0.042±0.006
	25	0.036±0.001	0.034±0.001	0.035±0.001	0.035±0.001
	37	0.033±0.001	0.035±0.002	0.037±0.001	0.035±0.002
paper	15	0.059±0.002	0.060±0.002	0.060±0.002	0.060±0.001
mulberry	25	0.054±0.001	0.054±0.001	0.054±0.001	0.054±0.001
	37	0.048±0.002	0.050±0.002	0.051±0.002	0.050±0.002

334

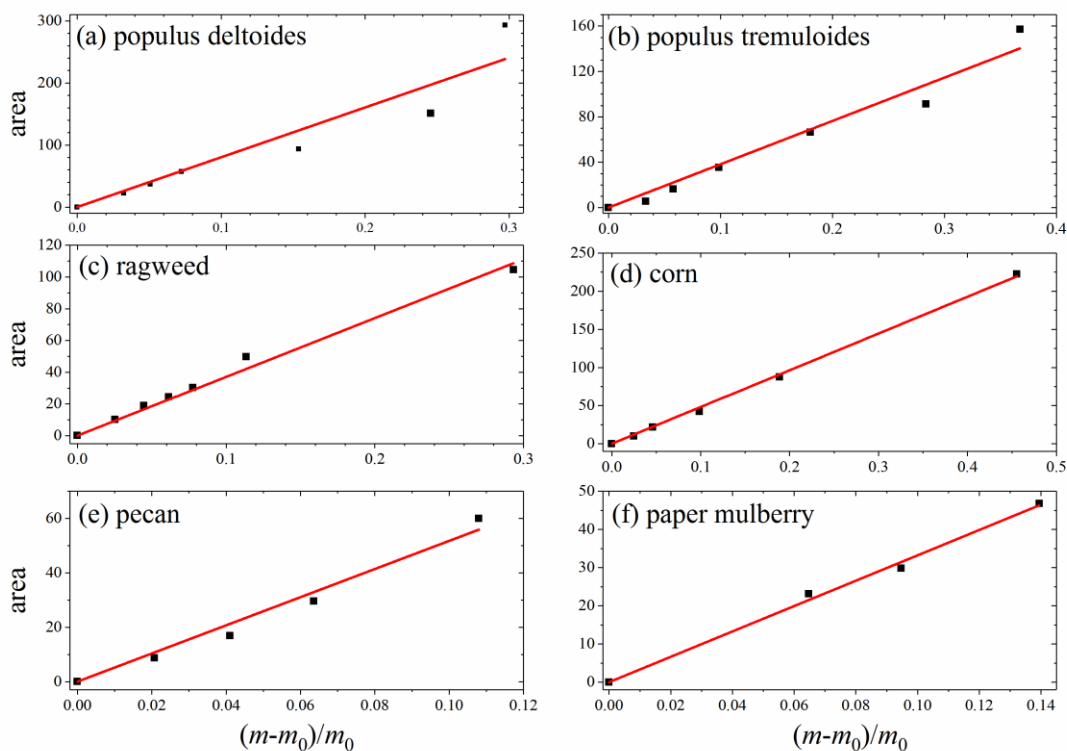
335 **3.3 Discussion**

336 **3.3.1 Reconciliation between IR and VSA results**

337 Our in-situ DRIFTS measurements, as discussed in Section 3.1.2, suggested that water
338 uptake by pollen samples was mainly contributed by OH groups of organic compounds they
339 contained; therefore, one may expect that pollen species which contain higher levels of OH groups
340 would exhibit higher hygroscopicity. Transmission FTIR characterization of pollen species
341 (Section 3.1.1) showed that populus deltoides, populus tremuloides and paper mulberry pollen
342 contained relatively high levels of OH groups, and indeed their hygroscopicity (κ : 0.053-0.054 at
343 25 °C) was higher than the other three pollen species, as shown in Figure 5 and Table 3. For
344 comparison, ragweed and pecan pollen contained relatively low levels of OH groups and
345 correspondingly exhibited lower hygroscopicity (κ : 0.033-0.036 at 25 °C). Corn pollen appeared
346 to be an exception: it contained relatively low levels of OH group but displayed medium
347 hygroscopicity (κ : ~0.046 at 25 °C). As a result, our results may imply that in addition to chemical
348 composition, other physicochemical properties, such as porosity and internal structure of pollen
349 grains, could also play an important role in determining the hygroscopicity of pollen species. One
350 clue came from environmental scanning electron microscopy observations (Pope, 2010), revealing
351 that pollen grains started to swell internally before significant water uptake on the surface took
352 place.

353 In our work two complementary techniques were employed to explore hygroscopic
354 properties of pollen species. VSA measured the amount of water absorbed/adsorbed by pollen
355 grains as a function of RH in a quantitative manner, whereas the intensities of IR peaks of adsorbed
356 water at different RH, as characterized by DRIFTS, can be used semi-quantitatively to represent
357 the amount of water associated with particles (Goodman et al., 2001; Schuttlefield et al., 2007b;

358 Ma et al., 2010; Yeşilbaş and Boily, 2016; Joshi et al., 2017; Ibrahim et al., 2018). We compare
 359 our VSA results (i.e. the relative mass change due to water uptake) to the DRIFTS results (i.e.
 360 integrated area of IR peaks at $\sim 3600\text{ cm}^{-1}$). As shown in Figure 6, good correlations between VSA
 361 and DRIFTS results are found for all the six pollen species, suggesting that DRIFTS can be used
 362 to represent the amount of adsorbed water, at least in a semi-quantitative manner.



363
 364 **Figure 6.** Integrated areas of IR peaks at $\sim 3600\text{ cm}^{-1}$ versus relative mass increase due to water
 365 uptake, $(m-m_0)/m_0$, for six pollen species: (a) populus deltoides; (b) populus tremuloides; (c)
 366 ragweed; (d) corn; (e) pecan; (f) paper mulberry.

367
 368 **3.3.2 Effect of temperature**

369 Figure 5a shows the comparison of the measured ratios of sample mass at 90% RH to that
 370 at 0% RH, $m(90\%)/m_0$, at different temperatures for the six pollen species. It can be concluded

371 from Figure 5a that except for pecan pollen for which a small increase in $m(90\%)/m_0$ occurred
372 when temperature increased from 25 to 37 °C, increase in temperature would lead to small but
373 nevertheless significant decrease in $m(90\%)/m_0$. For example, $m(90\%)/m_0$ decreased from
374 1.597 ± 0.100 at 5 °C to 1.476 ± 0.094 at 25 °C and to 1.427 ± 0.060 at 37 °C for populus deltoides
375 pollen, and from 1.338 ± 0.036 at 5 °C to 1.293 ± 0.028 at 25 °C and to 1.249 ± 0.002 at 37 °C for
376 ragweed pollen.

377 We further derived κ values at different temperatures for the six pollen species, and the
378 results are plotted in Figure 5b and summarized in Table 3. Increase in temperature would lead to
379 decrease in κ values, except for pecan pollen. For example, κ decreased from 0.073 ± 0.006 at 5 °C
380 to 0.057 ± 0.004 at 37 °C for populus tremuloides pollen, and decreased from 0.060 ± 0.001 at 15 °C
381 to 0.050 ± 0.002 at 37 °C for paper mulberry pollen.

382 **4 Conclusion and implications**

383 Pollen grains are one of the most abundant types of primary biological aerosol particles in
384 the troposphere and play important roles in many aspects of the Earth system. Hygroscopicity is
385 among the most important physicochemical properties of pollen grains and largely affect their
386 environmental, health and climatic impacts. However, our knowledge in their hygroscopicity is
387 still quite limited, and especially the temperature effect has been rarely explored.

388 In this work we investigated hygroscopic properties of six types of pollen species as a
389 function of RH (up to 95%) at 5 (or 15), 25 and 37 °C. Substantial increase in pollen mass was
390 observed at elevated RH due to water uptake for all the six pollen species. Therefore, change in
391 the mass of pollen grains and their aerodynamic properties at different RH should be taken into
392 account to better understand their transport and deposition in the troposphere. It was found that the
393 mass hygroscopic growth of pollen grains can be well approximated by the modified κ -Köhler

394 **equation**. The derived κ values at 25 °C ranged from 0.034 ± 0.001 to 0.061 ± 0.007 , varying with
395 pollen species. DRIFTS measurements indicated that water adsorption by pollen species were
396 mainly contributed by OH groups of organic compounds contained by pollen grains, and indeed
397 pollen species that contained lower levels of OH groups (relative to C-H groups, as determined
398 using transmission FTIR) showed lower hygroscopicity. One exception was corn pollen which
399 contained low levels of OH group but exhibited medium hygroscopicity, suggesting that in
400 addition to chemical composition, other physicochemical properties, such as porosity and internal
401 structure, might also play an important role in determining the hygroscopicity of pollen grains.
402 Due to their moderate hygroscopicity as well as large sizes, pollen grains can thus act as efficient
403 giant CCN which may have significant impacts on cloud and precipitation (Johnson, 1982;
404 Feingold et al., 1999; Yin et al., 2000; Posselt and Lohmann, 2008). It is worth noting that only
405 six different pollen species were examined in our work, and hygroscopic properties of other pollen
406 species commonly found in the troposphere should be further investigated.

407 The effect of temperature on the hygroscopicity of pollen grains was systematically
408 investigated in this work. Increase in temperature (from 5 or 15 °C to 25 and 37 °C), a range
409 covering chilling temperature to physiological temperature, led to small but detectable decrease in
410 pollen hygroscopicity. For example, κ values were found to decrease from 0.073 ± 0.006 at 5 °C to
411 0.061 ± 0.007 at 25 °C and to 0.057 ± 0.004 at 37 °C for populus tremuloides pollen, and decrease
412 from 0.060 ± 0.001 at 15 °C to 0.054 ± 0.001 at 25 °C to 0.050 ± 0.002 at 37 °C for paper mulberry
413 pollen. Our measurements at 37 °C (physiological temperature) provide very valuable parameters
414 which can be used in numerical models to better understand the transport and deposition of pollen
415 particles in the respiratory system and thus their impacts on human health (Yeh et al., 1996; Broday
416 and Georgopoulos, 2001; Park and Wexler, 2008; Lambert et al., 2011; Longest and Holbrook,

417 2012; Tong et al., 2014). Nevertheless, it should be noted that due to the short residence time in
418 the respiratory system, pollen grains and other inhaled particles in general, may not reach
419 equilibrium with water vapor in the respiratory tract.

420 Due to technical challenges, the lowest temperature we could reach in this work was 5 °C,
421 in the range of normal chilling temperatures for vegetative species and also in the expected
422 temperature range at the altitudes of 0.5-2.0 km to which pollen grains can be easily transported.
423 Temperatures in the upper troposphere can be as low as below -70 °C, and it is yet to be explored
424 whether further decrease in temperature to far below 0 °C will lead to large increase in pollen
425 hygroscopicity. As a result, experimental measurements of pollen hygroscopicity at lower
426 temperatures are warranted and would significantly help better understand the transport of pollen
427 grains in the troposphere. Since water vapor has to be adsorbed or condensed on ice nucleating
428 particles before heterogeneous ice nucleation can take place (Laaksonen et al., 2016), knowledge
429 in hygroscopicity and water uptake at temperatures below 0 °C would provide fundamental insights
430 into atmospheric ice nucleation, in which pollen grains may play an important role (Pratt et al.,
431 2009; Prenni et al., 2009; Hoose et al., 2010; Pöschl et al., 2010; Murray et al., 2012; Creamean et
432 al., 2013; Tang et al., 2018).

433 **Author contribution**

434 MT, QM and YJL designed the research; WG, CZ, SL and XY did the measurements; MT,
435 QM, YJL and RJH analyzed the results; MT, QM, YJL and RJH wrote the manuscript with
436 contribution from all the co-authors.

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630

1 Supplements of

2 **Water adsorption and hygroscopic growth of six anemophilous pollen species: the**
3 **effect of temperature**

4

5 * Correspondence: Mingjin Tang (mingjintang@gig.ac.cn)

6

7 **Table S1.** Sample mass (normalized to that at 0% RH) as a function of RH at three different
8 temperatures (37, 25 and 5 °C) for populus deltoides pollen and populus tremuloides pollen. All
9 the errors ($\pm 1 \sigma$) are statistical only.

RH (%)	populus deltoides			populus tremuloides		
	37 °C	25 °C	5 °C	37 °C	25 °C	5 °C
0 ^a	1.000	1.000	1.000	1.000	1.000	1.000
5 ^b	1.008	1.008	1.007	1.004	1.006	1.001
10	1.014 \pm 0.001	1.013 \pm 0.002	1.012 \pm 0.004	1.010 \pm 0.011	1.008 \pm 0.004	1.002 \pm 0.001
15 ^b	1.020	1.021	1.020	1.016	1.019	1.006
20	1.024 \pm 0.002	1.024 \pm 0.004	1.024 \pm 0.006	1.022 \pm 0.002	1.021 \pm 0.004	1.010 \pm 0.004
25 ^b	1.030	1.032	1.033	1.035	1.033	1.016
30	1.035 \pm 0.001	1.035 \pm 0.003	1.036 \pm 0.008	1.038 \pm 0.005	1.037 \pm 0.003	1.019 \pm 0.004
35 ^b	1.042	1.044	1.048	1.054	1.049	1.024
40	1.050 \pm 0.003	1.051 \pm 0.001	1.057 \pm 0.004	1.058 \pm 0.007	1.058 \pm 0.002	1.044 \pm 0.009
45 ^b	1.057	1.059	1.074	1.079	1.070	1.041
50	1.070 \pm 0.008	1.072 \pm 0.006	1.085 \pm 0.006	1.084 \pm 0.009	1.085 \pm 0.002	1.085 \pm 0.008
55 ^b	1.077	1.079	1.108	1.112	1.099	1.110
60	1.098 \pm 0.014	1.104 \pm 0.015	1.123 \pm 0.013	1.121 \pm 0.011	1.122 \pm 0.004	1.126 \pm 0.009
65 ^b	1.109	1.110	1.156	1.159	1.144	1.159
70	1.144 \pm 0.022	1.154 \pm 0.025	1.181 \pm 0.021	1.176 \pm 0.012	1.181 \pm 0.005	1.190 \pm 0.010
75 ^b	1.164	1.170	1.233	1.231	1.218	1.238
80	1.226 \pm 0.033	1.246 \pm 0.041	1.288 \pm 0.038	1.273 \pm 0.012	1.284 \pm 0.007	1.308 \pm 0.012
85 ^b	1.276	1.297	1.401	1.373	1.368	1.405
90	1.427 \pm 0.060	1.476 \pm 0.094	1.597 \pm 0.100	1.514 \pm 0.015	1.549 \pm 0.018	1.649 \pm 0.051
95 ^b	1.986 \pm	2.045	2.340	1.872	1.923	2.267

10 a: Sample mass was normalized to that at 0% RH, and therefore the uncertainty for the data at 0% RH was
11 not reported.

12 b: Only one measurement was carried out at each of these RH, and therefore the uncertainties were not
13 reported.

14

15 **Table S2.** Sample mass (normalized to that at 0% RH) as a function of RH at different temperatures
 16 for ragweed pollen (37, 25 and 5 °C) and corn pollen (37, 25, and 15 °C). All the errors ($\pm 1 \sigma$) are
 17 statistical only.

RH (%)	ragweed			corn		
	37 °C	25 °C	5 °C	37 °C	25 °C	15 °C
0 ^a	1.000	1.000	1.000	1.000	1.000	1.000
5 ^b	1.006	1.005	1.003	1.001	1.004	1.000
10	1.011 \pm 0.002	1.011 \pm 0.002	1.012 \pm 0.007	1.005 \pm 0.002	1.009 \pm 0.001	1.002 \pm 0.001
15 ^b	1.016	1.015	1.011	1.006	1.013	1.002
20	1.020 \pm 0.003	1.021 \pm 0.005	1.021 \pm 0.008	1.015 \pm 0.003	1.018 \pm 0.001	1.011 \pm 0.006
25 ^b	1.026	1.025	1.020	1.018	1.025	1.009
30	1.029 \pm 0.003	1.031 \pm 0.005	1.030 \pm 0.006	1.028 \pm 0.003	1.030 \pm 0.002	1.025 \pm 0.007
35 ^b	1.036	1.039	1.036	1.035	1.041	1.029
40	1.040 \pm 0.002	1.045 \pm 0.006	1.043 \pm 0.001	1.047 \pm 0.002	1.046 \pm 0.003	1.048 \pm 0.010
45 ^b	1.048	1.056	1.055	1.061	1.058	1.047
50	1.053 \pm 0.002	1.061 \pm 0.007	1.060 \pm 0.009	1.072 \pm 0.004	1.067 \pm 0.002	1.076 \pm 0.006
55 ^b	1.064	1.078	1.080	1.093	1.083	1.081
60	1.074 \pm 0.001	1.082 \pm 0.009	1.083 \pm 0.017	1.105 \pm 0.006	1.099 \pm 0.002	1.112 \pm 0.005
65 ^b	1.086	1.108	1.113	1.134	1.121	1.132
70	1.100 \pm 0.002	1.114 \pm 0.012	1.115 \pm 0.015	1.152 \pm 0.008	1.147 \pm 0.001	1.161 \pm 0.012
75 ^b	1.119	1.151	1.156	1.195	1.189	1.199
80	1.148 \pm 0.002	1.167 \pm 0.014	1.177 \pm 0.024	1.231 \pm 0.010	1.243 \pm 0.002	1.253 \pm 0.013
85 ^b	1.188	1.234	1.253	1.311	1.318	1.332
90	1.249 \pm 0.002	1.293 \pm 0.028	1.338 \pm 0.036	1.406 \pm 0.015	1.456 \pm 0.007	1.488 \pm 0.017
95 ^b	1.473	1.566	1.776	1.691	1.813	1.920

18 a: Sample mass was normalized to that at 0% RH, and therefore the uncertainty for the data at 0% RH was
 19 not reported.

20 b: Only one measurement was carried out at each of these RH, and therefore the uncertainties were not
 21 reported.

22

23 **Table S3.** Sample mass (normalized to that at 0% RH) as a function of RH at different temperatures
 24 (37, 25, and 5 °C) for pecan pollen and paper mulberry pollen. All the errors ($\pm 1 \sigma$) are statistical
 25 only.

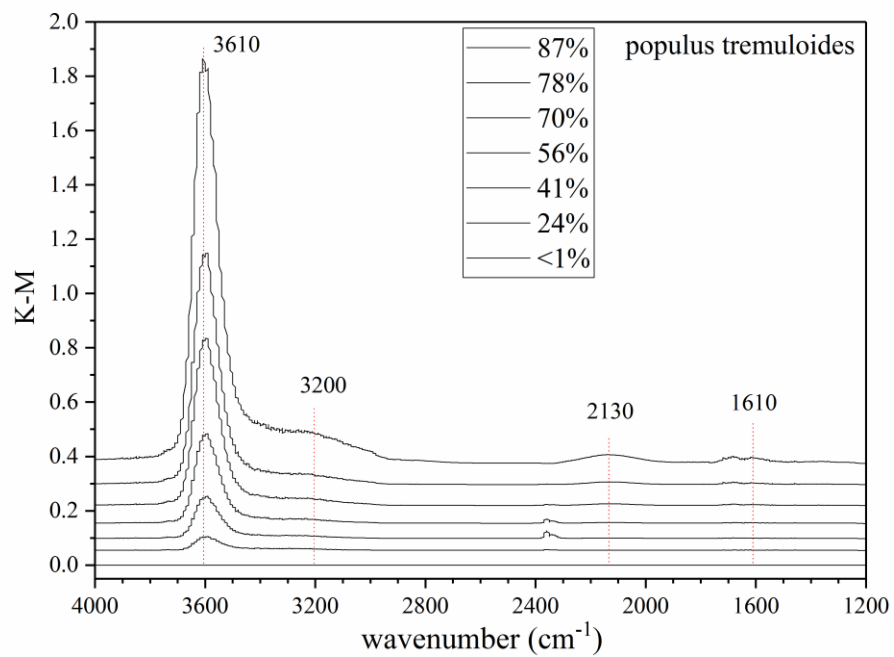
RH (%)	pecan			paper mulberry		
	37 °C	25 °C	15 °C	37 °C	25 °C	15 °C
0 ^a	1.000	1.000	1.000	1.000	1.000	1.000
5 ^b	1.003	1.005	1.002			
10	1.008 \pm 0.002	1.008 \pm 0.004	1.004 \pm 0.003	1.011 \pm 0.001	1.014 \pm 0.001	1.013 \pm 0.001
15 ^b	1.011	1.014	1.008			
20	1.016 \pm 0.001	1.015 \pm 0.004	1.015 \pm 0.001	1.021 \pm 0.001	1.024 \pm 0.001	1.024 \pm 0.001
25 ^b	1.020	1.021	1.021			
30	1.026 \pm 0.002	1.023 \pm 0.003	1.027 \pm 0.001	1.033 \pm 0.001	1.034 \pm 0.001	1.037 \pm 0.001
35 ^b	1.031	1.029	1.035			
40	1.038 \pm 0.002	1.032 \pm 0.003	1.041 \pm 0.003	1.050 \pm 0.001	1.046 \pm 0.001	1.054 \pm 0.001
45 ^b	1.047	1.041	1.052			
50	1.054 \pm 0.002	1.046 \pm 0.003	1.058 \pm 0.005	1.073 \pm 0.001	1.065 \pm 0.001	1.077 \pm 0.001
55 ^b	1.067	1.056	1.075			
60	1.076 \pm 0.004	1.064 \pm 0.002	1.081 \pm 0.009	1.103 \pm 0.001	1.095 \pm 0.001	1.111 \pm 0.001
65 ^b	1.096	1.077	1.108			
70	1.108 \pm 0.007	1.089 \pm 0.002	1.116 \pm 0.013	1.148 \pm 0.004	1.139 \pm 0.001	1.163 \pm 0.001
75 ^b	1.141	1.108	1.161			
80	1.161 \pm 0.013	1.132 \pm 0.002	1.179 \pm 0.022	1.226 \pm 0.004	1.221 \pm 0.003	1.255 \pm 0.001
85 ^b	1.230	1.171	1.275			
90	1.314 \pm 0.017	1.303 \pm 0.004	1.358 \pm 0.050	1.418 \pm 0.017	1.473 \pm 0.001	1.515 \pm 0.001
95 ^b	1.574	1.716	1.927			

26 a: Sample mass was normalized to that at 0% RH, and therefore the uncertainty for the data at 0% RH was
 27 not reported.

28 b: Only one measurement was carried out at each of these RH, and therefore the uncertainties were not
 29 reported.

30

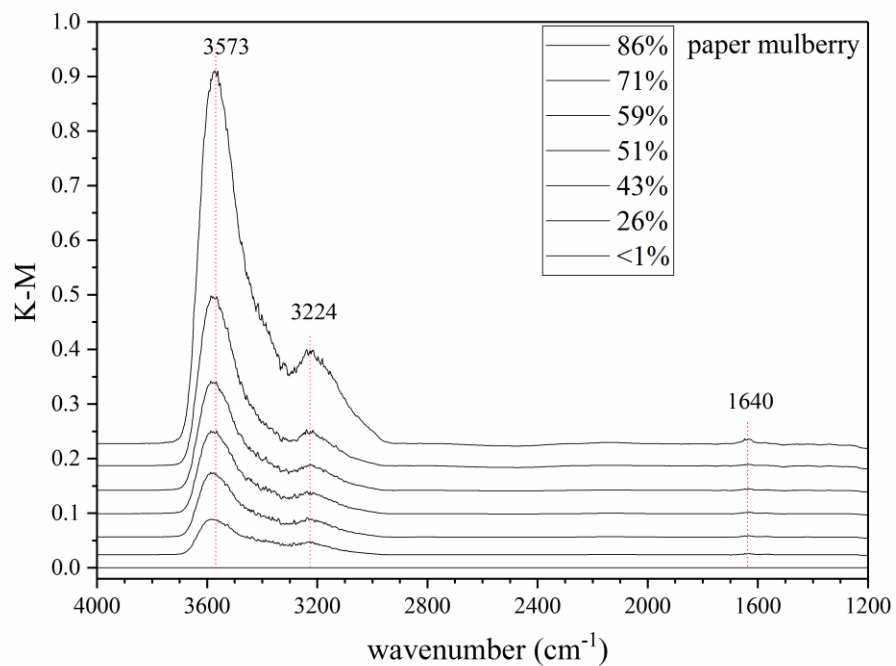
31



32

33 **Figure S1.** In-situ DRIFTS spectra of populus deltoides pollen as a function of RH at 25 °C,
34 relative to that at <1% RH.

35

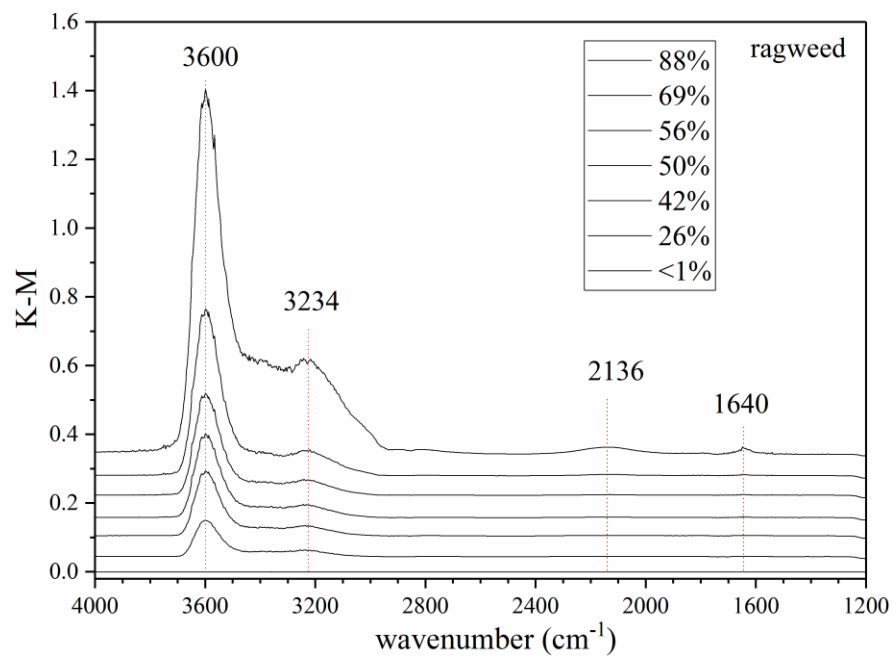


36

37 **Figure S2.** In-situ DRIFTS spectra of paper mulberry pollen as a function of RH at 25 °C, relative

38 to that at <1% RH.

39

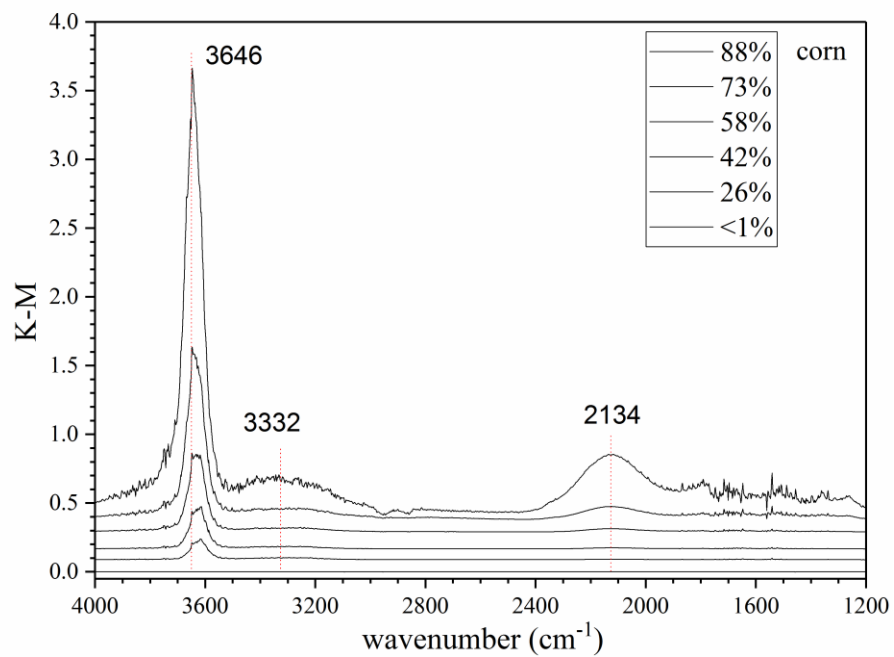


40

41 **Figure S3.** In-situ DRIFTS spectra of ragweed pollen as a function of RH at 25 °C, relative to that

42 at <1% RH.

43

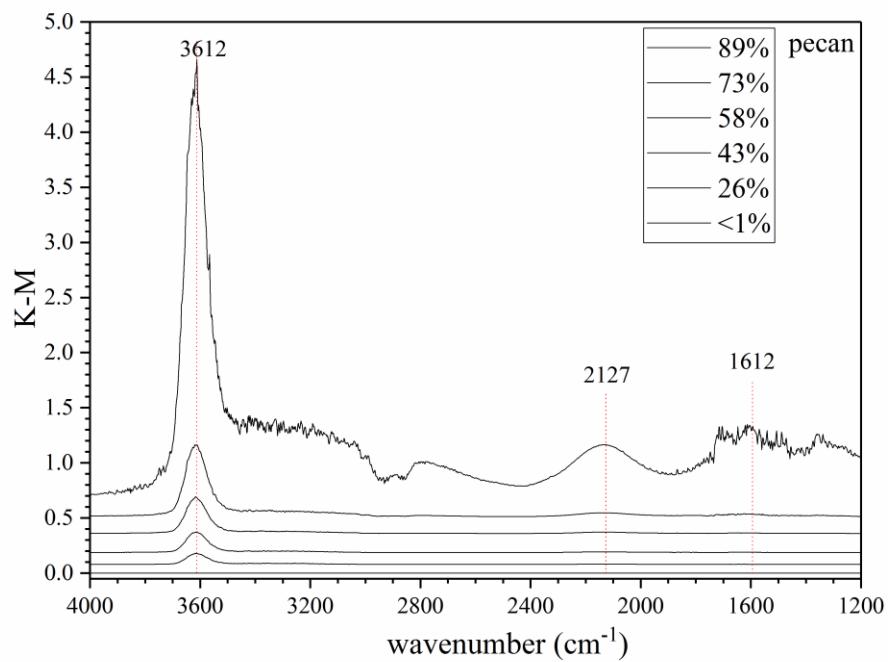


44

45 **Figure S4.** In-situ DRIFTS spectra of corn pollen as a function of RH at 25 °C, relative to that at

46 <1% RH.

47



48

49 **Figure S5.** In-situ DRIFTS spectra of pecan pollen as a function of RH at 25 °C, relative to that at

50 <1% RH.

51