A study of the dynamical characteristics of inertia–gravity waves in the Antarctic mesosphere combining the PANSY radar and a non-hydrostatic general circulation model

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Abstract

This study aims to examine the dynamical characteristics of gravity waves with relatively low frequency in the Antarctic mesosphere via the first long-term simulation using a high-top high-resolution non-hydrostatic general circulation model (NICAM). Successive runs lasting 7 days are performed using initial conditions from the MERRA reanalysis data with an overlap of 2 days between consecutive runs in the period from April to August in 2016. The data for the analyses were compiled from the last 5 days of each run. The simulated wind fields were closely compared to the MERRA reanalysis data and to the observational data collected by a complete PANSY (Program of the Antarctic Syowa MST/IS Radar) radar system installed at Syowa Station (39.6° E 69.0° S). It is shown that the NICAM mesospheric wind fields are realistic, even though the amplitudes of the wind disturbances appear to be larger than those from the radar observations.

The power spectrum of the meridional wind fluctuations at a height of 70 km has an isolated and broad peak at frequencies slightly lower than the inertial frequency, f, for latitudes from 30° S to 75° S, while another isolated peak is observed at frequencies of approximately $2\pi/8$ h at latitudes from 78° S to 90° S. The spectrum of the vertical fluxes of the zonal momentum also has an isolated peak at frequencies slightly lower than f at latitudes from 30° S to 75° S at a height of 70 km. It is shown that these isolated peaks are primarily composed of gravity waves with horizontal wavelengths of more than 1000 km. The latitude–height structure of the momentum fluxes indicates that the isolated peaks at frequencies slightly lower than f originate from two branches of gravity wave propagation paths. It is thought that one branch originates from 75° S due to topographic

gravity waves generated over the Antarctic Peninsula and its coast, while more than 80%

of the other branch originates from 45° S and includes contributions by non-orographic

gravity waves. The existence of isolated peaks in the high-latitude region in the

mesosphere is likely explained by the poleward propagation of quasi-inertia–gravity

waves and by the accumulation of wave energies near the inertial frequency at each

latitude. [355 words]

1. Introduction

Waves propagating in the stably stratified atmosphere with buoyancy as a restoring

force are traditionally called gravity waves. Gravity waves transport momentum upward

from the troposphere to the middle atmosphere and are recognized as a major driving

force for large-scale meridional circulation in the middle-atmosphere (e.g., Fritts and

Alexander 2003). Because the horizontal wavelengths of significant parts of gravity

waves are shorter than several hundreds of kilometers, many climate models use

parameterization methods to calculate momentum deposition via unresolved gravity

waves (e.g., McFarlane 1987; Scinocca 2002; Richter et al., 2010). Currently, many

gravity wave parameterizations are based on very simple assumptions related to essential

wave dynamics, such as source spectra and propagation properties. Even though

physically-based gravity wave parameterizations have recently been developed (e.g.,

Beres et al., 2004; Song and Chun 2005, Camara et al., 2014, Charron and Manzini, 2002;

Richter et al., 2010), tuning parameters, which are ill-defined in general circulation

models, such as the moving speeds of sub-grid convective cells related to the phase speeds

3

1   of launched gravity waves (Beres et al., 2004; Choi et al., 2011) or the occurrence rate for

2   wave launching for the frontogenesis function (Richter et al., 2010), still exist.

3   Geller et al. (2013) showed that parameterized gravity waves in climate models are

4   not realistic in several aspects, particularly at high latitude, compared to high-resolution

5   observations and high-resolution general circulation models. Such improper

6   specifications of gravity wave momentum deposition by parameterizations are thought to

7   lead to several serious problems, such as the so-called cold-pole bias problem (Eyring et

8   al., 2010; McLandress et al., 2012; Garcia et al., 2017). However, many previous studies

9   have suggested that the Antarctic region has multiple types of gravity wave sources, such

10   as the mountains of the southern Andes and the Antarctic Peninsula (e.g., Eckermann and

11   Preusse, 1999; Alexander and Teitelbaum, 2007; Sato et al., 2012), the small islands

12   around the Southern Ocean (Wu et al., 2006; Alexander et al., 2010; Hoffmann et al.,

13   2013), the leeward propagation of gravity waves from lower and high latitudes (Sato et

14   al., 2009, 2012; Hindley et al., 2015), the upper tropospheric jet stream (Shibuya et al.,

15   2015; Jewtoukoff et al., 2015), and the strong polar night jet (Yoshiki et al., 2000; Sato

16   and Yoshiki, 2008; Sato et al., 2012). Therefore, these processes may frequently overlap

17   in time and space, suggesting that process-based analyses based on observational data are

18   unavoidable. In response to such recognitions of the importance of gravity waves in the

19   Antarctic, several observational campaigns in the lower stratosphere have been conducted

20   (e.g., VORCORE, Hertzog et al., 2008; CONCORDIASI, Rabier et al., 2010;

21   DEEPWAVE, Fritts et al., 2016).

22   Due to the harsh environment in the Antarctic, it is still challenging to perform the

23   observation of the mesosphere. Previous studies have used several observational

24   instruments at limited ground-based observation sites, such as medium frequency (MF)

1   radar (e.g., Dowdy et al., 2007), meteor radar (Tsutsumi et al., 1994; Forbes et al., 1995),

2   metal fluorescence LIDAR (e.g., Gardner et al., 1993; Arnold and She, 2003; Chen et al.,

3   2016), and airglow imagers (e.g., Garcia et al., 2000; Matsuda et al., 2014). Using these

4   instruments, these studies have primarily focused on the temporal/spatial structures of

5   migrating/non-migrating tides using observational data at one or a couple Antarctic

6   stations (e.g., Murphy et al., 2006, 2009; Hibbins et al., 2010) and the

7   generation/propagation mechanisms of tides using numerical models (e.g., Aso 2007;

8   Talaat and Mayr 2011). However, the dominant vertical wavenumbers of gravity waves

9   have rarely been examined due to the coarse vertical resolution of the MF radars.

10   Moreover, due to the limited number of Antarctic stations, it is still very difficult to

11   examine the spatial structures of gravity waves observed in the mesosphere. Therefore,

12   discussions concerning the dynamics of gravity waves in previous studies have been

13   based on results from frequency spectrum analyses of the horizontal winds (e.g., Kovalam

14   et al., 2003) or from variance analyses of gravity wave wind fluctuations and their

15   seasonal (e.g., Hibbins et al., 2007) and interannual (e.g., Yasui et al., 2016) variations.

16   Even though a few studies using ground-based observations attempted to estimate the

17   sources of the observed mesospheric gravity waves using heuristic ray tracing methods

18   (e.g., Nicolls et al., 2010; Chen et al., 2013), a statistical analysis is required to understand

19   the dynamical characteristics of mesospheric gravity waves.

20   Observational instruments on board satellites have also been used to detect the

21   spatial distributions of temperature (radiance) data in the mesosphere (MLS: Wu and

22   Waters, 1996; Jiang et al., 2005; CRISTA: Preusse et al., 2006; SABER: Preusse et al.,

23   2009; Yamashita et al., 2013). In addition, the momentum flux of mesospheric gravity

24   waves is estimated using the SABER temperature data (Ern et al., 2018). However, the

1   variances and momentum fluxes estimated from satellite data contain contributions from

2   a limited portion of the gravity wave spectrum due to the observational filtering effects

3   of each satellite instrument (e.g., Alexander et al., 2010).

4   To examine the dynamical characteristics of gravity waves, high-resolution general

5   circulation models that directly resolve a relatively wide range of the gravity wave

6   spectrum are powerful tools. At present, however, only four models have been used to

7   directly resolve mesospheric gravity waves with minimal resolved horizontal

8   wavelengths ($\lambda_{\min}$) of less than 400 km and with fine vertical resolutions (dz) of less than

9   600 m in the middle atmosphere. Becker (2009) used the Kühlungsborn Mechanistic

10   General Circulation Model (KMCM) to examine the sensitivity of the state of the upper

11   mesosphere to the strength of the Lorenz energy cycle in the troposphere. Zülicke and

12   Becker (2013) used KMCM to examine the dynamical responses of the mesosphere to a

13   stratospheric sudden warming (SSW) event. In addition, by combining KMCM

14   simulations and MF radar observations in the Northern Hemisphere, Hoffman et al.

15   (2010) explored the relationship between the activities of mesospheric gravity waves and

16   critical level filtering via background wind. Liu et al. (2014) used the mesosphere-

17   resolving version of the Whole Atmosphere Community Climate Model to create a

18   horizontal map of mesospheric perturbations such as concentric gravity waves, which are

19   likely excited by deep convection in the low to middle latitudes. The KANTO model

20   (Watanabe et al. 2008) is based on the atmospheric component of version 3.2 of the Model

21   for Interdisciplinary Research on Climate (MIROC, K-1 Model Developers, 2004;

22   Nozawa et al., 2007). Sato et al. (2009) used KANTO to discuss the dominant sources of

23   mesospheric gravity waves using characteristics of the 3D momentum flux distribution.

24   Tomikawa et al. (2012) examined the dynamical mechanism of an elevated stratopause

event associated with an SSW event that spontaneously occurred in the KANTO model. Last, the JAGUAR model is the KANTO model with the model top extended to $z_{top} \cong$ 150 km including nonlocal thermodynamic equilibrium (non-LTE) for infrared radiation processes. Using JAGUAR, Watanabe and Miyahara (2009) examined the dynamical relationship between migrating tides and gravity wave forcing at low latitudes. Note that all the current mesospheric gravity wave permitting models described above are hydrostatic general circulation models.

As mentioned above, a few studies have focused on the dynamical characteristics of gravity waves, such as their propagation and/or generation processes in the Antarctic mesosphere. However, no study has attempted to simulate mesospheric gravity waves whose reality is confirmed via high-resolution observations for a long time period. This is partially because there are few observational instruments with a sufficiently high resolution to validate mesospheric gravity waves simulated in models. Therefore, the dynamical characteristics of gravity waves observed in the Antarctic mesosphere have not been fully examined using both observations and numerical simulations.

This study uses two novel methods. One is the first Mesosphere–Stratosphere–Troposphere/Incoherent Scattering (MST/IS) radar in the Antarctic, which was recently installed at Syowa Station (39.6° E 69.0° S) by the "Program of the Antarctic SYowa MST/IS radar" project (the PANSY radar, Sato et al., 2014). The PANSY radar is capable of capturing the fine vertical structures of horizontal and vertical mesospheric wind disturbances when the mesosphere is ionized, primarily by solar radiation during the daytime. Such a high resolution is unique in the Antarctic. This means that the observational data from the PANSY radar can be used to validate the results of mesospheric gravity wave permitting models at fine vertical resolution. Furthermore, this

study uses the high-top version (Shibuya et al., 2017) of the Non-hydrostatic ICosahedral Atmospheric Model (NICAM; Satoh et al., 2014). This is a global cloud-resolving model with a non-hydrostatic dynamical core with icosahedral grids. Such a non-hydrostatic model is likely preferable for simulations of the high-intrinsic-frequency gravity waves contributing to a large portion of the momentum flux convergence in the upper-middle atmosphere (e.g., Reid and Vincent, 1987; Fritts and Vincent, 1987; Fritts 2000, Sato et al. 2017). Moreover, gravity waves generated by deep convection are expected to be correctly resolved in non-hydrostatic models.

Recently, using continuous PANSY radar observations of polar mesosphere summer echoes (PMWEs) at heights from 81 km to 93 km, Sato et al. (2017) showed that relatively low-frequency disturbances from 1 d^{-1} to 1 h^{-1} primarily contribute to the zonal and meridional momentum fluxes. This study examines the dynamical characteristics of gravity waves with relatively low frequency in the Antarctic mesosphere, such as the wave parameters, propagation, and generation mechanisms, via a long-term simulation using the high-top high-resolution non-hydrostatic general circulation model for five months from April to August in 2016. The simulated wind fields are closely compared to the PANSY radar observations at small scales and the MERRA reanalysis data at large scales. In addition, the statistical characteristics of the mesospheric disturbances simulated by NICAM, such as the frequency (ω) spectra of each variable, the kinetic and potential energies, and the momentum and energy fluxes of the gravity waves, are examined.

This paper is organized as follows. The methodology is described in Section 2. The numerical results are compared to the observational results in Section 3. The gravity wave characteristics are examined based on a spectrum analysis in Section 4. A discussion is

1   presented in Section 5, and Section 6 summarizes the results and provides concluding

2   remarks.

3

4   **2. Methodology**

5   2.1. The PANSY radar observations

6   The PANSY radar is the first MST/IS radar in the Antarctic and is installed at Syowa

7   Station (39.6° E 69.0° S) to observe the Antarctic atmosphere in the height range from

8   1.5 km to 500 km. Note that an observational gap exists from 25 km to 60 km due to the

9   lack of backscatter echoes in this height region (Sato et al., 2014). The PANSY radar

10   employs a pulse-modulated monostatic Doppler radar system with an active phased array

11   consisting of 1045 crossed-Yagi antennas. The PANSY radar observations of the 3D

12   winds have standard time and height resolutions along the beam direction of Δt = ~1

13   min and Δz = 150 m for the troposphere and lower stratosphere, and Δt = ~1 min and

14   Δz = 300–600 m. for the mesosphere. The accuracy of the line-of-sight wind velocity is

15   approximately 0.1 m s^{-1}. Because the target of the MST radars is the atmospheric

16   turbulence, wind measurements can be made under all weather conditions. Continuous

17   observations have been made by a partial PANSY radar system since April 30, 2012, and

18   by a full system since October 2015. See Sato et al. (2014) for further details concerning

19   the PANSY radar system.

20   The PANSY radar data that we use are line-of-sight wind velocities of five vertical

21   beams in the vertical direction and tilted east, west, north, and south at a zenith angle of

22   θ = 10° for the period of April–May 2016, during which the PANSY radar frequently

23   detects the PMWEs at heights of 60–80 km (Nishiyama et al., 2015). The vertical wind

24   component is directly estimated from the vertical beam. The zonal wind component is

25   obtained using a pair of line-of-sight velocities from the east and west beams. The line-

of-sight velocities of the east and west beams, $V_{\pm\theta}$, are composed of the zonal and

vertical components of the wind velocity $(u_{\pm\theta}, w_{\pm\theta})$ in a targeted volume range:

$$V_{\pm\theta} = \pm u_{\pm\theta} \sin\theta + w_{\pm\theta} \cos\theta.$$

Assuming that the wind field is homogeneous at each height, i.e., $u_{+\theta} = u_{-\theta} \equiv u$ and

$w_{+\theta} = w_{-\theta} \equiv w$, we can estimate zonal wind component as

$$u = \frac{V_{+\theta} - V_{-\theta}}{2 \sin\theta}.$$

The meridional wind component is estimated in the same way using the north and south

beams.

2.2. Numerical setup for the non-hydrostatic model simulation

The simulation was performed using the NICAM, which is a global cloud-resolving

model (Satoh et al., 2008, Satoh et al., 2014). The non-hydrostatic dynamical core of the

NICAM was developed using icosahedral grids modified via the spring dynamics method

(Tomita et al., 2002). The simulation period is from March 20 to August 31, 2016.

2.2.1. Grid coordinate system and physical schemes

The resolution of the horizontal icosahedral grids is represented by g-level n (grid

division level n). G-level 0 denotes the original icosahedron. By recursively dividing each

triangle into four smaller triangles, a higher resolution is obtained. The total number of

grid points is $N_g = 10 \cdot 4^n + 2$ for g-level n. The actual resolution corresponds to the

square root of the averaged control volume area, $\Delta x \equiv \sqrt{4\pi R_E^2 / N_g}$, where R_E is the

Earth's radius. A g-level 8 grid is used in this study ($\Delta x \sim 28$ km).

Recently, Shibuya et al. (2016) developed a new icosahedral grid configuration that

has a quasi-uniform and regionally fine mesh within a circular region using spring

1   dynamics. This method clusters grid points over a sphere into a circular region (the target

2   region). By introducing sets of mathematical constraints, it has been shown that the

3   minimum resolution within the targeted region is uniquely determined by the area of the

4   targeted region. In this study, the targeted region for a given g-level is a region south of

5   30° S centered on the South Pole, corresponding to a horizontal resolution of

6   approximately 18 km in the targeted region.

7   In order to adequately simulate the structures of the disturbances in the stratosphere

8   and the mesosphere, the vertical grid spacing is set to 300 m at heights from 2.4 km to 80

9   km. Note that, according to Watanabe et al. (2015), the gravity wave momentum flux is

10   not heavily dependent on the vertical spacing of the model in the middle atmosphere when

11   $\Delta z < 400$ m. The number of vertical grids is 288. To prevent unphysical wave reflections

12   at the top of the boundary, a 7-km-thick sponge layer is set above z = 80 km. Second-

13   order Laplacian horizontal hyper-viscosity diffusion and Rayleigh damping for the

14   vertical velocity are used in the sponge layer. The *e*-folding time of the ∇^2 horizontal

15   diffusion for a $2\Delta x$ wave at the top of the model is 4 s, and the *e*-folding time of Rayleigh

16   damping for the vertical velocity at the top of the model is 216 s. The diffusivity level

17   gradually increases from the bottom to the top of the sponge layer. We confirmed that

18   little wave reflection near the sponge layer occurs under this setting (not shown). In

19   addition, to prevent numerical instabilities in the model domain, sixth-order Laplacian

20   horizontal hyper-viscosity diffusion is used over the entire height region. The *e*-folding

21   time of the ∇^6 horizontal diffusion for a $2\Delta x$ wave at the top of the model is

22   approximately 2 s. As a result, the high-top NICAM model can resolve gravity waves

23   with horizontal wavelengths longer than approximately 250 km. Table 1 summarizes the

24   physical schemes used in this study. No cumulous or gravity wave parameterizations were

11

employed. Note that this model does not use the nudging method as an external forcing

for the atmospheric component.

2.2.2. Initial condition and time integration technique

MERRA reanalysis data based on the Goddard Earth Observing System Data

Analysis System, Version 5 (GEOS-5 DAS; Rienecker et al. 2011), is used as the initial

condition for the atmosphere. The initial data for the land surface and slab ocean models

were interpolated from the 1.0°-gridded National Centers for Environmental Prediction

final analysis. In the MERRA reanalysis data, the following two types of 3D fields are

provided: one is produced using the corrector segment of the Incremental Analysis Update

(IAU, Bloom et al., 1996) cycle ($1.25° \times 1.25°$ with 42 vertical levels whose top is 0.1

hPa) and the other pertains to fields resulting from the Gridpoint Statistical Interpolation

analyses (GSI analysis, e.g., Wu et al., 2002) on a native horizontal grid with native model

vertical levels ($0.75° \times 0.75°$ with 72 vertical levels whose top is 0.01 hPa). We use the

former 3D assimilated fields from 1000 hPa to 0.1 hPa and the latter 3D analyzed fields

from 0.1 hPa to 0.01 hPa for the initial conditions of the NICAM simulation to prepare

realistic atmospheric fields in the mesosphere. The latter 3D analyzed fields were only

used at heights above 0.1 hPa because variables for the vertical pressure velocity, cloud

liquid water, and ice mixing ratios are not included, and thus have been set to zero. The

vertical pressure velocities, cloud liquid water, and ice mixing ratios above 0.1 hPa were

set to zero. The time step was 15 s, and the model output was recorded every hour. Note

that, the satellite observation data related to the stratospheric temperature profiles are

provided up to 50 km, the data assimilation technique is only applied below the height

(Sakazaki et al., 2012)

1   Time integrations were performed following a technique similar to Plougonven et al.

2   (2013) to maintain long-term simulations sufficiently close to the reanalysis data. The

3   time integration method is illustrated in Fig. 1. Simulations lasted 7 d for each run with

4   initial conditions from the MERRA reanalysis data with an overlap of 2 day between each

5   run. The two-day overlap consists of the spin up time for the subsequent simulation. The

6   successive data for the analyses were compiled using the data from the last 5 days of each

7   run. This method allows the model to freely produce gravity waves and mesoscale

8   phenomena without artifacts caused by nudging and assimilation techniques. However,

9   because the successive simulation data are not continuous, spurious and drastic jumps in

10 the atmospheric fields between two consecutive simulations may appear. Therefore, in

11 this study, the statistical analyses are performed by taking an average of the results using

12 the respective five-day simulations to avoid any influences from gaps between the

13 simulations. A long-term continuous run from a single initial condition was not performed

14 because the model fields tend to diverge from the actual atmosphere without appropriate

15 parameterization methods and/or nudging or assimilation techniques.

16

17 **3. Results and comparisons of the numerical simulations**

18 3.1. Wave structures in the mesosphere

19   Figure 2 shows time–height sections of the line-of-sight winds observed by the north

20 beam of the PANSY radar and V_N calculated using v and w simulated by NICAM for

21 May 10–20, 2016 ($V_\mathrm{N} = v \sin\theta + w \cos\theta$, where $\theta = 10°$). The missing values in the

22 PANSY radar observation are shown in white. The black dotted vertical lines in Fig. 2b

23 indicate the segments of the continuous five-day simulations. In the middle of May, large

24 amounts of observational data from the PANSY radar are available because strong

25 PMWEs were observed in the daytime during this period.

1   At heights of 60–75 km, negative V_N values are dominant during the observed

2   periods, which is consistent with the direction of the mesospheric residual circulation in

3   the winter hemisphere. On May 13 and 16, it appears that disturbances with negative

4   vertical phase speeds are dominant in the height range of 75–80 km. These features are

5   also observed in the model data in Fig. 2b. The downward-propagating disturbances have

6   positive V_N values at heights of 75–80 km on May 13 and 16, as in Fig. 2a. Therefore,

7   the overall wave structures are well reproduced by NICAM. However, the phases of the

8   disturbances on May 13, which is the final day of the seven-day integration from the

9   initial condition, are different from the observations. The possible reason for this is that

10   the propagation path of the wave packet simulated in NICAM on May 13 may be

11   unrealistic, since the large-scale fields likely do not remain sufficiently close to the

12   reanalysis data after such a long simulation time.

13   To quantitatively compare the wave structures observed by the PANSY radar and

14   those simulated by NICAM, the amplitudes of the wave disturbances were estimated as a

15   function of the vertical phase velocities. Figures 3a and 3b show time–height sections of

16   the line-of-sight winds observed by the north beam of the PANSY radar for April 26–28

17   and a close up for April 28, 2016, respectively.

18   The estimation method is illustrated below. Here, phase lines at heights of 65–80 km

19   from 0400 UTC to 1800 UTC are defined as $L_1,\ L_2, \ldots,\ L_i, \ldots L_N$ (denoted by the black

20   lines in Fig. 3b) and sets of data points on L_i ($x_1^i,\ x_2^i, \ldots,\ x_{n_i}^i$; the black circles in Fig.

21   3b) are defined as M_i ($x_1^i,\ x_2^i,\ \ldots,\ x_{n_i}^i \in M_i$). The estimated amplitude A of

22   disturbances with the vertical phase velocity V_1 is defined by calculating the average of

23   the covariances of $M_1, M_2 \ldots M_N$:

$$A^2 = 2.0 \times \left[\left. \left(\sum_k \left(\sum_{i \geq j} x_i^k x_j^k \; (i, j \in \mathrm{M}_k) \right) \right) \middle/ \sum_k \left(\sum_{i \geq j} 1 \; (i, j \in \mathrm{M}_k) \right) \right] . \tag{1}$$

1   When the disturbance is due to a monochromatic wave defined by V_1 $(\psi =$

2    $a \cos i(mz - \omega t)$ where $\omega/m = V_1)$, the estimated amplitude A is equal to the

3   amplitude of the monochromatic wave a. However, the estimated amplitude A becomes

4   very small when phase lines with the vertical phase velocity do not match the wave

5   structure (V_2, the red lines in Fig. 3b). Therefore, the estimated magnitude A has a peak

6   at the dominant vertical phase velocity of the wave disturbances. In a simple case with

7    $\cos i\pi(2t - z)$, a result of the estimation by this method is shown as an example in the

8   supplement figure.

9   The main advantage of this method is that it can easily be applied to both simulated

10   data and observed data that have missing values, as in the PANSY radar observations.

11   Prior to the application of this method, a bandpass filter is applied to the

12   observed/simulated northward line-of-sight winds with cutoff wave periods of 2 h and 60

13   h to extract the dominant wave-like structures. In this study, the estimation method for

14   the PANSY radar observation is only applied to data on days in which the ratio of the

15   available data points in a period from 0400 UTC to 1800 UTC and at heights of 65–80

16   km exceeds 60% (April 25 and 26 in Fig. 3a). Here, the PANSY radar observation data in

17   April and May are used for this analysis, since large amounts of observational data are

18   available in these months (12 days and 16 days, respectively).

19   Figures 4a and 4b show the estimated amplitude as a function of the vertical

20   downward phase velocity in April and May using data from the PANSY radar

21   observations and the NICAM simulations, respectively. In Fig. 4a, it appears that the

22   dominant wave disturbances observed by the PANSY radar have vertical phase velocities

1   of approximately 0.5 m s^{-1} and 0.7 m s^{-1} in April and May, respectively. These features

2   are well simulated by the NICAM simulations (Fig. 4b). Therefore, the dominant wave

3   structures in the time–altitude section in the NICAM simulations are likely very similar

4   to those observed by the PANSY radar. However, the mesospheric disturbances simulated

5   by NICAM have an approximately 3.5 times larger amplitude than those observed by the

6   PANSY radar. Using a hodograph analysis, Shibuya et al. (2017) showed that NICAM

7   simulations overestimate wave amplitudes by approximately 1.5 times compared to the

8   PANSY radar observations in the mesosphere. The possible reasons for the

9   overestimation of wave amplitude in NICAM will be discussed in the end of Section 5.

10

11 3.2. Zonal wind components from the troposphere to the mesosphere

12   Next, zonal wind components simulated by NICAM are compared to those in the

13 MERRA reanalysis data. Figure 5 shows time–altitude cross sections of the zonal winds

14 from the MERRA reanalysis data and from the NICAM simulations for the period of May

15 10–20, 2016, at a grid near Syowa Station. In Fig. 5b, jumps between the continuous five-

16 day simulations are observed in the troposphere and the lower stratosphere. This is likely

17 because the large-scale flows diverge from the MERRA reanalysis data during the seven-

18 day integrations. Nevertheless, roughly speaking, the disturbances in the troposphere and

19 lower stratosphere are successfully simulated by NICAM. Conversely, in the upper

20 stratosphere and mesosphere, large-amplitude disturbances with negative vertical phase

21 speeds are clear in the NICAM data but rarely seen in the MERRA reanalysis data.

22 Therefore, to validate the dynamical characteristics of the mesospheric disturbances

23 simulated by NICAM, observational data with high vertical and temporal resolution, such

24 as data from the PANSY radar, are required.

1   In addition, the latitude–altitude structures of the mean zonal winds averaged in

2   April and May 2016 between the MERRA reanalysis data and the NICAM simulations

3   are compared in Fig. 6. In April and May 2016, it appears that the polar night jet in the

4   upper stratosphere and mesosphere tilts equatorward with height. Such a feature is

5   successfully simulated by NICAM. In particular, the structure of the polar night jet below

6   35 km in NICAM agrees with that in MERRA. However, the magnitude of the zonal wind

7   around the core of the polar night jet in NICAM is slightly larger than that in MERRA.

8   In addition, the axis of the polar night jet in the mesosphere in NICAM does not tilt as

9   strong equatorward with height as it does in MERRA. Therefore, the zonal momentum

10   balance in the mesosphere at the initial conditions is not completely maintained in

11   NICAM, likely due to unresolved gravity waves with short horizontal wavelengths. Even

12   though some discrepancies are observed in the mesosphere, the structure of the polar night

13   jet in NICAM is sufficiently close to that in MERRA. Hereafter, analyses of the gravity

14   wave characteristics are performed using data for the time period of June 1–August 31,

15   2016 (JJA).

16

17   **4. Gravity wave characteristics in the mesosphere**

18   4.1. Gravity wave energy and momentum fluxes

19   In this subsection, the spatial structures of the kinetic and potential energies and the

20   momentum and energy fluxes of the gravity waves are examined. The gravity wave

21   component is defined as wave components with frequencies higher than $2\pi/30$ h. Note

22   that previous studies have defined the planetary wave component to have frequencies

23   lower than approximately $2\pi/40$ h in the mesosphere (e.g., Murphy et al., 2007;

24   Baumgaertner et al., 2008).

25   In the linear theory of inertia–gravity waves as noted in the form,

17

$$\left(u', v', w', \frac{p'}{\bar{p}}, \frac{\rho'}{\bar{\rho}}, \frac{\theta'}{\bar{\theta}}\right)$$

$$= \left(\tilde{u}, \tilde{v}, \tilde{w}, \tilde{p}, \tilde{\rho}, \tilde{\theta}\right) \exp i(kx + ly + mz - \omega t), \tag{2}$$

1 where $\sim$ denotes the Fourier transform of each variable and ω denotes the ground-

2 based frequency. The polarization relationships for the different variables are written as

$$\tilde{u} = \left(\frac{i\hat{\omega}k - fl}{i\hat{\omega}l + fk}\right)\tilde{v},$$

$$\tilde{p} = \left(\frac{\hat{\omega}^2 - f^2}{\hat{\omega}k + ifl}\right)\tilde{u}, \tag{3}$$

and

$$\tilde{w} = -\frac{m\hat{\omega}}{N^2 - \hat{\omega}^2}\tilde{p},$$

3 where $\hat{\omega}$ denote the inertial frequency of gravity waves given by

$$\hat{\omega} = \omega - \vec{U} \cdot \vec{k}. \tag{4}$$

4 Using these relations, the real component of the zonal and meridional components of the

5 vertical momentum flux $\left(\overline{u'w'}, \overline{v'w'}\right)$ and that of the horizontal momentum flux $(\overline{u'v'})$

6 are expressed as

$$\left(\overline{u'w'}, \overline{v'w'}\right) = -\frac{N^2 - \hat{\omega}^2}{\hat{\omega}^2 - f^2} \cdot \frac{1}{m}(k, l) \cdot \overline{w'^2},$$

and

$$\overline{u'v'} = kl \cdot \frac{\hat{\omega}^2 - f^2}{f^2 k^2 + \hat{\omega}^2 l^2} \cdot \overline{v'^2}. \tag{5}$$

7 Because $(N^2 - \hat{\omega}^2)\big/(\hat{\omega}^2 - f^2) > 0$ and $\hat{\omega}^2 - f^2 > 0$ for inertia–gravity waves, the

8 signs of $\left(\overline{u'w'}, \overline{v'w'}\right)$ are equal to those of (k, l) for upward energy propagating waves

9 (i.e., $m < 0$) and the sign of $\overline{u'v'}$ is equal to that of $(k \cdot l)$. The horizontal intrinsic

10 group velocities of the gravity wave are written as

$$\left(\hat{C}_{gx}, \hat{C}_{gy}\right) = \frac{[k(N^2 - \hat{\omega}^2), l(N^2 - \hat{\omega}^2)]}{\hat{\omega}(k^2 + l^2 + m^2)}. \tag{6}$$

11 Therefore, the directions of the group velocities relative to the mean wind are also inferred

1   from the signs of the momentum fluxes. Note that this derivation is based on the

2   assumption of monochromaticity for the inertia–gravity wave. In addition, the 5-day

3   average in the segment of each simulation is applied in this subsection.

4   Figure 7 shows horizontal maps of the zonal wind (U), the kinetic energy $(\overline{KE} =$

5    $\frac{1}{2}(\overline{u'^2} + \overline{v'^2} + \overline{w'^2}))$, and the potential energy $(\overline{PE} = \frac{1}{2}\frac{g^2}{N^2}\overline{\left(\frac{\theta'}{\bar{\theta}}\right)^2})$ divided by the density,

6    $\overline{u'w'}$, $\overline{v'w'}$, and $\overline{u'v'}$ at heights of 25 km, 55 km, and 70 km averaged over JJA. For the

7   estimation of $\overline{PE}$, the fluctuation of the potential temperature is calculated as

$$\frac{\theta'}{\bar{\theta}} = \frac{1}{\rho_0}\left(\frac{p'}{c_s^2} - \rho'\right), \tag{7}$$

8   where c_s denotes the speed of sound in the atmosphere. The axis of the polar night jet

9   tilts equatorward with height, as seen in Fig. 6. For $\overline{KE}$ and $\overline{PE}$ at a height of 25 km,

10   large energies are distributed near 30° S and along the jet axis at approximately 60° S.

11   Localized energy peaks are also seen over the Antarctic Peninsula and the southern Andes

12   and their leeward region, which is consistent with the result of the KANTO model (Sato

13   et al., 2012). For $\overline{KE}$ and $\overline{PE}$ at heights of 55 km and 70 km, large values are observed

14   along latitudinal circles roughly corresponding to the axis of the polar night jet. Strictly

15   speaking, the large values of $\overline{KE}$ and $\overline{PE}$ at a height of 55 km appear to be distributed

16   slightly poleward of the axis of the polar night jet, while those of $\overline{KE}$ at a height of 70

17   km are broadly distributed but are primarily poleward of 60° S. It is interesting that the

18   largest energies are seen near 180° E at heights of 55 km and 70 km.

19   At a height of 25 km, $\overline{u'w'}$ is primarily negative and large values are seen over both

20   the Antarctic Peninsula and the southern Andes. Conversely, $\overline{v'w'}$ is primarily positive

21   over the Antarctic Peninsula and negative over the southern Andes. This result suggests

22   the existence of wave-like structures with phases aligned in the northwest–southeast

19

1 direction over the southern Andes and in the northeast–southwest direction over the

2 Antarctic Peninsula, which is confirmed by previous observational studies (e.g.,

3 Alexander and Barnet, 2007; Hertzog et al., 2008) and by numerical models (e.g., Sato et

4 al., 2012; Plougonven et al., 2013). At higher altitudes, negative values of $\overline{u'w'}$ are

5 distributed along the latitudinal circle near 60° S at a height of 55 km and near 50° S at

6 70 km. Note that the large negative values over the southern Andes and its leeward region

7 are observed even at a height of 70 km. The signs of $\overline{v'w'}$ are primarily negative along

8 and equatorward of the polar night jet axis and positive or weakly negative poleward of

9 the jet axis at 25 km. This may indicate that the gravity waves propagate into the polar

10 night jet as shown in Sato et al. (2009). The sign of $\overline{u'v'}$ is primarily positive at heights

11 of 55 km and 70 km, while it is positive equatorward of 60° S and negative poleward of

12 60° S at 25 km. These features are consistent with the distributions of $\overline{u'w'}$ and $\overline{v'w'}$,

13 since the signs of $\overline{u'w'}$ and $\overline{v'w'}$ are both negative (Eq. (5)). Therefore, it is suggested

14 that the statistical characteristics of disturbances defined as components with wave

15 frequencies higher than $2\pi/30$ h follow linear relationships of the inertia–gravity waves.

16

17 4.2. Spectral analysis

18 4.2.1. The meridional structure of the power spectra

19   To examine the statistical characteristics of the mesospheric disturbances simulated

20 by NICAM, the ω power spectra of u, v, w, and the temperature ($P_u(\omega)$, $P_v(\omega)$,

21 $P_w(\omega)$, and $P_t(\omega)$, respectively) were obtained for the period of JJA 2016. The power

22 spectra were examined using the Blackman–Tukey (1958) method (e.g., Sato 1990). First,

23 an autocorrelation function was calculated for each five-day simulation to avoid any

24 influences of the gaps between the segments of the simulations. Second, to reduce

25 statistical noise in the power spectra estimation, the autocorrelation functions were

1 averaged over JJA. The maximum lag in the calculation of the autocorrelation function

2 was set to 90 h to increase the frequency resolution of the ω spectra; this is 75% of the

3 simulation period (120 h) in each segment.

4   Figure 8 shows $P_u(\omega)$, $P_v(\omega)$, $P_w(\omega)$, and $P_t(\omega)$ for JJA averaged over heights

5 of 70–75 km at a grid point near Syowa Station. It is seen that $P_u(\omega)$ and $P_v(\omega)$ have

6 isolated peaks at a frequency of $2\pi/12$ h, while they obey a power law with an exponent

7 of approximately $-5/3$ for frequencies higher than $2\pi/12$ h. Such a power law structure

8 in the high-frequency region is consistent with previous observational studies by MST

9 radars at mid-latitudes (e.g., Muraoka et al., 1990) and in the Antarctic (Sato et al., 2017).

10 Conversely, $P_w(\omega)$ has a flat structure (i.e., $\propto \omega^0$) for frequencies from $2\pi/2$ h to $2\pi/5$

11 d and has no clear spectral peak. Finally, $P_t(\omega)$ does not have a clear peak at the

12 frequency of $2\pi/12$ h but rather a broad peak at frequencies near $2\pi/10$ h. The spectral

13 slope of $P_t(\omega)$ is gentler than $-5/3$ but steeper than -1 in the high-frequency region.

14 Here, the flat spectrum of $P_w(\omega)$ can be explained by the linear theory of gravity waves.

15 The vertical velocity is proportional to a buoyancy/temperature;

$$w' = \frac{\widehat{\omega}}{N^2} b', \tag{7}$$

16 where b' denotes a buoyancy by gravity waves. Consequently, the variance of w' is

17 propotional to $\widehat{\omega}^2 b'^2$. Given a buoyancy/temperature spectrum with a frequency

18 exponent between -1 and -5/3, an exponent for the vertical frequency spectrum becomes

19 nearly zero, which is consistent with the result in Fig. 8.

20   Next, the zonally averaged v power spectra $(P_v(\omega))$ in JJA without the diurnal

21 and semi-diurnal migrating tides and the semi-diurnal non-migrating tides with $s = 1$

22 was calculated to examine the non-tidal low-frequency disturbances (Sato et al., 2017),

1   where s denotes a zonal wavenumber of tides. Hereafter, $P_v(\omega)$ without these tides is

2   denoted $\widetilde{P}_v(\omega)$. The zonal mean $\widetilde{P}_v(\omega)$ in JJA is shown as a function of the latitude for

3   the heights of 70 km, 55 km, 40 km, and 25 km in Figs. 9a, 9b, 9c, and 9d, respectively.

4   The temperature power spectra $(\tilde{P}_t(\omega))$ at a height of 70 km are also shown in Fig. 9e.

5   The thick red dashed curves indicate the inertial frequencies at each latitude. Note that

6   the x-axis is the ground-based frequency and not the intrinsic frequency.

7   At a height of 70 km, the spectral peaks appear at frequencies slightly lower than the

8   inertial frequencies from 65° S to 75° S, as in Fig. 9a. In the mid-latitudes, the spectral

9   values are maximized near $2\pi/24$ h. Conversely, in regions from 77° S to 90° S, the

10   spectral peaks are seen near frequencies from $2\pi/8$ h to $2\pi/10$ h but are absent at $2\pi/12$ h

11   (and at the inertial frequencies) or $2\pi/24$ h. Such peaks near frequencies from $2\pi/8$ h to

12    $2\pi/10$ h in the high-latitude region also appear in $\tilde{P}_t(\omega)$ (Fig. 9e). In addition, another

13   branch with frequencies smaller than $2\pi/50$ h, which is an order of a frequency of

14   planetary waves, is found from the mid-latitude to the south pole.

15   The spectral peaks near the inertia frequency are also found in the high-latitude

16   region at a height of 55 km (Fig. 9b). In addition, large spectral values are distributed in

17   the frequency range from the inertial frequencies to $2\pi/24$ h from 50° S to 60° S but not

18   from 30° S to 40° S. The spectral peaks near the inertia-frequency in the high-latitude

19   region are barely seen at heights of 40 km and 25 km, suggesting that such spectral peaks

20   in the high-latitude region are only found in the mesosphere. At a height of 25 km, the

21   spectral values are greatest in the inertial frequencies at mid-latitudes from 30° S to 40°

22   S, which is consistent with the result shown by Sato et al. (1999) using a high-resolution

23   GCM. Note that energy peaks at frequencies from $2\pi/10$ h to $2\pi/8$ h in regions from 77°

24   S to 90° S are seen at all heights.

1   Here, we focus on the spectral peaks found in Fig. 9a near the inertial frequency

2   from 65° S to 75° S and at frequencies from $2\pi/10$ h to $2\pi/8$ h from 77° S to 90° S. The

3   horizontal map of the integration of $\widetilde{P_v}(\omega)$ (i.e., the variance) for frequencies from $2\pi/30$

4   h to $2\pi/12$ h at a height of 70 km is shown in Fig. 10a, while that at frequencies from

5    $2\pi/12$ h to $2\pi/6$ h is shown in Fig. 10b. It appears that variances for frequencies from

6    $2\pi/30$ h to $2\pi/12$ h are broadly distributed around 180° E at latitudes poleward of 60° S,

7   which is consistent with the distribution of $\overline{KE}$ in Fig. 7. In this frequency range, the

8   energies of the gravity waves are very low near the center of Antarctica. Conversely, the

9   variances for frequencies from $2\pi/12$ h to $2\pi/6$ h are large over Antarctica and on the ice

10   sheet in the Ross Sea. These features suggest that the dynamical characteristics of the

11   gravity waves, such as the propagation paths, and/or the generation mechanisms may be

12   different in the two frequency ranges.

13

14   4.2.2. The meridional structure of the momentum flux spectra

15   Next, the frequency spectra of vertical fluxes of the zonal and meridional momentum

16   ($\mathrm{Re}[U(\omega)W^*(\omega)]$, $\mathrm{Re}[V(\omega)W^*(\omega)]$) were obtained via the Blackman–Tukey (1958)

17   method. In Fig. 11, Zonally averaged $\mathrm{Re}[U(\omega)W^*(\omega)]$ and $\mathrm{Re}[V(\omega)W^*(\omega)]$ without

18   diurnal and semi-diurnal migrating tides and semi-diurnal non-migrating tides with $s =$

19   1 are shown at heights of 70 km, 55 km, 40 km, and 25 km in JJA. Hereafter, these

20   components are denoted $\mathrm{Re}[U(\widetilde{\omega)W^*}(\omega)]$ and $\mathrm{Re}[V(\widetilde{\omega)W^*}(\omega)]$, respectively. Note

21   that the contributions of the tides to $\mathrm{Re}[U(\omega)W^*(\omega)]$ and $\mathrm{Re}[V(\omega)W^*(\omega)]$ are not

22   large in the mesosphere during the time period of JJA 2016 (not shown).

23   For $\mathrm{Re}[U(\widetilde{\omega)W^*}(\omega)]$, an isolated peak is observed near the inertial frequency from

24   55° S to 75° S at a height of 70 km. Another spectral peak at frequencies from $2\pi/10$ h to

23

1    $2\pi/8$ h from 77° S to 90° S appears to be similar to that of $\widetilde{P_v}(\omega)$ (Fig. 9). In addition,

2   large spectral values are distributed near 55° S at frequencies from $2\pi/12$ h to $2\pi/6$ h. The

3   signs of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ are mostly negative over the entire frequency range. At

4   heights of 55 km and 40 km, there are large spectral values of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ from

5   65° S to 75° S because the isolated peaks are distributed around the inertial frequency

6   from 55° S to 60° S but not from 65° S to 75° S. At a height of 25 km, two separated

7   spectral peaks are found at frequencies from $2\pi/24$ h to $2\pi/12$ h. One is centered from

8   45° S to 55° S, while the other is centered from 65° S to 80° S.

9   Conversely, the sign of $\text{Re}[V(\omega)\widetilde{W^*}(\omega)]$ at a height of 25 km is negative from 45°

10   S to 55° S but positive from 65° S to 80° S. Under the assumption of upward propagation,

11   it is likely that gravity waves with large negative $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ at a height of 25 km

12   from 45° S to 55° S propagate poleward, while those from 65° S to 80° S propagate

13   equatorward. At heights of 40 km and 55 km, however, $\text{Re}[V(\omega)\widetilde{W^*}(\omega)]$ around the

14   spectral peak of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ at slightly lower frequencies than the inertial

15   frequency is mostly negative. These features suggest that the two spectral peaks at a

16   height of 25 km propagate toward 60° S and then merge into an isolated spectral peak at

17   a height of 40 km. At heights from 40 km to 70 km, gravity waves at frequencies lower

18   than the inertial frequencies from 60° S to 90° S have negative $\text{Re}[V(\omega)\widetilde{W^*}(\omega)]$, while

19   those at frequencies higher than the inertial frequencies have positive $\text{Re}[V(\omega)\widetilde{W^*}(\omega)]$.

20   From 30° S to 60° S, gravity waves at frequencies higher than the inertial frequencies have

21   negative $\text{Re}[V(\omega)\widetilde{W^*}(\omega)]$.

22   To examine these features, the latitude–height sections of $\text{Re}[\rho_0 U(\omega)\widetilde{W^*}(\omega)]$ and

23    $\text{Re}[\rho_0 V(\omega)\widetilde{W^*}(\omega)]$ for gravity waves at frequencies from $2\pi/30$ h to $2\pi/12$ h are shown

24   in Fig. 12a, while those from $2\pi/12$ h to $2\pi/6$ h are shown in Fig. 12b. It is seen that

1    $\mathrm{Re}[\rho_0 U(\omega)\widetilde{W^*}(\omega)]$ both from $2\pi/30$ h to $2\pi/12$ h and from $2\pi/12$ h to $2\pi/6$ h has two

2   branches in the lower stratosphere, which merge southward of the polar night jet axis at

3   a height of approximately 40 km. The signs of $\mathrm{Re}[\rho_0 V(\omega)\widetilde{W^*}(\omega)]$ at frequencies from

4    $2\pi/30$ h to $2\pi/12$ h are positive (negative) at heights below 40 km along the low-latitude

5   (high-latitude) branch of $\mathrm{Re}[\rho_0 U(\omega)\widetilde{W^*}(\omega)]$, while they are primarily negative at

6   heights above 40 km. Conversely, the signs of $\mathrm{Re}[\rho_0 V(\omega)\widetilde{W^*}(\omega)]$ at frequencies from

7    $2\pi/12$ h to $2\pi/6$ h are positive (negative) poleward (equatorward) of 60° S from the lower

8   stratosphere to the mesosphere. These results indicate that gravity waves at frequencies

9   from $2\pi/12$ h to $2\pi/6$ h propagate into 60° S, which is similar to the previous picture of

10   the meridional propagation of gravity waves discussed by Sato et al. (2009) and Kalisch

11   et al. (2014). However, gravity waves at frequencies from $2\pi/30$ h to $2\pi/12$ h propagate

12   poleward above a height of 40 km, not into the jet axis. This contrast is inherently related

13   to the existence of the isolated peaks around the inertial frequency at heights of 55–70 km

14   in Fig. 11, which is discussed in detail in Section 5. Note that $\mathrm{Re}[\rho_0 V(\omega)\widetilde{W^*}(\omega)]$ at

15   frequencies from $2\pi/12$ h to $2\pi/6$ h has large negative values near a latitude of 30° S at

16   heights above 35 km. This may be related to the meridional propagation of convective

17   gravity waves from the equatorial region, as suggested by an observational study using

18   MF radar (Yasui et al., 2016).

19   A horizontal map of $\mathrm{Re}[U(\omega)\widetilde{W^*}(\omega)]$ at frequencies from $2\pi/30$ h to $2\pi/12$ h at a

20   height of 25 km is shown in Fig. 13a, while that at frequencies from $2\pi/12$ h to $2\pi/6$ h is

21   shown in Fig. 13b. At latitudes from 65° S to 80° S, $\mathrm{Re}[U(\omega)\widetilde{W^*}(\omega)]$ has very large

22   negative values above the Antarctic Peninsula in both Figs. 13a and 13b. Negative values

23   of $\mathrm{Re}[U(\omega)\widetilde{W^*}(\omega)]$ are also found along the coast of Antarctica, in particular, above the

24   western side of the Ross Sea. Therefore, it is thought that the poleward branches of

1 $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ shown in Fig. 12 are primarily due to orographic gravity waves.

2 However, note that the gravity waves observed over the coast of Antarctica may be partly

3 due to non-orographic gravity waves caused by spontaneous radiation from the upper

4 tropospheric jet stream (Shibuya et al., 2016).

5 To examine the contribution of orographic/non-orographic gravity waves to the

6 equatorward branches in Fig. 12, the magnitudes of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ from 42° S to 57°

7 S (the thick black circles in Fig. 13) were estimated over various topographies (the red

8 rectangles), islands (the green rectangles), and the Southern Ocean. The decomposition

9 of these domains is also described in Fig. 13. Over the latitudinal band from 42° S to 57°

10 S, the contributions of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ due to gravity waves at frequencies from $2\pi/30$

11 h to $2\pi/12$ h over the topographies, islands, and Southern Ocean are 12.3%, 6.6%, and

12 81.1%, respectively, while those at frequencies from $2\pi/12$ h to $2\pi/6$ h are 7.1%, 6.0%,

13 and 86.9%, respectively. Therefore, the equatorward branch of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ is

14 likely primarily composed of non-orographic gravity waves.

15 Finally, the horizontal scales of the wave disturbances contributing to

16 $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ were examined at each height. Hereafter, small-to-medium-scale

17 (large-scale) wave disturbances are defined as components with horizontal wavelengths

18 smaller than (larger than) 1000 km, as occasionally defined in previous studies (e.g.,

19 Geller et al., 2013). To extract the small-to-medium-scale and large-scale components, a

20 spatial filter was applied to the wind data gridded in an x–y coordinate system centered

21 at the South Pole as projected by the Lambert azimuthal equal-area projection. Figure 14

22 shows the zonally averaged $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ resulting from large-scale and small-to-

23 medium-scale components during JJA at heights of 70 km, 55 km, 40 km, and 25 km. At

24 heights of 25 km and 40 km, it appears that the majority of $\text{Re}[U(\omega)\widetilde{W^*}(\omega)]$ is composed

1   of small-to-medium-scale components, while at heights of 55 km and 70 km, the large-

2   scale components have large negative values near the inertial frequencies. This feature is

3   consistent with Shibuya et al. (2017), who showed that mesospheric disturbances with

4   large amplitude observed at Syowa Station are due to quasi-12-hour gravity waves with

5   horizontal wavelengths larger than 1500 km. In addition, it appears that the spectral peak

6   at frequencies from $2\pi/8$ h to $2\pi/10$ h for the latitude range from 77° S to 90° S is also

7   due to large-scale wave disturbances.

8

9   **5. Discussion**

10   Recently, Sato et al. (2017) estimated the power spectra of horizontal and vertical

11   wind fluctuations and momentum flux spectra over a wide-frequency range from $2\pi/8$

12   min to $2\pi/20$ d using continuous PMSE observation data from the PANSY radar over

13   three summer seasons. It was shown that the spectral slope of $P_w(\omega)$ at frequencies from

14   $2\pi/2$ h to $2\pi/5$ d is nearly flat in the height range of 84–88 km, which is particularly clear

15   in the spectra of observations by the full PANSY system in the 2015–2016 austral summer

16   season. Even though the altitude range and season examined by Sato et al. (2017) are

17   different from those studied in this study, $P_w(\omega)$ simulated by NICAM, as shown in Fig.

18   8c, is consistent with the PANSY radar observations. Moreover, Sato et al. (2017)

19   demonstrated that the power spectrum of the vertical flux of the zonal momentum

20   ($\text{Re}[U(\omega)W^*(\omega)]$) has a positive isolated peak near the inertial frequency in the eastward

21   background zonal wind in the summer season. The shape of $\text{Re}[U(\omega)W^*(\omega)]$ shown in

22   NICAM is consistent with the results of Sato et al. (2017), even though the sign shown in

23   this study is negative under the westward background zonal wind in winter. Conversely,

24   using the Fe Boltzmann LIDAR at McMurdo Station (166.7° E 77.8° S), Chen et al.

1 (2016) showed that $P_{\text{tem}}(\omega)$ has a broad spectrum peak at frequencies from $2\pi/3$ h to

2 $2\pi/10$ h centered at approximately $2\pi/8$ h at a height of 85 km in June for the five years

3 of 2011–2015, which is also consistent with the $P_{\text{tem}}(\omega)$ result in Fig. 8d. The latitude–

4 height section of $\text{Re}[\rho_0 V(\omega)\widetilde{W^*}(\omega)]$ in Fig. 12b indicates that the spectral peak from

5 $2\pi/3$ h to $2\pi/10$ h is composed of gravity waves originating over the Antarctic continent.

6 These results indicate that the spectra of the mesospheric disturbances simulated in

7 NICAM are very realistic at high latitudes in the Southern Hemisphere.

8 The spectral peaks of $P_v(\omega)$ without the migrating tides in the mesosphere are

9 simulated near the inertial frequencies at latitudes from 30° S to 75° S. Therefore, the

10 quasi-12-hour inertia–gravity waves at Syowa Station examined by Shibuya et al. (2017)

11 can be interpreted as quasi-inertial period gravity waves. Moreover, it is shown that

12 $\text{Re}[U(\omega)W^*(\omega)]$ also has negative isolated peaks near the inertial frequency. One

13 explanation for the existence of these isolated peaks can be derived from the propagation

14 characteristic of the gravity waves following Sato et al. (1999). The horizontal group

15 velocity C_{gh} and the vertical group velocity C_{gz} of the gravity waves are expressed as

$$C_{\text{gh}} = \frac{2m^2(\hat{\omega}^2 - f^2)}{k(k^2 + m^2)} \frac{\vec{k}}{|k|} + \vec{U}, \tag{8}$$

16 and

$$C_{\text{gz}} = -\frac{2m(\hat{\omega}^2 - f^2)}{(k^2 + m^2)}. \tag{9}$$

17 It is easily confirmed from Eqs. (8) and (9) that C_{gh} and C_{gz} become zero when the

18 intrinsic frequency $\hat{\omega}$ is equal to the inertial frequency f at a latitude called the critical

19 latitude. When gravity waves propagate poleward and then reach the critical latitude, the

20 energies of the gravity waves may be accumulated with small C_{gh} and C_{gz} and be seen

1   as isolated peaks near the inertial frequency. Therefore, it is likely that the existence of

2   the clear isolated peaks near the inertial frequencies in the mesosphere is explained by the

3   poleward propagation of gravity waves with quasi-inertial frequencies and negative

4    $\text{Re}[U(\omega)W^*(\omega)]$.

5   The feature where the horizontal scales of the gravity waves become larger near the

6   inertial frequency in Fig. 14 is also explained by the accumulation of gravity waves.

7   Assuming that the explicit dependence of the absolute frequency function Ω on x and

8   t is contained entirely in the background wind $\vec{U}(x, t)$ and that the background vertical

9   wind velocity is negligible (Bühler and McIntyre, 2005), the time evolution of the

10   horizontal wavenumber vector is described by

$$\frac{d_g}{dt}\begin{pmatrix} k \\ l \end{pmatrix} = -\begin{pmatrix} U_x & V_x \\ U_y & V_y \end{pmatrix}\begin{pmatrix} k \\ l \end{pmatrix}, \tag{10}$$

11   where $\vec{U}$ denotes the background wind velocity, Ω is defined as $\Omega = \widehat{\omega} + \vec{k} \cdot \vec{U}$, and

12   $\frac{d_g}{dt}$ denotes the time derivative along the ray defined as $\frac{\partial}{\partial t} + \vec{C_g} \cdot \vec{\nabla}$.

13   Here, the time evolution of the wave number is simplified by only considering the

14   meridional shear of the zonal background wind U_y:

$$\frac{d_g}{dt}l = -U_y l. \tag{11}$$

15   Because the signs of $\text{Re}[V(\omega)W^*(\omega)]$ for gravity waves with $\widehat{\omega} \sim f$ are primarily

16   negative, the signs of l are also negative assuming upward propagation. In the high-

17   latitude region where $U_y > 0$ (Fig. 12), the absolute value of negative l becomes small,

18   indicating an increase in the horizontal wavelengths. Such a deformation is effective for

19   gravity waves with $\widehat{\omega} \sim f$ due to their small C_{gh} and C_{gz}. This is likely the reason why

20   large-scale gravity waves contribute to the spectral peaks of $\text{Re}[U(\omega)W^*(\omega)]$ near the

21   inertial frequencies primarily in the mesosphere. In addition, the deformation may also

1   contribute to small $\mathrm{Re}[V(\omega)\widetilde{W^*}(\omega)]$ around f in the mesosphere (Fig. 11) owing to

2   small negative l.

3   Note again that the analysis in this study is based on the ground-based frequency and

4   not on the intrinsic frequency, the effects of the Doppler shift are inevitably included.

5   Here, the qualitative comprehension of the Doppler shift has been posed in the austral

6   winter mesosphere. In Fig. 12, it was shown that $\mathrm{Re}[U(\omega)W^*(\omega)]$ has negative spectral

7   values at heights from 25 km to 70 km in the high-latitude Southern Hemisphere. This

8   indicates that gravity waves have negative zonal wavenumbers in the westerly jet. As a

9   result, the intrinsic frequency should be larger than the observed frequency (Eq. (3)). In

10   addition, gravity waves with relatively low frequencies propagate poleward, since the

11   signs of $\mathrm{Re}[V(\omega)W^*(\omega)]$ at frequencies slightly longer than the inertial frequency are

12   negative (Figs.11 and 12). In the case with gravity waves propagating poleward with

13   frequencies lower than $2\pi/12$ h in the Southern Hemisphere, poleward propagation of

14   gravity waves stalls at a latitude where $\hat{\omega}\sim f$. This latitude is poleward of a latitude where

15   "ω" $\sim f$, since $\hat{\omega}$ is larger in the high-latitude region. Thus, assuming that the observed

16   frequency of gravity waves is nearly conserved during the propagation, the energy peak

17   likely appears at frequencies slightly smaller than the inertial frequency. In addition,

18   according to the dispersion relation of gravity waves, $|k|/m$ becomes small at latitudes

19   where $\hat{\omega}\sim f$. As a result, the ratio of the kinetic and potential energies shifts toward the

20   kinetic energies, and then a parcel motion on the gravity waves becomes horizontal. Thus,

21   it is suggested that the isolated peak at frequencies slightly smaller than the inertial

22   frequency is more evident in the spectra of the meridional wind than that of the

23   temperature, which is consistent with the result in Fig. 9.

24   However, further studies are required to understand the existence of the isolated

1   peaks in the mesosphere. At a height of 25 km, gravity waves with large negative

2     $\mathrm{Re}[U(\omega)W^*(\omega)]$ tend to prefer frequencies from $2\pi/12$ h to $2\pi/24$ h, which is related

3   to the existence of the isolated peaks in the mesosphere. The physical reasons why these

4   observed frequencies are preferred are still unclear. Moreover, the signs of

5     $\mathrm{Re}[V(\omega)W(\omega)]$ at the isolated peaks from $2\pi/8$ h to $2\pi/10$ h from 77° S to 90° S are

6   positive throughout the middle atmosphere, suggesting that these peaks are due to gravity

7   waves originating from a region over the Antarctic continent and/or the coastal region

8   and not from low-latitude regions. These points should be examined relative to the

9   generation mechanisms of gravity waves, which are related to the observed frequencies

10   of the gravity waves.

11   It appears that the quasi-12-hour gravity waves have horizontal scales larger than at

12   least 1000 km. Such gravity waves are not fully resolved by the MERRA reanalysis data

13   (Fig. 5), likely because the vertical resolution of MERRA ($\Delta z \sim 3.2$ km) is insufficient

14   to simulate gravity waves with such vertical wavelengths. The momentum deposition

15   caused by the quasi-inertial-period gravity waves may not be calculated by

16   parameterizations because current parameterization schemes focus only on gravity waves

17   with short horizontal wavelengths. The momentum deposition missed from such quasi-

18   inertia–gravity waves may be one of the key components needed to solve the cold-bias

19   problem in the winter–spring polar middle atmosphere.

20   On the contrary, as mentioned in Section 3.2, the high-top NICAM overestimates

21   the wave amplitude in the mesosphere. Rane and Knievel (2005) showed that gravity

22   waves that were vertically propagating in simulations with coarse resolutions becomes

23   vertically trapped in those with fine resolutions. A similar discussion was also given by

24   Watanabe et al. (2015), although they focused on a vertical resolution in a numerical

1 model. Thus, it is inferred that some of simulated gravity waves which propagated to the

2 mesosphere are trapped or breaking in lower altitudes in the actual atmosphere, leading

3 to the overestimation of the wave energy in NICAM compared with the observation.

4

6. Summary

6   The first long-term simulation using the high-top non-hydrostatic general circulation

7 model was performed to analyze mesospheric gravity waves in the period from April to

8 August 2016. Successive runs lasting 7 days were run using initial conditions from the

9 MERRA reanalysis data with a two-day overlap between consecutive runs. The data for

10 the analyses were compiled using the final 5 d of each simulation. The analysis was

11 carefully performed to avoid the influence of artificial gaps between the different runs.

12 Our main results are summarized as follows.

13 ●   The mesospheric wind fields simulated by NICAM are realistic according to a

14   comparison with the PANSY radar observations, even though the amplitudes of the

15   wind disturbances appear to be larger than those of the observations. In addition, the

16   large-scale structure of the zonally averaged zonal winds in the latitude–height

17   section is also comparable to the features in the MERRA reanalysis data.

18 ●   Power spectra of the u and v fluctuations at Syowa Station have an isolated peak

19   at the frequency of $2\pi/12$ h and obey a power law with an exponent of approximately

20     $-5/3$ in the frequency region higher than the inertial frequency f (corresponding to

21     $2\pi/12.7$ h), while that of w has a flat structure (i.e., $\propto \omega^0$) at frequencies from $2\pi/2$

22   h to $2\pi/5$ d. The power spectrum of the v fluctuations without the migrating and

23   non-migrating tides has isolated peaks at the ground-based frequencies slightly lower

24   than f at latitudes from 30° S to 75° S, while it has isolated peaks at frequencies of

approximately $2\pi/8$ h at latitudes from 78° S to 90° S.

- The spectrum of the vertical fluxes of the zonal momentum also has isolated peaks at frequencies slightly lower than f at latitudes from 30° S to 75° S at a height of 70 km. The isolated peaks are primarily due to gravity waves with horizontal wavelengths of more than 1000 km. The latitude–height structure of the momentum fluxes indicates that the isolated peaks at frequencies slightly lower than f originate from two branches of gravity wave propagation. It is thought that one of the branches, originating from 75° S, is composed of topographic gravity waves generated over the Antarctic Peninsula and its coast, while more than 80% of the other, originating from 45° S, is composed of non-orographic gravity waves.

- It is suggested that the physical explanation for the existence of the isolated peaks in the high-latitude region in the mesosphere is related to the poleward propagation of quasi-inertial frequency gravity waves and the accumulation of wave energies near their inertial frequencies with very small group velocities.

This study offers a quantitative discussion based on high-resolution observations and numerical models. Statistical analyses of inertia–gravity waves in the mesosphere in different seasons are required to understand the momentum budget in the mesosphere combining the PANSY observations and numerical simulations using NICAM.

7. Data availability

The PANSY radar observation data is available at the project website, http://pansy.eps.s.u-tokyo.ac.jp. Model outputs are available on request from the corresponding author.

8. Competing interest

The authors declare that they have no conflict of interest.

Acknowledgements

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Table 1:   Physics scheme used in the high-top NICAM

Physics	Description
Cloud Microphysics	NICAM Single-moment Water 6 (NSW6) (Tomita 2008)
Cumulus Convection	Not used
Radiation	MstrnX (Sekiguchi and Nakajima, 2008)
Turbulence	Meller-Yamada Nakanishi-Niino (MYNN2) (Nakanishi and Niino, 2006)
Gravity wave	Not used
Land surface	Minimal Advanced Treatments of Surface Interaction and RunOff (MATSIRO) (Takata et al., 2003)
Surface flux (ocean)	Bulk surface flux by Louis (1979)

Ocean model	Single layer slab-ocean

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1 **Figures**
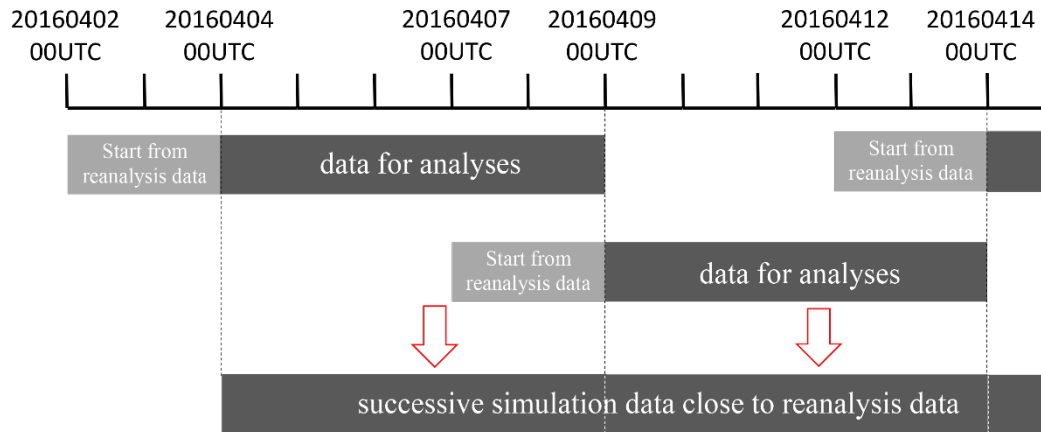
Figure 1: An illustration for the time integration method.

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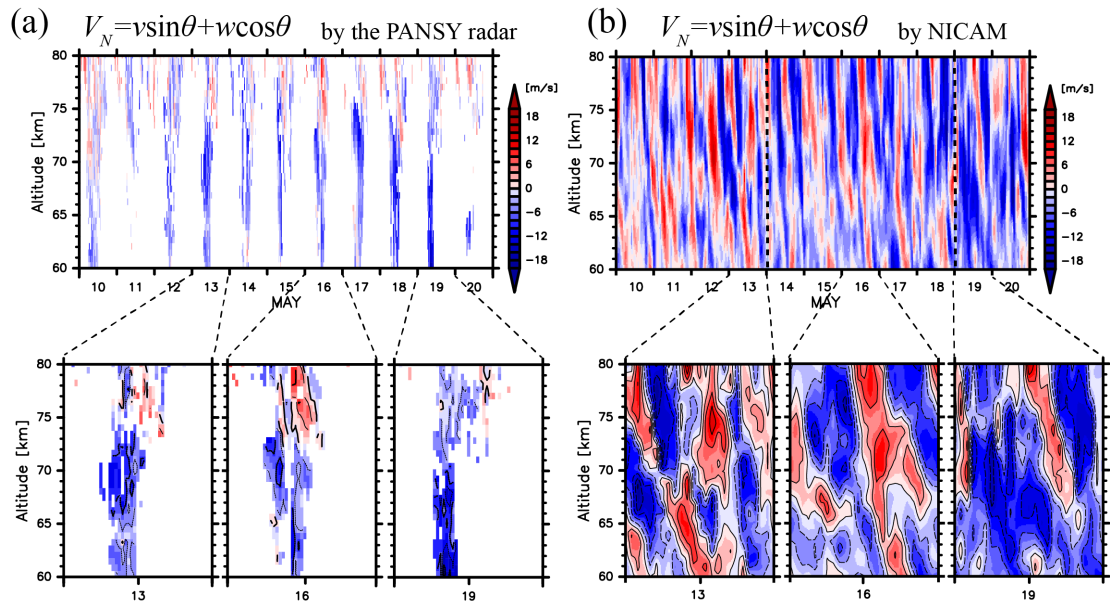
Figure 2: Time-altitude cross sections of northward line-of-sight speeds (a) observed by the PANSY radar at Syowa Station (a) for the period from 10 to 20 May 2015, and (b) those simulated by NICAM in the same period. The contour intervals are 4 m s^{-1}. The black dotted vertical lines in (b) denotes the segments of the lasting five-day-simulation.

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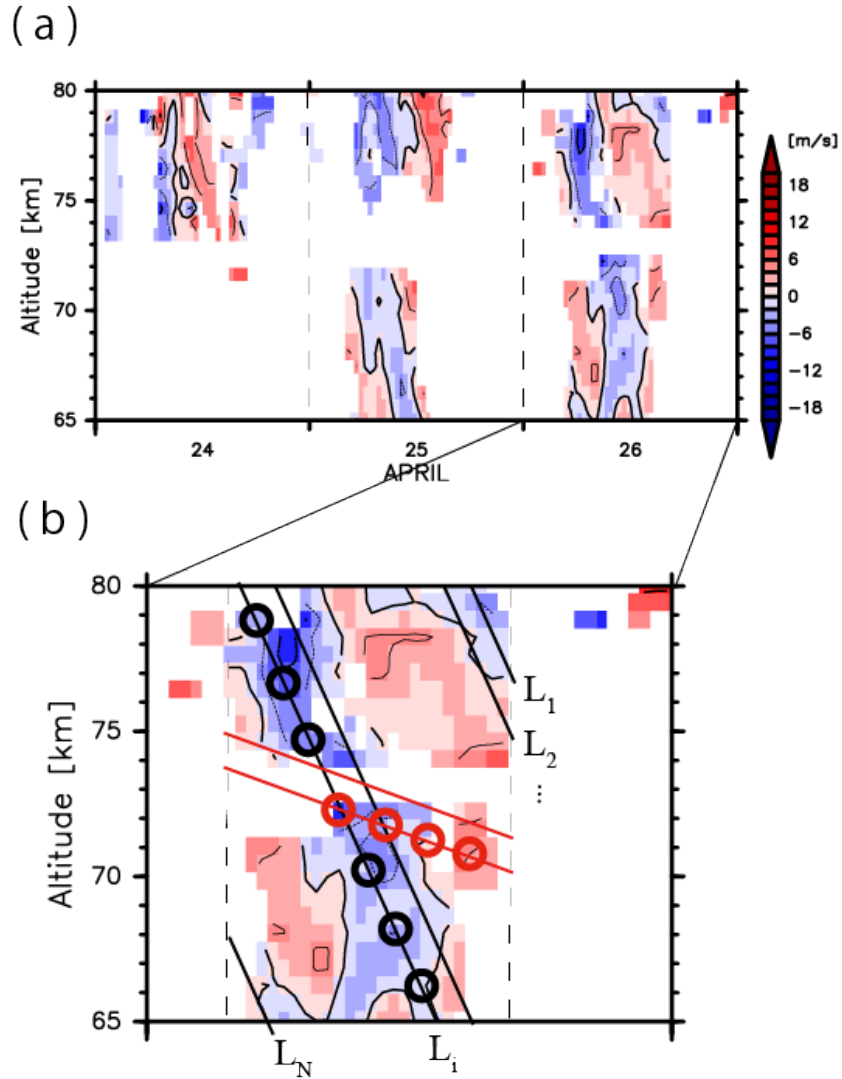
Figure 3: Time-altitude cross sections of northward line of sight speeds (a) observed by the PANSY radar for the period from (a) 24 to 26 April 2016 and (b) 26 April 2016. (b) Phase lines with a vertical phase velocity of V_1 are denoted as L_1, L_2, ..., L_i, ...L_N (thick black lines), and data points on L_i are denoted as x_1^i, x_2^i, ..., $x_{n_i}^i$ (black circles). Another phase lines with a vertical phase velocity of V_2 and data points on their phase lines are depicted by a red color. Please see the text in detail.

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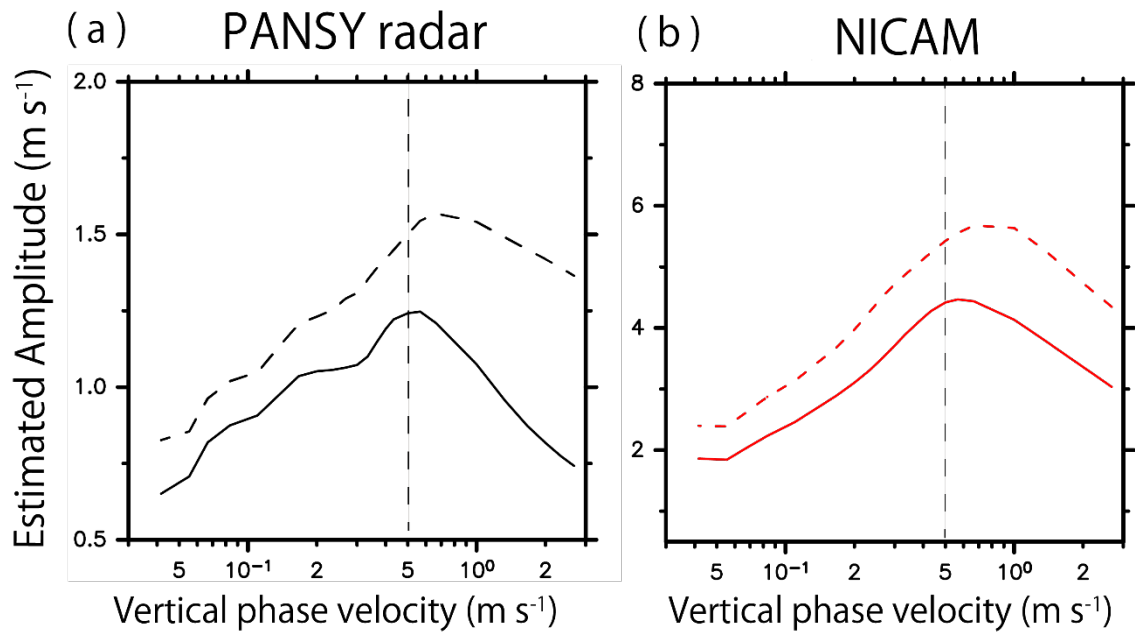
Figure 4: Estimated wave amplitude as a function of vertical phase velocities in April (black curves) and in May (dashed curves) using (a) the PANSY radar observation and (b) the NICAM simulation.

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(a) Zonal wind by MERRA

(b) Zonal wind by NICAM
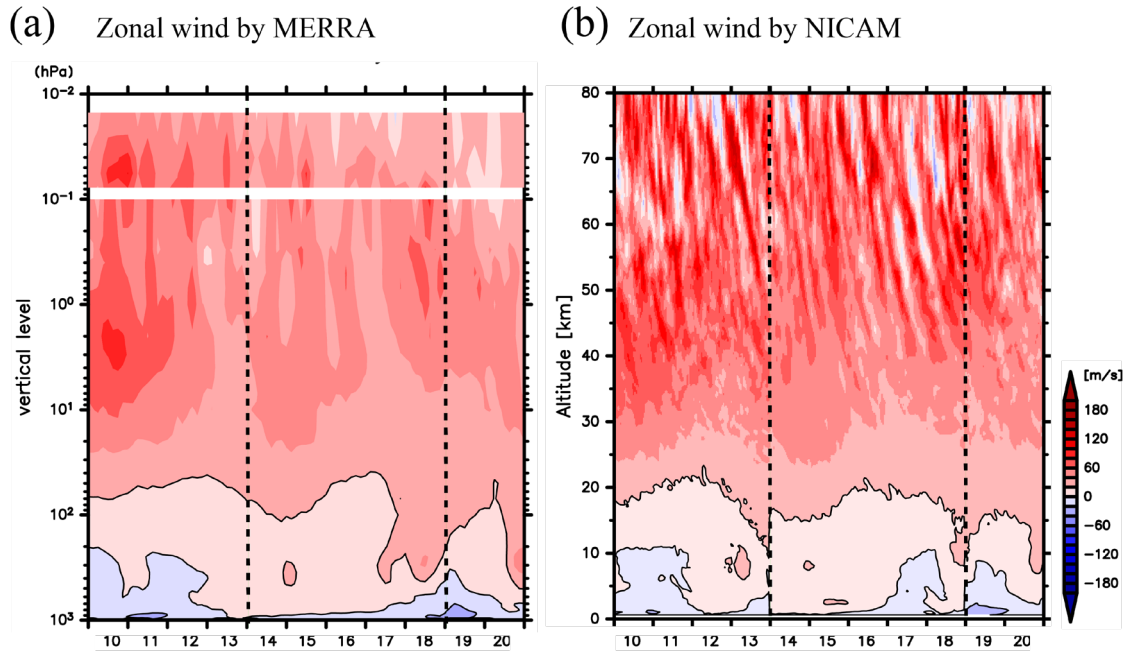
Figure 5: Time-altitude cross sections of zonal winds (a) from the MERRA reanalysis data and (b) from NICAM simulations for the period from May 10 to May 20 2015 at a grid near Syowa Station. The contour intervals are 20 m s^{-1}. The vertical dotted lines denotes the segments of the continuous five-day-simulation by NICAM. (a) The 3-D assimilated fields of the MERRA reanalysis data for 1000 hPa to 0.1 hPa and the 3-D analyzed fields for 0.1 hPa to 0.01 hPa are drawn.

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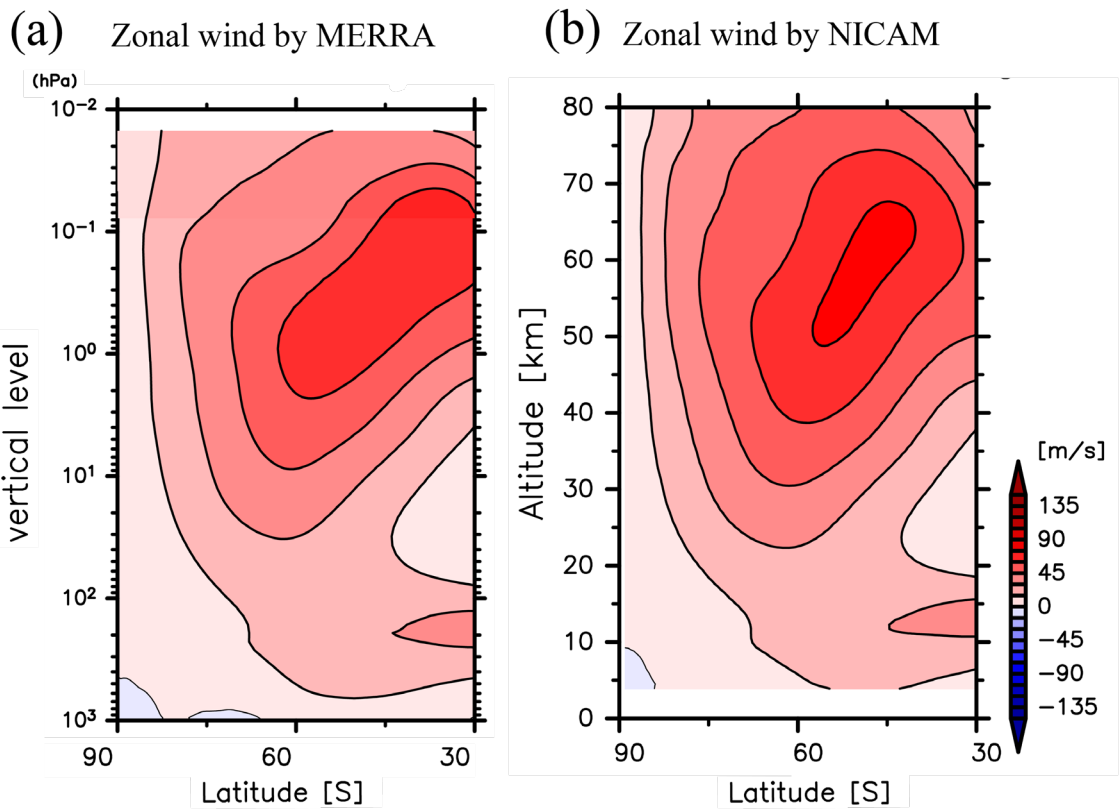
Figure 6: Latitude-altitude cross sections of the zonal mean zonal winds (a) from MERRA and (b) from NICAM simulations averaged in April and May 2016. The contour intervals are 20 m s^{-1}.

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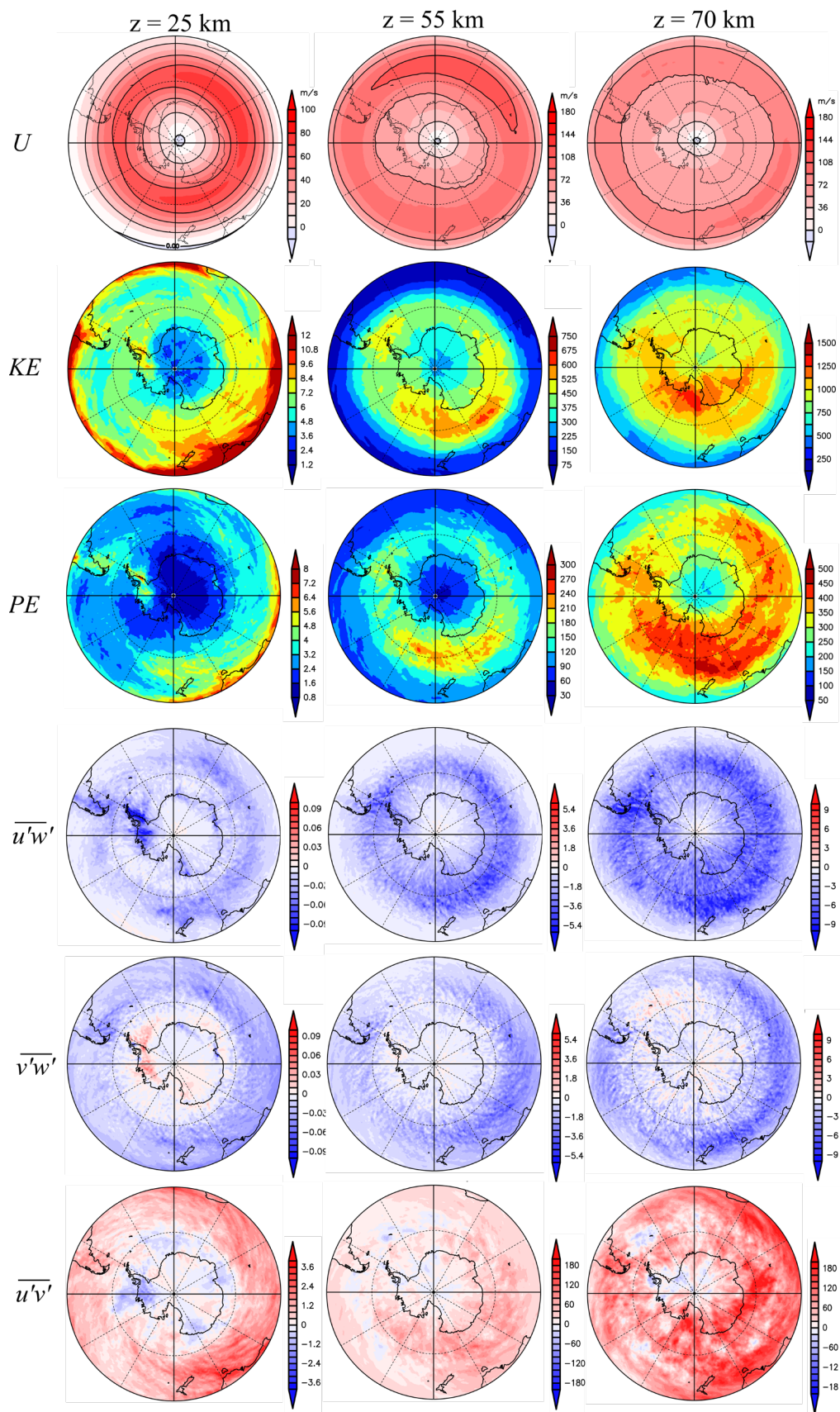
Figure 7: horizontal maps of U, $\overline{KE}$, $\overline{PE}$, $\overline{u'w'}$, $\overline{v'w'}$ and $\overline{u'v'}$ at heights of 25 km, 55 km and 70 km averaged in JJA. The unit of U, $\overline{KE}$ and $\overline{PE}$, and $\overline{u'w'}$, $\overline{v'w'}$ and $\overline{u'v'}$ is m s^{-1}, J/kg and m^{2}s^{-2}, respectively.
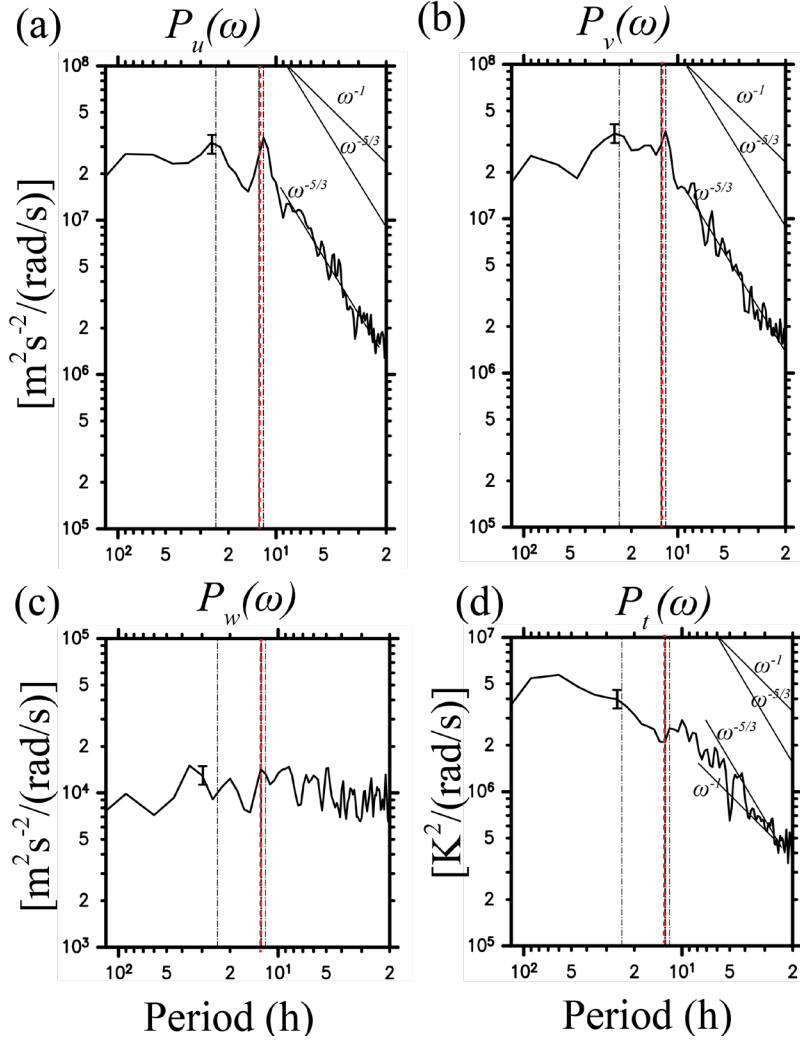
Figure 8:

Frequency power spectra of (a) zonal, (b) meridional and (c) vertical wind, and (d) temperature fluctuations averaged for the height region of 70–75 km for JJA in NICAM at a grid point near Syowa Station. Vertical black dotted line indicates frequencies corresponding to the one day and half a day. Red dotted line indicates the inertia-frequency at Syowa Station ($\sim 2\pi/12.7$ h at 69°S). Error bars show intervals of the 90% statistical significance.

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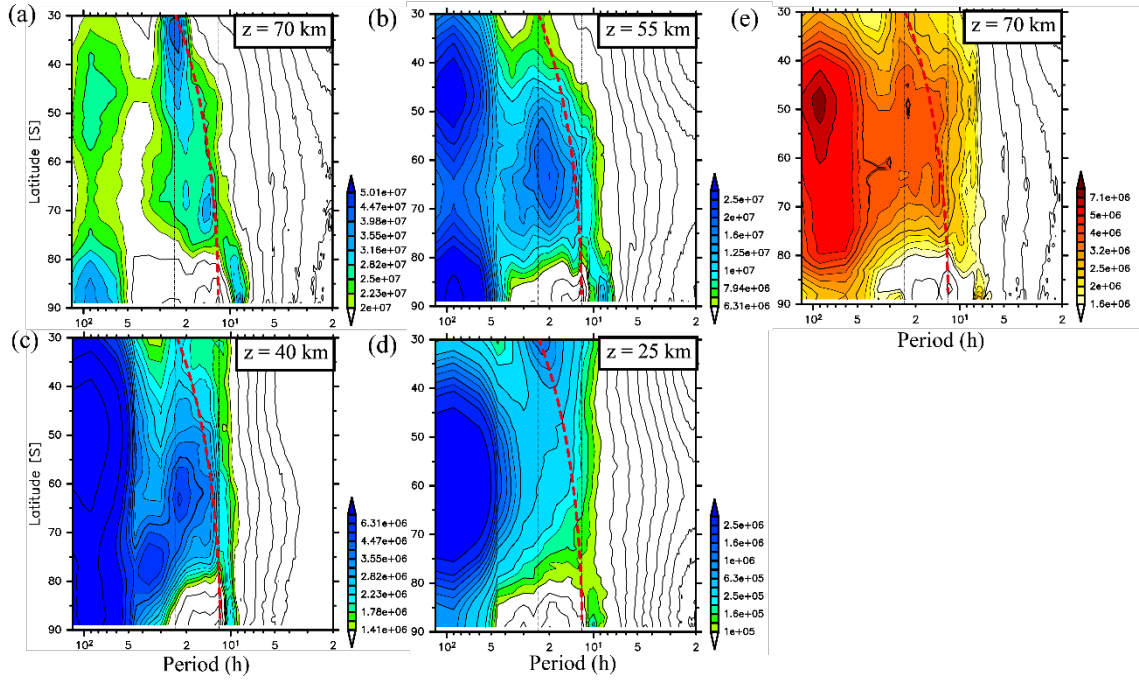
Figure 9: Zonal mean ground-based frequency power spectra of meridional wind fluctuations without diurnal and semi-diurnal migrating tides and semi-diurnal non-migrating tides with $s = 1$ ($\widetilde{P}_v(\omega)$) averaged in JJA as a function of latitude at heights of (a) 25 km, (b) 40 km, and (c) 55 km and (d) 70 km. (e) Frequency spectra of temperature fluctuations averaged in June and July with horizontal wavelengths longer than 1000 km without the migrating tides at 70 km. Vertical black dotted lines indicate frequencies corresponding to the one day period and half day. A red thick dashed curve indicates the inertial frequencies at each latitude.

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(a) $\widetilde{P}_v(\omega)$ (70 km, 2π/30 h ~ 2π/12 h)   (b) $\widetilde{P}_v(\omega)$ (70km, 2π/12 h ~ 2π/6 h)
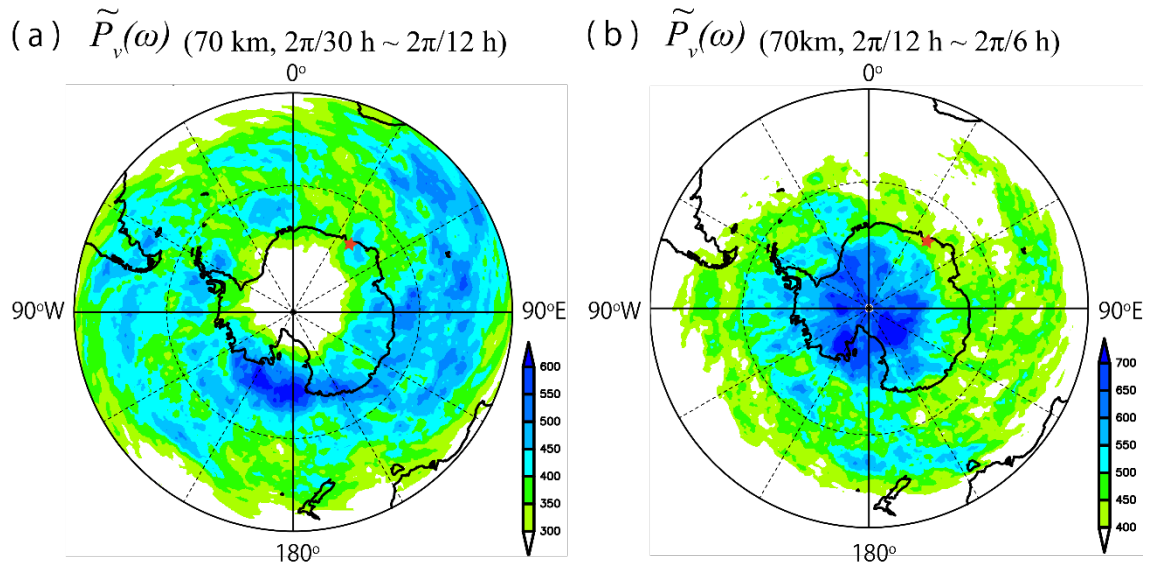
Figure 10: The horizontal map of $\widetilde{P}_v(\omega)$ contributed by disturbances (a) at the frequencies from (2π/30 h) to (2π/12 h) and (b) at the frequencies from (2π/12 h) to (2π/6 h) at a height of 70 km. A red star mark denotes a location of Syowa Station.
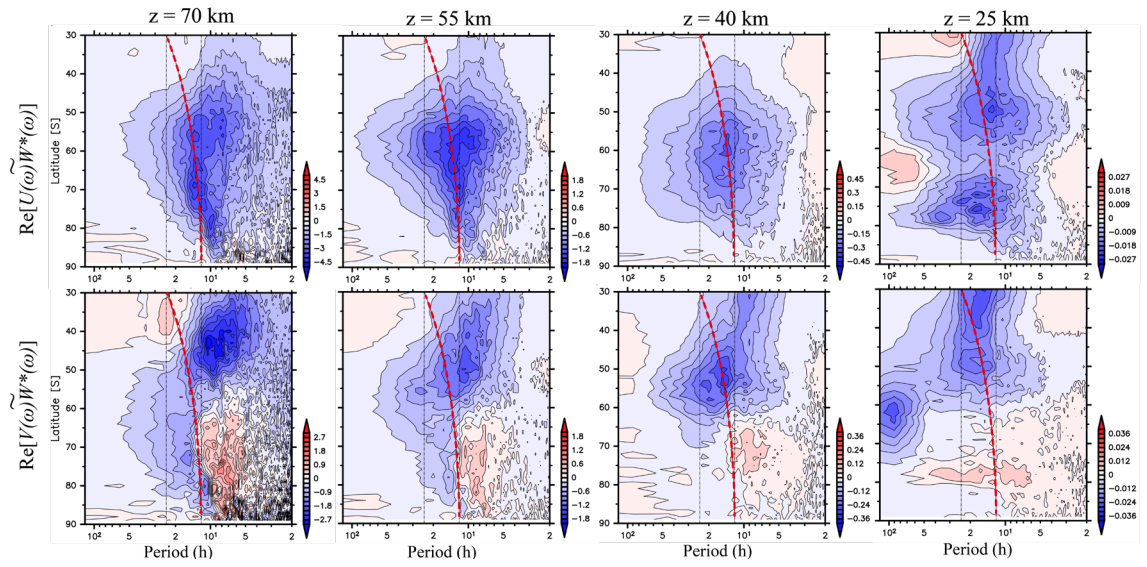
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Figure 11: Zonal mean ground-based frequency power spectra of vertical fluxes of zonal and meridional momentum ($\mathrm{Re}[U(\omega)W^*(\omega)]$, $\mathrm{Re}[V(\omega)W^*(\omega)]$) without diurnal and semi-diurnal migrating tides and semi-diurnal non-migrating tides with $s = 1$ averaged in JJA as a function of latitude at heights of 25 km, 40 km, 55 km and 70 km. Vertical black dotted lines indicate frequencies corresponding to the one day period and half day. A red thick dashed curve indicates the inertial frequencies at each latitude.

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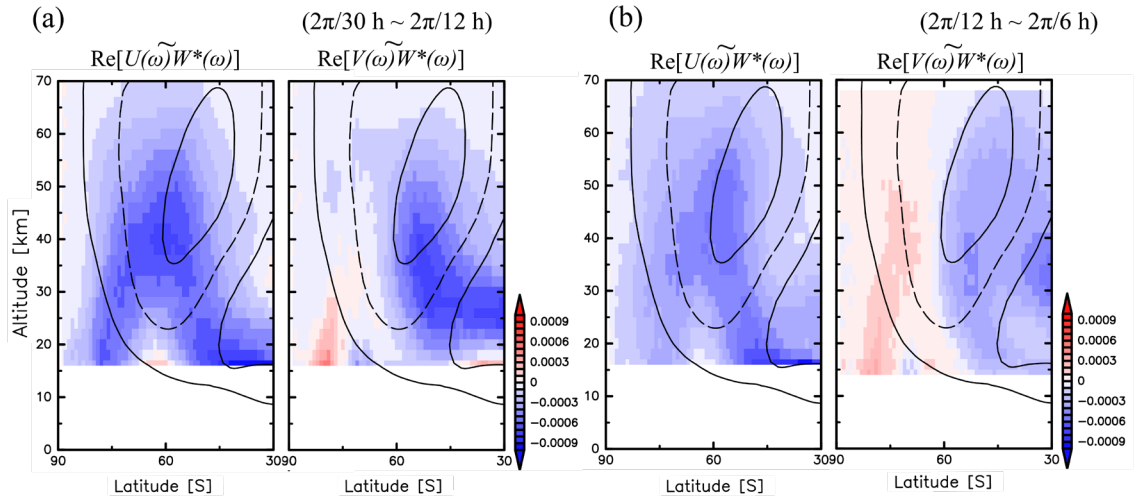
Figure 12: Latitudinal structures of an integration of $\mathrm{Re}\left[\rho_0 U(\omega)\widetilde{W^*}(\omega)\right]$ and $\mathrm{Re}\left[\rho_0 V(\omega)\widetilde{W^*}(\omega)\right]$ contributed by wave disturbances (a) for the frequencies from $(2\pi/30\ \mathrm{h})$ to $(2\pi/12\ \mathrm{h})$ and (b) for the frequencies from $(2\pi/12\ \mathrm{h})$ to $(2\pi/6\ \mathrm{h})$ averaged in JJA. The contour values indicate zonal mean zonal wind with a contour interval of 30 m s^{-1}.
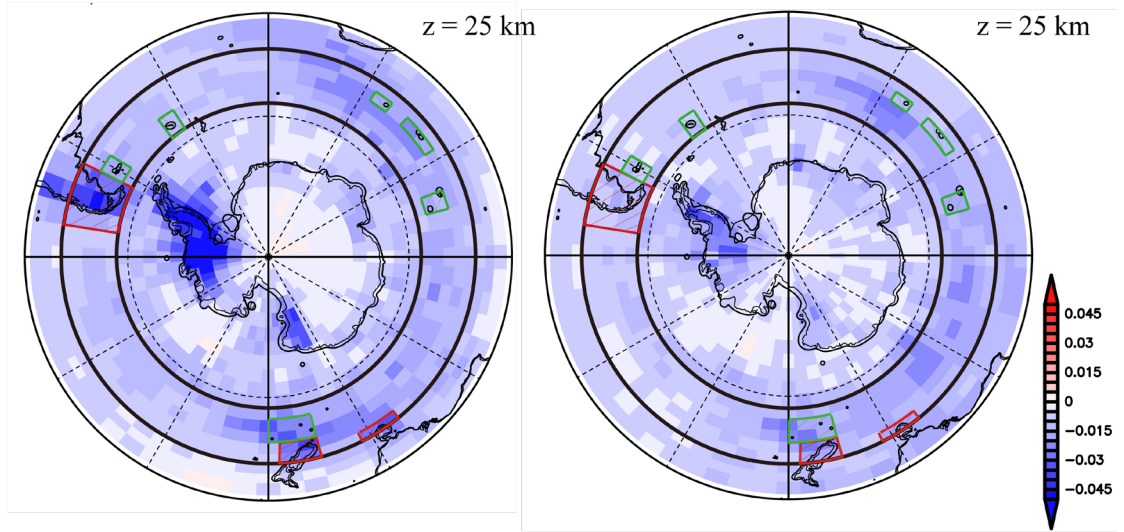
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Figure 13: The horizontal map of $\mathrm{Re}\left[\rho_0 U(\omega)\widetilde{W}^*(\omega)\right]$ contributed by disturbances (a) at the frequencies from $(2\pi/30\,\mathrm{h})$ to $(2\pi/12\,\mathrm{h})$ and (b) at the frequencies from $(2\pi/12\,\mathrm{h})$ to $(2\pi/6\,\mathrm{h})$. Regions surrounded by red rectangles and green rectangles denote the domain dominated by the topography and the island, respectively.
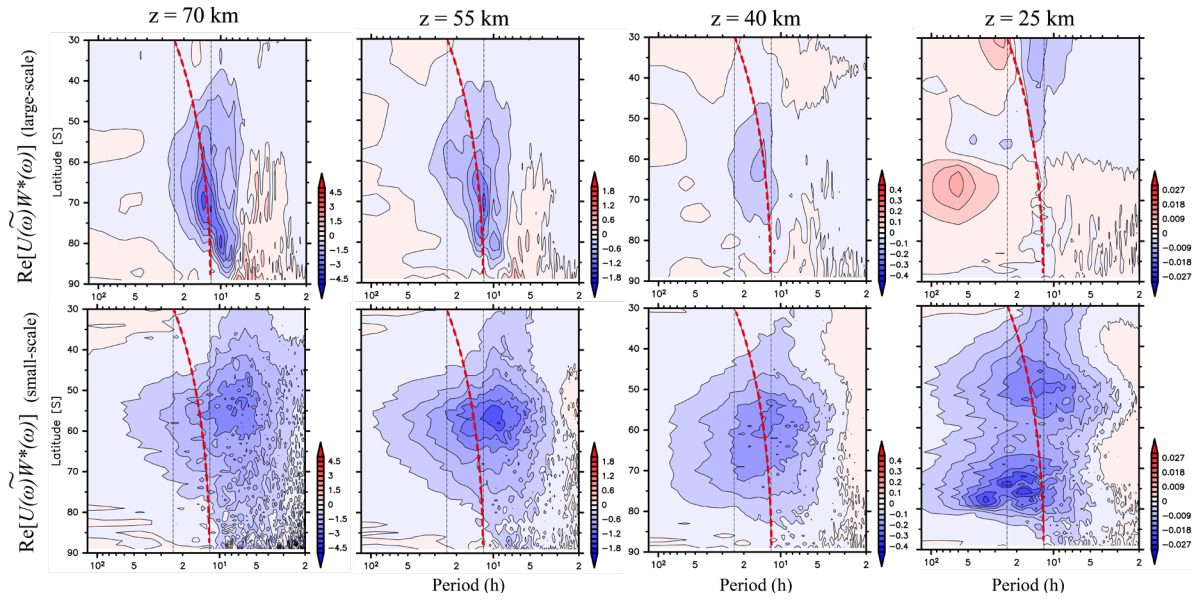
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Figure 14: Zonal mean ground-based frequency power spectra of vertical fluxes of zonal momentum ($\mathrm{Re}[U(\omega)W^*(\omega)]$) without diurnal and semi-diurnal migrating tides and semi-diurnal non-migrating tides with $s = 1$ averaged in JJA as a function of latitude at heights of 25 km, 40 km, 55 km and 70 km. The upper (lower) line shows $\mathrm{Re}[U(\omega)W^*(\omega)]$ contributed by disturbances with horizontal scales larger (smaller) than 1000 km. A red thick dashed curve indicates the inertial frequencies at each latitude.

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