Rapid formation of intense haze episodes via aerosol-boundary layer feedback in Beijing

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34 Abstract

35 Although much efforts have been put on studying air pollution, our knowledge on the mechanisms 36 of frequently occurred intense haze episodes in China is still limited. In this study, using three years 37 of measurements of air pollutants at three different height levels on a 325-meter Beijing 38 meteorology tower, we found that a positive aerosol-boundary layer feedback mechanism existed 39 at three vertical observation heights during intense haze polluted periods within the mixing layer. 40 This feedback was characterized by a higher loading of $PM_{2.5}$ with a shallower mixing layer. 41 Modeling results indicated that the presence of PM_{2.5} within boundary layer lead to reduced surface 42 temperature, relative humidity and mixing layer height during an intensive haze episode. 43 Measurements showed that the aerosol-boundary layer feedback was related to the decrease of solar 44 radiation, turbulent kinetic energy and thereby suppression of the mixing layer. The feedback 45 mechanism can explain the rapid formation of intense haze episodes to some extent, and we suggest 46 that the detailed feedback mechanism warrant further investigation both from model simulations 47 and field observations.

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49 1. Introduction

50 With the rapid economic growth and urbanization, an increasing frequency of haze episodes 51 along with the air pollution has become of great concern in China during the last decade (Cao et al., 52 2016; Huang et al., 2014; Kulmala, 2015; Wang et al., 2014; Wang et al., 2015). For example, 53 during December 2016 a series of intense haze episodes took place in Eastern China, characterized 54 by surface PM_{2.5} concentrations exceeding 500 ug m⁻³ in several measurement sites in Beijing and 55 its surrounding sites (http://www.mep.gov.cn/gkml/hbb/qt/201701/t20170102_393745.htm). 56 Severe air pollution has serious effects on human health. A recent study reported that the particulate 57 matter has significantly decreased the life span of residents as many as 5.5 years in Northern China 58 (Chen et al, 2013). In a global scale, the air pollution was estimated to cause over 3 million 59 premature deaths every year (Lelieveld et al., 2015).

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Increased emissions from fossil fuel combustion due to vehicle traffic, industrial activities and power generation, along with exceptionally strong secondary aerosol formation, were thought to be responsible for these haze episodes (Cheng et al., 2016; Huang et al., 2014; Pan et al., 2016; Petäjä et al., 2016; G Wang et al., 2016a; Zhang et al., 2015; Zhao et al., 2013). Meanwhile, the formation of intense haze episodes was considered to be affected by meteorological conditions (Wang et al., power al., 2016; G Wang et al., 2016; Cheng et al., 2015; Zhao et al., 2013). 66 2014; Quan et al., 2013; Wang et al., 2016b; Zheng et al., 2016). For example, the mixing layer 67 height is a key parameter that constrains the dilution of surface air pollution, and the development 68 of mixing layer is highly related to the amount of solar radiation absorbed by the air and reaching 69 the surface (Ding et al., 2016; Stull, 1988; Sun et al., 2013; Tang et al., 2016; Wilcox et al., 2016). 70 By using filed measurements combined with model simulation, a positive feedback between aerosol 71 pollution, relative humidity and boundary layer was found to be important in aerosol production, 72 accumulation and severe haze formation in Beijing (Liu et al., 2018). Wang et al. (2018) found that 73 PBL schemes in their atmospheric chemistry models are not sufficient to describe the explosive 74 growth of PM2.5 concentration in Beijing-Tianjin-Hebei region due to absence of an online 75 calculation of aerosol-radiation feedback, and/or a deficient description of extremely weak turbulent 76 diffusion.

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In this study, using unique measurements on the Beijing 325-meter-high meteorology tower, we show clear relationship between mixing layer height and turbulent kinetic energy at the 140-m observation platform. We also present direct evidence on the feedback that relates the decreasing mixed layer height with increasing particulate matter concentrations, and this feedback is critical to the formation of intense haze episodes in Beijing.

83 2. Methods

84 2.1 Calculation of mixing layer height with ceilometer

85 The ceilometer was deployed in the yard of IAP (Institute of atmospheric physics, Chinese 86 academy of science), with a horizontal distance around tens of meters from the 325-m meteorology 87 tower. The mixing layer height was measured with the enhanced single-lens ceilometers from July 88 of 2009 to August of 2012 (CL 31, Vaisala, Finland), which utilized the strobe laser lidar technique 89 (910 nm) to measure the attenuated backscattering coefficient profiles. Detection range of the CL31 90 is 7.6 km with the report period of 2-120 s. Detail information can be found in previous studies 91 (Tang et al., 2016). Since the distribution of particle concentrations is uniform in the mixing layer 92 and has significant differences between the mixing layer and free atmosphere, the height at where a 93 sudden change exists in the attenuated backscattering coefficient profile indicates the top of the 94 mixing layer height. The Vaisala software product BL-VIEW was used to determine the mixing 95 layer height by finding the position with the maximum negative gradient $(-d\beta/dx)$ in the attenuated backscattering coefficient profiles as the top of the mixing layer (Münkel et al., 2007).

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98 2.2 Measurements of energy flux at the 325-m Beijing meteorology tower

99 The turbulent fluxes of sensible heat (Q_H) , latent heat (Q_E) and the turbulence kinetic energy (TKE) 100 were measured at the 140-m level using eddy covariance technique from July of 2009 to August of 101 2012. The raw data (10 Hz) of wind components (u, v and w) and sonic temperature (Ts) recorded 102 with three-dimensional sonic anemometers (Model CSAT3, Campbell Scientific Inc., Logan, Utah, 103 USA) and of water vapor concentrations (q) with open-path infrared gas analyzers (Model LI-7500, 104 LiCor Inc., Lincoln, Nebraska, USA). The fluxes of heat (Q) were calculated as the covariance between the instantaneous deviation or fluctuations of vertical velocity (w'_i) and their respective 105 106 scalar (s'_i) averaged over a time interval of 30 min:

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$$Q = \overline{w's'} = \frac{1}{N} \sum_{i=1}^{N} w's'$$

Where the over-bar denotes a time average, N is the number of samples during the averaging time and the fluctuations are the differences between the instantaneous readings and their respective means. The TKE were calculated as follows (stull,1988):

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$$\frac{\text{TKE}}{\text{m}} = \frac{1}{2} \left(\overline{u'} + \overline{v'} + \overline{w'} \right) = \overline{e}$$

where m is the mass (kg), e is the TKE per unit mass ($m^2 s^{-1}$). A more detailed description of the calculation and post processing of flux is provided elsewhere (Song et al., 2013).

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115 2.3 Measurements of PM_{2.5} concentration and gases at the 325-m Beijing meteorology tower.

The mass concentration of $PM_{2.5}$ at 8-m, 120-m and 280-m observation platforms were measured with three TEOM RP1400 simultaneously from July of 2009 to August of 2012. (Thermo Scientific, <u>http://www.thermoscientific.com</u>). The resolution and precision of the instrument for one-hour measurements were 0.1 µg m⁻³ and ±1.5 µg m⁻³, respectively. The filters were exchanged when the loading rates were approximately 40%. The flow rate was monitored and calibrated monthly. The volume mixing ratios of ozone and NOx were measured with 49i and 42i (Thermal Environment Instruments (TEI) Inc.), respectively (Wang et al., 2014).

124 2.4 Experiment design

125 The model used in this study is the Weather Research and Forecasting (WRF) model (ARW, version

126 3.8.1; Skamarock et al. 2008). The simulation domain was centered in Beijing (39.0°N, 116.0°E)

127 and implemented with one-nested grids with a resolutions of 1 km. The number of grid cells was 128 460×403 for the domain in the east-west and south-north directions. The model run was initialized 129 at 00:00 UTC (or 08:00 LST) 16 Nov 2010 and integrated for 131 h until 10:00 UTC 21 Nov 2010, 130 including 48 h for spin-up. The initial conditions of the model and its outermost lateral boundary 131 conditions, as well as the soil moisture field, were taken from National Centers for Environmental 132 Prediction/National Center for Atmospheric Research Reanalysis data (resolution: $1^{\circ} \times 1^{\circ}$). The 133 model physics schemes used include: Thompson microphysical parameterization (Thompson et al., 134 2004); BouLac boundary-layer parameterization (Bougeault and Lacarrere 1989); RRTMG (Iacono 135 et al., 2008) radiation Scheme; The Building Effect Parameterization (BEP) and the Building Energy 136 Model (BEM) schemes implemented in WRF that can more accurately describe three-dimensional 137 urban land surface features and processes, including anthropogenic heat from buildings (Martilli et 138 al., 2002; Salamanca and Martilli, 2010). The control and test experiment were performed separately 139 to investigate impact of aerosol direct radiative forcing on surface temperature, relative humidity 140 and development of boundary layer height. The control run (CTL) used the RRTMG radiation 141 scheme which ignored the direct radiation effects of aerosols input. In sensitivity test experiment, 142 we add the aerosol input in RRTMG scheme using Tegen climatology and urban type aerosols 143 during the sensitive test.

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145 2.5 Other supporting measurements

146 Total solar radiation was measured with a direct radiometer (TBQ-2, Junzhou, China). Direct 147 radiation was measured with a direct radiometer (TBS-2, Junzhou, China). UV radiation in the range 148 of 220-400 nm was measured using CUV3 radiometer (USA). The estimated experiment error for 149 the three instruments are 3%, 1% and 2%, respectively. The original data were obtained at one-150 minute intervals and the hourly average values were used in this study. The chemical composition 151 of organic, sulphate, nitrate, ammonium and chloride in non-refractory submicron aerosol were 152 measured during several campaigns with an Aerodyne High-Resolution Time-of-Flight Aerosol 153 Mass Spectrometer from July of 2009 to August of 2012 (HR-ToF-AMS, Aerodyne Research Inc., 154 Billerica, MA, USA). Detailed information about instrument, calibration and data process have been 155 introduced by. All these measurements were conducted in the IAP station.

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157 **3 Results and Discussion**

A typical intense haze episode occurred during the heating season in urban Beijing during 17 to 22
November 2010. This episode was associated with synoptic stagnation in the North China Plain

160 (Figure S1) and was characterized by low wind speeds and irregular wind direction (Figure 1). 161 Several meteorological variables had distinct temporal patterns during different stages of pollution, 162 including reduced solar radiation and increased relative humidity during the most intense presence 163 of haze (Fig. 1). The temporal patterns of $PM_{2.5}$ concentrations were very similar at the two lower 164 measurements heights (8 m and 120 m, Fig. 1d), even though the concentration was clearly the 165 highest close to the surface. The $PM_{2.5}$ concentration measured at 280 m behaved in a different way, 166 especially during the most intense period of the haze when the mixed layer height was very low 167 (Fig. 1e). The decoupling of the 280-m platform from the other two lower ones at low mixed layer 168 heights is apparent in our 3-year measurement data set, especially when comparing O_3 and NO_x 169 concentrations between the three measurement platforms (Figs. S2 and S5). During the haze period, 170 the maximum $PM_{2.5}$ concentrations at 8, 120 and 280 m were 505, 267 and 339 µg m⁻³, respectively. 171 The higher maximum concentration at 280 m compared with 120 m can be ascribed to the transport 172 of pollutants from surrounding regions of Hebei and Tianjin Provinces typical for polluted periods 173 (Sun et al., 2013). The mixing layer height varied from 130 m to 1640 m during the haze episode, 174 ranging between about 200 and 500 m during the most intense period of the haze period on 18 175 November 2010 (Fig. 1e). The TKE was quite low during this intensive haze episode from 18 176 November to 21 November, with an average value around 0.3 m² s⁻². However, the TKE increased 177 significant on morning of 21 November as surface wind increased from 1.2 m/s to around 6 m/s, 178 which was possible due to the movement of cold front as shown in Figure S1.

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180 The vertical distribution of attenuated backscatter density obtained from ceilometer measurements 181 indicate vertical mixing conditions accompanied with an inversion layer and high relative humidity 182 in the surface as shown in Figure 2. The strong inversion and high relative humidity occurred on 183 morning of 18 November 2010, with a lapse rate of 2K / 100 m, relative humidity of 78% and north-184 direction wind speed of around 2 m / s detected by the vertical sounding. The turbulent kinetic 185 energy at 140 m was reduced to around $0.1 \sim 0.7 \text{ m}^2/\text{s}^2$ due to decreased solar radiation, as presented 186 in Figure 1(a). In this manner, the development of a mixing layer was significantly suppressed during 187 the intense haze episode.

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189 In order to demonstrate how the $PM_{2.5}$ modifies the surface temperature, relative humidity and 190 development of the mixing layer height. we performed two numerical simulation experiments, using 191 the WRF model as a tool. We took the measurements during the intensive haze episode shown in 192 Figure 1 as an example. As shown in Figure 3(a), the variation of temperature and relative humidity

193 showed pronounced daily variations, with higher and lower values, respectively, during daytime in 194 both test and control experiment. However, the presence of aerosol in the test experiment clearly 195 showed decreased surface temperature and increased relative humidity. The presence of aerosol 196 reduces downward radiation reaching the surface, as a result of which the surface temperature and 197 sensitive heat flux decrease, and the development of mixing layer height is suppressed (Li et al., 198 2017a, 2017b; Miao et al., 2016). Statistical results showed that the average relative humidity, 199 surface temperature and mixing layer height were 8.2±3.4 °C, 40.5±11.6% and 377.7±499 m, 200 respectively, without the consideration of aerosol direct radiative forcing, whereas the consideration 201 of aerosol directive radiative forcing changed these values to 7.1±3.1 °C, 40.6±11.7 % and 202 326.7±470.1 m, respectively. Our model results clearly demonstrate the pronounced role of aerosol 203 particles in reducing the mixing layer height during this haze pollution episode.

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205 In order to further illustrate how the mixing layer height modifies PM_{2.5} concentrations, we used 206 three years of simultaneous winter-time air pollutant measurements in the Beijing. We divided the 207 observed PM_{2.5} concentrations into highly-polluted and less-polluted conditions using a threshold 208 value of 75 μ g m⁻³ for PM_{2.5} to distinguish between these conditions. This is consistent with 209 Chinese Environment Protection Bureau definition of a haze pollution events. With this threshold 210 value, we found that 31% and 69% of total measurement time corresponded to highly-polluted and 211 less-polluted conditions, respectively. We plotted the PM_{2.5} data as a function of the mixing layer 212 height at the three observation heights (8 m, 120 m and 280 m) during both highly-polluted and 213 less-polluted conditions and fitted an exponential curve to these data based on best fitting (Figure. 214 4). The $PM_{2.5}$ concentration has a clear anti-correlation with the mixing layer height during the 215 intense haze episodes. At all the measurement heights, the PM_{2.5} concentration increased as the 216 mixing layer height decreased, and this pattern was very strong under polluted conditions (Figure. 217 4). We also tested the reciprocal fitting function for the data (Figure S8). It overestimated the 218 $PM_{2.5}$ concentration when the mixing layer height was very low, as compared to the exponential 219 fitting function (Figure. 4). This also indicates that a much higher PM_{2.5} concentration is needed in 220 order to obtain a very low mixing layer height without the positive feedback. This can also be 221 supported by the root-mean-square error (RMSE) of these two fitting methods. The RMSE of the 222 exponential fitting is much smaller than the reciprocal fitting in any case (Table, S1). 223

It is worth noting that the increase was mainly from the $PM_{1-2.5}$ fraction that increased from 42% to 65% as mixing layer height decreased from more than 1400 m to lower than 300 m (Figure S4). A

226 major portion of particulate mass between 1 and 2.5 µm originates from secondary aerosol formation 227 processes in urban air (Wang et al., 2014; Zhang et al., 2015). As shown in Figure S7, the concentration of NR-PM₁ increased significantly from 12.1 µg m⁻³ to 56.4 µg m⁻³ with the variation 228 229 of MLH decreased from more than 1400 m to less than 200 m. The reduction in solar radiation 230 reaching the surface due to fine particle matter reduces the turbulent kinetic energy and the 231 development of mixing layer, as shown in Figure 5. An exponential function between the turbulent 232 kinetic energy at 140 m and mixing layer height was fitted., Based on this fit, the MLH roughly be doubles from about 400 m to 800 m when TKE increases from 0.1 m² s⁻² to 1 m² s⁻². These are 233 234 typical values of MLH during polluted conditions in Beijing.

235 The reduced sensible heat and TKE due to aerosol particles reduces the entrainment of 236 relatively dry air into mixing layer from above, which makes the air more humid within the mixing 237 layer. This, together with the decreased surface temperature increases the relative humidity (Li et 238 al., 2017b). The increased relative humidity enhances the aerosol water uptake and promotes the 239 formation of secondary organic and inorganic aerosol via aqueous phase reactions (Liu et al., 2018; 240 Wang et al., 2019), enhancing light scattering and causing further reduction in the intensity of 241 radiation reaching the surface. All these factors suppress the development of mixing layer height 242 and enhance the accumulation of air pollutants within the mixing layer. We ascribe part of the 243 observed increase in PM_{2.5} and simultaneous decrease in the mixing layer height to the positive 244 feedback associated with the particulate matter-mixing layer interaction (Petäjä et al. 2016, Ding et 245 al. 2016), occurring at the same time as primary emissions and secondary formation are confined 246 into a smaller volume of air. The feedback occurred at all the three observation platforms and 247 appeared to be most intensive at 8 m. In an urban environment, NO_x originates mainly from local 248 anthropogenic emissions, whereas the sources of particulate matter include both primary emissions 249 and secondary formation (Ehn et al., 2014; Jimenez et al., 2009; Zhang et al., 2015; Zhao et al., 250 2013). As shown in Figure S6, the median NO_x concentration at 8 m was 250% higher under highly 251 polluted conditions compared with less-polluted conditions as the mixing layer height decreased to 252 100-200 m, while the corresponding number for the $PM_{2.5}$ concentration was 360%.

The increase of the PM_{2.5} concentration from less-polluted to highly-polluted conditions is mainly due to concentrated particulate matter caused by a decreased mixing layer height, which is accompanied by primary particle emissions, secondary aerosol formation and feedback from particulate matter-mixing layer height interactions. Compared with the increased amounts of NOx, we can roughly estimate that in maximum 110% of the increased PM_{2.5} originates from secondary aerosol formation processes in this study. Of the remaining 250% of the PM_{2.5} increase, potentially

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a large fraction originates from particulate matter-mixing layer height interactions, but we cannotquantify this fraction at the moment.

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262 4 Conclusions

263 The development of mixing layer height in an urban city is affected by the intensity of 264 incoming solar radiation. Our measurement at the 325-meter meteorology tower showed that the 265 solar and ultraviolet radiation reaching the surface decrease considerably at increased pollution 266 levels, which leads to a decreased TKE and, consequently, the suppression of mixing layer 267 development. In turn, the shallowed mixing layer height further favors the enhancement of PM_{2.5} 268 concentration and its precursor gases from both direct emissions and secondary formation. This 269 feedback mechanism may be an important reason for rapid increase of particulate matter from 270 moderate-polluted conditions to periods of intense pollution in an urban atmosphere as the strength 271 increased with the PM2.5 concentration increased, although we cannot quantify the feedback amount 272 exactly by observations currently. The particulate matter-mixing layer height feedback is probably 273 a critical factor for the formation of intense haze periods from moderate-polluted periods in Beijing 274 and other polluted cities.

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281 Competing financial interests

282 The authors declare no competing financial interests.

283 Author contributions

284	M.K, T.P and Y.H.W, have the original idea of the research. Y.S.W, G.T, T.S, Z.L, B.H, L.W, X.Z,
285	D.J, W.G and Y.S conducted the longtime measurements and provided the data. M.Y conducted
286	model simulation. Y.H.W, G.T, S.T, P.Z, M.E, C.Y, V.K, T.P and M.K interpreted the data and
287	plotted the figures. Y.H.W wrote the manuscript, with contribution from all co-authors.
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Figure 1. Measurements of (a) solar radiation and ultraviolet radiation at 8 m, (b) wind speed and direction at 8 m, (c) relative humidity and air temperature at 8 m, (d) mass concentration of PM_{2.5} at 8 m, 120 m and 280 m, (e) mixing layer height at 8 m and turbulence kinetic energy at 140 m in the Beijing 325-meter meteorology tower during an intensive air pollution episode in November of 2010. The evolution of the air pollution episode can be divided into the period 1 (clean period to air pollution accumulation period, period 2 (pollution period) and period 3 (pollution to clean period).





00:00

Wind

03:00

06:00

09:00

426

Figure 2. Observed attenuated backscatter density, calculated mixing layer height using ceilometer and vertical wind speed, wind direction, relative humidity, virtual potential temperature using sounding data during November 18 (top) and 19 (bottom). The black flag in the left and right side of the figures stand for vertical wind speed and wind direction obtained from sounding measurements at 08:00 and 20:00 of Beijing time, respectively. The circle in the left side of figure represents calm wind. The dotted yellow lines and solid green lines represents vertical distribution of virtual potential temperature and relative humidity from sounding at 08:00 and 20:00,

12:00

Time

15:00

18:00

21:00

15

Wind

- 434 respectively. The yellow square and green square represent first layer and second layer, respectively,
- 435 and usually the first layer was used as mixing layer height. The mixing layer height was determined
- 436 from the local minimum of the backscatter density gradient, and the colour in the figure stands for
- 437 backscatter density from ceilometer. From both figures, we can clearly see that mixing layer has
- 438 important role in regulating distribution of air pollutants.
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Figure 3(a) Modeled variation of surface relative humidity, temperature and (b) mixing layer height during the intensive haze episode from 18th November 2010 to 21th November 2010. The lines with triangles on represent results from test experiment, while the lines represent results from control experiment. The control experiment was performed with absence of aerosol direct radiative forcing in the RRTMG radiation scheme, while the test experiment was conducted with presence of aerosol direct radiative forcing considered.





(c)

Figure 4. The variability of the $PM_{2.5}$ mass concentration as a function of the mixing layer height at 8 m (a), 120 m (b) and 280 m (c). The data related to the upper fitting line represents $PM_{2.5}$ concentrations larger than 75 ug m⁻³, while the data related to the lower fitting line represents $PM_{2.5}$ concentrations less than 75 ug m⁻³. The dark grey points represent mean values; the red line represents median values. The shadowed area corresponds to an increased amount of $PM_{2.5}$ with decreased mixing layer height assuming that $PM_{2.5}$ has the same variation pattern under highly- polluted conditions as in less polluted time.



Figure 5. Turbulent kinetic energy at 140 m as a function of mixing layer height and PM_{2.5}
concentrations at 120 m from July of 2009 to August of 2011. An exponential function was
fitted based on best fitting.