We would like to thank the reviewers for their insightful commentary and catching the inconsistencies that existed in the first version. As a result, we have revised the manuscript substantially and believe it has significantly improved from its original submission. Please see the tracked changes revision attached to this review. One change that we made on our own volition was to develop a separate sub-category of the southeasterly cases that were either completely or predominantly southeasterly, and were either SDE days (i.e., 24 Feb and 10 Mar) or days with the most boundary layer influence based on the radon data (i.e., 27 Feb and 28 Feb). These days are indicated in green in the table, and figures and discussion has been revised to reflect this change.

Reviewer 1 (Gabor Vali)

Summary:

The two related goals of the paper are: in-cloud measurements of INPs and the identification of INP type or composition. The paper makes a good contribution to INP studies by providing a data set involving INP measurements in air (with size sorting), rime, and snow at the same time. They show evidence for the dependence of INP abundance depending of air mass trajectory. Some inferences are drawn regarding local versus distant sources of INPs. The authors' conclusions are relatively simple and reasonably well supported by the data, but the analyses are limited without chemical and other supporting measurements. All that notwithstanding, an important revision is needed to take into account the points made in the Section 3 below.

Major remarks:

1) Stratification by storm type in Sections 3.1 and 3.2 focus only on air trajectory. It is surprising that precipitation during 10 preceding days, cloud height, depth etc. are not considered. In some fashion those parameters are probably related to the airmass trajectories, but omission of attention to those factors makes the arguments presented sound incomplete and superficial. This sort of narrow focus in the treatment of the data is unsettling in various parts of the paper. Results in Section 3.2 and Fig. 6 are presented without separation of in-cloud and out-of-cloud samples. This sort of problem is not unique to this data set. It has also been there with the numerous papers reporting INP, and other, measurements at JFJ observatory.

The intention of the classification was to simply determine directionality of the storms—and thus, possible INP sources—affecting Jungfraujoch during the study as delineated by section 3.1. The two dominant flow patterns are well documented at Jungfraujoch (i.e., Stopelli et al. (2015) and the newly-added reference of Collaud Coen et al. (2011)). In the current work, we use air mass trajectories, but also corroborate with local wind speed and direction, and evaluate INPs in the context of air temperature during storm days in section 3.2.

We do not classify by "storm type" since adequate parameters such as precipitation quantities and cloud properties were not measured and are not routine baseline measurements at Jungfraujoch. For clarity, we now provide a definition of "storms" in the beginning of section 3.1: "These days were also deemed days with "storm" conditions since clouds and snow were both present at JFJ." We also now define that we evaluate INPs in the context of storm directionality where appropriate throughout the revision (e.g., in section 3.2). However, we have included some non-storm days in the revision and initially define those in section 3.1, so we have reduced the use of "storm" cases unless only referring to the northwest or southeast samples.

We already presented cloud percentage data in Figures 1 and 8 (now presented in the new Figure 1 only) using the methodology of Herrmann et al. (2015), which show that all case study samples were collected under at least some percentage of in-cloud conditions. This agrees with the fact that cloud rime was

collected during our case study days, indicating the presence of clouds. Presenting the cloud data a third time in Figure 6 would be redundant.

Collaud Coen, M., Weingartner, E., Furger, M., Nyeki, S., Prevot, A. S. H., Steinbacher, M., and Baltensperger, U.: Aerosol climatology and planetary boundary influence at the Jungfraujoch analyzed by synoptic weather types, Atmos Chem Phys, 11, 5931-5944, 10.5194/acp-11-5931-2011, 2011.

2) The paper expands on other INP data from JFJ by determining INP abundance as a function of temperature, i.e. INP spectra. This is a useful step and avoids the somewhat simplistic comparisons that, unfortunately, arise from discussing and comparing INP concentrations without distinguishing between data obtained at -10°C or at -30°C. INP composition, their sources and their transport can be expected to be significantly different for widely different temperature regimes. The greater focus on this aspect of INP studies is a good contribution. Expanding further on the point, use of differential INP spectra for gaining more information about INPs is a commendable goal as it goes even further in focusing on INP characteristics than the more frequently employed cumulative spectra. However, the manner this is done in this paper deserves closer examination and revision, as argued under the heading "Differential spectra" below.

We have revised the differential spectral calculations, figures, and discussion significantly to follow the methodology of Vali (2018). Please see detailed responses in the "Differential spectra" section below.

3) As a general comment about interpretations of INP spectra, I'd express some caution. The paragraph in lines 267-285 about warm and cold-mode INPs is somewhat superficial. The temperature ranges and magnitudes of INP concentrations need to be considered quantitatively before deductions are made about possible sources of the INPs. Just looking at freezing temperatures (like onset and percentiles frozen) can be misleading since they depend on sample concentration (e.g. filter exposure time, dilution), number of drops tested and drop volume.

We now chiefly focus on the differential spectra but still do mention the cold and warm modes in the original df/dT spectra, which are now correctly labeled. We have found df/dT useful in qualitative evaluation of possible different INP populations (i.e., what are likely modes for mineral + biological versus biological) within one sample, as demonstrated additionally in previous work by Augustin et al. (2013). Almost all of the samples (except for the 24-Feb and 28-Feb aerosol samples) contain spectra that have a cold mode when assessing df/dT, but we would like to show their temperatures to demonstrate the dT between each of the two modes when a warm mode is present. The warm mode in the differential spectra afford a more quantifiable approach of the "bump" in the warm regime of the cumulative spectra as observed in previous studies (e.g., DeMott et al., 2016; DeMott et al., 2018; Hill et al., 2016; Kanji et al., 2017; McCluskey et al., 2017; Petters and Wright, 2015; Suski et al., 2018; Vali, 1971; Vali and Stansbury, 1966).

Hence, we decided to show both spectra types in addition to cumulative and have clarified throughout the text which we refer to. We also have added explanation and discussion on all three types of spectra and why they are useful in section 3.2. The new Figure 5 contains all three spectra types, but Figure 6 shows mode temperatures for df/dT since the differential spectra have the same values for the warm mode (as we now note in the caption and discussion) and no mode in the cold regime due to exponential increase at those temperatures. We use this combination of spectral information to glean possible sources.

Augustin, S., Wex, H., Niedermeier, D., Pummer, B., Grothe, H., Hartmann, S., Tomsche, L., Clauss, T., Voigtländer, J., Ignatius, K., and Stratmann, F.: Immersion freezing of birch pollen washing water, Atmos. Chem. Phys., 13, 10989-11003, 10.5194/acp-13-10989-2013, 2013. 4) Related to the point raised in 1), one wonders what is underlying vision for comparing or correlating INP concentrations in air (via the filters) and in snow and in rime. These are three very different pathways for the INPs. Sources for each component can be different in space and time. With a broad separation such as NW versus SE airmasses perhaps these differences become unimportant but not necessarily so. It would be useful to read about the authors' perception on this issue. The paper glosses over such concerns thereby creating a degree of unease about the meaning of the results.

The difference in temporal coverage between snow, rime, and aerosol sampling was due to practical constraints. It forces us to integrate in our interpretation over an air mass including clouds and cloud-free sections. As long as wind direction and planetary boundary layer influence are similar within an air mass, we think this approach can still lead to insights, although a perfect synchrony in sampling all components would of course have been preferable. We now address this issue at the end of section 2.1 (Aerosol, cloud rime and snow collection at Jungfraujoch).

Differential spectra:

5) What in this paper is called (Fig. 7, lines 26, 145, and other places) "normalized differential INP concentration" does not correspond to previously used definitions of that term. Earlier definitions are found in the references cited in the paper. Further references are Vali et al. (2015) (Atmos. Chem. Phys. 15, 10263-10270) and the recent Vali (2018) (Atmos. Meas. Tech. 15 Discuss., https://doi.org/10.5194/amt-2018-309). To summarize, briefly, differential spectra, or differential INP concentrations, reflect the concentration of INPs or active sites per unit temperature interval. It also describes the probability of freezing for drops of given volume at given temperatures. As its name indicates, the differential spectrum corresponds to the differential of the cumulative spectra. The cumulative spectrum is denoted by [INPs(T)] on line 147 of the paper. Other frequent designation of this cumulative spectrum is K(T), with k(T) used for the differential spectrum. Both quantities are closely related to site density. Because the values are specific to each temperature, independently of what other INPs may be present in the sample, the differential spectra provide more acute diagnoses of INP characteristics then the more frequently employed cumulative spectra or the fraction frozen.

We have revised the terminology to be consistent with Vali (2015, 2018). Specifically, we redefined cumulative INP concentrations or what we called [INPs(T)] as K(T) and differential INP concentrations as k(T). We relabeled axes in the figures and updated the text to be consistent with these definitions, and added more details on the calculations used in section 2.2 for clarity.

6) Line 152 states that differential values were obtained from the cumulative concentration. In fact, the results shown in Fig. 7 (right hand panels) and then used in Section 3.3 appear to represent some different quantity because differential spectra k(T) usually exhibit a steady rise with decreasing temperatures at low temperatures. The large drop in the spectra at low temperatures seen in the right-hand panels of Fig. 7 suggest that what is shown in the graphs represent the differentials of the frequency of freezing events, f(T), not of the cumulative INP concentration. Since fewer and fewer drops are left as the sample drops freeze and f(T) approaches unity at the lowest temperatures in an experiment, the increase in f(T) per temperature interval, $\delta f(T)/\delta T$, can be expected to drop off, just as it seen in these figures.

We realize we had previously only shown the differential of f(T) (i.e., $\delta f(T)/\delta T$ or df/dT as we call it to be consistent with the notation presented by Augustin et al. (2013))—thank you for catching this inconsistency. We redid all calculations and now provide the k(T) equation from Vali (2018) that we applied to our data (see section 2.2). We now show K(T), df/dT, and k(T) in the new Figure 5.

Bimodality was evident in the df/dT spectra, however, given the large increase in k(T) in the cold temperature (i.e., < -15 °C) regime, well-defined "cold modes" are not present. We now clarify that when

we refer to cold modes, that is for df/dT only and warm modes are for both df/dT and k(T). We have highlighted these regions in each of the spectra types in Figure 5.

7) The differential $\delta f(T)/\delta T$ is a valid representation of the measurements but it lacks clear physical meaning. That differential is nearly the same as the 'freezing rate, R(T)' defined in Section 4.6 of Vali et al. (2015), but without the first ratio in that definition. For $f(T) \ll 1$, i.e. at the higher (warm) end of the range $\delta f(T)/\delta T$ the first term in R(T) is close to unity and $\delta f(T)/\delta T \sim R(T)$ so that minor peaks are resolved in $\delta f(T)/\delta T$ without the distorting effect of reduced sample size. However, at smaller values of f(T) that distortion becomes dominant, so that little significance can be attached to peaks such as those shown in Fig. 7 of the paper. That this is indeed the case can be demonstrated by changing the drop volumes. Such a change would shift the positions of the cold-mode peak. In contrast, k(T) for different drop sizes overlap and form a continuous curve (e.g. Fig. 4 in Vali (1971)(J. Atmos. Sci., 28,402). Another illustration can be thought of with dilutions of the sample with INP-free water. The drop-off beyond the cold-mode peak of the original sample will not be reproduced with the diluted sample since large numbers of drops will be still available for substantial rates of freezing at that temperature. In this case too, k(T) for successive dilutions overlap and form a continuous curve. The ratio $\delta f(T)/\delta T$ has not been used in the past to describe experimental results, so the authors should define it clearly and explain why they chose that presentation. Renaming that quantity would be helpful in avoiding future misunderstandings. Alternatively, they could consider changing the analysis to using k(T) or R(T) to represent their data. The point is that $\delta f(T)/\delta T$ is an experiment-specific relative characterization of the results, while other, more objective quantities could be used. An additional effect of the choice of analysis in terms of $\delta f(T)/\delta T$ is that freezing of some of the drops at warmer temperatures decreases the number and, potentially, the gradient of the frequency at lower temperatures. Is that the reason why the so-called cold-mode for the SE samples seems to occur at warmer temperatures in Fig. 7 than for the NW samples?

We have found df/dT useful in qualitative evaluation of possible different INP populations (i.e., what are likely modes for mineral + biological versus biological) within one sample, as demonstrated additionally in previous work by Augustin et al. (2013). We realize this may be experiment-specific and make note of this in section 3.2 – that the use of df/dT is to intercompare samples in this study. We are now careful with discussion on these spectra and are transparent by explaining their qualitative nature of showing either one or two of the INP populations.

Specific remarks:

lines 33-34: Precipitation production is not limited, as implied, to clouds that contain both liquid and ice, Unfortunate phrasing. But the mention of mixed-phase clouds (MPC) in the subsequent several lines keep mixing the focus on the importance of INPs in general and the importance of MPCs for precipitation production.

For clarity, we have changed this sentence to, "Aerosol-induced ice microphysical modifications influence cloud lifetime and albedo (Albrecht, 1989; Twomey, 1977; Storelvmo et al., 2011), as well as the production of precipitation (DeMott et al., 2010)."

line 37: There is jump here from the discussion of the INPs as important problems for weather and climate models to the practical problem of measuring INPs. Also, from none of the foregoing follows the argument of line 41 that in-cloud measurements are indispensable for progress.

We moved around a couple of sentences in this paragraph to start with only broader aerosol-cloud statements and then transition to INPs. We also changed "necessary" to "useful for assessing" to omit any idea that these are merely indispensable for progress.

line 43: Another jump in logic. What's said here had to be already taken for granted for the previous paragraph to make sense.

Because we reorganized this paragraph, we left the last sentence as it demonstrates that INP observations in-cloud are even more limited than observations in general (i.e., in both in-cloud and below-cloud or ground level measurements when measurements are not conducted at levels where clouds can form). Perhaps there is some confusion with "in cloudy" compared to "in-cloud". We have now clarified we are referring to directly in-cloud.

lines 43-76: These two paragraphs get into too much detail. The present study does not address many of the details described as possibly controversial or uncertain, so raising the issues is a diversion. Other review papers deal with what is known about the components of INPs. This paper is directed only to a broad classification of INP types by composition.

To provide context for the spectral characteristics and what they might mean, we need such detailed background on what types of INPs call where on the spectrum of freezing temperatures. However, we realize we cannot address the limitations that we call out from previous studies, so we remove mentioning of those uncertainties or controversial nature. We retain the limitations of the modeling examples, as they support the need for additional observations (not just ours, but in general, more are needed to improve the models).

lines 104-105: To what extent did wind removal of snow from the pans hinder determinations of snowfall rates?

Unfortunately, we can only speculate that winds did remove some of the snow but cannot quantitatively assess this to provide actual snow rates. We note wind removal is a possibility and thus cannot determine snowfall rates in the beginning of section 2.1.

line 131: Drop size variability introduces errors due to INP content being proportional to volume, as also pointed out in Creamean et al. 2018b. How significant this error is depends on the range of variation of drop volumes. The way this line is phrased is incorrect and subjective. The error introduced may be small or equal compared to other sources. The only concise way to test for this would be to do large numbers of repeated runs from the same sample. Recommend to change "indeterminable" to "undetermined" in line 130 and eliminate line 131.

Done.

line 136: Cooling-rate dependence is small but not non-existent as shown in the references cited. If the authors' tests showed no discernible dependency it must be because other variations hid the cooling-rate dependence.

Good point. We have changed "no discernible" to "very little discernible".

line 142: The reader deserves to know what this 'custom software' was that connects visual detection with a recording system. Also, one wonders why is cooling rate considered a factor for each drop, when the previous paragraph states that there is no 'discernible' effect.

It is simply software that records the time, probe temperature, and cooling rate every second. When we identify that a drop has frozen, we click a button so that the software records that exact time, probe temperature, and cooling rate of that drop in a separate file. We now provide this level of detail here.

line 144: How were triplicate samples combined for analyses? Averages of fraction frozen at each temperature? How much variability was there among the three runs per sample?

We have defined in our previous publications that the 3 tests typically do not vary drastically from each other, and any variability is considered when calculating the error bars. We first combine the frozen drop records from the three tests, then calculate fraction frozen, then INP concentrations. We have clarified this in section 2.2.

line 153: Assigning significance to the fact that the data shown in the references cited extended to only about -20°C may indicate a misunderstanding. The -20°C limit was due to no fundamental limitation of the validity of definition of differential spectra. It was due to the background from distilled water and from the supporting surface becoming important at colder temperatures.

Thank you for pointing this out. We have changed this sentence to, "Spectra from these previous studies only reached a minimum of -20 °C due to the limitations of background artifacts in the water used at that time."

lines 160-163: Can the method used distinguish between clouds enveloping the observatory and clouds just a few hundred meters above it? Were there no in-situ instruments or visibility measurements available for detection of clouds?

Unfortunately, there were no in situ cloud or visibility measurements. We added a statement delineating this missing element in the beginning of section 2.3. The effective sky temperature method we used from Hermann et al. (2015) demonstrates robustness in the winter at Jungfraujoch when compare to all-sky camera observations of cloud (i.e., both the method and all sky determine Jungfraujoch is in cloud 38% of the time in the winter), indicating the reliability of this method especially during this season. However, we cannot distinguish between clouds enveloping at the observatory and clouds a few hundred meters above. Given the scale of the storms hitting the site during INCAS (as evaluated from MODIS visible imagery; see representative examples from 15 Feb (top) and 24 Feb (bottom) below from https://worldview.earthdata.nasa.gov/), it is likely that the localized orographic formation is rare in comparison, rendering the issue irrelevant.



line 173: Please define SDE.

SDE was already defined in the introduction.

Fig. 6 panel a: Are the lines shown averages for the each condition? If the curves in panel a) are differential concentrations, as the presence of a peak suggests, then the units of the ordinate are incorrect.

These were averages of cumulative spectra. We originally did not include zero as INP values before the onset freezing temperatures, which is why it did not look correct or have a cumulative shape. We have fixed this and now label the plots to define when they are cumulative or otherwise.

Fig. 6 panel b-h: The numbers of points doesn't seem to be the same in each panel. Some points may be missing for samples that had no freezing event at -10°C, but shouldn't the other panels contain the same numbers of points. It is hard to tell if that is the case. Perhaps due to overlap of points. Please check and explain if some additional selection has been made.

This is due to overlap of some points, which we now state in the caption: "Some data points overlap and thus plots may appear to not have the same number of points per sample."

line 233->: It would be helpful if the authors stated what signals they consider significant in Fig. 6. The figure is complex and the data noisy. The jump into comparisons with the Stopelli data is hard to follow without first pointing out what deductions are extracted from Fig. 6.

We have significantly changed Figure 6 to make it less complex and noisy and now discuss it after showing the three different types of spectra. We segue from Figure 5 (spectra) to Figure 6 (statistics) more smoothly now so that the comparison with Stopelli et al. (2016) data make sense.

lines 239-240: The phrasing could be improved. You mean cumulative concentrations at -15°C as the criterion used for the assertion?

We did mean cumulative and now define this. Anytime we mention "INP concentrations", we now define it we are talking about cumulative or differential.

line 243: Onset definition is based on single drops? Wording in parenthesis on lines 244-245 should be corrected and made more specific.

Yes. We have no clarified this.

lines 265-266: This assertion about the role of hoar frost needs some explanation.

We have added to this sentence that hoar frost is a form of rime. Therefore, any addition of hoar frost to a collected snow sample will make it more similar to rime.

lines 267-285: This paragraph will need to be revisited after the authors examine the issue raised about the differential spectra, and also take into account the comment on line 153 above.

Done. This entire section was revised based on the addition of the correct differential spectra.

Section 3.3: Because of misgivings about the meaning of the cold-mode data, it is difficult to sort out what part of the conclusions is supported by the data. Focusing only on whether a minor peak was present or not and forgetting about the cold-mode W entries in Fig. 8 the analysis may not change much since the position of the C peaks is almost uniform for all the days and contains little additional information.

We have significantly revised this section to better explain what the results show by using a combination of cumulative, df/dT, and differential spectra.

line 348: Reference to 'differential INP spectra' need change in light of Section 3 of these comments.

We have fixed this to include the correct deductions based on the df/dT and correct differential spectra.

line 351: The meaning of statements about the relative magnitudes of INP concentrations is unclear unless the temperature to which they refer is specified, and it is clear what quantity is used for the comparison (f(T) or K(T), or other).

We have defined the INP type, here and elsewhere in the manuscript for any mention of INP concentrations.

line 352: Reference to bi-modal spectra may also need to be re-thought depending on what changes are made regarding the use of $\delta f(T) = \delta T$) or its replacement. The line 354-359: The thoughts expressed here are basically sound but are somewhat overstated regarding how much information can be gained from cumulative versus differential spectra. The latter are more specific and make it easier to see differences among samples, but the information content is not different.

Based on the new calculations, our conclusions have been revised to properly fit what the data show.

Reviewer 2

Summary:

The results presented by M. Creamean and co-authors give insights into potential sources of INPs at the High Altitude Research Station Jungfraujoch. The study was conducted in winter and investigates freezing spectra of aerosol particles as derived from aerosol, cloud rime, and snow samples. The results from this study give an interesting insight into INP characteristics during this specific time at Jungfraujoch, however, my concerns center mostly around the methods used, which impact the interpretation of the results. After addressing these concerns and better highlighting the limitations of the study, the manuscript will be suitable for publication in ACP.

Major remarks:

- The results presented by Creamean et al. are based on a limited set of data with respect to sampling time. E.g. none of the southeasterly samples are within the free troposphere. This results in a confusion of air mass characteristics by wind direction versus in-or-out of boundary layer conditions. As such I believe the air masses cannot be distinguished as function of wind direction, which is one of the main findings of the authors. I suggest to better indicate which air mass is transported in the free troposphere and which is impacted by the boundary layer.

Actually, the southeasterly sample from 24 Feb was in the free troposphere as demonstrated by the radon data in the new Figure 1. To avoid confusion and as the reviewer suggests, we now clarify which cases (with the newly added boundary layer days of 27 Feb and 28 Feb) were affected by boundary layer air and which by the free troposphere. Table 1 now includes classifications for days under predominantly free tropospheric or boundary layer influences.

- Jungfraujoch is regularly exposed to short-term emissions from touristic activities, as e.g. tobacco smoke, emissions from helicopters and snow cats, as summarized in Bukowiecki et al., 2016. Such local emissions can lead to short-term peak concentrations of aerosol particles. Did you consider such emissions, especially with regard to the 24-hr aerosol sample? If not, this limits the interpretation of the results and should be pointed out.

Although it is possible such sources could affect the particle population, it is unlikely the $3 - 12 \mu m$ particles were affected by such local sources of pollution, given the typical size distributions of fresh cigarette smoke and combustion aerosol (e.g., Frohlich et al. (2015); Li and Hopke (1993); Zhang et al. (2013)). Bukowiecki et al. (2016) discuss these local source possibilities in the context of carbonaceous aerosol in PM₁. Although

this is the case, we did add a statement to section 2.1: "It is possible local sources of aerosol, such as tobacco smoke or emissions from touristic infrastructure were collected by the DRUM (Bukowiecki et al., 2016), but did not likely affect the $2.96 - >12 \mu m$ particles which we focus on herein.

- Fröhlich, R., Cubison, M. J., Slowik, J. G., Bukowiecki, N., Canonaco, F., Croteau, P. L., Gysel, M., Henne, S., Herrmann, E., Jayne, J. T., Steinbacher, M., Worsnop, D. R., Baltensperger, U., and Prévôt, A. S. H.: Fourteen months of on-line measurements of the non-refractory submicron aerosol at the Jungfraujoch (3580 m a.s.l.) chemical composition, origins and organic aerosol sources. Atmos. Chem. Phys., 15, 11373-11398, doi:10.5194/acp-15-11373-2015, 2015.
- Li, W., and Hopke, P. K.: Initial Size Distributions and Hygroscopicity of Indoor Combustion Aerosol-Particles, Abstr Pap Am Chem S, 206, 53-Envr, 1993.
- Zhang, Y. P., Sumner, W., and Chen, D. R.: In Vitro Particle Size Distributions in Electronic and Conventional Cigarette Aerosols Suggest Comparable Deposition Patterns, Nicotine Tob Res, 15, 501-508, 10.1093/ntr/nts165, 2013.

- Tracing air mass origin with the HYSPLIT model has some limitations in a complex terrain as Jungfraujoch. Another powerful tool to determine source emission sensitivities is FLEXPART, which is specifically improved for the site (e.g. Pandey Deolal et al., 2014). Given the spatial resolution of HYSPLIT I find it hard to thrust interpretations based on such results alone.

We use HYSPLIT in the context of the local meteorology and to support the aerosol and INP measurements. While we realize HYSPLIT has limitations in complex terrain, it has been widely used in a number of applications and can serve as a reliable (although, qualitative) tool to evaluate air mass sources. We wanted to use a simple tool to assess general air mass sources to corroborate local wind directionality and possible INP sources. One reason we ran trajectories at multiple ending altitudes was to assess any divergence in the results of general air mass transport direction. We also generalize all possible source locations within range of the trajectory pathways and are careful not to point at any one source. We have revised the second half of section 3.1 to insure we do not over interpret the results from HYSPLIT alone and solely use them to corroborate other in situ measurements.

- To my understanding the collection of cloud rime should only result in impaction of liquid water droplets on the collection plate, which freeze upon collision. In case that the cloud temperature is colder than the activation temperature of INPs, such samples should not contain ice-active particles. However, INP concentrations are very high in rime samples, and orders of magnitudes higher than the aerosol sample INP concentration. Please explain why this is the case.

For most of the time cloud temperature at the elevation of Jungfraujoch was warmer than -15 °C. Hence, the differences between rime and snow are small at temperatures below that. However, at cloud temperatures above -15 °C we generally found larger concentrations of INP in snow as compared to rime (Figure 7 in the initial manuscript, Figures 5 and 6 in revision). This finding supports your suggestion made in the second sentence of above paragraph. INP concentrations in rime differ from those in aerosol samples by orders of magnitude because INP concentrations in rime are per unit volume of liquid (rime melted for analysis) and those for aerosol are per unit volume of sampled air. Assuming a liquid water content of 0.1 g m³ the INP concentrations in 1 L of rime are already seven orders of magnitude larger than those of aerosol in 1 L of air.

Specific remarks:

Page 1, title: Please specify that you investigate freezing spectra characteristics; readers outside of the INP community might get confused.

Done.

Page 1, lines 26 - 28 (and page 8, lines 284 - 285): I believe that with the methods used here, you cannot clearly identify biological, dust, or a mixture between the two. To make such connection in field studies would require a proper assessment of the aerosol particle population and/or of the ice residuals with respect to the biological and dust particle concentration.

This is based on the body of previous work, which we elaborate upon in the introduction. Hence, why we stated these are "punitive" influences and "possible" sources.

Page 1, line 30: This statement needs a reference.

These are widely accepted and understood processes that are quite broad in scope. We provide specific details under the umbrella of this statement in the following sentences, thus, did not think a citation was necessary for conventional wisdom.

Page 1, lines 33 - 34: The statement on the microphysical impact on precipitation formation in mixed-phase clouds needs a reference.

Done.

Page 3, lines 86 – 87: Before you defined "warm temperature INPs" as INPs active > -15° C (page 2, line 72). Please be consistent.

Fixed. Stopelli et al. (2016) used a different definition of warm temperature INPs, so we omitted their definition to avoid confusion.

Page 3, lines 97 - 98: Given the different temporal and spatial resolutions of your aerosol, cloud rime and snow samples, how can you explain the exchange of INPs into air, cloud, and precipitation? E.g. a 24-hr aerosol sample might not be dominated by the INP population which caused cloud and precipitation formation. Also, clouds might from far away from the site, as well as precipitation particles before reaching the ground.

The difference in temporal coverage between snow, rime, and aerosol sampling was due to practical constraints. It forces us to integrate in our interpretation over an air mass including clouds and cloud-free sections. As long as wind direction and planetary boundary layer influence are similar within an air mass, we think this approach can still lead to insights, although a perfect synchrony in sampling all components would of course have been preferable. We now address this issue at the end of section 2.1 (Aerosol, cloud rime and snow collection at Jungfraujoch).

Page 3, line 111: Please indicate if you refer to volume or mass/standard flow.

Fixed. Indicated this is volumetric flow.

Page 4, line 116: What is the collection efficiency of the drums? Is there a size dependency, e.g. an increased loss due to reflection on the stages for larger particles?

While we have not conducted our own tests for collection efficiency, the DRUM has been intercompared and characterized by previous work (e.g., Cahill et al. (1987); although they used an 8-stage DRUM). Although the study by Bukowiecki et al. (2009) presents testing of collection efficiency tests with a different

DRUM model as ours (i.e., they used a 3-stage DRUM), they demonstrate how rotating drum impactors are generally accurate and robust. We have added these references to section 2.1.

- Bukowiecki, N., Richard, A., Furger, M., Weingartner, E., Aguirre, M., Huthwelker, T., Lienemann, P., Gehrig, R., and Baltensperger, U.: Deposition Uniformity and Particle Size Distribution of Ambient Aerosol Collected with a Rotating Drum Impactor, Aerosol Sci Tech, 43, 891-901, 10.1080/02786820903002431, 2009.
- Cahill, T. A., Feeney, P. J., and Eldred, R. A.: Size Time Composition Profile of Aerosols Using the Drum Sampler, Nucl Instrum Meth B, 22, 344-348, Doi 10.1016/0168-583x(87)90355-7, 1987.

Page 5, line 164: You introduce 222Rn as abbreviation for radon, but you do not use it consistently in the text. Or do I miss a major difference between "radon" and "222Rn"?

We found one instance beyond the first time we introduce as "Radon (^{222}Rn)" in the text and the other time in the new Figure 1 caption where we just used " ^{222}Rn ". We have changed this to "radon" for consistency.

Page 5, line 177: You do not use the abbreviated "TSP" thereafter, therefore I suggest to not introduce it.

We have removed "TSP".

Page 5, line 177: I do not understand why an increase in the 48-hour total suspended particle concentration is of interest in this study; given the time resolution of your rime and snow sample is on the order of hours, an overlap between a detected SDE (> 4 hours) and your sample might have occurred.

This information was based on previous work and the development of the Collaud-Coen et al. (2004) method for detecting SDEs at Jungfraujoch based on the PSI 48-h time resolution PM sampling. The purpose for providing this information is to demonstrate that SDEs do not always lead to substantially high concentrations of PM based on previous work. If 48-h PM value is not increased, then the SDE event was only a weak one or the temporal overlap with the 48-h period was short. We noted days where SDEs were detected, but that does not always translate to a day-long SDE. We have now clarified that this statement was based on previous work.

Page 5, lines 168 - 169: In previous studies several approaches have been used to assess boundary layer contact of the air mass arriving at Jungfraujoch. To make the distinction between boundary layer influence and free tropospheric conditions more reliable I suggest to use an additional method, as e.g. described by Herrmann et al., 2015.

We do not think the use of another boundary layer versus free tropospheric conditions method is necessary, as the radon provides in situ observational evidence of when the air arriving at Jungfraujoch was in contact with the boundary layer or not. Additionally, Herrmann et al. (2015) do use ²²²Rn at Jungfraujoch and determine that it is "very much in line with the other two approaches" they used during their in-depth analysis. Thus, ²²²Rn serves as a viable parameter for determining boundary layer influences at Jungfraujoch.

Page 6, lines 200 - 201: This is very vague, and not well quantified. A statistical analysis of e.g. mean travel height of the back trajectories for both wind directions would be helpful.

This contrasts with the reviewer's previous comment on the limitations of HYSPLIT in complex terrain. This is the reason we generalize sources when considering the HYSPLIT results and only use them to provide some corroboration of local meteorology and the aerosol and INP results. We also compare the trajectories qualitatively and relative to each other. A more quantitative assessment would be speculative. Page 6, line 220: What is the correlation coefficient? Is the relationship statistically significant?

The OPS and radon measurements do not necessarily correlate well when evaluating the highest time resolution of data available. What we intended to demonstrate with this statement was that when looking at a day at a time, we see relationships with increases and decreases during this time compared to before or after. We have revised this statement to reflect this intension.

Page 7, line 221: How do you determine upslope winds out of figure 1b?

We removed the word "upslope".

Page 7, lines 236 – 237: Please add another reference for this quite general statement.

We have added Jaenicke (1980).

Jaenicke, R.: Atmospheric aerosols and global climate, Journal of Aerosol Science, 11, 577-588, https://doi.org/10.1016/0021-8502(80)90131-7, 1980.

Page 7, line 252 - 257: Figures 6c-h are hard to understand in the way they are visualized. Given that you do not observe any relationship between INP concentration and air temperature/wind speed, I suggest to show these results in in the supplementary material.

We agree. We removed those from the figure (now the remaining panels are shown in the new Figure 6) and simply state that there was not relationship with wind direction and temperature.

Page 9, line 300: I cannot identify the freezing spectra for this case study. Please highlight this in e.g. figure 7.

There is not one case that was discussed on page 9, line 300 (it was a continuation of discussing the air mass trajectories for 15 and 16 Feb). Spectral statistics including onset, T_{10} , T_{50} , and the warm and cold modal temperatures are presented in the new Figure 6, so one can glean how these days were different than the southeasterly or SDE and boundary layer case days.

Page 9, lines 300 - 302: I find it hard to follow your argumentation since you do not know the source region and transport pathway of the cloud.

We assume the air mass transport is not only characteristic of the air mass containing the INPs, but also the air mass containing clouds which likely formed during transport to Jungfraujoch. We have noted here, "...assuming the clouds formed along the air mass transport pathways."

Page 9, lines 331 - 333: In order to strengthen this finding, you could include meteorological maps indicating frontal systems.

Unfortunately, we are not aware of any achieved meteorological maps containing such information (e.g., in situ radar) that are available free to the public. However, we looked at NCEP/NCAR reanalyses of 600 mb (approximate height of station) geopotential height (https://www.esrl.noaa.gov/psd/data/composites/day/), which corroborate the passing of a cold front from 22 Feb to 24 Feb to the southwest:



We have now indicated in the manuscript that the passage of a cold front was corroborated with NCEP/NCAR reanalysis. We also note in the methods that air mass transport was supported by NCEP/NCAR reanalyses of wind vectors and geopotential height at 600 mb (section 2.3).

Page 10, lines 354 - 368: This main part of your conclusion is rather open discussion, perspective and recommendation. I do not see relevant conclusions based on the presented results in this section.

This was intended to serve as broader context, which we did not originally clearly define by the section header. We have changed the name of this section to "Conclusions and broader implications" for clarity.

Page 18, figure 1: Apparently, the rime and snow collection time was often longer than the actual occurrence of the cloud event, since the grey shading in figure 1a indicated that the cloud events were lasting shorter than the sampling period (figure 1b). If so, please specify in the text.

We have revised this figure to show daily averages of cloud fraction but have indicated in the methods section (2.1) that sample collection sometimes lasted longer than cloud events.

Page 18, figure 1: The labels of the y-axis should not only contain the units, but also the property (e.g. "relative humidity (%)")

Now that the revised Figure 1 has % of RH and cloud cover on the same axis, we did not specify, but rather added this information to the caption.

Using <u>freezing</u> spectra characteristics to identify ice nucleating particle populations during <u>the</u> winter storms in the Alps

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13 Abstract. One of the least understood cloud processes is modulation of their microphysics by aerosols, specifically of cloud ice 14 by ice nucleating particles (INPs). To investigate INP impacts on cloud ice and subsequent precipitation formation, measurements 15 in cloud environments are necessary but difficult given the logistical challenges associated with airborne measurements and 16 separating interstitial aerosol from cloud residues. Additionally, determining the sources of INPs is important given the dependency 17 of glaciation temperatures on the mineral or biological components and diversity of such INP populations. Here, we present results 18 from a comparison of INP spectral characteristics in air, cloud rime, and fresh fallen snow for storm days-at the High_-Altitude 19 Research Station, Jungfraujoch. The goal of the study was two-fold: (1) to assess variability in wintertime INP populations found 20 in-cloud based on air masswind and air mass direction during snowfall and (2) to evaluate possible INP sources s-between different 21 sample types using a combination of cumulative INP (K(T)), normalized differential fraction frozen (df/dT), and normalized 22 differential differential INP spectra (k(T)) spectra. INP freezing temperatures and concentrations were consistently higher on 23 average from the southeast as compared to the northwest for rime, snow and especially aerosol samples which is likely a result of 24 air mass influence from predominantly boundary layer terrestrial and marine sources in Southern Europe, the Mediterranean, and 25 North Africa. For all three sample types combined, average onset freezing temperatures were -8.07.7 and -11.32 °C for southeasterly and northwesterly days, respectively, while K(T) INP concentrations were 3 to 20 times higher when winds arrived 26 27 from the southeast. Southeasterly aerosol samples typically had bimodal freezing spectra clear mode in the warm temperature 28 regime (i.e., ≥ -15 °C) in the df/dT and k(T) spectra—indicating a putative influence from biological sources—while bimodality 29 the presence of a warm mode in of the rime and snow varied depending on meteorological context. Evaluating df/dTnormalized 30 concert with <u>differential INP spectra</u> k(T) spectra exhibited variable modality and shape—depending on the types of INPs 31 present—and may serve as a viable-useful method for comparing different sampleding substances and assessing the possible 32 relative contributions of mixed mineral and biological versus only biological contributions to INPINP sample populations.

33 1 Introduction

Aerosols are key players in the atmospheric radiation budget, cloud microphysics, and precipitation development. However, one of the most significant challenges with regard to aerosols is quantifying their impacts on cloud ice formation through serving as ice nucleating particles (INPs) (Boucher et al., 2013). Aerosol-induced ice microphysical modifications influence cloud lifetime and albedo (Albrecht, 1989; Twomey, 1977; Storelvmo et al., 2011), as well as the production of precipitation_-(DeMott et al., 2010)in clouds containing both liquid and ice. Mixed-phase clouds (MPCs) are ubiquitous in the troposphere over the entire annual cycle yet are difficult to quantify globally in part due to an inadequate understanding of aerosol-cloud interactions in mixed-phase

- 40 environments (Korolev et al., 2017). Thus, a close evaluation of aerosol-cloud processes is crucial to evaluating weather and 41 climate processes.; However, one of the most significant challenges with regard to aerosols is quantifying their impacts on cloud ice formation through serving as ice nucleating particles (INPs) (Boucher et al., 2013), however, cConstraining aerosol-cloud 42 43 impacts in models, specifically when parameterizing INPs in MPC systems, remains a significant challenge due to limited 44 observations (Cziczo et al., 2017; Coluzza et al., 2017; DeMott et al., 2010; Kanji et al., 2017; Korolev et al., 2017). Observations 45 directly in cloudy environments directly in-cloud are even more scarce—given the logistical costs and resources required by 46 airborne platforms, caveats associated with aircraft probes and instrumentation, and instrumental artefacts caused by flying through 47 clouds at high speeds (Cziczo et al., 2017)—but are necessary-usefulto assess for assessing -the impacts of INPs on MPC 48 microphysics as compared to most surface measurements which are geared towards evaluation of INP sources.
- 49 In the absence of conditions with -38 °C and relative humidity with respect to ice above 140%, INPs are required for initiation of 50 tropospheric cloud ice formation (Kanji et al., 2017). Aerosols such as dust and primary biological aerosol particles (PBAPs) are 51 some of the most abundant and efficient INPs found in the atmosphere, respectively (Murray et al., 2012; Hoose and Möhler, 2012; 52 DeMott et al., 1999; Conen et al., 2011; Creamean et al., 2013). PBAPs originating from certain bacteria, pollens, and vegetative 53 detritus are the most efficient INPs known, capable of initiating freezing near -1 °C, while most PBAPs (e.g., fungal spores, algae, 54 and diatoms) tend to nucleate ice at temperatures similar to those of mineral dust (Despres et al., 2012; Murray et al., 2012; Tobo 55 et al., 2014; Hader et al., 2014a; O'Sullivan et al., 2014; Hill et al., 2016; Tesson et al., 2016; Alpert et al., 2011; Knopf et al., 2010; 56 Fröhlich-Nowoisky et al., 2015). In general, previous works collectively indicate that PBAP INPs that nucleate ice at temperatures 57 greater than approximately -10 °C are bacterial (Murray et al., 2012; Hu et al., 2018; Hoose and Möhler, 2012; Despres et al., 58 2012; Frohlich-Nowoisky et al., 2016), but could also be pollen or certain fungal spores (von Blohn et al., 2005; Hoose and Möhler, 59 2012; O'Sullivan et al., 2016), although the latter two are less likely. Plant bacteria such as Pseudomonas syringae are deemed 60 omnipresent in the atmosphere and precipitation (Despres et al., 2012; Stopelli et al., 2017; Morris et al., 2014), and facilitate cloud 61 ice formation up to -1 °C (Despres et al., 2012). While, only a few laboratory-based studies have reported known inorganic or 62 mineral materials that with ice nucleation activity at such temperatures (Ganguly et al., 2018; Atkinson et al., 2013). Mineral and 63 soil dust serving as atmospheric shuttles for organic microbial fragments can be transported thousands of kilometres and serve as 64 effective INPs, even from highly arid regions such as the Sahara (Kellogg and Griffin, 2006). The , yet the exact origin of the ice 65 nucleation germ forming at the warmest temperatures is speculated thought to be due to the ice binding proteins or macromolecules 66 of the biological components in mixed mineral-biological INPs (O'Sullivan et al., 2014; O'Sullivan et al., 2016; Conen and Yakutin, 67 2018). In general, the previous studies on the climate relevance of PBAPs demonstrate the importance of such INPs at MPC 68 temperatures and precipitation enhancement (Morris et al., 2004; Bergeron, 1935; Christner et al., 2008; Morris et al., 2014; Morris 69 et al., 2017; Stopelli et al., 2014; Frohlich-Nowoisky et al., 2016).
- 70 Although biological constituents, from cellular material to in-tact bacteria and spores, are thought to be omnipresent in the 71 atmosphere (Burrows et al., 2009b; Burrows et al., 2009a; Jaenicke, 2005; Jaenicke et al., 2007), modeling studies constraining 72 global emission estimates of biological INPs and PBAPs are very limited, subject to significant hurdles, and often yield conflicting 73 results due to the dearth of not having a sufficient set of observations and complexity of atmospheric PBAPs (Hummel et al., 2015; 74 Burrows et al., 2013; Twohy et al., 2016; Frohlich-Nowoisky et al., 2012; Despres et al., 2012; Hoose and Möhler, 2012; Morris 75 et al., 2011). Yet, biological aerosols such as bacteria have been shown to cause significant perturbations in cloud ice in numerical 76 weather prediction models, affording modulations in cloud radiative forcing and precipitation formation (Sahvoun et al., 2017). In 77 addition, measuring and quantifying PBAPs is non-trivial-methodologies for counting, culturing, and nucleic acid sequencing of 78 PBAPs and especially for those which fall in the warm temperature INP regime (i.e., INPs that nucleate ice > -15 °C) are: (1) time

and labor intensive, (2) require specific expertise or at times substantial resources, (3) require substantial sample volumes, or (4) are species- or genera-specific or limited to viable microorganisms (Despres et al., 2012). Although such techniques are required to adequately assess the atmospheric microbiome and PBAP sources, a simpler approach could be applied to evaluate and even quantify warm temperature biological INP populations as compared to colder temperature PBAPs or mineral dust.

83 The goal objectives of the study presented here focuses on are: (1) to conduct an intercomparison of INP measurements of aerosol, cloud rime, and snow directly in cloudyn-cloud environments at the ground and (2) evaluating evaluate different types of INP 84 85 spectra in a manner such that we can estimate the relative contribution from biological INPs in the warm temperature regime 86 relevant to MPCs. Sampling was conducted at the High -Altitude Research Station Jungfraujoch (JFJ), a unique location for 87 evaluating populations of INPs that affect winter storms in the European Alps, and where MPCs are particularly common 88 (Lohmann et al., 2016). Recent studies at JFJ have provided valuable insight into INP concentrations, sources, and removal 89 processes under a variety of conditions and during various times of the year. Conen et al. (2015) measured INPs at -8 °C over the 90 course of a year at JFJ, and found a strong seasonality in such INPs, with two order of magnitude higher concentrations observed 91 during the summer. They also suggested INPs measured at this temperature may be limited most of the year by microphysical 92 processing during transit. Stopelli et al. (2015) verified this removal mechanistic process through INP measurements and isotopic 93 composition of fresh fallen snow at JFJ, concluding that warm temperature INPs (i.e., INPs active at $> 10^{\circ}$ C) are rapidly depleted 94 by precipitating clouds at lower elevations. Stopelli et al. (2016) expanded their INP analyses to 2-years of data at JFJ, concluding that a high abundance of INPs at -8 °C is to be expected whenever high wind speed coincides with air masses having experienced 95 96 little or no precipitation prior to sampling, yet a separate study by Stopelli et al. (2017) found that only a small fraction of the INPs 97 were bacterial-cultivable cells of *Pseudomonas syringe*. In contrast, Lacher et al. (2018a; 2018b) conducted an interannual synopsis 98 of INP measurements at JFJ and found anthropogenic influence on INP concentrations, but only during boundary layer intrusion 99 (BLI) influences and at relatively cold temperatures (i.e., approximately -30 °C), and higher INP concentrations during Saharan 100 dust events (SDEs) and marine boundary layer air arriving at JFJ. Eriksen Hammer et al. (2018) characterized ice particle residuals 101 and concluded that silica and aluminosilicates were the most important ice particle residuals at JFJ during the mixed-phase cloud 102 events during Jan – Feb 2017, while carbon-rich particles of possible biological origin were of a minor contribution.

Here, we demonstrate how variable sources influence INP populations depending on air mass transport and storm-direction, and spectral modality between the rime, snow, and aerosols can help explain the exchange of INPs from air into cloud then into precipitation. Our results expand upon previous studies by evaluating INPs via a combination of aerosol, rime, and snow, and at a temperature range that comprises common biological and mineral INPs.

107 2 Methods

108 **2.1 Aerosol, cloud rime and snow collection at Jungfraujoch**

Collocated collection of snow, cloud rime, and aerosol samples for the Ice Nucleation Characterization in the Alps of Switzerland (INCAS) study took place 15 Feb – 11 Mar 2018 in the Sphinx observatory at JFJ (46.55 °N, 7.98 °E; 3580 m above sea level (m a.s.l.); https://www.hfsjg.ch/en/home/). Snow was collected as described by Stopelli et al. (2015) using a Teflon-coated tin (0.1 m², 8 cm deep) for a duration of 1 – 18 hours, but typically for 1 – 4 hours. <u>Collection quantities and inherent time of collection</u> were dependent upon snowfall rates but additionally on winds blowing snow out of the collection pans. Because of this possibility, we cannot determine actual snowfall rates with certainty. Cloud rime was collected using a slotted plexiglass plate placed vertically

during snow sample collection (Lacher et al., 2017; Mignani et al., 2018). <u>Sample collection times were at times longer than the</u>

116 duration of in-cloud conditions (see section 2.3) and were dependent on when manually changing the sampling tin and plate was 117 possible. Daily size-resolved aerosol samples were collected using a Davis Rotating-drum Universal-size-cut Monitoring (DRUM) 118 single-jet impactor (DA400, DRUMAir, LLC.) (Cahill et al., 1987; Bukowiecki et al., 2009; Creamean et al., 2018a) as described 119 by Creamean et al. (2018a) from a 1-m long inlet constructed of 6.4-mm inner diameter static-dissipative polyurethane tubing 120 (McMaster-Carr®) leading to outside of the Sphinx and connected to a funnel covered with a loose, perforated plastic bag to 121 prevent rimed ice build-up or blowing snow from clogging the inlet. The DRUM collected aerosol particles at four size ranges 122 $(0.15 - 0.34, 0.34 - 1.20, 1.20 - 2.96, and 2.96 - >12 \,\mu\text{m}$ in diameter) and sampled at 27.7 L min⁻¹ (volumetric flow), equalling 123 39888 total L of air per sample. Such size ranges cover a wide array of aerosols-particularly those that serve as INPs (DeMott et 124 al., 2010; Fridlind et al., 2012; Mason et al., 2016)—while the large volume of air collected promotes collection of rarer, warm 125 temperature biological INPs, but may represent a lower fraction of overall INP concentrations (Mossop and Thorndike, 1966). 126 Samples were deposited onto 20 x 190 mm strips of petrolatum-coated (100%, Vaseline®) perfluoroalkoxy plastic (PFA, 0.05 mm 127 thick) substrate secured onto the rotating drums (20 mm thick, 60 mm in diameter) in each of the four stages at the rate of 7 mm 128 per day (5 mm of sample streaked onto the PFA followed by 2 mm of blank). It is possible local sources of aerosol, such as tobacco 129 smoke or emissions from touristic infrastructure, were collected by the DRUM (Bukowiecki et al., 2016), but did not likely affect 130 the 2.96 - >12 µm particles which we focus on herein. Intervals in which snow, rime, and aerosol were sampled did not fully 131 overlap during a day because conditions were changing often unpredictably between out-of-cloud and in-cloud conditions, the 132 latter with or without precipitation. At the same time we intended to collect enough material from either component for a robust 133 analysis of warm temperature INPs. Consequently, the combined data of a day integrate over a larger air mass, including clouds 134 and cloud-free regions. Comparing data from snow, rime, and aerosol samples still makes sense as long as wind direction and the 135 influence of planetary boundary layer did not change substantially during a day.

136 **2.2 Ice nucleation measurements**

All samples were analysed immediately after collection for INPs using a drop freezing cold plate system described by Creamean et al. (2018b; 2018a). Briefly, snow and cloud rime samples were melted into covered 50-mL glass beakers for analysis, resulting in approximately 10 mL of liquid per sample. Samples were manually shaken prior to analysis. Aerosols deposited onto the PFA were prepared for drop freezing by cutting out each daily sample and placing in a 50-mL glass beaker with 2 mL of molecular biology reagent grade water (Sigma-Aldrich®). Beakers were covered and shaken at 500 rpm for 2 hours (Bowers et al., 2009). In between sampling, beakers were cleaned with isopropanol (99.5%), sonicated with double-distilled water for 30 minutes, then and then heated at 150 °C for 30 minutes.

144 Copper discs (76 mm in diameter, 3.2 mm thick) were prepared by sonicating in double-distilled water for 30 minutes, cleaning 145 with isopropanol, then coated with a thin layer of petrolatum (Tobo, 2016; Bowers et al., 2009). Following sample preparation, a 146 sterile, single-use syringe was used to draw 0.25 mL of the suspension and 100 drops were pipetted onto the petrolatum-coated 147 copper disc, creating an array of ~2.5-µL aliquots. Drops were visually inspected for size; however, it is possible not all drops were 148 the same exact volume, which could lead to a small level of indeterminable-undetermined uncertainty. However, previous studies 149 have demonstrated that drop size variability within this range does not significantly impact freezing results (Hader et al., 2014b; 150 Bigg, 1953; Langham and Mason, 1958; Creamean et al., 2018b). The copper disc was then placed on a thermoelectric cold plate 151 (Aldrich®) and covered with a transparent plastic dome. Small holes in the side of the dome and copper disc permitted placement 152 of up to four temperature probes using an OmegaTM thermometer/data logger (RDXL4SD; 0.1 °C resolution and accuracy of ± 153 (0.4% + 1 °C) for the K sensor types used). During the test, the cold plate was cooled at $1 - 10 \text{ °C min}^{-1}$ from room temperature 154 until around -35 °C. Control experiments at various cooling rates within this range show very littleno discernible dependency of drop freezing on cooling rate (Creamean et al., 2018b), akin to previous works (Wright and Petters, 2013; Vali and Stansbury,
156 1966).

157 A +0.33 °C correction factor was added to any temperature herein and an uncertainty of 0.15 °C was added to the probe accuracy 158 uncertainty based on DFCP characterization testing presented in Creamean et al. (2018b), to account for the temperature difference 159 between the measurement (i.e., in the plate centre) and actual drop temperature. Frozen drops were detected visually, but visually 160 but recorded through custom software. The software records the time, probe temperature, and cooling rate for every second of the 161 test. When a drop is identified as frozen, a button is clicked on the software graphical user interface so that it records that exact 162 time, probe temperature, and cooling rate of that drop in a separate file, providing the freezing temperature and cooling rate of each 163 drop frozen. The test continued until all 100 drops were frozen. Each sample was tested three times with 100 new drops for each test. From each test, tThe fraction frozen and percentage of detected frozen drops were was calculated from all detected drops 164 165 frozen combined from the three tests (typically, > 90% of the drops were detected). The results from the triplicate tests were then binned every 0.5 °C to produce one spectrum per sample. Normalized differential INP spectra were created by a using a 166 167 combination of calculations. First, cCumulative INP spectra concentrations were calculated using the equation posed by from Vali 168 (1971):

169
$$\frac{[INPs(T)]}{V_{drop}}K(TL^{-1}) = -\frac{1}{V_{drop}} \times \ln[1 - f(T)] \frac{\ln N_{\theta} - \ln N_{tt}(T)}{V_{drop}}$$

170 Where V_{drop} is-the average volume of each drop and f(T) is the fraction of drops frozen at temperature T. No is the total number of 171 drops, N_u(T) is the number of unfrozen drops at each temperature, and V_{drop} is the average volume of each drop. <u>Normalized</u> differentials of the frequency of freezing events, or df/dT, were calculated finding the difference in f(T) at each temperature bin of 172 173 0.5 °C and normalizing to the maximum df/dT value per sample, then smoothed using a moving average. Aerosol cumulative INP concentrations were corrected for the total volume of air per sample $(\frac{INPsK(T)}{V_{air}} \times \frac{V_{suspension}}{V_{air}})$ while melted rime/snow residual 174 175 <u>cumulative</u> INPs were adjusted to the total used during analysis (K(T) INPs × $V_{suspension}$), where $V_{suspension}$ $V_{suspension}$ and V_{air} V_{air} 176 represent the total liquid volume analyzed per sample (0.75 mL for the three tests) and total volume of air drawn per sample (39888 177 L), respectively.

Second, differential values were calculated from each 0.5 °C cumulative concentration. Differential INP spectra—which as the name indicates, correspond to the differential of the cumulative spectra (Vali et al., 2015)—were used early in earlier studies (Vali, 1971; Vali and Stansbury, 1966), h_owever, sSpectra from these previous studies only reached a minimum of -20 °C due to the limitations of background artifacts in the water used at that time, missing the tail end of what are usually the highest INP concentrations as discussed in more detail below. Recent work by Vali (2018) revisits the use of differential spectra, expanding to lower temperatures. We employ the calculation for differential INP concentrations from Vali (2018):

$$k(T) = -\frac{1}{V_{drop} \times \Delta T} \times ln\left(1 - \frac{\Delta N}{N(T)}\right)$$

185 where *N* is the number of unfrozen drops and ΔN is the number of freezing events observed between *T* and $(T - \Delta T)$. Third, 186 dDifferential concentrations were divided by the maximum concentration per sample (i.e., to normalize). Last, thenspectra were 187 smoothed using a moving average.

188 **2.3 Supporting meteorological and source analysis data**

Auxiliary surface meteorological observations, including but not limited to hourly mean air temperature measured 2 m above ground level (a.g.l.) (°C), relative humidity measured 2 m a.g.l. (%), scalar wind speed (m s⁻¹) and direction (degrees), and incoming longwave radiation (W m⁻²) were acquired from MeteoSwiss (https://gate.meteoswiss.ch/idaweb/). From the longwave measurements, in-cloud conditions were determined by calculating the sky temperature and comparing to air temperature measured at the station, per the methodology of Herrmann et al. (2015) from a 6-year analysis of JFJ observations. There were no in situ measurements of cloud presence or extent. For the current work, each hourly measurement was categorized as out-of-cloud or incloud based on such calculations and averaged to obtain daily cloud coverage percentage.-

196 Radon (²²²Rn) concentrations have been continuously measured at JFJ since 2009. Details on the detectors themselves and the 197 measurements can be found in Griffiths et al. (2014). Briefly, 30-minute radon concentrations were measured using a dual-flow-198 loop two-filter radon detector as described by Chambers et al. (2016). Calibrated radon concentrations were converted from activity 199 concentration at ambient conditions to a quantity which is conserved during an air parcel's ascent: activity concentration at standard 200 temperature and pressure (0 °C, 1013 hPa), written as Bq m⁻³ STP (Griffiths et al., 2014). Time periods with boundary layerBLI 201 intrusion-were classified as radon concentrations > 2 Bq m⁻³ (Griffiths et al., 2014). Particle concentrations from approximately 202 0.3 to > 20 µm in diameter were measured with a 15-channel optical particle sizer (OPS 3300; TSI, Inc.) at a 1-minute time 203 resolution (Bukowiecki et al., 2016). Due to operational complications, OPSC data were not collected prior to 23 Feb during 204 INCAS. Air was drawn through a heated total aerosol inlet (25 °C) which, besides aerosol particles, enables hydrometeors with 205 diameters $< 40 \,\mu\text{mm}$ to enter and to evaporate, at wind speeds of 20 m s⁻¹ (Weingartner et al., 1999). SDEs were determined from 206 existing methodology using various aerosol optical properties, but specifically, the Ångström exponent of the single scattering 207 albedo (å_{SSA}), which decreases with wavelength during SDEs (Collaud Coen et al., 2004; Bukowiecki et al., 2016). SDEs are 208 automatically detected by the occurrence of negative assa that last more than four hours. Based on previous work, mMost of the 209 SDEs do not lead to a detectable increase of the 48-h total suspended particulate matter (TSP) concentrations at JFJ (Collaud Coen 210 et al., 2004). Additionally, we consider these events probable SDEs, but may have influences from other sources in addition.

211 Air mass transport analyses were conducted using the HYbrid Single Particle Lagrangian Integrated Trajectory model with the 212 SplitR package for RStudio (https://github.com/rich-iannone/SplitR) (Draxler, 1999; Draxler and Rolph, 2011; Stein et al., 2015). 213 Reanalysis data from the National Centers for Environmental Prediction (NCEP) National Center for Atmospheric Research 214 (NCAR) (2.5° latitude-longitude; 6-hourly; https://www.ready.noaa.gov/gbl reanalysis.php) were used as the meteorological fields 215 in HYSPLIT simulations. Air mass transport directionality and frontal passages were verified by NCEP/NCAR reanalyses of wind 216 vectors and geopotential height at 600 mb (i.e., approximate pressure at the altitude of JFJ; 217 https://www.esrl.noaa.gov/psd/data/composites/day/). Trajectories were initiated at 10, 500, and 1000 m a.g.l. every 3 hours daily, 218 but only the 500-m trajectories are shown. Trajectories were only simulated for each northwesterly, and southeasterly, SDE, and 219 BLI case study day (i.e., Table 1). It is important to note that "northwesterly" is a contribution of north, west, and northwest winds, 220 while "southeasterly" includes south, east, and southeast winds. SDE and BLI days were predominantly (not entirely) southeasterly.

221 3 Results and discussion

222 **3.1 Directional dichotomy of storm systemsair masses arriving at JFJ** during INCAS

223 Local surface meteorology was variable at JFJ during INCAS, with air temperatures ranging from -27.5 to -4.8 °C (average of -224 13.7 °C)-temperatures relevant to heterogeneous nucleation of cloud ice-and relative humidity ranging from 18 to 100% (Figure 225 1aa). All days contained some fraction of in-cloud conditions that varied between 12% and 100%, on average. Wind speed was 226 6.4 m s⁻¹ on average, with spikes during most storm systems up to 22.8 m s⁻¹ (i.e., wind speed during rime and snow collection; 227 Figure 1b). Due to the topography surrounding JFJ, predominant wind directions were northwest followed by southeast, with the 228 fastest winds recorded originating from the southeast (Figure 2)-(Figure 2). Such conditions are typical for JFJ during the winter 229 (Stopelli et al., 2015; Collaud Coen et al., 2011). Out of the entire study, several days were classified as northwesterly (5 days) or 230 southeasterly (2 days) during storm conditions when a combination of aerosol, cloud rime, and snow samples were collected (i.e., 231 a full 24 hours of northwesterly or a full 24 hours of southeasterly winds during snowfall; Table 1), which are herein focused on 232 as the case study days (indicated by the blue and red in Figure 1b, respectively). These days were also deemed days with "storm" 233 conditions since clouds and snow were both present at JFJ. There were 4 days that maintained predominantly southerly wind 234 directions as indicated in green in Figure 1b and Table 1 and were characterized as days influenced by SDEs or BLI as discussed 235 herein. Rime and snow were only collected on one of these days, while remaining SDE or BLI cases had only aerosol collected. 236 Aside from 22 Feb (missing data), the remaining days in the study were characterized as FT and did not exhibit influences from 237 warm temperature INPs (see section 3.2 and 3.3).

238 Most southeasterly case days (and 06 Mar) apart from the SDE days experienced longer residence times in what was likely the 239 boundary layer (i.e., 1000 m or less) compared to northwesterly cases, which is supported by radon data (Figure 1c). Griffiths et 240 al. (2014) determined that radon concentrations > 2 Bq m⁻³ signify BLI, which in the current work was clearly observed on 23 Feb, 241 27 Feb, 28 Feb, 06 Mar, and 11 Mar case days, indicating samples collected on these days were likely influenced by continental 242 boundary layer sources. Relatively low radon concentrations were observed the remaining case study days, indicating these samples 243 were predominantly affected by free tropospheric (FT) air and thus, lower aerosol concentrations and/or more distant, including 244 marine, sources. Although OPS data were missing until 23 Feb, source information can be gleaned from the available data. For 245 example, 23 Feb had episodic high concentrations of particles (maximum of 9.6 cm⁻³) towards the beginning of the day coincident 246 with the largest spike in radon, with a steady decrease as time transpired, indicating the boundary layer was an ample source of > 247 $0.3 \mu m$ particles. A similar episode with the OPS and radon concentrations was observed 27 - 28 Feb, where the highest 248 concentrations of each were observed during the entire study period. Selected days were subject to diurnal winds (not shown), such 249 as 06 Mar, where boundary layer air reached JFJ and a midday maximum in OPS particle concentrations was observed, indicating 250 lower elevations were the dominant source of aerosol. Although, diurnal variations in aerosol from local sources have been shown 251 to not be common in the winter at JFJ (Baltensperger et al., 1997). In contrast, 11 Mar was exposed to boundary layer air based on 252 radon observations, but particle concentrations were low (average of 0.2 cm⁻³ compared to a study average of 3.0 cm⁻³), signifying 253 that although BLI occurred at JFJ, it was not a substantial source of aerosol. These relationships corroborate the ice nucleation 254 observations, as discussed in detail below.

Extending past local conditions, air mass transport 10 days back in time prior to reaching JFJ on case study days was, as expected, dissimilar between northwesterly (Figure 3) and southeasterly/<u>SDE/BLI</u> (Figure 4) conditions. The main distinctions between northwesterly and southeasterly/<u>SDE/BLI</u> days are: (1) northwesterly days originated from farther west, with some days reaching back to the <u>Canadian ArchipelagoNorth America</u>, while air masses on southeasterly/<u>SDE/BLI</u> days predominantly hovered over 259 land and occasional oceanic sources closer to Europe, (2) southeasterly/SDE/BLI air masses travelled closer to the surface relative 260 to northwesterly days, especially south and east of JFJ while northwesterly air masses were typically transported from higher 261 altitudes (i.e., more free tropospheric FT exposure), and (3) aside from $\frac{96}{100}$ Mar (which is discussed in more detail in the following 262 section), northwesterly air masses did not travel over the Mediterranean and northern Africa, whereas the southeasterly/SDE/BLI 263 air masses reaching down to 100 m above JFJ arrived from over such regions within less than 2 days before arriving to JFJ. One 264 obvious inconsistency is that the air mass trajectories on 24 Feb do not indicate transport occurred from Northern Africa even 265 though this day was characterized as an SDE. Collaud Coen et al. (2004) reported that in 71% of all cases they evaluated at JFJ, 266 10-day back-trajectories were able to reveal the source of Saharan dust and that back trajectories cannot always explain SDEs. 267 Boose et al. (2016) reported similar transport pathways for JFJ during multiple consecutive winters and concluded that marine and 268 Saharan dust served as dominant sources of INPs at -33 °C. Reche et al. (2018) also reported similar pathways and sources for 269 bacteria and viruses, but during the summer in southern Spain. Possible SDEs were automatically detected on 24 Feb and 10 Mar 270 in the current work, and air mass transport pathways are shown for these days. These disparate sources and transport pathways of 271 air support the variability in the ice nucleation observations as discussed in more detail in the following section.

272 As evidenced by the air mass transport analyses, each southeasterly case day (and 06 Mar) experienced longer residence times in 273 what was likely the boundary layer (i.e., 1000 m or less) compared to northwesterly cases, which is supported by 222Rn data (Figure 274 5). Griffiths et al. (2014) determined that radon concentrations > 2 Bq m⁻³ signify boundary layer intrusion, which in the current 275 work was clearly observed on 23 Feb, 06 Mar, and 11 Mar, indicating samples collected on these days were likely influenced by 276 boundary layer sources (planetary and marine). Relatively low radon concentrations were observed the remaining case study days, 277 indicating these samples were predominantly affected by free tropospheric air and thus, lower aerosol concentrations and/or more 278 distant sources. Although OPC data were missing until 23 Feb, source information can be gleaned from the available data. For 279 example, 23 Feb had episodic high concentrations of particles (maximum of 9.6 cm⁻³) towards the beginning of the day coincident 280 with the largest spike in radon, with a steady decrease as time transpired, indicating the boundary layer was an ample source of > 0.3 µm particles. Although not a case study time period, a similar correlation between the OPC and radon concentrations was 281 282 observed 27 28 Feb, where the highest concentrations of each were observed during the entire study time period. Selected days 283 were subject to diurnal upslope winds (Figure 1b), such as 6 Mar, where boundary layer air reached JFJ and a midday maximum 284 in OPC particle concentrations was observed, indicating lower elevations were the dominant source of aerosol. Although, diurnal 285 variations in aerosol from local sources have been shown to not be common in the winter at JFJ (Baltensperger et al., 1997). In 286 contrast, 11 Mar was exposed to boundary layer air based on radon observations, but particle concentrations were low (average of 287 0.2 cm⁻³-compared to a study average of 3.0 cm⁻³), signifying that although boundary layer intrusion occurred at JFJ, it was not a 288 substantial source of aerosol. These relationships corroborate the ice nucleation observations, as discussed in detail below.

289 **3.2** Variability in INP spectra. I properties based on storm air mass characteristics source

Out of the 25 aerosol, 30 rime, and 39 snow samples collected, 7 aerosol, 19 rime, and 23 snow were collected northwesterly or southeasterly <u>storm</u> case study days, <u>while 4 aerosol, 1 rime, and 2 snow were collected on SDE or BLI days</u> (Table 1). <u>Most</u> <u>m</u>Aixed wind direction days were excluded, as sources from both directions would contribute to the daily aerosol sample. Figure <u>56 shows the cumulative (K(T)) INP concentrations, normalized differential fraction frozen per 0.5 °C temperature interval (df/dT), and normalized differential (k(T)) INP concentrations from aerosol, snow, and rime samples on the case days-compared to air temperature, wind speed, and previous measurements at JFJ. The use of df/dT while qualitative and possibly method-specific in terms of modality locations, demonstrates the presence of 1 – 2 INP populations by having a mode in the warm regime (i.e., warm</u>

- 297 mode or likely primarily biological) and/or cold regime (i.e., < 15 °C; cold mode or likely a mixture of mineral and biological)
- 298 (Augustin et al., 2013) and enables us to intercompare between the different types of samples.
- 299 In addition to containing higher concentrations of warm temperature INPs, most southeasterly and SDE/BLI samples contained a 300 clear mode in the warm temperature regime compared to northwesterly samples which typically did not contain such a mode in 301 the df/dT and k(T) spectra. This warm mode, or "bump" at temperatures above approximately -15 °C has been observed in a wide 302 range of previous immersion mode ice nucleation studies including but not limited to some of the earliest studies of total aerosol 303 (Vali, 1971), residuals found in hail (Vali and Stansbury, 1966), sea spray aerosol (McCluskey et al., 2017; DeMott et al., 2016), 304 soil samples (Hill et al., 2016), agricultural harvesting emissions (Suski et al., 2018), and in recent reviews of aerosol (Kanji et al., 2017; DeMott et al., 2018) and precipitation (Petters and Wright, 2015) samples. Most previous studies that show spectra with the 305 306 warm mode typically: (1) report a wide range of freezing temperatures such that it can be observed relative to the steady increase 307 of INPs at colder temperatures or (2) are of samples that include a mixture of biological and mineral or other less efficient INP sources. For example, several previous studies report INP concentrations down to only -15 °C (e.g., Conen and Yakutin, 2018; 308 309 Hara et al., 2016; Kieft, 1988; Schnell and Vali, 1976; Vali et al., 1976; Wex et al., 2015), namely because the goal was to target 310 efficient, warm-temperature biological INPs. However, the warm mode may not be evident in such studies, given it cannot be 311 visualised next to the drastic increase in INPs with temperatures below -15 °C (i.e., the cold mode). In contrast, studies conducting 312 INP measurements on known mineral dust samples also are not able to observe the warm mode (e.g., Price et al., 2018; Atkinson 313 et al., 2013; Murray et al., 2012). Together, it is apparent that a mixed biological and mineral (or less efficient biological INPs) 314 sample is needed to assess the modal behaviour in the INP spectra.
- 315 Only the largest size range of the aerosol is shown because the remaining size ranges (i.e., $< 2.96 \,\mu\text{m}$) were not distinct with respect 316 to wind direction. The fact that size, alone, exhibited directionally-dependent results and that such dependencies were only 317 observed in the coarse mode aerosol indicate: (1) the sources were indeed different between northwesterly, and southeasterly, and 318 SDE/BLI transport—supporting the air mass source analyses—and (2) the coarse mode aerosols were likely from a regional source 319 as opposed to long-range transported thousands of kilometres. -This is because gravitational settling typically renders transport of 320 coarse particles inefficient especially within the boundary layer (Creamean et al., 2018a; Jaenicke, 1980). Previous work by Collaud 321 Coen et al. (2018) concludes that the local boundary layer never infrequently influences JFJ in the winter, supporting the fact that 322 regional sources were likely prominent in the current work (i.e., more FT days (17 of 25 days); Table 1).
- Generally, INPs from southeasterly and SDE/BLI days were higher in concentration and more efficient (i.e., were warm temperature INPs that facilitated ice formation > -15 °C) than northwesterly samples. Our results are parallel to those by Stopelli et al. (2016), who also observed higher <u>*K*(*T*)</u> INP concentrations in snow samples collected during southerly conditions at JFJ from Dec 2012 to Oct 2014 (Figure 6a). However, <u>*K*(*T*) when comparing overlapping temperature ranges from the snow samples during the winter only (Figure 6a), concentrations reported here are were generally higher than those reported by Stopelli et al. (2016), especially for the northwesterly samples at the highest temperatures. Unlike Stopelli et al. (2016), there was no clear correlation between *K*(*T*) with air temperature and wind speed in the current work (not shown).</u>
- Aside from some of the snow samples, oOnset freezing temperatures (i.e., the highest temperature in which the first drop in each sample froze) were typically higher for samples from the southeast/SDE/BLI samples -as compared to the northwest (Figure 6b), indicating influences from -more efficient INPs sources that produce warm temperature INPs on these days. from the southeast. The temperatures in which 10% (T₁₀) and 50% (T₅₀) of the samples froze were also typically higher for the southeast/SDE/BLI as

compared to the northwest samples, especially for the aerosol samples, indicating higher concentrations of more efficient warm temperature INPs. However, a larger (smaller) spread in onset temperatures was observed in samples from the northwest (southeast), suggesting two possibilities: (1) influences were more (less) variable sources from the northwest (southeast), as discussed in more detail in the following section and/or (2) in the case of cloud rime and snow, clouds from the northwest were already depleted with the most efficient INPs due to precipitation prior to arriving at JFJ (i.e., higher transport altitudes which could have been exposed to cloudy conditions as compared to the southeast days which exhibited transport closer to the ground; Figures 3 and 4).

341 There was no clear correlation between INP concentrations with air temperature R but air temperatures tended to be higher for 342 northwesterly as compared to southeasterly cases. At -25 °C freezing temperatures for the INPs, most northwesterly samples had 343 a range of INP concentrations at higher air temperatures (i.e., > 9 °C), while southeasterly samples exhibited overall higher INP 344 concentrations, but still at a range of air temperatures (Figure 6e). In contrast, there was no correlation or gradient relationship between INP concentrations at any temperature and wind speed (Figure 6f h), unlike the correlation between wind speed and 345 346 INPs at 8 °C observed by Stopelli et al. (2016). We also evaluated INP concentrations versus wind speed at 8 °C but did not see 347 any correlation (not shown). Regarding the snow, it is possible that surface processes generate airborne ice particles, which 348 contribute to a snow sample collected at a mountain station (Beck et al., 2018). However, snow that is re-suspended during a 349 snowfall event largely consists of the most recently fallen snow crystals covering wind-exposed surfaces. These particles are 350 unlikely to be different from concurrently falling snow. Hence, their contribution will not change INP abundance or spectral 351 properties of the collected sample. Another matter are hoar frost crystals, which can be very abundant in terms of number, but 352 because of their small size (i.e., < 100 µm (Lloyd et al., 2015)) can only make a minor contribution to the mass of solid precipitation 353 depositing in a tin placed horizontally on a mountain crest. The majority of small crystals will follow the streamlines of air passing 354 over the crest. All that an increased influence of hoar frost particles would do to our observations is to decrease measured 355 differences between snow and rime samples, because additions of hoar frost, a form of rime, would render the collected snow 356 sample a bit more similar to rime.-

357 Figure 7 shows the cumulative and normalized differential INP spectra from the northwesterly and southeasterly case day samples. 358 In addition to containing higher concentrations of warm temperature INPs, more southeasterly samples contained a bimodal 359 distribution relative to the colder and unimodal distributions from northwesterly samples. The warm mode, or "bump" at 360 temperatures above approximately 20 °C has been observed in a wide range of previous immersion mode ice nucleation studies 361 including but not limited to some of the earliest studies of total aerosol (Vali, 1971), residuals found in hail (Vali and Stansbury, 362 1966), sea spray aerosol (McCluskey et al., 2017; DeMott et al., 2016), soil samples (Hill et al., 2016), agricultural harvesting 363 emissions (Suski et al., 2018), and in recent reviews of aerosol (Kanji et al., 2017; DeMott et al., 2018) and precipitation (Petters 364 and Wright, 2015) samples. Most previous studies that show spectra with the warm mode typically: (1) report a wide range of 365 freezing temperatures such that it can be observed relative to the steady increase of INPs at colder temperatures (i.e., Figure 7, left 366 column) or (2) are of samples that include a mixture of biological and mineral or other less efficient INP sources. For example, 367 several previous studies report INP concentrations down to only 15 °C (e.g., Conen and Yakutin, 2018; Hara et al., 2016; Kieft, 368 1988; Schnell and Vali, 1976; Vali et al., 1976; Wex et al., 2015), namely because the goal was to target efficient, warm-369 temperature biological INPs. However, the warm mode may not be evident in such studies, given it cannot be visualised next to 370 the drastic increase in INPs with temperatures below 15 °C (i.e., the cold mode). In contrast, studies conducting INP measurements 371 on known mineral dust samples also are not able to observe the warm mode (e.g., Price et al., 2018; Atkinson et al., 2013; Murray

- et al., 2012). Together, it is apparent that a mixed biological and mineral (or less efficient biological INPs) sample is needed to
- 373 assess the bimodal behaviour in the INP spectra.

374 3.3 Potential components of INP populations at JFJ

Taking the spectral characteristics in the context of air mass <u>direction and</u> transport can help elucidate the possible sources of INPs at JFJ during INCAS. Qualitative and quantitative evaluation of the warm mode, or likely, the relative contribution of warm temperature biological INPs, to cold mode INPs is transparent when <u>*df/dT* and *k(T)*</u> <u>differential INP</u> <u>spectra</u> are calculated (Figure <u>6e - g7</u>). Additionally, normalizing such spectra affords a qualitative comparison of spectra signatures between aerosols and residuals found in cloud rime and snow.

- Figure 8 shows normalized differential spectral characteristics of daily acrosol, rime, and snow INPs. We offer some possible explanations for the observed variability between the samples. Naturally, the boundary layer more frequently than not contains higher concentrations of warm temperature INPs—and INPs in general—as compared to the free troposphere given the proximity to the sources (e.g., forests, agriculture, vegetation, and the oceans) (Burrows et al., 2013; Despres et al., 2012; Frohlich-Nowoisky et al., 2016; Wilson et al., 2015; Burrows et al., 2009a; Burrows et al., 2009b; Frohlich-Nowoisky et al., 2012; Suski et al., 2018). Although, microorganisms and nanoscale biological fragments are episodically lofted into the free troposphere with mineral dust and transported thousands of kilometres (Creamean et al., 2013; Kellogg and Griffin, 2006).
- 387 Air arriving at JFJ on 15 and 16 Feb originated from the farthest away and were not heavily exposed to boundary layer air, as 388 evidenced by the air mass trajectory analysis (Figure 3) and radon (Figure 1c5), indicating long-range transport in the free 389 troposphere. This could explain why the warm mode (and higher T_{10} and T_{50}) was observed for in the rime and snow, but not the 390 aerosol-the aerosol had sufficient time to nucleate ice during free tropospheric transport and especially the warm temperature 391 INPs that would likely become depleted in-cloud first (Stopelli et al., 2015), assuming the clouds formed along the air mass 392 transport pathways, as also supported by the higher INP concentrations in most of the rime and snow compared to the aerosol in 393 Figure 6b. Cloud fraction was relatively low (12.5 to 25%), but air temperatures were relatively high (-8.4 to -7.1 °C), suggesting 394 conditions were amenable for long-range transported warm temperature INPs to nucleate cloud ice. However, from the available 395 data, we cannot determine with certainty if the local conditions were the same as those when nucleation initially occurred. For 19 396 and 20 Feb, air temperature was very cold (-16.4 and -19.6 °C, respectively) cloud fraction was high (92 and 54%, respectively), 397 and all samples remained unimodal-did not contain a warm mode(i.e., only containing the cold mode). One possible explanation 398 is that any warm temperature INPs that were present in the clouds had already snowed out prior to reaching the sampling location, 399 as observed by Stopelli et al. (2015) at JFJ. Although, given the low radon concentrations and erratic transport pathways, it is 400 possible such air masses did not contain a relatively large concentrations of warm temperature INPs due to deficient exchange with 401 the boundary layer. It was not until the southeasterly cases that the aerosol samples exhibited bimodal-a warm modecharacteristics 402 (i.e., contained both the warm and cold modes). Specifically, on 23 Feb local winds shifted to southeasterly (147 degrees on 403 average) and air masses arrived from over the eastern Alps, Eastern Europe, Scandinavia, and earlier on in time, the Atlantic Ocean. 404 Thus, these samples were predominantly influenced by the continental (mostly over remote regions) and marine boundary layers 405 (Figures 4 and 5), where sources of warm temperature INPs are more abundant (Frohlich-Nowoisky et al., 2016).
- The northwesterly case of $\underline{000}$ Mar is somewhat interesting in that the local wind direction was clearly from the northwest, but air mass source analyses show <u>brief</u> transport in the boundary layer (radon) from the south, when looking farther back in time, traveling over the Mediterranean and North Africa. The aerosol sample had the third highesta high onset temperature for INPs relative to

409 other northwest samples (Figure 6b) and snow samples exhibited bimodality a warm mode (Figure 6g-8c). It is the only one of the 410 northwesterly case samples that encountered boundary layer exposure according to the radon observations. Combined, these results 411 suggest a somewhat mixed-source sample, and that 06 Mar may not be directly parallel to the other northwesterly cases. 412 Transitioning back to a southeasterly case on 11 Mar, only the rime and snow unveiled bimodal behaviour a warm mode from air 413 transported from similar regions as the 06 Mar sample. However, transport on 11 Mar was more directly from the south over the 414 Mediterranean and North Africa, indicating less time for removal of the INPs during transport. Additionally, OPSC concentrations 415 were very low (Figure 51c). These results suggest the aerosols already nucleated cloud ice prior to reaching JFJ on 11 Mar (i.e., 416 low ambient aerosol), which is supported by the 10 Mar sampling where the aerosol was bimodal did not contain a warm mode, 417 but rime and snow did. rime was unimodal, and snow was bimodal, but the warm mode resided at a relatively cold temperature (418 16.5 °C).

419 Two other days without snowfall support the conclusion that southeasterly air mass transport introduces warm temperature INPs 420 to JFJ. When evaluating the SDE and BLI days, there is a bit of variability. On 24 Feb, clouds were present at JFJ (a cloud fraction 421 of 37.5%), but riming was insufficient to collect a sufficient enough quantity for INP analysis and no snowfall occurred. 422 Interestingly, the warm mode was the maximum second highest for the aerosol samplee <u>normally, the cold mode has the highest</u> 423 normalized value and it did not contain a cold mode (for df/dT), indicating a larger relatively large contribution of warm 424 temperature INPs as compared to the total INP population. Air mass transport was very similar to 23 Feb signifying similar INP 425 sources even though this day was characterized as an SDE, but it is probable that a slightly warmer (-6.0 as compared to -9.8 °C 426 air temperature), drier (79 versus 89% relative humidity), and higher pressure (649 versus 645 mb) postfrontal system moved over 427 JFJ on 24 Feb, inhibiting removal of warm temperature INPs during transport relative to the day prior (corroborated by reanalysis 428 from NCEP/NCAR of geopotential height at 600 mb). The second-BLI case of , 28 Feb (not shown) was very similar to 24 Feb in 429 that: (1) only an aerosol sample was collected and (2) the warm mode was the maximum mode for df/dT. As compared to 27 Feb 430 where a warm mode was not observed, 28 Feb was warmer (-20.0 as compared to -26.2 °C), drier (52 versus 62%), higher pressure 431 (635 versus 630 mb), and had a warmer onset temperature (-6.8 versus -14.8 °C). Wind direction was slightly different: 432 southeasterly (153 degrees) on 27 Feb as compared to southwesterly on 28 Feb (221 degrees). However, conditions were cloudier 433 than the 23 – 24 Feb coupling and completely cloudy on 27 Feb (100 and 66.7% cloud fraction on 27 Feb and 28 Feb, respectively). 434 Additionally, radon and OPSC concentrations were the highest on 27 – 28 Feb as compared to the rest of the days during INCAS 435 (Figure 1c5). Combined, these results suggest a very local, boundary layer source of INPs started on 27 Feb, but were quickly 436 depleted in the very cloudy conditions. Once clouds started to clear and a shift in frontal characteristics occurred, a similar source 437 of very efficient warm temperature INPs affected JFJ but were able to be observed in the aerosol.

438 4 Conclusions and broader implications

439 Aerosol, cloud rime, and snow samples were collected at the High -Altitude Research Station Jungfraujoch during the INCAS field 440 campaign in Feb and Mar 2018. The objectives of the study were to assess variability in wintertime INP sources found in cloudy 441 environments and evaluate relationships between INPs found in the different sample materials. To directly compare air to liquid 442 samples, characteristics of normalized differential fraction frozen and INP spectra were compared in the context of cumulative INP 443 spectra statistics, air mass transport and exposure to boundary layer or free tropospheric conditions, and local meteorology. 444 Distinction between northwesterly and southeasterly conditions yielded variable results regarding INP efficiency and 445 concentrations, biological versus non-biological sources, and meteorological conditions at the sampling location. In general, 446 cumulative INP concentrations were 3 to 20 times higher for all sample types when sources from the southeast infiltrated JFJ, while the modality of the INP spectra of the aerosol was bimodal contained a warm mode for aerosol but the presence of a warm
 mode was variable for the rime and snow depending on meteorological context.

449 In general, comprehensive measurements of INPs from aerosol, and rime and snow when possible, affords useful information to 450 compare and explain exchange between aerosols, clouds, and precipitation in the context of local and regional scale meteorology 451 and transport conditions. Assessment of different INP spectral types, modality, and spectra statistics adds another dimension for 452 qualitative and semi-quantitative intercomparison of sampling days and evaluation of associations between aerosol, cloud, and 453 precipitation sampling. -Extending INP analyses past reporting cumulative concentrations affords more detailed information on 454 the population of INPs and enables comparison between samples from aerosols, clouds, precipitation, and beyond (e.g., seawater, 455 soil, etc.). Using auxiliary measurements and air mass simulations, in addition to context provided by previous work at JFJ, we 456 have addressed possible sources for INCAS. However, more detailed source apportionment work should be imminent to 457 comprehensively characterize INP sources based on spectral features. Future studies should ideally use such statistical analyses in 458 tandem with focused chemical and biological characterization assessments to provide direct linkages between INP spectral 459 properties and sources. Such investigations could yield valuable information on INP sources, and aerosol-cloud-precipitation 460 interactions, which could then be used to improve process-level model parameterizations of such interactions by rendering 461 quantitative information on INP source, efficiency, and abundance. Improving understanding of aerosol impacts on clouds and 462 precipitation will ultimately significantly enhance understanding of the earth system with respect to cloud effects on the surface 463 energy and water budgets to address future concerns of climate change and water availability.

464 Author contributions

JMC collected the samples, conducted the DFA sample analysis, conducted data analysis, and wrote the manuscript. CM and FC
 also contributed to collecting rime and snow samples. JMC, CM, and FC designed the experiments. NB provided quality controlled
 OPS data. CM, NB, and FC helped with manuscript feedback and revision prior to submission.

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Table 1. Dates and times for cloud rime, snow, and aerosol samples collected during the 2018 winter INCAS study at Jungfraujoch. <u>Also</u> shown are the dominant air mass sources (free troposphere or FT or boundary layer intrusion of BLI) for each day based on radon data. Samples highlighted in blue and red are the northwest and southeast case studies, respectively. <u>Samples highlighted in green represent</u> predominantly southerly days that were classified as Saharan dust events (SDEs) or BLI days.

		Cloud rime		Snow			Aerosol			
DateDate	<u>Air mass</u>	Sample Start (UTC)	Duration (hh:mm)	Sample	Start (UTC)	Duration (hh:mm)	Sample	Start (UTC)	Duration (hh:mm)	Stages
		Rime1 06:30	03:07	Snow1	07:15	01:15	DRUM1	10:00	12:00	A/B/C/D
		Rime2 09:37	02:13	Snow2	08:40	01:30	DRUM2	22:00	24:00	A/B/C/D
15-Feb	FT	Rime3 11:50	03:55	Snow3	10:10	01:35				
		Rime4 15:45	03:35	Snow4	12:45	02:30				
		Rime5 19:20	13:55	Snow5	15:20	04:00				
		Rime6 07:15	02:10	Snow6	07:15	02:02	DRUM3	22:00	24:00	A/B/C/D
16-Feb	FT	Rime7 09:29	02:41	Snow7	09:23	02:37				
				Snow8	14:00	17:50				
		Rime8 12:08	01:16	Snow9	07:50	02:23	DRUM4	22:00	24:00	A/B/C/D
17-Feb		Rime9 13:24	02:23	Snow10	10:16	01:10				
		Rime10 15:47	03:12	Snow11	11:35	00:33				
	ст	Rime11 18:59	06:48	Snow12	12:20	01:04				
	<u>Г I</u>			Snow13	13:42	01:00				
				Snow14	14:45	00:55				
				Snow15	15:54	02:54				
				Snow16	18:52	05:50				
18-Feb	<u>FT</u>	Rime12 00:47	08:22		None		DRUM5	22:00	24:00	A/B/C
19-Feb	<u>FT</u>	Rime13 21:00	10:50	Snow17	21:00	08:50	DRUM6	22:00	24:00	A/B/C
20 Eab	ET	Rime14 05:50	04:14	Snow18	05:50	04:14	DRUM7	22:00	24:00	A/B/C
20-Feb	<u>F1</u>	Rime15 12:08	02:17	Snow19	12:08	02:14				
21-Feb	FT	None			None		DRUM8	22:00	24:00	A/B/C/D
22-Feb	BLI	None			None		DRUM9	22:00	24:00	A/B/C/D
		Rime16 20:00	14:30	Snow20	07:49	02:51	DRUM10	22:00	24:00	A/B/C/D
00 F 1	DII			Snow21	10:55	03:35				
23-Feb	<u>BLI</u>			Snow22	14:40	02:42				
				Snow23	20:00	12:11				
24-Feb	FT, SDE	None			None		DRUM11	22:00	24:00	A/B/C
25-Feb	FT	None			None		DRUM12	22:00	24:00	A/B
26-Feb	FT	None			None		DRUM13	22:00	24:00	A/B/C
27-Feb	BLI	None			None		DRUM14	22:00	24:00	A/B/C
28-Feb	BLI	None			None		DRUM15	22:00	24:00	A/B/C
01-Mar	BLI	None			None		DRUM16	22:00	24:00	A/B/C
02-Mar	FT	None			None		DRUM17	22:00	24:00	A/B/C
03-Mar	FT	None			None		DRUM18	22:00	24:00	A/B/C
04-Mar	FT	None			None		DRUM19	22:00	24.00	A/B/C
05-Mar	FT	Rime17 16:43	15.32	Snow24	21.52	08.16	DRUM20	22:00	24:00	A/B/C
05 10141	<u> </u>	Rime18.06:15	03:03	Snow25	06:15	02:50	DRUM21	22:00	24:00	A/B/C
		Rime19.09.18	05:42	Snow26	09.13	05:26	DICOMEN	22.00	24.00	n b/C
06-Mar	BLI	Rime20 15:00	02:08	Snow27	14.54	01:56				
	DLI	Rime21 17:08	04.41	Snow28	17.26	04:04				
		Rime22 22:38	21:40	Snow29	22.38	07:35				
		Rime23.06.19	03:58	Snow30	06.19	01.19	DRUM22	22.00	24.00	A/B/C
		Rime24 10:17	05:40	Snow31	07:50	02:00	DRUMLZ	22.00	24.00	n b/C
07-Mar	BLI	Rime25 15:57	08:31	Snow32	12.49	02:59				
		Rime26 22:28	06:34	Snow33	16:00	05:19				
				Snow34	22:28	06:27				
08-Mar	FT	None		51000	None		DRUM23	22:00	24:00	A/B/C
09-Mar	FT	None			None		DRUM24	22:00	24:00	A/B/C
57 mai		Rime27 11:00	21:46	Snow35	06:00	04.00	DRUM25	22:00	24.00	A/B/C
10-Mar	FT, SDE	111027 11.00	21.70	Snow36	10.10	01.56	DIC 11123	22.00	24.00	
		Rime28.06.46	02.59	Snow30	05:48	04:03		λ/	one	
11-Mar	BLI	Rime20 00.40	03.49	Snow37	09.54	03.51		1	one	
1 1 -1v1al	<u></u>	Rime30 13.45	04.48	Snow30	13.48	02.28				
		10100010.40	07.70	SHOWST	10.40	02.20				





Figure 1. Daily averaged mMeteorological data at JFJ from INCAS, including a) percentage of cloudiness in the vertical profile over JFJ per the estimation by Herrmann et al. (2015), station relative humidity (%), and station air temperature (°C) and b) station wind direction. The background of b) is shaded horizontally by north (light blue) or south (light red) directions. Additionally, days with combined aerosol, cloud rime, and snow collections are vertically shaded grey. Blue and red markers for wind direction represent case study storm days that were entirely northwesterly or southeasterly, respectively. Green markers represent predominantly southerly days that were classified as Saharan dust events (SDEs) or heavy boundary layer influence days. c) Time series of radon (222Rn) corrected for 782 standard temperature and pressure and OPS particle concentrations. The black dashed line indicates a threshold of 2 Bq m⁻³ whereby 783 boundary layer intrusion likely occurred at JFJ. OPS data were missing prior to 23 Feb. Error bars represent standard deviation. relative 784 humidity and surface air temperature and b) wind speed colored by wind direction. Grey shading in a) indicates in-cloud measurement 785 conditions per the estimation by Herrmann et al. (2015) and in b) indicates snow and cloud rime collections with the width of the bar 786 indicating the duration of each sample. Acrosol sampling was conducted daily during all conditions (i.e., precipitation, cloudy, and clear 787 sky). North, east, south, and west correspond to wind direction ranges of 315 – 45, 45 – 135, 135 – 225, and 225 – 315 degrees, respectively.



788 789 790 Figure 2. Rose plot for wind data during INCAS. Values correspond to wind direction binned by 45 degrees and wind speeds binned by 5 m s⁻¹. The probability for wind speed to fall within these bins is plotted.





792 793 Figure 3. 10-day air mass backward trajectories initiated every 3 hours during sample collection for northwesterly case days ending at 794 500 m above ground level (a.g.l.). Trajectories are plotted by latitude-longitude (left) and altitude-time (right) profiles for 15 Feb (a, b), 795 16 Feb (c, d), 19 Feb (e, f), 20 Feb (g, h), and 06 Mar (i, j). Darker shades of blue represent trajectory points farther back in time.at a) 796 797 10, c) 500, and c) 1000 m a.g.l. Altitude profiles versus time are also shown for b) 10, d) 500, and f) 1000 m a.g.l. Each day is coloured

differently to differentiate between the days.





Figure 4. Same as Figure 3, but for southeasterly (23 Feb and 11 Mar), SDE (24 Feb and 10 Mar), and BLI (27 and 28 Feb) -case days. 24 Feb and 10 Mar are shown as smaller markers, indicative of possible Saharan dust events from Paul Scherrer Institute.



Figure 5. ²²²Rn⁻concentrations (grey) measured and corrected for standard temperature and pressure during INCAS. OPC particle 804 805 806 number concentrations (black) are also shown, but data were missing prior to 23 Feb. The black dashed line indicates a threshold of 2 Bq m⁻³-whereby boundary layer intrusion likely occurred at JFJ. Blue and red shadings represent northwesterly and southeasterly case study days, respectively.



808Figure 6. a) Comparison of INCAS snow INPs within the same range of those reported by Stopelli et al. (2016) for measurements at JFJ809during the 2012/13 winter season. Summary of INCAS INP concentrations from acrosol (squares), cloud rime (open circles), and snow810samples (x's), including b) freezing onset temperatures, and correlations between air temperature averages during sample collection and811INPs at freezing temperatures of c) =10 °C, d) =15 °C, and e) =25 °C. The same concentrations at f) =10 °C, g) =15 °C, and h) =25 °C are812plotted against average wind speed measured during sample collection periods. Blue and red markers represent northwesterly and813southeasterly wind directions, respectively.





815

816 Figure 75. Cumulative INP spectra (K(T)-(, on left), normalized differential of fraction frozen per temperature interval (df/dT), -and 817 normalized differential INP spectra (k(T)-(, on right) for the same samples of a) cloud rime, b) snow, and c) aerosol for the size range 818 $2.96 - > 12 \mu m$ in diameter. Spectra shown are for samples from the northwest (blue) and southeast (red) case study dates, in addition to 819 SDE and boundary layer intrusion (BLI) case days (green). - Multiple cloud rime and snow samples were collected while one aerosol 820 sample from each size range was collected on northwest and southeast case study days (see Table 1). Additional dates with only aerosol 821 samples (24-Feb and 27-Feb) are also shown in c) (highest of the two modes > -15 °C) and are discussed in section 3.3. TThe "cold" and 822 warm² mode<u>s areregion is</u> indicated by the dark grey shading in both the normalized df/dT and k(T)-INP spectra for cloud rime, for 823 reference, while the cold mode region (for df/dT only) is shown in the normalized df/dT spectra.



a) Aerosol INPs



825 826

Figure 6. a) Comparison of INCAS cumulative snow INP concentrations for northwest (blue) and southeast (red) within the same range
 of those reported by Stopelli et al. (2016) for measurements at JFJ during the 2012/13 winter season. Summary of INCAS INP
 concentrations from aerosol (squares), cloud rime (circles), and snow samples (diamonds), including b) freezing onset temperatures, c)
 the temperature in which 10% of drops froze, and d) the temperature in which 50% of the drops froze calculated from fraction frozen.

- 831 From the df/dT spectra, cold and warm mode temperatures are shown for e) aerosol, f) rime, and g) snow samples. The warm mode 832 temperatures from df/dT are the same as for k(T). Blue, red, and green markers represent northwesterly cases, southeasterly cases, and
- 833 SDE and BLI case days, respectively. Some data points overlap and thus plots may appear to not have the same number of points per
- 834 sample. Figure 8. Spectral statistics of cold mode height temperature and warm mode height temperatures denoted by "C" and "W",
- 835 respectively for a) 2.96 -> 12 μm aerosol, b) cloud rime averaged per day, and c) snow averaged per day. Days with only "C" marker
- 836 indicate the absence of a warm mode. d) shows average air temperature, wind direction, and cloud fraction during the case study days.
- 837 The days are ordered by northwesterly (blue shading) and southeasterly (pink shading) case days. The southeasterly cases shaded in
- 838 grey represent days that were not case study days, but days that help explain circumstances of the sampling on 23 Feb and 11 Mar.