

Anonymous Referee #1

General comments:

The manuscript by Wang et al, “Large increases in N_{cn} and N_{ccn} together with a nucleation-mode-particle pool over the northwestern Pacific Ocean in the spring of 2014” reports CCN and CN concentration of maritime aerosols. As the authors said, CCN concentration itself of Asian outflow has not reported as literatures current years. The main result doesn't necessarily surprise; high concentration of CCN over their observation region is predictable from knowledge by previous observation reports for coastal and leeward area around East Asia and models. However, their fundamental data and reports for some events are valuable as observation reports.

Response: The authors thank that the reviewer can agree the importance of direct observations of CN and CCN updated in the remote atmosphere over the NWPO. This is no doubt that modeling results are valuable to understand the climate effect of aerosols in the marine atmosphere. However, modeling results have to be constrained by direct observations of CCN. Upon this point, the observations delivered in this study are critical for accurately modeling N_{cn} and N_{ccn} and potential climate effects of aerosol particles over the NWPO.

The observations in continental and marine atmospheres upwind the NWPO before 2010, e.g., Kim et al. (2014) and Adhikari et al. (2005) are important references. In the revision, a comprehensive comparison with the previous observations has been added to illustrate the characteristics of N_{cn} and N_{ccn} over the NWPO in 2014.

On the other hand, I was confused by the manuscript because some important information for representativeness and characteristic of the observation data were unexplained or added later.

Response: In the revision, the authors try the best to address the concerns raised by the reviewer.

This structure and title might mislead the readers to different image from author's assertion before read all the manuscript.

Response: The title has been revised as “Nucleation-mode-particle pool and large increase in N_{cn} and N_{ccn} observed over the northwestern Pacific Ocean in the spring of 2014”

Also, some topics were seen as lacking in explanation because the other possibilities were considered insufficiently. I have some question and comments to clarify the manuscript.

Response: Please see our responses to the reviewer's specific comments.

Specific comments:

1) Introduction: Authors said “direct observational data of aerosol particles and CCN in number concentrations remain limited in the remote atmosphere over the NWPO and the last spring observation can be traced back to 1996” as the motivation of the study. This is almost true, but this explanation can give different image of no recent studies for CCN in the region because they did not reference the relating studies in their introduction.

Response: In spring, the NWPO receives a large amount of aerosol particles carried by the East Asian Monsoon. It is an ideal season to study the influence of Asian outflow on CN and CCN in the atmosphere over the NWPO. To best our knowledge, we don't find any observations of CN and CCN in the atmosphere over the NWPO in spring season after 1996. However, there was a large increase of air pollutants in emissions from upwind continents in the last two decades. We note that a few measurements of CN and CCN in the atmospheres upwind the NWPO are available after 1996 and the references have been added for a comprehensive comparison in the revision.

In summer, the East Asian Monsoon determines the NWPO to be less affected by continental air masses. As

presented in the origin version, Mochida et al. (2011) made three-week measurements of CN and hygroscopic properties of aerosol in summer in 2008. We don't find other observations after this. However, we find more recent measurements of CN and CCN in other remote marine atmospheres because the types of data are still very limited world widely. The references have been cited and included in a comprehensive comparison.

There are several studies for CCN properties based on observation in similar air mass conditions. I think that CCN concentration tends to be seen as no urgent information because CCN concentration according to various conditions of supersaturations and CN concentration can be modeled by using accurate kappa values. What is the advantage of the direct observation of CCN concentration? Please clarify specific original point and information added to previous knowledge of CCN in remote sea of the East Asia regions.

Response: In a recent research article published in Science, Rosenfeld et al. (2019) reported that lack of reliable estimates of CCN over oceans has severely limited our ability to quantify their effects on cloud properties and extent of cooling by reflecting solar radiation – a key uncertainty in anthropogenic climate forcing. Based on the article and the short comment by Sato and Suzuki (2019), it is safety to say that the previously estimated CCN in the marine atmosphere suffers from a larger error. Moreover, the CCN newly estimated by Rosenfeld et al. (2019), of course, still needs to be constrained by direct observations for warranting their accuracy. The references have been added in the revision.

The authors appreciate that the reviewer agrees direct observations of particle number size distributions to be needed for accurately estimating CCN. Our updated study has no doubt to fill the data scarcity. Regarding the aging processing of atmospheric aerosols, the authors cannot agree that those *kappa* values measured in upwind continental atmospheres can be used directly in the remote marine atmospheres. The same can be said that the measured *kappa* values in the summer clean marine atmosphere cannot be used directly in the spring marine atmosphere. For example, Wex et al. (2010) reported that the *kappa* values varied largely in marine atmospheres against those in continental atmospheres. Again, our direct observational data of N_{ccn} can help more accurately evaluate the influence of Asian outflow of aerosols on the climate over the NWPO.

2) Sections 3.1 and 3.2: Although air mass of the observation period tended to be affected from continental outflow, air mass in same region could be affected from marine air according to meteorological condition. The adequacy and meaning of discussions of continental input and estimation of kappa value depends on air mass tendency of the observation. I think that information of air mass tendency (Figure S3) should be explained before (or with) these discussions.

Response: We agree with the comments. The air mass back-trajectories have been presented in the origin version. In revised vision, more discussion has been added to analyze the origin of aerosol particles.

3) Section 3.2: Duseck et al. (2006) evaluated the correlation of CCN concentration estimated using constant composition or size distribution with the observed CCN; their evaluated point was different from this study. Correlation (R) is unnecessary to be well because aerosol species can have variation. Although authors used a size of “good correlation (best R?)”, slope=1 should be treated as most important if the aim was estimation of kappa, for discussion of both 0.4%SS and 0.2%SS. Also, some studies pointed a possibility of biased condition of air mass to the result of good correlation at constant compositions. Air mass condition of the analyses is important information to read implications of good correlation in this study.

Response: The authors are very sorry since we cannot understand the comments. However, we revise the sentence as “As proposed in previous studies, e.g., Dusek et al. (2006) and Kalivitis et al. (2015), the total number concentration ($N_{>Dp}$) of particles larger than a threshold diameter (D_p) can be used as a proxy for the N_{ccn} . Specifically, aerosol particles with the size exceeding 60~70 nm could be activated as CCN at SS of 0.4% (Dusek et al., 2006).” We carefully check the paragraph in our manuscript and don't find others needed to be corrected.

Regarding Figs. 1, 2 and Table. 1 in Dusek et al. (2006), the aerosol particles with different air mass origins with the size exceeding 60~70 nm could be activated as CCN at SS of 0.4%. We thereby conducted regression analysis

of the N_{ccn} measured at SS of 0.4% against the $N_{>D_p}$ with D_p varying from 50 nm to 80 nm. We obtained the critical D_p to meet the slope of regression curve close to unity together with a good correlation. Again, we strongly believe that our approach is valid.

I think that data BB event was exclude in the analysis should be pointed in the manuscript. (Also, what is a rule of “suspected either BB or dust aerosols”? LEVO concentration?)

Response: Agree. In the revision, we added “Note that data of biomass burning and dust aerosols and suspected either BB or dust aerosols were excluded in the analysis.”

The values of $N_{>60 \text{ nm}}/N_{\text{ccn}}$ were larger than 2.3 and 1.8 under dust and BB events, respectively. The data points with $N_{>60 \text{ nm}}/N_{\text{ccn}}$ greater than 1.5 were clearly deviated from the general trend. Thus, we used the $N_{>60 \text{ nm}}/N_{\text{ccn}}$ beyond 1.5 as a threshold to screen out either BB or dust aerosols as well as suspected BB or dust aerosols. This has been clarified in the revision.

4) Section 3.2: For high N_{ccn}/N_{60} at low CCN concentration, only effect of BB and dust was pointed in this study. Did you consider the other possibilities? Low N_{ccn} can be observed at low N_{total} , low activation ability or both. In the case of N_{total} , there are possibilities of effect of diluting, transport of clean air mass and scavenging process etc. Because scavenging process can preferentially remove aerosols having high ability as CCN, the high N_{ccn}/N_{60} and low CCN concentration can be observed. How were the N_{total} and the meteorological conditions?

Response: We used and discussed the ratio of “ N_{60}/N_{ccn} ” through the manuscript rather than the ratio of “ N_{ccn}/N_{60} ” claimed by the reviewer. The comments appear to be irrelevant to our study.

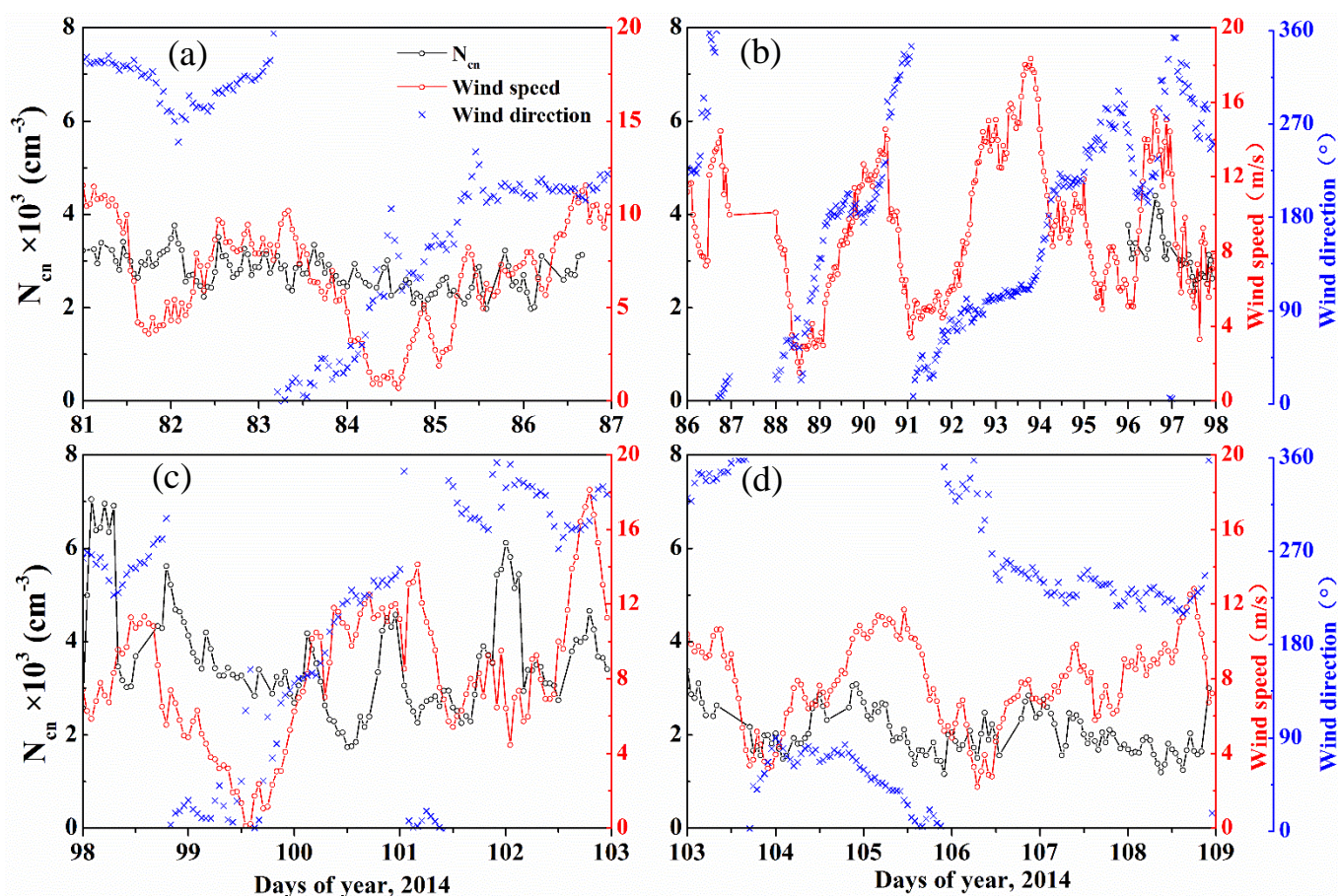


Figure R1 Time series of the N_{cn} , wind speed and wind direction during the measurement for the four periods, including Period 1 (a), Period 2 (b), Period 3 (c) and Period 4 (d).

We did analyze the relationship of N_{cn} with wind speed and wind direction (Fig. R1), but we didn't find any correlation. Thus, we didn't include the inconclusive results in the manuscript.

5) *The observation was conducted over marine, but comparatively near the continent of East Asia. Authors also suggested effect of continental input strongly. Therefore, I think that their observation result is valuable as "aged" air mass of continental pollution (after a few days) than aerosols over remote marine. Did the CCN properties (concentration and ability) in this study have difference to that of coastal area in East Asia (upwind area) by previous CCN studies? (Schmale et al. (ACP, 18, 2018) compiled recent CCN studies including information of CCN concentration around East Asia, which may also be useful to compare to this study.)*

Response: Schmale et al. (2018) used the data measured in Asia presented in two papers, i.e., Iwamoto et al. (2016) and Kim et al. (2014). A comparison including these data has been added in the revision.

The reviewer commented that *"I think that their observation result is valuable as "aged" air mass of continental pollution (after a few days) than aerosols over remote marine."* The authors believe that the reviewer may mix two technical terms, i.e., ocean-derived aerosols and aerosols observed in the marine atmosphere.

6) *Section 3.3: I was confused; which did they assume temporal change of same air mass or regional difference? Although this section discussed mainly change by Hoppel effect, the difference of number-size distribution can include but only not effect by atmospheric process but also difference of origin of air mass. In this manuscript, many "increase" and "decrease" was used (e.g. P6L30, P7L6, L8, L9 etc.), especially in this section. However, I think that those without temporal change should be replaced "be high" etc. In addition, Fig.1 is difficult to understand temporal change and representativeness (fraction to all period) of the size distribution. Also, in some case, averaged distribution of 2-mode distribution having different peaks can become 3(or 4)-mode distribution. It would be better to add temporal variation of number-size distribution (e.g. to Fig. 2). This is also helpful to show the accuracy of their data screening.*

Response: Honestly, the authors don't fully capture what the reviewer was trying to say. Based on the authors' guess, the reviewer was arguing that temporal changes in particle number size distribution observed over the NWPO were unrealistic when the air masses originated from the same continents upwind. The argument is clearly invalid because the same continents upwind the NWPO can experience various air pollution scenarios in different periods, e.g., a heavy pollution event, a moderately air pollution event, a clear air quality event, a dust event and a biomass burning event, etc. The same can be said for air masses originated from different continents, e.g., from the Siberia, the north China, Japan, etc.

The language has been edited by an English editor and we also don't find any misleading by using "decrease and increase" in the context.

We add the contour plotting of particle number size distribution through the whole cruise period as supporting information in the revision. We, however, strongly believe that the daily average with standard deviation is a reasonable choice to present our results. We prefer to keep Fig. 1 in the context. For daily average particle number concentration, it is not surprised to see a broad peak because of the changed number concentrations in different times.

7) *Sections 3.4 and 3.5: I was interested in the discussions, but data base on their observations seemed to not be enough to support the hypothesis that air mass was affected from upper layer. Cannot O₃ data be used in this discussion?*

Response: Thank for reviewer's interest. Vertical backward air mass trajectories have been added in the revision. The 3-day back trajectories showed that air masses were transported mostly from Asian continent at high altitude (>3000 m a.m.s.l.) and then mixed downward to the atmosphere near the sea-level. The related analysis has been added accordingly. Unlike in the continental atmospheres, no clear diurnal variation of O₃ can be observed in the marine atmospheres. Therefore, O₃ is not a good indicator to study the vertical transport in the marine atmospheres.

8) I felt that the title was not sound right. This study did not observe direct relations between increase in CCN and CN and nucleation-mode particles. Also, temporal increase of CCN and CN was not shown in this study.

Response: We revised title as “Nucleation-mode-particle pool and large increase in N_{cn} and N_{ccn} observed over the northwestern Pacific Ocean in the spring of 2014”

Technical comment and minor issues

Figure 1: The spots of map can be seen as fixed point. If the data included that during moving of ship, please add the ship track. In addition, legend is unclear and confusable with data spots. Also the direction should change.

Response: In order to avoid clustering, the ship track was not shown in Fig. 1. However, we provide the cruise track in supporting information (Fig. S1) in the revision. The legend resolution has been improved. However, the authors cannot adjust the legend format because it generates by the software automatically. The authors find that the legend format is common in literature.

Section 2.1: Please clarify where the inlet set. Also, did the data considered particle loss in tube?

Response: In the revised method section, it reads as “All instruments were placed in the lab at the sixth floor of the vessel and approximately 15 m above the sea level. Atmospheric particles were sampled through conductive tubes (TSI, US) connected with a diffusion dryer filled with silica gel (TSI, US) and a splitter that split the air flow into different instruments. The tube inlet was stretched out the window of the cabin linking to the bridge. The total sampling line is approximately 1.5 m and the loss for > 10 nm particles is tested to be negligible.”

A series of experiments had been conducted to test particle loss in the tube in 1.5-meter length. The loss varied from undetectable to 8% with the average of 4%. Since the loss is much smaller than the analytic error of the instrument and we had no correction for the raw data on this point.

Section 2.3: Was the data using screening only FMPS? CN seemed to be no data in period 2.

Response: In the Section 2.3, we detailed on how to screen out the data. The N_{cn} during Period 2 was not available because of instrument malfunction.

Section 3.2: Accuracy of kappa estimation depends on size classification. Please show how many bins of the analyzed size range.

Response: The FMPS includes 32 bins to measure number particle size concentration, in which 19 size bins covers the size range below 100 nm and 13 bins cover the size range beyond 100 nm. This has been added in the revision.

References

- Adhikari, M., Ishizaka, Y., Minda, H., Kazaoka, R., Jensen, J. H., Gras, J. L.: Vertical distribution of cloud condensation nuclei concentrations and their effect on microphysical properties of clouds over the sea near the southwest islands of Japan. *J. Geophys. Res.*, 110, D10203, doi: 10.1029/2004JD004758, 2005.
- Dusek, U., Frank, G. P., Hildebrandt, L., Curtius, J., Schneider, J., Walter, S., Chand, D., Drewnick, F., Hings, S., Jung, D., Borrmann, S. and Andreae, M. O.: Size matters more than chemistry aerosol particles, *Science*, 312(5778), 1375–1378, doi:10.1126/science.1125261, 2006.
- Iwamoto, Y., Kinouchi, K., Watanabe, K., Yamazaki, N. and Matsuki, A.: Simultaneous measurement of CCN Activity and chemical composition of fine-mode aerosols at Noto Peninsula, Japan, in autumn 2012, *Aerosol*

Air Qual. Res., 16, 2107-2118, doi:10.4209/aaqr.2015.09.0545, 2016.

- Kalivitis, N., Kerminen, V., Kouvarakis, G., Stavroulas, I., Bougiatioti, A., Nenes, A., Manninen, H., Petäjä T., Kulmala, M., Mihalopoulos, N.: Atmospheric new particle formation as a source of CCN in the eastern Mediterranean marine boundary layer. *Atmos. Chem. Phys.*, 15, 9203-9215, 2015.
- Kim, J. H., Yum, S. S., Shim, S., Kim, W. J., Park, M., Kim, J. H., Kim, M. H. and Yoon, S. C.: On the submicron aerosol distributions and CCN number concentrations in and around the Korean Peninsula, *Atmos. Chem. Phys.*, 14(16), 8763– 8779, doi:10.5194/acp-14-8763-2014, 2014.
- Mochida, M., Nishita-Hara, C., Furutani, H., Miyazaki, Y., Jung, J., Kawamura, K. and Uematsu, M.: Hygroscopicity and cloud condensation nucleus activity of marine aerosol particles over the western North Pacific, *J. Geophys. Res. Atmos.*, 20 116(6), 1–16, doi:10.1029/2010JD014759, 2011.
- Rosenfeld, D., Zhu, Y., Wang, M., Zheng, Y., Goren, T., Yu, S.: Aerosol-driven droplet concentrations dominate coverage and water of oceanic low-level clouds. *Science* 363, eaav0566. DOI: 10.1126/science.aav0566, 2019.
- Schmale, J., Henning, S., Decesari, S., Henzing, B., Keskinen, H., Sellegri, K., Ovadnevaite, J., Pöhlker, M., Brito, J., Bougiatioti, A., Kristensson, A., Kalivitis, A., Stavroulas, I., Carbone, S., Jefferson, A., Park, M., Schlag, P., Iwamoto, Y., Aalto, P., Äijälä M., Bukowiecki, N., Ehn, M., Frank, G., Fröhlich, R., Frumau, A., Herrmann, E., Herrmann, H., Holzinger, R., Kos, G., Kulmala, M., Mihalopoulos, N., Nenes, A., Amp, O., Dowd, A., Petäjä T., Picard, D., Pöhlker, C., Pöschl, U., Poulain, L., Prévôt, A., Swietlicki, E., Andreae, M., Artaxo, P., Wiedensohler, A., Ogren, J., Matsuki, A., Yum, S., Stratmann, F., Baltensperger, U., Gysel, M.: Long-term cloud condensation nuclei number concentration, particle number size distribution and chemical composition measurements at regionally representative observatories. *Atmos. Chem. Phys.*, 18, 2853-2881, doi.org/10.5194/acp-18-2853-2018, 2018.
- Sato, Y., Suzuki, K.: How do aerosols affect cloudiness? *Science*, **36** (6427), 580-581, doi: 10.1126/science.aaw3720,2019.
- Wex, H., McFiggans, G., Henning, S., Stratmann F.: Influence of the external mixing state of atmospheric aerosol on derived CCN number concentrations. *Geophys. Res. Lett.*, 37, L10805, doi:10.1029/2010GL043337, 2010.