

1 **An observational study of the effects of aerosols on diurnal variation of heavy rainfall**  
2 **and the concurrent cloud changes over Beijing-Tianjin-Hebei**

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36 **Abstract:** Our previous study found that the observed rainfall diurnal variation over Beijing-Tianjin-Hebei  
37 shows distinct signature of the effects of pollutants. Here we used the hourly rainfall data together with  
38 satellite-based daily information of aerosols and clouds to further investigate the effects of aerosols on heavy  
39 rainfall, and the concurrent changes of cloud properties. For heavy rainfall, three distinguished characteristics  
40 are identified: *earlier start time*, *earlier peak time*, and *longer duration*. The quantitative values of these  
41 changes are however sensitive to the choice of pollution indicators: 0.7, 1.0 and 0.8 hours based on aerosol  
42 optical depth (AOD); and 2.1, 4.2 and 2.4 hours based on cloud droplet number concentration (CDNC).  
43 In-depth analysis suggests that the characteristics of earlier in both start time and peak time occur in the  
44 presence of black carbon (absorbing aerosols) while the longer duration is attributed to sulfate (scattering  
45 aerosols) and increased low-level moisture (specific humidity at 850 hPa). Because of its close relevance to  
46 changes in heavy rainfall, we also examined changes of clouds. Significant increases in cloud fraction, cloud  
47 top pressure, the liquid/ice cloud optical thickness and cloud water path are exhibited. The liquid cloud  
48 effective radius is increased using AOD while the ice cloud effective radius is decreased using either AOD or  
49 CDNC. The moisture has the similar effect on cloud with the CDNC, which means that the aerosol effect may  
50 not be isolated from the impact of humidity. Finally, the mechanisms which may explain the aerosol effects are  
51 discussed and hypothesized.

52 **Key words: aerosol, heavy rainfall, diurnal variation, cloud, Beijing-Tianjin-Hebei, observational study**

53

## 54 **1. Introduction**

55 Aerosols modify the global hydrologic cycle through both radiative effect (direct effect) and cloud effect  
56 (indirect effect) (IPCC, 2013). On the one hand, through absorbing or scattering solar radiation, aerosols can  
57 lead to the air aloft heating (e.g. Jacobson 2001; Lau et al. 2006) or the surface cooling (Lelieveld and  
58 Heintzenberg 1992; Guo et al. 2013; Yang et al., 2018), which changes the atmospheric vertical static stability  
59 and modulates rainfall (e.g. Rosenfeld et al. 2008). On the other hand, water-soluble aerosols serving as cloud  
60 condensation nuclei (CCN) could affect the warm-rain processes and cold-rain processes through influencing  
61 the cloud droplet size distributions, cloud top heights and other cloud properties (Jiang et al., 2002; Givati and  
62 Rosenfeld 2004; Chen et al., 2011; Lim and Hong 2012; Tao et al., 2012). Beijing-Tianjin-Hebei (BTH) region  
63 is the heaviest aerosol polluted area in China and concerns have been raised about the  
64 aerosol-radiation-cloud-precipitation interaction over this region. The impact of aerosols on light rainfall or  
65 warm-rain processes over BTH region almost reaches consistent agreement (e.g., Qian et al., 2009), but  
66 aerosol effects on the heavy convective rainfall in this region still have large uncertainties (Guo et al., 2014;  
67 Wang et al., 2016).

68 The clouds that can generate heavy convective rainfall in BTH region usually contain warm clouds, cold  
69 clouds and mixed-phase clouds (e.g. Guo et al., 2015). Because the aerosol-cloud interactions in different

70 types of clouds are distinct (Gryspeerd et al., 2014b), aerosol indirect effect during heavy rainfall is more  
71 complicated than its direct effect (Sassen et al., 1995; Sherwood, 2002; Jiang et al., 2008, Tao et al., 2012).  
72 For warm clouds, by serving as CCN that nucleates more cloud droplets, aerosols can increase cloud albedo so  
73 called albedo effect or Twomey effect (Twomey, 1977), lengthen the cloud lifetime so called lifetime effect  
74 (Albrecht, 1989), and enhance thin cloud thermal emissivity so called thermal emissivity effect (Garrett and  
75 Zhao, 2006). The above effects tend to increase the cloud microphysical stability and suppress warm-rain  
76 processes (Albrecht 1989; Rosenfeld et al. 2014). For cold clouds and mixed-phase clouds, many studies  
77 reported that the cloud liquid accumulated by aerosols is converted to ice hydrometeors above the freezing  
78 level, which invigorates deep convective clouds and intensifies heavy precipitation so called invigoration  
79 effect (Rosenfeld and Woodley, 2000; Rosenfeld et al., 2008; Lee et al. 2009; Guo et al. 2014). The Twomey  
80 effect infers that aerosols serving as CCN that increase the cloud droplets could reduce cloud droplet size  
81 within a constant liquid water path (Twomey, 1977). However, the opposite results of relationship between  
82 aerosols and cloud droplet effective radius were reported in observations (Yuan et al., 2008; Panicker et al.,  
83 2010; Jung et al., 2013; Harikishan et al., 2016; Qiu et al., 2017), which might be related with the moisture  
84 supply near the cloud base (Yuan et al., 2008; Qiu et al., 2017). Besides, the influence of aerosols on ice  
85 clouds also depends upon the amount of moisture supply (Jiang et al., 2008). Therefore, how the aerosols  
86 modify the heavy convective rainfall and concurrent cloud changes does not reach a consensus, particularly if  
87 considering the different moisture conditions.

88 Heavy convective rainfall over BTH region usually occurs within a few hours, thus studying on the  
89 relationship between aerosols and rainfall diurnal variation could deepen our understanding of aerosol effects  
90 on heavy rainfall. Several previous studies have found that aerosols are related to the changes of the rainfall  
91 diurnal variation in other regions (Kim et al., 2010; Gryspeerd et al., 2014b; Fan et al., 2015; Guo et al., 2016;  
92 Lee et al., 2016). However, the above studies do not address the change of cloud properties and its sensitivity  
93 to different conditions of moisture supply. Although our recent work over BTH region (Zhou et al. 2018)  
94 attempted to remove the meteorological effect including circulation and moisture and found that the peak of  
95 heavy rainfall shifts earlier on the polluted condition, it only excluded the extreme moisture conditions and  
96 focused on aerosol radiative effect on the rainfall diurnal variation. Therefore, this study aims to deepen the  
97 previous study (Zhou et al., 2018) through investigating the following questions: (1) how do aerosols  
98 (including absorbing aerosols and scattering aerosols) modify the behaviors of the heavy rainfall diurnal  
99 variation (start time, peak time, duration and intensity)? (2) how do aerosols influence the concurrent cloud  
100 properties with inclusion of moisture? To solve above questions, we used aerosol optical depth (AOD) as a  
101 macro indicator of aerosol pollution and cloud droplet number concentration (CDNC) as a micro indicator of  
102 CCN served by aerosols respectively to compare the characteristics of heavy rainfall diurnal variation and the  
103 concurrent cloud properties between clean and polluted conditions, and applied aerosol index (AI) to  
104 distinguish the associated different effects of absorbing aerosols and scattering aerosols. In addition, we used  
105 the specific humidity (SH) at 850 hPa as an indicator of moisture supply condition to investigate the possible

106 effects of moisture on the rainfall and clouds and compared them with the effects of aerosols. The paper is  
107 organized as following: The data and methodology are introduced in Sect. 2. Section 3 addresses the  
108 relationship between aerosol pollution and diurnal variation of heavy rainfall, including the distinct  
109 characteristics of rainfall diurnal variation on clean/polluted conditions; the different behaviors of heavy  
110 rainfall diurnal variation along with the change of two different types of aerosols, and the comparison of  
111 heavy rainfall behaviors influenced respectively by moisture and aerosols. Section 4 describes the concurrent  
112 changes of cloud properties associated with pollution and examines the possible influences of CCN and  
113 moisture on the cloud properties. Section 5 makes a discussion on the distinct roles of aerosol radiative  
114 effect/cloud effect on the behaviors of heavy rainfall diurnal variation, as well as the uncertainties of different  
115 indicators and associated distinct results. Conclusion will be given in Sect. 6.

116

## 117 **2. Data and methodology**

### 118 **2.1 Data**

119 Four types of datasets from the year 2002 to 2012 (11 years) are used in this study, which include (1)  
120 precipitation, (2) aerosols, (3) clouds, and (4) other meteorological fields.

#### 121 **2.1.1 Precipitation data**

122 To study the diurnal variation of heavy rainfall, the gauge-based hourly precipitation datasets are used, which  
123 were obtained from the National Meteorological Information Center (NMIC) of the China Meteorological  
124 Administration (CMA) (Yu et al., 2007) at 2420 stations in China from 1951 to 2012. The quality control  
125 made by CMA/NMIC includes the check for extreme values (the value exceeding the monthly maximum in  
126 daily precipitation was rejected), the internal consistency check (wiping off the erroneous records caused by  
127 incorrect units, reading, or coding) and spatial consistency check (comparing the time series of hourly  
128 precipitation with nearby stations) [Shen et al., 2010]. Here we chose 176 stations in the plain area of BTH  
129 region that are below the topography of 100 meter above sea level as shown in Fig.1, because we purposely  
130 removed the probable orographic influence on the rainfall diurnal variation, which is consistent with our  
131 previous work (Zhou et al., 2018). The record analyzed here is the period of 2002 to 2012.

#### 132 **2.1.2 Aerosol data**

133 AOD is a proxy for the optical amount of aerosol particles in a column of the atmosphere and serves as one of  
134 indicators for the division of aerosol pollution condition in this study, which was obtained from MODIS  
135 (Moderate Resolution Imaging Spectroradiometer) Collection 6 L3 aerosol product with the horizontal  
136 resolution of  $1^{\circ} \times 1^{\circ}$  onboard the Terra satellite (Tao et al., 2015). The quality assurance of marginal or higher  
137 confidence is used in this study. The reported uncertainty in MODIS AOD data is on the order of (-0.02-10%),  
138 (+0.04+10%) (Levy et al., 2013). The Terra satellite overpass time at the equator is around 10:30 local solar



139 time (LST) in the daytime, and the satellite data is almost missing when it is rainy during the overpass time.  
140 As shown in Fig.2, the occurrence of selected heavy rainfall events in this study is mainly later than the  
141 satellite overpass time. Therefore, the AOD used here represents the situation of the air quality in advance of  
142 heavy rainfall appearance.

143 The ultraviolet AI from Ozone Monitoring Instrument (OMI) on board the Aura satellite which was  
144 launched in July 2004, is used for detecting the different types of aerosols in this study. The OMI ultraviolet  
145 AI is a method of detecting absorbing aerosols from satellite measurements in the near-ultraviolet wavelength  
146 region (Torres et al., 1998). The positive values of ultraviolet AI are attributed to the absorbing aerosols such  
147 as smoke and dust while the negative values of AI stand for the non-absorbing aerosols (scattering aerosols)  
148 such as sulfate and sea salt (Tariq and Ali, 2015). The near-zero values of AI occur when clouds and Rayleigh  
149 scattering dominate (Hammer et al., 2018). The horizontal resolution of AI data is  $1^{\circ}\times 1^{\circ}$  and it covers the  
150 period of 2005 to 2012.

151 MACC-II (Monitoring Atmospheric Composition and Climate Interim Implementation) reanalysis product  
152 produced by ECMWF (the European Centre for Medium-Range Weather Forecasts), provided the AOD  
153 datasets for different kinds of aerosols (BC, sulfate, organic matter, mineral dust and sea salt). MACC-II  
154 reanalysis products are observationally-based within a model framework, which can offer a more complete  
155 temporal and spatial coverage than observation and reduce the shortcomings of simulation that fail in  
156 simulating the complexity of real aerosol distributions (Benedetti *et al.*, 2009). The horizontal resolution of  
157 MACC-II is also  $1^{\circ}\times 1^{\circ}$  with the time interval of six-hour. MACC-II data covers the period of 2003 to 2012.

### 158 **2.1.3 Cloud data**

159 Daily cloud variables, including cloud fraction (CF), cloud top pressure (CTP), cloud optical thickness (COT,  
160 liquid and ice), cloud water path (CWP, liquid and ice) and cloud effective radius (CER, liquid and ice), were  
161 obtained from MODIS Collection 6 L3 cloud product onboard the Terra satellite. The MODIS cloud product  
162 combines infrared emission and solar reflectance techniques to determine both physical and radiative cloud  
163 properties (Platnick et al., 2017). The validation of cloud top properties in this product has been conducted  
164 through comparisons with CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) data and other lidar  
165 observations (Holz et al., 2008; Menzel et al., 2008), and the validation and quality control of cloud optical  
166 products is performed primarily using in situ measurements obtained during field campaigns as well as the  
167 MODIS Airborne Simulator instrument (<https://modis-atmos.gsfc.nasa.gov/products/cloud>). Consistent with  
168 AOD, the measure of above cloud variables is before the occurrence of heavy rainfall.

169 CDNC is retrieved as the proxy for CCN and also another indicator for separating different aerosol  
170 conditions in this study. Currently, most derivations of CDNC assume that the clouds are adiabatic and  
171 horizontally homogeneous; CDNC is constant throughout the cloud's vertical extent, and cloud liquid water

172 content varies linearly with altitude adiabatically (Min et al., 2012; Bennartz and Rausch, 2017). According to  
173 Boers et al. (2006) and Bennartz (2007), we calculated CDNC (unit:  $\text{cm}^{-3}$ ) through:

$$174 \quad \text{CDNC} = \frac{C_w^{1/2}}{k} \frac{10^{1/2}}{4\pi\rho_w^{1/2}} \frac{\tau^{1/2}}{R_e^{5/2}} \quad (1)$$

175 Where  $C_w$  is the moist adiabatic condensate coefficient, and its value depends slightly on the temperature  
176 of the cloud layer, ranging from 1 to  $2.5 \times 10^{-3} \text{ gm}^{-4}$  for a temperature between 0 °C and 40 °C (Brenquier,  
177 1991). In this study, we calculated the  $C_w$  through the function of the temperature (see Fig.1 in Zhu et al.,  
178 2018) at a given pressure that is 850 hPa. And we have tested the sensitivity of CDNC to the amount of  $C_w$   
179 and found it almost keeps the same when the  $C_w$  changes from 1 to  $2.5 \times 10^{-3} \text{ gm}^{-4}$ . The coefficient k is the  
180 ratio between the volume mean radius and the effective radius and varies between 0.5 and 1 (Brenquier et al.,  
181 2000). Here we used  $k = 1$  for that we cannot get the accurate value of k and the value of k does not influence  
182 the rank of CDNC for the division of aerosol condition in this study.  $\rho_w$  is cloud water density.  $\tau$  and Re are  
183 the liquid COT and CER obtained from MODIS Collection 6 L3 cloud product onboard the Terra satellite  
184 with resolution of  $1^\circ \times 1^\circ$ . To reduce the uncertainty of CDNC retrieval caused by the heterogeneity effect from  
185 thin clouds (Nakajima and King, 1990; Quaas et al., 2008; Grandey and Stier, 2010; Grosvenor et al., 2018),  
186 we selected the CF more than 80%, the liquid COT more than 4 and the liquid CER more than  $4 \mu\text{m}$  when  
187 calculating the CDNC (Quaas et al., 2008).

#### 188 **2.1.4 Other meteorological data**

189 Other meteorological factors, including wind, temperature, pressure and SH, were obtained from the  
190 ERA-Interim reanalysis datasets with  $1^\circ \times 1^\circ$  horizontal resolution and 37 vertical levels at six-hour intervals.  
191 ERA-Interim is a global atmospheric reanalysis produced by ECMWF, which covers the period from 1979 to  
192 near-real time (Dee et al., 2011). The SH, which stands for the water vapor content, serves as the indicator of  
193 moisture supply condition in this study.

194

## 195 **2.2 Methodology**

### 196 **2.2.1 Method of interpolation**

197 We used both station data of gauge-based precipitation and gridded data including aerosols, clouds and other  
198 meteorological variables. Gridded datasets in this study were downloaded with the horizontal resolution of  
199  $1^\circ \times 1^\circ$ , which are consistent with the resolution of MODIS L3 product. To unify the datasets, we interpolated  
200 all the gridded datasets onto the selected 176 rainfall stations using the average value in a  $1^\circ \times 1^\circ$  grid as the  
201 background condition of each rainfall station, i.e., the stations in the same  $1^\circ \times 1^\circ$  grid have the same aerosol,  
202 cloud and meteorological conditions.

### 203 **2.2.2 Selection of sub-season and circulation**

204 Consistent with our previous work, we focused on early summer (1 June to 20 July) before the large-scale  
205 rainy season starts, in order to remove the large-scale circulation influence and identify the effect of aerosols on  
206 local convective precipitation because BTH rainfall during this period is mostly convective rainfall (Yu et al.,  
207 2007) with heavy pollution (Zhou et al., 2018). And to unify the background atmospheric circulation, we only  
208 selected the rainfall days with southwesterly flow, which is the dominant circulation accounting for 40% of  
209 total circulation patterns over the BTH region during early summer (Zhou et al., 2018).

### 210 **2.2.3 Classification of the heavy rainfall, clean/polluted and moisture conditions**

211 With the circulation of southwesterly, we selected heavy rainfall days when the hourly precipitation amount is  
212 more than 8.0 mm/hour (defined by *Atmospheric Sciences Thesaurus, 1994*). Here “a day” is counted from 8  
213 LST to 8 LST next day (0 UTC to 24 UTC). We used two indicators to distinguish the clean and polluted  
214 conditions, which are AOD and CDNC. The 25<sup>th</sup> and 75<sup>th</sup> percentiles of AOD/CDNC of the whole rainfall  
215 days are used as the thresholds of clean and pollution condition, and the values are shown in Tab.1. It shows  
216 that there are 514 cases of heavy rainfall on polluted days and 406 cases of that on clean days when using  
217 AOD, and 630/716 cases on polluted/clean condition when using CDNC.

218 The absorbing aerosols are detected using the positive values of AI that is named as absorbing aerosol index  
219 (AAI) here, and we can retrieve the scattering aerosol index (SAI) using the negative values of AI. AAI and  
220 SAI are also divided into two groups using the threshold of 25<sup>th</sup>/75<sup>th</sup> percentile as shown in Tab.1. We used  
221 AAI/SAI more than 75<sup>th</sup> as the extreme circumstances of absorbing/scattering aerosols to compare their  
222 impacts on heavy rainfall. The case numbers are 375 and 550 respectively for the extreme AAI and SAI cases.  
223 Using the same method, we chose cases with more BC/sulfate when the AOD of BC/sulfate is larger than the  
224 75<sup>th</sup> percentile of itself in all rainy days, and cases with less BC/sulfate when that is less than the 25<sup>th</sup>  
225 percentile of itself in the same situation. Accordingly, we selected 459 heavy rainfall cases with more BC and  
226 274 cases with less BC. Similarly, 361 cases with more sulfate and 419 cases with less sulfate with heavy  
227 rainfall were selected.

228 The SH at 850 hPa is used as the indicator of moisture supply under the cloud base. We chose wet cases  
229 when the SH on that day is larger than 75<sup>th</sup> percentile of the whole rainy days, and chose dry cases when SH  
230 on that day is less than the 25<sup>th</sup> percentile of the whole rainy days (the thresholds are shown in Tab. 1).

### 231 **2.2.4 Statistical analysis**

232 We adopted the probability distribution function (PDF) to compare the features of heavy rainfall and cloud  
233 variables on different conditions of aerosols, through which we can understand the changes of rainfall/cloud  
234 properties more comprehensively. The numbers of bins we selected in the study have been all tested for better  
235 representing the PDF distribution. Student’s t-test is used to examine the significance level of differences  
236 between the different groups of aerosol conditions.

237

### 238 **3. Relationship between aerosol pollution and diurnal variation of heavy rainfall over BTH**

#### 239 **3.1 Distinct characteristics of heavy rainfall diurnal variation associated with aerosol pollution**

240 Our previous study (Zhou et al. 2018) has reported the distinct peak shifts of rainfall diurnal variation between  
241 clean and polluted days using the indicator of AOD over the BTH region during early summer. Similar with  
242 our previous study, the PDF of the heavy rainfall peak time shows that the maximum of rainfall peak is about  
243 two hours earlier on the polluted days (20:00 LST) than that on the clean days (22:00 LST) (Fig. 2a). To  
244 comprehensively recognize the changes of rainfall diurnal variation associated with air qualities, here we  
245 examined the PDF of the start time, the duration and the intensity besides the peak time of heavy rainfall.

246 As shown in Fig. 2a, the start time of heavy rainfall exhibits a significant advance on the polluted days. The  
247 secondary peak on the early morning is ignored here because the early-morning rainfall is usually associated  
248 with the mountain winds (Wolyn et al., 1994; Li et al., 2016) and the nighttime low-level jet (Higgins et al., 1997;  
249 Liu et al., 2012) that is beyond the scope of this study. The time for the maximum frequency of heavy rainfall  
250 initiation is around 6 hours earlier on the polluted days, shifting from around 0:00 LST on the clean days to  
251 the 18:00 LST (Fig. 2a). Regarding the rainfall durations, the average persistence of heavy rainfall on polluted  
252 days is 0.8 hours longer than that on clean days (Tab. 2). According to the PDF shown as in Fig. 2a, the  
253 occurrence of short-term precipitation ( $\leq 6$  hours, Yuan et al., 2010) decreases while that of long-term  
254 precipitation ( $> 6$  hours, Yuan et al., 2010) increases. The intensity of hourly rainfall exhibits a non-significant  
255 increase on the polluted days.

256 The distinct behaviors of heavy rainfall diurnal variation between clean and polluted days have been well  
257 demonstrated using the indicator of AOD. However, AOD is not a proper proxy for CCN (Shinozuka et al.,  
258 2015) but the property of aerosols serving as CCN should be considered because aerosol-cloud interaction  
259 plays an indispensable role on changing rainfall diurnal variation. Therefore, here we applied the retrieved  
260 CDNC as the indicator of CCN (Zeng et al., 2014; Zhu et al., 2018) to examine the above-mentioned results.  
261 As a result, the similar changes of heavy rainfall can be well exhibited in CDNC analysis as shown in Fig. 2b.  
262 The start time and peak time of heavy rainfall on the polluted condition also show significant advances  
263 compared with that on the clean condition, with the average advances of 1.4 hours and 3.0 hours respectively  
264 (Tab. 2). The duration of heavy rainfall on the polluted condition is also prolonged, which is 2.2 hours longer  
265 in average (Tab. 2). Similar with the results based on AOD, the difference of rainfall intensity between clean  
266 and polluted conditions using CDNC does not pass the 95% statistical confidence level as well.

267 Hence, the results using either AOD or CDNC show that the start and peak time of heavy rainfall occur  
268 earlier and the duration becomes longer under pollution, although there are some quantitative differences  
269 between the two indicators. Since the difference of rainfall intensity is not significant in this study, the  
270 following analysis only focuses on studying the start time, peak time and duration of heavy rainfall along with  
271 aerosol pollution.

272

### 273 **3.2 Distinct behaviors of heavy rainfall diurnal variation associated with two different types of aerosols**

274 Using the indicator of AI, we further investigated the distinct behaviors of heavy rainfall diurnal variation  
275 related to absorbing aerosols and scattering aerosols respectively. The PDF of start time, peak time and  
276 duration of heavy rainfall under the extreme circumstances of absorbing aerosols and scattering aerosols are  
277 compared in Fig. 3. Here, we briefly named the days with extreme large amount of absorbing aerosols as  
278 absorbing aerosol days and with more scattering aerosols as scattering aerosol days. The start time of heavy  
279 rainfall on absorbing aerosol days shows a significant earlier compared with that on scattering aerosol days  
280 (Fig. 3a), with 0.7 hours advance in average (Tab. 3). Similarly, the rainfall peak time also shows earlier on  
281 absorbing aerosol days (Fig. 3b), with an average advance of 1.6 hours (Tab. 3). The rainfall duration on  
282 scattering aerosol days shows longer than that on absorbing aerosol days, which are 6.0 hours and 5.0 hours  
283 respectively in average (Tab. 3). All the above-mentioned differences between the two groups have passed 95%  
284 statistical confidence level. The results indicate that the absorbing aerosols and scattering aerosols may have  
285 different or inverse effects on the heavy rainfall that absorbing aerosols may generate the heavy rainfall in  
286 advance while the scattering aerosols may delay and prolong the heavy rainfall.

287 To further verify the different behaviors of heavy rainfall diurnal variation associated with two different  
288 types of aerosols, we purposely re-examine the above-mentioned phenomena using BC/sulfate that can  
289 represent typical absorbing/scattering aerosols over the BTH region. BC has its maximum center over BTH  
290 region (Fig. 4a) and our previous study has indicated that the radiative effect of BC low-level warming may  
291 facilitate the convective rainfall generation (Zhou et al., 2018). The percentage of sulfate is also large over the  
292 BTH region (Fig. 4b) and the sulfate is one of the most effective CCN that influences the precipitation in this  
293 region (Gunthe et al., 2011). Accordingly, we selected the cases with different amounts of BC and sulfate  
294 AOD to compare their roles on the diurnal variation of heavy rainfall. The methods have been described in  
295 Sect. 2.2.3. The PDF of the start time, peak time and duration of heavy rainfall in the cases with more/less  
296 amount of BC are shown in Fig. 5a, respectively. The most striking result is that the maximum frequency of  
297 rainfall start time in the more BC cases evidently shifts earlier (Fig. 5a). Meanwhile, the mean peak time in  
298 the more BC cases shows 1.1 hour earlier than that in the less BC cases (Tab. 3). And the duration of heavy  
299 rainfall is slightly shortened by the averaged 0.2 hours in the more BC cases. The features in more BC cases  
300 are consistent with the above results of absorbing aerosols. In contrast, when the sulfate has higher amount,  
301 the mean start time of rainfall is delayed by 0.5 hours, while the duration shows a significant increase by 1.5  
302 hours in average (Tab. 3). The behaviors in the more sulfate cases also exhibit similar with the above results  
303 of scattering aerosols, except for the peak time that shows later in the scattering aerosol cases but a little  
304 earlier in the more sulfate cases (Tab. 3).

305

### 306 **3.3 Behavior comparisons of heavy rainfall diurnal variation influenced by moisture and aerosol.**

307 Moisture supply is an indispensable factor for the precipitation formation. Since the southwesterly circulation  
308 can not only transport pollutants but also plenty of moisture to the BTH region (Wu et al., 2017), more  
309 pollution usually corresponds to more moisture for the BTH region (Sun et al., 2015) so that it is hard to  
310 completely remove the moisture effect on the above results in the pure observational study. Here we attempt  
311 to recognize the moisture effect on the heavy rainfall to further understand the above aerosol-associated  
312 changes. Because the moisture supply for BTH is mainly transported via low-level southwesterly circulation,  
313 we purposely used the SH at 850 hPa as the indicator of moisture condition.

314 Using the similar percentile method with polluted/clean days, we got the rainfall characteristics in the more  
315 humid (more than 75<sup>th</sup> percentile) and the less humid (less than 25<sup>th</sup> percentile) environments on the heavy  
316 rainfall days regardless of the aerosol condition, as shown in Fig. 6a. The results show that the start time of  
317 heavy rainfall is delayed by 0.9 hours, the peak time is 0.6 hours earlier and the duration is prolonged by 2.0  
318 hours in average in the more humid environment, which is similar with the results of the more sulfate cases.  
319 Besides, the same results are obtained with different moisture indicator, e.g. the 850 hPa absolute humidity.  
320 These results indicate the advance of heavy rainfall start time on the polluted days is not caused by more  
321 moisture supply, while the longer duration and earlier peak in the more sulfate cases might be related to the  
322 increased moisture supply.

323 We also investigate the distributions of moisture and rainfall behaviors in the clean and polluted cases  
324 respectively using AOD and CDNC (Fig. 6 b&c). The results show that the relationship between moisture and  
325 rainfall start time/peak time/duration is not linear. Using either AOD or CDNC, the distribution of SH exhibits  
326 a slight increase in the polluted cases, indicating that the polluted cases have the more moisture than the clean  
327 cases which is particularly well shown using AOD. However, when fixing the moisture at a certain range  
328 especially at the relative dry condition, we can detect the similar phenomena of earlier start/peak time and  
329 longer duration in the polluted cases. For example, when the amount of 850 hPa SH is between 8-12 g/kg, the  
330 start & peak time in the polluted cases show significant earlier and the duration exhibits slightly increased  
331 compared with that in the clean cases using either AOD or CDNC.

332 The above results indicate that the advance of heavy rainfall start and peak time in the polluted cases might  
333 be weakly related to the moisture effect, but the moisture could obviously prolong the duration of heavy  
334 rainfall (Fig. 6a). Because the diurnal change of heavy rainfall with more moisture is similar with the  
335 behaviors of heavy rainfall with scattering aerosols especially sulfate, we cannot figure out their individual  
336 role at present.

337

### 338 **4 Relationship between aerosol pollution and concurrent changes of cloud properties associated with** 339 **heavy rainfall diurnal variation**

340 **4.1 Concurrent changes of cloud properties along with heavy rainfall diurnal variation on clean and**  
341 **polluted conditions**

342 To understand the cloud effect of aerosols during heavy rainfall diurnal variation, we need to recognize the  
343 concurrent cloud characteristics on the clean and polluted conditions. The cloud properties we used were  
344 obtained from satellite product that were measured at the same time with aerosols before the occurrence of  
345 heavy rainfall. The differences of cloud features were examined in both macroscopic (including CF, CTP,  
346 COT and CWP) and microscopic properties (including CER) between the clean and polluted conditions based  
347 on AOD and CDNC respectively, as shown in Fig. 7.

348 Using AOD as the pollution indicator, the PDF distribution of CF shows that the CF on the polluted  
349 condition is evidently larger than that on the clean condition. The average CF is 62.8% on the clean condition,  
350 and 89.3% on the polluted condition (Tab. 4), which is increased by 26.1%. The average CTP on the polluted  
351 condition is 487.3 hPa, which is larger than 442.3 hPa on the clean condition and increases 45 hPa, indicating  
352 that the cloud top height is lower on the polluted days. The COT, CWP and CER were further analyzed for the  
353 liquid and ice portions of clouds as shown in Fig. 7. Both liquid and ice COT on polluted condition exhibit  
354 significant increases compared with that on clean condition. The mean amount of liquid COT is increased by  
355 3.1 and ice COT increases by 6.2 (Tab. 4). Similar with COT, the amount of liquid and ice CWP also increase  
356 on polluted condition, which increase by 33.6 g/m<sup>2</sup> and 88.2 g/m<sup>2</sup> respectively. In addition, the liquid CER is  
357 increased by 0.8 μm and the ice CER is decreased by 2.8 μm on the polluted days. The differences of above  
358 cloud properties between clean and polluted cases have all passed the 95% statistical confidence level.

359 Using CDNC as another pollution indicator, the above-mentioned changes of cloud properties are consistent  
360 with that using AOD, except for liquid CER (Fig. 7). Since the calculation method of CDNC is not  
361 independent on the liquid COT and liquid CER, we would not directly compare the results of liquid COT and  
362 CER based on CDNC with those based on AOD here. But according to other variables that are independent of  
363 the CDNC calculation, we found the cases with more CDNC are accompanied with the increase of CTP, ice  
364 COT and liquid & ice CWP, which increase by 32.8 hPa, 24.4, 215.8 g/m<sup>2</sup> and 370.9 g/m<sup>2</sup> respectively (Tab 4)  
365 and all of which are consistent with the results based on AOD. The CER of ice clouds also shows a consistent  
366 decrease by 8.8 μm on the polluted condition based on CDNC. We noticed that the changes of the  
367 COT/CWP/CER for both liquid and ice based on CDNC are much larger than that based on AOD, which  
368 indicates that these cloud properties might be more sensitive to the indicator of CDNC rather than AOD.

369 According to the above comparison, the concurrent changes of cloud properties along with heavy rainfall  
370 diurnal variation show consistent results using the two pollution indicators (AOD and CDNC). The pollution  
371 corresponds to the increase of CF, ice COT, liquid and ice CWP, but the decrease of cloud top height (the  
372 increase of CTP corresponds to the decrease of cloud top height) and ice CER. With the increase of AOD,  
373 both the liquid COT and liquid CER are increased. Since we cannot distinguish the liquid part of mix-phased  
374 clouds from liquid (warm) clouds in the observation, the changes of liquid cloud properties above might come

375 from both the liquid (warm) clouds and the liquid part of mixed-phase clouds. Likewise, the above-mentioned  
376 changes of ice cloud properties might come from both ice (cold) clouds and the ice part of mixed-phase  
377 clouds.

378  
379 **4.2 Influences of CDNC (CCN) and moisture on the cloud properties**

380 Section 3.3 has shown that the diurnal variation of heavy rainfall with more moisture supply is similar with  
381 the changes of heavy rainfall with more sulfate aerosol. We assume that the moisture under the cloud base and  
382 the sulfate serving as CCN both influence the cloud properties (Yuan et al., 2008; Jiang et al., 2008; Jung et al.,  
383 2013; Qiu et al., 2017). To identify the effect of aerosols on clouds and its sensitivity to moisture, we  
384 purposely investigated the changes of above cloud properties with different conditions of the CDNC and the  
385 low-level moisture (850hPa SH) respectively. We categorized all cases of heavy rainfall into four groups,  
386 which are (1) clean and dry, (2) polluted and dry, (3) clean and wet, (4) polluted and wet, and checked the  
387 changes of above cloud properties, as shown in Tab. 5. To retrieve the comparable samples, here  
388 “clean/polluted” refers to the CDNC on that rainfall day less/more than 25<sup>th</sup>/75<sup>th</sup> percentile of the CDNC  
389 among the heavy rainfall days, and similarly, the “dry/wet” refers to the SH on that rainfall day less/more than  
390 25<sup>th</sup>/75<sup>th</sup> percentile of itself among the heavy rainfall days. The average CDNC is 68.58 cm<sup>-3</sup> on the dry  
391 condition and 68.56 cm<sup>-3</sup> on the wet condition, and the average SH is 11.3 g/kg and 11.8 g/kg on the clean and  
392 polluted conditions respectively, thus we can consider the CDNC or SH remain the same when the other  
393 condition changes. We made the significant test of differences between group 1 and 2, group 1 and 3, group 2  
394 and 4, group 3 and 4. Because the CF is fixed above 80% when calculating the CDNC (see in Sect. 2.1.3),  
395 here the selected groups all belong to the condition of higher CF.

396 Comparing the results of group 1 and 2, which are both on the dry condition, we can identify the influence  
397 of CDNC on the cloud properties, which stands for the effect of CCN. The changes of these cloud variables  
398 are the same as that in Sect. 4.1, that the CF, ice COT and liquid & ice CWP are increased on the polluted  
399 condition, while the cloud top height and ice CER are decreased based on CDNC. Among these variables, the  
400 ice COT and liquid & ice CWP are especially larger on the polluted condition, which are 5-6 times larger than  
401 that on the clean condition (Tab. 5). On the wet condition, comparing the group 3 and 4, the changes are  
402 similar that the CF, ice COT and liquid & ice CWP are increased and the ice CER are decreased but the  
403 change of CTP becomes not significant. However, the changes of these variables on the dry condition are  
404 evidently enhanced than that on the wet condition, which indicates these cloud properties might be more  
405 sensitive to CDNC on the dry condition. The above comparisons indicate that with the increase of CDNC  
406 (CCN), the CF, ice COT and liquid & ice CWP are increased while the ice CER is decreased regardless of the  
407 moisture amount. Although the comparisons of liquid COT and liquid CER based on CDNC are meaningless  
408 since the CDNC is calculated by the two variables, we infer that the increase of liquid COT and the decrease  
409 of liquid CER (Tab. 5) might be not completely caused by CDNC calculation but the natural effect of CCN.



410 Comparing the results of group 1 and 3, we can get the changes of cloud properties related only to moisture  
411 on the same clean condition. A common feature is that CTP, COT and CWP both for liquid and ice exhibit  
412 increases along with the increase of moisture. Compared with the CTP on the clean and dry condition, it  
413 increases on both polluted & dry condition (group 2) and clean & wet condition (group 3), but on the former  
414 condition its increase is larger, which indicates the influence of moisture on CTP might be secondary  
415 compared to the CDNC (CCN) effect. Similarly, comparing the COT/CWP in group 2 and 3, the increases of  
416 COT and CWP both for liquid and ice in group 2 are 3-6 times larger than that in group 3, which indicates that  
417 the influences of moisture on COT and CWP may not overcome the influence of CCN. With the increase of  
418 moisture, the change of liquid CER is not significant on the same clean condition, but the ice CER is  
419 significantly decreased. On the polluted condition, comparing group 2 and 4, we found the COT and CWP  
420 both for liquid and ice on the wet condition are evidently smaller than that on the dry condition, which  
421 indicates that increasing the moisture might partly compensate for the influence of CDNC (CCN) on  
422 COT/CWP.

423 The results above indicate that both CDNC (CCN) and moisture have impacts on cloud properties. They  
424 both contribute to the increase of CF, COT and CWP, in which the influence of CDNC (CCN) on COT and  
425 CWP are significantly larger than moisture. The increase of either CDNC or moisture corresponds to the  
426 increase of CTP. But when the CDNC and moisture increase simultaneously, the CTP becomes smaller. Both  
427 CDNC and moisture correspond to the significant decrease of ice CER, while only CDNC corresponds to the  
428 decrease of liquid CER and that might be ascribed to the calculation method of CDNC. To reduce  
429 uncertainties, we have tested the SH at different levels (e.g., 700 hPa and 800 hPa) and different moisture  
430 indicator (e.g. absolute humidity) to verify above results, and found most cloud variables show the similar  
431 changes with the above except for the CTP and the liquid CER, which indicates the changes of CTP and liquid  
432 CER are more sensitive and have larger uncertainties. Since the behaviors of cloud changes are similar along  
433 with the increase of either CDNC (CCN) or moisture but more sensitive to the former, the results in Sect. 4.1  
434 might actually reflect the combined effect of CCN and moisture, and the aerosol effect on these cloud  
435 properties might be dominant on the polluted days.

436

## 437 **5. Discussion**

### 438 **5.1 Different roles of aerosol radiative effect and cloud effect in heavy rainfall**

439 In Sect. 3 we found that the heavy rainfall has earlier start and peak time, and longer duration on the  
440 polluted condition. And afterwards, the earlier start of rainfall under pollution was found related to absorbing  
441 aerosols mainly referring to BC (Fig. 3a&5a). We also compared the effect of BC on the associated clouds.  
442 Figure 8a shows the CF larger than 90% rarely occurs in the more BC environment, which might be  
443 associated with the semi-direct effect of BC (Ackerman, 2000) or estimated inversion strength and BC

444 co-vary. This result indicates the influence of BC on the heavy rainfall in Fig. 5a is mainly due to the radiative  
445 effect rather than the cloud effect. The mechanism of BC effect on the heavy rainfall can be interpreted by our  
446 previous study (Zhou et al., 2018) as: BC absorbs shortwave radiation during the daytime and warms the  
447 lower troposphere at around 850 hPa, and then increases the instability of the lower to middle atmosphere  
448 (850-500hPa) so that enhances the local upward motion and moisture convergence. As a result, the  
449 BC-induced thermodynamic instability of the atmosphere triggers the occurrence of heavy rainfall in advance.  
450 Thus, the low-level heating effect of BC might play a dominant role in the beginning of rainfall especially  
451 before the formation of clouds during the daytime.

452 The delayed start of heavy rainfall with scattering aerosols in Fig. 3a and more sulfate in Fig. 5b is  
453 consistent with many studies that both the radiative effect and cloud effect of sulfate-like aerosols could delay  
454 or suppress the occurrence of rainfall (Guo et al., 2013; Wang et al., 2016; Rosenfeld et al. 2014). Sulfate-like  
455 aerosols as scattering aerosols could prevent the shortwave radiation from arriving at the surface thus cool the  
456 surface and stabilize the atmosphere, which suppresses the rainfall formation (Guo et al., 2013; Wang et al.,  
457 2016). Sulfate-like aerosols serving as CCN can also suppress the rainfall by cloud effect through reducing the  
458 cloud droplet size and thus suppressing the collision-coalescence process of cloud droplets (Albrecht 1989;  
459 Rosenfeld et al. 2014). Figure 8b does shows that in contrast with BC, the CF larger than 90% is significantly  
460 increased in the more sulfate environment, which indicates the sulfate-like aerosols might have more evident  
461 influence on the clouds and subsequently the rainfall changes associated with sulfate are probably due to the  
462 cloud effects. Another significant feature is the longer duration of heavy rainfall in both the scattering aerosol  
463 cases and more sulfate cases (Fig 3c&5b). Since the heavy rainfall shows the similar changes of delayed start  
464 and longer duration with the increase of sulfate and moisture, we currently cannot separate their respective  
465 roles in this study. We speculate that the postponed start of heavy rainfall is mainly due to the effect of  
466 sulfate-like aerosols. While the longer duration is caused by both the cloud effect of sulfate-like aerosols and  
467 the increased moisture supply, because increasing either CCN or the moisture supply can increase cloud water  
468 (Sect. 4.2), which could lead to the longer rainfall duration. To further investigate the mechanism of longer  
469 duration, we need the assistance of numerical model simulations in the future work.

470 Accordingly, we speculate that the earlier start time of heavy rainfall related to absorbing aerosols (BC) is  
471 due to the radiative heating of absorbing aerosols, while the longer rainfall duration is probably caused by  
472 both the cloud effect of sulfate-like aerosols and the increased moisture supply. As a summary we use a  
473 schematic diagram (Fig. 9) to illustrate how aerosols modify the heavy rainfall over the BTH region. On one  
474 hand, BC heats the lower troposphere, changing the thermodynamic condition of atmosphere, which increases  
475 upward motion and accelerates the formation of cloud and rainfall. On the other hand, the increased upward  
476 motion transports more sulfate-like particles and moisture into the clouds so that more CCN and sufficient  
477 moisture increase the cloud water, thus might prolong the duration of rainfall. As a result, the heavy rainfall  
478 over BTH region in southwesterly shows earlier start and peak time, and longer duration might due to the  
479 combined effect of aerosol radiative effect, aerosol cloud effect as well as moisture effect. To further

480 distinguish the individual effect, we need to conduct numerical model simulations in our future study.

481

## 482 **5.2 Uncertainties of different indicators and associated distinct results**

483 The gauge-based hourly precipitation data used in this study is more reliable than other observational and  
484 reanalysis precipitation data. In contrast with precipitation datasets, the observation of aerosols and clouds  
485 from MODIS might have larger uncertainties, e.g., which come from the misdetection of CF when AOD is  
486 large (Brennan et al., 2005; Levy et al., 2013) or the mutual interference between liquid and ice clouds (Holz  
487 et al., 2008; Platnick et al., 2017).

488 In this study we used two pollution indicators, AOD and CDNC, which discriminates the pollution levels  
489 for different purposes. AOD is a good proxy for the large-scale pollution level, but it stands for the optical  
490 feature of aerosols and cannot well represent CCN when we studied the aerosol-cloud interaction (Shinozuka  
491 et al., 2015). The value of AOD is also influenced by moisture condition, which is aerosol humidification  
492 effect (Twohy et al., 2009; Altaratz et al., 2013). Therefore, we comprehensively analyzed the moisture effect  
493 on the results. CDNC is a better proxy for CCN, which facilitates the study on the cloud changes associated  
494 with aerosol pollution. But the retrieved CDNC has larger uncertainties. First, the assumptions in the  
495 calculation of CDNC are idealized that CDNC is constant with height in a cloud and cloud liquid water  
496 increases monotonically at an adiabatic environment (Grosvenor et al., 2018), but the target of this study is the  
497 convective clouds with rainfall that may be not consistent with the adiabatic assumption. Second, as indicated  
498 by Grosvenor et al. (2018), the uncertainties in the pixel-level retrievals of CDNC from MODIS with  $1^\circ \times 1^\circ$   
499 spatial resolution can be above 54%, which come from the uncertainties of parameters and the original COT  
500 and CER data using in the calculation, and also the influence of heterogeneity effect from thin clouds. To  
501 reduce the influence of heterogeneity effect as much as possible, we have attempted to limit the conditions of  
502 CF, liquid COT and CER when calculating CDNC in the study. Besides, this study primarily focuses on the  
503 relative changes of CDNC, which may be also influenced by the potential systematic biases in the CDNC  
504 calculation, but actually reduced the uncertainties of absolute values.

505 We applied ultraviolet AI and AOD of BC/sulfate to identify different types of aerosols. The AI datasets  
506 from OMI, which can distinguish the absorbing aerosols and scattering aerosols, also have uncertainties  
507 especially for the near-zero values. Hence, we only compare the extreme circumstances of absorbing aerosols  
508 and scattering aerosols. We also found the AI has a weak positive correlation with AOD from MODIS, which  
509 indicates the results on absorbing aerosol days might represent the results on polluted days if identified by  
510 AOD. To avoid the uncertainty, we re-examined the results using AI when removing the polluted cases  
511 identified by AOD, and found the major results are not changed. The comparisons of BC/sulfate AOD cases  
512 also have uncertainties because they are retrieved from MACC reanalysis data. Although the above four  
513 indicators have their own uncertainties, currently we cannot find more reliable datasets in a long-term

514 observational record. The major findings using these four indices could well identify the changes of rainfall  
515 and clouds accompanied with aerosols, but are insufficient to clarify the aerosol effect on clouds and  
516 precipitation.

517 Using AOD and CDNC we have drawn the same conclusion that the heavy rainfall occurs in advance and  
518 the duration is prolonged under pollution (Fig. 2). We found the AOD and CDNC only have a weak positive  
519 correlation, which denotes that the selected cases could be different between using AOD and CDNC. The  
520 cases of heavy rainfall using CDNC seem more extreme, because CDNC cases exhibit more evident changes  
521 of rainfall behaviors in average than that using AOD. The quantitative difference of results between the two  
522 indicators might due to the non-linear relationship of CCN and pollution that the CCN won't continuously  
523 increase when aerosol loading is huge (e.g., Jiang et al., 2016), or due to the misdetection of AOD, the  
524 calculation uncertainty of CDNC, and the sampling differences between AOD and CDNC. Since both the two  
525 indicators have their uncertainties, we cannot say the result of which one is more reliable.

526 Most cloud properties also exhibit the consistent changes using AOD and CDNC. First, the CF and CWP  
527 (liquid and ice) increase with pollution, might because the aerosols serving as CCN can nucleate a larger  
528 number of cloud droplets and accumulate more liquid water in the cloud thus increase the CF and CWP.  
529 Second, the CTP increases under pollution using both AOD and CDNC, which denotes the decrease of the  
530 cloud top height. We speculate that the earlier start of the precipitation process could inhibit the vertical  
531 growth of clouds shown as in Fig. 2. Third, the ice CER decreases under pollution using either AOD or CDNC,  
532 which could be ascribed to that the increased cloud droplet number leads to more cloud droplets transforming  
533 into ice crystals and causes the decrease of ice CER (Chylek et al., 2006; Zhao et al., 2018; Gryspeerd et al.,  
534 2018). Currently the detailed physical processes of cold clouds and mixed-phase clouds are not clear,  
535 including the diffusional grow, accretion, riming and melting process of ice precipitation (Cheng et al., 2010),  
536 which needs numerical model simulations to be further explored.

537 However, the results of liquid CER might have more uncertainties. The liquid CER is increased when AOD  
538 increases (Fig. 7), which might be related to the aerosol humidification effect, the misdetection of AOD and  
539 cloud water, and also might result from the earlier formation of the clouds and heavy rainfall on the polluted  
540 days. In addition, the relationships between satellite AOD and some cloud properties have been shown to be  
541 affected by meteorological co-variations, e.g., high humid could lead to strong positive relationship of AOD  
542 and CF (Quaas et al., 2010; Grandey et al., 2013) and the relationship of AOD and CTP could be also affected  
543 by meteorology (Gryspeerd et al., 2014a), which indicates that the changes of cloud properties in this study  
544 might be influenced by the meteorological co-variations rather than the aerosol effect. Although we have  
545 considered the influence of moisture on the precipitation and clouds, the moisture variables cannot completely  
546 represent all the meteorological co-variations between aerosol and precipitation (Boucher and Quaas, 2012).

547

548 **6. Conclusions**

549 Using the gauge-based hourly rainfall records, aerosol and cloud satellite products and high temporal  
550 resolution reanalysis datasets during 2002-2012, this study investigated the different characteristics of heavy  
551 rainfall in the diurnal time scale on the clean and polluted conditions respectively. Based on two indicators  
552 that are AOD from MODIS aerosol product and retrieved CDNC from MODIS cloud product, we found three  
553 features of heavy rainfall changing by aerosols that the rainfall start and peak time occur earlier and the  
554 duration becomes longer. The quantitative differences exist between the two indicators, i.e., the statistic  
555 differences of above features between clean and polluted conditions are 0.7, 1.0, 0.8 hours based on AOD and  
556 1.4, 3.0, 2.2 hours based on CDNC. The different relationships of absorbing and scattering aerosols to the  
557 diurnal shift were also distinguishable using ultraviolet AI from OMI and reanalysis AOD of two aerosol types  
558 (BC and sulfate). The absorbing aerosols (BC) correspond to the earlier start time and peak time of heavy  
559 rainfall, while the scattering aerosols (sulfate) correspond to the delayed start time and the longer duration. To  
560 distinguish the influence of aerosols, the influence of moisture (SH at 850 hPa) on the heavy rainfall is also  
561 investigated, which shows similar with the scattering aerosols (sulfate), that means the aerosol effect may not  
562 be isolated from the impact of humidity. By comparing the characteristics of cloud macrophysics and  
563 microphysics variables, using both AOD and CDNC we found the CF, ice COT, liquid and ice CWP are  
564 increased on the polluted condition, but the cloud top height and the ice CER are reduced. Liquid COT and  
565 liquid CER are also increased in AOD analysis. Comparing the influence of CDNC (which represents CCN)  
566 and moisture respectively on these cloud variables, the cloud properties show similar changes with the  
567 increase of CDNC and moisture, but seem more sensitive to the CDNC (CCN).

568 According to these results, we speculate that both aerosol radiative effect and cloud effect have impacts on  
569 the diurnal variation of heavy rainfall in the BTH region. The heating effect of absorbing aerosols especially  
570 BC increases the instability of the lower to middle atmosphere so that generates the heavy rainfall occurrence  
571 in advance. And the increased moisture supply and increased aerosols which nucleate more cloud droplets and  
572 accumulate more liquid water in clouds, leading to the longer duration of heavy rainfall.

573 This study has clearly identified the relationship of the aerosol pollution and the diurnal changes of heavy  
574 rainfall and concurrent clouds in the BTH region and attempted to address the causes. However, although this  
575 work has attempted to exclude the impacts from the meteorological background particularly circulation and  
576 moisture, the observation study still has its limitation on studying aerosol effect on rainfall and clouds, such as  
577 the noise and uncertainty of different observational data, the interaction of aerosol and meteorological factors  
578 and the mixing of different types of aerosols. Numerical model simulations are necessarily applied to examine  
579 the speculation we proposed here. And the specific processes of aerosol effect on the precipitation formation  
580 of mix-phased clouds also needs further exploration in our future study.

581

582 **Data availability**

583 We are grateful to the National Meteorological Information Centre (NMIC) of the China Meteorological  
584 Administration (CMA) for providing hourly precipitation datasets. MODIS aerosol and cloud data were  
585 obtained from <http://ladsweb.modaps.eosdis.nasa.gov>; ultraviolet AI data from OMI was obtained from  
586 <https://daac.gsfc.nasa.gov/datasets?keywords=OMI&page=1>; MACC-II and ERA-interim reanalysis datasets  
587 were obtained from <http://apps.ecmwf.int/datasets>.

588 **Author contributions**

589 JY and SZ conceived the study. SZ processed data and drew the figures. SZ and JY analyzed the observational  
590 results and WCW, CZ and DG gave the professional guidance. PS provided the hourly precipitation dataset.  
591 SZ and JY prepared the manuscript with contributions from WCW and CZ.

592 **Competing interests**

593 The authors declare that they have no conflict of interest.

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867 **Tables**

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Indicator	Source	Begin time	Thresholds	
			25 <sup>th</sup> percentile	75 <sup>th</sup> percentile
AOD	MODIS	2002	0.98	2.00
CDNC (cm <sup>-3</sup> )	MODIS	2002	30.10	91.03
AAI	OMI	2005	0.13	0.52
SAI	OMI	2005	- 0.13	- 0.35
AOD of BC	MACC	2003	0.04	0.06
AOD of sulfate	MACC	2003	0.46	0.87
SH at 850 hPa (g/kg)	ERA-interim	2002	9.96	12.95

869

870 Table 1. The indicators and their sources, begin times and the thresholds used in the study. The end time of all

871 data is to 2012.

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Characteristics of heavy rainfall	Clean		Polluted		Difference		Significance	
	AOD	CDNC	AOD	CDNC	AOD	CDNC	AOD	CDNC
Start time	24.2 (3.9)	24.3 (4.0)	23.5 (4.8)	22.9 (3.9)	- 0.7	- 1.4	P<0.05	P<0.05
Peak time	23.0 (4.0)	22.1 (5.3)	22.0 (4.8)	19.1 (5.7)	- 1.0	- 3.0	P<0.05	P<0.05
Duration	4.0 (2.1)	5.5 (3.3)	4.8 (2.8)	7.7 (4.3)	0.8	2.2	P<0.05	P<0.05
Intensity	164.9 (98.4)	166.0 (89.3)	169.6 (94.3)	162.7 (89.1)	4.7	- 3.3	P>0.1	P>0.1

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877 Table 2. The mean values of start time (units: LST), peak time (units: LST), duration (units: hours) and

878 intensity (units: 0.1mm/hour) of heavy rainfall respectively on the clean and polluted conditions using two

879 indicators of AOD and CDNC, and their differences (polluted minus clean) and significances. The numbers in

880 the brackets stand for the standard deviations on the means. "P&lt;0.05" stands for the difference has passed the

881 significance test of 95%, and "P&gt;0.1" stands for the difference did not pass the significance test of 90%.

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Characteristics of heavy rainfall	AAI	SAI	Difference (AAI-SAI)	Less BC	More BC	Difference (More-Less)	Less sulfate	More sulfate	Difference (More-Less)
Start time	23.4 (4.8)	24.1 (4.4)	-0.7	24.2 (4.8)	23.9 (4.4)	-0.3	24.0 (4.3)	24.5 (4.4)	0.5
Peak time	21.0 (5.3)	22.6 (5.1)	-1.6	23.4 (5.3)	22.3 (4.0)	-1.1	23.2 (4.5)	22.9 (4.8)	-0.3
Duration	5.0 (3.1)	6.0 (3.8)	-1.0	4.8 (2.6)	4.6 (2.7)	-0.2	4.0 (2.1)	5.5 (3.0)	1.5

884

885 Table 3. The mean values of start time (units: LST), peak time (units: LST) and duration (units: hours) of  
886 heavy rainfall respectively on the conditions with more absorbing aerosols (AAI more than 75<sup>th</sup> percentile),  
887 more scattering aerosols (SAI more than 75<sup>th</sup> percentile), less or more BC (AOD of BC less than 25<sup>th</sup> or more  
888 than 75<sup>th</sup> percentile), less or more sulfate (AOD of sulfate less than 25<sup>th</sup> or more than 75<sup>th</sup> percentile), and their  
889 differences. Numbers in the brackets stand for the standard deviations on the means. All differences have  
890 passed the significant test of 95%.

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Clean/Polluted	CF	CTP	COT		CWP		CER		
			liquid	ice	liquid	ice	liquid	ice	
AOD	Clean	62.8 (17.6)	442.3 (149.6)	6.9 (4.5)	6.7 (8.5)	62.8 (36.6)	123.1 (168.9)	16.7 (4.4)	32.0 (8.7)
	Polluted	89.3 (12.9)	487.3 (145.7)	10.0 (5.8)	12.9 (17.0)	96.4 (52.5)	211.3 (279.3)	17.5 (3.5)	29.2 (9.0)
CDNC	Clean	94.5 (6.1)	398.0 (131.7)	8.1 (6.0)	8.7 (10.6)	102.4 (104.3)	171.6 (204.3)	20.4 (2.8)	34.2 (6.0)
	Polluted	97.4 (4.2)	430.8 (135.2)	40.4 (21.5)	33.1 (22.7)	318.2 (213.2)	542.5 (447.8)	12.2 (1.9)	25.4 (8.7)

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896 Table 4. The mean values of CF (units: %), CTP (units: hPa), COT (liquid and ice, units: none), CWP (liquid  
897 and ice, units: g/m<sup>2</sup>) and CER (liquid and ice, units: μm) on the clean condition (less than 25<sup>th</sup> percentile) and  
898 polluted condition (more than 75<sup>th</sup> percentile) using two indicators of AOD and CDNC. Numbers in the  
899 brackets stand for the standard deviations on the means. The differences between clean and polluted  
900 conditions have all passed the significant test of 95%.

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Group (case number)	CF	CTP	COT		CWP		CER	
			liquid	ice	liquid	ice	liquid	ice
1 Clean, dry (153)	93.8 (6.1)	393.3 (117.3)	7.2 (4.6)	7.6 (9.4)	88.7 (70.6)	149.0 (146.4)	20.4 (3.0)	36.7 (6.6)
2 Polluted, dry (128)	95.6 (5.1)	475.7 (142.8)	50.2 (24.4)	43.4 (19.3)	424.6 (275.5)	793.5 (404.7)	12.6 (2.4)	30.0 (7.0)
3 Clean, wet (155)	<i>92.7 (7.0)</i> <i>p<sub>1,3</sub>&gt;0.05</i>	457.4 (191.0)	8.6 (4.7)	10.6 (12.6)	101.9 (64.5)	207.7 (254.1)	<i>19.8 (2.5)</i> <i>p<sub>1,3</sub>&gt;0.05</i>	33.2 (4.4)
4 Polluted, wet (194)	97.8 (4.4)	<i>419.7 (141.0)</i> <i>p<sub>3,4</sub>&gt;0.05</i>	36.4 (20.6)	28.4 (21.1)	295.9 (208.7)	456.4 (412.1)	<i>12.5 (2.0)</i> <i>p<sub>2,4</sub>&gt;0.1</i>	24.4 (7.5)

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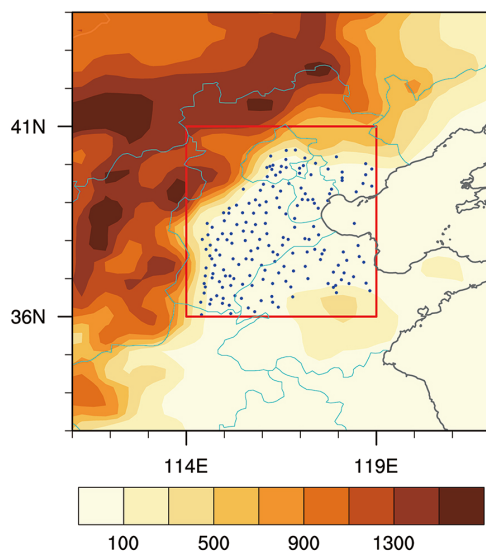
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Table 5. The mean values of CF (units: %), CTP (units: hPa), COT (liquid and ice, units: none), CWP (liquid and ice, units: g/m<sup>2</sup>) and CER (liquid and ice, units: μm) in four groups. Numbers in the brackets stand for the standard deviations on the means. Italic numbers in grey represent that the differences are not significant, in which “P>0.05” stands for the difference has passed the significance test of 90% but did not pass the significance test of 95%, and “P>0.1” stands for the difference did not pass the significance test of 90%.



933 **Figures**

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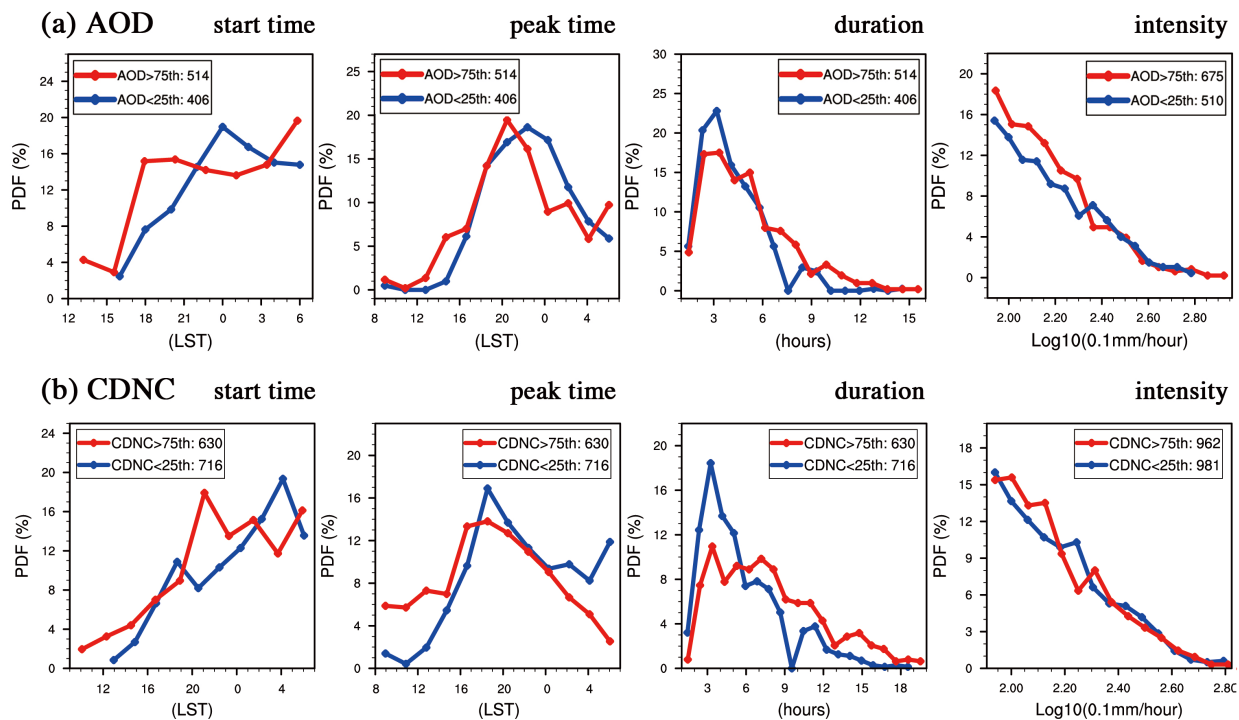


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936 Figure 1. Altitudes (shading, units: m) and selected stations (dots) in the BTH region (red box, 36–41° N,  
937 114–119° E).

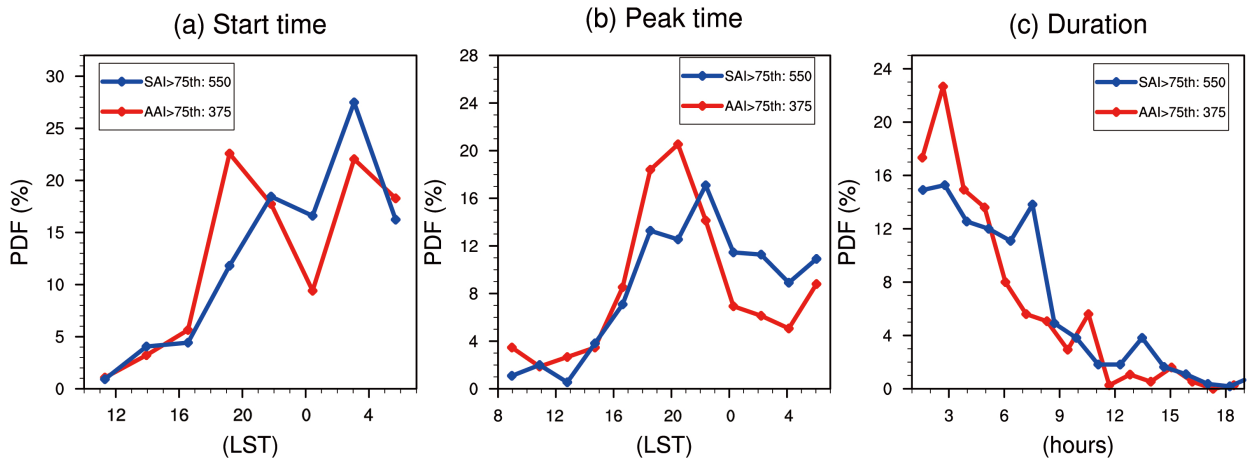
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941 Figure 2. PDF of start time (units: LST), peak time (units: LST), duration (units: hours) and intensity (units:  
942 0.1mm/hour) of heavy rainfall on selected clean (blue lines) and polluted (red lines) conditions, respectively  
943 using indicator of (a) AOD and (b) CDNC ( $\text{cm}^{-3}$ ), during early summers from 2002 to 2012.



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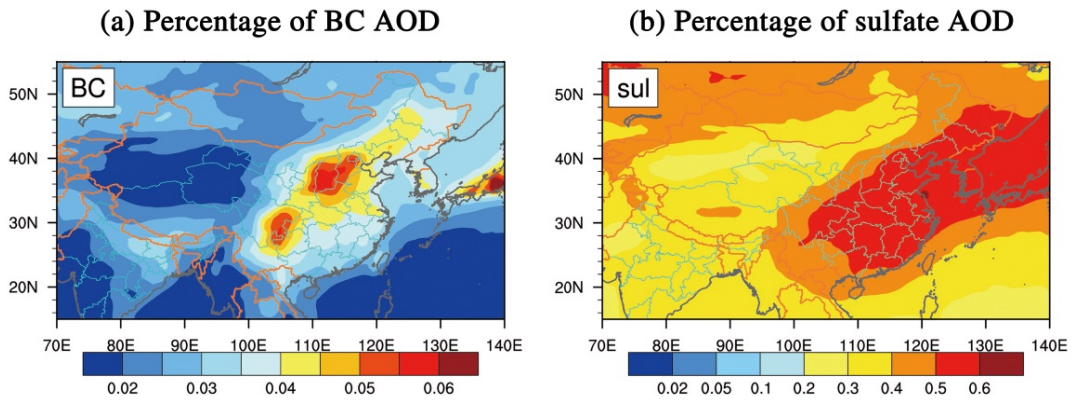
946 Figure 3. PDF of (a) start time (units: LST), (b) peak time (units: LST), and (c) duration (units: hours) of  
 947 heavy rainfall on the days with SAI more than 75<sup>th</sup> percentile (blue lines) and days with AAI more than 75<sup>th</sup>  
 948 percentile (red lines), during early summers from 2005 to 2012.

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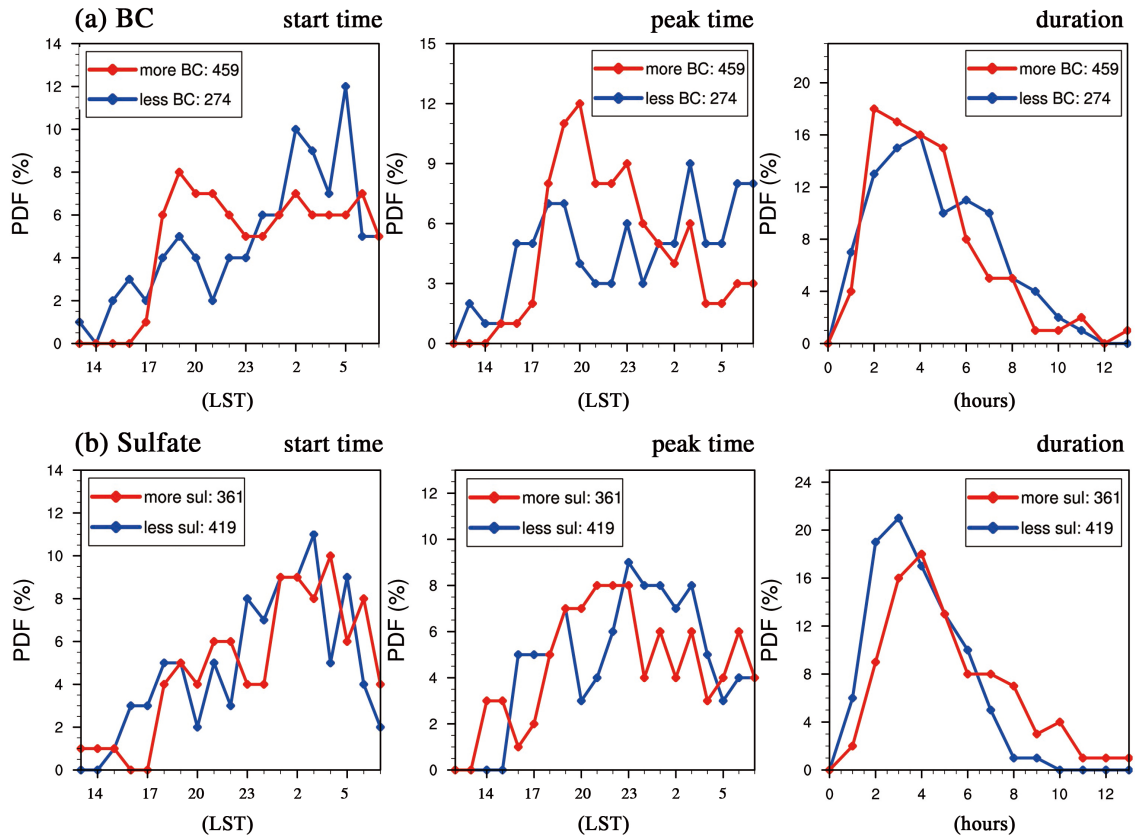


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954 Figure 4. Percentages of AOD for (a) BC and (b) sulfate in JJA during 2002 to 2012.

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958 Figure 5. PDF of start time (units: LST), peak time (units: LST) and duration (units: hours) of heavy rainfall in  
 959 different conditions of (a) BC and (b) sulfate. Blue/red lines stand for the condition of less/more BC or sulfate  
 960 (AOD of BC or sulfate less than 25<sup>th</sup> /more than 75<sup>th</sup> percentile) during early summers from 2003 to 2012.

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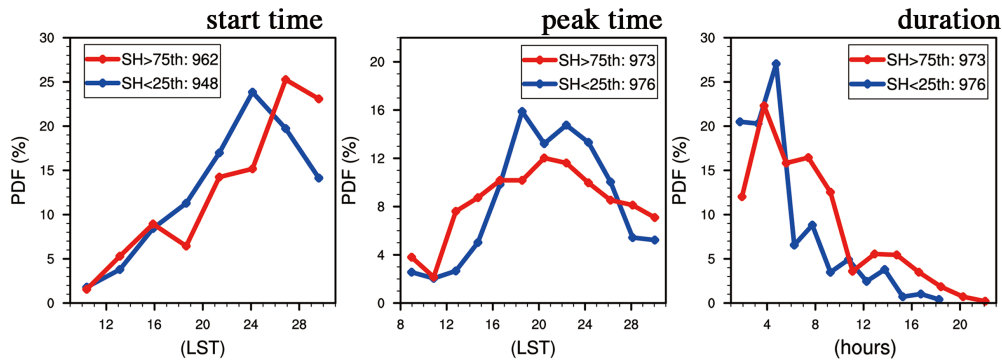
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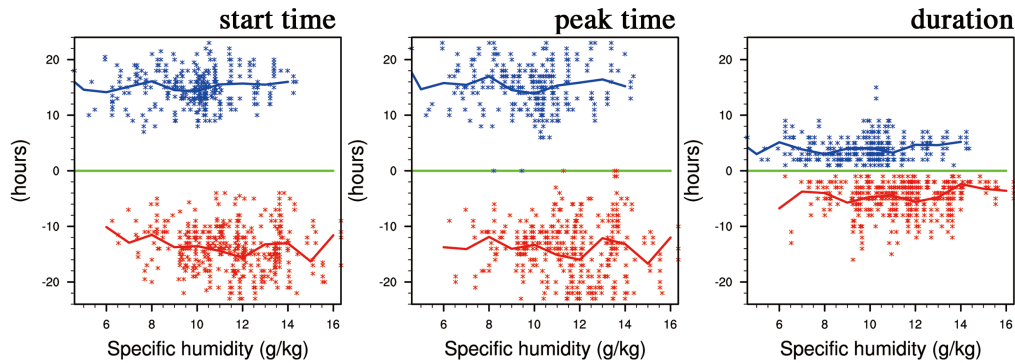
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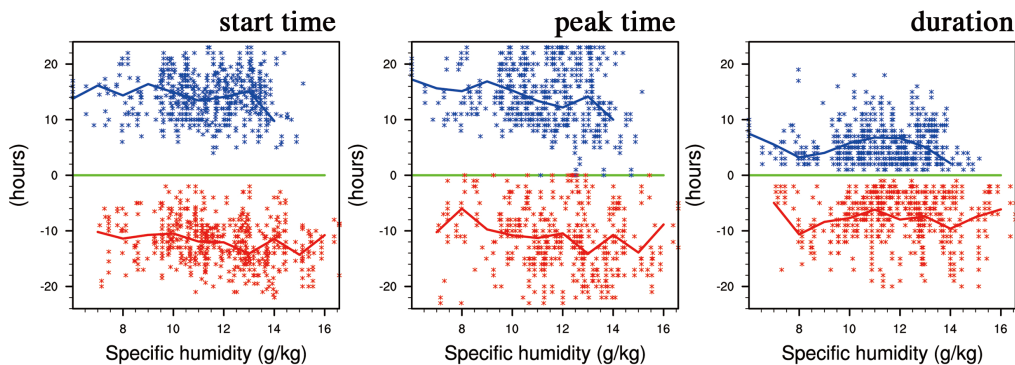
(a) PDF with more/less SH



(b) Scatter distribution using AOD



(c) Scatter distribution using CDNC



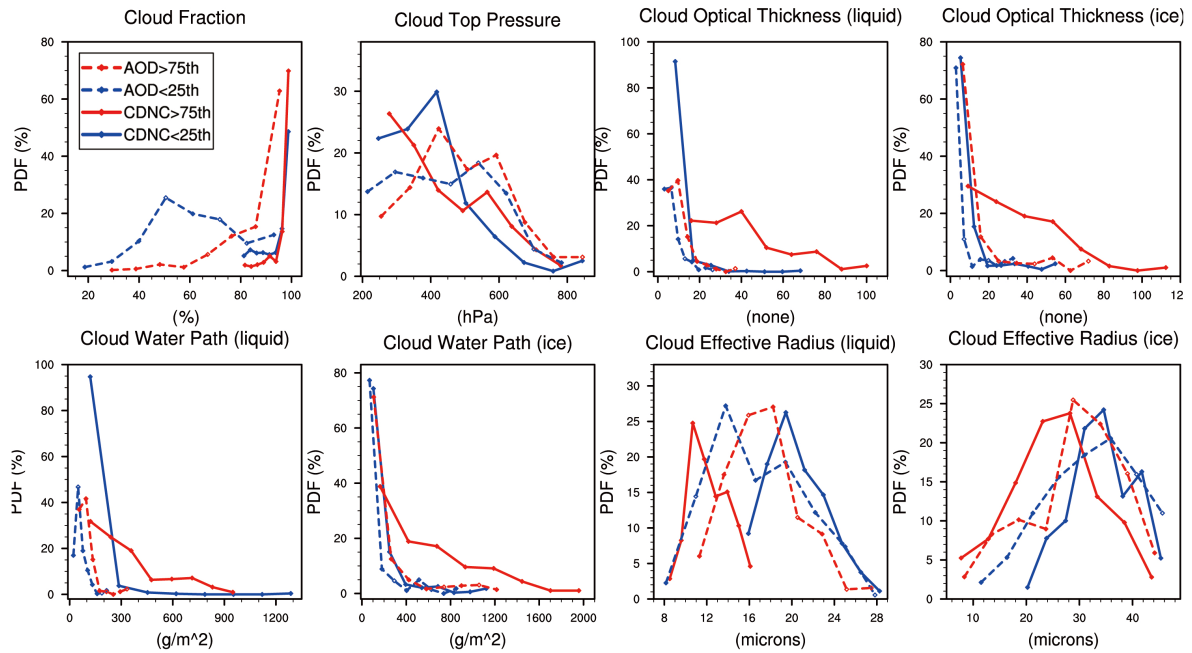
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967 Figure 6. (a) PDF of start time (units: LST), peak time (units: LST), and duration (units: hours) of heavy  
 968 rainfall with less moisture (blue lines, SH at 850 hPa less than 25<sup>th</sup> percentile) and more moisture (red lines,  
 969 SH at 850 hPa more than 75<sup>th</sup> percentile). (b) and (c) are scatter distributions of SH-start time/peak  
 970 time/duration for clean cases (blue points) and polluted cases (red points) respectively using AOD and CDNC.  
 971 Green lines stands for the start/peak time at 8:00 LST or duration is 0 hours. Positive (negative) values stand  
 972 for the hours away from 8:00 LST or 0 hours in clean (polluted) cases. Blue (red) lines stand for the mean  
 973 values of rainfall characteristics at each integer of SH in clean (polluted) cases.

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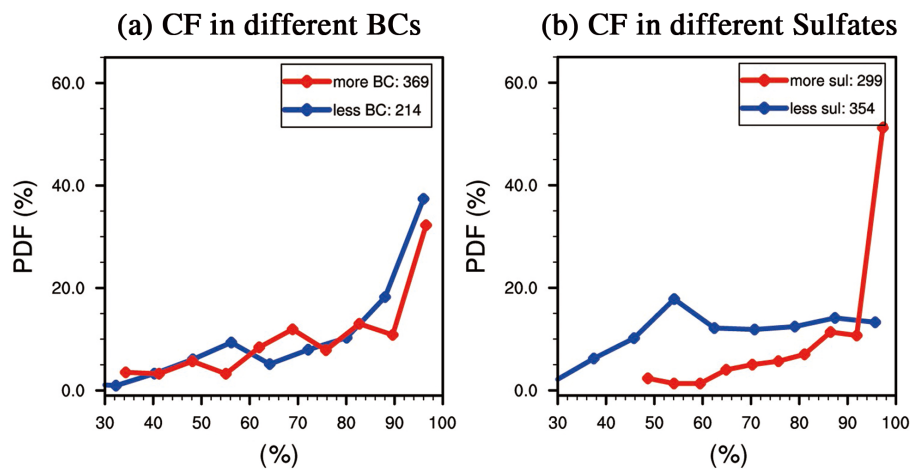
978 Figure 7. PDF of CF (units: %), CTP (units: hPa), COT (liquid and ice, units: none), CWP (liquid and ice,  
 979 units:  $g/m^2$ ) and CER (liquid and ice, units:  $\mu m$ ) on selected clean (blue dash lines: AOD<25<sup>th</sup> percentile; blue  
 980 solid lines: CDNC<25<sup>th</sup> percentile) and polluted (red dash lines: AOD>75<sup>th</sup> percentile; red solid lines:  
 981 CDNC>75<sup>th</sup> percentile) heavy rainfall days.

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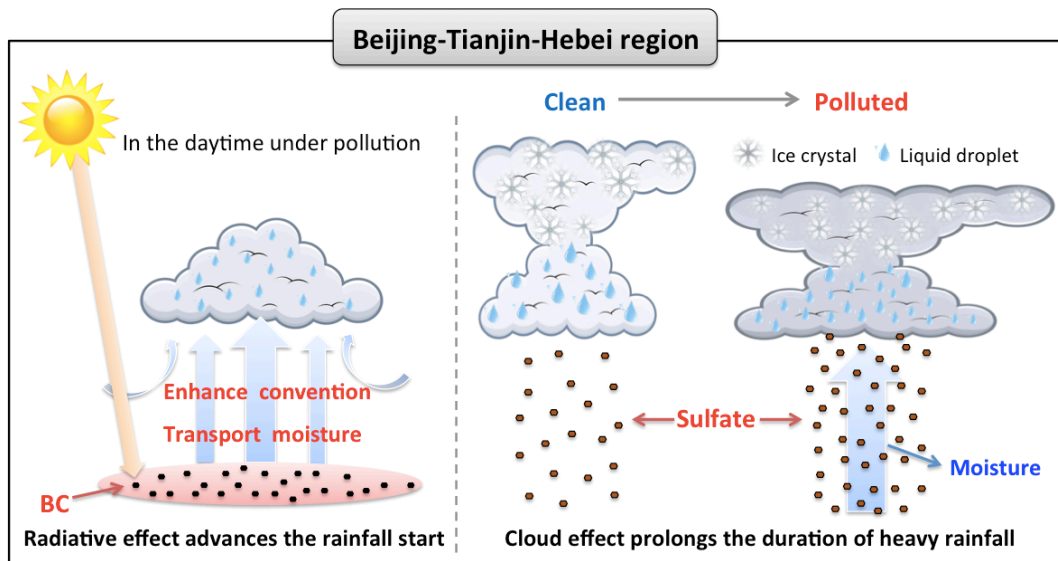


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987 Figure 8. PDF of CF (units: %) respectively for the conditions of less BC/sulfate (blue lines, AOD of  
 988 BC/sulfate less than 25<sup>th</sup> percentile) and more BC/sulfate (red lines, AOD of BC/sulfate more than 75<sup>th</sup>  
 989 percentile) cases with heavy rainfall during 10 early summers (2003-2012).

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Figure 9. A schematic diagram for aerosol impacts on heavy rainfall over Beijing-Tianjin-Hebei region.