1 Evaluating the "2+26" Regional Strategy for Air Quality

2 Improvement During Two Air Pollution Alerts in Beijing: variations

3 of PM_{2.5} concentrations, source apportionment, and the relative

4 contribution of local emission and regional transport

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25 Abstract

To comprehensively evaluate the effects of the recent "2+26" regional strategy for air quality 26 27 improvement, we compared the variations in PM_{2.5} concentrations in Beijing during four pollution episodes with different emission-reduction strategies. The "2+26" strategy implemented in March 28 29 2018 led to a mean PM_{25} concentration of 16.43% lower than that during the pollution episode in 30 March 2013, when no specific emission-reduction measures were in place. The same "2+26" strategy implemented in November 2017 led to a mean PM_{2.5} concentration of 32.70% lower than 31 that during the pollution episode in November 2016, when local emission-reduction measures 32 33 were implemented. The results suggested that the effects of the "2+26" regional 34 emission-reduction measures on PM_{2.5} reductions were influenced by a diversity of factors and could differ significantly during specific pollution episodes. Furthermore, we found the 35 36 proportions of sulfate ions decreased significantly and nitrate ions were the dominant PM_{2.5}

components during the two "2+26" orange alert periods. Meanwhile, the relative contribution of 37 coal combustion to $PM_{2.5}$ concentrations in Beijing during the pollution episodes in March 2013, 38 November 2016, November 2017 and March 2018 was 40%, 34%, 28% and 11% respectively, 39 40 indicating that the recent "Coal to Gas" project and the contingent "2+26" strategy led to a 41 dramatic decrease in coal combustion in the Beijing-Tianjin-Hebei Region. On the other hand, the 42 relative contribution of vehicle exhaust during the "2+26" orange alert periods in November 2017 and March 2018 reached 40% and 54% respectively. The relative contribution of local emission to 43 44 PM_{2.5} concentrations in Beijing also varied significantly and ranged from 49.46% to 89.35% during the four pollution episodes. These results suggested that the "2+26" regional 45 46 emission-reduction strategy should be implemented with red air pollution alerts during heavy 47 pollution episodes to intendedly reduce the dominant contribution of vehicle exhausts to PM₂₅ 48 concentrations in Beijing, while specific emission-reduction measures should be implemented 49 accordingly for different cities within the "2+26" framework.

50 Keywords: Air pollution alert; Regional integration; Emission reduction;

51 WRF-CAMx; Beijing; "2+26".

52 **1 Introduction**

In January 2013, a severe haze episode with the highest concentration of hourly fine particulate 53 54 matter with a diameter of less than 2.5 micrometers (PM_{2.5}) occurred in Beijing (886 μ g/m³), which attracted worldwide attention. Since 2013, Beijing, located in the Beijing-Tianjin-Hebei 55 region, has been a heavily polluted area in China that suffers from continuous haze episodes 56 associated with high concentrations of $PM_{2.5}$, especially in winter. Given the significant negative 57 58 influence of PM_{2.5} on public health (Garrett and Casimiro, 2011; Guaita et al., 2011; Pasca et al., 59 2014; Li et al., 2015), the air quality management authority in Beijing has put growing emphasis on long-term environmental protection policies, including shutting down polluting factories and 60 limiting vehicle use through license plate rules. However, total emissions of airborne pollutants 61 62 remain at very high levels in Beijing, leading to frequent heavy pollution episodes (Guo et al., 63 2012). To mitigate this problem, contingent emission-reduction measures, in addition to regular 64 environmental policies, are necessary in Beijing in order to improve local air quality during 65 pollution episodes.

66 In 2013, the Beijing Municipal Government published the "Heavy Air Pollution Contingency 67 Plan" and revised this plan in 2015 to better manage air quality during pollution episodes. According to the predicted concentrations of different airborne pollutants and the duration of 68 69 pollution episodes, there are four levels of air pollution alerts for Beijing, which are blue, yellow, 70 orange, and red alerts. Specific emission-reduction measures are implemented when each type of air pollution alerts is in effect. The red alert is the most stringent level of air pollution alerts and 71 72 predicts severe air pollution episodes (Air Quality Index [AQI] >300) that will last for more than 73 three days. Emission-reduction measures during red alerts mainly include the implementation of 74 the odd-even license plate policy (only about half of all of the cars in Beijing is allowed to run 75 within the fifth-ring district in each day), the suspension of all outdoor construction work and

temporary shutdown of listed polluting factories. The orange alert predicts heavy air pollution 76 77 episodes (AQI >200) that will last for more than three days. Emission-reduction measures during 78 orange alerts mainly include forbidding vehicles that cannot meet the Environmental Standard 79 Levels I and II, the suspension of specific outdoor work (e.g., painting) and temporary shutdown 80 of listed polluting factories (the list for red alerts includes more factories than that for orange 81 alerts). The blue and yellow alerts predict heavy air pollution episodes that will last for more than 82 one and two days respectively. There are very few compulsory emission-reduction measures for 83 blue and yellow alerts and most emission-reduction measures are suggestive. The characteristics and effects of these emission-reduction measures during alert periods have been massively studied 84 85 (Zhong, J. et al., 2017; Zhang, Z. et al., 2017; Wang, X. et al., 2017; Zeng, W. et al., 2018; Shang, 86 X. et al., 2018). However, previous emission-reduction measures during orange and red alerts 87 were solely conducted in a specific city (e.g., Beijing) while regional emission-reduction measures 88 implemented simultaneously in many adjacent cities have rarely been implemented and evaluated.

89 Although the peak PM_{2.5} concentrations in Beijing could be reduced by 20% through strict 90 emission-reduction measures (Cheng et al., 2017), PM_{2.5} concentrations remained at very high 91 levels during red alert periods. In addition to local emissions, regional transport of airborne 92 pollutants between neighboring cities also contributed to the high PM_{2.5} concentrations in Beijing (Chen et al., 2016). Therefore, regional integration has become one of the major solutions for 93 94 further reducing $PM_{2.5}$ concentrations in Beijing during heavy pollution episodes. To promote this 95 strategy, the Ministry of Environmental Protection of the People's Republic of China released the "2017 Air Pollution Prevention and Management Plan for the Beijing-Tianjin-Hebei Region and 96 97 its Surrounding Areas" (MEP, 2017). This plan suggests that Beijing, Tianjin, eight cities in Hebei 98 Province, four cities in Shanxi Province, seven cities in Shandong Province and seven cities in 99 Henan Province (2+26) constitute the regional network involved in the long-distance transport of 100 airborne pollutants surrounding Beijing. Therefore, during heavy pollution episodes, unified emission-reduction measures should be carried out in these cities simultaneously to reduce 101 102 extremely high PM_{2.5} concentrations in Beijing.

Since the launch of the "2+26" plan, Beijing experienced two pollution episodes in November 103 2017 and March 2018, when MEP released two orange alerts and implemented corresponding 104 emission-reduction measures in all 28 cities simultaneously. The two orange alerts were the first 105 two attempts of the "2+26" plan to reduce PM_{2.5} concentrations in Beijing during pollution 106 107 episodes. To better evaluate this "2+26" regional strategy and for a comprehensive comparison, 108 we also included in this study two other pollution episodes in Beijing: November 2016 (with local 109 emission-reduction measures) and March 2013 (with no emission-reduction measure). We firstly 110 analyzed the variations in PM_{2.5} concentrations in Beijing during the four pollution episodes. Following this, we quantified the component and sources of the PM2.5 for each episode. Based on 111 112 source apportionment, we further quantified the relative contribution of local emissions and 113 regional transport to $PM_{2.5}$ concentrations in Beijing during these four pollution episodes. The 114 methodology and findings of this research not only holds practical significance for further 115 improving the "2+26" regional strategy, but also shed some light on the regional integration of air quality management in other parts of China. 116

117 2 Materials and methods

118 **2.1 Study sites**

119 Beijing is located at the northwestern edge of the North China Plain. It is surrounded by 120 mountains on three sides, resulting in a geographical condition unfavorable for the dispersion of 121 airborne pollutants. Therefore, air pollution episodes have been frequently witnessed in Beijing since 2013, especially in winter. Based on large-scale field-experiments and model simulation, 122 123 MEP (2017) pointed out that 28 cities formed a regional transport network of airborne pollutants, which influenced local PM_{2.5} concentrations in Beijing significantly. These 28 cities include two 124 125 municipalities directly under the central government, Beijing and Tianjin and another 26 126 neighboring cities surrounding Beijing, which are Shijiazhuang, Tangshan, Lang fang, Baoding, Cangzhou, Hengshui, Xingtai and Handan in Hebei Province, Taiyuan, Yangquan, Changzhi and 127 Jincheng in Shanxi Province, Jinan, Zibo, Jining, Dezhou, Liaocheng, Binzhou and Heze in 128 129 Shandong Province, Zhengzhou, Kaifeng, Anyang, Hebi, Xinxiang, Jiaozuo and Puyang in Henan 130 Province. The locations of these cities are shown in Fig 1. These 26 cities, especially those cities located in the Hebei provinces, are mainly industrial cities that consume a large amount of coals 131 132 and produce massive amounts of airborne pollutants. To comprehensively understand the effects 133 of the "2+26" regional strategy for air quality improvement in Beijing, all these 28 cities were selected as study sites for this research. 134



136 137

Fig 1. Geographical locations of the 28 cities within the "2+26" regional integration framework

138 **2.2 Data Sources**

139 2.2.1 Ground PM_{2.5} and meteorological observation data

140 The data of major airborne pollutants for this research were collected from the website PM25.in 141 (http://www.pm25.in/). This website assembles official data of major airborne pollutants provided by the China National Environmental Monitoring Center (CNEMC) and publishes hourly air 142 quality information for 367 monitored cities in China, including all of the 28 cities in the "2+26" 143 framework. By using a specific API (Application Programming Interface) provided by PM25.in, 144 we collected hourly pollutant data (e.g., PM2.5, CO, NO2, O3) for these 28 cities. The hourly 145 average concentration of each pollutant for one city is calculated by averaging the hourly value 146 147 measured at all available observation stations within the city. For the following analysis, we 148 employed time series air quality data covering all four pollution periods: from 0 AM, November 24th to 12 PM, November 27th, 2016; from 0 AM, November 4th, 2017 to 12 PM, November 7th, 149 2017; from 0 AM, March 14th to 12 PM, March 17th, 2013; from 0 AM March 11th to 12 PM, 150 March 14th, 2018. 151

152 In addition to large-scale meteorological data for the following simulation, we also employed ground observation data to compare meteorological conditions during these four pollution 153 episodes. Meteorological data for this research were collected at the Guanxiangtai Station in 154 Beijing and were downloaded from the Department of Atmospheric Science of the University of 155 Wyoming (http://weather.uwyo.edu/upperair/sounding.html). Based on the comparison of the 156 meteorological data, we could ascertain whether large variations in meteorological conditions 157 158 existed between the four pollution episodes, as a potential influencing factor of the variations in 159 PM_{2.5} concentrations.

160 2.2.2 PM_{2.5} component Data

161 To comprehensively understand the component of $PM_{2.5}$ during the four pollution episodes, we 162 collected PM_{2.5} sample data at the DongSi Station for further analysis. These PM_{2.5} sample data were collected during the pollution episodes in March, 2013, November 2016, November 2017 163 and March 2018 respectively. We employed an URG-9000B Ambient Ion monitor (Thermo Fisher 164 Scientific), which includes two Dionex ICS-90 ion chromatography systems (DIONEX, US), to 165 detect water soluble ion Na⁺, Mg²⁺, Ca²⁺, K⁺, NH₄⁺, Cl⁻, SO₄²⁻, NO₃⁻. The URG-9000B Ambient 166 167 Ion monitor employs denuder membrane to separate particulate matters and gas by absorbing gas 168 using liquid. The original temporal resolution for ion detection was 15 minutes and for the comparison with other components, the temporal resolution for water-soluble ion detection was 169 averaged to an hour. The organic carbon concentration of PM_{2.5} was analyzed using the OC/EC 170 171 organic carbon analyzer (sunset lab model 51) and the temporal resolution for carbon detection was an hour. The in-depth analysis of PM2.5 component provides significant reference for 172 173 understanding the evolution and sources of PM_{2.5} during the pollution episodes.

174 **2.3 Method**

175 2.3.1 Simulation Models

176 We employed the WRF-CAMx model for simulating the effects of emission reduction measures 177 on the reduction of major airborne pollutants. The WRF-CAMx includes three models: the meteorology model source emission 178 middle-scale (WRF), the model (SMOKE) (https://www.cmascenter.org/cmaq/) and air quality model (CAMx) (http://www.camx.com/). The 179 WRF model provided the meteorological field for the analysis. The CAMx model has been widely 180 181 used for simulating the evolution of air pollution episodes (An et al., 2007; Liu et al., 2010; ENVIRON, 2013). In this research, the central point for the CAMx was set at the coordinate 182 183 (35 N, 110 E) and bi-directional nested technology was employed, producing two layers of grids 184 with a horizontal resolution of 36 km and 12 km respectively. The first layer of the grids has a 185 36km resolution, covering most areas in East Asia (including Japan, South Korea, China, North Korea, and other countries). The second layer of the grids has a 12km resolution, covering the 186 187 North China Plain, which includes the Beijing-Tianjin-Hebei region, Shandong and Henan 188 Provinces. The vertical layer was divided into 20 unequal layers. The initial and boundary 189 conditions for simulating airborne pollutants were set using the default CAMx profiles. For better simulating the pollution process with longer time series, the simulation period was set as the entire 190 191 March 2013, November 2016, November 2017, and March 2018. For the first running of this 192 model, a spin-up period of 5 days was set to simulate the initial field and the following initial field 193 was decided by the output of previous simulations. Hence, the accumulation effects of emission sources have been comprehensively considered and the influence of uncertain initial conditions 194 195 has been reduced significantly.

We employed ARW-WRF3.2 to simulate the meteorological field. The setting of the center and the 196 bi-directional nest for the WRF was similar to that of the CAMx as mentioned above. There were 197 198 35 vertical layers for the WRF and the outer layer provided boundary conditions of the inner layer. The meteorological background field and boundary information with a GFS resolution of 1 $^{\circ}$ X1 $^{\circ}$ 199 200 and temporal resolution of 6h were acquired from NCAR (National Center for Atmospheric 201 Research, https://ncar.ucar.edu/) and NCEP (National Centers for Environmental Prediction) respectively. The terrain and underlying surface information was obtained from the USGS 30s 202 203 global DEM (https://earthquake.usgs.gov/). The output from the WRF model was interpolated to the region and grid for the CAMx model using the Meteorology-Chemistry Interface Processor 204 205 (MCIP, https://www.cmascenter.org/mcip). The meteorological factors used for this model include temperature, air pressure, humidity, geopotential height, zonal wind, meridional wind, 206 207 precipitation, boundary layer heights and so forth. An estimation model for terrestrial ecosystem 208 MEGAN (http://ab.inf.uni-tuebingen.de/software/megan/) was employed to process the natural 209 emissions. For this research, we employed the camx2WRF module to transfer NETCDF data from 210 WRF to readable data for CAMx. Anthropogenic emission data were from the Multi-resolution Emission Inventory for China, MEIC 0.5 °×0.5 ° emission inventory (http://www.meicmodel.org/) 211 and Beijing emission inventory (http://www.cee.cn/)(As shown in Table 1). These emission 212 213 inventories were updated annually and we employed specific inventories for the corresponding 214 year when these pollution episodes occurred. For the pollution episode in March, 2018, since the 215 emission inventory in 2018 has yet been available, we updated the 2017 emission inventories by considering the 2018 emission-reduction scenarios (e.g. the target of coal combustion reduction)

required by the local government. We input the processed natural and anthropogenic emission data

- 218 into the SMOKE model and acquired comprehensive emission source files.
- 219

Table 1 Sources of Emission inventory

Airborne Pollutants	Sources	Data description
PM _{2.5} , BC, OC	MEIC	Resolution: 0.5 °×0.5 °
SO_2	Survey of Emission sources	Point sources, Polygon sources
NOx	Survey of Emission sources	Point sources, Polygon sources
PM_{10}	Survey of Emission sources	Point sources, Polygon sources
NH ₃	MEIC	Resolution: 1 °×1 °
Anthropogenic VOCs	MEIC	Resolution: 0.5 °×0.5 °
Natural VOCs	MEGAN	Corresponding Grid data

220 2.3.2 Source Apportionment

221 PSAT (Particulate Matter Source Apportionment Technology) is one major extension of the 222 CAMx model. PSAT was developed from the related ozone source apportionment method and 223 provided PM source apportionment for specific geographic regions and source categories (Huang, 224 Q. et al.,2012). Furthermore, PSAT can be used to analyze the source-acceptor relationship of PM_{2.5} pollutants, and trace SO₂, SO₄²⁻, NO₃⁻, NH₄⁺, SOA, Hg, EC, dust particles, and other 225 primary and secondary particles. As a species tagging method, PSAT tracks the regional source 226 227 and industry source of environmental receptor $PM_{2.5}$ and its main chemical components, and then 228 evaluates the contribution of initial conditions and boundary conditions to PM generation. By 229 identifying and tracking the transport, diffusion, transformation and decomposion of pollutants 230 emitted from various sources, PSAT estimates the relative contribution of different emission 231 sources to the spatial distribution of PM concentrations based on the analysis of mass balance. 232 PSAT-based source apportionment is conducted using reactive tracers that simulate the nonlinear 233 transformation between primary PM and secondary PM, and are highly efficient and flexible for 234 source apportionment from the perspective of geographical source regions, emissions source categories and individual sources (Burr, M. et al., 2011). PSAT effectively avoids the concentration 235 biases caused by Brute-force based source-closure methods that ignore non-linear chemical 236 processes, and has been widely in previous studies (Xing, J. et al., 2011; Huang, Q. et al., 2012; Wu, 237 238 D. et al., 2013; Li, X. et al., 2015; Li, Y. et al., 2015). For this research, we established a regional 239 transport matrix between pollution sources and environmental receptors. According to the 240 provincial administrative division, the national grid is divided into 17 divisions, each of which 241 represents a provincial unit, and all other cells outside the national boundary are classified as Class 242 I, including the ocean and other areas. According to the scope of the Beijing-Tianjin-Hebei Region 243 and the "2+26" network, we further divided the study area into 13 sub-divisions, including Beijing, Tianjin, eight cities in Hebei Province, Henan Province, Shandong Province and Shanxi Province, 244 245 for quantifying the influence of local emission and regional transport on the variations in PM_{2.5} 246 concentrations in Beijing during the four pollution episodes.

247 **2.4 Model verification**

248 To comprehensively evaluate the simulation performance of WRF-CAMx, we compared the

observed and model estimated value of PM2.5 concentrations, major meteorological factors 249 250 (temperature, relative humidity and wind speed) and major PM_{2.5} component (SO₄²⁻, NO₃⁻ and 251 NH₄⁺) for each pollution episode respectively, and the result was presented as Table 2. Generally, 252 since emission inventories could not include all actual emission sources and fully consider 253 complicated chemical reaction mechanisms that may deteriorate PM2.5 pollution, WRF-CAMx 254 slightly underestimated PM_{2.5} concentrations. According to Table 2, the normalized mean bias 255 (NMB) and normalized mean error (NME) between observed and simulated data indicated a 256 satisfactory simulation output (Boylan et al., 2006).

 Table 2 The verification of WRF-CAMx performance in terms of meteorological factors, PM2.5

 concentrations and PM2.5 component

Pollution episodes		March,2013	Nov,2016	Nov,2017	March,2018
PM _{2.5} (µg/m ³)	Sim	191.23	117.79	82.28	158.60
	Obs	208.49	138.05	92.91	174.24
	NMB	-8.28%	-14.68%	-11.44%	-8.98%
	NME	9.56%	14.68%	11.76%	8.98%
T(°C)	Sim	8.62	0.90	9.56	10.23
	Obs	8.20	0.87	9.29	9.27
	NMB	4.90%	1.01%	2.91%	10.32%
	NME	26.90%	1.45%	6.72%	19.64%
RH(%)	Sim	54.25	50.76	60.25	50.56
	Obs	63.25	58.25	72.25	57.25
	NMB	-14.23%	-12.85%	-16.61%	-11.69%
	NME	25.04%	19.41%	24.13%	14.34%
WS(m/s)	Sim	2.76	2.91	2.69	2.14
	Obs	2.32	2.37	2.09	1.72
	NMB	18.97%	23.05%	28.05%	22.92%
	NME	53.93%	23.05%	41.54%	30.05%
SO4 ²⁻ (µg/m ³)	Sim	41.95	12.77	6.98	13.13
	Obs	45.11	14.96	7.37	14.00
	NMB	-7.08%	-14.68%	-5.18%	6.65%
	NME	27.47%	73.92%	11.80%	24.16%
$NO_3^{-}(\mu g/m^3)$	Sim	31.76	13.82	26.19	64.45
	Obs	34.63	16.19	33.42	68.89
	NMB	-7.49%	-14.70%	-21.63%	-6.45%
	NME	11.98%	79.31%	21.63%	17.76%
$\overline{\mathrm{NH}_{4^{+}}(\mu\mathrm{g}/\mathrm{m}^{3})}$	Sim	25.10	10.49	8.86	13.38
	Obs	27.14	11.66	12.33	15.85
	NMB	-7.53%	-10.01%	-28.15%	-15.56%
	NME	42.78%	17.70%	28.15%	20.68%

259 **3 Results**

3.1 Temporal variations in PM2.5 concentrations during the four pollution episodes

Chen et al. (2017, 2018) suggested that wind speed and relative humidity were major 262 263 meteorological factors that influence wintertime PM_{2.5} concentrations in Beijing. Similarly, an 264 official report based on a systematic study of PM_{2.5} pollution in Beijing 265 (https://m.21jingji.com/article/20190311/herald/263828cd8f4cf3986ee1c39378c64881.html?fr 266 om=groupmessage&isappinstalled=0) suggested that high-humidity and weak-wind conditions (especially wind speed less than 2m/s and relative humidity larger than 60%) were unfavorable 267 conditions for PM_{2.5} dispersion and might easily lead to PM_{2.5} pollution episodes. As shown in 268 Table 3, based on the ground observation data, we found that the two meteorological factors 269 270 during the pollution episode in November 2016 were fairly similar to that during the orange alert period in November 2017, while the meteorological condition during the pollution episode in 271 March 2013 was fairly similar to that during the orange alert period in March 2018 (as shown in 272 Table 3). According to Table 3, all the four pollution episodes experienced a high-humidity and 273 274 weak-wind condition. Specifically, the fairly high relative humidity for the "2+26" orange alert period in November 2017 and the fairly low wind speed for the "2+26" orange alert period in 275 276 March 2018 led to extremely unfavorable conditions for the dispersion of airborne pollutants.

277	Table 3 Major meteorological conditions during the four pollution episodes.						
	Pollution Episodes	Mean Relative	Mean Wind				
		Humidity (%)	Speed(m/s)				
	March, 2013 (No emission-reduction)	63.25	2.32				
	March, 2018 ("2+26" strategy)	57.25	1.72				
	November, 2016 (Local emission-reduction)	58.25	2.37				
	November, 2017 ("2+26" strategy)	72.25	2.09				

When the meteorological influences on the variations of $PM_{2.5}$ concentrations were limited, a comparison between the $PM_{2.5}$ concentrations during these two orange alert periods and that during the two corresponding pollution episodes provides useful reference for evaluating the effects of "2+26" strategy on $PM_{2.5}$ reductions during the pollution episodes, which are usually observed under a stagnant atmospheric condition, with high relative humidity and low wind speed. The temporal variations in $PM_{2.5}$ concentrations during the two "2+26" orange alerts and the two corresponding pollution episodes are shown in Table 4 and Fig 2.

Table 4 Characteristics of PM _{2.5} concentrations during four pollution episodes								
Pollution	Mean	Mean	Mean	Peak	Duration of	Duration of	Duration of	Period with
episode	SO ₂	NO ₂	PM2.5	PM2.5	PM _{2.5} >100	PM _{2.5} >150	PM _{2.5} >200	PM _{2.5} >300
	$(\mu g/m^3)$	(μg/	$(\mu g/m^3)$	$(\mu g/m^3)$	$\mu g/m^{3}$ (h)	$\mu g/m^{3}$ (h)	$\mu g/m^{3}$ (h)	μg/m ³ (h)
		m ³)						
March, 2013	65 25	08 25	208 40	126 12	10	24	27	12
(No emission-reduction)	05.25	98.25	208.49	420.12	10	24	57	12
March, 2018	14 25	76.0	174 24	325.01	6	10	4.4	5
("2+26" strategy)	14.23	70.0	174.24	525.71	0	10	44	5
November, 2016	17 75	on n5	129.05	210.20	14	0	26	5
(Local emission-reduction)	17.75	02.23	158.05	510.50	14	9	20	5
November, 2017	4.58	60.25	92.91	176.20	24	24	0	0
("2+26" strategy)								





- 290 As shown in Table 4 and Figure 2, the long-term emission-reduction policies and contingent emission-reduction measures during "2+26" period led to a dramatic decrease of SO₂ and notable 291 292 decrease of NO₂. Consequently, both the peak and average PM_{2.5} concentrations during the two 293 orange alert periods were remarkably lower than those during the two corresponding pollution 294 episodes with similar initial PM_{2.5} levels and meteorological conditions. For the pollution episode 295 in March 2013 and March 2018, the initial $PM_{2.5}$ concentrations were both around $50\mu g/m^3$. Similarly, for the pollution episode in November 2016 and November 2017, the initial PM_{2.5} 296 297 concentrations were both around 15 μ g/m³. Following the similar initial PM_{2.5} concentrations, it is noted that PM_{2.5} concentrations increased at a much lower rate and further led to a lower peak and 298 299 average PM_{2.5} concentrations during the two "2+26" orange alert periods.
- 300 According to Table 4, the mean and peak $PM_{2.5}$ concentrations during the "2+26" orange alert period in March, 2018 was 16.43% and 23.52% lower than those during the pollution episode in 301 March 2013 respectively. Meanwhile, the duration with extremely high PM_{2.5} concentrations was 302 notably shorter during the orange alert period. The "2+26" strategy implemented during the 303 orange alert period in November 2017 led to even better effects on PM_{2.5} reduction. The mean and 304 305 peak $PM_{2.5}$ concentrations during this period was 32.70% and 43.22% lower than those during the pollution episode in November 2016 respectively. More importantly, during the entire orange alert 306 period, PM_{2.5} concentrations were constantly lower than 200 µg/m³, indicating a highly efficient 307 control of high PM_{2.5} concentrations. 308

309 3.2 PM_{2.5} component analysis during four pollution episodes

The temporal variations of different $PM_{2.5}$ components during the four pollution episodes are shown in Fig 3. As the figure indicates, the components of $PM_{2.5}$ in Beijing during the four

312 pollution episodes had notable variations.





313 For the four pollution episodes with different emission-reduction measures, the main components for PM_{2.5} were all SO₄²⁻, NO₃⁻, and NH₄⁺. However, some major differences existed. With no or 314 315 only local emission-reduction measures implemented, the dominant PM_{2.5} components was SO₄²⁻ 316 for the pollution episode in March 2013 and November 2016. During two "2+26" orange alert periods, NO₃⁻ became the dominant PM_{2.5} components. Except for the pollution episode in March 317 318 2013, the proportion of NH_{4^+} was generally consistent during the other three pollution episodes. The mean mass concentrations and proportions of SO₄²⁻, NO₃⁻, and NH₄⁺ during the four pollution 319 320 episodes are shown in Table 5.

321 322

Table 5. The mean mass concentration and percent of major $PM_{2.5}$ components during four pollution episodes ($\mu g/m^3$)

Pollution Episodes	SO 4 ²⁻	NO ₃ -	$\mathbf{NH4^{+}}$	OC	EC
March, 2013	45.11	34.63	27.14		
(No emission-reduction)	(39.92%)	(30.65%)	(24.02%)		
March, 2018	14.00	68.89	15.85	17.83	3.86
("2+26" strategy)	(10.58%)	(52.10%)	(11.98%)	(13.48%)	(2.92%)
November, 2016	14.96	16.19	11.66	25.92	5.90
(Local emission-reduction)	(16.28%)	(17.62%)	(12.69%)	(28.21%)	(6.42%)
November,2017	7.37	33.42	12.33	12.84	3.23
("2+26" strategy)	(9.48%)	(42.96%)	(15.85%)	(16.51%)	(4.15%)

323 OC and EC component were not measured during the pollution episode in March, 2013.

Through comparison, we found a dramatic decrease of SO_4^{2-} and a notable increase of NO_3^{--} 324 during two orange alert periods. The main source for SO_4^{2-} is the combustion of fossil fuels 325 326 (Shimano, S. et al., 2006; Kuenen, J. et al., 2013), especially the intensive burning of sulfur coals 327 for wintertime central-heating, manufacturing and household use. The main source for NO₃⁻ is 328 vehicle exhaust (Rodr guez, S. et al., 2004; Watson, J. G. et al., 2007; Han et al., 2007; Zeng, F. et 329 al.,2010). NH_4^+ is the secondary pollutant of urban NH_3 , the main source of which is the 330 decomposition of organic elements (Frank, D. S. et al., 1980; Watson, J. G. et al., 2007) and the combustion of fossil fuels (Frank, D. S. et al., 1980; Watson, J. G. et al., 2007; Pan et al., 2016). 331 Through a novel approach, Pan et al (2016) quantified that more than 90% NH_3 in the 332 333 Beijing-Tianjin-Hebei Region during heavy pollution episodes resulted from the combustion of 334 fossil fuels. The large variation of PM_{2.5} components during these episodes was mainly attributed 335 to long-term environmental policies and contingent emission-reduction measures. A large number

336 of small polluting factories in Beijing and its surrounding areas have been shut down, and the use 337 of household coal, especially coarse coal that produces large amounts of sulfate-related pollutants, 338 has been restricted significantly. In addition to long-term environmental protection policies, 339 contingent emission-reduction measures, including the temporal shut-down of many factories that 340 consumes a large amount of coal, were implemented during air pollution alert periods. 341 Furthermore, the recently launched "2+26" plan requires that areas surrounding Beijing, including 342 many cities in Hebei Province (e.g., Tangshan) well-known for their coal-based iron industries, should take simultaneous emission-reduction actions during regional pollution episodes. These 343 long-term and contingent strategies led to a notable decrease of SO_4^{2-} through local 344 emission-reduction measures and a further decrease of SO42- through "2+26" regional 345 346 emission-reduction measures. Conversely, during the four pollution episodes, no strict regulation 347 was placed on the control of vehicle exhaust. Hence, the notable decrease of SO₄²⁻ and generally constant mass concentration of NO_3^- led to a rapidly rising proportion of NO_3^- among the $PM_{2,5}$ 348 349 components during the two orange alerts.

350 3.3 Source apportionment during the four pollution episodes

351 Based on $PM_{2.5}$ component analysis and PSAT-based source apportionment, we further quantified 352 the relative contribution of different sources to PM_{2.5} concentrations in Beijing during the four 353 pollution episodes (Fig 4). A major difference between the pollution episode in March 2013 and in 354 March 2018 was the dramatic decrease in the relative contribution of coal combustion from 40% 355 to 11%. Meanwhile, the relative contribution of vehicle exhaust increased significantly from 19% 356 to 54%, indicating that vehicle exhaust became the dominant source for the pollution episode in 357 March 2018 with the "2+26" regional emission-reduction measures. On the other hand, the 358 difference in the relative contribution of different sources between the two pollution episodes in 359 November 2016 (with local emission-reduction measures) and November 2017 (with "2+26" 360 regional emission-reduction measures) was much smaller. The major differences lied in the 361 notable increase in the relative contribution of vehicle exhaust from 29% to 40% and the decrease 362 in the relative contribution of coal combustion from 34% to 28%.

As described above, the continuous decrease in the relative contribution of coal combustion from the pollution episodes in 2013 to the episode in 2018 resulted from the combination of long-term and contingent local and regional emission-reduction measures. Note that despite a similar "2+26" strategy implemented, the relative contribution of coal combustion during the orange alert period in November 2017 was much higher than that in March 2018. A major reason for this dramatic change in a short period was the implementation of a large-scale environmental project. Before November 2017, the starting point of central heating in Beijing, a regional project called "Coal to Gas" had finished replacing coal-based central heating systems by gas-based systems for 1.9 million households in the Beijing-Tianjin-Hebei Region, leading to a 2 million-ton decrease in coal consumption in the region. As a result, the relative contribution of coal combustion, which was the dominant emission source for PM_{2.5} in Beijing during the central-heating season from November to March, decreased to a fairly low level during the orange alert period in March 2018.



Fig 4. The relative contribution of different sources to PM_{2.5} concentrations in Beijing
 during four pollution episodes

377 3.4 The relative contribution of local emission and regional transport to PM_{2.5} 378 concentrations in Beijing during the four pollution episodes

Through the simulation of the WRF-CAMx model based on local and regional emission inventories, we quantified the relative contributions of local emission and regional transport of airborne pollutants to the variations in $PM_{2.5}$ concentrations in Beijing during four pollution episodes (Fig 5). According to Fig 5, the relative contribution of local emission and regional transport to $PM_{2.5}$ concentrations in Beijing varied notably. For the pollution episode in March 2013 with no emission-reduction measures, the relative contribution of local emissions was 69.27%, much lower than the 88.35% for the "2+26" orange alert period in March 2018. On the 386 other hand, for the pollution episode in November 2016 with local emission-reduction measures, 387 the relative contribution of local emissions was 76.83%, much higher than the 49.46% for the "2+26" orange alert period in November 2017. Meanwhile, the relative contribution to PM_{2.5} 388 389 concentrations in Beijing from specific areas also differed significantly during these pollution 390 episodes. We found that different emission-reduction strategies did not lead to a clear pattern for 391 the relative contribution of local emission and regional transport. One major reason for this is that 392 the regional transport of airborne pollutants from neighboring areas to Beijing is influenced significantly by meteorological conditions, the intensity of regional emission sources and the 393 regional distribution of PM_{2.5} concentrations, which demonstrated remarkable seasonal variations 394 395 and synoptic-scale uncertainties. From this perspective, we attempted to explain the underlying 396 drivers for the variations in local and regional contribution to PM_{2.5} concentrations during the four 397 pollution episodes.



Pollution episodes

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concentrations in Beijing during the four pollution episodes

For the pollution episode in March 2013, without long-term and contingent emission-reduction measures, the large amount of combusted coal fuels in the neighboring areas of Beijing led to a relatively large regional contribution. For the pollution episode in March 2018, with the implementation of the large-scale "Coal to Gas" project and "2+26" strategy, the rapidly reduced coal consumption in cities surrounding Beijing and the limited restriction on the emission of local 406 vehicles led to a fairly high local contribution. For the pollution episode in November 2016, an 407 inversed temperature layer was observed with high relative humidity, which was a favorable 408 environment for the production of secondary PM2.5 and a relatively large local contribution. 409 Despite the implementation of the "2+26" strategy, the abnormally high regional contribution for 410 the pollution episode in November 2017 could be attributed to the prevailing southerly winds that brought in a large amount of air from neighboring cities (e.g., Shijiazhuang). Therefore, although 411 412 this pollution episode occurred in winter, it had more similarities to a summertime pollution episode, which was characterized by prevailing southerly winds, thoroughly mixed pollutants 413 414 within the Beijing-Tianjin-Hebei Region, and notable regional transport.

415 **4 Discussion**

Through the comparison of the components of PM2.5 during the pollution episode in 2013 and 416 417 those in 2016, 2017 and 2018, we found that the proportion of sulfate ions decreased significantly while nitrite ions became the dominant component of PM_{2.5} during the pollution episodes. This 418 419 result is consistent with findings from some recent studies (Fromme H et al., 2008; Tan J et al., 420 2016; Shang X et al., 2018). As revealed by previous studies (Zhang R et al., 2013; Liu Y et al., 421 2018; Shang X et al., 2018) and the source apportionment from this research, the use of coal fuels 422 has been the dominant source for the formation and mass concentration of PM_{2.5} in Beijing since 423 2013. However, the remarkable decrease in coal combustion since the winter of 2017 has greatly 424 reduced the contribution of coal combustion to local PM2.5 concentrations, which directly 425 improved the wintertime air quality and led to the cleanest winter in Beijing since 2013. The mean 426 wintertime (the winter for Beijing here refers to the central-heating season from November 15th to March 15th) PM_{2.5} concentration in Beijing for 2013, 2014, 2015, 2016 and 2017 was 88.19, 84.41, 427 89.39, 92.39 and 47.31 μ g/m³ respectively. 428

The implementation of the "2+26" strategy led to different effects on PM_{2.5} reductions during 429 430 specific pollution episodes. In addition to different emission-reduction strategies, the improvement 431 of air quality in Beijing is controlled by a diversity of factors. Firstly, meteorological conditions 432 exert a strong influence on the accumulation and dispersion of local airborne pollutants in Beijing 433 and the long-distance transport of airborne pollutants from neighboring areas. Secondly, the 434 distribution of $PM_{2.5}$ concentrations in the "2+26" region determines whether the air brought into Beijing from neighboring areas increases or decreases PM_{2.5} concentrations there. As shown in Fig 435 436 6, the spatial distribution of $PM_{2.5}$ concentrations in the "2+26" region may vary significantly

during different pollution episodes. Therefore, the influence of regional long-term transport of
PM_{2.5} concentrations on PM_{2.5} concentrations was controlled by the direction and intensity of
PM_{2.5} transport and the comparison between PM_{2.5} concentrations in Beijing and upwind areas.



Mean $PM_{2.5}$ Concentration (µg/m³) 50 230

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Fig 6. The distribution of PM_{2.5} concentrations in the "2+26" region during four pollution episodes

Thirdly, the PM_{2.5} level during pollution episodes influences the relative contribution of local and 443 444 regional contributions. The mean PM_{2.5} concentration during the "2+26" orange alert period in 445 March 2018 was 170.67 μ g/m³. High-concentration PM_{2.5} during pollution episodes led to a 446 stagnant condition with high humidity and low wind speed (Chen et al. 2017, 2018), which was an 447 unfavorable condition for the regional transport of airborne pollutants. Therefore, the relative 448 contribution of local emission to this extremely high PM2.5 concentration was 88.35% while the 449 relative contribution of regional transport was 11.65%. In this case, although unified 450 emission-reduction measures were implemented in its neighboring areas, the significantly 451 restricted regional transport did not fully project the effects of the "2+26" strategy to the local PM_{2.5} concentrations in Beijing. Conversely, the mean PM_{2.5} concentrations during the "2+26" 452

orange alert period in November 2017 was 92.91 μ g/m³, which was not high enough to significantly prevent the regional transport of airborne pollutants. Therefore, the "2+26" strategy led to a simultaneous reduction in PM_{2.5} concentrations in this region and a large amount of clean air from its neighboring cities that significantly diluted the local PM_{2.5} in Beijing. Consequently, the relative contribution of regional transport was larger than 50% and thus the "2+26" strategy achieved a much better effect on PM_{2.5} reductions than that in March 2018.

459 Another dominant factor that influences the effects of the "2+26" strategy is the level of air 460 pollution alert and its corresponding emission-reduction measures. With the launch of orange air 461 pollution alerts, a series of restrictions are placed on the temporary shut down of polluting 462 factories and the emission of fossil fuels can be reduced significantly. However, during orange 463 alert periods, only the use of a small proportion of vehicles that cannot meet Environmental 464 Standards Level I and II are forbidden whilst no additional regulation is implemented on the use 465 of more than 5 million private cars in Beijing. As a result, the relative contribution of vehicle exhaust increased rapidly during two of the "2+26" orange alert periods. Especially for the orange 466 467 alert period in March 2018, vehicle exhaust contributed to more than 50% of the high PM_{2.5} 468 concentrations that were higher than 174.24 μ g/m³. With dramatically reduced use of coal fuels in 469 the Beijing-Tianjin-Hebei Region due to the recent completion of the "Coal to Gas" project, the control of vehicle exhaust is increasingly crucial for managing $PM_{2.5}$ concentrations during 470 471 pollution episodes. In this light, red air pollution alerts, which have stricter regulations on the use 472 of vehicles, should be employed with the "2+26" regional emission-reduction strategy during 473 heavy pollution episodes. For instance, during the heavy pollution episode in March 2018, if a red 474 alert instead of the orange alert was issued, the implementation of odd-even license plate policy 475 would instantly cut the daily use of private cars in Beijing by fifty percent and significantly reduce 476 the contribution of vehicle exhaust to $PM_{2.5}$ concentrations. Given the growing contribution of 477 vehicle exhaust to PM_{2.5} pollutions in Beijing, in addition to the contingent regulations during pollution episodes, long-term policies, including the improvement of the public transit system, the 478 479 enhancement of petrol quality and promotion of electric cars, should be properly implemented for 480 further reducing vehicle-exhaust induced PM_{2.5} pollutions.

Although the regional transport network for air pollution in Beijing has been identified, this research suggested that only those cities adjacent to Beijing, such as Baoding, Shijiazhuang and Lang fang, made a relatively large contribution to the $PM_{2.5}$ concentrations in Beijing whilst the relative contribution of some other areas within the "2+26" framework was very limited. 485 Considering the substantial social and economic loss induced by the implementation of air 486 pollution alerts, city-specific, rather than region-wide unified emission-reduction strategies, 487 should be conducted for promoting air quality in Beijing during pollution episodes. Tight 488 measures can be implemented in cities that make a large contribution while lenient measures can be implemented in cities that make a limited contribution to $PM_{2.5}$ concentrations in Beijing. To 489 490 this end, future studies should place more emphasis on quantifying the relative contribution from 491 different cities to local PM_{2.5} concentrations in Beijing and setting city-specific emission-reduction measures for each city within the "2+26" region. 492

493 **5 Conclusions**

494 We compared the variations in $PM_{2.5}$ concentrations in Beijing during four recent pollution 495 episodes with different emission-reduction strategies. Based on this comparison, we found that the 496 "2+26" regional emission-reduction strategy implemented in March 2018 led to a mean PM_{2.5} 497 concentration of only 16.43% lower than that during the pollution episode in March 2013, when 498 no emission-reduction measure was in place. On the other hand, the same "2+26" strategy 499 implemented in November 2017 led to a mean $PM_{2.5}$ concentrations of 32.70% lower than that 500 during the pollution episode in November 2016 with local emission-reduction measures. The result suggested that the effects of the "2+26" regional emission-reduction measures on PM2.5 501 reductions were influenced by meteorological conditions, regional distribution of PM_{2.5} 502 503 concentrations and local PM2.5 level, and could differ significantly during specific pollution 504 episodes. Based on our $PM_{2.5}$ component analysis, we found that the proportion of sulfate ions 505 decreased significantly and nitrate ions were the dominant PM2.5 components during the two 506 "2+26" orange alert periods. The source apportionment revealed that the relative contribution of 507 coal combustion to PM_{2.5} concentrations during the pollution period in March 2013, November 2016, November 2017 and March 2018 was 40%, 34%, 28% and 11% respectively, indicating that 508 509 the recent completion of the large-scale "Coal to Gas" project and contingent "2+26" regional emission-reduction measures led to a dramatic decrease in coal combustion in the 510 511 Beijing-Tianjin-Hebei Region. Meanwhile, with no specific regulation on the use of private cars, 512 the relative contribution of vehicle exhaust during the "2+26" orange alert periods in November 2017 and March 2018, was 40% and 54% respectively. The relative contribution of local 513 emissions to PM_{2.5} concentrations in Beijing varied significantly and ranged from 49.46% to 514 515 89.35% during the four pollution episodes. With gradually reduced coal consumption in the Beijing-Tianjin-Hebei region, this research suggested that the "2+26" regional emission-reduction 516

strategy should be implemented with red air pollution alerts to intendedly reduce the dominant contribution of vehicle exhausts to $PM_{2.5}$ concentrations in Beijing during heavy pollution episodes. Meanwhile, emission-reduction policies should be designed and implemented accordingly for different cities within the "2+26" regional framework. The methodology and findings from this research provided useful reference for comprehensively understanding the effects of the "2+26" strategy, and for better design and implementation of future long-term and contingent emission-reduction measures.

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532 Author contribution

533 Chen, Z., Xu, B and Yang, L. designed this research. Chen, Z wrote this manuscript. Chen, D.,

- 534 Zhuang, Y, Wen, W., Gao, B. Li, R. and Zhao, B conducted data analysis. Chen, D and Zhuang, Y.
- 535 produced the figures. Kwan, M., Yang, L. and Chen, B helped revise this manuscript.

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