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Rapid formation of intense haze episodes in Beijing

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34 feedback

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36 Abstract

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38 Although much efforts have been put on studying air pollution, our knowledge on 39 the mechanisms of frequently occurred intense haze episodes in China is still limited. 40 In this study, using three years of measurements of air pollutants at three different 41 height levels on a 325-meter Beijing meteorology tower, we found that a positive 42 particulate matter-boundary layer feedback mechanism existed at three vertical 43 observation heights during intense haze polluted periods within the mixing layer. This 44 feedback was characterized by a higher loading of PM_{2.5} with a shallower mixing layer. 45 Measurements showed that the feedback was related to the decrease of solar radiation, 46 turbulent kinetic energy and thereby suppression of the mixing layer. The feedback 47 mechanism can explain the rapid formation of intense haze episodes to some extent, 48 and we suggest that the feedback mechanism should be considered in air quality models 49 for better predictions.

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1. Introduction

51 With the rapid economic growth and urbanization, an increasing frequency of haze 52 episodes along with the air pollution has become of great concern in China during the 53 last decade (Cao et al., 2016; Huang et al., 2014; Kulmala, 2015; Wang et al., 2014; 54 Wang et al., 2015). For example, during December 2016 a series of intense haze 55 episodes took place in Eastern China, characterized by surface PM_{2.5} concentrations exceeding 500 ug m⁻³ in several measurement sites in Beijing and its surrounding sites 56 (http://www.mep.gov.cn/gkml/hbb/qt/201701/t20170102_393745.htm). 57 Severe air 58 pollution has serious effects on human health. A recent study reported that the 59 particulate matter has significantly decreased the life span of residents as many as 5.5 60 years in Northern China (Chen et al, 2013). In a global scale, the air pollution was 61 estimated to cause over 3 million premature deaths every year (Lelieveld et al., 2015). 62 Increased emissions from fossil fuel combustion due to vehicle traffic, industrial

activities and power generation, along with exceptionally strong secondary aerosol
formation, were thought to be responsible for these haze episodes (Cheng et al., 2016;
Huang et al., 2014; Pan et al., 2016; Petäjä et al., 2016; G Wang et al., 2016a; Zhang et

66 al., 2015; Zhao et al., 2013). Meanwhile, the formation of intense haze episodes was 67 considered to be affected by meteorological conditions (Wang et al., 2014; Quan et al., 68 2013; Wang et al., 2016b; Zheng et al., 2016). For example, the mixing layer height is 69 a key parameter that constrains the dilution of surface air pollution, and the 70 development of mixing layer is highly related to the amount of solar radiation absorbed 71 by the air and reaching the surface (Ding et al., 2016; Stull, 1988; Sun et al., 2013; Tang 72 et al., 2016; Wilcox et al., 2016). By using filed measurements combined with model 73 simulation, a positive Feedback between aerosol pollution, relative humidity and 74 boundary layer was important in aerosol production, accumulation and severe haze 75 formation in Beijing (Liu et al., 2018). Wang et al., (2018) found that PBL schemes in 76 their atmospheric chemistry models are not sufficient to describe the explosive growth 77 of PM2.5 concentration in Beijing-Tianjin-Hebei region due to absence of an online 78 calculation of aerosol-radiation feedback, and/or a deficient description of extremely 79 weak turbulent diffusion.

In this study, using unique measurements on the Beijing 325-meter-high meteorology tower, we show clear relationship between mixing layer height and turbulent kinetic energy at 140m observation platform. We also present direct evidence on the feedback that relates the decreasing mixed layer height with increasing particulate matter concentrations, and this feedback is critical to the formation of intense haze episodes in Beijing.

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87 **2. Methods**

88 2.1 Calculation of mixing layer height with ceilometer

89 The ceilometer was deployed in the yard of IAP (Institute of atmospheric physics, Chinese

- academy of science), with a horizontal distance around tens of meters from the 325-m meteorology
- 91 tower. The mixing layer height was measured with the enhanced single-lens ceilometers from July
- 92 of 2009 to August of 2012 (CL 31, Vaisala, Finland), which utilized the strobe laser lidar
- 93 technique (910 nm) to measure the attenuated backscattering coefficient profiles. Detection range
- 94 of the CL31 is 7.6 km with the report period of 2-120 s. Detail information can be found in previous
- 95 studies (Tang et al., 2016). Since the distribution of particle concentrations is uniform in the mixing

96 layer and has significant differences between the mixing layer and free atmosphere, the height at 97 where a sudden change exists in the attenuated backscattering coefficient profile indicates the top 98 of the mixing layer height (Münkel et al., 2007). The Vaisala software product BL-VIEW was used 99 to determine the mixing layer height by finding the position with the maximum negative gradient (-100 d β /dx) in the attenuated backscattering coefficient profiles as the top of the mixing layer (Tang et al., 2016;Münkel et al., 2007).

102 2.2 Measurements of energy flux at 325m Beijing meteorology tower

103 The turbulent fluxes of sensible heat (Q_H) , latent heat (Q_E) and The turbulence kinetic energy (TKE) 104 were measured at 140m level using eddy covariance technique from July of 2009 to August of 105 2012. The raw data (10 Hz) of wind components (u, v and w) and sonic temperature (Ts) recorded 106 with three-dimensional sonic anemometers (Model CSAT3, Campbell Scientific Inc., Logan, Utah, 107 USA) and of water vapor concentrations (q) with open-path infrared gas analyzers (Model LI-7500, 108 LiCor Inc., Lincoln, Nebraska, USA). The fluxes of heat (Q) were calculated as the covariance 109 between the instantaneous deviation or fluctuations of vertical velocity (w'_i) and their respective scalar (s'_i) averaged over a time interval of 30 min: 110

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$$Q = \overline{w's'} = \frac{1}{N} \sum_{i=1}^{N} w's'$$

Where the over-bar denotes a time average, N is the number of samples during the averaging time and the fluctuations are the differences between the instantaneous readings and their respective means. The TKE were calculated as follows (Stull, 1988):

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$$\frac{\text{TKE}}{\text{m}} = \frac{1}{2} \left(\overline{u'} + \overline{v'} + \overline{w'} \right) = \overline{e}$$

where m is the mass (kg), e is the TKE per unit mass ($m^2 s^{-1}$). A more detailed description of the calculation and post processing of flux is provided in Song et al. (2013).

118 2.3 Measurements of PM_{2.5} concentration and gases in the 325m Beijing meteorology tower.

The mass concentration of $PM_{2.5}$ at 8m, 120m and 280m observation platform were measured with three TEOM RP1400 simultaneously from July of 2009 to August of 2012. (Thermo Scientific, <u>http://www.thermoscientific.com</u>). The resolution and precision of the instrument for one-hour was of 0.1 µg m⁻³ and ±1.5 µg m⁻³. The filters were exchanged when the loading rates were approximately 40% and the flow rate were monitored and calibrated monthly. The volume mixing ratios of ozone and NOx were measured with 49i and 42i (Thermal Environment Instruments (TEI) Inc.), respectively. Detailed introduction can be found in Wang et al. (2014).

126 2.4 Other supporting measurements

127 Total solar radiation was measured with a direct radiometer (TBQ-2, Junzhou, China). Direct 128 radiation was measured with a direct radiometer (TBS-2, Junzhou, China). UV radiation in the range of 220 nm-400 nm was measured using CUV3 radiometer (USA). The estimated experiment error 129 130 for the three instruments are 3%, 1% and 2%, respectively (Hu et al., 2012). The original data were 131 obtained at one-minute intervals and the hourly average values were used in this study. The chemical 132 composition of organic, sulphate, nitrate, ammonium and chloride in non-refractory submicron 133 aerosol were measured during several campaigns with an Aerodyne High-Resolution Time-of-134 Flight Aerosol Mass Spectrometer from July of 2009 to August of 2012 (HR-Tof-AMS, 135 Aerodyne Research Inc., Billerica, MA, USA) (DeCarlo et al., 2006). Detailed information about 136 instrument, calibration and data process have been introduced by Zhang et al. (2014). All these 137 measurements were conducted in the IAP station.

138 **3 Results and Discussion**

139 A typical intense haze episode occurred during the heating season in urban Beijing 140 during 17 to 22 November 2010. This episode was associated with synoptic stagnation 141 in the North China Plain (Figure S1) and was characterized by low wind speeds and 142 irregular wind direction (Figure 1). Several meteorological variables had distinct 143 temporal patterns during different stages of pollution, including reduced solar radiation 144 and increased relative humidity during the most intense presence of haze (Fig. 1). The 145 temporal patterns of PM_{2.5} concentrations were very similar at the two lower measurements heights (8 m and 120 m, Fig. 1d), even though the concentration was 146 147 clearly the highest close to the surface. The PM_{2.5} concentration measured at 280 m 148 behaved in a different way, especially during the most intense period of the haze when 149 the mixed layer height was very low (Fig. 1e). The decoupling of the 280-m platform 150 from the other two lower ones at low mixed layer heights is apparent in our 3-year 151 measurement data set, especially when comparing O₃ and NO_x concentrations between 152 the three measurement platforms (Figs. S2 and S5). During the haze period, the maximum PM_{2.5} concentrations at 8, 120 and 280 m were 505, 267 and 339 μ g m⁻³, 153 154 respectively. The higher maximum concentration at 280 m compared with 120 m can 155 be ascribed to the transport of pollutants from surrounding regions of Hebei and Tianjin 156 Provinces typical for polluted periods (Sun et al., 2013). The mixing layer height varied 157 from 130 m to 1640 m during the haze episode, ranging between about 200 and 500 m 158 during the most intense period of the haze period on 18 November 2010 (Fig. 1e). The 159 TKE was quite low during this intensive haze episode from 18 November to 21

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160 November, with an average value around $0.3 \text{ m}^2 \text{ s}^{-2}$. However, the TKE increased 161 significant on morning of 21 November as surface wind increased from 1.2 m/s to 162 around 6 m/s, which was possible dur to the movement of cold front as shown in Figure 163 S1.

164 The vertical distribution of attenuated backscatter density obtained from 165 ceilometer measurements indicate vertical mixing conditions accompanied with an 166 inversion layer and high relative humidity in the surface as shown in Figure 2. The 167 strong inversion and high relative humidity occurred on morning of 18 November 2010, with a lapse rate of 2K / 100 m, relative humidity of 78% and north-direction wind 168 speed of around 2 m / s detected by the vertical sounding. The turbulent kinetic energy 169 at 140 m was reduced to around 0.1~0.7 m^2/s^2 due to decreased solar radiation, as 170 171 presented in Figure1(a). In this manner, the development of a mixing layer was 172 significantly suppressed during the intense haze episode.

173 In order to demonstrate how the mixing layer height modifies PM_{2.5} 174 concentrations, we used three years of simultaneous winter-time air pollutant 175 measurements in the Beijing tower at 8 m, 120 m and 280 m platforms. We divided the 176 observed PM_{2.5} concentrations into highly-polluted and less-polluted conditions using a threshold value of 75 μ g m⁻³ for PM_{2.5} to distinguish between these conditions. This 177 is consistent with Chinese Environment Protection Bureau definition of a haze pollution 178 179 events. With this threshold value, we found that 31% and 69% of total measurement 180 time corresponded to highly-polluted and less-polluted conditions, respectively. We 181 plotted the PM_{2.5} data as a function of the mixing layer height at the three observation 182 heights during both highly-polluted and less-polluted conditions and fitted an 183 exponential curve to these data (Figure 3). The $PM_{2.5}$ concentration has a clear anti-184 correlation with the mixing layer height during the intense haze episodes. At all 185 measurement heights, the PM_{2.5} concentration increased as the mixing layer height 186 decreased, and this pattern was very strong under polluted conditions (Figure 3). It is 187 worth noting that the increase was mainly from the $PM_{1-2.5}$ fraction that increased from 188 42% to 65% as mixing layer height decreased from more than 1400 m to lower than 189 300 m (Figure S4). A major portion of particulate mass between 1 and 2.5 µm originates 190 from secondary aerosol formation processes in urban air (Wang et al., 2014; Zhang et 191 al., 2015). As shown in figure S7, the chemical concentration of NR-PM₁ increased significantly from 12.1 μ g m⁻³ to 56.4 μ g m⁻³ with the variation of MLH decreased from 192

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more than 1400 m to less than 200 m. The reduction in solar radiation due to these fine particle matters reaching the surface reduces the turbulent kinetic energy and the development of mixing layer, as shown in Figure 4. An exponential function between Turbulent kinetic energy at 140 m and mixing layer height was fitted, which could provide us some simple quantification. As presented in the function, the MLH will be doubled from around 400 m to 800 m if TKE increased from around 0.1 m² s⁻² to 1 m² s⁻², and these are typical MLHs during polluted conditions in Beijing.

200 We ascribe part of the observed increase in PM_{2.5} and simultaneous decrease in 201 the mixing layer height to a positive feedback from particulate matter-mixing layer 202 interaction (Petäjä et al. 2016, Ding et al. 2016), which occurred at the same time as 203 primary emissions and secondary formation were confined into a smaller volume of air. 204 The feedback occurred at all the three observation platforms and was most intensive at 205 8 m. In an urban environment, NO_x originates mainly from local anthropogenic 206 emissions, whereas the sources of particulate matter include both primary emissions 207 and secondary formation (Ehn et al., 2014; Jimenez et al., 2009; Zhang et al., 2015; 208 Zhao et al., 2013). As shown in Figure S6, the median NO_x concentration at 8 m was 209 250% higher under highly-polluted conditions compared with less-polluted conditions 210 as the mixing layer height decreased to 100-200 m, while the corresponding number for 211 the $PM_{2.5}$ concentration was 360%.

212 The increase of the PM_{2.5} concentration from less-polluted to highly-polluted 213 conditions is mainly due to concentrated particulate matter caused by a decreased 214 mixing layer height, which is accompanied by primary particle emissions, secondary 215 aerosol formation and feedback from particulate matter-mixing layer height 216 interactions. Compared with the increased amounts of NOx, we can roughly estimate that in maximum 110% of the increased PM_{2.5} originates from secondary aerosol 217 218 formation processes in this study. Of the remaining 250% of the PM_{2.5} increase, 219 potentially a large fraction originates from particulate matter-mixing layer height 220 interactions, but we cannot quantify this fraction at the moment.

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222 4 Conclusions

The development of mixing layer height in an urban city is affected by the intensity of incoming solar radiation. Our measurement at the 325-meter meteorology tower showed that the solar and ultraviolet radiation reaching the surface decrease 226 considerably at increased pollution levels., which leads to further increases in 227 concentrations of $PM_{2.5}$ and its precursor gases from both direct emissions and 228 secondary formation. This feedback mechanism may be an important reason for rapid 229 increase of particulate matter from moderate-polluted conditions to periods of intense 230 pollution in an urban atmosphere. The particulate matter-mixing layer height feedback 231 is probably a critical factor for the formation of intense haze periods in Beijing and 232 other polluted cities.

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238 Competing financial interests

239 The authors declare no competing financial interests.

240 Author contributions

- 241 M.K, Y.S.W, T.P and Y.H.W, have the original idea. Y.S.W, G.T, T.S, Z.L, B.H, L.W,
- 242 X.Z, D.J, W.G and Y.S conducted the longtime measurements and provided the data.
- 243 Y.H.W, G.T, S.T, P.Z, M.E, C.Y, V.K, T.P and M.K interpreted the data and plotted
- the figures. Y.H.W wrote the manuscript, with contribution from all co-authors.
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Figure captions



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Figure 1. Measurements of (a) solar radiation and ultraviolet radiation at 8 m, (b) wind speed and direction at 8 m, (c) relative humidity and air temperature at 8 m, (d) mass concentration of $PM_{2.5}$ at 8 m, 120 m and 280 m, (e) mixing layer height at 8 m and turbulence kinetic energy at 140 m in the Beijing 325-meter meteorology tower during an intensive air pollution episode in November of 2010. The evolution of the air pollution episode can be divided into the period 1 (clean period to air pollution accumulation period, period 2 (pollution period) and period 3 (pollution to clean period).





Figure 2. Observed attenuated backscatter density, calculated mixing layer height using ceilometer and vertical wind speed, wind direction, relative humidity, virtual potential temperature using sounding data during November 18 (top) and 19 (bottom). The black flag in the left and right side of the figures stand for vertical wind speed and wind direction obtained from sounding measurements at 08:00 and 20:00 of Beijing time, respectively. The circle in the left side of figure represents calm wind. The dotted yellow lines and solid green lines represents vertical distribution of virtual potential 14

temperature and relative humidity from sounding at 08:00 and 20:00, respectively. The yellow square and green square represents first layer and second layer, respectively, and usually the first layer was used as mixing layer height. The mixing layer height was determined from the local minimum of the backscatter density gradient, and the colour in the figure stands for backscatter density from ceilometer. From both figures, we can clearly see that mixing layer has important role in regulating distribution of air pollutants.







 (\mathbf{C})

Figure 3. The variability of the PM_{2.5} mass concentration as a function of the mixing layer height at 8 m (a), 120 m (b) and 280 m (c). The data related to the upper fitting line represents $PM_{2.5}$ concentrations larger than 75 ug m⁻³, while the data related to the lower fitting line represents PM2.5 concentrations less than 75 ug m⁻³. The dark grey points represent mean values; the red line represents median values. The shadowed area corresponds to an increased amount of PM2.5 with decreased mixing layer height assuming that PM_{2.5} has the same variation pattern under highly- polluted conditions as in less polluted time.





Figure 4. Turbulent kinetic energy at 140 m as a function of mixing layer height
and PM_{2.5} concentrations at 120 m from July of 2009 to August of 2011. An
exponential function was fitted based on best fitting.