

Multivariate analysis of Kelvin wave seasonal variability in ECMWF L91 analyses

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1 **Abstract.**

2 The paper performs multivariate analysis of the linear Kelvin waves (KWs) represented by the operational 91-level ECMWF
3 analyses in 2007-2013 period, with focus on seasonal variability. The applied method simultaneously filters Kelvin wave
4 wind and temperature perturbations in the continuously stratified atmosphere on the sphere. The spatial filtering of the three-
5 dimensional Kelvin wave structure in the upper troposphere and lower stratosphere is based on the Hough harmonics using
6 several tens of linearized shallow-water equation systems on the sphere with equivalent depths ranging from 10 km to a few
7 meters.

8 Results provide the global Kelvin wave energy spectrum. It shows a clear seasonal cycle with the Kelvin wave activity
9 predominantly in zonal wavenumbers 1–2 where up to 50% more energy is observed during the solstice seasons in comparison
10 with boreal spring and autumn.

11 Seasonal variability of Kelvin waves in the upper troposphere and lower stratosphere is examined in relation to the back-
12 ground wind and stability. A spectral bandpass filtering is used to decompose variability into three period ranges: seasonal,
13 intraseasonal and intramonthly variability component. Results reveal a slow seasonal KW component with a robust dipole
14 structure in the upper troposphere with its position determined by the location of the dominant convective outflow throughout
15 the seasons. Its maximal strength occurs during boreal summer when easterlies in the Eastern hemisphere are strongest. Other
16 two components represent vertically propagating Kelvin waves and are observed throughout the year with seasonal variability
17 mostly found in the wave amplitudes being dependent on the seasonality of the background easterly winds and static stability.

18 1 Introduction

19 Atmospheric equatorial Kelvin waves (hereafter KWs), first discovered in the stratosphere (Wallace and Kousky, 1968), are
20 nowadays observed and studied over a broad range of spatial and temporal scales. A broad wavenumber-frequency spectrum
21 can be traced to the spatiotemporal nature of tropical convection which generates KWs along with a spectrum of other equatorial
22 waves. Atmospheric wave response to the stochastic nature of convection was studied by Garcia and Salby (1987) and Salby
23 and Garcia (1987) who made a distinction between (i) projection or vertical response to short-term heating fluctuations (e.g.
24 daily convection) and (ii) barotropic or horizontal response to seasonal convective heating. For KWs, the vertical response
25 gives rise to a broad frequency spectrum of vertically propagating KWs that radiate outward into the stratosphere where
26 they drive zonal-mean quasi-periodic flows such as the quasi-biennial oscillation (QBO, Holton and Lindzen, 1972). The
27 horizontal response to seasonal transitions in convective heating gives rise to planetary-scale disturbances with a half-sinusoidal
28 vertical structure confined to the troposphere. A part of this response remains stationary over the convective hotspot; its shape
29 resembling a classic "Gill-type" KW solution (Gill, 1980). The other part of the response intensifies and advances over the
30 Pacific, representing a transient component of the Walker circulation (Salby and Garcia, 1987).

31 Both components of the KW response received increased attention in the scientific community over the last decades in terms
32 of the role they play in the (intra)seasonal variability of the Tropical Tropopause Layer (hereafter TTL), defined as a transition
33 layer between the typical level of convective outflow at ~ 12 km where the Brunt-Väisälä frequency is at its minimum, and the
34 cold point tropopause at ~ 16 -17 km (Highwood and Hoskins, 1998; Fueglistaler et al., 2009). Within the TTL, temperature
35 variations play an important role in controlling the stratosphere-troposphere exchange of various species such as ozone and
36 water vapour thereby aiding in the dehydration process of air entering the stratosphere. The two parts of the KW response
37 modulate the TTL differently on different time scales (Highwood and Hoskins, 1998; Randel and Wu, 2005; Ryu et al.,
38 2008; Flannaghan and Fueglistaler, 2013); their relative contribution to TTL dynamics varies with season and is not yet fully
39 understood. The present study contributes to this topic by applying a novel multivariate analysis of Kelvin wave seasonal
40 variability in model-level analysis data.

41 Seasonal variations of Kelvin wave dynamics in the TTL have been previously studied using temperature data derived from
42 satellites such as SABER (Sounding of the Atmosphere using Broadband Emission Radiometry, Garcia et al., 2005; Ern et al.,
43 2008; Ern and Preusse, 2009), HIRDLS (High Resolution Dynamics Limb Sounder, Alexander and Ortland, 2010), and GPS-
44 RO (Global Positioning System Radio Occultation, Tsai et al., 2004; Randel and Wu, 2005; Ratnam et al., 2006). For example,
45 Alexander and Ortland (2010) reported a clear seasonal cycle around 16-17 km (~ 100 hPa) in KW temperature observed by
46 HIRDLS, coinciding closely with variations in background stability. A widely used method for the KW filtering from gridded
47 data is the space-time spectral analysis introduced by Hayashi (1982). Space-time spectral filtering assumes that the linear
48 adiabatic theory for equatorial waves on a resting atmosphere is applicable (Gill, 1982). Filtering operates on single variable
49 data and it has been widely used to diagnose equatorial waves in the outgoing longwave radiation (OLR, e.g. Wheeler and
50 Kiladis, 1999) and climate model outputs (e.g. Lin and Coauthors, 2006). Based on 40-year ECMWF reanalysis (ERA-40)

51 data, Suzuki and Shiotani (2008) found that the temperature component of Kelvin waves tends to peak at 70 hPa while the
 52 zonal wind peaks at lower altitudes, i.e. at 100 hPa and 150 hPa in Eastern and Western hemisphere, respectively.

53 On the equatorial β -plane, shallow-water linear wave theory describes the Kelvin wave geopotential height (h_{kw}) and zonal
 54 wind (u_{kw}) perturbations propagating zonally with phase speed c as (Matsuno, 1966):

$$55 \quad h_{kw}(x, y) = \frac{c}{g} u_{kw} \quad \text{where} \quad u_{kw}(x, y) = u_0 \exp\left(-\frac{\beta y^2}{2c}\right) \cos k(x - ct). \quad (1)$$

56 Here, u_0 is the zonal wind amplitude at the equator, g is gravity, y is the distance from the equator and $\beta = df/dy$, f being
 57 the Coriolis parameter. The dispersion relationship between the wave frequency ν and the zonal wavenumber k is $\nu = kc$. The
 58 gravity wave speed in a layer of homogeneous fluid with mean depth D is given by $c = \sqrt{gD}$ (Gill, 1982).

59 The KW e -folding decay width a_e , known as the equatorial radius of deformation, is given by $a_e = (c/2\beta)^{1/2}$. By pre-
 60 scribing D , the horizontal structure of KW is defined by (1) for any k and can be used to simultaneously analyze wind and
 61 geopotential height perturbations due to KW waves on a single horizontal level. Such analysis was carried out by Tindall et al.
 62 (2006) for the lower stratosphere for the ERA-15 data in 1981-93 period. Their results suggested that KWs contributes ap-
 63 proximately 1 K² of the temperature variance on the equator with peak activity occurring during solstice seasons at 100 hPa,
 64 during December–February at 70 hPa and at 50 hPa it occurs during the easterly to westerly quasi-biennial oscillation (QBO)
 65 phase transition. Yang et al. (2003) used a_e as the fitting parameter for the projection of the ERA-15 data on the meridional
 66 structure of the KW and other equatorial waves. They found that the best fit trapping scale within 20°N–20°S is around 6°.
 67 The multivariate projection of data on the horizontal structures of equatorial waves including KWs on the equatorial β -plane
 68 was performed also for the short-range forecast errors of the ECMWF model (Žagar et al., 2005, 2007). For example, Žagar
 69 et al. (2007) found that forecast errors within 20°N–20°S belt project on KWs significantly more in the easterly QBO phase
 70 than in the westerly phase.

71 In this paper we extend the linear Kelvin wave analysis based on the shallow-water equation theory on the equatorial β -plane
 72 to the sphere. Second, we extend the KW filtering on individual horizontal levels or vertical planes to the three-dimensional
 73 (3D) KW analysis simultaneously in wind and temperature fields. This study thus explores seasonal variability of KWs in the
 74 TTL layer in a multivariate fashion using most of the information on the vertical wave structure available in recent operational
 75 ECMWF analyses.

76 On the sphere, the Kelvin mode is the slowest eastward-propagating eigensolution of the shallow-water equations (or Laplace
 77 tidal equations) linearized around a state of rest (e.g. Kasahara, 1976). In the continuously stratified atmosphere, the depth D
 78 becomes the "equivalent depth" of a given baroclinic mode and we need to solve Laplace tidal equations for a range of D from
 79 large (corresponding to the barotropic structure) to rather small (for high baroclinic modes) in order to consider the spectrum
 80 of Kelvin waves (e.g. Boyd, 2018). In contrast to the Kelvin wave trapping on the equatorial β -plane, which is controlled by a_e
 81 i.e. by the equivalent depth, the degree of the KW equatorial confinement on the sphere is in addition controlled by the zonal
 82 wavenumber (Boyd and Zhou, 2008). As shown by Boyd and Zhou (2008), even barotropic KW with D around 10 km are on
 83 the sphere confined within the tropical belt.

84 In section 2 we present a methodology which diagnosis 3D Kelvin waves in spherical datasets. Section 3 presents the KW
 85 energetics in wavenumber space focusing on the seasonal cycle. Section 4 presents seasonal KW variability in several frequency
 86 bands both for the horizontal as well as for the vertical projection KW response. Conclusions and outlook are given in section
 87 5.

88 2 Data and methodology

89 The Kelvin waves are filtered using the normal-mode function (NMF) decomposition derived by Kasahara and Puri (1981) and
 90 formulated as the *MODES* software package by Žagar et al. (2015). Here the methodology is briefly summarized followed by
 91 the method for the computation of the KW temperature perturbations and by examples of the 3D KW structure in global data.

92 Input ECMWF operational analyses covers approximately 6.5 years from January 2007 until June 2013. The dataset starts
 93 after two important updates in the ECMWF assimilation cycle: a resolution update on 1 February 2006 and the introduction of
 94 GPS-RO temperature profiles in the assimilation on 12 December 2006. The data ends at the next update in vertical resolution
 95 from L91 to L137 on 25 June 2013. The data horizontal resolution is 256×128 points in the zonal and meridional directions
 96 (regular Gaussian grid N64), respectively, on 91 irregularly spaced hybrid model levels up to around 0.01 hPa (around 80 km).
 97 The temporal resolution is 6 hours, i.e. 4 times per day, at 00, 06, 12 and 18 UTC. A case study of the large-scale KW in July
 98 2007 in this dataset by Žagar et al. (2009) showed that the NMF method provides information on the 3D wave structure and its
 99 vertical propagation in the stratosphere. Another case study from the same month demonstrated how the vertical KW structure
 100 improves as the number of vertical levels increased (Žagar et al., 2012).

101 2.1 Filtering of Kelvin waves by 3D normal-mode function expansion

102 The basic assumption behind the NMF expansion is that a global state of the atmosphere described by its mass and wind
 103 variables at any time can be considered as a superposition of the linear wave solutions upon a predefined background state.
 104 The NMF decomposition derived by Kasahara and Puri (1981) uses the σ vertical coordinate and linearization around the state
 105 of rest and realistic vertical temperature and stability stratification. 3D wave solutions of linearized primitive equations are
 106 represented as a truncated time series of the Hough harmonic oscillations and the vertical structure functions. The assump-
 107 tion of separability leads to separate equations for the vertical structure and horizontal oscillations. The latter are known as
 108 shallow-water equations on the sphere or Laplace tidal equations without forcing. The two systems are coupled by a separation
 109 parameter D which is called the equivalent height (Boyd, 2018). Eigenmodes of the global shallow-water equations are known
 110 as Hough harmonics. They describe two types of wave motions: Rossby waves and inertio-gravity waves which obey their
 111 corresponding dispersion relationships on the sphere.

112 The expansion of a global input data vector $\mathbf{X}(\lambda, \varphi, \sigma) = (u, v, h)^T$ can be represented by a discrete finite series as:

$$113 \begin{bmatrix} u(\lambda, \varphi, \sigma) \\ v(\lambda, \varphi, \sigma) \\ h(\lambda, \varphi, \sigma) \end{bmatrix} = \sum_{m=1}^M \mathbf{S}_m \left[\sum_{n=1}^R \sum_{k=-K}^K \chi_n^k(m) \mathbf{H}_n^k(\lambda, \varphi; m) \right] G_m(\sigma) \quad (2)$$

114 The input data vector contains wind components u, v and the transformed geopotential height h defined as $h = g^{-1}P$ where
115 g is the gravity and P is defined as: $P = \Phi + RT_0 \ln(p_s)$; that is, it is the sum of geopotential Φ and a surface pressure, p_s ,
116 term. Other two variables represent the specific gas constant for dry air (R) and the globally-averaged vertical temperature
117 profile ($T_0(\sigma)$). The zonal and vertical truncations (K and M , respectively) define maximal numbers of zonal waves at a
118 single latitude (wavenumber k) and a maximal number of vertical modes (denoted m) respectively. For every vertical structure
119 eigenfunctions $G_m(\sigma)$, Hough harmonic functions, $\mathbf{H}_n^k(\lambda, \varphi)$ describe non-dimensional oscillations in the horizontal plane of
120 the fluid with the mean depth equal the equivalent depth D_m . The parameter D_m appears in Eq. (2) in the diagonal matrix \mathbf{S}_m
121 with elements $(gD_m)^{1/2}$, $(gD_m)^{1/2}$ and D_m which normalizes the input data vector after the vertical projection and thereby
122 removes dimensions. Parameter R is the total number of meridional modes which is a sum of the eastward inertio-gravity waves
123 (EIG), westward inertio-gravity waves (WIG) and Rossby waves. Linearization about the state of rest is not a drawback of the
124 method as wave frequencies are used solely for the formulation of the projection basis and not for studying wave propagation
125 properties. As shown by Kasahara (1980) (see also its Corrigendum) the meridional structures of the Hough functions for
126 large scales are not significantly different if the linearization is performed around the non-zero mean zonal flow. The impact of
127 latitudinal shear on the Kelvin waves was shown negligible by Boyd (1978). Further details of the NMF projection procedure
128 are given in Žagar et al. (2015).

129 For each zonal wavenumber, the Kelvin mode is the lowest eastward-propagating latitudinal Hough function. In (2), the
130 Kelvin wave is represented by the nondimensional complex expansion coefficients $\chi_n^k(m)$ with the meridional index $n = 1$.
131 However, to follow often used notation, we shall denote the Kelvin wave in the remainder of this study as the $n = 0$ EIG mode,
132 i.e. the Kelvin wave wind and geopotential height are represented by coefficients $\chi_{kw} = \chi_0^k(m)$. The truncation values are
133 $K = 85$ and $M = 60$. This means that KW signal in 3D circulation at a single time instant consists of 5100 waves, 85 waves
134 in every shallow-water equation system. Higher vertical modes were left out as their equivalent depth is smaller than 2 meters
135 and their contribution to the total KW signal is negligible in the outputs in the TTL and the stratosphere. The relation between
136 the truncation parameters and the normal-mode projection quality is discussed in Žagar et al. (2015) and references therein.

137 Once the forward projection is carried out and coefficients $\chi_n^k(m)$ are produced, filtering of KWs in physical space can be
138 performed through (2) after setting all χ , except those representing the KWs, to zero. The result of filtering are fields u_{kw} ,
139 v_{kw} and h_{kw} which provide the KW zonal wind, meridional wind and geopotential height perturbations. Notice here that in
140 contrast to the equatorial β -plane, KWs on the sphere have a small meridional wind component which is thus left out from
141 the discussion (Boyd, 2018).

142 The KW temperature perturbation, T_{kw} can be derived from the h_{kw} fields on σ levels using the hydrostatic relation in σ
143 coordinates:

$$144 \quad T_{kw} = -\frac{g\sigma}{R} \frac{\partial h_{kw}}{\partial \sigma}. \quad (3)$$

145 The orthogonality of the normal-mode basis functions provides KW energy as a function of the zonal wavenumber and
146 vertical mode. After the forward projection, the energy spectrum of total (potential and kinetic) energy for each Kelvin wave

147 can be computed using the energy product for the k th and m th normal modes (Žagar et al., 2015) as:

$$148 \quad I_{kw}(k, m) = \frac{1}{2} g D_m \chi_{kw} [\chi_{kw}]^* . \quad (4)$$

149 The units are J kg^{-1} . The KW global energy spectrum as a function of the zonal wavenumber is obtained by summing energy
150 in all vertical modes:

$$151 \quad I_{kw}(k) = \frac{1}{2} \sum_{m=1}^M g D_m \chi_{kw} [\chi_{kw}]^* . \quad (5)$$

152 **2.2 Examples of 3D structure of Kelvin waves in L91 analyses**

153 Kelvin waves are shown in Fig. 1-2 for a few days in July 2010 to introduce and illustrate their properties as filtered by the
154 NMF methodology.

155 Figure 1 illustrates the meridional structure of Kelvin waves on 25 July 2010 on 2 levels. KW activity was found largest in
156 the zonal wind component at 150 hPa over the Indian Ocean. The geopotential dipole structure is centred over the convective
157 hotspot over the Maritime continent. At 100 hPa, we find largest amplitude of KW temperature perturbations up to 4 K
158 positioned above the zonal wind maxima at 150 hPa. The meridional wind component of the KW is nonzero in spherical
159 coordinates, but is at most 0.22 ms^{-1} at 100 hPa which is negligible compared to the zonal wind component (maximum 12.5
160 ms^{-1}) making the KW wind field primarily zonal. Note that the presented horizontal structure at a single level is a superposition
161 of 60 vertical modes, i.e. 60 shallow water models with equivalent depths from about 10 km to a couple of meters.

162 Figure 2 illustrates day-to-day filtered KW fields along the equator on three separate July days in 2010, namely 25, 28 and
163 31. Both zonal wind (blue-to-red shades) and temperature fields (red contours) are shown. Without any predefined constrains
164 on the KW propagation, one can observe a rich variety of KW behaviour occurring in time: from the quasi-stationary dipole
165 patterns centred at 160 hPa to a wave package of free propagating wave structures in the stratosphere transiting from the
166 western into the eastern hemisphere.

167 In the stratosphere, the uppermost easterly wind component in blue shades around 30 – 50 hPa moves in eastward and
168 downward direction, demonstrating the upward transport of KW energy (Andrews et al., 1987). KW amplitudes were largest
169 over Eastern hemisphere with temperatures up to 4 K and zonal winds up to 12 ms^{-1} . The large amount of KW activity
170 occurred during the easterly phase of the QBO with strong easterly winds present between 30 and 80 hPa (not shown), providing
171 favourable conditions for strong KW activity.

172 Between 100 and 200 hPa during the second half of July, there was low-frequency KW activity present in the form of a
173 stationary and robust "wave-1" pattern with strong KW easterly winds up to 24 ms^{-1} in Eastern Hemisphere and KW westerly
174 winds up to 10 ms^{-1} in the Western Hemisphere. The high vertical resolution within the TTL resolves shallow KW structures
175 and a typical slanted structure towards the east in KW easterlies as well. The appearance and strength of horizontal KW
176 response coincides with the presence of strong easterly winds in the TTL in the Eastern Hemisphere during this period (not
177 shown). Figure 2 also shows that below 300 hPa the KW activity decreases and we shall not discuss levels under 300 hPa in
178 the paper.

179 The zonal wind and temperature components are coupled through Eq. (3) which states that the amplitude of the negative
180 KW temperature perturbation is proportional to the negative vertical gradient in geopotential (and vice versa), as well as in the
181 zonal wind since the zonal wind and geopotential are in phase. Horizontally, the cold anomaly is always located between the
182 westerly and the easterly phase of the zonal wind component. Vertically, maximal positive temperatures are observed between
183 easterly winds below and westerly winds above. An estimate of the vertical wavelength can be made based on alternating zonal
184 wind minima and maxima. For example, on 25th July a well-developed KW package extending into the stratosphere moved
185 from the Western into the Eastern hemisphere. A quasi-stationary component of the wave package is observed around 60°E
186 with easterly winds located at 50 hPa (~ 21.5 km) and 150 hPa (~ 13.5 km), implying a vertical wavelength of around 8 km.

187 More examples based on daily basis filtered from the 10-day deterministic forecast of the ECMWF can be found on the
188 MODES website¹.

189 2.3 Other data and impact of the background state

190 In addition to the outputs from modal decomposition, full zonal wind and temperature fields from ECMWF analyses are used
191 to compute the background fields based on the same N64 grid and over the same period (Jan 2007 - Jun 2013). Zonal wind U
192 and static stability N are latitudinally averaged in the belt 5°S-5°N on all model levels to produce their zonal structure.

193 Static stability profiles are estimated through

$$194 \quad N^2 = \frac{g^2}{\Theta} \frac{\partial \Theta}{\partial \phi} \quad (6)$$

195 in units of s^{-2} and are defined on hybrid model levels on which the geopotential field ϕ and the potential temperature field Θ
196 are derived a priori from the input data. Both fields are shown in Fig. 3.

197 The zonal wind field has the largest values on average in the TTL around 150 hPa with westerly winds peaking in the
198 Western Hemisphere over the Pacific Ocean and easterly winds peaking in the Eastern hemisphere over the Indian Ocean
199 and Indonesia. It represents a typical time-averaged outflow pattern in response to tropical convection (e.g. Fueglistaler et al.,
200 2009). Throughout the seasons there is a longitudinal shift of this pattern following the convective source which is most clearly
201 observed at 150 hPa. Such seasonal shift is visible up to 100 hPa in Fig. 3(b) where winds are weaker compared to 150 hPa.
202 In northern winter, zonal winds are strongest over Indonesia and the Eastern Pacific with the zonal wind maxima position and
203 strength similar compared to the longer ERA-40 dataset used by Suzuki and Shiotani (2008). During boreal summer easterly
204 winds mainly prevail over the Indian Ocean, which is linked to the Indian Monsoon season.

205 At 100 hPa, the static stability illustrates the strongest seasonal cycle with values ranging from near-tropospheric values of
206 $3 \times 10^{-4} \text{ ms}^{-2}$ during northern winter towards stratospheric values of $5 - 6 \times 10^{-4} \text{ ms}^{-2}$ during boreal summer. Note also
207 the resolved local maxima in static stability at 80 hPa above the warm pools, known as the Tropical Inversion Layer (TIL)
208 and which is possibly wave-driven (Grise et al., 2010; Kedzierski et al., 2016). Figure 3(b) suggests that the TIL descends
209 down to 100 hPa during boreal summer months peaking over Western Pacific, in agreement with the cycle found in GPS-RO
210 observations by Grise et al. (2010).

¹<http://meteo.fmf.uni-lj.si/MODES/>

211 Kelvin waves are subject to wave modulation in changing background environments. Along its trajectory, the potential
212 energy of the KW changes with varying background winds and stability which can be largely described by linear wave theory
213 as long as waves are not near their critical level involving breaking and dissipation (Andrews et al., 1987). For simplification,
214 KW modulation can be examined for the case of pure zonal as well as pure vertical wave propagation based on the wave
215 modulation analysis performed by Ryu et al. (2008). A few key points on their local wave action conservation principle are
216 summarised in the following.

217 In the tropical atmosphere, zonal modulation is the dominant process for KWs propagating in the stratosphere and in all non-
218 easterly winds in the TTL. Vertical modulation becomes important in the presence of easterly winds within the TTL. Zonal
219 modulation is found to affect both u_{kw} and T_{kw} components and their amplitudes are proportional to the Doppler-shifted phase
220 speed by $(c - U)^{1/2}$ in case of pure zonal propagation direction. This means that Kelvin waves diminish in amplitude over
221 regions with westerly winds and become more prone to dissipative processes, while amplify over regions with easterly winds².
222 In case of pure vertical modulation, the change in wave potential energy mainly fluctuates with the temperature component of
223 the Kelvin wave. Along the rays' vertical path, the waves amplitude is proportional to the Brunt-Väisälä frequency as $\propto N^{3/2}$,
224 and to the Doppler-shifted phase speed as $\propto (c - U)^{-1/2}$, such that N is expected to play a primary role above 120 hPa where
225 its value starts increasing rapidly (see Fig. 3).

226 Alexander and Ortland (2010) showed through wave modulation principles that temporal variations in zonal-mean N indeed
227 are correlated with observed KW amplitudes at 16 km (approx. 100 hPa). A more extensive wave modulation analysis was
228 described by Flannaghan and Fueglistaler (2013) using the full ray tracing equations to demonstrate that zonal winds in the TTL
229 not only modulate Kelvin waves locally, but also create a lasting modulating effect on wave activity through ray convergence
230 in the stratosphere. In particular, the seasonal cycle of the upper tropospheric easterlies (on average located over the western
231 Pacific), that acts as an escape window for Kelvin waves throughout the year and largely explains the longitudinal structure of
232 Kelvin wave zonal wind and temperature climatology.

233 We shall present the seasonal variability of tropical convection by using the Outgoing Longwave Radiation (OLR) dataset
234 with daily outputs from the NOAA Interpolated OLR product (Liebmann and Smith, 1996). The OLR product, often used as a
235 proxy for convection, is extracted on a $2.5^\circ \times 2.5^\circ$ grid and interpolated on a N64 grid. Latitudinal averages are derived over
236 larger domain, namely over $15^\circ\text{S}-15^\circ\text{N}$ since organized convection tend to happen more remote from the equator, especially
237 during the summer monsoon season over the Asian continent.

238 3 Kelvin wave energetics

239 We start with a discussion of the KW energy distribution among zonal wavenumbers as given by (5), followed by seasonal
240 differences.

²Keeping in mind that vertical wave propagation and consequently modulation becomes increasingly important as well wherever easterly winds are strong.

241 3.1 Energy distribution of Kelvin wave

242 The seasonal cycle in the energy-zonal wavenumber spectra is shown in Fig. 4 after summing up over all vertical modes. On
243 average, energy decreases as the zonal wavenumber increases as typical for atmospheric energy spectra. As we deal with the
244 large scales, we show only the first six zonal wavenumbers with energy values shown separately for the annual mean and the
245 four seasons separately.

246 Figure 4 shows that largest seasonal variations in KW energy are found at the largest zonal scales. For all zonal wavenumbers,
247 above annual-mean energy values are observed during DJF and JJA seasons while SON and MAM are below annual-mean
248 energy. In the zonal wavenumber 1, total KW energy varies between 200 Jkg^{-1} in MAM season and somewhat over 300 Jkg^{-1}
249 in JJA. In wavenumber 2, values do not exceed 100 Jkg^{-1} and JJA still contains the largest energy. At higher wavenumbers,
250 DJF season becomes the most energetic. In $k > 4$, total KW energy is under 20 Jkg^{-1} and continue to reduce with k . The slope
251 of the KW energy spectrum is between $-5/3$ and -1 at planetary scales (not shown), similar to the spectra presented in Žagar
252 et al. (2009) for July 2007 data. The JJA spectra has on average the steepest slope compared to other seasons, in particular the
253 DJF spectra. The energy distribution on planetary scales is mainly associated with large-scale tropical circulation established
254 in response to ongoing tropical convection. Therefore, the zonal distribution of tropical convection may likely play a crucial
255 role in explaining DJF and JJA season differences of KW energy, which will be explored in next section.

256 3.2 Seasonal cycle of KW energy

257 Figure 5 illustrates more details on the seasonal cycle by showing KW energy time series at the largest scales represented by
258 zonal wavenumbers $k = 1$, $k = 2$ and remaining scales $k > 2$. During most JJA seasons and occasionally in DJF (e.g. 2008)
259 the total amount of KW energy in $k = 1$ can reach up to 600 Jkg^{-1} , or twice the JJA average. The minimum in $k = 1$ KW
260 energy mainly occurs during October followed by April with values dropping towards 100 Jkg^{-1} , or half the SON average. The
261 temporal pattern in $k = 2$ is similar to the $k = 1$ pattern, but with a less pronounced semiannual cycle with maximum values up
262 to 200 Jkg^{-1} and minimum values towards 30 Jkg^{-1} . On zonal scales $k > 2$, KWs still show a semiannual cycle with highest
263 vertically-integrated values of energy in DJF.

264 In particular, for zonal wavenumber $k = 1$ one can distinguish intermonthly in addition to semiannual variability. Inter-
265 monthly variability is most clearly observed during JJA, for example in July 2011 where one can distinguish six separate
266 peaks of over 400 Jkg^{-1} energy over a period of approximately 90 days resembling an average wave period of about 18 days.
267 These are typical periods for free propagating Kelvin waves as observed in the TTL and lower stratosphere (e.g. Randel and
268 Wu, 2005). Note here again that our KW energy is vertically integrated over the whole model depth. This means that the ob-
269 served intermonthly variability of KWs appears dominated by the cyclic process of free propagating KWs entering the TTL,
270 amplifying due to changing environmental conditions, followed by wave breaking or dissipation.

271 The dominant scales of temporal variability in KWs are illustrated by a frequency spectrum of $k = 1$ in Fig. 6. The spectrum
272 is produced by the Fourier transform of energy time series of 6.5 years. The resulting power spectrum has been smoothed by
273 taking the Gaussian-shaped moving averages over the raw spectrum by using the Daniell kernel three times (Shumway and

274 Stoffer, 2010). The spectrum contains a peak at 1-day period associated with the diurnal tide projecting to the Kelvin waves.
275 After that, a gradual increase of energy is seen towards the 16-day period with multiple individual periods standing out. For
276 periods longer than 20 days, individual peaks are found close to 25, 43 and 59 days. After that, most KW energy is contained
277 by far in the semiannual cycle. The frequency spectrum provides a useful starting point for the discussion in the next section
278 when the spatiotemporal patterns of KWs shall be examined in several spectral domains.

279 Returning to Fig. 5, a low-pass filter with 90 day cut-off has been applied on KW energy in order to keep only the two
280 main spectral peaks in Fig. 6. The result is visible as the thicker black line in Fig.5 for all three zonal wavenumber groups. A
281 semiannual cycle for all zonal wavenumbers is evident with most energy observed around January and July, while least energy
282 is observed approximately one month after the equinoxes. During the years 2007, 2010, 2011, and 2012, more $k = 1$ KW
283 energy is observed during JJA compared to the follow-up DJF season. The DJF of 2009-2010 was for example above average
284 with energy values for $k = 1$ above 350 Jkg^{-1} .

285 The year to year differences can be explained by many coupled factors. In general, one expects the vertically-integrated KW
286 activity to increase when background wind conditions become favorable, i.e. in the presence of easterly winds. This occurs
287 in the TTL in relation to strong convective outflow (Garcia and Salby, 1987; Suzuki and Shiotani, 2008; Ryu et al., 2008;
288 Flannaghan and Fueglistaler, 2013) during DJF and JJA seasons mainly. Moreover, KW activity is enhanced whenever easterly
289 QBO winds are present down into the lower stratosphere (Baldwin and Coauthors, 2001; Alexander and Ortland, 2010) or
290 during El Niño (Yang and Hoskins, 2013). The latter factor may partly explain a large difference in the KW energy during the
291 El Niño DJF of 2009-2010 and the below-average energy level a year after, during the strong La Niña DJF period of 2010-
292 2011. However, during the La Niña DJF of 2007-2008, the amount of KW energy is above normal. That season was however
293 characterized by above-normal MJO activity which often occurs during favourable easterly QBO conditions in the stratosphere
294 (Son et al., 2017). During 2010-2011 DJF season stratospheric winds were largely westerly thereby prohibiting KW activity.
295 The role of these low-frequency atmospheric phenomena on KW seasonal variability is a topic of further research.

296 Finally, Fig. 5 also shows that KW activity in July 2007, previously examined by Žagar et al. (2009), was exceptionally
297 strong. A large part of that energy, (somewhat more than half) belonged to zonal wavenumber 1. In spatiotemporal terms, it is
298 associated with the presence of a strong dipole structure in the TTL (as in Fig.2), which is colocated with favourable easterly
299 wind conditions in the TTL as well as in the stratosphere (not shown). In fact, at 50 hPa the QBO was just at the beginning of
300 its was easterly phase in July 2007.

301 **4 A spatiotemporal view on Kelvin wave seasonal variability**

302 **4.1 Kelvin wave decomposition among wave periods**

303 In this section, the spatiotemporal view of KWs shall be presented over three dominant ranges of wave periods in Fig. 6,
304 namely: (i) the (semi)annual cycle using a low-pass filter with cut-off period at 90 days, (ii) the intraseasonal period using
305 a bandpass filter over periods between 20-90 days, and finally (iii) the intramonthly period with bandpass filtered periods

306 between 3-20 days. The chosen periods, especially the intramonthly periods, are similar to those used in previous studies. In
307 each case, mean 6-year fields as well as seasonal means shall be presented.

308 Note that our temporal filtering operates on time series of KW signals at every grid point. This is different from the commonly
309 applied space-time filtering following Hayashi (1982) that applies KW dispersion relations. Our filtered KWs can appear
310 stationary or even westward shifted due to westward-moving sources of the KW amplification (e.g. easterly winds, high static
311 stability in the TTL).

312 Both KW components u_{kw} and T_{kw} are Fourier-transformed to frequency space where the spectral expansion coefficients
313 χ_{kw} in domains outside the desired frequency ranges are put to zero. Case (i) results in KW components $u_{kw,l}$ and $T_{kw,l}$ where
314 l indicates the low-frequency component. Case (ii) results in $u_{kw,m}$ and $T_{kw,m}$ where m indicates the intramonthly period.
315 Case (iii) results in fields $u_{kw,h}$ and $T_{kw,h}$ where h stands for the high-frequency component. Previous studies have defined
316 free propagating Kelvin waves over similar ranges (3-20 days, Alexander and Ortland (2010); 4-23 days, Suzuki and Shiotani
317 (2008)) and similarly for intraseasonal periods (23-92 days, Suzuki and Shiotani (2008)). Next, seasonal averages will be taken
318 over the four seasons, resulting in variables $\overline{u_{kw,l}}^s$, $\overline{T_{kw,l}}^s$ for the low-frequency component and similarly for the other two
319 cases. The superscript s represents one of the four seasons: northern winter ($s = DJF$), spring ($s = MAM$), summer ($s = JJA$),
320 and autumn ($s = SON$).

321 Cases (ii) and (iii) contain purely subseasonal variability and therefore one can expect their 6-year means to be zero-valued
322 since variability beyond 90 days has been put to zero. Similarly, mean fields for each of the four seasons results in $\overline{u_{kw,h}}^s \ll$
323 $\overline{u_{kw,l}}^s$ and $\overline{u_{kw,m}}^s \ll \overline{u_{kw,l}}^s$ and the same for the temperature component. This reflects the fact that positive and negative
324 phases of the fast KW responses average out to approximately zero on seasonal timescales (figure not shown). Therefore, the
325 seasonal mean of the absolute amplitudes of the zonal wind and temperature are examined instead, i.e. $|\overline{u_{kw,h}}^s|$, $|\overline{u_{kw,m}}^s|$ and
326 similarly for temperature. This describes seasonal fluctuations in subseasonal KW amplitudes³.

327 Figure 7 shows results for all three cases after taking mean over the whole period. The left panel resembles a dominant
328 "wave-1" structure with zonal wind maximized around 140 hPa. Easterly KW winds are strongest around 60°E and westerly
329 winds around the Date Line. Note that two stationary perturbations over African (30°E) and South American (80°W) orography
330 are the result of our terrain-following NMF analysis. If one compares the KW zonal wind pattern with the climatological zonal
331 wind pattern in Fig. 3(a) it can be observed that the zonal wind pattern is located around 20° west of the climatological pattern.
332 Wave temperature perturbations are largest where the vertical gradients in zonal wind are largest which explains the quadrupole
333 structure. Warm and cold KW anomalies are located at 100 hPa in the Eastern and Western hemisphere, respectively, and vice
334 versa at 200-300 hPa.

335 The average low-frequency or seasonal KW structure has a significant resemblance with the classical Gill-type KW solution
336 (Gill, 1980) describing a steady-state linear wave response to convective forcing. The Gill-type KW solution is characterized
337 by westerly upper-troposphere winds east of the large-scale convective source. In responds to the seasonal cycle of convection,

³Most previous studies define KW activity as square amplitude rather than absolute amplitude. In our high resolution dataset we observe highly localized patterns of the KW activity in the Eastern hemisphere due to ongoing wave amplification. By using absolute amplitudes we better visualize the longitudinal structure of the KW activity in comparison to its local maxima.

338 the solution in Fig. 7a illustrates, in addition to a low-frequency KW variability in westerly winds, also a considerable low-
339 frequency variability west of the convective outflow. This part of the signal represents the wave modulation effect of the
340 propagating KWs on seasonal timescales.

341 The middle panel of Fig. 7 shows the average distribution of KW activity on intraseasonal timescales. The activity is largest
342 in the Eastern hemisphere with average zonal wind maxima up to 3 ms^{-1} and temperature maxima up to 0.7 K. Zonal wind
343 activity is largest over a broad area between 90 and 150 hPa over the Indian Ocean and the Maritime Continent. Temperature
344 activity occurs slightly higher around 90-100 hPa. Intraseasonal activity is locally somewhat increased also around 120°W ,
345 west of the Andes mountain range.

346 Finally, Fig. 7c illustrates the average distribution of intramonthly KWs. The Eastern hemisphere again makes up for the
347 larger KW activity than the Western hemisphere, but the maximum is located more upward in comparison to the intraseasonal
348 scales, around 80 hPa. Zonal wind activity peaks up to 3 ms^{-1} over a broad range of 70-110 hPa and temperature peaks over
349 a more narrow area around 76 hPa (up to 0.75 K). The main area for KW activity is found over Indian Ocean region, while
350 least wave activity is above central Pacific. Towards the stratosphere KW activity reduces and becomes more uniform along in
351 longitudinal direction.

352 4.2 Low-frequency Kelvin wave variability

353 The seasonal patterns of the low-frequency components of the KW is presented as pressure-longitudinal cross-sections along
354 the equator (at 0.7°N) of the KW seasonal means, given by $[\overline{u_{kw,l}}]^s$ and $[\overline{T_{kw,l}}]^s$ in Fig. 8.

355 The largest amplitudes are found during the JJA months. A strong dipole "wave-1" pattern is evident in the TTL. The
356 strongest zonal winds are found close to 150 hPa with easterlies up to -12 ms^{-1} centered over Indian Ocean and westerlies
357 up to 6 ms^{-1} over the Western Pacific. Negative temperature KW anomalies at 110 hPa are strongest as well during JJA with
358 values up to 1.5 K over Indian Ocean and annually averaged value of -0.5 K over Western Pacific.

359 During DJF, the dipole pattern has shifted more eastward and upward compared to JJA and has a more slanted structure.
360 Easterly (westerly) KW winds are located more east over the Maritime continent (central Pacific) and are centered at 130 hPa.
361 The upper temperature dipole pattern is found higher up at 90 hPa approximately. Values are somewhat weaker compared to
362 NH summer with easterlies up to -6 ms^{-1} and westerlies up to 5 ms^{-1} .

363 Finally, SON and MAM season months are transition seasons with respect to the strength and position of the KW dipole as
364 it moves west- and downward towards JJA and east- and upward towards DJF. MAM has the weakest KW dipole with slightly
365 stronger westerly winds up to 5 ms^{-1} .

366 The longitudinal position and the strength of the low-frequency KWs have been linked to the seasonal patterns of the back-
367 ground winds in the TTL representing the upper level monsoon and Walker circulations (Flannaghan and Fueglistaler, 2013).
368 The average background winds maximize at 150 hPa as shown in Fig. 3(a). In Fig. 8, one can see how the KW easterlies in the
369 Eastern hemisphere are strongest during JJA in relation to the Indian-South Asian monsoon circulation. Background easterlies
370 as strong as -30 ms^{-1} are located approximately 10° east of the KW maximum easterlies. DJF has the strongest background

371 westerlies in relation to the upper-level circulation of the Western Pacific anticyclones. MAM shows similar background wind
 372 patterns compared to DJF but with weaker circulation. SON shows similar patterns with JJA but with weaker winds.

373 Further details on longitudinal position and interannual variability of the low-frequency KW response at its maximum value
 374 at 150 hPa are illustrated by the Hovmoller diagram in Fig. 9. For comparison, tropical convection is represented as well through
 375 the OLR proxy variable averaged over 15°S-15°N latitudes. All fields have been filtered with a 90 day cut-off low-pass filter
 376 in order to highlight the seasonality. As a result, one can observe enhanced/reduced KW activity during the same individual
 377 seasons as seen from the timeseries in Fig. 5. Above average seasonal KW activity with stronger dipole structures occurred
 378 during the summer of 2007 (mainly through its easterlies at 60°E) and during the winters of 2006-2007 and 2009-2010. In
 379 these winters, El-Nino was active and a clear longitudinal eastward shift is observed in OLR, in the background circulation
 380 (not shown), as well as in the dipole KW structure. The El-Nino winter of 2009-2010 was followed by a strong La Nina winter
 381 with an increase in tropical convection over the Maritime continent (note: OLR values below 195 Wm⁻²).

382 The vertical seasonal movement of the KW dipole has been linked with the seasonal movement of the tropical tropopause
 383 height (Flannaghan and Fueglistaler, 2013; Ryu et al., 2008). The position of the tropical tropopause height (represented by a
 384 static stability value of $5 \times 10^{-4} \text{ s}^{-2}$ in Fig. 8) is found at approximately 85 hPa during DJF and descends towards 100 hPa in
 385 JJA, similar to values obtained from GPS-RO observations by Grise et al. (2010). In particular, during JJA, one can notice how
 386 the asymmetry in the tropical tropopause height over Indian Ocean around 60°E coincides with increasing temperatures by the
 387 KW dipole up to 1.5 K. Such deformation of the tropical tropopause is also evident during DJF and SON seasons.

388 Figures 10a and 10b illustrate seasonal-mean KW temperatures $\overline{T_{kw,l}}^s$ in relation to the tropical tropopause layer defined
 389 by static stability N^2 . Seasonal variations in KW temperatures are colocated with the position of the tropopause, descending
 390 down from its highest position during DJF to its lowest position during JJA. Temperature amplitudes are observed to decline
 391 roughly above $N^2 = 5 - 6 \times 10^{-4} \text{ s}^{-2}$. Within this zonal-mean seasonal picture, zonal asymmetries in N^2 exist and are found:
 392 (i) near the Date Line with values of $8 \times 10^{-4} \text{ s}^{-2}$ at 80 hPa during DJF and $7 \times 10^{-4} \text{ s}^{-2}$ at 90 hPa during JJA and (ii) lower
 393 at 100 hPa over the Indian Ocean during JJA. Particularly during JJA, the deformation of the zonal-mean static stability field
 394 collocates strongly with the position of a strong KW temperature anomaly over Indian Ocean. A rough estimation is made on
 395 the contribution of the KW anomaly to the zonal deformation of the tropopause layer by removing zonal-mean parts of both
 396 fields. First, static stability zonal anomalies, $\overline{N'^2}^s$, are derived by subtracting zonal-mean values of N^2 from the full N^2 field
 397 per timestep and at every pressure level, followed by seasonal averaging. Next, we can estimate the static stability change
 398 associated with the KW anomaly, using the relation: $N_{kw}^2 = \frac{g}{\theta} \frac{\partial \theta_{kw}}{\partial z}$, followed by seasonal averaging as well, i.e. $\overline{N_{kw}^2}^s$.

399 As a result, Fig. 10c and 10d show how both static stability anomalies are overlapping. During DJF, the structure of the
 400 zonal anomaly $\overline{N'^2}^s$ has a positively-valued tilt eastward which stretches up to 80 hPa, while during JJA a strong static stability
 401 anomaly is found more localized over Indian ocean region with values in the TTL up to $\overline{N'^2}^{JJA} = \pm 0.8 \times 10^{-4} \text{ s}^{-2}$. The
 402 anomaly associated with the KW temperature anomaly is found to peak up to $+0.6 \times 10^{-4} \text{ s}^{-2}$ during JJA and up to $+0.4 \times 10^{-4}$
 403 s^{-2} during DJF. Finally, by dividing both fields with each other, the resulting contribution of the quasi-stationary Kelvin wave
 404 to the observed deformation of the tropical tropopause layer is estimated up to 60% during JJA and 80% during DJF.

405 4.3 Intraseasonal Kelvin wave variability

406 The seasonality of intraseasonal Kelvin wave variability is shown in Fig. 11 and shall be briefly discussed here. The DJF stands
407 out as the most active season for KW activity, located mainly in the Eastern hemisphere centred at 100°E and with maximum
408 activity at 110 hPa for zonal wind and temperature with a second maximum in temperature at 90 hPa. Values observed are up
409 to 0.8 K for KW temperature and 5 ms^{-1} for KW zonal wind. During MAM season, the KW activity fields are weaker but
410 spread over a larger area in the Eastern hemisphere and in the TTL with maximum activity centered at 120 hPa (90 hPa) for
411 the zonal wind (temperature) component. Both JJA and SON seasons have KW activity positioned at lower altitudes and more
412 westward. In both seasons, KW zonal wind activity is split up between two structures with an eastward tilt with height; one
413 with a maximum around 110°E and one pattern starting from 100 hPa and extending towards 60°E . Note also the increase
414 in KW activity in the Western hemisphere below 150 hPa in the East Pacific. The maximum KW activity in the temperature
415 component for both seasons is positioned near 100 hPa approximately on the tropical tropopause contour with value 5×10^{-4}
416 s^{-2} .

417 The eastward tilted structure is observed throughout all seasons except MAM when background easterly winds are nearly
418 absent in the Eastern hemisphere. In all other seasons one can observe how the tilted structure is locked to the background
419 easterlies with maximum amplitudes located slightly above and west of it. Such eastward tilt with height has been frequently
420 observed, for example over radiosonde station Medan at 100°E during the early stage of MJO development (Kiladis et al.,
421 2005).

422 4.4 Intramonthly Kelvin waves

423 The seasonal variability of intramonthly Kelvin waves, represented by their absolute amplitudes $\overline{|u'_{kw,h}|^s}$ and $\overline{|T'_{kw,h}|^s}$, shall
424 be examined in relation to the background conditions. Figure 12 illustrates favorable regions for KW activity. In general, KW
425 activity increases upward from around 120 hPa towards its zonal-mean peak value at 76 hPa. The largest values are observed
426 in the Eastern hemisphere in region from 30°E till 150°E . The temperature component in particular has a constant maximum
427 peak (up to 0.8 K) located around 76 hPa throughout the year, where also the largest increase in N^2 occurs as shown in Fig. 3.
428 Above 70 hPa, KW activity continuously decreases in the stratosphere.

429 The longitudinal structure of the KW zonal wind shows two distinct peaks in the TTL, one consistently located at 76 hPa and
430 another around 100-110 hPa in the Eastern hemisphere which is mainly present during solstice seasons. The first maximum co-
431 incides with the temperature distribution which can be explained by their balance relationships and free horizontal propagation
432 in the stratosphere. Below the tropopause, KW activity is coupled to convective processes alternating the tropospheric vertical
433 wave structures as discussed by Flannaghan and Fueglistaler (2012).

434 The secondary maximum around 110 hPa in Fig. 12 is present mainly during solstice seasons in the Eastern hemisphere and
435 it is associated with the seasonal movement of the background wind. The maximum of KW wind and the background wind
436 maximum move eastward from DJF to JJA season similar to the low-frequency variability. A day-by-day comparison of the
437 KW activity and background wind confirms that propagating KWs amplify while approaching a region of strong easterlies,

438 forming a folding structure around it while the individual KWs dissipate towards the center of easterly winds. One can notice
439 in Fig. 12 a fast reduction of KW amplitudes eastward of its maximum towards the center of the background easterlies. It is
440 likely related to dissipation and wave breaking processes as observed over Indonesia (120°E) by Fujiwara et al. (2003). Within
441 such regions, the KW-background wind interaction becomes complex and the linearity assumption breaks (Ryu et al., 2008;
442 Flannaghan and Fueglistaler, 2013).

443 A comparison with the previous study by Suzuki and Shiotani (2008) using ERA-40 data shows that the L91 data contain
444 stronger KW activity in the vicinity of the background easterlies in the Eastern hemisphere, and more fine-scale details which
445 can be explained by better analyses based on more observations and improved models including increased resolution. For
446 example, Suzuki and Shiotani (2008) used 5 levels of ERA-40 data between 50 and 200 hPa whereas the present study considers
447 25 model levels between 50 – 200 hPa. Maxima of the KW temperature signal appear in similar locations and strength except
448 for a small offset in vertical position (70 hPa in Suzuki and Shiotani (2008) versus 80 hPa in Fig. 12) and a larger zonal
449 asymmetry in our results.

450 Another view of the seasonal cycle of free propagating KWs is illustrated in Fig. 13 which focuses on the spatiotemporal
451 distribution of individual KW tracks. Hovmoller diagrams are illustrated of KW zonal wind and temperature at levels 110 and
452 200 hPa cumulated from different years into a single calendar year along with the background zonal wind. In addition, the
453 monthly-mean values of daily maximum KW amplitudes occurring in longitude are added on the rightside of each diagram. It
454 represents seasonality in the KW maximum amplitudes in a similar fashion to Fig. 6 in Alexander and Ortland (2010) which is
455 based on HIRDLS satellite data.

456 The individual wave tracks at 110 hPa illustrate KWs with amplitudes exceeding 3 ms^{-1} and 0.6 K which are propagating
457 throughout the year in the Eastern hemisphere, during June–October months only over the Pacific, and all except DJF months in
458 most of the Western Hemisphere. Typical wave tracks start east of the 0° (30°W) meridian during winter (summer) and largely
459 disappear west of 120°E . The largest wave amplitudes are observed between 50°E and 100°E prior to regions of easterly winds
460 in agreement with Fig. 12. Here presented details show that most notable waves appear during the Asian monsoon period with
461 upper-level easterlies prevailing from June into September. The largest KW amplitudes appear confined to the June and July
462 months followed by a rapid drop in August. In fact, a local minimum in the number of KWs as well as in wave amplitudes
463 occurs in August before the KW activity increases slightly during autumn.

464 At 200 hPa, the favorable area for KW propagation shifts to the Western Hemisphere and large KW activity is observed west
465 of the South American continent throughout the year (west of 80°W) with a westward extension over the Pacific during JJA.
466 Another set of wave tracks starts over equatorial South America around 30°W and continues till 60°E during JJA. During DJF
467 these wave tracks shift more east and start at 5°W and continue till 90°E . The seasonal shifts of approximately 30° in KW
468 tracks collocate with similar shifts in the prevailing TTL winds.

469 The amplitude of KWs undergoes a clear annual cycle with a small secondary peak present during DJF, as represented by the
470 monthly-means of daily maximum amplitudes on the rightside of Fig. 13. The largest amplitudes are found at 110 hPa during
471 JJA with monthly-mean zonal wind (temperature) values up to 8.5 ms^{-1} (1.8 K) in June. During the DJF months Kelvin
472 waves amplify more eastward with monthly-mean zonal wind (temperature) values up to 7.8 ms^{-1} (1.6 K) in December.

473 Our result matches well with the observed seasonal pattern in maximum KW temperatures at 16km (~ 100 hPa) from the
474 HIRDLS satellite observations (Alexander and Ortland, 2010, Fig. 6). At 200 hPa, KW amplitudes are on average lower with
475 a yearly-averaged amplitude reduction around 55% in temperature and 35% in zonal wind.

476 The semiannual cycle in maximum amplitudes remains visible up till 70 hPa. Above 70 hPa, where the KW activity remains
477 large in Eastern hemisphere (Fig. 12), the semiannual cycle is replaced by an interannual cycle in line with the dominant impact
478 of the QBO.

479 5 Discussion and Conclusions

480 We have applied the multivariate decomposition of the ECMWF operational analyses during the period 2007-2013 when
481 the operational data assimilation and forecasting were performed on 91 model levels. The applied normal-mode function
482 decomposition provides simultaneously the wind components, geopotential height and temperature perturbations of Kelvin
483 waves for many scale without any prior data filtering. The three-dimensional Kelvin wave structure in the upper troposphere
484 and lower stratosphere is composed of Kelvin wave solutions of 60 linearized shallow-water equation systems on the sphere
485 with equivalent depths from 10 km up to about 3 meters. As the KW meridional wind component is very small it is not discussed
486 here. We showed that large-scale KWs readily persist in the data despite analyzing selected processing times independently.

487 The KW is a normal mode of the global atmosphere and our 3D-orthogonal decomposition allows quantification of its
488 contribution to the global energy spectrum and variability. We have presented the total (kinetic+potential) energy of KWs in
489 the L91 data as a function of the zonal wavenumber in different seasons. The zonal wavenumber $k = 1$ contains the largest
490 portion of KW energy in all seasons. There is almost one third more energy in JJA than in MAM in $k = 1$. In $k = 2$ there is
491 50% less energy than in $k = 1$ but JJA still contains most energy. In all larger zonal wavenumbers, the most energetic season is
492 DJF.

493 We focused on the spatiotemporal features of the KW temperature and zonal wind components in the four seasons. The
494 Kelvin wave seasonal cycle in the tropical tropopause layer (TTL) was compared with seasonal variability of the Outgoing
495 Longwave Radiation (OLR), and the background wind and stability fields, which are believed to play an important role for
496 the KW variability. Our results of the seasonal KW variability complement previous studies which applied different methods
497 for the KW filtering and different datasets. The frequency spectrum has revealed a semiannual cycle as well as intraseasonal
498 and intramonthly variability. Three ranges of wave periods were analyzed: 3-20 days, 20-90 days and longer than 90 days.
499 This choice was partly deliberate in order to compare our results with several previous studies of KW variability. First we
500 demonstrated that the low-frequency KW dipole pattern in the TTL, with westerly winds in the Western hemisphere and
501 with easterly winds in the Eastern hemisphere, partly resembles a seasonal-averaged Gill-type "wave-1" pattern and contains
502 partly low-frequency modulation of vertically-propagating KWs. The quadrature-shaped temperature component represents a
503 thermally adjusted pattern with respect to the zonal wind component, and contributes to seasonal warming above 100 hPa in the
504 Western and cooling in the Eastern hemisphere. The largest KW amplitudes are observed during JJA and DJF seasons. From
505 boreal summer towards winter, KW perturbations moves eastward (from Indian Ocean basin towards Maritime Continent) and

506 upward (e.g. zonal wind component moves up from 150 hPa towards 120 hPa). The KW zonal wind amplitude varies between
507 12 m/s strong easterlies over Indian ocean near 150 hPa in JJA to 6 m/s over Western Pacific. Over Indian Ocean in JJA, the
508 KW easterlies thus make almost half of the total wind vector. The associated KW temperature perturbations are from 1.5 K
509 over Indian ocean in JJA to -0.5 K over West Pacific. The zonal modulation of Kelvin waves is found to be locked with respect
510 to the seasonal movement of convection and the convective outflow in the TTL. The modulation effect is strongest for the
511 low-frequency Kelvin waves during the summer monsoon season, when strong easterly winds are present at 150 hPa, resulting
512 in the largest KW zonal wind and temperature anomalies, of which the latter results in deformation of the tropical tropopause
513 over Indian Ocean.

514 Intraseasonal (periods 20-90 days) activity is strongest in DJF with maxima up to 0.8 K for KW temperature and up to 5 m/s
515 for KW zonal wind centred at 120°E. Both temperature and zonal wind activities have eastward tilt with height. In comparison
516 to previous study by Suzuki and Shiotani (2008) using ERA-40 data, the slanted structure in the present data continues to
517 extend more upward and eastward which is likely due to the increased number of vertical model levels compared to ERA-40.
518 The importance of vertical model resolution for the KW structure and amplitude was demonstrated in Žagar et al. (2012) and
519 Podglajen et al. (2014).

520 For periods 3 – 20 days, the seasonal cycle of KWs is clearly seen in the wave amplitude. In the zonal-mean perspective, the
521 largest amplitudes are located between 70 and 100 hPa for both zonal wind and temperature but it is modulated by the seasonal
522 movement of the TTL. A major zonal asymmetry was found in KW activity: around 110 hPa the Kelvin wave undergoes
523 amplification mainly in the Eastern hemisphere during the solstice seasons, while at 200 hPa a secondary region of the KW
524 amplification occurs in the Western hemisphere during boreal summer. The intermonthly KWs show largest amplitudes in the
525 vicinity of the strongest easterlies preferably west and above the centre of easterlies. The applied novel methodology makes it
526 possible to observe such dynamics on daily basis whenever easterlies are strong in the TTL. Nearly real-time representation of
527 the KW activity is available on <http://modes.fmf.uni-lj.si>.

528 In summary, our seasonal variability analysis shows that the background wind in the TTL linked with convective outflows,
529 play a dominant role in the longitudinal position where the zonal modulation of Kelvin waves is preferred, while the tropical
530 tropopause and its seasonal vertical movement determine the vertical extent of the KW modulation processes.

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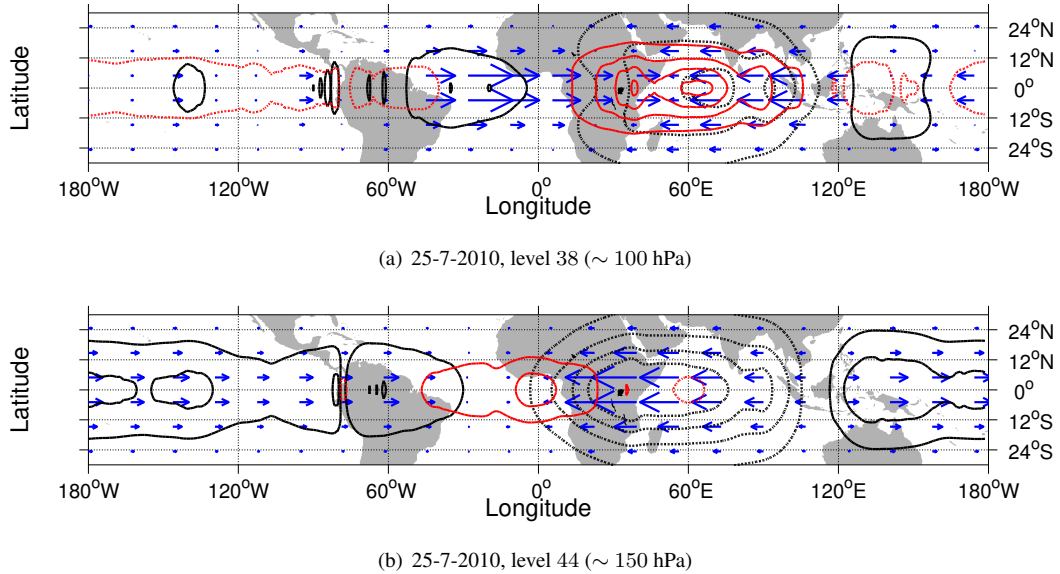


Figure 1. The horizontal structure of Kelvin waves in the ECMWF analysis data on 25 July 2010 at (a) 100 hPa and (b) 150 hPa. The geopotential height perturbations (h_{kw}) are shown by black contours, every 20 m, whereas temperature perturbations (T_{kw}) are in coloured red, every 1 K). Dashed contours represent negative and full line positive perturbations. Zero lines are omitted.

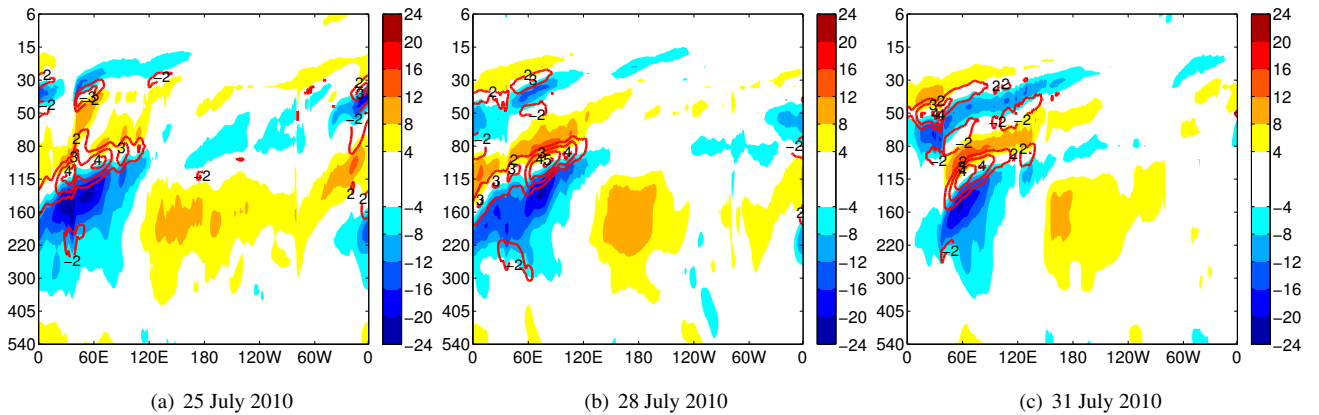


Figure 2. Longitude-pressure cross-section of the Kelvin wave zonal wind (red-blue shaded contours) and temperature (red contours) perturbations along 0.7°N on (a) 25 July, (b) 28 July and (c) 31 July 2010. Temperature is shown every 1 K, starting at 2 K. Zonal winds are drawn every 4 ms^{-1} . Zero lines are omitted.

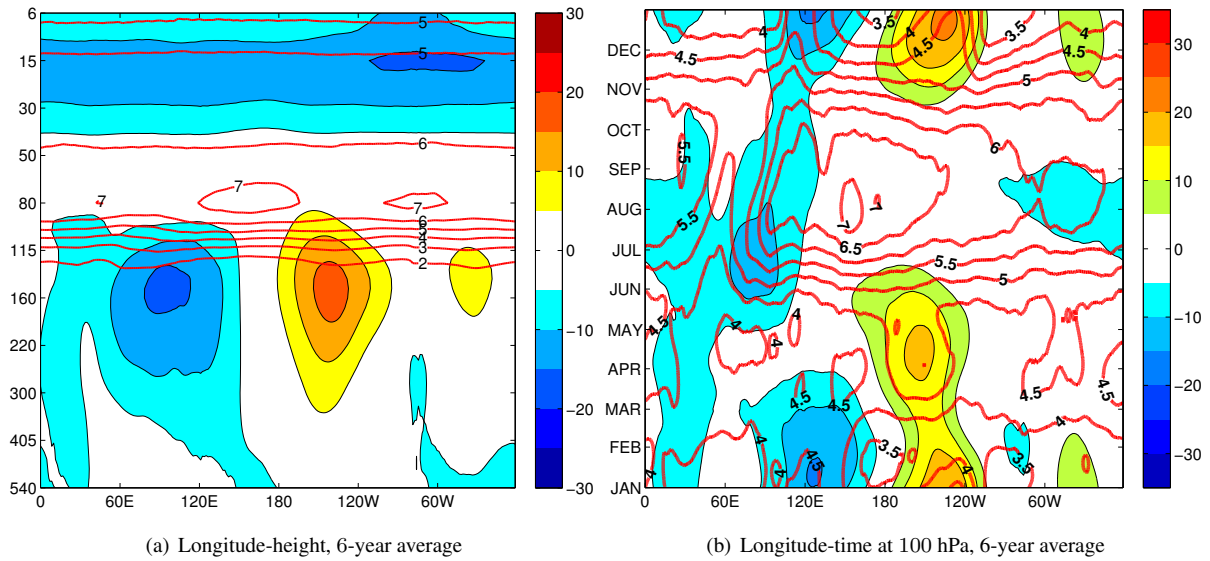


Figure 3. Six-year average of the zonal wind and static stability fields of the ECMWF operational analyses. Both fields are latitudinally averaged over 5°S - 5°N , and have been low-pass filtered a priori with a cut-off period of 90 days to highlight seasonal variability. (a) Longitude-height section and (b) Longitude-time section at 100 hPa. Zonal winds are coloured by blue-to-red contours, each 5 ms^{-1} whereas static stability is shown in red contours, each (a) $1 \times 10^{-4} \text{ s}^{-2}$ and (b) $0.5 \times 10^{-4} \text{ s}^{-2}$. Zero lines are omitted.

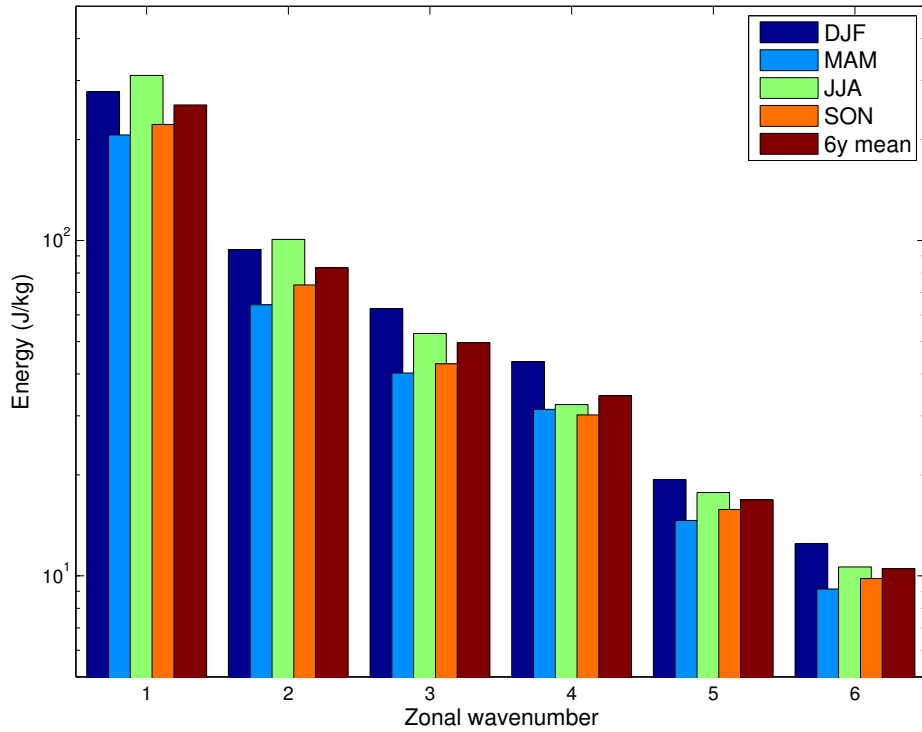
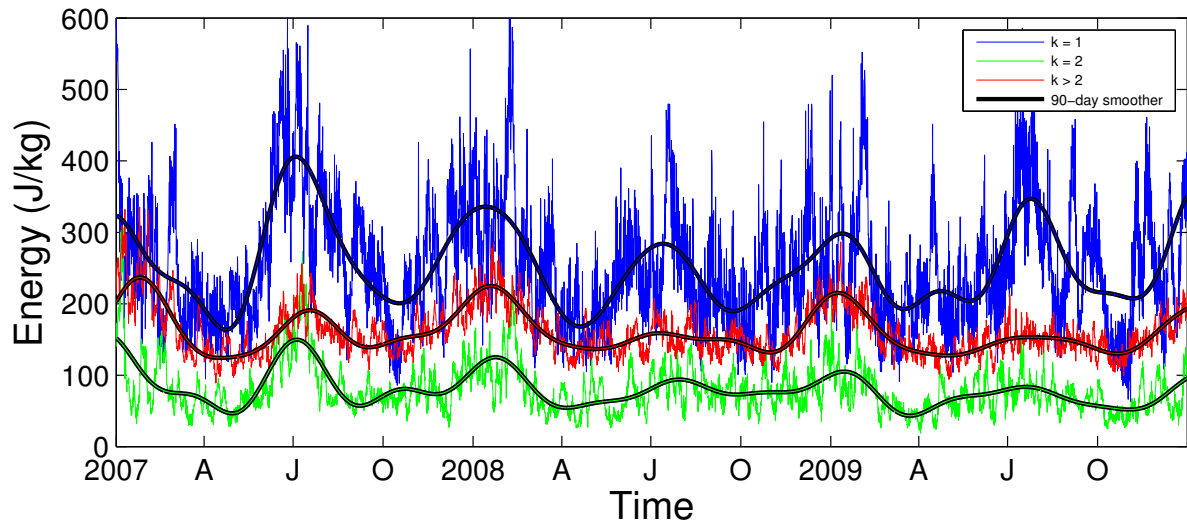
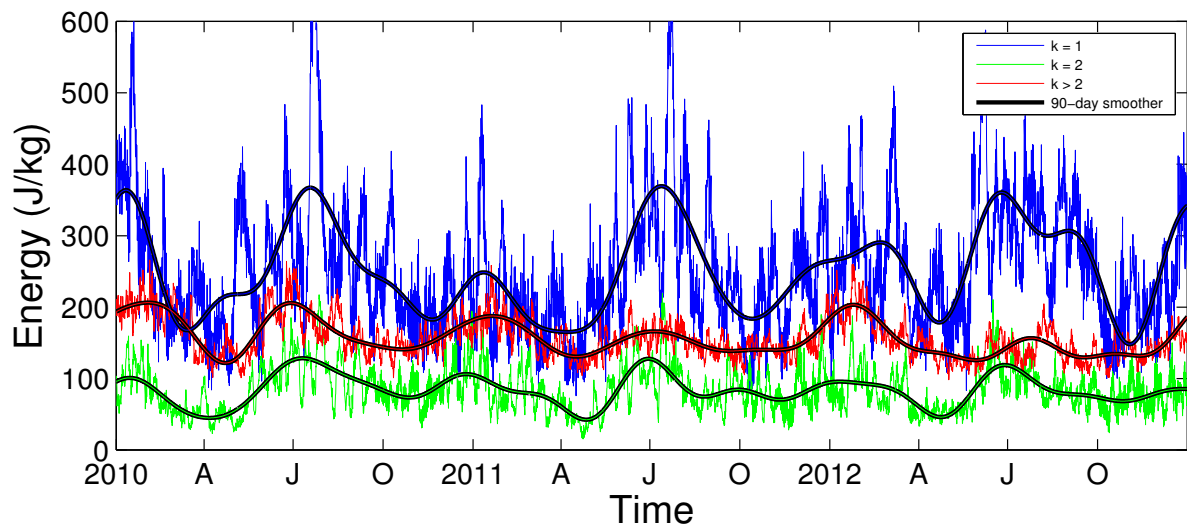


Figure 4. Kelvin wave energy (in Jkg^{-1}) as function of the zonal wavenumber k for $k = 1 - 6$. For each k , seasonal averages are shown along with the total average as described by the legend. Energy is vertically integrated over 60 vertical modes. Further details are in the text.



(a) 2007 – 2009



(b) 2010 – 2012

Figure 5. Timeseries of the global total KW energy for various zonal wavenumbers over the following periods: (a) 2007 – 2009 and (b) 2010 – 2012. Labels on the x-axis 'A', 'J' and 'O' refer to the first days of April, July and October, respectively. Presented are the zonal wavenumbers $k = 1$ (blue line), $k = 2$ (green line) and all smaller zonal scales, $k > 2$ (red line). A 90-day low-pass filter has been applied (black lines) for each time series in order to filter out high-frequency variability and to highlight seasonal variability.

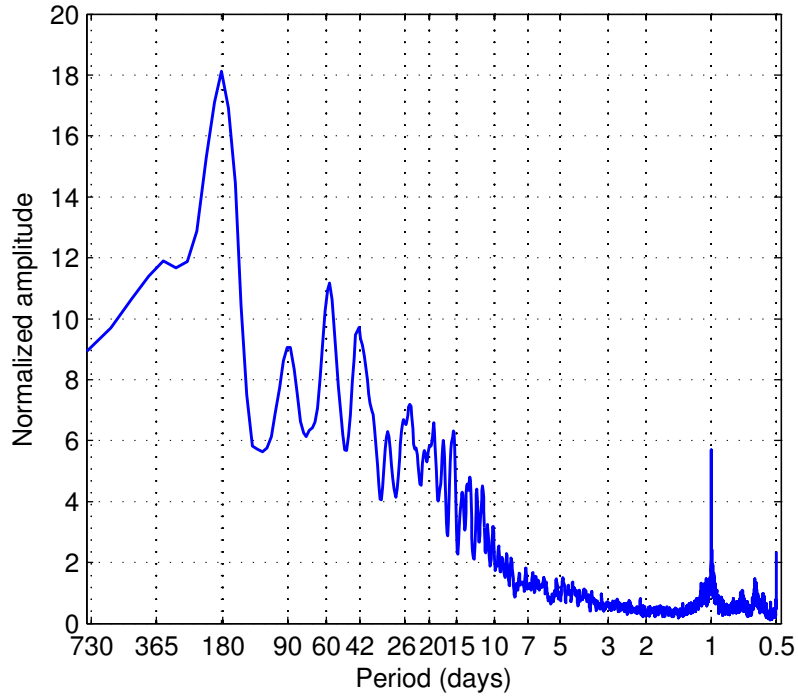


Figure 6. Kelvin wave frequency spectrum for the zonal wavenumber $k = 1$. The 1-2-1 filter with a Daniell kernel has been used to smooth the initial raw power spectra.

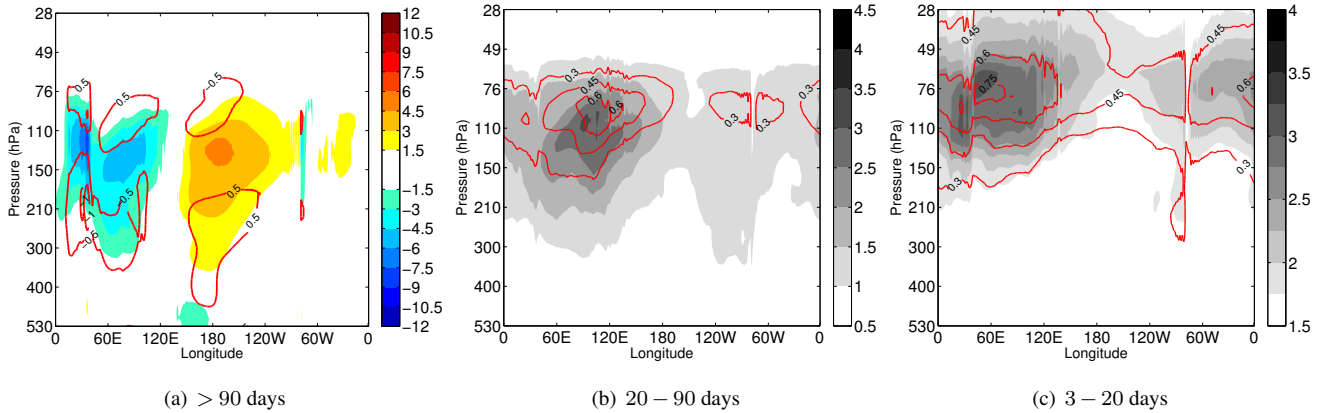


Figure 7. Longitude-pressure sections along 0.7°N of the KW zonal wind and temperature averaged over the 6-year period for: (a) low-frequency, (b) intraseasonal, and (c) intramonthly periods. The contouring is as follows: (a) zonal wind is coloured each 1.5 ms^{-1} and temperature is shown by red contours each 0.5 K , with zero lines omitted, (b) absolute amplitudes of the zonal wind and temperature are shown in grey shades each 0.5 ms^{-1} and red contours, each 0.15 K , respectively, and (c) absolute amplitudes of the zonal wind are in grey shades each 0.25 ms^{-1} and of temperature in red contours each 0.15 K .

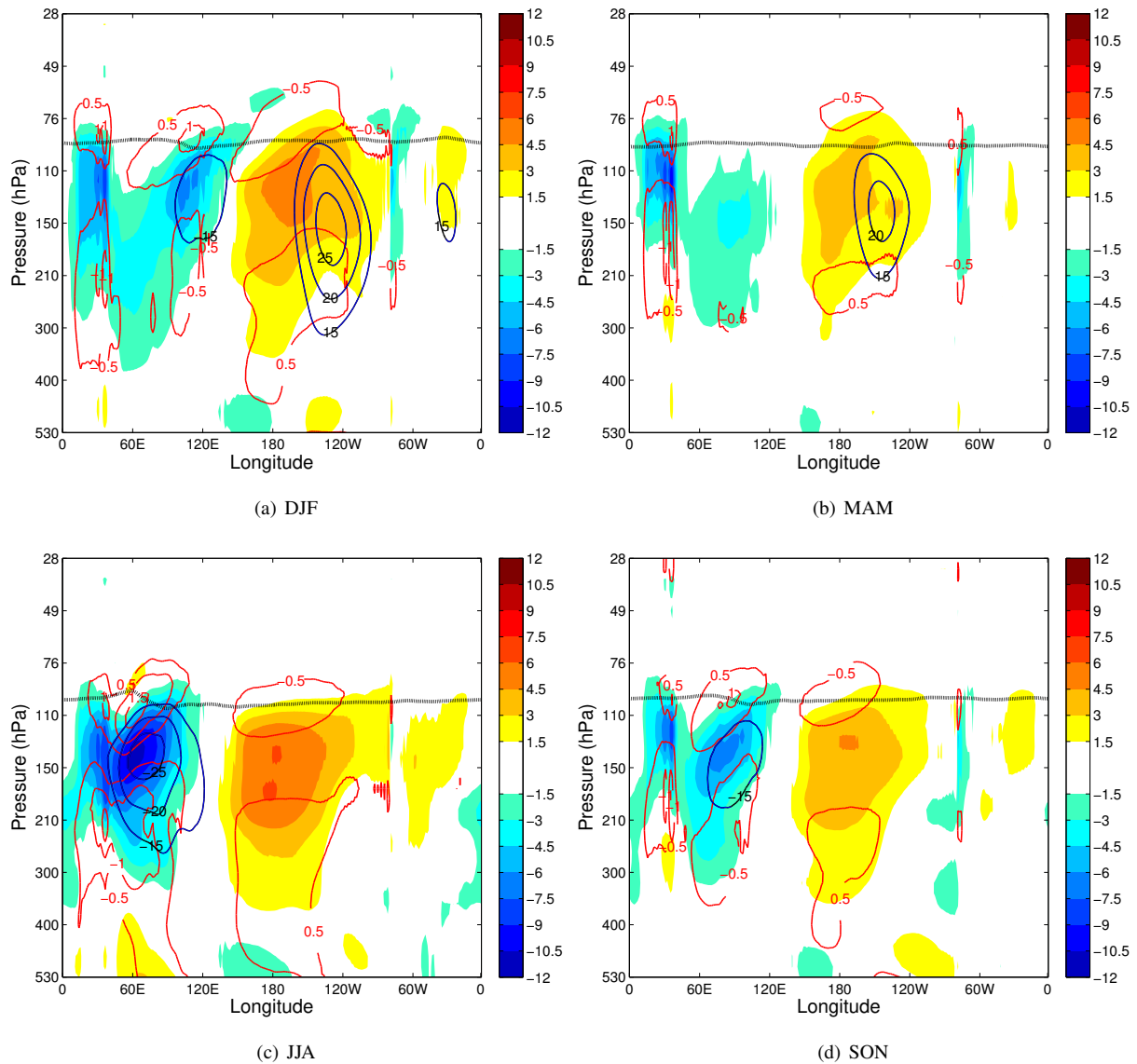


Figure 8. Seasonally averaged longitude-pressure sections of the Kelvin wave zonal wind (blue-to-red colour-filled contours) and temperature (red contours) along 0.7°N . (a) DJF, (b) MAM, (c) JJA and (d) SON. Contouring of the KW signal is the same as in Fig. 7(a). A single static stability contour with value $5 \times 10^{-4} \text{ s}^{-2}$ is shown as a thick dotted black line to represent the seasonal movement of the tropical tropopause height. The average background zonal wind is shown by blue contours (each 5 ms^{-1} , starting from 15 ms^{-1}). The background zonal wind and stability fields are latitudinally-averaged over 5°S - 5°N . All fields are smoothed using a low-pass filter with the cut-off period of 90 days.

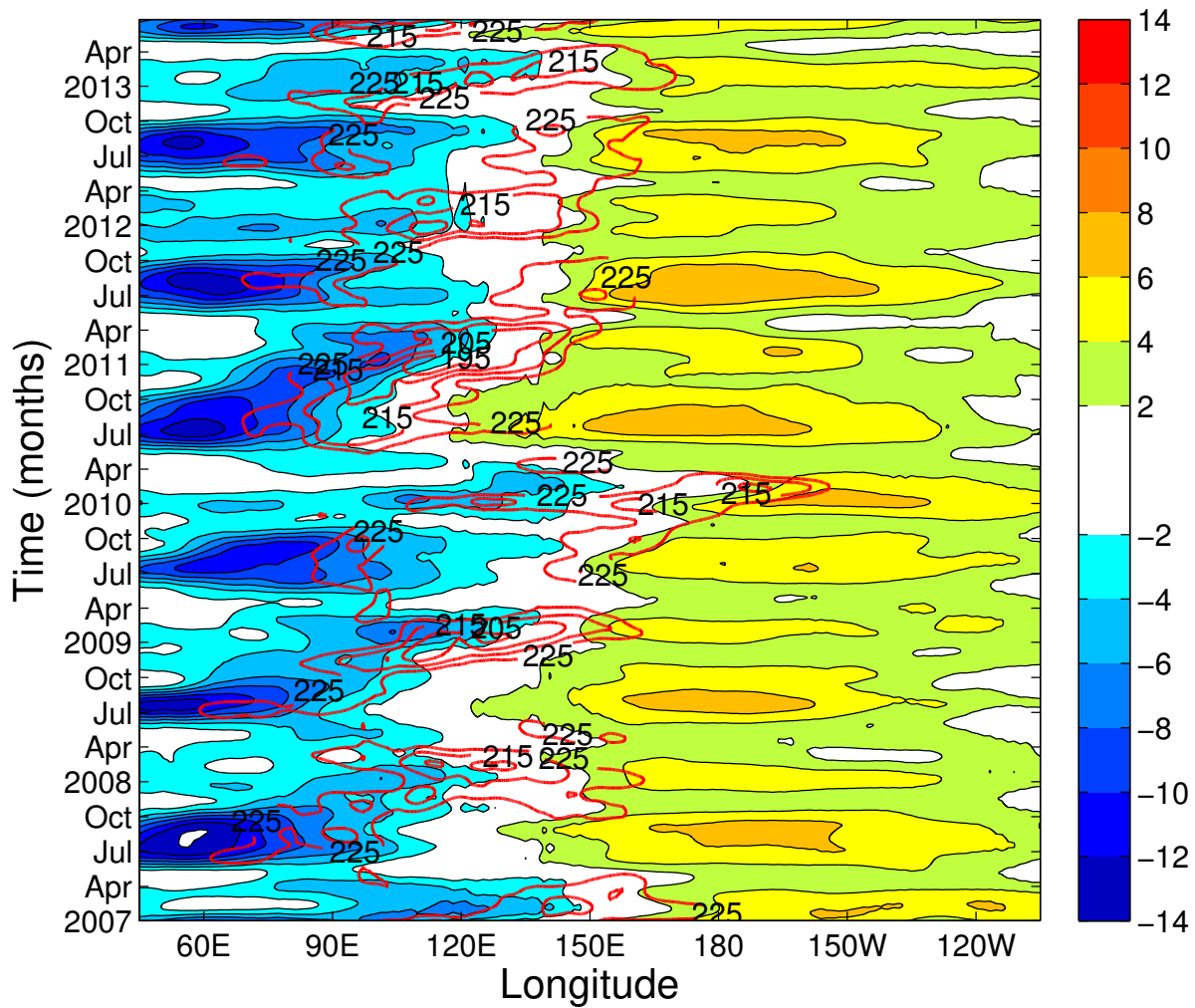


Figure 9. Longitude-time section at model level 45 (~ 153 hPa) of the Kelvin wave zonal wind along 0.7°N (blue to red shaded contours every 2 ms^{-1} with zero line omitted) and the Outgoing Longwave Radiation averaged over the latitude belt 15°S - 15°N (red contours each 10 Wm^{-2} starting at 225 Wm^{-2}). Both fields have been filtered a priori using a low-pass filter with the cut-off period of 90 days.

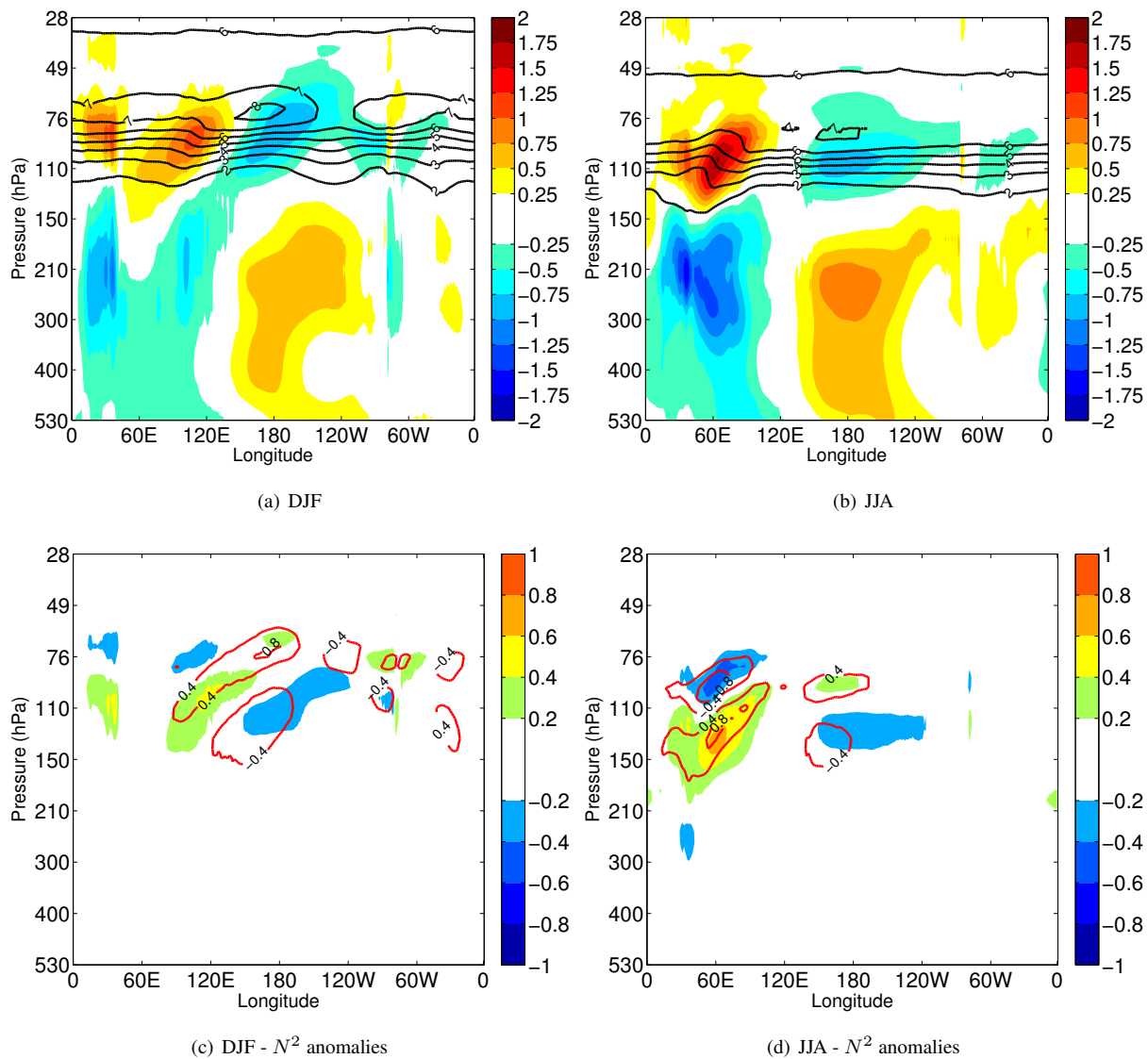


Figure 10. Seasonally averaged longitude-pressure sections for (a and c) DJF and (b and d) JJA. (a-b) KW temperature, $\overline{T_{kw}^s}$, (blue-to-red shades every 0.25 K) and static stability field, $\overline{N^2}^s$ (black contours, each $1 \times 10^{-4} \text{ s}^{-2}$, starting at $2 \times 10^{-4} \text{ s}^{-2}$). (c-d) KW static stability anomaly, $\overline{N_{kw}^2}^s$ (blue-to-red, each $0.2 \times 10^{-4} \text{ s}^{-2}$), and static stability anomaly with respect to the zonal mean, $\overline{N'^2}^s$ (red contours, each $0.4 \times 10^{-4} \text{ s}^{-2}$).

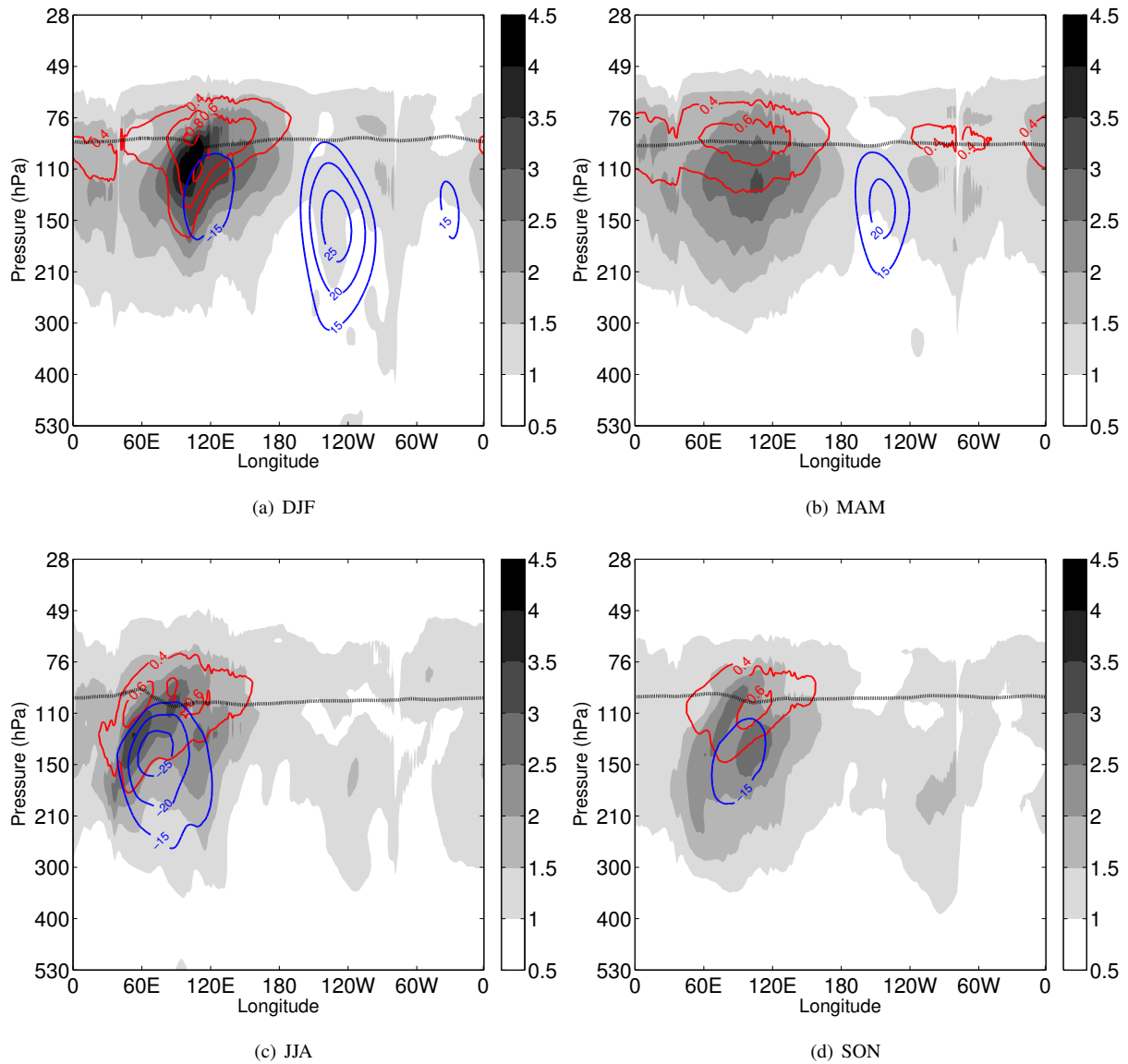


Figure 11. Seasonally averaged longitude-pressure sections along 0.7°N of the intraseasonal Kelvin wave zonal wind (white-to-black shades, each 0.5 ms^{-1}) and temperature (red contours, each 0.2 K). (a) DJF, (b) MAM, (c) JJA and (d) SON. The averaging is performed for the absolute values of both zonal wind and temperature perturbations. The background zonal wind (shown by blue contours) and the tropical tropopause height (single thick dotted contour) are defined as in Fig. 8.

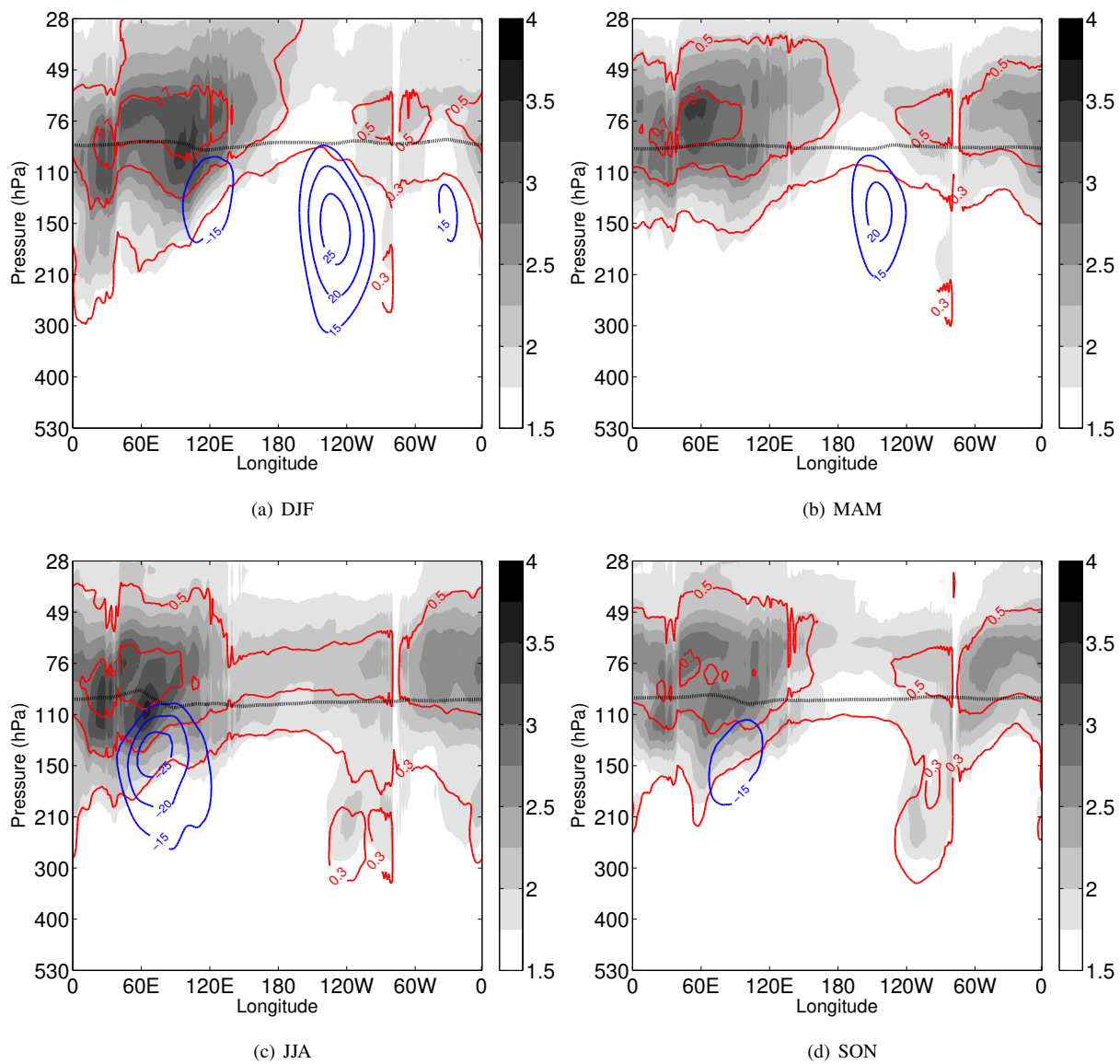


Figure 12. As in Fig. 11 but for the intramonthly Kelvin waves. The zonal wind (white-to-black shades) is drawn every 0.25 ms^{-1} .

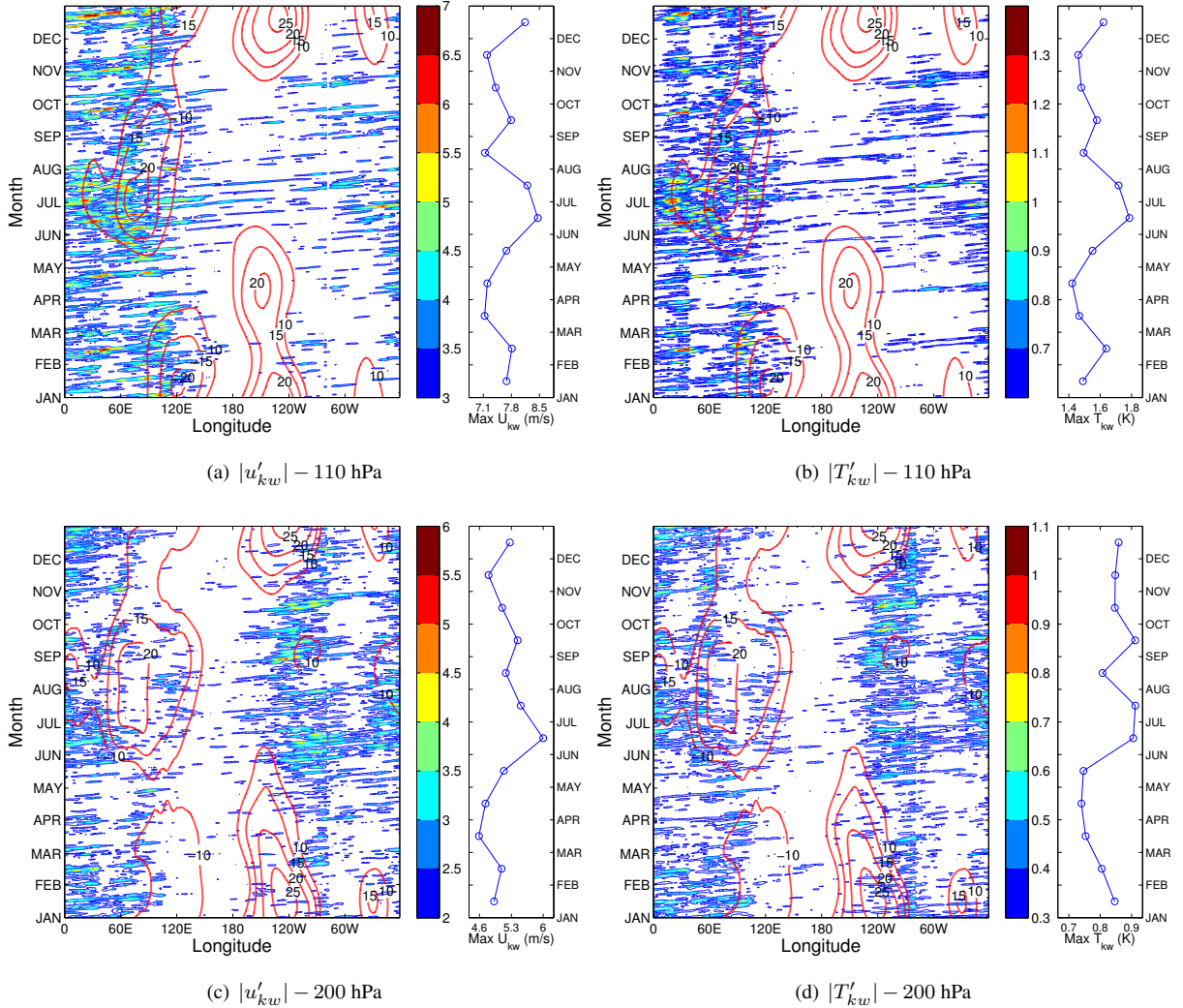


Figure 13. Intramonthly Kelvin wave zonal wind and temperature composites as a function of longitude and month in a calendar year at (a-b) model level 40 (~ 110 hPa) and (c-d) model level 49 (~ 200 hPa) along 0.7°N . The waves are accumulated from different years onto a single calendar year to highlight seasonal behaviour. Only the most energetic signals are shown: (a and c) zonal wind, $|u'_{kw}|$, each 0.5 ms^{-1} , and (b and d) temperature $|T'_{kw}|$, each 0.1 K . For comparison, the background zonal wind field is presented by red contours, each 5 ms^{-1} . On the right side of each panel, blue lines with circles denote maximal amplitude of the KW zonal wind occurring anywhere along the equator averaged over the 6-year period for each calendar month. This highlights seasonality in the maximum amplification of propagating KWs.